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AND NOISE IN THIN FILMS

Nan-Hsiung Yeh
(Ph.D. thesis)

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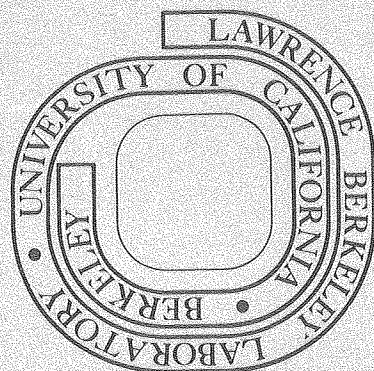
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SUPERCONDUCTING TRANSITION EDGE BOLOMETER
AND NOISE IN THIN FILMS

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SUPERCONDUCTING TRANSITION EDGE BOLOMETER
AND NOISE IN THIN FILMS

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ABSTRACT

We report the development of the composite superconducting transition edge bolometer. The temperature sensitive element is an aluminum strip evaporated onto the sapphire substrate. A bismuth film evaporated on the reverse side of the substrate is used to absorb the submillimeter radiation. The noise limitation of the bolometer is calculated. The fabrication and measured performance are described. The best electrical NEP (noise-equivalent-power) obtained is $(1.7 \pm 0.1) \times 10^{-15} \text{ WHz}^{-1/2}$ at 2 Hz at an operating temperature of 1.27 K. This NEP is within a factor of 2 of the thermal noise limit. The effective absorptivity of the bismuth film is measured to be 0.47 ± 0.05 , and the corresponding detectivity D^* is calculated to be $(1.1 \pm 0.1) \times 10^{14} \text{ cm W}^{-1}\text{Hz}^{1/2}$. Suggestions are made for further improvements in sensitivity.

The current-dependent noise in thin metal films at the superconducting transition has been further investigated. The measured noise power spectrum of the tin film on sapphire substrate at the superconducting transition is compared with the cosine transforms of the decay curves obtained from step-function and δ -function thermal perturbations. The nature of the noise driving term is found to be a random current flowing inside the sample, in agreement with the uncorrelated thermal fluctuation model. This result is consistent with the case of a freely

suspended tin fiber at the superconducting transition, but in contrast to the room temperature measurement where the cosine transform of the step-function response gives the noise power spectrum, in agreement with the correlated fluctuation model.

I. INTRODUCTION

A. HISTORY

Since the discovery of infrared radiation in the eighteenth century, a new field of physics has been developed. Various detecting methods were used to sense the invisible infrared radiation. The earliest version of the infrared detector was a mercury thermometer in which the mercury expanded when it absorbed infrared radiation. This method was, of course, a very crude one. Later thermopiles, radiometers, bolometers, and photon detectors were developed. These greatly improved man's knowledge of infrared radiation. In World War II infrared detectors were used for night searching, night driving, and detecting hot objects. The military applications caused great advances in infrared technology.

The infrared radiation has expanded the range of wavelengths in spectroscopy. The change of quantum states in rotational and vibrational modes in molecules falls in the infrared range. Infrared spectroscopy has played an important part in determining the structure of molecules. The molecules may also be identified by this emission or absorption spectrum. In pollution experiments, infrared spectroscopy has become a standard technique. Infrared astronomy is one of the most important applications in infrared history. In the last decade many discoveries have been made in this field. These experiments made use of ground-based, balloon-borne, and aircraft telescopes. Due to the weakness of the signal, cooled infrared detectors were used to obtain a better signal-to-noise ratio. Recently scientists have become more and more

interested in cooled infrared telescopes. The use of an infrared detector with high sensitivity was essential to obtain the full benefit from a cooled telescope. An increase in the sensitivity of the measuring facilities by a factor of 10 would reduce the integration time by a factor of 100. In the far-infrared region (40 - 1000 μm) a good detector is especially valuable to sense the very weak signals.

B. DETECTOR EVALUATION

There are two main groups of infrared detectors. The first type is the thermal detector. This employs materials possessing some strongly temperature-dependent property. The incident radiation raises the temperature of the detecting element producing a change in the property used to detect the infrared radiation. Bolometers and thermopiles belong to this group. The second group is the photon detector. The infrared radiation induces an electronic transition in the detecting element and leads to a change in conductivity or to an output voltage appearing across the terminals of the device. The photon detector responds only to photons of energy greater than the minimum energy required to excite the electronic transition. Photoconductors and photovoltaic devices are examples in the second group.

For ideal infrared detectors, that is, one in which the detecting element and electronics do not introduce any excess noise to the output, fluctuations in blackbody radiation will limit the detector performance. This sets a minimum detectable signal. No real detector ever satisfies this condition, but the best modern detectors can approach this ideal limit to within a factor of two or three under certain circumstances.

In a stream of radiation emitted from a blackbody at temperature T, the statistical fluctuation of the number of photons in a given quantum state with frequency ν is¹

$$\overline{\Delta n^2} = \frac{n(\nu)e^{h\nu/kT}}{e^{h\nu/kT}-1} d\nu \quad (1.1)$$

When the radiation is incident on the thermal detector, the corresponding NEP (the amount of power which gives the ratio of signal to rms noise per square root unit bandwidth equal to 1) is²

$$(\text{NEP})_{\text{Th}}^2 = \frac{4(kT)^5 A}{c^2 h^3} (\int \cos\theta d\Omega) \int_0^\infty \frac{x^4 e^x}{(e^x-1)^2} f(x) dx, \quad (1.2)$$

where $\int \cos\theta d\Omega$ is the field of view; $x = hc/kT\lambda$; A is detector area; $f(x)$ is the deviation of the spectrum of absorbed power from the blackbody radiation. For blackbody radiation and a black detector, $f(x) = 1$. If the detector is in thermal equilibrium with the radiation field, there will be an equal fluctuation in the power radiated by the detector. The above $(\text{NEP})_{\text{th}}$ will then be increased by $\sqrt{2}$.

The corresponding photon fluctuation noise for a photon detector operated near its threshold wavelength is

$$(\text{NEP})_{\text{ph}}^2 = \frac{4\pi A \epsilon (kT)^3}{\lambda_c^2 h} (\int \cos\theta d\Omega) \int_{x_c}^\infty \frac{x^2 e^x}{(e^x-1)^2} dx, \quad (1.3)$$

where ϵ is the quantum efficiency; λ_c is the cut-off wavelength; $x = hc/kT\lambda$; $x_c = hc/kT\lambda_c$. The principle of detailed balance shows that even if the photoconductor is at a different temperature from the background, the recombination of the photon-excited electrons will be responsible for a fluctuation equal to that given by Eq. (1.3). The total $(\text{NEP})_{\text{ph}}$ will be increased by $\sqrt{2}$. For photovoltaic or

photomagnetic devices, Eq. (1.3) still applies.

Both $(NEP)_{th}$ and $(NEP)_{ph}$ decrease as the temperature is lowered. The detector performance is usually better at low temperatures. From Eq. (1.2) and Eq. (1.3), we can see that $(NEP)_{th}$ is always greater than $(NEP)_{ph}$ (assuming $f(x) = \epsilon = 1$). The background noise limited detectivity ($D^* = \epsilon \sqrt{A}/(NEP)$) for the photon detector is higher than for the thermal detector. Thus photon detector seems to be the better one in the ideal case. However, the real infrared detectors have additional noises from the detecting element and the associated electronics. The actual performance is usually limited by other fluctuations.

For thermal detectors, the sensitivity is further limited by the equilibrium temperature fluctuation of the detecting element. $1/f$ noise is another noise source that becomes important at low chopping frequencies. But it can be quenched under certain circumstances.³ By increasing the responsivity, the Johnson noise level can be made smaller than other noises. The preamplifier noise can be minimized by choosing a low noise preamplifier and matching the impedance through a transformer or a tank circuit. The spectral response of the thermal detector is flat over a wide frequency range. The actual roll-off is determined by the absorption characteristics of the detector material or the transmission of the window. The superconducting bolometer⁴ and the semiconducting bolometer are the most developed ones. The composite aluminum superconducting transition edge bolometer^{5,6} which is composed of a low heat capacity sapphire substrate with aluminum film biased at the superconducting transition as the temperature sensor and

bismuth film as infrared absorber, may achieve an NEP = 1.7×10^{-15} $\text{WHz}^{-1/2}$ at 1.27K. The composite SNS^{6,7} and the composite Ge:Ga⁸ bolometers have the same configurations as the composite aluminum bolometer except the temperature sensors. The SNS bolometer has a superconductor - normal metal - superconductor junction as the thermometer. It makes use of the temperature-dependent critical current of the junction to measure the temperature. Due to the low impedance of the junction, a SQUID has to be used to read out the signal. The best NEP obtained is 5×10^{-15} $\text{WHz}^{-1/2}$. The Ge:Ga bolometer uses Ge:Ga single crystal as a temperature sensor. It is easy to match the impedance to a room temperature preamplifier. However, it suffers 1/f noise at low chopping frequencies. The best NEP measured is approximately 5×10^{-15} $\text{WHz}^{-1/2}$, which is comparable with the SNS bolometer.

The photon detector has excess noises due to the thermal excitation of electrons, filling of shallow traps, warming of the detector, re-establishment of a bias condition when the background loading changes, etc. Some of the detectors have multiple time constants, which make the analysis of responsivity much more complicated. In addition, the 1/f noise appearing in the photon detectors is still not well understood yet. So far there is no efficient way to suppress this noise. The spectral response of the photon detector is not flat. Beyond the cut-off wavelength λ_c , no photon absorption occurs. Below λ_c , the performance starts to degrade as the wavelength is decreased. The optimum point is near λ_c . Furthermore, the intrinsic photon detectors have a cut-off wavelength $< 20 \mu\text{m}$.⁹ The development of extrinsic detectors has increased the cut-off wavelength up to $350 \mu\text{m}$. The Ge:Be

photoconductor has a cut-off wavelength $\approx 60 \mu\text{m}$.¹⁰ The measured NEP is $2 \times 10^{-16} \text{ W}/\sqrt{\text{Hz}}$ with background loading $\approx 9 \times 10^8 \text{ photons/sec/cm}^2$. The Ge:Ga photoconductor has $\lambda_c \approx 120 \mu\text{m}$,¹⁰ NEP $\approx 4 \times 10^{-17} \text{ W}/\sqrt{\text{Hz}}$ for a background loading $3.7 \times 10^8 \text{ photons/sec/cm}^2$. The n-type GaAs photoconductor¹¹ has fairly high cut-off wavelength ($\approx 350 \mu\text{m}$). But so far the measured NEP is not very good ($\approx 2 \times 10^{-13} \text{ W}/\sqrt{\text{Hz}}$). Recently the development of uniaxially stressed Ge:Ga photoconductor¹² has raised the cut-off wavelength to about $200 \mu\text{m}$. The preliminary measurement showed an NEP about $2 \times 10^{-11} \text{ W}/\sqrt{\text{Hz}}$ at $190 \mu\text{m}$. Further improvement is still in progress. The most recent result¹³ showed that the NEP has achieved $10^{-16} \text{ W}/\sqrt{\text{Hz}}$.

Another far infrared detector which is worth mentioning is the InSb detector.² The far infrared radiation excites the conduction electrons in InSb and changes the electron mobility. Due to the long electron-phonon relaxation time, the electrons may stay "hot" without heating up the lattice. Thus the response time is short ($< 1 \mu\text{s}$). The responsivity falls as λ is reduced below 1 mm so that for applications at wavelength below $500 \mu\text{m}$ the performance is significantly degraded. By applying a magnetic field or using heavily compensated material, the spectral response can be improved at the expense of increasing the NEP. The best NEP obtained for InSb detector is $1.3 \times 10^{-13} \text{ W}/\sqrt{\text{Hz}}$.¹⁴

There are many other room temperature far-infrared detectors. The more important ones are Golay cell, pyroelectric detector, Metal-oxide-metal point contact detector, ferroelectric detector, and pyromagnetic detector. The Nernst effect in mixed crystals of InSb-NiSb,¹⁵

Cd_3As_2 -InAs,¹⁶ and bismuth and bismuth-antimony alloys¹⁷ has also been considered for infrared detectors. But, due to the background radiation noise, these detectors can never compete with the ^4He temperature ones in sensitivity. They certainly are convenient in many applications which do not need very high sensitivities.

We summarize the performance of some of the state-of-the-art far-infrared detectors operating at liquid ^4He temperatures. In Figure 1, specific detectivity D^* ($=\sqrt{A}/(\text{NEP})_{\text{optical}}$) is plotted vs. wavelength. Table 1 shows the corresponding measurement conditions. The performance of InSb detector is not directly related to the detector area. The NEP of stressed Ge:Ga photoconductor was measured in an integrating cavity to enhance the optical efficiency. We have plotted $D(=1/(\text{NEP})_{\text{optical}})$ instead of D^* for these two detectors in Fig. 1.

We can see that Al superconducting transition edge bolometer has the highest $D^*(= 1.1 \times 10^{14} \text{ cmW}^{-1}\text{Hz}^{1/2})$ in the 200 ~ 1000 μm range. In the following chapters we are going to discuss this bolometer in more detail. Chapter II is a description of the general bolometer theory. Chapter III is concerned with the design, fabrication, and operation of Al superconducting transition edge bolometer. Chapter IV is a discussion of the detector noises. The measured noise power spectrum is compared with the theoretical estimates. The measured electrical NEP $1.7 \times 10^{-15} \text{ WHz}^{-1/2}$ at 2Hz is within a factor of 2 of the thermal noise limit. Chapter V describes measurements of the submillimeter wave absorptivity of Bi-coated sapphire substrate and of the optical efficiency of completed bolometers. The theoretical prediction of 50% optical efficiency for a bolometer designed for broad-band

applications has been verified experimentally for frequencies in the vicinity of 20 cm^{-1} .

II. BOLOMETER THEORY

Consider a bolometer with heat capacity C at temperature T connected to a heat sink at temperature T_s via a thermal conductance G . The thermal time constant, τ , is C/G . In the case of a composite bolometer, the substrate absorbs a fraction ϵ (≤ 1) of the incident signal power, P_s , and the resulting increase in temperature is detected by a separate temperature-sensitive element (thermometer) attached to the substrate. This element is biased with a constant current I that generates a voltage V across it. If the signal is chopped at a frequency $\omega/2\pi$, the responsivity $S(\omega)$ is given by¹⁸

$$S \equiv \frac{\partial V}{\partial P_s} = \frac{\epsilon \partial V / \partial T}{G - I \partial V / \partial T + i\omega C} = \frac{\epsilon \partial V / \partial T}{G_e (1 + i\omega \tau_e)} \quad (2.1)$$

In Eq. (2.1), $G_e = (G - I \partial V / \partial T)$ is the effective thermal conductivity, and $\tau_e = C/G_e$ is the effective thermal time constant. To avoid thermal runaway of the bolometer, we require $G_e > 0$. If $\partial V / \partial T < 0$ (negative thermal feedback), G_e is always positive, whereas if $\partial V / \partial T > 0$ (positive thermal feedback), the bias current must satisfy the condition $I < G / (\partial V / \partial T)$.

The square of the electrical NEP (per unit bandwidth) can be written as the sum of squares of statistically independent terms which arise from a number of sources:¹⁸

$$(\text{NEP})^2 = 8k_B T_B \epsilon P_B + 4k_B T^2 G + J_{1/f} / |S|^2 + 4k_B T R / |S|^2 + J_A(f) / |S|^2 + (\text{NEP})_M^2. \quad (2.2)$$

The first term arises from fluctuations in the background blackbody

power ϵP_B absorbed by the bolometer. We assume that the background temperature $T_B \gg T$, and that cooled filters are used which limit the background power to wavelengths $\lambda > hc/k_B T_B$ where the Rayleigh-Jeans limit is valid. The second term arises from the random exchange of energy between the bolometer and the heat sink via the thermal conductance. No treatment has been given of the fluctuations of a bolometer using correct nonequilibrium thermodynamics in the presence of thermal feedback. Consequently we have used in Eq. (2.2) the conventional approximate expressions derived from equilibrium thermodynamics. The third term arises from voltage noise in the thermometer which has an $1/f$ spectral density $J_{1/f}(f)$. The fourth term is the contribution of Johnson noise in the thermometer which has a resistance R . This term is slightly modified for a Josephson junction. The fifth term is the contribution of the amplifier that detects voltage changes across the thermometer. Here $J_A(f)$ is the spectral density of the amplifier voltage noise. The last term is from miscellaneous sources, such as temperature fluctuations in the helium bath, microphony of the bolometer, and pick-up from radio and television stations. One attempts to reduce the noise from these sources to a level below that of the first or second term.

If the resistance of the bolometer is measured with an ac bias current and the ac voltage is subsequently lock-in amplified, additional numerical factors are introduced into Eq. (2.2). The first three terms arise from resistance fluctuations in the thermometer, and are treated by the detection system in exactly the same way as the signal. On the other hand, the fourth and fifth noise sources do not involve

resistance fluctuations, and are treated differently by the lock-in amplifier. A proper consideration¹⁹ of the lock-in amplification shows that the $(\text{NEP})^2$ due to Johnson noise and amplifier noise should be increased by a factor of 2. Depending on their origin, the miscellaneous noise contributions to the $(\text{NEP})^2$ may or may not contain this factor. Eq. (2.2) also reveals that the background and thermal fluctuation $(\text{NEP})^2$ (first and second terms) are independent of the value of $\omega\tau_e$. However, the third, fourth, and fifth terms are proportional to $(1 + \omega^2\tau_e^2)$, and therefore increase as ω^2 for frequencies higher than $1/2\pi\tau_e$.

We may draw several general conclusions about the optimization of bolometers from the form of Eqs. (2.1) and (2.2). First, the temperature T_s of the heat sink should be as low as possible. The lower limit is usually fixed by the type of cryostat used. The rapid dependence of important bolometer parameters such as R and C on temperature suggests that the operating temperature of an optimized bolometer will be confined to a small range above T_s . The operating temperature is further restricted in the case of the transition-edge bolometer by the transition temperature of available superconductors. The temperature rise of the bolometer is $T - T_s = (\epsilon P_B + IV)/G$. For bolometer applications in which the absorbed background power ϵP_B is large, the value of G required to keep the bolometer cold can be sufficiently large that $G \gg \omega C$. In this limit, C does not appear in Eq. (2.1) or (2.2). In the low background limit, on the other hand, C should be made as small as possible. The value of τ_e is chosen after considering the requirements of the experiment, and G_e is then equal to C/τ_e . In this limit, the bolometer NEP varies as $C^{1/2}$. This dependence is immediately clear

if the NEP is limited by thermal fluctuation noise (the second term in Eq. (2.2)). It is also true if the NEP is limited by any of the subsequent terms in Eq. (2.2) which vary as $|S|^{-2} \propto G^2/I(\partial R/\partial T)$. Since the largest useful values of I are limited by bolometer heating to $I \approx G(T - T_s)/V$, we see that the optimum $|S|^{-2} \propto G$. Although the bolometers described in this paper are of value in both high background and low background limits, bolometers were constructed only with parameters optimized for low background applications.

III. SUPERCONDUCTING TRANSITION EDGE BOLOMETER

A. PRINCIPLES OF OPERATION

The transition-edge bolometer consists of a thin film of superconductor (aluminum in the present work) evaporated onto a suitable substrate. The temperature of the bolometer is maintained close to the mid-point of the superconducting transition where the resistance R of the film increases rapidly with increasing temperature. An ac current of rms amplitude I is passed through the film so that changes in temperature generate changes in the ac voltage that are detected by a low noise amplifier. The electrical responsivity is then given from Eq. (2.1) as $S = I(\partial R/\partial T)/G_e(1 + i\omega\tau_e)$. The responsivity increases with increasing bias current but, since the thermal feedback is positive, I^2 must be less than $G/(\partial R/\partial T)$. If we take as typical values $G = 5 \times 10^{-8} \text{ WK}^{-1}$ and $\partial R/\partial T = 10^3 \text{ } \Omega\text{K}^{-1}$, this requirement implies that $I < 7 \text{ } \mu\text{A}$. For example, if we choose $I = 1 \text{ } \mu\text{A}$, $S \approx 2 \times 10^4 \text{ VW}^{-1}$ at zero frequency.

B. EXPERIMENTAL DETAILS

The bolometers were fabricated on single-crystal sapphire substrates with dimensions $4 \times 4 \times 0.135 \text{ mm}$, $4 \times 4 \times 0.050 \text{ mm}$, or $4 \times 2 \times 0.135 \text{ mm}$ (see Fig. 1). Four rectangles of In of size $0.7 \times 0.15 \times 0.0005 \text{ mm}$ were evaporated onto the corners of the substrate, followed by 5 nm of Cu. (The thin Cu layer appears to stabilize the In-Al interface and to inhibit the growth of an oxide layer.) An Al strip 0.25 mm wide and 50 nm to 150 nm thick (the superconducting thermometer) was evaporated at a pressure of $\sim 2 \times 10^{-7} \text{ Torr}$ and a rate of $\sim 20 \text{ nm s}^{-1}$

near one edge of the substrate, and a Bi strip 0.5 mm wide and 0.1 μm thick (the heater) was evaporated near the opposing edge. Each end of the strips made good electrical contact with an In/Cu rectangle. The edges of the Al strip were cut with a diamond knife to reduce the width of the superconducting transition.²⁰ The transition width was typically 3 mK. The transition temperature of the films varied from ~ 1.2 K for the thicker samples to ~ 1.4 K for the thinner samples. At low temperatures, the resistance of a 50 nm thick Al film in the normal state was 2 to 4 Ω , while the resistance of the Bi film was about 1500 Ω .

Four small pieces of lead foil were attached with epoxy to an OFHC copper mount as shown in Fig. 2. (OFHC copper was used to minimize the thermal equilibration time of the mount.) The epoxy provided electrical insulation between the foils and the mount. Nylon threads with a diameter of ~ 15 μm were separated from a multifilament thread, and cleaned with trichloroethylene. Two threads were attached to the lead foils with GE7031 varnish as shown. An indium film about 2 μm thick was evaporated on the In/Cu rectangles on the substrate and on the corresponding positions on the nylon threads. The substrate was then carefully pressed onto the threads so that the indium films were cold-welded together. We found that this assembly technique was highly reliable, and that the bolometer could be thermally cycled repeatedly with no tendency for the nylon threads to become detached. The remaining portions of the threads were coated with 0.75 μm of indium to provide thermal and superconducting electrical connections to the thermometer and heater. This thickness gave a thermal conductance of about

$5 \times 10^{-8} \text{WK}^{-1}$ at the operating temperature of the bolometer. A $0.08 \text{ } \mu\text{m}$ bismuth film was evaporated on the back of the substrate as a far infrared absorber.

The mount was suspended from the top-plate of a vacuum can by four 1-72 nylon screws about 10 mm long. Below the mount was attached a block of copper (volume $\sim 5 \times 10^3 \text{ mm}^3$) on which was wound a $1 \text{ k}\Omega$ manganin heater. This arrangement provided a low-pass thermal filter to reduce the effects of temperature fluctuations in the helium bath. The thermal time-constant of the block and bolometer mount was about 20 s. A vacuum-tight sapphire window was sealed into the top-plate of the vacuum can above the bolometer. A stainless steel light-pipe with an i.d. of about 12 mm connected the sapphire window to the top of the cryostat. The light-pipe was closed at the top with a black polyethylene sheet $200 \text{ } \mu\text{m}$ thick. The vacuum can was evacuated through a 3 mm stainless steel tube that contained a radiation baffle. Leads from the bolometer circuit were brought out through a vacuum seal in the top-plate.

The Al strip formed one arm of a Wheatstone bridge, each of the other three arms being a manganin wire resistor (see Fig. 3). The bridge was operated at 1 kHz. The output voltage from the bridge was amplified by a Triad G-4 transformer with a turns ratio of 1:350. The μ -metal can had been removed from the transformer which was mounted, together with the manganin resistors, outside the vacuum can. The output of the transformer was connected to a room-temperature FET pre-amplifier. The cooled transformer-preamplifier combination achieves a noise temperature of about 1 K .²¹ The preamplifier had a gain of

10^4 and was followed by a tuned amplifier, and a lock-in detector referenced to the 1 kHz oscillator. The output from the lock-in was available via a 1 kHz notch-filter, and was also connected to a feedback circuit that regulated the temperature of the bolometer.

It is, of course, essential that the Al strip be maintained on the superconducting transition. Both the temperature of the helium bath and the level of the background radiation contain drifts and low frequency fluctuations (whose spectral densities vary approximately as $1/f^2$ at low frequencies) sufficiently large to drive the temperature of the bolometer well away from the transition temperature in the absence of temperature regulation. The temperature of the bolometer was regulated by feedback through an amplifier that fed current into the manganin heater on the copper block. In operation, the temperature of the helium bath was about 1.1 K, at least 0.1 K below the transition temperature of the Al. The feedback circuit supplied the appropriate current to the heater to raise the temperature of the bolometer until the resistance of the Al strip was 1Ω . The response of the feedback circuit was small at the modulation frequency ($\gtrsim 1$ Hz) so the amplified signal at the modulation frequency was available at the output. A slow drift in the temperature of the helium bath or in the amount of background power, on the other hand, was compensated so as to keep the bolometer at its operating temperature.

