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Title

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Permalink

<https://escholarship.org/uc/item/898503pk>

Journal

Geophysical Research Letters, 45(2)

ISSN

0094-8276

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[et al.](#)

Publication Date

2018-01-28

DOI

10.1002/2017gl075124

Peer reviewed

1 **Quantifying the effects of historical land cover conversion uncertainty on**
2 **global carbon and climate estimates**

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12

13 **Key Points:**

- 14 • Land cover conversion uncertainty constitutes a 5 ppmv range in estimated
15 atmospheric CO₂ concentrations in 2004
- 16 • Land cover conversion uncertainty generates land carbon uncertainty that is 80%
17 of net CO₂ and climate effects on terrestrial carbon stock through 2004
- 18 • Land cover conversion uncertainty generates a range in projected local surface
19 temperature of over 1° C (1984-2004 avg)

20 Abstract

21 Previous studies have examined land use change as a driver of global change, but the
22 translation of land use change into land cover conversion has been largely unconstrained.
23 Here, we quantify the effects of land cover conversion uncertainty on the global carbon
24 and climate system using the integrated Earth System Model. Our experiments use
25 identical land use change data and vary land cover conversions to quantify associated
26 uncertainty in carbon and climate estimates. Land cover conversion uncertainty is large,
27 constitutes a 5ppmv range in estimated atmospheric CO₂ in 2004, and generates carbon
28 uncertainty that is equivalent to 80% of the net effects of CO₂ and climate and 124% of
29 the effects of nitrogen deposition during 1850-2004. Additionally, land cover uncertainty
30 generates differences in local surface temperature of over 1 °C. We conclude that future
31 studies addressing land use, carbon, and climate need to constrain and reduce land cover
32 conversion uncertainties.

33 1 Introduction

34 Global socioeconomic and Earth system modeling efforts, such as phase 5 of the
35 Coupled Model Intercomparison Project (CMIP5) [Taylor *et al.*, 2012] for the Fifth
36 Assessment Report (AR5) of the Inter Governmental Panel on Climate Change (IPCC),
37 aim to provide understanding of potential climate change given scenarios of human
38 economic and agricultural activity. The Representative Concentration Pathways (RCPs)
39 [van Vuuren *et al.*, 2011] prescribe the amounts of anthropogenic emissions and land use
40 change used by Earth System Models (ESMs) to estimate atmospheric CO₂ concentration
41 and climate change (IPCC, 2013). Recent advances have improved communication
42 between these modeling communities through dataset harmonization for common and
43 consistent anthropogenic forcing of ESMs [Hurtt *et al.*, 2011; Lamarque *et al.*, 2010; van
44 Vuuren *et al.*, 2011]. However, land use change is uniquely implemented in each ESM
45 with differences in land cover representation, definitions, conversion processes, and
46 assumptions [Brovkin *et al.*, 2013; Pitman *et al.*, 2009]. Furthermore, although land use
47 was harmonized for CMIP5 [Hurtt *et al.*, 2011], each ESM used its own land cover
48 distribution and conversion approach because the ESMs were structured to apply
49 *exogenous land use* to *endogenous land cover* implementations. As Land Use and Land
50 Cover Change (LULCC) has both biophysical [e.g., Brovkin *et al.*, 2013; A D Jones *et al.*,
51 2013a; Pitman *et al.*, 2009] and biogeochemical [e.g. Arora and Boer, 2010; Di Vittorio
52 *et al.*, 2014; Jain and Yang, 2005; Ying-Ping *et al.*, 2015; Zhang *et al.*, 2013] effects on
53 the Earth system, different implementations of the same land use scenario can constitute
54 vastly different ESM LULCC scenarios, with corresponding differences in regional and
55 global carbon [e.g., Di Vittorio *et al.*, 2014] and climate [e.g., A D Jones *et al.*, 2013a]
56 projections.

57 The contribution of LULCC uncertainty to carbon and climate projections is
58 important for understanding potential global change impacts, as many climate mitigation
59 and adaptation strategies rely on local LULCC [e.g., Rose *et al.*, 2012; S J Smith and
60 Rothwell, 2013; van Vuuren *et al.*, 2011] with corresponding effects on carbon [e.g., Jain
61 and Yang, 2005] and climate [e.g., Bright *et al.*, 2017]. Assessment of such strategies can
62 be confounded if LULCC uncertainty is comparable to intra- or inter-scenario
63 differences. For example, Peng *et al.* [2017] estimated a 1990 forest area uncertainty (2.9

64 Mkm²) due to historical land conversion uncertainty that is ~161% of the estimated
 65 increase in RCP2.6 forest area from 2005 to 2100 and ~242% of the difference in
 66 estimated 2100 forest area between RCPs 2.6 and 6.0 [Hurtt *et al.*, 2011]. The
 67 uncertainties in net LULCC emissions [Ciais *et al.*, 2013, Figure 6.10; Houghton *et al.*,
 68 2012; Le Quéré *et al.*, 2015] and residual land-atmosphere CO₂ flux [Ciais *et al.*, 2013,
 69 Figure 6.16] are already large without accounting for land cover conversion uncertainty.
 70 Accounting for this uncertainty increases emissions uncertainty [Peng *et al.*, 2017] and
 71 affects the significance of land use strategies aiming to reduce emissions. Therefore,
 72 increasing accuracy and evaluation of LULCC, and in particular land cover change, is
 73 paramount for understanding global change.

74 Given the significant influence of LULCC on carbon and climate, a primary
 75 question remains largely unexplored: How large are uncertainties associated with the
 76 translation of land use change information into land cover change, in terms of global
 77 carbon and climate? A primary obstacle to exploring this question has been the limited
 78 LULCC flexibility in Earth system models [e.g., Brovkin *et al.*, 2013; Pitman *et al.*,
 79 2009]. However, the integrated Earth System Model (iESM) [Bond-Lamberty *et al.*,
 80 2014; Collins *et al.*, 2015; Di Vittorio *et al.*, 2014; A D Jones *et al.*, 2013a; Thornton *et al.*,
 81 2017] provides a unique structure for addressing this question. Here, we use this
 82 model to quantify the envelope of uncertainties associated with land conversion
 83 assumptions and their effects on the global carbon-climate system during 1850-2004. We
 84 compare these uncertainties to those of CO₂ fertilization, climate change, and nitrogen
 85 deposition, and also analyze effects on local climate.

86 **2 Materials and Methods**

87 **2.1 The integrated Earth System Model (iESM)**

88 The iESM [Bond-Lamberty *et al.*, 2014; Collins *et al.*, 2015; Di Vittorio *et al.*
 89 *et al.*, 2014; A D Jones *et al.*, 2013a; Thornton *et al.*, 2017] integrates the Global
 90 Change Assessment Model (GCAM, v3.0) [Calvin *et al.*, 2011], Global Land-use
 91 Model (GLM) [Hurtt *et al.*, 2011], and Community Earth System Model (CESM,
 92 v1.1.2) [CESM1.1 Series Public Release] following the CMIP5 land use
 93 harmonization protocol [Hurtt *et al.*, 2011] with additional feedbacks from the
 94 land surface in CESM to GCAM. A unique feature of the iESM is its inline Land
 95 Use Translator (LUT) that converts GLM outputs to CESM inputs [Di Vittorio *et al.*
 96 *et al.*, 2014; P Lawrence *et al.*, 2012]. Here we use historical land use data from
 97 GLM [Hurtt *et al.*, 2011], and thus GCAM and GLM components are inactive.
 98 The initial conditions are from a standard year-1850 spinup simulation.

