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Automated Critical Peak Pricing Field Tests: 2006 Pilot Program Description and Results

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# **Automated Critical Peak Pricing Field Tests: 2006 Pilot Program Description and Results**

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## **Executive Summary**

### **Introduction**

During 2006 Lawrence Berkeley National Laboratory (LBNL) and the Demand Response Research Center (DRRC) performed a technology evaluation for the Pacific Gas and Electric Company (PG&E) Emerging Technologies Programs. This report summarizes the design, deployment, and results from the 2006 Automated Critical Peak Pricing Program (Auto-CPP). The program was designed to evaluate the feasibility of deploying automation systems that allow customers to participate in critical peak pricing (CPP) with a fully-automated response. The 2006 program was in operation during the entire six-month CPP period from May through October.

### **Methodology**

The methodology for this field study included site recruitment, control strategy development, automation system deployment, and evaluation of sites' participation in actual CPP events through the summer of 2006. LBNL recruited sites in PG&E's territory in northern California through contacts from PG&E account managers, conferences, and industry meetings. Each site contact signed a memorandum of understanding with LBNL that outlined the activities needed to participate in the Auto-CPP program. Each facility worked with LBNL to select and implement control strategies for demand response and developed automation system designs based on existing Internet connectivity and building control systems.

Once the automation systems were installed, LBNL conducted communications tests to ensure that the Demand Response Automation Server (DRAS) correctly provided and logged the continuous communications of the CPP signals with the energy management and control system (EMCS) for each site. LBNL also observed and evaluated Demand Response (DR) shed strategies to ensure proper commissioning of controls. The communication system allowed sites to receive day-ahead as well as day-of signals for pre-cooling, a DR strategy used at a few sites.

Measurement of demand response was conducted using two different baseline models for estimating peak load savings. One was the CPP baseline model, which is based on the site electricity consumption from noon to 6 p.m. for the three days with highest consumption of the previous ten non-weekend days; it is not normalized for weather. The second model, the LBNL adjusted outside air temperature (OAT) regression baseline model, is based on OAT data and site electricity consumption from the previous ten days, and it is adjusted using weather regressions from the fifteen-minute electric load data during each event day. These baseline models were used to evaluate the demand reduction during each DR event for each site. The aggregated response from all sites for each event was also estimated using both baseline models. The evaluation research also included surveying the facility managers regarding any problems or issues that arose during the DR events. Questions covered occupant comfort, controls issues, and other potential problems.

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This 2006 Auto-CPP study included an assessment of the CPP economics for each site. This consisted of summing all of the credits on non-CPP days and subtracting the charges on CPP days. Estimates of the CPP economics without the demand response control strategies were also developed.

### Results

- **Twenty-four facilities participated in the Auto-CPP program.** These facilities were a diverse set of building types, including office buildings, retail chain stores, schools, museums, laboratory buildings, a museum, and a bakery.
- **Thirteen sites participated in the majority of summer CPP events.** There were nine CPP events in Zone 1 and eleven in Zone 2 in 2006. Among the Auto-CPP sites, site responses to 125 events were fully automated and evaluated in this study. Their average peak demand reduction was 14% of the whole-facility load based on the three-hour high-price period. The average peak demand reduction was 87 kW per facility, based on the OAT regression baseline model. The savings using a CPP baseline without weather normalization were less than half of the savings using the OAT regression baseline.
- **The program delivered an aggregated three-hour peak demand reduction of 1.2 MW on June 26, 2006 during an actual CPP event.**
- **Even more potential was available as additional facilities came into the program in fall 2006.** If all the sites that participated in 2006 provided their maximum six-hour peak demand reduction on the same day, the program could provide 1.7 MW of load reduction. If all sites provided the maximum three-hour peak demand reduction on the same day, the program could provide 2.0 MW.
- **During the severe heat wave of July 2006, all of the Auto-CPP sites continued to participate in DR at a time when it was needed most.** None of facilities opted out. Internal temperatures in the buildings did rise above normal conditions, with some increase in occupant complaints, but not to the point of disrupting activities in the buildings or causing facilities personnel to disable the automation.
- **Full automation is technically feasible and provides value to CPP customers.** One key aspect of the automation tests is that the facilities continue to participate after many years. Automation improves participation in demand response programs.

### Recommendations and Future Directions

The 2006 Auto-CPP study showed that automating demand response is technically feasible. Planning for a scaled-up Auto-DR program for 2007, which includes other automated programs in addition to CPP, was initiated during 2006. Discussions have been underway with the three California investor-owned utilities (IOUs) to use a common Auto-DR infrastructure. The Demand Response Research Center (DRRC) will

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continue to support research to help understand the strengths and weaknesses of the current Auto-DR platforms and assist in identifying improvements. Specific examples of future research issues are listed below:

- **Explore Auto-DR for small commercial and large industrial sites.** One of the long-term strategies of automating DR is to utilize customer relationships with current controls and communications technology vendors, informing and educating them on Auto-DR systems. Technically this project showed that most buildings with EMCS could participate in Auto-DR. Further work is needed to explore how to connect the DRAS with smaller buildings that do not have centralized EMCS. Further work is also needed to evaluate the readiness of industrial process control systems for automation.
- **Develop common peak demand savings evaluation methods.** While the automation systems were shown to provide continuous, reliable communications of the DR program signals, more work is needed to understand end-use control strategies. Perhaps the most critical need is to engage the engineering community and auditors who evaluate DR strategies and estimate peak demand savings to develop common methods for savings calculations. While there are decades of experience with energy savings analysis methods and techniques, methods to estimate peak demand savings for short durations are relatively new. Such analysis methods are more complex than historical “bin” methods for energy efficiency analysis that simplify weather data into heating and cooling degree-days. Rather, new dynamic models are needed, based on knowledge of weather data, peak load shapes, and HVAC system and controls, combined in practical ways to provide simple, yet robust concepts for peak demand savings estimates.
- **Improve communication on the CPP tariff.** PG&E’s CPP tariff is complex. The July 2006 heat storm resulted in one month with seven CPP events. This caused an average increase in commercial sector summer bills of fifteen percent. Many of the participating sites were concerned with their high mid-summer utility bill following the heat wave. Improvements in communication by utilities with customers about bills are needed to explain the charges and credits each site is expected to collect for the entire summer if it enrolls in CPP.
- **Provide better information on the state benefits of DR.** Demand response is a confusing term and DR programs are confusing. More effort is needed to communicate the concepts of DR. Automating DR may help improve the reliability of the resource, but there is a hurdle in marketing these programs because of limited understanding.
- **Consider alternative weather-adjusted baseline models.** The Auto-CPP project showed that the CPP baseline was lower than hot peak day loads prior to CPP events. When the CPP baseline is lower than the load shape, there are no

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estimated DR savings. Weather-sensitive loads need weather-adjusted baseline models.

## 1. Project Background

California investor-owned utilities (IOUs) have been exploring the use of critical peak pricing (CPP) to help reduce peaks in customer end-use loads. CPP is a form of price-responsive Demand Response.<sup>1</sup> Recent experience has shown that customers have limited knowledge of how to operate their facilities to reduce their electricity costs under CPP (Quantum and Summit Blue, 2004). While the lack of knowledge about how to develop and implement demand response (DR) control strategies is a barrier to participation in DR programs like CPP, another barrier is the lack of automation of DR systems. Most DR activities are manual and require building operations staff to first receive emails, phone calls, and pager signals, and second, to act on these signals to execute DR strategies.

The various levels of DR automation can be defined as follows. **Manual Demand Response** involves a labor-intensive approach such as manually turning off or changing comfort setpoints at each equipment switch or controller. **Semi-Automated Demand Response** involves a pre-programmed demand response strategy initiated by a person via a centralized control system. **Fully-Automated Demand Response** does not involve human intervention, but is initiated at a home, building, or facility through receipt of an external communications signal. The receipt of the external signal initiates pre-programmed demand response strategies. The authors of this report refer to this as **Auto-DR** (Piette et al. 2005). One important concept in Auto-DR is that a homeowner or facility manager should be able to “opt out” or “override” a DR event if the event comes at time when a reduction in end-use services is not acceptable.

From the customer side, modifications to the site’s electric load shape can be achieved by modifying end-use loads. Examples of demand response strategies include reducing electric loads by dimming or turning off non-critical lights, changing comfort thermostat setpoints, or turning off non-critical equipment. These demand response activities are triggered by specific actions set by the electricity service provider. Many electricity customers have suggested that automation will help them institutionalize their demand response. The alternative is manual demand response, when building staff receives a signal and manually reduces demand. LBNL research has found that many building

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<sup>1</sup> Demand Response (DR) is a set of time-dependent program activities and tariffs that seek to reduce electricity use or shift usage to another time period. DR provides control systems that encourage load shedding or load shifting during times when the electric grid is near its capacity or electricity prices are high. DR helps to manage building electricity costs and to improve electric grid reliability.

energy management control systems (EMCS)<sup>2</sup> and related lighting and other controls can be pre-programmed to initiate and manage electric demand response.

This Automated Critical Peak Pricing (Auto-CPP) project conducted in 2006 draws upon three years of previous research and demonstrations from previous projects in 2003, 2004, and 2005. The purpose of automated DR, of which Auto-CPP is one example, is to improve the responsiveness and participation of electricity customers in DR programs and to lower their overall costs. Automated DR involves systems that automatically reduce electric demand in facilities upon receipt of a signal denoting an electric grid emergency or a rise in the price of electricity. In Auto-CPP a communications signal provides notification of price variations that reflect the CPP tariff. The signal is published on a single web services server and is available on the Internet using the meta-language XML (Extensible Markup Language). Each of the participating facilities monitors this common price signal using web services client applications and automatically sheds site-specific electric loads when the price increases based on the PG&E Critical Peak Pricing Program. The system is designed to operate without human intervention during the DR period.

During 2003 and 2004, the Public Interest Energy Research (PIER)-funded Demand Response Research Center (DRRC) and LBNL conducted a series of tests of fully-automated electric demand response (Auto-DR) at 18 facilities (Piette et al., 2005a and 2005b). The overall average of the site-specific average coincident demand reductions was 10% for a variety of building types and facilities. Many electricity customers have suggested that automation will help them institutionalize their electric demand savings and improve their overall response and DR repeatability.

During 2005, DRRC and LBNL worked with PG&E to perform an initial series of tests to automate PG&E customers on CPP (Piette et al., 2006a and 2006b). This project showed that automating CPP showed promise to increase DR responsiveness and assist the sites in pre-programming DR strategies, allowing them to take place without a person in the loop.

This report focuses on and discusses the specific results of the Auto-CPP tests that DRRC and LBNL conducted during 2006. This series of new findings add to what was previously known about Auto-DR and Auto-CPP. These findings are informed by a full summer of Auto-CPP participation, CPP customer economics, and Auto-CPP events during a severe heat storm. Another new aspect of the 2006 program was the use of a third party organization, a DR Integration Services Company (DRISCO), to assist in the Auto-DR control and communications installations. The DRISCO was part of the technology transfer plan to move the technology from the research lab (LBNL) into the private sector.

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<sup>2</sup> Energy Management and Control Systems are centralized controls, generally with personal computer interface, primarily for heating, ventilation, and air conditioning systems. These systems sometimes also provide lighting control, as well as control of fire and life-safety systems.

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The structure of this report is as follows. **Section 2, Project Objectives**, provides a summary of previous work and additional background followed by a discussion of the project objectives. **Section 3, Methodology**, outlines the project methodology covering the technology used for the automation plus the Auto-CPP program design and steps for participation. Section 3 also discusses the DRISCO role and introduces the DR control strategies and the evaluation methods used in the study. These include the peak demand baseline models, data collection methods, evaluation of effectiveness of automation, economic evaluation methods, and surveys. **Section 4, Results**, discusses the characteristics of the participants, automation systems used, DR control strategies, and the use and results of automation for each site on the fifteen CPP event days. Section 4 also provides an overview of the aggregated and individual facility demand reductions. This section also provides the results of the economic analysis, with more detailed results in Appendix D. **Section 5, Discussion**, is a discussion of key findings relative to the project objectives and future directions of the Auto-CPP program. **Section 6, Recommendations and Further Direction**, presents recommendations and a discussion of next steps. **Section 7, References**, lists key references. Extensive **appendices** provide details on the DRISCO documents, CLIR and DRAS user guides, outreach and survey documents, site descriptions and details (program design, technology, facility characteristics), sites' DR strategies, peak demand reduction data, economic results, and post-event surveys.

## 2. Project Objectives

The objectives of this project were to:

- Demonstrate how an automated notification system for critical peak pricing can be used in large commercial facilities for demand response (DR). Evaluate the effectiveness of such a system. Determine how customers will respond to this form of automation for CPP.
- Evaluate what type of DR shifting and shedding strategies can be automated for CPP to provide effective DR.
- Evaluate CPP economics and the influence of various rate designs.
- Understand the costs and benefits of CPP from the building owners' perspective.
- Develop information systems for commercial customers, such as energy consumption feedback, audits, and economic analysis tools.
- Demonstrate integrated energy management using advanced controls for both energy efficiency and DR.
- Explore how automation of control strategies can increase participation rates and DR.
- Identify effective control and shedding strategies.
- Evaluate occupant and tenant response.

Comments on results for each of these objectives are provided in **Section 5, Discussion**.

### 3. Methodology

#### 3.1. Technology

##### 3.1.1. Control and Communication System Configuration

The 2006 Auto-CPP project used the technology developed in the 2005 Auto-CPP study with a number of additions as described below. All participants were responsible for reviewing and meeting LBNL's "2006 Automated Critical Peak Pricing Pilot Participation Requirements" (see Appendix C). The automated demand response client/server system created for this research uses the public Internet and private corporate and government intranets to communicate CPP event signals that initiate reductions in electric load in commercial buildings. The CPP signals are received by energy management and control systems, which perform pre-determined demand response strategies at the appropriate times. This section describes this system's technical details.

LBNL provided the participants one of two automation equipment options:

- web-service program source code, or
- Client and Logic with Integrated Relay (CLIR) Box (see Appendix B)

The participants agreed to work with their controls vendor or in-house staff to modify their systems to be able to retrieve the XML signal or receive a control signal, and initiate an automated demand response. In many cases the 2006 participants worked with the DRISCO.

Once the Auto-CPP system setup was completed, a test of the system was conducted. LBNL published an XML electricity price signal via the Internet that contained information to represent electricity prices for the CPP event days. This signal initiated the implementation of the facility's automated DR strategies. However, the participant was able to override the test and "opt out" if necessary.

The Demand Response Automation Server (DRAS) is at the heart of the controls and communications architecture for the Internet-based system used to enable Auto-DR in California. The DRAS was conceptualized and funded by California Energy Commission, Public Interest Energy Research (PIER), and Lawrence Berkeley National Laboratory (LBNL). The DRAS is managed by Akuacom<sup>3</sup> and provides a common signaling infrastructure for economic- and contingency-based demand response. The DRAS infrastructure allows each utility to communicate with energy service providers (ESCOs) and aggregators as well as customers in their territory. Since published open standards are used, ESCOs, aggregators and "trans-utility" statewide customers minimize their development effort through use of the common interface. Industry

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<sup>3</sup> <http://www.akuacom.com/>

standards such as Extensive Mark-up Language (XML), Simple Object Access Protocol (SOAP) and web services are used.

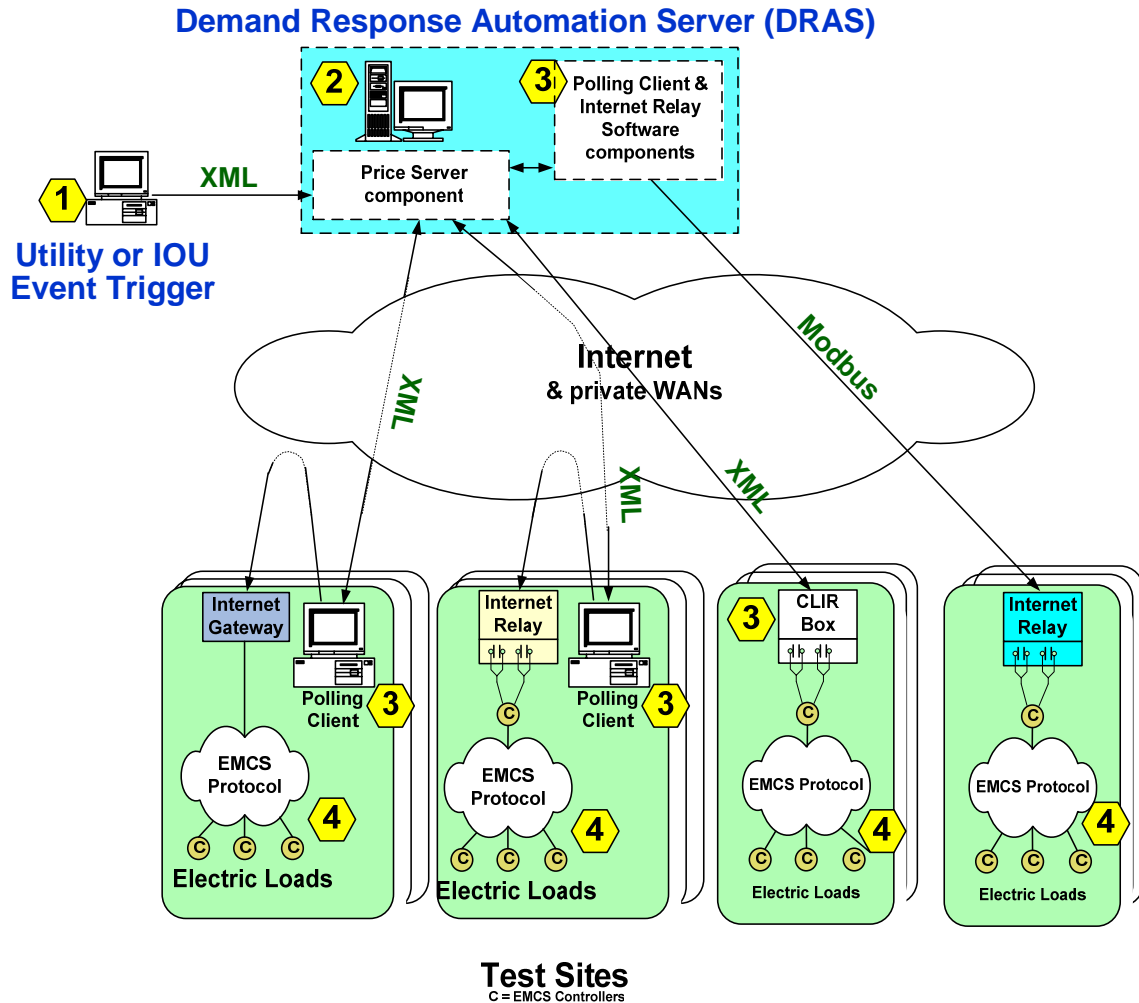


Figure 1. Auto-CPP control and communication system architecture

Figure 1 shows the Auto-CPP control and communication system architecture with four example site types. When the utility triggers a CPP event, an XML message is sent to the DRAS indicating the event date. The DRAS creates an event notification table visible to all users and publishes an event-pending signal so that all the polling clients at participating sites receive this notification information. On the day of the event, at 12 p.m., 3 p.m., and 6 p.m., the DRAS publishes the new price signals.

### 3.1.2. Automated Demand Response System Description

The DRAS can be used to initiate electric load sheds through virtually any control system as well as via devices that control loads directly. Care has been taken to minimize the effort required by control software developers who wish to interface their systems to the DRAS. LBNL has provided example files and descriptions to software developers. These files are designed to enable software developers to create software clients to communicate with the DRAS. The purpose of such software is to connect the

DRAS to other systems as desired. The client software polls the DRAS to determine the timing and magnitude of demand response events. Generic software source code is provided as an example. Each user creates logic to shed electric loads based on DR signals and connectivity to each system based on the requirements of their site.

DRAS version 1.0 was designed and used as a research tool in 2003 and 2004. Version 2.0 was a pilot production tool designed and used as the automation “engine” of PG&E’s Auto-CPP program in 2005. Version 2 was built to meet the high standards required for financial transactions using Internet technology. The version 2 server successfully met PG&E’s requirements for the 2005 tests including:

- 1) Flexibility. The system was customized to interface with PG&E’s existing CPP processes and Itron<sup>4</sup>’s InterActII<sup>TM5</sup> system.
- 2) High availability/reliability. The system was on-line and available for every PG&E-initiated Auto-CPP event. In 2006 it proved to exceed 99.99% availability.
- 3) Scalability. Tests show that the 2.0 framework was more than adequate for the size of the 2005 pilot. Scalability testing indicates that the current system could support approximately 3000 “sites” with an end-to-end latency, starting with the initial notification and ending with the observance of sheds averaging less than ½ second.
- 4) Security. The basic server architecture was designed to be secure enough to allow LBNL to conduct further tests with utilities and other organizations in a manner that meets current industry standards for financially-binding transactions. It is of utmost importance that Auto-DR tests are secure. A security breach could become a major public relations and/or system reliability setback to the utility industry.

Version 3.0 of the DRAS added multiple user levels and collaborative work flow features as described below.

### **3.1.3. The DR Automation Server (DRAS) Version 3.0**

To reach the next level of progress in Automated Demand Response research, it was necessary to add features and enhancements to the DRAS. The enhanced DR Automation Server 2006 (version 3.0) supported the Auto-CPP program with PG&E and a small number of tests with San Diego Gas and Electric (SDG&E) in the summer of 2006. All tests were production pilots with financial implications to the participating utilities and their customers.

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<sup>4</sup> <http://www.itron.com/>

<sup>5</sup> [http://www.pge.com/biz/demand\\_response/interactII/index.html](http://www.pge.com/biz/demand_response/interactII/index.html)

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The overall Auto-DR project for 2006 had several major themes. These themes, along with technical lessons learned from previous years, drove many of the features and other enhancements of the DRAS version 3.0:

- Process turnover. Researchers at LBNL further defined and documented DR processes and turned over more tasks to others (utilities and other 3rd parties such as the DRISCO).
- Provision of secure, reliable, customized interfaces to multiple utility partners.
- Sharing of real-time, system-level, non-sensitive load, and DR information with the California Energy Commission, researchers, and other parties.
- Continued enhancements in performance and usability.
- Cost optimization.

Figure 2 shows an example of the DRAS 3.0 Internet interface. The communication device tab (Comm Dev) shows the name of the device, program, zone, type of communication device, and current communication status.

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DR Automation Server: Comm Dev Status - Microsoft Internet Explorer

Address: <http://www.electricprice.net/pss2/website/secure/commDevStatus.jsp?sort=6>

DRRC pier Akuacom

DR AUTOMATION SERVER 3

logged in as: operator | [logout](#) | [help](#)

Summary | SAID | **Comm Dev** | Logs | Channels | Users | Schedule | Options | About

### Comm Dev Status

Select	Edit	Comm Dev Name	Channel	DR Group	Comm Method	Event Level	Last Contact	Status
<input type="checkbox"/>		ACWD	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Nov 10 09:22:25 PST 2006	
<input type="checkbox"/>		Office/Data Center	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		Chabot	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		Contra Costa	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		Delmonte	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	null	
<input type="checkbox"/>		FUSD_Cent	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		Gilead	CPP_Zone_1	PGE_CPP_ZONE1	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		IBECS	test	OPERATOR	SOFTWARE	moderate	Tue Jun 27 16:55:05 PDT 2006	
<input type="checkbox"/>		IKEA	CPP_Zone_1	PGE_CPP_ZONE1	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		IKEA_Em	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	null	
<input type="checkbox"/>		Richard-Zeta	test	OPERATOR	SOFTWARE	moderate	Thu Aug 10 17:28:02 PDT 2006	
<input type="checkbox"/>		Safeway	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	null	
<input type="checkbox"/>		Svenhard's	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Nov 10 09:23:25 PST 2006	
<input type="checkbox"/>		Sybase	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	null	
<input type="checkbox"/>		Target_2006	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	Thu Oct 12 11:20:15 PDT 2006	
<input type="checkbox"/>		UCMerced	test	OPERATOR	SOFTWARE	moderate	Wed Sep 13 17:11:36 PDT 2006	
<input type="checkbox"/>		[LBNL-Test 211]	CPP_Zone_2	PGE_CPP_ZONE2	RELAY	normal	Fri Sep 29 11:38:48 PDT 2006	
<input type="checkbox"/>		chevron	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	Fri Nov 10 09:23:54 PST 2006	
<input type="checkbox"/>		demo	test	OPERATOR	SOFTWARE	moderate	Wed Oct 25 12:19:55 PDT 2006	
<input type="checkbox"/>		echelon	CPP_Zone_2	PGE_CPP_ZONE2	SOFTWARE	normal	Fri Nov 10 09:24:02 PST 2006	
<input type="checkbox"/>		annaan	test	OPERATOR	SOFTWARE	normal	Thu Nov 09 17:01:02 PST 2006	

**Figure 2. Demand Response Automation Server web interface**

The aforementioned overall project themes and lessons learned from previous years drove the need for the following categories of enhancements to the DRAS version 3.0 for 2006:

- Support for multiple additional operator types (e.g., operators from different utilities).

- Enhanced design and manufacturing process for hardware interface devices to each participating site with the production of the Client and Logic with Integrated Relay (CLIR) Box.<sup>6</sup>
- Enhanced reliability and reduced maintenance requirements.
- Continued attention to security and scalability.

LBNL gathered extensive feedback from utilities for the creation of new features for the DRAS in 2006. Requirements based on existing utility processes were implemented.

### **3.2. Auto-CPP Program Design**

#### **3.2.1. Program Requirements for Participation**

The basic requirements to participate in Auto-CPP were as follows:

- Participate in PG&E's voluntary Critical Peak Pricing program.
- Use an energy management control system (EMCS), energy information system (EIS), or similar end-use device with a hard contact relay.
- Have interval meter connected to PG&E's InterActII<sup>TM7</sup> energy use information system.
- Provide access to the Internet (connections from offices at the site). Having a web-enabled EMCS or EIS was preferred but not required.
- Select DR control strategies. Global zone temperature setpoint setup/setback, lighting reductions, or shutting off other non-critical loads are examples of such strategies. Each site's facilities staff considered these and other strategies that were best suited to their facility.
- Program or hardwire EMCS to curtail loads based on relay contact or XML signal. Simple program changes were to be conducted by staff or contractor.

In preparation for CPP days, the participating sites worked with LBNL on the following tasks:

- 1) **Sign Memorandum of Understanding (MOU).** The MOU is for mutual communication purposes. It allows the project team to ensure that each site understands the LBNL agreement for collaboration, and ensures the payment of the Participation award (see Appendix C).

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<sup>6</sup> A CLIR Box is an Internet gateway device designed, built, and provided to PG&E customers (where needed) to accept Auto-CPP event signals and transmit them to the customer's EMCS for this project.

<sup>7</sup> Energy Information System (EIS) is provided by PG&E and powered by Itron to archive/visualize 15-minute electric interval meter data for each account. PG&E customers who have over 200 kW installed can access the data via a web browser.

- 2) **Provide General Site Data.** LBNL requests energy use information about each site including facility size, use, HVAC equipment type, etc.
- 3) **Define Electric Data Collection Methods.** Most commercial sites have local databases that archive data from electric meters through PG&E InterAct™, EMCS or EIS. The MOU describes allowing access to data by LBNL project staff and the project DRISCO (if applicable).
- 4) **Define Shed Strategies.** Successful strategies that were used in the 2003, 2004 and 2005 tests included global temperature adjustment, duct static pressure decrease, variable frequency drive (VFD) limit, cooling valve limit, and reductions in lighting usage. The project team encouraged facilities management staff to design innovative shed strategies that were appropriate for their own site.
- 5) **Establish Connectivity.** Each site had to be outfitted to receive the LBNL-generated price signals (or the associated operational mode signals) with one of the three following methods:
  - **Client and Logic with Integrated Relay Box (CLIR Box)**
  - **Internet to EMCS or EIS Gateway** - If the site already had a gateway that connected the EMCS/EIS to the Internet then this method could be used. If the site could currently view its EMCS data using an Internet browser then such a gateway was likely installed. Additional information can be found at <http://drirc.lbl.gov/pubs/Connectivity.pdf>.
  - **ADAM Relay**<sup>8</sup> - LBNL supported the sites that continued to participate in 2006 that had installed ADAM Relays in 2005.
- 6) **Program Shed Strategies into EMCS.** Once a method of receiving the price signal was established, the EMCS could be programmed to facilitate the desired sheds upon a rise in price.
- 7) **Receive Price Signal.** During the CPP period (May 1<sup>st</sup> - October 31<sup>st</sup>), each participating site and LBNL received CPP notifications from PG&E. LBNL relayed PG&E's signal to participants to initiate shed events. During each shed event, each participating site automatically shed predetermined electric loads.

To receive notification of a CPP event, customers needed to have access to the Internet and an e-mail address. In addition, all customers needed to have an alphanumeric pager that was capable of receiving a text message sent via the Internet. PG&E notifies its customers by 3:00 p.m. on a day-ahead basis when a CPP day is to occur the next business day. A CPP event may be called only Monday through Friday, excluding holidays. CPP event days are ordinarily

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<sup>8</sup> A relay with Modbus Internet control available from Advantech, <http://www.advantech.com/products/>

determined based on day-ahead maximum temperature forecasts at specific locations within each of two designated PG&E zones. The two zones are Zone 1 (San Francisco and San Mateo Counties) and Zone 2 (all other areas PG&E serves). Figure 3 shows the price signal on a hypothetical CPP event day where between noon and 3 p.m. the customers are subject to moderate prices and between 3 p.m. and 6 p.m. to high prices. The figure also shows the normal Time of Use (TOU) prices.

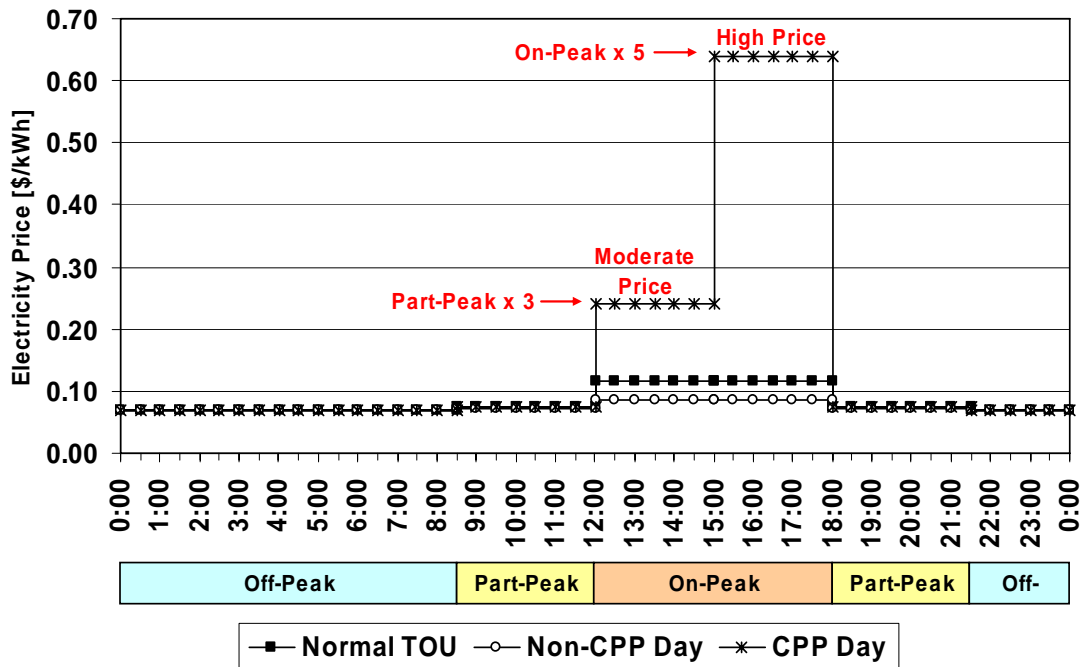


Figure 3. PG&E Critical Peak Pricing program tariff structure

- 8) **Document the Shed.** LBNL collected whole-building/facility electricity consumption data for each site in the pilot study. When available, LBNL also collected detailed data from an EMCS or other end-use meters to help understand the dynamics of the shed strategies.

### 3.2.2. Changes from the 2005 Study

With the communication infrastructure proven to work in 2005, the 2006 Auto-CPP study concentrated on recruiting different types of sites (including buildings in hot climates and industrial facilities), further developing the DR Automation Server (DRAS), and commercializing the pilot study. PG&E and LBNL planned the 2006 study to transition into a fully-automated DR program in 2007. The 2006 efforts included:

- Identifying new and different types of customers, such as sites in hot climates, manufacturing and other industrial facilities, and high-impact customers such as retail stores.

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- Working with PG&E's Technology Incentives (TI) program to cover the cost of automation and to find ways to use TI funds to provide customer incentives to participate in Auto-DR programs.
- Developing the DRAS further and adding new features, such as making it accessible to multiple users with variable authorization levels, and providing web access for each customer's facility to allow control depending on moderate- and high-price periods.
- Developing the DR Integration Systems Company (DRISCO) concept by defining tasks and qualifications as well as finding a company to build capabilities.
- Conducting an economic analysis of the customers' costs and savings based on an entire year of CPP participation.

### **3.2.3. Recruitment Process**

This section outlines the key steps used to select, educate, promote, and enroll pilot program participants. Methods used to inform potential customers about the Auto-DR program included:

- PG&E program mailings
- Discussions with customer account managers
- Outreach at meetings and conferences
- Contact with controls companies
- Contact with existing CPP and DBP (Demand Bidding Program) customers from PG&E participants list
- Audit programs
- Retro-commissioning activities
- Professional society outreach

LBNL presented plans and concepts for the research at numerous conferences and meetings. These meetings included:

- Pacific Energy Center (PEC) seminar: "Manual and Automated Demand Response and Critical Peak Pricing Strategies" (May 16, 2006, San Francisco)
- National Town Hall Meeting on DR (June 26 & 27, 2006, Berkeley)
- National Conference on Building Commissioning (May 2006, San Francisco)
- American Council for Energy Efficient Economy Summer Study on Energy Efficiency in Buildings (August 2006, Pacific Grove)
- California Energy Commission meetings
- Building Automation 2006 Conference (September 2006, San Diego)
- Pacific Industrial and Business Association Meeting (October 2006, Palo Alto)
- Silicon Valley Leadership Group Energy Forum (October 2006, Sunnyvale)

The individual steps for site recruitment in 2006 were similar to the previous year and are summarized in Appendix C. However, 2006 recruitment efforts concentrated on sites in hot climates and industrial facilities. First, PG&E provided LBNL with the most

current CPP participant list. This list was sorted by region and by maximum load. Second, sites that were contacted the previous year and/or sites known to have no automation were omitted from the list. Last, sites that might be interested in DR automation were identified after phone conversations with their account managers; they were then approached about participating. All the account managers were provided with materials explaining the Auto-CPP study. Sites interested in learning more were presented with findings from the previous year's study and a summary of benefits and incentives available for participants through conference calls, online presentations, and site visits.

The recruitment goal of 20-40 participants was not met primarily because of the time-consuming nature of the PG&E TI application process, and also due to the late start of the project and the vacation schedules of decision-makers during the summer months. However, a sufficient number of participants were recruited to allow a meaningful study.

#### **3.2.4. Demand Response System Integrator**

The 2006 Auto-CPP program developed and contracted with a third party company to assist with the project. This DR Integration Services Company, or DRISCO, was an engineering and controls firm selected to assist in the coordination of fieldwork to automate demand response at each facility. As automated DR and CPP scaled up and moved toward broader adoption by electric utilities and other private sector organizations, LBNL defined and assigned tasks formerly undertaken by LBNL to the third-party DRISCO. The DRISCO provided technical assistance to commercial building managers in their efforts to participate in the Auto-CPP program

LBNL produced on-line and printed materials that minimized the need for site visits by PG&E, LBNL, or the DRISCO. However, half of the new sites typically required site visits to assist in the installation. Ideally, in the future, the connectivity systems will be simple enough for existing staff to configure, since some of the sites may be as far north as Humboldt County and as far south as Santa Barbara County.

LBNL identified the selection criteria and task activities for the DRISCO (see Appendix A). C&C Building Automation<sup>9</sup> was the firm selected as the DRISCO. The tasks that the DRISCO undertook are included in Appendix A.

#### **3.2.5. DR Control Strategies**

The key contacts at each customer site were asked to develop two levels of demand response, one for the moderate-price period, and a second for the high-price period. This was recommended because responding to a six-hour event can be difficult using one strategy, especially an HVAC strategy. Section 4.2.3 further discusses this approach.

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<sup>9</sup> <http://www.ccbac.com/>

Most of the sites programmed their EMCS to reduce HVAC system electric loads, while some included lighting sheds. In general, the site staff made their own decisions regarding which DR control strategies to employ. LBNL and the DRISCO consulted with sites as needed to determine available DR strategy options.

LBNL developed a guide, *Introduction to Commercial Building Control Strategies and Techniques for Demand Response*, for DR control strategy installation based on case studies from previous Auto-DR research activities and other researchers to facilitate understanding of DR strategy installation among facility managers, building owners, controls contractors, and DR auditors (Moteqi et al., 2007).

One challenge of the 2006 Auto-CPP pilot was to streamline the DR strategy installation process and define a feasible business model without the assistance of a researcher. During the 2006 pilot, LBNL prepared materials and work flowcharts to transfer the technical coordination work to third party companies (see Appendix A). Another challenge of this pilot was to utilize incentives provided by the utilities to cover the cost of DR automation equipment installation. The following steps describe the procedure used to develop the TI application for Auto-DR control strategies; these activities should be supervised by a “Technical Coordinator<sup>10</sup> (TC)” or DRISCO. The procedure includes planning, installation, and implementation, and is designed to maximize demand savings while minimizing service level changes and impacts to occupants.

1. **Initial site inspection.** At the beginning of DR strategy planning, the TC collects all the necessary information on the site. These data include building type, floor area, HVAC and lighting system profiles, EMCS profiles, and historical electricity demand data.
2. **DR strategy sequence of operation.** Together the TC, facility managers, controls contractors, and other key personnel evaluate DR strategies to determine system capabilities, potential impact to occupants, potential demand savings, and other relevant factors. Each DR strategy needs to be evaluated and a detailed control sequence developed so that the controls contractors can understand exactly what is needed for EMCS programming and additional hardware installation.
3. **Demand savings potential estimation.** The TC makes a preliminary estimate of demand savings potential to estimate the benefits of participating in the DR program and to justify the project cost. While the estimation of demand savings from lighting DR strategies can be relatively simple, the demand savings from HVAC DR strategies are complicated by weather and other factors.
4. **Performance monitoring plan.** Along with the DR strategy sequence of operation, EMCS data collection methods should be developed by the facility

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<sup>10</sup> Technical Coordinator (TC) is a term proposed for use in the 2007 Auto-DR Program. Appendix A outlines the roles and responsibilities of the TC.

- management team for monitoring purposes. EMCS trend data are helpful to evaluate the execution of DR strategies.
5. **Proof-of-concept manual test.** It is recommended that the facility management team perform a manual DR strategy test. The TC should supervise the test and analyze the trend data after the test. If the demand savings from the DR strategies are weather-dependent, such a test should preferably be conducted on a warm day that can represent a DR event day (at least 85°F or higher). If operational problems or complaints occur even though the sequence of operation is successful, the strategies should be reconsidered. The test results should be compared with the preliminary demand savings potential estimate. If there is difficulty conducting both a demand savings estimate and a manual test, at least one should be performed (manual test is preferred). Obstacles to manual testing include seasonal weather conditions, concerns about distracting occupants without a real DR situation, and lack of sophisticated controls (e.g., hundreds of zone setpoints that cannot be changed simultaneously without automation).
  6. **DR strategy proposal.** Based on the DR strategy sequence of operation developed in the previous step, the controls contractor develops a project proposal for the client.
  7. **DR strategy installation.** When the facility manager accepts the project proposal, the controls contractor starts the EMCS programming and hardware installation as specified in the proposal.
  8. **Post-installation test.** When the DR strategy installation is completed, the facility manager tests the strategies to 1) confirm that the strategies work correctly as specified in the sequence of operation and 2) verify the demand savings potential as estimated in the calculation and the pre-installation test. Confirmation of correct operation is critical, and may be done on a cool day with a shorter duration than actual DR events. EMCS trend data should be collected during the test. After the test, the TC should check the EMCS data, especially for the modified parameters, to see if the controls change occurred as planned. If it did not occur, the EMCS programming should be revised.
  9. **Measurement and verification.** The TC should continue measurement and verification (M&V) efforts during the actual curtailment. If the post-installation test was conducted before the hot summer season, the reduction in service can be larger and the demand savings can be widely different during the real curtailment than in the test. The DR operation should be carefully reviewed, especially until the first or second curtailment is completed. The facility manager should modify the strategies to maximize demand savings while minimizing impact to occupants.

Completing all the steps above may take several months or more, depending on the effort required for coordinating the process among facility managers, controls

contractors, and upper management decision-makers. It is important to prepare DR strategies well in advance of the peak summer season.

### 3.3. Evaluation

#### 3.3.1. Peak Demand Baseline Models

##### Adjusted outside air temperature (OAT) regression model baseline

LBNL has developed several baseline models (e.g., OAT regression, morning adjustment, outside air temperature regression with morning adjustment) to estimate the demand savings from the DR strategies. For this study, the electricity consumption data for each site were collected from InterAct™. The actual metered electricity consumption was subtracted from the baseline-modeled consumption to derive an estimate of demand savings for each 15-minute period. Previous research recommended a weather-sensitive baseline model with adjustments for morning load variations (KEMA-XENERGY, 2003). Therefore, the LBNL adjusted OAT regression baseline model uses outside air temperature regression with a scalar adjustment for the morning load.

To develop the baseline electric loads for the demand savings, LBNL selected 10 “non-demand response” days. These 10 baseline days were non-weekend, non-holiday Monday through Friday work days.

In LBNL’s model, first the whole building power baseline is estimated using a regression model that assumes that whole building power is linearly correlated with OAT (Motegi et al. 2004). The source of the OAT data is described in the following section. Input data are 15-minute interval whole building electric demand and 15-minute interval or hourly OAT. The baseline is computed as:

$$Li = ai + bi Ti$$

where  $Li$  is the predicted 15-minute interval electric demand for time  $i$  from the previous non-CPP work days. Depending on the frequency of the available weather data,  $Ti$  is the hourly or 15-minute interval OAT at time  $i$ .  $ai$  and  $bi$  are estimated parameters generated within the model from a linear regression of the demand data for time  $i$ . Individual regression equations are developed for each 15-minute interval, resulting in 96 regressions for the entire day (24 hours/day, with four 15-minute periods per hour;  $i$  is from 0:00 to 23:45).

Second, the morning power load is used to adjust the regression model. The regression model is shifted up by the average difference between the actual demand and the predicted demand of the three-hour period immediately prior to the shed control. The adjusted load is computed as:

$$L'i = Li + P$$

$$P = \text{Average} (Li - Mi)$$

where  $L_i$  is the adjusted load for time  $i$ ,  $P$  is the calibration ratio, and  $M_i$  is the actual demand for time  $i$ . The three hours immediately prior to the shed control are used to calculate  $P$ .

The demand savings estimates for most of the buildings and Auto-CPP event days were based on this OAT regression baseline model with morning load shape adjustment. However, the pre-cooling sites used the OAT regression model without the morning load shape adjustment because morning adjustment for pre-cooling sites overestimates the afternoon loads.

If the model predicts a lower baseline than the actual demand at any given 15-minute period, it indicates negative demand savings. Negative demand savings are often found after a DR period as part of a “rebound” or recovery peak in which the HVAC system tries to bring the thermal zones back to normal conditions.

The evaluation included quantifying the demand savings (kW) at each site, calculated by subtracting the actual whole building power from its calculated baseline demand. It also included calculating the demand savings percentage, defined as the percentage of savings of whole building power, and estimating the demand-savings intensity (W/ft<sup>2</sup>) as the saved demand normalized by the building’s conditioned floor area.

### **CPP baseline**

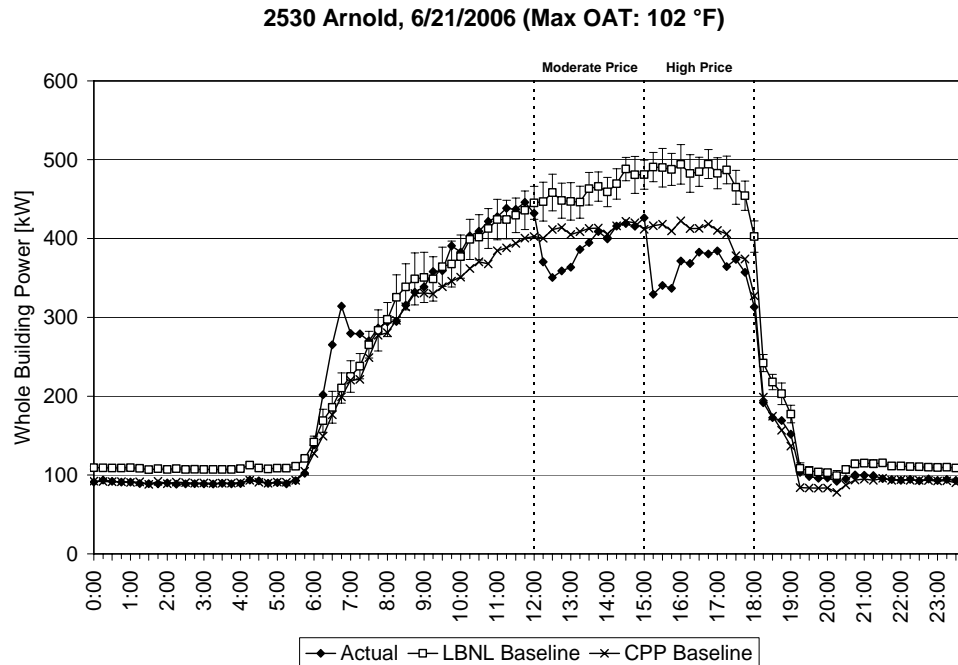
PG&E uses a CPP baseline for its CPP event evaluation. The CPP baseline is the average hourly load shape of the three highest consumption days in the last 10 work days (excluding holidays). The baseline algorithm considers the site electric consumption from noon to 6 p.m. when selecting the highest three days. CPP event days are excluded from the 10 reference days. The CPP baseline may be lower than the actual demand if the site’s demand is weather-sensitive, since a CPP day typically occurs on a day with higher outside temperatures. If the previous 10 working days were cooler than the CPP day, the baseline tends to be lower. Since the CPP tariff is based on price per kWh, the baseline calculation does not have any financial impact.

PG&E also develops their Demand Bidding Program (DBP) baseline using a similar procedure. The DBP baseline uses the site electric consumption from noon to 8 p.m. to select the highest three days from the last 10 work days.

For commercial buildings, the CPP baseline typically shows a lower estimate than the LBNL adjusted OAT regression baseline on CPP days. Generally, in northern California climates, high OAT days occur several days in row right after moderate OAT days. The CPP baseline can only use moderate OAT days from the previous 10 days and may underestimate the electric demand of high OAT days if the building demand is weather-sensitive.

As an example, Figure 4 shows the 2530 Arnold Street whole-building baseline time-series chart of the CPP event on June 21<sup>st</sup>, 2006. The chart shows the actual whole building power plus the LBNL adjusted OAT regression baseline and the CPP baseline. Recall that these baselines estimate what the whole-building power would be if the

demand response had not occurred. The vertical line at each baseline power data point is the standard error of the regression estimate. The vertical lines at noon, 3 p.m., and 6 p.m. indicate times of price changes.



**Figure 4. Example of OAT regression and CPP baselines and actual building data**

### 3.3.2. Data Collection

LBNL collected data to evaluate the demand savings and changes in building systems and conditions. For all participating sites, LBNL collected whole building 15-minute-interval power data. A minimum of 10 days of data prior to each CPP event was required to develop a baseline model. LBNL also collected HVAC, controls, communications, energy, and other building-related time-series data relevant to each DR strategy. Section 4.2.2 describes the data collection methods. Additional information about the effectiveness of the DR strategies and issues that arose from the DR implementation was obtained by interviewing the responsible building engineer after each Auto-CPP event. Appendix H documents the raw data obtained from the post-event surveys.

#### Outside air temperature (OAT) data

LBNL gathered OAT data for each site to develop the OAT regression baseline model. The following data sources were used:

- **NOAA (National Oceanic & Atmospheric Administration):** InterAct™ has a real-time subscription for NOAA hourly local temperature and dew point data. Most of these data are from weather stations at nearby airports. While useful when the weather stations are near the site being evaluated, the online weather data archives can be problematic when the weather stations are not close to the

site. This is especially true in the San Francisco Bay Area, where microclimates vary significantly – even within a single city. Hence, LBNL used other sources to supplement the NOAA data.

- **CIMIS (California Irrigation Management Information System):** CIMIS provides hourly weather data via website (<http://wwwcimis.water.ca.gov>). Currently CIMIS has approximately 200 weather stations in California.
- **Building weather station:** Some buildings have an on-site weather station and the weather data may be monitored by the building's EMCS. This can be the most accurate data source if the sensors are properly calibrated. However, the data must be carefully examined, as many weather stations were poorly commissioned. Also, correction must be done where the OAT temperature data is the outside air intake temperature at an AHU rather than the true OAT. At one site, the 50 Douglas building, PowerLight (the solar electric system provider)<sup>11</sup> provides 15-minute interval on-site weather data via the Internet collected at a weather station on the building rooftop.

### 3.3.3. Participation Success

Each Auto-CPP event was reviewed for problems that might have occurred in the control and communication systems. Six milestones had to be met – from the DRAS to the end-use control strategy -- for the system to work properly. (The participation record of each site is summarized in Table 7 in Section 4.3.1.)

1. **Readiness:** The system was configured and ready to be tested by the research team.
2. **Approval:** The customer approved demand responsive load control. If approval was not granted, the site opts out from the event (designated “Opt out” in later analysis).
3. **Price client to DR automation server communication:** The price client successfully obtained the correct electricity prices from the DRAS (Figure 1 between ② and ③). Failure to pass this milestone was generally caused by the price client server being down or overloaded.
4. **Internet gateway or relay communication:** The communication was successful between the computer containing the price client and associated logic software and the Internet gateway or Internet relay located at each site (Figure 1 between ③ and ④). Failure to pass this milestone was generally caused by: a) blockages of the Internet-based command signals due to firewalls, disconnection or network reconfiguration or b) failures in the Internet gateway or Internet relay devices.

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<sup>11</sup> <http://www.powerlight.com/>

5. **Control of equipment:** Target equipment was controlled as planned. Target equipment included HVAC equipment, lighting, and other equipment. Failure to pass this milestone was generally caused by: a) HVAC equipment not responding to command signals over the EMCS network or b) the relay being physically disconnected from the control panel.
6. **Effectiveness:** To pass this milestone, the planned demand response strategy must have been proven to effectively reduce electric demand. Effectiveness was tested by comparing the average power (kW) savings during the test to the average standard error of the regression model. The demand response strategy was considered effective if in either or both of the moderate-price or the high-price periods the average power savings over the 3-hour period were larger than the standard errors in the baseline model.

### 3.3.4. Economic Analyses and Surveys

The 2006 Auto-CPP study provided a new opportunity to evaluate CPP economics since 13 Auto-CPP sites participated in a full CPP season. Analysis of the electricity bills revealed the following:

- **CPP charges** occurred during moderate-and high-price periods on a CPP event day. When there were many CPP events in one billing period, CPP charges tended to be high for that billing period.
- **CPP credits** were collected by the facilities on non-CPP days for their kWh usage. In billing periods with high number of CPP events, CPP credits tended to be lower because there were not as many days to collect credits.
- **Demand charges** are the costs associated with maintaining sufficient electrical distribution facilities at all times to meet each customer's highest demand for energy. LBNL checked that none of the sites incurred demand charges during the DR recovery period.

The utility bills were analyzed to extract the following information:

- **Overall change in energy costs** during the CPP season. This change compared the credits earned on non-CPP days with the charges accrued on CPP days. This information determined if the customers saved money under CPP.
- **Estimated change in energy costs without DR.** This information represented the potential May through October electricity costs during the CPP season without the DR shed strategies. LBNL estimated whether customer costs would have increased or decreased.
- **Impact of seven CPP days in one monthly billing period.** The heat storm of 2006 caused the CPP events to be grouped in one billing period. LBNL evaluated the percentage change in the monthly costs to examine how large an impact the CPP tariff had on monthly cost variation.

Section 4 presents results of the economic analyses. LBNL developed a survey for acquiring facility characteristic data to evaluate whether a site was a good candidate for

Auto-DR. This “Site Survey” is further described below. A second survey, the “Post-Event Survey,” was used to evaluate any problems, comfort issues, or other information the sites wanted to report. A third survey, the “Cost of Automation Survey,” was used for sites that did not go through the TI process. The Site Survey and Post-Event Survey forms are found in Appendix C and the Post-Event Survey results are presented in Appendix H. All of these surveys are available at LBNL’s DR download website.<sup>12</sup>

### **Site Survey**

This detailed survey collected the following information from each site that participated in the pilot study. Key data collection fields included:

- Site contact information
- Building information
- Electric demand levels
- HVAC system specifications
- Cooling and heating plant equipment
- Domestic hot water system specifications
- Lighting system information
- Process and other equipment loads

### **Post-Event Survey**

After each CPP event, each site was reminded to fill out the post-event survey. This survey collected the perceptions of the facility operator about the automated CPP day. Questions asked were:

- Was the operator on-site and watching the event?
- Did s/he notice a change?
- Were there any operational issues?
- Did the occupants notice any difference?
- Were there any complaints?

Table 1 shows the sites and the surveys they completed. “No Zone 1” means the event took place in Zone 2 and not Zone 1. “Not Ready” means that the site’s automation was not completed prior to the event.

