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# UNIVERSITY OF CALIFORNIA 

SANTA CRUZ

## GENETIC INVESTIGATION OF THE PACIFIC TROUT COMPLEX: FROM PEDIGREES TO PHYLOGENIES

# A dissertation submitted in partial satisfaction of the requirements for the degree of <br> DOCTOR OF PHILOSOPHY <br> in <br> OCEAN SCIENCES <br> by <br> <br> Alicia Abadía-Cardoso 

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March 2014

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# Abstract <br> GENETIC INVESTIGATION OF THE PACIFIC TROUT COMPLEX: FROM PEDIGREES TO PHYLOGENIES 

by


#### Abstract

Alicia Abadía-Cardoso

Perhaps one of the world's most important groups of fish are the species within the Pacific trout complex, due to their extensive harvest in fisheries and use in aquaculture. This dissertation consists of an in-depth evaluation of this group of trout at different scales, from the assessment of biological traits throughout the reconstruction of pedigrees in two trout populations to a phylogeographic examination of multiple largely undescribed native species. Here, a combination of novel molecular techniques allowed me to address critical ecological questions for the appropriate management and conservation of this group.

In chapter one, I describe how I discovered, characterized and developed a large number of single nucleotide polymorphisms (SNPs) for $O$. mykiss that allow study of ecological interactions, phylogeography, and conservation status. These molecular tools have great power for traditional population genetic analysis, and for individual identification and pedigree reconstruction. The last allows the tracking of families, and an unprecedented level of evaluation of natural and hatchery populations.

Chapter two expands the scope of these molecular tools to provide a powerful means of understanding of biological traits for steelhead hatchery programs in the


Russian River, California. Reconstruction of cohort age distributions revealed a strong component of fish that spawn at age two, in contrast to program goals and distinct from naturally spawning steelhead in the region. Correlations between family members in the day of spawning revealed a strongly heritable component to this life history trait and demonstrated the potential for selection to alter life history traits. These results demonstrate the promise of SNP-based pedigree reconstruction for providing biological inference in high-fecundity organisms.

Chapter three describes how the powerful molecular tools developed were applied to population genetics of trout inhabiting northwestern Mexico, to elucidate biodiversity, evaluate hypotheses regarding evolutionary history, and measure introgression from exotic hatchery rainbow trout. Here, I confirmed the vast genetic diversity present in northwestern Mexican trout and provided evidence that trout inhabiting the Sierra Madre Occidental correspond to independent lineages separated from O. mykiss. Introgression from non-native trout was detected, but the genetic integrity of native trout is still maintained in many watersheds. All the information presented in this document will help to guide effective conservation strategies for this globally important group of fishes.

# A mis queridos abuelos 

José, Trini,

Fernando y Martha.

## Acknowledgments

First of all, I want to express my sincere gratitude to my PhD advisor Carlos Garza. None of this would have been possible without his immense support. The supervision and guidance he provided me as his student was essential in my path to become a researcher. His insightful and broad knowledge enlightened me enormously. He gave me the right pushes and was patient in the right moments, to just make me a better scientist.

I am especially thankful to Devon Pearse for his invaluable advise. I am grateful for all those times I spent discussing science and for always taking the time to listen to my questions and concerns about my work, or simply about life.

Over the years, I needed the assistance from many people in the various tasks I have undertaken. I want to thank Libby Gilbert-Horvath for all her help in the lab, making sure that everything worked properly and that I always had the necessary to run my samples, and Eric Anderson for always taking the time to assist me on any math/computer issues I encounter and for his invaluable collaboration on chapter 2.

I would also want to thank my past and present office and lab mates, with whom I spent endless hours sharing four walls. Most importantly among them was Anthony Clemento, for all the constructive discussions I had to make our research better.

This study would not have been possible without the sampling and associated efforts of multiple people to whom I am grateful. Thanks to Francisco García de León, Richard Mayden, and all the people from the binational group "Truchas Mexicanas" for providing the Mexican trout samples. Thanks to past and present members of the Molecular Ecology and Genetic Analysis Team: V. Apkenas, M. Arciniega, A. Clemento, C. Columbus, E. Gilbert-Horvath, H. Starks, D. Pearse A. Martínez, and E. Martínez for assistance with laboratory analyses and comments. I also thank the staff at WSH and CVFF, including P. LaCivita, E. McKenna, R. Taylor, B. White and B. Wilson. Additional thanks to A. Aguilar, N. Campbell, G. Charrier, C. Donohoe, G. Edwards, H. Fish, S. Hayes, A. Matala, L. Seeb and P. Moran for help with protocol development, supplying samples or insightful discussions.

I also need to thank my committee members Jonathan Zher, Grant Pogson, and Francisco García de León for their valuable comments to my proposal and especially in the final stages of this dissertation.

In a personal note, I want to thank my mom, my dad, and my brother for their endless encouragement from the distance.

Many thanks to my terrific friends that made this endeavor more bearable and fun. The Mexicans: Martha, Horacio, Stella, Sergio, and Emilio...and Luis. The dinner cluppers: Sally, Jimmy, Alice, Cyril, and lately Anne Marie and Bill. My (putative)
cohort: Melinda, Justin C., Jimmy, Cyril and Justin Y. The soccermates: all of them...

Last but not least, I want to express my deep gratitude to my partner-for-life Rodrigo. Once I heard that if you want to really meet someone you have to travel with that person. A few years ago, Rodrigo and I decided to take this arduous but gratifying trip that is the PhD and I have learn together not only about science but more importantly, about shared life and love.

Funding was provided by the CONACyT-UC Mexus Program, the National Marine Fisheries Service, Southwest Fisheries Science Center, and the US Army Corps of Engineers. The text of this dissertation includes reprints of the following previously published material:

Abadía-Cardoso A, Clemento AJ, Garza JC (2011) Discovery and characterization of single nucleotide polymorphisms in steelhead/rainbow trout, Oncorhynchus mykiss. Molecular Ecology Resources 11, 31-49.

Abadía-Cardoso A, Anderson EC, Pearse DE and Garza JC (2013) Large-scale parentage analysis reveals reproductive patterns and heritability of spawn timing in a hatchery population of steelhead (Oncorhynchus mykiss). Molecular Ecology 22, 4733-4746.

The co-author Garza JC in these publications directed and supervised the research which forms the basis for the dissertation. The contribution of Abadía-Cardoso A to these publications was intellectually major and included laboratory work, data analysis, and manuscript writing.

## General Introduction

The genus Oncorhynchus is a monophyletic group of salmonid fishes (Stearley \& Smith 1993) that comprises approximately 11 species and about 28 named subspecies (Behnke 2002). In North America, the genus Oncorhynchus is divided into two main groups: the Pacific salmon that includes coho ( $O$. kisutch), Chinook ( O. tshawytscha), sockeye (O. nerka), chum (O. keta), and pink (O. gorbuscha) salmon; and the Pacific trout that includes steelhead/rainbow ( O. mykiss ssp.), cutthroat ( O. clarkii ssp.), gila (O. gilae), apache (O. apache), and Mexican golden (O. chrysogaster) trout, as well as a diverse complex of taxonomically unclassified trout from the Sierra Madre Occidental (SMO) in Mexico (Behnke 2002; Utter \& Allendorf 1994).

Pacific trout taxonomy and classification have been subject to constant debate. Initially, the Pacific trout complex was classified within the genus Salmo based on morphological characteristics and life history traits (e.g. iteroparity). More recently, other morphological characteristics and molecular techniques were used to reclassify the group into the genus Oncorhynchus with the Pacific salmon (Smith \& Stearley 1989).

The genus Oncorhynchus diverged from the genus Salmo around 15-20 million years ago (mya) in the early Miocene (Behnke 1992; Devlin 1993; Wilson \& Turner 2009). During the Miocene-Pleistocene, strong geologic activity and climate variability in northwestern North America allowed radiation of the salmonids (Montgomery 2000). By the end of the Miocene the genus had diverged into two distinct lineages: the Pacific salmon, and the Pacific trout, both found in North American drainages (Behnke 1992; Stearley \& Smith 1993; Wilson \& Turner 2009). The Pacific trout diverged into the rainbow ( $O$. mykiss) and cutthroat ( $O$. clarkii) lineages during the late Pleistocene
(Behnke 1992; Crespi \& Fulton 2004). The current native distribution of $O$. mykiss extends from the Kamchatka Peninsula in northeastern Asia to northern Mexico in North America. However, it has been introduced worldwide and there are now naturalized populations of the species in Europe (Fausch 2007), and in the southern hemisphere in Argentina (Pascual et al. 2001), New Zealand (Scott 1978), and many other places. Two phylogenetically distinct lineages within $O$. mykiss have been identified in North America: the inland and the coastal groups, which are roughly separated by the Cascades mountain range (Behnke 1992; Burgner et al. 1992; Busby et al. 1996). Within these groups, several ecotypes of $O$. mykiss can be distinguished. The nonanadromous freshwater resident form is called rainbow, golden or redband trout and the anadromous form of the species is called steelhead. Steelhead spend several years (up to seven) in freshwater, then migrate to the ocean where they spend up to three years, before coming back to freshwater to spawn. Steelhead also show distinct temporal "runs" or "races" that are defined by the season (spring, summer, fall or winter) of peak river entry and associated reproductive maturity (Busby et al. 1996).

These extremely complex life history traits present great difficulty for the assessment and monitoring of the species populations. Moreover, salmonid populations on the West coast of the United States have declined dramatically during the past few decades and many steelhead populations are now protected under the United States Endangered Species Act (NOAA 2006). The most important causes for this decline include habitat loss, habitat degradation, recreational and commercial fishing, and hatchery operations. Introgression by genetically depauperate hatchery rainbow trout that have
been stocked in great numbers in basins containing native steelhead has also been reported as a potential threat to some steelhead populations (Araki et al. 2007a; Araki et al. 2007b; Clemento et al. 2009; Garza \& Pearse 2008). But the threats faced by these salmonid species are not exclusive to the United States. Trout inhabiting Northwestern Mexico are likely to go extinct due to these threats without urgent documentation and conservation action. The Mexican trout complex has been recognized as one of the most diverse and least known groups of trout, since there is scarce knowledge about their taxonomic status (Behnke 2002).

The fossil record indicates that trout inhabited Mexico during the Pleistocene. The southernmost record for a fish assigned to the family Salmonidae is in the Lake Chapala, Jalisco, Mexico region near $20^{\circ}$ North latitude (Cavender \& Miller 1982).

Behnke (1992) suggests that the Gulf of California acted as a refugium for anadromous $O$. mykiss during the Pleistocene glaciations. These trout migrated from the Gulf into rivers of northwestern Mexico, Arizona and New Mexico. The subsequent increase in both ocean and river water temperatures constrained these trout to the high elevation headwaters of different river systems. Long isolation times gave rise to the Gila (O. gilae), Apache (O. apache), Mexican golden (O. chrysogaster), and, presumably, the other SMO trout.

Molecular population genetic analysis has proven to be one of the most effective methods for addressing phylogenetic, ecological and conservation questions and for providing other types of biological inference on fishes. Considerable interest has been shown for decades in the phylogeny and taxonomic status of the rainbow trout complex.

Through the years, multiple molecular methods have been used to understand the genetic identity of subgroups within the complex throughout western North America. For example, allozyme analysis showed the longitudinal separation of the inland and coastal O. mykiss lineages (Allendorf 1975), and also, gave insights into the genetic structure along the coast, indicating stronger genetic similarity within geographically proximate populations (Okazaki 1984; Utter et al. 1973). Mitochondrial DNA (mtDNA) analysis was the common method used during the 1980s and 1990s to identify genetic structure among natural populations and differentiation from hatchery-raised fish (Nielsen et al. 1994a; 1994b; Bagley \& Gall 1998; McCusker et al. 2000). Analysis using mtDNA further revealed the phylogenetic proximity of the two $O$. mykiss ecotypes (rainbow trout and steelhead), and its divergence from cutthroat trout (Wilson et al. 1985; Thomas et al. 1986). More recently, microsatellite loci are widely used as a tool for investigating population structure and interactions among different groups of $O$. mykiss with very high resolution (Beacham et al. 2000; Aguilar \& Garza 2006; Pearse et al. 2007; Clemento et al. 2009). Additionally, due to its economic importance, other genomic resources have been developed for the species, including expressed sequence tag (EST) databases (http://compbio.dfci.harvard.edu) and linkage maps (Rexroad et al. 2008). In turn, these resources allow the development of valuable and powerful genetic tools, such as single nucleotide polymorphism (SNP) markers, that improve our understanding of the biology and evolutionary relationships within the rainbow trout complex, to be able to enact appropriate management strategies for these fishes. A SNP represents a mutation occurring on a DNA sequence when a single nucleotide base differs between
two alleles or individuals. Several characteristics make SNPs extremely powerful. For example, SNPs are the most common type of genetic variation in vertebrates (Wang et al. 1998; Smith et al. 2005), they can occur within any genomic region, and they have a relative low mutation rate (Brumfield et al. 2003). In addition, SNPs offer the potential for high-throughput genotyping at low cost, lower genotyping error rates and ease of standardization between laboratories.

In spite of all that is known about the rainbow trout complex, there are still many questions that remain and that can only be resolved by applying new genomic and molecular resources. An extensive and in depth evaluation of genetic variability in the rainbow trout complex was undertaken to address some of these evolutionary and conservation questions.

In chapter one, I have described a large number of SNPs for $O$. mykiss in order to study ecological interactions, phylogeography, and conservation status (AbadíaCardoso et al. 2011). These molecular genetic tools have proven to have great power, not only for traditional population genetic analysis and phylogenetics, but also for individual identification and for the reconstruction of pedigrees. The last of these allows the tracking of families, and an unprecedented level of monitoring and evaluation of natural and hatchery/aquaculture populations (Anderson \& Garza 2006). Chapter two expands the scope of these new molecular genetic tools, by demonstrating the application of a novel individual-based method for large-scale reconstruction of pedigrees in a steelhead population. This work provides a powerful approach for understanding many basic biological traits in a relatively high fecundity species with significant con-
servation concerns, including estimation of variance in reproductive success, migration rates, effective population sizes, life-stage-specific mortality rates, and other population parameters. In this chapter, I was able to elucidate these life history patterns for steelhead populations from two hatchery programs in the Russian River to examine whether assumptions made by resource managers are supported and whether supplementation may be negatively influencing the associated natural populations (Abadía-Cardoso et al. 2013). In chapter three, the powerful molecular tools developed were applied to population genetic analysis of the Mexican trout complex, to evaluate population structure and differentiation, and to understand its phylogeographic distribution. In addition, an evaluation of the extent of hybridization and genetic introgression from exotic hatchery rainbow trout into the native trout populations of northwestern Mexico was performed, all to better understand the evolutionary origins of this group and to contribute to the conservation of its important biodiversity.

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Chapter 1

Discovery and characterization of single nucleotide polymorphisms (SNPs) in steelhead/rainbow trout Oncorhynchus
mykiss


#### Abstract

Single nucleotide polymorphisms (SNPs) have several advantages over other genetic markers, including lower mutation and genotyping error rates, ease of interlaboratory standardization, and the prospect of high-throughput, low-cost genotyping. Nevertheless their development and use has only recently moved beyond model organisms to groups such as salmonid fishes. Oncorhynchus mykiss is a salmonid native to the North Pacific rim that has now been introduced throughout the world for fisheries and aquaculture. The anadromous form of the species is known as steelhead. Native steelhead populations on the west coast of the United States have declined and many now have protected status. The non-anadromous, or resident, form of the species is termed rainbow, redband or golden trout. Additional life history and morphological variation, and interactions between the forms, make the species challenging to study, monitor and evaluate.

Here I describe the discovery, characterization and assay development for 139 SNP loci in steelhead/rainbow trout. I used EST sequences from existing genomic databases to design primers for 480 genes. Sanger-sequencing products from these genes provided 130 KB of consensus sequence in which variation was surveyed for 22 individuals from steelhead, rainbow and redband trout groups. The resulting TaqMan assays were surveyed in 11 natural-origin steelhead populations, four $O$. mykiss hatchery strains, and two introduced $O$. mykiss populations in the southern hemisphere, where they had a mean minor allele frequency of 0.112-0.258 and observed heterozygosity of


0.0001-0.342. Mean $F_{S T}$ was 0.206 . All 139, along with 28 assays previously developed by other laboratories, where screened to select a panel of 96 highly informative SNPs. The selection of assays was based on their utility for parentage inference in four steelhead populations in California and their ability to distinguish individuals from several California populations. The development of SNPs for $O$. mykiss will help to provide highly valuable genetic tools for individual and stock identification, pedigree reconstruction, phylogeography, and ecological investigation.

### 1.1 Introduction

The development of highly informative molecular markers is an important first step in the investigation of population, ecological, evolutionary and conservation genetic questions. Several types of molecular markers have been widely used since the development of the polymerase chain reaction (PCR), including randomly amplified polymorphic DNA (RAPDs), amplified fragment length polymorphisms (AFLPs), mitochondrial DNA sequences and variable number of tandem repeat markers, such as microsatellites and minisatellites. More recently single nucleotide polymorphisms (SNPs) have begun to see use in population genetics, although primarily for model organisms. SNPs are nucleotide variants found at particular genomic locations and are normally bi-allelic (Vignal et al. 2002). SNPs have several advantages over other markers, including that they are the most abundant polymorphisms in vertebrate genomes, with an approximate density of $10^{-3}$ SNPs per base pair (Smith et al. 2005; Wang et al. 1998), they
are found in both coding and noncoding regions (Brumfield et al. 2003), and they have a lower mutation rate (Brumfield et al. 2003), which is an important source of error in many applications. The use of SNP markers with humans and other model organisms is extensive and has focused on genetic mapping, disease diagnosis, toxicology and pharmacogenomics (McCarthy \& Hilfiker 2000; Sachidanandam et al. 2001; Wang et al. 1998). Conversely, in non-model organisms, such as salmonid fishes, the use of SNP markers is quite recent and has focused more on population identification and ecological genetic questions (Narum et al. 2008).

Oncorhynchus mykiss is a salmonid species native to the North Pacific rim. Its current native distribution extends from the Kamchatka Peninsula in northeastern Asia to northern Mexico in North America. However, it has been introduced throughout the world for recreational fisheries and aquaculture, and there are now naturalized populations of the species in the southern hemisphere (Pascual et al. 2001) and in Europe (Fausch 2007). Two widespread and phylogenetically distinct lineages of $O$. mykiss have been identified in North America and they correspond roughly to inland and coastal groups separated by the Cascades mountain range (Burgner et al. 1992; Busby et al. 1996), although the full phylogenetic picture is more complicated (McCusker et al., 2000). In addition, many ecotypes and life history strategies are present in the species. Generally, the anadromous form of the species is termed steelhead and the nonanadromous, freshwater form rainbow, golden or redband trout. Steelhead spend from one to seven years in fresh water, then migrate to the ocean where they spend from one to three years before returning to fresh water to spawn. However, life history
strategy in $O$. mykiss is governed by a complex mix of environmental and heritable factors, such that a single interbreeding population can contain individuals expressing nearly every possible combination of years in fresh and salt water (Shapovalov \& Taft 1954). There are also several ecotypes of steelhead that can coexist as distinct temporal "runs" or "races" that are defined by the season (spring, summer, fall or winter) of peak river entry and associated reproductive maturity (Busby et al. 1996).

This life history complexity makes monitoring and evaluation of the species, and its multitude of managed populations and stocks, difficult. Such assessment has become increasingly important, since salmonid populations on the west coast of the United States have declined dramatically during the past few decades and many steelhead populations are now protected under the United States Endangered Species Act (ESA; NOAA, 2006). The most important causes for this decline include habitat loss, habitat degradation, recreational harvest and hatchery operations. In addition, genetically depauperate hatchery rainbow trout have been stocked in great numbers in basins containing native steelhead. Introgression by these trout has been reported and may pose a substantial threat to at least some steelhead populations (Clemento et al. 2009; Garza \& Pearse 2008).

One of the most important methods for monitoring the effects of such threats on fish populations, and for providing other types of biological inference about them, is the use of molecular population genetic analysis. Microsatellite loci have seen widespread use with $O$. mykiss and have proven powerful in studying population structure and interactions among different groups (Aguilar \& Garza 2006; Beacham et al. 2000;

Clemento et al. 2009; Narum et al. 2004; Pearse et al. 2007). Fortunately, due primarily to the importance of $O$. mykiss in aquaculture, many additional genomic resources have been developed for the species, including expressed sequence tag (ESTs) databases and linkage maps (Rexroad et al. 2008).

These resources are allowing more detailed analyses of ecological and conservation genetic questions than previously possible (e.g. Martínez et al. 2011). They also allow the identification and development of SNP markers for salmonid species that can be surveyed on a large scale (Castaño-Sánchez et al. 2009; Smith et al. 2005). Such markers will allow large-scale monitoring and will further elucidate some of the pressing questions regarding $O$. mykiss ecology and life history evolution, through both traditional population genetic analyses and through large-scale parentage inference (Anderson \& Garza 2006), particularly with the advent of high-throughput genotyping methods.

In this study I describe the discovery, characterization and development of assays for a large number (139) of SNP loci for steelhead/rainbow trout. I exploited EST databases to design nearly 500 primer sets for functional genome regions. PCR products resulting from these genes, which include both exonic and intronic regions, were then sequenced in an ascertainment panel of 22 fish designed to simultaneously represent some of the phylogenetic diversity of the species and to provide polymorphic markers for focal populations in California. Such "balanced" ascertainment is intended to reduce the bias against polymorphism in other populations and lineages of a species when only particular groups are used in marker discovery (Clark et al. 2005). I also in-
cluded two individuals of a sister species, $O$. clarkii, in order to identify species diagnostic markers. These SNP markers represent a valuable resource for studying ecological interactions, phylogeography, and conservation status, as well as for pedigree reconstruction, individual and genetic stock identification and, eventually, for linkage mapping.

### 1.2 Methods

### 1.2.1 Ascertainment panel

Individuals from multiple populations and lineages of $O$. mykiss were chosen for the ascertainment panel. A total of 22 fish from five distinct steelhead populations or rainbow trout strains were included: 10 anadromous adult steelhead from Scott Creek, four anadromous adult steelhead from the Middle Fork Eel River summer run, two redband trout (Oncorhynchus mykiss newberrii) from the Upper Klamath River basin, and six hatchery rainbow trout raised at Fillmore Hatchery on the Santa Clara River near Los Angeles, CA. Three of these trout were from either the Virginia or Wyoming strains and three were from the Mt. Whitney Strain (Busack \& Gall 1980). In addition, two coastal cutthroat trout (O. clarkii clarkii) from Little River, Humbolt County, CA were included in the ascertainment panel, in order to detect and avoid designing assays for polymorphisms that might be due to past hybridization between steelhead and cutthroat trout (Young et al. 2001), and to identify candidate markers for species diagnostic.

### 1.2.2 Genetic analysis

Tissue samples were digested with proteinase K, followed by DNA extraction with a semi-automated membrane-based system (DNeasy 96 Tissue Kit, QIAGEN Inc.) on a QIAGEN BioRobot 3000. All of these samples had been previously genotyped with microsatellites, so that DNA quality was known to be high. Purified DNA was diluted $1: 20$ in $\mathrm{ddH}_{2} 0$ for PCR.

A total of 480 O. mykiss expressed sequence tags (ESTs) were selected using a random number generator from the rainbow trout "Gene Index" (RtGI) online database hosted at the Dana-Farber Cancer Institute and Harvard School of Public Health (http://compbio.dfci.harvard.edu; accessed on December 8, 2006). Primers were designed using the program primer3 v.0.4.0 (Rozen \& Skaletsky 2000) for each of these loci. PCR amplifications were conducted using the following parameters: 0.041 U AmpliTaq DNA polymerase (Applied Biosystems Inc.), $1.5 \mu \mathrm{~L}$ PCR buffer (Applied Biosystems Inc.), $0.9 \mathrm{mM} \mathrm{MgCl} 2,0.5 \mathrm{mM}$ dNTPs, $5 \mu \mathrm{~mol}$ of each primer and $14 \mu \mathrm{~L}$ of DNA template. Thermal cycling conditions employed a "touchdown" protocol and were as follows: an initial denaturation of 3 min at $94^{\circ} \mathrm{C}$, then 2 min at $63^{\circ} \mathrm{C}$, and 1 min at $72^{\circ} \mathrm{C}$, followed by $\left[94^{\circ} \mathrm{C}\right.$ for $30 \mathrm{~s}, 60^{\circ} \mathrm{C}$ for $30 \mathrm{~s}, 72^{\circ} \mathrm{C}$ for 1 min$] \mathrm{x} 12\left(-1^{\circ} \mathrm{C} /\right.$ cycle $),\left[94^{\circ} \mathrm{C}\right.$ for $30 \mathrm{~s}, 48^{\circ} \mathrm{C}$ for $30 \mathrm{~s}, 72^{\circ} \mathrm{C}$ for 1 min$] \times 11$; $\left[94^{\circ} \mathrm{C}\right.$ for $30 \mathrm{~s}, 48^{\circ} \mathrm{C}$ for $30 \mathrm{~s}, 72^{\circ} \mathrm{C}$ for 1 $\min (+10 \mathrm{~s} /$ cycle $)] \times 9$, and finally 5 min at $72^{\circ} \mathrm{C}$. PCR products were surveyed by gel electrophoresis in $2 \%$ agarose. PCR products that exhibited a single robust band were purified using an Exo-Sap protocol (USB Inc.): 5 mL of PCR product, 0.15 mL of

Exonuclease I ( $20 \mathrm{U} / \mathrm{mL}$ ), 1 mL of shrimp alkaline phosphatase ( $1 \mathrm{U} / \mathrm{mL}$ ), 0.5 mL of 10 x buffer and 3.36 mL of deionized water were incubated at $37^{\circ} \mathrm{C}$ for 60 min and then $80^{\circ} \mathrm{C}$ for 20 min with a cool down to $4^{\circ} \mathrm{C}$. Clean products were then Sanger-sequenced on both the forward and reverse strands using the BigDye Terminator v3.1 Cycle Sequencing kit (Applied Biosystems Inc.). Sequencing reaction products were purified using $6 \%$ Sephadex columns and visualized by capillary electrophoresis on a 3730 DNA Analyzer (Applied Biosystems Inc.).

All sequences from each locus were aligned and assembled into contigs using Sequencher 4.9 (Gene Codes Corporation). Where the alignments indicated a polymorphism, the chromatograms were visually examined for verification. To consider a polymorphism for development as a SNP assay, I used the criterion that all three genotypes (the homozygotes for both alleles and the heterozygote) for that site must have been observed at least once in the ascertainment panel. No distinction was made with respect to the population or strain in which the genotypes were found. This ascertainment criterion was employed to reduce the identification of sequencing artifacts as SNPs and to select the nucleotide sites that had the highest probability of being sufficiently polymorphic for downstream applications. A BLAST search was also performed on each consensus sequence to determine if the EST corresponded to an identified gene and to ensure that each SNP marker would represent a novel assay in an independent gene. I chose one potential SNP for each EST analyzed in order to reduce the probability of markers in linkage disequilibrium. The site with the highest minor allele frequency in the ascertainment sample that also met the assay design criteria (e.g. more than 25bp
from the end of the sequence, no adjacent polymorphism) was chosen for assay design. The original ESTs and the BLAST results were also used to identify the variability patterns of the SNPs chosen, such as the region on the gene (intronic or exonic) and the position on the triplet (synonymous or non-synonymous).

### 1.2.3 SNP assay development and validation

Consensus sequences, with the selected nucleotide sites indicated, were submitted for design of 5 ' nuclease allelic discrimination, or TaqMan, assays (Applied Biosystems Inc.). When it was not possible to design an assay for a selected site and another nucleotide in the consensus sequence met both the ascertainment and design criteria, a second attempt was made to design an assay for that locus.

SNP assays were validated by genotyping a total of 376 fish from 11 naturalorigin steelhead populations, four $O$. mykiss hatchery strains, and two introduced $O$. mykiss populations in the southern hemisphere.

SNP genotyping was carried out in 96.96 Dynamic Genotyping Arrays on an EP1 Genotyping System (Fluidigm Corporation), which uses nanofluidic circuitry to simultaneously interrogate up to 96 loci in 96 individuals.

### 1.2.4 Statistical analysis

Deviations from Hardy-Weinberg and gametic phase (linkage) equilibrium were evaluated with GENEPOP 4.0 (Rousset 2008). Observed and expected heterozygosity (Nei 1978), the fixation index $F_{S T}$ (Weir \& Cockerham 1984), and allele frequencies
were estimated using GENETIX 4.05 (Belkhir et al. 1996-2004).

### 1.2.5 Steelhead SNP panel development

All 139 SNPs described in this study, along with 28 assays previously developed by other laboratories (Aguilar \& Garza 2008; Campbell et al. 2009, CRITFC - N. Campbell unpubl.; WSU - J. DeKoning unpubl.), where screened to select a panel of 95 highly informative SNPs. The selection of assays was based on their utility for parentage inference in four steelhead populations in California and their ability to distinguish individuals from several California populations.

In order to identify the patterns of variability of the SNPs in the panel, as well as the rest of the SNPs developed in this study, I performed an alignment exercise in which the consensus sequences were aligned with the RtGI original ESTs -from which the primers were designed in the sequencing effort. That alignment helped identifying if the SNPs were in introninc or other regions of the gene (coding DNA sequences (CDS) and un-translated regions (UTR)). Then, the results from the above BLAST search were used to confirm these regions as well as to obtain the protein translation, so as to identify if the SNP corresponded to a synonymous or a non-synonymous mutation.

### 1.3 Results

Of the 480 primer pairs designed from $O$. mykiss ESTs, 264 produced a singlesized PCR product in most or all fish in the ascertainment panel. Of these 264 ESTs , 236 yielded sequence from one or more individuals. All PCR products were sequenced,
even if a band was not visible for every individual on an agarose gel. A mean of 18 (range 1-22) individuals produced sequence for each locus, and most of these resulted in broadly or completely overlapping forward and reverse sequences. Since EST sequences are derived from mRNA and therefore lack intronic regions, many of the PCR products were larger than the predicted size, and several of them did not have overlapping forward and reverse strand sequences. None of the ESTs were identified as coming from the same gene in a BLAST search, nor did they match any published SNP assays for O. mykiss.

