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Title

Refinement of the HCUP Quality Indicators: Appendix 5 Inventory of Indicators

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APPENDIX 5

Inventory of Indicators

This appendix includes a compilation of the indicators that we located during the Phase 1 literature review (Identifying indicators), and through interviews.

The indicators are organized <u>by measure type</u> (structural indicators; process indicators – utilization, length of stay; outcome indicators – ACSC/avoidable hospitalizations, complications, mortality) and <u>by clinical domain</u> (medical, surgical, chronic, obstetric, neonatal, pediatric, psychiatric). In some cases multiple definitions appear in the literature. Alternate definitions are noted.

The current users or developers include all the groups/individuals that we identified during our Phase 1 literature review and through phone interviews.

The literature and empirical columns refer to whether or not a detailed literature or empirical review was completed for the indicator. These reviews appear in the main text.

The reason for selection or exclusion refers to our selection process for determining which indicators to review extensively with the structured evaluation framework. The criteria and process are described in the methods section.

The indicator number is the number that has been assigned to each indicator as a unique identifier used throughout the report.

Appendix 5. Inventory of Indicators

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evido Revi | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|----------------|--------------------------------|----------------|-----------------|-----------------------------------|---------------------|
| | | | Empiri- cal | Liter- ature | | in text body |

Structure measures:

Volume Outcome measures:

Examples:

| Acute myocardial infarction (AMI) | Suggested uses in literature (Halm, Dudley) | | | Providers have limited control over frequency of AMI admissions, controversy over actual volume- outcome relationship | - |
|--|---|---|---|--|---|
| Amputation of lower limb | - | | | No recent studies | - |
| Abdominal Aortic Aneurysm (AAA) repair | | X | Х | Relatively frequent procedure, with strong established volume-outcome relationship | 1 |
| Carotid endarectomy | | X | X | Frequent, high complication, mortality rates | 2 |
| Cerebral aneurysm repair | | | | Small number of recent studies. | - |
| Cholecystectomy | | | | No recent studies. Conflicting evidence as to the volume-outcome relationship. | - |
| Coronary Artery Bypass Graft (CABG) surgery | | X | X | Frequent, PTCA alternative, documented relationship, current HCUP QI utilization | 3 |
| Esophageal resection | | Х | Х | Though infrequent, the volume outcome relationship is particularly strong. | 4 |
| Gastric surgery | | | | No recent studies. Conflicting evidence as to the volume-outcome relationship. | - |
| Heart transplantation | | | | Small number of recent studies. | - |
| Hepatic resection | | | | Infrequent procedure, low provider variation | - |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and | Indicator Name | Current Users or | Evide | ence | Reason for selection or | Indi- |
|------------------|--------------------------|-------------------------|---------|--------|---|-----------------|
| Clinical Domain | | Developers | Revi | ew* | exclusion | cator |
| | | - | Empiri- | Liter- | | # |
| | | | cal | ature | | in text body |
| | Hernia repair | | | | Conflicting evidence as to the | - |
| | TT. C. | | | | volume-outcome relationship. | |
| | Hip fracture | | | | Conflicting evidence as to the volume-outcome relationship. | - |
| | Human | | | | Literature showing relationship is | - |
| | Immunodeficiency Virus | | | | based on data over a decade old. | |
| | (HIV) | | | | Recent advances and changes in | |
| | | | | | treatment call into question the | |
| | | | | | validity of those results in the year | |
| | | | | | 2000. | |
| | Lower extremity arterial | | | | Small number of recent studies, 2 | - |
| | bypass surgery | | | | out of 3 showed no volume effect. | |
| | Pancreatic resection | | X | X | Though infrequent, volume | 5 |
| | | | | | relationship to outcome is very | |
| | | | | | strong. | |
| | Pediatric heart surgery | | X | X | Pediatrics is a focus area for new | 6 |
| | | | | | HCUP indicators, strong volume- | |
| | | | | | outcome relationship | |
| | Prostatectomy | | | | Conflicting evidence as to the | - |
| | | | | | volume-outcome relationship. | |
| | Percutaneous | | X | X | Frequent, CABG alternative, | 7 |
| | Transluminal Coronary | | | | documented relationship with | |
| | Angioplasty (PTCA) | | | | volume | |
| | Total knee replacement | | | | Few recent studies. | - |
| | Total hip replacement | | | | Conflicting evidence as to the | - |
| | | | | | volume-outcome relationship. | |