Typically, τ is 10^{-2} to 10^{-1} s, and the same time constant of the copper mount, τ_m , is ~ 20 s. Thus $\tau \ll \tau_m$. Let α be the loop gain of the feedback system, typically 50. Consider fluctuations

$\Delta P_B \cos(\omega t + \delta_1)$ in the background radiation and $\Delta T_{He} \cos(\omega t + \delta_2)$ in the temperature of the helium bath at frequency ω . Here δ_1 and δ_2 are arbitrary phase factors. A straightforward analysis using standard feedback theory shows that the change in the temperature of the bolometer is⁺

$$\Delta T = \frac{(\epsilon \Delta P_B / G)(1 + \omega^2 \tau_m^2)^{1/2} \cos(\omega t + \delta_1) + \Delta T_{He} \cos(\omega t + \delta_2)}{\tau \tau_m [(\omega^2 - \omega_0^2)^2 + \omega^2 / \tau^2]^{1/2}} \quad (3.1)$$

In Eq. (3.1) $\omega_0 \sim (\alpha / \tau \tau_m)^{1/2} \sim 10$ Hz for typical values. Clearly, $\tau^{-1} > \omega_0 > \tau_m^{-1}$. For a frequency $\omega \ll \tau_m^{-1}$, Eq. (3.1) simplifies to

$$\Delta T = [\epsilon \Delta P_B / G \alpha] \cos(\omega t + \delta_1) + [\Delta T_{He} / \alpha] \cos(\omega t + \delta_2) \quad (\omega \ll \tau_m^{-1}). \quad (3.2)$$

We see that the feedback reduces the effect of either type of fluctuation by a factor $\alpha \approx 50$. For a frequency $\omega \gg \tau^{-1}$,

$$\Delta T = (\epsilon \Delta P_B / G \omega \tau) \cos(\omega t + \delta_1) + (\Delta T_{He} / \omega^2 \tau \tau_m) \cos(\omega t + \delta_2) \quad (\omega \gg \tau^{-1}). \quad (3.3)$$

In this limit, the change in the temperature of the bolometer is determined by the appropriate low pass thermal filters, and the feedback circuit plays no role.

In Fig. 4 we plot a calculation of the relative squared response $(\Delta T)^2$ vs. frequency for fluctuations: (a) $(\Delta P_B)^2 \cos^2(\omega t + \delta_1)$ and (b) $(\Delta T_{He})^2 \cos^2(\omega t + \delta_2)$. The continuous line is the response without

⁺See Appendix.

feedback, and the dashed line is the response in the presence of feedback. The following parameters were used: $\epsilon = 1$, $C = 10^{-9} \text{JK}^{-1}$, $G = 5 \times 10^{-8} \text{WK}^{-1}$, $\tau_m = 20 \text{ s}$, and $\alpha = 50$. At low frequencies, the effect of the perturbation on $(\Delta T)^2$ has been reduced by a factor of $\alpha^2 \approx 2,500$ by the feedback, whereas at high frequencies, the feedback has no effect.

IV. BOLOMETER NOISES

A. THEORETICAL NOISE LIMITS

A signal power $P_s \cos \omega t$ absorbed by, or generated on, the bolometer produces a voltage $V \cos \omega t$ across the bridge, where

$$V = \sqrt{2I(\partial R/\partial T)} P_s/G_e (1 + \omega^2 \tau_e^2)^{1/2}. \quad (4.1)$$

The correction ($\sim 10\%$) due to the attenuation of the signal by the bridge circuit has been neglected.

We now estimate the contributions of the various noise sources to the electrical NEP for typical values of the parameters, in order to determine which of the sources are most important. We consider only the case $\omega \tau \ll 1$, and assume throughout that $T = 1.2$ K, $G = 5 \times 10^{-8} \text{ WK}^{-1}$, $R = 1 \Omega$, and $(\partial R/\partial T) = 10^3 \Omega \text{ K}^{-1}$. The thermal noise contribution is $(\text{NEP})_{\text{Th}} = (4k_B T^2 G)^{1/2} \approx 2 \times 10^{-15} \text{ WHz}^{-1/2}$. The $1/f$ noise in tin films on glass substrates of the superconducting transition is predicted to have a special density^{3,22}

$$S_{1/f}(f) = \frac{J^2 (\partial R/\partial T)^2 k_B T^2}{C_F [3 + 2 \ln(\ell_1/\ell_2)] f} \quad (4.2)$$

where ℓ_1 , ℓ_2 , and C_F are the length, width, and heat capacity of the film, and J is the constant current bias. For the bolometer in a bridge circuit, Eqs. (4.1) and (4.2) lead to

$$(\text{NEP})_{1/f} = G_e \{k_B T^2 / C_F [3 + 2 \ln(\ell_1/\ell_2)] f\}^{1/2}. \quad (4.3)$$

$(\text{NEP})_{1/f}$ is independent of I . If we take the film dimensions as $4 \times 0.25 \times 10^{-4}$ mm and use $C_F(\text{Al}) = 1.6 \times 10^{-4} \text{ JK}^{-1} \text{ cm}^{-3}$ at 1.2 K,

we find that at 10 Hz $(\text{NEP})_{1/f} \approx 10^{-14} \text{WHz}^{-1}$. This estimate is a factor 5 higher than $(\text{NEP})_{\text{Th}}$. However, Clark and Hsiang³ found that a 5 nm film of Al deposited on the glass or sapphire substrate prior to the evaporation of the Sn caused the power spectrum to flatten at low frequencies. At 10 Hz the power spectrum was reduced by a factor of 20 or more. Thus if a comparable flattening of the noise power spectrum occurs with Al film on sapphire, $(\text{NEP})_{1/f}$ is expected to be comparable with $(\text{NEP})_{\text{Th}}$. It is difficult to make a priori estimates of the low frequency noise in this regime, and one should not assume from the outset that this contribution is negligible.

The Johnson noise contribution is found by equating the signal voltage V from Eq. (4.1) with $(16k_{\text{B}}\text{TR})^{1/2}$ (the extra factor of 2 arises from the demodulation scheme):

$$(\text{NEP})_{\text{J}} = G_{\text{e}} (8k_{\text{B}}\text{TR})^{1/2} / I(\partial R/\partial T). \quad (4.4)$$

$(\text{NEP})_{\text{J}}$ decreases as I is increased. We can operate the bolometer at a current amplitude (say 2 μA) at which the Johnson noise is negligible compared with the thermal noise, and at which $I \ll [G/(\partial R/\partial T)]^{1/2}$. Thus self-heating effects are negligible, and $G_{\text{e}} \approx G$.

Finally we consider the contribution of the preamplifier noise. At frequencies above the $1/f$ noise region, the rms noise of our preamplifier with a source impedance of $10^5 \Omega$ is about $2 \text{ nVHz}^{-1/2}$. This value corresponds to an rms voltage V_{T} of about $6 \times 10^{-12} \text{VHz}^{-1/2}$ referred to the input of the transformer (the cooled transformer does not contribute significantly to the preamplifier noise). Equating $\sqrt{2} V_{\text{T}}$ (the factor of $\sqrt{2}$ arises from the demodulation scheme) to V

in Eq. (4.1), we find

$$(\text{NEP})_A = V_T G_e / I (\partial R / \partial T) . \quad (4.5)$$

Choosing $I = 2 \mu\text{A}$, we find $(\text{NEP})_A \approx 2 \times 10^{-16} \text{WHz}^{-1/2}$, a value that is well below $(\text{NEP})_{\text{Th}}$.

Provided that the $1/f$ noise in the Al film is reduced sufficiently by strong coupling of the film to the substrate, it is evident that the electrical NEP should be limited by the intrinsic thermal fluctuations of the bolometer for the values of the parameters we have chosen. It is, of course, necessary to make the contributions of the miscellaneous noise sources negligible. It seems impractical to make reliable a priori estimates of these contributions.

B. EXPERIMENTAL MEASUREMENTS

The values of G , τ , and C were estimated with the feedback circuit disconnected and with $I \ll [G/(\partial R/\partial T)]$, so that $G_e \approx G$. First, we determined the resistance of the Al strip as a function of temperature. Second, the value of G was found by dissipating a known amount of power in the Bi heater and measuring the change in temperature. Third, an ac current of frequency $(\omega/2)$ was applied to the Bi heater, so that the bolometer temperature oscillated at frequency ω . By measuring the response of the bolometer as a function of frequency, we determined τ , and hence $C = \tau G$. The responsivity was then determined with the bolometer in the feedback mode. A current at a low frequency $\omega/2$ was passed through the Bi heater, and the output of the closed loop was lock-in detected. From this measurement we determined the responsivity

of the bolometer referred to the output of the cooled transformer. The spectral density of the voltage noise at the output of the closed loop was measured using an on-line PDP-11 computer,²² and its value, referred to the output of the transformer, was calculated. From these two measurements the NEP was determined.

We evaluated the performance of five bolometers in some detail. Their important parameters are listed in Table II. The large range of values of dR/dT is evident. (Notice that the Al films on bolometers 1 and 2 did not have cut edges.) However, good values of the NEP could be obtained in each case by adjusting the bias current so that $I dR/dT$ had the same value. Large bias currents are undesirable for low background operation because the high dissipation produced raises the temperature of the bolometer significantly above the bath temperature. Consequently, values of dR/dT below about $100 \Omega K^{-1}$ were considered too low for practical bolometers. When the edges of the Al film were trimmed, values of dR/dT of $200 \Omega K^{-1}$ or greater were always obtained. The bias current listed represent minimum values; higher values (up to a factor of 10 higher for the smaller bias currents) produced essentially the same value of NEP at frequencies near 5 Hz. This result indicates that the NEP was limited by thermal noise and/or $1/f$ noise in the film, rather than by Johnson noise or preamplifier noise.

The parameters for bolometer 5 are given in greater detail in Tables III and IV. Table III lists the calculated heat capacities of the components of bolometer 5, and Table IV lists its relevant measured electrical and thermal parameters. The measured heat capacity, $1.2 \times 10^{-9} JK^{-1}$, is in good agreement with the calculated value,

$1.15 \times 10^{-9} \text{ JK}^{-1}$. In Fig. 5 the dashed line represents the responsivity referred to the output of the bridge calculated from Eqs. (3.1) and (4.1) using the appropriate parameters from Table IV. The roll-off below 1 Hz is due to the effect of the feedback system, and the roll-off above 1 Hz is due to the time constant of the bolometer. The dots are the measured responsivity, and are in excellent agreement with the calculated values. In Fig. 6, the dashed line is the sum of the thermal noise, Johnson noise, preamplifier noise, and bath noise power spectra referred to the bridge with the bolometer in the feedback mode. A power spectrum of $1.6 \times 10^{-12} (1\text{Hz}^2/f^2) \text{K}^2\text{Hz}^{-1}$ has been fitted to the data for the helium bath temperature fluctuations. Above 1 Hz, it is evident that the measured noise power spectrum is about a factor two greater than the calculated noise. It is likely that the excess noise arises from the intrinsic "1/f noise" of the Al film, which is expected to have a white power spectrum in this frequency range (see Section A). The excess noise in the range 0.1 to 1 Hz probably arises from an instability in the feedback system. Fig. 7 shows the calculated and measured NEPs, obtained by taking the square root of the ratio of the data in Figs. 5 and 6. Above about 1 Hz, the measured NEP is somewhat higher than the calculated NEP. For example, at 2 Hz the measured NEP is about $1.7 \times 10^{-15} \text{WHz}^{-1/2}$, compared with a thermal noise value of $1.3 \times 10^{-15} \text{WHz}^{-1/2}$. As the frequency increases, the NEP slowly degrades, for example, to $2.0 \times 10^{-15} \text{WHz}^{-1/2}$ at 5 Hz. This degradation reflects the fact that the responsivity falls as the frequency increases, while the Johnson noise and preamplifier noise remain constant. A slightly improved responsivity could probably have been

obtained at the higher frequencies by operating the bolometer with a higher bias current. However, the thermal feedback would then be higher, and the bolometer nearer to thermal runaway.

The dynamic range of the bolometer is approximately $\delta TG / (NEP)$, where δT is the half-width of the transition and $(NEP)/G$ is the temperature fluctuation per $\text{Hz}^{1/2}$. Taking $\delta T \sim 2 \text{ mK}$ and $(NEP)/G \sim 10^{-7} \text{ KHz}^{-1/2}$, we find a dynamic range of about 10^4 in a 1 Hz bandwidth. The maximum long-term change in temperature (due to a change in the background radiation or a change in the temperature of the helium bath) that can be cancelled by the feedback system is $\sim \alpha \delta T \sim 0.1 \text{ K}$. This value corresponds to a change in background radiation of about 1 nW.

V. FAR INFRARED ABSORBER

A. THEORY

The portions of the bolometer that can absorb a significant amount of infrared radiation are the dielectric substrate and the conducting film. Both sapphire and (most types of) diamond have absorption bands in the near infrared. At far infrared wavelengths where these composite structures are expected to be most useful, however, the cold substrate acts as an essentially lossless dielectric with an index of refraction which is only weakly dependent on frequency. The substrate serves to impedance match free space radiation into a thin metal film deposited on the back side of the bolometer. The surface impedance of the film is selected for optimum absorption. We calculate the absorptivity under the following assumptions: The absorbing layers comprise an infinite plane; the sapphire has a thickness d , a refractive index n (we neglect birefringence), and a permeability equal to that of free space; the conducting layer has an infrared frequency resistivity per square, R_{\square} , that is the same as at zero frequency, and a thickness that is much smaller than the skin depth and the wavelength of the radiation; the radiation is unpolarized; the radiation makes only a single pass at the bolometer (i.e. there is no reflecting surface under the bolometer); and the radiation is incident normally on the surfaces.

The transmission and reflection coefficients at the upper (uncoated surface) are²³

$$T_1 = 4n/(n + 1)^2, \quad (5.1)$$

and

$$R_1 = (n - 1)^2 / (n + 1)^2 . \quad (5.2)$$

The transmission, reflection, and absorption coefficients at the lower (coated) surface are

$$T_2 = 4n / (n + 1 + Z_0/R_{\square})^2 , \quad (5.3)$$

$$R_2 = (1 - n + Z_0/R_{\square})^2 / (n + 1 + Z_0/R_{\square})^2 , \quad (5.4)$$

and
$$A_2 = (4nZ_0/R_{\square}) / (n + 1 + Z_0/R_{\square})^2 , \quad (5.5)$$

where Z_0 ($\approx 377 \Omega / \square$) is the impedance of free space. Although Eqs. (5.1) to (5.5) are derived for normal incidence, they remain accurate to within a few percent for angles of incidence up to 60° . In general, there will be multiple reflections between the two surfaces of the substrate, giving rise to Fabry-Pérot fringes in the observed values of the transmissivity, T_b , reflectivity, R_b , and absorptivity, A_b , of the bolometer. It can readily be shown that

$$T_b = \frac{T_1 T_S T_2}{1 + T_S^2 R_1 R_2 \pm 2T_S \sqrt{R_1 R_2} \cos \delta} , \quad (5.6)$$

$$R_b = \frac{R_1 + T_S^2 R_2 - 2T_S \sqrt{R_1 R_2} \cos \delta}{1 + T_S^2 R_1 R_2 \pm 2T_S \sqrt{R_1 R_2} \cos \delta} , \quad (5.7)$$

and
$$A_b = \frac{T_1 (1 - T_S T_2 - T_S^2 R_2)}{1 + T_S^2 R_1 R_2 \pm 2T_S \sqrt{R_1 R_2} \cos \delta} . \quad (5.8)$$

The plus sign applies when $Z_0/R_{\square} > n - 1$, and the minus sign applies

when $Z_0/R_{\square} < n - 1$. In these equation, T_S is the transmissivity of a thickness d of sapphire, $\delta = 4\pi n d \bar{\nu}$, and $\bar{\nu}$ is the free-space wavenumber of the radiation. In practice, all three expressions will be complicated by the fact that sapphire is birefringent.

A particular simple case arises when the reflection from the metal film $R_2 = 0$, that is when $Z_0/R_{\square} = n - 1$ [from Eq. (5.4)]. There are no multiple reflections within the sapphire, and the absorptivity of the bolometer is independent of frequency. With $T_S = 1$, we find

$$A_b(Z_0/R_{\square} = n - 1) = 4(n - 1)/(n + 1)^2. \quad (5.9)$$

If we take $n \approx 3$, as is appropriate for sapphire, we find $A_b(Z_0/R_{\square} = n - 1) \approx 0.5$. Any other value of n produces a smaller value of the frequency-independent absorptivity. If the bolometer is to be used over a wide range of frequencies, it is usually desirable to choose $R_{\square} = Z_0/(n - 1) \approx Z_0/2$, so that the absorption is frequency-independent. On the other hand, if the bolometer is to be used over a relatively narrow frequency band, one can take advantage of the Fabry-Perot fringes to obtain a higher absorptivity. As an example, take $\delta = 2\pi$, corresponding to the case $\bar{\nu} = 1/2nd$, and $T_S = 1$. If $d = 0.05$ mm, and $n = 3$, the absorptivity has a peak at about 33 cm^{-1} , and has minima at about 17 cm^{-1} and 50 cm^{-1} . The peak absorptivity is found by setting $\cos \delta = 1$ in Eq. (5.8), and maximizing A_b with respect to Z_0/R_{\square} (with $T_S = 1$). If we assume $n = 3$, we find that the maximum value of A_b is 0.90, with $Z_0/R_{\square} = 10$. In practice, the Fabry-Pérot pattern for sapphire will be complicated by the presence of the extraordinary ray (for which $n \approx 3.3$), and will depend on the cut of the sapphire crystal.

No such complications arise for diamond, which is optically isotropic.

B. EXPERIMENTAL CHARACTERIZATION OF COATED SUBSTRATES*

Bismuth films varying in thickness from 30 nm to 480 nm were deposited on 8 x 4 x 0.135 mm sapphire substrates. Bismuth was used for the absorbing film because the required resistivity range could be achieved with a convenient range of thicknesses. Other materials, for example, chromium or nickel-chromium alloys, would presumably work equally well. These substrates are optically polished on one side and are rough on the reverse side. The rough side has irregularities large compared with the thickness of the films, but small compared with far infrared wavelengths. For each film thickness, a sample was prepared on both smooth and rough surfaces. The resistivities of these films measured at 300 K and 4.2 K are shown in Fig. 8. The resistivities at 300 K are greater than the bulk value and increase as the films are cooled to 4.2 K. These results suggest that the structure of the films is somewhat non-crystalline. Nevertheless, the resistivities were quite reproducible, and the desired resistance could be obtained readily.

The far infrared transmission of the coated substrates was measured at 1.3 K using a Fourier-transform spectrometer and a germanium bolometer. Transmissivity spectra were obtained for an empty sample holder, for an uncoated substrate, and for four substrates with varying film thicknesses. Measurements were made over three overlapping spectral ranges, from 3 to 25, 10 to 120, and 15 to 300 cm^{-1} with resolutions

*Experiments in this section were done by G.I. Hoffer.

of 0.25, 1.2, and 3.0 cm^{-1} , respectively. Transmissivity spectra were obtained by dividing the transmission spectrum of each sample by the transmission spectrum of the empty sample holder. A series of transmissivity spectra is shown in Fig. 9. The Fabry-Pérot fringes are very pronounced for the uncoated substrate, and have a period of about 12 cm^{-1} as expected for $d = 0.135$ mm and $n \approx 3$. The beat pattern is due to the difference (≈ 0.3) in the refractive indices for the ordinary and extraordinary rays. The coated substrates show reductions in the overall transmission and in the amplitude of the Fabry-Pérot fringes. The latter effect is due to the reduced reflection at the back surface when it is coated with a conducting layer. According to Eq. (5.4), the reflectivity goes to zero (and hence the fringes vanish) when $R_{\square} = Z_0/(n - 1)$ ($\approx Z_0/2 \approx 188 \ \Omega/\square$ for sapphire). In Fig. 9, the minimum in the fringe amplitude occurs between $R_{\square} = 149$ and $235 \ \Omega/\square$. This is consistent with the theoretical predictions.

C. OPTICAL CALIBRATION OF INFRARED SOURCES

A blackbody at fixed temperature T is a standard infrared source. The emitted radiation can be calculated from Planck's formula. To conduct the radiation to the detector at the bottom of the dewar, we have to use optical components such as a light pipe, mitered right angles, or condensing cones. Appropriate filters have to be inserted into the path to cut off the unnecessary radiation. All these elements need to be calibrated, but the difficulty is that none of these elements obeys a linear superposition law. By putting two of the elements in

series, the total transmissivity is not necessarily the product of the individual transmissivities measured separately. For example, in a light pipe the rays which make a large angle θ with the axis of the light pipe will be bounced back and forth more times by the wall than those with a small angle θ . Thus the rays with larger θ will be attenuated more. The relation between incident and transmitted power is

$$\int w_t(\theta) d\theta = \int T(\theta) w_i(\theta) d\theta , \quad (5.10)$$

where $w_i(\theta)$ and $w_t(\theta)$ are the angular power distributions for incident and transmitted radiation, respectively. $T(\theta)$ is the angular transmissivity of the light pipe. If we measure the total incident and transmitted power, the ratio $\int w_t(\theta)d\theta/\int w_i(\theta)d\theta$ cannot be generalized as is apparent from Eq. (5.10). Theoretical calculations on the transmission of light pipe have been made by Richards²⁴ and Loewenstein.²⁵ The uncertainty in estimating the reflectance on the inner wall makes it difficult to apply to real situations.

For mitered right angles, the situation is even more complicated. The deflection of meridional rays (rays whose paths lie in the plane of symmetry of the pipe under consideration) is illustrated in Fig. 10. The skew rays will be scattered more by the side walls. After passing through the mitered corner, the beam no longer has a well-defined solid angle distribution. This problem should be avoided in the infrared calibration. Other components such as incident cones and condensing cones also add confusion to the calibration, although they may increase the optical efficiency.

To measure the optical absorptivity of the bolometer, we adopted the simplest design we could devise. Two polished aluminum mirrors and a light pipe were used to feed the radiation to the detector. The configuration is shown in Fig. 11. The incident radiation used in the calibration has solid angles less than 7×10^{-3} sr. In this case the transmissivities of the various filters are independent of the solid angles. The overall transmissivity of the filters is the product of the transmissivities for each filter at a given wavelength.

D. FAR INFRARED EFFICIENCY OF BOLOMETER

The far infrared absorptivity of the bolometer was measured by chopping the radiation falling on the top of the light pipe between sources at 77 K and 290 K. The transmissivity of the light pipe and of the various elements in the light pipe were measured separately, so that the power incident on the bolometer could be computed. The ratio of the absorbed power to the incident power was the absorptivity.

The cold source was contained in a 150 mm diameter glass dewar filled with liquid nitrogen. The lower part of the inside of the dewar was covered with AN-72 EccosorbTM, and the upper part was covered with aluminum sheet which was in contact with the liquid nitrogen. Dry nitrogen gas was flushed over the top of the dewar to avoid condensation of moisture on the inner wall. The cold radiation traveling upward from the dewar was deflected downward into the light pipe by two polished aluminum mirrors. A circular aperture 29 mm in diameter limited the solid angle viewed by the light pipe. The transverse dimension of the aluminum mirrors is much larger than the

aperture. The geometrical circle on the mirror seen by the bolometer with an angular field of view less than 7×10^{-3} sr has a diameter not more than 5 cm, while the aluminum mirror is 15 cm x 20 cm. The diffraction of the room temperature radiation by the mirror edge into the bolometer is negligible. The separation between the aperture and the light pipe could be varied to change this solid angle. An 11 Hz reflecting chopper with its blades at 45° to the horizontal was located below the aperture so that the signal reaching the light pipe arrived alternately from the aperture and from an ambient temperature slate blackboard. The slate was a convenient reference because of its high absorptivity and long thermal time constant. The calibration was accomplished by measuring the difference between the lock-in detected signal from the cold source with the aperture open and the signal measured when an ambient temperature (290 K) piece of Eccosorb was placed on top of the horizontal metal plate which contained the aperture.

The stainless steel light pipe (760 mm long and 11.7 mm i.d.) was sealed at the top with a 0.2 mm thick black polyethylene sheet. The vacuum can at the bottom was sealed with a wedge-shaped sapphire window that tapered in thickness from 1.52 mm to 1.02 mm. A 2.54 mm thick FluorogoldTM low-pass filter was placed in the liquid helium above the sapphire window to define the spectral bandpass and to reduce the background loading on the bolometer. The lower side of the bolometer substrate (4 x 4 x 0.05 mm) was coated with a 80 nm bismuth film with a resistance of approximately $190 \Omega/\square$ at 1.5 K. Thus the absorption was approximately independent of frequency. A slab of EccosorbTM was

placed behind the bolometer to absorb the radiation which by-passed the bolometer or was transmitted through it. Consequently, the radiation made only a single pass at the bolometer.

The transmissivity of the FluorogoldTM filter at 1.5 K was measured using a Fourier transform spectrometer, and is plotted in Fig. 12(a). Figure 12(b) shows the calculated difference between the blackbody sources at 290 K and 77 K as viewed through the FluorogoldTM filter. This curve represents the ~ 5 to 45 cm^{-1} pass-band of the calibration. We estimate an error of $\pm 3\%$ in the power calibration.

