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# Title

Sensors show long-term dis-adoption of purchased improved cookstoves in rural India, while surveys miss it entirely

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# **Authors**

Hing, Samantha Gadgil, Ashok

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1	Sensors show long-term dis-adoption of purchased improved cookstoves in
2	rural India, while surveys miss it entirely
3	Samantha Hing <sup>a,b</sup> , Ashok Gadgil <sup>a,b</sup>
4	
5	<sup>a</sup> Department of Civil and Environmental Engineering, University of California Berkeley,
6	Berkeley, California 94720, United States
7	<sup>b</sup> Environmental Technologies Area, Lawrence Berkeley National Laboratory, Berkeley,
8	California 94720, United States
9	
10	Key terms: sensor, survey, stove usage, dis-adoption, purchased cookstove, stove use monitors
11	
12	Abstract
13	User surveys alone do not accurately measure the actual use of improved cookstoves in
14	the field. We present the results of comparing survey-reported and sensor-recorded cooking
15	events, or durations of use, of improved cookstoves in two monitoring studies, in rural
16	Maharashtra, India. The first was a free trial of the Berkeley-India Stove (BIS) provided to 159
17	households where we monitored cookstove usage for an average of 10 days (SD=4.5) (termed
18	"free-trial study"). In the second study, we monitored 91 households' usage of the BIS for an
19	average of 468 days (SD=153) after they purchased it at a subsidized price of about one third of
20	the households' monthly income (termed "post-purchase study"). The studies lasted from
21	February 2019 to March 2021. We found that 34% of households (n=88) over-reported BIS
22	usage in the free-trial study and 46% and 28% of households over-reported BIS usage in the first
23	(n=75) and second (n=69) surveys of the post-purchase study, respectively. The average over-

24 reporting in both studies decreased when households were asked about their usage in a binary 25 question format, but this method provided less granularity. Notably, in the post-purchase study, 26 sensors showed that most households dis-adopted the cookstove even though they purchased it 27 with their own money. Surveys failed to detect the long-term declining trend in cookstove usage. 28 In fact, surveys indicated that cookstoves' adoption remained unchanged during the study. 29 Households tended to report nominal responses for use such as 0, 7, or 14 cooking events per 30 week (corresponding to 0, 1, or 2 times per day), indicating the difficulty of recalling exact days 31 of use in a week. Additionally, we found that surveys may also provide misleading qualitative 32 findings on user-reported cookstove benefits without the support of sensor data, causing us to 33 overestimate impact. Some households with zero sensor-recorded usage reported cookstove fuel 34 savings, quick cooking, and less smoke. These findings suggest that surveys may be unreliable or 35 insufficient to provide solid foundational data for subsidies based on the ability of a stove to 36 reduce damage to health or reduce emissions in real-world implementations.

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### 1. Introduction and background

41 Three billion people worldwide rely on biomass to meet household energy needs and 42 prepare their daily meals (Stoner et al. 2021). A vast majority burn solid biomass fuels (e.g., 43 coal, wood, dung, crop residues) using fires or inefficient cookstoves, which drives an 44 unsustainable dependence on sources of woody biomass and produces extreme levels of 45 pollutants that affect climate and human health. Women are disproportionately affected as they 46 predominantly bear the burden of cooking and collecting fuelwood (Smith et al., 2014). 47 Exposure to indoor solid fuel combustion is the world's deadliest environmental health threat, 48 responsible for 3-4 million premature deaths per year (Forouzanfar et al. 2016). In India alone, 49 760 million people use solid fuels, and half a million premature deaths occur each year from 50 exposure to indoor solid fuel combustion (Balakrishnan et al., 2019). In the state of Maharashtra, 51 two-thirds of the rural population (about 10 million households) (Government of India 2012; 52 International Institute for Population Sciences, 2015) use fuelwood for cooking, with 24% of 53 collected fuelwood unsustainably harvested (Bailis et al., 2015). 54 Efforts to address this global issue often consist of introducing energy-efficient biomass 55 cookstoves, termed "improved cookstoves", and healthier fuels, such as liquid petroleum gas 56 (LPG). While improved cookstoves offer many benefits, impact is only realized if the stoves are

(Gould and Urpelainen, 2018; Pillarisetti et al., 2014), plagued with problems of inadequate
improved cookstove performance in the field, the stove design requiring burdensome behavior

regularly used. Improved cookstove programs have failed to reach desired levels of adoption

changes for the user, and missteps in program implementation and organization (Khandelwal etal., 2017).

62 Moreover, cookstoves programs often use unreliable and short-term methods to measure 63 impact. Existing methodologies (Gold Standard, n.d.; UN, 2021) used to verify carbon emission 64 reductions from cookstoves projects on the carbon offset market do not require emissions testing 65 or usage monitoring which may result in inaccurate estimations (Johnson, Edwards, and Masera 66 2010; Freeman and Zerriffi 2014; Sanford and Burney 2015). The minimum requirement for 67 verification in carbon offset methodologies (Gold Standard, n.d.; UN 2021) is to collect survey 68 data on cookstove usage, allowing projects to claim up to 75% of continuous usage, potentially 69 over-reporting emissions reductions significantly. 70 Previous studies have shown that it is critical to measure cookstove usage via sensors, also known as stove use monitors, as traditional methods of interviews can inaccurately represent 71 72 actual usage because households commonly over-report their usage (Thomas et al. 2013; Daniel 73 L. Wilson et al. 2016; 2018; Ramanathan et al. 2017). Over-reporting of intervention usage via 74 surveys has also been shown for other interventions, such as water treatment (Thomas et al., 75 2013). Surveys can provide critical qualitative information such as user design preferences, 76 household information, and insights into usage (Stanistreet et al., 2015), but they can fail to 77 accurately measure quantitative patterns, especially over long periods. In contrast, sensors 78 provide reliable, quantitative data of users' actual usage and can eliminate the different biases 79 associated with interviews (e.g., recall bias, courtesy bias, and the Hawthorne effect) (Thomas et 80 al., 2013; Wilson et al., 2016; Simons et al., 2017). While some studies have found better 81 agreement between survey-reported and sensor-recorded usage, potentially due to survey 82 question format, survey data provided much lower granularity (Ruiz-Mercado, 2011; Piedrahita 83 et al., 2016).