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<sup>12</sup> Site Survey: <http://www.surveymonkey.com/s.asp?u=868801590056>

Cost of Automation Survey: Available at <http://www.surveymonkey.com/s.asp?u=790671962171>

Post-Event Survey: Available at <http://www.surveymonkey.com/s.asp?u=446391966685>

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**Table 1. Post-event surveys**

	21-Jun	22-Jun	23-Jun	26-Jun	17-Jul	18-Jul	20-Jul	21-Jul	24-Jul	25-Jul	26-Jul
ACWD	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done	
Office/Data Center	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done
Chabot	Done	Done	Done	Done	Done	Done	Done	Done	N/A	N/A	Done
50 Douglas	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done
2530 Arnold	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done
MDF	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done	Done
Echelon	Done	Done	Done	Done	Done	Done	Done	Done	Done		
Irvington					Done	Done	Done	Done	Done	Done	Done
Gilead 300	No Zone 1	No Zone 1	Done	No Zone 1			No Zone 1			No Zone 1	No Zone 1
Gilead 342	No Zone 1	No Zone 1	Done	No Zone 1			No Zone 1			No Zone 1	No Zone 1
Gilead 357	No Zone 1	No Zone 1	Done	No Zone 1			No Zone 1			No Zone 1	No Zone 1
IKEA Palo Alto	No Zone 1	No Zone 1	Done	No Zone 1	Done	Done	No Zone 1	Done	Done	No Zone 1	No Zone 1
Oracke Rocklin											
Solectron	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready
Svenhard's	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready	Not ready
Target Hayward	Done	Done									

	9-Aug	31-Aug	1-Sep	22-Sep
ACWD	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Office/Data Center	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Chabot	No Zone 2	No Zone 2	No Zone 2	No Zone 2
50 Douglas	No Zone 2	No Zone 2	No Zone 2	No Zone 2
2530 Arnold	No Zone 2	No Zone 2	No Zone 2	No Zone 2
MDF	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Echelon	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Irvington	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Gilead 300	Done			
Gilead 342	Done			
Gilead 357	Done			
IKEA Palo Alto	Done	Done	Done	Done
Oracke Rocklin	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Solectron	Not ready	Not ready	Not ready	Not ready
Svenhard's	Not ready	Not ready	Not ready	Not ready
Target Hayward	No Zone 2	No Zone 2	No Zone 2	No Zone 2
USPS	Not ready	Not ready	Not ready	Not ready

### Cost of Automation Survey

The purpose of this survey was to collect data to estimate the total cost of automating the DR shed strategies selected by each site. Breakdowns of costs by key categories such as hourly labor and total completion time were collected for hardware installation, software programming, EMCS programming and EMCS trend setup. No new data were added in 2006, but some results from the 2005 Cost of Automation survey are presented in Section 4.

Initial costs required for the Auto-CPP setup were collected and compared against the demand savings. For participant sites that continued Auto-CPP from previous years, the costs required for the initial setup in the previous year were collected. Table 2 shows these costs broken down by category. Many of the early participant sites set up their Auto-DR using their own labor or under their existing control contracts. Therefore, it was hard to capture their exact initial setup costs.

From the data collected through this survey, the cost of automation was estimated to be \$3,000 to \$5,000 for each site.

During the 2006 demonstration LBNL began applying for and using PG&E's DR Technology Incentive (TI) applications to recover the Auto-CPP setup costs. The TI application requires a detailed cost estimate. The initial cost data for the new Auto-CPP sites that went through the TI process are summarized in section 4.5, Table 14.

**Table 2. Auto-DR cost categories**

<b>Costs Category</b>	<b>Persons in Charge</b>	<b>Description/Notes</b>
EMCS programming	Controls contractors or in-house personnel	EMCS programming to set up DR control strategies
EMCS trend setup	Controls contractors or in-house personnel	Setup data trending for EMCS.
Software client programming	Software programmers or in-house personnel	Only for software client sites
Hardware procurement	Control contractors or in-house personnel	Additional hardware purchase including CLIR box
Hardware installation	Control contractors or in-house personnel	Relay, CLIR box, or other hardware installation and additional wiring work
Project administration	Facility managers	Facility managers' time for meetings and coordination

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### 4. Results

This section outlines the key results from the 2006 Auto-CPP tests. The discussion contains a review of participant characteristics, summary of demand savings, cost analysis results, and discussion of the baselines.

#### 4.1. Site Profiles

This section describes the 24 sites that participated in the Auto-CPP pilot during 2006. Fourteen sites were continuing sites from the 2005 demonstration and 10 sites were new in 2006. Table 3 lists the site name, location, CPP zone, building use, floor space, and peak electric demand in summer 2006. The participant buildings include 12 office buildings, seven retail stores, two schools, an electronics manufacturer, a museum, a bakery, and a detention facility. Some office buildings contain laboratories or data centers.

**Table 3. Summary of site information**

Site Name	Short Name	Location	CPP Zone	Building Use	# of Bldg	Floor Space		Peak Load kW
						Total	Conditioned	
Alameda County Wat	ACWD	Fremont	2	Office, lab	1	51,200	51,200	348
Bank of America, Cor	Office/Data Center	Concord	2	Office, data center	4	616,000	708,000	5712
Chabot Space and Sci	Chabot	Oakland	2	Museum	2	86,000	86,000	336
Contra Costa County	2530 Arnold	Martinez	2	Office	1	131,000	131,000	536
Contra Costa County	50 Douglas	Martinez	2	Office	1	90,000	90,000	459
	MDF	Martinez	2	Detention Facility	1	172,300	172,300	561
Echelon, San Jose Hea	Echelon	San Jose	2	Hi-tech office	1	75,000	75,000	523
Fremont Unified Scho	Centerville	Fremont	2	Junior High school	1	NA	NA	332
Fremont Unified Scho	Irvington	Fremont	2	High school	1	186,000	186,000	446
Gilead Science, 300 La	Gilead 300	Foster City	1	Office	1	83,000	83,000	288
Gilead Science, 342 La	Gilead 342	Foster City	1	Office, Lab	1	32,000	32,000	495
Gilead Science, 357 La	Gilead 357	Foster City	1	Office, Lab	1	33,000	33,000	662
IKEA, East Palo Alto	IKEA EPaloAlto	East Palo Alto	1	Furniture retail	1	300,000	300,000	1191
IKEA, Emeryville	IKEA Emeryville	Emeryville	2	Furniture retail	1	274,000	274,000	1466
Oracle Corporation, R	Oracle Rocklin	Rocklin	2	Office	2	100,000	100,000	808
Solectron, Corporate	Solectron	Milpitas	2	Office, Manufacture	9	499,206	499,206	4655
Svenhard's Swedish B	Svenhard's	Oakland	2	Bakery	1	101,000	101,000	696
Sybase, Corporate He	Sybase	Pleasanton	2	Hi-tech office	2	425,000	425,000	1995
Target, Hayward Stor	Target Hayward	Hayward	2	Retail	1	130,000	130,000	449
Target, Antioch Store	Target Antioch	Antioch	2	Retail	1	140,686	140,686	572
Target, Bakersfield St	Target Bakersfield	Bakersfield	2	Retail	1	143,941	143,941	645
<b>Total</b>					34	3,384,706	3,476,706	21,958

Of the 24 sites, 10 sites (Centerville, IKEA Emeryville and West Sacramento, Safeway Stockton, Sybase, Svenhard's, Sybase, Target Antioch and Bakersfield, and Walmart Fresno) did not participate in the 2006 Auto-CPP events due to late completion of their system setup. Irvington was excluded from the demand savings analysis because the school was on summer vacation and the HVAC system was not active on the CPP event days even though the automation was fully functional.

## 4.2. Auto-CPP System Profiles

### 4.2.1. Auto-CPP Communications

Table 4 summarizes the connectivity options used by the sites. Of the 24 participant sites, five sites chose to program their own polling software client. Echelon and Target Hayward had been utilizing the software client since 2005 and used the same client in 2006 without any modification. The new Target sites (Antioch and Bakersfield) shared a newly-developed software client for their 2006 participation. Although all three Target sites were controlled remotely from a central location, separate software clients were required because the control systems were different at Hayward from those at the other two sites. Walmart also used a software client in their network control system.

Eight sites used CLIR boxes to communicate with the DRAS. Two sites (Irvington and Oracle Rocklin) had been the initial demonstration sites of the CLIR box at the end of the 2005 demonstration. The remaining six sites were new participants in 2006 and installed CLIR boxes on site.

Eleven sites used an Internet relay to communicate with the DRAS. Of the 11 sites, nine were continuing participants from 2005. Gilead used one relay to control all three buildings. Previously Contra Costa County Buildings (2530 Arnold and 50 Douglas) had individual relays at two sites; in 2006 the network control system was upgraded and both sites were remotely controlled from one relay. MDF newly participated in 2006 and hooked up directly to the remote control system. Although they made modifications in their controls communication system, MDF preferred to use an Internet relay because they were accustomed to using the device and they had a sophisticated firewall system to eliminate risk. Svenhard's also chose the Internet relay option because they had to install the communication device directly on their pan washer, which was located in a hot and humid environment; LBNL was concerned that the CLIR box might not be suitable to such an environment. Svenhard's also had no problem using Internet relay since they had a sophisticated firewall system.

Based on the communication technology adopted by the sites, the price client locations were then distributed. While some were on-site, some were at a central management facility outside of California, and some were located in the co-location facility ("Co-Lo" in the table) where the DRAS resides.

**Table 4. Auto-CPP communication profiles by site**

Site	Communication Method	Device	Price Client Host	Price Client Host Location	Price Client Hosted at Co-Lo
ACWD	Relay at site	ADAM6060	DRAS	DRAS Co-Lo	Yes
Office/Data Center	Relay at site	ADAM6060	DRAS	DRAS Co-Lo	Yes
Chabot	Relay at site	ADAM6060	DRAS	DRAS Co-Lo	Yes
2530 Arnold	Relay w/WAN	ADAM6060	DRAS	DRAS Co-Lo	Yes
50 Douglas	Relay w/WAN	ADAM6060	DRAS	DRAS Co-Lo	Yes
Echelon	Software client	i.LON	Kenmark	San Francisco, CA	No
Centerville	CLIR	CLIR	CLIR	Fremont, CA	No
Irvington	CLIR	CLIR	CLIR	DRAS Co-Lo	Yes
Gilead 300	Relay w/WAN	ADAM6060	DRAS	DRAS Co-Lo	Yes
Gilead 342	Relay w/WAN	ADAM6060	DRAS	DRAS Co-Lo	Yes
Gilead 357	Relay w/WAN	ADAM6060	DRAS	DRAS Co-Lo	Yes
IKEA EPaloAlto	Relay at site	ADAM6060	DRAS	DRAS Co-Lo	Yes
IKEA Emeryville	CLIR	CLIR	DRAS	DRAS Co-Lo	Yes
Oracle Rocklin	CLIR	CLIR	CLIR	Rocklin, CA	No
Safeway Stockton	CLIR	CLIR	CLIR	Onsite	No
Solectron	CLIR	CLIR	CLIR	Milpitas, CA	No
Svenhard's	Relay at site	ADAM6060	DRAS	DRAS Co-Lo	Yes
Target Hayward	Software client	Canon Technologies	Target	Minesota	Yes
Target Antioch	Software client	Automated Logic	Target	Minesota	Yes
Target Bakersfield	Software client	Automated Logic	Target	Minesota	Yes
Walmart	Software client	EnergyICT	EnergyICT	Belgium	No

#### 4.2.2. Site Data Collection

Table 5 lists the OAT data source used for each Auto-CPP participant site to develop the adjusted OAT regression baseline. The majority of the participant sites used NOAA data, while three sites used CIMIS data due to lack of nearby NOAA weather station locations. The distance between the location of the building and the weather station is listed in Table 5 to indicate how representative the data source was for the facility. All facilities were within 15 miles of a weather station. 50 Douglas and MDF used OAT data measured at weather stations installed on site by their photovoltaic system vendor for more accuracy.

EMCS data were collected and analyzed at ACWD, Echelon, Gilead, IKEA East Palo Alto and Target Hayward. Detailed analysis of the EMCS data is presented in Appendix D.

**Table 5. Outside air temperature source by site**

Site	City	OAT Data Source	Weather Station Location	Distance from Weather Station
<b>ACWD</b>	Fremont	NOAA	Hayward Airport	15 miles
<b>Office/Data Center</b>	Concord	NOAA	Buchanan Field	2 miles
<b>Chabot</b>	Oakland	CIMIS	Oakland Foothills	2 miles
<b>2530 Arnold</b>	Martinez	NOAA	Buchanan Field	1 miles
<b>50 Douglas</b>	Martinez	PowerLight	50 Douglas (Martinez)	0 miles
<b>MDF</b>	Martinez	PowerLight	MDF (Martinez)	0 miles
<b>Echelon</b>	San Jose	NOAA	San Jose Airport	3 miles
<b>Centerville</b>	Fremont	NOAA	Hayward Airport	9 miles
<b>Irvington</b>	Fremont	NOAA	Hayward Airport	15 miles
<b>Gilead 300</b>	Foster City	NOAA	San Francisco Airport	6 miles
<b>Gilead 342</b>	Foster City	NOAA	San Francisco Airport	6 miles
<b>Gilead 357</b>	Foster City	NOAA	San Francisco Airport	6 miles
<b>IKEA EPaloAlto</b>	East Palo Alto	NOAA	Palo Alto Airport	1 miles
<b>IKEA Emeryville</b>	Emeryville	NOAA	Metro Oakland Airport	6 miles
<b>IKEA West Sac.</b>	West Sacramento	NOAA	Sacramento Airport	8 miles
<b>Oracle Rocklin</b>	Rocklin	CIMIS	Fair Oaks	10 miles
<b>Safeway Stockton</b>	Stockton	NOAA	Stockton Metro Airport	6 miles
<b>Soletron</b>	Milpitas	NOAA	San Jose Airport	4 miles
<b>Svenhard's</b>	Oakland	NOAA	Oakland Metro Airport	7 miles
<b>Sybase</b>	Dublin	CIMIS	Pleasanton	1 miles
<b>Target Antioch</b>	Antioch	NOAA	Buchanan Field	8 miles
<b>Target Bakersfield</b>	Bakersfield	NOAA	Meadow Field	4 miles
<b>Target Hayward</b>	Hayward	NOAA	Hayward Airport	5 miles
<b>Walmart Fresno</b>	Fresno	NOAA	Fresno Airport	2 miles

#### 4.2.3. DR Strategies at Each Site

Table 6 lists the demand response control strategies by major categories (HVAC, lighting, and other) for each building. Nineteen of the 24 buildings used a global temperature adjustment strategy. Throughout previous studies, global temperature adjustment was found to be effective and one of the least disruptive strategies. In general, DR strategies that curtail demand for both air distribution and cooling components produce higher demand savings than strategies that curtail only air distribution (Motegi et al., 2007). (For an explanation of the strategies listed in Table 6, see that report.)

Six buildings implemented lighting control strategies. Most other buildings were unable to control lighting due to lack of interface between the lighting control panel and the EMCS. Four buildings (Chabot, Centerville, Irvington, and Svenhard's) used demand-shifting strategies. Chabot, Centerville, and Irvington chose pre-cooling. Comments on these sites are as follows:

- **Chabot Space and Science Museum** had highly irregular load profiles that complicated the baseline development and the demand savings analysis for the pre-cooling strategies (Xu et al., 2006).
- **Centerville High School** completed the Auto-CPP controls setup after the 2006 CPP season, so it did not have results for this study.

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- **Irvington High School** had no DR results because it was closed for summer vacation during the CPP event days.
- **Svenhard's Bakery** disabled an oven-pan washer during the CPP highest price period and washed the pans after the event was over. This type of industrial process demand shifting can be applied to various non-critical industrial processes by shifting certain activities without affecting the entire process. Svenhard's completed the Auto-CPP controls setup after the last CPP day in Zone 2 and were unable to participate in Auto-CPP events in 2006.

**Table 6. Summary of demand response strategies**

	Building use	HVAC										Light			Other equipment							
		Global temp. adjustment	Duct static pres. Increase	SAT Increase	Fan VFD limit	CHW temp. Increase	Fan qty. reduction	Pre-cooling	Cooling valve limit	Chiller demand limit	Chiller qty. reduction	Slow recovery	Extended shed period	Turn off lights	Dimmable ballasts	Bi-level switching	Anti-sweat heater shed	Fountain pump off	Non-critical process shed	Elevator cycling	Shut off cold storage	W water pump peak shift
ACWD	Office, lab	X	X	X		X			X				X									
Office/Data Center	Office, data center		X	X	X	X			X													
Chabot	Museum	X						X														
2530 Arnold	Office	X										X										
50 Douglas	Office	X									X											
MDF	Detention facility	X																				
Echelon	Hi-tech office	X	X	X			X							X	X							
Gilead 300	Office			X																		
Gilead 342	Office, Lab	X		X																		
Gilead 357	Office, Lab	X		X																		
Irvington	High school	X						X														
Centerville	Junior High school	X						X														
IKEA Emeryville	Furniture retail	X																				
IKEA EPaloAlto	Furniture retail	X																				
Oracle Rocklin	Office	X	X																			
Safeway Stockton	Supermarket														X							
Solectron	Office, Manufacture	X											X									
Sybase	Hi-tech office												X									
Svenhard's	Bakery																		X			
Target Antioch	Retail	X					X															
Target Bakersfield	Retail	X					X															
Target Hayward	Retail	X					X								X							

SAT: Supply Air Temperature, VFD: Variable Frequency Drive, CHW: Chilled Water

### 4.3. Automation of Events

This project successfully demonstrated that automated DR is technically feasible with existing technology and that buildings can provide significant levels of automated DR within existing CPP programs. This section discusses the key results from the buildings that participated in the Auto-CPP pilot program. Starting with a summary overview of each site's participation in the Auto-CPP process and events, summary results for representative CPP events are discussed. See Appendix D for further information and detailed event results for each site.

#### 4.3.1. Participation Summary

The CPP program period started on May 1<sup>st</sup> and continued until October 31<sup>st</sup> 2006, and could call a maximum of 12 CPP events per zone for the year. Nine events were called in Zone 1 and 11 events were called in Zone 2. Table 7 lists the Auto-CPP event dates and summarizes each site's participation success level (succeeded, not ready, opt out, failed, not visible, or no data) for each event. The participation success milestones used are outlined in the evaluation method in Section 3.3.3 and the terms above are defined below the table. The average maximum OAT is also listed for each day, calculated as:

$$\text{Average Max OAT} = \left( \sum_{i=1}^{i=N} T \right) / N, \text{ where}$$

T = Max OAT at site, N = # of participating sites

This OAT value is different from Zone 2's average OAT, which PG&E calculates and uses to trigger a CPP event.

Five events were called simultaneously for both Zone 1 and Zone 2 during the 2006 CPP program period.

Note that nine sites (Centerville, IKEA Emeryville and West Sacramento, Safeway Stockton, Solectron, Sybase, Target Antioch and Bakersfield, and Walmart Fresno) were not included in the demand savings analysis due to their late completion of the Auto-CPP setup. Of these, Centerville, Svenhard's, and Target Antioch and Bakersfield completed their Auto-CPP system setup before the end of the CPP period, and a mock CPP event was conducted for these four sites on October 20<sup>th</sup>. The results from this mock test are described in Appendix D. Office/Data Center was not included in the demand savings analysis of July 17<sup>th</sup>, July 21<sup>st</sup>, and July 24<sup>th</sup> due to missing data from InterAct™.

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**Table 7. Summary of event participation**

Event Date	Jun-21	Jun-22	Jun-23	Jun-26	Jul-17	Jul-18	Jul-20	Jul-21
Max of Average OAT	97 °F	100 °F	88 °F	87 °F	0 °F	0 °F	0 °F	0 °F
ACWD	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
Office/Data Center	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
Chabot	Not visible	Not visible	Not visible	Closed	Closed	Closed	Succeeded	Succeeded
2530 Arnold	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
50 Douglas	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
MDF	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
Echelon	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
Irvington	Closed	Closed	Closed	Closed	Closed	Closed	Closed	Closed
Gilead 300	No Zone 1	No Zone 1	Succeeded	No Zone 1	Succeeded	Succeeded	No Zone 1	Succeeded
Gilead 342	No Zone 1	No Zone 1	Succeeded	No Zone 1	Succeeded	Succeeded	No Zone 1	Succeeded
Gilead 357	No Zone 1	No Zone 1	Succeeded	No Zone 1	Succeeded	Succeeded	No Zone 1	Succeeded
IKEA EPaloAlto	No Zone 1	No Zone 1	Succeeded	No Zone 1	Succeeded	Succeeded	No Zone 1	Succeeded
Oracle Rocklin	Succeeded	Succeeded	Succeeded	Not visible	Succeeded	Succeeded	Succeeded	Succeeded
Target Hayward	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded	Succeeded
Svenhard's	Manual	Manual	Not ready	Manual	Not ready	Not ready	Not ready	Not ready

Event Date	Jul-24	Jul-25	Jul-26	Aug-09	Aug-31	Sep-01	Sep-22
Max of Average OAT	0 °F	0 °F	0 °F	0 °F	0 °F	0 °F	0 °F
ACWD	Succeeded	Succeeded	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Office/Data Center	Succeeded	Succeeded	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Chabot	Closed	Closed	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
2530 Arnold	Succeeded	Succeeded	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
50 Douglas	Succeeded	Succeeded	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
MDF	Succeeded	Succeeded	Succeeded	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Echelon	Succeeded	Succeeded	0	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Irvington	Closed	Closed	0	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Gilead 300	Succeeded	No Zone 1	No Zone 1	0	0	0	0
Gilead 342	Succeeded	No Zone 1	No Zone 1	0	0	0	0
Gilead 357	Succeeded	No Zone 1	No Zone 1	0	0	0	0
IKEA EPaloAlto	Succeeded	No Zone 1	No Zone 1	0	0	0	0
Oracle Rocklin	Succeeded	Succeeded	0	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Target Hayward	Succeeded	Succeeded	0	No Zone 2	No Zone 2	No Zone 2	No Zone 2
Svenhard's	Not ready	Not ready	0	No Zone 2	No Zone 2	No Zone 2	No Zone 2

Succeeded:	The shed control was operated successfully.
Not ready:	The Auto-CPP system was not completed before the start of CPP period (Milestone #1).
Opt out:	The site decided to opt out although the system was ready (#2).
Failed (1):	Communication failure between ADRS and price client (#3).
Failed (2):	Communication failure between the price client and relay device (#4).
Failed (3):	Communication failure between the relay device and control panel, or other control malfunction (#5).
Not visible:	The shed kW was too small to identify (#6).
No data:	Participation in the event was confirmed, but whole building power data are missing on InterAct II.

#### **4.4. Demand Savings**

This section describes the results of the demand reduction achieved in the 2006 Auto-CPP program. Throughout this report the demand savings were based on LBNL's adjusted OAT regression baseline model unless otherwise noted. Savings estimates based on the CPP baseline are also shown. This section begins with a review of the aggregated demand response results of five CPP events. This is followed by a summary of the individual demand savings at each site for all CPP events.

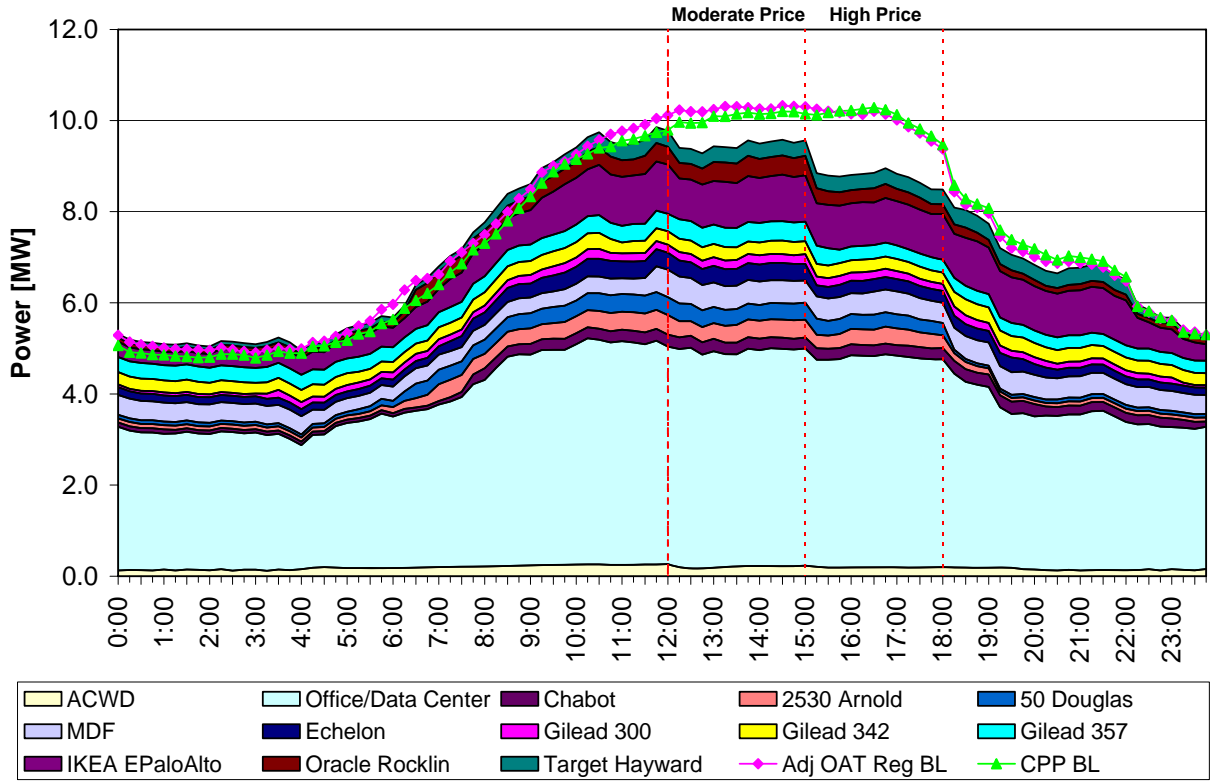
##### **4.4.1. Aggregated Results by Event**

This section discusses 5 of the fifteen 2006 CPP event days (June 23<sup>rd</sup>, June 26<sup>th</sup>, July 17<sup>th</sup>, July 18<sup>th</sup>, and July 24<sup>th</sup>). These provide examples that compare results for the OAT regression and CPP baselines at different outside air temperatures. Results for all other CPP events are presented in Appendix F.

##### **June 23<sup>rd</sup>, 2006**

The average maximum OAT on June 23<sup>rd</sup> was 84°F (71°F for Zone 1 and 89°F for Zone 2). Figure 5 shows the aggregated demand savings for 13 sites. The three-hour demand savings during the high-price period (3 p.m. to 6 p.m.) was 960 kW (10% of aggregated demand). This section focuses on the high-price period results because some of the sites responded only in this second three-hour period. In those cases the CPP and LBNL's Adjusted OAT regression baseline were nearly identical. This occurred because of the relatively mild weather on this date; there was a dramatic change in the later examples. Note also that the shape of the shed was clear, with two levels of demand response clearly identified among the 13 sites. The first level shows the shed from noon to 3 p.m. The second level shows larger savings in the 3 p.m. to 6 p.m. period.

**Aggregated Demand, 6/23/2006 (OAT: 84 °F) - Zone 1&2, 13 sites**



**Figure 5. Aggregated demand savings, June 23<sup>rd</sup>, 2006**

The thirteen sites delivered 748 kW (7% of aggregated demand) during the moderate-price period and 1172 kW (12%) during the high-price period. The largest savings were provided by the Office/Data Center site, which reduced electric loads by 388 kW during the high-price period. Five sites provided over 100 kW each. One site (Chabot) had negative savings during both periods, which was related to the complex baseline at this museum. The average demand reduction at the sites was 0.6 W/ft<sup>2</sup> during the high-price period. Table 8 shows demand savings from each site during the event on June 23<sup>rd</sup>. The results were calculated as:

- Individual site results

$$\circ \text{ Avg kW saved per event} = \frac{\sum_{h=DR.start.time}^{h=DR.end.time} (Baseline.demand - Actual.demand)}{DR.event.duration}$$

$$\circ \text{ Avg\% saved per event} = \frac{\sum_{h=DR.start.time}^{h=DR.end.time} (Baseline.demand - Actual.demand)}{\sum_{h=DR.start.time}^{h=DR.end.time} Baseline.demand}$$

$$\circ \text{ AvgW/ft}^2 \text{ saved per event} = \text{Avg kW saved per event} / \text{Building area}$$

- Aggregated results

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- *Aggregated avg kW saved per event* =  $\sum_{i=1}^{i=N} \text{AvgkW}.\text{saved}.\text{per}.\text{event}$   
(N = number of sites)
- *Aggregated avg% saved per event* =  $\frac{\sum_{i=1}^{i=N} (\text{Baseline.kW} - \text{Actual.kW})}{\sum_{i=1}^{i=N} \text{Baseline.kW}}$
- *Aggregated avg W/ft<sup>2</sup> saved per event* =  $\frac{\sum_{i=1}^{i=N} \text{AvgkW}.\text{saved}.\text{per}.\text{event}}{\sum_{i=1}^{i=N} \text{Building}.\text{area}}$

**Table 8. Summary of demand savings, June 23<sup>rd</sup>, 2006**

	Average kW		Average %		Average W/ft <sup>2</sup>	
	Moderate	High	Moderate	High	Moderate	High
<b>ACWD</b>	77	95	27%	33%	1.51	1.85
<b>Chabot</b>	-36	-43	-17%	-22%	-0.42	-0.50
<b>2530 Arnold</b>	78	113	17%	25%	0.59	0.86
<b>50 Douglas</b>	59	92	14%	23%	0.66	1.02
<b>MDF</b>	-75	1	-17%	0%	-0.44	0.01
<b>Echelon</b>	29	118	7%	31%	0.38	1.57
<b>Gilead 300</b>	19	16	9%	8%	0.23	0.19
<b>Gilead 342</b>	62	77	18%	22%	1.94	2.39
<b>Gilead 357</b>	-14	44	-3%	12%	-0.42	1.32
<b>IKEA EPaloAlto</b>	137	120	12%	11%	0.46	0.40
<b>Oracle Rocklin</b>	23	101	5%	26%	0.23	1.01
<b>Target Hayward</b>	53	52	13%	13%	0.41	0.40
<b>Office/Data Center</b>	337	388	7%	8%	0.48	0.55
<b>Aggregated</b>	748	1172	7%	12%	0.38	0.59

June 26<sup>th</sup>, 2006

During the June 26<sup>th</sup> event, the OAT regression baseline was well above the CPP baseline, as it was in the next three events as well. During this day, the average maximum OAT was 89°F for Zone 2. Figure 6 shows the aggregated demand savings for eight sites. The three-hour demand savings during the high-price period (3 p.m. to 6 p.m.) was 1281 kW (16% of aggregated demand). The CPP baseline was under the actual load prior to the noon hour, which shows again the problem of using a non-weather adjusted baseline. The shed patterns in this event show an initial drop, with some rebound in the first three hours, and a second drop at 3 p.m. with another rebound, though staying well below the 3 p.m. baseline demand. Table 9 shows the average demand savings and demand savings intensity (W/ft<sup>2</sup>) for the moderate- and high-price periods.

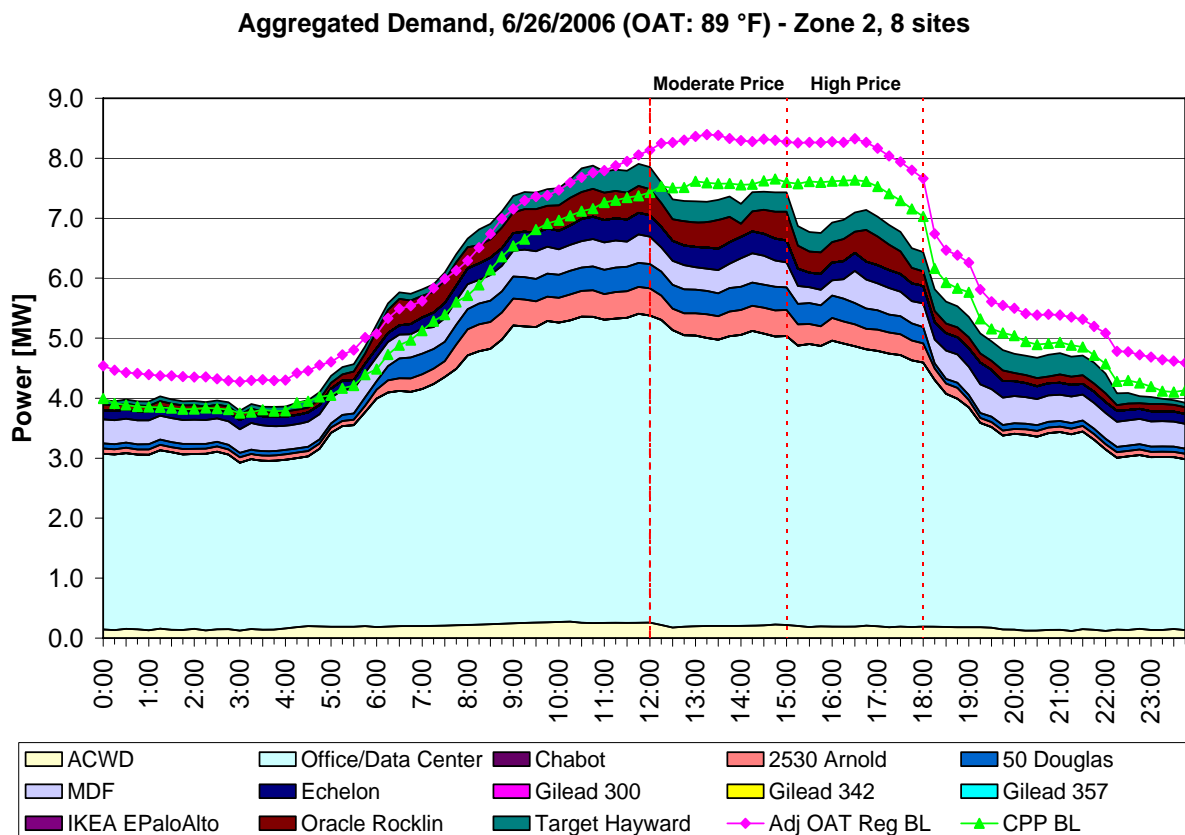


Figure 6. Aggregated demand savings, June 26<sup>th</sup>, 2006

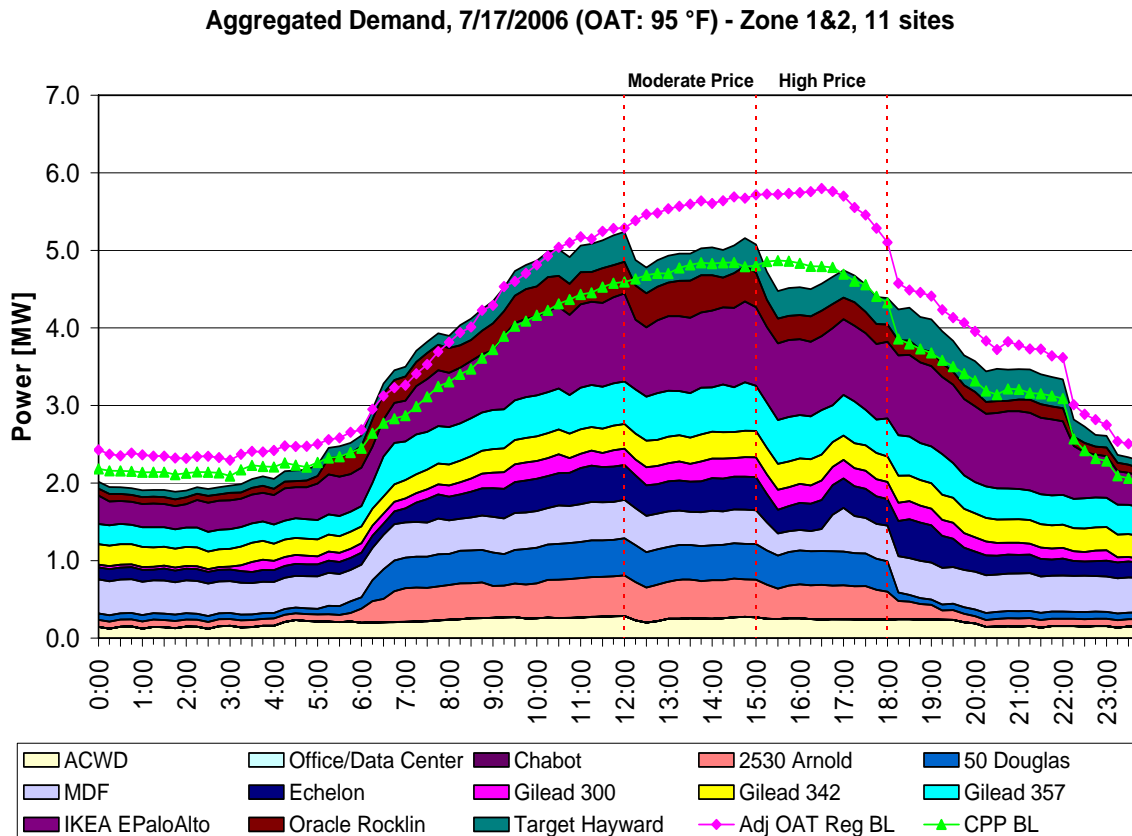
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**Table 9. Summary of demand savings, June 26<sup>th</sup>, 2006**

	Average kW		Average %		Average W/ft <sup>2</sup>	
	Moderate	High	Moderate	High	Moderate	High
<b>ACWD</b>	78	91	28%	32%	1.53	1.78
<b>2530 Arnold</b>	102	140	20%	29%	0.78	1.07
<b>50 Douglas</b>	57	94	13%	22%	0.63	1.04
<b>MDF</b>	90	155	17%	30%	0.52	0.90
<b>Echelon</b>	-2	80	0%	22%	-0.02	1.07
<b>Oracle Rocklin</b>	85	60	17%	14%	0.85	0.60
<b>Target Hayward</b>	59	56	15%	15%	0.45	0.43
<b>Office/Data Center</b>	478	604	9%	12%	0.67	0.85
<b>Aggregated</b>	946	1281	11%	16%	0.65	0.88

July 17<sup>th</sup>, 2006

The average maximum outside air temperature on July 17<sup>th</sup> was 95°F (84°F for Zone 1 and 100°F for Zone 2). Figure 7 shows the aggregated demand profile of the 11 participating sites. The average demand savings during the high-price period (3 p.m. to 6 p.m.) was 1051 kW (19% of aggregated demand). This graph is different from the previous two because the data for the Office/Data Center, the largest site, were missing on this date. The CPP baseline was under the load prior to the noon hour (as it was for June 26<sup>th</sup>); therefore using the CPP baseline would show zero savings during the first three hours of this event. Table 10 shows the average demand savings, percent savings, and demand savings intensity (W/ft<sup>2</sup>) for the moderate- and high-price periods.



**Figure 7. Aggregated demand savings, July 17<sup>th</sup>, 2006**

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**Table 10. Summary of demand savings, July 17<sup>th</sup>, 2006**

	Average kW		Average %		Average W/ft <sup>2</sup>	
	Moderate	High	Moderate	High	Moderate	High
<b>ACWD</b>	58	95	19%	28%	1.14	1.85
<b>2530 Arnold</b>	48	105	9%	20%	0.37	0.80
<b>50 Douglas</b>	22	51	5%	10%	0.25	0.56
<b>MDF</b>	86	186	16%	33%	0.50	1.08
<b>Echelon</b>	81	124	17%	26%	1.08	1.65
<b>Gilead 300</b>	13	14	5%	5%	0.16	0.16
<b>Gilead 342</b>	5	38	1%	10%	0.14	1.18
<b>Gilead 357</b>	30	86	5%	14%	0.92	2.59
<b>IKEA EPaloAlto</b>	184	175	16%	15%	0.61	0.58
<b>Oracle Rocklin</b>	9	103	2%	25%	0.09	1.03
<b>Target Hayward</b>	68	76	16%	18%	0.52	0.58
<b>Aggregated</b>	604	1051	11%	19%	0.50	0.88

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July 18<sup>th</sup>, 2006

The average maximum OAT on July 18<sup>th</sup> was 90°F (84°F for Zone 1 and 93°F for Zone 2). Figure 8 shows the aggregated demand profile of the 12 sites. Table 11 shows the aggregated demand savings for the 12 sites. The average demand savings during the high-price period (3 p.m. to 6 p.m.) was 961 kW (9% of aggregated demand).

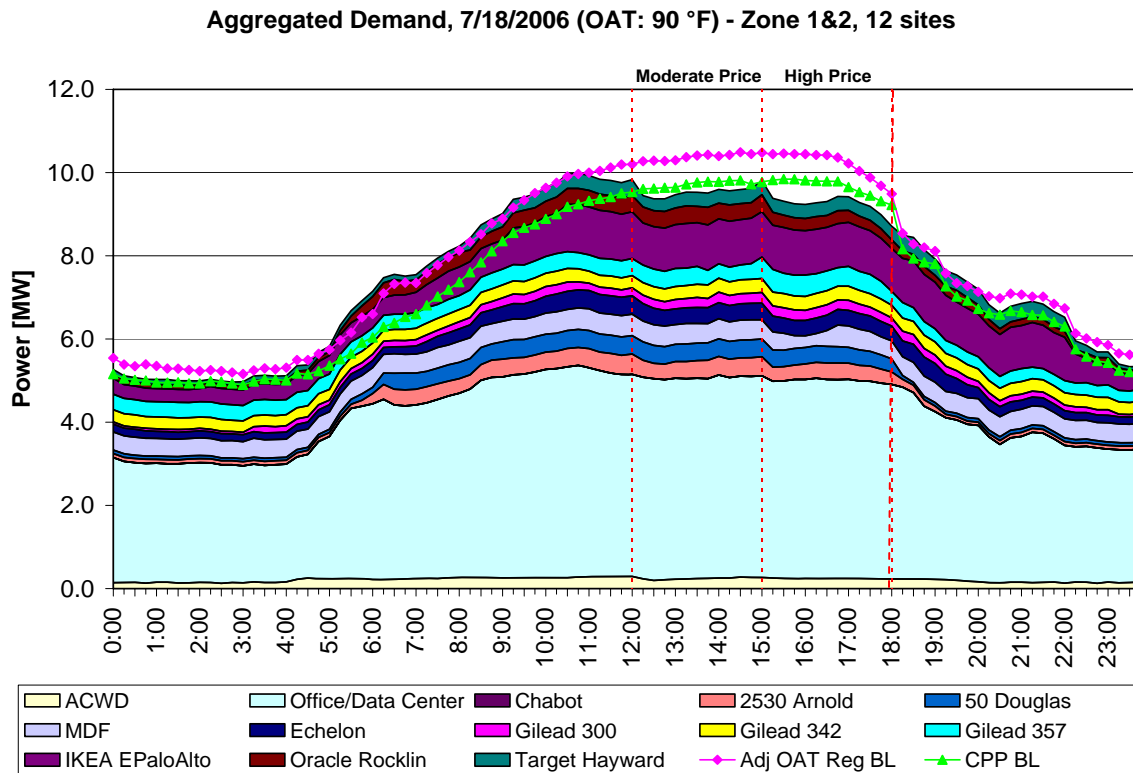


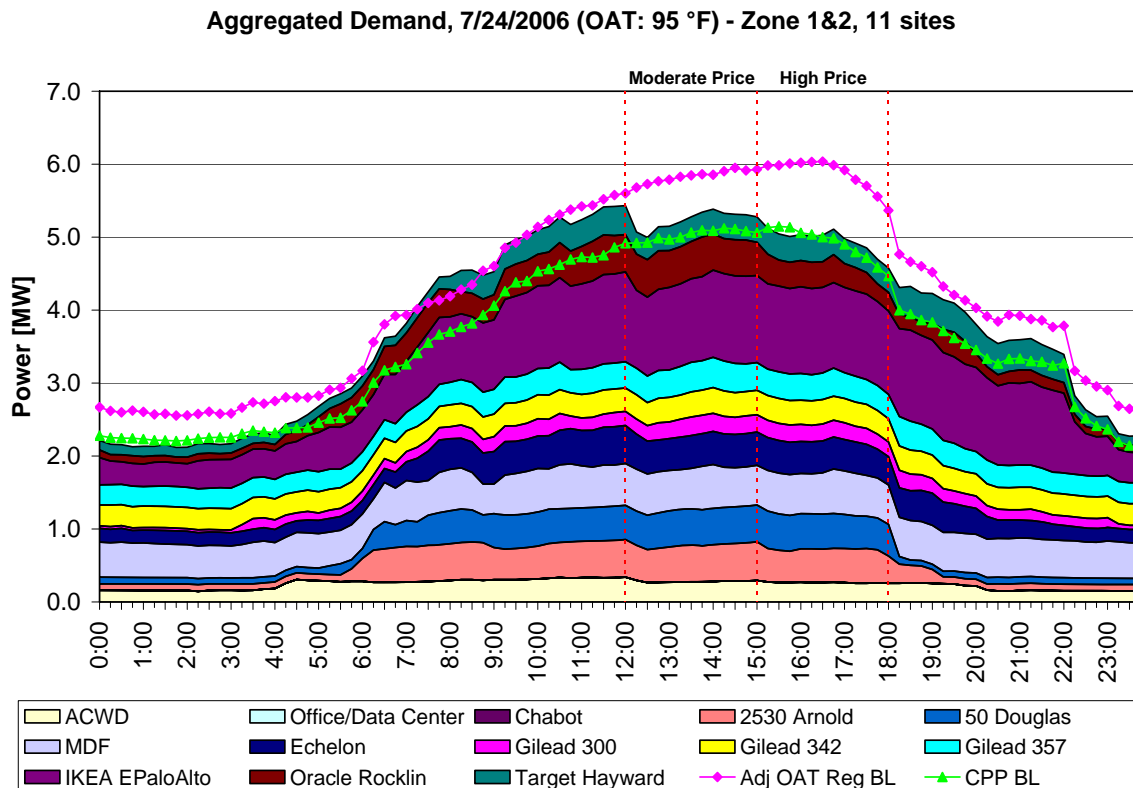
Figure 8. Aggregated demand savings, July 18<sup>th</sup>, 2006

Table 11. Summary of demand savings, July 18<sup>th</sup>, 2006

	Average kW		Average %		Average W/ft <sup>2</sup>	
	Moderate	High	Moderate	High	Moderate	High
ACWD	58	79	19%	24%	1.12	1.54
2530 Arnold	62	101	13%	22%	0.47	0.77
50 Douglas	29	49	6%	11%	0.32	0.54
MDF	69	149	12%	27%	0.40	0.87
Echelon	47	72	11%	16%	0.62	0.97
Gilead 300	30	14	12%	6%	0.36	0.17
Gilead 342	29	27	8%	8%	0.91	0.86
Gilead 357	94	-5	19%	-1%	2.84	-0.15
IKEA EPaloAlto	74	90	6%	8%	0.25	0.30
Oracle Rocklin	46	110	10%	28%	0.46	1.10
Target Hayward	85	74	21%	18%	0.65	0.57
Office/Data Center	228	201	5%	4%	0.32	0.28
Aggregated	849	961	8%	9%	0.45	0.50

## July 24<sup>th</sup>, 2006

July 24<sup>th</sup> was one of the hottest days of the July heat wave with the statewide system at peak conditions. The average maximum OAT on July 24<sup>th</sup> was 95°F (83°F for Zone 1 and 103°F for Zone 2). Figure 9 shows the aggregated demand profile of the 13 sites. Again the CPP baseline was under the aggregated load during nearly the entire event. This would suggest there was no demand response occurring, yet from evaluating the results for the individual buildings it is apparent that there were large sheds occurring. Table 12 shows the aggregated demand savings for the 13 sites. The average demand savings during the high-price period (3 p.m. to 6 p.m.) was 917 kW (16% of aggregated demand). Again the Office/Data Center, the largest site, was not included because of data issues. Had the DR events not occurred the aggregated load for these buildings would have been around 6 MW.



**Figure 9. Aggregated demand savings, July 24<sup>th</sup>, 2006**

Table 12. Summary of demand savings, July 24<sup>th</sup>, 2006

	Average kW		Average %		Average W/ft <sup>2</sup>	
	Moderate	High	Moderate	High	Moderate	High
ACWD	87	133	24%	33%	1.70	2.60
2530 Arnold	56	99	10%	18%	0.43	0.76
50 Douglas	16	57	3%	11%	0.18	0.64
MDF	72	127	11%	18%	0.42	0.73
Echelon	51	84	10%	16%	0.68	1.12
Gilead 300	20	14	8%	6%	0.24	0.16
Gilead 342	12	21	3%	6%	0.37	0.66
Gilead 357	77	35	16%	8%	2.33	1.06
IKEA EPaloAlto	82	93	7%	7%	0.27	0.31
Oracle Rocklin	33	151	6%	31%	0.33	1.51
Target Hayward	98	102	23%	23%	0.75	0.79
Aggregated	605	917	10%	16%	0.51	0.77

#### 4.4.2. Summary of Demand Savings

Table 13 shows a summary of demand savings results of each of the 13 participant sites for all CPP events (for an average of six hours from noon to 6 p.m.). The aggregated total shed for all sites for each event is also shown with estimates using both the OAT regression and the CPP baseline models.<sup>13</sup> In this table, the average of the aggregated demand savings across the total number of events is defined as:

- $Avg \text{ aggregated kW saved} = \sum_{i=1}^{i=n} (Aggregated.avgkW.saved.per.event) / n$   
( $n$  = number of event days)
- $Avg \text{ aggregated \% saved} = \frac{\sum_{i=1}^{i=n} (Aggregated.baseline.kW - Aggregated.actual.kW)}{\sum_{i=1}^{i=n} Aggregated.baseline.kW}$

If all the sites had provided their maximum six-hour peak demand reduction on the same day, the program could have provided 1.7 MW of load savings. If all sites had provided the maximum three-hour peak demand reduction on the same day, the program could have provided 2.0 MW of savings.

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<sup>13</sup> Svenhard's is not included in the analysis, since it completed the Auto-CPP control setup after the last CPP day in Zone 2 and was unable to participate in Auto-CPP events in 2006.

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**Table 13. Summary of six-hour average demand savings by each site**

Avg, 6hr	6/21	6/22	6/23	6/26	7/17	7/18	7/20	7/21	7/24	7/25	7/26	8/9	8/31	9/1	9/22	Avg
OAT	Zone1		73°F		85°F	84°F		84°F	85°F			88°F	77°F	70°F	77°F	
	Zone2	97°F	100°F	90°F	90°F	101°F	94°F	94°F	103°F	101°F	89°F					
ACWD	kW	83	112	86	85	77	68	63	74	110	93	101				86
	%	26%	30%	30%	30%	24%	22%	21%	23%	29%	27%	33%				27%
Office/Data Center	kW	310	152	363	541		214	259			264	251				294
	%	6%	3%	7%	10%		4%	5%			5%	5%				6%
Chabot	kW	37	29	-39				6	-30			-43				-7
	%	14%	11%	-19%				3%	-11%			-21%				-4%
2530 Arnold	kW	100	83	95	121	76	81	74	71	78	80	76				85
	%	21%	17%	21%	24%	14%	17%	16%	15%	14%	15%	17%				17%
50 Douglas	kW	37	48	75	75	36	39	41	69	37	78	35				52
	%	9%	11%	18%	17%	8%	9%	10%	15%	7%	15%	8%				11%
MDF	kW	104	72	-37	122	136	109	92	113	99	116	77				91
	%	20%	13%	-8%	24%	25%	20%	17%	18%	15%	18%	13%				16%
Echelon	kW	75	69	73	39	102	59	56	67	68	93	66				70
	%	18%	16%	19%	11%	21%	14%	13%	15%	13%	19%	16%				16%
Gilead 300	kW			17		13	22		6	17			-8	4	31	16
	%			9%		5%	9%		3%	7%			-3%	2%	15%	7%
Gilead 342	kW			69		21	28		2	16			-1	33	93	37
	%			20%		6%	8%		0%	5%			0%	10%	28%	10%
Gilead 357	kW			15		58	44		19	56			-28	1	61	29
	%			4%		9%	9%		4%	13%			-6%	0%	15%	6%
IKEA	kW			128		180	82		91	88			-3	-17	2	72
	%			12%		16%	7%		8%	7%			0%	-2%	0%	6%
EPaloAlto	kW															
	%															
Oracle	kW	43	40	62	73	56	78	53	21	92	21	54				54
	%	12%	11%	15%	16%	13%	19%	13%	5%	18%	5%	12%				13%
Rocklin	kW															
	%															
Target	kW	55	67	52	57	72	79	62	75	100	78	75				70
	%	13%	15%	14%	15%	17%	20%	16%	18%	23%	19%	20%				17%
Hayward	kW															
	%															
Aggregated (LBNL BL)	kW	844	672	960	1114	828	905	705	578	761	822	691	-41	20	188	619
	%	10%	8%	10%	14%	15%	9%	9%	10%	13%	9%	8%	-2%	1%	9%	10%
Aggregated (CPP BL)	kW	411	200	964	420	-35	307	510	208	-116	-118	478	-175	-4	178	231
	%	5%	3%	10%	6%	-1%	3%	6%	4%	-2%	-2%	6%	-8%	0%	9%	4%

Figure 10 shows the average demand savings of each site for the CPP high-price period (3 p.m. to 6 p.m.) for all the CPP events. The savings are shown for both the LBNL (OAT regression) and CPP baselines. The sum of the average demand savings from each site divided by the sample size yields an estimate that on average the program provided 1133 kW per site. The greatest average demand response was from the Office/Data Center site with 294 kW on average, which represented a reduction of 7% using the OAT model.

The maximum, minimum, and standard deviation of the demand reduction are also shown in Figure 10 for each site. For most sites the variation in demand reduction among the events was within 20% of the mean, showing good repeatability and predictability.

For several sites the standard deviation was more than 30% of the mean (or average). The variation in the Chabot savings was related to complexities with the baseline because the hours of use and schedules at this museum were highly irregular. The strategy at the IKEA appeared to be overridden and the variation in the savings may be related to this. IKEA did not show any demand savings during three of the nine events for zone 1. LBNL checked the DRAS communication logs and conducted a communications test when the controls vendor was on-site to evaluate if there was a problem with the automation systems. The results confirmed that the site had received the pricing signal. The controls vendor conducted additional tests and found that the temperature appeared to have been manually reset to the lower cooling setpoint during

these events. The facility engineer did not recall changing the setpoints back to their original setting. He also did not record this information in the post-event surveys. Unfortunately, detailed EMCS logs were not available to better determine why the DR strategy had been overridden for 3 of the 9 events.

The average aggregated savings was 745 kW (12%) for an average 8.5 participant sites per event. The average of site average savings is defined as:

- $Average\ of\ site\ savings\ kW = \sum_{i=1}^{i=N} \left( \sum_{i=1}^{i=n} Average.kW / n \right)$
- $Average\ of\ site\ savings\ \% = \frac{Average\ of\ .site\ average\ saving\ kW}{\sum_{i=1}^{i=N} \left[ \sum_{i=1}^{i=n} (Average\ baseline.kW) / n \right]}$

where  $N$  = number of participant sites and  
 $n$  = number of event days.

