

# UCSF

## Presentations

### Title

Life Support for the Open Access Policy

### Permalink

<https://escholarship.org/uc/item/03b451qx>

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### Publication Date

2017-10-01

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**Life Support  
for the Open  
Access  
Policy**

# Today's Presenters



Anneliese Taylor

Assistant Director for  
Scholarly Communications  
& Collections, University of  
California, San Francisco  
(UCSF) Library



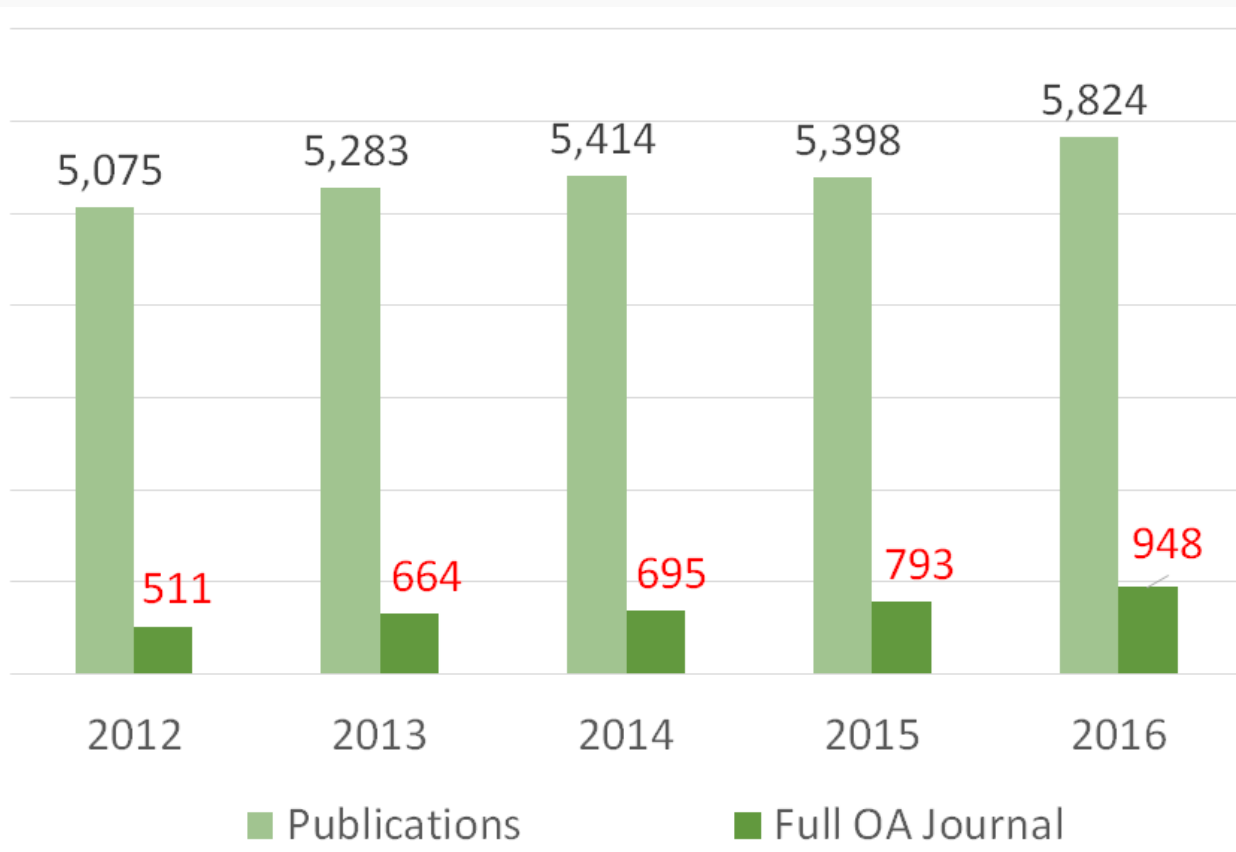
Stephanie (Teddy) Gomes

Open Access Policy  
Project Librarian, UCSF  
Library (formerly); currently  
Digital Collection Manager  
at Worldreader