84	Despite previous mixed methods studies' findings, surveys are still widely used as a
85	method to measure cookstove usage. In a systematic review examining the factors that influence
86	cookstove adoption in 32 improved cookstoves studies, none of the studies used sensors (Lewis
87	and Pattanayak, 2012). In another review assessing the effects of behavior change strategies on
88	cookstove adoption in studies published from spring 2013 to summer 2020, only four out of the
89	40 studies measured adoption with sensors (Furszyfer Del Rio et al. 2020). Similarly, another
90	review also examined behavior change strategies used in cookstove adoption studies, in which
91	five out of the 18 studies used sensors (Lindgren 2020).
92	Among the previous studies that have monitored usage with sensors, most are for
93	durations shorter than 2 months (Burwen and Levine, 2012; Brant et al., 2012; Thomas et al.,
94	2013; Hankey et al., 2015; Lozier et al., 2016; Wilson et al., 2016, 2018; Ventrella and
95	MacCarty, 2019). To our knowledge, only a few studies report results from continuously
96	monitoring usage for at least 6 months (Pillarisetti et al., 2019; Simons et al., 2017; Ramanathan
97	et al. 2017) and beyond that, only three studies that continuously monitored usage for at least 1
98	year (Carrión et al., 2020; Pillarisetti et al., 2014; Piedrahita et al., 2016). Of these longer studies,
99	Pillarisetti et al. (2014) and Carrion et al. (2020) found a decline in improved cookstove use via
100	sensors over the course of the study, although they did not present analyses comparing survey-
101	reported and sensor-recorded usage. Piedrahita et al. (2016) found as small as 2.4-6.8%
102	discrepancies between aggregated survey-reported and sensor-recorded usage; however, they
103	found temporal survey and sensor data agreement to decrease throughout the study. Owing to the
104	urgency of identifying effective actions on climate change, there is an urgent need for more long-
105	term continuous monitoring studies. Studies that use short-term or unreliable methods to measure

106	usage may be failing to capture dis-adoption (also called disadoption or discontinuance in some
107	literature (Ruiz-Mercado et al. 2011; Carrión et al. 2020; Alem, Hassen, and Köhlin 2014)).
108	This paper summarizes the results of comparing survey-reported and sensor-recorded use
109	from two improved cookstoves monitoring studies in Maharashtra, India between February 2019
110	and March 2021. The first was a free trial of the Berkeley-India Stove (BIS) provided to 159
111	households where we monitored cookstove usage for an average of 10 days (SD=4.5) (termed
112	"free-trial study"). The second was a study where we monitored 91 households' usage of the BIS
113	for an average of 468 days (SD=153) after they purchased it at a subsidized price of about one
114	third of the households' monthly income (termed "post-purchase study").
115	Unlike prior works, we provide meaningful insight into the behavior of users who
116	purchased cookstoves at a significant price relative to their monthly income. Ramanathan et al.
117	2017 presents a climate credit-incentived study in which they measured the use of purchased
118	improved cookstoves over a 9-month period; however, women took out loans to purchase the
119	cookstove and 80% said they purchased it because of the promised climate credit payments. To
120	our knowledge, there is only one prior study in the published peer-reviewed literature on
121	extended continuous cookstove-sensor monitoring duration beyond 1 year (Piedrahita et al.
122	2016) that compares sensor- and survey-recorded usage; however, it studied the stacking of
123	stoves, and the stoves were given free. We demonstrate the inaccuracy of using surveys alone to
124	measure cookstoves' usage over time and highlight the importance of using sensors to accurately
125	measure usage over a long-term period. In this paper, we define dis-adoption as the disuse of the
126	improved cookstove, like Carrión et al. 2020. We do not provide a quantitative definition as dis-
127	adoption is a complex process. We observe that dis-adoption can be intermittent; there might be
128	periods of dis-adoption followed by periods of use. A detailed longitudinal analysis of the

patterns of cookstove dis-adoption, as well as exploring potential reasons for dis-adoption using survey responses, will be presented in an upcoming paper. This paper does not speculate on the causes of dis-adoption, nor does it analyze reasons for why the surveys were unreliable. To our knowledge, there is no prior published study on measured adoption and use of purchased improved biomass cookstoves without the use of climate credit incentivization.