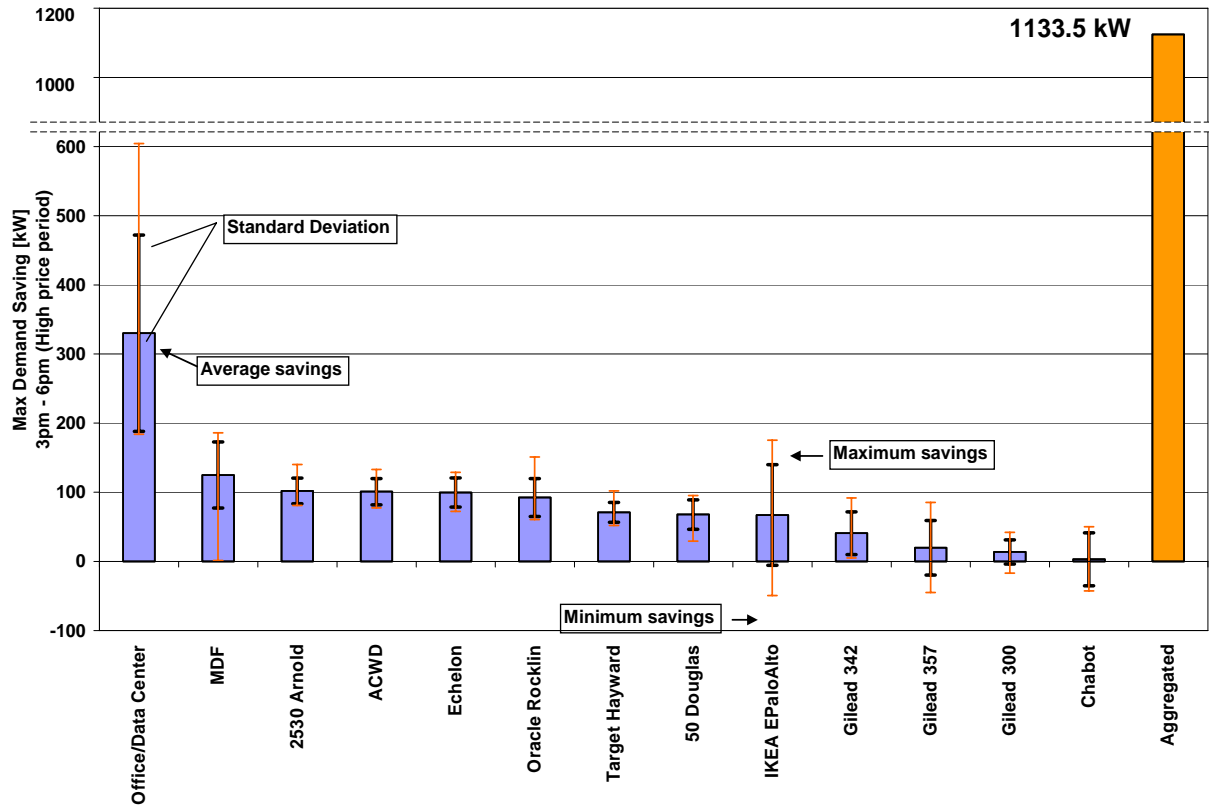


Figure 10. Average, maximum, minimum, and standard deviation of demand savings

#### 4.4.3. Comparison of OAT Regression and CPP Baselines

One key finding from the 2006 study is that the CPP baseline provided much lower demand savings estimates than the weather-normalized OAT regression baseline developed by LBNL. Figure 11 compares the average OAT regression baseline savings with the CPP baseline savings. This graph shows average demand reduction for the full

6-hour period, while Figure 10 above shows the high-price period savings. In comparing the OAT and CPP models, the project team reviewed the results for the largest site, the Office/Data Center. Figure 11 shows that the average peak demand reduction for the Office/Data Center building was 294 kW using the OAT regression baseline model and was only 105 kW using the CPP baseline model. On average, for all 13 sites the CPP baseline savings for the full six hours were 15 kW, while the average OAT regression baseline savings were 48 kW. The aggregated six-hour averages were 201 kW with the CPP baseline and 619 kW with the OAT regression baseline, a factor of three difference.

The two baseline models can be evaluated by determining how well they predict whole-facility loads on non-CPP days. Additional work is needed to quantify the “goodness of fit” for the two models. In general, since the facilities in this study were weather-sensitive, the weather-normalized (OAT regression) baseline model was a better predictor of load shape than the non-weather sensitive (CPP) baseline model.

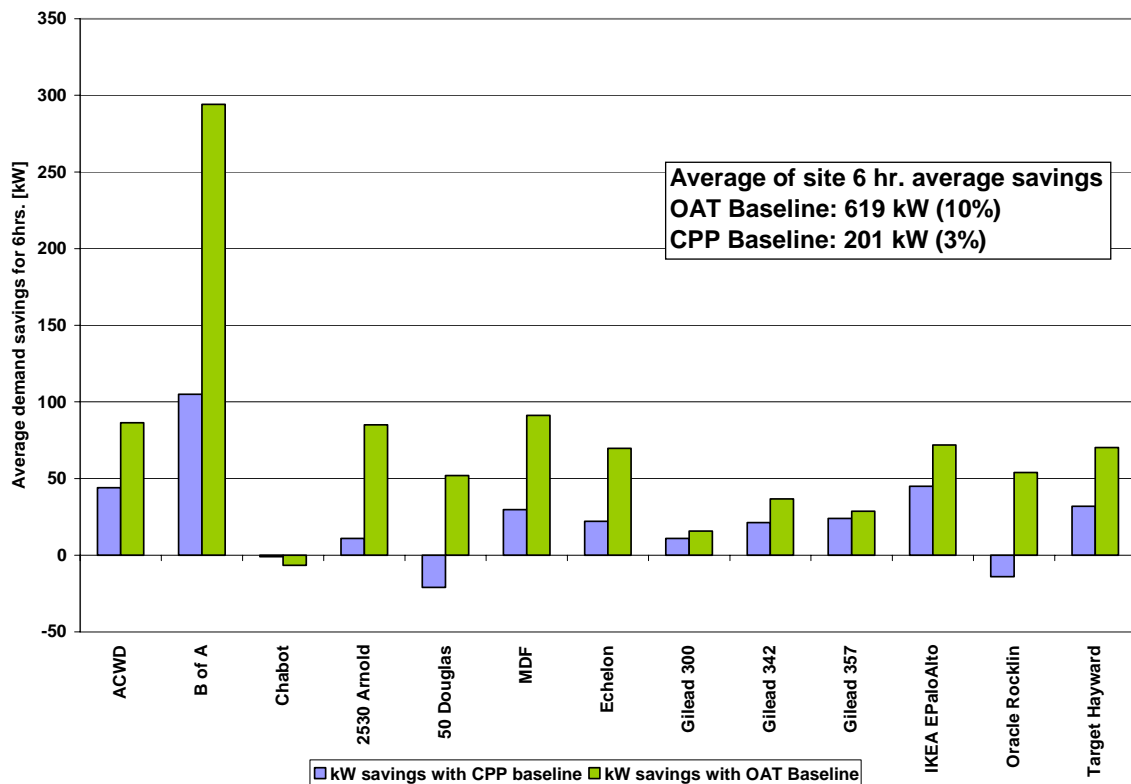


Figure 11. Average demand savings for OAT and CPP baselines

#### 4.5. Economic Analysis

This section discusses the economics of Auto-CPP, including tariff analysis and automation setup costs.

#### **4.5.1. CPP Tariff Analysis**

##### **Change in electricity costs under Auto-CPP**

After analyzing the electricity bills for the sites that participated in the full summer of CPP events, LBNL found that 11 of the 13 sites saved money in 2006. In other words, their CPP credits they accrued were larger than the CPP charges. While the savings were small, they did show a positive return, making the time and effort worthwhile to participate in Auto-CPP for most sites. One of the larger sites saved over \$7,000; these savings, however, accounted for less than 1 cent/ft<sup>2</sup>-year (\$0.01/ft<sup>2</sup> -yr). Two sites had very small increased costs under Auto-CPP (\$40 and about \$600). The average reduction in costs for the 13 sites was \$1,700, which was on average 0.8% of their costs over the 6-month period. Two sites saved \$0.10/ft<sup>2</sup>-yr and one site saved \$0.05/ft<sup>2</sup>-yr. All other sites saved less than \$0.01/ft<sup>2</sup>-yr. The average savings was \$0.02/ft<sup>2</sup>-yr.

##### **CPP cost without any DR action**

Another way to evaluate the site economics is to estimate what the CPP tariff implications would have been if the sites had not shed any load with their DR strategies. These estimates were derived by estimating the CPP credits and charges if each site had not participated in DR events. The credits were the same because these happened on non-DR days. The charges were calculated using the baseline model to estimate how many more kW each site would have used on CPP days. This evaluation was done with both the LBNL OAT regression baseline and the CPP baseline models. The calculations showed that only two out of 13 sites would have lost money if they had made no changes during DR events. These losses were less than \$350 for each site.

##### **New demand charges due to DR event rebound**

While it is important to reduce demand during the CPP period, it is also important to bring equipment back to operation slowly so as to avoid introducing a new rebound peak. In the 125 site-events (the sum of the sites times the number of events in which they participated), three different sites hit new monthly peaks during the 6 to 8 p.m. window after a CPP event. The sites were made aware of the rebound problems and were offered solutions after their post-event analysis. This analysis was done by careful review of the date and time of the summer demand charges.

##### **Impact of multiple CPP days in one billing period**

During the CPP period, the facility managers did not have access to the entire CPP period economic data to analyze the total financial impacts of their participation. Their monthly utility bills were their only feedback regarding the financial impact for the program. With the heat wave hitting northern California in the second half of July, many sites that received a bill with seven CPP events were confused by high utility bills despite their efforts to reduce peak demand. The uneven distribution of the CPP events resulted in unexpectedly high utility bills for that month. On average, among the seven sites with seven events in one month, the average bill increased 15%; one facility saw an increase of 26%. Many of the participating sites were concerned with these high utility

bills following the heat wave. Improvements in communication with customers about high bills were needed to help explain the charges and credits each site collected for the entire summer.

#### 4.5.2. Automation Setup Cost Analysis

LBNL collected information on the cost to install the automation systems and configure the control systems. Table 14 summarizes the range of costs reported for EMCS programming and Auto-CPP communication system installation and configuration. The setup cost also included the cost of the CLIR box (\$1,500/box) for eight sites. The table shows costs for the 13 sites that participated in the entire CPP period.

The table also shows the total cost per demand savings (\$/kW) for comparison to the utility's technical incentives, whose maximum allowance is based on potential demand savings (kW). In December 2006 PG&E began to provide a Technical Incentive (TI) of \$100/kW for DR-enabling technologies. In the 2006 Auto-CPP demonstration, the average cost per demand savings was \$52, which would be within the allowance of the new incentive.

The table also shows simple payback time based on the savings from Auto-CPP to provide a ballpark assessment of the economics independent of TI for DR customers. The average payback period among the sites in the table was four years.

**Table 14. Summary of costs for Auto-CPP implementation**

Site	6hr. average kW reduction (CPP baseline)	Average % reduction (CPP baseline)	6hr. Average kW reduction (OAT baseline)	CPP credits - CPP charges	Initial Cost	Payback (yrs)
2530 Arnold	11	3%	85	\$241	\$3,500	2.4
50 Douglas*	-21	-6%	52	-\$576		
MDF*	30	7%	91	\$1,769		
ACWD*	44	16%	86	\$1,513	\$13,324	8.8
B of A	105	2%	294	\$7,370	\$2,900	0.4
Chabot	-1	-1%	-7	-\$39	\$6,010	N/A
Echelon*	22	6%	70	\$2,213	\$3,620	1.6
Gilead 300	11	5%	16	\$1,303	\$4,500	0.6
Gilead 342	21	6%	37	\$3,191		
Gilead 357	24	4%	29	\$3,565		
IKEA EPaloAlto*	45	4%	72	\$364	\$6,360	17.4
Oracle Rocklin*	-14	-4%	54	\$613	\$1,875	3.1
Target Hayward	32	9%	70	\$1,565	\$3,312	2.1

\*Indicates estimation of unavailable bills for at least one billing period.

For the participant sites that continued Auto-CPP from previous years, the costs required for the initial setup in the previous year were collected. Many of the early participant sites configured their Auto-DR setup using their own labor or under existing controls contracts. Therefore it was hard to capture the exact initial setup costs. Of the sites in Table 14, Offices B, C1, C2, D and F, Detention Center, and Retail A2 set up the system with their own labor or within existing contracts with their controls contractors.

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Offices A and E, Museum, Labs A1 and A2 and Retail B1 used their controls contractors and the costs were gathered from the controls contractor's proposals.

### 4.6. Facility Operators' Response to DR Events

After each event, LBNL asked the sites to fill out an online survey to collect information on the facility manager's perceptions regarding the conditions in the building during the DR events. The goal was to collect information on any operational issues that might have stemmed from the DR strategies or the automation systems. In some of the sites, the building operators shared the link to the survey with their managers so that LBNL could record their perceptions as well. Table 15 summarizes their responses. In some of the sites the building operators assembled a distribution list of all or part of the occupants to inform them about a coming CPP event. Only two sites reported operational issues and more than half of the sites did not report any comfort issues.

**Table 15. Summary of occupant responses**

Site	Was the operator on site?	Was the operator watching the event?	Did the occupants know?	Operational issues?	Comfort issues?
<b>ACWD</b>	Yes	Sometimes	Yes	No	Some*
<b>B of A</b>	Yes	No	No	Some*****	Some**
<b>Chabot</b>	Yes	Yes	Yes	No	Yes***
<b>2530 Arnold</b>	No	No	No	No	No****
<b>50 Douglas</b>	No	No	No	No	No
<b>MDF</b>	No	No	No	No	No
<b>Echelon</b>	Yes	Yes	No	No	No
<b>Gilead 300</b>	Yes	Sometimes	Yes	No	No
<b>Gilead 342</b>	Yes	Sometimes	Yes	No	No
<b>Gilead 357</b>	Yes	Sometimes	Yes	No	No
<b>IKEA EPaloAlto</b>	Sometimes	Sometimes	No	No	No
<b>Oracle Rocklin</b>	Yes	Sometimes	No	Yes	Yes
<b>Target Hayward</b>	No	Sometimes	No	No	No

\* Some complaints of high temperatures

\*\* Some comfort concerns

\*\*\* Too cold - precooling strategy not working properly

\*\*\*\* Occupants realized it was getting warmer in the afternoon but no complaints

\*\*\*\*\* Realized the limitations of the control system

## **5. Discussion**

The following section contains observations from the study and connects them to the overall context of DR and energy-efficiency efforts in California.

### **5.1. Approach to Auto-DR**

The 2006 Auto-CPP program showed that fully automated demand response is technically feasible and the costs to automate DR appear to be viable.

The program went beyond the 2005 Auto-CPP demonstration in several important ways. The technology performed successfully for a full summer period without technical problems. Customers appeared to be comfortable and accepting of the automation. The project team continued to see that the HVAC DR strategies were reliable and robust, with a primary emphasis on global temperature adjustment (GTA). GTA provided a two-stage strategy that met a six-hour demand response program. Many other DR strategies were automated including other HVAC strategies, lighting strategies, and some process modifications.

### **5.2. Information Systems and Feedback to Participants**

The 2006 Auto-CPP program was successful in providing adequate information to the participants about what to expect regarding automation of the DR program. During the course of the project, LBNL developed online tools to collect cost, comfort, and building systems data. A set of materials developed and refined in 2005 and 2006 was developed to explain the program and how the automation functions. LBNL developed a bi-weekly email newsletter to keep the participants informed about the number of events that had occurred and the number of events pending.

LBNL also emailed the participants graphs and data showing their achieved peak demand reduction. This appeared to be important feedback to the participants to help confirm the value of their actions and level of savings they achieved.

The participants, however, had many questions about how much peak demand they shed, how their CPP economics worked, and whether their participation in the program made a difference. One building operator requested to receive feedback on the impact of CPP participants on the overall relief of the grid. Better information about the regional and statewide benefits of DR is needed to help promote the program. The increase in monthly utility bills during the heat wave was a major concern for some of the sites. A predictive tool that outlines the number of CPP events called as well as CPP credit days and predicted credits could help eliminate these concerns.

### **5.3. Linking DR and Energy Efficiency**

DR programs will be more successful in the long run if they can be linked to energy efficiency programs. DR can fit into a demand side management (DSM) framework as

shown in Figure 12. DR capabilities in buildings are dependant on controls. Ideally a candidate building would have good dynamic control capability, energy-efficient equipment, good commissioning, and good feedback linking operating conditions and strategies to energy costs. More of these attributes are needed in buildings to improve both DR capabilities and daily energy efficiency practices.

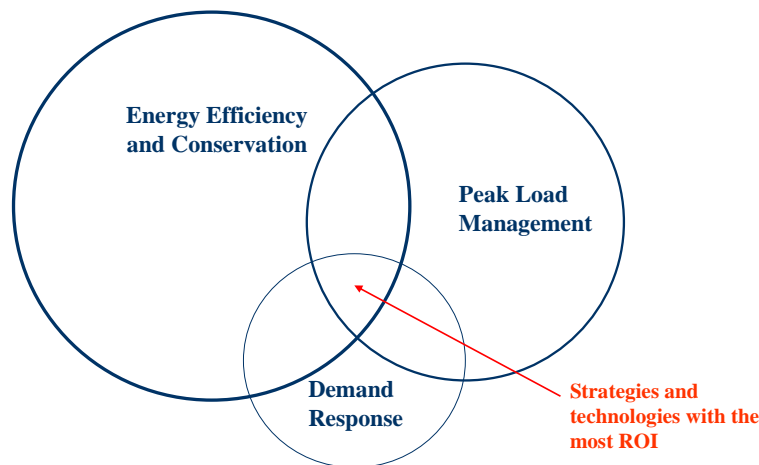
	<b><i>Efficiency and Conservation (Daily)</i></b>	<b><i>Peak Load Management (Daily)</i></b>	<b><i>Demand Response (Dynamic Event Driven)</i></b>
<b>Motivation</b>	<ul style="list-style-type: none"> <li>- Environmental Protection</li> <li>- Utility Bill Savings</li> </ul>	<ul style="list-style-type: none"> <li>- TOU Savings</li> <li>- Peak Demand Charge Savings</li> <li>- Grid Protection</li> </ul>	<ul style="list-style-type: none"> <li>- <b>Economic</b></li> <li>- <b>Reliability</b></li> <li>- <b>Emergency</b></li> <li>- <b>Grid Protection</b></li> </ul>
<b>Design</b>	<ul style="list-style-type: none"> <li>- Efficient Shell, Equipment &amp; Systems</li> </ul>	Low Power Design	<b>Dynamic Control Capability*</b>
<b>Operations</b>	<ul style="list-style-type: none"> <li>- Integrated System Operations</li> </ul>	Demand - Limiting Shifting	<b>Demand - Limiting Shifting Shedding</b>
<b>Initiation</b>	Local	Local	<b>Remote</b>

\* required for DR

**Figure 12. Demand side management framework**

Figure 13 shows a conceptual diagram of how technologies and strategies can be used to maximize the value of energy efficiency, load management, and demand response (Kiliccote et al., 2006). From an operational perspective, a building's EMCS is the main component that can implement and verify capabilities in these three DSM areas.

As an example, during the summer of 2006, LBNL began working with nine buildings in the Milpitas campus of Soletron. Soletron's first request was to help them understand their bill and map which buildings and addresses corresponded to the service agreement identification numbers in InterActII™. Second was the development of a data collection system. None of the nine buildings' HVAC systems on the Milpitas campus were being monitored through the EMCS. Therefore no operational data were collected or stored. Hence, it was difficult for the building operators to estimate the DR potential of the buildings. If an energy-efficiency upgrade was to take place, its effectiveness had to be measured and validated. The same data collection and storage capability that would be used for measuring the effectiveness of the energy-efficiency upgrade would be used to evaluate and refine the control strategies for DR.



**Figure 13. Linking energy efficiency, load management, and DR**

In response, funding from Silicon Valley Leadership Group’s (SVLG) energy efficiency program and LBNL’s support for Solectron’s investment in data collection and storage systems will enable better analysis of their facilities’ data, result in more efficient use of their facilities, provide them with operational flexibility, and provide means to measure energy use and savings, load management, and DR effectiveness.

However, LBNL has some concerns about these nine buildings because, according to the former building engineer, the HVAC system was sized to handle a maximum outside air temperature of 85°F. If CPP days are hotter than 85°F the HVAC system is undersized and overloaded. In such a case a DR strategy that involves temperature setup may provide reduced demand savings when outside temperatures are high because the chiller may continue to run to try to meet loads on moderate days (with temperatures in the high 80s).

#### **5.4. Acceptability of Auto-DR**

One key factor in the success of Auto-DR is to understand how acceptable it is to participants. Since Auto-DR is automated, it occurs as a transparent activity, and often when occupants are asked about their experiences they have limited opinions. LBNL collected anecdotal information from the Auto-CPP sites through a “request for quotes.” Since most of the sites had an EMCS, the responses showed that the operators in Auto-CPP sites do not differentiate between semi-automated and fully automated DR strategies. Post-event surveys showed that many of the second-year (2006) participants did not watch the events. Also, since the automation and communications technology performed without problems, the conclusion is that the automation was effective and acceptable, although there were some complaints, as discussed below.

Another aspect of acceptability is whether there were problems from the control strategies that form the basis of the demand response. In 2006, 125 site-events took place with the majority of them on hot days. In addition, in July seven CPP events were called on 10 consecutive business days. After each event (or set of events if they were back-to-

back) LBNL collected information from the sites about occupant complaints and comfort. The post-event surveys did register some increase in the complaints during the heat wave of July 2006. These complaints occurred mostly in office buildings. There were no complaints in the retail stores. One of the key findings for the heat wave is that none of the Auto-CPP sites opted out during the events that occurred three or four days in a week.

Concerns about high temperatures concentrated mainly in four of the seven office buildings. To help employees cope with the heat, one company called the CPP days “Hawaiian shirt days” and relaxed dress codes for the employees. At this site, the operator observed that on consecutive CPP days, the occupants manually adjusted their thermostats to a lower setting prior to the event start time to pre-cool their spaces. Another company realized that the west-facing corner offices were much hotter than the other areas in the building and changed its DR programming to exclude corner offices with double sun exposure. Other sites thought they might consider pre-cooling, but no implementation had been done in 2006.

LBNL conducted analysis of EMCS data at several of the sites to understand how warm interior spaces got during the heat wave. Indoor temperatures reached 78°F in one retail store, but there were no complaints registered regarding these temperatures. The same retail store, despite including lighting sheds the previous year, excluded lighting from their DR strategies in 2006 and used it as a “last resort strategy” that could be deployed when the grid was seriously constrained and the utilities contacted them.

Feedback on the acceptability of lighting sheds shows that results vary depending on the type of facility and their tasks. For retail stores, there were concerns that lighting sheds might potentially reduce sales. In 2005, feedback from the staff in Target was that lighting sheds were noticeable and undesirable. In 2006, feedback from Sybase (in process but not yet automated) suggested that on back-to-back CPP days occupants in the facility preferred to have their lights on; they cancelled the lighting shed after the first couple of CPP days. In Echelon, during the first CPP event the occupants were not notified and one employee strongly insisted on information for subsequent events.

### **5.5. Auto-DR Plans for 2007**

LBNL is working with PG&E to implement a larger-scale automated demand response program for summer 2007 in accordance with the requirements outlined by the California Public Utilities Commission (CPUC). Those specifications call for the implementation of an automated demand response (Auto-DR) program funded through PG&E’s existing TA/TI (technical audit/technical incentive) program. The goal of the program is to achieve a 15 MW peak load reduction averaged over all DR event days with a baseline comprised of three of the previous 10 days as approved by the CPUC. The DR events are to be initiated through PG&E’s existing price-based demand response programs. There are currently two programs that fit this definition – the Critical Peak Pricing (CPP) and Demand Bidding (DBP) programs.

During the early stages of this implementation effort, a detailed plan will be developed that will define how the Auto-DR load reductions will be achieved vis-à-vis these two programs (e.g., the types of customers to be targeted, the anticipated loads to be shed from these customer segments, etc.). Figure 14 illustrates the Auto-DR technology development and commercialization strategy. During the 2006 Auto-CPP project, LBNL managed the majority of the activities for Auto-DR with a fraction of the work done by private sector subcontractors and consultants. The 2007 Auto-DR PG&E program is designed to scale up and disseminate the technology beyond LBNL project management. Over time the goal of this effort is to develop incentives for Auto-DR providers to install and configure communication systems that automate current and future DR programs.

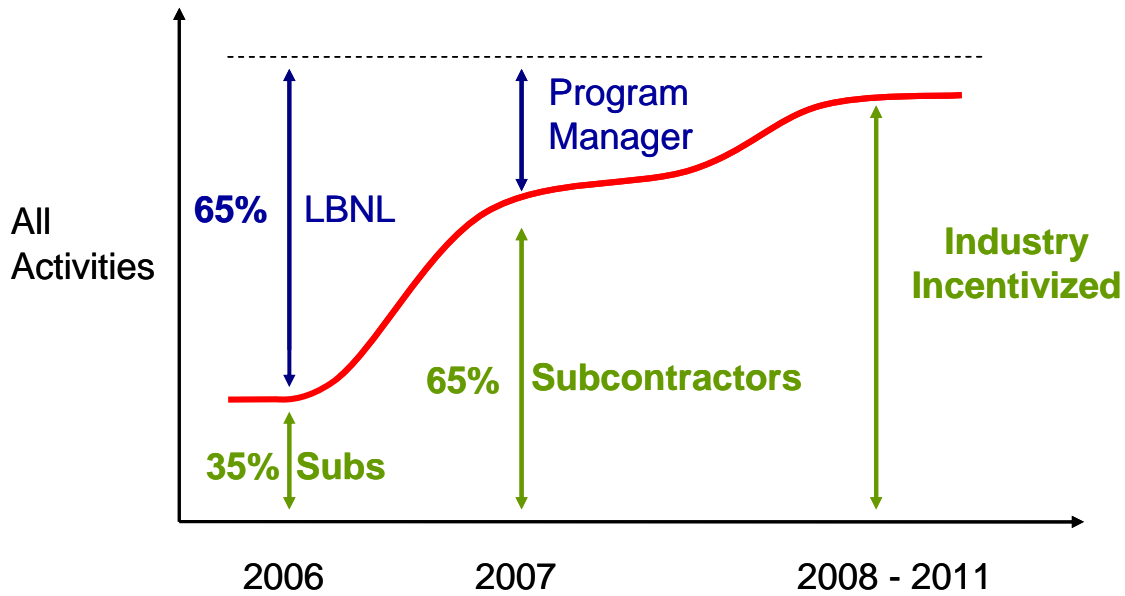


Figure 14. Auto-DR commercialization strategy

## 6. Recommendations and Future Directions

This section outlines some of the technical challenges for 2007 and beyond.

One goal of scaling up the Auto-DR infrastructure is to ensure that all of the IOUs use a common set of automation technologies to allow energy customers a common connectivity platform throughout California. Each IOU is offering at least one Auto-DR program for 2007, but continuous coordination and common concepts are needed to facilitate that both the energy customers and the vendor community offer common technology and program offerings. The DRRC will continue to support research to help understand the strengths and weaknesses of the current Auto-DR platforms and assist in identifying improvements. Specific examples of future research issues are listed below:

- **Explore Auto-DR for small commercial and large industrial sites.** One of the long-term strategies of automating DR is to strengthen relationships with the current controls and communications technology vendors to inform and educate them on the Auto-DR systems. Technically, this project showed that most buildings with EMCS can participate in Auto-DR. Further work is needed to explore how to connect the DRAS with smaller buildings that do not have centralized EMCS. For example, LBNL has not connected the DRAS or CLIR box directly to an HVAC system comprised of packaged rooftop units. Further work is also needed to evaluate the readiness of industrial process control systems for automation.
- **Develop common peak demand savings estimation methods.** While automation systems have been shown to provide continuous, reliable communication of DR program signals, more work is needed to understand end-use control strategies. Perhaps the most critical need is to engage the engineering community and auditors who evaluate DR strategies and estimate peak demand savings to develop common methods for savings calculations. While there are decades of experience with energy savings analysis methods and techniques, methods to estimate peak demand savings for short durations are new. Such analysis methods are more complex than historical “bin” methods for energy efficiency analysis that simplify weather data into heating and cooling degree-day bins. Rather, new dynamic models are needed, based on knowledge of weather data, peak load shapes, and HVAC system and controls knowledge, combined in practical ways to provide simple yet robust methods for peak demand savings estimates.
- **Improve communication on the CPP tariff.** PG&E’s CPP tariff is complex. The July 2006 heat storm, with seven CPP events, caused an average increase of 15% in participants’ summer utility bills. Many of the participating sites were notably concerned with the high mid-summer utility bill following the heat wave. Improved utility communication with customers about the tariff and their bills is

needed to explain the charges and credits each site collects for the entire summer. A predictive tool that calculates predicted CPP charges and credits based on the number of CPP events could help eliminate these concerns.

- **Provide better information about state benefits of DR.** Demand response is a confusing term with confusing programs. More effort is needed to communicate the concepts of DR and how it benefits the state electricity system. Automating DR may help improve the reliability of the resource, but there is a hurdle in marketing these programs because of limited customer understanding.
- **Consider alternative weather-adjusted baseline models.** The Auto-CPP project showed that the CPP baseline was lower than hot peak day loads prior to CPP events. When the CPP baseline is lower than the load shape, there are no estimated DR savings. Weather sensitive loads need weather-adjusted baseline models.
- **Develop new DR tariffs and economic evaluation tools.** New tools are needed to help customers understand how their load shape and DR strategies affect their monthly electricity costs. There is a PIER tool under development for this purpose. Further work is needed to disseminate this tool, evaluate user feedback, and improve economic analyses. Furthermore, the CPP tariff itself offers minimal economic incentives for the DR shed level that many sites can offer. To attract more DR participants, new tariff designs need to be explored.

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## Glossary

**ADAM Relay** – an Internet relay used to communicate with some of the sites in 2004 and 2005 studies

**AHU** – Air Handling Unit

**Auto-CPP** – Automated Critical Peak Pricing demand response program

**CEC** – California Energy Commission

**CIMIS** - California Irrigation Management Information System

**CLIR Box** – Client and Logic Internet Relay – an Internet gateway device designed, built, and provided to PG&E customers (where needed) to accept Auto-CPP event signals and transmit them to the customer's EMCS for this project

**Co-Lo** – Co-location facility where the DRAS resides

**CPP** – California's Critical Peak Pricing Program as mandated by the CPUC

**CPUC** – California Public Utility Commission

**DBP** – Demand Bidding Program

**DR – Demand Response**, strategies and programs to facilitate load shedding during peak system demand periods

**DRAS - DR Automation Server**, an Internet-based communications server and database system that produces a computer-readable, electricity price signal on a Web services server, using the meta-language XML (Extensible Markup Language)

**DRISCO - Demand Response Integration Services Company**, an engineering and controls firm that provides assistance to end users to automate demand response at their facilities

**DRRC** – Demand Response Research Center, a technology center at LBNL funded primarily by the California Energy Commission's PIER Program

**DSM** – Demand-Side Management

**EIS** – Energy Information System

**ESCO** – Energy Services Company

**EMCS** – Energy Management and Control System

**GTA** – Global Temperature Adjustment

**HVAC** – Heating Ventilating and Air Conditioning

**IOU** – Investor-Owned Utility

**IT** – Information Technology

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**LBL** – Lawrence Berkeley National Laboratory, performs work for the University of California on this research project contract

**LAN** – Local Area Network

**M&V** – Measurement and Verification

**Modbus** - a serial communications protocol for programmable logic controllers (PLCs)

**MOU** – Memorandum of Understanding

**NOAA** - National Oceanic & Atmospheric Administration

**OAS** – Otherwise Applicable Service

**OAT** – Outside Air Temperature

**PEC** – Pacific Energy Center (PG&E)

**PG&E** – Pacific Gas and Electric Company

**PG&E Communication Staff** – PG&E Corporate and Customer Energy Management Division staff who manage messages to PG&E Account Service Managers and PG&E customers and partners

**PG&E CPP Customer** – a customer of PG&E Company who is under agreement to the terms and conditions of the CPP Demand Response Program

**PG&E Account Manager** – PG&E's Account Service Managers who manage energy solutions for major PG&E commercial and industrial customers

**PG&E DR Managers** – DR program managers within PG&E's Demand Response Program

**PG&E Integrated Audits** – Analyses of energy conservation and demand reduction opportunities conducted for PG&E major commercial and industrial customers under PG&E's Integrated Audits Program

**PG&E's InterAct™ System** - an Internet-based action-oriented energy management software application offered to business customers of Pacific Gas and Electric Company who participate in PG&E's [Demand Response](#) and [Real-Time Metering Programs](#)

**PG&E Program Manager** – PG&E staff who manage technology incentive and information programs

**PIER** – The California Energy Commission's Public Interest Energy Research Program

**SDG&E** – San Diego Gas and Electric Company

**SOAP** – Simple Object Access Protocol

**SVLG** – Silicon Valley Leadership Group

**Technical Coordinator (TC)** – A company that understands building controls and information technology issues and can assist customers in the automation of their DR strategies

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**TA** – Technical Audit

**TI** – Technology Incentives

**TOU** – Time of Use

**URL** - Uniform Resource Locator

**VFD** – Variable Frequency Drive

**XML** – Extensible Markup Language



# **Automated Critical Peak Pricing Field Tests: 2006 Program Description and Results**

## **APPENDICES**

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## Appendix A. Documents for Demand Response Integration Services Company (DRISCO)

### A.1. Site Recruitment Steps

<p><i>Step 1. Does the site have a different profile from the current participants?</i></p> <p>If no, make a note to approach the site in the second round.</p> <p>If yes, go to <b>Step 2</b>.</p>
<p><i>Step 2. Does the site have an EMCS?</i></p> <p>If no, stop.</p> <p>If yes, make a note of their account representative and their PG&amp;E account ID. Also, note type/vendor and capability of EMCS. Go to <b>Step 4</b>.</p>
<p><i>Step 3. Would the site like to join CPP so that they can be in the Auto-CPP pilot?</i></p> <p>If no, stop.</p> <p>If yes, find out who is the P&amp;GE account rep. Questions to ask:</p> <ol style="list-style-type: none"> <li>1. Do they already have interval meters and an InterAct™ account?</li> <li>2. What is the type/vendor and capability of their EMCS?</li> </ol> <p>Follow through their signing process.</p> <p>Once they sign up for CPP, go to <b>Step 4</b>;</p>
<p><i>Step 4. Follow these steps to completion of Auto-CPP system setup.</i></p> <ol style="list-style-type: none"> <li>1. Sign the MOU and return it to LBNL.</li> <li>2. Fill out the checklist and return it to LBNL.</li> <li>3. Document demand response strategy.</li> <li>4. Establish data points for trending.</li> <li>5. Schedule a manual test to identify demand reduction for the TI application</li> <li>6. Fill out the form for the TI application</li> <li>7. Receive approval for TI funds</li> <li>8. Decide on a connectivity option.</li> <li>9. Provide the IP relay/gateway.</li> <li>10. Provide a verbal overview of the process if needed.</li> <li>11. Test the connection.</li> <li>12. Test the controls.</li> </ol>

## **A.2. DRISCO Selection Criteria**

LBNL produces on-line and printed materials that minimize the need for site visits by PG&E, LBNL, or the DRISCO. However, half of the new sites typically require site visits. Some of these may be in the Central Valley as far south as Bakersfield.

LBNL identified the following task activities for the DRISCO:

### **Explanation of program and general assistance**

- Establish contact with the facility managers responsible for implementing Auto-CPP. Since facility managers are not typically the original signers of the MOU site agreement, a complete explanation of the program is required
- Gather site characteristics. Assist facility managers with filling out forms about the site (via website, forms, etc.)
- Agree on implementation plan and schedule.
- Maintain the implementation plan and schedule through weekly communications.
- Communicate with and report back to the LBNL and PG&E project team on a weekly basis.

### **Technical assistance to connect site EMCS to DR Automation Server**

- Ship communications device to site. Current plans call for use of a Client & Logic with Integrated Relay (CLIR) Box at each site
- Assist facility managers with connecting the CLIR Box. This involves coordination of an Ethernet connection to the CLIR Box and assessing IT network issues such as availability of a site Dynamic Host Configuration Profile (DHCP) server or proxy server.
- Configure DR Automation Server to communicate with the on-site CLIR Box.
- Provide simple low-voltage wiring if desired by the facility manager. These may include wiring between the CLIR Box and the EMCS panel and plugging in Ethernet cables and hubs to existing drops.

### **Technical assistance in selection and implementation of shed strategies**

- Although the on-site facility manager should make all decisions regarding the electric load shed strategy, the DRISCO should assist in these decisions. The assistance provided should be based on research materials provided by LBNL and general knowledge of commercial building HVAC systems, lighting systems, and EMCS systems.
- Although the on-site facility manager is responsible for performing all alterations to the EMCS or other systems to enable Auto-CPP, the DRISCO should provide guidance. To limit financial liability, the DRISCO should not perform any modifications to customer control logic.

### **Shed event testing**

- Coordinate with LBNL, PG&E, and participant sites to perform CPP load shed event testing.

### **Optimization and troubleshooting**

- Monitor and troubleshoot (if required) all sites during the period following the successful shed event test.
- Assist participant sites with optimizing their shed strategy to maximize savings, minimize discomfort, and minimize rebound.
- Report load shed results to the respective participants.

## **A.3. DRISCO Implementation Procedure for Auto-DR**

### **Technical Coordinator Steps**

This list assumes that the MOU has been signed and the site has been ‘handed off’ by the recruiter.

Note: These tasks are in approximate order; some will likely happen simultaneously

- Contact facilities manager.
  - Explain the scope of Auto-CPP.
- Design Sequence of Operation
  - Site visit may be required.
  - Must be approved by facilities manager.
- Test system based on approved Sequence of Operations to establish baseline DR,
  - Notify LBNL, utility account manager, facilities personnel.
  - Obtain test results.
- Contact utility account manager and start TI form process.
- Confirm how DRAS will interface to EMCS (typically CLIR box),
- Contact IT dept to resolve connection of CLIR box to Internet.
  - Determine nature of CLIR connection (new or existing DSL, existing IT infrastructure or other).
  - Determine firewall/proxy considerations, if necessary.
- Contact controls contractor to implement sequence of operations.
  - Explain scope of Auto-CPP.
  - Review sequence of operations.
  - Obtain proposal; check for completeness.
    - Confirm data trending is set up.
- Locate EMCS panel with DIs available.
- Determine location of the CLIR box.
  - Input from controls contractor and IT department.
- Follow up on TI application; confirm approval prior to continuing.
  - Early installation of software/hardware may adversely affect TIs.
- Ensure delivery of CLIR box.

- Confirm box ID, location, username, password with DRAS manager.
- Confirm installation of CLIR.
  - Confirm communication with DRAS.
- Coordinate controls contractor programming and installation.
  - Coordinate with facilities manager.
  - Test contractor's installation/programming.
- Perform complete system test through DRAS; ensure all system components are functioning.

## Appendix B. CLIR and DRAS Technical Documents

### B.1. CLIR and DRAS User Guides



## CLIR (Client and Logic with Integrated Relay) User Guide



## Connecting your Facility to Receive Auto-CPP Event Signals

The purpose of this document is to help facility managers understand how to connect their site(s) to receive remote signals of upcoming CPP events using the CLIR Box interface device. In addition to human-readable pager alerts and e-mails, the CLIR Box enables sites to receive signals over the Internet that trigger automated sheds of pre-selected electric loads.

### **Connectivity Option A (CLIR Box). Recommended for all sites.**

#### **Site requirements:**

- 1. Energy Management and Control System (EMCS)**
- 2. Ethernet LAN with Access to the Internet (EMCS does not need access the Internet.)**

The Client & Logic with Integrated Relay (CLIR) Box is a secure, self-configuring Internet relay. The CLIR box enables the EMCS to receive Auto-CPP signals over the Internet. These signals are translated into relay contacts that are sensed by the EMCS. The EMCS causes the facility to automatically enter preconfigured low-energy modes through modifications to the HVAC or lighting systems during the CPP event.

#### **Set-up Overview:**

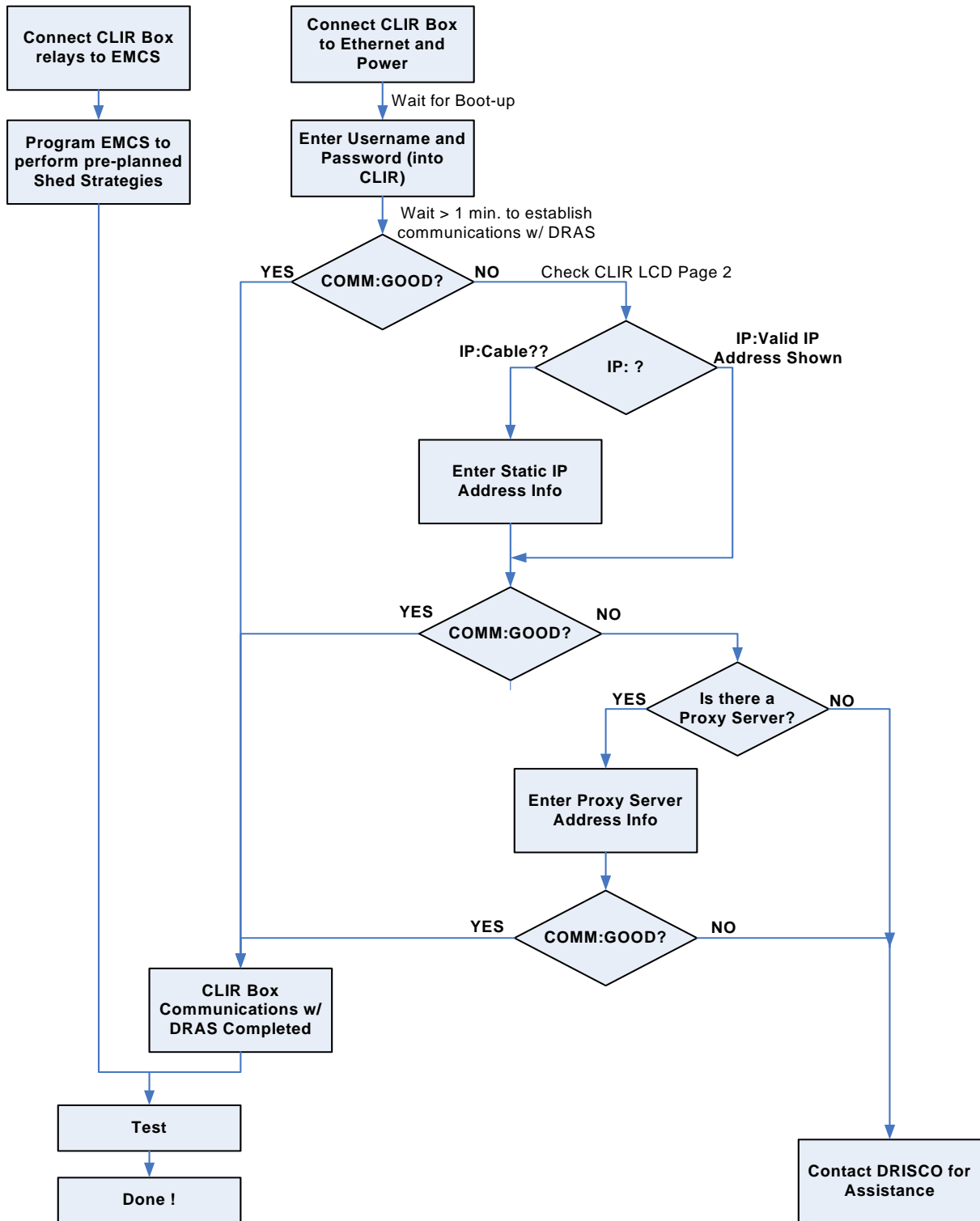
The CLIR Box device is placed near an EMCS controller.

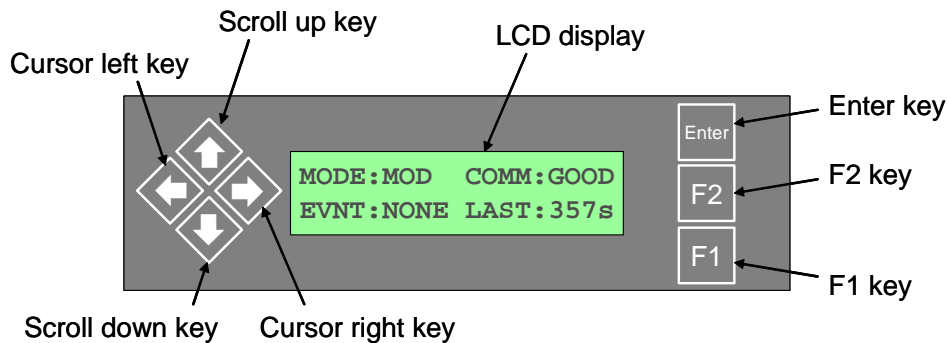
- 1) Plug into standard 120 VAC outlet.
- 2) Plug into standard RJ-45 Ethernet connection.
- 3) Connect low voltage wiring to available digital input terminals on the EMCS. Use either one, two or three EMCS digital inputs per Table 1 below:

#### **Security:**

CLIR Box is "IT Friendly". It is typically installed inside of the secure enterprise network and "surfs" for CPP event information using 128 bit secure socket layer (SSL) encryption using HTTPS protocol. (HTTPS is also used for most online financial transactions.) No modification to corporate enterprise firewalls is required. Since the CLIR Box is not accessible from the public Internet, it adds no security risk from outside the private network. The CLIR Box is also secure from internal threats (employees, contractors etc.) due to its internal firewall which filters out all messages except those from the LBNL DRAS. The CLIR firewall also protects the box if it is installed outside of the private network on the "DMZ." The CLIR Box is password-protected and uses (SSL) encryption for all network communications.

## Quick-start Installation Flowchart





**Figure 1: CLIR Box Keypad**

- 1) Account Set-up
  - a) Contact LBNL, Do you want to keep this reference??  
Request Username and Password.
- 2) Connect CLIR Box
  - a) Connect Ethernet to CLIR.
  - b) Plug in power adaptor to CLIR.
  - c) Wait ~ 2 min. for CLIR boot-up. Check the LCD display. At first "COMM:BAD" appears.
- 3) Configure Username and Password
  - a) Enter username & password using keypad.
  - b) Press "F2". Scroll up/down until you see "username". The factory default is "test."
  - c) Press "Enter." Type your username assigned by LBNL by scrolling up/down. You can move your cursor by pressing the left/right arrow button. By pressing "F1" you can delete all characters to the right of the cursor. Once you complete entering your username, press "Enter" again.
  - d) Scroll up/down until you see "password." The factory default is "test."
  - e) Press "Enter." Type your password assigned by LBNL by scrolling up/down. Then press "Enter" again.
  - f) Press "F2" to accept the setting and return to the main display page.
  - g) Wait a few seconds to 1 minute for CLIR to establish communications with the Demand Response Automation Server (DRAS). If the display remains "COMM:BAD," check the network connection configuration (next step).
- 4) Configure Network Connection
  - a) If your network system uses a DHCP server:
    - i) CLIR's factory default is to get the IP address from the DHCP server. No additional setting should be required.
  - b) If your network system uses a proxy server:
    - i) Press "F2." Scroll up/down until you see "netProxyServer." The factory default is "n." Press "Enter". Set "y", and press "Enter" again.
    - ii) Scroll up/down until you see "netProxyIPAddress." Press "Enter." Set the IP address of the proxy server on your network, and press "Enter" again. If you don't know the proxy server IP address, contact your network system administrator.
    - iii) Setup for netProxyPort: Set the IP port of the proxy server on your network, and press "Enter."
  - c) If the network system requires the CLIR to have a static IP address:
    - i) Contact your network system administrator and obtain a valid static IP address.
    - ii) Press "F2." Scroll up/down until you see "netDHCP." The factory default is "n." Press "Enter." Set "y, and press "Enter."
    - iii) Scroll up/down until you see "netGatewayAddress." Press "Enter." Set the gateway IP address of your network, and press "Enter."

- iv) Scroll up/down until you see “netSubnetMask.” Press “Enter.” Set the subnet mask of your network, and press “Enter.”
- d) Press “F2” to accept the setting and return to the main display page.
- e) Scroll down to see “IP.” Confirm CLIR obtained IP address.
- f) Wait a few seconds to 1 minute for CLIR to establish communications with the Demand Response Automation Server (DRAS).

The CLIR is now connected to the DRAS. The CLIR relays will change state based on values published by the DRAS. See Table 1 for instructions on connecting the CLIR to the building's energy management and control system (EMCS).

**Table 1: Function of Relay Contacts**

<b>CLIR Box Relay #</b>	<b>Description</b>	<b>Timing When Relay is “ON”</b>	<b>Used for:</b>
1	Moderate Shed (real-time)	Noon – 6:00 PM Day of CPP Event	Digital Input into EMCS
2	High Shed (real-time) Note: Relay #1 also ON in High Shed mode	3:00 PM – 6:00 PM Day of CPP Event	Digital Input into EMCS
3	CPP-Event Pending (21 Hour advance notice). Can be used for pre-cooling strategies.	~3:00 PM prior day until end of CPP event*	Digital Input into EMCS

\* If CPP days are called “back-to-back,” relay #3 will remain ON constantly until the end of the last day.

**Table 2: LCD Display – Terms and Definitions**

<b>Display Page 1</b> <div> <b>MODE:NORM COMM:GOOD</b>  <b>EVNT:NONE LAST:32s</b> </div>	MODE	Current shed mode of operation. NORM = No shed (Normal) MOD = Moderate shed mode (moderate CPP rate) HIGH = High shed mode (highest CPP rate)
	COMM	Communication status between CLIR and DRAS GOOD or BAD
	EVNT	CPP event indication. NONE = No upcoming event pending PEND = CPP event pending within the next 21 hours or an event is in progress
	LAST	Time duration since the last successful communication between the CLIR and DRAS.
<b>Display Page 2</b> <div> <b>IP:128.2.32.154</b>  <b>UP:0d 12h 08m 01s</b> </div>	IP	IP address of CLIR. The IP address may be automatically assigned by a DHCP server or manually assigned. If the CLIR Box does not have a valid IP address, "IP: Cable?" will be shown. This indicates that either 1) Ethernet cable is not connected, 2) DHCP server is not available on network, or 3) Static IP address has not been assigned.
	UP	Time duration since CLIR was last booted.
<b>Display Page 3</b> <div> <b>CLIR R:12345678</b>  <b>VER:2.4 10010000</b> </div>	CLIR VER	Version of CLIR box.
	R	Status of relays (R1-R8). 0 = Relay de-energized 1 = Relay energized (i.e. normally-open contact is closed) See Table 1 for description of relay behavior in various demand response modes.
<b>Display Page 4</b> <div> <b>SUCC:27 FAIL:0</b>  <b>AVE:247 MAX:675</b> </div>	SUCC	Number of successful communications since start.
	FAIL	Number of communication failures since start.
	AVE	Average communication latency in milliseconds.
	MAX	Maximum communication latency in milliseconds.

**Table 3: F2 Setting Menu**

<b>Attribute</b>	<b>Factory Default</b>	<b>Definition</b>
consoleLogLevel	INFO	Do not change.
endPointHost	www.electricprice.net	Do not change.
endPointPath	PSS2WS/PSS2WS	Do not change.
endPointPort	443	Do not change.
fileLogLevel	INFO	Do not change.
ipAddressFile	/usr/clir/eth0-ipaddress	Do not change.
logFile	/usr/clir/clir.log	Do not change.
netDHCP	y	If “y,” CLIR automatically obtains IP address from DHCP server. Change to “n” if a static IP address is used.
netGatewayAddress	192.168.1.1	Default Gateway. If “netDHCP” is “n,” the manually entered static IP address is used as default gateway.
netIPAddress	192.168.1.99	CLIR Box IP address. If “netDHCP” is “n,” the manually entered static IP address is used as IP address for the CLIR Box. Otherwise, the box receives the IP address from the network.
netProxyIPAddress	192.168.1.2	If “netProxyServer” is “y,” the manually-entered static IP address is used as IP address for the proxy server.
netProxyPort	8080	Port of proxy server access. If “netProxyServer” is “y,” enter IP port of proxy server on your network. Note that the CLIR uses SSL, so this should be the HTTPS port.
netProxyServer	n	If “y,” CLIR accesses to proxy server.
netSubnetMask	255.255.255.0	If “netDHCP” is “n,” use this IP address for subnet mask.
noLCD	n	Do not change.
noRelay	n	Do not change.
password	test	Change to the password you received from LBNL.
pollPeriodMS	60000	Do not change. Frequency of polling activity. Default 60,000 milliseconds indicates 1 poll per minute.
ssl	y	Do not change.
statsLoggingPeriodMS	60000	Do not change. Resolution of communication statistic log in milliseconds.
trustStore	/usr/clir/cacerts.jks	Do not change.
trustStorePassword	epriceLBL	Do not change.
username	test	Change to the username you received from LBNL.

## **B.2. DR Automation Server User Guide**

An online user guide for DRAS is posted at <http://drrc.lbl.gov/dras/help/>. This guide is designed to introduce account managers, facility managers, DRISCOs and DR Automation Server operators to the DRAS user interface and capabilities.

## **Appendix C. Outreach and Survey Documents**

### **C.1. Request for Participation**



### **Request for Participation**

### **Summer 2006 Automated Critical Peak Pricing Test**

#### **Is your facility ready for dynamic pricing?**

Through participation in the 2006 Automated Critical Peak Pricing (CPP) test, your facility will be brought up to the speed of the Internet. PG&E will trigger price signals that will propagate to your facility to provide variable pricing for electricity. Qualified sites will be outfitted to respond to XML price signals transmitted over the Internet. During the 2006 summer test period, as the electricity price increases during a CPP event, some pre-selected electric loads will be automatically shed based on your facilities control strategy.

#### **Time is money**

Under dynamic electricity pricing, financial incentives will be greatest for organizations that are able to respond automatically to electric grid emergencies or price signals such as those produced in the upcoming test. The 2006 Automated Critical Peak Pricing test is a low risk way to get prepared!

#### **Technical assistance and Internet hardware available**

Researchers at the Lawrence Berkeley National Lab (LBNL) and a Demand Response Integration Services Company (DRISCO) will provide guidance to your staff in:

- Connecting your site to the Internet based price signal.
- Evaluating your shed control strategy and assessing its impacts

For sites that lack Web access to their energy management control systems, Internet hardware will be provided.

You can also take advantage of PG&E's technical incentives program for some of your set up costs. Ask your account managers about the incentives available for your facility today

#### **Publicly identified as part of the solution**

*"Today I call upon all of my fellow Californians to work together during this peak demand period to use power wisely and take advantage of the available programs to save energy."*

Gov. Arnold Schwarzenegger July 27, 2004

Participants in the 2006 Automated Critical Peak Pricing test will help themselves and all Californians avert future power crises, such as those that occurred in 2001. All participants will be publicly recognized in presentations at various conferences, and in trade and academic journals.

