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### Title

Clean air in cities: Impact of the layout of buildings in urban areas on pedestrian exposure to ultrafine particles from traffic

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Peer reviewed

1           **Clean air in cities: impact of the layout of buildings in urban areas on**  
2                           **pedestrian exposure to ultrafine particles from traffic**

3  
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13  
14 **Abstract**

15 Traffic-related pollutant concentrations are typically much higher in near-roadway  
16 microenvironments, and pedestrian and resident exposures to air pollutants can be substantially  
17 increased by the short periods of time spent on and near roadways. The design of the built  
18 environment plays a critical role in the dispersion of pollutants at street level; after normalizing  
19 for traffic, differences of a factor of ~5 have been observed between urban neighborhoods with  
20 different built environment characteristics. We examined the effects of different built  
21 environment designs on the concentrations of street-level ultrafine particles (UFP) at the scale of

22 several blocks using the Quick Urban and Industrial Complex (QUIC) numerical modeling  
23 system. The model was capable of reasonably reproducing the complex ensemble mean 3D air  
24 flow patterns and pollutant concentrations in urban areas at fine spatial scale. We evaluated the  
25 effects of several built environment designs, changing building heights and spacing while  
26 holding total built environment volumes constant. We found that ground-level open space  
27 reduces street-level pollutant concentrations. Holding volume/surface area constant, tall  
28 buildings clustered together with larger open spaces between buildings results in substantially  
29 lower pollutant concentrations than buildings in rows. Buildings arranged on a ‘checkerboard’  
30 grid with smaller contiguous open spaces, a configuration with some open space on one of the  
31 sides of the roadway at all locations, resulted in the lowest average concentrations for almost all  
32 wind directions. Rows usually prohibit mixing for perpendicular and oblique wind directions,  
33 even when there are large spaces between them, and clustered buildings have some areas where  
34 buildings border both sides of the roadways, inhibiting mixing. The model results suggest that  
35 pollutant concentrations drop off rapidly with height in the first 10 m or so above the roadways.  
36 In addition, the simulated vertical concentration profiles show a moderate elevated peak at the  
37 roof levels of the shorter buildings within the area. Model limitations and suggestions both for  
38 urban design are both discussed.

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47 1. Introduction

48 As urbanization grows, the impact of traffic-related pollution on human health is an increasing  
49 concern. Traffic is a major source of primary air pollutants, including carbon monoxide (CO),  
50 carbon dioxide (CO<sub>2</sub>), nitrogen oxides (NO<sub>x</sub>), volatile organic compounds (VOCs), and  
51 particulate matter (PM). Many studies have shown that living near busy roadways is associated  
52 with increased morbidity and mortality (Raaschou-Nielsen et al. 2013; Kheirbek et al. 2016),  
53 from respiratory and cardiovascular diseases (Lin et al. 2002; Riediker et al. 2004), birth and  
54 developmental effects (Becerra et al. 2013) and cancer (Pearson et al. 2000) among other  
55 diseases. PM from traffic is emitted as ultrafine particles (UFP, particles smaller than 100 nm).  
56 Because UFPs are short-lived due to high coagulation rates, they are quickly incorporated into  
57 larger particles (Choi and Paulson 2016) they have relatively low urban background levels. UFP  
58 are highly elevated in fresh combustion sources, so they are an excellent tracer of fresh emissions  
59 from traffic. UFP may also be specifically responsible for differential health impacts associated  
60 with exposure to traffic emissions (Hoek et al. 2010; Chen et al. 2016; Heusinkveld et al. 2016;  
61 Manigrasso et al. 2017).

62 Because UFP concentrations are typically much higher in near-roadway  
63 microenvironments (Bowker et al. 2007; Morawska et al. 2008; Choi et al. 2012; Al-Dabbous  
64 and Kumar 2014), pedestrian and resident exposures can be strongly impacted by short periods  
65 of time spent on and near roadways (Lin et al. 2002; Behrentz et al. 2005; Manigrasso et al.  
66 2017; Choi et al. 2018). In dense urban areas, near-roadway environments are not limited to  
67 sidewalks but can include most ground-level outdoor spaces. At the same time, UFP pollution

68 levels in urban areas are highly variable (Patel et al. 2009; Choi et al. 2013). While our  
69 understanding of the built environment characteristics that influence street-level UFP  
70 concentrations is still developing, it is clear that the design of the built environment plays a  
71 major role (Boarnet et al. 2011; Boogaard et al. 2011; Buonanno et al. 2011; Pirjola et al. 2012;  
72 Choi et al. 2016; Ranasinghe et al. 2018).