# UCSF Factoids

- Health Sciences
- Second largest employer in SF
- 3,300 students/1,000 postdocs
- 3,000 faculty (2,000 Academic Senate)
- 2nd highest recipient of NIH awards
- 6,000 articles published annually

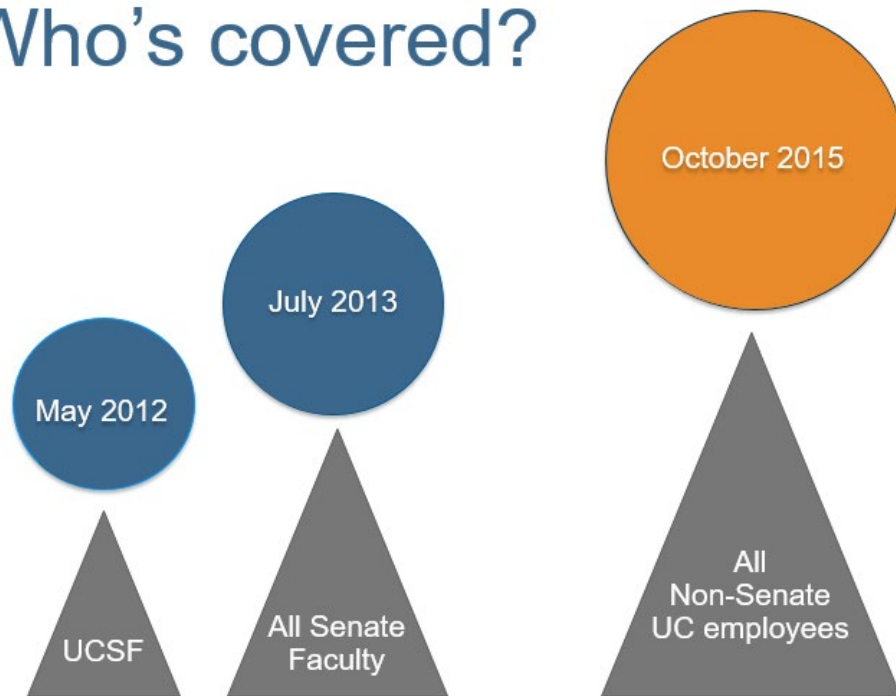
# UCSF total article count & full OA journal article count, 2012-2016



Source: Web of Science

# Open Access Policies at University of California

## Who's covered?



Senate OA Policies

Presidential OA Policy

# What's in the Policy?

- ✓ Rights-retention based
- ✓ Scholarly journal articles
- ✓ Entered into agreement after the policy pass date
- ✓ Immediate upon publication
- ✓ eScholarship / open site
- ✓ Opt-out

Get a Waiver, Embargo, or Addendum for your Publisher

\* Document Type:  Embargo  
 Waiver  
 Addendum

\* Campus:

\* Article Title:

\* Author:

\* Author Status: Is the author named above a senate-represented faculty member?  
 Yes  No **Not Sure?**

\* Journal:

Publisher:

\* Discipline:

\* Email:

You may upload your paper, indicating which version you are providing.

# California Digital Library (CDL) Team - Access & Publishing Group



Justin Gonder

eScholarship Product  
Manager



Kirk Hastings

Senior Developer



Katie Fortney

Copyright Policy &  
Education Officer



Monica Westin

Publications &  
Engagement Manager



Lisa Schiff

Technical Lead





# Open Access Publications from the University of California

UC San Francisco

[Explore all of our campuses >](#)

## eScholarship Repository

eScholarship provides open access repository services for University of California academic researchers to manage and share the full range of their scholarly output. Research shared on eScholarship benefits from increased global visibility and long-term preservation.

Affiliated with UC?