Process Measures, provider level:
Potentially overused:

Potentially overused Cesarean sections:

| Primary cesarean section | National Center for Healthcare Statistics | Combined in | n all cesarean section |
|--------------------------|--|-------------|------------------------|
| Repeat cesarean section | University Hospital Consortium | | |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|-----------------------------------|---|----------------------------------|-------|---|---------------------|
| | | | cal | ature | | in tex body |
| Surgical | All cesarean section | Cleveland Health Quality Choice Colorado Health and Hospital, proposed Florida Agency for Health Care Administration Greater New York Hospital Association HCUP HealthGrades.com IMSystem Maryland Quality Indicator Project Michigan Hospital Association Pacific Business Group on Health United Health Care University Hospital Consortium Virginia Health Information Washington State Community Health Information Partnership Submitted as ORYX measure | X | X | Current HCUP indicator | 8 |
| Surgical: | Incidental appendectomy | • HCUP | X | X | Current HCUP | 9 |
| | Bilateral cardiac catheterization | • HCFA | X | X | Common procedures with clear indications and overuse documentation. | 10 |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Evid Developers Rev | | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|--------------------|--|----------------|-----------------|--|----------------|
| | | | Empiri- cal | Liter- ature | | # in text body |
| | Radical mastectomy | Literature, NIH consensus development conference | p | | Many mastectomy or lumpectomy procedures are performed on an outpatient basis. | - |

Potentially underused:

Vaginal delivery after cesarean section (VBAC):

| Vaginal Birth After C- | Cleveland Health | X | Х | VBAC is a current HCUP measure | 11 |
|------------------------|---|---|----|------------------------------------|----|
| section (VBAC) | Quality Choice | | 11 | , 2110 is a current 11001 incusure | |
| | HealthGrades.com | | | | |
| | • HCUP | | | | |
| | • IMSystem | | | | |
| | JCAHO core measure | | | | |
| | Maryland QI Project | | | | |
| | Michigan Hospital | | | | |
| | Association | | | | |
| | University Hospital | | | | |
| | Consortium | | | | |
| | Submitted as ORYX | | | | |
| | measure | | | | |
| Laparoscopic | • HCUP | X | X | Current HCUP with extensive | 12 |
| cholecystectomy | Submitted as ORYX | | | literature | |
| | measure | | | | |

Process Measures, area level:

Potentially overused:

Surgical:

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revi Empiri- cal | | Reason for selection or exclusion | Indi- cator # in text body |
|-------------------------------------|---|---|---------------------------------|---|---|--|
| | Carotid endarterectomy | • Literature (eg., Chassin, Leape, Wong) | p | | Common procedure, with evidence of potential overuse. Low precision using HCUP NIS data. | - |
| | Cataract surgery | • Literature (Tobacman) | | | Potentially outpatient procedure | - |
| | Cholecystectomy | Literature (Pilpel) | | | Potentially outpatient procedure | - |
| | Colonoscopy | Literature (Froehlich) | | | Outpatient procedure | - |
| | Coronary angiography (includes diagnostic testing for coronary artery disease) | Literature (eg., Bernstein, Carlisle Chassin, Gray, Leape) | | | Outpatient procedure | - |
| | Coronary artery bypass graft (CABG) | HCUP Submitted as ORYX measure Literature (Gray, Leape, McGlynn) | х | X | Current HCUP | 13 |
| | Hysterectomy | HCUP Submitted as ORYX measure Literature (Bernstein, Broder) | х | X | Current HCUP | 14 |
| | Joint replacement (hip and knee) | Literature (Quintana, Van Walraven) | | | Though high variability of procedure makes indicator potentially precise, inappropriateness rates not studied extensively due to inherent subjectivity of indication for procedure. | - |
| | Laminectomy and/or spinal fusion | HCUP Submitted as ORYX measure Literature (Larequi-Lauber, Porchet) | х | X | Current HCUP | 15 |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evido Revi | | Reason for selection or exclusion | Indi- cator |
|--|--|---|----------------|-----------------|---|----------------|
| | | • | Empiri- cal | Liter- ature | | # in tex body |
| | Transurethral resection of the prostate (TURP) | HCUP Submitted as ORYX measure | p | | Current HCUP, low precision | - |
| | Radical prostatectomy (all and over 75 years) | HCUP Submitted as ORYX measure | p | | Current HCUP, low precision | - |
| | PTCA | • Literature (Bernstein, Leape, Ziskind) | Х | X | Common procedure, high area variation, and evidence of misuse. | 16 |
| | Sinus surgery | • Literature (Piccirillo) | | | Potentially outpatient procedure | - |
| | Upper GI tract endoscopy | • Literature (Chassin, Leape) | | | Outpatient procedure | - |
| Length of stay (LOS): Medical Examples: | | | | | Since LOS is usually used as a resource measure and <u>not</u> typically as a quality indicator, we did not include any of the LOS indicators in our recommendations. | |
| | Chemotherapy | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| | GI Hemorrhage | Used in literature as a resource measure | | | Not typically a quality indicator | - |
| | Heart failure and shock | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| | HIV related admissions | University Hospital Consortium | | | Not typically a quality indicator | - |
| | Pneumonia | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | | ence ew* Liter- ature | Reason for selection or exclusion | Indi- cator # in text |
|-------------------------------------|---|---|-----|--------------------------------|-----------------------------------|--------------------------------|
| | Rehabilitation | University Hospital Consortium Submitted as ORYX measure | cal | aturo | Not typically a quality indicator | body - |
| | Stroke | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| Surgery Examples: | | | | | | • |
| • | Bone and joint procedures | University Hospital | | | Not typically a quality indicator | - |
| | Coronary procedures | Consortium | | | Not typically a quality indicator | _ |
| | Circulation disorders with catheterization | Submitted as ORYX measure | | | Not typically a quality indicator | - |
| | Craniotomy | | | | Not typically a quality indicator | - |
| | Hip replacement surgery | | | | Not typically a quality indicator | - |
| | Percutaneous transluminal coronary angioplasty (PTCA) | | | | Not typically a quality indicator | - |
| Obstetric: | (1 1 0 1 1) | | | II. | | |
| | Cesarean section with complications | University Hospital Consortium | | | Not typically a quality indicator | - |
| | Cesarean section without complications | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| | Vaginal delivery with complications | University Hospital Consortium | | | Not typically a quality indicator | - |
| | Vaginal delivery without complications | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |

Neonatal:

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revi | | Reason for selection or exclusion | Indi- cator # |
|--|---|--|----------------|-----------------|---|---------------------|
| | | | Empiri- cal | Liter- ature | | in text body |
| | Normal neonatal | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| | Neonatal with | University Hospital | | | Not typically a quality indicator | - |
| Pediatric: | complication | Consortium | | | | |
| | Pediatric asthma/bronchitis | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| Psychiatric: | Psychoses | University Hospital Consortium Submitted as ORYX measure | | | Not typically a quality indicator | - |
| Proxy-Outcome measures: Conditional length of stay: | | | | | | |
| | All discharges, length of stay | HQI ValiData | | | A new indicator in development, will be considered in complications module. | - |
| Outcomes measures: Ambulatory care sen Medical: | sitive conditions/Avoidable l | nospitalizations: | | | | |
| | AMI | Literature (Begley) | | | Not included in most commonly used ACSC indicator sets. | - |
| | Cellulitis (or abscess, or other soft tissue infection, or lymphadenitis) | Billings (United Hospital Fund) Weissman Literature (Silver) | p | | Low precision using HCUP NIS data set | - |
| | Congenital syphilis | Billings (UHF) | | | Infrequent | - |
| | Dehydration/volume depletion | Billings (UHF) | X | X | Measured with adequate precision. | 17 |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|--|--|---------------------------------|-------|--|----------------|
| | | | cal | ature | | in tex |
| | Delivery, high | • Literature (Laditka, | | | Possibly need detailed clinical | - |
| | risk/complicated | Parchman) | | | data. | |
| | Dental conditions | Billings (UHF) | | | Infrequent | - |
| | Gangrene | Weissman | | | Infrequent | - |
| | Gastroenteritis | Billings (UHF)Literature (Silver) | p | | Low precision for adults. Evaluated as a pediatric measure. | - |
| | Hypoglycemia | Billings | p | | Low precision. | |
| | Hypokalemia | • Weissman | | | Infrequent, outside of eating disorder treatment centers | - |
| | Immunization preventable diseases (includes pneumonia and influenza in elderly) | Billings (UHF)HCUPWeissman | p | | Current HCUP Indicator. Low precision, combined with Pneumonia avoidable hospitalization indicator | - |
| | Malnutrition | • Billings (UHF) | | | Infrequent, outside of eating disorder treatment centers | - |
| | Pelvic inflammatory disease | Billings (UHF) | | | Infrequent | - |
| | Pneumonia (defs may include bacterial pneumonia, pleurisy, bronchitis, bronchiolotis, pharyngitis, and sinsusitis) | • Weissman | X | X | Common admission, measured with adequate precision. | 18 |
| | Pyelonephritis/ Urinary Tract Infection | UK National Health Service High Level Performance Indicators Weissman | х | x | Measured with adequate precision | 19 |
| | Ruptured/perforated appendix | HCUP Weissman | X | X | Current HCUP QI, measured with adequate precision. | 20 |
| | Severe ear, nose and throat infections | Billings (UHF) | p | | Low precision using HCUP NIS data set | - |
| | Skin graft with cellulitis | • Billings | p | | Low precision using HCUP NIS data set | |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evido Revio | | | Indi- cator |
|-------------------------------------|---|--|----------------|-----------------|--|----------------|
| | | | Empiri- cal | Liter- ature | | # in text body |
| | Stroke (def may specify with hypertension) | Literature (Begley, Djojonegoro) | | | Not included in most commonly used ACSC indicator sets. | - |
| | Tuberculosis (may include other respiratory infections) | Billings (UHF) Literature (Rohrer) | | | Infrequent | - |
| Chronic: | | 1 | 1 | T | | 1 |
| | Angina | BillingsLiterature (Rohrer) | X | X | Common admission, measured with adequate precision. | 21 |
| | Anemia (includes iron deficiency anemia) | BillingsLiterature (Silver) | | | | |
| | Asthma | Billings (UHF) UK National Health Service High Level Performance Indicators HP 2010 Weissman | X | X | A current HCUP QI as a pediatric measure. | 22 |
| | Asthma and bronchitis | Literature (Rohrer) | | | Evaluated asthma without bronchitis. | - |
| | Cancer, breast (female) | Literature (Shukla) | | | Not included in most sets of ACSC indicators. | - |
| | Cancer, cervical (invasive) | Literature (Shukla) | | | Not included in most sets of ACSC indicators. | - |
| | Cerebrovascular disease among non-elderly adults | • HCUP | p | | Current HCUP QI, low precision using HCUP NIS data set. | - |