### 134 **2. Design and Methods**

### 135 2.1 Study Design

All fieldwork interactions with the study participants were in compliance with the University of California, Berkeley's Institutional Review Board approval (CPHS # 2017-07-10101). For all surveys (Section 2.5), we interviewed the female primary cook (above age 18) of each household. For stove-use monitoring (Section 2.6), participants were told that we would be "gathering data from a small temperature sensor in the new cookstove" but were not explicitly told that we would compare survey responses to measured temperature data. The study design consisted of three main parts: 1) public informational meetings about

143 the BIS (see Section 2.2) in villages, 2) the free-trial study, and 3) the post-purchase study. We 144 held open public meetings where we presented the BIS to all attendees in the NGO-selected 145 villages. We offered a free, no-obligation, 1-week trial to use the cookstove. At the end of the 146 trial, households had the option to return the cookstove and purchase a new identical cookstove 147 at a subsidized price. The decision to not give the cookstoves away for free, which is typically 148 done in most cookstoves projects, was based on two main reasons: 1) to demonstrate a 149 sustainable business model for future scaled implementation; and 2) it has been shown that when 150 cookstoves are given for free, it can impact the user's perception of the cookstove's value 151 (Barnes, Kumar, and Openshaw 2012). However, interviews revealed that households could not

afford the BIS at full price (23 USD including transportation, packaging, and labor), as they had
a median monthly household income of 2,500 INR (approximately 36 USD). We sold the
cookstoves at about a 50% subsidized price (800 INR, 11 USD) on an interest-free 3- to 6-month
installment plan, depending on the household.

### 156 **2.2 Improved Cookstove**

157 The BIS (shown in Figure 1A and 1B) was derived from the Berkeley-Darfur Stove 158 (BDS), which was invented by researchers at Lawrence Berkeley National Laboratory (LBNL) 159 and UC Berkeley in 2005 and was initially designed for use in Darfur during a humanitarian 160 crisis where women faced hardship and danger from fuelwood collection (Amrose et al. 2008). 161 The BDS has been shown to reduce fuelwood usage in laboratory-based experiments by 162 approximately 35% and particulate matter measuring 2.5 microns or less (PM<sub>2.5</sub>) emissions by 163 approximately 50% compared to a three-stone fire (Jetter et al. 2012; Preble et al. 2014) which is 164 the baseline stove in Darfur. Field tests showed that the BDS demonstrated 50% fuelwood 165 savings compared to the three-stone fire (Galitsky et al. 2006). We hypothesized that, based on 166 the substantial fuelwood savings the BDS provided, that a stove based on this design would 167 likely reduce the burden and hardship of the women in rural Maharashtra, where fuelwood use 168 for cooking is widespread. Leveraging existing partnerships between the Gadgil Lab and 169 organizations in Maharashtra, we aimed to adapt the cookstove design-based on user-feedback 170 and cultural appropriateness-for cooks in rural Maharashtra who were using fuelwood. 171 In June and July 2018, the LOLT and IITB CTARA research teams participated in an iterative 172 design adjustment to develop the BIS design based on user-feedback and cultural 173 appropriateness. LOLT and IITB CTARA were the public-facing part of the design adjustment to 174 get feedback from users and focus groups. UC Berkeley and the manufacturers (Shri Hari

175 Industries) undertook the technical modifications according to feasibility and cost. The iterative 176 process included three main steps: 1) usage of the cookstove via 5- to 10-day trial periods 177 (n=30); 2) user feedback consisting of 1-on-1 interviews (n=30) and focus group discussions (six 178 groups); and 3) minor design changes. Throughout this design adjustment process, we 179 recognized the importance of adjusting the cookstove design to local cooking practices 180 (Khandelwal et al. 2017) and we paid particular attention to stove features shown to be valued by 181 users (Thacker, Barger, and Mattson 2014; Mukhopadhyay et al. 2012). Our goal was to identify 182 minor design changes that fit the following criteria: 1) met user preferences based on their local 183 cooking practices; 2) were feasible to complete, both economically and within a specific 184 timeframe; and 3) did not reduce the stoves' energy efficiency. See SI (S1.1) for more details.



Figure 1A (Left): Side view of BIS with Geocene sensor, the white box, attached to outer wall;
Figure 1B (Right): Top view of BIS showing a steel tube (shown by the yellow arrow) holding
the thermocouple touching the firebox wall.

189 **2.3 Study Site** 

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Both the free-trial and the post-purchase studies took place in the Raigad and Thane

- 191 Districts of Maharashtra, India, about 60 km east and 90 km northeast, respectively, of Mumbai,
- between February 2019 to March 2021. We worked in collaboration with the Centre for
- 193 Technology Alternatives for Rural Areas at the Indian Institute of Technology, Bombay (IITB

194 CTARA), and the local NGO, Light of Life Trust (LOLT) near the villages in the study. The 195 districts were identified based on where IITB CTARA and LOLT had existing presences in 196 lower income, rural communities that had reported local fuelwood scarcity and poor LPG fuel 197 access. Study participants in both studies lived in 17 villages in Raigad District and 3 villages in 198 Thane District; in both districts, the study villages were within approximately 30 km of their 199 nearest neighboring village. A timeline of the work presented in this paper can be found in the SI 200 (S2).

We observed an average fuelwood collection trip of 3.3 h in time (n=3), 3.5 km in distance (n=3), and woodpile weights (n=14) of  $33 \pm 5.4$  kg carried on women's heads (shown in Figure 2). Women (n=40) reported making fuelwood collection trips like this at least once per day in the non-rainy season (October – May). We hypothesized that an improved biomass cookstove with high fuel-efficiency, such as the BIS would be beneficial to villages with these characteristics.



