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# Using a Shared Storage Class Memory Device to Improve the Reliability of RAID Arrays

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**Abstract**—Storage class memories (SCMs) constitute an emerging class of non-volatile storage devices that promise to be significantly faster and more reliable than magnetic disks. We propose to add one of these devices to each group of two or three RAID level arrays and store on it additional parity data. We show that the new organization can tolerate all double disk failures, between 75 and 90 percent of all triple disk failures and between 50 and 70 percent of all failures involving two disks and the SCM device without incurring any data loss. As a result, the additional parity device increases the mean time to data loss of the arrays in the group it protects by at least 200-fold.

## I. INTRODUCTION

While magnetic disk capacities have dramatically increased over the last twenty to thirty years, their access times are still measured in milliseconds and their failure rates still make them one of the least reliable parts of computer systems. In addition, their power consumption limits their usage in portable devices. Storage class memories (SCMs) constitute an emerging class of non-volatile storage devices that address these three issues. First, they promise much faster access times than magnetic disks. Second, they are expected to be much more reliable as they have no moving parts. Third, they will have much lower power requirements. Their main drawback is a higher cost per Gigabit.

Given these characteristics, one of the first expected applications for SCMs will be intermediary caches for conventional disks. Active data would be stored in SCMs while dormant data would remain stored on magnetic disks. SCMs are also likely to replace flash memory in portable applications thanks to their higher write endurance.

We propose here another application for SCMs, namely enhancing the reliability of conventional disk arrays. The idea builds upon prior work in that direction: some of us have recently proposed to increase the reliability of two-dimensional RAID arrays by replacing some of their parity disks by SCM devices [6]. The main limitation of this approach is its cost, as the required number of SCM devices grows as a function of the square root of the number of disks.

TABLE I. EXPECTED SPECIFICATIONS OF PCM DEVICES. [6]

<i>Parameter</i>	<i>Expected Value (2012)</i>
Access time	100 ns
Data rate	200–1000 MB/s
Write endurance	10 <sup>9</sup> write cycles
Read endurance	no upper limit
Capacity	16 GB
Capacity growth	> 40% per year
Mean time to failure	10–50 million hours
Ratio of random to sequential access times	1
Active power	100 mW
Standby power	1 mW
Shock and vibration resistance	> 15 g
Cost	< \$2/GB
Cost reduction rate	40 percent/year

The solution we propose here is quite different: we propose to group standard RAID level 5 arrays into small groups of two to three arrays sharing a single “capstone” SCM device that will allow the set of disks to tolerate all double failures and most triple failures. As a result, the additional SCM device increases the mean time to data loss of the arrays in the group it protects by at least 200-fold.

The remainder of the paper is organized as follows: Section 2 discusses the performance of storage class memories and introduces shared parity devices. Section 3 introduces our organization and Section 4 discusses its performance. Finally, Section 5 offers our conclusions.

## II. BACKGROUND

In this section we briefly discuss the performance of storage class memories and review previous work on shared parity devices.

### A. Storage class memories

Storage class memories (SCMs) [5] constitute a new class of non-volatile storage systems that are both cheaper than volatile main memory, and much faster than conventional disks. Unlike magnetic disk and MEMS [3] technologies, SCMs have

no moving parts. In addition, they do not suffer from the potential write-speed and write-frequency limitations of flash memory.

We will focus here on phase-change memories (PCMs) as an exemplar of this new class of storage devices. The most promising PCM technology relies on the physical properties of chalcogenide materials. At room temperature, these materials can exist in two stable states, namely an amorphous state exhibiting a high resistivity and a crystalline state characterized by a much lower resistivity. Quickly heating the material above its melting point and then letting it quickly cool will leave the material in an amorphous state. Similarly, heating the material above its crystallization temperature and then letting it cool at a relatively slower rate will leave it in a crystalline state.