More than 2.3 MB of genomic sequence was produced and aligned (Table 1.1), or 4.6 MB when both strands were considered separately, and a composite consensus sequence of 130KB (mean 551bp/locus) was used for discovery and the determination of density. To account for the lack of sequence for all individuals in all sequences, and the consequent decrease in probability of finding variability, I calculated a consensus length weighted by the number of individuals for which sequence was obtained. The weighted consensus sequence was 120 KB (mean 513bp/locus). In other words, $92.3 \%$ ( $120 \mathrm{~KB} / 130 \mathrm{~KB}$ ) of the entire consensus sequence from these 236 loci was obtained for all 22 individuals in the ascertainment panel. The density of all nucleotide sites with apparent substitutions was 0.0111 , or one every 111 bp . When weighted by the number of fish for which sequenced was obtained, the density of substitutions was 0.0122 or one every 122 bp .

A total of 175 sequences were submitted for assay design. In addition, one sequence (GHPROM1) with a SNP identified in a previous effort (Aguilar \& Garza 2008) was submitted for design. Of those, 167 yielded designs suitable for assay man-

Table 1.1: Summary of EST sequencing effort.

|  | Total | Mean [Range] <br> per locus |
| :--- | :--- | :--- |
| Loci sequenced | 236 |  |
| Base-pairs sequenced | $2,322,269$ |  |
| Length of Consensus sequence (base pairs) | 130,025 | $550.95[109-1417]$ |
| Weighted consensus (base pairs) | 119,969 | 512.69 |
| Number of Substitutions | 1,366 | $5.84[0-21]$ |
| Number of SNPs (all three genotypes) | 506 | $2.16[0-10]$ |
| Loci with no variable sites | 10 |  |
| Indels | 182 |  |
| Transitions (A-G or C-T) | 676 |  |
| Transversions (A-C or G-C or A-T or G-T) | 681 |  |
| Possible duplicated genes | 14 |  |
| Tri-nucleotides | 9 |  |
| Total number of SNPs + indels | 1,548 |  |
| Density of substitutions in consensus sequences |  | 0.0111 |
| Density of substitutions in weighted consensus sequences |  | 0.0122 |

ufacture. From these 167, I then eliminated 28 because of problems with genotyping calling or because the assay was not interrogating a single Mendelian locus (all apparent homozygotes or heterozygotes).

This elimination process left 139 SNP assays for further validation and characterization. A list of these assays, with primer/probe information and with the variable base indicated, is found in Table 1.2. To evaluate the utility of these loci in different parts of the species' geographic range, and for both natural populations and hatchery/aquaculture rainbow trout, I genotyped all 139 loci in 17 steelhead populations or rainbow trout strains (Table 1.3). Several locI was not in Hardy-Weinberg equilibrium for some populations or strains, but only four loci deviated from equilibrium in more than one group and no locus deviated in more than three populations or strains. Very little linkage disequilibrium between markers was found. Three markers (Omy_11444887, Omy_121006-131 and Omy_127236-583) were in complete disequilibrium, in spite of
the fact that they were designed from unique ESTs, but aside from those three, only eight pairs of markers (out of a total of 9005 pairs), were in significant linkage disequilibrium ( $p<0.001 ; 53$ more pairs if $p<0.01$ ), which is similar to the number expected by chance alone.
Table 1.2: SNP type, forward and reverse primers ( $5^{\prime}-3^{\prime}$ ), TaqMan probes and dye, length of consensus sequences, GenBank
accession numbers and dbSNP accession numbers for the 139 SNP loci. GB: GenBank Number; db: dbSNP Number.

| Assay name | Assay targets | Primers ( $5^{\prime}-3^{\prime}$ ) | Probes ( $5^{\prime}-3^{\prime}$ ) | Cons. length | GeneBank No. dbSNP No. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| OMGH1PROM1 | A/T | fwd: TCAAACTGCATTTGATGGAAACAAACAT | VIC: TAGTGTTCACTGACTTCA | $\mathrm{n} / \mathrm{a}$ | GB: $\mathrm{n} / \mathrm{a}$ |
| -SNP1 |  | rev: AGGACAATTCTAAGTGACCTCAAACTG | FAM: TAGTGTACACTGACTTCA |  | db: n/a |
| Omy_95318-147 | C/T | fwd: CGTGTTCTATTTGAAGGCTGTTAAAGG | VIC: TTGGTTCTGGATATTAT | 377 | GB: HR504810 |
|  |  | rev: TCCTGAACTTAAACCTGCCGTTTT | FAM: CTTGGTTCTGAATATTAT |  | db: 275517390 |
| Omy_95442-108 | G/A | fwd: CCCTGATTATATAGGGAGCTTTCCACTT | VIC: TTTCCCACCCAGCATT | 255 | GB: HR504811 |
|  |  | rev: CTTCGGCTCTCGCCAAGT | FAM: CTTTCCCACTCAGCATT |  | db: 275517391 |
| Omy_95489-423 | T/C | fwd: TGAGTCCAGTAAAATCCAATCAATAATCATGT | VIC: CTGCCACTAACATAC | 595 | GB: HR504812 |
|  |  | rev: ACTAGAGCACTGATAGGCTGTCA | FAM: CTGCCACTGACATAC |  | db: 275517392 |
| Omy_96158-277 | T/G | fwd: TTGTGACGGGATCCTTCATTGAG | VIC: AAATACGACCCAACAATA | 336 | GB: HR504813 |
|  |  | rev: CACCTCGATCTCCTTTCGGTAAAA | FAM: AAATACGACCCCACAATA |  | db: 275517393 |
| Omy_96222-125 | T/C | fwd: GTAAGGAACTAATTGGCGCAACATT | VIC: AACTACAACTGTAGCTAATT | 615 | GB: HR504814 |
|  |  | rev: CAGTTTGTCTAACACCCAGGCATAT | FAM: CAACTGTGGCTAATT |  | db: 275517394 |
| Omy_96529-231 | C/T | fwd: GCGGTCCACAACCTTCTATCC | VIC: ATTTCACATAGTGGGTCTG | 305 | GB: HR504815 |
|  |  | rev: GCCACGGCAAGGTTAAGG | FAM: ATTTCACATAATGGGTCTG |  | db: 275517395 |
| Omy_96899-148 | T/G | fwd: CCGGACTACAGGGCTCTGA | VIC: CAGGCCTTAGTGCAGC | 568 | GB: HR504816 |
|  |  | rev: GTGACCCTCCCAGCTTCTG | FAM: AGGCCTTCGTGCAGC |  | db: 275517396 |
| Omy_97077-73 | T/A | fwd: GTGTAAACAAAATGACTCTGGGATTCAG | VIC: TGGTGCAATAGAAATA | 295 | GB: HR504817 |
|  |  | rev: AGAAGTGGCAATGGTGTGAAGTAT | FAM: CATGGTGCAATAGTAATA |  | db: 275517397 |
| Omy_97660-230 | C/G | fwd: TCAGTTATGTGTAATCTCATTACCTCTCCAA | VIC: ACGTAACTTGTAGCGTTTT | 461 | GB: HR504818 |
|  |  | rev: AACAGAAAAGGTCTCAATGTATTTTTTGCA | FAM: ACGTAACTTGTACCGTTTT |  | db: 275517398 |
| Omy_97865-196 | A/G | fwd: TCCAGACTTCTGGTTTGTTCCATT | VIC: ATGAGCTTGTTAATTAAT | 299 | GB: HR504819 |
|  |  | rev: CCAGCCCCTATATTCACAATTAAGTGT | FAM: AGCTTGTCAATTAAT |  | db: 275517399 |
| Omy_97954-618 | C/T | fwd: GCTCTGCTTCCTCGGCAAATA | VIC: CAACGCTTACCGGTGTGT | 871 | GB: HR504820 |
|  |  | rev: CACAATTGGTTTTTGCACAAAAGTAAAGTATT | FAM: CAACGCTTACCAGTGTGT |  | db: 275517400 |
| Omy_98188-405 | T/C | fwd: CACAGTTGCAAGGTAGAGGCTTATA | VIC: CTCTCATAAGTCTATCCTCC | 425 | GB: HR504821 |
|  |  | rev: GCTGAAAGATTAATCCCAGACTGTAGATT | FAM: CTCATAAGTCTGTCCTCC |  | db: 275517401 |
| Omy_98409-549 | A/G | fwd: CGCCTTCCTCAGTATGACATATGTA | VIC: ATTTTGGAACTCTACTTTC | 1077 | GB: HR504822 |
|  |  | rev: AGGATTTCAGGAAAACCAGGGAATT | FAM: TTGCAACCCTACTTTC |  | db: 275517402 |
| Omy_98683-165 | A/C | fwd: GCCATTGCCAGAGAATTTGGTTAA | VIC: AGCCAGATACATATTTGT | 897 | GB: HR504823 |
|  |  | rev: AACACACGCACCATCTTAAAGC | FAM: CCAGATACAGATTTGT |  | db: 275517403 |
| Omy_99300-202 | T/A | fwd: CAGTTTGACCCGATGGTGTGA | VIC: TCAGGCATGAGAGAAA | 386 | GB: HR504824 |
|  |  | rev: GATTATGGCGTGGCCTTTTGG | FAM: ATCAGGCATGTGAGAAA |  | db: 275517404 |
| Omy_100771-63 | T/A | fwd: CATTTAAGGAGGTGGGTTTGGTGAAA | VIC: AAAGAGCTAGAAATACTG | 399 | GB: HR504825 |
|  |  | rev: AGTTTGCTGCCACTTGACAGTATT | FAM: AAAGAGCTAGAATTACTG |  | db: 275517405 |
| Omy_100974-386 | T/C | fwd: ACATGCAAATTAAGTGTTTGTTTTTAAAAATCGAA | VIC: CACAGTATTATCAAGATTTT | 471 | GB: HR504826 |
|  |  | rev: CGACTTCATCCTTTTCATGTAGTGAGT | FAM: CAGTATTATCGAGATTTT |  | db: 275517406 |
| Omy_101119-554 | A/G | fwd: GGTGGCTTGTTTCTCCCTGTTT | VIC: CATGGACATGATGTTACC | 1110 | GB: HR504827 |
|  |  | rev: GCTCCTACCAGCTGAACAGA | FAM: ATGGACATGACGTTACC |  | db: 275517407 |
| Omy_101341-188 | T/C | fwd: CTGGAGATAGAAAATATCACACAGAACAGT | VIC: TGATATACTGCAGGTTCC | 668 | GB: HR504828 |
|  |  | rev: CCTCATTTGATGCATGATTCTGTTGT | FAM: ATATACTGCGGGTTCC |  | db: 275517408 |
| Omy_101554-306 | T/C | fwd: GCCTGTATTTCTCCTGTATGTGCAT | VIC: TGCTTCTCACATTTTTA | 411 | GB: HR504829 |
|  |  | rev: TCAACTTTTGCAAACTTTTTTATTCTTTGTCATTT | FAM: TGCTTCTCACGTTTTTA |  | db: 275517409 |
| Omy_101704-329 | A/C | fwd: TGTGTGTTTAACTGACAGAGATGCT | VIC: CACCTCCTCTCGGCTGT | 591 | GB: HR504830 |
|  |  | rev: GGAGCAGGAGCTCAAGGA | FAM: CTCCTCGCGGCTGT |  | db: 275517410 |
| Omy_101770-410 | T/C | fwd: GTTTTCTATGAGCAGGAGAGGGTTAA | VIC: CCTGTCTTTCAAAACTAA | 795 | GB: HR504831 |
|  |  | rev: CTTAGAAAGTACTTCTTTAAATATCAAATGCATTCAGT | FAM: CTGTCTTTCAGAACTAA |  | db: 275517411 |
| Omy_101832-195 | A/C | fwd: TGGCTCTGGACCTGTTGAGA | VIC: TGTAGTCTTTCAGAGTAGTATG | 611 | GB: HR504832 |
|  |  | rev: CGTCACAGCTATTTTAGGCGTAGT <br> fwd: ACAAAACACAGTGGAATTACAATTAACGTT | FAM: TAGTCTTTCAGAGGAGTATG VIC: CTTGATTTGCAGCTTGTCAA | 782 | db: 275517412 <br> GB: HR504833 |
| Omy_101993-189 | A/T |  | FAM: TGATTTGCAGCATGTCAA |  | db: 275517413 |
| Omy_102213-204 | T/G | fwd: AGATGTTAACTACATTCCATGACAATGATTGA | VIC: CTAAAAACCCATTAATTCAAT | 640 | GB: HR504834 |
|  |  | rev: GAGTATCTCATTCGCAACACTATGGT | FAM: AAAACCCATTCATTCAAT |  | db: 275517414 |
| Omy_102420-634 | T/G | fwd: GGTCGTAGTACACACCTGAGTAAAT | VIC: CCTAAAGCGCTTATCTTAA | 732 | GB: HR504835 |


| Assay name | $\begin{aligned} & \text { Assay } \\ & \text { targets } \end{aligned}$ | Primers ( $5^{\prime}-3$ ') | Probes ( $5^{\prime}-3^{\prime}$ ) | $\begin{aligned} & \text { Cons. } \\ & \text { length } \end{aligned}$ | $\begin{aligned} & \text { GeneBank No. } \\ & \text { dbSNP No. } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Omy_102457-423 | T/G | rev: CACGACACATGCCAGTAGACT | FAM: CTAAAGCGCTTCTCTTAA | 584 | db: 275517415 |
|  |  | fwd: CGATGAGTCAAGATAGTCGCTACT | VIC: CCCCCAAAAATGTC |  | GB: HR504836 |
|  |  | rev: GGCGTATGGAATTTAGTAGACTAGATTTTCA | FAM: CCCCCAAAATGTC |  | db: 275517416 |
| Omy_102505-102 | A/G | fwd: CTGCAAACTGACATGGTAGCAAAA | VIC: AACAGGATGTTTTTGC | 150 | GB: HR504837 |
|  |  | rev: TGCTTGCTTTTTAAAAACAATCTCCCA | FAM: CAGGATGCTTTTGC |  | db: 275517417 |
| Omy_102510-682 | T/G | fwd: AAGATCAGTGTGGCATCAATGTCA | VIC: TTGTCCTCAATATTCAC | 732 | GB: HR504838 |
|  |  | rev: TCGTGCCTGGATGTAAGTTAACTG | FAM: TTGTCCTCACTATTCAC |  | db: 275517418 |
| Omy_102867-443 | T/G | fwd: CATTTGTTTAATTTGATTTGGCACAACTTCA | VIC: TTTGGGTACATAATTTTT | 443 | GB: HR504839 |
|  |  | rev: CCCTAGTTCTGTAACACAAGACGTAA | FAM: TGGGTACATCATTTTT |  | db: 275517419 |
| Omy_103350-395 | A/C | fwd: CGCGTGTTGAACCTAGAATGAC | VIC: AGAACCAGGAAATTAACTAC | 471 | GB: HR504840 |
|  |  | rev: GGAAAATTCCTGCCAATGACACATG | FAM: CCAGGAAATGAACTAC |  | db: 275517420 |
| Omy_103577-379 | T/A | fwd: GGAGTGATCCAAGGTTATGTACCAA | VIC: AAGTGTGCACACGTTCA | 759 | GB: HR504841 |
|  |  | rev: CCAGCAATTTCCTTTCGAATCATTGA | FAM: AAGTGTGCACTCGTTCA |  | db: 275517421 |
| Omy_103705-558 | T/C | fwd: CTCCAATCGCAAATACCCAGACT | VIC: AGACTTACCCAGAGTGAGAG | 658 | GB: HR504842 |
|  |  | rev: CGCAGGAGACGGATGCC | FAM: ACTTACCCAGGGTGAGAG |  | db: 275517422 |
| Omy_103713-53 | T/G | fwd: TCATGAGTGAAGCGCACAGAA | VIC: AGGTTACTGGAGAAATCT | 423 | GB: HR504843 |
|  |  | rev: CTTTAGTAGGAGGTTGTAACCAAGTCA | FAM: ACTGGCGAAATCT |  | db: 275517423 |
| Omy_104519-624 | T/C | fwd: CGTGTGAGTTTGCGGTAAAGAC | VIC: CAGCAGGATACATCCGACT | 1061 | GB: HR504844 |
|  |  | rev: TGACGAGTCCGTCTTATCATCCT | FAM: AGCAGGATACGTCCGACT |  | db: 275517424 |
| Omy_104569-114 | A/C | fwd: CCGAGGCCGACGTGATC | VIC: CGCCACTCCGACGCC | 565 | GB: HR504845 |
|  |  | rev: GCGCCTCGCTCATCATCA | FAM: CCACGCCGACGCC |  | db: 275517425 |
| Omy_105075-162 | T/G | fwd: GGAGAAGGACAAGGACATTGGTAAT | VIC: CTTTCTCTCCTACTTTCC | 443 | GB: HR504846 |
|  |  | rev: AAAGCAGACCACACCATACTTCTC | FAM: CTTTCTCTCCTCCTTTCC |  | db: 275517426 |
| Omy_105105-448 | C/T | fwd: CAATTTGCAAGCAGGGAAAGGTTAT | VIC: AAGGAGAATGCATAATC | 810 | GB: HR504847 |
|  |  | rev: GTGATGGGCTGCAATTGCTT | FAM: TGAAAGGAGAATACATAATC |  | db: 275517427 |
| Omy_105115-367 | C/G | fwd: GCTCCCTCCGAAGAAATCTCA | VIC: CATGCTGGAGCGCAAT | 401 | GB: HR504848 |
|  |  | rev: CATACTCGTCAATCACCCAAGCT | FAM: CATGCTGGACCGCAAT |  | db: 275517428 |
| Omy_105235-713 | C/T | fwd: AGGCCATAAAATCAGGCATTAGGAT | VIC: AGAGAGTCAATCGTTGCAAA | 788 | GB: HR504849 |
|  |  | rev: TGGGCTCTGCAAAGACAAGA | FAM: AGAGAGTCAATCATTGCAAA |  | db: 275517429 |
| Omy_105385-406 | T/C | fwd: ACCTACCCTCACCTGAACTTCA | VIC: CTTGGAACCATTGCTAC | 691 | GB: HR504850 |
|  |  | rev: CGCTCTTCTGGGGGTATCG | FAM: TTGGAACCGTTGCTAC |  | db: 275517430 |
| Omy_105386-347 | A/C | fwd: CCAGGAAATCGTCAGCTCTATTTAATACAT | VIC: ACATTTCAACTCAATTAATAATTA | 438 | GB: HR504851 |
|  |  | rev: GAAACCTCCTTCAACCTCTGGATAA | FAM: TACATTTCAACTCAATGAATAATTA |  | db: 275517431 |
| Omy_105401-363 | A/G | fwd: GGCACCCTCATTCACACATACTAT | VIC: CCAAGTACCCTAGGTTGG | 419 | GB: HR504852 |
|  |  | rev: GTCTTCTCAAATAACCCCTGTGGAT | FAM: CAAGTACCCCAGGTTGG |  | db: 275517432 |
| Omy_105407-74 | T/G | fwd: GGATGGCTTGGAATGTGCAA | VIC: CTCTTTGCGTTTAGTCCTA | 472 | GB: HR504853 |
|  |  | rev: GCGGATGTACACAAAATACACTCAA | FAM: TCTTTGCGTTTCGTCCTA |  | db: 275517433 |
| Omy_105714-265 | C/T | fwd: CCACTCAGTGCAAGCATGGA | VIC: CTGTTGTTTGAGGTTCAG | 476 | GB: HR504854 |
|  |  | rev: GCTTTCAATCCTTGGCTCCAATATC | FAM: TGTTGTTTGAGATTCAG |  | db: 275517434 |
| Omy_105897-101 | T/A | fwd: GAACCAATACACAATGCCAAGGATT | VIC: TCTCTCCACAGTTCTC | 382 | GB: HR504855 |
|  |  | rev: GCTAGGGCTGCTATCTTTGTGATG | FAM: TCTCTCCACTGTTCTC |  | db: 275517435 |
| Omy_106172-332 | T/G | fwd: CCACTTTGTTACTAAATGTTCCCATGAC | VIC: ATGAACAGAATGTAATCTAG | 467 | GB: HR504856 |
|  |  | rev: ACATTCCAAAGACTGTCACATTCCA | FAM: TGAACAGAATGTCATCTAG |  | db: 275517436 |
| Omy_106313-445 | T/G | fwd: CCAACTGTTGTGTCTTGATTTGTGA | VIC: TTGATTTTTCCAAACCATGTGTG | 729 | GB: HR504857 |
|  |  | rev: GTTCTGTGTCTGAAGTCCATTGGT | FAM: TTGATTTTTCCAAACCCTGTGTG |  | db: 275517437 |
| Omy_106560-58 | C/T | fwd: CCACCCAGCCATCAACGA | VIC: CTCAGAGCGCAGGCC | 387 | GB: HR504858 |
|  |  | rev: CGTTCTTTCCCAGCGAGTGA | FAM: CTCAGAGCACAGGCC |  | db: 275517438 |
| Omy_106747-707 | A/G | fwd: CCGTTAAGAAAGGGTGACATCATGT | VIC: CGATACTCACACTGGCCTG | 753 | GB: HR504859 |
|  |  | rev: AGATCCATGGCCCCAGTCT | FAM: ATACTCACACCGGCCTG |  | db: 275517439 |
| Omy_107031-704 | C/T | fwd: GGCTTTCGGATACTGAGCAACAA | VIC: TGGACATGATTGCATAGAC | 798 | GB: HR504860 |
|  |  | rev: TGAACTCACTGTTGGTATGGACTAGA | FAM: CTGGACATGATTACATAGAC |  | db: 275517440 |
| Omy_107074-217 | A/G | fwd: CCGGGCTGTCATGTGACT | VIC: CCCTGGTCTTGACCC | 397 | GB: HR504861 |
|  |  | rev: CTGCTGACAGGCCTGAGA | FAM: CCTGGCCTTGACCC |  | db: 275517441 |
| Omy_107285-69 | C/G | fwd: GCCCTTGTGACAATGCACTGTTATA rev: AGGTCTAGACAGTGTGCCATTTG | VIC: ATACGTTACTTTTGACCTTGT FAM: ACGTTACTTTTCACCTTGT | 704 | GB: HR504862 |


| Assay name | $\begin{aligned} & \text { Assay } \\ & \text { targets } \end{aligned}$ | Primers ( $5^{\prime}-3$ ) | Probes ( $5^{\prime}-3^{\prime}$ ) | $\begin{aligned} & \text { Cons. } \\ & \text { length } \end{aligned}$ | GeneBank No. dbSNP No. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Omy_107336-170 | C/G | fwd: GCCCTCTCACTCATGACATCAAC | VIC: CACTCCTGGGTGCAGAA | 471 | GB: HR504863 <br> db: 275517443 |
|  |  | rev: GCTCCAGCCACTCGCA | FAM: ACTCCTGCGTGCAGAA |  |  |
| Omy_107607-137 | T/G | fwd: TGAGACAACCCAAAGCTTTAAGGAA | VIC: ATGTTCCGACAATAAAT | 517 | GB: HR504864 <br> db: 275517444 |
|  |  | rev: CAACGCACACTATCAGATCACATC | FAM: TGTTCCGACCATAAAT |  |  |
| Omy_107786-314 | G/A | fwd: TGGTTGTCCAAAGCTTTCTTCAGAA | VIC: CACCTCACCCTCCTCC | 635 | db: 275517444 <br> GB: HR504865 <br> db: 275517445 |
|  |  | rev: GCTGATACTACAGCATCCAAGGT | FAM: ACCTCACCTTCCTCC |  |  |
| Omy_107786-584 | A/C | fwd: AATGGAATAGTACCCACTTGTGTCC | VIC: CAATGGTAAGATTTTG | 635 | db: 275517445 <br> GB: HR504865 <br> $\mathrm{db}: \mathrm{n} / \mathrm{a}$ |
|  |  | rev: AGTCAGTCAAGCTCTCTGGAGATAG | FAM: CAATGGTACGATTTTG |  |  |
| Omy_107806-34 | C/T | fwd: TCTTTGTCCATGCACATTGATATT | VIC: ATTGGATGTCAGTGTCATT | 983 | db: n/a <br> GB: HR504866 <br> db: 275517446 |
|  |  | rev: AGCACATTTAGTTAGCAGTGATGGA | FAM: ATTGGATGTCAATGTCATT |  |  |
| Omy_108007-193 | A/G | fwd: GTGAATACCACCCAGGCTTGT | VIC: ATGTTTTCTCCCTACTTAAC | 441 | db: 275517446 <br> GB: HR504867 <br> db: 275517447 |
|  |  | rev: GTCCCTTCCCCAGTTTCACTTAATT | FAM: TTTTCTCCCCACTTAAC |  |  |
| Omy_108735-311 | C/T | fwd: GTTTAATCCTGACTTTTTCACTTTTGTCATCT | VIC: AACGCCTCGTGACAAT | 428 | db: 275517447 <br> GB: HR504868 <br> db: 275517448 |
|  |  | rev: GCGTGCCCTCAATTCCATT | FAM: AACGCCTCATGACAAT |  |  |
| Omy_108820-85 | T/G | fwd: CACCAACAACGTGTAGATTTCCTTAAAATATT | VIC: TTGATATGTGAATTTTG | 397 | db: 275517448 <br> GB: HR504869 <br> db: 275517449 |
|  |  | rev: TTTGGTTGGTTGTTTTTATCATTGATACAGTT | FAM: TTGATATGTGCATTTTG |  |  |
| Omy_109243-222 | A/C | fwd: ATGTGCACCTCTTAAATTGTAAGTAAAATGT | VIC: TGTTCATTAAATTGACTTTTT | 521 | GB: HR504870 <br> db: 275517450 |
|  |  | rev: ACCCTATATTCAGTGGCAAGATTGC | FAM: TTCATTAAATGGACTTTTT |  |  |
| Omy_109390-341 | C/T | fwd: ATTACAAACACAAGTCCTCATACAAGTGA | VIC: CATTTTGGCGGTCCAGAA | 426 | GB: HR504871 <br> db: 275517451 |
|  |  | rev: TGTAGGCAACGTTGGTTTATGGT | FAM: CATTTTGGGGATCCAGAA |  |  |
| Omy_109525-403 | A/G | fwd: CCTCATTCTCATTGGTGAGTTGTCT | VIC: CCTACACCTCTTTTTTCCACA | 1045 | GB: HR504872 <br> db: 275517452 |
|  |  | rev: TGTAAGATCTGACCACATGAGTATAACCA | FAM: CCTACACCTCTTTTCTCCACA |  |  |
| Omy_109651-445 | C/T | fwd: CCTGATTTTGGCCCACATTTCAAGAA | VIC: CATATGTTAACGTGGGCTAT | 615 | GB: HR504873 <br> db: 275517453 |
|  |  | rev: GCTGTTGTCATATCATCCCGTTAAC | FAM: CATATGTTAACATGGGCTAT |  |  |
| Omy_109693-461 | T/A | fwd: GCCTCACCTGATGCCCATT | VIC: ACGACAGCCACACACAG | 474 | GB: HR504874 <br> db: 275517454 |
|  |  | rev: TGGAGGATTCAGCATTTGGATACC | FAM: ACGACAGCCTCACACAG |  |  |
| Omy_109874-148 | A/G | fwd: GTATGTGTGAGTATGTAATGACTGTATTTAGGA | VIC: ACAGCATTGATTTTGTCACC | 392 | GB: HR504875 <br> db: 275517455 |
|  |  | rev: CTCCTCCCTCAGTGCATTACATTTT | FAM: CAGCATTGATTTCGTCACC |  |  |
| Omy_109894-185 | T/C | fwd: CGGTGTCATTATGGTTGTCATTGTG | VIC: CTCCCTGATCCCCC | 581 | GB: HR504876 <br> db: 275517456 |
|  |  | rev: GGGAGGAATTGGAATGACAGATTAAC | FAM: CTCCCTGGTCCCCC |  |  |
| Omy_109944-74 | T/G | fwd: CCGGGACCAATTGAGAAATCGATAA | VIC: ACGTGACTGTATAGAGACT | 116 | GB: HR504877 <br> db: 275517457 |
|  |  | rev: GGGTTCAAGAGTACACGCCAA | FAM: ACGTGACTGTATCGAGACT |  |  |
| Omy_110064-419 | T/G | fwd: GTGCAAGGGACCTAGCTAATCC | VIC: ACGTTAGCTTTTAATTTC | 798 | GB: HR504878 db: 275517458 |
|  |  | rev: TCTGAACTGACACTGAAGAACAAAGAA fwd: GCAGTAAATCAGCAGAGACCTACA | FAM: AACGTTAGCTTTTCATTTC VIC: TGTCTACGGATGACTTC |  |  |
| Omy_110078-294 | A/G | rev: CCTTAAGCTCAGATTTAAACGATCAAAACA | FAM: TCTACGGACGACTTC | 478 | GB: HR504879 <br> db: 275517459 |
| Omy_110201-359 | T/G | fwd: GGTAAGGCCTGTCTGACTATTTTGA | VIC: TTTGGCTATTGAAATTATACATT | 588 | GB: HR504880 <br> db: 275517460 |
|  |  | rev: AGAGGTCAATGGATGCCAGTTT | FAM: TTGGCTATTGAAATTCTACATT |  |  |
| Omy_110362-585 | G/A | fwd: GCAGCCAAGATGAACGAAAACTTC | VIC: CACCGCCCTGCCCGT | 653 | GB: HR504881 <br> db: 275517461 |
|  |  | rev: CCGGCCTGGGTCTCAATG | FAM: CACCGCCTTGCCCGT |  |  |
| Omy_110571-386 | C/T | fwd: CACTTGGCTCTGCACTAGCA | VIC: CTGTGTAAAATCCATGTCAACA | 479 | GB: HR504882 <br> db: 275517462 |
|  |  | rev: GGGTTGGTTAAGAGTCCATTAGAAAGAAC | FAM: TGTGTAAAATCCATATCAACA |  |  |
| Omy_110689-148 | A/C | fwd: GTGTGTGGCAGAGAACTAACTGAT | VIC: CAAATGAACACATTATTTATC | 379 | GB: HR504884 <br> db: 275517464 |
|  |  | rev: GGTTAAGACATTAACATAACACTGGACTCT | FAM: ATGAACACATGATTTATC |  |  |
| Omy_111005-159 | C/T | fwd: ATCTGTCAGACAGTTGTGGATAATGTC | VIC: AGTCAAAAGGGCACAAAA | 463 | GB: HR504885 <br> db: 275517465 |
|  |  | rev: TCGATGACCAACATTGTAGTGTTAAATACA | FAM: AAGTCAAAAGAGCACAAAA |  |  |
| Omy_111084-526 | A/C | fwd: CACCACACCAAGCAACTATTTCATT | VIC: CCAGTGAAATTTATTTTT | 709 | GB: HR504886 <br> db: 275517466 |
|  |  | rev: ACCCAACTACTGTCCCATTTTTCAT | FAM: CAGTGAAATGTATTTTT |  |  |
| Omy_111383-51 | C/T | fwd: CACGCGCAATCTCTCGTTTTAC | VIC: ACCTAGTGCGCTTGCT | 495 | GB: HR504887 <br> db: 275517467 |
|  |  | rev: TCTTTAGGCAACAAGCGTGTCA | FAM: ACCTAGTGCACTTGCT |  |  |
| Omy_111666-301 | T/A | fwd: GGGTGAAAAGAGTGGGACATTTACA | VIC: AGTATAACACAGTAAGACAAT | 639 | GB: HR504888 <br> db: 275517468 |
|  |  | rev: GTCAATTTCAAGGCACCAGACAAT | FAM: AGTATAACACAGTTAGACAAT |  |  |
| Omy_111681-432 | C/T | fwd: GGCGGTTTAAGCAGCAGAAATAC | VIC: TCCCTCTCGGGTGCTG | 693 | GB: HR504889 <br> db: 275517469 |
|  |  | rev: GTGGATCATGCTCGCTAGGT | FAM: CCCTCTCAGGTGCTG |  |  |
| Omy_112208-328 | T/C | fwd: GTCAACAGTTGGACGTAGATGCT | VIC: CTGACAGTGATTATTTTGT | 904 | GB: HR504890 |