The transmission of the light pipe was measured as shown in Fig. 13. The detector used in this experiment was an InSb detector. A black polyethylene sheet and a FluorogoldTM filter constituted the window of the detector. The Fourier spectrum of the mercury arc using this detector showed a passband between approximately 5 and 45 cm^{-1} . A piece of brass light pipe about 50 mm long and 11.7 mm i.d. was connected to the detector input. The light pipe could be evacuated through a small tube in the wall. A 0.2 mm-thick mylar sheet sealed the front end. The transmissivity of the brass light pipe was over 0.98 (much higher than that of the S.S. light pipe to be measured). The chopper again chopped the blackbody radiation between 77 K and 290 K. The responses of the detector at both position I and II were measured. At position II the mylar sheet was moved to the front of the S.S. light pipe so that the whole light pipe was evacuated. The solid angle of the input radiation could be changed by varying the distance between position I and the aperture. The measured transmissivity was 0.61 ± 0.03 independent of the solid angles ($< 7 \times 10^{-3}$ sr). The light pipe was also cooled

down to liquid nitrogen temperature by enclosing the light pipe with a plastic bag and feeding liquid nitrogen into it. The front end of the light pipe was kept warm to avoid the condensation of water vapor on the mylar window. The measured transmissivity was unchanged to within 2%. This value for T_{lp} can be brought into agreement with the calculated transmissivity from a light pipe theory which ignores paraxial rays,²⁴ if we assume a resistivity which is three times larger than the measured low temperature value of approximately $70 \mu\Omega\text{cm}$. Other experimenters have found a similar discrepancy.²⁴

The transmissivity of the sapphire window at 1.5 K was measured to be 0.57 ± 0.02 . This value is in excellent agreement with the calculated value of 0.57 obtained assuming refractive indices of 3.0 and 3.4 and assuming negligible absorption. The transmissivity of the black polyethylene window at room temperature was measured to be 0.86 ± 0.02 . The absorbing area of the bolometer was reduced from 16 mm^2 to $A_{\text{eff}} = 14.6 \text{ mm}^2$ by the superconducting In and Al films, which have negligible absorption in the far infrared. We assume that the fraction of the radiation leaving the light pipe which is incident on the detector is equal to the ratio of A_{eff} to the area of the light pipe.

The far infrared responsivity of the bolometer was measured over a range of solid angles from 2.0 to 6.9×10^{-3} sr. As expected, the data show no significant dependence on solid angle. The average value of the absorptivity is 0.52 ± 0.05 (see Fig. 14). The aggregate error limit of ± 0.05 is obtained by combining errors of $\pm 5\%$ in the measurements of the electrical NEP and the far infrared responsivity with

values given above for the errors in the measurements of the transmissivity of the black polyethylene, the light pipe, the FluorogoldTM, and the sapphire. This value is in excellent agreement with the theoretical value of 0.5. The absorptivity is expected to be the same up to the plasma frequency of Bi²⁶ ($\approx 160 \text{ cm}^{-1}$) where the resistivity will deviate from the dc value.

The effective absorptivity, ϵ_e (relative to the area of the entire bolometer), is 0.47 ± 0.05 . Using our best value of electrical NEP, $(1.7 \pm 0.1) \times 10^{-15} \text{ WHz}^{-1/2}$, we find

$$D^* = \epsilon_e A^{1/2} / \text{NEP} = (1.1 \pm 0.1) \times 10^{14} \text{ cm W}^{-1} \text{ Hz}^{1/2}.$$

We also tried to measure the optical efficiency of the bolometer in the absence of the light pipe. But, unfortunately, the uncertainty in the scattering of the diffracted radiation by the wall of the dewar, which was covered with EccosorbTM, made the calibration very confusing.

VI. POSSIBLE IMPROVEMENTS

Some improvements in the sensitivity of the transition edge bolometer appear possible. The heat capacity of the bolometer could be reduced by eliminating the bismuth heater and using only two indium contacts with much smaller areas. The substrate could be supported by uncoated nylon threads of negligible thermal inductance. By means of these modifications, the heat capacity would be just that of the substrate and the absorbing film. Table 5 shows the heat capacity of several materials. At 1.27 K, a diamond substrate and chromium absorber would give a heat capacity of $1.2 \times 10^{-10} \text{ JK}^{-1}$, about one order of magnitude smaller than the present bolometer. For the same value of thermal conductance, the time constant of the bolometer would be reduced to about 6 ms. Alternatively, the thermal conductance could be reduced to about $2 \times 10^{-9} \text{ WK}^{-1}$, keeping the time constant at its present value, 60 ms. Provided that the bolometer was still essentially thermal noise limited, an improvement in the NEP by a factor of $\sqrt{10}$ could be achieved.

A further improvement in sensitivity could be obtained by cooling the bolometer to liquid ^3He temperature, and operating at about 0.4 K using a titanium film as the thermometer. We estimate that a bolometer using a diamond substrate and bismuth absorber would have a heat capacity of $8 \times 10^{-12} \text{ JK}^{-1}$. The thermal conductance could be reduced to $1.3 \times 10^{-10} \text{ WK}^{-1}$ for a time constant 60 ms. The thermal noise limited NEP ($\propto TG^{1/2}$) would then approach $3 \times 10^{-17} \text{ WHz}^{-1/2}$. In order to achieve this limit, a preamplifier with a noise temperature of less than 0.4 K would be required.

The optical efficiency of the bolometer could be increased by the use of focusing and partially recollimating cones. The analysis shows the absorptivity of the bolometer is essentially a constant up to 60° from normal incidence. An optimized design could easily be obtained by recollimating the incident radiation to within 60° . We could even put the bolometer into an integrating cavity so that the radiation would make multiple passes through the absorbing area.

For low frequency applications the noise from He bath fluctuation could be reduced greatly by inserting a second thermal sink between the copper block and the He bath. The temperature of the second thermal sink would be regulated by a separate thermometer and a heater. The low frequency roll-off knee in the bolometer feedback circuit could then be shifted to a much lower frequency to obtain a wider region of flat response.

VII. THEORY OF THERMAL FLUCTUATION NOISE IN METAL THIN FILMS

A. INTRODUCTION

Clarke and Voss²² have developed a model that used equilibrium temperature fluctuations to predict the voltage noise observed at room temperature in metal thin films deposited on glass substrates. The temperature fluctuations produce resistance fluctuations through the temperature coefficient of resistance, $\beta = (1/R)(dR/dT)$. When a constant dc bias current is applied to the thin film, the resistance fluctuations give rise to voltage fluctuations. In the frequency range from 0.1 Hz to 1000 Hz, this model predicts a $1/f$ noise power spectrum which quantitatively agrees with the experimental measurements.

Subsequently, Clarke and Hsiang³ measured the noise power spectrum of Sn and Pb thin films in the middle of the superconducting transition. They found $1/f$ noise when the film was poorly coupled to the substrate (Type A). The noise level was again consistent with the equilibrium temperature fluctuation model. But, when the film was strongly coupled to the substrate (Type B), the low frequency noise tended to be flattened off. Due to the complication of the boundary impedance and the mismatch of thermal phonons at the metal-insulator interface, the Clarke-Voss model could not give any quantitative predictions for type B samples.

Ketchen and Clarke²⁷ measured the noise from freely suspended Sn films at the superconducting transition. They had a well-defined 1-dimensional system with no substrate. Each film was freely suspended between two pairs of clamps that thermally grounded the ends of the film. The boundary conditions could be easily applied. The equilibrium

temperature fluctuation model predicted a white noise region followed by a roll-off above a low frequency knee. This was in good agreement with the noise observed in their experiments.

The equilibrium temperature fluctuation model was successful in predicting the 1/f noise at room temperature and the noise at the superconducting transition. However, recent experiments²⁸ showed that the 1/f noise in films on substrates near room temperature had a stronger temperature dependence than the model predicted.

B. EQUILIBRIUM TEMPERATURE FLUCTUATIONS

In a homogeneous medium with specific heat C_v and thermal diffusivity D , the temperature $T(\vec{x}, t)$ obeys the diffusion equation

$$\frac{\partial T}{\partial t} = D \nabla^2 T . \quad (7.1)$$

A random driving term can be added to Eq. (7.1) to generate noise.

The actual temperature fluctuation is governed by the diffusion equation and the corresponding boundary conditions. Clarke and Voss²² suggested two different kinds of random driving terms. When added to the diffusion equation, they become

$$\frac{\partial T}{\partial t} = D \nabla^2 T + C_v^{-1} \nabla \cdot \vec{F}(\vec{x}, t) \quad (7.2)$$

$$\frac{\partial T}{\partial t} = D \nabla^2 T + C_v^{-1} P(\vec{x}, t) , \quad (7.3)$$

where $\vec{F}(\vec{x}, t)$ and $P(\vec{x}, t)$ obey the relation

$$\langle \vec{F}(\vec{x}, t) \cdot \vec{F}(\vec{x}+\vec{s}, t+\tau) \rangle = (2\pi)^3 F_0^2 \delta(\vec{s}) \delta(\tau) , \quad (7.4)$$

and

$$\langle P(\vec{x}, t) \cdot P(\vec{x}+\vec{s}, t+\tau) \rangle = (2\pi)^3 P_0^2 \delta(\vec{s}) \delta(\tau) . \quad (7.5)$$

In Eq. (7.2), $\vec{F}(\vec{x}, t)$ represents a random thermal current flowing inside the system. The random current is uncorrelated in space or time. The space-time correlation function of the temperature fluctuation $C_T(\vec{s}, \tau) \equiv \langle \Delta T(\vec{x} + \vec{s}, t + \tau) \Delta T(\vec{x}, t) \rangle$, is given by

$$C_T(\vec{s}, \tau) = [k_B T^2 / C_V (4\pi D \tau)^{1/2}] \exp(-s^2 / 4D\tau). \quad (7.6)$$

It can be seen that the temperature fluctuation is spatially uncorrelated as $\tau \rightarrow 0$. Therefore, this model is called the uncorrelated model.

The second kind of driving term $P(\vec{x}, t)$ in Eq. (7.3) represents a random thermal source or sink, which is still spatially and temporally uncorrelated. Detailed calculations show a correlation function

$$C_T(\vec{s}, w) = \frac{P_o^2 e^{-|\vec{s}|/\lambda}}{8\sqrt{2} \pi^2 D^{3/2} w^{1/2}} \frac{\sin(|\vec{s}|/\lambda)}{|\vec{s}|/\lambda}, \quad (7.7)$$

where $\lambda(w) = (2D/w)^{1/2}$. For a particular harmonic component in the fluctuation with frequency w , there is a spatial region with linear dimension $\lambda(s)$ which has correlated temperature fluctuations. This model is called the correlated model.

For a homogeneous medium, the temperature fluctuation of a small box with dimensions $l_1 \times l_2 \times l_3$ can be analyzed. The total temperature fluctuation should be normalized to kT^2/C_V . For the uncorrelated model, the predicted spectrum shows four frequency regions with frequency dependence given by f^0 , $\ln(1/f)$, $f^{-1/2}$, and $f^{-3/2}$, respectively. There are three knees separating these regions. The knee frequencies are $f_i = D/4\pi l_i^2$ ($i = 1, 2, 3$). The correlated model has four frequency-dependent regions given by $f^{-1/2}$, f^{-1} , $f^{-3/2}$, and

f^{-2} . The knees still appear at the same frequencies as the uncorrelated model. All these analyses become much more complicated in a composite system made up of a thin film on the substrate. Though the noise spectrum cannot be analyzed explicitly, it is still possible to find out the nature of the random driving term.

C. AUTOCORRELATION FUNCTION AND DECAY MEASUREMENTS

According to the Wiener-Khintchine relations, the noise power spectrum $S(\omega)$ of a stochastic process $A(t)$ can be related to the autocorrelation function $C(\tau)$:

$$S(\omega) = (2\pi)^{-1} \int_0^{\infty} C(\tau) \cos \omega \tau \, d\tau, \quad (7.8)$$

and

$$C(\tau) = \langle A(t)A(t+\tau) \rangle. \quad (7.9)$$

The total noise power is the integral of the spectrum over the whole frequency range, that comes out to be $C(0)$. Therefore, if we can find the autocorrelation function and properly normalize the first point to the total noise power, the noise power spectrum can be calculated from Eq. (7.8). Since the equilibrium temperature fluctuations on the average obey the same decay law as small macroscopic perturbations of the system, the autocorrelation function of temperature fluctuations can be generated by uniformly perturbing the system and looking at the decay of the average temperature in the system. It can be shown²² that the autocorrelation function for the uncorrelated model corresponds to the δ -function decay curve, that is, the thermal decay curve in time generated by a spatially uniform and short-duration heat pulse. On the other hand, the

autocorrelation function for the correlated model corresponds to the step-function decay curve, which is the integral of the δ -function decay. The cosine transform of these decay curves can be compared with the measured noise power spectrum. The importance of this result is that it gives an experimental method of determining the shape of the noise power spectrum in an arbitrary volume with arbitrary coupling once the nature of the random noise generating term is known.

At room temperature, the composite system made up of a metal thin film on a glass substrate shows $1/f$ noise from 0.1 Hz to 1000 Hz. The spectrum obtained from the cosine transform of a step-function decay has the same frequency dependence. Therefore, the correlated model is more appropriate in describing this system. For a freely suspended Sn fiber at the superconducting transition, the spectrum from the cosine transform of the δ -function response is in excellent agreement with the measured noise power spectrum. The uncorrelated model should be used to describe the freely suspended fiber at the superconducting transition.

It will be interesting and important to find out the random noise generating term for a Sn film on a substrate at the superconducting transition: Whether the correlated model or the uncorrelated model more accurately describes this particular system. We used a SQUID²⁹ (Superconducting QUantum Interference Device) voltmeter to measure the noise from Sn films evaporated on sapphire substrates. The measured noise power spectrum was compared with the cosine transforms of both δ -function and step-function decay curves. We found that the uncorrelated model was the better choice.

VIII. EXPERIMENTAL PROCEDURES

A. SAMPLE PREPARATION

Single crystal sapphire substrates with dimensions 8mm x 4mm x 0.135 mm were used in the experiment. One surface of the substrate was polished for film deposition. The substrate was first cleaned with Labtone and then degreased in trichloroethylene and methanol. Finally it was rinsed with distilled water and blown dry with pressurized nitrogen gas. A Sn film about 1000 Å thick was evaporated on the substrate at room temperature at a pressure of approximately 3×10^{-5} torr. The film was then cut with a diamond knife into the shape shown in Fig. 15. The central region with dimensions $500\mu \times 12 \mu \times 1000 \text{ \AA}$ is the sample for the experiment. Four indium contacts were pressed onto the film for external electrical connections.

The slowly evaporated tin film (7 Å/sec) and the rapidly evaporated tin film (100 Å/sec) look quite different with naked eyes. The latter is highly reflecting while the former is not.

The scanning electronmicroscope studies showed different structures. Fig. 16a is a picture of a slowly evaporated film. There are many submicron grains. For a rapidly evaporated film, no visible grain is observed with a magnification of 10^4 (see Fig. 16b). The relation between the grain size and the evaporation rate can be understood from the processes in the formation of the thin films.

The condensation of a vapor atom³⁰ is determined by the interaction with the surface of the substrate. Once the atom is adsorbed to the surface, it may or may not be in thermal equilibrium. The adatoms

move over the surface by thermal excitation or their own kinetic energy parallel to the surface until chemically adsorbed onto the surface to form a stable cluster. These clusters constitute the nucleation centers and then grow to form islands. As islands increase their size by further deposition and come closer to each other, the larger ones appear to grow by coalescence of the smaller ones. The tendency to form larger islands is the result of higher surface mobility of the adatoms and clusters. Higher mobility is obtained^{31,32} for films of low-melting-point materials, smooth substrate surface, low deposition rate, and high substrate temperature. The single-crystal substrate has a dominant influence on the oriented growth of the thin film. Epitaxy can occur between two substances of completely different crystal structures and of different types of chemical bonds.³³ There exists a critical temperature,³⁴ called the epitaxial temperature, above which epitaxy is perfect and below which it is imperfect. The epitaxial temperature is usually above room temperature. Even above the epitaxial temperature, the examination of the epitaxial thin film may reveal a variety of dislocation lines, stacking faults, grain boundaries, and minor defects from aggregation of point defects.³⁵

Due to the low melting point of tin (≈ 505 K), the adsorbed tin atoms have a fairly high surface mobility. The fine grains in Fig. 16b are due to the high deposition rate, which results in strong interactions of the adatoms with each other. They are, therefore, chemisorbed with little surface migration. The sharp corners of the grains in Fig. 16a may be attributed to the imperfect epitaxial growth of the film. These explanations are further verified by using glass substrate

instead of sapphire. Fig. 17a and 17b show the electronmicroscope pictures of slowly ($7 \text{ \AA}/\text{sec}$) and rapidly ($100 \text{ \AA}/\text{sec}$) evaporated tin films on glass substrate. A higher deposition rate still results in a smaller grain size. In the absence of epitaxial growth, the grains in Fig. 17 do not have sharp corners. For the same deposition rate ($100 \text{ \AA}/\text{sec}$), the grains on sapphire substrate (Fig. 16b) are much finer than those on glass substrate (Fig. 17b). This might be due to the difference in the thermal conductivity of the substrate. At room temperature, the thermal conductivity of sapphire is about one order of magnitude higher than that of glass. The effective heating of the sapphire substrate by the thermal radiation from the evaporation boat is less, in which case the actual temperature of the substrate during the evaporation is lower.

In spite of the difference in microstructure for rapidly and slowly evaporated tin films on sapphire substrate, we still observed the same noise power spectrum and the same responses to δ -function and step-function perturbations.

B. SQUID ELECTRONICS AND CRYOSTAT

We measured the noise from the tin sample by four terminal method to eliminate contact noises. A constant dc current was applied to the tin film. The SQUID circuit was designed as a nearly infinite input impedance voltmeter to read out the voltage across the tin film. The circuit diagram is shown in Fig. 18. I_1 is the bias current for the sample. I_2 is a second current source to maintain zero circulating current around the loop $R-R_{STD}-L_i$. When this condition is satisfied,

then

$$I_1 R = I_2 R_{STD} \quad (8.1)$$

The resistance of the sample can be calculated immediately. The SQUID signal was fed back to the standard resistor so that the SQUID served as a null detector. Any small change in the sample resistance would show up in the feedback current. From simple circuit theory, it can be shown that the overall voltage gain in the SQUID system is R_f/R_{STD} . The voltage fluctuation across the feedback resistor R_f is related to the temperature fluctuation of the sample by:

$$\Delta V_f^2 = \left(\frac{R_f}{R_{STD}}\right)^2 I_1^2 \left(\frac{dR}{dT}\right)^2 \Delta T^2 . \quad (8.2)$$

In our experiment, we used a standard resistor $R_{STD} = 1.35 \Omega$. The input coil had 85 turns with a measured mutual inductance of 40 nH. Mercury cells were used in the current sources and ten-turn wire wound potentiometers were needed to vary the current from 0 to 20 μ A. The tin samples with dimensions 500 μ m x 12 μ m x 1000 \AA usually had a room temperature resistance approximately 50 Ω . At 3.8 K, just above the superconducting transition, the resistance was about 2.55 Ω . A resistance ratio of 20 was observed.

The frequency response of the SQUID system depends on the standard resistor R_{STD} and the sample resistance R . In the circuit analysis in this section, we have assumed zero impedance for the input coil L_i . This is true for a frequency well below the characteristic frequency of the loop $R-R_{STD}-L_i$, which is given by $(R+R_{STD})/(2\pi L_i) \approx 10^5$ Hz. The intrinsic noise calculations of the SQUID system are made more complicated

by the interactions between the modulation coil, input coil, and the SQUID. A numerical calculation can be found in the work by Clarke and Tesche.³⁶

A schematic diagram of the SQUID insert is shown in Fig. 19. The SQUID system and the sample are mounted inside a vacuum can. The SQUID and the standard resistor are on copper block 1, which has a good thermal coupling to the He⁴ bath. The sample is on copper block 2, which is supported by two thin wall stainless steel tubes to block 1. A thin copper wire links the two blocks so that the measured time constant for block 2 at He⁴ temperature is approximately 70 sec. The relatively long time constant greatly stabilizes the temperature on block 2. The electrical connections to the sample are through four copper brackets which are thermally in contact but electrically insulated from the block. The leads connecting the four copper brackets to block 1 are niobium wires. The contribution to thermal conductance by these superconducting leads is negligible.

The sapphire substrate is glued onto block 2 with GE 7031 varnish to get a good thermal coupling. The vacuum can is sealed with indium O-ring and evacuated. Hydrogen exchange gas is added into the can to reach a pressure about 7 Torr. After the can is immersed in liquid nitrogen, it takes about one hour for block 2 to reach thermal equilibrium. After the temperature reaches 77 K, the liquid nitrogen is poured out of the dewar, and the liquid helium is transferred. The helium transfer in the beginning should be slow enough not to let the exchange gas condense immediately. The transfer time is usually half an hour. The SQUID is still hot after the helium is transferred. It takes one more

hour for the SQUID to become superconducting, and three more hours for block 2 to reach 4.2 K. We pump down the helium bath to 3.2 K. The temperature is regulated by a Rochlin bridge³⁷ to within $\pm 50\mu\text{K}$. The heater on block 2 raises the temperature to the superconducting transition of the tin film. The current supply for the heater was made up of two dc current sources in parallel. These current sources are referenced to standard mercury cells. One has a ten-turn pot with continuously adjustable current range from 0 to 1 mA. The other has an adjustable range from 0 to 10 μA . The temperature of block 2 is measured with a carbon resistance thermometer. The exact transition point of the sample is found as follows: when the film is superconducting, I_1 is shorted by the sample and produces no SQUID response. We maintain a constant I_1 , say 2 μA , and keep $I_2 = 0$. Then we increase the heater current gradually. The SQUID output has a sudden change as the temperature of the tin film reaches the transition region where I_1 is divided between the two branches R and R_{STD} , resulting in a net current increase in the SQUID input coil L_1 . In the feedback mode, the current change in L_1 is further cancelled by the feedback current. The fine adjustment knob in the heater current supply is used to bias the temperature of the tin film in the middle of the superconducting transition. The transition width of the tin film is typically 4 mK. The equilibrium temperature fluctuation in the tin film is of the order of 10 μK . This is why the temperature stabilization is so important in the noise measurement. With the long time constant in block 2, one has to be patient in adjusting the heater current. After reaching the steady state, the temperature of the tin film can stay there for at least 10 minutes without any

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noticeable drift at the SQUID output.

C. MEASUREMENT OF NOISE POWER SPECTRUM

The noise power spectrum of the tin film at the superconducting transition was measured with a PDP-11 computer. The output signal from the SQUID system was connected to the input of the computer. The computer was programmed to sample the data and Fourier transform them. The noise power spectrum was obtained by taking the square of the Fourier-transformed data and dividing them by the sampling time. The ensemble average of many runs reduced the standard deviation and obtained a smooth spectrum. It can be shown that the noise power spectrum obtained in this way is the same as measuring the autocorrelation function directly and taking the cosine transform. Of course, a low-pass filter had to be used before taking FFT to avoid aliasing.

The measured noise power spectrum is shown in Fig. 20. The solid line is the calculated Johnson noise level of the standard resistor and the sample. Apparently, the lower spectrum with $I_1 = 0$ is dominated by the Johnson noise. The upper spectrum is the current dependent noise, which is white in the frequency range 1 Hz to 300 Hz. The roll-off beyond 300 Hz is not intrinsic but due to the electronics as can be seen from the Johnson noise spectrum. The magnitude of the white noise spectrum is: $S_V(f)/V^2 = 1.3 \times 10^{-9} \text{ Hz}^{-1}$. The corresponding temperature fluctuation $S_T(f) = 9.4 \times 10^{-15} \text{ K}^2 \text{ Hz}^{-1}$. From the measured noise power spectrum, we know that the tin film should be strongly coupled to the substrate.³ A quantitative prediction of the spectrum from the temperature fluctuation model is not available because of the

uncertainty introduced by the boundary impedance between the film and the substrate.

The resistance of the film was measured using Eq. (8.1) for different bias current and different block temperatures. The result is plotted in Fig. 21. When the bias current is increased, the R vs. T_{block} curve tends to shift to the left and become sharper. This is due to the combination of self-heating and the magnetic field generated by the bias current. If we neglected the magnetic field effect, the thermal conductance calculated from R vs. I_1 for a fixed block temperature would be underestimated by considering the self-heating alone. At $T_{\text{block}} = 3.7204$ K, the thermal conductance (neglecting the magnetic field effect) varies from $1.8 \times 10^{-8} \text{WK}^{-1}$ to $5.0 \times 10^{-7} \text{WK}^{-1}$ as the bias current is increased from $1 \mu\text{A}$ to $10 \mu\text{A}$. If we assume a constant thermal conductance, the shift of R vs. T_{block} curve due to the magnetic field produced by the bias current is less than linear as the bias current is increased.

The data given in Fig. 21 have been replotted in Fig. 22 with T_{block} as the parameter. The nonlinearity in the I vs. V curve is obvious. We attribute it to the self-heating and the decrease of transition temperature with increasing bias current (magnetic field effect). However, it is very difficult to find out the fractional contribution for each of these two effects.

According to the temperature fluctuation model, the voltage noise power spectrum is proportional to I^2 if dR/dT is a constant. The measured voltage noise power spectrum for a fixed block temperature rises faster than I^2 as shown in Fig. 23. This is due to the

self-heating effect which increases dR/dT .

D. MEASUREMENT OF AUTOCORRELATION FUNCTION FROM MACROSCOPIC DECAY

The response of the film to a step-function thermal perturbation was measured using the circuit shown in Fig. 24. The bias current of the film was controlled by the switch. The time constant in flipping the switch is about 100 ns, which is due to the discharge of the lead shunt capacitance through the sample. The power dissipation in the film is $I_1^2 R$. After the flipping of the switch, the thermal power generated in the film by the bias current was changed. The resistance of the film would relaxate to the new value gradually. This resistance change as a function of time can be read out through the SQUID. The slewing rate of the SQUID is approximately $10^4 \phi_0/\text{sec}$. If the current change is less than 10 μA , the SQUID can follow the whole decay curve without any flux jumping.