99 The iESM translates GLM land use change into iESM land cover change
 100 each year using an LUT with adjustable land cover conversion assumptions [Di
 101 Vittorio *et al.*, 2014; P Lawrence *et al.*, 2012]. Historical land use is provided
 102 globally at half degree grid cell fractional resolution, and includes cropland,
 103 pasture, urban, secondary and primary vegetation, annual transitions between
 104 these categories, and both area and amounts of wood harvest [Hurtt *et al.*, 2011].
 105 The LUT uses only annual crop and pasture and harvested area information to
 106 coincide with iESM land model implementation (Community Land Model v4,

107 CLM) [*D M Lawrence et al.*, 2011]. Given user-specified land cover conversion
108 assumptions, the LUT converts GLM land use change to changes in CLM land
109 cover, which lacks pasture and comprises 16 Plant Functional Types (PFTs): bare
110 ground, eight trees, three grasses, three shrubs, and one crop. The initial GLM
111 pasture area is assigned first to grass PFTs, then to shrubs, and finally to trees, as
112 needed. All new pasture is added as grass. A unique feature of the LUT is that it
113 can track existing pasture in relation to CLM PFTs throughout a simulation. The
114 LUT can be configured with different reference years for calculating LULCC and
115 with land cover conversion assumptions ranging continuously between
116 maximizing and minimizing forest area. There are separate parameters for
117 expansion and contraction of agriculture, and each of these parameters defines the
118 relative amounts of forest versus grass/shrub PFTs to convert. The center of this
119 assumption range converts land cover proportionally to existing (for agricultural
120 expansion) or potential (for agricultural contraction) PFT coverage.

121

122 2.2 Historical LULCC simulations

123 2.2.1 Land model only simulations

124 We performed eight, half-degree, global, land only simulations to
125 separate the effects of LULCC and atmospheric inputs on carbon (Table
126 1). These simulations varied in reference year, land cover conversion
127 assumptions, and atmospheric forcing. We used two standard LULCC
128 configurations and designed three more to span a maximum range of land
129 cover conversion. These configurations are based on different reference
130 years and land cover conversion assumptions, but with identical land use
131 data and the same initial PFT distribution in 1850. “No LULCC” with no
132 wood harvest is a standard reference configuration for estimating net
133 LULCC emissions and ecosystem carbon changes due to LULCC. These
134 estimates are based on the difference between another simulation and this
135 No LULCC case, with net emissions constituted by net ecosystem
136 exchange minus wildfire emissions. The “Default” case is the standard
137 LULCC configuration for CESM, and it uses year 2000 as the reference
138 for calculating each year’s land use/cover distribution [*P Lawrence et al.*,
139 2012]. The three other LULCC configurations use the previous year for
140 reference (chronological), and are used to quantify maximum uncertainty
141 ranges associated with land cover conversion: “Max Forest” preferentially
142 converts grass and shrubs upon agricultural expansion and expands forest
143 to its potential limit upon agricultural contraction, “Min Forest”
144 preferentially converts forest upon agricultural expansion and expands
145 grass and shrubs to their potential limits upon agricultural contraction, and
146 “Proportional” removes or adds PFT area proportionally to existing or
147 potential PFT coverage for agricultural expansion and contraction,
148 respectively. These three chronological LULCC configurations also
149 account for existing pasture when calculating PFT distribution, which

150 means that new cropland or pasture cannot replace PFTs that are already
 151 assigned to pasture. In addition to the simulations corresponding to the
 152 LULCC configurations, we ran three Proportional simulations with
 153 specific atmospheric forcings held constant. These constant forcing
 154 simulations are used to quantify the effects of CO₂, climate, and N
 155 deposition on the terrestrial carbon budget. Two additional, intermediate
 156 LULCC configurations are presented in Text S1.

157 We used the land use change module [*P J Lawrence et al., 2012*] with
 158 carbon-nitrogen biogeochemistry [*Thornton et al., 2007*] for all cases. The
 159 CRU-NCEP data [*CRU-NCEP data*] were the meteorological drivers and
 160 years 1901-1920 were cycled prior to 1901. The “Constant climate and
 161 CO₂” case continued to cycle these years after 1920. The simulations also
 162 used transient CO₂ and aerosol concentrations and nitrogen deposition,
 163 following CMIP5 protocols, except for constant forcing cases. The
 164 “Constant climate and CO₂” and “Constant CO₂” cases held CO₂
 165 concentration at the 1850 level, and the “Constant N deposition” case held
 166 nitrogen deposition at the 1850 level.

167

168 2.2.2 Coupled Earth system model simulations

169 We performed four, one-degree (0.9375°x1.25°), fully coupled
 170 simulations that were otherwise identical to their corresponding land
 171 model only simulations (Table 1). The additional active components were
 172 a dynamic ocean [*R Smith et al., 2013*], the Community Atmosphere
 173 Model v5 [*Neale et al., 2012*], and prognostic land-atmosphere-ocean
 174 biogeochemistry. We also used the CMIP5 historical CO₂, aerosol, and
 175 reactive gas emissions forcings [*Lamarque et al., 2010, Meinshausen et*
 176 *al., 2011*].

177

178

179 3 Results

180 3.1 Global carbon cycle

181 Forest area is a primary driver of global carbon uncertainty due to land
 182 cover conversion assumptions. Shifting from a year-2000 reference to
 183 chronological LULCC and accounting for existing pasture reduces forest and
 184 shrub areas and increases grass area because additional pasture requires land to be
 185 cleared (Figure 1). This causes the chronological cases to deviate from the Default
 186 PFT distribution by 2005, with a 5.1 M km² difference in forest area between the
 187 Max and Min Forest cases, mostly compensated for by grass. Also, the Max
 188 Forest case has a similar global forest area trajectory to the Default case, with a
 189 final value of ~42 M km². The one-degree, fully coupled simulations have nearly
 190 identical PFT distributions to the half-degree simulations, with the exception of

191 the Max Forest case having ~ 1 M km² less forest and more grass by 2005 due to
192 resolution-dependent limits to adding forest area. This results in a 3.9 M km²
193 difference in forest area between the Max and Min Forest cases for the fully
194 coupled analyses.

195 The land-only, chronological cases enable us to directly quantify and
196 compare the effects of land cover conversion uncertainty, CO₂ concentration,
197 climate, and nitrogen deposition on net LULCC emissions. Land cover change
198 leading to a final forest area difference of 5.1 M km² constitutes uncertainty in the
199 global carbon cycle comparable to the combined effects of CO₂ concentration and
200 climate on LULCC carbon emissions, and greater than those of nitrogen
201 deposition. The chronological cases generally have higher net direct annual
202 LULCC emissions than the Default, and the annual CO₂ and nitrogen deposition
203 effects do not exceed the Max to Min Forest range until after 1950 (Figure 2).
204 Cumulatively, the 59 PgC Max to Min Forest range of emissions from 1850-2004
205 is greater than the individual effects of increasing CO₂ (-55 PgC) and nitrogen
206 deposition (-27 PgC). Climate change has a negligible effect on the cumulative
207 emissions (+2 PgC). For comparison, the range between Min Forest and Default
208 for years 1850-1990 is 61 PgC, which is less than the overall range of 98 PgC
209 reported by Peng et al. [2017] that includes methodological and data uncertainty
210 in addition to land cover conversion uncertainty. With respect to emissions
211 estimates, the Max Forest case has 190 PgC of cumulative emissions, which is
212 within the 110-210 range presented by Smith and Rothwell (2013). Our
213 uncertainty range is 37% of their midpoint value and the Min Forest case (249
214 PgC) exceeds their range.

215 Land cover conversion uncertainty also generates large uncertainty in land
216 and atmosphere carbon stocks. The 33 PgC Max to Min Forest range of terrestrial
217 ecosystem carbon lost to LULCC by 2005 is 80% of the corresponding net effects
218 of increasing CO₂ plus climate change (41 PgC) (Figure 2). As expected, the
219 intermediate cases give intermediate results with an ecosystem carbon range that
220 is 46% of this net CO₂ plus climate effect (Text S1 and Figure S2). Also as
221 expected, the regional distribution of this uncertainty depends on forest difference
222 (Figure 3a) and carbon content (Figure S3). Climate change increases terrestrial
223 carbon loss by 11 PgC, likely through reduction of productivity on abandoned
224 land, while CO₂ and nitrogen deposition decrease loss by 52 and 27 PgC,
225 respectively, likely due to fertilization effects. Based on the fully coupled
226 simulations, the Proportional case increases the 15 ppmv Default case bias in
227 atmospheric CO₂ to 21 ppmv, and the Max to Min Forest range is 5 ppmv. The
228 Max Forest case has similar global forest area to the Default case, but an
229 additional 9 PgC of carbon is lost in the Max Forest case due to shrub loss,
230 increasing the atmospheric bias to 20 ppmv. These differences in ecosystem
231 carbon and CO₂ concentration are compensated by differences in ocean carbon,
232 with 40% and 49% of additional ecosystem carbon loss going to the ocean for
233 Max Forest versus Default and Min Forest, respectively.