| Assay name | $\begin{aligned} & \text { Assay } \\ & \text { targets } \\ & \hline \end{aligned}$ | Primers ( $5^{\prime}-3^{\prime}$ ) | Probes ( $5^{\prime}-3^{\prime}$ ) | $\begin{aligned} & \text { Cons. } \\ & \text { length } \end{aligned}$ | $\begin{aligned} & \text { GeneBank No. } \\ & \text { dbSNP No. } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Omy_112301-202 | T/G | rev: CCTTCAGCTTGATCACCTCATAGG | FAM: TGACAGTGATTGTTTTGT | 1146 | db: 275517470 <br> GB: HR504891 <br> db: 275517471 |
|  |  | fwd: GTAAACCCTGCCCACATAATTAGGT | VIC: AATGCGAAGACAAACT |  |  |
|  |  | rev: CTGAGACACTGCTCCAAGGT | FAM: AATGCGAAGCCAAACT |  |  |
| Omy_112820-82 | G/A | fwd: CCTTTCCTTTTGCATTTCCTCTACTTATTTATTT | VIC: CGCCGCCAAGTTA | 393 | GB: HR504892 <br> db: 275517472 |
|  |  | rev: AAATGAACTCACGTTGACCTCTGA | FAM: CGCCGCTAAGTTA |  |  |
| Omy_112876-45 | T/C | fwd: GGACTACATGAAGGCGTGAGT | VIC: TTTTAGTGACGAGTGTCTG | 805 | GB: HR504893 db: 275517473 |
|  |  | rev: ATCAGTCCTAGCCCAAACACATG | FAM: TAGTGACGGGTGTCTG |  |  |
| Omy_113109-205 | T/G | fwd: GTGGGCACTGTTACACAAAGTTC | VIC: CGTCATCTTAAATTATCTTTG | 416 | db: 275517473 <br> GB: HR504894 <br> db: 275517474 |
|  |  | rev: CCAGTCAACTTACAAACAAGCCATT | FAM: CGTCATCTTAAATTCTCTTTG |  |  |
| Omy_113128-73 | C/G | fwd: CCTCCTACTCTGATCTAAAGATTACAGAA | VIC: TGGCAGGGTTTCCGG | 374 | Gb: 275517474 db: 275517475 |
|  |  | rev: TTCTCTGCCCTCTCGATTTTGG | FAM: TGGCAGGCTTTCCGG |  |  |
| Omy_113242-163 | T/C | fwd: TGGTGGACTGATCTGATGATGAAAG | VIC: TCTGAGACAACACGCTAT | 389 | GB: HR504896 <br> db: 275517476 |
|  |  | rev: CCTCGTCCATATTTTCCTCCTCAA | FAM: CTGAGACAACGCGCTAT |  |  |
| Omy_113490-159 | C/T | fwd: CATAGTACATTTACAGATAATGTTTTAAAGTGCATGT | VIC: CATCTGTTTTGGTTTAGC | 288 | GB: HR504897 <br> db: 275517477 |
|  |  | rev: CGAGATACCAAAATGCCACAGTTACAT | FAM: CATCTGTTTTAGTTTAGC |  |  |
| Omy_114315-438 | T/G | fwd: CCTCACCGATCTAGTCAACTTCATC | VIC: TTATGGGCTTAAGGGTC | 555 | GB: HR504898 <br> db: 275517478 |
|  |  | rev: AGGAGGCTGAGGGAGATTCTAG | FAM: TTATGGGCTTACGGGTC |  |  |
| Omy_114448-87 | C/T | fwd: GCCGAAAGGTAAAATCCACAAATCC | VIC: TGGTTGATCGAACATTT | 530 | GB: HR504899 <br> db: 275517479 |
|  |  | rev: GGACTAGGCTAACAGGAGAAGCT | FAM: TGGTTGATCAAACATTT |  |  |
| Omy_114587-480 | T/G | fwd: CAGATTACGTTATTACGTTTGGGAAATTTTTAAGT | VIC: CCTGTCCAAAATTGT | 1266 | GB: HR504900 <br> db: 275517480 |
|  |  | rev: GTGAAAGAGTGGGAAATATAATTATAAGGTCAGA | FAM: CCTGTCCACAATTGT |  |  |
| Omy_114976-223 | T/G | fwd: GACAAACAGCACTTCATTGCAGTAA | VIC: ACCGATGGAACAATC | 735 | GB: HR504901 <br> db: 275517481 |
|  |  | rev: GTTGCTCCAGCACCAGGT | FAM: CCGATGGCACAATC |  |  |
| Omy_115987-812 | C/T | fwd: GAGCTCCTGAAGACCTATAAGAATGTT | VIC: CTGAAAAGACTGCTCCAC | 1166 | GB: HR504902 <br> db: 275517482 |
|  |  | rev: GGTCGAGGAAGAGCTCAATGC | FAM: CTGAAAAAACTGCTCCAC |  |  |
| Omy_116104-229 | T/C | fwd: GCTAGAAGATAACAGGCCACACT | VIC: TGACAAGTTTAAGCTTG | 513 | GB: HR504903 <br> db: 275517483 |
|  |  | rev: ATGGTATTCAATGGCATTTTCAGTTTCAAA | FAM: TGACAAGTTTAGGCTTG |  |  |
| Omy_116362-467 | T/G | fwd: CTGGATCCAAGAGGCTGTTCT | VIC: CTCACCTGAATCCAG | 508 | GB: HR504904 <br> db: 275517484 |
|  |  | rev: TGCCTGCTATAGTTCCATGTCAAAA | FAM: CTCACCTGCATCCAG |  |  |
| Omy_116733-349 | C/T | fwd: GAAATGGACATGCCTACAAATTGCT | VIC: AGAGAATCTGATAGTATTTC | 641 | GB: HR504905 <br> db: 275517485 |
|  |  | rev: GATGTGATCAGTTTAGGCAAGGC | FAM: AGAGAATCTGATAATATTTC |  |  |
| Omy_116938-264 | A/G | fwd: GTTCATTCATGTTGAAGTGCGACAT | VIC: CCTTGTCTCAATTTTTCCTCT | 530 | GB: HR504906 db: 275517486 |
|  |  | rev: CTCTGCATGCTCCCATCCT | FAM: CTTGTCTCAATTTCTCCTCT |  |  |
| Omy_117242-419 | G/A | fwd: GTCTTCTCTCTTTCTCTCCCTCTCT | VIC: CCTCCCTGCCTCCCT | 479 | GB: HR504907 <br> db: 275517487 |
|  |  | rev: CCACTGGCCTTCAATTGTAACAG | FAM: CCTCCCTGTCTCCCT |  |  |
| Omy_117259-96 | T/C | fwd: CAAGGGAAGAGCTCTGAGATGAG | VIC: CGTCATGCCATCATGT | 409 | GB: HR504908 <br> db: 275517488 |
|  |  | rev: GGGATCAGTGGCAGGTAGAG | FAM: CGTCATGCCGTCATGT |  |  |
| Omy_117286-374 | A/T | fwd: TGATGTGTTGTTCCTCATGGCTTA | VIC: CTTTCCTCATCATACTCTATGG | 453 | GB: HR504909 <br> db: 275517489 |
|  |  | rev: CTGTGCATTTATTCTTGTGATGCTAGG | FAM: TCCTCATCATACACTATGG |  |  |
| Omy_117370-400 | A/G | fwd: TGCAAACACAGAGGAAAGGGATTT | VIC: CAACTCCAATGAATTAA | 596 | GB: HR504910 <br> db: 275517490 |
|  |  | rev: GGCTTATTTGTTCCGTACTTGCATT | FAM: AACTCCAACGAATTAA |  |  |
| Omy_117432-190 | C/T | fwd: GGAGAACGCCTTGAGGTTGT | VIC: TCATGGTGGATCCTGG | 441 | GB: HR504911 <br> db: 275517491 |
|  |  | rev: TGCCTCATCCTTGGGACTGAT | FAM: TCATGGTGAATCCTGG |  |  |
| Omy_117540-259 | T/G | fwd: GGCAGGTTAACACAGTCATCTACTATAAA | VIC: TGTCACTTCAAAGTTTG | 575 | GB: HR504912 <br> db: 275517492 |
|  |  | rev: CAGCATGTTGCTTTAATCCTTCACA | FAM: TGTCACTTCAACGTTTG |  |  |
| Omy_117549-316 | A/G | fwd: CCAGTACCCTTACATCTGAGAACCA | VIC: CTGCCCTTGGCTGGC | 425 | GB: HR504913 <br> db: 275517493 |
|  |  | rev: GGCCTTGGTTGTAGTTGTCACT | FAM: TGCCCCTGCTGGC |  |  |
| Omy_117743-127 | C/T | fwd: ACCTGCACCTTGTAAATAATTTATATAGTAGCTAAATAATT | VIC: ACATACAGAACGTTCACTG | 477 | GB: HR504914 <br> db: 275517494 |
|  |  | rev: GCCTGCCTGTGAACAACAC | FAM: ACATACAGAACATTCACTG |  |  |
| Omy_117815-81 | C/T | fwd: CTGCTTTATGCACACCACATTGT | VIC: CTATACGGAGACCAGC | 402 | GB: HR504915 <br> db: 275517495 |
|  |  | rev: GCTCTTTCTGGAGAACAAGGTACTG | FAM: CTATACGGAAACCAGC |  |  |
| Omy_118175-396 | T/A | fwd: AGGCTTCACACACACATGCA | VIC: CTCTTGCAGACATACCCGTA | 463 | GB: HR504916 <br> db: 275517496 |
|  |  | rev: GACGCGCAACCTCTAGATTATACTT | FAM: CTCTTGCAGACATTCCCGTA |  |  |
| Omy_118205-116 | A/G | fwd: CTGCGGTGGGCTACACA | VIC: CTACTGAGGCTGAGTGCT | 485 | GB: HR504917 <br> db: 275517497 |
|  |  | rev: CGCAGCTGCGGATGAG | FAM: TACTGAGGCCGAGTGCT |  |  |


| Assay name | $\begin{aligned} & \text { Assay } \\ & \text { targets } \end{aligned}$ | Primers ( $5^{\prime}-3$ ) | Probes ( $5^{\prime}-3^{\prime}$ ) | $\begin{aligned} & \text { Cons. } \\ & \text { length } \end{aligned}$ | GeneBank No. dbSNP No. |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Omy_118654-91 | A/G | fwd: CAGCGTAGACCGTTTCCTCATTAT | VIC: TCAGCTTGTCTTGCCGC | 454 | GB: HR504918 <br> db: 275517498 |
|  |  | rev: GCGCCGATGAGCAGCTT | FAM: CAGCTTGTCCTGCCGC |  |  |
| Omy_118938-341 | A/T | fwd: GAGGGACAGACTTCAAGATTTCATGA | VIC: TGTTGTTCAGATTGTAAAAA | 625 | GB: HR504919 <br> db: 275517499 |
|  |  | rev: AGTCATCATAAAGACTTGTTCATTAAGGAAGG | FAM: TGTTGTTCAGATAGTAAAAA |  |  |
| Omy_119108-357 | T/C | fwd: GGTAGAAGCAGCCCATGCA | VIC: CGCGTCCAAGCAG | 949 | GB: HR504920 <br> db: 275517500 |
|  |  | rev: TGTGGCAAGGACATGTGTGA | FAM: CGCGTCCAGGCAG |  |  |
| Omy_119892-365 | T/G | fwd: GGTTATAGGTTCGTCACCATCCAAA | VIC: AATTCTACCTACAGCTAACA | 755 | db: 275517500 <br> GB: HR504921 <br> db: 275517501 |
|  |  | rev: TTGTCTGTGGTGTTATGTCTAATTTCAAG | FAM: ATTCTACCTACCGCTAACA |  |  |
| Omy_120255-332 | A/T | fwd: GGCTACAGGGACTTTACAATGGG | VIC: ACTATGCCATGAAGTTA | 601 | db: 275517501 <br> GB: HR504922 <br> db: 275517502 |
|  |  | rev: GCTAGCTAACATTGAAGGGTGGAAT | FAM: ACTATGCCAAGAAGTTA |  |  |
| Omy_120950-569 | T/G | fwd: TCACACTCAGATTATTGTGGCGATT | VIC: ATTGTTTAACCTAAAAGCTT | 759 | db: 275517502 <br> GB: HR504923 <br> db: 275517503 |
|  |  | rev: GCTGACTCATAAAAATGTTGGTAATGCT | FAM: TGTTTAACCTACAAGCTT |  |  |
| Omy_121006-131 | T/G | fwd: ACAGTGAATCAGCGGAGAAACA | VIC: TTCGTACGAGACCAAAG | 505 | db: 275517503 <br> GB: HR504924 <br> db: 275517504 |
|  |  | rev: AGTCCGTTTCCTGTTAGTGTAAGC | FAM: TCGTACGAGCCCAAAG |  |  |
| Omy_121713-115 | T/A | fwd: TGTGACAGAGCCAAGGAAAACC | VIC: TCAGGTTGAGTATTGC | 501 | GB: HR504925 <br> db: 275517505 |
|  |  | rev: TGGGCTAGTGAGGGAGTGA | FAM: TCAGGTTGTGTATTGC |  |  |
| Omy_123044-128 | C/T | fwd: CTGGGTGAGTGAGTTGACTATACAC | VIC: ATTTCTGGCGGTCCGG | 784 | GB: HR504926 <br> db: 275517506 |
|  |  | rev: CGGGTGTGCATGAGAAAATGAC | FAM: ATTTCTGGCAGTCCGG |  |  |
| Omy_123048-119 | C/T | fwd: ATGTATCTGGTGCATTGGGATGATT | VIC: ACTTGCCCGATACTT | 797 | GB: HR504927 <br> db: 275517507 |
|  |  | rev: ACAGCCACATGTACAGGGAAAAA | FAM: ACTTGCCCAATACTT |  |  |
| Omy_123921-144 | T/C | fwd: AACTCTGAAGTGGGATGTGATGTTC | VIC: CTAAGGTTCAGGACTTGGA | 1045 | GB: HR504928 <br> db: 275517508 |
|  |  | rev: GGATGATGTTACAAAAGGAGAGCATGT | FAM: AAGGTTCAGGGCTTGGA |  |  |
| Omy_124774-530 | A/T | fwd: AGTACCACCGCCGTCTGATATAT | VIC: CAAATAAAAGGCTAAATAAA | 705 | GB: HR504929 db: 275517509 |
|  |  | rev: CCAGAGCAAAGCATGTCCTCAAATA | FAM: AAATAAAAGGCAAAATAAA |  |  |
| Omy_125998-61 | T/G | fwd: GGTGTCCAGCCACAGTACAG | VIC: TGACCTCCATCCCCC | 459 | GB: HR504930 <br> db: 275517510 |
|  |  | rev: TGTTCCTTTATTGGGCCTGCATA | FAM: ATGACCTCCCTCCCCC |  |  |
| Omy_126160-242 | T/G | fwd: CAAGGGAGTGACCGGAATGTTATAT | VIC: CAATCATGTGTTAACACTAA | 648 | GB: HR504931 <br> db: 275517511 |
|  |  | rev: GCCCAGACATTTACAGCAGTATCA | FAM: ATCATGTGTTCACACTAA |  |  |
| Omy_127236-583 | C/G | fwd: TGGATCAAGACAGATTTCCCCTACA | VIC: ATTGTGAAACGGCCCCT | 685 | GB: HR504932 <br> db: 275517512 |
|  |  | rev: GCCACCAGTGAGATGTCTTTGAAA | FAM: ATTGTGAAACCGCCCCT |  |  |
| Omy_127510-920 | C/T | fwd: GTGTTATGCCAACAAGGCTTGT | VIC: AACAAATAACAGACGACATTA | 1182 | GB: HR504933 <br> db: 275517513 |
|  |  | rev: TTTGACAATATCAATATCATGAAAATGTTTGTGAGT | FAM: ACAAATAACAGACAACATTA |  |  |
| Omy_127645-308 | A/T | fwd: ACACTGATATTAACATGGCACAAGTCA | VIC: AAGTTTGTTACATATTTTG FAM: TTTGTTACAAATTTTG | 401 | GB: HR504934 <br> db: 275517514 |
|  |  | rev: CAGGGCCGGTCGTAGATTTT fwd: CGGCTATTCTCGCGTAAAAGCT | FAM: TTTGTTACAAATTTTG <br> VIC: TCCTTATCCAAAATTATTGTGC |  |  |
| Omy_127760-385 | A/T | rev: AAATGCAACCAGAAACGGAATGTC | FAM: CTTATCCAAAATAATTGTGC | 756 | GB: HR504935 <br> db: 275517515 |
| Omy_128302-430 | C/T | fwd: GTATGGCATTTTTGTTCCCAAGGT | VIC: CATCATCGTAAATCAG | 1025 | GB: HR504936 <br> db: 275517516 |
|  |  | rev: CATGTGGTTGCCCTCCTTATAGAG | FAM: CATCATCATAAATCAG |  |  |
| Omy_128693-755 | A/C | fwd: GATACACTCTACTGACTAGTCCATCCA | VIC: CTCTGACCATTATTTTGTC | 869 | GB: HR504937 <br> db: 275517517 |
|  |  | rev: GTCCTGAAAGAGAGAAACACAGACA | FAM: CTGACCATTAGTTTGGTC |  |  |
| Omy_128851-273 | T/A | fwd: GTACAGATGAATGTGTtTTATTTGGCATTG | VIC: CCTGTCAAATAAAG | 348 | GB: HR504938 <br> db: 275517518 |
|  |  | rev: CTGCCCATCAAGGTCTTCATCTTAT | FAM: CCCTGTCTAATAAAG |  |  |
| Omy_128923-433 | T/C | fwd: ACGTTTCTTTGGGGTGAGACTTATT | VIC: CTTCATTTTCATTCACTGTTTT | 505 | GB: HR504939 <br> db: 275517519 |
|  |  | rev: CTATGTCCTTGGCAGAAGTCTACA | FAM: CATTTTCATTCGCTGTTTT |  |  |
| Omy_128996-481 | T/G | fwd: CTCATCCACACTGTACAGTACAAGT | VIC: CTTGTGGTTGAGGTTTG | 515 | GB: HR504940 <br> db: 275517520 |
|  |  | rev: CATGCCTTCGTCTCATCAATAACAC | FAM: TTGTGGTTGCGGTTTG |  |  |
| Omy_129170-794 | T/G | fwd: GTTAGAAACCATGACTCACCATCCA | VIC: CCCTGTGGAGTGTCAG | 830 | GB: HR504941 <br> db: 275517521 |
|  |  | rev: CTGTAGCAGTGATGCTATGGAATAGG | FAM: CCTGTGGCGTGTCAG |  |  |
| Omy_129870-756 | C/T | fwd: TCGTTATTTTGCCTCGCGGTA | VIC: ACAGGTATTTCGTGAAATG | 965 | GB: HR504942 <br> db: 275517522 |
|  |  | rev: TCCCATGAAGATGTATACATGTTTTGTGA | FAM: CAGGTATTTCATGAAATG |  |  |
| Omy_130295-98 | A/C | fwd: GGGACCACAGAATATTTTTCTTGTTCAT rev: TGGACAGAATGTTCTACAAGTTGCA | VIC: CTTATGCCTTTTCTAATTCTGTA FAM: TTATGCCTTTTCTAAGTCTGTA | 583 | GB: HR504943 <br> db: 275517523 |
| Omy_130524-160 | C/G | fwd: CGAAGGTAGCGATTGGTCGTT | VIC: ATGGCTTGATCCTCA | 388 | GB: HR504944 <br> db: 275517524 |
|  |  | rev: TGTCTGTTCTGCTGTGTGCTT | FAM: ATGGCTTCATCCTCA |  |  |
| Omy_130720-100 | C/T | fwd: CGGTCATTGTAAATGTCAACGGTTT | VIC: ACCTGTCCCGTTCCCA | 547 | GB: HR504945 |


| Assay name | $\begin{aligned} & \text { Assay } \\ & \text { targets } \end{aligned}$ | Primers ( $5^{\prime}-3^{\prime}$ ) | Probes ( $5^{\prime}-3^{\prime}$ ) | $\begin{aligned} & \text { Cons. } \\ & \text { length } \end{aligned}$ | GeneBank No. dbSNP No |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Omy_131460-646 | C/T | rev: TGCTTGCATGTTCTTGGTGTAGTA | FAM: CCTGTCCCATTCCCA | 1276 | db: 275517525 GB: HR504946 db: 275517526 GB: HR504947 db: 275517527 |
|  |  | fwd: GTGAAAAGGAATGGAGGAGTACAGT | VIC: AATAAAGCAGAATTTGTtactg |  |  |
|  |  | rev: TGCTAGGACAGGAAGATCATTTGTG | FAM: AAAGCAGAATTTATTACTG |  |  |
| Omy_131965-120 | C/T | fwd: AGAGATACATTAAAGCTGTGCTCATTCA | VIC: CATTGTAAACGACCATTTT | 240 |  |
|  |  | rev | FAM: CATTGTAAACAACCAT |  |  |

Mean minor allele frequency (MAF) averaged 0.197 over all loci, with a high of 0.258 in the Sacramento River-Battle Creek and the Russian River populations and a low of 0.112 in the McCloud River-Butcherknife Creek population. The proportion of polymorphic loci averaged $81.5 \%$ and varied from $97.1 \%$ in Battle Creek to $56.8 \%$ in Butcherknife Creek (Table 1.3). Expected and observed heterozygosity were generally very similar within each test sample, never differing more than 0.026 (i.e. $2.6 \%$ ). Observed heterozygosity varied between 0.342 in Battle Creek and 0.0001 in Butcherknife Creek (Table 1.4). Thus, all measures of genetic variability were consistent in identifying the Sacramento River-Battle Creek population as the most diverse and the McCloud River-Butcherknife Creek population as the least diverse. Mean $F_{S T}$ was 0.206 and ranged from 0 to 0.629 at different loci (Table 1.4).
Table 1.3: Allele frequency of 139 SNP assays in 11 natural-origin steelhead populations, four O. mykiss hatchery strains, and two introduced $O$. mykiss populations in the southern hemisphere. Allele frequency (AF) reported
for all groups is the minor allele $(p<0.5)$ in Willamette River-Wiley Creek (MAF). $A_{R} \mathrm{H}$ : American River Hatchery; BCH: Big Creek Hatchery; HCH: Hot Creek Hatchery; FH: Fillmore Hatchery.


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| хәл！¢ оzиәлот UеS | $\stackrel{20}{\sim}$ | $\underset{4}{4}$ |  <br>  |
| чәәј 7700s | 4 | ¢ |  $\circ \circ \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \circ \dot{\circ} \dot{\circ} \circ \dot{\circ} \dot{\circ} \dot{\circ} \dot{\circ} \circ \dot{\circ} \circ \dot{\circ} \circ \circ \dot{\circ} \dot{\circ}$ |
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Out of the 139 SNPs, 43 were identified in introns and 95 in other regions (CDSs or UTRs) based on the alignment of the consensus with the RtGI EST sequences. One consensus sequence did not align with the corresponding RtGI EST sequence. A total of 71 consensus sequences matched a known gene from GeneBank. Only 22 SNPs were identified in UTRs and 14 in CDSs based on those "blasted" genes that presented a translation. Finally, five SNPs corresponded to non-synonymous and nine to synonymous mutations (Table 1.5).

Based on the screen for the most informative, I selected 82 SNPs from this study, six from Campbell et al. (2009), three from Aguilar \& Garza (2008), one from Campbell (unpubl.), and three from DeKoning (unpubl.; Table 1.5).