208

Figure 2: Women carrying fuelwood on their heads during a fuelwood collection trip near 209 Raigad District, Maharashtra, March 2019.

210

### 211 **2.4 Study Participants**

212 In our two studies (free-trial and post-purchase), 159 households participated in the free-213 trial study, with 48 of these households purchasing the cookstoves and participating in the post-214 purchase study. An additional 43 households that did not participate in the free-trial study 215 wanted to purchase the cookstoves, having heard of the cookstoves via word of mouth, and 216 participated in the post-purchase study. The total number of households in the post-purchase 217 study was 91. Separately, some households purchased the cookstoves, but we did not monitor 218 them owing to limitation on number of sensors. See SI (S1.2) for more details.

### 219 **2.5 Survey Collection**

220 As mentioned above, we monitored 159 households' (that participated in the free-trial 221 study) cookstove usage with the sensors. However, our research team was only able to collect 222 survey-reported quantitative use for 88 of those 159 households at the end of the free 1-week 223 trials. We have binary-use survey reports for 120 of those 159 households (see Section 3.1.1). 224 For the post-purchase study, the research team interviewed all 91 households for baseline 225 information at the time of the purchase of the stove. There were two more follow-up surveys 226 conducted throughout the study: Follow-up 1 (n=75) at 3-5 months and Follow-up 2 (n=69) at 227 about 1 year after purchase, depending on the household, as the households purchased their 228 cookstoves at different dates. Survey questions consisted of household attributes, household 229 members' occupations and education levels, fuelwood collection, BIS usage, and BIS advantages 230 and disadvantages. Again, for all surveys, we interviewed the female primary cooks (above age 231 18) of each household. Survey questions on BIS usage were derived from methods used in 232 Wilson et al. 2016 and Ruiz-Mercado 2011. Additionally, we worked with IITB CTARA, LOLT,

233 and another local organization, Neerman, to develop the surveys, translate them (to the local 234 language, Marathi), pre-test them, and make sure they were interpretable by survey respondents. 235 There were 51 households in the post-purchase study that were interviewed in both follow-up 236 surveys. Due to the remoteness of the villages, it presented challenges in reaching all households 237 for each follow-up survey. We faced road closures due to monsoons and household members 238 were often not home. Additionally, due to the COVID-19 Pandemic beginning in March 2020, 239 we had to reduce the number of follow-up surveys initially planned and were unable to reach 240 some households for second follow-up surveys.

### 241 **2.6 Stove Use Monitoring**

242 We used temperature data loggers, Geocene Dot sensors (Wilson, Williams, and 243 Pillarisetti, 2020), to measure BIS usage quantitatively for both the free-trial study and the post-244 purchase study. We were unable to extensively measure concurrent traditional or baseline 245 cookstove usage due to the limited number of sensors. The sensors (the white boxes shown in 246 Figure 1A) were attached to the outer wall of the cookstoves. The sensors have a thermocouple 247 which touched the inner firebox of the cookstove, shown in Figure 1B, and recorded the 248 temperature of the inside firebox every 5 minutes. The temperature of the cookstove firebox is a 249 well-established proxy for usage (Ruiz-Mercado, 2011). The sensor boxes and thermocouples 250 were bolted to the cookstove wall and firebox, respectively, making them very stable and 251 difficult to remove. We found all retrieved sensor boxes and thermocouples still bolted to 252 cookstove at the time of sensor collection. We found some sensors (<5) damaged, in which case 253 we did not use these data in our analyses.

For the free-trial study (n=159), the mean monitoring period was 10 days (SD=4.5), and the median was 9 days. There was variation in the lengths of the monitoring periods due to the

256 ability of the research team to reach villages to collect the cookstoves. For the post-purchase 257 study (n=91), the mean monitoring period was 468 days (SD=153 days), and the median 258 monitoring period was 518 days. Households' cookstoves were also monitored for different 259 lengths of time because households had different purchase dates and different sensor retrieval 260 dates. Sensor retrieval and data collection were difficult due to unexpected challenges with 261 fieldwork; some households moved during the study period, and the COVID-19 Pandemic began 262 in the middle of the study. About 25% of sensors remain in the field, either lost or unable to be 263 retrieved. These households may have a shorter monitoring period compared to other 264 households, which presents a nonrandom bias in data loss, since most of the lost sensors are from 265 the Thane District.

Approximately 13 million data points were collected during the post-purchase study, which represents about 48,000 stove-days. We used the "FireFinder" algorithm presented in Wilson, Williams, and Pillarisetti 2020 to identify periods of "cooking" based on the temperature sensor data. One "cooking event" is defined as having a minimum period of 10 minutes and separated by more than 10 minutes between adjacent cooking events. These parameters were determined based on pre-study field observations and interviews on cooking practices.