The output signal from the SQUID was fed to the computer through PARTM 113 amplifier and Krohn-Hite 3320 filter. The PARTM 113 was dc coupled with a high frequency roll-off of 3 K Hz. The dc off-set, polarity, and the gain were adjusted so that the output voltage before flipping the switch was between 0.5 V and 1 V, and the steady state voltage after flipping the switch was around 6 V. The Krohn-Hite 3320 had a gain of unity and a low pass four pole roll-off frequency equal to one half of the sampling frequency to avoid aliasing in FFT.

The input signal was digitized with a voltage-to-frequency converter and frequency counter. The computer was programmed such that the interruption signal (with frequency equal to the sampling frequency)

would stop the counter, read the number of counts, and reset the counter. There were 1024 channels in the program. The number of counts would not fill the first channel until the input voltage was greater than 1 V. At the initial steady state, the input voltage was less than 1 V. The computer would be in the idle mode. After the switch was flipped, the input voltage would rise to 6 V. The information above 1 V was recorded into the 1024 channels. With a sampling frequency of 1 KHz, it took about 1 sec to record the data. The recorded curve was then inverted and rescaled such that the first channel was equal to kT^2/C_v and the last channel was zero. The whole autocorrelation function was stored in the 1024 channels in the computer. We recorded the normalized decay curve several times and took the ensemble average to increase the signal-to-noise ratio. The error in the normalization of the response curve introduced by dropping the voltage below 1 V was less than 10%. The FFT program then took the Fourier transform of these sampled data points. The real part corresponded to the cosine transform while the imaginary part corresponded to the sine transform.

The δ -function response could be measured by applying a short duration heat pulse to the film and observing the decay of the resistance. But, unfortunately, the initial rise of the resistance was so fast that the SQUID electronics could not follow it. An alternative way to measure it was to take the time derivative of the step-function response curve. Since a δ -function is the derivative of a step-function, the response curve should hold the same relation. Mathematically it can be shown that the cosine transform of the derivative of a decay curve is related to the sine transform of the decay curve itself.

Assuming $C(\tau)$ is the step-function response, we get

$$\int_0^{\infty} C'(\tau) \cos w\tau \, d\tau = -C(0) + w \int_0^{\infty} C(\tau) \sin w\tau \, d\tau . \quad (8.3)$$

A complete Fourier-transform of $C(\tau)$, which is the step-function response, gives information on the noise power spectra obtained from both step-function and δ -function perturbations.

In the FFT program, 1024 real points and 1024 imaginary points will generate 512 real and 512 imaginary points in the absence of aliasing. When using FFT, we let the 1024 channels digitized from $C(\tau)$ be the real part. The imaginary part of input is zero. After FFT, we get 512 points from the cosine transform (real part) and 512 points from the sine transform (imaginary part). The resolution of the cosine transform can be improved by sacrificing the information in sine transform. If we assume $C(\tau)$ to be a symmetric function, that is, $C(-\tau) = C(\tau)$, we can get 2048 digitized channels for $C(\tau)$ by mapping it to the negative time axis, though we measured only 1024 channels. The cosine transform of these 2048 channels will give 1024 new channels instead of 512. But the sine transform gives zero because $C(\tau)$ is even while $\sin(w\tau)$ is odd. The resolution of the cosine transform has been increased by a factor of 2. But the information on the sine transform has been lost completely. We adopted the former method since it produces the step-function and δ -function responses simultaneously.

The decay program was checked with an RC discharge circuit. We know that the decay of RC discharge is an exponential function of time. The Fourier transform can be expressed explicitly,

$$\int_0^{\infty} e^{-\tau/\tau_0} \cos w\tau \, d\tau = \frac{\tau_0}{1+w^2\tau_0^2}, \quad (8.4)$$

and

$$\int_0^{\infty} e^{-\tau/\tau_0} \sin w\tau \, d\tau = \frac{w\tau_0^2}{1+w^2\tau_0^2}, \quad (8.5)$$

If one chooses a proper sampling frequency, the measured points are in excellent agreement with the theoretical curve (see Fig. 25).

We measured the response of the tin film to a step-function perturbation. The decay curve as a function of time is plotted in Fig. 26. The cosine transforms of the step-function response and the δ -function response (obtained from sine transform of step-function response) are plotted in Fig. 27. These two spectra can be approximately expressed by:

$$S_{\text{step}}(f) = \frac{3.5 \times 10^{-11}}{f} \text{ K}^2\text{Hz}^{-1}, \quad (8.6)$$

and

$$S_{\delta}(f) = 4 \times 10^{-13} \text{ K}^2\text{Hz}^{-1}. \quad (8.7)$$

The directly measured noise power spectrum is:

$S_T(f) = 9.4 \times 10^{-15} \text{ K}^2\text{Hz}^{-1}$. It is obvious that the shape of the directly measured noise power spectrum is closer to the spectrum obtained from δ -function perturbation. The magnitude is off by a factor of 40. But this is due to the defect in finite channel FFT program. We will give a more detailed discussion on this topic in Sec. B of Chapter IX.

IX. DISCUSSION OF THE NOISE EXPERIMENT

A. THERMAL COUPLING BETWEEN FILM AND SUBSTRATE

There are two mechanisms which account for the thermal conductance between a thin metal film and a dielectric substrate: (i) A direct excitation of phonons in the substrate by electrons in the film. (ii) The thermal phonon transmission through the metal-insulator interface.

Cheeke³⁸ has found that the electronic contribution is not important compared with the transmission due to the acoustic mismatch of thermal phonons. The transmission of phonons across a solid-solid interface has been investigated by several people.^{39,40,41} The experiments show that the acoustic-mismatch model is reasonably accurate. The assumptions made in this model include: the metal film is isotropic; the thermal phonons in the thin film are in thermal equilibrium at a certain temperature T_1 ; the density of states of the phonons can be described by Debye model with the same cut-off wavevector $|q_{\max}|$ for both transverse and longitudinal phonons; the continuity of particle displacement and stress is assumed at the interface, giving the relation between the transmitted wave and the incident wave analogous to Snell's Law in optics.

By similar calculations leading to the Stefan-Boltzman law for photons, an equivalent blackbody radiation formula for phonons can be derived. The thermal radiation power transferred per unit area from a film at temperature T_1 to a substrate at temperature T_0 is⁴⁰

$$\frac{P(T_1, T_0)}{A} = \frac{\pi^2}{120h^3} \left\{ \frac{e_l^{(10)}}{c_l^{(1)2}} + \frac{e_{t1}^{(10)} + e_{t2}^{(10)}}{c_t^{(1)2}} \right\} k_B^4 (T_1^4 - T_0^4), \quad (9.1)$$

where c is the phonon phase velocity; e is the emissivity of phonons from the film into the substrate due to acoustic-mismatch; l and t refer to longitudinal and transverse phonons. The emissivity e can be computed numerically from the boundary conditions introduced at the interface. The sound velocity c has already been well known.

For a tin film on a sapphire substrate, the calculated values for emissivities are $e_l^{(10)} = 0.0809$ and $e_{t1}^{(10)} = e_{t2}^{(10)} = 0.0702$. The sound velocities in tin are $c_l^{(1)} = 3.32 \times 10^3$ m/sec and $c_t^{(1)} = 1.67 \times 10^3$ m/sec. The thermal conductance per unit cm^2 can be calculated for a small temperature difference ($T_1 - T_0 \ll T_1, T_0$) at the superconducting transition temperature of tin. By substituting the numerical values into Eq. (9.1), we get

$$\frac{P(T_1, T_0)}{A} = 3.16(T_1 - T_0) . \quad (9.2)$$

The thermal conductance due to acoustic mismatch is

$$G_{\text{acoustic mismatch}} = \frac{P(T_1, T_0)}{(T_1 - T_0)} = 3.16 \text{ A WK}^{-1} . \quad (9.3)$$

For a film with dimensions $500 \mu \times 12 \mu \times 1000 \text{ \AA}$, the thermal conductance from acoustic mismatch is $G_{\text{acoustic mismatch}} = 1.9 \times 10^{-4} \text{ WK}^{-1}$. The actual thermal conductance could be less because the coupling at the interface may not be ideal. However, the calculated thermal conductance is an upper limit. No thermal coupling can be better than the result derived from the acoustic mismatch model.

Now we are going to consider the other extreme case, the thermal conductance of a freely suspended film. Assume we measure the resistance

of the film by the four-terminal method. When the bias current is increased, the resistance will increase more rapidly than linearly due to the self-heating. From the R vs. T curve, we can find the temperature change of the film. The ratio of the power dissipated in the film to the temperature change is the thermal conductance. This thermal conductance can be calculated from the diffusion equation. Since the temperature inside the film is not uniform, that is, the resistivity is a function of position, the power dissipated inside the film is

$$P(x) = \alpha_1 (T(x) - T_0) + \alpha_2 , \quad (9.4)$$

where
$$\alpha_1 = \frac{I^2}{(wt)^2} \frac{d\rho}{dT} .$$

and
$$\alpha_2 = \frac{I^2 \rho_0}{(wt)^2}$$

Here T_0 is the temperature at both ends of the film; w , t are the width and thickness of the film; ρ , ρ_0 are the resistivities of the film at temperature T , T_0 ; I is the dc bias current. We have assumed a temperature gradient only along the longest dimension of the film. In the steady state, the thermal diffusion equation becomes

$$-C_v D \frac{d^2 T}{dx^2} = P = \alpha_1 (T - T_0) + \alpha_2 , \quad (9.5)$$

where C_v is the specific heat, and D is the diffusion constant. By solving Eq. (9.5) and matching the boundary conditions $T(-l/2) = T(l/2) = T_0$, we get

$$T(x) = \frac{\alpha_2 \cos(\beta x)}{\alpha_1 \cos(\beta l/2)} - \frac{\alpha_2}{\alpha_1} + T_0 , \quad (9.6)$$

where
$$\beta = \sqrt{\frac{\alpha_1}{C_v D}} .$$

the average temperature of the film is

$$\bar{T} = \frac{1}{\ell} \int_{-\frac{\ell}{2}}^{\frac{\ell}{2}} T(x) dx = \frac{2\alpha_2}{\ell\beta\alpha_1} \tan \frac{\beta\ell}{2} - \frac{\alpha_2}{\alpha_1} + T_0 . \quad (9.7)$$

The total power dissipation is

$$P_{\text{tot}} = wt \int_{-\frac{\ell}{2}}^{\frac{\ell}{2}} P(x) dx = \ell wt (\alpha_1 \bar{T} - \alpha_1 T_0 + \alpha_2) . \quad (9.8)$$

From Eq. (9.7) and Eq. (9.8), we can eliminate α_2 to obtain

$$P_{\text{tot}} = \frac{2\alpha_1 wt}{\beta} \tan \frac{\beta\ell}{2} (\bar{T} - T_0) = G_{\text{free}} (\bar{T} - T_0) , \quad (9.9)$$

and

$$G_{\text{free}} = \frac{2\alpha_1 wt}{\beta} \tan \frac{\beta\ell}{2} . \quad (9.10)$$

When $\beta\ell/2$ is small, we can expand $\tan \beta\ell/2$ using the formula

$\tan x \approx x + x^3/3$. The error is less than 10% as long as $x < 0.9$.

We find

$$G_{\text{free}} \approx \frac{12C_v D wt}{\ell} = \frac{12K wt}{\ell} , \quad (9.11)$$

where K is the thermal conductivity. The Wiedemann-Franz law states that for metals at not too low temperatures the ratio of thermal conductivity to electrical conductivity is directly proportional to the temperature. The constant of proportionality is called the Lorenz number, and is independent of the metal studied:

$$\frac{K}{\sigma T} = \frac{\pi^2}{3} \left(\frac{k_B}{e}\right)^2 = L = 2.45 \times 10^{-8} \text{ W}\Omega/\text{K}^2 . \quad (9.12)$$

At low temperature ($T \ll \theta$), the value of L tends to decrease. This

is due to the different relaxation times involved in K and σ . The Lorenz number L should be modified as follows:

$$L = \frac{\pi^2}{3} \left(\frac{k_B}{e}\right)^2 \frac{\tau_{th}}{\tau_{el}} \quad (9.13)$$

The ratio τ_{th}/τ_{el} decreases at low temperature. For pure copper⁴² near 15 K, the value τ_{th}/τ_{el} is about 0.1.

By combining Eq. (9.11), Eq. (9.12), and Eq. (9.13), we get:

$$G_{free} \approx \frac{12wt}{l} \frac{\pi^2}{3} \left(\frac{k_B}{e}\right)^2 \sigma T \frac{\tau_{th}}{\tau_{el}} \quad (9.14)$$

For tin film with dimensions $500 \mu \times 12 \mu \times 1000 \text{ \AA}$, the resistance just above the superconducting transition temperature is 2.55Ω . The electrical conductivity is $1.63 \times 10^6 \Omega^{-1} \text{ cm}^{-1}$. At 3.7 K, Eq. (9.14) becomes

$$G_{free} = 4.3 \times 10^{-7} \frac{\tau_{th}}{\tau_{el}} \text{ WK}^{-1} \quad (9.15)$$

The measured thermal conductance as discussed in Chapter VIII sec. C varies from $1.8 \times 10^{-8} \text{ WK}^{-1}$ to $5.0 \times 10^{-7} \text{ WK}^{-1}$ as the bias current increases from $1 \mu\text{A}$ to $10 \mu\text{A}$. These measurements have neglected the shift of the transition temperature by the magnetic field. The actual thermal conductance should be considerably bigger than $5.0 \times 10^{-7} \text{ WK}^{-1}$. The calculated thermal conductance along the film is $4.3 \times 10^{-7} \text{ WK}^{-1}$ in the normal state with $\tau_{th}/\tau_{el} = 1$. In the middle of the transition, part of these electrons will be condensed to Cooper pairs and τ_{th}/τ_{el} should be less than 1. The thermal conductance along the film is considerably less than $4.3 \times 10^{-7} \text{ WK}^{-1}$. Therefore, the total thermal

conductance of the film is more than the heat flow along the film can account for. The extra thermal conductance is from the coupling between the film and the substrate. In our sample the thermal conductance is between $5.0 \times 10^{-7} \text{WK}^{-1}$ and $1.9 \times 10^{-4} \text{WK}^{-1}$. Most of the heat generated inside the sample is conducted into the substrate rather than along the film. These samples have good thermal coupling to the substrate. According to Clarke and Hsiang's experiment,³ a film which is strongly coupled to the substrate shows a white noise power spectrum at low frequency. This is consistent with our measurement.

B. NORMALIZATION OF THE DECAY CURVE

The Fourier transform of a function $C(\tau)$ can be calculated by sampling the function and then using FFT program. The sampled data $C_s(\tau)$ is related to the continuous function $C(\tau)$ through the shah function $\text{III}(x)$:⁴³

$$C_s(\tau) \equiv \text{III}(\tau/\Delta\tau)C(\tau) , \quad (9.16)$$

where

$$\text{III}(x) \equiv \sum_{n=-\infty}^{\infty} \delta(x - n) . \quad (9.17)$$

Here $\Delta\tau$ is the sampling interval. The function $C_s(\tau)$ is zero except at these points when $\tau = 0, \pm \Delta\tau, \pm 2\Delta\tau, \dots$. The Fourier transform of a shah function is still a shah function

$$F\{\text{III}(ax)\} = (1/|a|)\text{III}(\sigma/a) . \quad (9.18)$$

Assume $S_s(f)$ and $S(f)$ are the Fourier transforms of $C_s(\tau)$ and $C(\tau)$, respectively. Since $C_s(\tau)$ is the product of $C(\tau)$ and $\text{III}(\tau/\Delta\tau)$,

$S_S(f)$ should be the convolution of $S(f)$ and $F\{III(\tau/\Delta\tau)\}$.

$$\begin{aligned} S_S(f) &= F\{III(\tau/\Delta\tau)\} \star C(\tau) \\ &= \sum_{n=-\infty}^{\infty} S[f - n(\Delta f)] , \end{aligned} \quad (9.19)$$

where $\Delta f = 1/\Delta\tau$. If $\Delta f \geq 2 f_{\max}$ (no aliasing), $S_S(f)$ represents $S(f)$ everytime f equals $n(\Delta f)$ for all integers n . Theoretically, the data sampling will not cause any errors for an infinitely long sampling series. But in the real case, there are only a finite number of channels to record the data.

The FFT program performs Fourier transform on N channels. The mathematical formula is

$$H(k) = \sum_{n=0}^{N-1} [h_r(n) + jh_i(n)]e^{-j2\pi nk/N} , \quad (9.20)$$

or
$$H_r(k) = \sum_{n=0}^{N-1} [h_r(n)\cos(2\pi nk/N) + h_i(n)\sin(2\pi nk/N)] ,$$

and
$$H_i(k) = \sum_{n=0}^{N-1} [h_i(n)\cos(2\pi nk/N) - h_r(n)\sin(2\pi nk/N)] ,$$

where the subscripts r and i refer to the real and imaginary part of the function. When $h_i(n) = 0$, $H_r(k)$ corresponds to the cosine transform of $h_r(n)$ and $H_i(k)$ corresponds to the sine transform of $h_r(n)$. If h_r represents the autocorrelation function, H_r is the noise power spectrum. The total noise power in the frequency band covered by FFT is the integral of H_r over the frequency band, or, in digitized form,

$$\sum_{k=0}^{N-1} H_r(k) = \sum_{k=0}^{N-1} \sum_{n=0}^{N-1} h_r(n)\cos(2\pi nk/N) = Nh_r(0) , \quad (9.21)$$

which is the first channel in the autocorrelation function.

The finite channel FFT program can only give the spectrum information in a limited frequency range. In the equilibrium temperature fluctuation model we can get accurate noise power spectrum by normalizing the first channel of the autocorrelation function to kT^2/C_v and then using FFT program provided most of the noise falls in the frequency range of FFT. For a 1024 channel FFT with sampling frequency f_s , it covers a frequency range about three decades, from $f_s/1024$ to $f_s/2$. If we assume an $1/f$ noise power spectrum is observed, the total noise within this frequency band is

$$\int_{f_s/1024}^{f_s/2} \frac{a}{f} df = a \ln 512 \quad (9.22)$$

We know the total noise cannot diverge in the equilibrium temperature fluctuation model. The $1/f$ spectrum must roll off at both high and low frequencies. Even if the $1/f$ region extends another three decades, the normalization constant is only off by a factor of two. The error introduced by the FFT program is not very serious.

For a Lorentzian or Lorentzian-like spectrum, that is, one which is flat at low frequencies and then rolled off faster than $1/f$ above some knee frequency f_0 , the N channel FFT with sampling frequency f_s can regenerate the exact spectrum from the autocorrelation function only when the spectrum between f_s/N and $f_s/2$ contributes most of the noise, otherwise the magnitude of the spectrum will be inaccurate. For example, if we choose $f_s \gg 2f_0$ and $f_s/N \ll f_0$ in a Lorentzian spectrum, the spectrum well above f_0 and well below f_s/N will be negligible compared with the total noise due to the fast roll-off ($\sim 1/f^2$) at high frequencies

and narrow bandwidth ($\sim f_s/N$) at low frequencies. Assume the total noise is normalized to 1:

$$\int_{\frac{f_s}{N}}^{\frac{f_s}{2}} \frac{\frac{2}{\pi f_0}}{1 + \frac{f^2}{f_0^2}} df \approx \int_0^{\infty} \frac{\frac{2}{\pi f_0}}{1 + \frac{f^2}{f_0^2}} df = 1. \quad (9.23)$$

At low frequency ($f \ll f_0$), the spectrum is white and given by $2/\pi f_0$. But if we choose $f_s = f_0/1000$ and $N = 1024$, the FFT program will give a white spectrum of magnitude $2000/f_0$ between $f_0/1024000$ and $f_0/2000$. The shape of the spectrum is still the same, but the magnitude is bigger by a factor of 1000π .

In the decay experiment discussed in Chapter VIII, the noise power spectrum obtained from δ -function perturbation is white in the frequency range 1 to 500 Hz. The magnitude of the spectrum cannot be correct if the roll-off happens at a frequency considerably higher than 500 Hz. According to the equilibrium temperature fluctuation model, the overall temperature fluctuation should be $(\Delta T)_{\text{tot}}^2 = kT^2/C_v = 2.2 \times 10^{-10} \text{K}^2$. The directly measured temperature fluctuation spectrum from Chapter VIII Sec. C is $(\Delta T)_s^2 = 9.4 \times 10^{-15} \text{K}^2 \text{Hz}^{-1}$. An effective bandwidth of 23 KHz is anticipated. In the decay experiment, the sampling frequency is 1 KHz. The FFT gives a maximum frequency of 500 Hz. The spectrum below 500 Hz constitutes only 2.2% of the total noise. It is not surprising that the spectrum obtained from decay experiment is overestimated by a factor of 40.

We certainly will be able to improve the decay experiment by sampling at much higher frequency ($> 23 \text{KHz}$). But, unfortunately, the program does not function with a sampling frequency above 2KHz. The reason is

that the sampling period in this program has to be long enough such that the computer returns to the waiting position before the next interrupting signal arrives. Even if we use the maximum sampling frequency (2 KHz) possible for this program, the spectrum we get may still be off by a factor of 20. In addition, the present SQUID system has a roll-off frequency at 500 Hz. A transformer should be used instead of the tank circuit in SQUID electronics to increase the bandwidth of the read-out system.

C. CONCLUSIONS

The decay experiment for the tin film on sapphire substrate shows that the cosine transform of the δ -function decay corresponds to the noise power spectrum. The noise driving term in this system is a random heat current flowing around inside the sample, and the uncorrelated model is appropriate to describe the fluctuations. This phenomenon is different from that observed at room temperature, in which case the cosine transform of the step-function decay gives the correct noise power spectrum, and the correlated model is applicable. For a freely suspended tin fiber at the superconducting transition, the uncorrelated model is again the correct one.

At low temperature, the substrate plays an important role in determining the shape of the noise power spectrum, but does not affect the nature of the random noise driving term. The existing experiments strongly indicate that the noise driving term in the metal thin film is a function of temperature rather than the boundary conditions introduced to the film. The correlated model applies at room temperature, while the uncorrelated model applies at liquid helium temperature.

ACKNOWLEDGMENT

I would like to thank my thesis advisor, Professor John Clarke, for his constant guidance, advice, and patience throughout the course of this work. I also would like to thank Professor Paul L. Richards for many helpful discussions on far-infrared physics and techniques, and for the use of his experimental equipment. My other colleagues in Clarke's group and Richards' group are gratefully acknowledged for innumerable useful discussions and the creation of a stimulating atmosphere.

The technical assistance received from the professional shops in the Physics Department, the Lawrence Berkeley Laboratory, and the Space Sciences Laboratory has been of great value. I am also grateful to Gloria C. Pelatowsky and Michele Marram for their careful preparation of this manuscript.

Finally, I wish to thank my wife, Scarlett, for her continued understanding and encouragement.

This work was performed under the auspices of the U.S. Department of Energy.

APPENDIX

Consider a bolometer with heat capacity C at temperature T linked to a copper mount at temperature T_m via a thermal conductance G . The copper mount with heat capacity C_m is linked to He⁴ bath at temperature T_{He} with a thermal conductance G_m . The signal from the bolometer is fed back to the heater on the copper mount to stabilize the temperature. The loop gain in the feedback circuit is α . For external perturbation with thermal power $\Delta P_B \cos(\omega t + \delta_1)$ incident onto the bolometer and helium bath temperature fluctuation $\Delta T_{He} \cos(\omega t + \delta_2)$, the equations for conservation of energy become:

$$C \frac{dT}{dt} = -G(T - T_m) + \epsilon \Delta P_B \cos(\omega t + \delta_1) \quad (A.1)$$

$$C_m \frac{dT_m}{dt} = -G_m(T_m - T_{He} - \Delta T_{He} \cos(\omega t + \delta_2)) - G_m \alpha T + G(T - T_m) \quad (A.2)$$

By eliminating T_m in Eq. (A.1) and Eq. (A.2), we get:

$$\begin{aligned} \frac{d^2 T}{dt^2} + \left(\frac{1}{\tau} + \frac{1}{\tau_m} + \frac{G}{C} \right) \frac{dT}{dt} + \frac{\alpha+1}{\tau\tau_m} T = - \frac{\epsilon \Delta P_B}{C} \cos(\omega t + \delta_1) + \\ \left(\frac{1}{\tau_m} + \frac{G}{C} \right) \frac{\Delta P_B}{C} \cos(\omega t + \delta_1) + \frac{1}{\tau\tau_m} \Delta T_{He} \cos(\omega t + \delta_2) + \frac{1+\alpha}{\tau\tau_m} T_{He}, \end{aligned} \quad (A.3)$$

where $\tau = C/G$ and $\tau_m = C_m/G_m$. When $C_m \gg C$, $G_m \gg G$, $\tau_m \gg \tau$ and $\alpha \gg 1$, Eq. (A.3) can be simplified:

$$\begin{aligned} \frac{d^2 T}{dt^2} + \frac{1}{\tau} \frac{dT}{dt} + \frac{\alpha}{\tau\tau_m} T = \frac{\epsilon \Delta P_B}{C} \sqrt{w^2 + \frac{1}{\tau_m^2}} \cos(\omega t + \delta_1') \\ + \frac{\alpha}{\tau\tau_m} T_{He} + \frac{1}{\tau\tau_m} (\Delta T_{He} \cos(\omega t + \delta_2)), \end{aligned} \quad (A.4)$$

and
$$\delta_1' = \tan^{-1} \frac{w}{\frac{1}{\tau_m} + \frac{G}{C_m}} \quad (\text{A.4})$$

The solution for T is

$$T = \frac{(\epsilon \Delta P_B / G)(1 + w^2 \tau_m^2)^{1/2} \cos(\omega t + \delta_1'') + \Delta T_{\text{He}} \cos(\omega t + \delta_2'')}{\tau_m [(w^2 - w_0^2)^2 + w^2 / \tau^2]^{1/2}} + T_{\text{He}} \quad (\text{A.5})$$

where
$$w_0^2 = \frac{\alpha}{\tau \tau_m}$$

$$\delta_1'' = \delta_1' + \theta$$

$$\delta_2'' = \delta_2' + \theta$$

$$\theta = \tan^{-1} \frac{w}{(w^2 - w_0^2) \tau}$$

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Table 1. Measurement conditions for detectors given in Fig. 1.