234

235 3.2 Local climate

236 Earth system model simulations demonstrate that relatively small
237 uncertainties in land cover lead to significant differences in regional climate
238 through biophysical effects. The Max minus Min Forest difference in forest cover
239 ranges from -8 to 31 percent of the grid cell, with per cell surface temperature
240 differences ranging from -0.87 to 1.62 °C (Figures 3, S4). These values are
241 greater than the LULCC effects on land surface temperature for RCPs 2.6 and 8.5
242 estimated by Brovkin et al. [2013]. Our per-cell uncertainty range for June-July-
243 August is -0.75 to 1.37 °C, which is comparable to historical LULCC effects on
244 land surface temperature estimated by Pitman et al. [2009]. While albedo
245 generally decreases with increasing tree cover, thus increasing shortwave
246 radiation absorbed by the surface, the local surface temperature both increases and
247 decreases with increasing tree cover due to compensating effects of latent and
248 sensible heating. Sensible heating is more sensitive than latent heating to changes
249 in forest cover at the grid cell level (Figure S5), which contributes to the Max
250 Forest case having a global average temperature (1985-2004) that is 0.1 °C
251 greater than that of the Min Forest case.

252

253 **4 Discussion**

254 Land cover conversion assumptions and uncertainties significantly affect carbon
255 and climate projections. These uncertainties drive global carbon cycle uncertainty that is
256 comparable to the net effects of CO₂ and climate on the global carbon cycle from 1850 to
257 2004, and greater than the effects of nitrogen deposition. Climate change has little effect
258 on net LULCC emissions, but it does increase the amount of terrestrial carbon lost to
259 LULCC. Relatively small differences (<10% of grid cell) in forest cover can generate
260 differences in local surface temperature of over 1 °C, which is comparable to estimated
261 effects of LULCC on temperature [Brovkin et al., 2013; Pitman et al., 2009]. This
262 temperature uncertainty is regionally dependent and the sign varies in response to local
263 and distributed effects of land cover change, combined with differences in the general
264 circulation associated with different land surface trajectories. Our results are
265 conservative, in that we focus on uncertainty in land cover conversion assumptions.
266 Additional sources of uncertainty include the land use forcing data, the initial and
267 present-day land distributions, and model implementation of LULCC.

268 Our results suggest that the initial, transient, and final CLM land cover
269 distributions may not reflect actual distributions. Basing LULCC on changes from the
270 previous year and accounting for existing pasture moves the iESM farther from current
271 land cover and carbon cycle estimates, and requires forest maximization assumptions to
272 bring it back to default CESM carbon cycle behavior. However, it is unlikely that a single
273 conversion assumption adequately represents the entire globe [Prestele et al., 2017].
274 Nonetheless, the extreme assumptions in this study are not far from other assumptions
275 used in ESMs [Peng et al., 2017; Prestele et al., 2017], and reliably represent a maximum

276 uncertainty envelope. Developing more realistic conversion assumptions will require
277 further exploration of LULCC methods and initial and final states.

278 The final global forest area of the chronological cases is more consistent with
279 estimates from other land cover studies, although still high, depending on forest
280 definition. In the iESM forest area is based on PFTs, which correspond more directly
281 with tree cover than with a broad range of forest canopy cover. In a PFT-focused effort,
282 Meiyappan and Jain [2012] use the International Geosphere-Biosphere Programme
283 (IGBP) definition of forest (>60% tree cover) and a spatial-coherence method for
284 splitting mixed forest pixels. They also use three different land use data sources, and
285 estimate 2005 global forest area between 28.1 and 30 M km², which is over 7 M km² less
286 than the 37.0 M km² in our “Min Forest” case. Their 7.1-14.2 M km² estimate of savanna
287 refers to tropical grassland, which would not make up the difference in forest area, as the
288 IGBP definitions of savanna (10-30% tree cover) and woody savanna (30-60% tree
289 cover) do not have enough trees [*M. A. Friedl et al.*, 2002]. Similarly, Friedl et al. [2010]
290 estimate 28.4 M km² of forest area (>60% tree cover), 13.6 M km² of woody savanna, 8.6
291 M km² of savanna, 2.5 M km² of closed shrublands (>60% shrub cover), 20.2 M km² of
292 open shrublands (10-60% shrub cover), and 15.2 M km² of grassland in the early 2000s.
293 The iESM’s shrub (~10 M km²) and grass (28.6-33.5 M km²) estimates are consistent
294 with these estimates, especially considering that PFTs represent specific vegetation cover
295 while land cover classes, including pasture, incorporate multiple vegetation types.
296 However, this comparison is limited because iESM assigns initial pasture to various PFTs
297 and assumes that all new pasture is grass. Alternatively, Sexton et al. [2016] report a wide
298 range of year-2000 forest area based on Landsat data and three different tree cover
299 thresholds: 51.5 M km² (>10%), 32.2 M km² (>30%), and 16.1 M km² (>60%). Clearly,
300 these examples demonstrate considerable variability across estimates of present day land
301 cover, which implies similar or greater variability in historical LULCC trajectories.
302 Furthermore, a recent study shows that uncertainty in present day land cover contributes
303 substantial uncertainty to albedo, evapotranspiration, and gross primary productivity in
304 three land surface models [Hartley et al., in press], and variability across LULCC
305 trajectories directly contributes to high variability across terrestrial carbon estimates [*Di*
306 *Vittorio et al.*, 2014]. This indicates that assuming a single LULCC trajectory for global
307 modeling and analysis ignores considerable uncertainty that can have dramatic effects on
308 carbon and climate projections.

309 The estimated effects of land cover uncertainty on temperature include local and
310 regionally distributed effects of LULCC in addition to changes in general circulation due
311 to different land surface states. While new methods aim to isolate the local effects of
312 LULCC in model outputs to improve understanding and comparisons with observations
313 [*Lejeune et al.*, 2017; *Winckler et al.*, 2017], model uncertainty quantification needs to
314 include all relevant components in order to capture the entire error range associated with
315 projections. In this context, increases in forest cover drive regionally dependent increases
316 or decreases in temperature, even in places with no difference in forest cover (Figures 3,
317 S4). This is consistent with Swann et al. [2012], who report that large differences in
318 forest area could shift general circulation patterns, affecting both precipitation and
319 temperature beyond the extent of forest cover change. Furthermore, our results include
320 changes in general circulation influenced by ocean responses to differences in land cover.

321 As such, our uncertainty estimates are comprehensive with respect to fully coupled
322 climate projections that provide inputs to impact analyses, which rely heavily on local
323 and regional estimates [*Field et al.*, 2014]. Overall, land cover conversion uncertainty is a
324 substantial and important component of local climate uncertainty that becomes even more
325 critical when augmented by the related data and methodological uncertainties discussed
326 above.