| | Chronic obstructive pulmonary disease (COPD) | Billings (UHF)Colorado Health and Hospital, proposed | х | X | Frequent cause of admission, guidelines for ambulatory management, differences in practice patterns. | 23 |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revi Empiri- cal | | Reason for selection or exclusion | Indi- cator # in tex body |
|-------------------------------------|---|--|---------------------------------|---|--|---------------------------------------|
| | Congestive heart failure (CHF; may include CHF and pulmonary edema or shock) | Billings (UHF) DEMPAQ (CHF complications) UK National Health Service High Level Performance Indicators Weissman Literature (Rohrer) | X | X | Common chronic condition with relatively frequent hospitalizations | 24 |
| | Diabetes, all | Literature (Connell) | | | | |
| | Diabetes (short-term complications or A,B,C) | Billings (UHF) DEMPAQ HCUP UK National Health Service High Level Performance Indicators (single diabetes measure) Weissman | X | х | Current HCUP QI with complications | 25 |
| | Diabetes, uncontrolled | HP 2010 Literature (Bierman, Shukla) | Х | х | Addendum indicator for use with diabetes short-term complications to make definition consistent with HP2010. | 26 |
| | Diabetes (long-term complications) | HCUP UK National Health Service High Level Performance Indicators (single diabetes measure) Literature (Begley) | x | X | Current HCUP QI with complications | 27 |
| | Diabetic ketoacidosis (DKA) | DEMPAQSagamore HealthWeissman | | | A current HCUP QI as a diabetes complication measure | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evid Revi | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|---|--|----------------|-----------------|--|----------------|
| | | • | Empiri- cal | Liter- ature | | # in text body |
| | Hypertension (includes malignant) | Billings (UHF)Weissman | X | X | Measured with adequate precision. | 28 |
| | Lower extremity amputation | DEMPAQHP 2010 | Х | X | Healthy People 2000 goal, low precision using HCUP NIS data set. | 29 |
| | Perforated/bleeding ulcer | • Weissman | | | Infrequent | - |
| | Rheumatic fever | Literature (Shukla) | | | | |
| | Seizures and convulsions (may include convulsions 'A' & 'B'; epilepsy or grand mal status) | Billings (UHF) UK National Health Service High Level Performance Indicators | p | | Low precision | - |
| Pediatrics: | | | | | | |
| | Failure to thrive | Billings (UHF) | | | Infrequent | - |
| | Immunization preventable diseases (measles, mumps, and/or polio) | Billings South Carolina adaptation of Billings (UHF) Weissman Literature (Shukla) | | | Infrequent | - |
| | Iron deficiency anemia | Billings (UHF) | | | Infrequent | - |
| | Low birthweight | HCUP HEDIS United Health Care University Hospital Consortium Literature (Shukla) | X | х | Current HCUP QI | 30 |
| | Pediatric acute otitis media | South Carolina adaptation of Billings (UHF) Literature (Rohrer) | | | Infrequent (as a primary diagnosis excluding surgery patients) | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evid Revi | | | Indi- cator |
|-------------------------------------|--|--|----------------|-----------------|--|----------------|
| | | | Empiri- cal | Liter- ature | | in text |
| | Pediatric Asthma (may include bronchitis & asthma) | Billings Colorado Health and Hospital, proposed HCUP South Carolina adaptation of Billings (UHF) United Health Care Weissman Literature (Rohrer) | X | X | Frequent cause of admission, guidelines for ambulatory management, differences in practice patterns | 31 |
| | Pediatric burns | • Literature (Gadomski et al.) | | | A good potential injury indicator, which was not our primary focus. | - |
| | Pediatric diabetes | • Literature (Gadomski et al.) | p | | Frequent cause of admission, very low precision | ı |
| | Pediatric gastroenteritis | South Carolina adaptation of Billings (UHF) | X | X | Frequent cause of admission, guidelines for ambulatory management, differences in practice patterns | 32 |
| | Pediatric mastoiditis | Literature | | | Infrequent | - |
| | Pediatric nausea and vomiting | • Literature (Gadomski et al.) | | | Infrequent | - |
| | Pediatric urinary tract infection | South Carolina adaptation of Billings (UHF) | | | Infrequent | - |
| | Pediatric viral meningitis | • Literature (Gadomski et al.) | | | Infrequent | |
| | Pediatric viral syndrome | • Literature (Gadomski et al.) | | | Frequent cause of admission | - |
| | Very low birthweight | HEDISIMSystemHCUP | p | | Current HCUP, low precision using HCUP NIS data set. | - |