Table I displays the most important parameters of the first generation of SCMs. As we can see, they are both much faster and much more reliable than magnetic disks

### B. Shared parity devices

As the amount of data stored online increases and as we depend more than ever on such data, many applications now require better data survival warranties than those afforded by RAID level 5 arrays. A first option is to switch to RAID level 6 arrays. A more cost-effective solution is to use some form of multi-level redundancy coding such as *superparity* [11] or add *extra parity* [7] disks.

Consider, for instance, the disk array displayed in Fig. 1. It consists of two conventional RAID arrays sharing an additional disk  $Q$  containing yet-to-be-specified parity data. For the sake of simplicity, the two arrays are represented as having separate parity disks. In reality, their parity blocks are more likely to be distributed among the seven disks forming each RAID array. As Fig. 2 shows, we can define a *virtual parity disk*  $P'$  whose contents are the *exclusive or* (XOR) of the contents of parity disks  $P_0$  and  $P_1$ . (Had the parity blocks been distributed among the seven disks of each array, we would have defined a *virtual set of parity blocks*.)

The virtual array consisting of the 12 data disks and the virtual parity disk  $P'$  forms a conventional RAID array that protects its contents against any single disk failure. We then define the contents of disk  $Q$  in a way that ensures that the 12 data disks, the virtual parity disk  $P'$  and disk  $Q$  form a RAID level 6 array. This can be done by using an EvenOdd scheme [1], a Row Diagonal Parity (RDP) scheme [2, 4] or any other RAID level 6 organization [8].

We observe that the two parity disks  $P_0$  and  $P_1$  effectively protect all stored data against all single disk failures and all double failures that do not affect two disks in the same array. When combined with the shared parity disk  $Q$ , they protect the same data against *all* double disk failures and *most*, but not all, triple disk failures. The triple failures that will result in a data loss are the failures of:

1. three disks in the same RAID array, or
2. two disks in the same RAID array *plus* the shared parity disk  $Q$ .

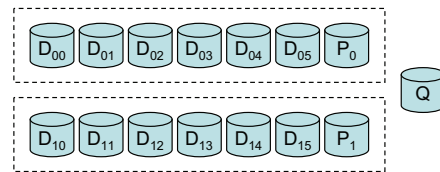


Figure 1. A pair of RAID arrays with a shared parity disk.

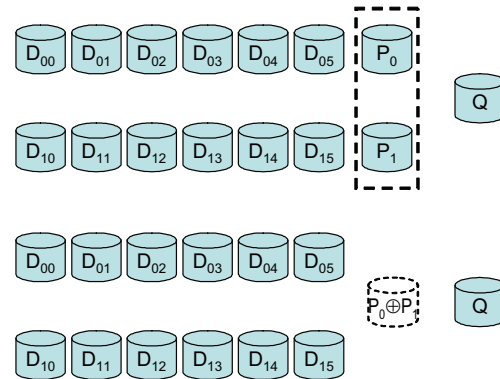


Figure 2. An alternate view of the previous array.

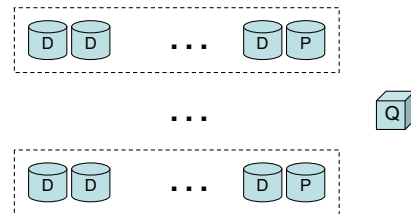


Figure 3. Our model.

Since this disk organization comprises fifteen disks, it will experience  $\binom{15}{3}$  distinct triple failures. In addition, there are  $\binom{7}{2}$  distinct double and  $\binom{7}{3}$  distinct triple failures for each of the two RAID arrays. As a result, our disk organization will be able to tolerate exactly  $\binom{15}{3} - 2\binom{7}{3} - 2\binom{7}{2} = 343$  of the 455 possible triple disk failures, that is, slightly more than 75% of them.

As one can expect, avoiding any data loss in the presence of all double failures and three quarters of triple failures has a dramatic impact on the mean time to data loss of the two RAID arrays: some of us found out that adding a shared parity disk to a set of two or three small RAID arrays would increase their MTDL by at least 14,000 percent [7].