272

273 **3. Results** 

274 **3.1 Survey Usage Questions** 

### 275 **3.1.1 Binary Question Format**

The research team asked 120 households in the free-trial study about their cookstove use in a binary question format: "Did you use the BIS in the trial?" Table 1A shows the results comparing the trial households' responses and the sensor-recorded usage. We found that 90% of households' responses matched their sensor-recorded usage, of which the majority were users, 280 and 10% of households' responses did not match their sensor-recorded usage. A match is defined 281 as when a household that responded "no", had zero cooking events, and a household that 282 responded "yes" had at least one cooking event. We define "user" as a household having used 283 the cookstove at least once and "non-user" as a household that never used the cookstove. 284 For the post-purchase study, the research team similarly asked households about their 285 cookstove usage in a binary question format in both follow-up surveys: 1) "Have you used the 286 BIS at least once in the last month?" (Asked in both follow-up surveys), and 2) "Have you used 287 the BIS at least once in the last year?" (Asked only in Follow-up 2). We then compared the 288 households' responses to their sensor-recorded usage. Table 1B and Table 1C show the results 289 from Question 1 in which households replied yes or no, and whether the sensor showed any use 290 for the previous month from the interview date. We found that for Question 1 in Follow-up 1 291 (n=75), 83% of households' responses matched their sensor-recorded usage, split about equally 292 between users and non-users, and 17% of household's responses did not match their sensor-293 recorded usage. For Follow-up 2 (n=69), 78% of households' responses matched their sensor-294 recorded usage, with three times more non-users than users, and 23% of households' responses 295 did not match their sensor-recorded usage. Table 1D shows the results of Question 2 where 90% 296 of households' responses matched their sensor-recorded usage, and 10% of households' 297 responses did not match their sensor-recorded usage.

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- 300
- 301

A. Free-trial data				
		Sensor-recorded usage		
		Yes	No	
Survey-	Yes	74%	7.5%	
usage	No	2.5%	16%	
			302	
C. Post-purchase Follow-up 2 (1mo)				
Sensor-recorded usage				

Yes

18%

3%

B. Post-purchase Follow-up 1 (1mo)			
		Sensor-rec	orded usage
		Yes	No
Survey-	Yes	41%	11%
usage	No	6%	42%

### **D. Post-purchase Follow-up 2** (1y)

		Sensor-recorded usage		
		Yes	No	
Survey-	Yes	58%	3%	
usage	No	7%	32%	

303

Survey-

reported

usage

Table 1: Results of sensor-recorded usage versus survey-recorded usage for binary questions.
Table 1A (Top Right): "Have you used the BIS at least once within the last week? Trial data,
n=120. Table 1B (Top Left): "Have you used the BIS at least once within the last month?"
Follow-up 1, n=75; Table 1C (Top Right): "Have you used the BIS at least once within the last
month?" Follow-up 2, n=69; Table 1D (Bottom Left): "Have you used the BIS at least once
within the last year?" Follow-up 2, n=69.

No

20%

60%

310

### 311 **3.1.2 Quantitative Question Format**

Yes

No

312 The research team asked 88 households in the free-trial study (average monitoring period: 313 10d, SD=4.5) about their cookstove use in a quantitative format, "How many days in the trial did 314 you use the cookstove at least once?" We compared the households' reported usage from this 315 question to their sensor-recorded usage during the trial. For the free-trial study, we arbitrarily 316 defined accurate reporting as falling within  $\pm 30\%$  of the sensor-recorded usage to allow for some 317 recall bias. We define over-reporting as falling above the +30% boundary and under-reporting as 318 falling below the -30% boundary. Figure 3A shows the results; 49% of households accurately 319 reported their usage, 34% over-reported their usage, and 17% under-reported their usage. It is 320 possible that under-reporting was due to survey respondents (female primary cooks) being 321 unaware of other household members using the cookstove. We also calculated the average 322 deviation from the solid 1:1 survey-to-sensor line shown in Figure 3 to understand how divergent households' survey-reported usage was from their actual sensor-recorded usage. The average
deviation was 1.61 days (SD=2.6).

325 The research team similarly asked households in the post-purchase study (average 326 monitoring period: 468d, SD=153) about their usage in a quantitative format: "What is the 327 average number of times per week that you have used the BIS in the last month?" (Asked in both 328 follow-up surveys). We compared the households' reported usage from this question to a 4-week 329 average of sensor-recorded usage leading up to the interview date. For the post-purchase study, 330 we arbitrarily defined accurate reporting as falling within  $\pm 10\%$  of the sensor-recorded usage to 331 allow for some recall bias. We define over-reporting as falling above the +10% boundary and 332 under-reporting as falling below the -10% boundary. The results are shown in Figure 3B and 333 Figure 3C for both follow-up surveys. For Follow-up 1 (n=75), we found that 44% of households 334 accurately reported their usage, 46% of households over-reported their usage, and 10% of 335 households under-reported their usage. For Follow-up 2 (n=69), we found that 64% of 336 households accurately reported their usage, 28% of households over-reported their usage, and 337 8% of households under-reported their usage. We also compared the households' reported usage 338 to their sensor-recorded usage from the last 1 week to see if there would be higher agreement, 339 and we found results within 5% of the 4-week average of sensor-recorded usage. Additionally, 340 for Follow-up 1, the average deviation was 4.5 cooking events (SD=5) and for Follow-up 2, the 341 average deviation was 3.5 cooking events (SD=6.5).



Figure 3: Survey-reported vs. sensor-recorded usage for households in each Follow-up for the long-term study and the trial. The solid 1:1 line represents where survey-reported usage equals sensor-recorded usage. The dotted lines are  $\pm 30\%$  of the solid lines for Figure 3A and  $\pm 10\%$  of the solid lines for Figures 3B & 3C. Each red point represents a household. Figure 3A (Top Left): Trial data (n=88). Figure 3B (Bottom Left): Follow-up 1 (n=75). Figure 3C (Bottom Right): Follow-up 2 (n=69). Note that points in all plots are "jittered" to avoid overplotting.