Complications:

REVIEW OF COMPLICATIONS IN SEPARATE REPORT

In-hospital Mortality:

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|-------------------|---|---------------------|-----------------|---|----------------|
| | | | Empiri- cal | Liter- ature | | in text |
| | Overall mortality | Collaborative Approach to Resource Effectiveness (CARE) Project Maryland QI Project Literature Submitted as ORYX measure | | | Potentially very biased due to heterogeneous patient population; difficult to determine an appropriate intervention | - |

Medical:

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- cal ature | | Reason for selection or exclusion | Indi- cator # in text |
|-------------------------------------|-----------------------------------|--|---|---------|--|--------------------------------|
| | Acute myocardial infarction (AMI) | California Hospital Outcomes Project Cleveland Health Quality Choice (grouped with cardiovascular measure) Collaborative Approach to Resource Effectiveness (CARE) Project Colorado Health and Hospital, proposed Greater New York Hospital Association HealthGrades.com IMSystem JCAHO core measure Michigan Hospital Association (in aggregated measure) Pennsylvania Health Care Cost Containment Council University Hospital Consortium UK National Health Service High Level Performance Indicators Submitted as ORYX measure | X | ature x | Already a substantial amount of information on hospital performance with AMI, including the Medicare Cooperative Cardiovascular project; other HCUP QI focus on procedures for the treatment of MI (PTCA, CABG). | 33 |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revie | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|---------------------------------------|---|----------------|-----------------|--|----------------|
| Cillical Dollani | | Developers | Empiri- cal | Liter- ature | CACIUSION | # in text body |
| | Angina | Michigan Hospital Association (in aggregated measure) | | | Have indicator for AMI mortality, one of the other conditions covered by the MHA aggregate measure | - |
| | Bone marrow transplant (BMT) | University Hospital Consortium | | | Limited number of providers, infrequent | - |
| | Chronic obstructive pulmonary disease | Literature (Rosenthal et al.) Cleveland Health Quality Choice (grouped with respiratory measure) HealthGrades.com Maryland QI Project Michigan Hospital Association (in aggregated measure) Submitted as ORYX measure | | | A progressive chronic disease; more difficult to evaluate without longitudinal data | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|--------------------------------|---|----------------------------------|-------|--|---------------------|
| | | | cal | ature | | in text body |
| | Congestive heart failure (CHF) | Literature (Rosenthal et al.) Cleveland Health Quality Choice (grouped with cardiovascular measure) HealthGrades.com Greater New York Hospital Association Maryland QI Project Michigan Hospital Association (in aggregated measure) University Hospital Consortium Submitted as ORYX measure | X | X | Substantial literature, some use as quality indicator. Related to other indicators as overall cardiovascular care. | 34 |
| | Adult diabetes | Pennsylvania Health Care Cost Containment Council | | | Very rare cause of death; other indicators (cardiovascular, infections) capture deaths in this population. | - |
| | GI hemorrhage | Literature (Rosenthal et al.) Cleveland Health Quality Choice Maryland QI Project Michigan Hospital Association (in aggregated measure) Outcome measure in literature | X | X | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume). | 35 |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|-------------------------|--|---------------------|-----------------|---|-----------------|
| | | 1 | Empiri- cal | Liter- ature | | in text body |
| | Heart failure and shock | Pennsylvania Health Care Cost Containment Council University Hospital Consortium Submitted as ORYX measure | p | | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume), measured with low precision. | - |
| | Hip fracture | Literature UK National Health Service High Level Performance Indicators | х | X | Common admission in the elderly with relatively high mortality rates. Substantial literature regarding hip fracture mortality. | 36 |
| | HIV | Maryland QI Project | | | Data unavailable. Confounds due to HAART, home IV antibiotics, etc. | - |
| | Lung cancer | Pennsylvania Health Care Cost Containment Council | | | A progressive disease requiring clinical risk adjustment (PHC4 uses clinical risk adjustment) | |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- | | Reason for selection or exclusion | Indi- cator # in text |
|-------------------------------------|---------------------------------|--|---------------------------------|-------|--|--------------------------------|
| | | | cal | ature | | body |
| | Pneumonia | Literature (Rosenthal et al.) California Hospital Outcomes Project Greater New York Hospital Association HealthGrades.com Maryland QI Project Michigan Hospital Association (in aggregated measure) Pennsylvania Health Care Cost Containment Council University Hospital Consortium Submitted as ORYX measure | X | X | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume). | 37 |
| | Pneumonia/hemo thorax mortality | • IMSystem | | | Limited use | - |
| | Renal failure | Maryland Hospital Association Pennsylvania Health Care Cost Containment Council | | | Disease is rarely fatal by itself, associated with other serious complications or comorbidities. | - |