327 These results demonstrate the importance of accurate LULCC implementation
328 and reliable LULCC uncertainty characterization when assessing climate mitigation and
329 adaptation strategies and impacts through scenario-based modeling. LULCC uncertainty
330 can completely change the location and type of prescribed land conversion, which affects
331 local to global carbon and climate. For example, our final forest area uncertainty is 61%
332 of the 8.3 M km² of forest lost from 2005-2100 in RCP8.5 and 78% of the 6.5 M km² of
333 forest gained in RCP4.5 [*Hurtt et al.*, 2011; Fig. 9]. Given the variability in land
334 implementation among ESMs [*Brovkin et al.*, 2013] and the resulting potential range of
335 effects [e.g., *Di Vittorio et al.*, 2014], LULCC uncertainty significantly contributes to
336 model disagreement within an RCP. For land carbon projections in particular, LULCC
337 uncertainty plays a central role in keeping the RCPs from diverging [*C Jones et al.*,
338 2013b; Figures 2 and 3] when they should represent differences in land-based climate
339 mitigation strategies. While other factors also contribute to model disagreement and
340 scenario overlap, evaluation of climate mitigation and adaptation strategies is not possible
341 if different scenarios are not distinguishable from each other.

342 We conclude that improving LULCC characterization and implementation can
343 increase understanding and improve carbon and climate projections. Current efforts
344 include adding forest area to CMIP6 land use scenarios (<http://www.geosci-model-dev-discuss.net/gmd-2016-76/>). Such efforts facilitate a needed increase in consistency,
346 accuracy, and uncertainty characterization of land cover data and implementation across
347 models. Overall, it is critical to integrate land use and land cover analysis to provide
348 better initial, transient, present-day, and future land use and land cover distributions,
349 improve implementations of LULCC in earth system models, and enable models to be
350 more faithful to historical and projected LULCC.

351

352 **Acknowledgments**

353 This work is supported by the US Department of Energy, Office of Science, Office of
354 Biological and Environmental Research under Award Number DE-AC02-05CH11231 as
355 part of the Integrated Assessment Research and Earth System Modeling Programs and
356 with additional support from the Accelerated Climate Modeling for Energy project.
357 J.Mao and X.Shi are also supported by the Biogeochemistry-Climate Feedbacks
358 Scientific Focus Area project funded through the Regional and Global Climate Modeling
359 Program in the Climate and Environmental Sciences Division (CESD) of the Biological
360 and Environmental Research (BER) Program in the US Department of Energy Office of
361 Science. G. Hurtt and L. Chini gratefully acknowledge the support of NASA-IDS and
362 DOE-SciDAC programs. Oak Ridge National Laboratory is managed by UT-BATTELLE
363 for DOE under contract DE-AC05-00OR22725. project used resources of the National

364 Energy Research Scientific Computing Center (NERSC), which is a DOE Office of
 365 Science user Facility. The CESM project is supported by the National Science
 366 Foundation and the Office of Science (Biological and Environmental Research) of the US
 367 Department of Energy. The authors also acknowledge high-performance computing
 368 support from Yellowstone (ark:/85065/d7wd3xhc) provided by NCAR's Computational
 369 and Information Systems Laboratory, sponsored by the National Science Foundation. The
 370 authors are grateful to Ben Bond-Lamberty and reviewers for providing insightful
 371 feedback on drafts of this manuscript.

372 The authors declare that there are no real or perceived financial conflicts of interest.

373

374 **Data**

375 Model outputs corresponding with the figures are included as supplemental information,
 376 and the raw model outputs will be archived for at least five years from publication. Please
 377 contact the corresponding author to obtain access to the raw model outputs. The iESM
 378 code is available at <https://github.com/ACME-Climate/iESM>. On the Yellowstone
 379 supercomputing cluster, the 8 land-only simulations used about 224,000 processor hours
 380 each, and two of the fully coupled simulations used about 700,000 processor hours each.
 381 The other two fully coupled simulations used about 1.5 M processor hours each on the
 382 Edison supercomputing cluster at NERSC, and were charged twice this amount due to a
 383 2X charge factor.

384

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386

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Table 1. Eight half-degree land model simulations and four corresponding one-degree Earth system model (denoted by *) simulations (1850-2004). These are all transient simulations using CMIP5 protocols, except where a particular forcing is noted to be constant. The land change reference is the base year for calculating LULCC change to obtain each year's land use/cover distribution.

Case	Land change reference	Land cover conversion assumptions
No LULCC	Constant 1850	No land use, land cover conversion, or wood harvest
Default*	Year 2000	Changes in Plant Functional Types (PFTs) are proportional to current (removal) or potential (addition) PFT distribution
Max Forest*	Previous year	Changes in pasture/crop maximize forest area; accounts for existing pasture
Proportional*	Previous year	Changes in PFTs are proportional to current (removal) or potential (addition) PFT distribution; accounts for existing pasture
Min Forest*	Previous year	Changes in pasture/crop minimize forest area; accounts for existing pasture
Constant climate and CO ₂	Previous year	Same as Proportional case
Constant CO ₂	Previous year	Same as Proportional case
Constant N deposition	Previous year	Same as Proportional case

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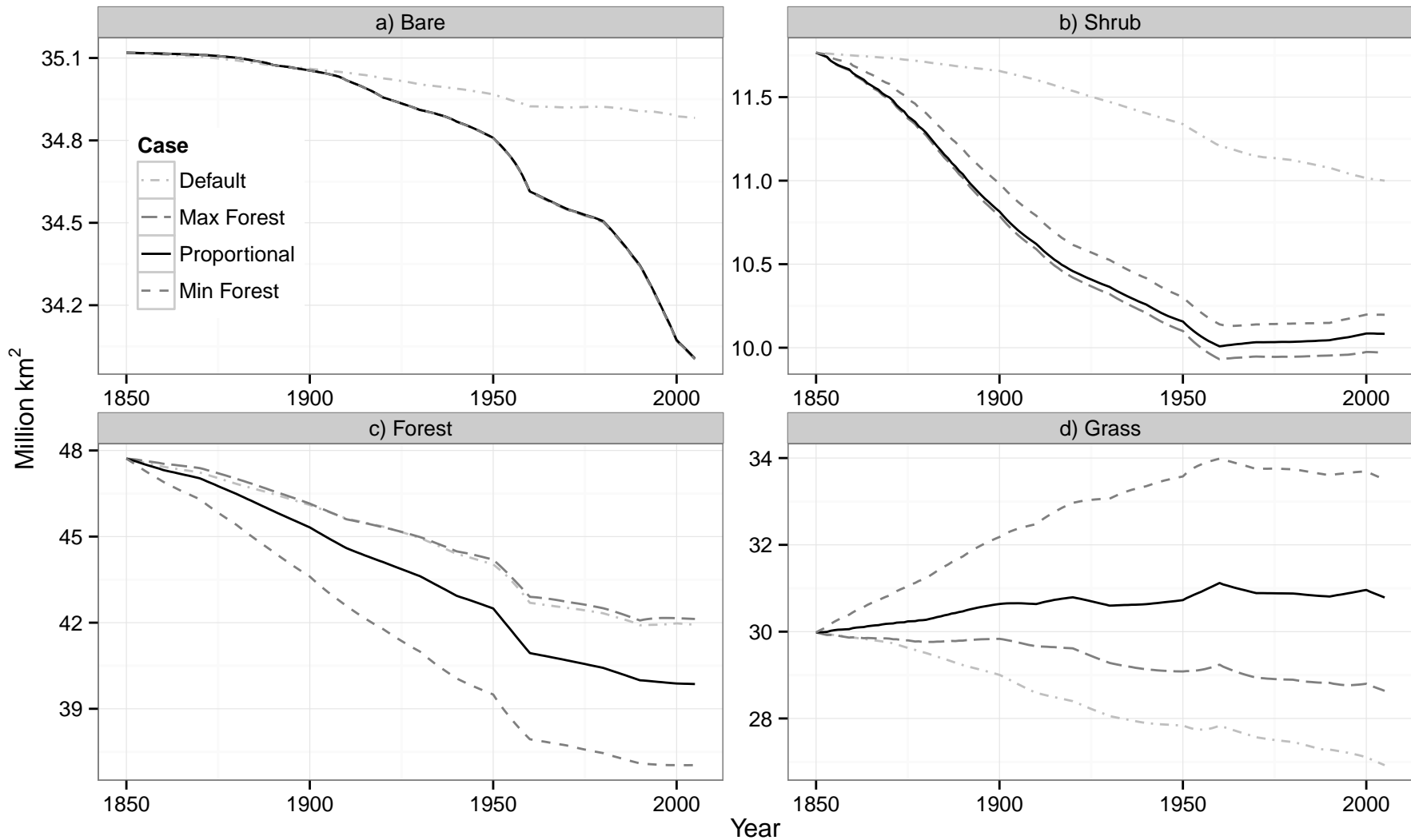
543 **Figure Captions**