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Assay Name
OMGH1PROM1-SNP1
Omy_95318-147
Omy_95442-108
Omy_95489-423
Omy_96158-277
Omy_96222-125
Omy_96529-231
Omy_96899-148
Omy_97077-73
Omy_97660-230
Omy_97865-196
Omy_97954-618
Omy_98188-405
Omy_98409-549
Omy_98683-165
Omy_99300-202
Omy_100771-63
Omy_100974-386
Omy_101119-554
Omy_101341-188
Omy_101554-306











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Table 1.4 Continued









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Table 1.4 Continued

Table 1.5: Gene similarity search results for the loci containing the 139 SNPs design in this study and 13 from other groups. Only E-value $\leq 1 \mathrm{e}-20$ were consider. Location abreviations refer to UTR: Untranslated
region; CDS: Coding DNA sequnce. Abreviations inside parenthesis indicate the aminoacid for the codon where the SNP is located. (aminoacid): synonymous; (aminoacid/aminoacid): non-synonymous. Bold assay names indicates the 95 SNP loci selected for the panel. a: Abadía-Cardoso et al. 2011; b: Aguilar \& Garza 2008; c: Campbell et al. (2009); d: CRITFC - N. Campbell unpublished; e: WSU - J. DeKoning unpublished.

| Assay Name | Species | Similar to: | Location (aminoacid) |
| :---: | :---: | :---: | :---: |
| OMGH1PROM1-SNP1 ${ }^{a}$ | Oncorhynchus mykiss | Growth hormone 1 gene, promoter region | 3'UTR |
| Omy_95318-147 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_95442-108 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_95489-423 ${ }^{a}$ | Salmo salar | Phenazine biosynthesis-like domain-containing protein 2 | Intron |
| Omy_96158-277 ${ }^{\text {a }}$ | Pagrus major | RAP2B-like protein | CDS (Pro) |
| Omy_96222-125 ${ }^{\text {a }}$ | Solea senegalensis | Elongation factor 1 alpha isoform 42Sp50 | Intron |
| Omy_96529-231 ${ }^{a}$ | Oncorhynchus mykiss | Dolichol-phosphate (beta-D) mannosyltransferase 2 (dpm2) | 3'UTR |
| Omy_96899-148 ${ }^{\text {a }}$ | Salmo salar | Vaccinia related kinase 3 (vrk3) | CDS (Leu/Phe) |
| Omy_97077-73 ${ }^{\text {a }}$ | Salmo salar | BTG1 | 3'UTR |
| Omy_97660-230 ${ }^{\text {a }}$ | Salmo salar | Single-strand selective monofunctional uracil (Smug1) | 3'UTR |
| Omy_97865-196 ${ }^{\text {a }}$ | Salmo salar | $\mathrm{n} / \mathrm{a}$ | 3'UTR |
| Omy_97954-618 ${ }^{a}$ | Oncorhynchus masou | Retinol-binding protein 2 (RBP2) | CDS (Asn) |
| Omy_98188-405 ${ }^{a}$ | Salmo salar | Vacuolar protein sorting 72 homolog(vps72) | 3'UTR |
| Omy_98409-549 ${ }^{a}$ | Salmo salar | Fizzy-related protein homolog | Intron |
| Omy_98683-165 ${ }^{\text {a }}$ | Salmo salar | Chymotrypsin-like (ctrl) | Intron |
| Omy_99300-202 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_100771-63 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_100974-386 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_101119-554 ${ }^{\text {a }}$ |  | n/a | Exon |
| Omy_101341-188 ${ }^{\text {a }}$ | Salmo salar | Selenocysteine-associated protein 1 (pseudogene) | Intron |
| Omy_101554-306 ${ }^{a}$ | Salmo salar | NMDA receptor-regulated protein 1 | 3'UTR |
| Omy_101704-329 ${ }^{\text {a }}$ | Salmo salar | Histidyl-tRNA synthetase (pseudogene) | Intron |
| Omy_101770-410 ${ }^{\text {a }}$ | Esox lucius | Cartilage-associated protein precursor | Intron |
| Omy_101832-195 ${ }^{a}$ |  | n/a | $\mathrm{n} / \mathrm{a}$ |
| Omy_101993-189 ${ }^{a}$ | Equus caballus | Syndecan-4-like | Intron |
| Omy_102213-204 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Leukocyte cell-derived chemotaxin 2 precursor | Intron |
| Omy_102420-634 ${ }^{a}$ | Salmo salar | Cathepsin K precursor | Intron |
| Omy_102457-423 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Heat shock protein 70a (hsp70a) | Intron |
| Omy_102505-102 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_102510-682 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_102867-443 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_103350-395 ${ }^{a}$ | Epinephelus coioides | Alpha-1,3-galactosyltransferase-like protein | Exon |
| Omy_103577-379 ${ }^{a}$ | Salmo salar | NADPH-cytochrome P450 reductase | Intron |

Table 1.5 Continued

| Assay Name | Species | Similar to: | Location (aminoacid) |
| :---: | :---: | :---: | :---: |
| Omy_103705-558 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_103713-53 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_104519-624 ${ }^{\text {a }}$ | Salmo salar | Nucleolar transcription factor 1 (ubf1) | Intron |
| Omy_104569-114 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105075-162 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105105-448 ${ }^{\text {a }}$ | Oncorhynchus mykiss | VHSV-induced protein | Intron |
| Omy_105115-367 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105235-713 ${ }^{\text {a }}$ | Salmo salar | Growth arrest and DNA-damage-inducible protein GADD45 alpha | Intron |
| Omy_105385-406 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105386-347 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105401-363 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_105407-74 ${ }^{\text {a }}$ | Salmo salar | 60S ribosomal protein L36a | 3'UTR |
| Omy_105714-265 ${ }^{\text {a }}$ | Oncorhynchus mykiss | FHA-HIT | CDS (Ser/Pro) |
| Omy_105897-101 ${ }^{\text {a }}$ | Salmo salar | Uridine 5-monophosphate synthase (pyr5) | 3'UTR |
| Omy_106172-332 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_106313-445 ${ }^{\text {a }}$ | Salmo salar | Wilms tumor 1 associated protein-like | Intron |
| Omy_106560-58 ${ }^{\text {a }}$ | Takifugu rubripes | Zinc finger SWIM domain-containing protein 6-like | CDS (Cys) |
| Omy_106747-707 ${ }^{\text {a }}$ | Salmo salar | CJ088 protein (cj088) | CDS (Thr) |
| Omy_107031-704 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Cold inducible RNA binding protein (cirbp) | 3'UTR |
| Omy_107074-217 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_107285-69 ${ }^{a}$ | Salmo salar | Placental protein 25 (pp25) | Intron |
| Omy_107336-170 ${ }^{\text {a }}$ | Salmo salar | NUDT6 | CDS (Pro/Arg) |
| Omy_107607-137 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_107786-314 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_107786-584 ${ }^{\text {a }}$ |  | n/a | Intron |
| Omy_107806-34 ${ }^{\text {a }}$ | Salmo salar | YIPF4 | Intron |
| Omy_108007-193 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_108735-311 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_108820-85 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_109243-222 ${ }^{\text {a }}$ | Salmo salar | Kunitz-type protease inhibitor 2 (spit2) | 3'UTR |
| Omy_109390-341 ${ }^{\text {a }}$ | Salmo salar | Serine/threonine-protein kinase SRPK1 (pseudogene) | Exon |
| Omy_109525-403 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Prostaglandin-endoperoxide synthase 2b (ptgs2b) | 3'UTR |
| Omy_109651-445 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Secreted phosphoprotein 24 (spp2) | 3'UTR |
| Omy_109693-461 ${ }^{a}$ | Salmo salar | Nuclear transcription factor Y, gamma (nfyc) | 3'UTR |
| Omy_109874-148 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_109894-185 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_109944-74 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_110064-419 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Lipopolysaccharide-induced TNF factor (litaf) | Intron |
| Omy_110078-294 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |

Table 1.5 Continued

| Assay Name | Species | Similar to: | Location (aminoacid) |
| :---: | :---: | :---: | :---: |
| Omy_110201-359 ${ }^{\text {a }}$ | Oreochromis niloticus | Autophagy-related protein 101-like | Intron |
| Omy_110362-585 ${ }^{\text {a }}$ | Salmo salar | ATP synthase subunit alpha, mitochondrial precursor | CDS (Leu) |
| Omy_110571-386 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Epithelial-cadherin | 3'UTR |
| Omy_110689-148 ${ }^{\text {a }}$ |  | n/a | Exon |
| Omy_111005-159 ${ }^{\text {a }}$ | Salmo salar | RAB2A, member RAS oncogene family (rab2a) | 3'UTR |
| Omy_111084-526 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_111383-51 ${ }^{a}$ | Oncorhynchus mykiss | V-mos Moloney murine sarcoma viral oncogene-like protein | 3'UTR |
| Omy_111666-301 ${ }^{\text {a }}$ | Salmo salar | Tetraspanin-16 (tsn16) | Intron |
| Omy_111681-432 ${ }^{\text {a }}$ | Oncorhynchus mykiss | NK2 homeobox 1b (nkx2.1b) | CDS (Pro) |
| Omy_112208-328 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Lactate dehydrogenase B | Intron |
| Omy_112301-202 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_112820-82 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_112876-45 ${ }^{\text {a }}$ | Oncorhynchus mykiss | TPT1 gene for tumor protein, translationally-controlled 1 | Intron |
| Omy_113109-205 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Josephin-2 (jos2) | 3'UTR |
| Omy_113128-73 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_113242-163 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_113490-159 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_114315-438 ${ }^{\text {a }}$ | Salmo salar | Tumor protein D54 | 3'UTR |
| Omy_114448-87 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_114587-480 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_114976-223 ${ }^{\text {a }}$ | Salmo salar | Canopy homolog 2 precursor | 3'UTR |
| Omy_115987-812 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_116104-229 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_116362-467 ${ }^{\text {a }}$ | Salmo salar | Adhesion regulating molecule 1 ADRM1 | CDS (Ile/Met) |
| Omy_116733-349 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_116938-264 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_117242-419 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_117259-96 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_117286-374 ${ }^{a}$ |  | n/a | Exon |
| Omy_117370-400 ${ }^{\text {a }}$ | Danio rerio | EST-domain transcription factor PEA3 | Intron |
| Omy_117432-190 ${ }^{\text {a }}$ | Takifugu rubripes | UDP-glucuronosyltransferase 2B1-like | CDS (Ile) |
| Omy_117540-259 ${ }^{\text {a }}$ | Salmo salar | Cyclin I (ccni) | 3'UTR |
| Omy_117549-316 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_117743-127 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_117815-81 ${ }^{a}$ | Salmo salar | Glutaredoxin (thioltransferase) (glrx) | 3'UTR |
| Omy_118175-396 ${ }^{\text {a }}$ |  | n/a | Exon |
| Omy_118205-116 ${ }^{\text {a }}$ | Salmo salar | Sjoegren syndrome/scleroderma autoantigen 1 homolog | CDS (Leu) |
| Omy_118654-91 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_118938-341 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |

Table 1.5 Continued

| Assay Name | Species | Similar to: | Location (aminoacid) |
| :---: | :---: | :---: | :---: |
| Omy_119108-357 ${ }^{a}$ | Salmo salar | CK046 protein (ck046) | CDS (Leu) |
| Omy_119892-365 ${ }^{a}$ | Salmo salar | DnaJ homolog subfamily C member 1 (dnjc1) | Intron |
| Omy_120255-332 ${ }^{\text {a }}$ | Salmo salar | C6orf64 | Intron |
| Omy_120950-569 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_121006-131 ${ }^{a}$ | Salmo salar | Immediate early response gene 5 protein | CDS (Pro/Thr) |
| Omy_121713-115 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_123044-128 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_123048-119 ${ }^{\text {a }}$ | Salmo salar | Ribosomal protein S26 | Intron |
| Omy_123921-144 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Intron |
| Omy_124774-530 ${ }^{\text {a }}$ | Salmo salar | cAMP-responsive element-binding protein 3-like protein | 3'UTR |
| Omy_125998-61 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_126160-242 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_127236-583 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_127510-920 ${ }^{a}$ | Danio rerio | si:dkey-21n12.1 | Intron |
| Omy_127645-308 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_127760-385 ${ }^{\text {a }}$ | Salmo salar | Zinc finger protein 503 (zn503), mRNA | Intron |
| Omy_128302-430 ${ }^{\text {a }}$ | Oncorhynchus mykiss | Ribosomal protein L20, mitochondrial precursor | Intron |
| Omy_128693-755 ${ }^{\text {a }}$ | Salmo salar | Stathmin-like 4 (stmn4) | Intron |
| Omy_128851-273 ${ }^{a}$ | Oncorhynchus mykiss | Differentially regulated trout protein 1 | Intron |
| Omy_128923-433 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_128996-481 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_129170-794 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_129870-756 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_130295-98 ${ }^{\text {a }}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_130524-160 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_130720-100 ${ }^{a}$ |  | n/a | Exon |
| Omy_131460-646 ${ }^{a}$ | Salmo salar | Neural cell adhesion molecule L1-like protein precursor | Intron |
| Omy_131965-120 ${ }^{a}$ |  | $\mathrm{n} / \mathrm{a}$ | Exon |
| Omy_PEPA-INT6 ${ }^{\text {b }}$ | Oncorhynchus mykiss | Nonspecific dipeptidase gene | 3'UTR |
| OmyAldA ${ }^{\text {b }}$ | Oncorhynchus mykiss | Aldolase A gene, intron 1 | Intron |
| ONMYCRBF_1-SNP1 ${ }^{\text {b }}$ | Oncorhynchus mykiss | Carbonyl reductase/20beta-hydroxysteroid dehydrogenase A | 3'UTR |
| Omy_arp-630 ${ }^{\text {c }}$ | Oncorhynchus mykiss | Acidic ribosomal phosphoprotein | 3'UTR |
| Omy_aspAT-123 ${ }^{\text {c }}$ | Oncorhynchus mykiss | Aspartate aminotransferase | 3'UTR |
| Omy_gh-475 ${ }^{\text {c }}$ | Oncorhynchus mykiss | Growth hormone 1 | 3'UTR |
| Omy_nramp-146 ${ }^{\text {c }}$ | Oncorhynchus mykiss | Natural resistance-associated macrophage protein-alpha (nramp-a) | 3'UTR |
| Omy_Ogo4-212 ${ }^{\text {c }}$ | Oncorhynchus gorbuscha | Microsatellite locus Ogo4, (gt)55 | n/a |
| OmyCOX1-221 ${ }^{\text {c }}$ | Oncorhynchus mykiss | mRNA for cyclooxygenase-1 (cox-1) | 3'UTR |
| Omy_mapK3-103 ${ }^{d}$ | No sequence available |  |  |
| Omy_g12-82 ${ }^{e}$ | No sequence available |  |  |

Table 1.5 Continued

| Assay Name | Species | Similar to: | Location <br> (aminoacid) |
| :--- | :--- | :--- | :--- |
| Omy_gsdf-291 ${ }^{e}$ <br> Omy_mcsf- $\mathbf{3 7 1} \boldsymbol{1}^{e}$ | No sequence available <br> No sequence available |  |  |

### 1.4 Discussion

I report the discovery and development of assays for 139 novel single nucleotide polymorphisms in the species $O$. mykiss, steelhead/rainbow trout, through sequence analysis of 236 ESTs with a total consensus length of 120 KB . I demonstrate how ESTs from existing public databases and directed Sanger-sequencing of PCR products can be used to identify large numbers of SNPs in non-model organisms. In species and populations with large effective sizes, such sequencing from existing genomic information uncovers sufficient polymorphism that a preliminary screen of loci for potential polymorphism, using methods such as single strand conformation polymorphism or high resolution melt analyses, can be avoided, since nearly every locus will contain some variants.

The 139 SNP loci described here are broadly polymorphic in the species and should prove useful for a variety of applications, including phylogeography, genetic stock identification, individual identification, behavioral ecology and pedigree reconstruction. The availability of large numbers of SNPs known to be polymorphic in populations of steelhead and rainbow trout will allow the implementation of intergenerational genetic tagging through large-scale parentage inference, since this requires only about 100 SNP loci for sufficiently low tag recovery error rates (Garza \& Anderson 2007). Such parentage-based tagging (PBT) will allow an unprecedented level of monitoring and evaluation of natural and hatchery/aquaculture populations, including estimation of variance in reproductive success, migration rates, effective population sizes, life-stage
specific mortality rates, and other population parameters. PBT is based on the principle that genotyping fish from the parental generation, either in a hatchery, an aquaculture operation or a natural population, provides intergenerational genetic tags for their progeny that can be retrieved through large-scale parentage inference (Anderson \& Garza 2006; Garza \& Anderson 2007). Such pedigree reconstruction is greatly facilitated by the low genotyping error/mutation rates of SNP loci. In addition, as more SNP loci are described and more assays become available for the species, it will be possible to construct second-generation genetic linkage maps and high-density SNP genotyping microarrays become available the pedigrees resulting from PBT will enable detailed understanding of the genetic architecture of phenotypic traits in the species. Because of its importance in recreational fisheries and in aquaculture, as well as the ESA protection of many populations, the species $O$. mykiss is among the most economically significant fishes in the world, and an increased understanding of its phenotypic variation is of great value.

During the past decade, microsatellite markers have dominated population genetic work in salmonids, due to their high variability and conservation among related species (Aguilar \& Garza 2006; Clemento et al. 2009; Pearse et al. 2007; Pearse et al. 2009). However, microsatellites have significant drawbacks, among them relatively high genotyping error/mutation rates, significant staff time necessary for data generation and allele calling, and homoplasy. Moreover, the results obtained with microsatellites in one laboratory are not directly combinable with data generated in other laboratories, even when using the same instrumentation, due to subtle differences in electrophoretic
conditions and consequent data output (Seeb et al. 2007). The requirement for a standardization process to be able to combine microsatellite data between laboratories adds significant time and expense to collaborative projects.

Conversely, data obtained from SNP loci are easily portable and combinable between labs, as long as the same primer/probe sequences and/or reporting conventions are used. This will allow large multilateral databases to be developed for applications in fishery management, ecological investigation, and aquaculture/hatchery broodstock management using both standard (e.g. Seeb et al. 2007) and pedigree-based approaches (Anderson \& Garza 2006). Moreover, the advent of new technologies, such as nanofluidic circuitry and spotted arrays, for thermal cycling and genotyping now allows the examination of a large number of SNPs in a large number of individuals in a short time period and at relatively low cost. This provides the prospect of SNP genotyping as a routine, and very valuable, tool for monitoring and evaluation of steelhead and rainbow trout populations throughout the world.

As SNP loci are typically bi-allelic, the amount of information per locus is more limited than for most multiallelic loci, such as microsatellites or AFLPs. In the future, however, analysis of haplotypes of tightly linked SNPs may provide additional information for many questions, including in phylogeography and pedigree resolution. Since I discovered many additional polymorphic sites in these genes, it would be possible to design additional assays for many of these sites and perform haplotype analyses. More complete analyses of this sequence variability will be reported elsewhere.

The number and density of substitutions and SNPs discovered here was con-
sistent with what has been reported for other salmonids (Smith et al. 2005), but it is difficult to draw direct comparisons between different SNP discovery efforts, since the density of polymorphic sites uncovered depends critically on the number and phylogenetic diversity of the individuals in the ascertainment panel, the set of genes or genomic sequences interrogated for SNP discovery, and accuracy of the sequencing method employed. My ascertainment approach and stringent design criterion for SNP discovery were intended to fulfill several objectives. Included in the ascertainment panel were both representatives from populations in California where I are actively working and intend to apply the resulting markers, as well as from rainbow trout strains commonly used throughout the world for fishery stocking and/or aquaculture. By designing assays for variable sites only when all three genotypes were observed, and without regard to which individuals carried them, I selected both for markers with a higher mean MAF and markers that were more likely to be broadly useful in the species. This was intended to provide markers useful both for study and management of native steelhead populations, as well as with the millions of rainbow trout cultured for food and fisheries. However, it will also underrepresent rare variants, which could result in biases in phylogenetic and evolutionary applications of these markers. Still, it is important to point out that sets of microsatellite and other population genetic markers developed for salmonids and other non-model organisms suffer from the same biases. Therefore, applications of these SNP markers that depend upon a representative sampling of the site frequency spectrum in focal populations or lineages should ideally employ markers ascertained using diverse ascertainment populations and strategies.

My ascertainment panel included fish from three coastal steelhead populations from several closely-related lineages, a highly divergent population of redband trout and several rainbow trout strains domesticated from distinct lineages. This diverse ascertainment panel was intended to reduce ascertainment bias in populations in the southern part of the North American range.

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## Chapter 2

Large-scale parentage analysis reveals reproductive patterns and heritability of spawn timing in a hatchery population of steelhead (Oncorhynchus mykiss)


#### Abstract

Understanding life history traits is an important first step in formulating effective conservation and management strategies. The use of artificial propagation and supplementation as such a strategy can have numerous effects on the supplemented natural populations and minimizing life history divergence is crucial in minimizing these effects. Here, I use SNP genotypes for large-scale parentage analysis and pedigree reconstruction in a hatchery population of steelhead, the anadromous form of rainbow trout. Nearly complete sampling of the broodstock for several consecutive years in two hatchery programs allowed inference about multiple aspects of life history. Reconstruction of cohort age distribution revealed a strong component of fish that spawn at two years of age, in contrast to program goals and distinct from naturally spawning steelhead in the region, which raises a significant conservation concern. The first estimates of variance in family size for steelhead in this region can be used to calculate effective population size and probabilities of inbreeding and estimation of iteroparity rate, indicates that it is reduced by hatchery production. Finally, correlations between family members in the day of spawning revealed for the first time a strongly heritable component to this important life history trait in steelhead and demonstrated the potential for selection to alter life history traits rapidly in response to changes in environmental conditions. Taken together, these results demonstrate the extraordinary promise of SNP-based pedigree reconstruction for providing biological inference in high-fecundity organisms that is not easily achievable with traditional physical tags.


### 2.1 Introduction

Understanding a population's life history patterns, and the environmental and biological factors that affect them, is a first step in the formulation of effective conservation and management actions. For example, lack of knowledge regarding when and where an animal disperses could lead to incorrect habitat conservation priorities. In addition, life history traits such as reproductive strategy and migratory behavior shape the direction of evolution and responses to environmental change (Hansen et al. 2012). Such responses are due to selection on the heritable variation in life history traits, yet the components of observed trait values that are due to genetic variation and phenotypic plasticity are even less frequently known. However, even observable components of life history traits can be hard to measure in species with complex life cycles, high fecundity and/or a high degree of dispersal or migration (e.g. anadromous fish, many birds, etc.). As many populations of animal and plant species decline in numbers and in geographic distribution, a clear understanding of their life histories and reproductive biology is critical to prevent further extirpations and extinction.

Artificial propagation, followed by supplementation of natural populations, is a widely employed method for addressing population declines (Champagnon et al. 2012). Artificially propagated populations may face a range of problems, including those resulting from what is generally termed domestication selection, as well as inbreeding depression, increased disease susceptibility, etc. (Bryant \& Reed 1999; Swinnerton et al. 2004). This gives rise to significant concerns about genetic effects, as natural populations
often experience maladaptation and reduction in fitness due to introgression by stocked individuals (Utter 1998; Araki et al. 2007; Frankham 2008; Williams \& Hoffman 2009). A potential strategy to minimize negative fitness effects is to avoid artificial selection as much as possible in the captive population (Frankham et al. 2002; Baskett \& Waples 2013). This requires explicit knowledge about both life history traits in the species and the extent to which the propagated population differs from the natural one.

Fishes in the family Salmonidae are perhaps the world's most commonly propagated organisms for which the goal of captive production is supplementation of natural populations. The scope of captive production of salmonids is vast, with at least 800 hatcheries releasing fish into tributaries of the North Pacific Ocean alone (Augerot 2005). While salmonids are among the more intensively studied animal species, there are still substantial gaps in our knowledge of basic life history, particularly on the periphery of the native geographic distribution of Pacific salmonids (Quinn 2004). Because of the extraordinary amount of phylogeographic structure and local adaptation in this group, values of life history traits often differ even between geographically proximate populations and inference drawn in one population can not necessarily be extrapolated to another. For example, the timing of reproduction of Coho salmon (Oncorhynchus kisutch) is clinal at the southern end of their range, with the mean date of spawning varying by more than two months over less than 500 Km (Weitkamp et al. 1995).

Populations of salmon and steelhead on the west coast of North America have declined dramatically over the past century and many populations are now protected under the United States Endangered Species Act (ESA; NOAA 2006). Supplementa-
tion with billions of hatchery-produced juvenile fish has not only failed to stabilize or increase many salmonid populations, but may have actually contributed to their decline (Augerot 2005). Mismatches between mean values of traits in supplemented populations and environmental conditions to which the natural population was initially adapted can cause dramatic decreases in fitness (Utter 1998; Frankham 2008; Palkovacs et al. 2012). For example, the selection of spawners at a hatchery may not replicate patterns of relative reproductive success in nature (Hoffnagle et al. 2008), where intraspecific competition and other behavioral traits play an important role (Fleming 1998), and fish that would have low fitness in natural spawning may produce many progeny. Such hatchery management practices may contribute to a reduction in genetic variability and fitness of the population (Araki et al. 2008) in as little as a single generation of captive breeding (Christie et al. 2012). Without a detailed understanding of their life history and reproductive biology guiding hatchery practices, such supplementation will almost inevitably have negative fitness consequences on the associated natural populations.

Amongst salmonids, $O$. mykiss has perhaps the most variability in life history (Shapovalov \& Taft 1954; Busby et al. 1996). Two major ecotypes of O. mykiss can be distinguished: the anadromous type called "steelhead" and the nonanadromous resident type called rainbow or redband trout. After hatching, steelhead spend one to seven years in freshwater and one or more years in the ocean before returning, usually to their natal stream, to spawn. In addition to substantial variation in timing of freshwater entry and associated reproductive maturity and spawn timing, steelhead may also be iteroparous, spawning in more than one year (Busby et al. 1996). This complex life history makes
both understanding their biology and effectively managing their populations a significant challenge.

Numerous studies on life history variation, survival, and migration of $O$. mykiss have been undertaken (Busby et al. 1996), including work on the inheritance of life history traits (Thrower et al. 2004; Nichols et al. 2008; Martínez et al. 2011; Hecht et al. 2012; Miller et al. 2012). Spawn timing has been shown to be heritable in several salmonid species (Hendry \& Day 2005; Carlson \& Seamons 2008). However, due to the difficulties noted above, most estimates of heritability of spawn timing have been in entirely captive families (Siitonen \& Gall 1989; Su et al. 1997; Wilson et al. 2003; Haidle et al. 2008; Colihueque et al. 2010) and very few have been in free-living salmonids (Smoker et al. 1998; Quinn et al. 2000; Dickerson et al. 2005). Studying inheritance in anadromous populations is challenging because of the difficulty of tracking families through their ocean migrations from one generation to the next and heritability of spawn timing has not been studied in steelhead.

Early studies on anadromous salmonids employed a variety of external marks (e.g. fin clips, maxillary clips, etc.) and later coded wire tags (CWTs; Hankin et al. 2005) to distinguish families, but these methods require considerable labor and typically allow identification of only a small number of families. They also often require sacrificing the fish for individual identification (Cooke et al. 2004; Hankin et al. 2005), which is poor practice in iteroparous species. Improvements in molecular markers and statistical analyses have provided a cost-effective alternative to traditional tagging methods, by using genotype data to identify previously sampled individuals and to identify their
kin by reconstructing pedigrees (e.g. Palsbøll 1999; Pearse et al. 2001; Blouin 2003; Garrigue et al. 2004). The application of parentage analysis as a tagging method is particularly powerful, as it allows direct identification of a genotyped individual's progeny (and parents), without having to "tag" any fish that will not be resampled. Such approaches have been very useful in understanding biological patterns at the population and individual levels (Avise et al. 2002; Planes et al. 2009; Hudy et al. 2010).

Recently, single nucleotide polymorphisms (SNPs) have emerged as reliable, cost-effective genetic markers that are easily developed by leveraging recent advances in sequencing technology and genomic resources (e.g. Abadía-Cardoso et al. 2011; Clemento et al. 2011). Although SNPs were initially granted dim prospects for relationship inference in molecular ecology (Glaubitz et al. 2003), Anderson and Garza (2006) demonstrated that a relatively small number of SNPs ( $<100$ ) would allow accurate parentage studies larger than any that had been previously attempted. The coincidence of that work with the advent of novel genotyping platforms that permit the rapid genotyping of thousands of individuals at many loci has now set the stage for SNPs to be the marker of choice for large-scale parentage studies and for genetic tagging of migratory species.

Here I examine whether a pedigree-based intergenerational genetic tagging protocol can provide information comparable to that provided by physical tagging methods and use it to elucidate reproductive patterns in ESA-listed steelhead from a supplementation program in the Russian River, CA, USA. Specifically, I determine if I can assign most individuals that return from the ocean to pairs of parents that were spawned on
the same day, but without cross information recorded. I then use the resulting parentoffspring trios to estimate the age distribution and variation in family size (i.e. number of siblings) amongst reproducing fish. I ask whether fish of different ages spawn on significantly different dates and use the pedigrees to provide the first estimates of the heritability of date of spawning in steelhead. A matching samples analysis allows us to estimate the number of fish that are spawned multiple times within a single season and the number that return and reproduce in multiple seasons, and ask whether these estimates are consistent with program goals and what has been observed in other populations of the species.

These hatchery populations use local fish as broodstock, provide substantial numbers of spawners in natural areas and are not genetically differentiated from the natural populations in the Russian River (Deiner et al. 2007). As such, elucidation of life history patterns in these hatchery steelhead populations allows us to examine whether they may be negatively influencing the associated natural populations. I demonstrate how the use of pedigree-based genetic tagging provides a powerful means of understanding many basic biological traits in relatively high fecundity species with significant conservation concerns.

### 2.2 Methods

### 2.2.1 Study system

The Russian River drains into the Pacific Ocean approximately 100 km north of San Francisco Bay, USA (Figure 2.1). It supports populations of Chinook salmon (O. tshawytscha), coho salmon and steelhead. Construction of two large dams in 1959 and 1982 blocked access to spawning and rearing habitat in the basin and two hatchery programs were established to mitigate these losses: Warm Springs (Don Clausen) Hatchery (WSH) at the base of Warm Springs Dam, on the mid-basin Dry Creek tributary, and Coyote Valley Fish Facility (CVFF) located below Coyote Valley Dam, near the headwaters (Figure 2.1).

Adult steelhead enter the Russian River to spawn from December to April. Broodstock were chosen from amongst all returning adults without regard to phenotypic characteristics, except that fish below $\sim 50 \mathrm{~cm}$ in length, presumably resident and age-two fish, were excluded. Broodstock at CVFF and WSH were mated with an approximate male to female ratio of two to one, and three to one, respectively. Each male is supposed to be crossed with only one female, but when there are not enough males to accomplish this, a previously spawned male may be reused with a different mate. All fish were released back into the river after spawning and could potentially enter the hatchery again. Fish trapped at the two facilities were spawned separately at WSH, and all juveniles were initially incubated there. Juveniles produced from CVFF adults were then moved to CVFF for imprinting, since olfactory cues experienced in early life
are critical to proper homing in salmonids (Cooper \& Scholz 1976). All fish were then released at age-one. All juvenile fish produced at WSH and CVFF were marked prior to release by adipose fin removal, allowing easy visual determination of hatchery origin. There are no other steelhead hatchery programs in the vicinity of the Russian River, so nearly all fish lacking an adipose fin should have originated in one of these two programs.

### 2.2.2 Tissue collection and DNA extraction

Small $\left(\approx 1 \mathrm{~cm}^{2}\right)$ caudal fin clips were collected from 3,546 adult steelhead at WSH from 2007 to 2011 and from 1,450 adult steelhead at CVFF from 2009 to 2011. These samples are believed to represent all the individuals that were spawned during those years, except for one spawning day in 2008 at WSH when 18 males and six females were spawned but not sampled.

Tissue samples were digested with proteinase K , followed by DNA extraction with DNeasy 96 Tissue Kits (QIAGEN Inc.). Purified DNA was diluted 1:2 in $\mathrm{ddH}_{2} \mathrm{O}$ prior to a pre-amplification PCR with primers derived from 96 real time assays to enrich the DNA fragments containing the loci of interest. PCR reagent concentrations and thermal cycling conditions are available from the authors upon request.

### 2.2.3 SNP loci and genotyping

A panel of 95 SNPs was selected from a set of 192 loci (Aguilar \& Garza 2008; Campbell et al. 2009; Abadía-Cardoso et al. 2011), based on their utility for parentage inference in four steelhead populations in California (including WSH) and their ability


Figure 2.1: Location of the Warm Springs (Don Clausen) Hatchery (WSH) at the base of Warm Springs Dam, on the mid-basin Dry Creek tributary, and Coyote Valley Fish Facility (CVFF) located below Coyote Valley Dam.
to distinguish individuals from several California populations (unpublished data). In the Russian River, 18 of these SNPs have a minor allele frequency $<0.15,34$ between 0.15 and 0.3 , and $43>0.3$ (Table 2.1). In addition, a sex identification assay consisting of a Y chromosome-linked gene probe developed by Brunelli et al. (2008) and an invariant autosomal gene was included in the panel to determine genetic sex of all fish.

All SNP genotyping used TaqMan assays (Applied Biosystems) on 96.96 Dynamic Genotyping Arrays with the EP1 Genotyping System (Fluidigm Corporation). Two negative (no template) controls were included in each array, and genotypes were scored using SNP Genotyping Analysis Software v3.1.1 (Fluidigm).