[Deposit Work Now](#)

or

[Learn more >](#)

## eScholarship Publishing

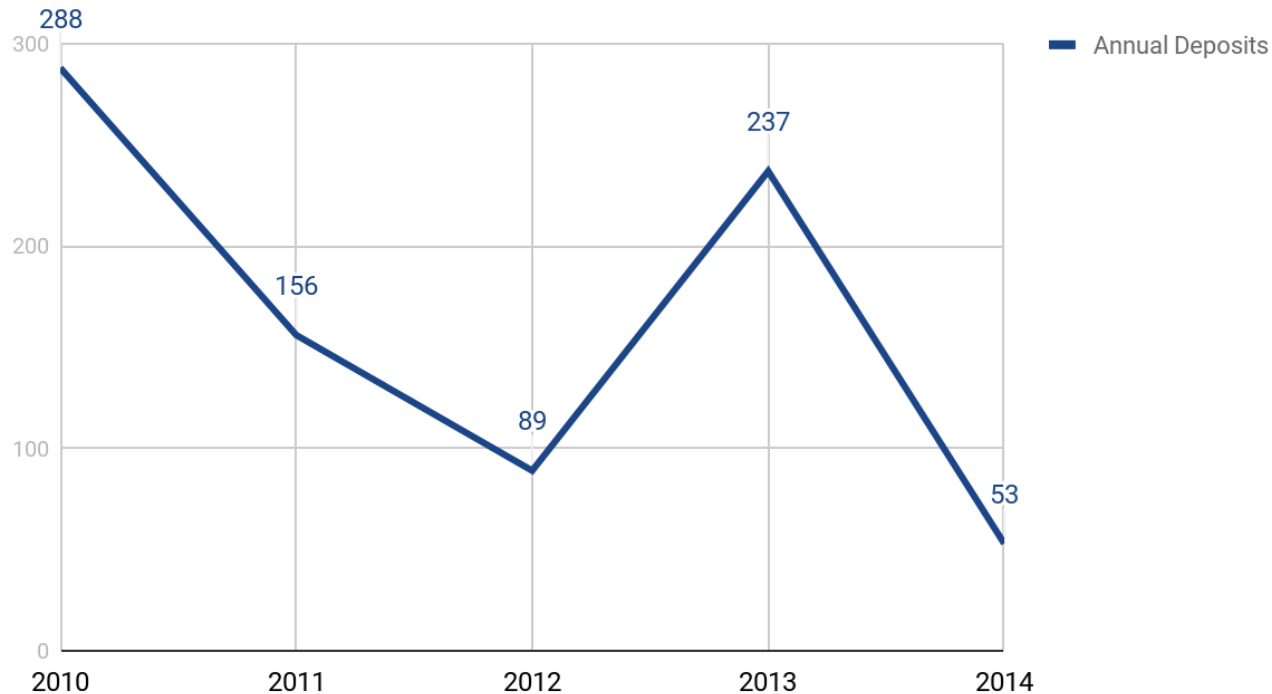
eScholarship Publishing offers a comprehensive open access publishing program for journals, monographs, conference proceedings, and other UC-affiliated original scholarship. This program focuses on supporting interdisciplinary studies, emerging fields of research, and new models of scholarly communication.

[Explore eScholarship publications >](#)


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# The Problem: Policy Compliance - pre RIS

## UCSF deposits to eScholarship



# RIS Adopted: Symplectic Elements



UNIVERSITY  
OF  
CALIFORNIA

## UC Publication Management





Enables you to

- Manage your publications.
- Participate in the UC Open Access Policy.
- Increase the impact of your work.

Select your campus to get started:

UC BERKELEY	UC RIVERSIDE
UC DAVIS	UC SANTA BARBARA
UC IRVINE	UC SANTA CRUZ
UCLA	UC SAN DIEGO
UC MERCED	<b>UCSF</b>
LBL	NON-UC

Managing your publications


-  We'll scan the web for publications you've authored.
-  Log in (at left) to review what we've found.
-  Claim publications that are yours; reject those that aren't.
-  Upload your manuscript for public display on [eScholarship](#).