355	$R^2$ =0.29. For Follow-up 2 (Figure 3C), there is a statistically insignificant positive slope of 0.48
356	( $p = 0.10$ ), but with an R <sup>2</sup> =0.043. The low R <sup>2</sup> values indicate a very poor correlation between
357	survey- and sensor-recorded usage. This indicates that one could not use the linear regression
358	relationship to translate survey-recorded data into sensor-recorded usage (actual usage).
359	We removed all the households that did not use the cookstove at least once (non-users)
360	from the linear regression analyses to determine if correlations would improve. There was no
361	improvement in R <sup>2</sup> values except a slight increase for the free-trial data, with a statistically
362	significant positive slope of 0.67 ( $p < 0.001$ ), with an R <sup>2</sup> =0.36. For Follow-up 1, there is a
363	statistically significant positive slope of 0.37 ( $p$ =0.005), with an R <sup>2</sup> =0.22. For Follow-up 2, there
364	is a statistically insignificant positive coefficient of 0.13 ( $p = 0.75$ ), with an R <sup>2</sup> =0.01. Still, the
365	low R <sup>2</sup> values indicate a very poor correlation between survey- and sensor-recorded usage, even
366	with removing the non-users from the regression analyses.



### 367 3.2 Long-term decline in sensor-recorded usage

Figure 4. Upper panel: Average cooking events per week after purchase across all households

- 370 in the post-purchase study for sensor-recorded usage (blue) and survey-reported usage (red). 371 Lower panel: Number of households whose cookstoves were monitored on the week after
- 372 373 purchase (blue) and number of households interviewed on that week after purchase (red).
- 374

375 We compared the longitudinal sensor-recorded use to the longitudinal survey-reported 376 use for the post-purchase study. In summary, we found that weekly usage stabilized at 377 approximately 20 weeks; however, a more detailed analysis of the longitudinal sensor-recorded 378 use will be presented in an upcoming paper. The number of cooking events, averaged across all 379 households per week after purchase, is shown in Figure 4 for both the sensor-recorded usage, 380 shown in blue, and the survey-reported usage, shown in red. Because each household had a 381 different start date, we averaged cooking events for households' respective week after purchase, 382 instead of date. For the survey-reported usage, we averaged households' responses to the 383 quantitative usage question, "What is the average number of times per week that you have used 384 the BIS in the last month?" mentioned above (Section 3.1.2) and plotted their response on the 385 week after purchase that they were interviewed. The lower panel of Figure 4 shows for each 386 week after purchase, the number of households whose cookstoves were monitored, shown in 387 blue, and the number of households interviewed and asked about their usage, shown in red. 388 While we have the sensor-recorded usage for 97 weeks (at 5-min intervals), we only have 389 survey-reported usage for 43 weeks of the study. There are two large gaps of at least 10 missing 390 weeks of survey-reported data for weeks 26 through 35 and weeks 93 through 97. 391 Additionally, the number of monitored cookstoves also decreased throughout the study 392 due to sensor loss during the COVID-19 pandemic. We were also unable to conduct as many 393 surveys as we had previously planned due to the pandemic. The number of households whose

394 cookstoves were monitored with sensors for a single week of the study started at 91 households

395 at the beginning of the study to two households at the end of study, whereas the number of

396 households with survey-reported use for a single week of the study ranged anywhere from one to

397 17 households at different weeks of the study. The average number of households that were

monitored with sensors for a single of week of the study was 61 households (SD=26) and the
average number of households with survey-reported usage for a single week of study was 2.8
households (SD=2.9).

The sensor data showed a lower overall weekly use compared to the survey data over the course of the study. The sensor data showed a 97-week average of 1.06 cooking events per week (SD=1.04) and a median of 0.86 cooking events per week. However, the survey data showed a 43-week (total weeks of available data) average of 5.8 cooking events per week (SD=5.9) and a median of 3.5 cooking events per week, which is 5.5 times the average weekly usage as the sensor data. Moreover, the survey data shows a higher average weekly use than the sensor data for about 70% of the total weeks when there is both sensor and survey data available.

408 From the sensor data, we found an overall decreasing trend in BIS usage over the course 409 of the study. Less than 10% of the households were using the cookstove by the end of the study. 410 We observed that sensor data transitioned from 4.0 cooking events per week (n=91) on week 1 to 411 0.15 cooking events per week (n=41) on week 80, on average. About 54% of the rate of change 412 of the moving average (1-month window) of the sensor data is negative and about 6% is zero. 413 Importantly, the survey data did not show the same overall decreasing trend in the BIS usage 414 over the course of the study. Instead, survey data showed 7.0 cooking events per week (n=1) on 415 week 1 compared to 14 cooking events per week (n=2) on week 92, on average. About 38% of 416 the rate of change of the moving average (1-month window) of the survey data is negative and 417 about 23% is zero.

### 419 **3.3 Distribution of Responses**



421

420

Figure 5. Distribution of household's responses to the question: "What is the average number of
times per week that you have used the BIS in the last month?" in red for Follow-up 1 (n=75) and
blue for Follow-up 2 (n=69).