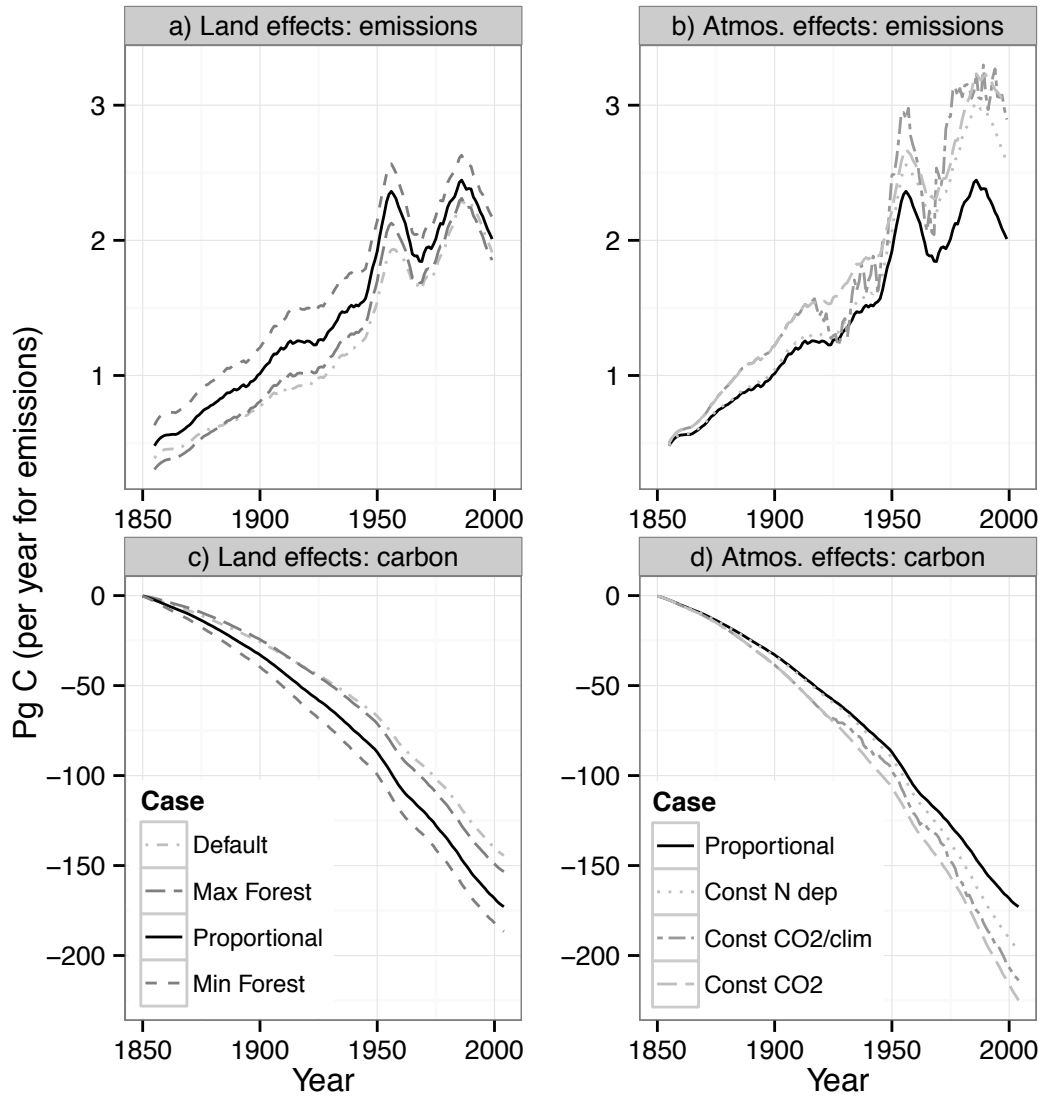
544 **Figure 1.** Global plant functional type (PFT) areas for half-degree, land-only simulations
545 in Table 1. The No LULCC case maintains 1850 areas through 2005 for all PFTs. Crop
546 area is the same for all cases except No LULCC. These areas are nearly identical for the
547 one-degree, fully coupled simulations, except that Max Forest has ~ 1 M km² less forest
548 and more grass by 2005. The constant CO₂, climate, and N deposition cases have the
549 same areas as the Proportional case.

550 **Figure 2.** Effects of Land Use and Land Cover Change (LULCC) uncertainty and
551 atmospheric forcing on terrestrial carbon. Effects of a) land cover uncertainty and b)
552 atmospheric forcing on net annual LULCC emissions. Effects of a) land cover
553 uncertainty and b) atmospheric forcing on change in total ecosystem carbon due to
554 LULCC. These results are from land-only simulations. Emission values are 11-year
555 running averages of the difference between each LULCC case and the No LULCC case
556 of: net ecosystem exchange minus natural fire emissions. Ecosystem carbon values are
557 the differences between each LULCC case and the No LULCC case.

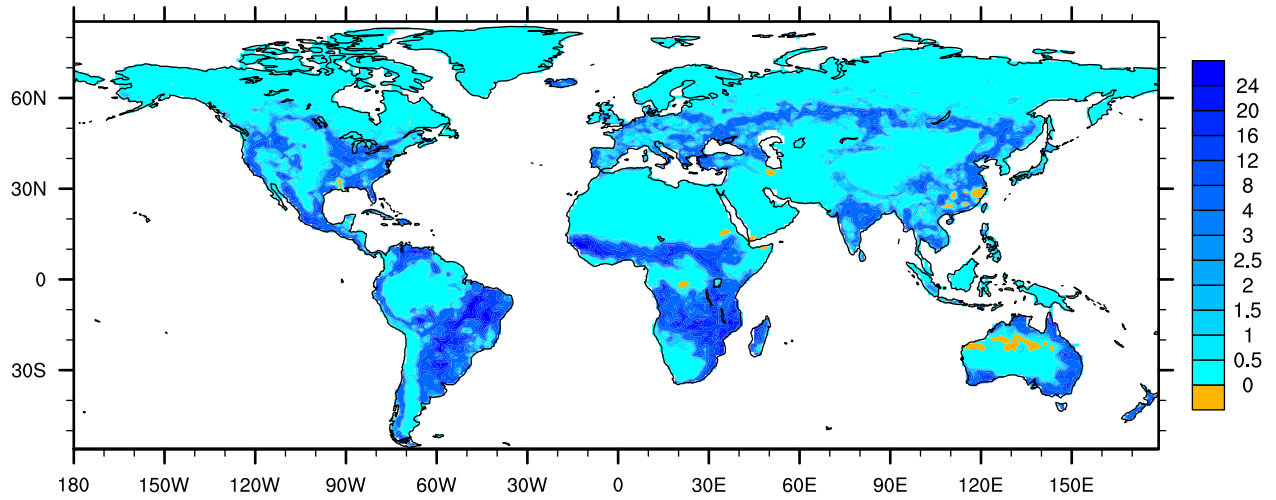
558
559 **Figure 3.** Regional patterns of a) differences in forest cover and b) differences in surface
560 air temperature. These values are differences between 20-year annual averages (1985-
561 2004, Max Forest minus Min Forest).