| | Respiratory failure | Maryland Hospital Association | | | Often coded based on physiological state, rather than as a treatable condition. | - |
| | Septicemia | Maryland Hospital Association Pennsylvania Health Care Cost Containment Council | | | Limited evidence located. | - |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revi Empiri- cal | | Reason for selection or exclusion | Indicator # in text body |
|-------------------------------------|----------------------------------|--|---------------------------------|---|---|--------------------------|
| | Stroke | Literature (Rosenthal et al.) Cleveland Health Quality Choice Greater New York Hospital Association HealthGrades.com Maryland QI Project Michigan Hospital Association (in aggregated measure) Submitted as ORYX measure | X | X | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume). | 38 |
| | Trauma | Literature, Rutledge, Hannan | | | Potential need for emergency room data and/or clinical data on injury severity. | - |
| Surgical: | Abdominal aortic aneurysm repair | Literature (volume-outcome) HealthGrades.com Pennsylvania Health Care Cost Containment Council (with major vessel procedures) | x | X | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume). | 39 |
| | Carotid endarterectomy | Literature (volume-outcome) Greater New York Hospital Association Pennsylvania Health Care Cost Containment Council (with vascular operations) | p | | Preliminary exploration of indicator to focus on related aspects of particular condition (i.e., mortality, utilization, volume). Excluded due to low precision. | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|---|---|---------------------|-----------------|--|---------------------|
| | | | Empiri- cal | Liter- ature | | in text body |
| | Circulation disorder with catheterization | University Hospital Consortium | | | In general, avoid non-complication related procedure based mortality measures due to problems of patient selection | - |
| | Cholecystectomy | HCUPSubmitted as ORYX measure | p | | Current HCUP QI, low precision. | - |
| | Coronary artery bypass graft (CABG) | California CABG Mortality Reporting Project Greater New York Hospital Association HealthGrades.com IMSystem Maryland QI Project New Jersey Depart. of Health and Senior Services New York Dept. of Health Pennsylvania Health Care Cost Containment Council University Hospital Consortium Submitted as ORYX measure | x | X | Already widely reported in multiple states. | 40 |
| | Coronary Procedures | University Hospital Consortium | | | In general, avoid non-complication related procedure based mortality measures due to problems of patient selection | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|----------------------|--|---------------------|-----------------|--|---------------------|
| | | | Empiri- cal | Liter- ature | | in text body |
| | Craniotomy | University Hospital Consortium Submitted as ORYX measure | X | х | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume) | 41 |
| | Esophageal resection | Literature (volume- outcome) | X | Х | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume) | 42 |
| | Knee replacement | HCUP Greater New York Hospital Association HealthGrades.com Medicare Quality of care Surveillance System University Hospital Consortium (aggregated with THA) Submitted as ORYX measure | p | | Current HCUP QI. Low precision. | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- cal ature | | Reason for selection or exclusion | Indicator # in text body |
|-------------------------------------|---------------------------|---|--|---|--|--------------------------|
| | Hip replacement | HCUP Greater New York Hospital Association HealthGrades.com Medicare Quality of care Surveillance System Pennsylvania Health Care Cost Containment Council (with hip operations) University Hospital Consortium (aggregated with TKA) Submitted as ORYX measure | x | x | Current HCUP QI | 43 |
| | Hysterectomy | HCUP Medicare Quality of care Surveillance System Submitted as ORYX measure | p | | Current HCUP QI. Low precision. | - |
| | Laminectomy/spinal fusion | HCUP HealthGrades.com Medicare Quality of care Surveillance System Submitted as ORYX measure | p | | Current HCUP QI. Low precision. | - |
| | Orthopedic surgery | University Hospital Consortium Submitted as ORYX measure | | | In general, avoid non-complication related procedure based mortality measures due to problems of patient selection | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evidence Review* Empiri- Liter- cal ature | | Reason for selection or exclusion | Indicator # in text body |
|-------------------------------------|---|--|--|---|--|--------------------------|
| | Pancreatic resection | Literature (volume- outcome) | X | Х | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume) | 44 |
| | Perioperative mortality | Collaborative Approach to Resource Effectiveness (CARE) Project IMSystem Maryland QI Project University Hospital Consortium Michigan Hospital Association (with specified procedures) Submitted as ORYX measure | | | Potentially very biased due to heterogeneous patient population; difficult to determine an appropriate intervention | - |
| | Percutaneous transluminal coronary angioplasty (PTCA) | IM System Greater New York Hospital Association HealthGrades.com New York Dept. of Health University Hospital Consortium Submitted as ORYX measure | p | | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume) Low precision. | - |