Table 2.1: Genotyping assays used in this study. Expected $\left(H_{E}\right)$ and observed $\left(H_{O}\right)$ heterozygosity, and minor allele frequency (MAF) expressed as percentage. WSU: Washington State University; CRITFC: Columbia River Inter-Tribal Fish Commission.

| Assay ID | Reference | $H_{E}$ | $H_{O}$ | MAF |
| :---: | :---: | :---: | :---: | :---: |
| OMGH1PROM1-SNP1 | Abadía-Cardoso et al. 2011 | 0.478 | 0.462 | 39.49 |
| SH100771-63 | " " | 0.462 | 0.465 | 36.16 |
| SH100974-386 | " " | 0.306 | 0.312 | 18.87 |
| SH101554-306 | "" | 0.458 | 0.462 | 35.53 |
| SH101770-410 | " " | 0.384 | 0.378 | 25.89 |
| SH101832-195 | " " | 0.499 | 0.507 | 47.82 |
| SH101993-189 | " " | 0.438 | 0.437 | 32.41 |
| SH102420-634 | " " | 0.485 | 0.504 | 41.33 |
| SH102505-102 | " " | 0.361 | 0.342 | 23.67 |
| SH102510-682 | " " | 0.300 | 0.305 | 18.38 |
| SH102867-443 | " " | 0.464 | 0.448 | 36.58 |
| SH103350-395 | " " | 0.478 | 0.480 | 39.42 |
| SH103577-379 | " " | 0.357 | 0.354 | 23.23 |
| SH103705-558 | " " | 0.348 | 0.343 | 22.40 |
| SH104519-624 | " " | 0.478 | 0.471 | 39.50 |
| SH105075-162 | " " | 0.261 | 0.266 | 15.45 |
| SH105105-448 | " " | 0.415 | 0.417 | 29.39 |
| SH105115-367 | " " | 0.289 | 0.282 | 17.53 |
| SH105385-406 | " " | 0.490 | 0.494 | 42.83 |
| SH105386-347 | " " | 0.155 | 0.149 | 8.44 |
| SH105714-265 | " " | 0.364 | 0.347 | 23.89 |
| SH106172-332 | " " | 0.065 | 0.063 | 3.36 |
| SH106313-445 | " " | 0.476 | 0.482 | 39.11 |
| SH107074-217 | " " | 0.460 | 0.458 | 35.84 |
| SH107285-69 | " " | 0.329 | 0.316 | 20.73 |
| SH108735-311 | " " | 0.395 | 0.386 | 27.06 |
| SH109243-222 | " " | 0.252 | 0.237 | 14.78 |
| SH109525-403 | " " | 0.472 | 0.475 | 38.12 |
| SH109651-445 | " | 0.178 | 0.176 | 9.89 |
| SH109693-461 | " " | 0.490 | 0.489 | 43.00 |
| SH109874-148 | " " | 0.203 | 0.188 | 11.44 |


| Assay ID | Reference | $H_{E}$ | $H_{O}$ | MAF |
| :---: | :---: | :---: | :---: | :---: |
| SH110064-419 | " " | 0.230 | 0.198 | 13.26 |
| SH110078-294 | " " | 0.321 | 0.327 | 20.10 |
| SH110201-359 | " " | 0.486 | 0.474 | 41.66 |
| SH110362-585 | " " | 0.482 | 0.485 | 40.55 |
| SH110689-148 | "" | 0.472 | 0.480 | 38.13 |
| SH111666-301 | " " | 0.500 | 0.497 | 48.50 |
| SH112208-328 | " " | 0.480 | 0.483 | 40.03 |
| SH112301-202 | " " | 0.036 | 0.036 | 1.82 |
| SH112820-82 | " " | 0.480 | 0.488 | 39.96 |
| SH113109-205 | " " | 0.346 | 0.351 | 22.29 |
| SH113128-73 | " " | 0.482 | 0.482 | 40.39 |
| SH114315-438 | " " | 0.307 | 0.310 | 18.93 |
| SH114448-87 | " " | 0.368 | 0.384 | 24.34 |
| SH114587-480 | "" | 0.071 | 0.070 | 3.66 |
| SH114976-223 | " " | 0.402 | 0.375 | 27.82 |
| SH115987-812 | " " | 0.479 | 0.470 | 39.74 |
| SH116733-349 | " " | 0.245 | 0.247 | 14.32 |
| SH117259-96 | " " | 0.424 | 0.427 | 30.47 |
| SH117286-374 | "" | 0.102 | 0.099 | 5.37 |
| SH117370-400 | "" | 0.469 | 0.455 | 37.61 |
| SH117540-259 | " " | 0.317 | 0.310 | 19.76 |
| SH117815-81 | "" | 0.375 | 0.382 | 25.04 |
| SH118175-396 | " | 0.301 | 0.303 | 18.46 |
| SH118654-91 | " | 0.489 | 0.498 | 42.47 |
| SH118938-341 | "" | 0.337 | 0.314 | 21.45 |
| SH119108-357 | " " | 0.425 | 0.400 | 30.62 |
| SH119892-365 | " " | 0.495 | 0.490 | 45.13 |
| SH120255-332 | " " | 0.374 | 0.374 | 24.92 |
| SH120950-569 | " " | 0.336 | 0.260 | 21.39 |
| SH121006-131 | " " | 0.370 | 0.381 | 24.45 |
| SH123044-128 | "" | 0.499 | 0.486 | 48.06 |
| SH125998-61 | "" | 0.493 | 0.488 | 44.03 |
| SH127236-583 | " " | 0.443 | 0.443 | 33.09 |
| SH127510-920 | " " | 0.324 | 0.314 | 20.32 |
| SH127645-308 | " " | 0.001 | 0.001 | 0.06 |
| SH128851-273 | " " | 0.244 | 0.211 | 14.25 |
| SH128996-481 | " " | 0.324 | 0.325 | 20.34 |
| SH129870-756 | " " | 0.492 | 0.493 | 43.68 |
| SH130524-160 | " " | 0.498 | 0.484 | 46.63 |
| SH130720-100 | "" | 0.481 | 0.470 | 40.36 |
| SH131460-646 | "" | 0.427 | 0.424 | 30.83 |
| SH131965-120 | " " | 0.393 | 0.349 | 26.89 |
| SH95318-147 | " " | 0.402 | 0.384 | 27.83 |
| SH95489-423 | " " | 0.439 | 0.426 | 32.58 |
| SH96222-125 | " " | 0.413 | 0.412 | 29.15 |
| SH97077-73 | " " | 0.312 | 0.313 | 19.35 |
| SH97954-618 | "" | 0.498 | 0.478 | 46.77 |
| SH98188-405 | "" | 0.240 | 0.239 | 13.97 |
| SH98409-549 | "" | 0.499 | 0.510 | 48.01 |
| SH98683-165 | "" | 0.441 | 0.430 | 32.85 |
| SH99300-202 | " " | 0.084 | 0.084 | 4.40 |
| Omy_AldA | Aguilar \& Garza 2008 | 0.293 | 0.289 | 17.86 |
| OMY_PEPA-INT6 | "" | 0.444 | 0.385 | 33.27 |
| ONMYCRBF_1-SNP1 | "" | 0.460 | 0.462 | 35.91 |
| *SEX_ID | Brunelli et al. 2008 | 0.451 | 0.687 | 34.37 |
| Omy_arp-630 | Campbell et al. 2009 | 0.326 | 0.329 | 20.50 |
| Omy_aspAT-123 | "" | 0.156 | 0.143 | 8.55 |
| Omy_COX1-221 | " " | 0.496 | 0.500 | 45.25 |
| Omy_gh-475 | "" | 0.294 | 0.292 | 17.88 |


| Assay ID | Reference | $H_{E}$ | $H_{O}$ | MAF |
| :---: | :---: | :---: | :---: | :---: |
| Omy_nramp-146 | " " | 0.153 | 0.153 | 8.34 |
| Omy_Ogo4-304 | " " | 0.331 | 0.318 | 20.96 |
| Omy_mapK3-103 | CRITFC - N. Campbell unpubl. | 0.500 | 0.513 | 49.54 |
| Omy_g12-82 | WSU - J. DeKoning unpubl. | 0.444 | 0.445 | 33.21 |
| Omy_gsdf-291 | " " | 0.210 | 0.210 | 11.94 |
| Omy_mcsf-371 | " " | 0.226 | 0.224 | 13.00 |
| *SEX_ID Assay details (primers and probes): |  |  |  |  |
| Autosomal Marker F (OmyA F) |  |  |  |  |
| Sequence: 5'- GCC TGC TTG CAG AAG TTT TT -3' |  |  |  |  |
| Autosomal Marker R (OmyA R) |  |  |  |  |
| Sequence: 5'- CTT GAC TGT GTC CAG CTT GC -3' |  |  |  |  |
| Sex-linked Marker 1.4 F (OmyY1.4 F) |  |  |  |  |
| Sequence: 5'- CAC AAC ATG AGC TCA TGG G -3' |  |  |  |  |
| Sex-linked Marker 1 R (OmyY1 R) |  |  |  |  |
| Sequence: 5'- CGA TTA GAA AGG CCT GCT TG -3' |  |  |  |  |
| Autosomal Probe (OmyA probe e500) |  |  |  |  |
| Sequence: VIC-GAG GGG TAG TCG TTT GTT CG-MGBNFQ |  |  |  |  |
| Sex-linked Probe V2 (OmyY1 probe e2) |  |  |  |  |
| Sequence: 6FAM-CCT ACC AAG TAC AGC CCC AA-MGBNFQ |  |  |  |  |

### 2.2.4 Matching samples and iteroparity rate

Samples with identical genotypes were identified to enumerate a) iteroparous individuals -those that spawned in more than one year, and b) individuals spawned multiple times within the same year. All samples with matching genotypes were regenotyped with a second DNA extraction from the original tissue to eliminate the possibility of lab/handling errors. To assess the chance that matching pairs of samples were not the same individual, I implemented the recursive calculations of Chakraborty \& Schull (1976) to compute the probability of identity, $P_{I D}(r, n)$, defined as the probability that two randomly selected individuals sharing pairwise relationship $r$ would have only $n$ or fewer loci with non-matching genotypes. Potential differences in iteroparity rate between hatchery programs and between sexes were explored using a $z$-test.

### 2.2.5 Pedigree reconstruction

Following release, juvenile steelhead from the Russian River migrate to the ocean and may then return to spawn at age two, three, or four. Therefore, I treated adult fish spawned in 2007, 2008 and 2009 as the potential parents of fish returning to spawn in 2009, 2010 and 2011 (Figure 2.2). I assigned parentage using the program SNPPIT (Anderson 2012), which identifies the most likely pair of parents for each offspring, then assesses the statistical confidence in the assignments using a novel, efficient simulation method, which is reported as a False Discovery Rate (FDR) score for that trio. For each offspring, the estimated FDR is what would be achieved if the parentage assignments of that offspring and of all offspring with more certain parentage were accepted. I assumed a genotyping error rate of 0.005 per gene copy, which corresponds to roughly $1 \%$ per locus, for most loci; however, based on Mendelian incompatibilities in reconstructed trios, I were able to estimate the genotyping error rate directly for 12 loci and I set rates accordingly (between 0.007 and 0.05 depending on the locus). I excluded fish with 10 or more missing loci ( 85 loci minimum) from the analysis.

I performed two runs of SNPPIT, with the first censoring all information about a fish's reported sex or day of spawning. Thus, for example, any pair of fish spawned in 2008 were potential mates, even if they were reported as the same sex or as spawned on different days. The second run included information about reported sex and spawn date of every fish. Comparison of these runs allowed some minor metadata errors to be rectified. In both runs, I selected a significance threshold so that the FDR was
near 0.005 , such that I expect fewer than one of every 200 parentage assignments to be incorrect.

Finally, I assessed the accuracy of the reported FDR by performing an analysis in which the 2011 WSH adult fish were treated as potential parents of the 2007WSH adults using the same parameters as in the other parentage runs. I expected to have zero parent/offspring trio assignments in this analysis.

### 2.2.6 Age structure, reproductive success, spawning time

Age of returning adults was determined for the 2007, 2008, and 2009 cohorts. Fish from the 2007 cohort could be identified when they returned at age two, three, and four in 2009, 2010 and 2011, respectively; fish from the 2008 cohort identified returning at age two and three, in 2010 and 2011, respectively; and fish from the 2009 cohort identified only at age two in 2011 (Figure 2.2). I compared the proportion of fish from the 2007 and 2008 cohorts returning at age two and three using a $z$-test, and assessed the age distribution of females and males throughout the spawn season.

The distribution of family sizes and number of mates per parent were calculated from the inferred parent-offspring trios for fish returning in years 2007, 2008, and 2009. This analysis included only those parents with at least one offspring found in the pedigree reconstruction. The number of mates per parent was not normally distributed, and could not be appropriately transformed, so a non-parametric Kruskal-Wallis test was used to evaluate differences both within years and between years (2007 and 2008) for female and male parents separately and Levene's test for homogeneity to compare variances.


Figure 2.2: Graphical representation of the experimental design. Note that fish returning in 2009 (dashed circles) can be offspring of fish returning in 2007 as well as parents of fish returning in 2011. Numbers correspond to the total number of fish spawned that year at the two programs.

Reproductive success was estimated by counting the number of offspring per parent that returned to one of the two hatcheries. The number of offspring per parent was also not normally distributed, so a Kruskal-Wallis test was used to detect differences both between 2007 and 2008 and between female and male parents within years, separately. The variance in reproductive success between sexes was compared using Levene's test for homogeneity of variance across groups.
$T$-tests were used to compare a) the mean spawning day for age-two and agethree fish returning in 2010 and 2011, and for females and males separately, and b) the birth dates (parents' mean spawning day) for age-two and age-three fish. Age-four fish
were not included, because they were conclusively identified only in 2011. Since the pedigree analysis identified family relationships, heritability of the spawning day could be estimated. Returning fish enter the hatchery volitionally and are spawned on the date of their biological readiness, as determined by the hatchery staff. Heritability $\left(h^{2}\right)$ was estimated as the slope of the parent-offspring regression line. Spawning days of all full-siblings within each family were averaged. The relationship between spawning day of the parents and the average spawning day of: a) all their offspring, b) their female offspring, and c) their male offspring were assessed separately, as were the relationships between the spawning day of the parents and the average spawning days of their age-two and age-three and older (3+) offspring. An analysis of covariance (ANCOVA) was used to test for differences in heritability between these groups. Heritability of spawning time was also evaluated using a linear regression between full siblings. Pairs of siblings from each family and pairs of presumably unrelated individuals were randomly selected 10,000 times with replacement. The slope of the regression line (i.e. heritability) for both groups was then compared using an ANCOVA. Heritability of spawning time was also estimated using a one-way analysis of variance on full-siblings.

All statistical analyses were performed using the $R$ software ( $R$ Development Core Team 2011).

Table 2.2: Sample numbers for broodstock from the two programs. WSH, Warm Springs Hatchery; CVFF, Coyote Valley Fish Facility. Some individuals were spawned multiple times the same year. The total number of individuals indicates unique fish with $\geq 10$ loci missing in their genotypes (see text).

| Spawn year <br> and <br> program | Number <br> of <br> samples | Missing <br> loci | Spawned <br> twice | Spawned <br> three <br> times | Females | Males |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | | individuals |
| :--- |
| 2007WSH |
| 601 |

### 2.3 Results

Genotypes were collected from a total of 4,996 tissue samples (Table 2.2), including 3,546 from WSH and 1,450 from CVFF. Genotypes from 101 samples were excluded due to missing data ( $\geq 10$ missing loci), leaving 4,895 samples for further analyses. Some of these samples were duplicates from the same individual (see below).

### 2.3.1 Matching samples and iteroparity rate

The probability that two different, unrelated individuals would have identical genotypes at nearly all 96 loci was very small. In identifying matching genotypes, I allowed up to four mismatches to account for genotyping errors, but there were no pairs of genotypes that differed at more than two but less than five alleles. Given the allele frequencies in the populations, the probability of identity $P_{I D}(r, n)$, with $n=4$, where $n$
is the number of loci with mismatches, was less than $10^{-23}$ for $r=$ "unrelated" and less than $10^{-10}$ for $r=$ "full sibling". Accordingly, samples with identical or nearly identical genotypes were considered to be from the same individual.

There were 150 individuals identified that were sampled and presumably spawned twice in the same year (Table 2.2). Of those, 54 were sampled more than once the same day and 96 were sampled on different days. In addition, six males were spawned three times in the same year. The proportion of fish sampled multiple times was strongly male biased $(88.9 \%)$. I also identified $29(0.89 \%)$ individuals that spawned in two different, always consecutive, years: two in 2007/2008, 12 in 2009/2010 and 15 in 2010/2011. Two iteroparous individuals returned to different facilities in the two years (one to WSH in 2009 and CVFF in 2010, and another to CVFF in 2010 and WSH in 2011). The total proportion of iteroparous individuals was $0.86 \%$ at WSH and $1.14 \%$ at CVFF $(z=-0.7$, $p=0.48$ ) and was similar for females and males over all years (females: $0.87 \%$, males: $0.95 \% ; z=-0.24, p=0.84)$.

### 2.3.2 Sex determination

Comparison of the phenotypic and genotypic sex determinations showed a proportion of $1.45 \%$ mismatches. These mismatches were resolved in two ways: 1) comparing the sex determinations for matching samples, and 2) by running the parentage analysis without including information about sex and identifying apparent same-sex pairs. Using the matching samples test I found six cases in which two samples identified as the same fish were assigned to different phenotypic but same genotypic sexes, and
one case in which the genotypic sexes were different but not the phenotypic. Parentage analysis without restricting mates to be of opposite sex identified four parent pairs with the same phenotypic but different genotypic sexes, suggesting that the phenotypic sex recorded was incorrect. On the other hand, one individual identified as phenotypic male and genotypic female was assigned to parent pairs with 4 different individuals, all identified phenotypically and genotypically as females. This suggests that the individual failed for the Y chromosome-linked gene and that the phenotypic identification is correct. In all cases, the phenotypic or genotypic sex assignments were corrected prior to the final parentage analysis.

### 2.3.3 Pedigree reconstruction

Two pedigree reconstruction analyses were performed: one in which mates were not constrained to be of opposite sex nor to have spawned on the same date, and the other in which they were. A total of 1,807 putative mother-father-offspring trios were identified in the analysis in which mates were not constrained to be of opposite sex nor to have spawned on the same date. Nineteen of the trios identified in this unconstrained analysis were not present in the constrained one. These 19 trios had a high FDR score (greater than 1\%) and low maximum posterior probabilities. Two offspring were assigned a parent pair in both analyses but, in both cases, one parent was the same and the other was different. Both parent pairs were male-male in the unconstrained run and female-male in the constrained run. This ambiguity indicates that the assignment in the constrained run is likely to be correct and that a close relative
of the true mother is present in the dataset.
After reconciling the results from the constrained and unconstrained parentage analyses, the final number of offspring assigned a parent pair was 1,787. Among these, the mean posterior probability of the parent/offspring trio relationship was 0.9929 (range 0.497-0.999) and the mean FDR was $1.25 \times 10^{-5}$ (range $0-0.00198$ ). In this analysis, a FDR of 0.00198 indicates that no more than three to four parentage assignments out of the 1,787 are expected to be incorrect. This high confidence is supported by the analysis that treated the 2011WSH adult fish as potential parents of the 2007WSH adult fish, in which no false positive assignments were found.

The 1,787 reconstructed trios correspond to $50.59 \%$ of the adult offspring assigned to a parent pair, which was similar to expectations, since most of the fish from CVFF were born before sampling there began, as were some of the fish from WSH in 2009 (and likely also a few age-four fish in 2010) and because a small number of 2008WSH spawners were not sampled. This corresponds to $70.76 \%$ of the fish from WSH and $19.55 \%$ of the fish from CVFF with parental trios identified (Table 2.3). There were 15 fish born to CVFF parents that returned to spawn at WSH, while another 15 were from WSH parents but returned to CVFF, which yields estimates of migration rate of $0.99 \%$ for WSH fish and $5.51 \%$ for CVFF. This higher rate of migration from CVFF to WSH could be due to incubation at WSH of juvenile fish from CVFF parents, but also to the location of WSH lower in the basin.

I was able to identify four three-generation families from the pedigrees in which the offspring (one or two per family), the two parents, and all four grandparents are

Table 2.3: Parent pair assignments for progeny returning as adults in 2009, 2010 and 2011. Note that fish returning in 2009 can be progeny of fish spawned in 2007 and parents of fish returning in 2011. WSH, Warm Springs Hatchery; CVFF, Coyote Valley Fish Facility.

| Spawn year and program | Total offspring | Returning adults assigned to parents from: |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 2007 WSH | 2008WSH | 2009WSH | 2009CVFF | Total assigned |
| 2009WSH | 635 | 231 | - | - | - | 231 |
| 2010WSH | 608 | 376 | 152 | - | - | 528 |
| 2011WSH | 898 | 11 | 277 | 453 | 15 | 756 |
| Total | 2141 | 618 | 430 | 453 | 15 | 1515 |
| 2009CVFF | 275 | 1 | - | - | - | 1 |
| 2010CVFF | 424 | 1 | 3 | - | - | 4 |
| 2011CVFF | 692 | 0 | 10 | 0 | 257 | 267 |
| Total | 1391 | 2 | 13 | 0 | 257 | 272 |

known. I also found 100 three-generation families in which the offspring (mean $=1.72$, range $=1-12$ offspring per family), the two parents, and just one grandparent pair are known. Of these, there were 97 in which the paternal grandparents were identified, and three with just the maternal grandparents found, which is due to the younger mean age at reproduction for males (see below).

### 2.3.4 Age structure of returning adults

I assessed the age at first spawning for fish born in 2007, 2008, and 2009. From 620 fish assigned to parents spawned in 2007 (cohort 2007), a total of $232(37.4 \%)$ returned at age two (8.2\% females and $91.8 \%$ males), 377 (60.8\%) returned at age three ( $43.2 \%$ females and $56.8 \%$ males), and only 11 (1.8\%) at age four ( $63.6 \%$ females and $36.4 \%$ males). A total of 443 fish were assigned to 2008 parents (cohort 2008), with 155 (35.0\%) age-two (3.2\% females and $96.8 \%$ males) and 287 (64.8\%) age-three offspring


Figure 2.3: Age distribution of the returning adults by cohort (2007 and 2008) and by gender within each cohort. Numbers in parenthesis indicate the total number of fish. White bars represent age two, grey bars age three and black age four fish.
( $48.8 \%$ females and $51.2 \%$ males; Figure 2.3). Note that age-four fish from cohort 2008 would not return during the study period. Finally, 725 age-two fish from cohort 2009 returned in 2011 ( $6.3 \%$ females and $93.7 \%$ males) to either WSH or CVFF. There was no difference in the proportion of fish that returned at age two ( $z=0.945, p=0.344$ ) and age three ( $z=-1.083, p=0.279$ ) in either cohort. The age distribution of all adults spawned from 2009 to 2011 revealed that $31.5 \%$ of the returning adults assigned to parents were spawned at age two, of which $6.3 \%$ were females and $93.7 \%$ were males.

Spawning time differed by age, with age-two fish spawning later in the season (mean spawn week $=9.55$ ) than age-three fish (mean $=7.18)$ across all years $(t=13.34$, $p<0.001$ ). The same pattern was observed for females ( $t=15.55, p<0.001$ ) and males $(t=11.86, p<0.001)$ separately (Figure 2.4). In contrast, I observed that age-two fish


Figure 2.4: Age distribution throughout the spawn season of cohorts 2007 and 2008. Age four offspring are represented just in cohort 2007. Numbers in parenthesis indicate the total number of fish. White bars represent age two, grey bars age three and black age four fish.
tend to come from parents spawned earlier in the season than age-three fish, whose parents spawn later $(t=-3.53, p<0.001)$.

### 2.3.5 Distribution of family sizes and reproductive success

The 1,787 parent-offspring trios identified contained a total of 948 parent pairs, distributed in 295 pedigrees, and included 670 male parents and 504 female parents. The smallest pedigrees consisted of one offspring and its parents and accounted for $38.6 \%$ of all pedigrees, while the largest pedigree contained a total of 32 male parents, 20 female parents, and 76 offspring. The mean full-sibling family size amongst the returning adults was 2.0 offspring per parent pair (range 1-27; Figure 2.5).

Significant differences were found in the distribution and variance in number


Figure 2.5: Relative frequency of offspring produced by a parent pair (full-sibling family size) per year and program. Numbers in parenthesis indicate number of parent pairs. Black bars represent pairs from 2007WSH, dark grey bars from 2008WSH, light grey bars from 2009 WSH , and white from 2009CVFF.


Figure 2.6: Relative frequency of identified mates per parent. Grey bars represent female parents and black bars correspond to male parents.
of mates per parent between females and males over all years, in which females had on average 1.88 mates (range 1-6) while males had 1.42 (range 1-4) $\left(\chi^{2}=81.42, p<\right.$ 0.001; and $\operatorname{males}_{C V}=0.42$, females $\left._{C V}=0.5, \mathrm{~F}=71.91, p<0.001\right)$. This trend was maintained when females and males were compared within years except for 2009CVFF parents when corrected for multiple tests (2007WSH: $\chi^{2}=27.24, p<0.001 ; 2008 \mathrm{WSH}$ : $\chi^{2}=30.68, p<0.001 ; 2009 \mathrm{WSH}: \chi^{2}=22.34, p<0.001 ; 2009 \mathrm{CVFF}: \chi^{2}=4.53, p=$ 0.033; Figure 2.6). I found no differences in the number of mates per female parent between 2007 and $2008\left(\chi^{2}=1.02, p=0.31\right)$, but differences were observed between male parents $\left(\chi^{2}=6.67, p=0.009\right)$.

The mean number of returning offspring per male parent was 2.8 (range 1-51) and per female parent 3.6 (range 1-32). The male parent with the highest reproductive success ( 51 offspring) was from CVFF in 2009 and was spawned with two females, one of which had the highest reproductive success for a female (32 returning offspring) and produced the largest full-sibling family found (27 offspring). Females had higher mean reproductive success $\left(\chi^{2}=41.41, p<0.001\right)$, but a lower coefficient of variation $\left(\operatorname{males}_{C V}=1.17\right.$, females $\left._{C V}=0.99 ; \mathrm{F}_{1,1172}=8.54, p<0.05\right)$ than males over all three years (Figure 2.7), which is related to the fact that female's egg lots are always exposed to milt from more than one male in these programs. Significant differences were found between sexes within years except for 2009CVFF parents ( $\chi^{2}=1.08, p=0.298$ ). No significant differences in reproductive success between 2007 and 2008 were observed for either females or males.


Figure 2.7: Relative frequency of offspring produced by a parent across all years. Black bars represent male parents and grey bars female parents.

### 2.3.6 Heritability of spawning time

I observed a strong positive correlation between the parent and offspring spawning day across all years $\left(\mathrm{F}_{1,1089}=510, R^{2}=0.319, p<0.001\right)$ and when female $\left(\mathrm{F}_{1,281}\right.$ $\left.=132.6, R^{2}=0.32, p<0.001\right)$ and male $\left(\mathrm{F}_{1,806}=381.6, R^{2}=0.321, p<0.001\right)$ offspring were considered separately. Heritability $\left(h^{2}\right)$ of spawning time was high for both female and male offspring combined $\left(h^{2}=0.512\right)$, and for female $\left(h^{2}=0.563\right)$ and male $\left(h^{2}=0.497\right)$ offspring separately (Table 2.4 and Figure 2.8a), as well as when age-two $\left(h^{2}=0.545\right)$ and age- $3+\left(h^{2}=0.548\right)$ offspring were considered separately. The ANCOVA indicated no statistically significant difference in heritability between any of these groups (Table 2.4).

Table 2.4: Heritability ( $h^{2}$ ) of spawning time estimated by parent-offspring and fullsibling pair regression. Regression goodness of fit $\left(R^{2}\right)$ and standard error (SE) are indicated. (*) Significance at $p<0.05$ level.

|  | Parent-offspring |  |  |  |  | Full-sibs <br> Random <br> pairs |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
|  | All | Male | Female | Age 2 | Age 3+ |  |
| $h^{2}$ | $0.512^{*}$ | $0.497^{*}$ | $0.563^{*}$ | $0.545^{*}$ | $0.548^{*}$ | $0.505^{*}$ |
| $R^{2}$ | 0.319 | 0.321 | 0.32 | 0.387 | 0.434 | 0.253 |
| SE | 20.18 | 19.71 | 21.39 | 17.77 | 17.42 | 22.53 |



Figure 2.8: Heritability estimate of spawn date using two different methods. (a) Parentoffspring linear regression for females (closed circles, dashed line) and males (open circles, continuous line) offspring. (b) Full-siblings linear regression with 10,000 bootstraps.


Figure 2.9: Correlation between the first and the second spawning week in iteroparus fish.

Heritability was also estimated from full-siblings using a similar linear regression analysis (Figure 2.8b) and pairs of randomly chosen individuals as a null distribution $\left(\mathrm{F}_{1,9998}=3381, R^{2}=0.253, p<0.001\right.$; Table 2.4). A strongly significant difference was found $(\mathrm{F}=1558.6, p<0.001)$ in the comparison between the regression line slopes (ANCOVA) of the random pairs and the full siblings. The ANOVA also found greater variation between than within families $\left(\mathrm{F}_{1,2406}=3016.2, p<0.001\right)$.

The spawning days of iteroparous fish in their first and second years of observed reproduction were strongly correlated ( $R^{2}=0.447, p<0.01$ ), further indicating the heritability of spawning time (Figure 2.9).

### 2.4 Discussion

Here, I use genetic pedigree analysis of steelhead, a fish that undertakes an ocean migration, to elucidate reproductive patterns and the basis for life history variation in one of the world's most widespread fish species. By sampling almost all reproducing adults over several years in two medium-sized hatchery programs in California, USA, I were able to employ large-scale parentage analysis with a modest number of SNP markers to identify the parents of most returning adults and infer several important and previously unknown aspects of the life history and biology of these important fish.