### **Site requirements**

- Participation in PG&E's voluntary Critical Peak Pricing program.
- Functional energy management control system (EMCS) or energy information system (EIS).
- A means to measure and archive either whole building or component level electric loads on 15-minute intervals. Most large facilities have remotely readable interval meters such as InterAct II™ in PG&E's territory. Though not required, some systems with near "real-time" electric monitoring will also be selected.
- All sites must have access to the Internet (i.e. surf the Web from offices at the site). Having a Web-enabled EMCS or EIS is preferred but not required.

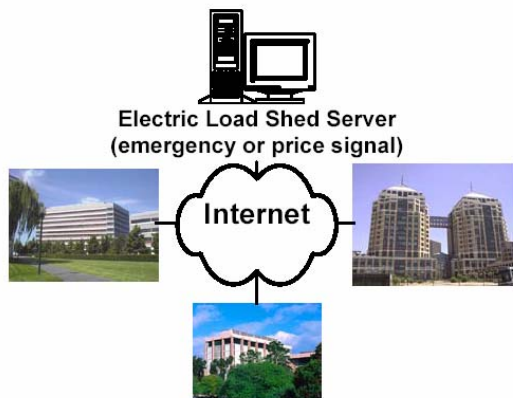
### **Implementation and Customer requirements**

- Provide a public IP address to LBNL (usually available from the IT systems administrator).
- Select shed strategies. Global zone temperature set point setup/setback, lighting reductions, or shutting off other non-critical loads are all valid. Each site's facilities staff should consider these and other strategies that are best suited to their facility.
- Program or hardwire energy management control systems to shed loads based on relay contact or XML signal. Simple program changes to be conducted by staff or contractor.

### **Figure 1. Overview of system architecture**

#### **Test Description:**

- PG&E will determine the days that CPP tariffs will be in effect.
- PG&E will announce upcoming CPP days using e-mail and pager alerts by 3:00pm the day ahead. All concerned parties will be alerted.
- On the day of a CPP event, a software application will command HVAC and/or lighting equipment at each site into a predetermined "shed" strategy. Shed strategies are worked out in advance by facility managers at the site. Although the sheds will occur automatically without human intervention, it is always possible for building managers to opt-out at any time.
- LBNL staff and DRISCO will assist each site in planning the shed strategies and technical



### **Schedule**

- Site recruitment and selection during May 2006
- System development in May and June 2006
- Auto-CPP tests in June through October 2006

**To sign-up please contact your PG&E Account Representative.**

**To request more information, please contact**

Sila Kiliccote (510) 495-2615 [skiliccote@lbl.gov](mailto:skiliccote@lbl.gov)

This project will be conducted through the **PIER Demand Response Research Center** (see [drcc.lbl.gov](http://drcc.lbl.gov)) with funding from **PG&E**.

## C.2. Memorandum of Understanding



# 2006 Automated Critical Peak Pricing Pilot

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## Participation Requirements

### Between

**Environmental Energy Technologies Division,  
Lawrence Berkeley National Laboratory (LBNL)**

### And

---

[Participant Company Name]

## Test Participants for Demand Responsive Technology Demonstration

**Purpose:** The purpose of this document is to describe the plans for the upcoming project and establish the roles of each party in its implementation. This is not a legally binding document.

**Introduction:** LBNL is conducting a research project for the California Energy Commission and Pacific Gas and Electric Company to test automated Critical Peak Pricing technologies in commercial buildings.

## Responsibilities

LBNL agrees to:

- Promptly respond to general comments, questions and concerns of the participants including those about controls, communications and shed strategies.
- Develop a measurement strategy for each demand shed and provide technical support as required for the tests.
- Transmit the critical peak price signal from PG&E.
- Present and award in the amount of \$1,000.00 after the site's first successful automated participation.

Participant agrees to:

- Select appropriate shed strategies and implement them in a manner appropriate for their site.

- Provide information to LBNL about the facilities, control systems, shed strategies, energy consumption patterns, and performance measurement systems.
- Participate in the test as described in the test plan.
- Collaborate with LBNL as necessary to implement and perform the tests.
- If changes in circumstances cause the participant to drop out of the test, inform LBNL of these changes.
- Develop over-ride and fall-back strategies to switch to manual operation and activate facility shedding if the Auto-CPP system fails.

#### **Collection of Information on Demand Response System**

LBNL will collect and compile the following types of information, including but not limited to:

- Site characteristics (size, type, location, HVAC systems, etc.)
- Characteristics of controls, communications and monitoring systems installed at the site.
- HVAC, control, communications, energy, and other building time series data during the test to evaluate the shed.
- Strategies for aforementioned equipment during normal and shed modes.

The Participant agrees to provide the above information to LBNL. The Participant also allows it to be published and presented publicly. Upon Participant's advance request and PG&E's permission, LBNL will provide a copy of the report to Participant prior to making such report public. LBNL is not responsible for any issues that arise at the building facility as a result of the tests.

In addition to this document, I have read the document describing the Auto-CPP test titled, "**Automated Critical Peak Pricing Pilot in Large Facilities Test Plan**" which is provided with this memorandum of understanding.

This participation requirements document applies to the following sites:

---

Site Name, Address

---

Site Contact

---

Lawrence Berkeley National Laboratory

### C.3. Auto-CPP Test Plan



## Automated Critical Peak Pricing (Auto-CPP) Pilot for Large Facilities Test Plan

March 2006

**Background:** California utilities have been exploring the use of critical peak prices (CPP) to help reduce needle peaks in customer end-use loads. CPP is a form of price-responsive demand response. Recent experience has shown that customers have limited knowledge of how to operate their facilities to reduce their electricity costs under CPP. At the same time LBNL has been conducting research to demonstrate how price-response could be automated using XML-based communications with Energy Information Systems and Energy Management and Control Systems. Fully automated electric load shedding has taken place at about 27 sites, with average demand reductions of about 10%. Many end-use customers have suggested that automation will help them institutionalize their electric shedding.

**System Overview:** The overall goal of this research is to understand technological attributes of systems that could automatically reduce electric demand in facilities throughout California upon receipt of an emergency signal or rise in the price of electricity. In this system, a price signal, mimicking CPP, will be published on a single Web services server, available on the Internet using the meta-language, XML (Extensible Markup Language). Each of the participating facilities will monitor the common price signal using Web services client applications and automatically shed site-specific electric loads when the price increases predetermined by the Critical Peak Pricing Program. The system shall be designed to operate without human intervention during the test period.

### I. Objectives

The objectives of this project are:

1. Demonstrate how an automated notification system for critical peak pricing can be used in large commercial facilities for demand response (DR). Evaluate effectiveness of such a system. Determine how customers will respond to this form of automation for CPP.
2. Evaluate what type of DR shifting and shedding strategies can be automated.
3. Develop information systems for commercial customers such as energy consumption feedback, audits, and economic analysis tools.
4. Demonstrate integrated energy management using advanced controls for both energy efficiency and DR. (Sample candidate for such a demonstration is dimmable ballast.)

5. Explore how automation of control strategies can increase participation rates and DR from CPP and automation.
6. Evaluate CPP economics and the influence of various rate designs.
7. Understand the costs and benefits of CPP from the owners' perspective.
8. Identify optimal control and shedding strategies.
9. Determine occupant and tenant response.

## II. Pre-Test

In preparation for CPP days, the participating sites must work with LBNL on the following tasks:

**Sign Memorandum of Understanding (MOU)** - The MOU is for mutual communication purposes. It allows us to ensure that you understand the LBNL agreement for collaboration ensures the payment of the Participation award.

**Provide General Site Data** - LBNL will request general information about your site including: facility size, use, HVAC equipment type, etc.

**Define Electric Data Collection Methods** - Most commercial sites have local databases that archive data from electric meters, Energy Management Control Systems (EMCS) or Energy Information Systems (EIS). Please allow for access by LBNL project staff and DRISCO.

**Define Shed Strategies** - Successful strategies that were used in the 2003, 2004 and 2005 tests included: global temperature adjustment, duct static pressure reset, VFD position limiting, chilled water valve position limiting, and reductions in lighting level. We encourage you and your facilities management staff to come up with innovative shed strategies that are appropriate for your site.

**Establish Connectivity** - Each site must be outfitted to receive the LBNL generated price signals (or the associated operational mode signals) with one of the two following methods:

1. Client Logic Integrated Relay Box (CLIR Box):
2. Internet to EMCS or EIS Gateway - If your site already has a gateway that connects the EMCS/EIS to the Internet then this method may be used. If you can currently view your EMCS data using an Internet browser then such a gateway is likely installed.

Additional information can be found at <http://drrc.lbl.gov/pubs/Connectivity.pdf>

**Program Shed Strategies into EMCS** – Once a method of receiving the price signal has been established, the EMCS can be programmed to facilitate the desired sheds upon a rise in price.

## III. During the Test

**Price Signal** - During the CPP period (May 1<sup>st</sup>- October 31<sup>st</sup>), each participating site and LBNL will receive a CPP notification from PG&E. LBNL will relay PG&E's signal to participants to initiate shed events. During each shed event, each participating site will automatically shed some electric load. The shed actions at your site will be based on the strategy created ahead of time by you and your staff.

**Documenting Your Shed** – LBNL will collect whole-building electricity consumption data for each site in the pilot. When available, we will also collect detailed data from an EMCS or other end-use meters to help us understand the dynamics of the shed strategies.

#### **IV. Project Report**

After the test, LBNL will provide a detailed project report that evaluates the automated sheds of your site and the others. The report will compare the DR technologies and shed strategies; and develop metrics such as total kW shed, W/sq-ft shed, and percent of whole-building shed. The report will include the electric consumption data from your facility, a statistical analysis of the shed data (using a weather-corrected baseline), and other EMCS or related data. The report will also describe the controls and communications systems at each test site. These results will be presented publicly in academic and trade publications and conferences.

#### **V. Project Timeline for Auto-CPP Pilot**

<b>Activity</b>	<b>Date</b>	<b>Who</b>
Plan Shed Strategies, Connectivity, Sign MOU LBNL & Participants	May - July	LBNL & Participants
Establish Connectivity, Preprogram EMCS Shed Strategies Participants	May-August	Participants
Confirmation of System Readiness LBNL & Participants	June- August	LBNL & Participants
CPP days	May - October	PG&E
Data Analysis and Write-up LBNL	September - December	LBNL

#### **VI. LBNL Staff:**

Project Lead: Mary Ann Piette, [mapiette@lbl.gov](mailto:mapiette@lbl.gov), (510) 486-6286

LBNL Staff: Dave Watson , [watson@lbl.gov](mailto:watson@lbl.gov), (510) 486-5562

Naoya Motegi, [namotegi@lbl.gov](mailto:namotegi@lbl.gov), (510) 486-4082

Sila Kiliccote, [skiliccote@lbl.gov](mailto:skiliccote@lbl.gov), (510) 495-2615

Nance Matson, [namatson@lbl.gov](mailto:namatson@lbl.gov), (510) 486-7328

#### C.4. Site Survey Form

### LBNL Automated Critical Peak Pricing 2006 Site Questionnaire

LBNL Interviewer	
Date Interviewed	

#### 1. Contact Information

Name	
Company	
E-mail	
Phone	
Fax	
Contact's address	

#### 2. Site Information

Site name		
Primary services or products of the site		
Number of buildings		
Location (address)		
Year constructed		
Floor space	Total	
	Conditioned	
	In Auto-CPP	
# of floors		
Occupancy schedule	Weekday	
	Non-Weekday	
Utility company	PG&E	
Facility management type	<input type="checkbox"/> Company-owned <input type="checkbox"/> Outsourced	

#### 3. Electric Demand

Peak load [kW]		
Approximate breakdown of summer peak period [in %]	Lighting	
	HVAC	

	Appliances, misc.	
	Process line	

#### 4. HVAC Systems

Distribution system type	<input type="checkbox"/> Constant volume reheat <input type="checkbox"/> Multi-zone <input type="checkbox"/> Variable air volume <input type="checkbox"/> Dual duct <input type="checkbox"/> Dual fan dual duct
Fan control type	<input type="checkbox"/> Inlet guide vanes <input type="checkbox"/> Discharge damper <input type="checkbox"/> Variable pitch <input type="checkbox"/> Variable speed drive <input type="checkbox"/> No control
Supply air temperature	Cold deck (°F):      Hot deck (°F):
Temperature control type	<input type="checkbox"/> Manual <input type="checkbox"/> Always on <input type="checkbox"/> Time clock <input type="checkbox"/> EMCS <input type="checkbox"/> Programmable thermostat Zone temperature setpoint (°F):
Supply fans	Quantity:      Airflow rate (CFM):
Return fans	Quantity:      Airflow rate (CFM):
Return air path	<input type="checkbox"/> Direct <input type="checkbox"/> Ducted <input type="checkbox"/> Plenum
% of outside air	
Cooling equipment type	<input type="checkbox"/> Direct Expansion <input type="checkbox"/> Chilled water <input type="checkbox"/> Evaporative cooler <input type="checkbox"/> Purchased chilled water <input type="checkbox"/> Chilled water supplied by other building
Control system type	<input type="checkbox"/> Conventional Pneumatic <input type="checkbox"/> Pneumatic with EMCS <input type="checkbox"/> Direct Digital Control (DDC)

#### 5. Chillers, Circulation Pumps

Chiller type	<input type="checkbox"/> Centrifugal <input type="checkbox"/> Reciprocating <input type="checkbox"/> Screw <input type="checkbox"/> Scroll <input type="checkbox"/> Absorption, steam <input type="checkbox"/> Absorption, gas-fired
Fuel type	<input type="checkbox"/> Electricity <input type="checkbox"/> Gas <input type="checkbox"/> Steam
Heat rejection type	<input type="checkbox"/> Water cooled <input type="checkbox"/> Air cooled
Number of units	Main:      Backup:
Capacity (tons for each)	
VSD compressor control	<input type="checkbox"/> Yes <input type="checkbox"/> No
Chilled water setpoint temp	(°F)
Chilled water reset	<input type="checkbox"/> Yes <input type="checkbox"/> No Reset temperature (°F):

Water-side economizer	<input type="checkbox"/> In use <input type="checkbox"/> Not in use
Cooling lockout	Lockout outside air temp (°F): Month cooling on: Month cooling off:
Control system type	<input type="checkbox"/> Conventional Pneumatic <input type="checkbox"/> Pneumatic with EMCS <input type="checkbox"/> Direct Digital Control (DDC)
Number of circulation pumps	Chilled water (main): (backup): Secondary chilled water (main): (backup):
Pump power (hp)	
Pump control	<input type="checkbox"/> Constant <input type="checkbox"/> 2-speed <input type="checkbox"/> Variable

## 6. Cooling Towers

Condenser type	<input type="checkbox"/> Air-cooled condenser <input type="checkbox"/> Evaporative condenser <input type="checkbox"/> Air-cooled with pre-cooler
Temperature control	<input type="checkbox"/> Fixed <input type="checkbox"/> Reset <input type="checkbox"/> Setpoint
Condenser water setpoint	(°F):
Number of fans	
Fan control	<input type="checkbox"/> Constant <input type="checkbox"/> 2-speed <input type="checkbox"/> Variable
Condenser water pump	Quantity: Horsepower:
Pump control	<input type="checkbox"/> Constant <input type="checkbox"/> 2-speed <input type="checkbox"/> Variable
Control system type	<input type="checkbox"/> Conventional Pneumatic <input type="checkbox"/> Pneumatic with EMCS <input type="checkbox"/> Direct Digital Control (DDC)

## 7. Boilers, Circulation Pumps

Boiler type	<input type="checkbox"/> Water <input type="checkbox"/> Steam <input type="checkbox"/> Other
Hot water temperature (°F):	
Fuel type	<input type="checkbox"/> Electricity <input type="checkbox"/> Gas <input type="checkbox"/> Steam
Number of units	Main: Backup:
Capacity (kBtu/hr for each)	
Hot water temp reset	<input type="checkbox"/> Yes <input type="checkbox"/> No
Space heat lockout	Lockout outside air temp (°F): Month cooling on: Month cooling off:
Hot water pump	Quantity: Horsepower:
Pump motor type	<input type="checkbox"/> Constant <input type="checkbox"/> 2-speed <input type="checkbox"/> Variable
Control system type	<input type="checkbox"/> Conventional Pneumatic <input type="checkbox"/> Pneumatic with EMCS <input type="checkbox"/> Direct Digital Control (DDC)

## 8. Domestic Hot Water

Domestic water heater fuel	<input type="checkbox"/> Electricity	<input type="checkbox"/> Gas	<input type="checkbox"/> Steam
Water heater	Quantity:	Input (kW):	
Heater control	<input type="checkbox"/> Continuous <input type="checkbox"/> Timer	<input type="checkbox"/> Temperature <input type="checkbox"/> Demand	
EMCS control to heater	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
Domestic hot water pump	Quantity:	Horsepower:	
Pump control type	<input type="checkbox"/> Continuous <input type="checkbox"/> Timer	<input type="checkbox"/> Temperature <input type="checkbox"/> Demand	
EMCS control to pump	<input type="checkbox"/> Yes	<input type="checkbox"/> No	

## 9. Lighting System

Control type (Office area)	<input type="checkbox"/> None, continuous <input type="checkbox"/> Time clock <input type="checkbox"/> Photocell <input type="checkbox"/> Motion sensor <input type="checkbox"/> Dimmable ballast	<input type="checkbox"/> Manual on/off switch <input type="checkbox"/> Bi-level switch <input type="checkbox"/> Photocell/Timeclock <input type="checkbox"/> Daylighting controls
EMCS control	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Control type (Common space)	<input type="checkbox"/> None, continuous <input type="checkbox"/> Time clock <input type="checkbox"/> Photocell <input type="checkbox"/> Motion sensor <input type="checkbox"/> Dimmable ballast	<input type="checkbox"/> Manual on/off switch <input type="checkbox"/> Bi-level switch <input type="checkbox"/> Photocell/Timeclock <input type="checkbox"/> Daylighting controls
EMCS control	<input type="checkbox"/> Yes	<input type="checkbox"/> No

## 10. Miscellaneous Loads

Equipment which can be shed during a CPP event	<input type="checkbox"/> Refrigerator <input type="checkbox"/> Anti-sweat heater <input type="checkbox"/> Other	<input type="checkbox"/> Fountain pumps <input type="checkbox"/> Process equipment
EMCS control	<input type="checkbox"/> Yes	<input type="checkbox"/> No

## 11. Energy Management and Control System

Manufacturer		
Control system is viewable at,	<input type="checkbox"/> Web-browser <input type="checkbox"/> On-site	<input type="checkbox"/> Off-site <input type="checkbox"/> Never
Data trending capability	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Currently trending data?	<input type="checkbox"/> Yes <input type="checkbox"/> No Data point collected:
Data trend interval (minutes)	

## 12. Energy Information System

PG&E InterAct	<input type="checkbox"/> Yes <input type="checkbox"/> No
Other EIS installed	<input type="checkbox"/> Yes <input type="checkbox"/> No If yes, vendor:
Data points collected	
Trend interval (minutes)	
Is the data accessible from third party (LBNL)?	<input type="checkbox"/> Yes <input type="checkbox"/> No

## 13. Connectivity (Connecting the EMCS to the Internet)

<b>A.</b> Does the site have Internet connectivity for tenants (i.e. can they surf the Web?).	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>B.</b> Is EMCS data viewable through a Web browser on site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>C.</b> Is EMCS data viewable through a Web browser off site?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>D.</b> If <b>C</b> above is Yes, is a Web programmer available to install a Web services/XML client (template provided)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
<b>E.</b> If ( <b>A</b> = Yes) and ( <b>C</b> or <b>D</b> = No), can you provide a public IP address? A pre-configured IP relay will be shipped to your site.	<input type="checkbox"/> Yes <input type="checkbox"/> No

## 14. Demand Response Control Strategy


Shed control strategies planned for summer 2005	<input type="checkbox"/> Zone setpoint increase <input type="checkbox"/> Fan control <input type="checkbox"/> Cooling system control <input type="checkbox"/> Lighting shed <input type="checkbox"/> Misc. equipments
Strategy detail	
Have you implemented the strategies before?	<input type="checkbox"/> Yes <input type="checkbox"/> No
How much kW do you think you can shed? [kW]	unknown

## Appendix D. Site Descriptions and Demand Response Details

### D.1. Alameda County Water District, Headquarters

#### Alameda County Water District, Headquarter

##### Site Summary

<b>Building Use</b>	Office, lab	
<b>Industry Classification</b>	County government, water supply service	
<b>City</b>	Fremont, CA	
<b>Gross Floor Area</b>	51,200 ft²	
<b>Conditioned Area</b>	51,200 ft²	
<b># of Buildings, floor</b>	1-building, 1-floor	
<b>Peak Load kW</b>	347 kW	
<b>Peak W/ft²</b>	6.78 W/ft²	
<b>Tenant Type</b>	County employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri, 7am - 6pm	
<b>Non-weekday Schedule</b>	Sat&Sun	
<b>Building Details</b>	7,200 ft² of lab space were added in August 2005 (gross floor area was 44,000 ft² prior to the addition).	

##### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume
<b>Air Handler Unit</b>	(4) 14,500 CFM supply fans, SAT: 56 °F, 20% OA (4) 2,700 CFM return fans
<b>Cooling Plant</b>	(1) 140 ton air-cooled scroll chiller CHW Supply Temp: 45 °F, Cooling lock out at 55 °F OAT. (1) 20 HP variable volume chilled water pump
<b>Heating Plant</b>	(1) 2,000 Mbtu/h hot water boiler + (1) backup boiler Hot water temp: 160 - 180 °F (2) 15 HP CV hot water pumps
<b>HVAC Control System</b>	Invensys, control system viewable from offsite. Data trending capability.
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

##### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	EMCS trends are available on site. Each AHU has 6 points trending at 15-minute intervals. In addition, 1 zone's temperatures were being collected.

### Auto-CPP System Summary

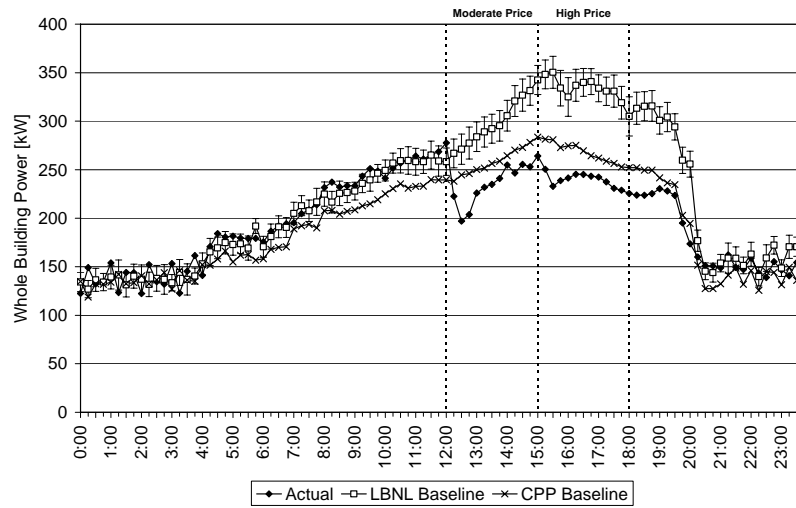
<b>Communication Method</b>		Relay at site	
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>		Mod=Yes    High=Yes    Notification=Yes	
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► Boiler disabled. ► CHW setpoint raised to 50 °F. ► Current limiting to 70%. ► SAT increased from 55 °F to 65 °F for AHUs 1, 2, 3 and Lab AHU. ► DSP setpoint decreased from 1.5" to 1.0". ► Zone setpoint increased to 75 °F	
	<b>High Price</b>	► Zone setpoint increased to 78 °F.	
	<b>Slow Recovery</b>	► Extend shed control 2 hours (until 8 pm).	

### Event Results

<b>Event Date</b>	<b>Participation</b>	<b>Event Date</b>	<b>Participation</b>
<b>21-Jun</b>	Succeeded	<b>22-Jun</b>	Succeeded
<b>23-Jun</b>	Succeeded	<b>26-Jun</b>	Succeeded
<b>17-Jul</b>	Succeeded	<b>18-Jul</b>	Succeeded
<b>20-Jul</b>	Succeeded	<b>21-Jul</b>	Succeeded
<b>24-Jul</b>	Succeeded	<b>25-Jul</b>	Succeeded
<b>26-Jul</b>	Succeeded	<b>9-Aug</b>	No event
<b>31-Aug</b>	No event	<b>1-Sep</b>	No event
<b>22-Sep</b>	No event		

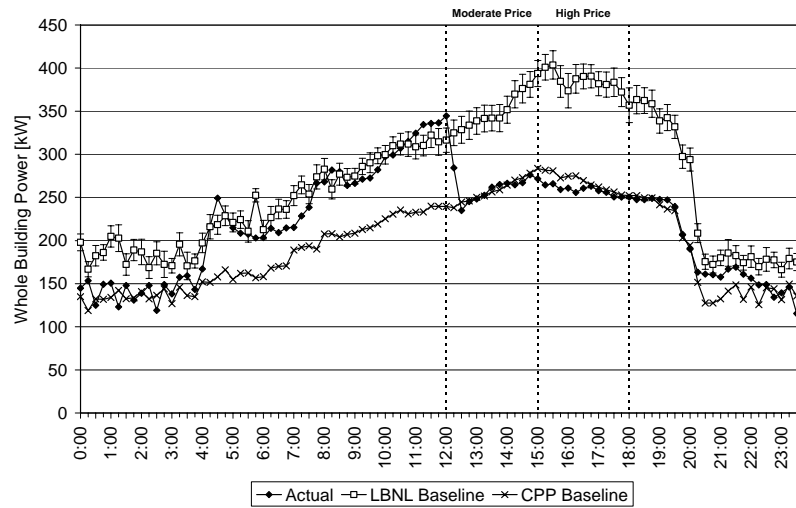
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

ACWD, 6/21/2006 (Max OAT: 90 °F)



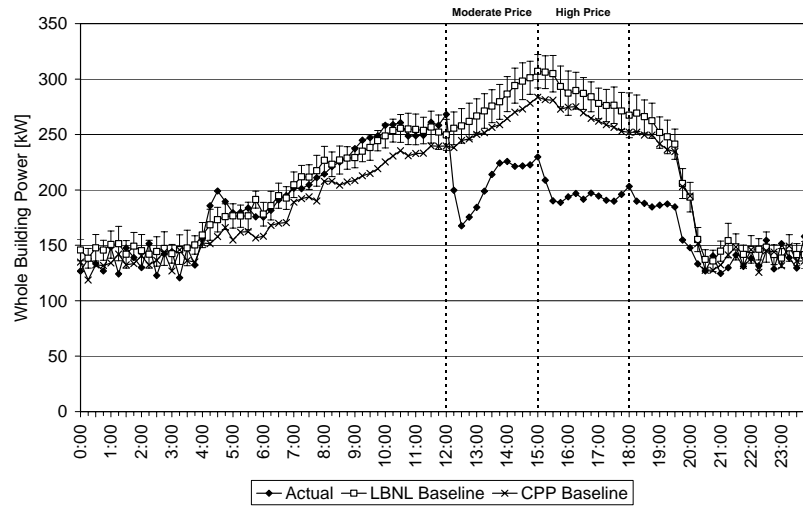
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	82	68	1.60	1.33	28%	22%
	High Price	121	98	2.36	1.92	34%	29%

ACWD, 6/22/2006 (Max OAT: 94 °F)



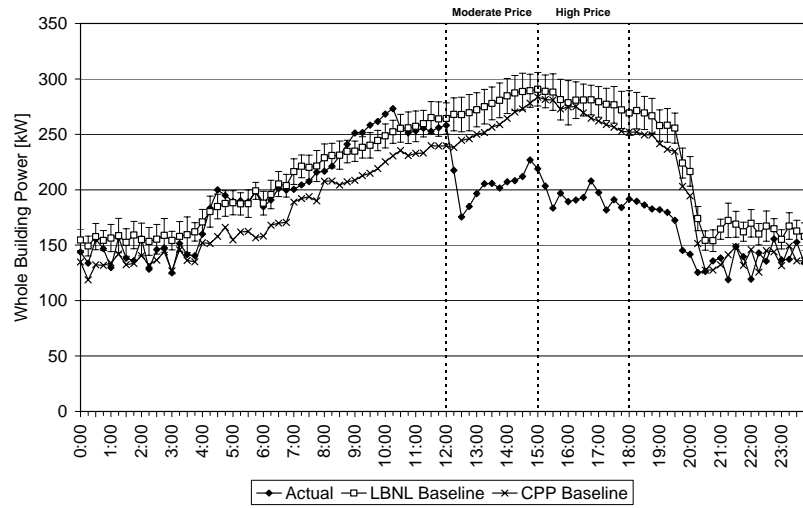
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	125	94	2.45	1.83	32%	26%
	High Price	141	129	2.76	2.53	35%	33%

ACWD, 6/23/2006 (Max OAT: 80 °F)



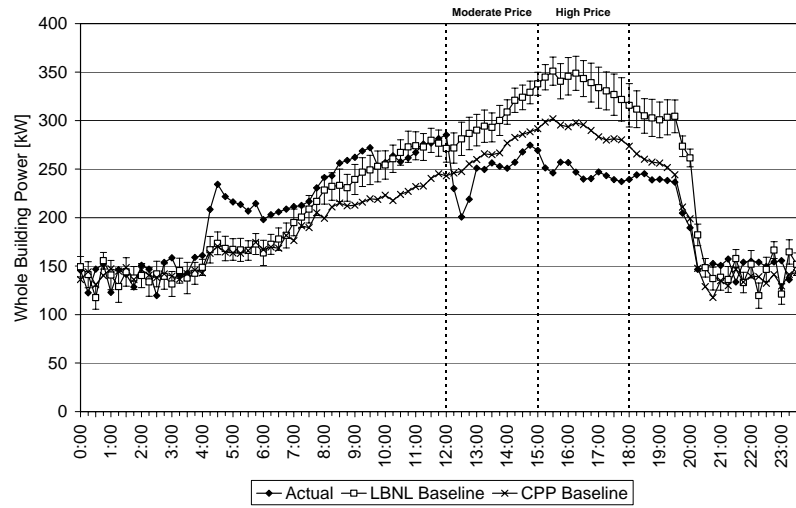
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	95	77	1.85	1.51	36%	27%
	High Price	119	95	2.33	1.85	39%	33%

ACWD, 6/26/2006 (Max OAT: 76 °F)



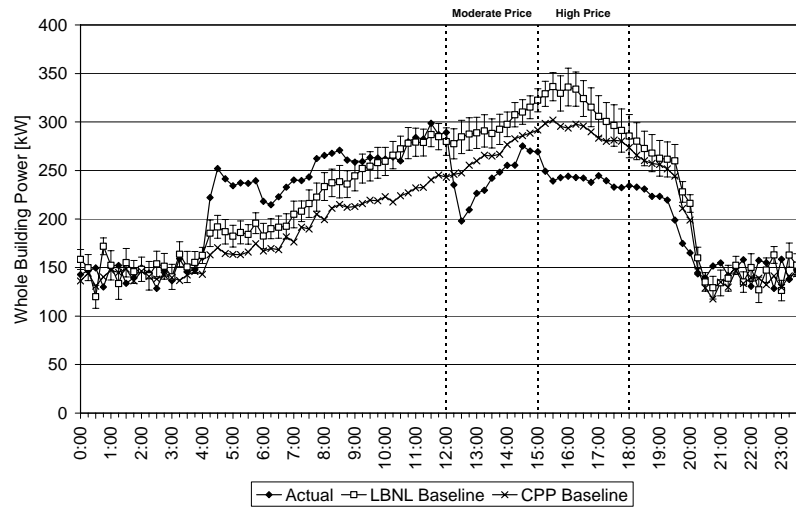
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	97	78	1.89	1.53	36%	28%
	High Price	109	91	2.12	1.78	37%	32%

ACWD, 7/17/2006 (Max OAT: 92 °F)



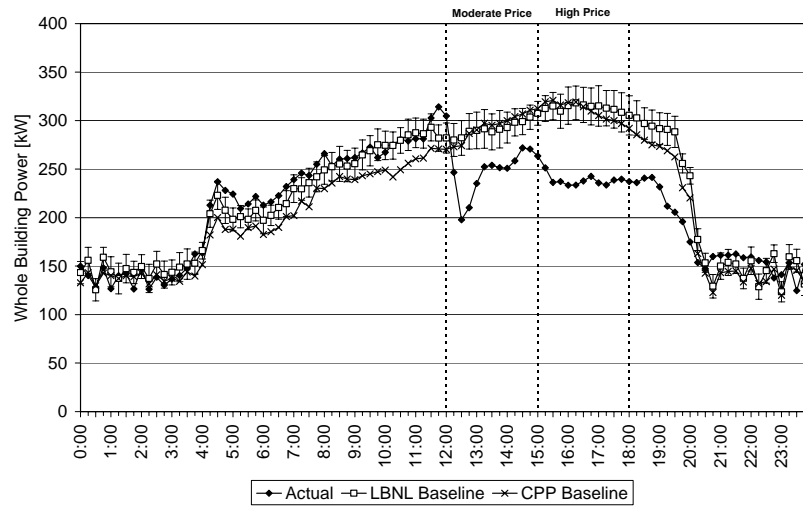
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	84	58	1.64	1.14	29%	19%
	High Price	108	95	2.12	1.85	31%	28%

ACWD, 7/18/2006 (Max OAT: 87 °F)



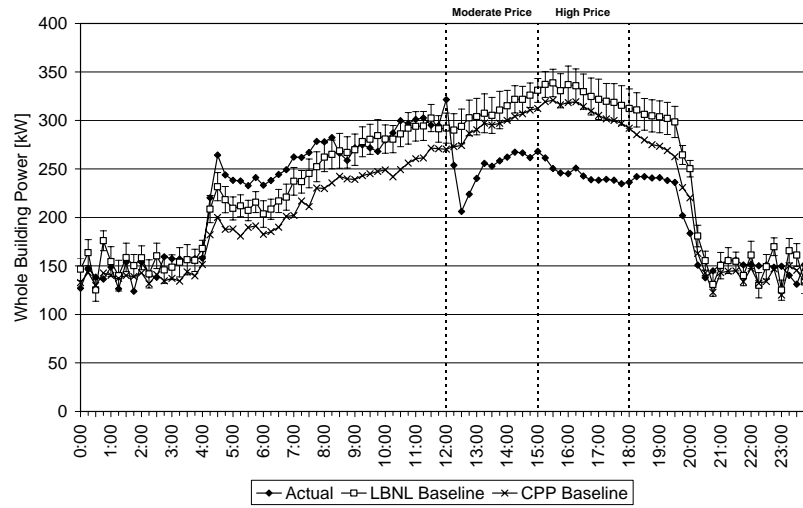
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	90	58	1.76	1.12	31%	19%
	High Price	101	79	1.97	1.54	30%	24%

ACWD, 7/20/2006 (Max OAT: 85 °F)



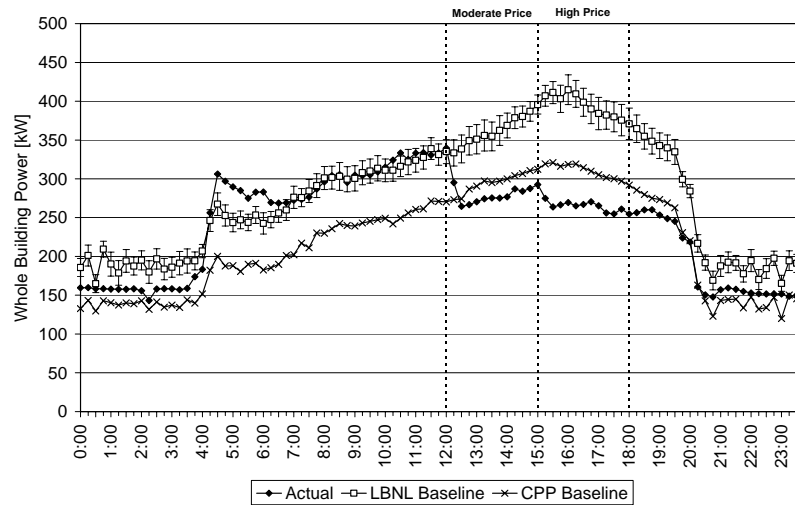
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	87	49	1.70	0.95	31%	17%
	High Price	87	78	1.71	1.52	27%	25%

ACWD, 7/21/2006 (Max OAT: 88 °F)



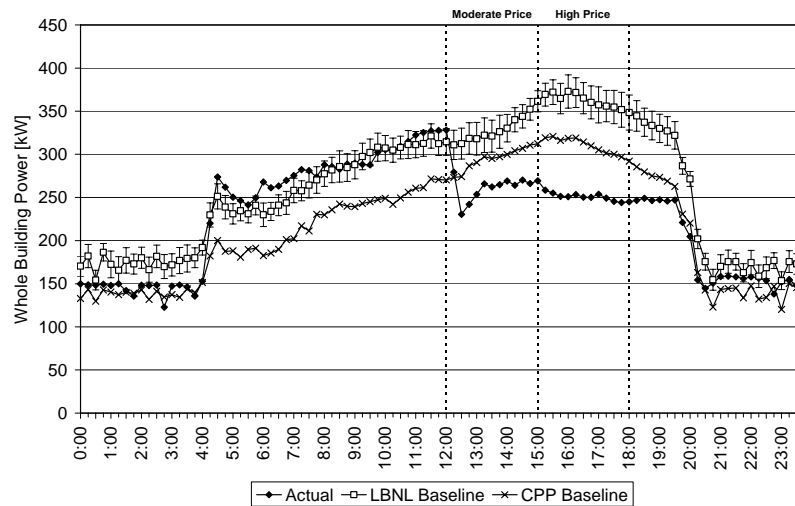
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	91	62	1.77	1.22	31%	20%
	High Price	95	86	1.85	1.69	28%	26%

ACWD, 7/24/2006 (Max OAT: 95 °F)



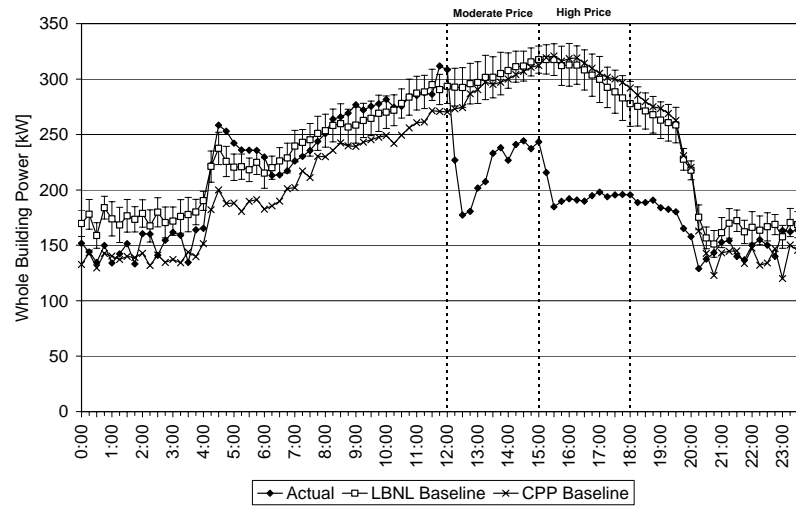
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	106	87	2.08	1.70	27%	24%
	High Price	151	133	2.94	2.60	36%	33%

ACWD, 7/25/2006 (Max OAT: 89 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	95	71	1.86	1.39	27%	21%
	High Price	125	114	2.43	2.23	33%	31%

ACWD, 7/26/2006 (Max OAT: 78 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	121	88	2.36	1.72	40%	29%
	High Price	138	113	2.70	2.21	43%	37%

## D.2. Chabot Space and Science Center, Buildings 1&2

### Chabot Space and Science Center, Buildings 1&2

#### Site Summary

<b>Building Use</b>	Museum	
<b>Industry Classification</b>	Museum	
<b>City</b>	Oakland, CA	
<b>Gross Floor Area</b>	86,000 ft <sup>2</sup>	
<b>Conditioned Area</b>	86,000 ft <sup>2</sup>	
<b># of Buildings, floor</b>	2-building, 2-floor	
<b>Peak Load kW</b>	333 kW	
<b>Peak W/ft<sup>2</sup></b>	3.87 W/ft <sup>2</sup>	
<b>Tenant Type</b>	Visitors, employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Wed-Thu: 10am-5pm Fri-Sat: 10am-10pm Sun: 11am - 5pm	
<b>Non-weekday Schedule</b>	Mon&Tue	
<b>Building Details</b>	Consists of 2 buildings including museum exhibit areas, auditorium, and offices. Building structure consists of high-concrete mass.	

#### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume with Reheat. No global setpoint adjustment capability. Normally operates at 74 °F cooling, 72 °F heating setpoint.
<b>Air Handler Unit</b>	Supply fan with VSD.
<b>Cooling Plant</b>	Total 230 tons VFD Centrifugal chiller (approx 119 kW).
<b>Heating Plant</b>	Information not available
<b>HVAC Control System</b>	YAMAS. Viewable and controllable onsite. Data trending capability.
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	The lighting system has dimmable ballasts.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	EMCS trends collect zone conditions, AHU, and central plant data.

### Auto-CPP System Summary

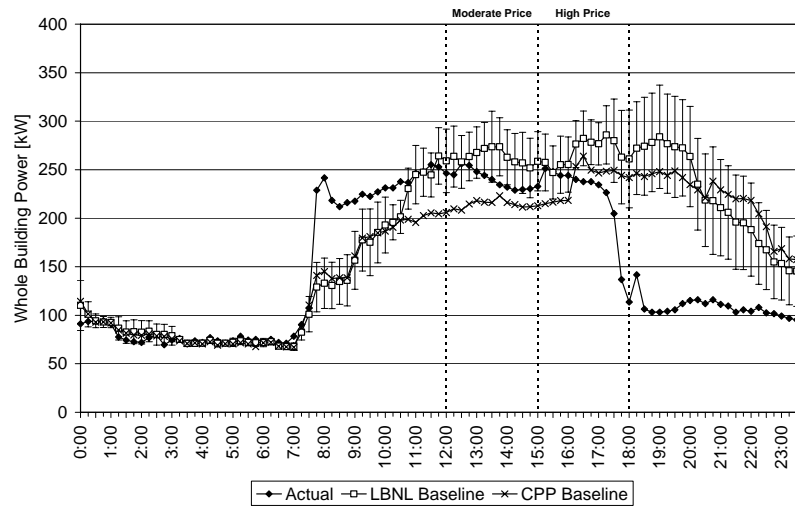
<b>Communication Method</b>		Relay at site	
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>		Mod=Yes High=Yes Notification=Yes	
<b>Shed Strategies</b>	<b>Pre-event</b>	► Free cooling when the OAT is below 62 °F ► Pre-cooling until noon at 70 °F average zone temp.	
	<b>Moderate Price</b>	► Drift zone setpoint to 74 °F, 4/3 °F each hour	
	<b>High Price</b>	► Drift zone setpoint to 78 °F, 4/3 °F each hour	
	<b>Slow Recovery</b>	None.	

### Event Results

Event Date	Participation	Event Date	Participation
<b>21-Jun</b>	Not visible	<b>22-Jun</b>	Not visible
<b>23-Jun</b>	Not visible	<b>26-Jun</b>	Closed
<b>17-Jul</b>	Closed	<b>18-Jul</b>	Closed
<b>20-Jul</b>	Succeeded	<b>21-Jul</b>	Succeeded
<b>24-Jul</b>	Closed	<b>25-Jul</b>	Closed
<b>26-Jul</b>	Succeeded	<b>9-Aug</b>	No event
<b>31-Aug</b>	No event	<b>1-Sep</b>	No event
<b>22-Sep</b>	No event		

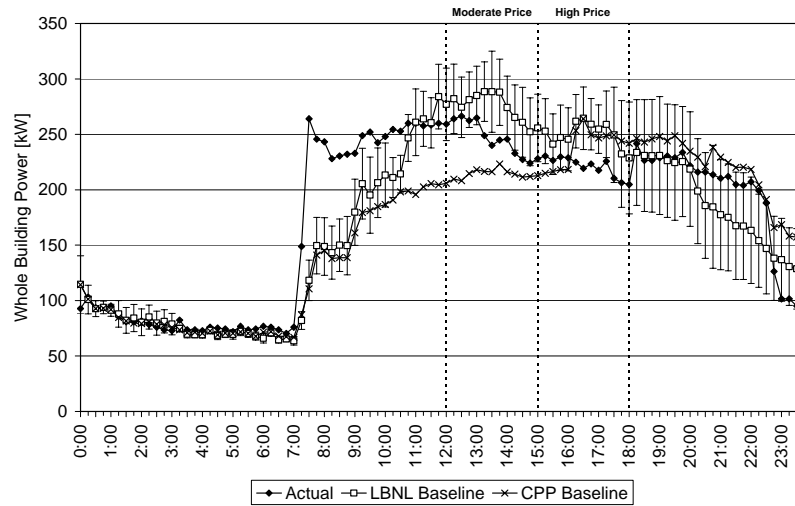
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Chabot, 6/21/2006 (Max OAT: 92 °F)



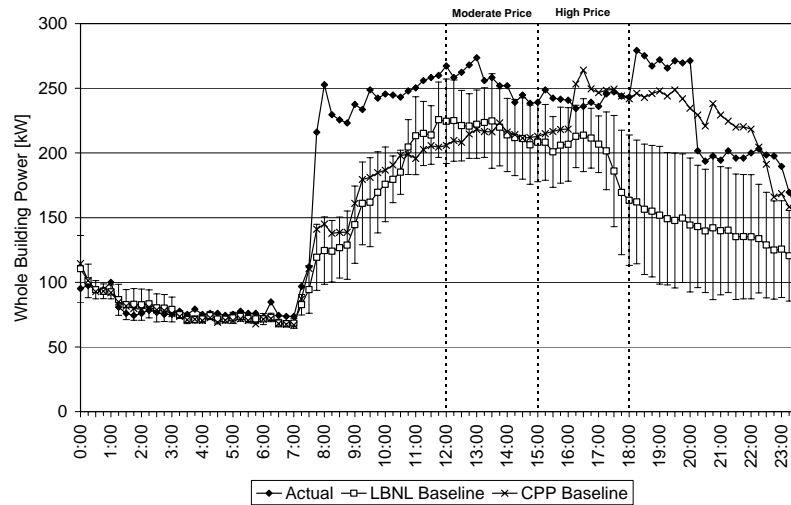
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	39	24	0.46	0.28	14%	9%
	High Price	148	50	1.72	0.58	56%	18%

Chabot, 6/22/2006 (Max OAT: 95 °F)



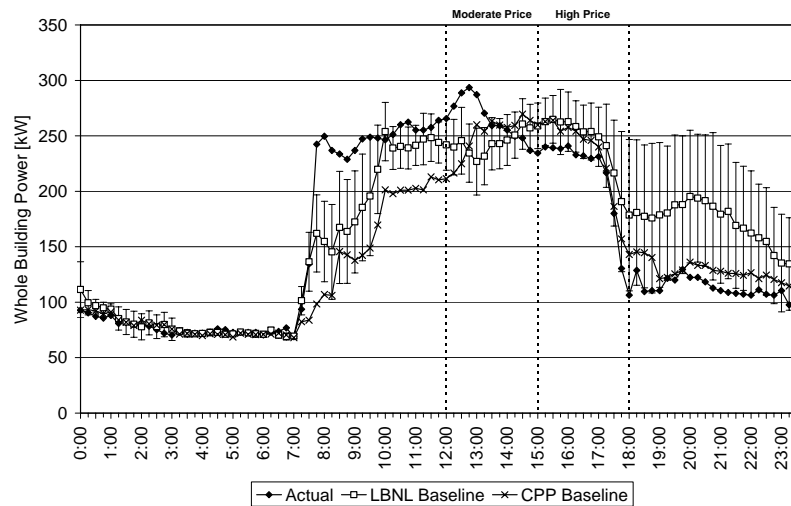
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	48	29	0.56	0.34	17%	11%
	High Price	45	29	0.53	0.34	17%	12%

Chabot, 6/23/2006 (Max OAT: 80 °F)



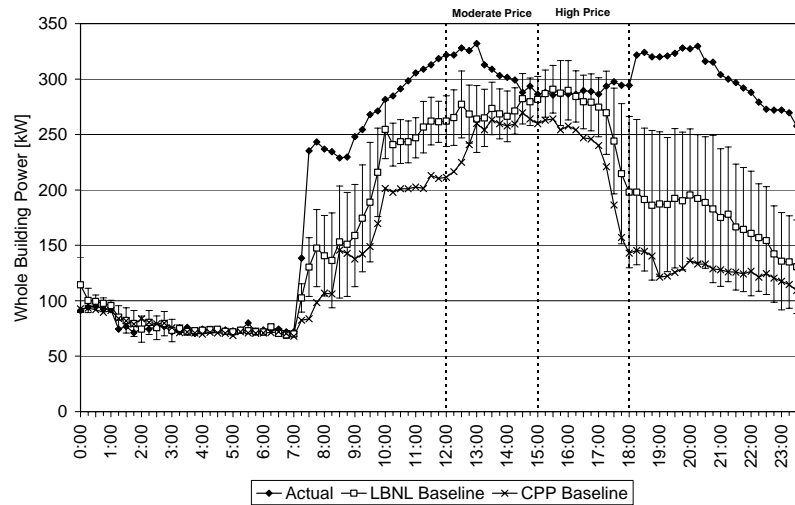
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	-27	-36	-0.32	-0.42	-13%	-17%
	High Price	-22	-43	-0.25	-0.50	-10%	-22%

Chabot, 7/20/2006 (Max OAT: 85 °F)



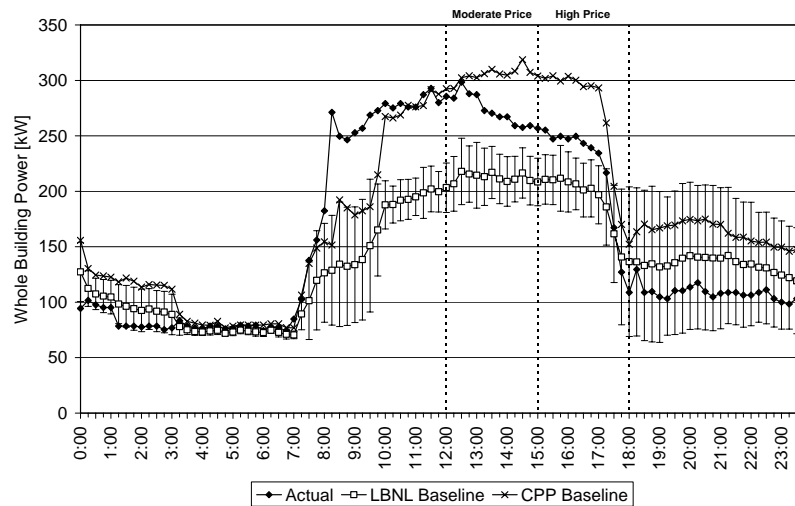
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	25	-18	0.29	-0.21	9%	-8%
	High Price	72	31	0.84	0.37	40%	14%

Chabot, 7/21/2006 (Max OAT: 89 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	-5	-37	-0.05	-0.42	-2%	-14%
	High Price	5	-23	0.06	-0.27	2%	-11%

Chabot, 7/26/2006 (Max OAT: 75 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	-41	-60	-0.48	-0.70	-19%	-28%
	High Price	28	-26	0.32	-0.30	20%	-12%

### D.3. Contra Costa County, 2530 Arnold

#### Contra Costa County, 2530 Arnold

##### Site Summary

<b>Building Use</b>	Office	
<b>Industry Classification</b>	County government	
<b>City</b>	Martinez, CA	
<b>Gross Floor Area</b>	131,000 ft <sup>2</sup>	
<b>Conditioned Area</b>	131,000 ft <sup>2</sup>	
<b># of Buildings, floor</b>	1-building, 4-floor	
<b>Peak Load kW</b>	528 kW	
<b>Peak W/ft<sup>2</sup></b>	4.03 W/ft <sup>2</sup>	
<b>Tenant Type</b>	County employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri: 5am-6pm	
<b>Non-weekday Schedule</b>	Sat&Sun	
<b>Building Details</b>	None.	

##### HVAC System Summary

<b>Air Distribution Type</b>	Single duct Variable Air Volume with perimeter reheat
<b>Air Handler Unit</b>	(5) 60 ton rooftop package units with DX cooling and 8 equal compressor stages.
<b>Cooling Plant</b>	-
<b>Heating Plant</b>	Separate direct fired natural gas rooftop package
<b>HVAC Control System</b>	Alerton Control using BACtalk, operating on local workstations.
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

##### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	EMCS trends collect RTU parameters and zone temp.