Detector	Area (mm ²)	Operating temperature (K)	References
(a) Composite Al superconducting bolometer	16	1.27	5,6
(b) Composite SNS bolometer	32	1.4	6,7
(c) Composite Ge:Ga bolometer	16	1.2	8
(d) InSb	2	1.2	14
(e) GaAs	4	4.2	11
(f) Ge:Ga (stressed)	6	2.0	12,13
(g) Ge:Ga	0.75	3	10
(h) Ge:Be	0.75	3	10

Table 2. Parameters of five transition edge bolometers

Bolometer	1	2	3	4	5
Dimensions (mm)	2x4x0.135	2x4x0.135	2x4x0.135	2x4x0.135	4x4x0.050
T(K)	1.30	1.28	1.40	1.28	1.27
dR/dT (ΩK^{-1})	60 ^a	80 ^a	2,000	800	200
G($10^{-8} WK^{-1}$)	2.5	4	3.5	3.5	2
τ (s)	0.1	0.05	0.05	0.05	0.08
I(μA rms)	14	11	0.7	0.7	5
NEP (5 Hz) ($10^{-15} W Hz^{-1/2}$)	5	3	3	3	2

^aAl films did not have cut edges.

Table 3. Calculated heat capacities at 1.27 K of components of bolometer 5

Component	Dimensions (mm)	Heat capacity (10^{-10} JK $^{-1}$)
Sapphire	4 x 4 x 0.050	6.6
In films (4)	0.5 x 0.175 x 0.0029	2.8
Bi heater	4 x 0.5 x 0.000088	0.2
Al thermometer	4 x 0.18 x 0.000070	0.1
Bi absorber	4 x 4 x 0.000093	<u>1.8</u>
		11.5

Table 4. Measured parameters of bolometer 5

Operating temperature	1.27 K
G	$2.0 \times 10^{-8} \text{WK}^{-1}$
τ	60 ms
C	$1.2 \times 10^{-9} \text{JK}^{-1}$
dR/dT	$200 \text{ } \Omega\text{K}^{-1}$
I	5.3 μA rms
G_e	$1.44 \times 10^{-8} \text{WK}^{-1}$
τ_e	83 ms
$S = (\partial V / \partial T) / G_e$ (2 Hz)	$7.4 \times 10^4 \text{ VW}^{-1}$
τ_m	25 s
α	70
NEP measured	$(1.7 \pm 0.1) \times 10^{-15} \text{WHz}^{-1/2}$ at 2 Hz
$(\text{NEP})_{\text{Th}}$	$1.3 \times 10^{-15} \text{WHz}^{-1/2}$
Dynamic range in 1 Hz bandwidth	10^4
Power spectrum of bath fluctuations	$1.6 \times 10^{-12} (1 \text{ Hz}^2 / f^2) \text{K}^2 \text{Hz}^{-1}$
Effective absorptivity, ϵ_e	0.47 ± 0.05
D*	$(1.1 \pm 0.1) \times 10^{14} \text{cmW}^{-1} \text{Hz}^{1/2}$

Table 5. Heat capacity of several materials used for composite bolometer

Material	Sapphire		Diamond		Bismuth		Chromium			
Dimension (mm)	4x4x0.05		4x4x0.05		4x4x0.000093		4x4x0.00001			
Temperature (K)	1.27	0.4	1.27	0.4	1.27	0.4	1.27	0.4		
Heat capacity (10^{-10} JK^{-1})	Electronic	-	-	-	-	-	0.007	0.002	0.39	0.12
	Phonon	6.6	.21	.84	.026	1.7	0.051	0.0035	0.0001	
	Total	6.6	.21	.84	.026	1.7	0.053	0.39	0.12	

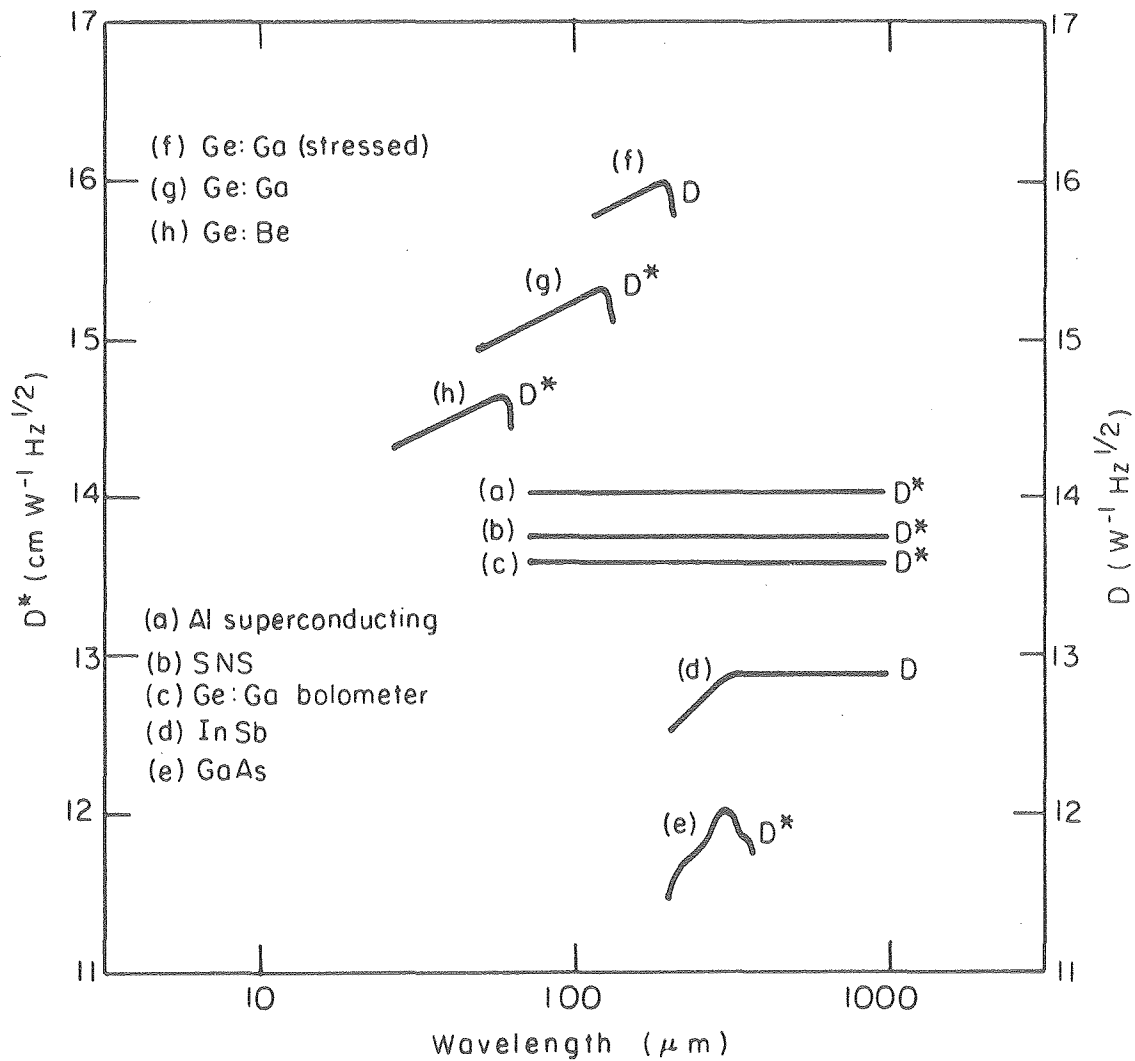
FIGURE CAPTIONS

1. Performance of the far-infrared detectors. D^* is plotted vs. λ for all the detectors except for (d) and (f) where D is plotted vs. λ .
2. Configuration of superconducting transition edge bolometer and mount.
3. Schematic of electronics for superconducting transition edge bolometer.
4. Calculated relative response $(\Delta T)^2$ of bolometer vs. frequency for perturbations (a) $(\Delta P_B)^2 \cos^2(\omega t + \delta_1)$ and (b) $(\Delta T_{He})^2 \cos^2(\omega t + \delta_2)$, with (---) and without (—) feedback.
5. Measured (●) and calculated (---) responsivity of transition edge bolometer (referred to output of bridge).
6. Measured (●) and calculated (---) noise power spectrum of transition edge bolometer (referred to output of bridge).
7. Measured (●) and calculated (---) NEP of transition edge bolometer.
8. Measured dc resistivity of bismuth films on rough and smooth sapphire surfaces vs. film thickness.
9. Transmissivity spectra for sapphire substrates coated with bismuth films of various resistances.
10. Ray traces for a mitered right angle. Only meridional rays are shown.
11. Configuration for measuring the optical absorptivity of the bolometer.
12. (a) Measured transmissivity of 2.54-mm-thick FluorogoldTM filter at 1.5 K. (b) Normalized intensity distribution of difference between the blackbody sources at 290 K and 77 K viewed through the filter in (a).

13. Configuration for measuring the optical efficiency of the light pipe.
14. Measured absorptivity vs. input solid angle.
15. Sample configuration for tin noise measurement at the superconducting transition.
16. Scanning electronmicroscope pictures of tin films on sapphire substrates: (a) with evaporation rate 7 Å/sec; (b) with evaporation rate 100 Å/sec.
17. Scanning electronmicroscope pictures of tin films on glass substrates: (a) with evaporation rate 7 Å/sec; (b) with evaporation rate 100 Å/sec.
18. SQUID system used to measure the noise of the tin film. Components within the dashed box are at temperatures below 4.2 K.
19. Schematic diagram of SQUID insert for noise study.
20. Noise power spectrum of the tin film on sapphire substrate. The solid line is the calculated Johnson noise level arising from the standard resistor and the sample.
21. Resistance vs. thermal ground temperature for various bias currents.
22. Current voltage characteristics at different thermal ground temperatures.
23. S_v vs. I_1 for constant block temperature.
24. Circuit to produce step function changes in the bias current.
25. Test of the computer program used in the decay experiment with an R-C discharge circuit. The solid lines are theoretical curves. The dots (●) and open circles (○) are measured results.
26. Step function response of the tin film on sapphire substrate. The first point of the response curve has been normalized to

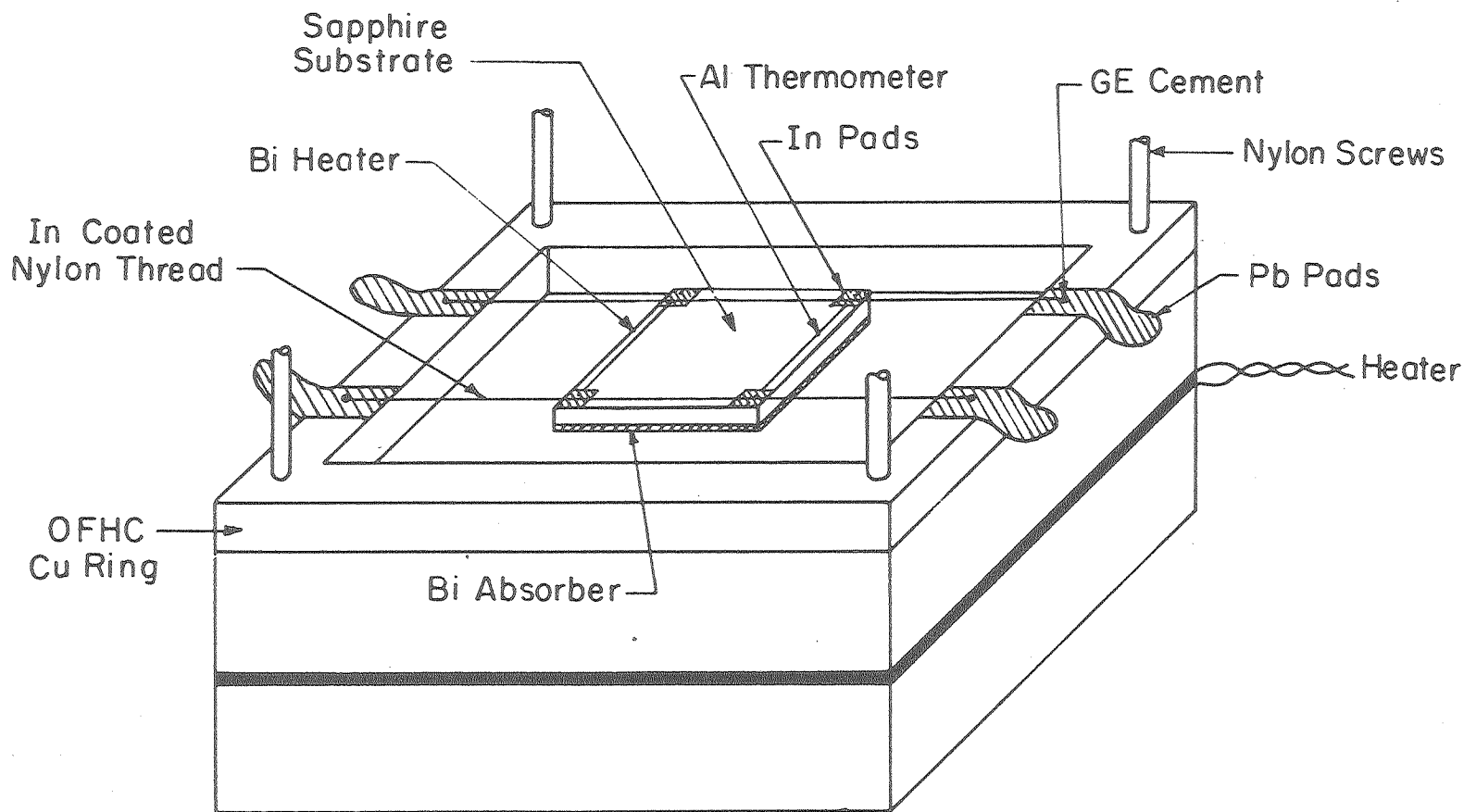
kT^2/C_V .

27. Cosine transforms of the decay curves obtained from step-function (o) and δ -function (\square) perturbations.



XBL 786-5213

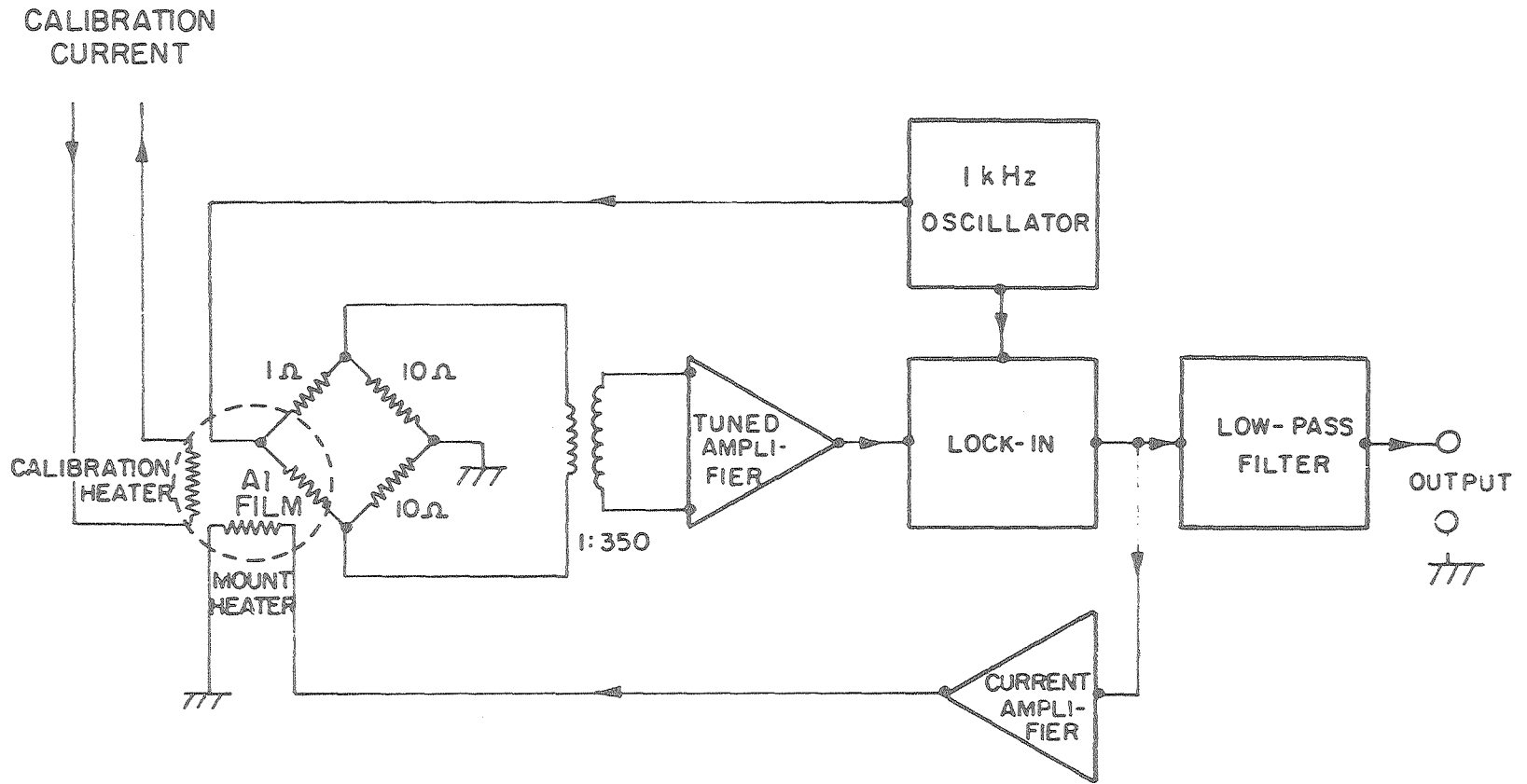
Fig. 1



-80-

X BL 7612-7932

Fig. 2



XBL 771-4925

Fig. 3

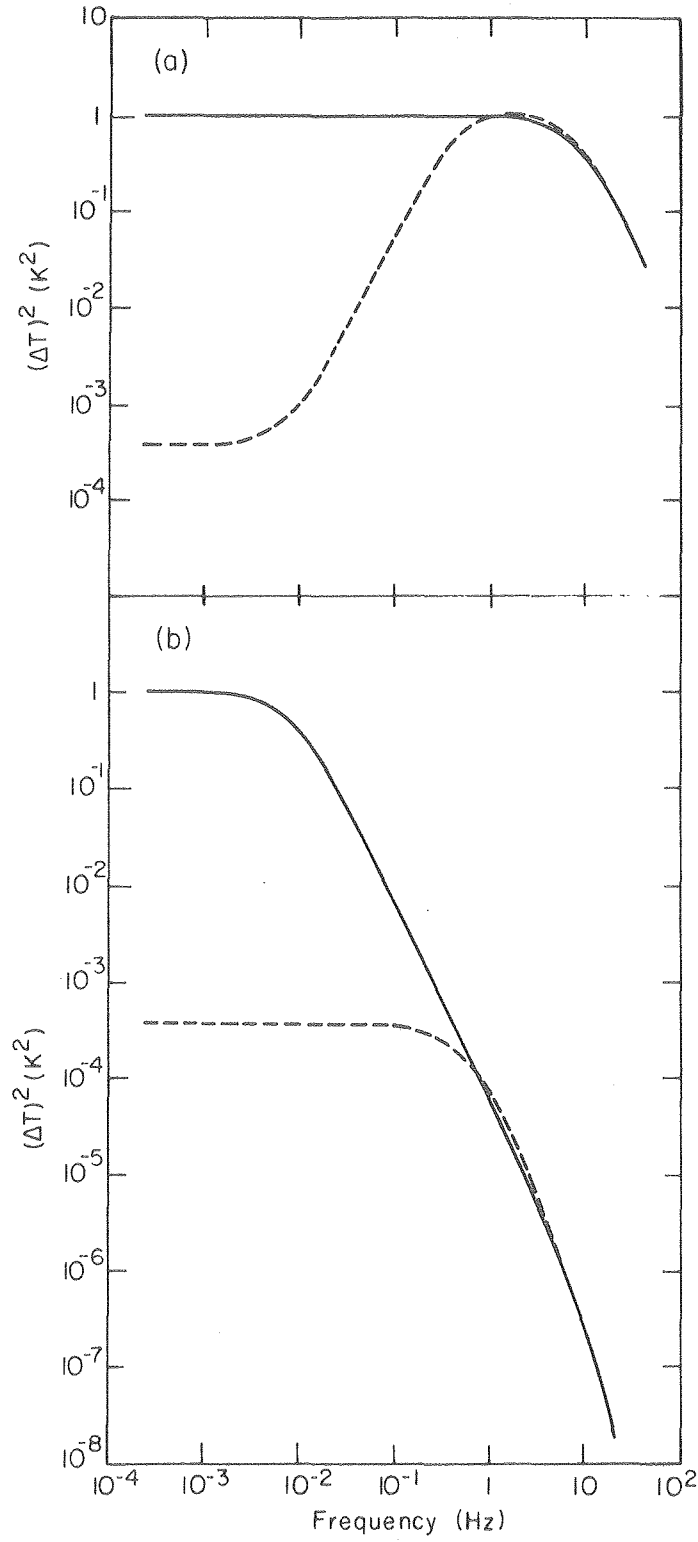
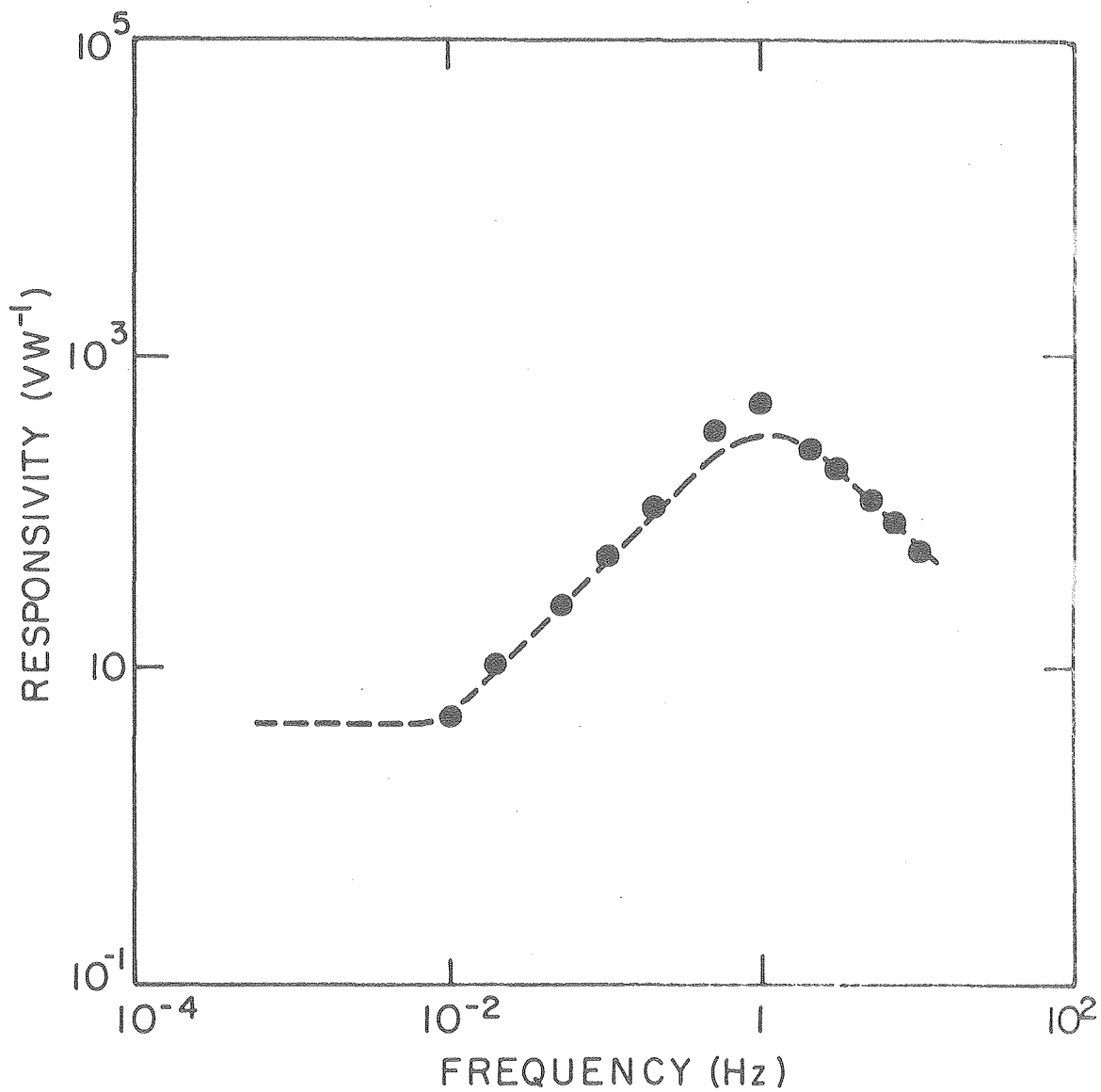