Resources and support

- Learn more about the [UC Open Access Policy](#).
- Get answers to [Frequently Asked Questions](#).
- Find out who to contact for [additional support](#).

Logout notice

To protect your accounts from unauthorized access, please lock your workstation or exit your browser after logging out of this site.

[CDL login](#)  Powered by Symplectic Limited, a Digital Science portfolio company [Help](#)

# Email notification (old) from the publication system

**From:** UCSF Open Access Policy Team ([oapolicy@ucsf.edu](mailto:oapolicy@ucsf.edu))

**To:** Justin Gonder

**Subject:** Your recent UCSF publications are ready for verification and uploading

## Open Access Policy of the UCSF Academic Senate

Dear Justin Gonder,

The University of California publication management system has recently located **publications that appear to be authored or co-authored by you.**

- **15** total journal articles are ready to be claimed as yours.
- **Of these, 2** publications are covered by the UCSF Open Access Policy and can be uploaded for public display in eScholarship.
- Papers published as of **May 21, 2012** are covered by the policy.
- Upload the **final peer-reviewed manuscript** for immediate public access. The publisher's PDF is only allowed when specified by the publisher.

Click to verify your publications at:  
<https://oapolicy.universityofcalifornia.edu>

**Exercise your right to control and share your work.** Deposit the final manuscripts of your papers in eScholarship, UC's open access repository.

Availability in eScholarship increases the reach and impact of your research and fulfills the terms of the UC Open Access Policy.

**The UC publication management system** was commissioned by

# Publication Management System - Pending publication list for verification

## My publications



Mine  Pending (10)  Not mine

Sort by: Reporting date (newest to oldest) ▼

10 results



Select all on page

**Electrical lysis of cells for detergent-free droplet assays**

 de Lange N, Tran TM, Abate AR  
BIOMICROFLUIDICS 10(2):Article number ARTN 024114 Mar 2016 [Author URL](#)  [doi>](#)

Journal article

**Multicolor Fluorescence Detection for Droplet Microfluidics Using Optical Fibers**

 Cole RH, Gartner ZJ, Abate AR  
JOVE-JOURNAL OF VISUALIZED EXPERIMENTS Article number ARTN e54010 May 2016 [Author URL](#)  [doi>](#)

Journal article

# Deposit to eScholarship

## Deposit advice

### Institutional advice

#### UC Open Access Policy Deposit Advice

You can deposit the **author's final version** of this manuscript for public display on eScholarship if:

1. You were a **Senate faculty member at UC when you wrote it**,
2. You signed the publication agreement **after May 21, 2012, and**
3. Your publisher did not contact you to require that you provide proof of waiver or embargo of the policy.

The **author's final version** is the version after peer review but before the publisher formats it in the finalized layout.

Read more about the UC Open Access Policy at [uc-oa.info](http://uc-oa.info).

If the above requirements **do not** describe your situation, please click the Sherpa / RoMEO tab above to view your publisher's standard sharing policies. You may still be able to deposit your article.

## Upload files or enter an OA location

### Upload file

### Enter an OA location

[Close section](#)

Upload files from your local machine:

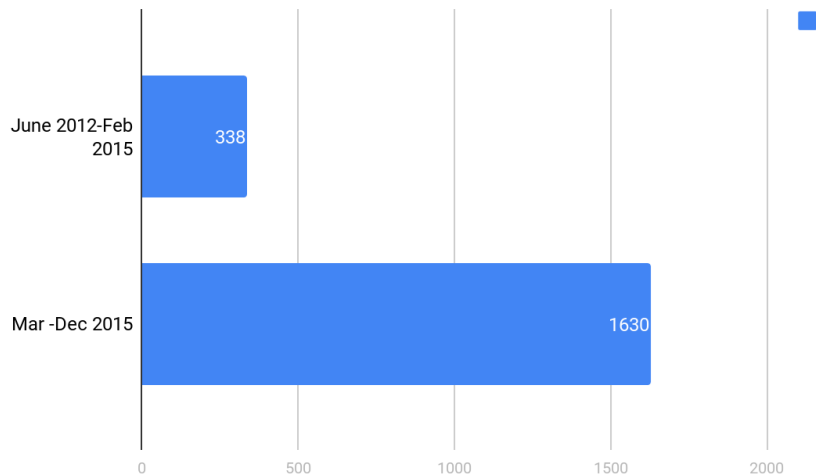
\* Choose file:  No file selected.