### III. OUR PROPOSAL

These excellent results convinced us to consider replacing the shared parity disk by a more reliable device in order to

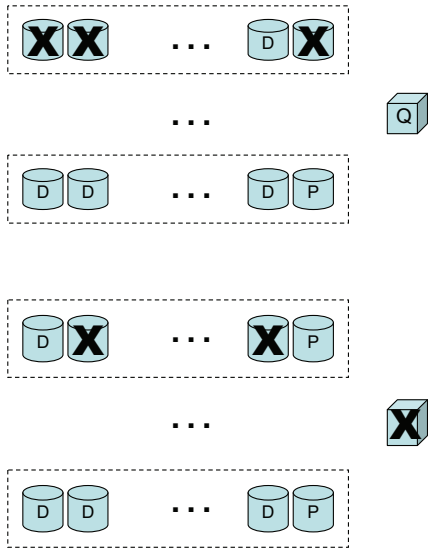


Figure 4. Triple failures resulting in a data loss.

achieve even higher MTDLs. SCMs constituted a natural choice because they are expected to be much more reliable than magnetic disks and to offer higher data rates. In addition, they are much less likely to be affected by vibrations.

Consider the disk array displayed in Fig. 3. It consists of  $m$  RAID level 5 arrays comprising  $n$  disks each plus an additional shared parity SCM device  $Q$ . We characterize the contents of device  $Q$  in the following manner. We first define a virtual parity disk  $P'$  that is formed by XORing the parity blocks of the  $m$  RAID arrays. We then populate device  $Q$  in such a way that it forms a single RAID level 6 array with the data blocks of the original arrays and the virtual parity disk  $P$ .

Since our new organization constitutes a RAID level 6 array, it can tolerate all single and all double disk failures. As Fig. 4 shows, the triple failures that result in a data loss consist of:

1. A failure of three disks in the same RAID array, and
2. A failure of two disks in the same RAID array combined with a failure of the shared parity device.

As our disk organization comprises  $mn+1$  devices, it is subject to  $\binom{mn+1}{3}$  distinct triple failures. Of these failures,  $\binom{mn}{3}$  are

failures of three of the  $mn$  disks and  $\binom{mn}{2}$  are failures of the shared parity device and two of the  $mn$  disks. Since there are  $\binom{n}{2}$  distinct double and  $\binom{n}{3}$  distinct triple failures for each of the  $m$  RAID arrays, our system will be able to tolerate  $\binom{mn+1}{3} - m\binom{n}{3} - m\binom{n}{2}$  of the  $\binom{mn+1}{3}$  possible triple device failures.

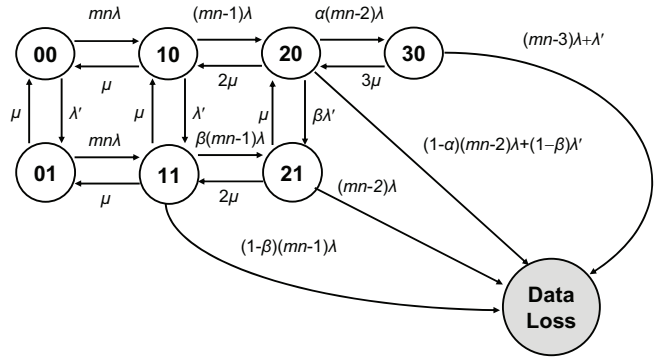


Figure 5. Simplified state transition probability diagram for our system.

#### IV. PERFORMANCE EVALUATION

Estimating the reliability of a storage system means estimating the probability  $R(t)$  that the system will operate in a correct fashion over the time interval  $[0, t]$  given that it operated correctly at time  $t=0$ . Computing that function requires solving a system of linear differential equations, a task that becomes quickly intractable as the complexity of the system grows. Instead, a simpler option would be to use the mean time to data loss (MTDL) of the storage system, which is the approach we will take here.