426 We discovered that households were reporting nominal values of usage in the post-427 purchase study for the quantitative usage question (Section 3.1.2), potentially due to the 428 difficulty of recalling how many times per week one uses the cookstove. For instance, it may be 429 easier for households to estimate that one uses the cookstove 0, 1, or 2 times per day, which 430 would translate to using it 0, 7, or 14 times per week, respectively, rather than recalling exactly 431 how many times one used the cookstove. Figure 5 shows the distribution of the reported cooking 432 events per week for both follow-up surveys in the post-purchase study. There are peaks at 0, 7, 433 and 14 cooking events per week for both Follow-up 1 and Follow-up 2. For Follow-up 1 (n=75), 434 48% of households reported zero cooking events per week, 20% reported seven cooking events 435 per week, and 25% reported 14 cooking events per week, with the remaining 7% reporting other 436 values. For Follow-up 2 (n=69), 66% of households reported zero cooking events per week, 12%

- 437 reported seven cooking events per week, and 8% reported 14 cooking events per week, with the
- 438 remaining 14% reporting other values.



### 439 **3.4 Weekly Usage of Accurate and Inaccurate Reporters**



448 We compared the distributions of households' average weekly usage between the 449 accurate and inaccurate reporters, for the free-trial study shown in Figure 6A and for the post-450 purchase study shown in Figure 6B (see SI for Figure 6B split into Follow-up 1 and Follow-up 2 451 plots). Accuracy is defined as survey data agreeing within  $\pm 30\%$  of sensor data for the free-trial 452 study and within  $\pm 10\%$  of sensor data for the post-purchase study (see Section 3.1.2). The only 453 place where we found extremely high agreement between survey and sensor data is among the 454 answers given by non-users. When we compared the answers given by users with the 455 measurements by sensors, the agreement is close to meaningless. For the free-trial study, about 456 half of the accuracy is coming from non-users. There were 23% non-users and 77% users; among the non-users, 73% reported accurately and 27% inaccurately. Among the users, 32% reported
accurately, and 68% inaccurately.

# For the post-purchase study, the accurate reporting is mostly from the non-users. For Follow-up 1, there were 52% non-users and 48% users. Among the non-users, 77% reported accurately and 23% inaccurately. Among the users, 3% reported accurately and 97% inaccurately. For Follow-up 2, there were 82% non-users and 18% users. Among the non-users, 75% reported accurately and 25% inaccurately. Among the users, 8% reported accurately, and 92% inaccurately.

### 465 **3.5 Household Response Consistency Between Surveys**

466 We also analyzed the consistency of households' reporting between follow-up surveys in 467 the post-purchase study. Fifty-one out of the total 91 households were interviewed in both 468 Follow-up 1 and Follow-up 2. Of these 51 households, 63% were consistent with their reporting 469 between surveys, meaning they either accurately reported (39%) on both surveys, over-reported 470 (16%) on both surveys, or under-reported on both surveys (8%). However, all the households 471 that accurately reported on both surveys were non-users. The other 37% of the 51 households 472 were inconsistent with their reporting between surveys, meaning they either accurately reported, 473 over-reported, or under-reported on the first survey and then did not respond the same on the 474 second survey. The inconsistent-reporting households fell into four categories: accurate then 475 over-report (8%), over-report then accurate (8%), over-report then under-report (17%), and 476 under-report then over-report (4%).

### 478 **3.6 Household Qualitative Responses**

	Percent of total interviewed households that:				
Reported advantage	Follow-up survey #	Reported the advantage	Reported the advantage <b>and</b> reported using the stove via surveys	Reported the advantage <b>and</b> shows sensor- recorded usage	Reported the advantage, but were non-users
Fuel savings	1 (n=75)	55%	39%	32%	23%
C	2 (n=69)	44%	22%	9%	35%
Quick cooking	1 (n=75)	29%	27%	21%	8%
C	2 (n=69)	35%	17%	7%	28%
Less smoke	1 (n=75)	14%	11%	9%	5%
	2 (n=69)	41%	23%	9%	32%

### 479 **Table 2**. Percent of total households that reported an advantage (column 3) as well as their

480 reported use (column 4) and sensor-recorded use (columns 5 and 6).

481

482 Follow-up surveys in the post-purchase study also included qualitative questions 483 regarding advantages and disadvantages of the BIS. Households were asked what advantages and 484 difficulties they experienced while using the BIS. Table 2 provides the number of households 485 that reported fuelwood savings, quick cooking, and less smoke (compared to their traditional 486 cookstoves) as advantages. For each reported advantage, we compared the number of households 487 that reported using the stove to the number of households that used the cookstove according to 488 the sensors. The percent of households that reported the advantage (column 3) is higher than the 489 percent of households that reported the advantage and reported using the stove (column 4) for all 490 rows, which shows that some households reported the advantage but also indicated that they did 491 not use the stove. This result shows the inconsistency between households' responses. Column 5 492 shows the percent of households that reported the advantage and their sensors confirmed their 493 usage; this column represents the data we might rely on for understanding advantages. We also