Global area

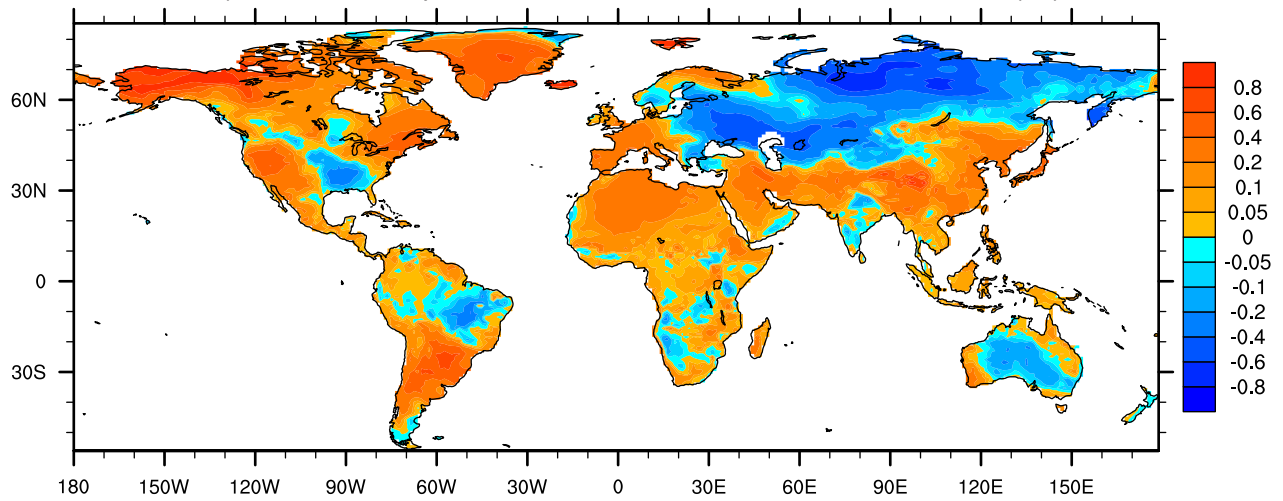




a) Forest cover difference, Max Forest minus Min Forest (% of grid cell)



b) Surface air temperature difference, Max Forest minus Min Forest (°C)



Land cover uncertainty has a substantial effect on carbon and climate projections

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Introduction

The supplemental text describes two intermediate Land Use and Land Cover Change (LULCC) cases and their carbon results. Figures S1 and S2 replicate Figure 1 and half of Figure 2, including these additional cases, to demonstrate consistency across our maximum envelope range.

Figure S3 shows the spatial distribution of ecosystem carbon uncertainty and how it relates to the combination of initial carbon content and the amount of forest cover change (Figure 3a).

Figures S4 and S5 provide additional information regarding relationships between atmospheric variables and the amount of forest cover. The data for Figure S1 is also plotted in Figure 3.

The supplemental datasets contain the data plotted in Figures 1-3 and Figures S1-S5. They are model outputs that have been converted into the appropriate formats for creating meaningful figures. The processing includes averaging or aggregating monthly outputs to annual values, sometimes calculating annual averages across several years, differencing these averages, and in some cases calculating annual averages of these differences across several years.

Text S1. Two additional Land Use and Land Cover Change (LULCC) configurations represent intermediate LULCC. The commonly used “Pasture rule” preferentially converts grass and shrubs upon pasture expansion and reverts land back to potential vegetation proportionally to the available potential Plant Functional Type (PFT) coverage. A complementary “Crop rule” preferentially converts forest upon cropland expansion and reverts land back to potential vegetation proportionally to the available potential PFT coverage. The results of these two cases are expectedly within the maximum envelope (Figures S1 and S2). Constraining the uncertainty range to these intermediate cases gives a final forest area difference of 2.28 M km², a 30 PgC range of cumulative net LULCC emissions (57% of CO₂ plus climate), and a final difference in ecosystem carbon of 19 PgC (46% of CO₂ plus climate).

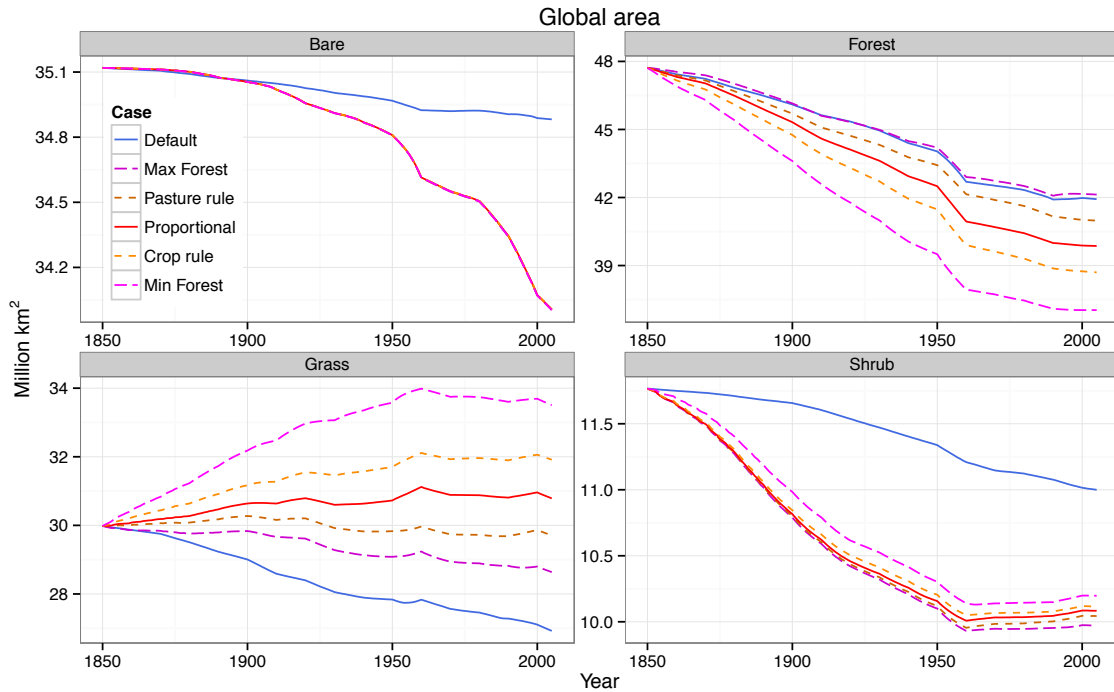


Figure S1. Global plant functional type (PFT) areas for half-degree, land-only simulations in Table 1 and the two additional cases described in Text S1. The No LULCC case maintains 1850 areas through 2005 for all PFTs. Crop area is the same for all cases except No LULCC.