Pedigree reconstruction and parentage analysis using SNP markers have previously been conducted in humans (Delahunty et al. 1996) and livestock (Heaton et al. 2002; Rohrer et al. 2007), but only recently has their promise for the study of natural populations become evident (Pemberton 2008; Hauser et al. 2011). While statistical methodology for pedigree reconstruction and inference of relationships with genetic data has also long been in use (e.g. Marshall et al. 1998), the development of statistical algorithms (Anderson \& Garza 2006) and software (Anderson 2012) that can handle large datasets and analyses effectively and efficiently have only recently become available. In conjunction with the increasing ease of development and genotyping of SNP assays for non-model organisms (Seeb et al. 2011), these advances portend a transition to pedigree-based methods employing SNP markers for many applications in ecological, evolutionary and conservation research.

The use of such parentage-based analyses as a surrogate for traditional tagging
methods also provided us with a large number of pedigrees, allowed us to evaluate patterns on a family level. This is exactly what is necessary for a classical estimation of trait heritability using parent/offspring and sibling/sibling regression (Fisher 1918). The finding that spawning time in steelhead is highly heritable is both a novel and important outcome of this approach, with implications for management and conservation of the species. The estimates of other life history parameters, including age distribution and family structure in the reproducing adults, provide an important baseline with which to evaluate the effects of hatchery production on natural populations of a species of conservation concern. Artificial propagation and subsequent supplementation can have numerous negative effects on natural populations (Utter 1998; Bryant \& Reed 1999; Frankham 2008; Williams \& Hoffman 2009; Christie et al. 2012) and detailed estimates of reproductive and behavioral trait values of the propagated population is a critical first step in understanding and minimizing these consequences.

### 2.4.1 Sex determination

While the sex determination of a fish in the field at the time of spawning seems trivial, there are many sources of error in recording and managing of the data, especially when handling data sets of thousands of individuals. I compared both phenotypic and genotypic sex determination to identify many of these errors and accurately assign the right sex to the fish. The results show the high accuracy of the SNP marker to determine sex. I found that the error rate is low (1.45\%) based on the comparison with the visual identification. Also, I found that the combination of both methods for sex determination
is necessary to eliminate ambiguities and to assign fish accurately.

### 2.4.2 Heritability of spawning time

Timing of reproduction is crucially important for most organisms because, in a seasonally varying environment, it influences the conditions that the progeny will encounter (Brannon 1987; Reed et al. 2010). I demonstrate a strong genetic component to the time of spawning by steelhead. This high heritability was found for both males and females and using several methods. Numerous studies have examined the genetic architecture of life history traits of salmonids (e.g. Thrower et al. 2004; Leder et al. 2006; Nichols et al. 2008; Hecht et al. 2012), including spawning time (Siitonen \& Gall 1989; Su et al. 1997; Quinn et al. 2000; Bentzen et al. 2001; Dickerson et al. 2005), but this is the first such examination in steelhead and the first using the classical pedigree-based approach in a population that is free-living for at least part of its life. Traits related to timing of reproduction (i.e. date of entry, maturation, and spawning) have shown the highest heritability values in Oncorhynchus species (Carlson \& Seamons 2008). This suggests that there is strong selection pressure on these traits, which influences the performance and success of breeders. In addition, salmonids have strong natal homing behavior, which increases population genetic structure and local adaptation, such that differences in environmental conditions can affect life history traits in a modest number of generations. For example, recently introduced Chinook salmon populations in two New Zealand streams with very different environmental conditions rapidly evolved differences in the timing of migration, maturation, and breeding (Quinn
et al. 2000). Artificial selection due to environmental conditions in the hatchery can also play an important role in divergence of reproductive timing between hatchery fish and their natural progenitor population (Millenbach 1973; Quinn et al. 2002). This indicates that migration and reproductive timing may respond rapidly to selection and provide some ability to adapt in the face of changing environmental conditions.

Since age-two fish spawn later than older fish on average (Figure 2.4), and spawning time was found to be highly heritable in both ages (Table 2.4), I would expect age-two fish to be born later in the season, which is the opposite of the observed pattern; fish that spawn at age-two tend to come from early spawning parents and return to spawn as adults late in the season. One explanation could be that they require more time to mature, while age-three spawners are able to mature earlier in the season. This suggests either that age at maturity is not highly heritable in this population, that it is constrained by developmental requirements, or that it is overwhelmed by environmental conditions related to hatchery rearing.

### 2.4.3 Iteroparity rate

My observations of Russian River hatchery steelhead iteroparity are consistent with those previously reported by Hallock (1989) and Keefer et al. (2008) for the Sacramento and Columbia rivers, respectively, where just a few fish (less than 1\%) returned a second time and none returned a third time. These studies also reported much lower iteroparity rates in hatchery- than in natural-origin fish, but I evaluated only hatchery-origin fish here. However, iteroparity in a naturally spawning steelhead
population in a proximate basin (the upper Eel River, $\sim 20 \mathrm{~km}$ from CVFF), found a much higher rate $(\sim 5 \%)$ of iteroparity (unpublished data), suggesting that hatchery production generally reduces repeat spawning in steelhead.

I found no difference between female and male iteroparity rates. This contrasts with reports for other salmonid species (Shearer 1992; Fleming 1998) and for natural steelhead populations (Ward \& Slaney 1988; Keefer et al. 2008; Seamons \& Quinn 2010) where female repeat spawners are more common than males. Male-male competition for mates in anadromous salmonids is more intense than that of female-female competition for nesting areas, which could reduce the post-spawning survival of males compared to females (Fleming \& Gross 1994; Fleming 1996). Artificial spawning eliminates competition among males for mating opportunities, and could therefore increase male post-spawning survival and iteroparity rates.

### 2.4.4 Pedigree reconstruction

I reconstructed parent/offspring trios with high confidence, as reflected by high maximum posterior probabilities and low FDR scores. The proportion of fish with parent pairs identified was high at WSH ( $70.76 \%$ adult offspring assigned to parents) but low at CVFF (19.55\%, Table 2.3). The unidentified parents can be explained by several factors, including the lack of sampling of parents from years prior to the study period, the removal from the analysis of some samples due to missing data, the lack of sampling on one spawn day in 2008, as well as the likely, but unreported, incorporation of some natural-origin fish into the broodstock in these programs. Migration of fish
from CVFF to WSH and their subsequent use as broodstock could also explain some of the unidentified parents in all years except 2011.

However, some fish are likely not assigned parents because of lack of statistical confidence, even though their parents' genotypes are available. I refer to this as a "false negative error." There is a direct negative relationship between the FDR and the false negative rate (Anderson \& Garza 2006). Unfortunately, it is difficult to estimate the false negative rate from the genetic data alone, but I were able to use the 2011WSH spawners (most of whose parents should be represented in the genotyped samples) and associated information to estimate the false negative rate for this program (for details of this estimation see E. C. Anderson's supporting information in Abadía-Cardoso et al. 2013). My estimate of the false negative rate for this study was $10.3 \%$. That is, if I have accounted for all sources of missing parental genotypes, then about $10 \%$ of the juveniles were not assigned a parent pair even though their parents were amongst the genotyped samples. However, if I have failed to account for only about $3 \%$ of the fish used as broodstock, which could result from incomplete sampling at the hatchery, loss of samples between spawning and dataset completion, an unusually high rate of migration from distant hatchery programs, or misidentified natural-origin fish, then the false negative rate would be close to zero.

### 2.4.5 Age structure of returning adults

The proportion of fish returning at age two and age three was similar for the 2007 and 2008 cohorts. However, significantly more males than females returned at age
two, whereas females more commonly returned at age three, which is consistent with results of a previous study of hatchery steelhead (Tipping 1991). It has been suggested that age at maturity is determined genetically for one sex and environmentally for the other (Ward \& Slaney 1988; Tipping 1991). However, it is unclear to what extent this is true, and it is probable that a combination of genetic and environmental factors affect age of maturity in both sexes.

I observed a high proportion (about $30 \%$ on average) of age-two spawners at both WSH and CVFF. The proportion of age-two male spawners is even higher, exceeding $50 \%$ of male parents in 2009. This is in contrast to the management plan for these hatchery programs (FISHPRO 2004) that recommends less than $1 \%$ of spawners be age-two fish. While no age structure information is available for naturally spawning fish in the Russian River, the proportion of age-two spawning adults is much higher than what is generally seen in steelhead (Busby et al. 1996) and for natural-origin steelhead in proximate basins to both the north (Eel River; unpublished data) and the south (Waddell Creek; Shapovalov \& Taft 1954), where the proportions of age-two returning adults were less than $5 \%$. If age at maturity has a heritable component in this population, as has been shown in other salmonids (Carlson \& Seamons 2008), then overrepresentation of age-two fish in the spawners, relative to the reproductive success that they would garner in natural spawning situations, will induce selection favoring earlier maturation. Substantial introgression by hatchery fish in the Russian River (Deiner et al. 2007) could then shift the age structure of naturally spawning populations. This would have consequences for reproductive success and fitness, especially in females,
since younger females are smaller than older females and size is strongly correlated with female fecundity in steelhead (Shapovalov \& Taft 1954).

### 2.4.6 Distribution of family sizes and reproductive success

In both programs, management goals specify that female spawners are to be crossed with two or three males, whereas males are to be crossed with only one female, except when there are not a sufficient number of males to cross every female with unique males, which should be a relatively rare occurrence. The results indicate that reuse of males is common. This is in evidence both in the matching sample analysis as well as with the finding that $36.6 \%$ of males from the two programs that produced returning offspring did so with more than one female. Much of this reuse is on the same spawn day, but the identification of some fish spawned more than once in different spawning weeks indicates that they were spawned, released downstream of the hatchery and then reentered and were spawned again. The reuse of males will reduce effective population size relative to a crossing scheme where every male contributes only once, regardless if single-pair, promiscuous, or factorial mating is used. A promiscuous crossing scheme, in which multiple males are mated with each female and most are used only once, as in these programs, is expected to increase genetic diversity and the number of families and reduce the chance of inbreeding relative to single-pair or factorial mating (Pearse \& Anderson 2009). A promiscuous breeding strategy, in which both females and males breed with multiple partners, has been observed in natural populations of steelhead (Shapovalov \& Taft 1954; Seamons et al. 2004). The use of a genetic pedigree-based
monitoring method will allow routine evaluation of the effective population size and the distribution of mating partners in species with multiple bouts of reproduction.

Similar reproductive success for males between years and for females between years was observed, but females had a higher average number of offspring and smaller coefficient of variation than males over all years. This is not surprising, because females are always crossed with more than one male in these programs and, assuming that females and males have the same probability of surviving to complete an ocean migration and return to spawn, females were expected to have more offspring on average than males. However, if no offspring were allocated to a particular parent, it does not necessarily mean that the parent did not produce returning offspring, since not all returning adults are used as broodstock. In addition, offspring do not always return to the hatchery and instead spawn in natural areas, and some offspring genotypes were discarded due to missing data.

I demonstrate here that large-scale parentage inference with SNP markers is an effective tagging method for a species that spends most of its life in the ocean before returning to reproduce in freshwater. This innovative intergenerational genetic tagging method holds great promise for the study of high-fecundity organisms, because juveniles are not handled until and unless they survive the high-mortality portions of their life history. The associated pedigrees are an additional valuable resource with many potential uses. For example, in species subject to artificial propagation, they can be used to understand the effects of breeding programs on quantitative genetic traits. Such information will allow formulation of better strategies for supplementation
programs and ultimately lead to more effective conservation and management plans.

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## Chapter 3

Phylogeographic history of Pacific trout at the extreme southern end of their native range and risk of introgression
from exotic hatchery trout


#### Abstract

Salmonid fishes are cold water piscivores with a native distribution spanning nearly the entire northern hemisphere. Trout in the genus Oncorhynchus are the most widespread of the salmonid fishes and also among the most important fish species in the world, due to their extensive use in aquaculture and extremely valuable fisheries. The trout that inhabit northwestern Mexico are the southernmost native salmonid populations in the world, and the least known in North America. They are unfortunately also facing serious threats to their continued existence. Previous work has described one new species, the Mexican golden trout ( $O$. chrysogaster), and one new subspecies, Nelson's trout ( O. mykiss nelsoni) in Mexico, but preliminary genetic analyses indicate that there is vastly more biodiversity in this group than formally described. Here I conducted a comprehensive genetic analysis of this important group of fishes by using novel genetic markers and techniques to elucidate the biodiversity of trout inhabiting northwestern Mexico, compared it to that of other species of Pacific trout, evaluate hypotheses regarding their evolutionary history, and measure introgression from non-native hatchery rainbow trout. This study revealed significant divergence between Mexican trout and the other species. I confirmed the vast genetic diversity present in the Mexican trout complex and the extremely strong genetic differentiation, not only between basins, but also at a smaller scale. I also found that introgression from non-native rainbow trout is present, but the genetic integrity of native trout is still maintained in many watersheds. This information will help to guide effective conservation strategies for this globally


important group of fishes.

### 3.1 Introduction

The first step in construction of an effective conservation strategy for any taxon is to document the diversity of biological units in that taxon and gain understanding of the evolutionary processes that result in the generation of those biological units (Mayden \& Wood 1995). The taxonomic status of native trout inhabiting northwestern Mexico has been the subject of speculation and controversy for decades. Behnke (2002) considered this group as "the most diverse and the least known trout of western North America". Only two taxa from the Mexican trout complex have been formally described: Nelson's trout, Oncorhynchus mykiss nelsoni (Evermann 1908), distributed in the Río Santo Domingo in northern Baja California; and the Mexican golden trout, O. chrysogaster (Needham \& Gard 1964) from ríos Fuerte, Sinaloa and Culiacán in the central highlands of the Sierra Madre Occidental (SMO). Both taxa are currently protected by Mexican law (SEMARNAT 2000) and the Mexican golden trout has been listed as Vulnerable by the IUCN since 1990 (IUCN 2010). Other formally undescribed groups of trout are found throughout the Sierra Madre Occidental and trout have been documented in four basins north of the range of the Mexican golden trout (NSMO here after): ríos Mayo, Yaqui, Guzmán, and Conchos, and in five other basins to the south (SSMO here after): ríos San Lorenzo, Piaxtla, Presidio, Baluarte, Acaponeta (Behnke 2002; Hendrickson et al. 2002, 2006; Ruiz-Campos et al. 2003), and potentially as far
south as Río San Pedro Mezquital (Espinoza-Pérez, pers. comm.). These groups have been considered as undescribed subspecies of $O$. mykiss, but, no conclusive evidence has been provided (Nielsen \& Sage 2001; Behnke 2002).

The fossil record indicates that trout inhabited Mexico during the Pleistocene. The southernmost record for a fish assigned to the family Salmonidae is from the Lake Chapala, Jalisco, Mexico region near $20^{\circ}$ North latitude (Cavender \& Miller 1982). It has been suggested that the Gulf of California acted as a refugium for anadromous $O$. mykiss during the Pleistocene glaciations (Behnke 1992). These trout migrated from the Gulf into northwestern Mexico, Arizona and New Mexico. The subsequent increase in both ocean and river water temperatures constrained these trout to the high elevation headwaters of different river systems. Long isolation times gave rise to the Gila ( $O$. gilae), Apache ( O. apache), Mexican golden (O. chrysogaster) and, presumably, the other SMO trout.

For years, researchers have tried to investigate the evolutionary relationships among the Mexican trout complex using a variety of methods including karyology (Phillips \& Rab 2001), morphology (Behnke 1992; Ruiz-Campos \& Pister 1995; RuizCampos et al. 2003), and genetic analyses with mitochondrial DNA (mtDNA; Nielsen et al. 1998; Camarena-Rosales et al. 2007) and microsatellites (Nielsen \& Sage 2001; De los Santos-Camarillo 2008), but most of them focused on just one species (i.e. O. chrysogaster) or a few populations in specific regions (i.e. Río Yaqui or Río Mayo trout).

In a morphological variation analysis using Mahalanobis' distances that includes several populations of the Mexican trout complex, Ruiz-Campos et al. (2003)
found two main groups. The first group included $O$. mykiss nelsoni and the second group comprised trout inhabiting the SMO ( $O$. chrysogaster and the other SMO trout). This last group was divided into four subgroups: O. chrysogaster was separated into two subgroups: 1) O. chrysogaster from Río Sinaloa, and 2) O. chrysogaster from ríos Fuerte and Culiacán along with trout from Río Piaxtla; 3) trout from ríos San Lorenzo, Baluarte, and Acaponeta; and 4) trout from ríos Yaqui and Mayo.

The first molecular study to include a population of Mexican trout in a phylogenetic analysis was that of Loudenslager et al. (1986), who used data from 36 allozyme loci to elucidate relationships between $O$. gilae, O. apache, O. mykiss, O. clarkii and trout from the Río Mayo only. They found that $O$. gilae, O. apache and Río Mayo trout showed a greater genetic identity to $O$. mykiss than to $O$. clarkii. They also reported that $O$. gilae and $O$. apache were sister taxa, and that Río Mayo trout were more closely related to $O$. mykiss than to any other species analyzed.

Nielsen et al. (1997) examined nominal O. mykiss from 15 California and two Mexican populations ( $O$. m. nelsoni and Río Yaqui trout) with the control region of mtDNA and three nuclear microsatellite loci (Omy77, Omy207 and Ssa289). They concluded that $O$. m. nelsoni was closely related to Little Kern golden trout ( O. m. whitei) and that Río Yaqui trout were considerably different than the rest of the populations analyzed. Additionally, Nielsen et al. (1998) analyzed the phylogenetic relationships between two species of Pacific salmon ( $O$. tshawytscha and $O$. kisutch), four subspecies of cutthroat trout, O. gilae, O. apache, nine subspecies of O. mykiss (including O.m. nelsoni), and one population of Río Yaqui trout. The phylogenetic analysis showed well-
supported differentiation between species and was consistent with previous work, but the single mtDNA control region marker employed was not sufficiently informative for resolution at the subspecies level. Interestingly, Nielsen et al. (1998) also found a large deletion in the right-domain of the mtDNA control region in the Río Yaqui trout. This deletion had only been reported previously in humans and is related to mitochondrial disorders (Moraes et al. 1991), although no signs of such disorders have been reported in trout.

Camarena-Rosales (2007) evaluated restriction fragment length polymorphisms in one mtDNA region, including samples from most of the basins in northwestern Mexico where trout have been reported. The analysis divided the Mexican trout into four groups: 1) O.m. nelsoni; 2) O. chrysogaster subdivided into two groups; 3) trout from Río Piaxtla; and 4) ríos Mayo and Yaqui trout.

Microsatellite loci have seen widespread use in the study of $O$. mykiss population structure and interactions among different groups. There are two studies that have evaluated the genetic diversity in more than one population from northwestern Mexico using these markers. Nielsen and Sage (2001) evaluated 11 microsatellites in trout from ríos Yaqui, Mayo, and Guzmán, as well as $O$. chrysogaster. They showed a strong differentiation of Río Yaqui trout from the Mexican golden trout and population structure within the Yaqui basin.

The most comprehensive study to date using microsatellites included data from Nielsen and Sage (2001), as well as populations farther south (ríos San Lorenzo, Piaxtla, Presidio, Baluarte and Acaponeta). In this study, the presence of seven taxonomic
units inhabiting the SMO (in addition to $O$. chrysogaster) was proposed (De los SantosCamarillo 2008).

The studies described above provide valuable insight into the high diversity of trout inhabiting northwestern Mexico, but it is evident that the incomplete sampling effort, small sample sizes, and the low resolution of these analyses have left many unresolved questions. There is a dire need for more information on the biodiversity and taxonomic status of trout in Mexico, as they are the southernmost populations of salmonid fish in their native range (the northern hemisphere), and are the only fish in this group that inhabit subtropical waters. Given the importance of trout in global aquaculture and fisheries, adaptation to such conditions is a critical trait to understand in these primarily cold-water fishes.

Conservation of the trout inhabiting northwestern Mexico first requires complete documentation of the genetic diversity of this species complex, as well as a complete understanding of the evolutionary history of these trout, which requires analysis of fish from all the Mexican basins in which native trout have been reported and a comparison with other trout species ( $O$. mykiss and $O$. clarkii), specifically those with a presumably similar evolutionary history (O. gilae and O. apache).

The unique gene pool that is represented by these taxa is likely to go extinct due to threats by anthropogenic factors (e.g. habitat loss, logging, pollution and global climate change) without urgent documentation and conservation action. Moreover, the practice of introducing exotic hatchery rainbow trout (O. mykiss irideus) has caused them to be established in several drainages where native trout also occur (De los Santos-

Camarillo 2008). Several studies of California trout have reported introgression from genetically depauperate hatchery rainbow trout into wild populations, and this has become a substantial threat to native trout (Garza \& Pearse 2008; Clemento et al. 2009). Hybridization of introduced rainbow trout with other native trout species has also been documented in the United States. One of the most-studied cases is that of the westslope cutthroat ( O. clarkii lewisi) and exotic rainbow trout (Leary et al. 1985; Rubidge et al. 2001; Weigel et al. 2003; Allendorf et al. 2004). Several populations of westslope cutthroat trout have shown high degrees of introgression, and despite conservation efforts they could still be at risk (Shepard et al. 2005).

The native $O$. apache and $O$. gilae trout are similarly affected. O. apache are ESA listed as threatened and $O$. gilae trout as endangered due to habitat reductions and also hybridization and genetic introgression with introduced rainbow trout (Dowling \& Childs 1992). It has been reported that about $65 \%$ of $O$. apache populations have some degree of introgression and one population is $100 \%$ introgressed (Rhymer \& Simberloff 1996), and at least two populations of O. gilae (Iron Creek and McKenna Creek) have been lost due to hybridization (USFWS 2003). Therefore, it is of great concern to understand the extent of the introgression of hatchery fish into Mexican native trout in order to mitigate this effect.

Here, I focus on three main goals: 1) document the genetic biodiversity of native trout in northwestern Mexico, 2) infer the phylogeographic history of the Mexican trout complex, and 3) evaluate the extent of hybridization and genetic introgression from hatchery-raised fish into native trout; all these including samples from all the basins in
which native trout are known to occur.

### 3.2 Methods

### 3.2.1 Tissue collection and DNA extraction

Between 1994 and 2010 an exhaustive effort was made by the binational group "Truchas Mexicanas" to collect a total of 914 tissue samples $\left(\approx 1 \mathrm{~cm}^{2}\right)$ from 42 localities (13 basins represented) in northwestern Mexico (Table 3.1; Figure 3.1). Also, 147 tissue samples from hatchery rainbow trout were obtained from four hatcheries located in different basins where native trout have been reported, and one hatchery located in Guachochi, Chihuahua that is presumably rearing $O$. chrysogatser (Table 3.1). Additionally, tissue samples from five West Fork Black River O. apache and five Gila River O. gilae were obtained.

Genomic DNA from 300 samples was extracted at the Centro de Investigaciones Biológicas del Noreste, S.C. (CIBNOR) in La Paz, Baja California, Mexico (for details see De los Santos-Camarillo 2008). DNA extraction of the remaining samples took place at the Southwest Fisheries Science Center in Santa Cruz, CA, USA. The samples were digested with proteinase $K$, followed by DNA extraction with a semi-automated membrane-based system (DNeasy 96 Tissue Kit) on a BioRobot 3000 (QIAGEN Inc.).


Figure 3.1: Geographic location of sampling sites from 13 major drainages in northwestern Mexico.

### 3.2.2 Microsatellites and single nucleotide polymorphisms genotyp$i n g$

All individuals were genotyped at 18 microsatellite loci developed for salmonid species (Omy27 (McConnell et al. 1995a), Ssa289 (McConnell et al. 1995b); Omy77 (Morris et al. 1996); Ssa85 (O'Reilly et al. 1996); One11b, One13b (Scribner et al. 1996); Omy1011 (Condrey \& Bentzen 1998); Ots103 (Small et al. 1998); Oki23 (Smith et al. 1998); Ots1b (Banks et al. 1999); OtsG3, OtsG43, OtsG85, OtsG243, OtsG249b, OtsG253, OtsG401, OtsG409 (Williamson et al. 2002)). This set of loci has proven to be highly informative in the study of $O$. mykiss population structure and interactions among different groups in California, including all major groups of hatchery rainbow trout (Aguilar \& Garza 2006; Pearse et al. 2007; Clemento et al. 2009; Garza et al. 2014). Also, some of these markers have been tested in O. clarkii populations (Wenburg et al. 1998; Nielsen \& Sage 2002) .

PCR was conducted using $4 \mu \mathrm{~L}$ template DNA, $6.9 \mu \mathrm{~L} \mathrm{H}_{2} \mathrm{O}, 1.5 \mu \mathrm{~L} 10 \mathrm{X}$ PCR buffer (Applied Biosystems Inc.), $0.9 \mu \mathrm{M} \mathrm{MgCl}_{2}, 0.6 \mu \mathrm{M}$ dNTPs, $1 \mu \mathrm{M}$ fluorescently labeled oligonucleotide primers, and 0.04U Amplitaq DNA polymerase (Applied Biosystems Inc.). PCR conditions consisted of $94^{\circ} \mathrm{C}$ for 3 min ; then 9 cycles at $94^{\circ} \mathrm{C}$ for 30 s , $52-60^{\circ} \mathrm{C}$ for 2 min , and $72^{\circ} \mathrm{C}$ for 30 s ; followed by 15 cycles at $92^{\circ} \mathrm{C}$ for $30 \mathrm{~s}, 52-60^{\circ} \mathrm{C}$ for 2 min , and $72^{\circ} \mathrm{C}$ for 30 s , with a final step at $72^{\circ} \mathrm{C}$ for 10 min . The PCR products were electrophoresed on an ABI377 genetic analyzer. Allele sizes were determined with Genotyper software (Applied Biosystems) and confirmed by two people independently.

A total of 93 single nucleotide polymorphism (SNP) locI was genotyped on all samples. These SNP markers include three loci from Aguilar and Garza (2008), six from Campbell et al. (2009), 82 from Abadía-Cardoso et al. (2011), and four unpublished. They have been validated in many populations from California, Oregon and Washington, as well as introduced populations in other parts of the world (A. A-C. \& J.C.G., unpublished data). A PCR pre-amplification was carried out in $5.4 \mu \mathrm{~L}$ aliquots containing $2.5 \mu \mathrm{~L}$ of 2 X Master Mix (QIAGEN Inc.), $1.3 \mu \mathrm{M}$ pooled oligonucleotide primers, and $1.6 \mu \mathrm{~L}$ template DNA. Pre-amplification thermal cycling conditions included an initial denaturation of 15 min at $95^{\circ} \mathrm{C}$, and 13 cycles of 15 s at $95^{\circ} \mathrm{C}, 4 \mathrm{~min}$ at $60^{\circ} \mathrm{C}$ $\left(+1^{\circ} \mathrm{C} /\right.$ cycle). Pre-amplification PCR products were diluted 1:3 in 2 mM Tris. The genotyping method was the 5 ' nuclease allelic discrimination or TaqMan assay (Applied Biosystems) for high-throughput genotyping. The genotyping was carried out in 96.96 Dynamic SNP Genotyping Arrays on an EP1 System (Fluidigm Corporation) under the manufacturer's specifications.

Additionally, genotypes from the 18 microsatellite and 93 SNP loci from 18 natural-origin $O$. mykiss populations $(N=675)$ from California, USA that represent six Distinct Population Segments (DPSs) (Busby et al. 1996), and four O. mykiss hatchery strains ( $N=187$ ) were included in the analyses. Data from five cutthroat trout subspecies (coastal (O. clarkii clarkii; $(N=47)$ ), Yellowstone ( $O$. clarkii bouvieri ( $N=20$ ) ), Bonneville ( $O$. clarkii utah $(N=16$ ) ), Rio Grande ( $O$. clarkii virginalis $(N=$ 10)), and Colorado (O. clarkii pleuriticus $(N=8))$ ) were also incorporated (Table 3.1). All these populations were carefully selected as the most representative lineages based
on previous studies (Bjorkstedt et al. 2005; Aguilar \& Garza 2006; Clemento et al. 2009; Wilson \& Turner 2009; Garza et al. 2014).

### 3.2.3 Data analysis

The two different classes of markers used have basic differences, such as a higher level of polymorphism in microsatellites, lower mutation rate in SNPs, different mutation process, among others. I believe that these differences could provide distinct and complementary information on the evolutionary history of these groups of trout. Therefore, some data analyses were performed for both SNPs and microsatellites separately.

Within population genetic variation was examined using different approaches. Expected $\left(H_{E}\right)$ and observed $\left(H_{O}\right)$ heterozygosities (Nei 1978) were estimated using GENEPOP (Rousset 2008) for microsatellites and SNPs separately. Percentage of polymorphic SNPs $(P)$ at 0.95 and 0.99 was calculated using GENETIX 4.05 (Belkhir et al.). I used the package hierfstat for R (Goudet 2005; R Development Core Team 2011) to estimate microsatellite allelic richness by rarefaction $\left(A_{R}\right)$ to correct for sample size differences. A Bayesian analysis of group determination implemented in the program STRUCTURE 2.2 (Pritchard et al. 2000) was performed. This analysis, based on individual multilocus genotypes with no prior geographic information of the populations, indicates the level of mixing within and between groups. Values of $K=2-7$ were used, and 20 iterations were executed for each value of $K$ with a burn-in period of 50,000 steps and 150,000 Monte Carlo Markov Chain replicates. The results from
these STRUCTURE runs were reordered and visualized using the software CLUMPP (Jakobsson \& Rosenberg 2007) and Distruct (Rosenberg 2004).