\* File version:   

# The Problem: Policy Compliance post-RIS

Despite increase in deposits with RIS, compliance rate still low

UCSF Deposits to eScholarship





# The solution

Hire a project librarian to help increase engagement with the system and drive the deposit rate



## Approaches to increasing participation

Resolving Pending lists / unclaimed publications

Depositing on behalf of authors

Outreach/communication with users

# Pending list clean-up - user search settings

- Pre-clean up count: 136,419
- Post-clean up count: 79,299
- 40% drop when UCSF affiliation added to search settings.

Examples:

User Name	OLD count	NEW count
W Li	2210	82
G Taylor	1221	15
H Maibach	780	505

# Pending list clean-up - CV publications matching offer

Targeted 115 out of 2,021 total users

Open rate: 31.9%

Response rate: 3%

- Library “Friend” group had a higher response rate: 20%
- No difference in response rate between delegates versus faculty
- Developed first stage of a communication plan based on these findings

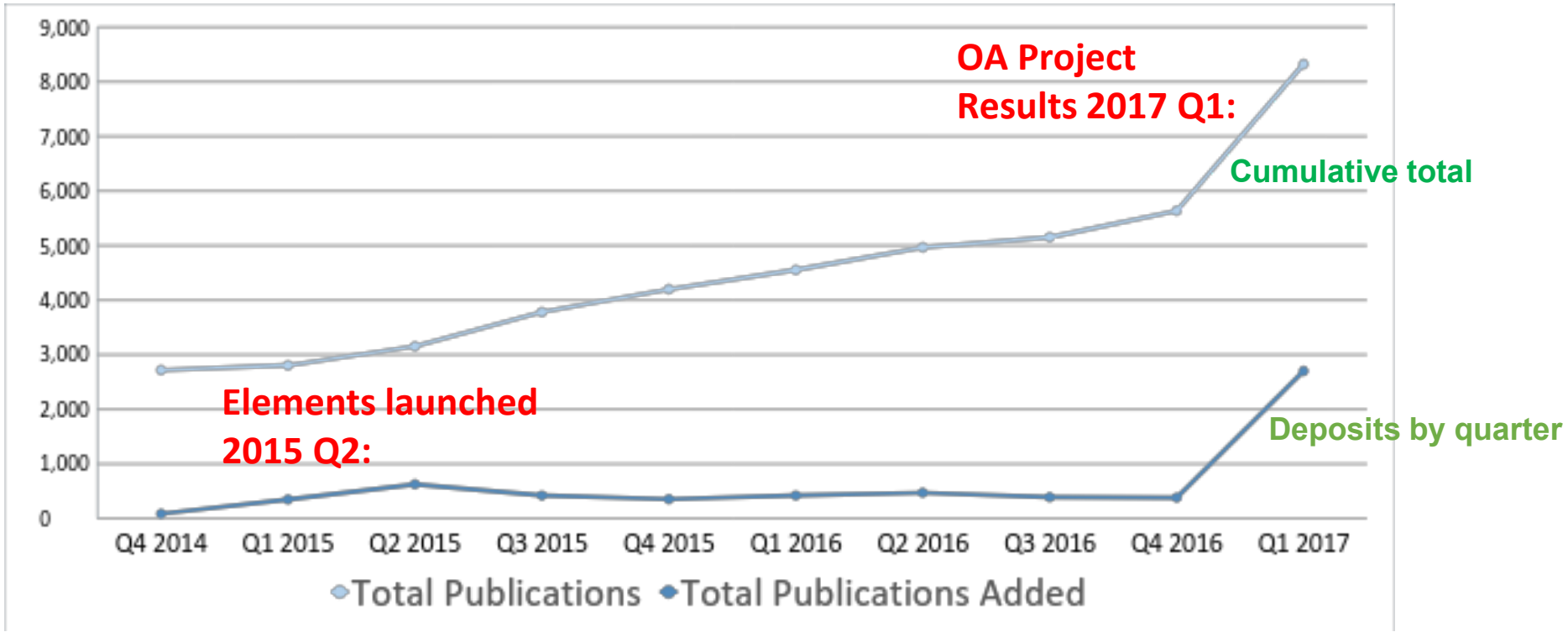
# Depositing files / links for authors

Auto-deposits through Elements. Files from:

- PubMed Central (3,324 found)
- arXiv
- Other OA locations

Deposited as OA links rather than files