494	found that as many as 35% of total interviewed households (column 6), reported an advantage,
495	but were non-users, as confirmed by the sensors. A potential explanation is that these households
496	were reporting what they heard from their neighbors by word of mouth, or perceived these
497	benefits to be possible, but their lack of sensor-recorded usage shows that they did not
498	experience the benefits themselves. Without the sensor data, we might have erroneously used the
499	results shown in columns 3 and 4 to gather information that we considered reliable about
500	reported advantages of the BIS. However, we know from the sensor data that some of the sources
501	of this information includes households that did not use the stove.
502	4. Discussion
503	Similar to other studies (Thomas et al. 2013; Wilson et al. 2016), households over-
504	reported improved cookstove usage. We found that over-reporting was common in both the free-
505	trial study (average length: 10-day, SD=4.5) and the post-purchase study (average length: 468-
506	day, SD=153 days), which might indicate that over-reporting is an issue regardless of the length
507	of the study and common even when households purchase the cookstove.
508	We explored whether survey-reported usage was more accurate with different question
509	formats, which has been explored in a few other studies (Ruiz-Mercado 2011; Thomas et al.
510	2013; Wilson et al. 2016; Piedrahita et al. 2016) with mixed results. Using the binary question
511	format instead of quantitative question format, the accuracy of households' responses increased
512	by 46%, 39%, 14% for the free-trial survey, post-purchase Follow-up 1, and post-purchase
513	Follow-up 2, respectively. This may be indicative of the difficulty of recalling a quantitative
514	value of cookstove usage. However, using the binary question format to measure cookstove
515	usage over a long-term period presents challenges. The binary question format decreases the

granularity of usage; thus, if increased granularity is necessary, then this survey method mayrequire increased field visits.

518 When households were asked about their usage in a quantitative question format, we 519 found that 34%, 46%, and 28% of households over-reported their usage for the free-trial survey, 520 post-purchase Follow-up 1, and post-purchase Follow-up 2, respectively. We also found no 521 correlation between survey- and sensor-recorded data for any survey ( $R^2 < 0.40$ ), indicating that 522 there is no linear relationship one could use to translate survey-recorded usage into sensor-523 recorded usage.

524 Most notably, we found that surveys were unable to accurately capture the average long-525 term decline in cookstove usage over the course of the post-purchase study. Survey data showed 526 5.5 times the average weekly usage as the sensor data. Moreover, for about 70% of the total 527 weeks, the survey data showed higher weekly use than the sensor data, and of course, surveys 528 did not provide the same granularity in data collection frequency nor the same number of 529 monitored households as sensors did. Piedrahita et al. (2016) found that agreement between 530 survey-reported and sensor-recorded usage decreased throughout the course of the study and that 531 surveys provided poor granularity compared to sensors. Our results back up Piedrahita et al. 532 (2016) findings in a new setting and markedly, for households that purchased their cookstoves 533 for one-third their monthly income. We found that sensors showed that most households dis-534 adopted the cookstove—less than 10% of households were using the BIS by the end of the study, 535 whereas surveys showed similar levels of average use at the beginning and the end of the study. 536 Without sensors, and relying only on surveys, we may have falsely concluded sustained 537 cookstoves adoption and thus would have highly over-estimated the long-term benefits of its use.

Additionally, on examining the distribution of households' reported usage values in the post-purchase study, we found peaks at nominal values, 0, 7, and 14 times per week (corresponding to 0, 1, and 2 times per day). This is indicative of recall bias as households may default to such values if they are not able to recall the exact weekly usage values. This shows that even if households are attempting to report their usage, their best guess is to report a nominal value of usage. Thus, getting accurate, quantitative values of usage is difficult via surveys, especially over a long-term period.

545 When we analyzed the consistency of households' responses between follow-up surveys 546 in the post-purchase study, we found that 39% of households reported accurately on both 547 surveys, 16% over-reported on both surveys, and 8% under-reported on both surveys. 548 Understanding how individual households may tend to respond is useful for field staff to 549 potentially conclude which households are reliable. Thus, they may weigh some interviewees' 550 responses differently.

551 While surveys may not be accurate in collecting quantitative values, they may be 552 invaluable for qualitative understanding and insights. Surveys were essential to our 553 understanding of how to change the design of the BIS to fit the cultural cooking practices of the 554 region, as well as to understand the potential of the cookstove to alleviate the burden of fuelwood 555 collection on women. In the upcoming paper that provides the longitudinal analysis of the sensor 556 data, we will also present survey responses for insight into reasons for dis-adoption. However, 557 we found that households in the post-purchase study reported on cookstove advantages even 558 when their sensor-recorded usage indicated no usage, which may be indicative of courtesy bias. 559 Households may be reporting certain cookstove advantages that they've heard from their 560 neighbors, regardless of their own usage. Without the sensors, we may rely on these qualitative

responses when the households did not use the stoves and, therefore, we may mistakenly weigh certain advantages and disadvantages over others. This action may falsely influence our implementation strategies, our impact reports, and our design changes, which highlights the importance of using sensors to support qualitative survey responses.

565 In summary, we confirmed the findings of prior studies (Ruiz-Mercado 2011; Thomas et 566 al. 2013; Piedrahita et al. 2016; Wilson et al. 2016, 2018; Ramanathan et al. 2017) that surveys 567 alone are not sufficient to evaluate the adoption of a cookstove in field, even in a new context 568 where households purchased the cookstove. Moreover, surveys alone are not sufficient for either 569 qualitative or quantitative findings, nor can they capture the longitudinal trends of cookstove 570 usage that sensors can capture. If we had relied on only surveys to report usage, we would have 571 over-reported usage by 28-46%, missed the dis-adoption of the cookstove over time, and thus 572 would have significantly overclaimed the carbon credits having used voluntary market 573 methodologies. We also would have overclaimed the benefits to women's quality of life. Thus, 574 sensors should become the required standard to measure cookstoves usage whenever affordable.

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591	

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