##### Auto-CPP System Summary

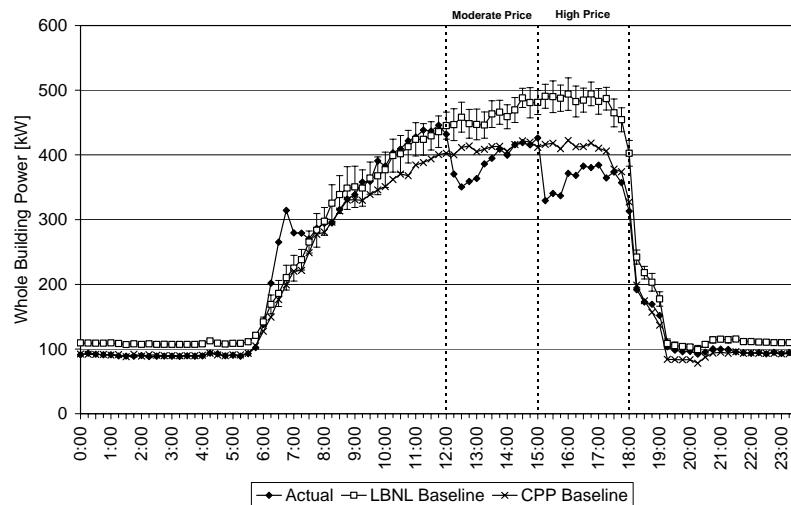
<b>Communication Method</b>	Relay w/WAN		
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=Yes High=Yes Notification=No		
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► Zone setpoint increased 2 °F (76 °F to 78 °F).	
	<b>High Price</b>	► Zone setpoint 4 °F up (80 °F).	
	<b>Slow Recovery</b>	► VAV boxes are released one at a time over a short time interval.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Succeeded	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

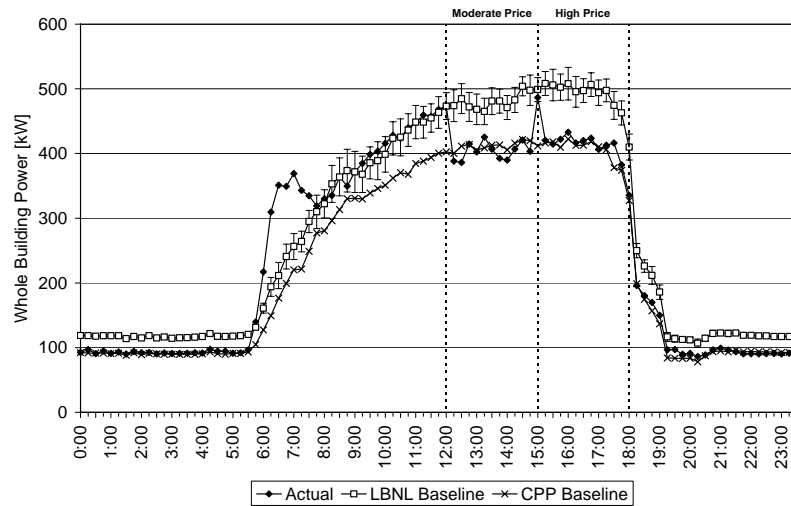
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

2530 Arnold, 6/21/2006 (Max OAT: 102 °F)



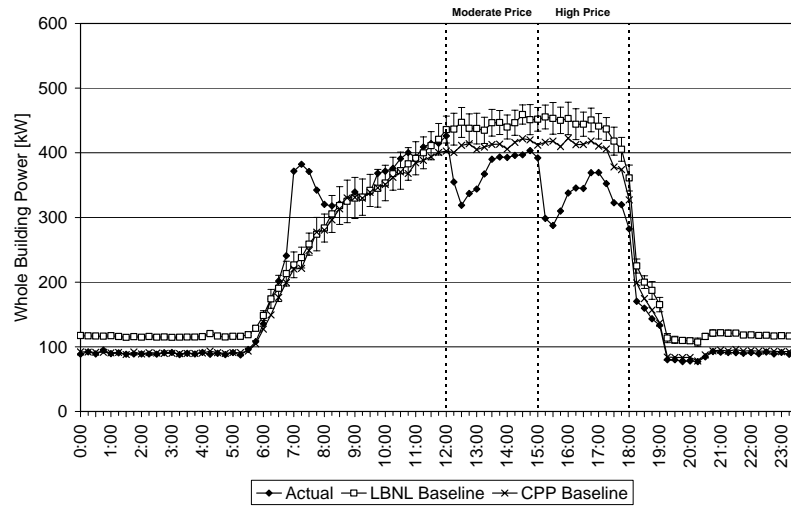
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	114	77	0.87	0.59	25%	16%
	High Price	168	124	1.28	0.95	34%	26%

2530 Arnold, 6/22/2006 (Max OAT: 104 °F)



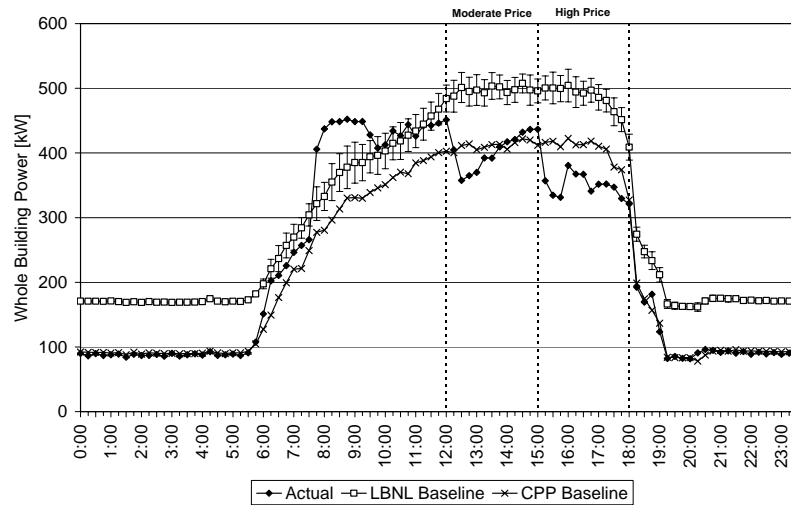
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	106	79	0.81	0.60	22%	16%
	High Price	98	87	0.75	0.66	20%	18%

2530 Arnold, 6/23/2006 (Max OAT: 96 °F)



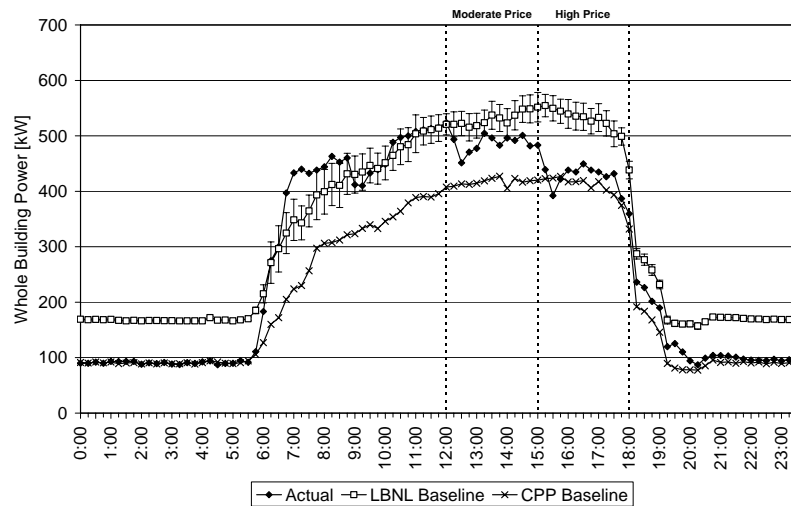
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	135	78	1.03	0.59	30%	17%
	High Price	172	113	1.32	0.86	37%	25%

2530 Arnold, 6/26/2006 (Max OAT: 96 °F)



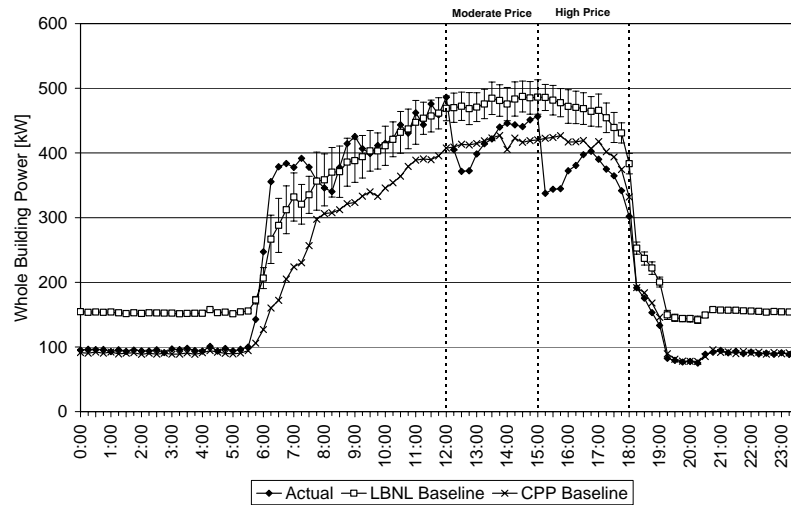
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	151	102	1.15	0.78	30%	20%
	High Price	175	140	1.34	1.07	35%	29%

2530 Arnold, 7/17/2006 (Max OAT: 106 °F)



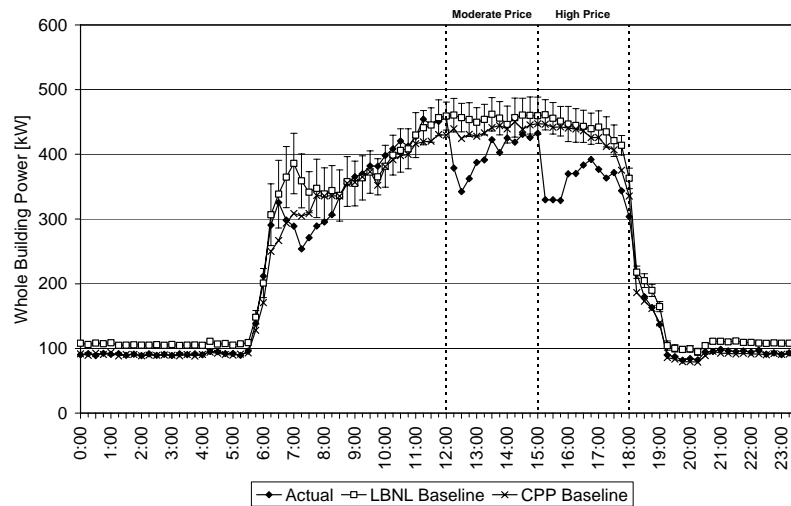
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	73	48	0.56	0.37	14%	9%
	High Price	160	105	1.22	0.80	29%	20%

2530 Arnold, 7/18/2006 (Max OAT: 96 °F)



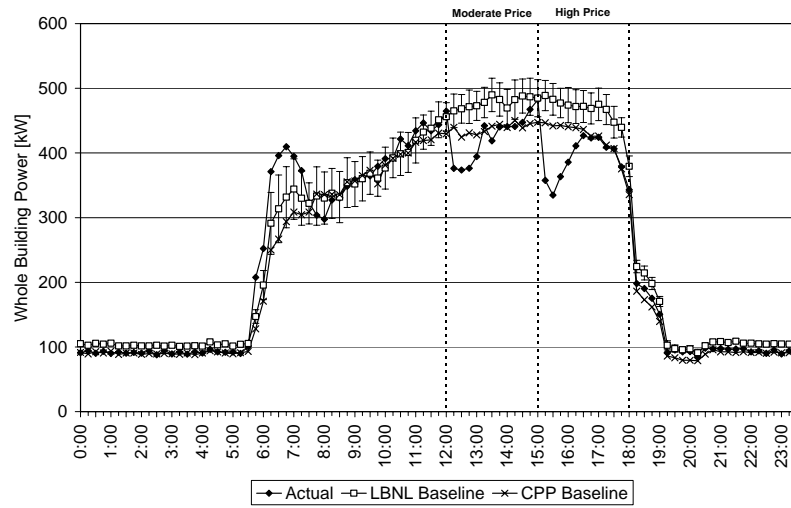
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	106	62	0.81	0.47	22%	13%
	High Price	154	101	1.17	0.77	31%	22%

2530 Arnold, 7/20/2006 (Max OAT: 99 °F)



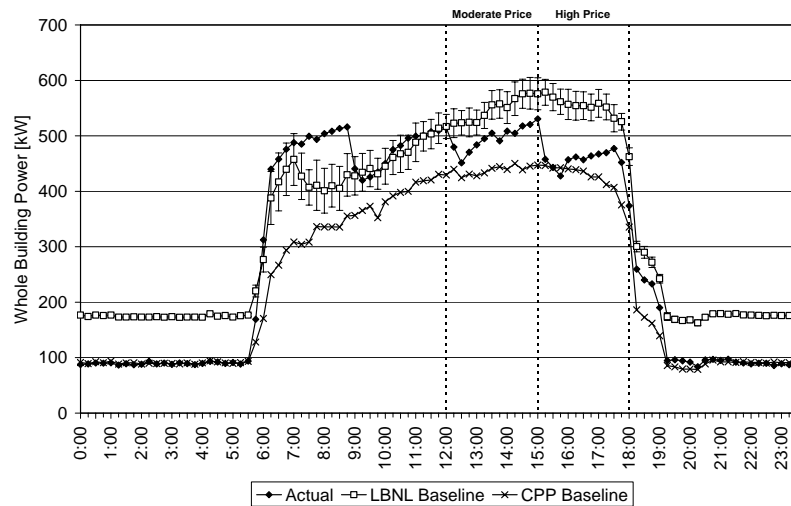
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	121	61	0.93	0.47	26%	13%
	High Price	138	86	1.05	0.66	30%	19%

2530 Arnold, 7/21/2006 (Max OAT: 105 °F)



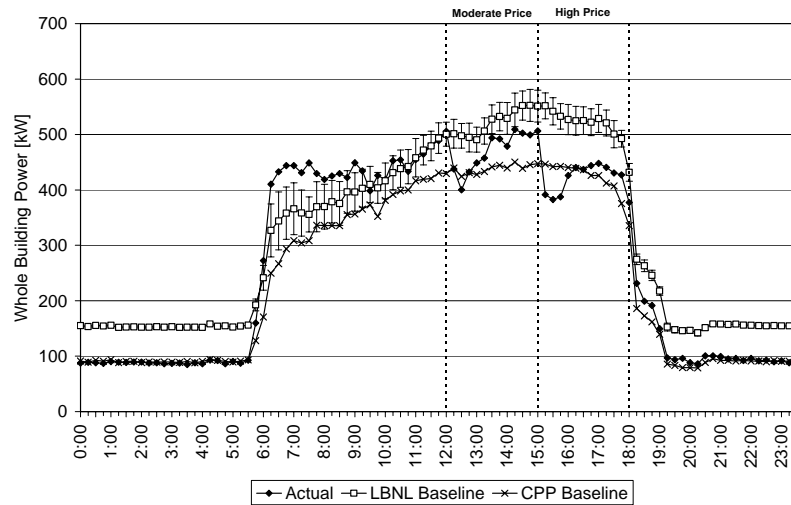
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	102	61	0.78	0.46	21%	13%
	High Price	156	81	1.19	0.62	32%	17%

2530 Arnold, 7/24/2006 (Max OAT: 109 °F)



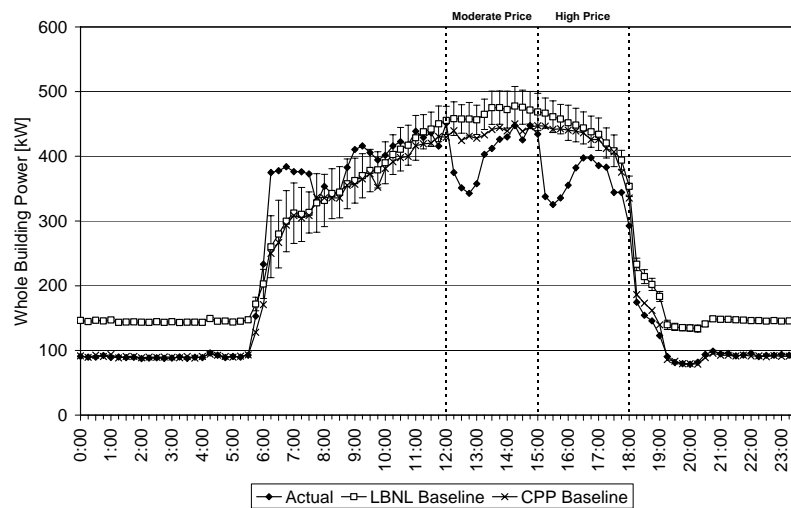
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	76	56	0.58	0.43	14%	10%
	High Price	138	99	1.05	0.76	24%	18%

2530 Arnold, 7/25/2006 (Max OAT: 108 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	103	57	0.78	0.44	20%	11%
	High Price	166	103	1.26	0.78	30%	19%

2530 Arnold, 7/26/2006 (Max OAT: 96 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	122	70	0.93	0.54	26%	15%
	High Price	143	82	1.09	0.62	30%	18%

#### D.4. Contra Costa County, 50 Douglas

##### Contra Costa County, 50 Douglas

###### Site Summary

<b>Building Use</b>	Office	
<b>Industry Classification</b>	County government	
<b>City</b>	Martinez, CA	
<b>Gross Floor Area</b>	90,000 ft²	
<b>Conditioned Area</b>	90,000 ft²	
<b># of Buildings, floor</b>	1-building, 3-floor	
<b>Peak Load kW</b>	422 kW	
<b>Peak W/ft²</b>	4.69 W/ft²	
<b>Tenant Type</b>	County employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri: 5am-6pm	
<b>Non-weekday Schedule</b>	Sat&Sun	
<b>Building Details</b>	Has a building-integrated photovoltaic (PV) array with a maximum power rating of 100 kW. The array is connected on the customer side of the meter.	

###### HVAC System Summary

<b>Air Distribution Type</b>	Single duct Variable Air Volume with perimeter reheat
<b>Air Handler Unit</b>	DX cooling rooftop package: (2) 75 ton with 4 equal compressor stages, and (1) 90 ton with 6 equal compressor stages.
<b>Cooling Plant</b>	-
<b>Heating Plant</b>	Each RTU has direct fired natural gas heaters
<b>HVAC Control System</b>	Alerton Control using BACtalk, operating on local workstations.
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

###### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	EMCS trends collect RTU parameters and zone temp. PV submetering provided by PowerLight Corp.

###### Auto-CPP System Summary

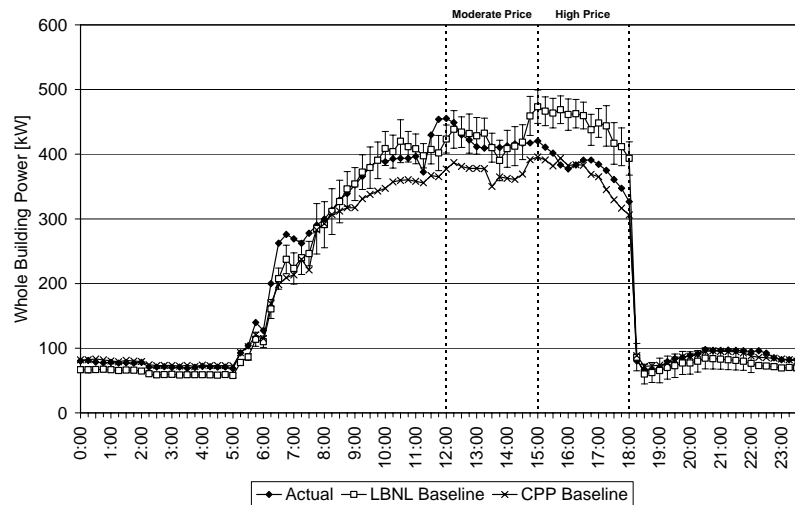
<b>Communication Method</b>	Relay w/WAN		
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=Yes High=Yes Notification=No		
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► Zone setpoint increased 2 °F (76 °F to 78 °F).	
	<b>High Price</b>	► Zone setpoint 4 °F up (80 °F).	
	<b>Slow Recovery</b>	► VAV boxes are released one at a time over a short time interval.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Succeeded	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

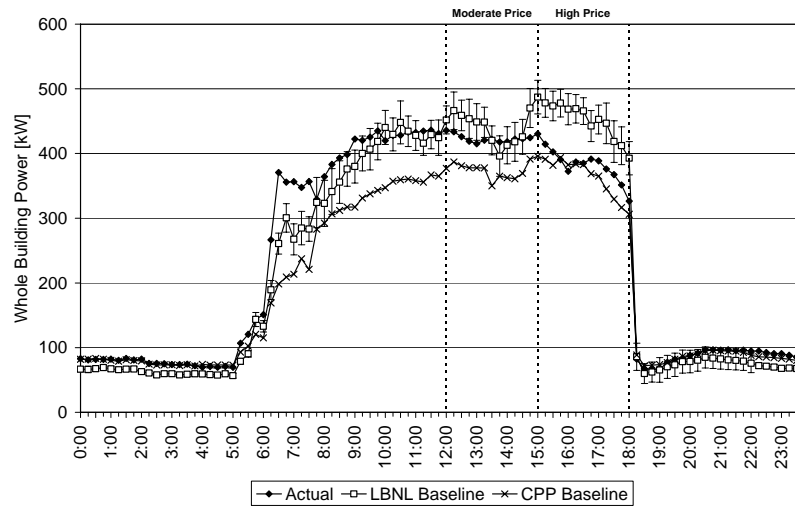
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

50 Douglas, 6/21/2006 (Max OAT: 102 °F)



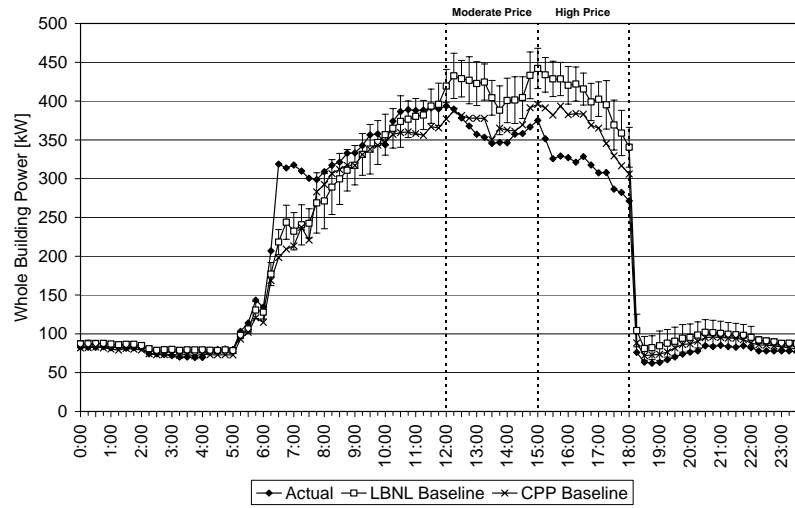
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	52	8	0.58	0.09	11%	2%
	High Price	85	66	0.94	0.73	18%	15%

50 Douglas, 6/22/2006 (Max OAT: 104 °F)



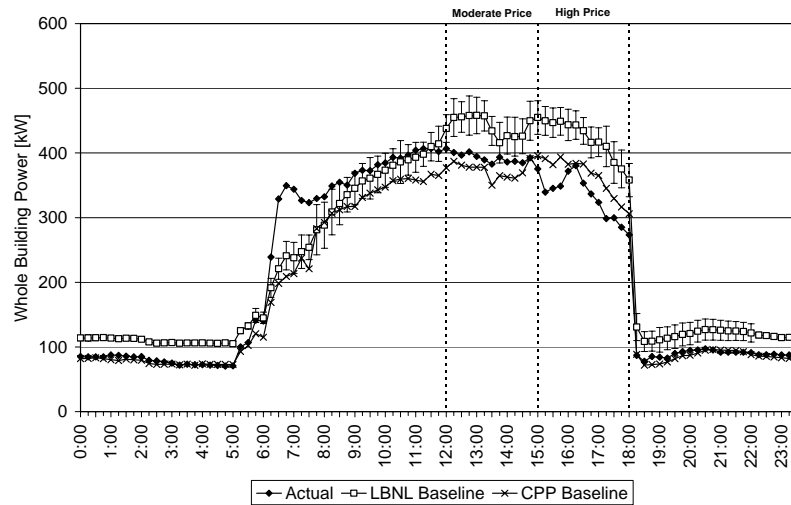
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	59	22	0.66	0.25	12%	5%
	High Price	99	73	1.10	0.81	21%	16%

50 Douglas, 6/23/2006 (Max OAT: 96 °F)



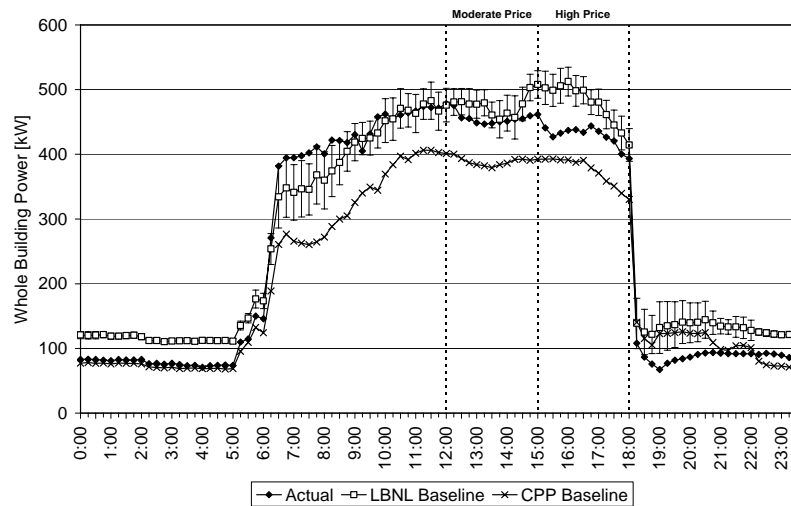
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	75	59	0.83	0.66	17%	14%
	High Price	106	92	1.18	1.02	25%	23%

50 Douglas, 6/26/2006 (Max OAT: 96 °F)



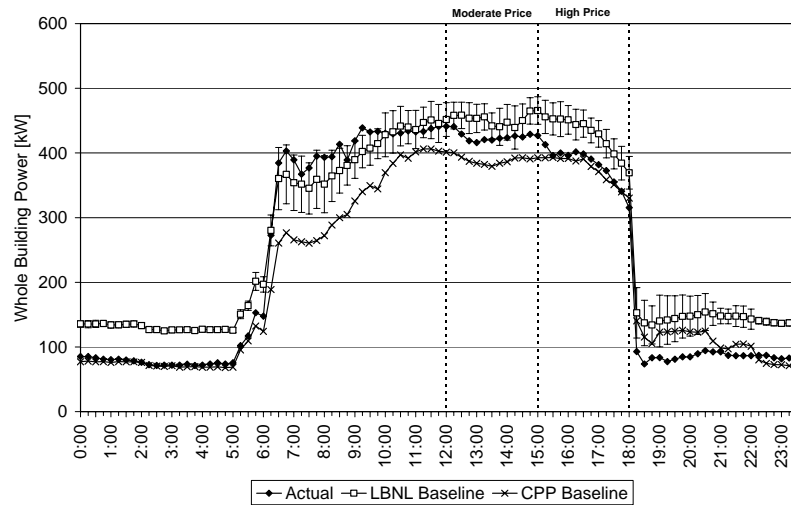
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	84	57	0.93	0.63	18%	13%
	High Price	116	94	1.29	1.04	28%	22%

50 Douglas, 7/17/2006 (Max OAT: 106 °F)



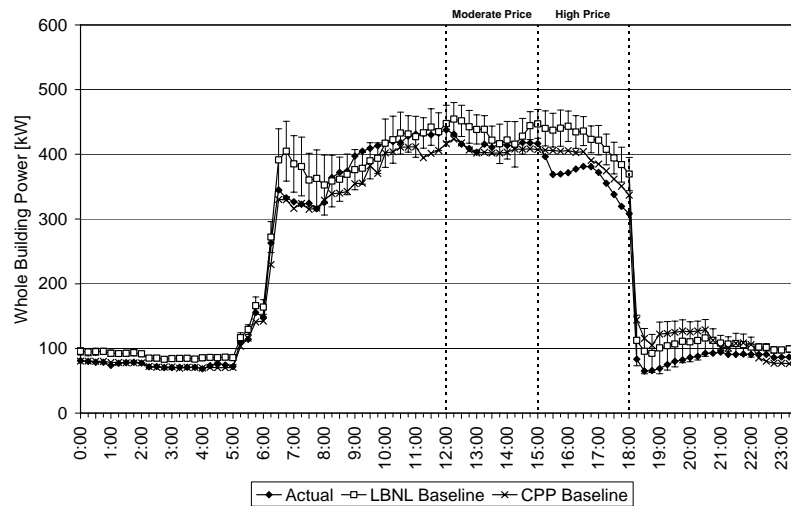
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	47	22	0.52	0.25	9%	5%
	High Price	76	51	0.84	0.56	15%	10%

50 Douglas, 7/18/2006 (Max OAT: 96 °F)



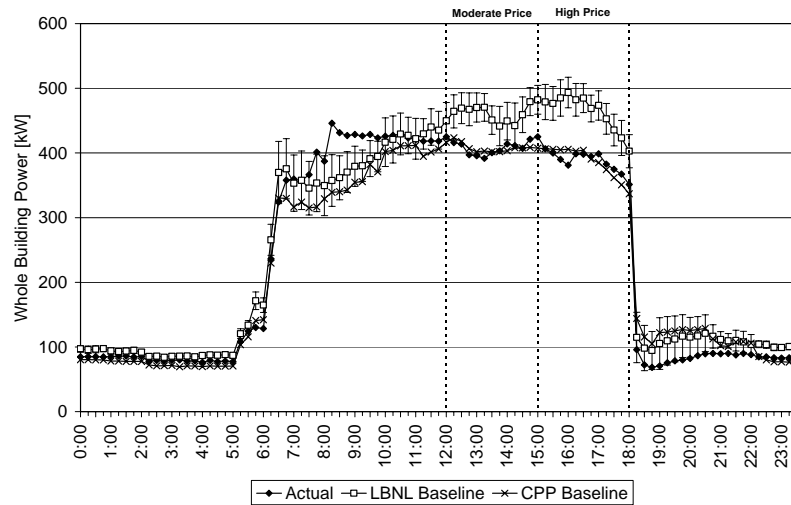
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	40	29	0.44	0.32	9%	6%
	High Price	58	49	0.65	0.54	15%	11%

50 Douglas, 7/20/2006 (Max OAT: 99 °F)



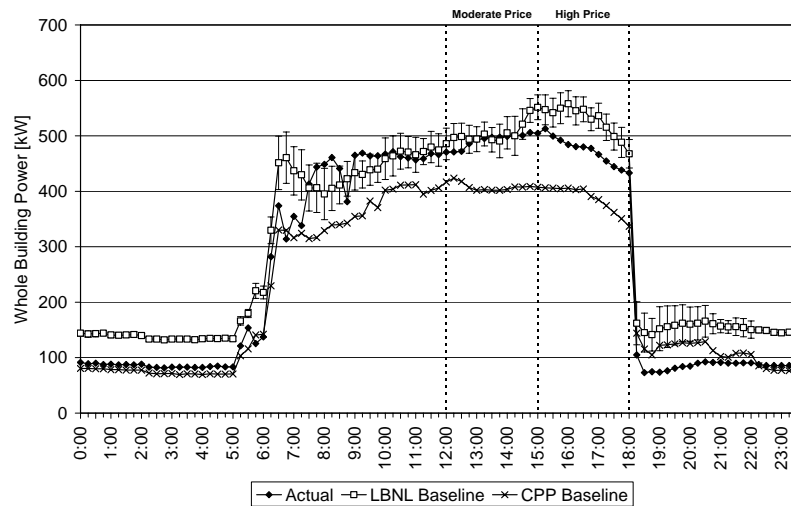
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	38	22	0.42	0.24	8%	5%
	High Price	74	60	0.82	0.66	17%	14%

50 Douglas, 7/21/2006 (Max OAT: 105 °F)



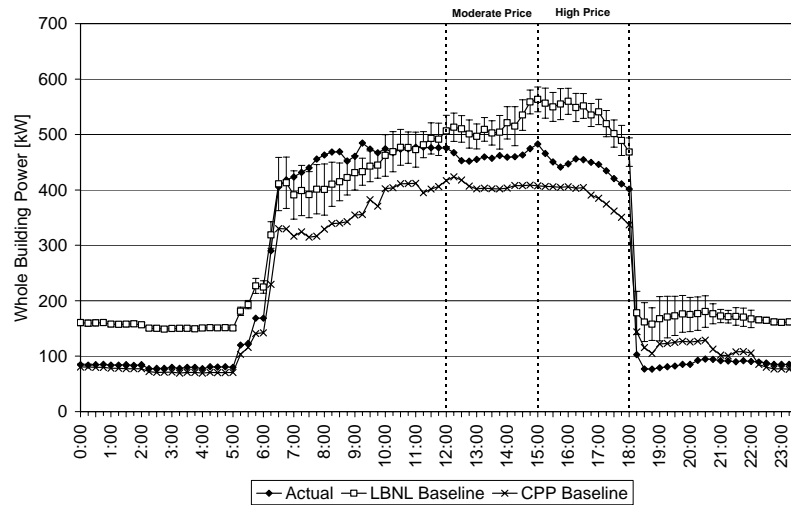
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	83	58	0.92	0.65	17%	12%
	High Price	116	80	1.29	0.89	23%	17%

50 Douglas, 7/24/2006 (Max OAT: 109 °F)



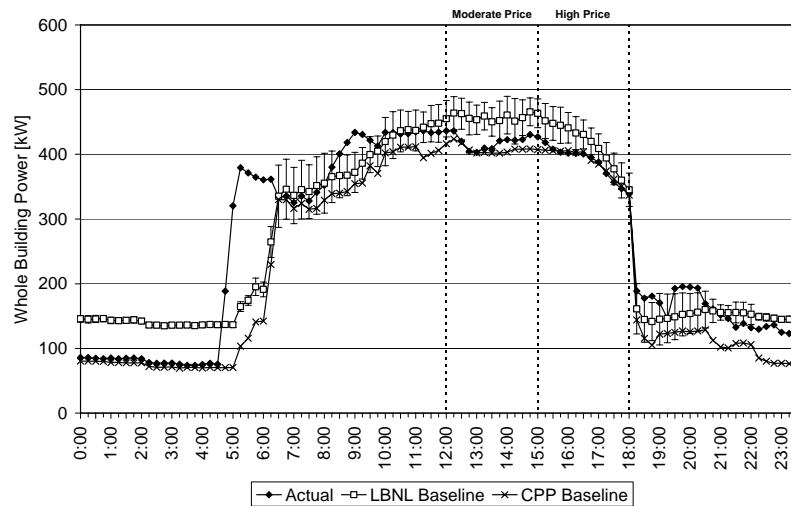
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	49	16	0.55	0.18	9%	3%
	High Price	76	57	0.84	0.64	14%	11%

50 Douglas, 7/25/2006 (Max OAT: 108 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	88	61	0.98	0.68	16%	12%
	High Price	118	95	1.31	1.06	21%	18%


50 Douglas, 7/26/2006 (Max OAT: 96 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	53	41	0.59	0.46	12%	9%
	High Price	44	29	0.49	0.33	10%	7%

## D.5. Contra Costa County, Martinez Detention Facility

### Site Summary

<b>Building Use</b>	Detention facility	
<b>Industry Classification</b>	Detention facility	
<b>City</b>	Martinez, CA	
<b>Gross Floor Area</b>	172,300 ft²	
<b>Conditioned Area</b>	172,300 ft²	
<b># of Buildings, floor</b>	1-building, N/A-floor	
<b>Peak Load kW</b>	561 kW	
<b>Peak W/ft²</b>	3.26 W/ft²	
<b>Tenant Type</b>	Guards	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	N/A	
<b>Non-weekday Schedule</b>	N/A	
<b>Building Details</b>	N/A	

### HVAC System Summary

<b>Air Distribution Type</b>	N/A
<b>Air Handler Unit</b>	N/A
<b>Cooling Plant</b>	N/A
<b>Heating Plant</b>	N/A
<b>HVAC Control System</b>	N/A
<b>DDC Zone Control</b>	N/A
<b>Other Details</b>	N/A

### Data Trending

<b>DDC Zone Control</b>	InterAct=N/A   EMCS Trends=N/A   Submeter=N/A
<b>Data Trending Detail</b>	N/A

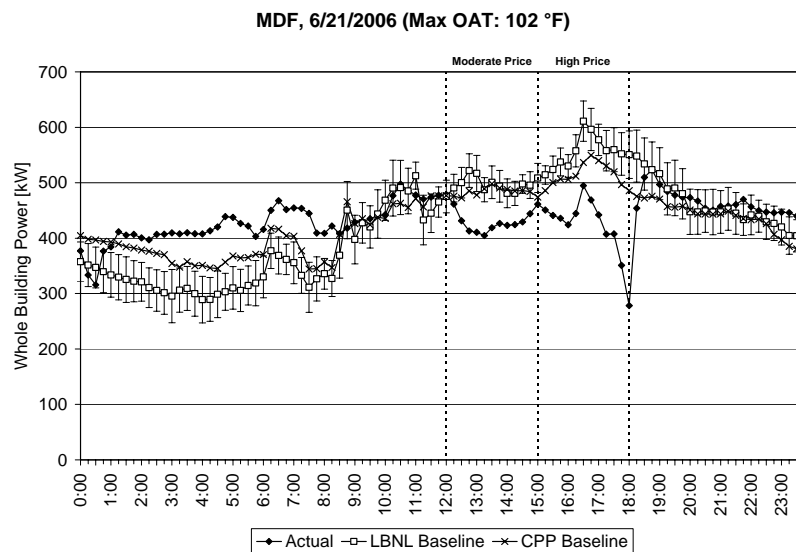
### Auto-CPP System Summary

<b>Communication Method</b>	Relay w/WAN		
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	N/A
<b>Price Client Host</b>	N/A	<b>Client Hosted at Co-Lo</b>	N/A
<b>Price Signal Use</b>	Mod=N/A   High=N/A   Notification=N/A		
<b>Shed Strategies</b>	<b>Pre-event</b>	N/A	
	<b>Moderate Price</b>	N/A	
	<b>High Price</b>	► Raise temperature setting. ► Thinking about lights.	
	<b>Slow Recovery</b>	N/A	

## Event Results

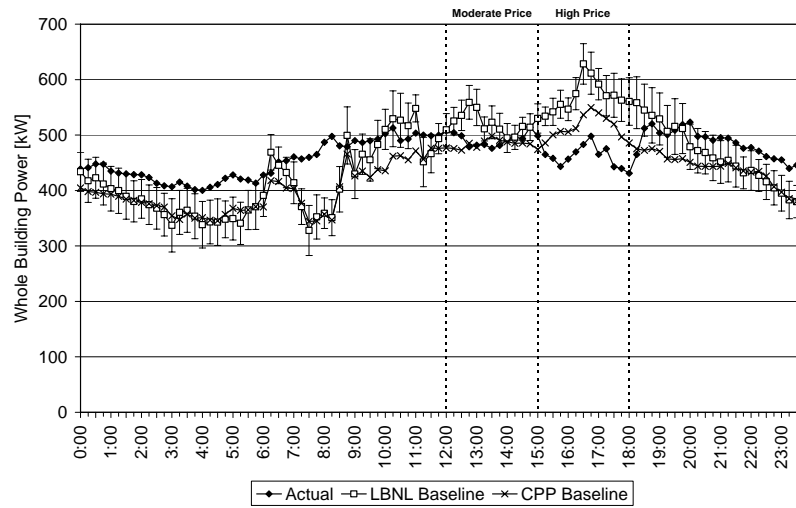
Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Not visible	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.



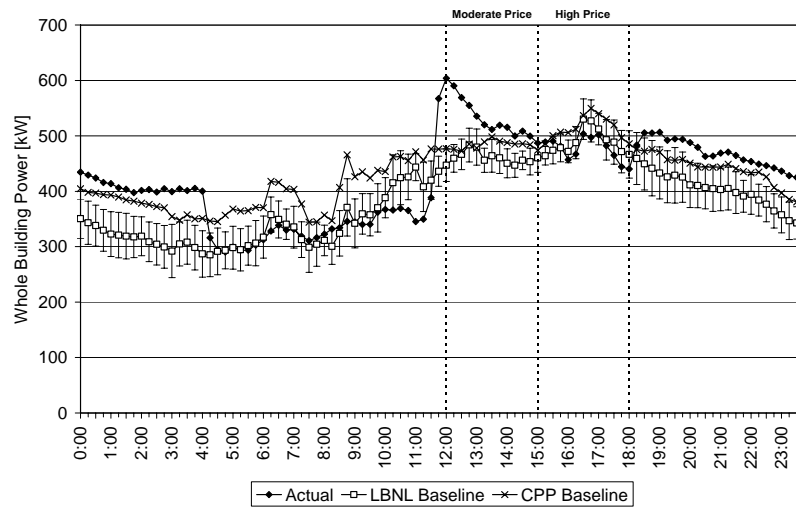
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	111	71	0.65	0.41	21%	14%
	High Price	275	138	1.59	0.80	50%	25%

MDF, 6/22/2006 (Max OAT: 104 °F)



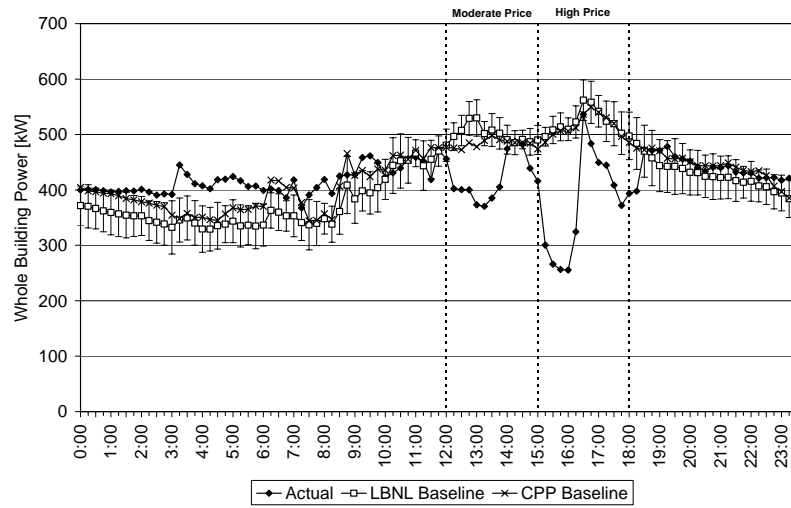
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	82	32	0.48	0.19	15%	6%
	High Price	147	112	0.85	0.65	23%	19%

MDF, 6/23/2006 (Max OAT: 96 °F)



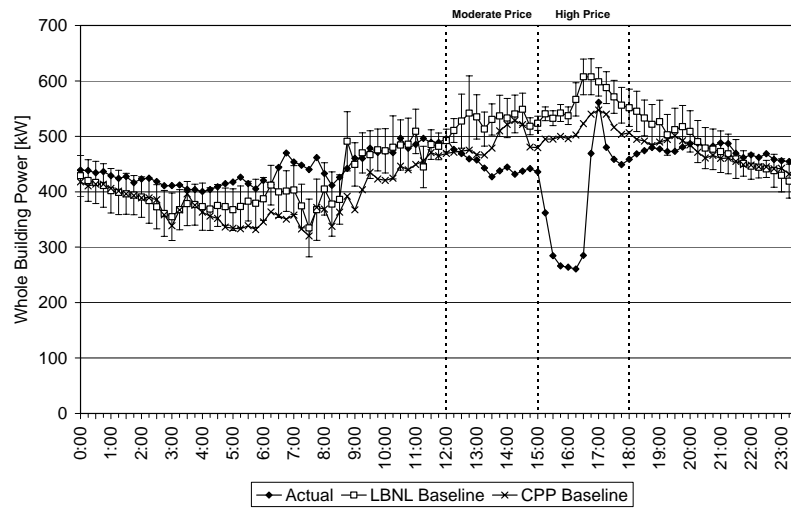
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	-37	-75	-0.22	-0.44	-8%	-17%
	High Price	19	1	0.11	0.01	4%	0%

MDF, 6/26/2006 (Max OAT: 96 °F)



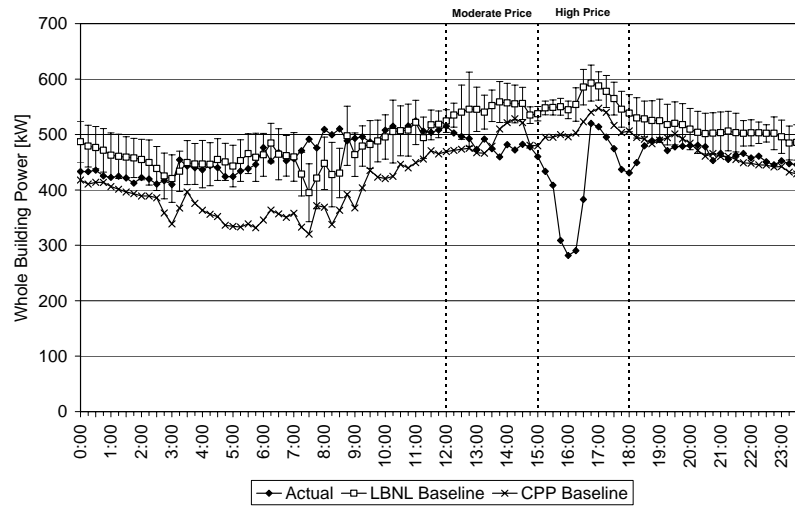
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	165	90	0.96	0.52	31%	17%
	High Price	265	155	1.54	0.90	51%	30%

MDF, 7/17/2006 (Max OAT: 106 °F)



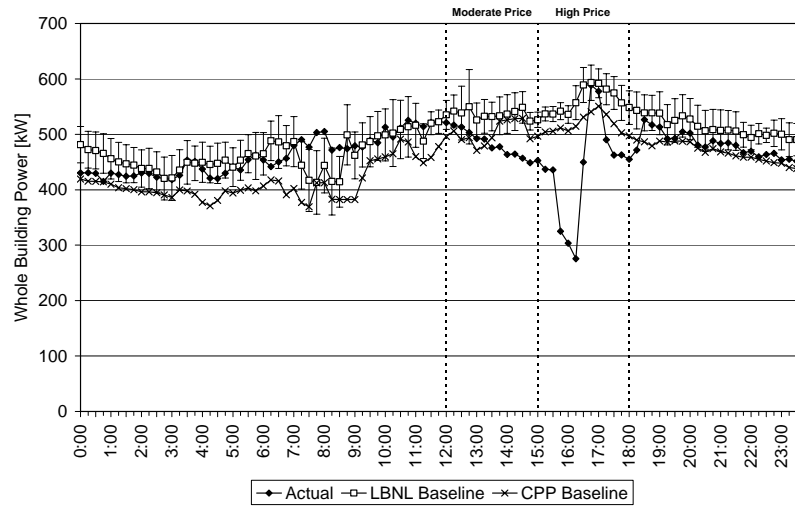
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	115	86	0.66	0.50	21%	16%
	High Price	325	186	1.89	1.08	54%	33%

MDF, 7/18/2006 (Max OAT: 96 °F)



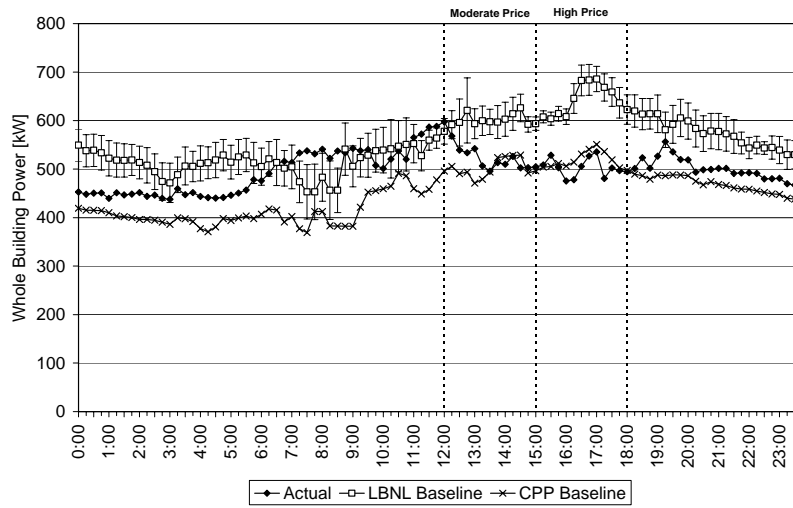
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	102	69	0.59	0.40	18%	12%
	High Price	266	149	1.55	0.87	48%	27%

MDF, 7/20/2006 (Max OAT: 99 °F)



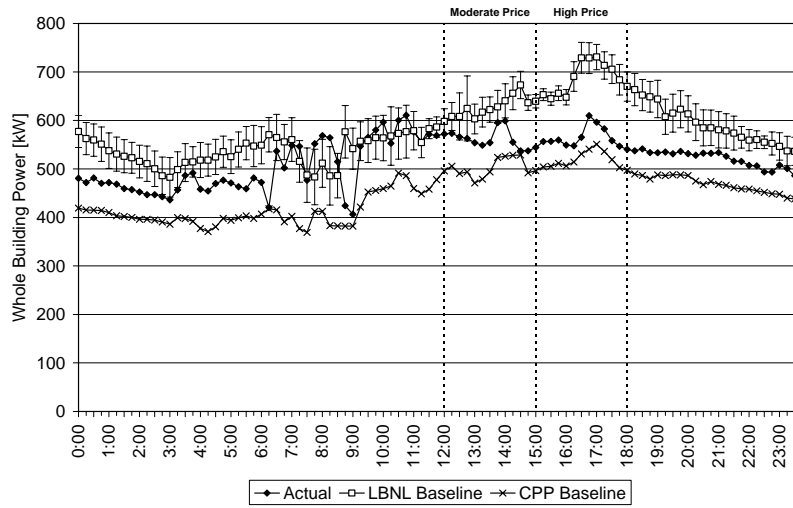
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	94	58	0.54	0.34	17%	11%
	High Price	284	125	1.65	0.73	51%	23%

MDF, 7/21/2006 (Max OAT: 105 °F)



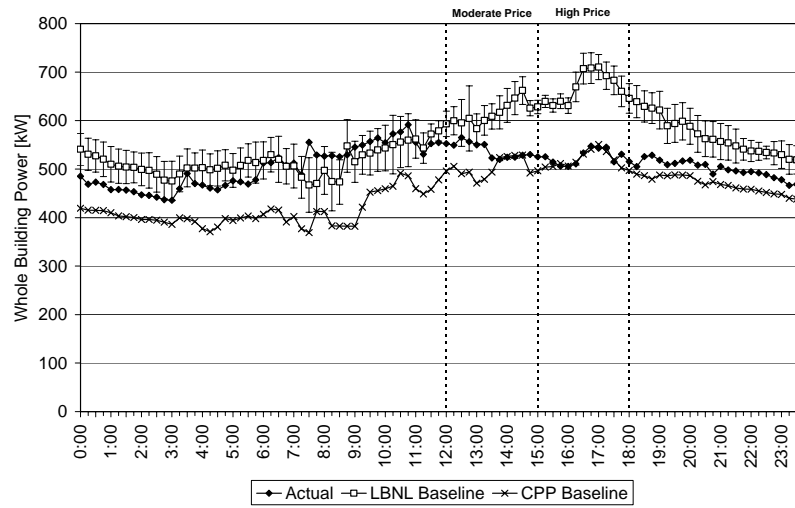
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	125	84	0.73	0.49	20%	14%
	High Price	189	142	1.10	0.82	28%	22%

MDF, 7/24/2006 (Max OAT: 109 °F)



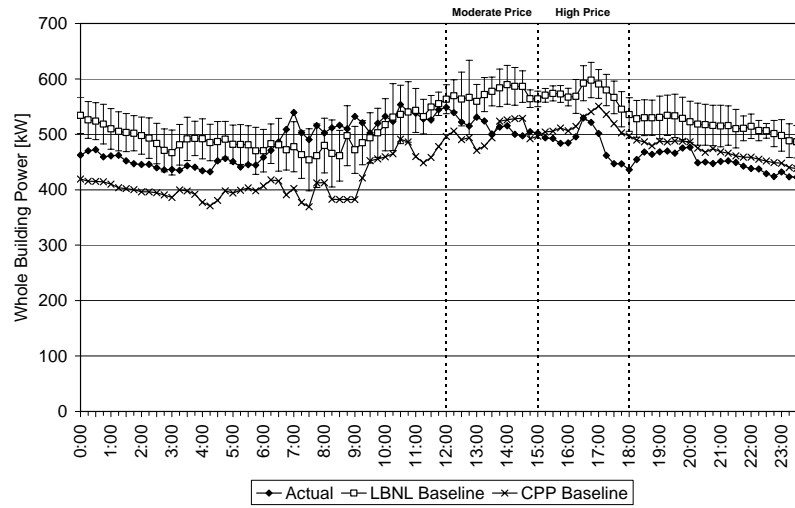
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	139	72	0.80	0.42	21%	11%
	High Price	167	127	0.97	0.73	23%	18%

MDF, 7/25/2006 (Max OAT: 108 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	138	84	0.80	0.49	21%	13%
	High Price	178	148	1.03	0.86	25%	22%

MDF, 7/26/2006 (Max OAT: 96 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	92	62	0.53	0.36	16%	11%
	High Price	122	91	0.71	0.53	21%	16%

## D.6. Echelon, San Jose Headquarters

### Echelon, San Jose Headquarter

#### Site Summary

<b>Building Use</b>	Hi-tech office
<b>Industry Classification</b>	Industrial Control Manufacturing
<b>City</b>	San Jose, CA
<b>Gross Floor Area</b>	75,000 ft <sup>2</sup>
<b>Conditioned Area</b>	75,000 ft <sup>2</sup>
<b># of Buildings, floor</b>	1-building, 3-floor
<b>Peak Load kW</b>	403 kW
<b>Peak W/ft<sup>2</sup></b>	5.37 W/ft <sup>2</sup>
<b>Tenant Type</b>	Company employees
<b>Facility Management</b>	Company-owned
<b>Weekday Schedule</b>	Mon-Fri
<b>Non-weekday Schedule</b>	Sat&Sun
<b>Building Details</b>	Echelon San Jose Headquarter was built as the company's technologies showcase.



#### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume
<b>Air Handler Unit</b>	Total 4,800 tons of roof-top units with VFD. One unit per floor.
<b>Cooling Plant</b>	-
<b>Heating Plant</b>	-
<b>HVAC Control System</b>	All the RTU and VAV are controlled with LonWorks.
<b>DDC Zone Control</b>	Yes.
<b>Other Details</b>	All office spaces are equipped with dimmable ballast lightings.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No.
<b>Data Trending Detail</b>	EMCS trend collects electric demand of RTU, receptacles, and total load for each floor.

### Auto-CPP System Summary

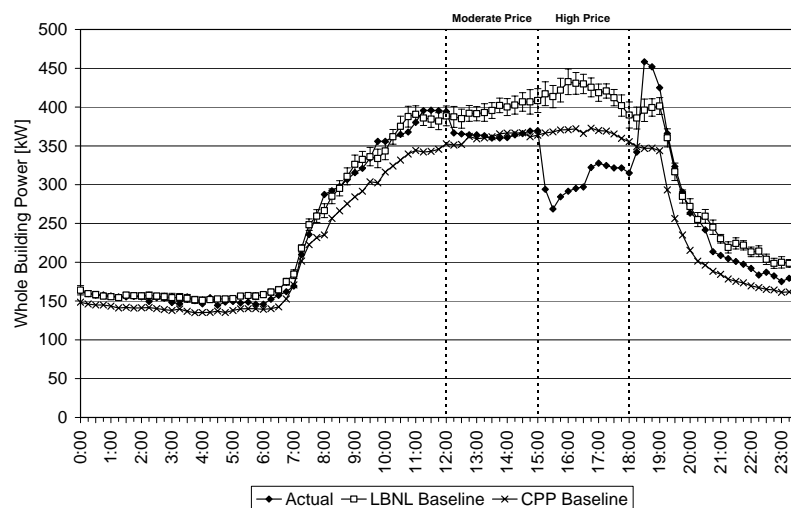
<b>Communication Method</b>		Software client	
<b>Gateway/Relay Device</b>	i.LON	<b>Client Host Location</b>	San Francisco, CA
<b>Price Client Host</b>	Kenmark	<b>Client Hosted at Co-Lo</b>	No
<b>Price Signal Use</b>		Mod=Yes High=Yes Notification=No	
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	<ul style="list-style-type: none"> <li>▶ Hallway lighting turned off where there is ambient light</li> <li>▶ Daylit office lights turned off.</li> <li>▶ Inner office lights dimmed to 20%.</li> </ul>	
	<b>High Price</b>	<ul style="list-style-type: none"> <li>▶ 1 of 3 RTU turned off.</li> <li>▶ DSP reduced from 1.5" to 0.8"</li> <li>▶ SAT increased from 55 to 65°F.</li> </ul>	
	<b>Slow Recovery</b>	None.	