Relationships among populations were explored using three methods: a) Pairwise $F_{S T}$ tests and their significance levels through 10,000 permutations were estimated using Arlequin 3.5 (Excoffier \& Lischer 2010); b) Principal Components Analysis (PCA) was performed using the R based package adegenet 1.3-4 (Jombart 2008); and c) Unrooted phylogeographic neighbor-joining trees were created using PHYLIP (Felsenstein 2005) for the microsatellite data only and with the combined dataset. Markers that failed for an entire population were excluded from the PHYLIP analysis, leaving 12 microsatellite and 85 SNP loci. I used the Cavalli-Sforza and Edwards (1967) method to estimate pairwise genetic distances and 1,000 bootstrapped distance matrices to evaluate node support. I excluded from neighbor-joining trees those populations with less than eight individuals.
Table 3.1: Populations used in this study from north to south. N : total number of samples; Lat: Latitude; Long: Longitude; *: estimated location; DPS: Klamath Mountains Province ( ${ }^{a}$ ); Northern California $\left({ }^{b}\right)$; Central Valley $\left({ }^{c}\right)$; Central California Coast $\left({ }^{d}\right)$; South-Central California Coast $\left({ }^{e}\right)$; Southern California ( ${ }^{f}$ ).

| Pop. <br> num. | Population name |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |

Table 3.1 Continued

| Pop. <br> num. | Population name | N | Lat | Long | Collection year | Species |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 30 | Río Yaqui-Río Sirupa-Río Papagochi-Arroyo El Salto | 21 | 29.53 | -108.17 | 2007 | O. sp. |
| 31 | Río Yaqui-Río Sirupa-Río Papagochi-Río Tutuaca | 13 | 28.46 | -108.20 | 2005 | O. $s p$. |
| 32 | Río Yaqui-Río Sirupa-Arroyo Banderella | 15 | 28.33 | -108.02 | 2005 | O. $s p$. |
| 33 | Río Mayo-Arroyo Concheño | 36 | 28.32 | -108.21 | 2009 | O. $s p$. |
| 34 | Río Mayo-Río Candameña | 15 | 28.26 | -108.21 | 2005 | O. $s p$. |
| 35 | Río Mayo-Arroyo La Estrella | 19 | 28.22 | -108.19 | 2009 | O. sp. |
| 36 | Río Conchos-Arroyo Ureyna | 16 | 27.79 | -107.38 | 2006 | O. $s p$. |
| 37 | Río Conchos-Río Rituchi | 9 | 27.72 | -107.44 | 2005 | O. sp. |
| 38 | Río Conchos-Río El Porvenir-Arroyo San Antonio-Arroyo El Molino | 28 | 26.58 | -106.51 | 2007 | O. sp. |
| 39 | Río Fuerte-Río Ateros-Arroyo Aparique | 8 | 28.02 | -107.81 | 2005 | O. chrysogaster |
| 40 | Río Fuerte-Arroyo San Vicente | 10 | 28.01 | -107.83 | 2009 | O. chrysogaster |
| 41 | Río Fuerte-Río Verde | 30 | 26.28 | -106.49 | 2007 | O. chrysogaster |
| 42 | Río Fuerte-Arroyo Santa Rosalia-Arroyo Las Truchas | 29 | 26.09 | -107.00 | 2008 | O. chrysogaster |
| 43 | Río Sinaloa-Río San José-Río Basonopira-Arroyo Potrero | 28 | 26.06 | -107.03 | 2008 | O. chrysogaster |
| 44 | Río Sinaloa-Arroyo Rancho de El Medio-below waterfall | 10 | 25.99 | -106.77 | 2007 | O. chrysogaster |
| 45 | Río Sinaloa-Arroyo Rancho de El Medio-above waterfall | 10 | 25.99 | -106.77 | 2007 | O. chrysogaster |
| 46 | Río Sinaloa-Río Mohinora-Arroyo Soldado | 40 | 25.99 | -107.02 | 2008 | O. chrysogaster |
| 47 | Río Sinaloa-Arroyo Hondo | 21 | 25.97 | -106.96 | 2008 | O. chrysogaster |
| 48 | Río Culiacán-Arroyo Santa Rosa | 21 | 25.89 | -106.71 | 2007 | O. chrysogaster |
| 49 | Río San Lorenzo-Río Los Remedios-Arroyo La Sidra above waterfall | 16 | 24.48 | -105.79 | 2004 | O. $s p$. |
| 50 | Río San Lorenzo-Río Los Remedios-Arroyo La Sidra below waterfall | 19 | 24.46 | -105.80 | 2004 | O. $s p$. |
| 51 | Río San Lorenzo-Río Los Remedios-Arroyo Las Truchas | 28 | 24.40 | -105.90 | 2004 | O. $s p$. |
| 52 | Río San Lorenzo-Río Los Remedios-Arroyo Las Veredas | 40 | 24.37 | -105.90 | 2004 | O. $s p$. |
| 53 | Río Piaxtla-Río Verde-Arroyo SanAntonio | 11 | 24.39 | -105.96 | 2004 | O. $s p$. |
| 54 | Río Piaxtla-Arroyo del Granizo | 26 | 24.31 | -106.00 | 2004 | O. sp. |
| 55 | Río Piaxtla-Arroyo de la Plazuela-ejido El Maguey | 34 | 24.31 | -106.07 | 2010 | O. $s p$. |
| 56 | Río Piaxtla-Arroyo Palo Berdal | 28 | 24.29 | -106.05 | 2010 | O. sp. |
| 57 | Río Piaxtla-Arroyo Cruz Larga | 25 | 24.25 | -106.02 | 2004 | O. sp. |
| 58 | Río Presidio-Arroyo Quebrada de la Vega | 15 | 23.88 | -105.47 | 2004 | O. $s p$. |
| 59 | Río Baluarte-Arroyo Santa Barbara | 37 | 23.65 | -105.45 | 2004 | O. sp. |
| 60 | Río Acaponeta-Arroyo Las Cebollas | 17 | 23.62 | -105.34 | 2004 | O. $s p$. |
| 61 | West Fork Black River | 5 | 33.88 | -109.47 | 2000 | O. apache |
| 62 | Gila River-Main Diamond Creek | 5 | N/A | N/A | 2004 | O. gilae |

Table 3.1 Continued

| Pop. num. | Population name | N | Lat | Long | Collection year | Species |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 63 | Maple Creek | 47 | N/A | N/A | 2002 | O. clarkii clarkii |
| 64 | Snake River-Barnes Creek | 20 | N/A | N/A | N/A | O. clarkii bouvieri |
| 65 | Bonneville-Glenwood | 16 | N/A | N/A | N/A | O. clarkii utah |
| 66 | Colorado River | 8 | N/A | N/A | N/A | O. clarkii pleuriticus |
| 67 | Rio Grande | 10 | N/A | N/A | N/A | O. clarkii virginalis |
| 68 | Río Yaqui-Río Bavispe-Hatchery Arroyo Yenquin | 24 | 29.89 | -108.49 | 2009 | O. mykiss |
| 69 | Río Yaqui-Río Bavispe-Arroyo El Arco-Hatchery Truchas la Presita | 20 | 29.73 | -108.62 | 2007 | O. mykiss |
| 70 | Río Fuerte-Río Ateros-Arroyo Aparique abandoned hatchery | 7 | 28.02 | -107.81 | 2005 | O. chrysogaster |
| 71 | Río SanLorenzo-Río Los Remedios-Hatchery Piscicultura Vencedores | 18 | 24.47 | -105.79 | 2004 | O. mykiss |
| 72 | Hatchery Centro trutícola Guachochi | 78 |  |  |  | O. chrysogaster |
| 73 | Sacramento River-American River-Coleman Strain | 46 | 38.63 | -121.23 | 2003 | O. mykiss |
| 74 | Owens Lake Basin-Hot Creek-Kamloops Strain | 47 | 37.65 | -118.84 | 2005 | O. mykiss |
| 75 | Sacramento River-American River-Eagle Lake Strain | 47 | 38.63 | -121.23 | 2005 | O. mykiss |
| 76 | Sacramento River-American River-Mt. Shasta Strain | 47 | 38.63 | -121.23 | 2002 | O. mykiss |

### 3.2.4 Genetic introgression

Due to evident establishment of non-native hatchery rainbow trout in many, if not all, of the main drainages of northwestern Mexico (García de León, pers. comm.), I explored the potential genetic introgression from these hatchery trout into the native trout populations using two different approaches: a Bayesian analysis with STRUCTURE ( $K=2-5$, five iterations each) and PCA. These analyses were performed using all the natural-origin populations from a basin (e.g. Río San Lorenzo) and fish from hatcheries established in that basin (e.g. "Piscicultura Vencedores" hatchery), along with fish from California hatchery strains. Even though I do not have samples from hatcheries located in the southernmost basins, I explored the genetic introgression from California hatchery rainbow trout in ríos Presidio, Baluarte, and Acaponeta, based on the results from previous analysis.

### 3.3 Results

I successfully genotyped 1,055 trout from northwestern Mexico, $O$. apache, $O$. gilae, and Mexican hatcheries with the microsatellite panel, and 1,027 with the SNP panel. I excluded from the analysis those individuals that had excessive missing data ( $\geq 10$ missing SNP loci and $\geq 9$ missing microsatellite loci). A total of 1,999 fish from Mexican and California populations were included in the final microsatellite analyses and 1,985 in the SNP analyses (Table 3.2).

Observed heterozygosity per population with microsatellites ranged from 0.033
in Río Conchos-Arroyo Ureyna to 0.736 in Klamath River-Blue Creek; and ranged from zero in several populations from NSMO and O. clarkii ssp. to 0.413 in Gualala RiverFuller Creek with SNPs (Table 3.2; Figure 3.2). Overall, heterozygosity was higher for both marker types in $O$. mykiss populations (microsatellites: mean $\left(H_{O}\right)=0.636$, range $=0.334-0.736 ;$ SNPs: mean $\left(H_{O}\right)=0.336$, range $\left.=0.129-0.413\right)$, than in any of the SMO groups (microsatellites: NSMO mean $\left(H_{O}\right)=0.288$, range $=0.033$ $0.516 ; O$. chrysogaster mean $\left(H_{O}\right)=0.354$, range $=0.190-0.606 ;$ SSMO mean $\left(H_{O}\right)$ $=0.382$, range $=0.191-0.624 ;$ SNPs: NSMO mean $\left(H_{O}\right)=0.008$, range $=0-0.040 ;$ O. chrysogaster mean $\left(H_{O}\right)=0.074$, range $=0.003-0.113$; SSMO mean $\left(H_{O}\right)=0.144$, range $=0.016-0.324 ;$ Figure 3.2), and than the other species (microsatellites: O. apache $\left(H_{O}\right)=0.44 ;$ O. gilae: $\left(H_{O}\right)=0.192 ;$ O. clarkii ssp. mean $\left(H_{O}\right)=0.379$, range $=0.281$ - 0.537; SNPs: O. apache $\left(H_{O}\right)=0.006 ;$ O. gilae: $\left(H_{O}\right)=0.002 ;$ O.clarkii ssp. mean $\left(H_{O}\right)=0.008$, range $=0-0.031 ;$ Table 3.2; Figure 3.2).

Mean number of alleles per microsatellite and $A_{R}$ were highest in $O$. mykiss populations (mean alleles/locus $=7.74 ;$ mean $A_{R}=1.65$ ). Río Conchos-Río Rituchi showed the lowest number of alleles per microsatellite and $A_{R}$ (alleles/locus $=1.06 ; A_{R}$ $=1.03$; Table 3.2; Figure 3.3). Within Mexican trout, the highest number of alleles per microsatellite and highest $A_{R}$ were observed in Río Fuerte-Río Verde (alleles/locus $=$ 8.0; $A_{R}=1.65$; Table 3.2).

Percentage of polymorphic SNP loci at $P(0.95)$ ranged from 0 to 0.99 and at $P(0.99)$ from 0 to 1 , with the highest values observed again in $O$. mykiss (mean $P(0.95)=0.88$; mean $P(0.99)=0.95)$. The lowest values of $P$ were observed in NSMO
(mean $P(0.95)=0.02$; mean $P(0.99)=0.05)$, where all locI was monomorphic in several populations (Table 3.2; Figure 3.3).

I observed higher mean $F_{S T}$ values with SNPs than microsatellites (Table 3.2). Significant negative correlations were found when $F_{S T}$ values were compared to both microsatellite allelic richness $\left(A_{R}: \mathrm{F}_{1,74}=517.8, \mathrm{R}^{2}=0.875, p<0.001\right)$ and percentage of polymorphic SNPs $\left(P(0.95): \mathrm{F}_{1,74}=859.5, \mathrm{R}^{2}=0.921, p<0.001 ; P(0.99): \mathrm{F}_{1,74}=\right.$ 591.6, $\mathrm{R}^{2}=0.889, p<0.001$; Figure 3.3) as expected, since it has been demonstrated that the level of heterozygosity directly affects the level of differentiation among groups (Hedrick 1999).

The STRUCTURE analysis clustered the individuals according to geographic location for $O$. mykiss and Mexican populations. However, contrary to my expectations, I did not see a pattern of clustering for the different species. O. clarkii ssp. shared ancestry with $O$. chrysogaster, while $O$. gilae, and $O$. apache present shared ancestry with O. mykiss, O. chrysogaster, and also with NSMO (Figure 3.4).
Table 3.2: Summary statistics. N: number of individuals succsesfully genotyped with the microsatellite panel and the SNP panel
respectively; Expected $\left(H_{E}\right)$ and observed $\left(H_{O}\right)$ heterozygosity; allelic richness $\left(A_{R}\right)$; percentage of polymirphic loci $(P)$ at 0.95 and 0.99 .

| Group | Population | N | Msats |  |  |  |  |  | SNPs |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | Num. <br> of loci | $H_{E}$ | $H_{O}$ | Alleles/ <br> locus | $A_{R}$ | Mean pairwise $F_{S T}$ | N | Num. of loci | $H_{E}$ | $H_{O}$ | $\begin{aligned} & P \\ & (0.95) \\ & \hline \end{aligned}$ | $\begin{aligned} & P \\ & (0.99) \\ & \hline \end{aligned}$ | Mean pairwise $F_{S T}$ |
| O. m. nelsoni | Klamath River-Blue Creek | 32 | 18 | 0.75 | 0.74 | 11.56 | 1.75 | 0.20 | 32 | 92 | 0.33 | 0.31 | 0.90 | 0.97 | 0.35 |
|  | Mattole River-South Fork Bear Creek | 31 | 17 | 0.70 | 0.73 | 8.00 | 1.70 | 0.24 | 31 | 92 | 0.35 | 0.35 | 0.88 | 0.97 | 0.35 |
|  | Gualala River-Fuller Creek | 29 | 17 | 0.68 | 0.68 | 8.47 | 1.68 | 0.24 | 29 | 92 | 0.40 | 0.41 | 0.99 | 0.99 | 0.30 |
|  | Sacramento River-Claiborne Creek | 30 | 18 | 0.66 | 0.64 | 7.22 | 1.66 | 0.26 | 30 | 93 | 0.32 | 0.32 | 0.83 | 0.92 | 0.37 |
|  | Sacramento River-Battle Creek | 47 | 17 | 0.68 | 0.66 | 12.24 | 1.68 | 0.21 | 47 | 93 | 0.37 | 0.35 | 0.99 | 1.00 | 0.29 |
|  | Sacramento River-Deer Creek | 43 | 18 | 0.74 | 0.72 | 12.89 | 1.74 | 0.22 | 43 | 93 | 0.37 | 0.38 | 0.98 | 1.00 | 0.29 |
|  | Sacramento River-Upper Yuba River | 26 | 18 | 0.72 | 0.71 | 8.33 | 1.72 | 0.23 | 26 | 93 | 0.38 | 0.39 | 0.96 | 1.00 | 0.32 |
|  | Sacramento River-North Fork American River | 48 | 18 | 0.67 | 0.65 | 8.72 | 1.67 | 0.24 | 48 | 93 | 0.33 | 0.34 | 0.90 | 0.98 | 0.35 |
|  | SanFrancisquito Creek-Los Trancos Creek | 24 | 17 | 0.62 | 0.65 | 6.53 | 1.62 | 0.28 | 24 | 92 | 0.36 | 0.37 | 0.89 | 0.92 | 0.38 |
|  | Waddell Creek | 31 | 18 | 0.63 | 0.62 | 7.22 | 1.63 | 0.25 | 31 | 92 | 0.37 | 0.37 | 0.95 | 0.96 | 0.36 |
|  | Carmel River | 32 | 18 | 0.67 | 0.68 | 8.89 | 1.67 | 0.24 | 32 | 92 | 0.38 | 0.37 | 0.97 | 0.98 | 0.35 |
|  | Chorro Creek-Pennington Creek | 31 | 18 | 0.63 | 0.64 | 5.56 | 1.63 | 0.28 | 31 | 92 | 0.33 | 0.35 | 0.83 | 0.90 | 0.40 |
|  | SantaMaria River-Sisquoc River | 47 | 18 | 0.63 | 0.63 | 6.28 | 1.63 | 0.30 | 47 | 93 | 0.32 | 0.32 | 0.90 | 0.96 | 0.37 |
|  | Santa Ynez River-Salsipuedes Creek | 47 | 18 | 0.61 | 0.57 | 6.50 | 1.61 | 0.25 | 47 | 93 | 0.33 | 0.32 | 0.89 | 0.95 | 0.36 |
|  | Ventura Creek-North Fork Matilija Creek | 46 | 18 | 0.62 | 0.62 | 5.72 | 1.62 | 0.27 | 46 | 93 | 0.33 | 0.33 | 0.86 | 0.96 | 0.37 |
|  | Santa Clara River-Sespe Creek-Lion Canyon | 47 | 18 | 0.60 | 0.60 | 7.94 | 1.60 | 0.30 | 47 | 93 | 0.31 | 0.31 | 0.87 | 0.98 | 0.38 |
|  | Pauma Creek | 47 | 14 | 0.64 | 0.64 | 6.29 | 1.64 | 0.24 | 43 | 93 | 0.34 | 0.34 | 0.86 | 0.97 | 0.33 |
|  | Sweetwater River | 37 | 14 | 0.60 | 0.58 | 5.36 | 1.60 | 0.27 | 37 | 93 | 0.33 | 0.33 | 0.86 | 0.94 | 0.37 |
|  | Río Santo Domingo | 40 | 18 | 0.41 | 0.33 | 3.28 | 1.41 | 0.35 | 39 |  | 0.15 | 0.13 | 0.41 | 0.54 | 0.61 |
| NSMO | Río Guzmán-Arroyo Escalariado | 27 | 18 | 0.13 | 0.12 | 1.61 | 1.13 | 0.62 | 26 | 93 | 0.01 | 0.01 | 0.01 | 0.18 | 0.68 |
|  | Río Yaqui-Bavispe-Arroyo Las Guacamayas | 23 | 18 | 0.35 | 0.31 | 2.56 | 1.35 | 0.49 | 22 | 92 | 0.01 | 0.00 | 0.02 | 0.03 | 0.66 |
|  | Río Yaqui-Bavispe-Arroyo La Nutria | 23 | 18 | 0.41 | 0.39 | 2.72 | 1.41 | 0.42 | 23 | 92 | 0.01 | 0.01 | 0.02 | 0.03 | 0.64 |
|  | Río Yaqui-Bavispe-Arroyo Los Cuarteles | 26 | 17 | 0.27 | 0.25 | 1.94 | 1.27 | 0.46 | 25 | 92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.74 |
|  | Río Yaqui-Bavispe-Arroyo Yenquin | 20 | 18 | 0.48 | 0.48 | 2.94 | 1.48 | 0.38 | 20 | 90 | 0.01 | 0.01 | 0.03 | 0.04 | 0.62 |
|  | Río Yaqui-Bavispe-Arroyo Las Truchas | 17 | 18 | 0.16 | 0.16 | 1.61 | 1.16 | 0.51 | 18 | 90 | 0.01 | 0.00 | 0.02 | 0.02 | 0.64 |
|  | Río Yaqui-Bavispe-Arroyo La Presita | 12 | 18 | 0.45 | 0.39 | 2.89 | 1.45 | 0.38 | 12 | 92 | 0.01 | 0.01 | 0.03 | 0.03 | 0.61 |
|  | Río Yaqui-Bavispe-Arroyo Pedernal | 10 | 18 | 0.47 | 0.46 | 2.89 | 1.47 | 0.36 | 10 | 92 | 0.01 | 0.01 | 0.03 | 0.03 | 0.60 |
|  | Río Yaqui-Bavispe-Arroyo Largo | 16 | 18 | 0.47 | 0.45 | 3.61 | 1.47 | 0.34 | 14 | 92 | 0.04 | 0.04 | 0.08 | 0.34 | 0.56 |
|  | Río Yaqui-Bavispe-Arroyo El Cocoño | 16 | 18 | 0.41 | 0.39 | 3.06 | 1.41 | 0.36 | 16 | 91 | 0.01 | 0.02 | 0.04 | 0.04 | 0.62 |
|  | Río Yaqui-Sirupa-Arroyo El Salto | 21 | 18 | 0.18 | 0.19 | 1.89 | 1.18 | 0.50 | 20 | 92 | 0.01 | 0.02 | 0.04 | 0.12 | 0.65 |
|  | Río Yaqui-Sirupa-Río Tutuaca | 13 | 18 | 0.42 | 0.38 | 2.78 | 1.42 | 0.42 | 13 | 92 | 0.01 | 0.00 | 0.02 | 0.02 | 0.65 |
|  | Río Yaqui-Sirupa-Arroyo Banderella | 15 | 18 | 0.50 | 0.52 | 3.44 | 1.50 | 0.37 | 15 | 92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.68 |
|  | Río Mayo-Arroyo Concheño | 36 | 17 | 0.19 | 0.19 | 1.94 | 1.19 | 0.54 | 36 | 91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.76 |
|  | Río Mayo-Río Candameña | 15 | 18 | 0.29 | 0.28 | 2.33 | 1.29 | 0.48 | 15 | 92 | 0.01 | 0.01 | 0.02 | 0.02 | 0.66 |
|  | Río Mayo-Arroyo La Estrella | 19 | 18 | 0.26 | 0.27 | 2.06 | 1.26 | 0.46 | 19 | 91 | 0.01 | 0.02 | 0.03 | 0.03 | 0.66 |
|  | Río Conchos-Arroyo Ureyna | 15 | 18 | 0.03 | 0.03 | 1.17 | 1.03 | 0.64 | 15 | 91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.68 |
|  | Río Conchos-Río Rituchi | 9 | 18 | 0.03 | 0.06 | 1.06 | 1.03 | 0.62 | 8 | 90 | 0.01 | 0.00 | 0.01 | 0.01 | 0.65 |
|  | Río Conchos-Arroyo El Molino | 25 | 18 | 0.26 | 0.15 | 2.44 | 1.26 | 0.58 | 21 | 91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.76 |
| O. chrysogaster | Río Fuerte-Arroyo Aparique | 8 | 18 | 0.22 | 0.19 | 2.17 | 1.22 | 0.44 | 8 | 93 | 0.05 | 0.05 | 0.37 | 0.37 | 0.69 |
|  | Río Fuerte-Arroyo San Vicente | 10 | 18 | 0.27 | 0.27 | 2.28 | 1.27 | 0.47 | 10 | 93 | 0.11 | 0.10 | 0.47 | 0.47 | 0.64 |
|  | Río Fuerte-Río Verde | 30 | 18 | 0.64 | 0.61 | 8.00 | 1.64 | 0.36 | 30 | 93 | 0.02 | 0.02 | 0.06 | 0.10 | 0.74 |
|  | Río Fuerte-Arroyo Las Truchas | 29 | 18 | 0.35 | 0.36 | 3.00 | 1.35 | 0.44 | 29 | 91 | 0.06 | 0.06 | 0.16 | 0.20 | 0.68 |
|  | Río Sinaloa-Arroyo Potrero | 28 | 18 | 0.25 | 0.24 | 2.72 | 1.25 | 0.54 | 26 | 91 | 0.01 | 0.00 | 0.02 | 0.02 | 0.77 |
|  | Río Sinaloa-Arroyo El Medio-below waterfall | 10 | 18 | 0.44 | 0.39 | 2.78 | 1.44 | 0.42 | 10 | 93 | 0.11 | 0.11 | 0.30 | 0.30 | 0.61 |
|  | Río Sinaloa-Arroyo El Medio-above waterfall | 10 | 18 | 0.37 | 0.33 | 2.44 | 1.37 | 0.47 | 9 | 93 | 0.10 | 0.09 | 0.26 | 0.26 | 0.63 |
|  | Río Sinaloa-Arroyo Soldado | 40 | 18 | 0.35 | 0.36 | 4.00 | 1.35 | 0.36 | 38 | 91 | 0.10 | 0.10 | 0.26 | 0.37 | 0.60 |
|  | Río Sinaloa-Arroyo Hondo | 21 | 18 | 0.41 | 0.36 | 3.61 | 1.41 | 0.36 | 21 | 92 | 0.09 | 0.09 | 0.25 | 0.29 | 0.60 |
|  | Río Culiacán-Arroyo Santa Rosa | 21 | 18 | 0.51 | 0.43 | 3.28 | 1.51 | 0.30 | 21 | 93 | 0.11 | 0.10 | 0.30 | 0.34 | 0.60 |
| SSMO | Río San Lorenzo-Arroyo La Sidra above waterfall | 14 | 18 | 0.48 | 0.50 | 3.83 | 1.48 | 0.34 | 14 | 93 | 0.25 | 0.24 | 0.66 | 0.73 | 0.45 |
|  | Río San Lorenzo-Arroyo La Sidra below waterfall | 17 | 18 | 0.59 | 0.60 | 4.78 | 1.59 | 0.33 | 17 | 93 | 0.31 | 0.32 | 0.85 | 0.88 | 0.40 |

Table 3.2 Continued

| Group | Population | Msats |  |  |  |  |  |  | SNPs |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | N |  | $H_{E}$ | $H_{O}$ | Alleles/ locus | $A_{R}$ | $F_{S T}$ <br> Mean pairwise $F_{S T}$ | N | Num. of loci | $H_{E}$ | $\mathrm{H}_{O}$ | $\begin{aligned} & P \\ & (0.95) \end{aligned}$ | $\begin{aligned} & P \\ & (0.99) \end{aligned}$ | Mean <br> pairwise <br> $F_{S T}$ |
|  | Río San Lorenzo-Arroyo Las Truchas | 28 | 18 | 0.26 | 0.26 | 3.61 | 1.26 | 0.45 | 27 | 93 | 0.08 | 0.08 | 0.34 | 0.67 | 0.63 |
|  | Río San Lorenzo-Arroyo Las Veredas | 40 | 18 | 0.21 | 0.19 | 3.11 | 1.21 | 0.52 | 40 | 93 | 0.04 | 0.04 | 0.16 | 0.58 | 0.70 |
|  | Río Piaxtla-Arroyo SanAntonio | 11 | 18 | 0.26 | 0.30 | 2.11 | 1.26 | 0.53 | 11 | 93 | 0.07 | 0.07 | 0.18 | 0.31 | 0.63 |
|  | Río Piaxtla-Arroyo del Granizo | 26 | 18 | 0.20 | 0.19 | 1.67 | 1.20 | 0.46 | 26 | 93 | 0.02 | 0.02 | 0.04 | 0.04 | 0.72 |
|  | Río Piaxtla-Arroyo de la Plazuela | 34 | 18 | 0.30 | 0.31 | 2.83 | 1.30 | 0.45 | 33 | 93 | 0.04 | 0.04 | 0.11 | 0.11 | 0.69 |
|  | Río Piaxtla-Arroyo Palo Berdal | 28 | 18 | 0.27 | 0.27 | 2.22 | 1.27 | 0.47 | 27 | 93 | 0.03 | 0.03 | 0.09 | 0.10 | 0.69 |
|  | Río Piaxtla-Arroyo Cruz Larga | 25 | 18 | 0.23 | 0.25 | 1.67 | 1.23 | 0.53 | 25 | 93 | 0.03 | 0.03 | 0.08 | 0.08 | 0.70 |
|  | Río Presidio-Arroyo Quebrada de la Vega | 15 | 18 | 0.64 | 0.62 | 5.17 | 1.64 | 0.27 | 15 | 93 | 0.30 | 0.29 | 0.80 | 0.84 | 0.42 |
|  | Río Baluarte-Arroyo Santa Barbara | 37 | 18 | 0.51 | 0.47 | 4.67 | 1.51 | 0.35 | 35 | 93 | 0.24 | 0.22 | 0.71 | 0.83 | 0.46 |
|  | Río Acaponeta-Arroyo Las Cebollas | 17 | 18 | 0.59 | 0.62 | 4.28 | 1.59 | 0.29 | 17 | 93 | 0.29 | 0.32 | 0.81 | 0.83 | 0.43 |
| O. apache | West Fork Black River | 5 | 14 | 0.46 | 0.45 | 2.43 | 1.46 | 0.40 | 5 | 93 | 0.01 | 0.01 | 0.01 | 0.01 | 0.70 |
| O. gilae | Gila River-Main Diamond Creek | 5 | 13 | 0.20 | 0.19 | 1.69 | 1.20 | 0.46 | 5 | 91 | 0.01 | 0.00 | 0.01 | 0.01 | 0.71 |
| O. clarkii ssp. | Maple Creek | 47 | 17 | 0.62 | 0.54 | 7.47 | 1.62 | 0.40 | 47 | 91 | 0.04 | 0.03 | 0.15 | 0.27 | 0.71 |
|  | Snake River-Barnes Creek | 9 | 13 | 0.42 | 0.37 | 4.15 | 1.42 | 0.40 | 19 | 90 | 0.01 | 0.01 | 0.02 | 0.02 | 0.73 |
|  | Bonneville-Glenwood | 16 | 13 | 0.49 | 0.42 | 3.31 | 1.49 | 0.48 | 16 | 90 | 0.00 | 0.00 | 0.00 | 0.00 | 0.73 |
|  | Colorado River | 4 | 14 | 0.31 | 0.29 | 2.14 | 1.31 | 0.57 | 8 | 89 | 0.00 | 0.00 | 0.00 | 0.00 | 0.71 |
|  | Rio Grande | 10 | 14 | 0.45 | 0.28 | 3.43 | 1.45 | 0.47 | 10 | 90 | 0.00 | 0.00 | 0.00 | 0.00 | 0.73 |
| Mexican | Río Yaqui-Bavispe-Hatchery Arroyo Yenquin | 24 | 18 | 0.70 | 0.69 | 6.94 | 1.70 | 0.23 | 24 | 93 | 0.34 | 0.33 | 0.88 | 0.96 | 0.36 |
| hatcheries | Río Yaqui-Bavispe-Hatchery Truchas la Presita | 20 | 18 | 0.71 | 0.73 | 6.17 | 1.71 | 0.24 | 19 | 93 | 0.33 | 0.34 | 0.90 | 0.94 | 0.39 |
|  | Río Fuerte-Arroyo Aparique abandoned hatchery | 7 | 18 | 0.60 | 0.65 | 3.78 | 1.60 | 0.31 | 7 | 93 | 0.27 | 0.28 | 0.74 | 0.74 | 0.50 |
|  | Río SanLorenzo-Hatchery Piscicultura Vencedores | 18 | 18 | 0.69 | 0.68 | 6.94 | 1.69 | 0.23 | 18 | 93 | 0.36 | 0.36 | 0.94 | 0.97 | 0.34 |
|  | Hatchery Centro trutcola Guachochi | 75 | 18 | 0.62 | 0.61 | 5.94 | 1.62 | 0.24 | 73 | 93 | 0.33 | 0.36 | 0.91 | 0.92 | 0.33 |
| California | Coleman Strain | 46 | 18 | 0.62 | 0.61 | 6.44 | 1.62 | 0.26 | 46 | 93 | 0.34 | 0.34 | 0.90 | 0.95 | 0.32 |
| hatcheries | Kamloops Strain | 47 | 17 | 0.61 | 0.59 | 7.47 | 1.61 | 0.26 | 47 | 92 | 0.23 | 0.23 | 0.73 | 0.80 | 0.44 |
|  | Eagle Lake Strain | 47 | 17 | 0.60 | 0.59 | 5.18 | 1.60 | 0.29 | 47 | 93 | 0.25 | 0.24 | 0.75 | 0.94 | 0.40 |
|  | Mt. Shasta Strain | 47 | 17 | 0.60 | 0.56 | 5.24 | 1.60 | 0.28 | 47 | 93 | 0.31 | 0.31 | 0.80 | 0.88 | 0.38 |

Some general patterns can be observed across the different $K$ values. For example, clear breaks occur between O. mykiss, NSMO, O. chrysogaster, and ríos San Lorenzo and Piaxtla. Within the Río Conchos populations I observed an unexpected pattern. On one hand, ríos Rituchi and Ureyna cluster with the rest of the NSMO complex, and on the other hand, Arroyo El Molino shares ancestry with both the NSMO complex and $O$. chrysogaster. I saw the same situation for Río Fuerte-Río Verde. Within $O$. chrysogaster I observed two populations that do not follow the same pattern as others. Río Fuerte-Arroyo Aparique and Río Fuerte-Arroyo San Vicente clearly share ancestry with $O$. mykiss -maybe an indication of genetic introgression. The Ríos Presidio, Baluarte and Acaponeta also show admixture with $O$. mykiss and more specifically with the Central Valley DPS populations and hatchery strains (Figure 3.4).