Our system model consists of an array of disks with independent failure modes. When a disk fails, a repair process is immediately initiated for that disk. Should several disks fail, the repair process will be performed in parallel on those disks. We assume that disk failures are independent events and are exponentially distributed with mean  $\lambda$ . In the same way, we assume that SCM device failures are also exponentially distributed, but with mean  $\lambda' < \lambda$ , reflecting the higher reliability of these devices. In addition, we require all repairs to be exponentially distributed with mean  $\mu$ . All three hypotheses are necessary to represent each system by a Markov process with a finite number of states.

Building an accurate state-transition diagram for our disk organization is a daunting task as we must distinguish between failures of the shared parity device  $Q$  and failures of the other disks. We must also distinguish between failures of disks belonging to the same disk array and failures of disks belonging to distinct arrays. Instead, we present here a simplified model.

Fig. 5 displays the simplified state transition probability diagram for a system with  $mn$  disks and a shared parity device  $Q$ . Each state is identified by a pair  $\langle xy \rangle$  where  $x$  stands for the number of failed disks and  $y$  represents the state of the shared SCM device  $Q$ .

State  $\langle 00 \rangle$  represents the normal state of the system when all its components are all operational. A failure of one of the  $mn$  disks will bring the system to state  $\langle 10 \rangle$ . The transition rate is  $mn\lambda$  reflecting that disk failures are independent processes. A failure of any of the remaining  $(mn-1)$  disks would bring the array into state  $\langle 20 \rangle$ . When the array is in state  $\langle 20 \rangle$ , a failure of any of the remaining  $(mn-2)$  disks will

cause a triple disk failure. As we saw before,  $m \binom{n}{3}$  of all

$\binom{mn}{3}$  possible triple disk failures will result in a data loss.

Define  $\alpha$  as the fraction of triple disk failures that will *not* result in a data loss, that is,

$$\alpha = 1 - m \binom{n}{3} \binom{mn}{3}^{-1}$$

The two transitions corresponding to the failure of a third disk can then be expressed as

1. A transition to state  $\langle 30 \rangle$  with rate  $\alpha(mn - 2)\lambda$
2. A failure transition with rate  $(1 - \alpha)(mn - 2)\lambda$

We assume that an array in state  $\langle 30 \rangle$  will never tolerate the failure of a fourth data disk without data loss. This is strictly true when  $m = 2$  as a system consisting of two RAID level 5 arrays and a shared parity device cannot tolerate any quadruple disk failure. It remains a fairly good approximation when  $m > 2$  as long as the device repair rate  $\mu$  remains much higher than the disk failure rate  $\lambda$ .

Let us consider how our model represents failures of the shared parity device. A failure of that device when the array is in state  $\langle 00 \rangle$  will bring the array in state  $\langle 01 \rangle$  while the same failure when the system already has a failed disk will bring it in state  $\langle 11 \rangle$ . State  $\langle 11 \rangle$  can also be reached from state  $\langle 01 \rangle$  if one of the  $mn$  operational disks fail. When the array is in state  $\langle 11 \rangle$ , a failure of any of the remaining  $(mn - 1)$  operational disks will bring the array into a state where it has two failed disks and a failed shared parity device. As we saw before,  $m \binom{n}{2}$  of all  $\binom{mn}{2}$  possible double disk failures occurring when the shared parity device is not operational will result in a data loss. Define now  $\beta$  as the fraction of the double disk failures that will *not* result in a data loss when the shared parity device is down, that is,

$$\beta = 1 - m \binom{n}{2} \binom{mn}{2}^{-1}$$

The two failure transitions leaving state  $\langle 11 \rangle$  can then be expressed as

1. A transition to state  $\langle 21 \rangle$  with rate  $\beta(mn - 1)\lambda$
2. A failure transition with rate  $(1 - \beta)(mn - 1)\lambda$

In the same way, the two failure transitions corresponding to a failure of the shared parity device when the array already has two failed disks are

1. A transition to state  $\langle 21 \rangle$  with rate  $\beta\lambda'$
2. A failure transition with rate  $(1 - \beta)\lambda'$