# eScholarship UCSF growth since 2014



**Q1 2017: 8,322 Publications / 10,605 Downloads**

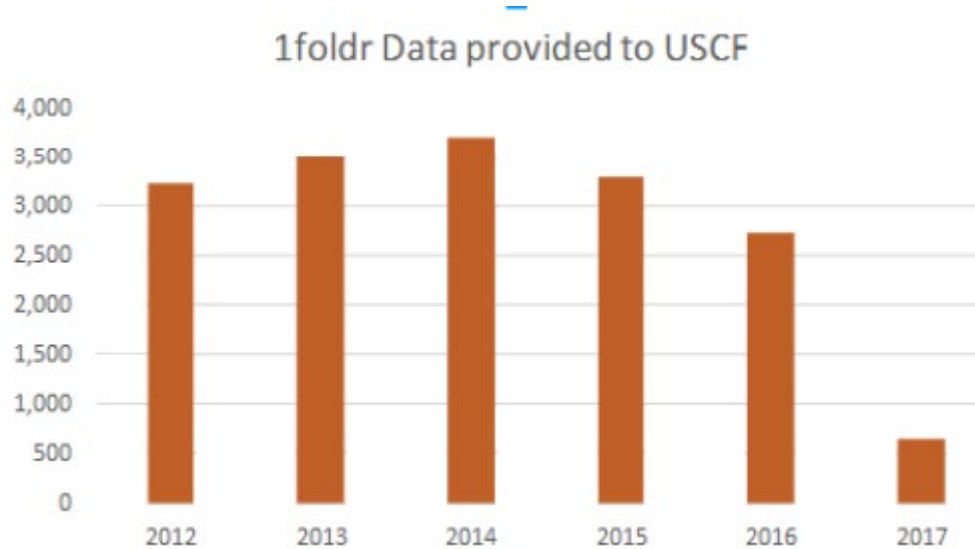
# OA file locator service



Licensed OAfindr+ (now called 1foldr Data) to see what other OA files could be identified

<b>Domain source</b>	<b>% of total</b>
PubMed Central	43.9%
Publisher OA	30.7%
ResearchGate	21.5%
Institutional Repository	3.8%

# 1foldr Data - UCSF publications by year



# Other OA article finding tools



UC eLinks is connecting  
to oaDOI.org:

[Example UC eLinks](#)



# Outreach & Communication - notification email

Dear Miriam Gonzales,

UC's publication management system has located publications that appear to be authored or co-authored by you. Click on the Verify publications link below to quickly claim and deposit your publications in the University of California's [eScholarship](#) platform. Sharing your work on eScholarship makes it immediately accessible to a global audience of researchers.

There are **15** new publications for you to claim or reject  
as an author or co-author

[Verify publications](#)

## Questions?

See the [FAQs](#) for more about the UC Open Access Policy and Publication Management System. To send a question to the UCSF Open Access Policy Team, reply to this email.