Highly significant genetic differentiation was documented based on the estimated pairwise $F_{S T}$ values when both microsatellites and SNPs were combined (Tables S2 and S3). The strongest differentiation was observed among SMO populations (mean pairwise $\left.F_{S T}=0.39-0.73\right)$ and between SMO and $O$. mykiss populations.

The PCA revealed seven well-differentiated clusters (Figure 3.5). The first cluster (dark green) corresponds to the NSMO, and comprises all the localities from both Río Yaqui tributaries (ríos Bavispe and Sirupa), Río Guzmán, Río Mayo, and two tributaries from the Río Conchos (ríos Rituchi and Ureyna). A second cluster (dark pink) includes all tributaries from ríos Piaxtla and San Lorenzo except for Arroyo La Sidra (above and below waterfall; see below for more information about this locality). A third cluster (yellow/orange) encompasses all $O$. mykiss populations, including $O . m$.


Figure 3.2: Mean observed heterozygosity per population. a) Microsatellites; b) SNPs. The populations are organized from north to south except for populations 61 to 67 , which correspond to $O$. apache, $O$. gilae, and $O$. clarkii. NSMO: Northern Sierra Madre Occidental; SSMO: Southern Sierra Madre Occidental.


Figure 3.3: Correlation between mean $F_{S T}$ and genetic diversity. a) Microsatellite allelic richness and b) percentage of polymorphic SNPs at 0.95 and 0.99 . Populations are organized from north to south except for populations 61 to 67 , which correspond to O. apache, O. gilae, and O. clarkii. NSMO: Northern Sierra Madre Occidental; SSMO: Southern Sierra Madre Occidental.


Figure 3.4: STRUCTURE analysis. Estimated membership fraction (represented by color proportions) of 1,932 individuals from 18 O. mykiss populations, 42 trout populations from northwestern Mexico, one $O$. gilae and one $O$. apache population, five $O$. clarkii subspecies, and five Mexican and four California rainbow trout stocks, using 18 microsatellites and 93 SNPs. Horizontal plots represent STRUCTURE runs constructed with Distruct. Each thin, colored, vertical line represents one individual. Vertical black lines separate collection localities. A summary of the 20 runs for each $K$ value ( $K=2$ $-7)$ is shown. The right column indicates the number of observations for that specific pattern. NSMO: Northern Sierra Madre Occidental; SSMO: Southern Sierra Madre Occidental. Numbers on top represent the Population number in table S1.
nelsoni, all California and Mexican hatcheries, as well as fishes from the SSMO southernmost localities (ríos Presidio, Baluarte and Acaponeta). A fourth cluster (green/blue) includes two localities: Río Conchos-Arroyo El Molino and Río Fuerte-Río Verde, the last being previously described as $O$. chrysogaster. The rest of the $O$. chrysogaster localities form a fifth group (light blue), except for two tributaries from Río Fuerte (arroyos Aparique and San Vicente). These Río Fuerte tributaries surprisingly cluster with the $O$. mykiss group when PC 1 and PC 2 are plotted (Figure 3.5a) and with the San Lorenzo/Piaxtla cluster when PC 1 and PC 3 are used. O. gilae and O. apache trout define the sixth group (olive green). Finally, $O$. clarkii subspecies (light green) overlap with two $O$. chrysogaster populations (Río Sinaloa-Arroyo El Potrero and Río FuerteArroyo Las Truchas) when PC1 and PC2 are plotted (Figure 3.5a) but separate when PC 1 and PC 3 are used (Figure 3.5b).

Overall, topologies were concordant between the two unrooted phylogenetic trees (Figure 3.6 and Figure 3.7), with the exception of the southern populations ríos Presidio and Baluarte that cluster within the $O$. mykiss lineage on the combined tree while they form a separate group on the microsatellite tree.

Several noticeable features can be identified in the population grouping patterns in both trees. First, the topology observed is mostly consistent with the different species as well as with the geographic proximity of streams; clustering all the O. mykiss populations into a monophyletic lineage (including $O$. mykiss nelsoni) separate from most of the SMO populations and $O$. clarkii. However, some exceptions where observed. First, Río Acaponeta trout, the southernmost population, clusters with Mexican hatch-


Figure 3.5: Principal Components Analysis (PCA) of allele frequencies from 18 mi crosatellites and 93 SNPs and the first 50 eigenvalues. a) First (PC1) and second (PC2) principal components and b) first ( PC 1 ) and third ( PC 3 ) principal components. The difference in color (red, blue and green channel) between clusters indicates divergence using the first three PCs. Seven clusters are shown (see text for description of cluster membership). NSMO: Northern Sierra Madre Occidental.
ery trout within the $O$. mykiss lineage in both trees and, second, two tributaries of Río Fuerte, the ríos Aparique and San Vicente, group with the Río San Lorenzo/Río Piaxtla lineage, although the branches grouping them do not have significant bootstrap support.

A long well-supported internal branch separates all the populations from ríos Yaqui, Mayo, and Guzmán as well as two tributaries of the Río Conchos. This result is consistent with the PCA. In addition, strong support was observed for a division between the northern and southern Río Yaqui regions. Populations from ríos Fuerte, Sinaloa and Culiacán (O. chrysogaster) form a monophyletic cluster on both trees, which also includes Río Conchos-Arroyo El Molino. Also consistent with the PCA, support was found for a cluster of ríos San Lorenzo and Piaxtla with populations interspersed with one another.

### 3.3.1 Genetic introgression

Results from the PCA and STRUCTURE analyses indicate that fish raised at all the Mexican hatcheries sampled in this study correspond to $O$. mykiss and are closely related to California hatchery rainbow trout strains (Figure 3.8 and Figure 3.9). I observed that introgression from hatchery rainbow trout is present in Mexican native trout populations. The analyses revealed that introgression is localized in tributaries where rainbow trout hatcheries occur, and that it varies from site to site (Figure 3.8 and Figure 3.9). Also, I observed completed shared ancestry between California hatchery rainbow trout and $O$. chrysogaster from the hatchery "Centro Trutícola Guachochi".


Figure 3.6: Unrooted neighbor-joining dendogram using data from 12 microsatellite markers. The tree was constructed with pairwise genetic distances and 1,000 bootstrapped distance matrices from 18 O. mykiss, 19 NSMO, 10 O. chrysogaster, and 12 SSMO natural-origin populations, four $O$. clarkii subspecies, and five Mexican and four California hatchery stocks. $>50 \%$ percent bootstrap support is indicated in internal branches for Mexican trout (for O. mykiss see Garza et al. 2014). DPS affiliations of California O. mykiss populations (creeks) are highlighted in colors. Note that Battle Creek, Deer Creek and the Upper Yuba are not part of the Central Valley DPS but are part of the same region. Mexican natural-origin populations are indicated with branches and names colored.


Figure 3.7: Unrooted neighbor-joining dendogram using both types of markers (12 microsatellites and 85 SNPs) combined. The dendogram was constructed with pairwise genetic distances and 1,000 bootstrapped distance matrices from the 18 O. mykiss, 19 NSMO, $10 O$. chrysogaster, and 12 SSMO natural-origin populations, four $O$. clarkii subspecies, and five Mexican and four California hatchery stocks. $>50 \%$ percent bootstrap support is indicated in internal branches. California O. mykiss populations (creeks) are highlighted in colors. Mexican natural-origin populations are indicated with branches and names colored.


Figure 3.8: Principal Components Analysis (PCA) of allele frequencies. Each plot includes populations from four California hatchery strains (Coleman, Kamloops, Eagle Lake, and Mount Shasta) and a) Populations from all Río Yaqui-Río Bavispe and Río Guzmán tributaries, and samples from "Truchas La Presita" and "Yenquin" hatcheries; b) Populations from all Río Fuerte tributaries, samples from an abandoned hatchery located at the Río Fuerte-Arroyo Aparique, and samples from "Centro Trutícola Guachochi"; c) Populations from all Río San Lorenzo tributaries and samples from "Piscicultura Vencedores" hatchery; d) Populations from the three southernmost populations, ríos Presidio, Baluarte, and Acaponeta. The difference in color (red, blue and green channel) between clusters indicates divergence using the first three PCs.


Figure 3.9: STRUCTURE analysis of hatchery rainbow trout ancestry. Estimated membership fraction (represented by color proportions) from four California hatchery strains (Coleman, Kamloops, Eagle Lake, and Mount Shasta) and a) Populations from all Río Yaqui-Río Bavispe and Río Guzmán tributaries, and samples from"Truchas La Presita" and "Yenquin" hatcheries; b) Populations from all Río Fuerte tributaries, samples from an abandoned hatchery located at the Río Fuerte-Arroyo Aparique, and samples from "Centro Trutícola Guachochi"; c) Populations from all Río San Lorenzo tributaries and samples from "Piscicultura Vencedores" hatchery; d) Populations from the three southernmost populations, ríos Presidio, Baluarte, and Acaponeta. Horizontal plots represent STRUCTURE runs constructed with Distruct. Each thin, colored, vertical line represents one individual. Populations are separated by vertical black lines. Five iterations of each $K$ value are shown ( $K=2-7$ ).

### 3.4 Discussion

Despite previous efforts, little is known about the relationships among Mexican trout populations (Ruiz-Campos \& Pister 1995; Hendrickson et al. 2002, 2006; RuizCampos et al. 2003; Camarena-Rosales et al. 2007), and between them and other trout species (Nielsen et al. 1998; Nielsen \& Sage 2001; De los Santos-Camarillo 2008; Mayden et al. 2010).

In this study, I find at least five major lineages of trout inhabiting northwestern Mexico that originated from at least two, and possibly three, separate colonization events. I found significant divergence between trout from the SMO and O. mykiss populations, as well as the other three previously named species analyzed ( O. apache, O. gilae, and O. clarkii).

I confirmed the vast genetic diversity present in the trout inhabiting northwestern Mexico. In spite of the diversity previously shown in the Mexican trout complex, only two taxa have been formally described and protected by Mexican law (SEMARNAT 2000). These are Nelson's trout, O. mykiss nelsoni (Evermann 1908) and the Mexican golden trout, O. chrysogaster (Needham \& Gard 1964). Nelson's trout is native to the Río Santo Domingo in the Sierra de San Pedro Mártir in northern Baja California (Evermann 1908; Snyder 1926; Ruiz-Campos \& Pister 1995), but its taxonomic status as a subspecies has been questioned (Miller et al. 2005). Results from the STRUCTURE analysis and PCA indicate that Nelson's trout is, in fact, more closely related to $O$. mykiss than to SMO trout or other species, in agreement with early observations. This
subspecies was the first group of Mexican trout to be characterized, when Evermann (1908) described it as a new species (Salmo nelsoni). Later, Snyder (1926) concluded that these trout were closely related to Salmo irideus, now called O. mykiss irideus (Needham 1938). Moreover, the phylogenetic tree shows a stronger proximity of this group to O. mykiss Southern California DPS populations than to any other California populations or hatchery rainbow trout. This result indicates that during the most recent radiation of coastal steelhead, populations extended their range at least as far south as the Sierra de San Pedro Mártir in Baja California. Even though I did not find strong genetic differentiation of this population from other $O$. mykiss with the markers used, it is important to note that $O$. m. nelsoni had significantly lower genetic diversity than all other $O$. mykiss populations and the highest $F_{S T}$ values among them (Tables S 2 and S3), an indication of small effective population size ( Ne ) and long isolation time.

My results show extremely strong genetic differentiation among Mexican trout from the SMO, not only between basins but also at a smaller scale among localities within basins. I observed higher pairwise $F_{S T}$ estimates between SMO trout (mean $F_{S T}$ $=0.351-0.684)$ than between $O$. mykiss populations (mean $F_{S T}=0.244-0.527$ ). As mentioned above, $F_{S T}$ is highly influenced by $N e$. Small populations experience stronger effects of genetic drift and, in turn, reduced heterozygosity. This is directly related to the estimation of $F_{S T}$; therefore, the high values observed between SMO populations are likely a consequence of the small population sizes. This is also supported by the low microsatellite allelic richness and the proportion of polymorphic SNPs found in SMO trout.

Within the SMO trout, I found at least four well-differentiated lineages. The ríos Yaqui, Mayo, Guzmán, and the northern Río Conchos tributaries form a unique evolutionary unit, very different from the other species, as well as from other SMO drainages. This result is concordant with previous reports using mtDNA (Nielsen et al. 1998; Camarena-Rosales et al. 2007) and microsatellites (Nielsen \& Sage 2001; De los Santos-Camarillo 2008). My analysis also confirmed the previously observed local structure within the Río Yaqui (Hendrickson et al. 1980; Nielsen \& Sage 2001; Camarena-Rosales et al. 2007; De los Santos-Camarillo 2008), represented by the two main tributaries: the Río Bavispe populations to the north and the Río Sirupa ones to the south. Río Guzmán trout seem to be closely related to trout from Río Bavispe, while Río Mayo trout associate with Río Sirupa populations. These Río Bavispe/Río Guzmán and Río Sirupa/Río Mayo relationships were detected by Nielsen and Sage (2001), who discussed the hypotheses of multiple natural environmental events that interconnected several tributaries of the ríos Yaqui, Guzmán, Mayo, and Conchos basins (Hendrickson et al. 1980), permitting the migration of multiple species of fish from one to the other (Schönhuth et al. 2011; Domínguez-Domínguez et al. 2011), versus interbasin transplants by humans (Behnke 1992). They concluded that both hypotheses are highly plausible and neither their nor my results can confirm one or the other and it could be a combination of both factors played a role in creating the observed patterns.

The results confirm that $O$. chrysogaster populations from ríos Fuerte, Sinaloa and Culiacán form a monophyletic group, with the exception of trout from two tributaries of the Río Fuerte (arroyos San Vicente and Aparique), which jumped from cluster
to cluster depending on the analysis used. For example, they cluster with $O$. mykiss or San Lorenzo/Piaxtla with the PCA depending on which principal components are used (Figure 3.5), but they cluster with San Lorenzo/Piaxtla on the phylogenetic trees (Figure 3.6 and Figure 3.7). This could be the result of reduced genetic variation or genetic introgression. Also, I found very strong genetic differentiation between and within the three basins where they were known to occur. However, I did not observe any evidence of subgrouping by basin as in Ruiz-Campos et al. (2003) and Camarena-Rosales et al. (2007), but a strong association between tributaries from different basins that are geographically adjacent to each other (PCA not shown), an indication of migration between them. More detailed studies would be necessary to understand the small-scale structure found here.

Río Conchos trout were originally described as "cutthroat type" (Cope 1886) but then not seen for decades. Trout were recently rediscovered in the Río Conchos after exhaustive efforts from the group "Truchas Mexicanas" (Hendrickson et al. 2006). My results do not indicate that populations from this basin are related to cutthroat trout, but more closely related to either the Yaqui/Mayo/Guzmán complex or to $O$. chrysogaster. Trout from ríos Rituchi and Ureyna, tributaries of the Río Conchos, group tightly with Río Yaqui-Río Bavispe trout. In contrast, Conchos-El Molino and Fuerte-Verde formed a single cluster on the PCA and cluster together in the trees along with the $O$. chrysogaster lineage. In spite of the fact that these two tributaries are on alternative sides of the continental divide, they are geographically adjacent (Figure 3.1) and stream capture episodes could have caused dispersal from Río Fuerte-Verde into

Río Conchos-El Molino. This movement of fish between Río Fuerte and Río Conchos has been previously reported in other freshwater fish species (Schönhuth et al. 2011, 2014; Domínguez-Domínguez et al. 2011).

Río San Lorenzo and Río Piaxtla formed another independent evolutionary group in the analyses. Unfortunately, trout from Río San Lorenzo-Arroyo La Sidra are heavily introgressed by hatchery rainbow trout (Figure 3.8 and Figure 3.9), as indicated in previous reports where a considerable number of migrants between native and exotic trout ( $N m=2.7$ ) was estimated ( $D e$ los Santos-Camarillo 2008). Samples taken below the hatchery (downstream) showed a higher level of introgression than those above the hatchery (upstream). No introgression was detected at other Río San Lorenzo tributaries, indicating that hatchery rainbow trout has not extended its range in this basin beyond the immediate vicinity of the hatchery.

I observed that trout from ríos Presidio, Baluarte and Acaponeta are more closely related to $O$. mykiss than the other SMO lineages. Hybridization between trout from these southern drainages and exotic rainbow trout has been previously detected (De los Santos-Camarillo 2008) and other studies have considered them to be introduced rainbow trout based on morphologic characteristics (Miller et al. 2005). My results indicate that trout from ríos Presidio and Baluarte may be partly of hatchery origin, although the combined tree (Figure 3.7), the PCA (Figure 3.8) and the STRUCTURE runs (Figure 3.9) suggest that they may form a unique lineage of, at least partially, native ancestry. These observations could be the result of a more recent natural colonization event of an andromous $O$. mykiss or hybridization between native trout and
hatchery rainbow trout. Previous studies that looked at impacts of hatchery rainbow trout on natural origin trout in southern California indicate that they did not have a significant impact on the naturally spawning populations (Clemento et al. 2009) and suggested that this low contribution of hatchery fish to natural reproduction could be the consequence of ancestral differences in reproductive patterns. Natural origin trout from the Presidio, Baluarte and Acaponeta are at the extreme south of the species natural distribution and they are presumably well adapted to the local conditions prevalent in their environment. This local adaptation could be somewhat acting as a reproductive barrier between the native and exotic trout, explaining the divergence observed between trout from the ríos Presidio and Baluarte. Also, strong differences in body size between native and introduced rainbow trout in that region has been suggested as an impediment to hybridization (De los Santos-Camarillo 2008). Unfortunately, trout from Río Acaponeta clusters with $O$. mykiss in every analysis performed here and, more specifically, this trout associates with hatchery rainbow trout strains. These results provide strong evidence that trout from Río Acaponeta are descended directly from hatchery rainbow trout or, if still present, that the native population is completely introgressed.

Samples from Centro Trutícola, the hatchery in Guachochi, Chihuahua come from a program with objectives to raise and cross a strain of $O$. chrysogaster for conservation purposes (Barriga-Sosa et al.). Unfortunately, my results indicate that trout from this hatchery do not correspond to $O$. chrysogaster or any other native SMO lineages. In contrast, they tightly cluster with hatchery rainbow trout, indicating incorrect identification of the fish when collected, or total introgression from rainbow trout also
raised at the hatchery.
The first documented introduction of non-native trout into Mexican waters was in 1886, when about 33,000 O. mykiss irideus eggs were imported from Baird Station on the McCloud River, California, United States (Arredondo-Figueroa 1983). The total number of rainbow trout hatcheries in Mexico is unknown, but some unofficial reports indicate that there are around 40 hatcheries that produce 110 tons a year in the state of Durango alone and about 182 hatcheries that produce 184 tons a year in the state of Chihuahua (Diaz 2010; Aquahoy 2011). Both of these states possess native trout populations.

Fish reared at hatcheries face problems such as domestication selection, inbreeding depression and increased disease susceptibility. Introductions of non-native species in any environment can have devastating effects on local species. These effects can range from reduction of the native genetic diversity, to complete extinction of local populations (Rhymer \& Simberloff 1996; USFWS 2003). Introgression from non-native rainbow trout was present at different levels in most of the tributaries with established hatcheries, but the genetic integrity of native trout from northwestern Mexico is still maintained in many watersheds. The information in the present study is crucial to guide effective conservation strategies for this globally important group of fishes.

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## General Conclusion

The dissertation presented here represents an in-depth evaluation of trout populations in Northwest America at different scales, from the evaluation of biological traits throughout the reconstruction of pedigrees in two populations to a phylogeographic examination of multiple trout species. Here, the combination of novel molecular techniques allow me to answer critical ecological questions for the appropriate management of perhaps one of the most important group of fish in the world: The Pacific Trout.

Chapter one is a description of the discovery, characterization and development of assays for a large number (139) of SNP loci for steelhead/rainbow trout (AbadíaCardoso et al. 2011). I exploited EST databases to design nearly 500 primer sets for functional genome regions. PCR products resulting from these genes, which include both exonic and intronic regions, were then sequenced in an ascertainment panel of 22 fish designed to simultaneously represent some of the phylogenetic diversity of the species and to provide polymorphic markers for focal populations in California. These SNP markers represent a valuable resource for studying ecological interactions, phylogeography, and conservation status, as well as for pedigree reconstruction, individual and genetic stock identification and, eventually, for linkage mapping.

During the past decade, microsatellite markers have dominated population genetic work in salmonids, due to their high variability and conservation among related species (Aguilar \& Garza 2006; Clemento et al. 2009; Pearse et al. 2007; Pearse et al. 2009). However, microsatellites have significant drawbacks, among them relatively high genotyping error/mutation rates, significant staff time necessary for data generation and allele calling, and homoplasy. Moreover, the results obtained with microsatellites
in one laboratory are not directly combinable with data generated in other laboratories (Seeb et al. 2007). Conversely, data obtained from SNP loci are easily portable and combinable between laboratories. Although SNPs were initially granted dim prospects for relationship inference in molecular ecology (Glaubitz et al. 2003), Anderson and Garza (2006) demonstrated that a relatively small number of SNPs ( $<100$ ) would allow accurate parentage studies larger than any that had been previously attempted. The coincidence of that work with the advent of novel genotyping platforms that permit the rapid genotyping of thousands of individuals at many loci has now set the stage for SNPs to be the marker of choice for large-scale parentage studies and for genetic tagging of migratory species.

Chapter two consists of the elucidation of critical reproductive patterns in ESA-listed steelhead from a supplementation program in the Russian River, CA, using a pedigree-based intergenerational genetic tagging protocol to provide information comparable to that obtained by physical tagging methods (Abadía-Cardoso et al. 2013). Artificial propagation and subsequent supplementation can have numerous negative effects on natural populations (Utter 1998; Bryant \& Reed 1999; Frankham 2008; Williams \& Hoffman 2009; Christie et al. 2012) and detailed estimates of reproductive and behavioral trait values of the propagated population is a critical first step in understanding and minimizing these consequences. I demonstrated how the use of pedigree-based genetic tagging provides a powerful means of understanding many basic biological traits in relatively high fecundity species with significant conservation concerns. The use of such analyses as a surrogate for traditional tagging methods provided us with a large
number of pedigrees, and allowed us to evaluate patterns on a family level. Specifically, I assigned most individuals that return from the ocean to pairs of parents that were spawned on the same day, but without cross information recorded. A matching samples analysis allowed us to estimate the number of fish that were spawned multiple times within a single season and the number that return and reproduce in multiple seasons. These estimates reveled inconsistencies from hatchery program goals. The two hatchery programs evaluated here use local fish as broodstock, provide substantial numbers of spawners in natural areas, and are not genetically differentiated from the natural populations in the Russian River (Deiner et al. 2007). As such, elucidation of life history patterns in these hatchery steelhead populations allowed us to examine whether they were negatively influencing the associated natural populations. I estimated the variation in family size and the age distribution amongst reproducing fish. I observed a high proportion of age-two spawners, contrasting with the management plan for these hatchery programs (FISHPRO 2004) that recommends less than $1 \%$ of spawners be age-two fish. I also found that fish of different ages spawn on significantly different dates. These patterns on a family level are exactly what is necessary for a classical estimation of trait heritability using parent/offspring and sibling/sibling regression (Fisher 1918). The finding that spawning time in steelhead is highly heritable is both a novel and important outcome of this approach, with implications for management and conservation. All the information obtained in this chapter will allow for better strategies for supplementation programs and ultimately lead to more effective conservation and management plans.

Simultaneously, the powerful molecular tools developed along with the extensive sampling effort, were applied in chapter three to population genetic analysis of the Mexican trout, to evaluate population structure and differentiation, and to understand its phylogeographic distribution. An important first steps in construction of an effective conservation strategy for any taxon is to document the diversity of biological units in that taxon and gain understanding of the evolutionary processes that result in the generation of those biological units (Mayden \& Wood 1995). However, the taxonomic status of native trout inhabiting northwestern Mexico has been the subject of speculation and controversy for decades. Only two taxa from the Mexican trout complex have been formally described, even though the complex has long been considerd as highly diverse (Behnke 2002), and other groups of trout distributed along the Sierra Madre Occidental (SMO) have not been formally described. For years, researchers have tried to investigate the evolutionary relationships among the Mexican trout complex providing valuable information and some insight into the diversity of the trout inhabiting northwestern Mexico. However, these groups of trout have been considered as undescribed subspecies of $O$. mykiss (Nielsen \& Sage 2001; Behnke 2002). It is evident that the incomplete sampling effort, the small sample sizes, and the low resolution of these analyses have left many unresolved questions. Conservation of the trout inhabiting northwestern Mexico first requires complete documentation of the genetic diversity, as well as a complete understanding of the evolutionary history of this species complex. My analysis included fish from all the Mexican basins in which native trout have been reported. I also put these Mexican populations in a phylogeographic perspective by
comparing them with other trout species ( $O$. mykiss and $O$. clarkii), specifically with those that are presumably closely related and with a similar evolutionary history ( $O$. gilae and O. apache).

Here, I confirmed the vast genetic diversity present in the trout inhabiting northwestern Mexico. I also confirmed that Nelson's trout is, in fact, more closely related to $O$. mykiss than to SMO trout or other species. I provided evidence that trout inhabiting the SMO correspond to independent lineages separated from $O$. mykiss. The results show extremely strong genetic differentiation among Mexican trout from the SMO, not only between basins but also at a smaller scale among localities within basins. Within the SMO trout I found at least four well-differentiated lineages. The ríos Yaqui, Mayo, and Guzmán form a unique evolutionary unit and the ríos San Lorenzo and Piaxtla another one, very different from the other species. O. chrysogaster populations form a monophyletic group and show strong differentiation between and within the three basins where they were known to occur. Río Conchos trout were originally described as "cutthroat type" but then not seen for decades. Trout were recently rediscovered in the Río Conchos after exhaustive efforts from the group "Truchas Mexicanas" (Hendrickson et al. 2006). The present work represents the first to genetically examine Río Conchos trout since these small populations were rediscovered. My results do not indicate that populations from this basin are related to cutthroat trout, but alternatively that populations from tributaries of the northern Río Conchos are more closely related to the Yaqui/Mayo/Guzmán complex and tributaries from the southern Río Conchos to $O$. chrysogaster. Finally, I observed that trout from ríos Presidio, Baluarte and Acaponeta
are more closely related to $O$. mykiss than the other SMO lineages, and it is unclear if it is a result of hybridization with hatchery rainbow trout or a more recent natural colonization event of an anadromous $O$. mykiss.

Introgression from non-native rainbow trout was present at different levels in most of the tributaries with established hatcheries, but the genetic integrity of native trout from Northwestern Mexico is still maintained in many watersheds. The information in the present study is crucial to guide effective conservation strategies for this globally important group of fishes.

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## Supplemental material

Supplement 3.1. Pairwise $F_{S T}$ estimates for all populations and strains (below diagonal) and significance $p$-values (above diagonal). Labels on the y -axis indicate full location and abbreviations are on the x -axis. Lines indicate breaks for the main groups (O. mykiss, O. m. nelsoni, NSMO, O. chrysogaster, SSMO, O. apache, O. gilae, and O. clarkii ssp., Mexican and California hatcheries.


[^0]:    Dean Tyrus Miller
    Vice Provost and Dean of Graduate Studies