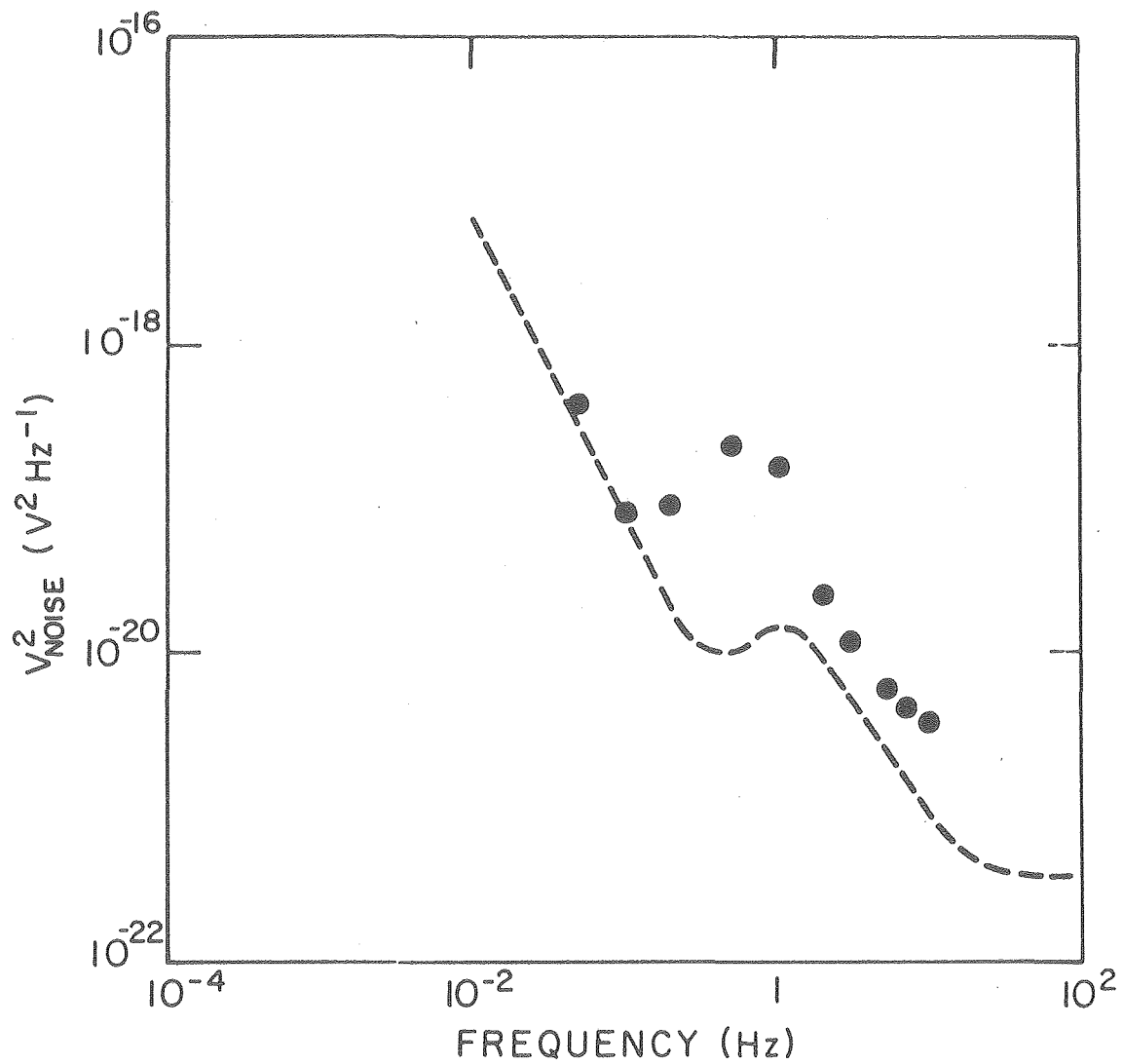
Fig. 4

XBL 771-4943



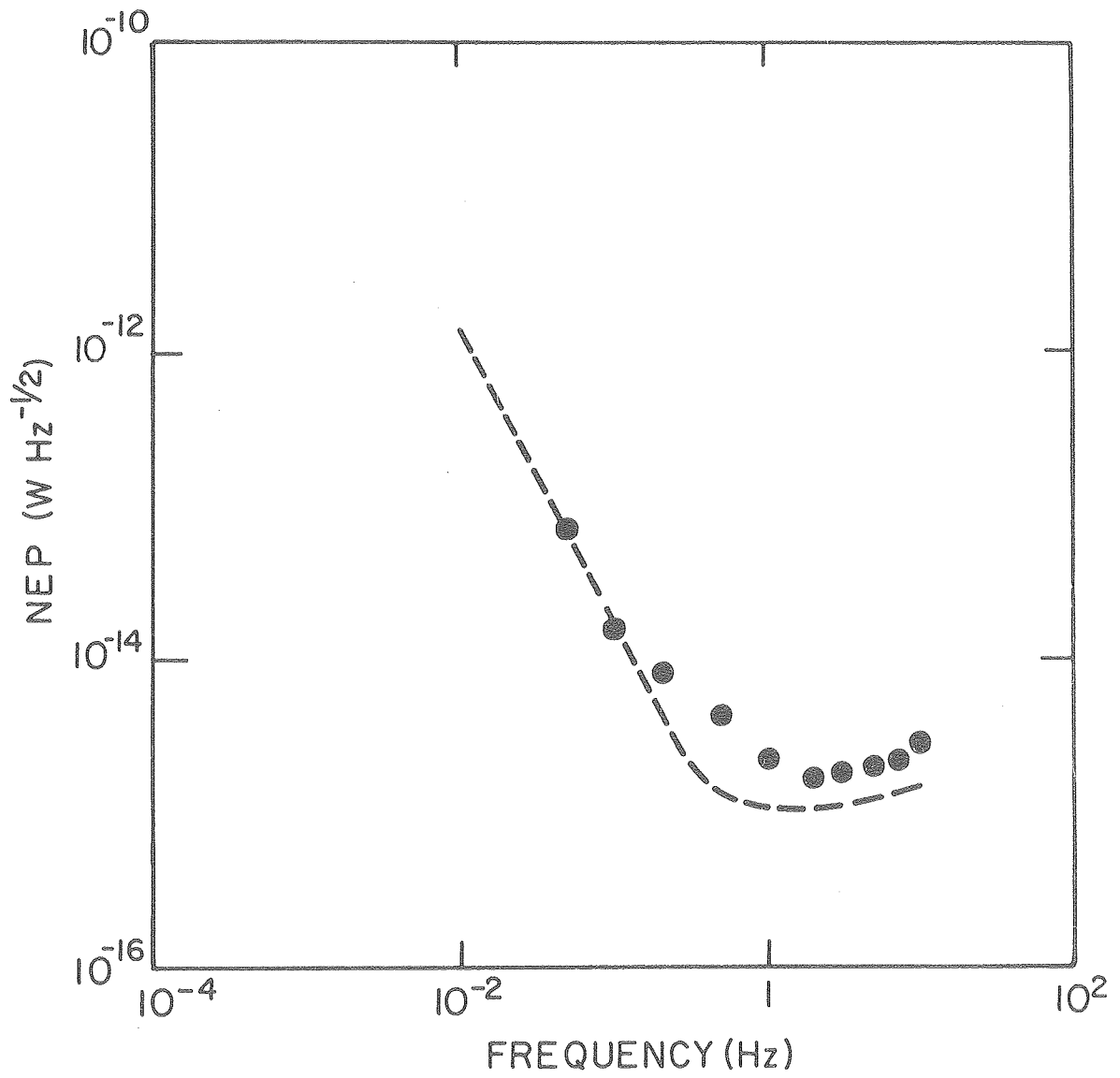
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Fig. 5



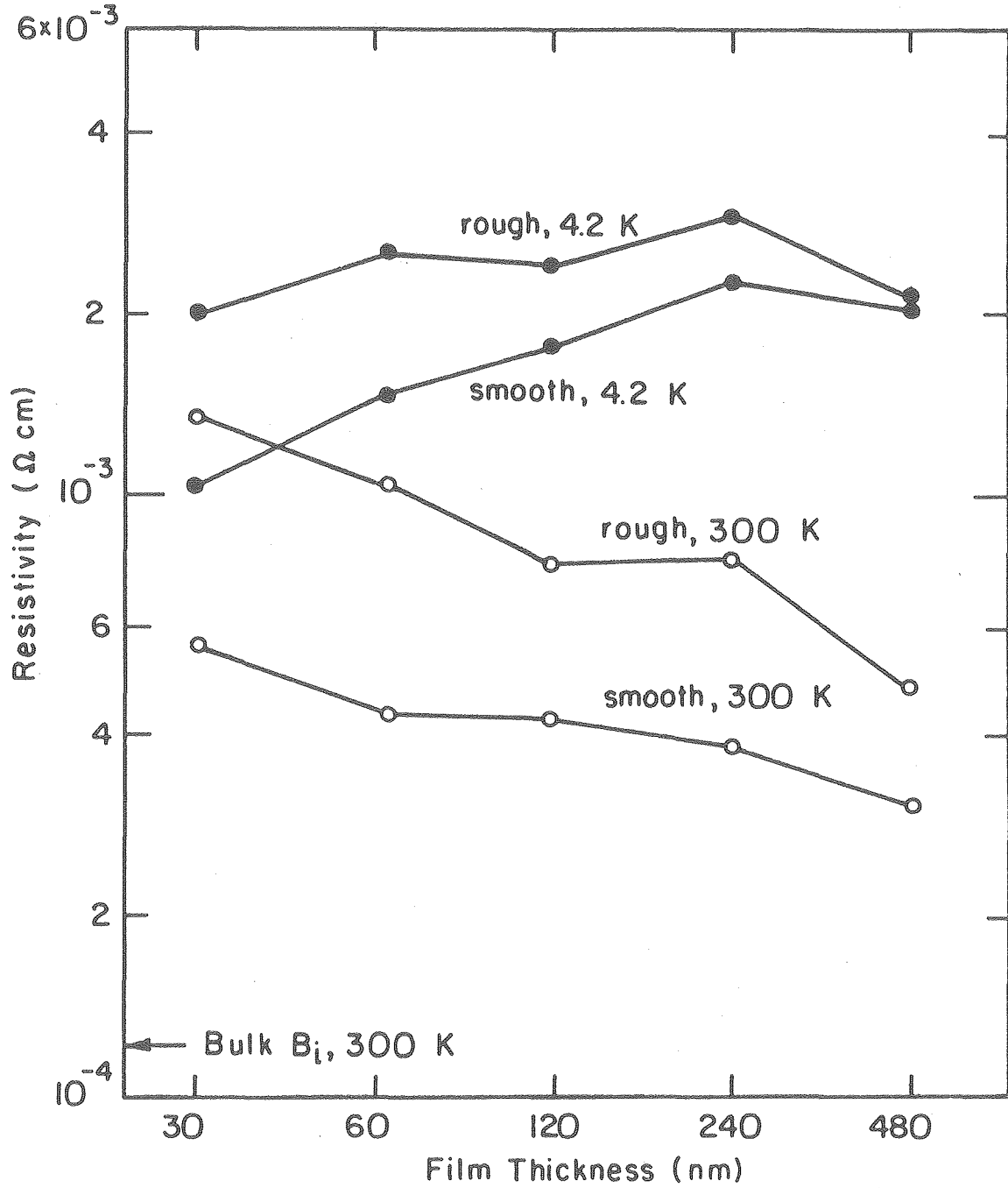
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Fig. 6



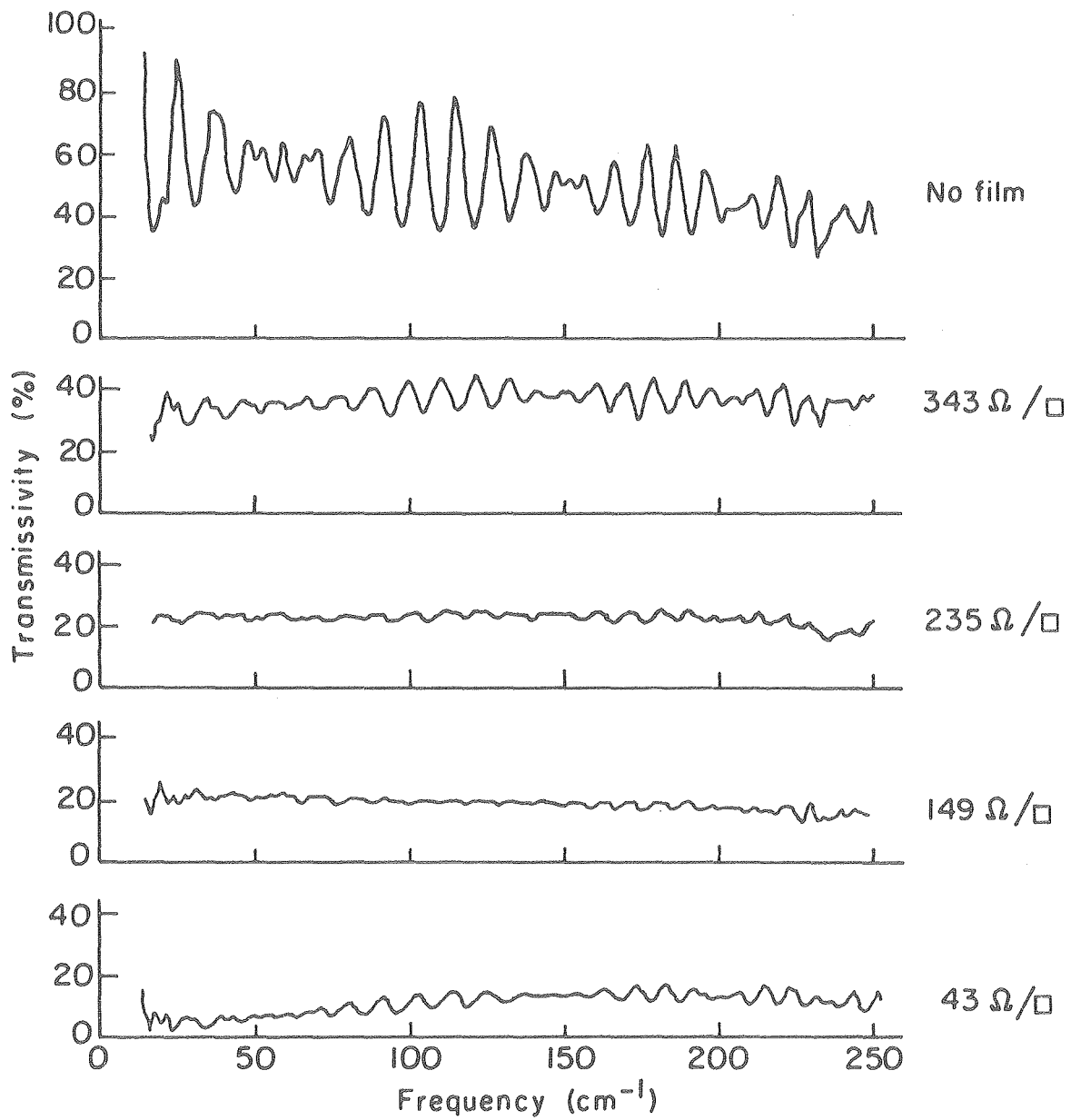
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Fig. 7



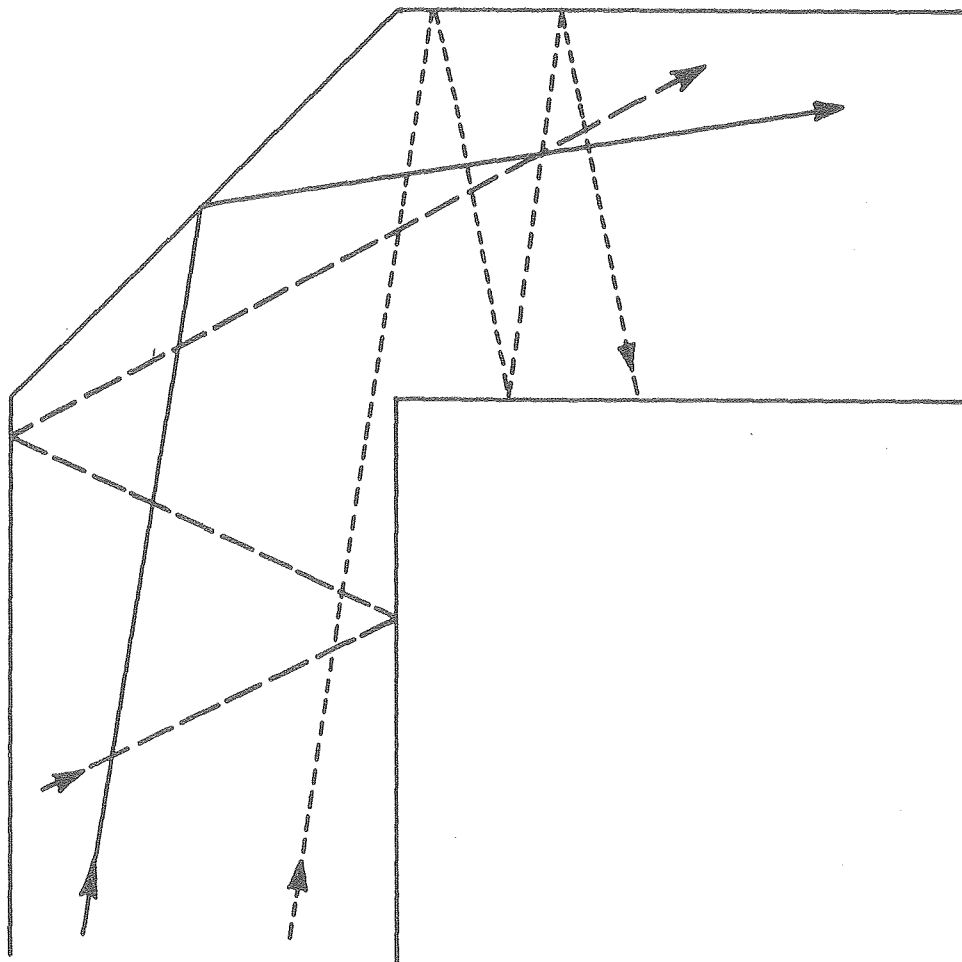
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Fig. 8



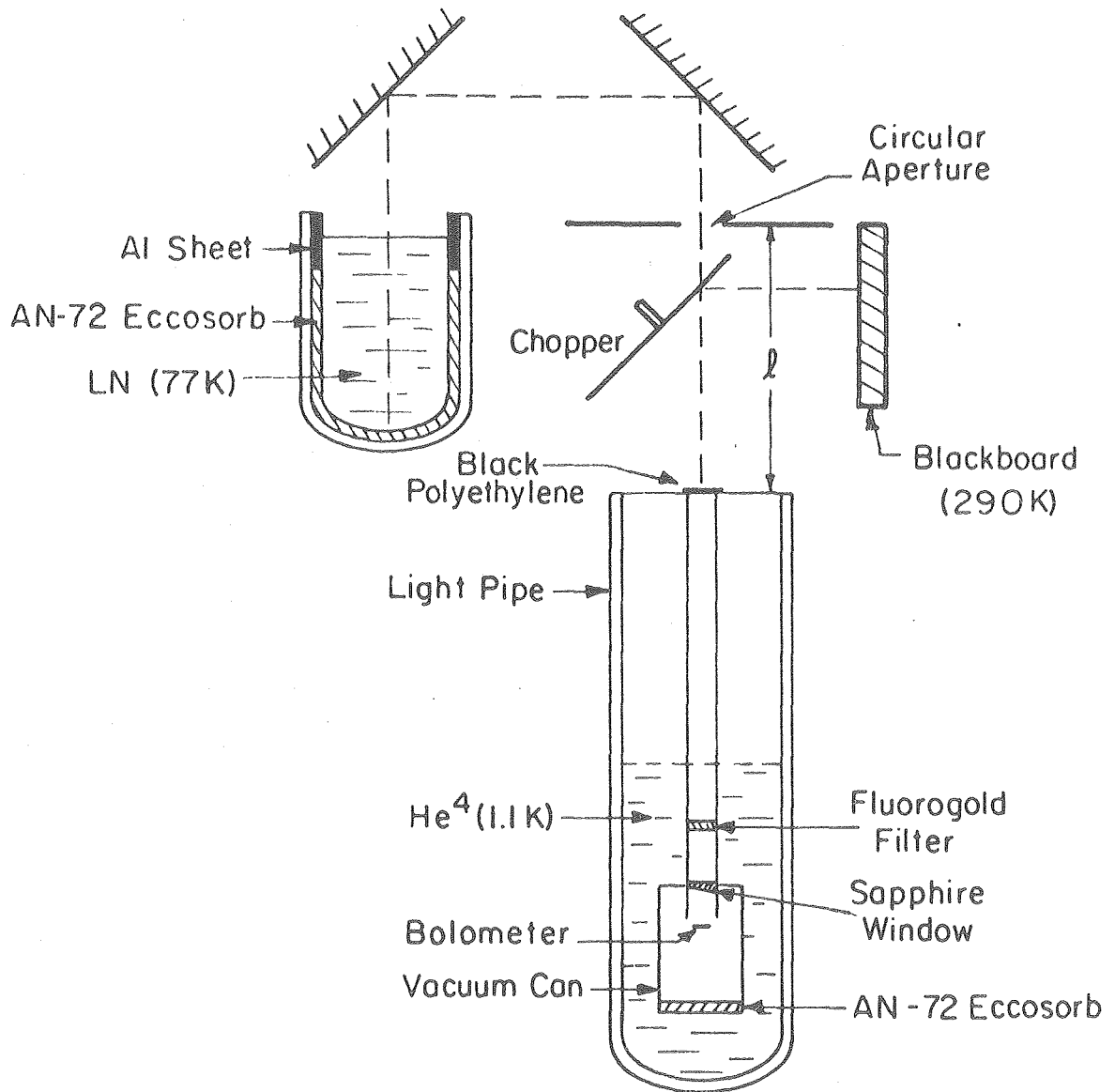
XBL753- 5992

Fig. 9



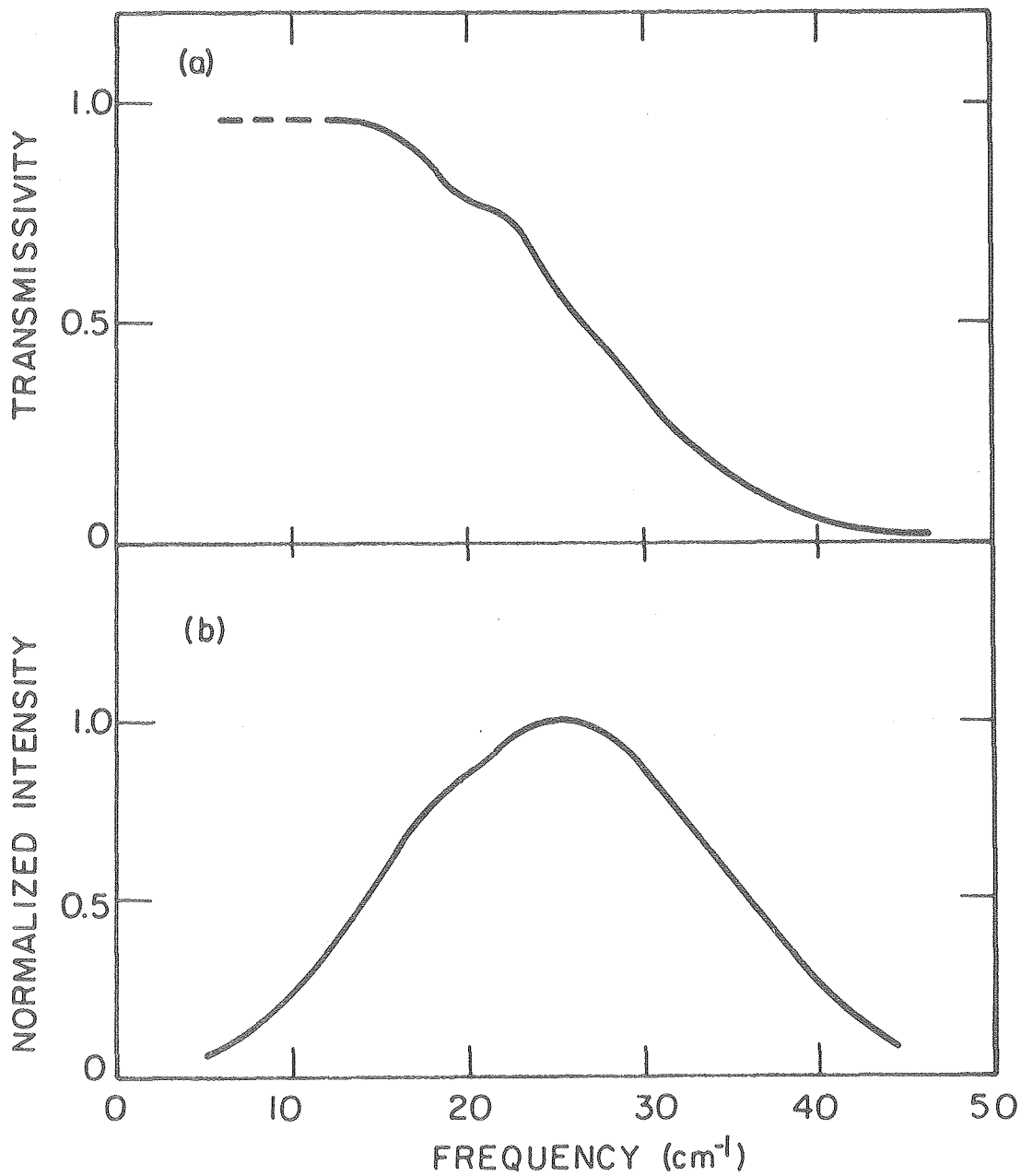
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Fig. 10