Sincerely,

[The UCSF Open Access Policy Team](#)

The Academic Senate of the UCSF adopted an [Open Access Policy](#) in May 2012 to ensure that research articles authored by faculty at all 10 campuses of UC are made freely available to the public.

The UC Publication Management System is an automated system administered by the California Digital Library (CDL) to facilitate participation in the policy. The University Library is working closely with CDL and the Academic Senate on implementation of the policy.

# Outreach & Communication - Publication System FAQs

<http://tiny.ucsf.edu/oapolicytoolfaq>

## UC Publication Management FAQ

The University of California [Publication Management System](#) offers Academic Senate Faculty an automated system for identifying and managing their published works. The system also allows faculty to deposit content covered by the [UC Open Access Policy](#) to the university's eScholarship platform, thereby making it available to the public.

For questions about the Policy itself, see the [policy FAQ](#).

### Frequently Asked Questions

- ▶ **Who has access to this system?**

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- ▶ **How does the system work?**

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- ▶ **What do I do after logging in?**

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- ▶ **How do I deposit a file or link to an open access location?**

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- ▶ **Why am I receiving an error message when I input a URL in OA Location?**

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- ▶ **Do I have to deposit all of my publications?**

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- ▶ **Is there a deadline for completing this process?**

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- ▶ **Not all of my publications are being found. How do I change that?**

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- ▶ **Too many publications are being found that aren't mine. How do I change that?**

# Outreach & Communication Plan

- Contact newly added faculty to familiarize them with the Policy and tools
- Email campaigns using MailChimp for new features, other newsworthy messages



A close-up photograph of a person's hands writing on a document with a pen. The background is blurred, showing what appears to be a desk or office environment. The text 'Results & Findings' is overlaid on the left side of the image.

# Results & Findings

OA Policy Compliance Rates

Before: 9.4%

After: 16.8%

Faculty are using multiple publication management tools

Auto-claiming and auto-deposit is key

Ongoing effort necessary

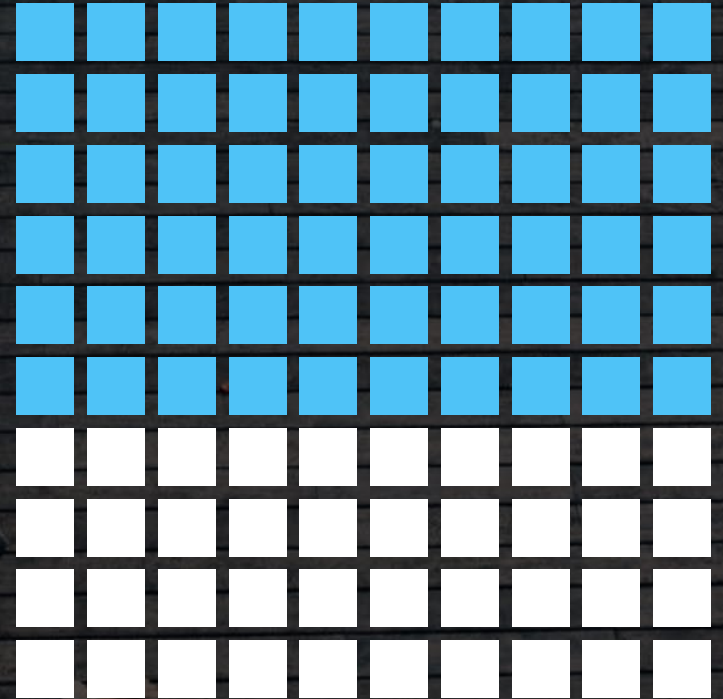
# What does the future hold?

Funding uncertainty

Staffing need

New eScholarship

Better tool integration



# Thank you!



Anneliese Taylor

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Stephanie (Teddy) Gomes

[teddywalls@gmail.com](mailto:teddywalls@gmail.com)