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| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | | Evidence Review* | | Reason for selection or exclusion | Indi- cator |
|-------------------------------------|--|---|---|---------------------|-----------------|--|-----------------|
| | | | | Empiri- cal | Liter- ature | | in text body |
| | Prostatectomy | Literature (al.) Medicare Care Survei System Submitted a measure | Quality of llance | | | In general, avoid non-complication related procedure based mortality measures due to problems of patient selection | - |
| | Transurethral resection of prostate (TURP) | HCUPSubmitted a measure | as ORYX | p | X | Current HCUP QI. Low precision. | - |
| Pediatric: | | measure | | | <u> </u> | | <u> </u> |
| | Overall pediatric mortality | University Consortium | | | | Potentially very biased due to heterogeneous patient population; difficult to determine an appropriate intervention | - |
| | Neonatal mortality | Collaborati Approach t Resource Effectivene (CARE) Pr Maryland (University Consortium Submitted a measure | o ess oject QI Project Hospital | | | Potentially very biased due to heterogeneous patient population; difficult to determine an appropriate intervention | - |
| | Pediatric heart surgery | • Literature (outcome) | volume- | х | X | Indicator selected to focus on related aspects of particular condition (i.e., mortality, utilization, volume) | 45 |
| Psychiatric: | <u> </u> | | | L | 1 | The state of the s | 1 |
| - | Depression | • RAND, JC. | АНО | | | Infrequent in acute care setting | - |
| Other measures: | No. 1 and O d | D/C | | T | T | D:00: 100: 100: 100 | 1 |
| | Newborn Outcomes | • IMSystem | | | | Difficult to implement without longitudinal data | _ |

^{*}An "x" placed in the empirical or literature evidence review column denotes that the review was conducted; a "p" denotes that preliminary analyses were conducted, but that the indicators' performance was poor on statistical tests of precision.

| Measure Type and Clinical Domain | Indicator Name | Current Users or Developers | Evide Revie | | Reason for selection or exclusion | Indi- cator # |
|-------------------------------------|----------------|--------------------------------|----------------|-----------------|-----------------------------------|---------------------|
| | | | Empiri- cal | Liter- ature | | in text body |
| Public | | | | | | |

Public Health Measures:

| Admission for AMI | Colorado Health and Hospital, proposed | Included in previously considered indicators | - |
|------------------------------|---|--|---|
| Adolescent mothers | Colorado Health and Hospital, proposed | These indicators generally are underreported, and have less connection with the health care system | - |
| Child abuse | Colorado Health and Hospital, proposed | These indicators generally are underreported, and have less connection with the health care system | - |
| External injury (firearms) | Colorado Health and Hospital, proposed | These indicators generally are underreported, and have less connection with the health care system | - |
| Motor vehicle accident (MVA) | Colorado Health and Hospital, proposed | These indicators generally are underreported, and have less connection with the health care system | - |
| Substance abuse | Colorado Health and Hospital, proposed | Difficult to determine from discharge data true cases, infrequent in acute care setting | - |
| Stroke/TIA | Colorado Health and Hospital, proposed | Included in previously considered indicators | - |
| Suicide attempt | Colorado Health and Hospital, proposed | Concern about reporting bias. | - |

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