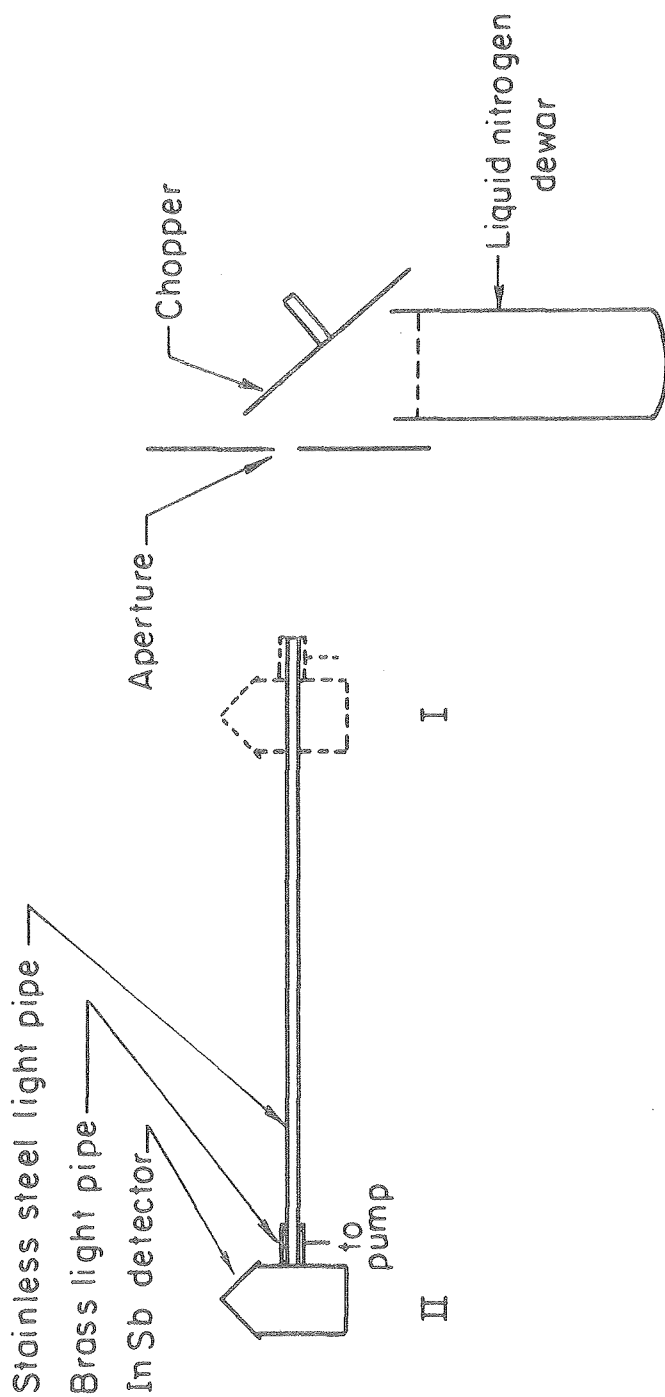
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Fig. 11



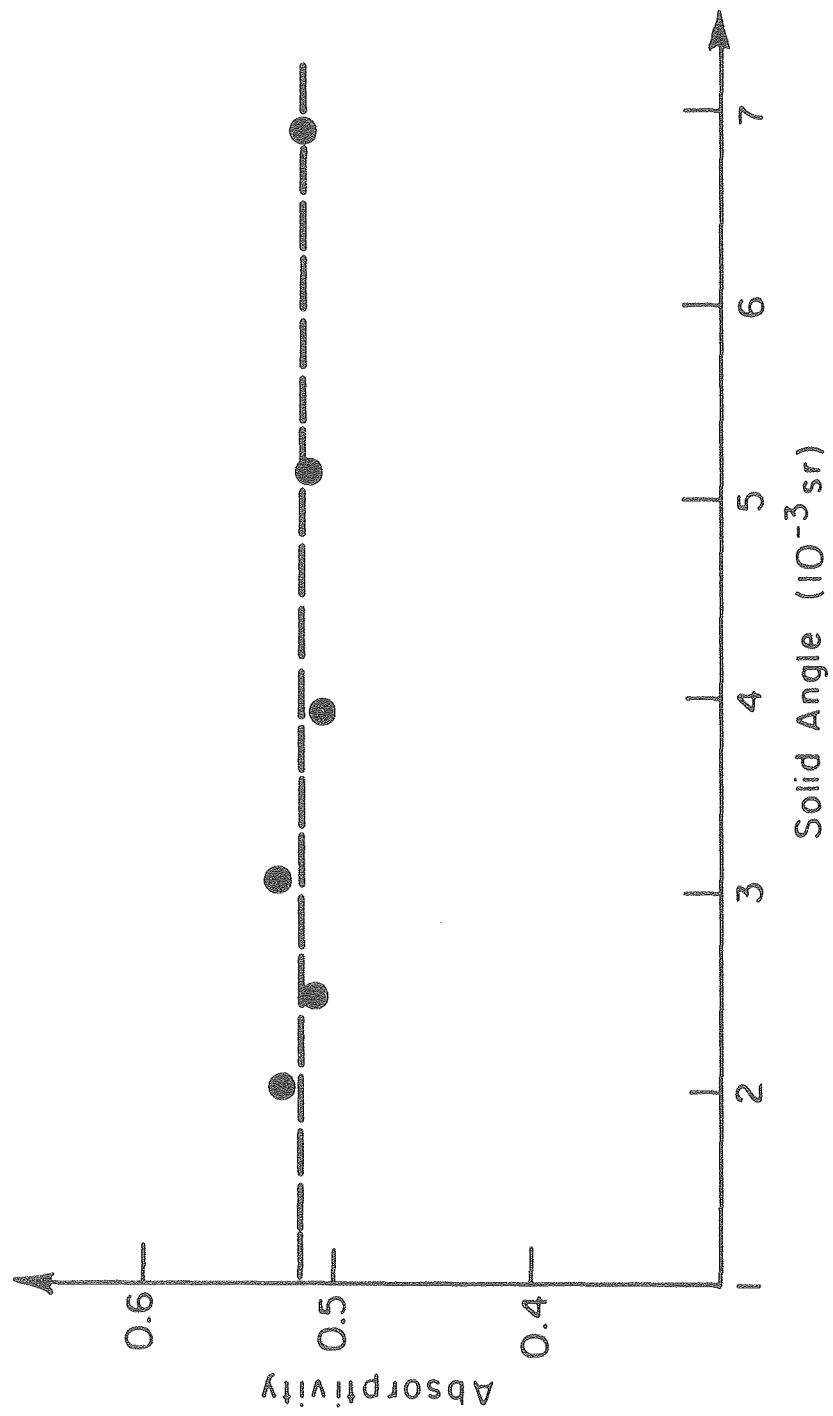
XBL 772-5097

Fig. 12



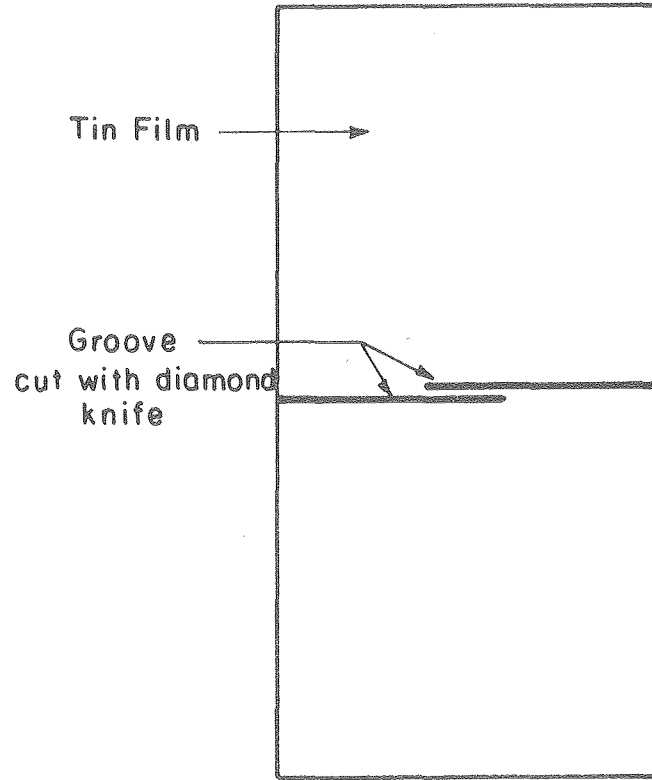
XBL 786-5216

Fig. 13



XBL 786-5215

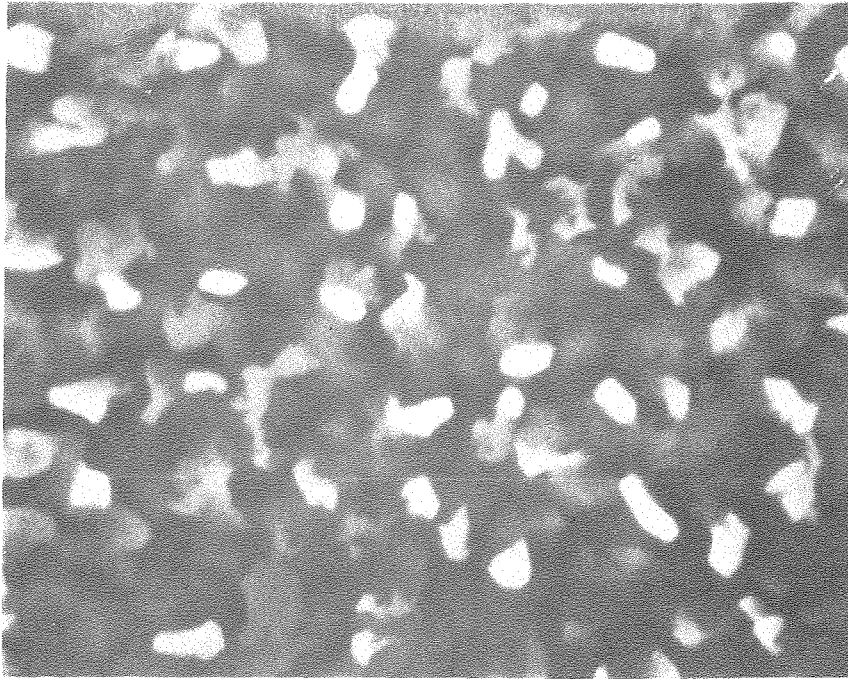
Fig. 14



XBL787-5340

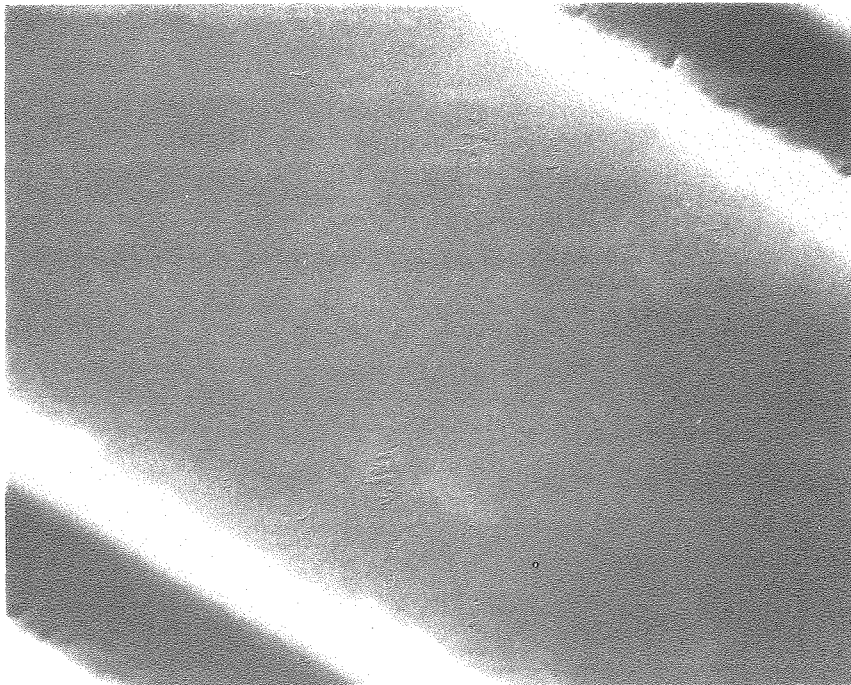
Fig. 15

(a)



1 μ m

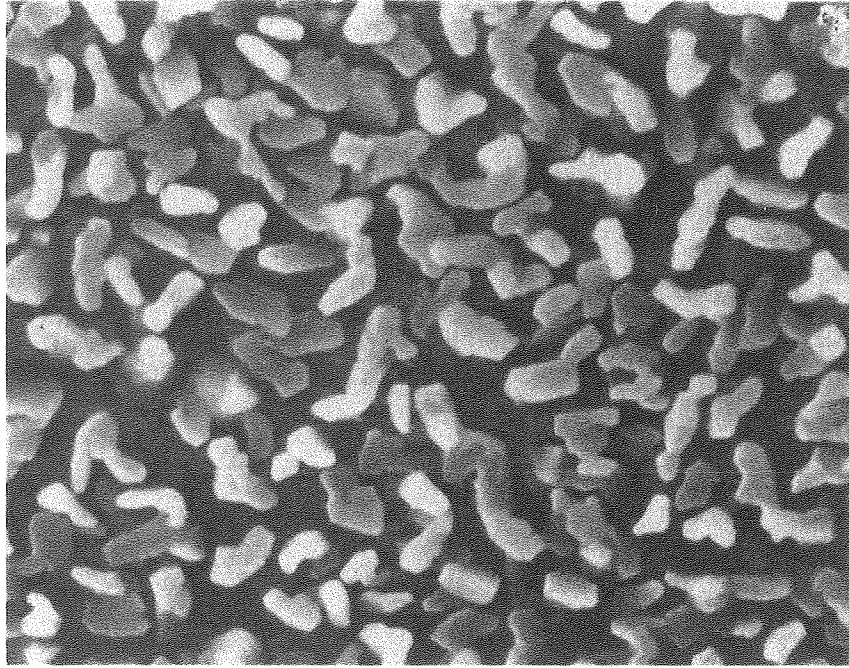
(b)



XBB 787-8346

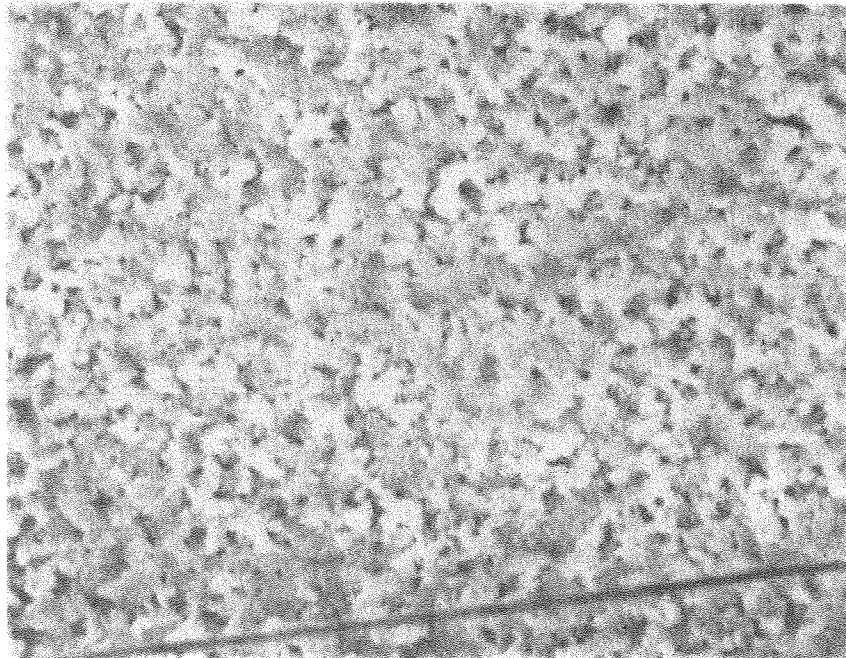
Fig. 16

(a)



1 μ m

(b)



XBB 787-8347

Fig. 17

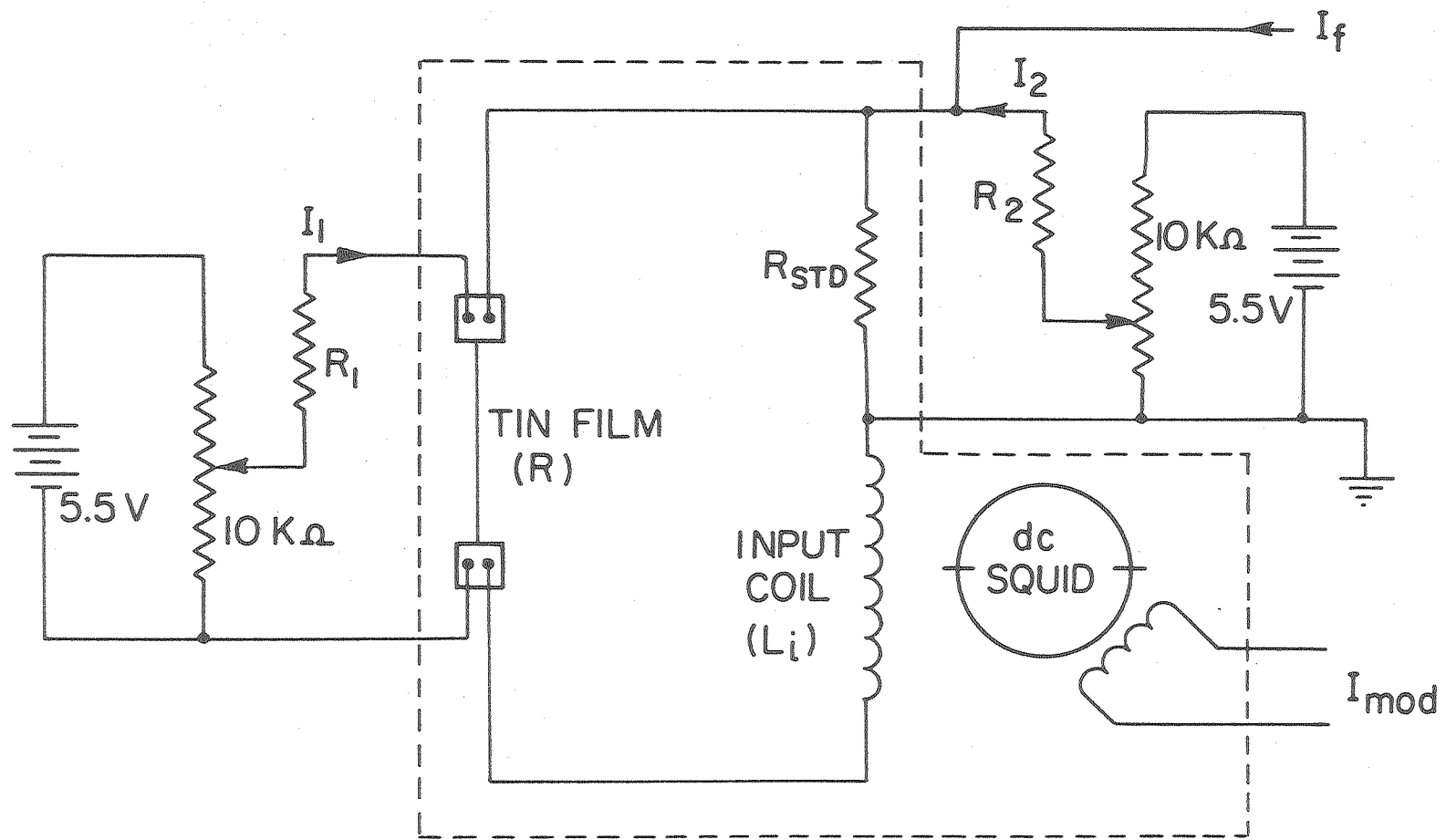


Fig. 18

XBL787-5334

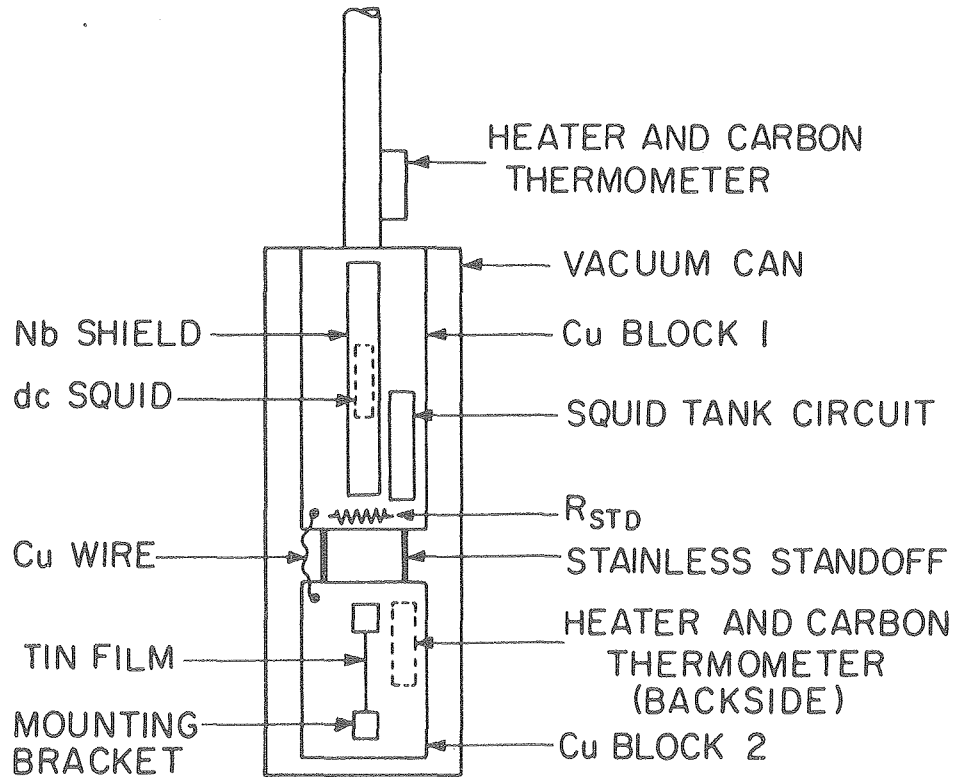
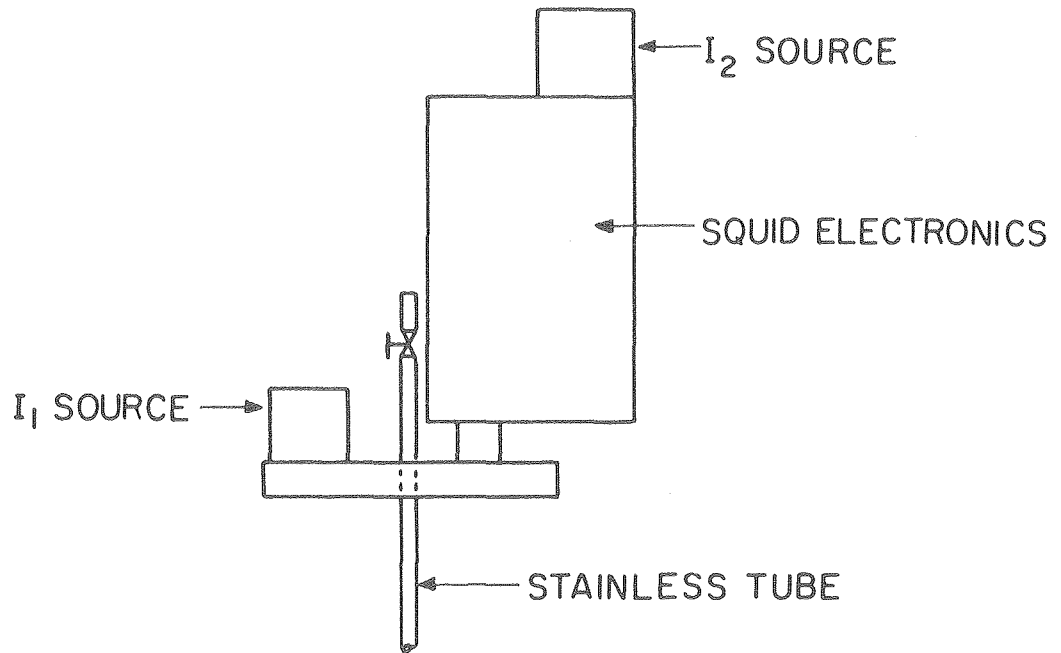
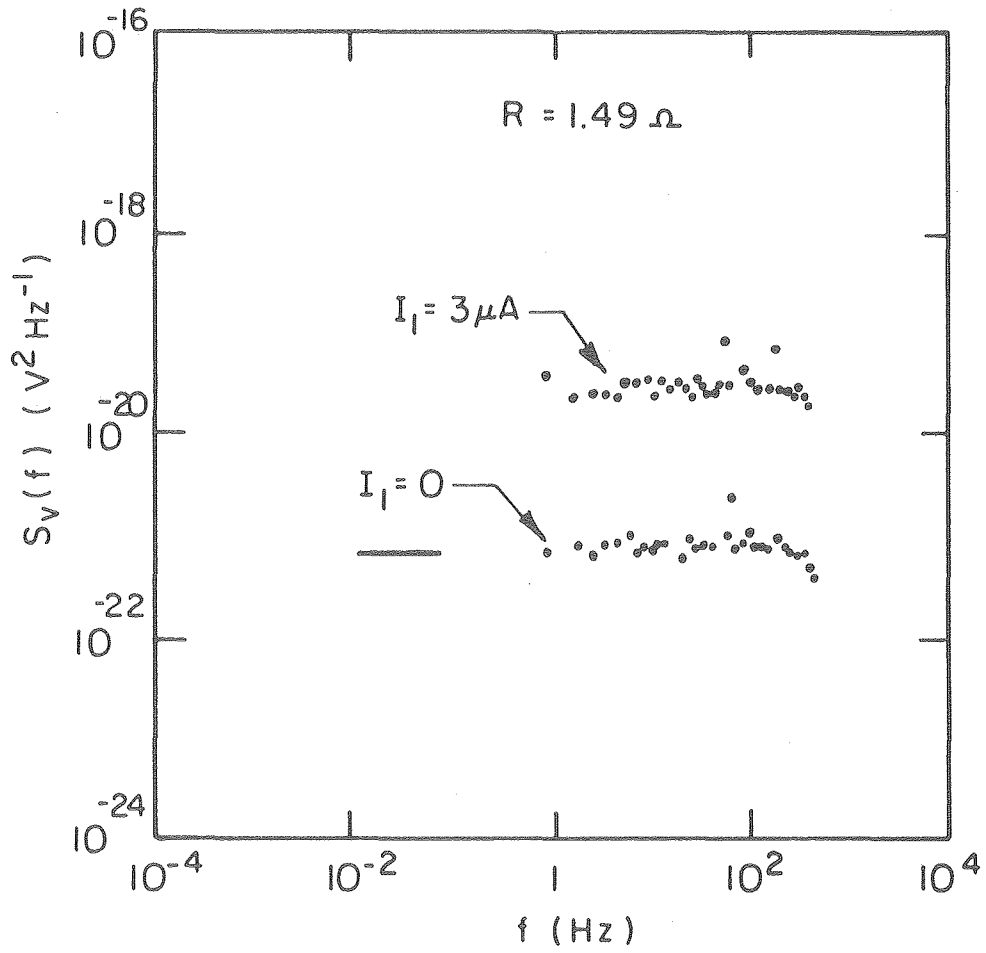