Disk repair transitions return the array from state  $\langle 30 \rangle$  to state  $\langle 20 \rangle$  then from state  $\langle 20 \rangle$  to state  $\langle 10 \rangle$  and, finally, from state  $\langle 10 \rangle$  to state  $\langle 00 \rangle$ . Similar transitions return the array from state  $\langle 21 \rangle$  to state  $\langle 11 \rangle$  and from state  $\langle 11 \rangle$  to

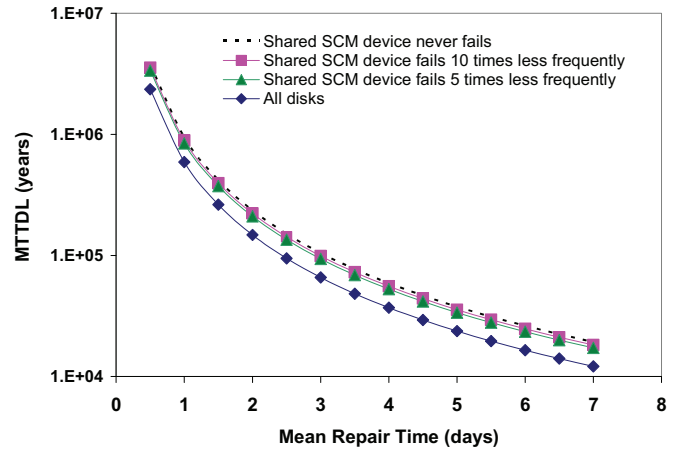


Figure 6. MTTDLs of a disk organization consisting of two RAID level 5 arrays with seven disks each and one PCM-class shared parity device.

state  $\langle 01 \rangle$ . Their rates are equal to the number of failed disks times the disk repair rate  $\mu$ . Repair transitions corresponding to a repair of the shared parity device will bring the array from state  $\langle 21 \rangle$  to state  $\langle 20 \rangle$ , from state  $\langle 11 \rangle$  to state  $\langle 10 \rangle$  and from state  $\langle 01 \rangle$  to state  $\langle 00 \rangle$ .

The Kolmogorov system of differential equations describing the behavior of the array is

$$\frac{dp_{00}(t)}{dt} = -(mn\lambda + \lambda')p_{00}(t) + \mu p_{01}(t) + \mu p_{10}(t)$$

$$\frac{dp_{01}(t)}{dt} = -(mn\lambda + \mu)p_{01}(t) + \lambda'p_{00}(t) + \mu p_{11}(t)$$

$$\frac{dp_{10}(t)}{dt} = -((mn - 1)\lambda + \lambda' + \mu)p_{10}(t) + mn\lambda p_{00}(t) + \mu p_{11}(t) + 2\mu p_{20}(t)$$

$$\frac{dp_{11}(t)}{dt} = -((mn - 1)\lambda + 2\mu)p_{11}(t) + mn\lambda p_{01}(t) + \lambda'p_{10}(t) + 2\mu p_{21}(t)$$

$$\frac{dp_{20}(t)}{dt} = -((mn - 2)\lambda + \lambda' + 2\mu)p_{20}(t) + (mn - 1)\lambda p_{10}(t) + \mu p_{21}(t) + 3\mu p_{30}(t)$$

$$\frac{dp_{21}(t)}{dt} = -((mn - 2)\lambda + 3\mu)p_{21}(t) + \beta(mn - 1)\lambda p_{11}(t) + \beta\lambda'p_{20}(t)$$

$$\frac{dp_{30}(t)}{dt} = -((mn - 3)\lambda + \lambda' + 3\mu)p_{30}(t) + \alpha(mn - 2)\lambda p_{20}(t)$$

where  $p_{ij}(t)$  is the probability that the system is in state  $\langle ij \rangle$  with the initial conditions  $p_{00}(0) = 1$  and 0 otherwise.

Solving the Laplace transforms of these equations, we derive from them the mean time to data loss (MTTDL) of the array using the relation

$$MTTDL = \sum_{i,j} p_{ij}^*(0),$$

where  $p_{ij}^*(s)$  is the Laplace transform of  $p_{ij}(t)$ . The result is a quotient of two polynomials too large to be represented here.