### Event Results

Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Succeeded	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

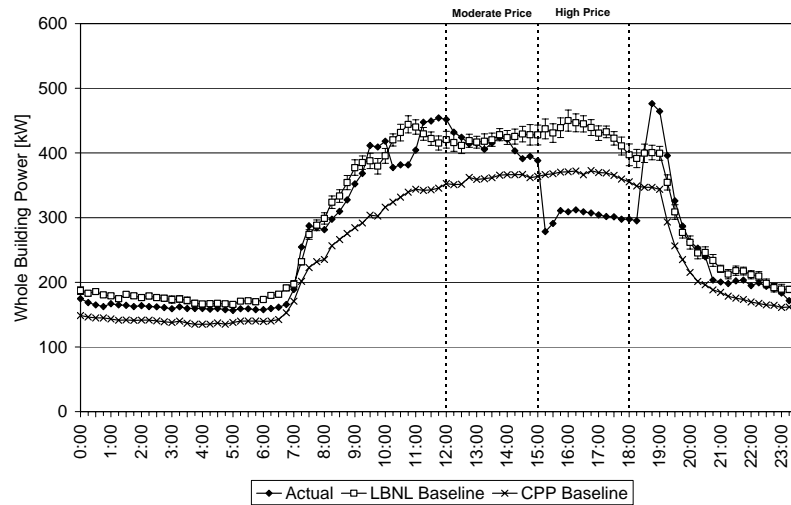
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Echelon, 6/21/2006 (Max OAT: 95 °F)



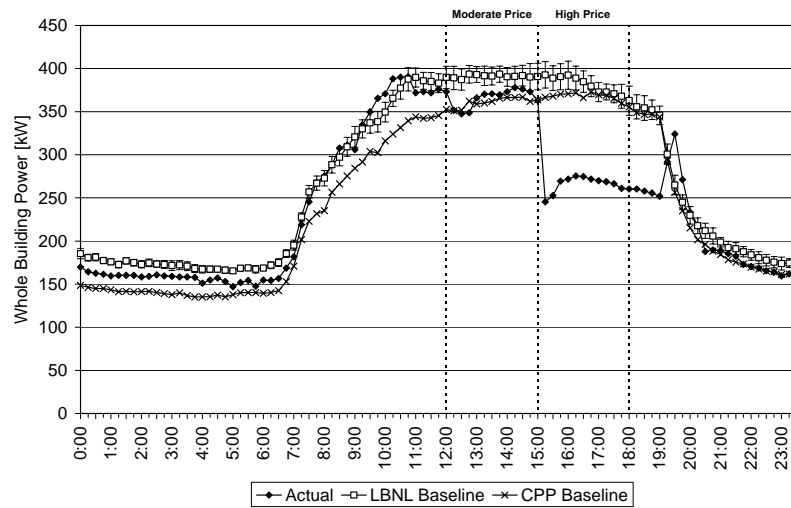
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	44	35	0.58	0.47	11%	9%
	High Price	146	114	1.95	1.52	35%	27%

Echelon, 6/22/2006 (Max OAT: 98 °F)



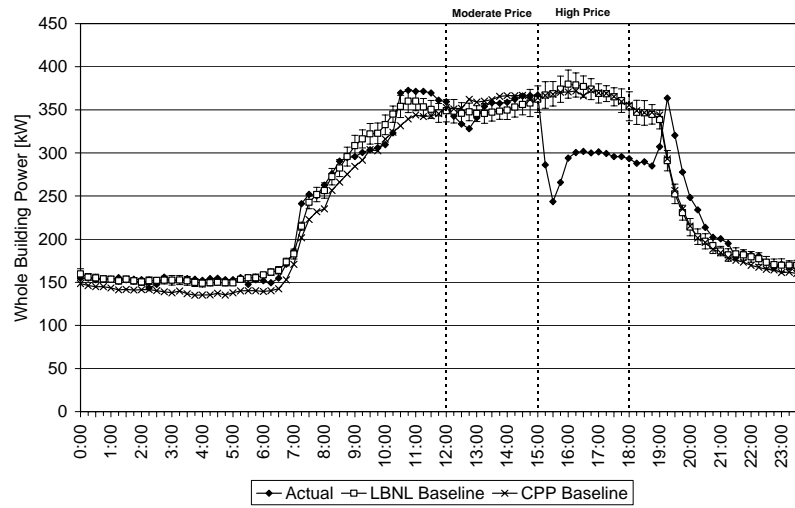
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	38	10	0.51	0.13	9%	2%
	High Price	157	129	2.10	1.72	36%	30%

Echelon, 6/23/2006 (Max OAT: 87 °F)



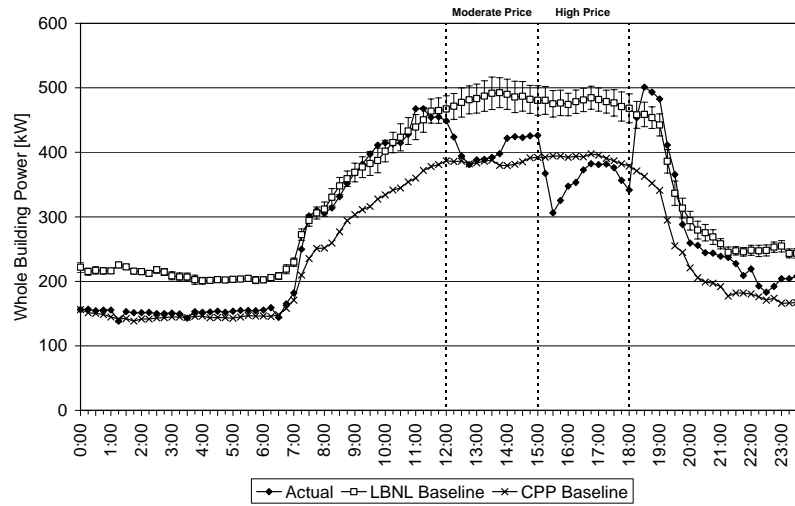
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	48	29	0.64	0.38	12%	7%
	High Price	150	118	2.01	1.57	38%	31%

Echelon, 6/26/2006 (Max OAT: 84 °F)



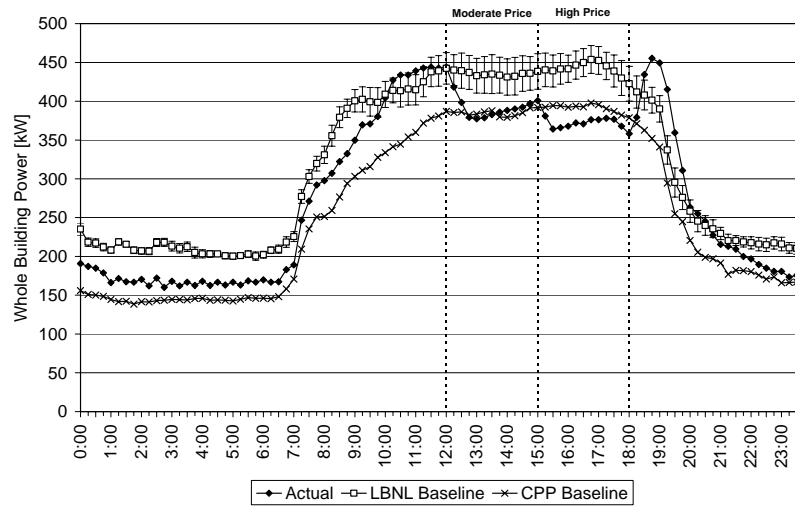
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	20	-2	0.26	-0.02	6%	0%
	High Price	126	80	1.67	1.07	34%	22%

Echelon, 7/17/2006 (Max OAT: 97 °F)



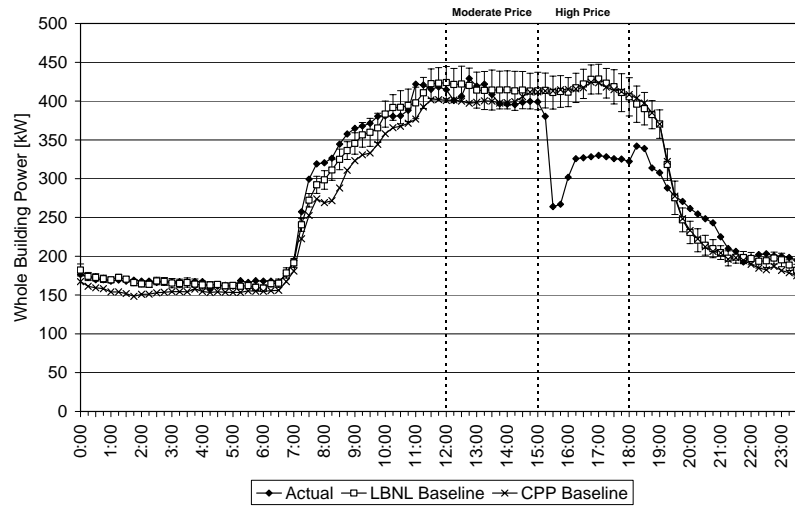
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	104	81	1.39	1.08	21%	17%
	High Price	173	124	2.31	1.65	36%	26%

Echelon, 7/18/2006 (Max OAT: 90 °F)



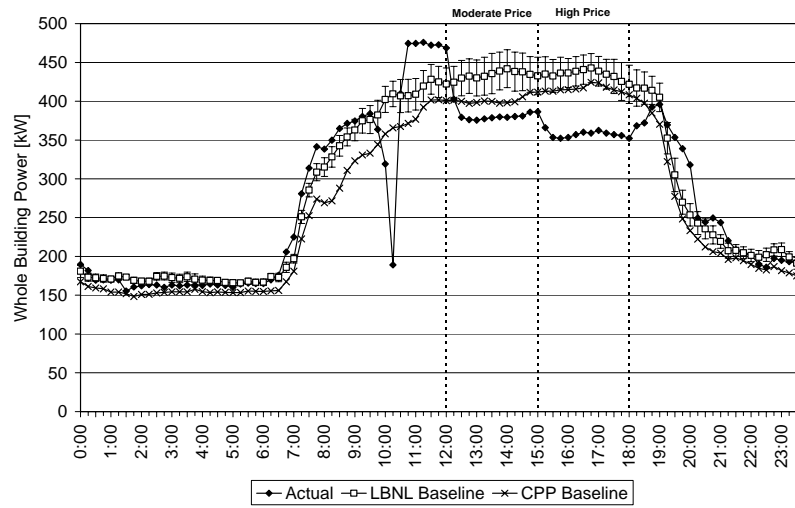
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	60	47	0.80	0.62	14%	11%
	High Price	81	72	1.08	0.97	18%	16%

Echelon, 7/20/2006 (Max OAT: 91 °F)



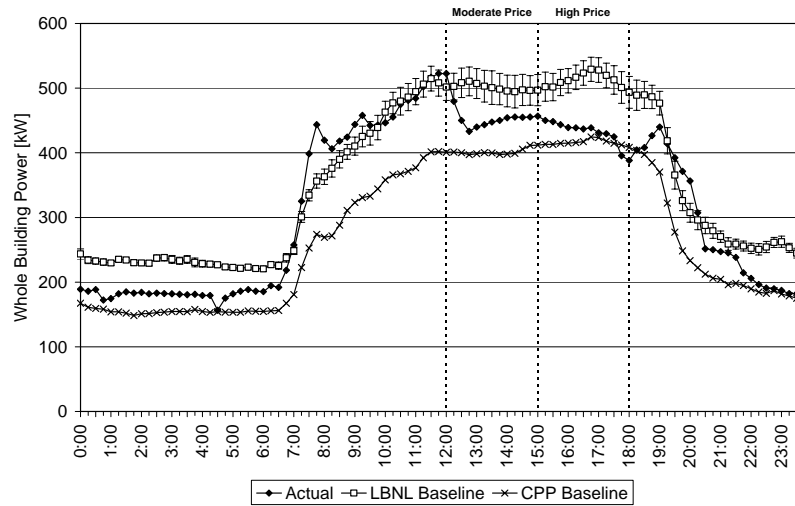
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	22	11	0.30	0.15	5%	3%
	High Price	149	100	1.98	1.33	36%	24%

Echelon, 7/21/2006 (Max OAT: 95 °F)



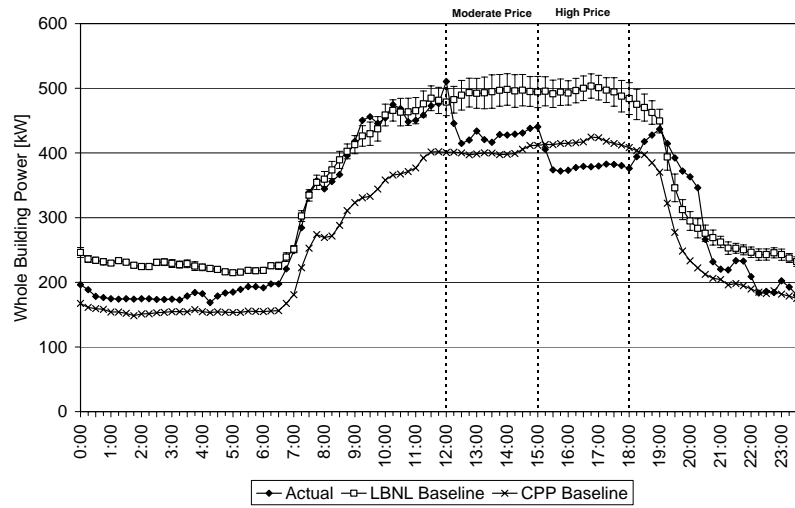
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	64	54	0.86	0.72	15%	12%
	High Price	86	79	1.15	1.06	20%	18%

Echelon, 7/24/2006 (Max OAT: 99 °F)



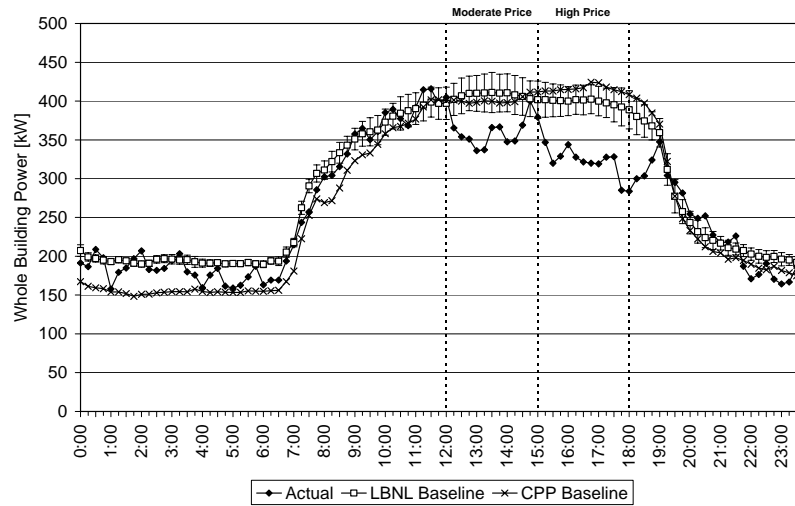
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	79	51	1.06	0.68	15%	10%
	High Price	108	84	1.43	1.12	22%	16%

Echelon, 7/25/2006 (Max OAT: 96 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	81	68	1.09	0.90	16%	14%
	High Price	128	118	1.71	1.57	25%	24%

Echelon, 7/26/2006 (Max OAT: 84 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	77	50	1.03	0.67	19%	12%
	High Price	110	81	1.47	1.07	28%	20%

## D.7. Fremont Unified School District, Irvington High School

### Fremont Unified School District, Irvington High School

#### Site Summary

<b>Building Use</b>	Highschool	
<b>Industry Classification</b>	Highschool - public	
<b>City</b>	Fremont, CA	
<b>Gross Floor Area</b>	186,000 ft²	
<b>Conditioned Area</b>	186,000 ft²	
<b># of Buildings, floor</b>	1-building, N/A-floor	
<b>Peak Load kW</b>	N/A kW	
<b>Peak W/ft²</b>	N/A W/ft²	
<b>Tenant Type</b>	Teachers, students	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri 7:00 a.m. to 4:00 p.m.	
<b>Non-weekday Schedule</b>	Off	
<b>Building Details</b>	Concrete block walls and flat roof	

#### HVAC System Summary

<b>Air Distribution Type</b>	Constant Volume
<b>Air Handler Unit</b>	Trane hot and chilled water coils
<b>Cooling Plant</b>	Trane Chiller - air cooled
<b>Heating Plant</b>	Boiler
<b>HVAC Control System</b>	Tracer Summit with a small percentage of pneumatic
<b>DDC Zone Control</b>	Tracer Summit
<b>Other Details</b>	None.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=Yes
<b>Data Trending Detail</b>	Circulation loop, room and air supply temperatures

#### Auto-CPP System Summary

<b>Communication Method</b>		CLIR
<b>Gateway/Relay Device</b>	CLIR	<b>Client Host Location</b> Onsite
<b>Price Client Host</b>	CLIR	<b>Client Hosted at Co-Lo</b> No
<b>Price Signal Use</b>	Mod=Yes High=Yes Notification=Yes	
<b>Shed Strategies</b>	<b>Pre-event</b>	► Precooling to 72 °F until 11:50 a.m.
	<b>Moderate Price</b>	► Raise temperature to 78°F until 2:50 p.m.
	<b>High Price</b>	► Turn off systems at 2:50pm. School closes at 3pm. Office areas drift.
	<b>Slow Recovery</b>	None.

### Event Results

<b>Event Date</b>	<b>Participation</b>	<b>Event Date</b>	<b>Participation</b>
<b>21-Jun</b>	Closed	<b>22-Jun</b>	Closed
<b>23-Jun</b>	Closed	<b>26-Jun</b>	Closed
<b>17-Jul</b>	Closed	<b>18-Jul</b>	Closed
<b>20-Jul</b>	Closed	<b>21-Jul</b>	Closed
<b>24-Jul</b>	Closed	<b>25-Jul</b>	Closed
<b>26-Jul</b>	Closed	<b>9-Aug</b>	No event
<b>31-Aug</b>	No event	<b>1-Sep</b>	No event
<b>22-Sep</b>	No event		

\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

## D.8. Gilead Science, 300 Lakeside Dr.

### Gilead Science, 300 Lakeside Dr.

#### Site Summary

<b>Building Use</b>	Office	
<b>Industry Classification</b>	Life Sciences Research and Development	
<b>City</b>	Foster City, CA	
<b>Gross Floor Area</b>	83,000 ft²	
<b>Conditioned Area</b>	83,000 ft²	
<b># of Buildings, floor</b>	1-building, 2-floor	
<b>Peak Load kW</b>	N/A kW	
<b>Peak W/ft²</b>	N/A W/ft²	
<b>Tenant Type</b>	Company employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri	
<b>Non-weekday Schedule</b>	Sat&Sun	
<b>Building Details</b>	Newly constructed building. Occupancy started in Spring 2005.	

#### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume
<b>Air Handler Unit</b>	(4) VFD AHUs. Supply air temp 55 °F.
<b>Cooling Plant</b>	(2) 75 ton rooftop units.
<b>Heating Plant</b>	N/A
<b>HVAC Control System</b>	Siemens
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=No   EMCS Trends=Yes   Submeter=No
<b>Data Trending Detail</b>	None.

#### Auto-CPP System Summary

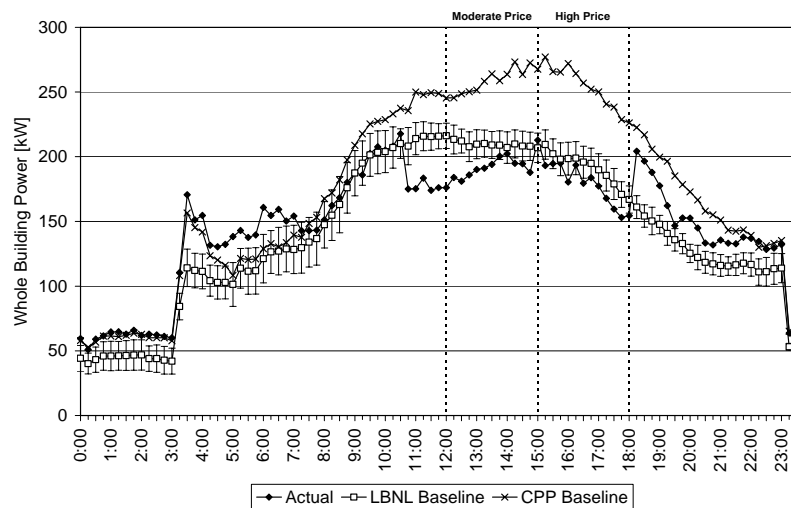
<b>Communication Method</b>		Relay w/WAN	
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=No   High=No   Notification=Yes		
<b>Shed Strategies</b>	<b>Pre-event</b>	► Shed control starts at 11 am.	
	<b>Moderate Price</b>	► AHU increase SAT from 55°F to 65 °F.	
	<b>High Price</b>	► Same as moderate price.	
	<b>Slow Recovery</b>	None.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	No event	22-Jun	No event
23-Jun	Succeeded	26-Jun	No event
17-Jul	Not visible	18-Jul	Not visible
20-Jul	No event	21-Jul	Not visible
24-Jul	Not visible	25-Jul	No event
26-Jul	No event	9-Aug	Not visible
31-Aug	Not visible	1-Sep	Succeeded
22-Sep	Failed (1)		

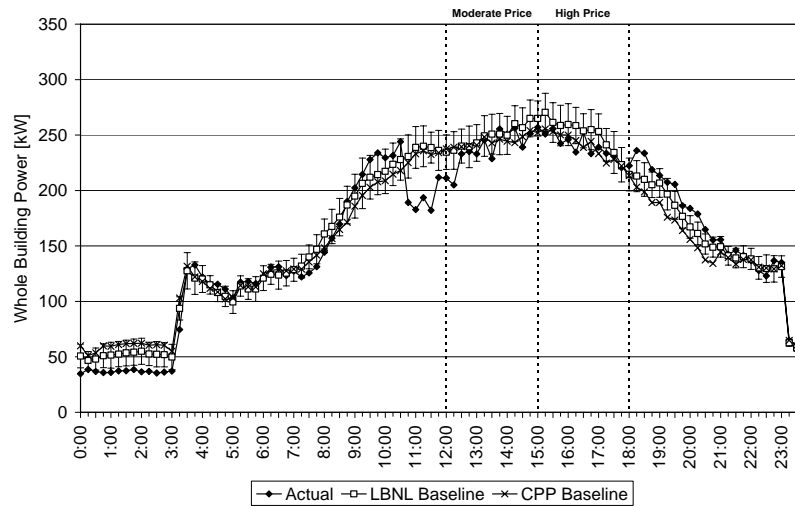
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Gilead 300, 6/23/2006 (Max OAT: 71 °F)



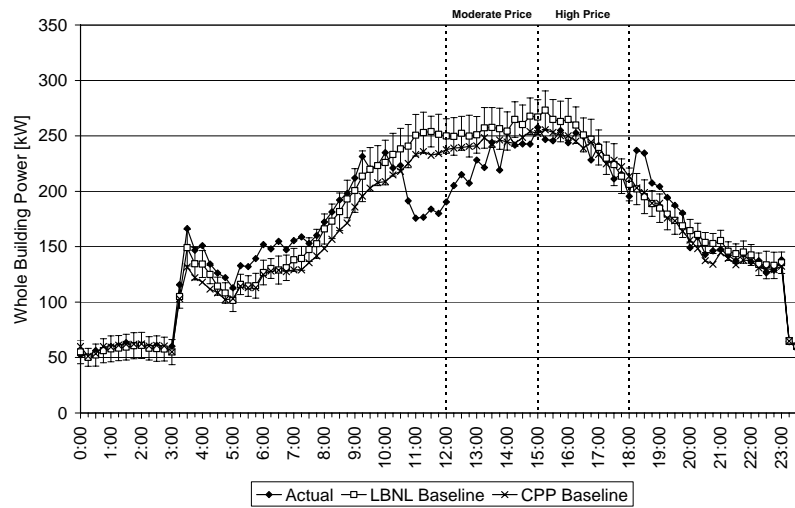
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	34	19	0.41	0.23	16%	9%
	High Price	22	16	0.27	0.19	12%	8%

Gilead 300, 7/17/2006 (Max OAT: 83 °F)



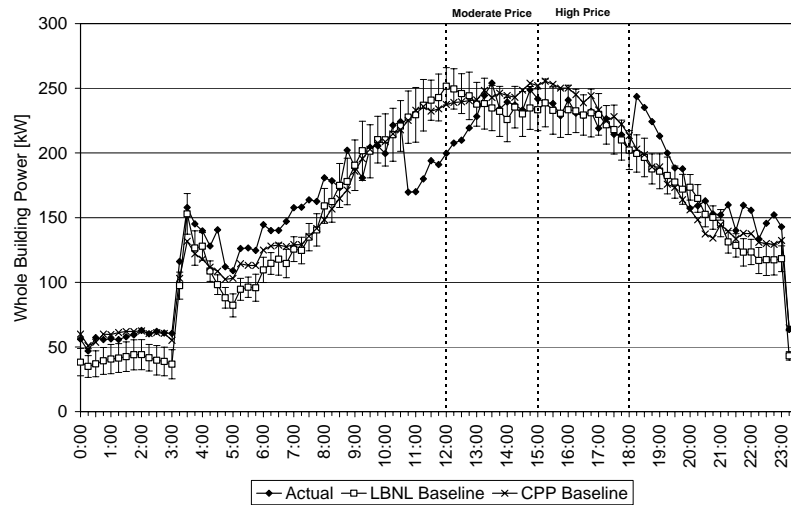
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	35	13	0.42	0.16	14%	5%
	High Price	27	14	0.33	0.16	10%	5%

Gilead 300, 7/18/2006 (Max OAT: 83 °F)



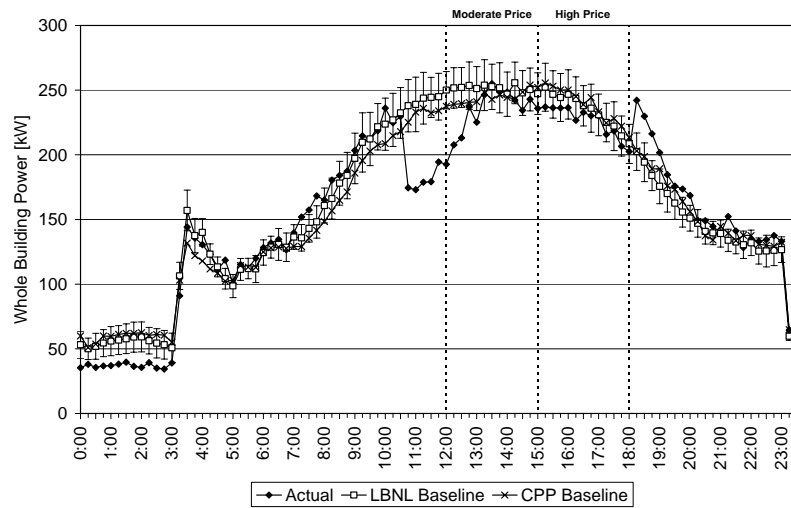
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	48	30	0.58	0.36	19%	12%
	High Price	31	14	0.37	0.17	11%	6%

Gilead 300, 7/21/2006 (Max OAT: 82 °F)



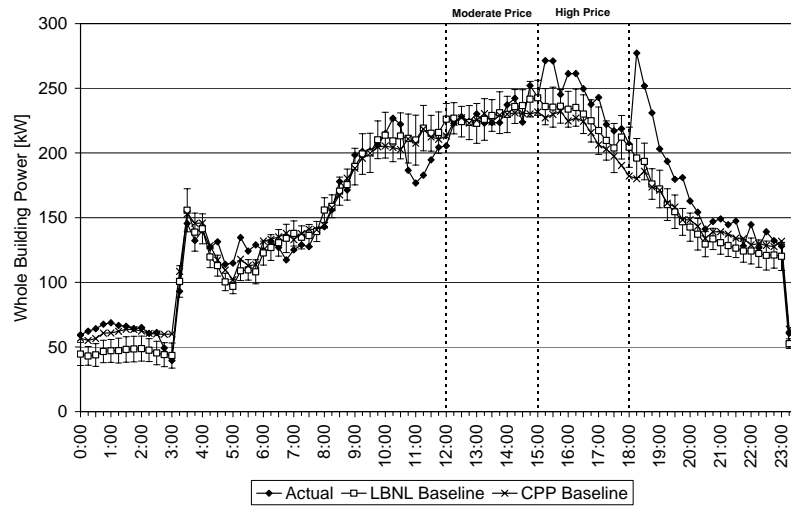
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	47	8	0.56	0.10	18%	3%
	High Price	16	4	0.19	0.05	7%	2%

Gilead 300, 7/24/2006 (Max OAT: 83 °F)



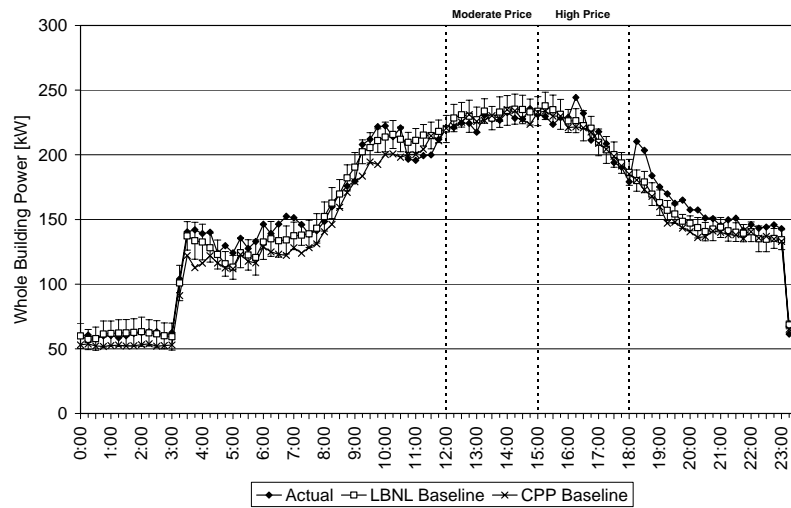
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	49	20	0.59	0.24	19%	8%
	High Price	22	14	0.27	0.16	9%	6%

Gilead 300, 8/9/2006 (Max OAT: 86 °F)



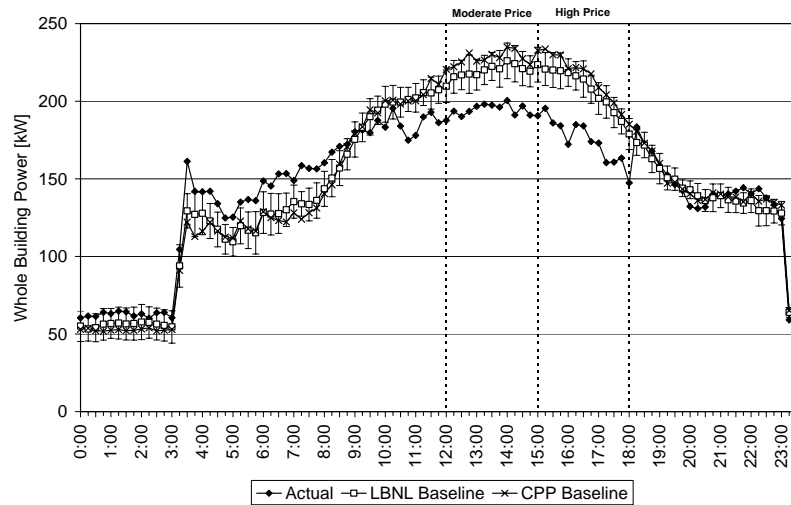
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-09	Moderate Price	15	2	0.18	0.02	6%	1%
	High Price	0	-17	0.00	-0.20	0%	-7%

Gilead 300, 8/31/2006 (Max OAT: 75 °F)



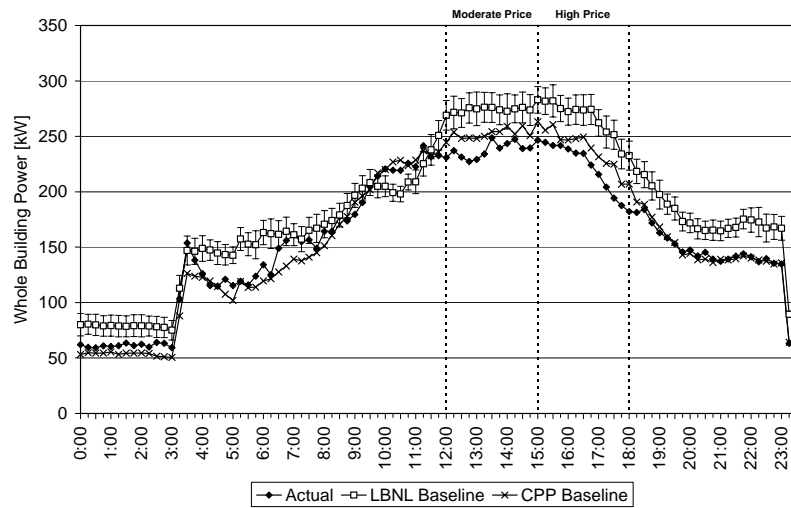
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-31	Moderate Price	11	6	0.13	0.07	5%	2%
	High Price	12	1	0.15	0.02	5%	1%

Gilead 300, 9/1/2006 (Max OAT: 68 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-01	Moderate Price	35	28	0.42	0.34	16%	13%
	High Price	48	35	0.58	0.42	22%	17%

Gilead 300, 9/22/2006 (Max OAT: 76 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-22	Moderate Price	47	35	0.57	0.42	17%	13%
	High Price	56	42	0.67	0.51	22%	16%

## D.9. Gilead Science, 342 Lakeside Dr.

### Gilead Science, 342 Lakeside Dr.

#### Site Summary

Building Use	Office, Lab	
Industry Classification	Life Sciences Research and Development	
City	Foster City, CA	
Gross Floor Area	32,000 ft²	
Conditioned Area	32,000 ft²	
# of Buildings, floor	1-building, 1-floor	
Peak Load kW	464 kW	
Peak W/ft²	14.5 W/ft²	
Tenant Type	Company employees	
Facility Management	Company-owned	
Weekday Schedule	Mon-Fri	
Non-weekday Schedule	Sat&Sun	
Building Details	The building is 40% office, 60% lab space.	

#### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume, Zone setpoint 70~75 °F.
<b>Air Handler Unit</b>	(4) VFD AHUs. Supply air temp 55 °F.
<b>Cooling Plant</b>	(2) 125 ton chillers.
<b>Heating Plant</b>	N/A
<b>HVAC Control System</b>	Siemens
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	None.

#### Auto-CPP System Summary

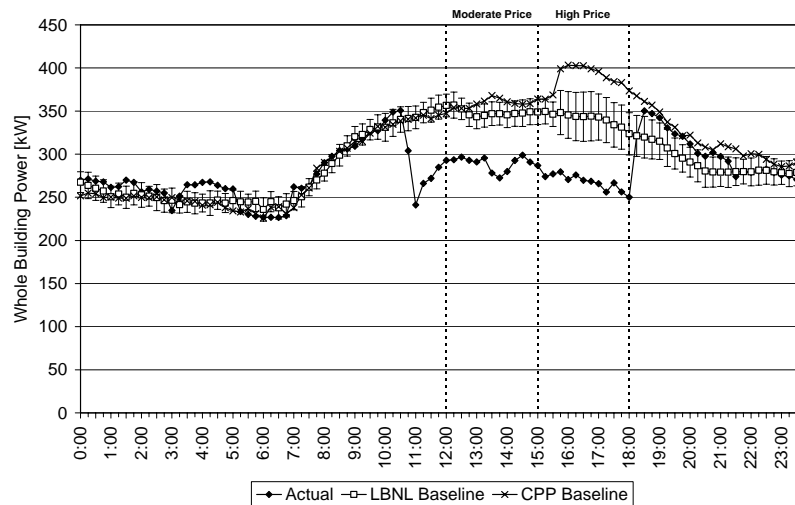
<b>Communication Method</b>	Relay w/WAN		
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=No High=No Notification=Yes		
<b>Shed Strategies</b>	<b>Pre-event</b>	► Shed control starts at 11 am.	
	<b>Moderate Price</b>	► AHU increase SAT from 55°F to 65 °F. ► Zone setpoint increase to 75°F (70 ~ 75 °F normal).	
	<b>High Price</b>	► Same as moderate price.	
	<b>Slow Recovery</b>	None.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	No event	22-Jun	No event
23-Jun	Succeeded	26-Jun	No event
17-Jul	Succeeded	18-Jul	Not visible
20-Jul	No event	21-Jul	Not visible
24-Jul	Not visible	25-Jul	No event
26-Jul	No event	9-Aug	Not visible
31-Aug	Succeeded	1-Sep	Succeeded
22-Sep	Failed (1)		

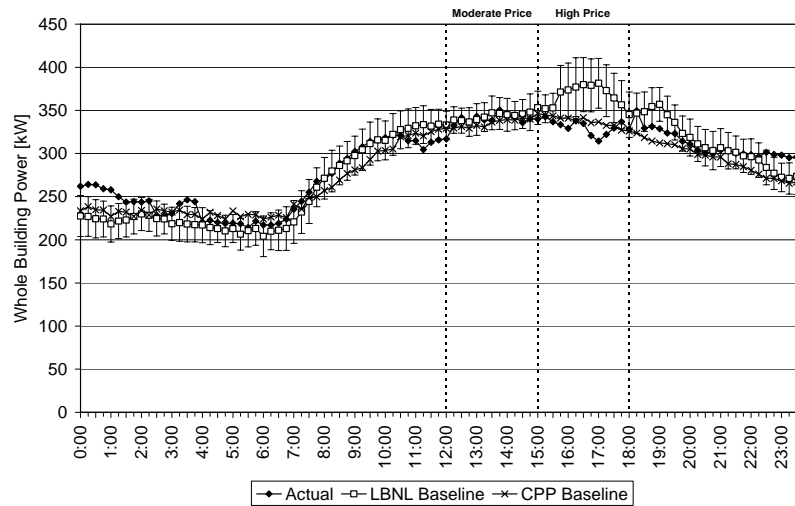
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Gilead 342, 6/23/2006 (Max OAT: 71 °F)



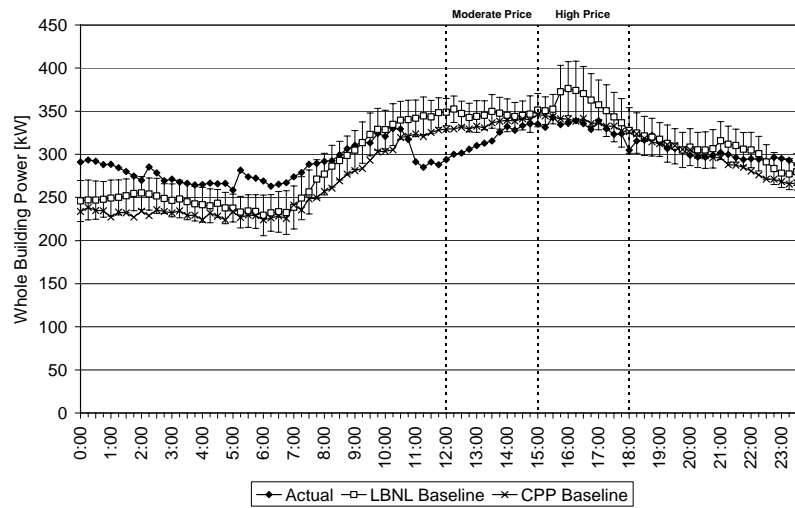
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	78	62	2.43	1.94	22%	18%
	High Price	87	77	2.71	2.39	25%	22%

Gilead 342, 7/17/2006 (Max OAT: 83 °F)



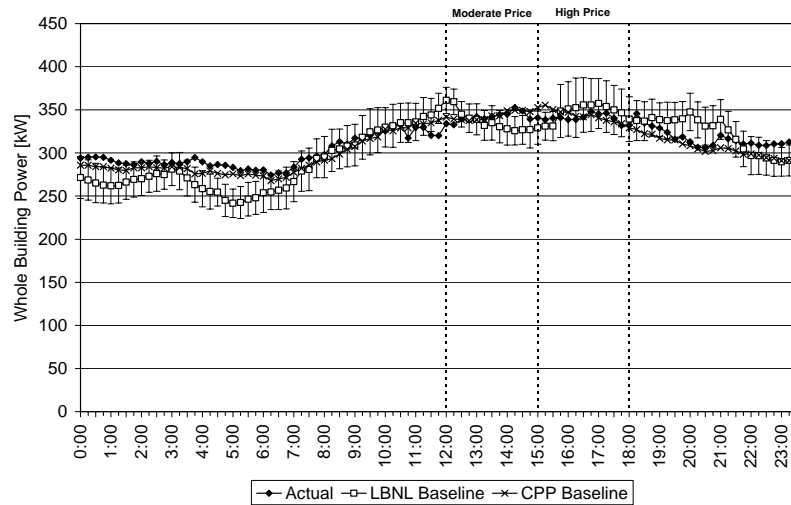
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	14	5	0.45	0.14	4%	1%
	High Price	68	38	2.13	1.18	18%	10%

Gilead 342, 7/18/2006 (Max OAT: 83 °F)



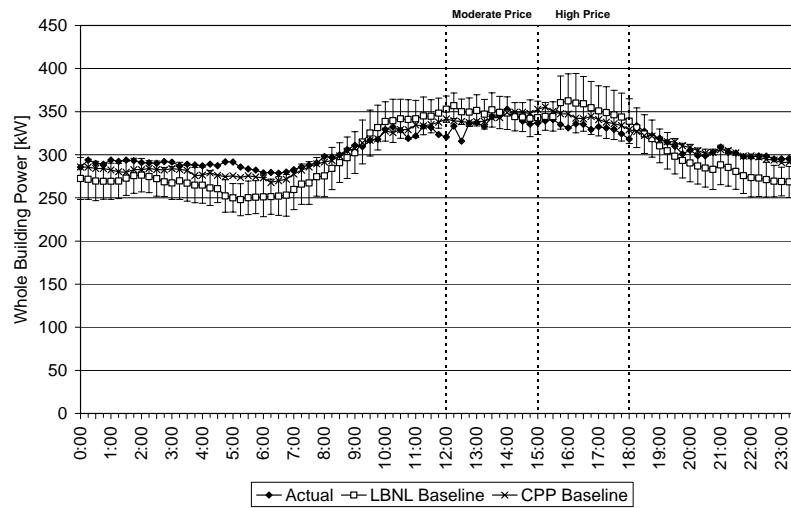
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	54	29	1.69	0.91	15%	8%
	High Price	42	27	1.32	0.86	11%	8%

Gilead 342, 7/21/2006 (Max OAT: 82 °F)



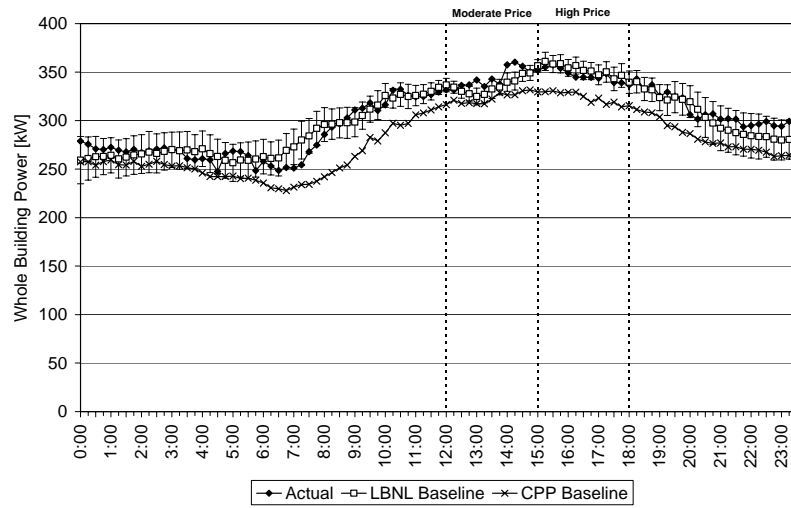
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	28	-6	0.88	-0.18	8%	-2%
	High Price	16	9	0.50	0.28	5%	2%

Gilead 342, 7/24/2006 (Max OAT: 83 °F)



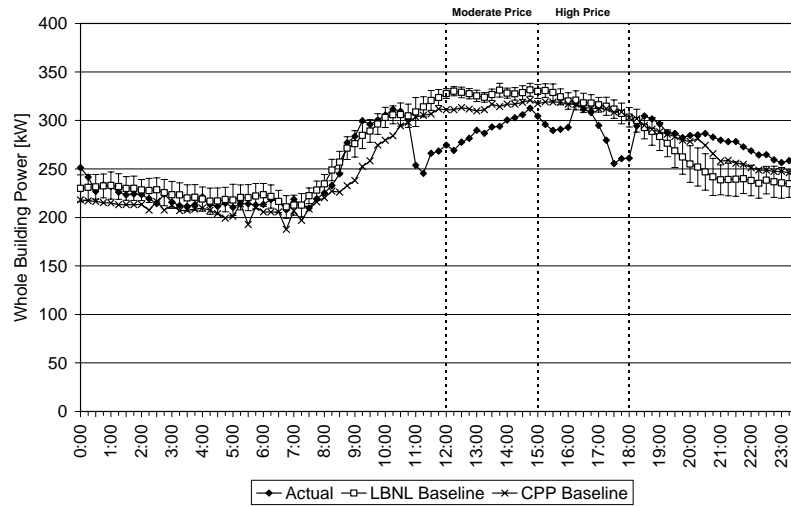
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	36	12	1.12	0.37	10%	3%
	High Price	33	21	1.04	0.66	9%	6%

Gilead 342, 8/9/2006 (Max OAT: 86 °F)



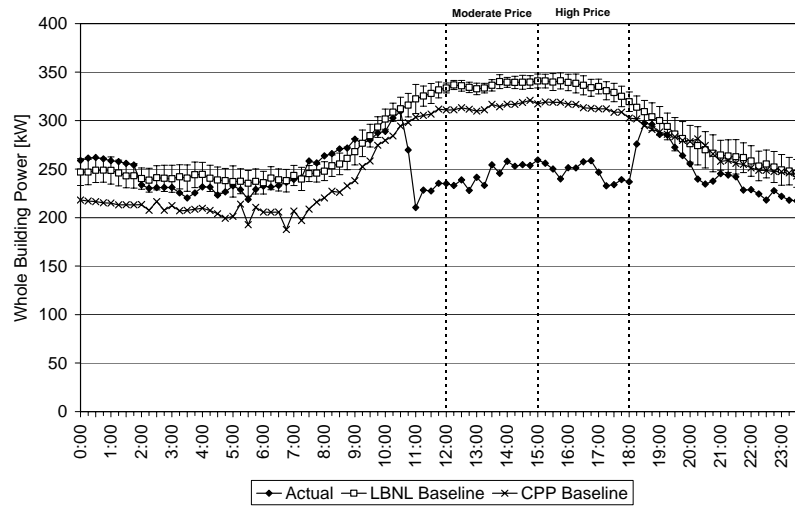
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-09	Moderate Price	5	-8	0.15	-0.24	1%	-2%
	High Price	12	5	0.37	0.16	3%	1%

Gilead 342, 8/31/2006 (Max OAT: 75 °F)



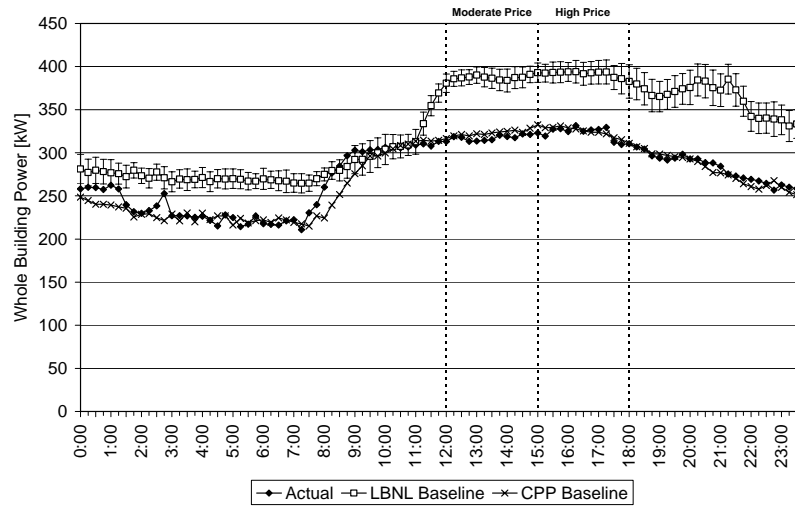
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-31	Moderate Price	61	35	1.91	1.11	19%	11%
	High Price	57	30	1.77	0.94	18%	9%

Gilead 342, 9/1/2006 (Max OAT: 68 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-01	Moderate Price	110	95	3.44	2.97	33%	28%
	High Price	105	92	3.28	2.87	30%	27%

Gilead 342, 9/22/2006 (Max OAT: 76 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-22	Moderate Price	77	70	2.39	2.18	20%	18%
	High Price	77	69	2.39	2.15	20%	18%

#### D.10. Gilead Science, 357 Lakeside Dr.

#### Gilead Science, 357 Lakeside Dr.

##### Site Summary

Building Use	Office, Lab	
Industry Classification	Life Sciences Research and Development	
City	Foster City, CA	
Gross Floor Area	33,000 ft²	
Conditioned Area	33,000 ft²	
# of Buildings, floor	1-building, 1-floor	
Peak Load kW	664 kW	
Peak W/ft²	20.12 W/ft²	
Tenant Type	Company employees	
Facility Management	Company-owned	
Weekday Schedule	Mon-Fri	
Non-weekday Schedule	Sat&Sun	
Building Details	The building is 40% office, 60% lab space.	

##### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume
<b>Air Handler Unit</b>	VFD AHUs. Supply air temp 55 °F.
<b>Cooling Plant</b>	(1) 225 ton chiller (1) 325 ton chiller
<b>Heating Plant</b>	N/A
<b>HVAC Control System</b>	Siemens
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	None.

##### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes   EMCS Trends=Yes   Submeter=No
<b>Data Trending Detail</b>	None.