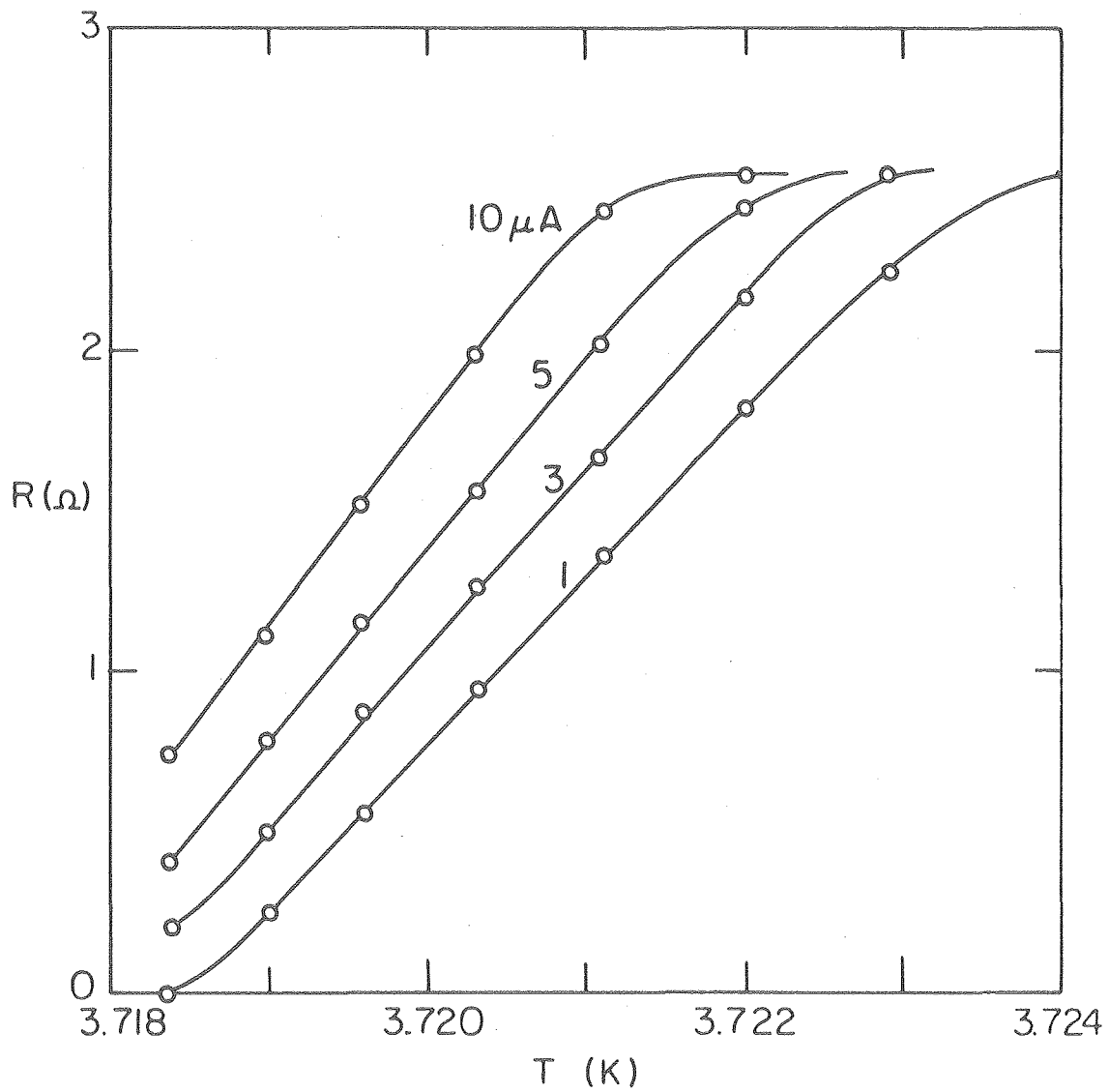
Fig. 19

XBL 787-5335



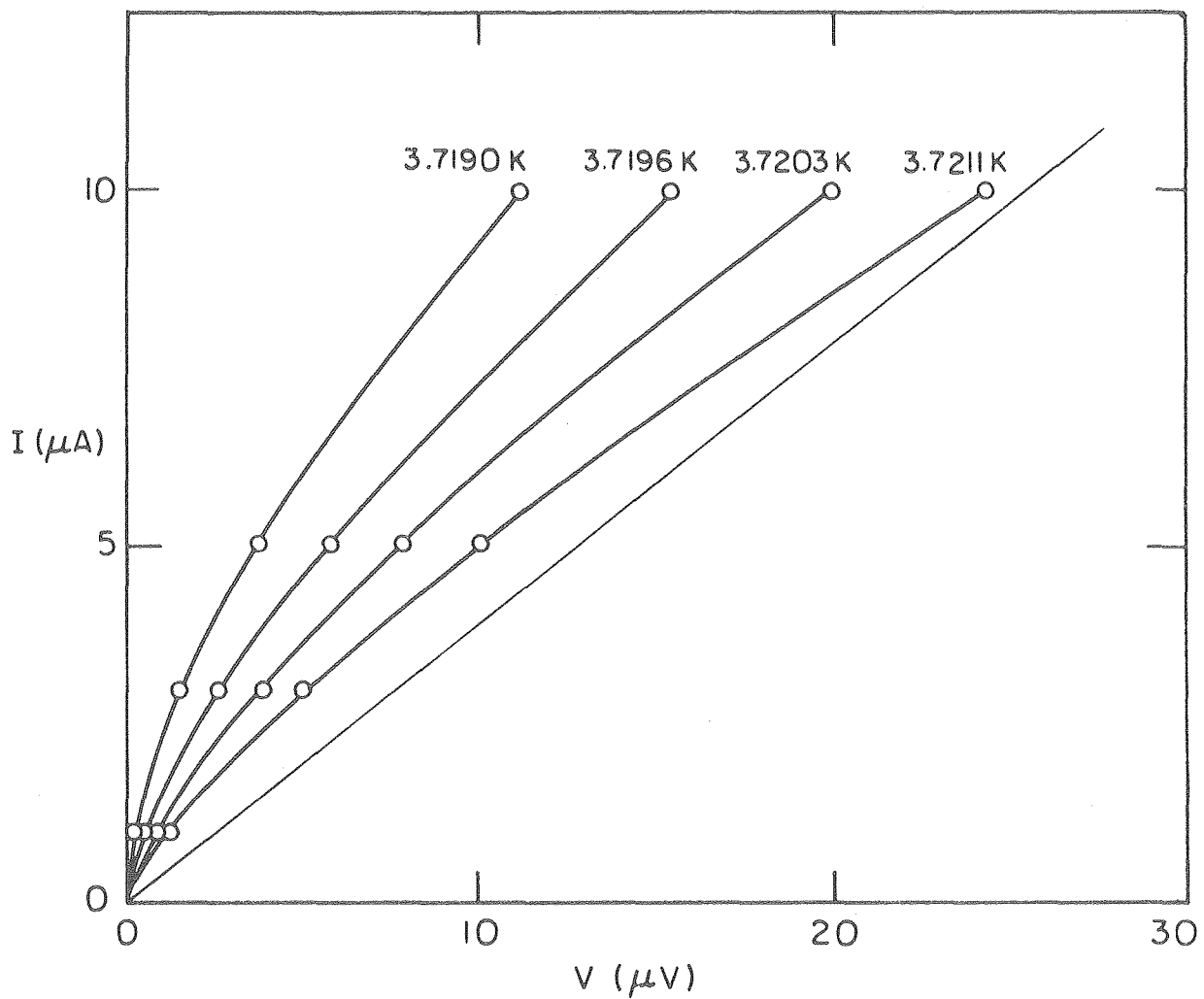
XBL 787-5342

Fig. 20



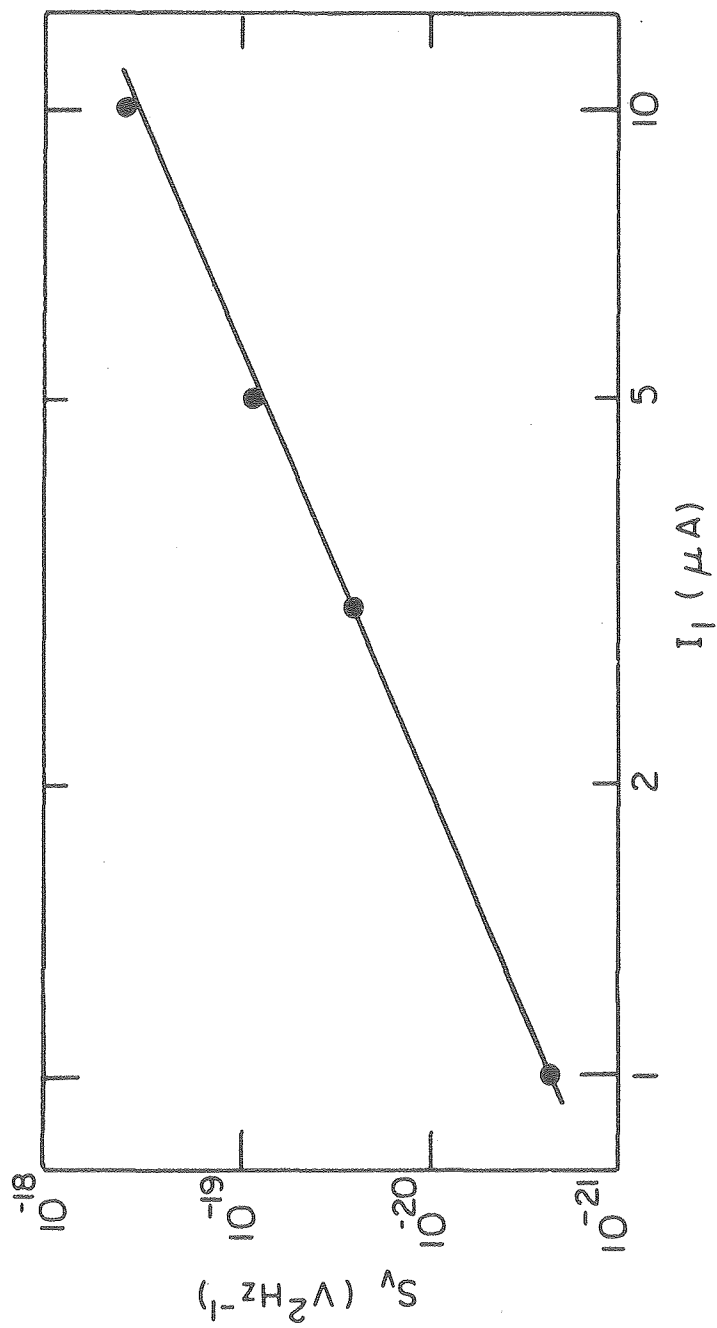
XBL787-5341

Fig. 21



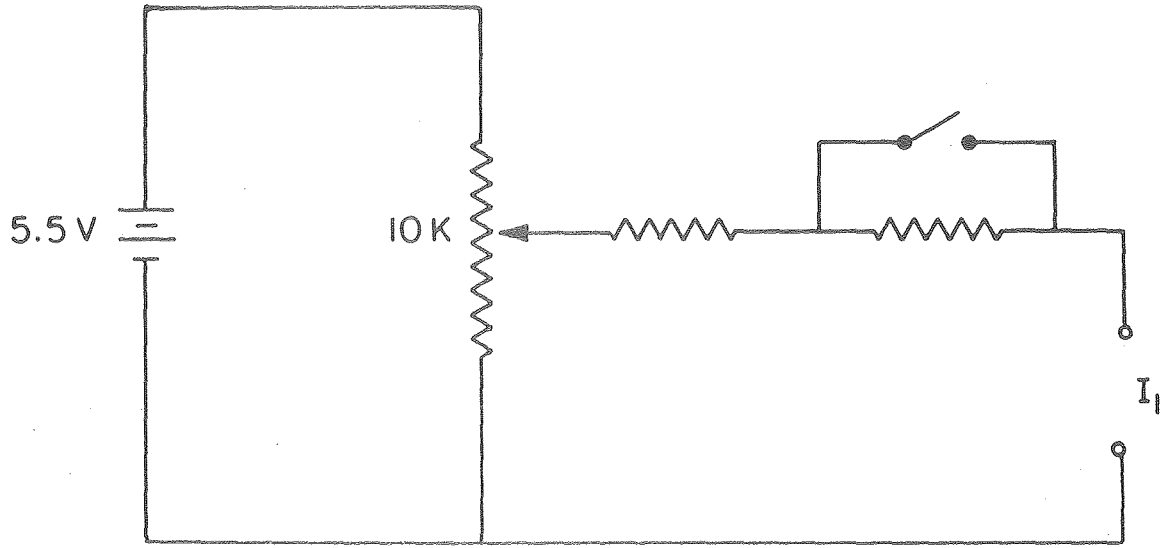
XBL787-5337

Fig. 22



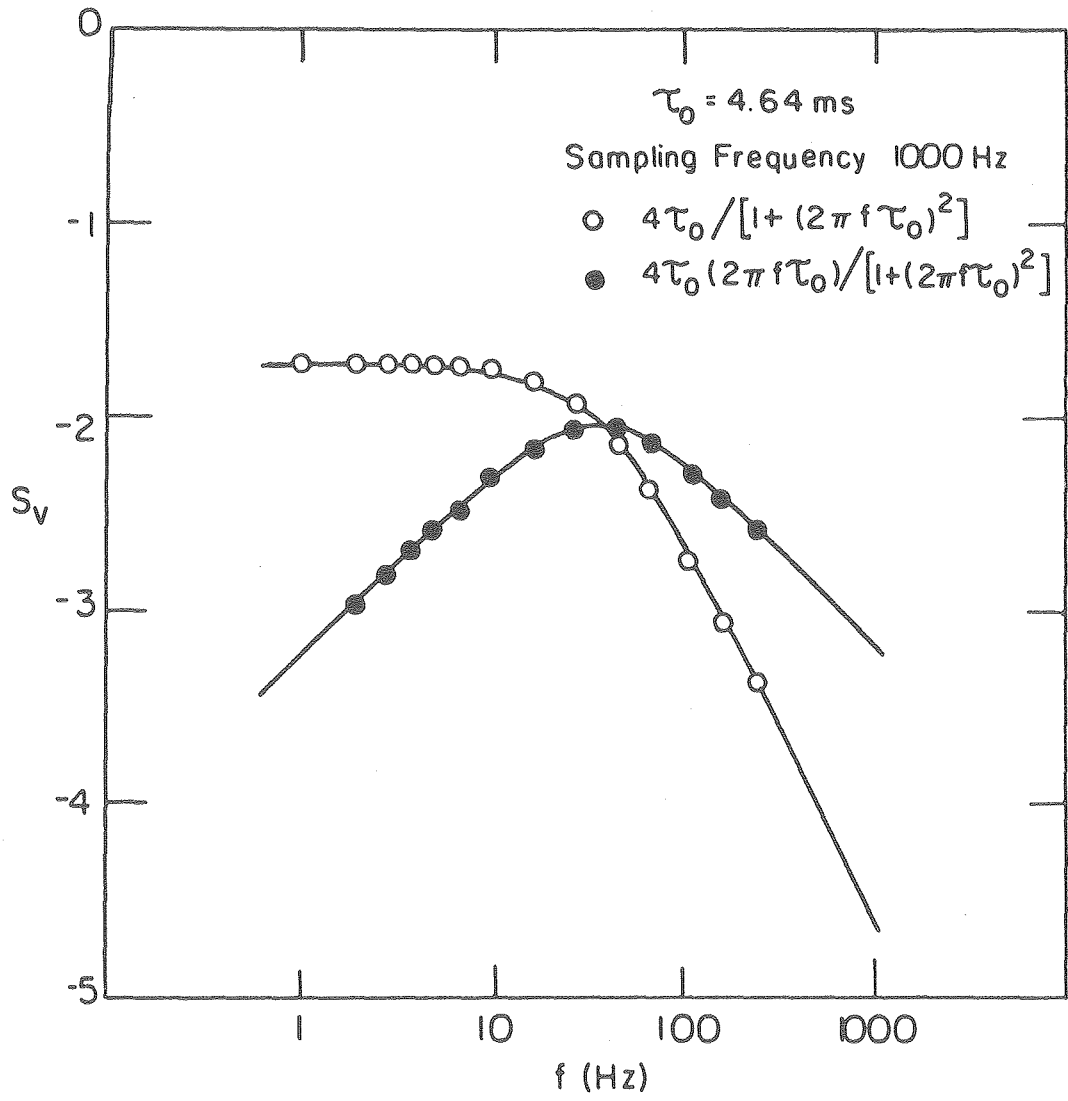
XBL 787-5339

Fig. 23



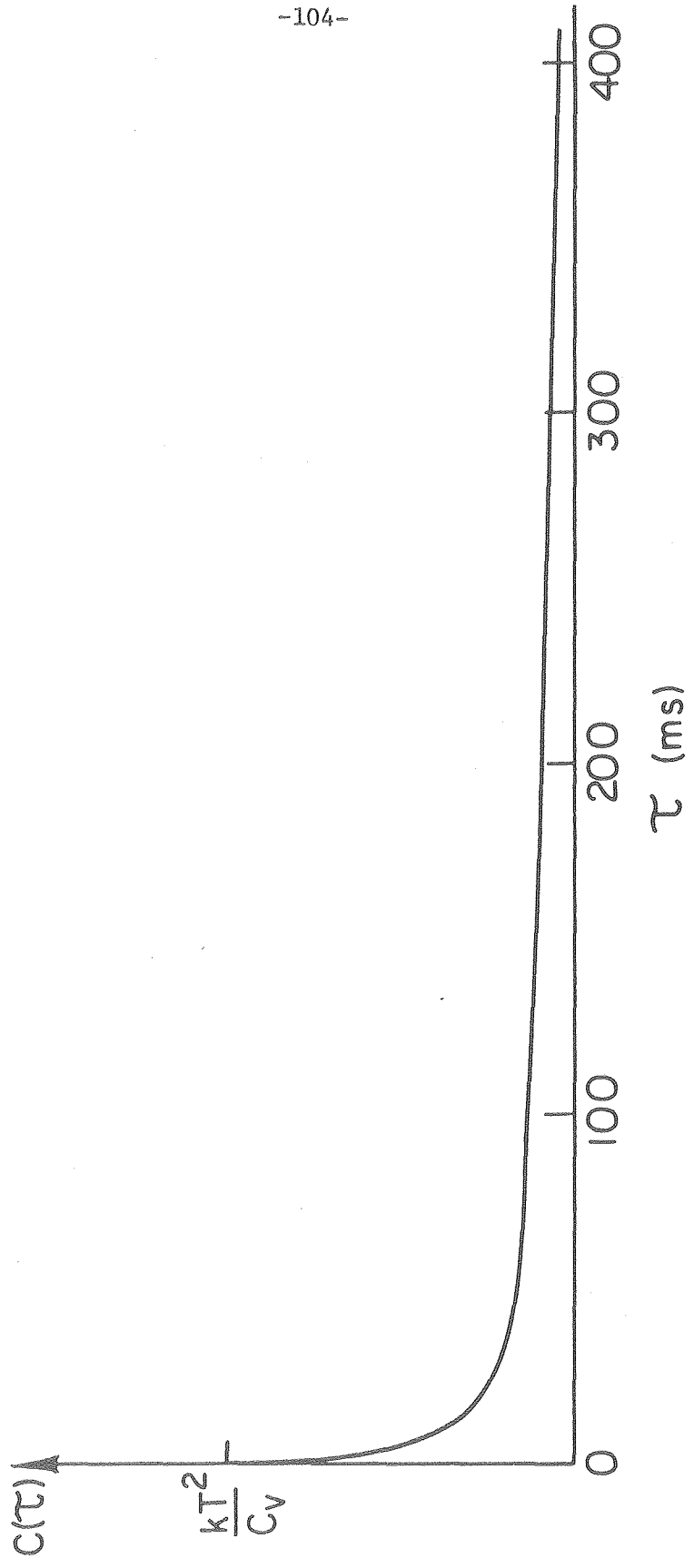
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Fig. 24



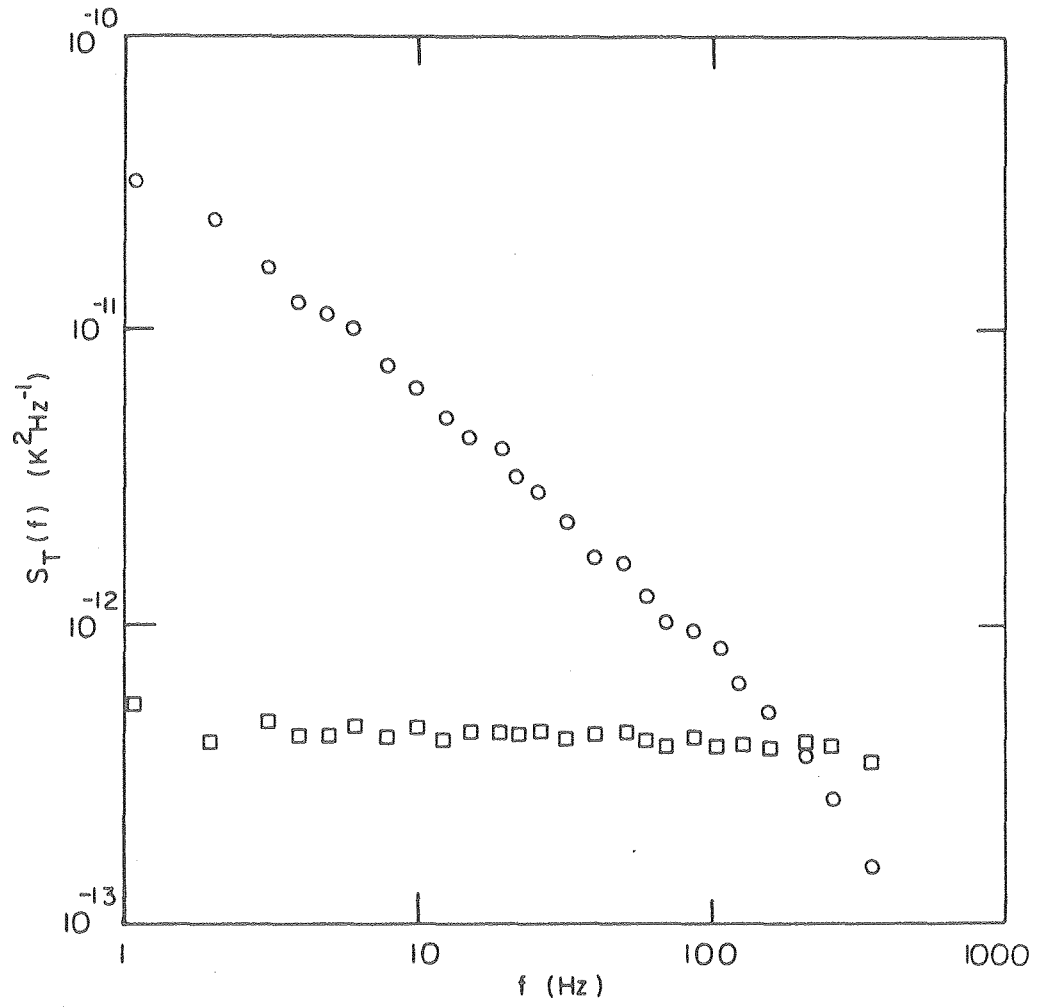
XBL 787 -5333

Fig. 25



XBL 787 - 5336

Fig. 26



XBL 787-5332

Fig. 27

This report was done with support from the Department of Energy. Any conclusions or opinions expressed in this report represent solely those of the author(s) and not necessarily those of The Regents of the University of California, the Lawrence Berkeley Laboratory or the Department of Energy.

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