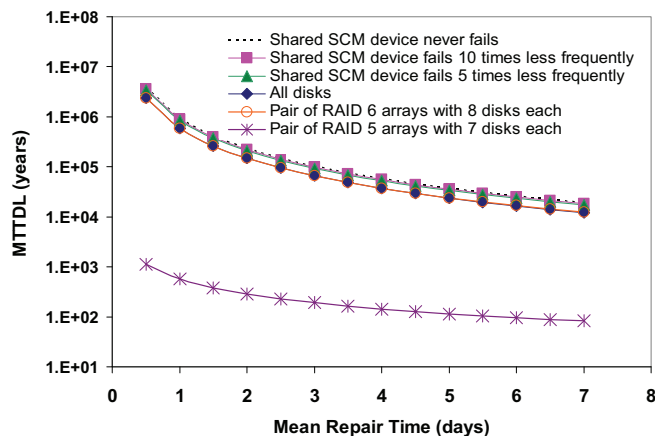


Figure 7. Comparing the MTTDLs achieved by our organization with those achieved by a pair of identical disk arrays lacking a shared parity disk and a pair of RAID level 6 disk arrays with eight disks each.

TABLE II. COMPARING THE MTTDLs OF ALL SIX ORGANIZATIONS FOR A REPAIR TIME OF 24 HOURS.

Organization	Relative MTTDL
Two RAID 5 arrays	0.00096
All Disks	1.0
Two RAID 6 arrays	1.0012
SCM 5 × better	1.4274
SCM 10 × better	1.5080
SCN 100 × better	1.5887
SSD never fails	1.5982

Fig. 6 displays on a logarithmic scale the MTTDLs achieved by an array organization consisting of two RAID arrays of seven disks each sharing a common parity device. We assumed that the disk failure rate  $\lambda$  was one failure every one hundred thousand hours, that is, slightly less than one failure every eleven years. These values are at the high end of the failure rates observed by Pinheiro *et al.* [9] and Schroeder and Gibson [10]. The failure rates for the SCM device were expressed in relation with that of the disk failure rates. Disk repair times are expressed in days and MTTDLs in years.

We can see that the beneficial effects of replacing the shared parity disk with a more reliable SCM device are already significant when the SCM device is five times more reliable than a regular disk. Conversely, these beneficial effects approach their maximum as soon as the same device becomes ten times more reliable than a regular disk. We also observe that these benefits remain fairly constant over a fairly wide range of repair times: replacing the shared parity disk by a shared parity device increases the MTTDL of the array by 40 to 59 percent.

Fig. 7 compares the MTTDLs achieved by our organization with those achieved by a pair of identical disk arrays lacking a shared parity disk and a pair of RAID level 6 disk arrays with eight disks. The same results are summarized in Table II. They show that adding a shared parity device that is ten times more reliable than a regular disk to a pair of RAID level 5 arrays increases the MTTDL of the array by at least 21,000 and up to 31,000 percent. Our data also show that the RAID 6 organization does not perform better than the all-disk organization even though it uses four parity disks instead of three.

While these results are good, we need to keep in mind that SCM devices are likely to remain much more expensive than disks for a long time. Mirroring the shared parity disk will deliver exactly the same reliability benefits at a much lower cost as long as the disk repair rate  $\mu$  remains much higher than the disk failure rate  $\lambda$ . The sole drawback of this approach is the lower update bandwidth of the mirrored disks compared to that of a SCM device.

## V. CONCLUSION

Storage class memories (SCMs) constitute an emerging class of non-volatile storage devices that promise to be significantly faster and more reliable than magnetic disks. We propose to add one of these devices to each group of two or three RAID level arrays and store on it additional parity data. Our new organization can tolerate all double disk failures, most triple disk failures and most failures involving two disks and the SCM device without incurring any data loss. As a result, the additional parity device increases the mean time to data loss of the arrays in the group it protects by at least 200-fold.

More work is still needed to evaluate the impact of irrecoverable read errors.

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