##### Auto-CPP System Summary

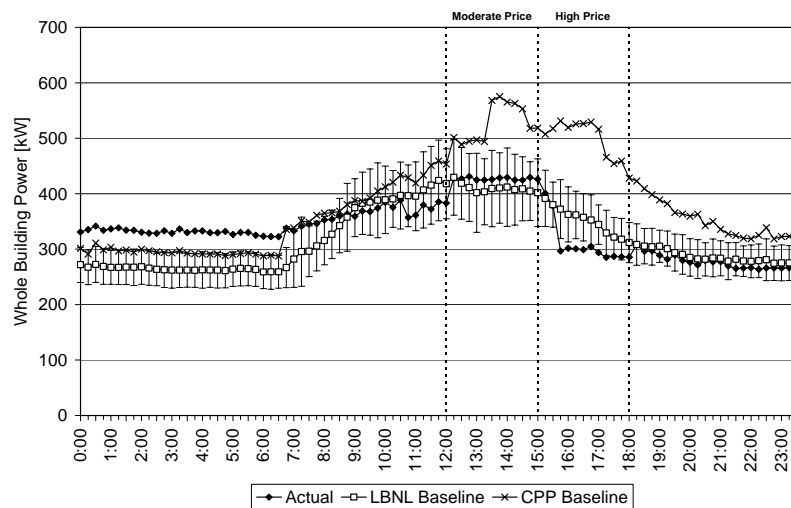
<b>Communication Method</b>	Relay w/WAN		
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=No   High=No   Notification=Yes		
<b>Shed Strategies</b>	<b>Pre-event</b>	► Shed control starts at 11 am.	
	<b>Moderate Price</b>	► AHU SAT increased from 55°F to 65 °F. ► Zone setpoint increased to 75°F (70 ~ 75 °F normal).	
	<b>High Price</b>	► Same as moderate price.	
	<b>Slow Recovery</b>	None.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	No event	22-Jun	No event
23-Jun	Not visible	26-Jun	No event
17-Jul	Succeeded	18-Jul	Not visible
20-Jul	No event	21-Jul	Not visible
24-Jul	Not visible	25-Jul	No event
26-Jul	No event	9-Aug	Not visible
31-Aug	Not visible	1-Sep	Not visible
22-Sep	Failed (1)		

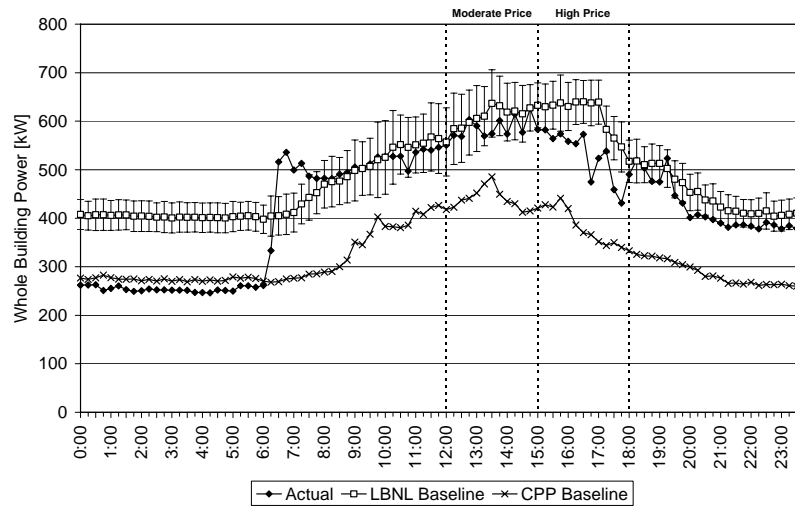
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Gilead 357, 6/23/2006 (Max OAT: 71 °F)



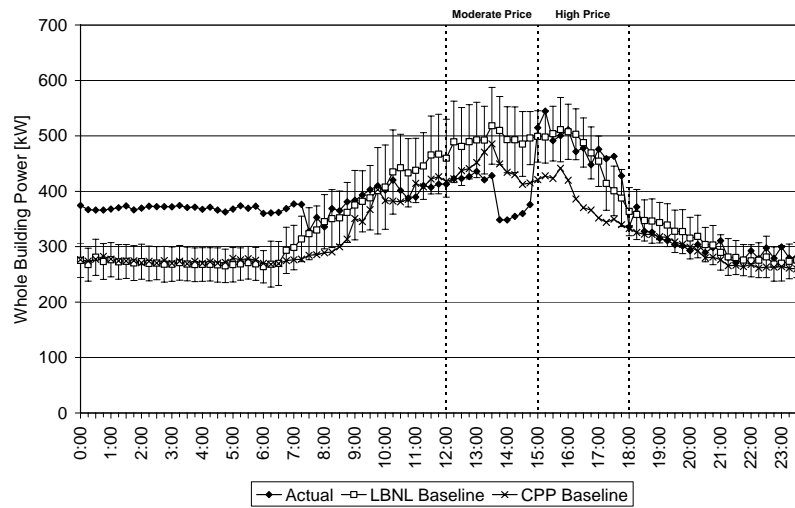
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	5	-14	0.14	-0.42	1%	-3%
	High Price	79	44	2.39	1.32	21%	12%

Gilead 357, 7/17/2006 (Max OAT: 83 °F)



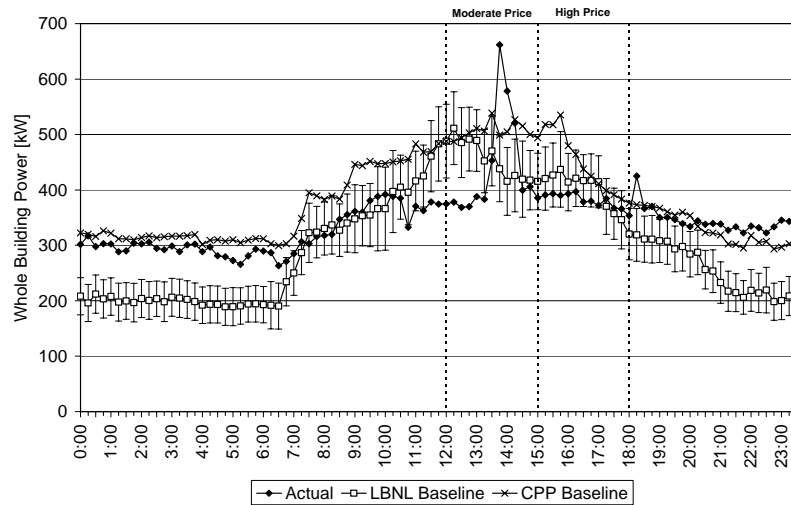
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	67	30	2.02	0.92	10%	5%
	High Price	167	86	5.06	2.59	26%	14%

Gilead 357, 7/18/2006 (Max OAT: 83 °F)



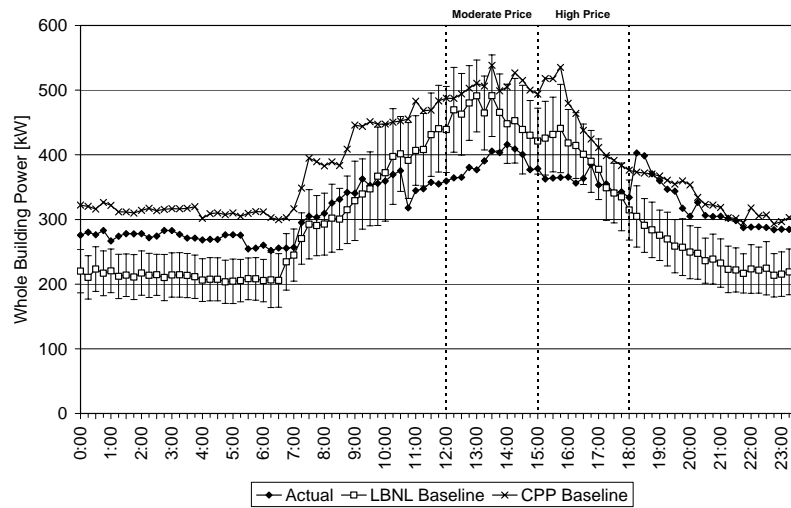
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	165	94	5.00	2.84	32%	19%
	High Price	35	-5	1.07	-0.15	9%	-1%

Gilead 357, 7/21/2006 (Max OAT: 82 °F)



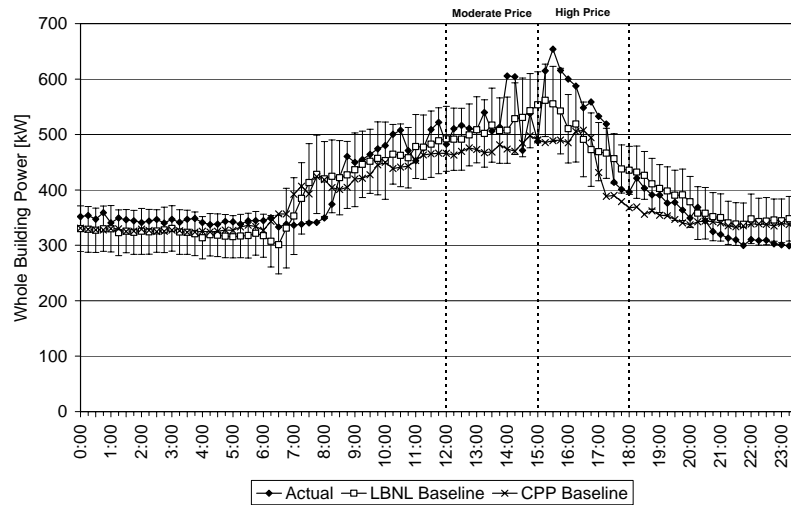
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	138	17	4.20	0.51	27%	3%
	High Price	52	22	1.58	0.66	12%	5%

Gilead 357, 7/24/2006 (Max OAT: 83 °F)



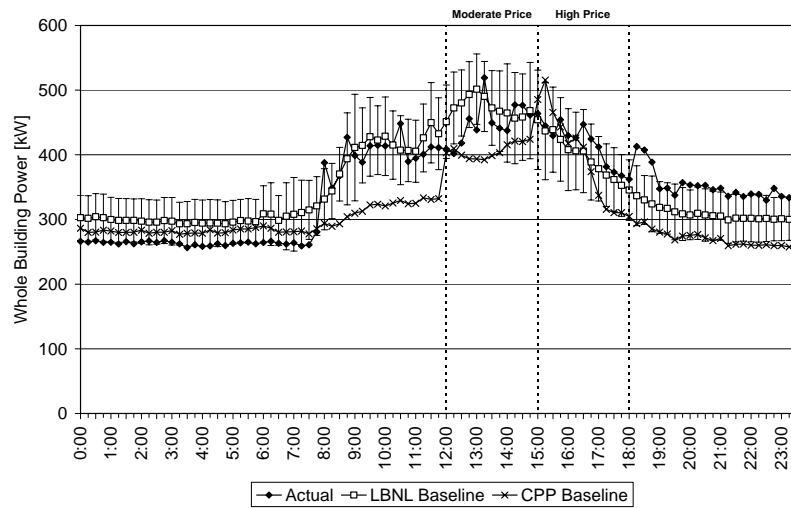
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	120	77	3.65	2.33	24%	16%
	High Price	82	35	2.48	1.06	18%	8%

Gilead 357, 8/9/2006 (Max OAT: 86 °F)



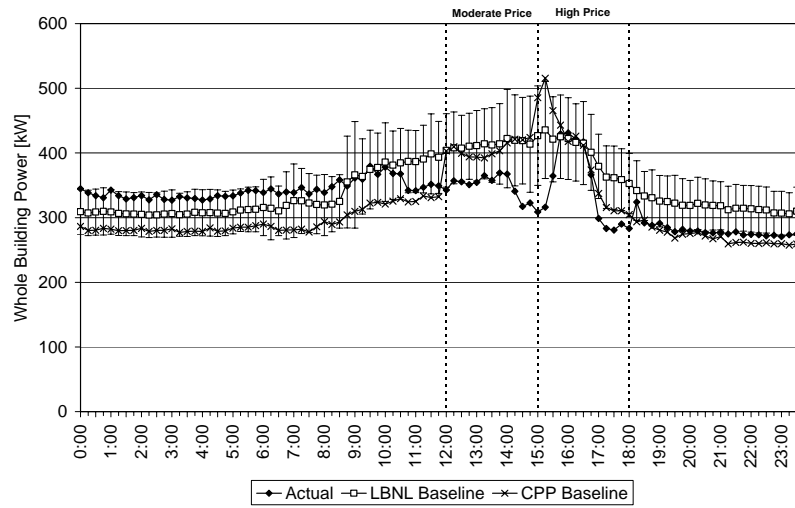
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-09	Moderate Price	65	-12	1.97	-0.36	12%	-2%
	High Price	42	-45	1.26	-1.36	9%	-9%

Gilead 357, 8/31/2006 (Max OAT: 75 °F)



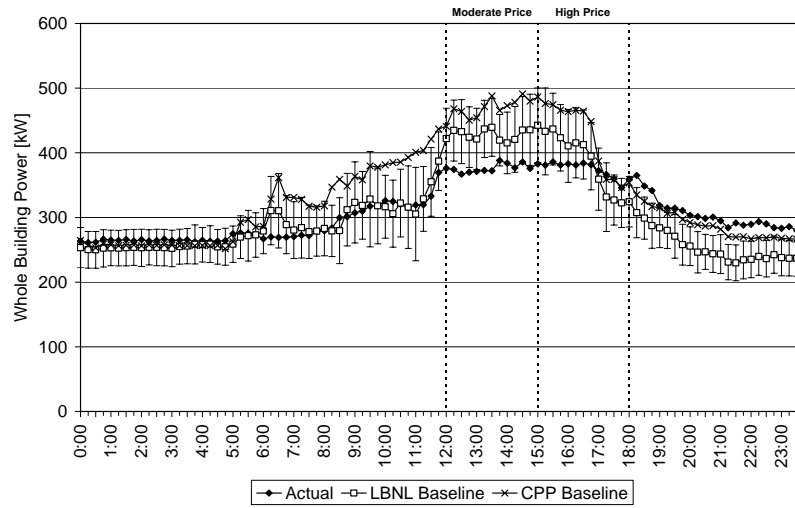
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-31	Moderate Price	72	21	2.18	0.65	15%	4%
	High Price	11	-18	0.33	-0.56	2%	-5%

Gilead 357, 9/1/2006 (Max OAT: 68 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-01	Moderate Price	122	71	3.68	2.16	28%	17%
	High Price	123	51	3.74	1.55	28%	13%

Gilead 357, 9/22/2006 (Max OAT: 76 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-22	Moderate Price	67	53	2.03	1.61	15%	12%
	High Price	52	9	1.58	0.28	12%	1%

## D.11. IKEA, East Palo Alto Store

### IKEA, East Palo Alto Store

#### Site Summary

<b>Building Use</b>	Furniture retail	
<b>Industry Classification</b>	Furniture store	
<b>City</b>	East Palo Alto, CA	
<b>Gross Floor Area</b>	300,000 ft <sup>2</sup>	
<b>Conditioned Area</b>	300,000 ft <sup>2</sup>	
<b># of Buildings, floor</b>	1-building, 2-floor	
<b>Peak Load kW</b>	2238 kW	
<b>Peak W/ft<sup>2</sup></b>	7.46 W/ft <sup>2</sup>	
<b>Tenant Type</b>	Customers, employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	4am-10pm (Customers from 10am-9pm)	
<b>Non-weekday Schedule</b>	None	
<b>Building Details</b>	Two-story building with a large sales area on both floors with a cafeteria and a restaurant on site. Smaller office space on the second floor with larger storage space in the first floor. The facility has an attached two-story garage.	

#### HVAC System Summary

<b>Air Distribution Type</b>	Multi-zone Variable Air Volume
<b>Air Handler Unit</b>	(43) Rooftop DX cooling units. DDC.
<b>Cooling Plant</b>	-
<b>Heating Plant</b>	-
<b>HVAC Control System</b>	NOVAR System
<b>DDC Zone Control</b>	Yes
<b>Other Details</b>	There are incandescent lights for store hours, and fluorescent lights for non-store hours. The lighting system is controlled by schedules offered by smart panels.

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	The EMCS collects the following data for each RTU: percentage (supply fan, cooling stages 1 and 2, heating stages 1 and 2, damper position), space and supply air temperatures.

### Auto-CPP System Summary

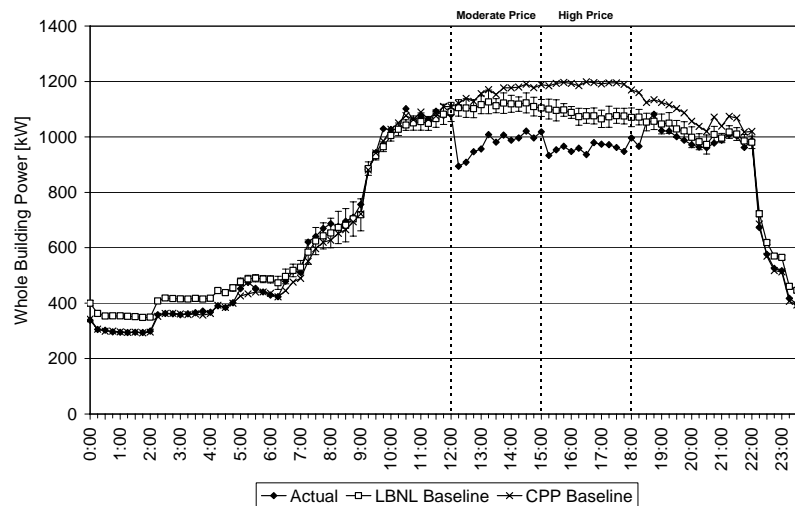
<b>Communication Method</b>		Relay at site	
<b>Gateway/Relay Device</b>	ADAM6060	<b>Client Host Location</b>	DRAS Co-Lo
<b>Price Client Host</b>	DRAS	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>		Mod=Yes High=No Notification=No	
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► Zone setpoint increased 2 °F at each RTU.	
	<b>High Price</b>	► Zone setpoints increased to 76 °F.	
	<b>Slow Recovery</b>	None.	

### Event Results

Event Date	Participation	Event Date	Participation
21-Jun	No event	22-Jun	No event
23-Jun	Succeeded	26-Jun	No event
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	No event	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	No event
26-Jul	No event	9-Aug	Not visible
31-Aug	Not visible	1-Sep	Not visible
22-Sep	Succeeded		

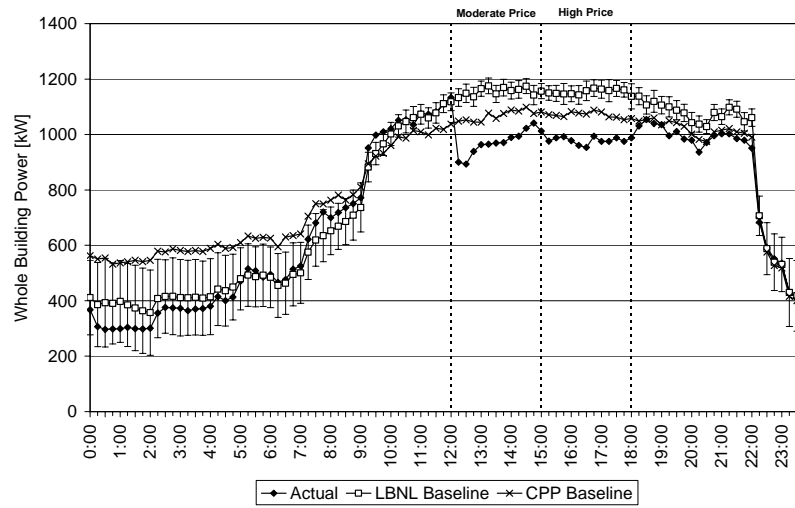
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

IKEA EPaloAlto, 6/23/2006 (Max OAT: 81 °F)



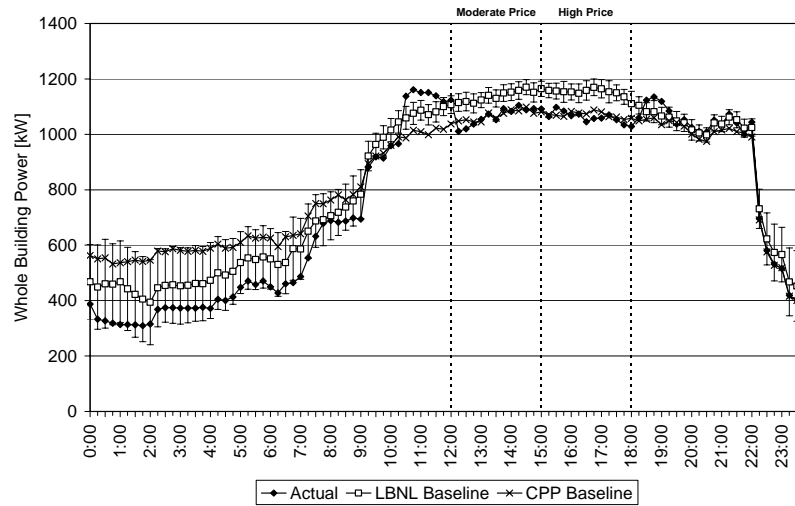
Date	Price Level	kW		W/ft²		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	211	137	0.70	0.46	19%	12%
	High Price	167	120	0.56	0.40	15%	11%

IKEA EPaloAlto, 7/17/2006 (Max OAT: 91 °F)



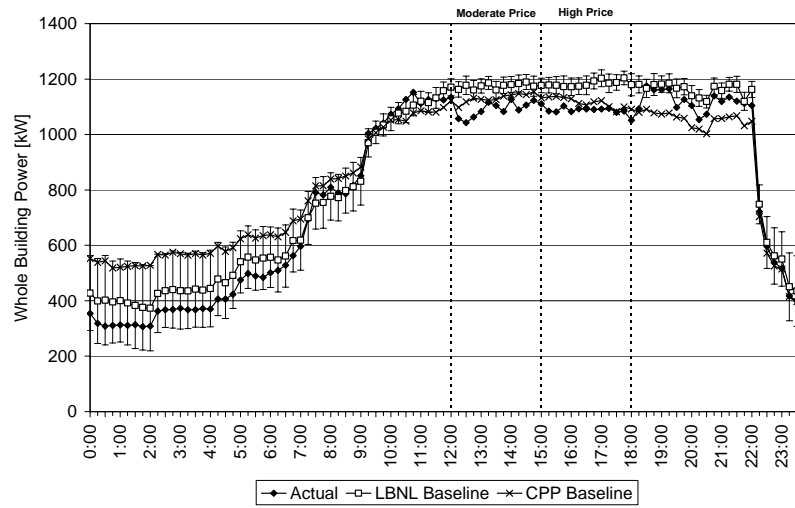
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	256	184	0.85	0.61	22%	16%
	High Price	204	175	0.68	0.58	18%	15%

IKEA EPaloAlto, 7/18/2006 (Max OAT: 87 °F)



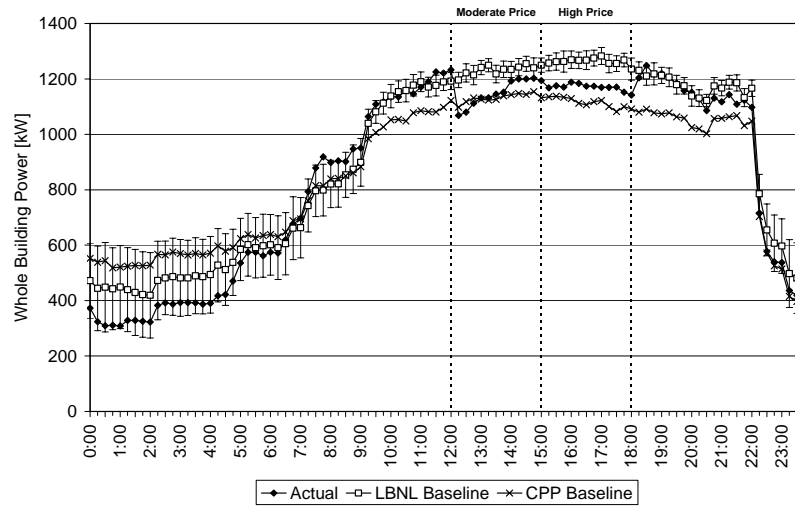
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	104	74	0.35	0.25	9%	6%
	High Price	113	90	0.38	0.30	10%	8%

IKEA EPaloAlto, 7/21/2006 (Max OAT: 89 °F)



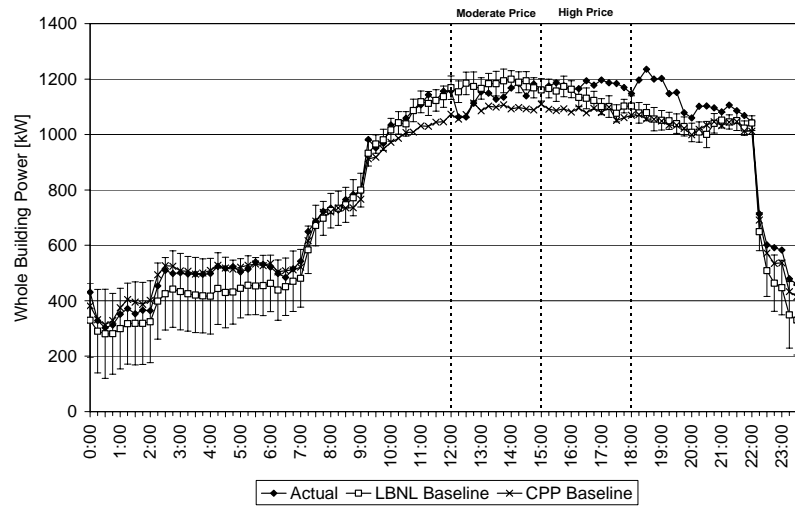
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	135	83	0.45	0.28	11%	7%
	High Price	128	98	0.43	0.33	11%	8%

IKEA EPaloAlto, 7/24/2006 (Max OAT: 92 °F)



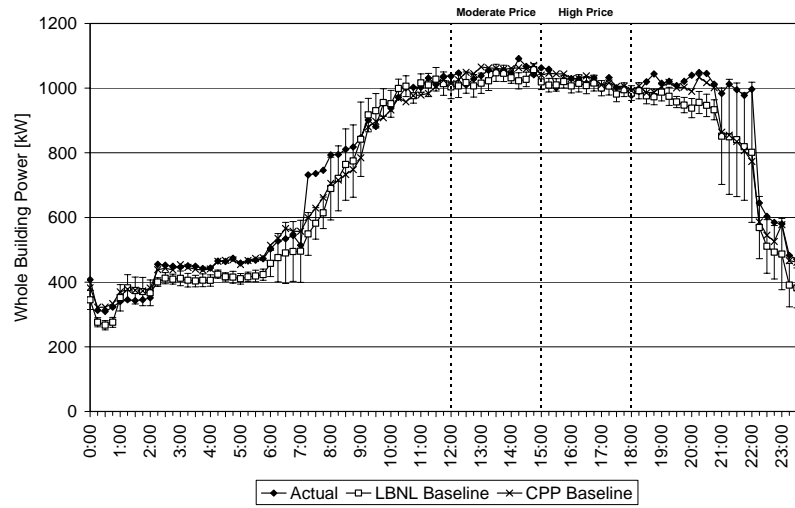
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	142	82	0.47	0.27	12%	7%
	High Price	116	93	0.39	0.31	9%	7%

IKEA EPaloAlto, 8/9/2006 (Max OAT: 94 °F)



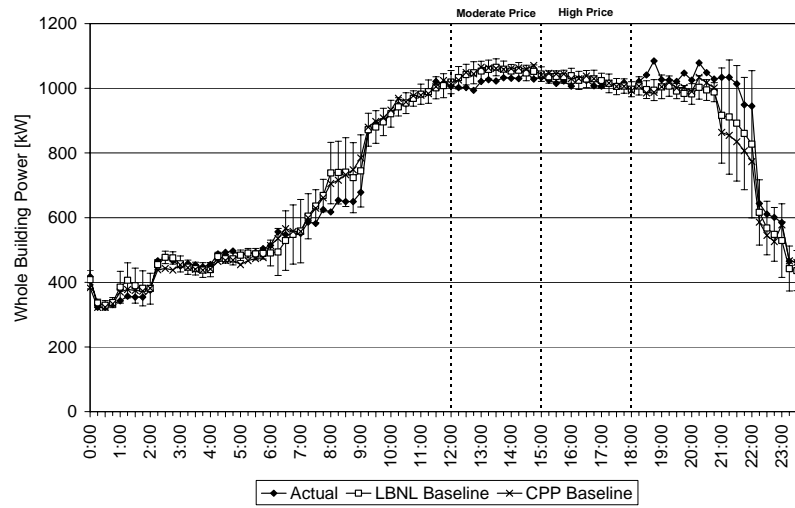
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-09	Moderate Price	122	43	0.41	0.14	10%	4%
	High Price	1	-49	0.00	-0.16	0%	-4%

IKEA EPaloAlto, 8/31/2006 (Max OAT: 84 °F)



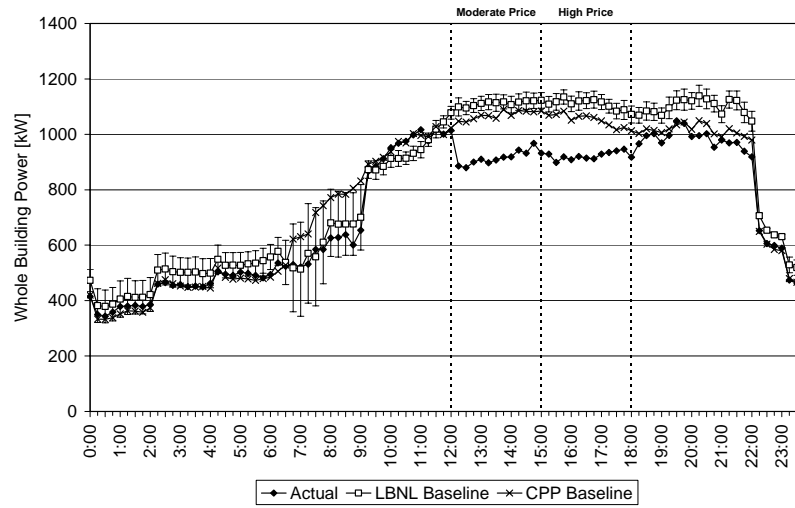
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Aug-31	Moderate Price	16	-22	0.05	-0.07	1%	-2%
	High Price	9	-12	0.03	-0.04	1%	-1%

IKEA EPaloAlto, 9/1/2006 (Max OAT: 79 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-01	Moderate Price	36	9	0.12	0.03	3%	1%
	High Price	23	-5	0.08	-0.02	2%	0%

IKEA EPaloAlto, 9/22/2006 (Max OAT: 76 °F)




Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Sep-22	Moderate Price	123	100	0.41	0.33	12%	10%
	High Price	118	94	0.39	0.31	12%	9%

## D.12. Oracle Corporation, Rocklin

### Oracle Corporation, Rocklin

#### Site Summary

<b>Building Use</b>	Office	
<b>Industry Classification</b>	Software publisher	
<b>City</b>	Rocklin, CA	
<b>Gross Floor Area</b>	100,061 ft²	
<b>Conditioned Area</b>	100,061 ft²	
<b># of Buildings, floor</b>	2-building, 3-floor	
<b>Peak Load kW</b>	552 kW	
<b>Peak W/ft²</b>	5.52 W/ft²	
<b>Tenant Type</b>	Company employees	
<b>Facility Management</b>	Company-owned	
<b>Weekday Schedule</b>	Mon-Fri: 7am - 6pm	
<b>Non-weekday Schedule</b>	Sat&Sun	
<b>Building Details</b>	Single building, occupied by Oracle only. Two full floors plus a concourse area with a 20,000 sqft. footprint. Standard office use with one small lab (444sqft.)	

#### HVAC System Summary

<b>Air Distribution Type</b>	Variable Air Volume
<b>Air Handler Unit</b>	(6) Roof-top units (6) return fans. DDC.
<b>Cooling Plant</b>	N/A
<b>Heating Plant</b>	(1) 3000 Mbtu/h gas hot water boiler . Hot water temp: 160 °F. Heating lockout when OAT is over 80 °F.
<b>HVAC Control System</b>	Tracer Summit. Viewable onsite and offsite.
<b>DDC Zone Control</b>	Yes.
<b>Other Details</b>	N/A

#### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes   EMCS Trends=Yes   Submeter=No
<b>Data Trending Detail</b>	None.

#### Auto-CPP System Summary

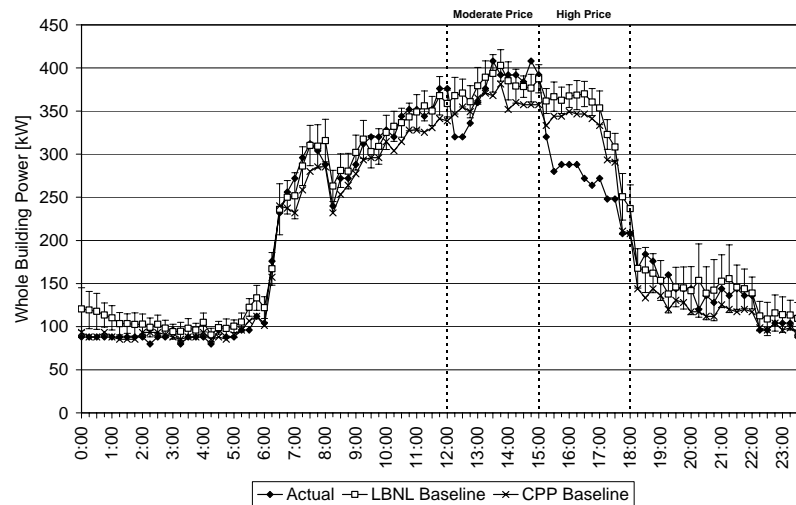
<b>Communication Method</b>	CLIR		
<b>Gateway/Relay Device</b>	CLIR	<b>Client Host Location</b>	Onsite
<b>Price Client Host</b>	CLIR	<b>Client Hosted at Co-Lo</b>	No
<b>Price Signal Use</b>	Mod=Yes   High=Yes   Notification=No		
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► DSP reduced 20% at supply fans.	
	<b>High Price</b>	► Zone setpoints increased 3°F.	
	<b>Slow Recovery</b>	None.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Succeeded	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

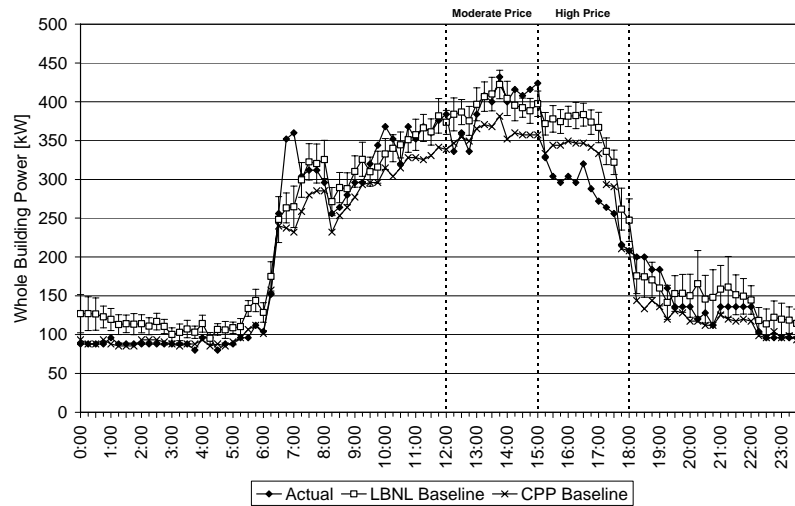
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Oracle Rocklin, 6/21/2006 (Max OAT: 100 °F)



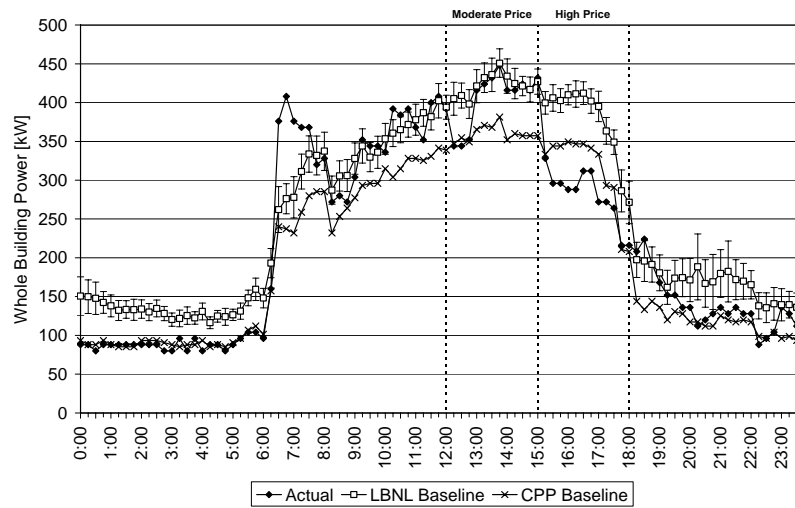
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	55	12	0.55	0.12	15%	3%
	High Price	102	74	1.02	0.74	28%	22%

Oracle Rocklin, 6/22/2006 (Max OAT: 102 °F)



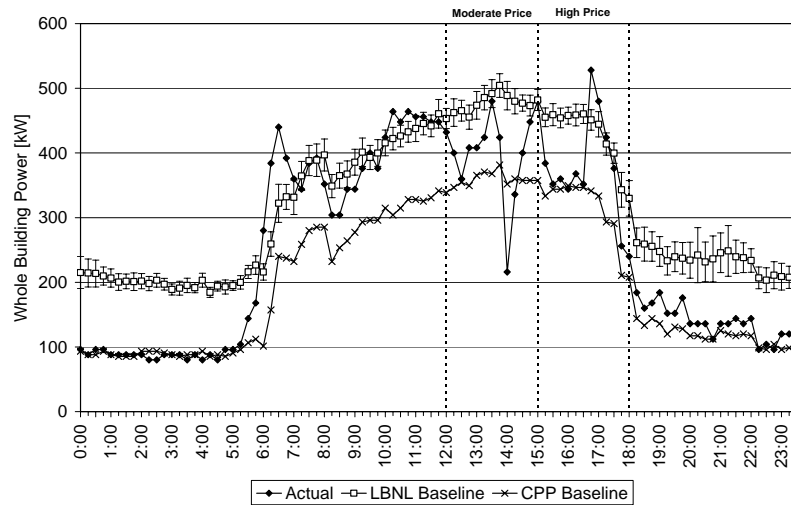
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	52	7	0.52	0.07	13%	2%
	High Price	99	73	0.99	0.73	27%	21%

Oracle Rocklin, 6/23/2006 (Max OAT: 104 °F)



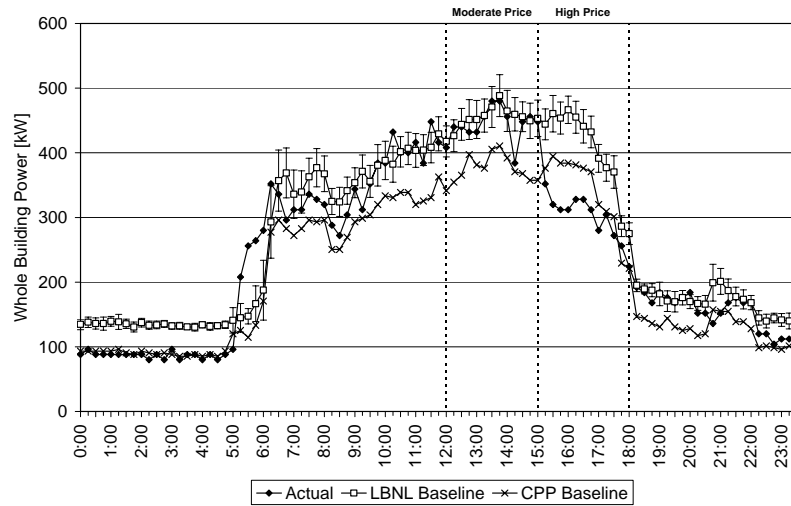
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	70	23	0.70	0.23	17%	5%
	High Price	128	101	1.28	1.01	32%	26%

Oracle Rocklin, 6/26/2006 (Max OAT: 99 °F)



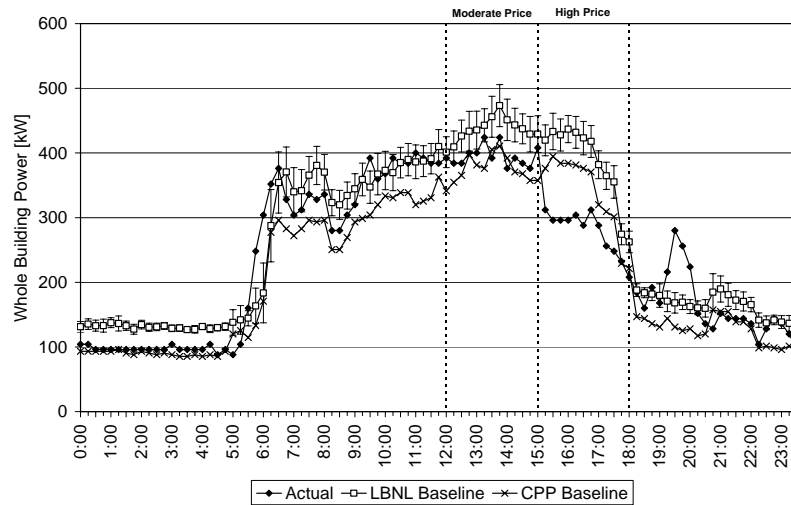
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	278	85	2.78	0.85	56%	17%
	High Price	119	60	1.19	0.60	28%	14%

Oracle Rocklin, 7/17/2006 (Max OAT: 106 °F)



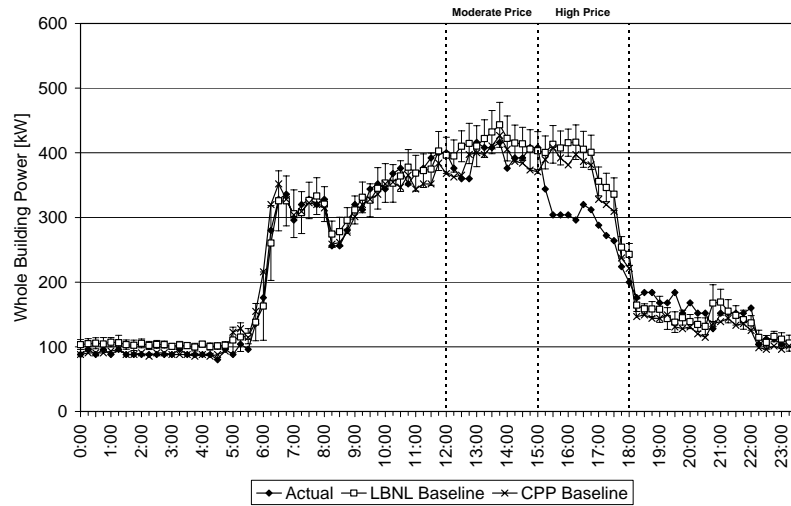
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	74	9	0.74	0.09	16%	2%
	High Price	153	103	1.53	1.03	33%	25%

Oracle Rocklin, 7/18/2006 (Max OAT: 102 °F)



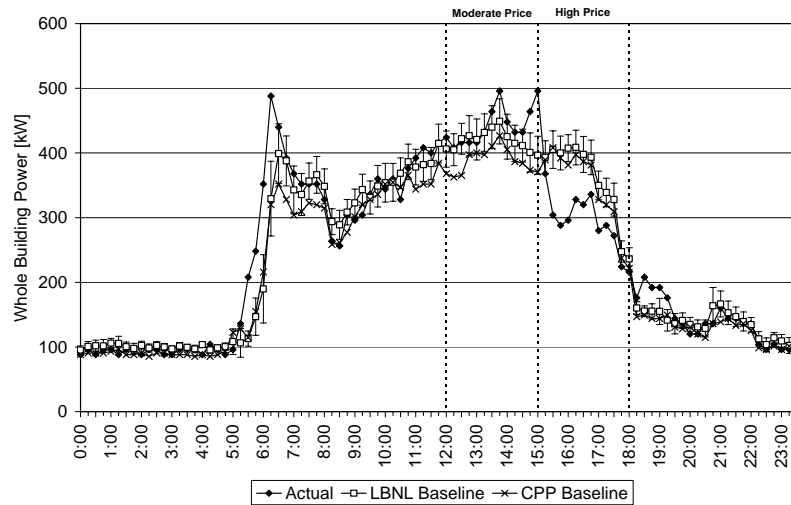
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	77	46	0.77	0.46	17%	10%
	High Price	143	110	1.43	1.10	33%	28%

Oracle Rocklin, 7/20/2006 (Max OAT: 103 °F)



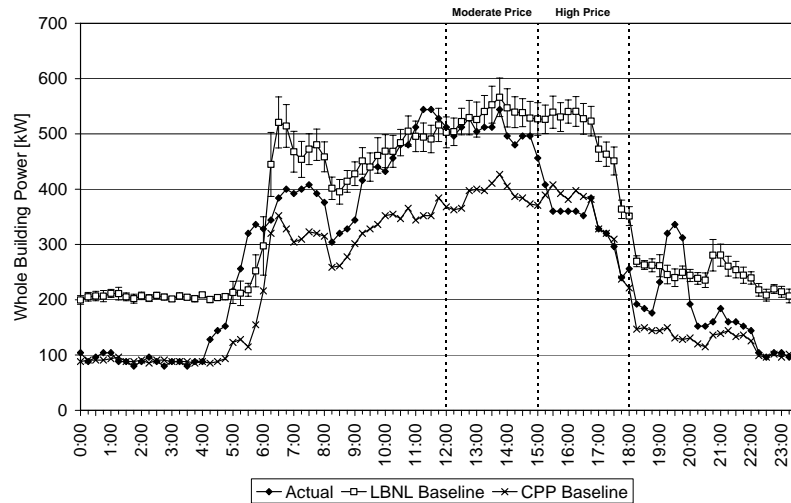
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	56	24	0.56	0.24	13%	6%
	High Price	122	82	1.22	0.82	29%	22%

Oracle Rocklin, 7/21/2006 (Max OAT: 101 °F)



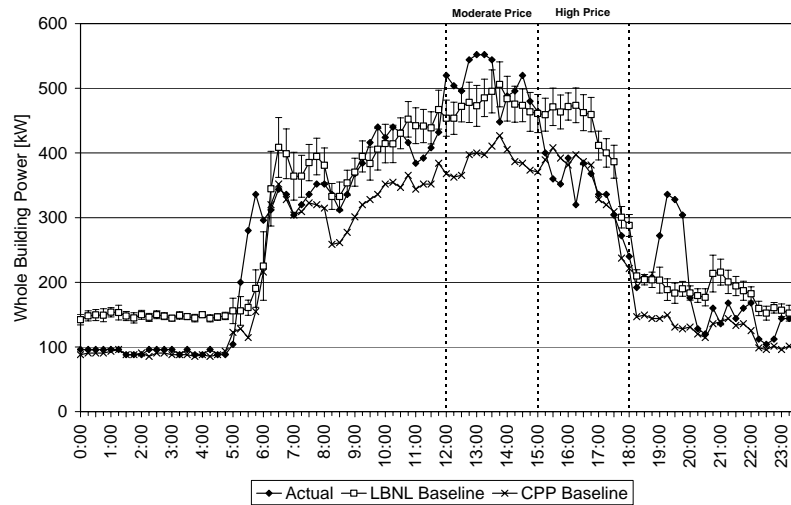
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	11	-23	0.11	-0.23	2%	-6%
	High Price	112	65	1.12	0.65	28%	17%

Oracle Rocklin, 7/24/2006 (Max OAT: 106 °F)



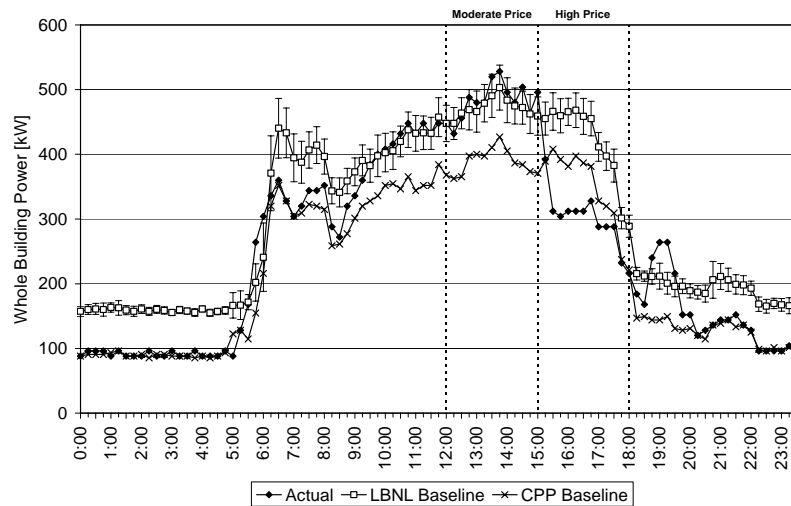
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	72	33	0.72	0.33	14%	6%
	High Price	181	151	1.81	1.51	34%	31%

Oracle Rocklin, 7/25/2006 (Max OAT: 105 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	54	-35	0.54	-0.35	11%	-7%
	High Price	149	78	1.49	0.78	32%	18%

Oracle Rocklin, 7/26/2006 (Max OAT: 102 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	17	-11	0.17	-0.11	4%	-2%
	High Price	157	120	1.57	1.20	34%	28%

### D.13. Svenhard's Swedish Bakery

#### Site Summary

Building Use	Bakery	
Industry Classification	Bakery	
City	Oakland, CA	
Gross Floor Area	101,000 ft²	
Conditioned Area	101,000 ft²	
# of Buildings, floor	1-building, 2-floor	
Peak Load kW	kW	
Peak W/ft²	. W/ft²	
Tenant Type	Bakery workers	
Facility Management	Company Owned	
Weekday Schedule		
Non-weekday Schedule		
Building Details	Industrial Facility - No HVAC or Lighting Sheds	

#### Auto-CPP System Summary

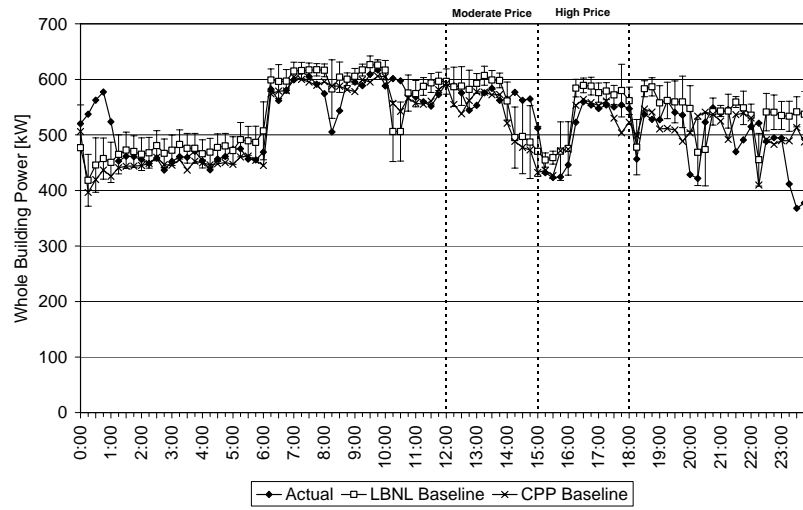
<b>Communication Method</b>		Relay at site	
<b>Gateway/Relay Device</b>	CLIR	<b>Client Host Location</b>	Oakland, CA
<b>Price Client Host</b>	CLIR	<b>Client Hosted at Co-Lo</b>	No
<b>Price Signal Use</b>		Mod=No High=Yes Notification=No	
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► No DR	
	<b>High Price</b>	► Turning off the 170 kW pan washer	
	<b>Slow Recovery</b>	None.	

This site participated in a mock CPP event to test the automation and load shed amount.

#### Event Results

<b>Event Date</b>	<b>Participation</b>	<b>Event Date</b>	<b>Participation</b>
<b>Aug-08</b>	Manual	<b>Sep-22</b>	Manual
<b>Sep-29</b>	Manual	<b>Oct-06</b>	Manual
<b>Oct-13</b>	Manual	<b>Oct-20</b>	Succeeded

Svenhard's, 10/20/2006 (Max OAT: 78 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Oct-20	Moderate Price	39	-9	0.39	-0.08	7%	-2%
	High Price	62	31	0.61	0.31	11%	6%

#### D.14. Target, Hayward Store

##### Target, Hayward Store

###### Site Summary

Building Use	Retail	
Industry Classification	Retail store	
City	Hayward, CA	
Gross Floor Area	130,000 ft²	
Conditioned Area	130,000 ft²	
# of Buildings, floor	1-building, 1-floor	
Peak Load kW	428 kW	
Peak W/ft²	3.29 W/ft²	
Tenant Type	Customers, employees	
Facility Management	Company-owned	
Weekday Schedule	Sun-Sat: 8am - 10pm	
Non-weekday Schedule	None	
Building Details	One-story building with large sales area supported with storage area, offices, food sales area and restrooms.	

###### HVAC System Summary

<b>Air Distribution Type</b>	Constant volume
<b>Air Handler Unit</b>	(23) CV Roof-top units. 74 °F cooling, 70 °F heating setpoint.
<b>Cooling Plant</b>	N/A
<b>Heating Plant</b>	N/A
<b>HVAC Control System</b>	ALC. Controllable and programmable offsite.
<b>DDC Zone Control</b>	No
<b>Other Details</b>	2x4 fluorescent fixtures in sales areas. Every fourth fixture is circuited together.

###### Data Trending

<b>DDC Zone Control</b>	InterAct=Yes EMCS Trends=Yes Submeter=No
<b>Data Trending Detail</b>	EMCS collects start/stop of each roof-top units.

###### Auto-CPP System Summary

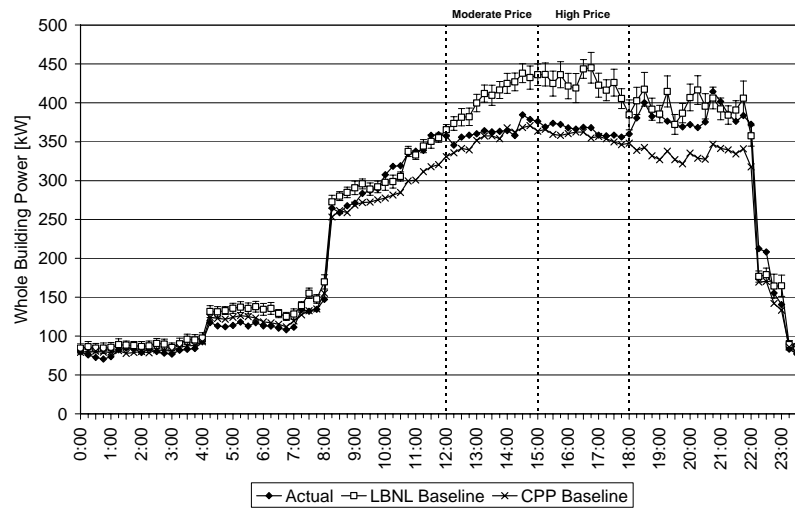
<b>Communication Method</b>	Software client		
<b>Gateway/Relay Device</b>	Canon Technologies	<b>Client Host Location</b>	Minesota
<b>Price Client Host</b>	Target	<b>Client Hosted at Co-Lo</b>	Yes
<b>Price Signal Use</b>	Mod=Yes High=Yes Notification=No		
<b>Shed Strategies</b>	<b>Pre-event</b>	None.	
	<b>Moderate Price</b>	► Shut off 3 of 12 RTUs in the sales area (building has 23 RTUs total). ► Shut off 5 RTUs in the sales area after October 6th.	
	<b>High Price</b>	► Turn off every fourth light fixture in the sales area.	
	<b>Slow Recovery</b>	None.	

## Event Results

Event Date	Participation	Event Date	Participation
21-Jun	Succeeded	22-Jun	Succeeded
23-Jun	Succeeded	26-Jun	Succeeded
17-Jul	Succeeded	18-Jul	Succeeded
20-Jul	Succeeded	21-Jul	Succeeded
24-Jul	Succeeded	25-Jul	Succeeded
26-Jul	Succeeded	9-Aug	No event
31-Aug	No event	1-Sep	No event
22-Sep	No event		

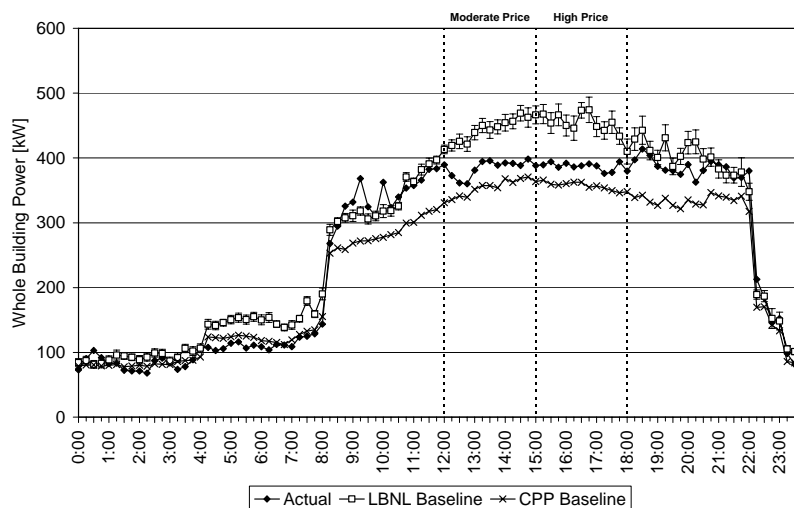
\* See Section 3.3.3 "Successfulness of participation " of the main report for result definition.