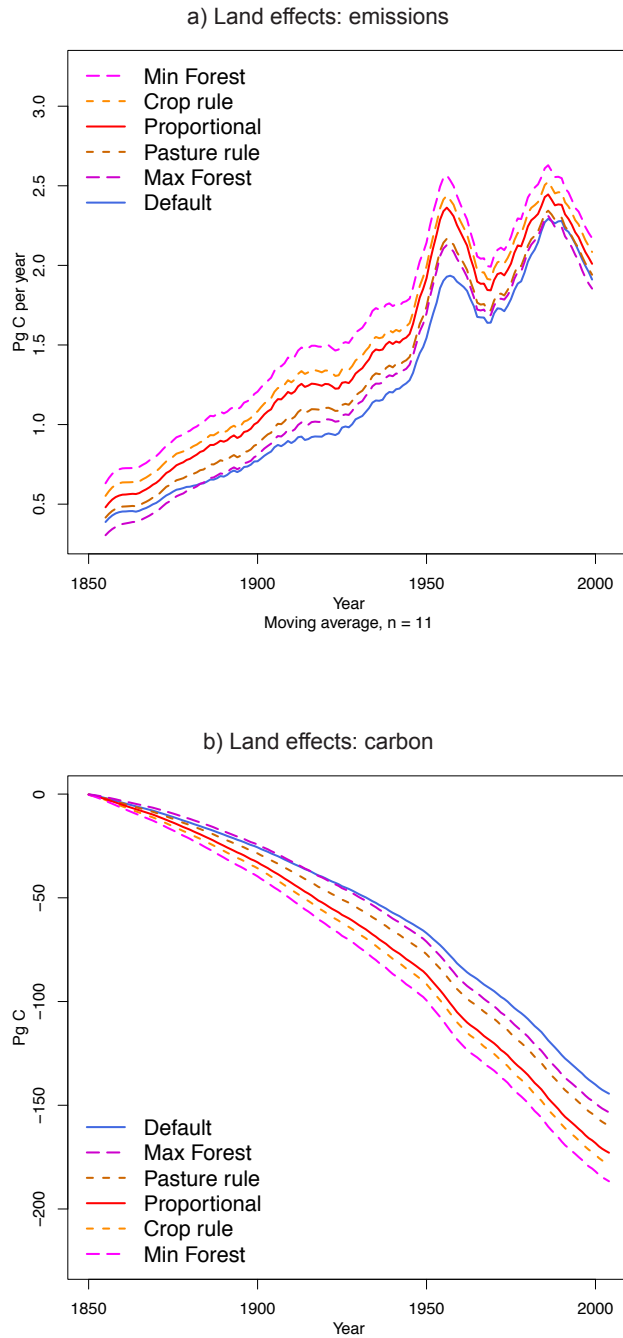


Figure S2. Effects of Land Use and Land Cover Change (LULCC) uncertainty on terrestrial carbon for the land-only simulations in Table 1 and the two additional simulations described in Text S1. Effects of a) land cover uncertainty on net annual LULCC emissions and b) land cover uncertainty on change in total ecosystem carbon due to LULCC. Emission values are 11-year running averages of the difference between each LULCC case and the No LULCC case of: net ecosystem exchange minus natural fire emissions. Ecosystem carbon values are the differences between each LULCC case and the No LULCC case.

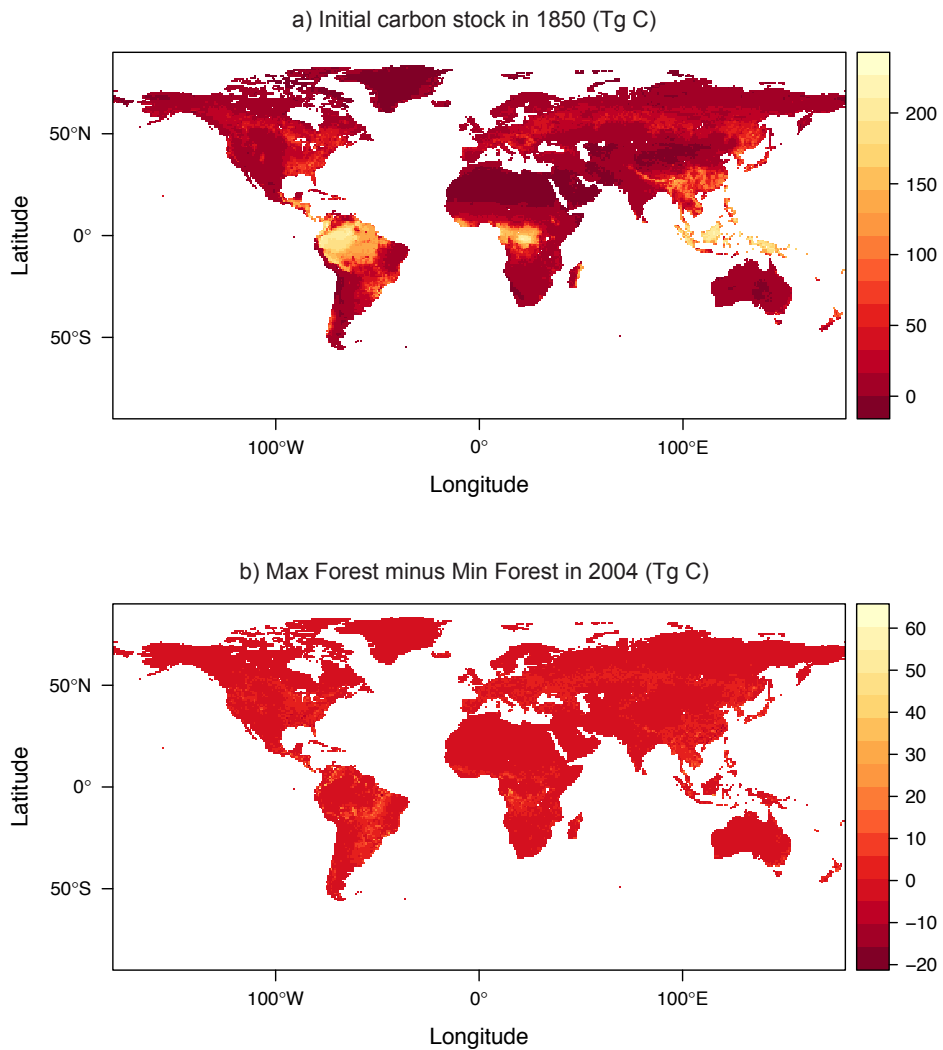


Figure S3. Spatial distributions of a) initial ecosystem carbon stocks (1850 average) and b) 2004 ecosystem carbon uncertainty range due to land cover conversion assumptions (Tg C). The spatial distribution of uncertainty is dependent on the amount of forest cover difference (Figure 3a) and the carbon content (Figure S3a).

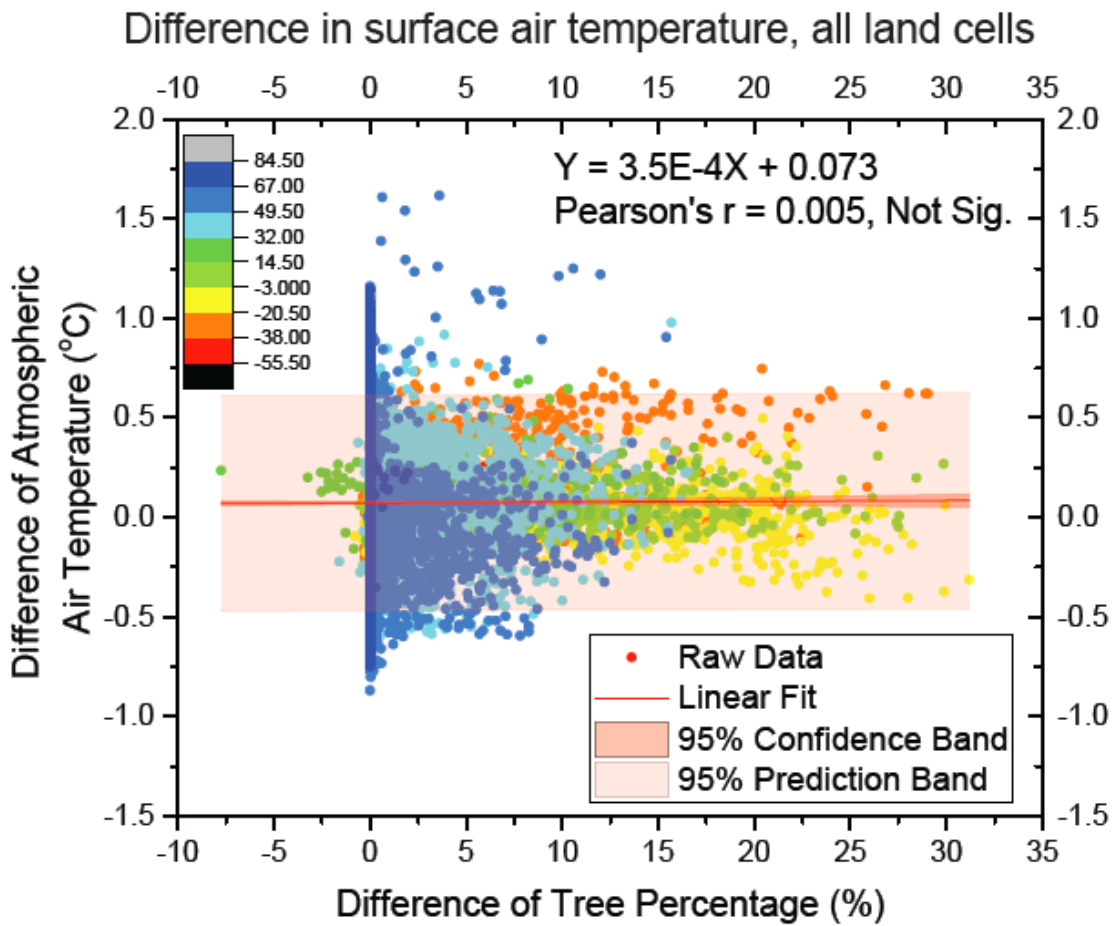


Figure S4. Sensitivity of surface air temperature to difference in tree cover (Max Forest minus Min Forest, as percent of grid cell). These values are the differences between the 20-year annual averages (1985-2004).