Target Hayward, 6/21/2006 (Max OAT: 90 °F)



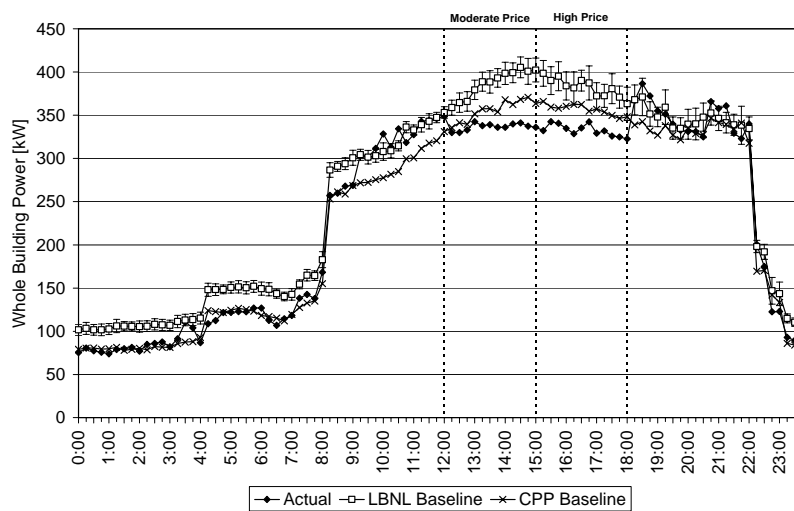
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-21	Moderate Price	71	49	0.55	0.38	17%	12%
	High Price	79	61	0.61	0.47	18%	14%

Target Hayward, 6/22/2006 (Max OAT: 94 °F)



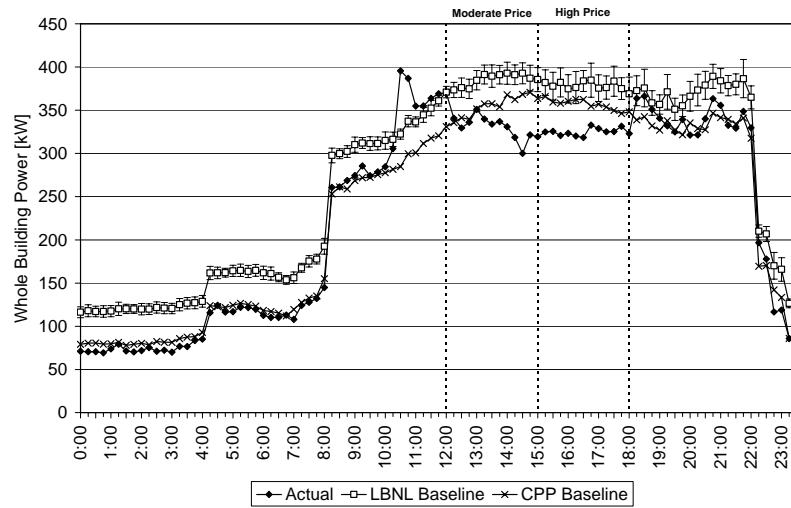
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-22	Moderate Price	84	66	0.65	0.51	18%	15%
	High Price	89	69	0.69	0.53	19%	15%

Target Hayward, 6/23/2006 (Max OAT: 80 °F)



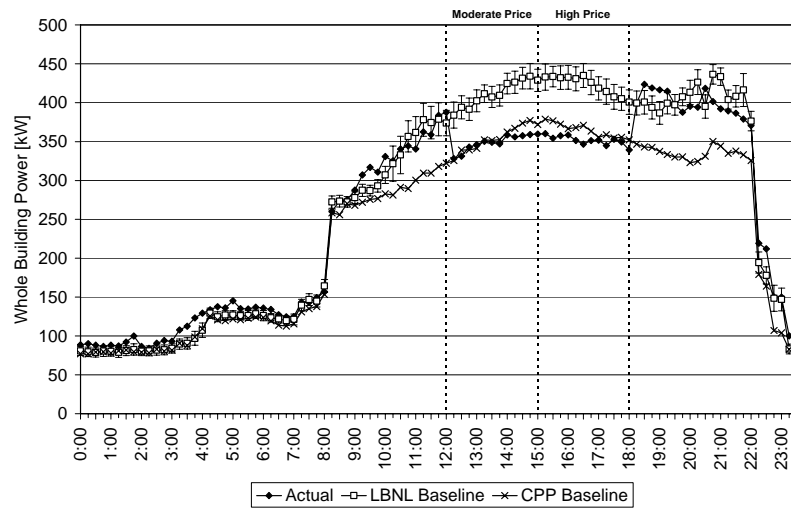
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-23	Moderate Price	69	53	0.53	0.41	17%	13%
	High Price	69	52	0.53	0.40	17%	13%

Target Hayward, 6/26/2006 (Max OAT: 76 °F)



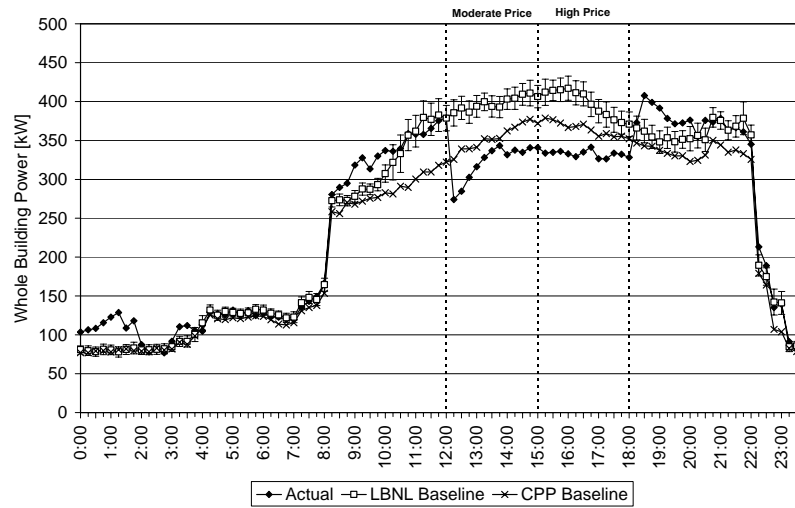
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jun-26	Moderate Price	95	59	0.73	0.45	24%	15%
	High Price	68	56	0.53	0.43	18%	15%

Target Hayward, 7/17/2006 (Max OAT: 92 °F)



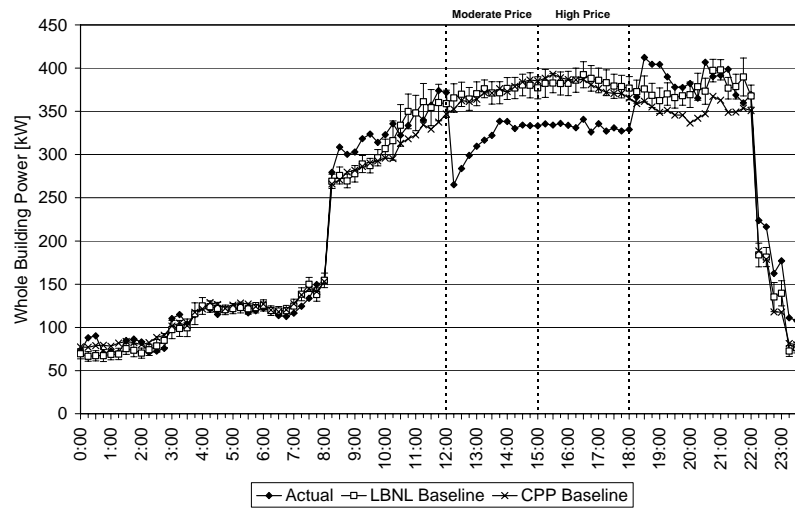
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-17	Moderate Price	80	68	0.61	0.52	18%	16%
	High Price	93	76	0.71	0.58	21%	18%

Target Hayward, 7/18/2006 (Max OAT: 87 °F)



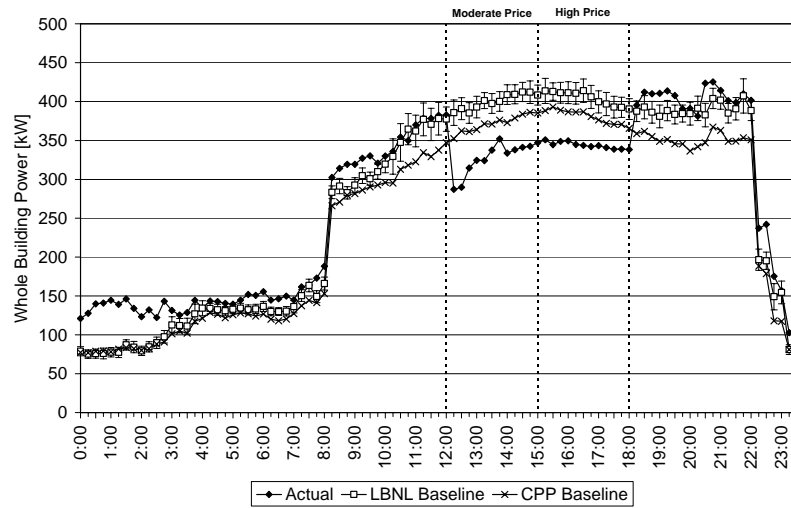
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-18	Moderate Price	121	85	0.93	0.65	31%	21%
	High Price	94	74	0.72	0.57	22%	18%

Target Hayward, 7/20/2006 (Max OAT: 85 °F)



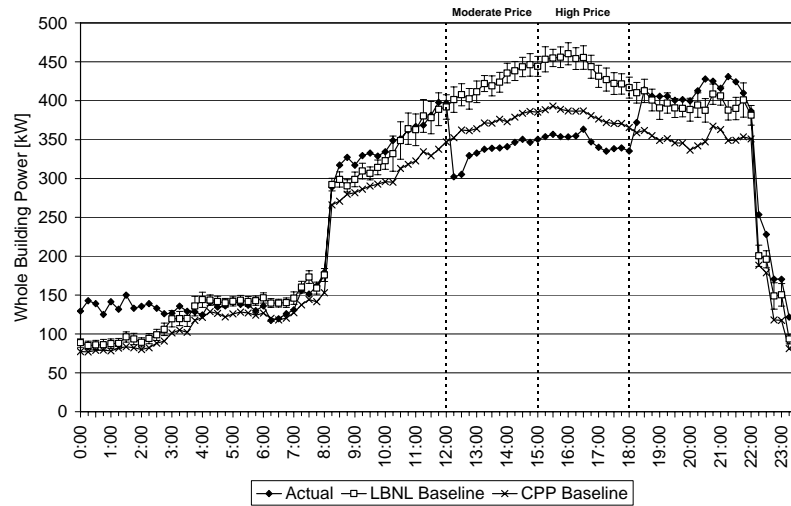
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-20	Moderate Price	109	65	0.84	0.50	29%	17%
	High Price	70	59	0.54	0.46	18%	15%

Target Hayward, 7/21/2006 (Max OAT: 88 °F)



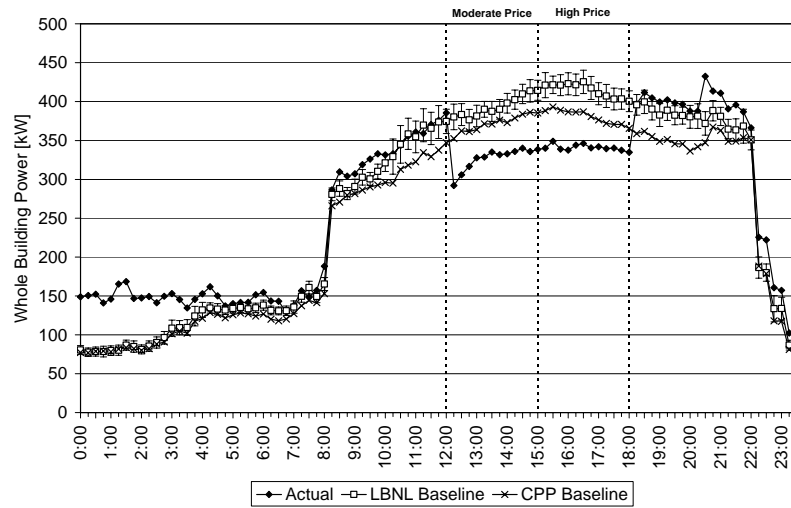
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-21	Moderate Price	109	81	0.84	0.62	27%	20%
	High Price	78	69	0.60	0.53	19%	17%

Target Hayward, 7/24/2006 (Max OAT: 95 °F)



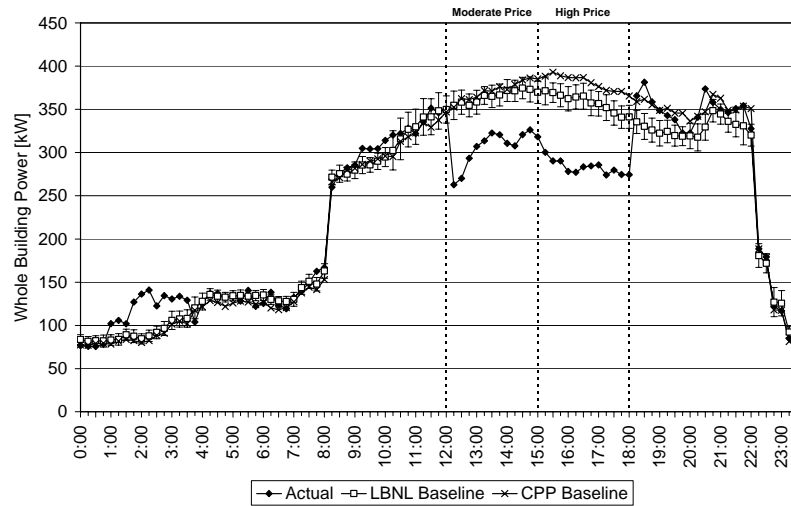
Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-24	Moderate Price	111	98	0.85	0.75	27%	23%
	High Price	115	102	0.89	0.79	25%	23%

Target Hayward, 7/25/2006 (Max OAT: 89 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-25	Moderate Price	95	75	0.73	0.57	25%	19%
	High Price	93	81	0.71	0.62	22%	19%

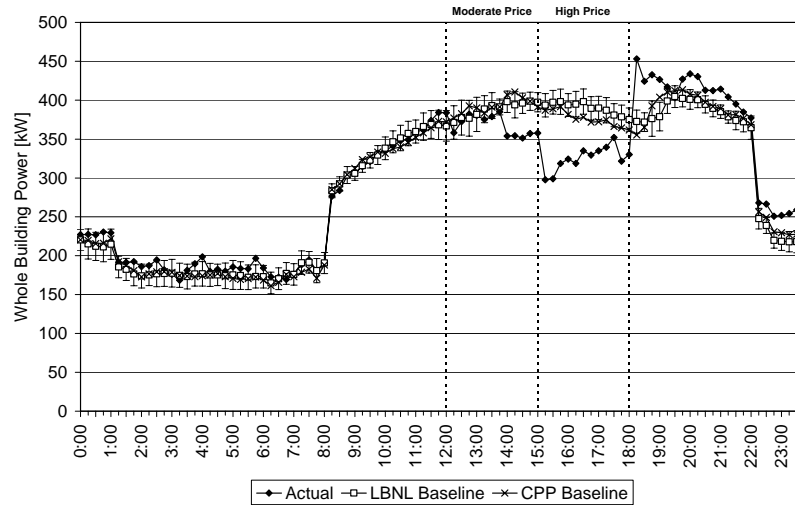
Target Hayward, 7/26/2006 (Max OAT: 78 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Jul-26	Moderate Price	100	67	0.77	0.51	27%	18%
	High Price	95	83	0.73	0.64	26%	23%

### D.15. Target, Antioch Store

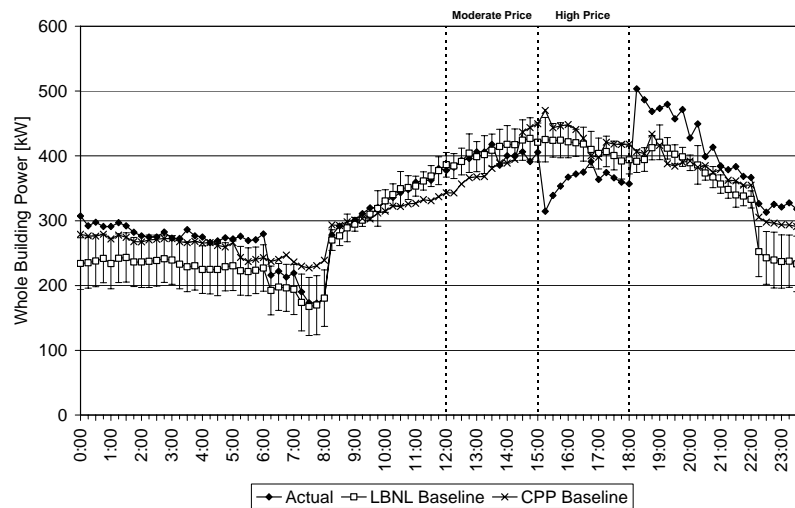
Target Antioch, 10/20/2006 (Max OAT: 84 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Oct-20	Moderate Price	46	23	-	-	12%	6%
	High Price	99	66	-	-	25%	17%

### D.16. Target, Bakersfield Store

Target Bakersfield, 10/20/2006 (Max OAT: 78 °F)



Date	Price Level	kW		W/ft <sup>2</sup>		WBP%	
		Max	Ave	Max	Ave	Max	Ave
Oct-20	Moderate Price	36	10	-	-	8%	2%
	High Price	111	51	-	-	26%	12%

## Appendix E. Summary of Sites' DR Control Strategies

Site name	DR mode	DR control strategies
ACWD	Pre-event	None.
	Moderate Price	<ul style="list-style-type: none"> <li>▶ Disable boiler.</li> <li>▶ Raise CHW setpoint to 50°F.</li> <li>▶ Current limiting to 70%.</li> <li>▶ Increase SAT from 55°F to 65°F for AHUs 1, 2, 3 and Lab AHU.</li> <li>▶ Decrease DSP setpoint from 1.5" to 1.0."</li> <li>▶ Increase zone setpoints to 75°F.</li> </ul>
	High Price	▶ Increase zone setpoints to 78°F.
	Slow Recovery	▶ Extend shed control 2 hours (until 8 p.m.).
Office/Data Center	Pre-event	None.
	Moderate Price	None.
	High Price	<ul style="list-style-type: none"> <li>▶ Reduce DSP from 2.2" to 1.4."</li> <li>▶ Lock fan VFD 3 minutes after the DSP reset.</li> <li>▶ CHW setpoint increased 5°F at the secondary loop.</li> <li>▶ Lock cooling valve position at the AHU.</li> </ul>
	Slow Recovery	None.
Chabot	Pre-event	<ul style="list-style-type: none"> <li>▶ Free cooling when the OAT is below 62°F.</li> <li>▶ Pre-cooling until noon at 70 °F average zone temp.</li> </ul>
	Moderate Price	▶ Drift zone setpoints to 74°F, 4/3 °F each hour.
	High Price	▶ Drift zone setpoints to 78°F, 4/3 °F each hour.
	Slow Recovery	None.
2530 Arnold	Pre-event	None.
	Moderate Price	▶ Zone setpoints increased 2°F. (76°F to 78°F).
	High Price	▶ Increase zone setpoints 4°F (to 80°F).
	Slow Recovery	▶ Release VAV boxes one at a time over a short time interval.
50 Douglas	Pre-event	None.
	Moderate Price	▶ Increase zone setpoints 2°F (76°F to 78°F).
	High Price	▶ Increase zone setpoints 4°F (to 80°F).
	Slow Recovery	▶ Release VAV boxes one at a time over a short time interval.
MDF	Pre-event	None.
	Moderate Price	▶ Increase zone setpoints 2°F (76°F to 78°F).
	High Price	▶ Increase zone setpoints 4°F (to 80°F).
	Slow Recovery	▶ Release VAV boxes one at a time over a short time interval.

Site name	DR mode	DR control strategies
<b>Echelon</b>	<b>Pre-event</b>	None.
	<b>Moderate Price</b>	<ul style="list-style-type: none"> <li>▶ Turn off hallway lighting where there is ambient light.</li> <li>▶ Turn off daylit office lights.</li> <li>▶ Dim inner office lights to 20%.</li> </ul>
	<b>High Price</b>	<ul style="list-style-type: none"> <li>▶ Turn off 1 of 3 RTUs.</li> <li>▶ Reduce DSP from 1.5" to 0.8"</li> <li>▶ Increase SAT from 55 to 65°F.</li> </ul>
	<b>Slow Recovery</b>	None.
<b>Centerville</b>	<b>Pre-event</b>	▶ Precooling to 72 °F until 11:50 a.m.
	<b>Moderate Price</b>	▶ Raise temperature to 78°F until 2:50 p.m.
	<b>High Price</b>	▶ Turn off systems at 2:50 p.m. (School closes at 3 p.m.) Let office areas drift.
	<b>Slow Recovery</b>	None.
<b>Irvington</b>	<b>Pre-event</b>	▶ Precooling to 72°F until 11:50 a.m.
	<b>Moderate Price</b>	▶ Raise temperature to 78°F until 2:50 p.m.
	<b>High Price</b>	▶ Turn off systems at 2:50 p.m. (School closes at 3 p.m.) Let office areas drift.
	<b>Slow Recovery</b>	None.
<b>Gilead 300</b>	<b>Pre-event</b>	▶ Start shed control at 11 a.m.
	<b>Moderate Price</b>	▶ Increase AHU SAT from 55°F to 65°F.
	<b>High Price</b>	▶ Same as Moderate Price.
	<b>Slow Recovery</b>	None.
<b>Gilead 342</b>	<b>Pre-event</b>	▶ Start shed control at 11 a.m.
	<b>Moderate Price</b>	<ul style="list-style-type: none"> <li>▶ Increase AHU SAT from 55°F to 65°F.</li> <li>▶ Increase zone setpoints to 75°F (70 ~ 75 °F normal).</li> </ul>
	<b>High Price</b>	▶ Same as Moderate Price.
	<b>Slow Recovery</b>	None.
<b>Gilead 357</b>	<b>Pre-event</b>	▶ Start shed control at 11 a.m.
	<b>Moderate Price</b>	<ul style="list-style-type: none"> <li>▶ Increase AHU SAT from 55°F to 65°F.</li> <li>▶ Increase zone setpoints to 75°F (70 ~ 75 °F normal).</li> </ul>
	<b>High Price</b>	▶ Same as Moderate Price.
	<b>Slow Recovery</b>	None.
<b>IKEA EPaloAlto</b>	<b>Pre-event</b>	None.
	<b>Moderate Price</b>	▶ Increase zone setpoints 2°F at each RTU.
	<b>High Price</b>	▶ Increase zone setpoints to 76°F.
	<b>Slow Recovery</b>	None.

Site name	DR mode	DR control strategies
Oracle Rocklin	Pre-event	None.
	Moderate Price	► Reduce DSP 20% at supply fans.
	High Price	► Increase zone setpoints 3°F.
	Slow Recovery	None.
Safeway Stockton	Pre-event	None.
	Moderate Price	► Decrease sales area lighting by 1/3. ► Turn off case lights.
	High Price	► Decrease sales area lighting by 2/3.
	Slow Recovery	None.
Solectron	Pre-event	None.
	Moderate Price	► Increase zone setpoints 2°F. ► Turn off 2/3 of lights in Building #07.
	High Price	► Increase zone setpoints 3°F.
	Slow Recovery	None.
Svenhard's	Pre-event	None.
	Moderate Price	None.
	High Price	► Turn off pan washer.
	Slow Recovery	None.
Sybase	Pre-event	None.
	Moderate Price	► Turn off all day light zones, art lights, core wall washers, a group of public areas, and the remaining perimeter lights on all floors.
	High Price	► Same as Moderate Price.
	Slow Recovery	None.
Target Hayward	Pre-event	None.
	Moderate Price	► Shut off 3 of 12 RTUs in sales area (on 6/21, 6/22) (building has 23 RTUs total). ► Shut off 5 RTUs in sales area (after 6/23). ► Increase zone setpoints 2°F (after 7/17)
	High Price	► Turn off every fourth light fixture in sales area (after 7/26).
	Slow Recovery	None.
Target Antioch	Pre-event	None.
	Moderate Price	► Shut off 5 RTUs in sales area. ► Increase zone setpoints 2°F.
	High Price	► Same as Moderate Price.
	Slow Recovery	None.
Target Bakersfield	Pre-event	None.
	Moderate Price	► Shut off 5 RTUs in sales area. ► Increase zone setpoints 2°F.
	High Price	► Same as Moderate Price.
	Slow Recovery	None.

Site name	DR mode	DR control strategies
<b>Walmart Fresno</b>	<b>Pre-event</b>	None.
	<b>Moderate Price</b>	► Increase zone setpoints 2°F for 1/2 of RTUs.
	<b>High Price</b>	► Increase zone setpoints 2°F for all RTUs.
	<b>Slow Recovery</b>	None.

- SAT: Supply Air Temperature ► AHU: Air Handling Unit ► RTU: Rooftop Unit  
 ► VAV: Variable Air Volume ► DSP: Duct Static Pressure  
 ► CHWT: Chilled Water Temperature ► OAT: Outside Air Temperature  
 ► VFD: Variable Frequency Drive

## Appendix F. Aggregated Demand Savings Results

### F.1. CPP Event on June 21<sup>st</sup>, 2006

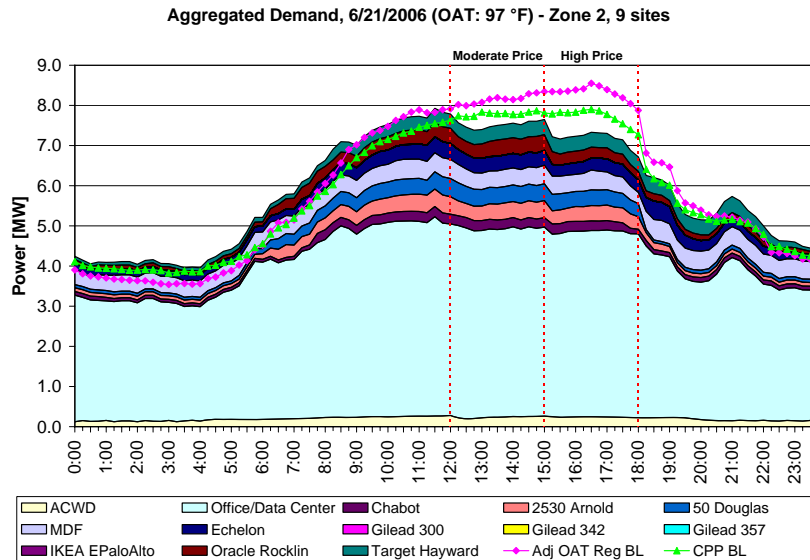


Figure 2: Aggregated Demand, June 21<sup>st</sup>, 2006

### F.2. CPP Event on June 22<sup>nd</sup>, 2006

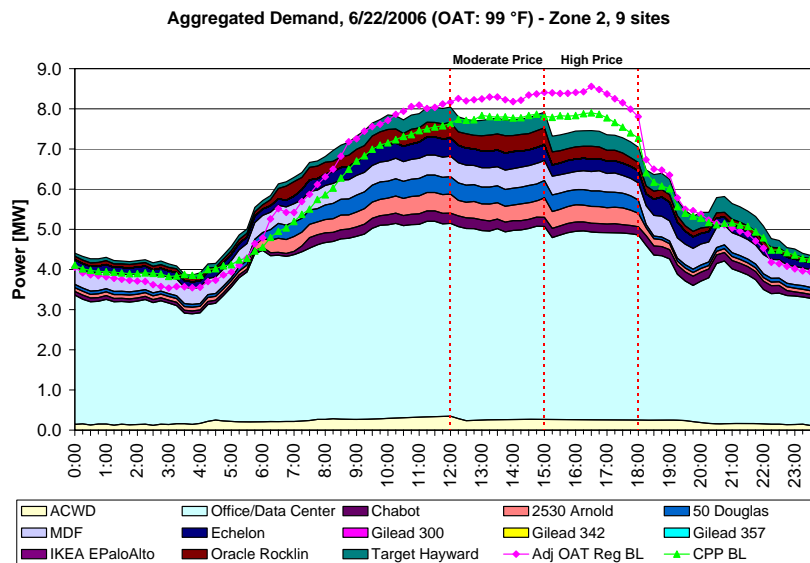


Figure 3: Aggregated Demand, June 22<sup>nd</sup>, 2006

### F.3. CPP Event on June 26<sup>th</sup>, 2006

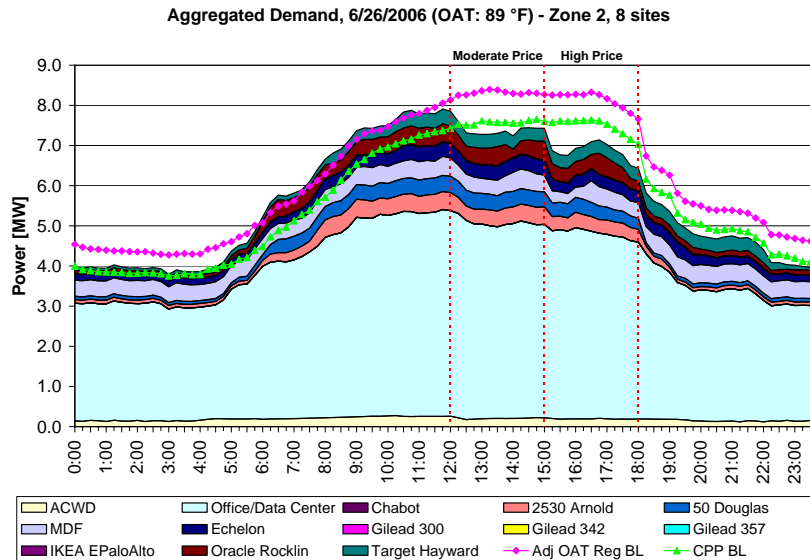


Figure 4: Aggregated Demand, June 26<sup>th</sup>, 2006

### F.4. CPP Event on July 20<sup>th</sup>, 2006

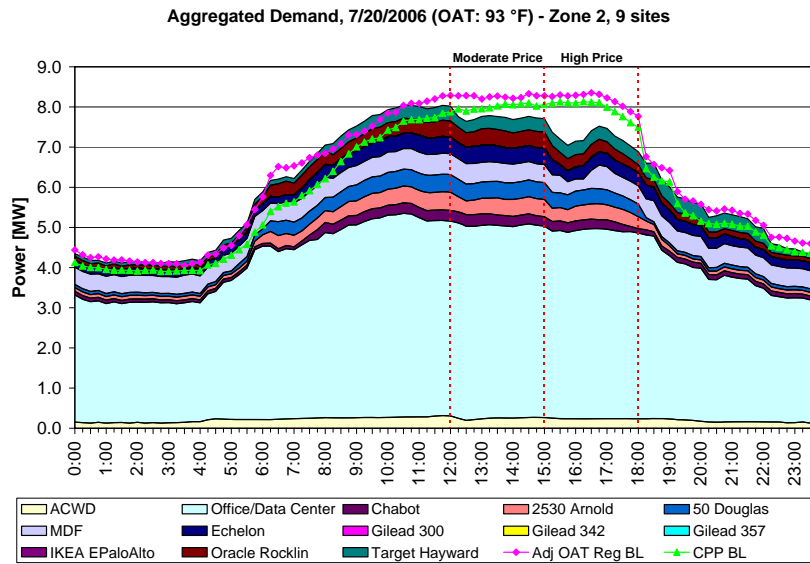


Figure 5: Aggregated Demand, July 20<sup>th</sup>, 2006

## F.5. CPP Event on July 21<sup>st</sup>, 2006

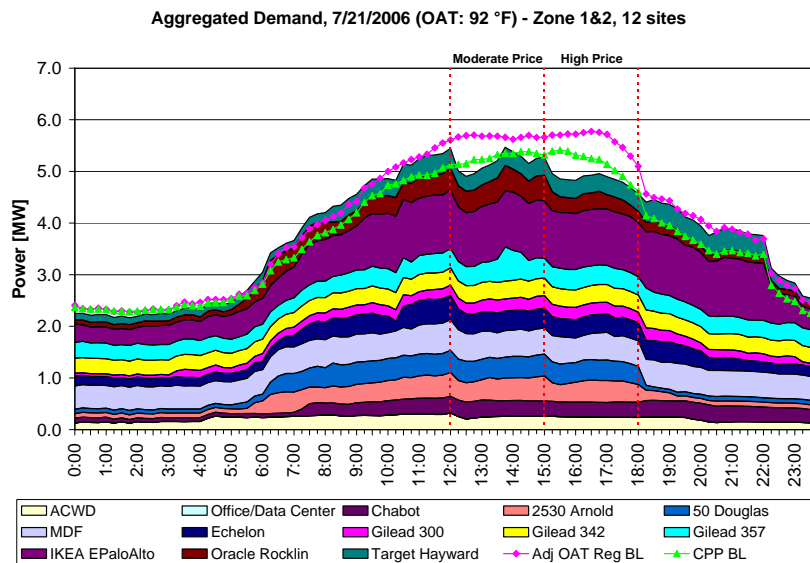


Figure 6: Aggregated Demand, July 21<sup>st</sup>, 2006

## F.6. CPP Event on July 25<sup>th</sup>, 2006

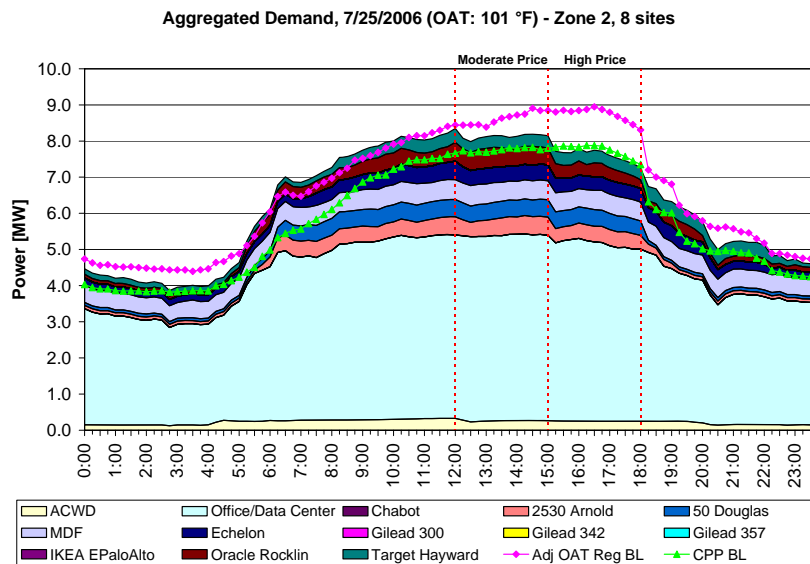


Figure 7: Aggregated Demand, July 25<sup>th</sup>, 2006

## F.7. CPP Event on July 26<sup>th</sup>, 2006

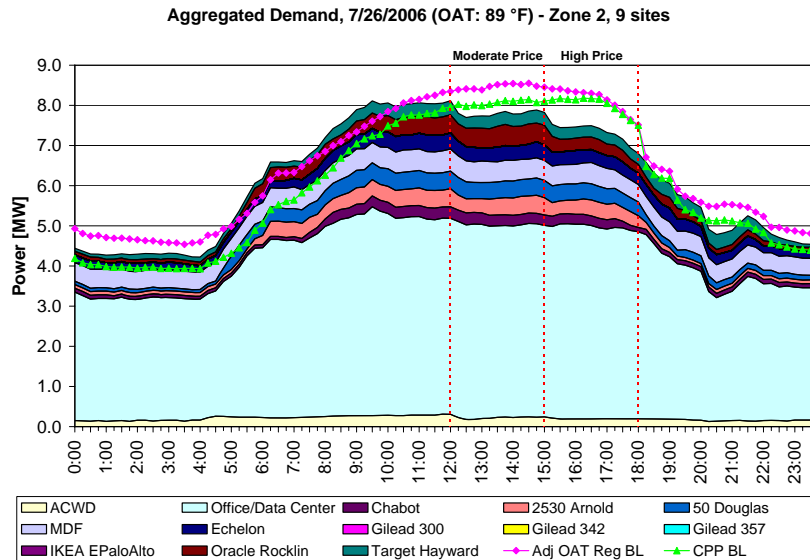


Figure 8: Aggregated Demand, July 26<sup>th</sup>, 2006

## F.8. CPP Event on August 9<sup>th</sup>, 2006

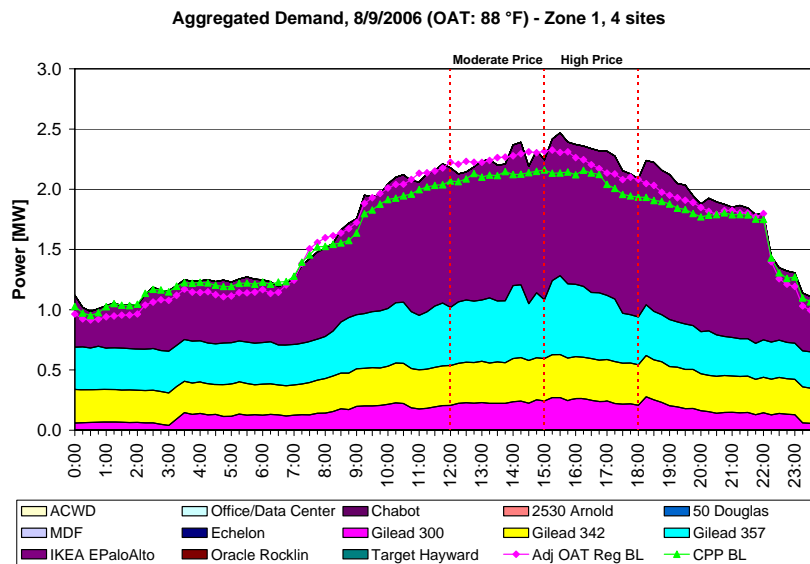


Figure 9: Aggregated Demand, August 9<sup>th</sup>, 2006

## F.9. CPP Event on August 31<sup>st</sup>, 2006

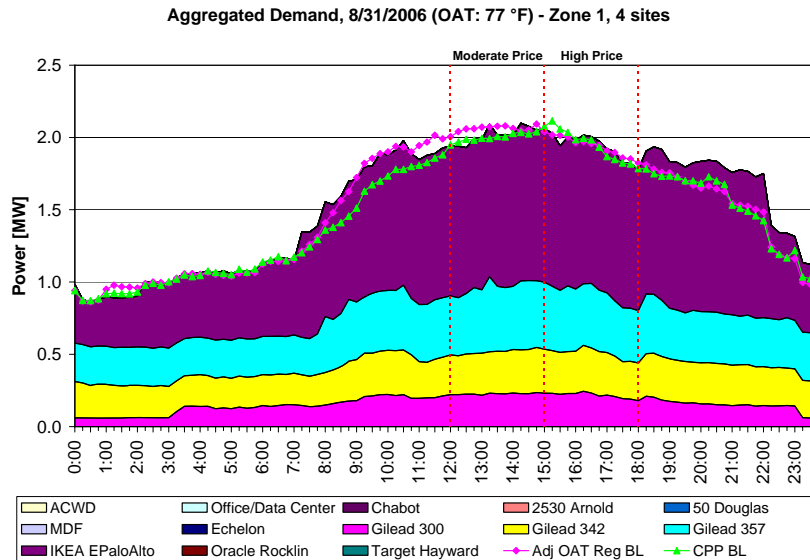


Figure 10: Aggregated Demand, August 31<sup>st</sup>, 2006

## F.10. CPP Event on September 1<sup>st</sup>, 2006

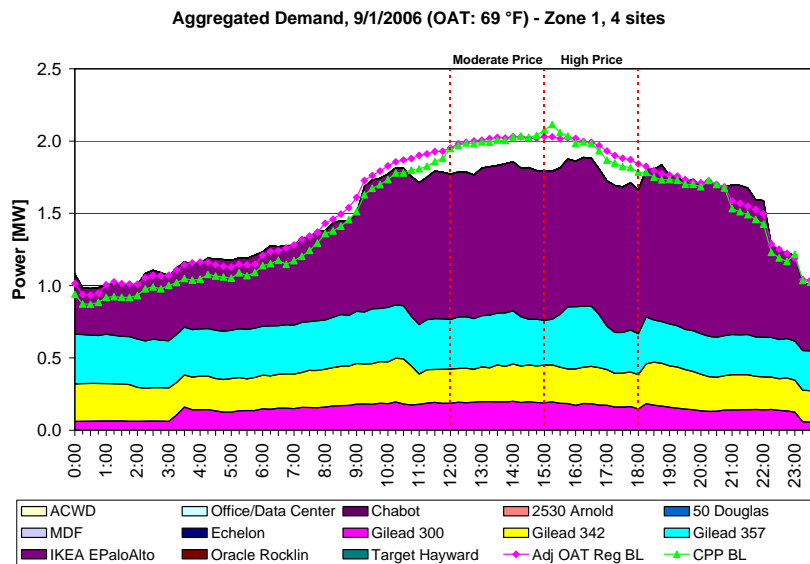


Figure 11: Aggregated Demand, September 1<sup>st</sup>, 2006

## F.11. CPP Event on September 22<sup>nd</sup>, 2006

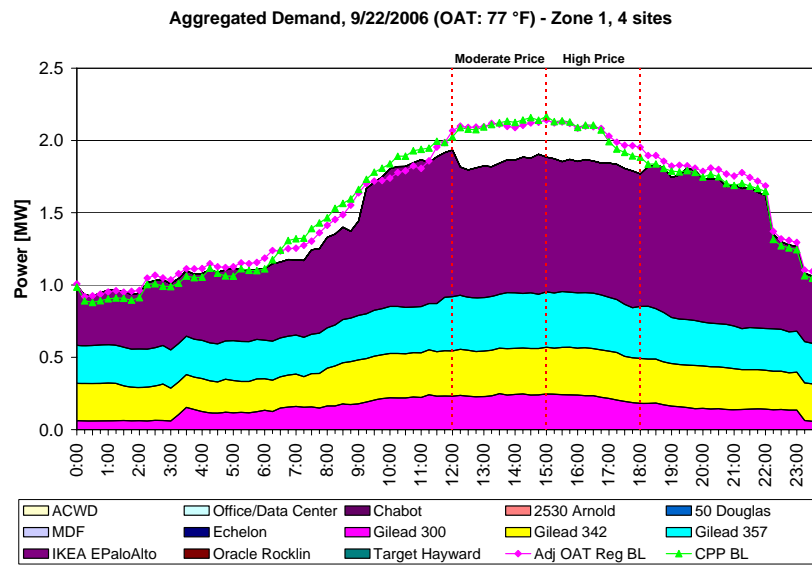


Figure 12: Aggregated Demand, September 22<sup>nd</sup>, 2006

## Appendix G. Post-Event Surveys

Following are the post-event survey responses from each site.

Site	Office/Data Center	Your Name	Bill Young (by NAM)	Date of CPP Event	6/21/2006	Today's Date	6/22/2006
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes. By notification e-mail and EMCS interface.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Yes. All the strategies on both Honeywell and Trane system worked perfectly as planned. Estimated saving is over 400 kW.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				N/A			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				The operators couldn't perform their normal tasks to supervise the event, and troubleshoot the complaints.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				N/A			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				N/A			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				N/A			
Any other comments?				Comparing to the amount of money spent to use operators time, and slight reduction of service to 3000 occupants, the money saved by DR operation is too small to justify. I had a meeting with the manager, and there were concerns and frustration about the program feasibility. If we have better control system to be able to program pre-cooling, at least we can reduce the complaint from occupants. Current system has serious limitation in control flexibility.			
Site	Alameda County Water District HQ	Your Name	Greg Watson	Date of CPP Event	7/17/06, 7/18/06, 7/20/06, 7/21/06 and 7/24/06	Today's Date	7/25/2006
Were you aware of the CPP event? If you				Yes, I received a pager and email notification			

were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)	from PG&E for all of the CPP events to date. I even received a pager notification for the event 7/25/06 event while I was in the Los Angeles area.
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)	Yes, I have sent out or had another staff members send out notices for each of the last 6 events. The notices are sent out within two hours of the notification which usually comes at about 2:00 p.m. the day before. It is important that I send the notice early as we have staff on multiple schedules and if I wait for 4:00 p.m. I will have missed some of the staff that leave before 4:00 p.m.
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?	When the temperature is above 92 degrees F there is less of a noticeable difference than when the temp is in the upper 70's as during some of the test days. During the high temp days the HVAC system will come on to maintain the 75 or 78 degree temps. On lower temp days the HVAC system may not have to come on to maintain temp and the air in the building gets very stagnant.
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)	It is a mixed bag. Some staff is very tuned into to temperature variations others can not tell.
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)	Yes. Although I just received a true up billing from PG&E and I was surprised to see that our savings was not as high as I expected. It appears that on some days that we actually paid the excessive demand charges because we did not shed enough. I also noted that our sheds were greatest on Mondays and Fridays when we have staff on alternate schedules not at the office. On Tuesdays and Wednesdays our use was much higher.
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.	None of any measure. They have started asking questions if we can override specific areas so that when they have meetings the areas are still cool for outside visitors. I have had to explain to them that if the temp in these areas exceeds the 75 or 78 degree setpoints that the HVAC system will come on and cool the area. This seems to satisfy their concerns.
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?	None during these recent CPP days.

Any other comments?				The one day that I did not notify staff, 7/17/06, caused a problem with management. They felt left out of the loop. They could not answer when questioned if it was or was not a CPP day. I had specifically not told anyone so that the system could respond without outside influence. I determined that whether staff knows or not the system will still shed about the same amount of energy. Monday was probably not the best day to do this as it is a reduced staff day.			
<b>Site</b>	<b>Hayward Target</b>	<b>Your Name</b>	<b>Scott Williams</b>	<b>Date of CPP Event</b>	<b>6/20-22/06</b>	<b>Today's Date</b>	<b>6/22/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				We were informed ahead of time by LBNL and PGE rep via email and also the PGE orb changed color.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Due to changes in our energy management system this year, and also vacation for key team member, we decided to limit the initial strategy to shutdown of 3 RTUs on sales floor 6/20 & 6/21 and shutdown 5 RTUs 6/22. No lighting was shut off. As expected, shutoff of 3 RTUs had minimal impact initially because not all RTUs were operational at the time. We still need to review 5 RTU shutdown strategy.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				N/A			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Functionally, it looked like systems operated as expected.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				N/A			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				N/A			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				N/A			
Any other comments?				N/A			
<b>Site</b>	<b>Gilead Sciences</b>	<b>Your Name</b>	<b>Eric giles</b>	<b>Date of CPP Event</b>	<b>07/17/06 &amp; 07/18/06</b>	<b>Today's Date</b>	<b>7/20/2006</b>
Were you aware of the CPP event? If you				Yes, email, PG&E orb, BAS notification			

were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)							
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Yes, all automatic systems made corrected adjustments. The manual buildings were adjusted.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				Yes, but within acceptable limits.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Everyone is aware of the slight changes. There have been no recorded or reported complaints.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes, they worked as designed.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				No			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No, Everything operated as planned			
Any other comments?				N/A			
<b>Site</b>	<b>Echelon</b>	<b>Your Name</b>	<b>Richard Hair (by NAM)</b>	<b>Date of CPP Event</b>	<b>6/21, 6/22, 6/23, 6/26</b>	<b>Today's Date</b>	<b>6/28/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes, by PG&E e-mail.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Yes, by visual and interface observation.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				N/A			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				I didn't know the automation has been already in effect, so I turned on DR mode manually at the EMCS. However, the automation connectivity has been running, I am not sure whether I or automation initiated the DR. For the first 3 hours, common area light went off, and office lights were dimmed. But the demand saving result was not significant for all four days. The rebound avoidance strategy hasn't been programmed yet. The building demand had high rebound peak after the DR operation.			
Do you think the demand response strategies worked as planned? If so, how did you know?				N/A			

(ex; by checking EMCS interface)							
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				N/A			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				N/A			
Any other comments?				Will be working on DR strategy improvement to avoid; - some zones to get too high - rebound peak			
<b>Site</b>	<b>IKEA East Palo Alto</b>	<b>Your Name</b>	<b>Rick Betten (by NAM)</b>	<b>Date of CPP Event</b>	<b>6/23/2006</b>	<b>Today's Date</b>	<b>7/9/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				I WAS AWARE BUT NOT THE EMPLOYEES.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				N/A			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				N/A			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				NO PROBLEMS OCCURED.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				N/A			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				N/A			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				N/A			
Any other comments?				N/A			
<b>Site</b>	<b>Contra Costa County</b>	<b>Your Name</b>	<b>Andy Green</b>	<b>Date of CPP Event</b>	<b>N/A</b>	<b>Today's Date</b>	<b>N/A</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes, notified by PG&E, orb, e-mail and text message			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				No.			
Did you physically notice the difference in				not in facility			

service (lighting change, zone temperature, etc) during the CPP event?							
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				In the three facilities, they figured it out because of the multiple days in a row. they were saying why isn't getting any cooler in the afternoon. Jail did not notice anything but others did.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				For the most part. They are doing what we anticipated, we are making adjustments in terms of delays, etc. Jail evaluation is not clear and Andy will look at it closely.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Small problems in non-CPP buildings.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No. Scheduling has some problems he'll have to check internally.			
Any other comments?				Sheriff said they did not even know. 10 buildings are being used. 17 on DBP. CPP baseline not happy about it. Monetary value is not justified.			
<b>Site</b>	<b>Oracle Rocklin</b>	<b>Your Name</b>	<b>Chris Wilson</b>	<b>Date of CPP Event</b>	<b>7/17-7/26</b>	<b>Today's Date</b>	<b>8/3/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes, via e-mail and paging from PG&E			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				No, we have yet to develop a marketing message to our employees.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				During the most severe heat, there was definitely a noticeable change in temperature.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Modifications were made to the strategy during the later CPP days as customer complaints were made during early CPP days with temperatures rising too fast.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				The demand strategies available worked as expected. Future programming will be implemented to refine the strategies, such as subcooling and more gradual temperature changes.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				A couple of employees in corner offices with double sun exposure and conference rooms with additional load requirements caused some complaints. Changes were made to the program to remove those rooms from a portion of the			

				load reduction program.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				One of the units had a supply fan failure and a cooling circuit that continued to trip throughout the day. A sprinkler had to be placed in the unit to constantly put water on the condensing coil. It is possible that the warmer internal return air temperatures and the slow down of the air across the coil from slowing the motor, may have actually increased load on the unit causing the failures.			
Any other comments?				N/A			
<b>Site</b>	<b>Contra Costa County</b>	<b>Your Name</b>	<b>Andy Green (typed by Arran)</b>	<b>Date of CPP Event</b>	<b>July 24-26</b>	<b>Today's Date</b>	<b>8/3/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				all three -> text message, e-mail, orb.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				no.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				He is not on site.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				yes. they were slightly hotter.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				yes. When really hot, the strategies worked but not as long. He knows it worked by looking at the load shapes.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Not many. And varied. Jail was fine. Office buildings more subject to climate conditions. (A few more complaints.)			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No. Everything worked pretty well. Nothing broke.			
Any other comments?				Not sure that they are saving any money. So he asks himself 'why go through the hassle if I don't save money?' More complaints on bidding program than CPP.			
<b>Site</b>	<b>IKEA E. Palo Alto, Ca.</b>	<b>Your Name</b>	<b>Rick Betten</b>	<b>Date of CPP Event</b>	<b>8/31/2006</b>	<b>Today's Date</b>	<b>9/4/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes. PG&E notification. Text message on cell phone. and E-mail			

Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				No.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				Yes in certain areas of the store I could feel hotter zone temps.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				I had several coworkers complain of their areas being too warm. No complaints from customers			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes. I logged on to my Electrical Management System to watch the activation.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Several employees complained of warm areas in the store.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No			
Any other comments?				So far this program seems to occur without any major problems or discomforts to our customers.			
<b>Site</b>	<b>CSSC</b>	<b>Your Name</b>	<b>Dean Sparks</b>	<b>Date of CPP Event</b>	<b>N/A</b>	<b>Today's Date</b>	<b>7/31/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				PG&E notification via text message & signal			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				All staff members & engineering team are in the loop on all CPP issues & events			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				VERY cool inside the facility in the morning. However, by the end of the day, the temperature was on the verge of being uncomfortable (feedback from staff & public)			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Other than the temp. issues, no			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				N/A			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Again, the only building system(s) which were affected to the point that people took notice was the temperature issue(s) (HVAC)			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the				Fortunately, no			

strategy execution?							
Any other comments?				none			
<b>Site</b>	<b>ACWD</b>	<b>Your Name</b>	<b>robert shaver</b>	<b>Date of CPP Event</b>	<b>7/24/2006</b>	<b>Today's Date</b>	<b>7/25/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes, internal e-mail from ACWD office staff.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				See above.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				Yes.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Yes. Received a few complaints.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Yes. Too warm after 3:00 p.m.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No.			
Any other comments?				N/A			
<b>Site</b>	<b>Alameda County Water District</b>	<b>Your Name</b>	<b>Paul Piraino</b>	<b>Date of CPP Event</b>	<b>7/20 through 7/25/06</b>	<b>Today's Date</b>	<b>7/25/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				Yes--via internal email notification.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Yes--same as above.			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				Slight change in temp, but not uncomfortable.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Unsure			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes--through internal notification from program coordinator Greg Watson.			

Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Unaware of any.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				No.			
Any other comments?				N/A			
<b>Site</b>	<b>Sybase, Inc.</b>	<b>Your Name</b>	<b>Greg Bush</b>	<b>Date of CPP Event</b>	<b>July 21, 24, 25, 26</b>	<b>Today's Date</b>	<b>Jul 31 2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				PG&E text message to my cell, Orb and the email notifications			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Campus global email			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				Yes, the reduced lighting had a 'quieting' effect even though I raised the discharge air reset tables 2 deg, f.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Employees general liked the lighting reduction and they continue turning lights off in some areas even today			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes, i reviewed my consumption history the next day			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				Several people went ballistic to think Sybase want's them 'in the dark' just because it is a 'sopre the air' day. Not too well informed, that one. Others simple turned the lights pack on in their zones. This became moor prevelent as the CCP days kept going.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				I experimented with different reset schedules and have been developing strategies to put into automation driven by the clock.			
Any other comments?				N/A			
<b>Site</b>	<b>Irvington</b>	<b>Your Name</b>	<b>Richo Perez (Written by Arran)</b>	<b>Date of CPP Event</b>	<b>July 17th - 26th</b>	<b>Today's Date</b>	<b>7/31/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				email and text on cell phone.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				Don't know (He thinks the likely answer is that the majority don't know.)			

Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				He is not physically present at the sites.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				No.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				Yes. They monitor the energy level.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				No.			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the strategy execution?				Automation program still has some bugs. (So currently some load sheds are still done manually.)			
Any other comments?				buildings have very few occupants during the summer. Very minor staff. Just admin staff in most locations. Some activities such as summer school (about 8am to 1pm) - just in American (aka not Irvington). Irvington - just chinese school.			
<b>Site</b>	<b>Svenhards Swedish Bakery</b>	<b>Your Name</b>	<b>Joshua Svenhard</b>	<b>Date of CPP Event</b>	<b>N/A</b>	<b>Today's Date</b>	<b>10/24/2006</b>
Were you aware of the CPP event? If you were, how did you know? (e.g. PG&E notification e-mail, orb, phone call)				We were made aware by direct phone call and by the Orb.			
Did you notify your employees, occupants, or customers about the event? If so, how? (e.g. e-mail, audio announcement, poster)				I notified my employees verbally			
Did you physically notice the difference in service (lighting change, zone temperature, etc) during the CPP event?				noticed that the machine affected (panwasher) didn't operate when the start button was pushed. normal operation resumed after expected downtime was completed.			
Do you think your employees, occupants, or customers noticed the difference in service? (If you don't know, just say 'I don't know'.)				Very little, there were some questions but no disruption of operation.			
Do you think the demand response strategies worked as planned? If so, how did you know? (ex; by checking EMCS interface)				the reduction was successful in shutting down that load cause the machine was not running at all.			
Were there any complaints, concerns from employees, occupants, or customers? If yes, please describe.				nope			
Were there any operational issues in the demand response strategy itself, or as a result of the compromised service due to the				We had to match the schedule of the panwasher operation to the expected downtime.			

strategy execution?	
Any other comments?	N/A