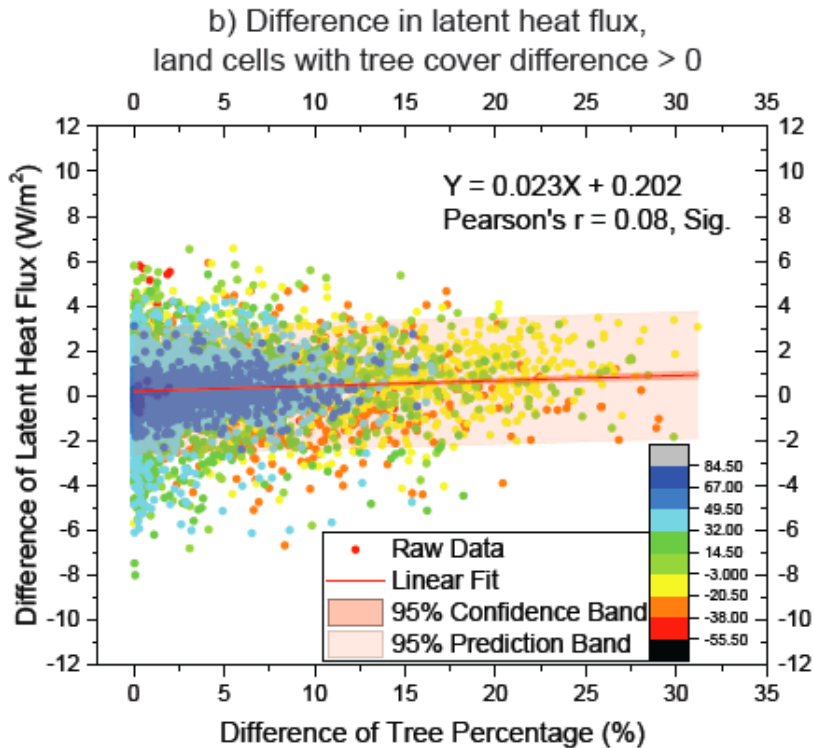
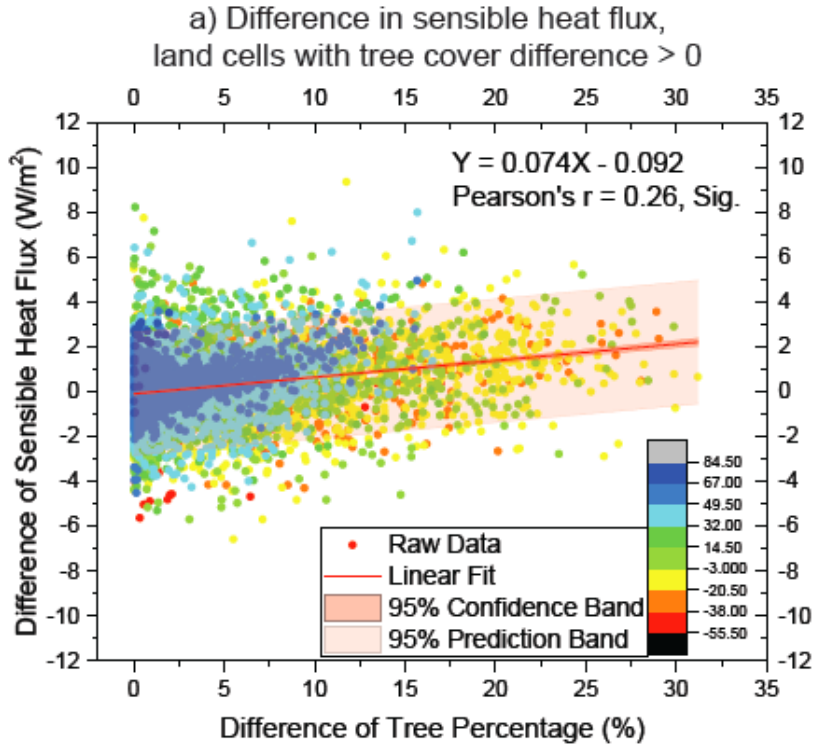


Figure S5. Sensitivity of (a) sensible heat flux, and (b) latent heat flux to difference in tree cover (Max Forest minus Min Forest, as percent of grid cell). These values are the differences between the 20-year annual averages (1985-2004).

Data Set S1. Global plant functional type area for the half-degree, land-only simulations corresponding to the land cover conversion assumptions in Table 1. These data are plotted in Figure 1.

Data Set S2. The effects of Land Use and Land Cover Change (LULCC) uncertainty and atmospheric forcing on terrestrial carbon. These data are plotted in Figure 2.

a) Net direct annual Land Use and Land Cover Change (LULCC) carbon emissions for different land cover trajectories. The values are the 11-year running average of the difference between each LULCC case and the No LULCC case of: net ecosystem exchange minus natural fire emissions. These data are plotted in Figure 2a.

b) Net direct annual Land Use and Land Cover Change (LULCC) carbon emissions for different atmospheric forcings. The values are the 11-year running average of the difference between each LULCC case and the No LULCC case of: net ecosystem exchange minus natural fire emissions. These data are plotted in Figure 2b.

c) Change in total ecosystem carbon due to Land Use and Land Cover Change (LULCC) for different land cover trajectories. The values are the difference between each LULCC case and the No LULCC case. These data are plotted in Figure 2c.

d) Change in total ecosystem carbon due to Land Use and Land Cover Change (LULCC) for different atmospheric forcings. The values are the difference between each LULCC case and the No LULCC case. These data are plotted in Figure 2d.

Data Set S3. Global plant functional type area for the half-degree, land-only simulations corresponding to the land cover conversion assumptions in Table 1, plus the two additional cases described in Text S1. These data are plotted in Figure S1.

Data Set S4. The effects of Land Use and Land Cover Change (LULCC) uncertainty on terrestrial carbon for the cases in Table 1 plus the additional cases described in Text S1. These data are plotted in Figure S2.

a) Net direct annual Land Use and Land Cover Change (LULCC) carbon emissions for different land cover trajectories. The values are the 11-year running average of the difference between each LULCC case and the No LULCC case of: net ecosystem exchange minus natural fire emissions. These data are plotted in Figure S2a.

b) Change in total ecosystem carbon due to Land Use and Land Cover Change (LULCC) for different land cover trajectories. The values are the difference between each LULCC case and the No LULCC case. These data are plotted in Figure S2b.

Data Set S5. Per-pixel a) initial carbon stocks (TgC; 1850 average) and b) 2004 uncertainty range (TgC; Max Forest – Min Forest). The first pixel is the upper left corner with the series going row-by-row (i.e., longitude increases first). The data are half-degree resolution with upper left corner edge at -180 degrees E and 90 degrees N, and with 360 rows and 720 columns. These data are plotted in Figure S3.

Data Set S6. Sensitivity of surface air temperature to difference in tree cover (Max Forest minus Min Forest, as percent of grid cell). These values are the differences between the 20-year annual averages (1985-2004). These data are plotted in Figures 3 and S4.

Data Set S7. Sensitivity of (a) sensible heat flux, and (b) latent heat flux to difference in tree cover (Max Forest minus Min Forest, as percent of grid cell). These values are the differences between the 20-year annual averages (1985-2004). These data are plotted in Figure S5.