73       Here we consider the effects of a set of six idealized building configurations on the  
74 concentrations of traffic-related or other pollution and resulting pedestrian exposures using a  
75 modeling framework. The Quick Urban and Industrial Complex (QUIC) transport and dispersion  
76 model (Brown 2018) was used to simulate the complex air flows and pollutant dispersion. As a  
77 first step, we evaluated the QUIC model's ability to reproduce measured data using the extensive  
78 field dataset from the Los Angeles (LA) area reported by Choi et al. (2016). The Choi et al.  
79 (2016) study was designed to examine the effects of the built environment, traffic patterns, and  
80 micrometeorology on street-level UFP concentrations at the scale of a few city blocks. We then  
81 explored the impact of open space interspersed with tall buildings on pollutant concentrations at  
82 street level, as well as the effects of clustering buildings, spacing them evenly or arranging them  
83 in rows in dense urban areas. We also explored the potential of using the QUIC model to better  
84 understand the vertical distribution of pollution in different built environments with a set of  
85 choices about urban building configurations and interpret the results within the context of urban  
86 planning, including recommendations for future urban design.

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89       2. Methodology

## 90 2.1 QUIC Model Background

91 The Quick Urban & Industrial Complex (QUIC) model is a fast-response dispersion modeling  
92 system. It consists of two different wind solvers, the QUIC-URB empirical-diagnostic urban  
93 wind model (Gowardhan et al. 2011) and the QUIC-CFD computational fluid dynamics wind  
94 solver(Röckle 1990), the QUIC-PLUME “urbanized” Lagrangian random-walk dispersion  
95 model<sup>27</sup>, and the QUIC-GUI graphical user interface. QUIC-URB was developed to rapidly  
96 calculate 3-D wind fields in cities using a suite of empirical parameterizations and mass  
97 conservation. It was based on work described in Röckle’s thesis (Röckle 1990) and was later  
98 improved with modifications to empirical schemes so that it could be applied to urban  
99 environments (Brown 2018). QUIC-PLUME is a Lagrangian random-walk dispersion model  
100 that has been adapted to account for local and non-local building-induced turbulence. QUIC-GUI  
101 allows the user to import building layouts, define wind speeds and directions, choose pollutants,  
102 types of release, and release locations, and visualize mean wind flow and plume dispersion  
103 patterns. The QUIC modeling system has been extensively evaluated against full-scale tracer  
104 field experiments and reduced-scale wind-tunnel experiments (Brown 2018).

105

## 106 2.2 Model Configurations

107 The building information for the  $2.5 \times 2.5$  city-block size modeling domains (or larger if the  
108 measurement data used to validate the model covered a slightly larger area) was extracted from  
109 the Los Angeles Region Imagery Acquisition Consortium (LARIAC2) Geographic Information  
110 System (GIS) data (LARIAC 2009). The QUIC model imports buildings, vegetative canopies

111 and point trees, however tree canopies were not included here because the input data were not  
112 available in the GIS data. Since the LARIAC2 database does not identify parking structures as  
113 buildings and they are required to accurately model the built environment, we added parking  
114 structures manually to our built environments when necessary.

115 We used meteorological data, including wind speed and wind direction from the  
116 measurements described in Choi et al. (2016) for each site and date, together with the calculated  
117 Monin-Obukhov length (Seinfeld and Pandis 1998). The meteorological measurements were  
118 made with sonic anemometers placed at street level, on roof tops or in a nearby park on each  
119 measurement day. We used rooftop wind measurements as initial winds to drive QUIC, and then  
120 evaluated the capability of QUIC by comparing simulated wind fields to observed wind  
121 measurements at street level. For the sites for which we did not have measurements on a roof or  
122 in a nearby park, we used the nearest available weather station. Details and calculations with the  
123 input meteorological data and corresponding weather stations are described in the supplementary  
124 material (Table S1). The wind fields from the QUIC-URB model were used to simulate the  
125 pollution dispersion and pollution concentrations in QUIC-PLUME model. QUIC is a fast  
126 response model: a sixteen million grid cell problem took ~50 seconds to run on a Core i5-7200u  
127 processor with 8GB ram Dell laptop, for example.

128 As our measurement data covers  $\sim 2 \times 2$  city blocks, we select  $2.5 \times 2.5$  city blocks as our  
129 simulation domain (Figure 1) or a correspondingly larger area for the rectangular areas. The  
130 model parameters were specified as follows. The height of the simulation domain was about 20  
131 m above the highest building (250 m for site 1; 200 m for sites 2-4; 30 m for site 5) and the  
132 horizontal resolution was  $5 \text{ m} \times 5 \text{ m}$ . The vertical grid cell size was 0.4 m for the first 10

133 simulation grid levels; this was increased parabolically to the top of the domain. The traffic  
134 pollution tracer was released at the second level above the ground (0.4 - 0.8 m), corresponding to  
135 the height of most tailpipes. The pollution tracer was defined as a continuous line source placed  
136 along the main and sub-main streets in  $2 \times 2$  city blocks (red lines in Figure 1 left panel).  
137 Because accurate determination of UFP emission rates for the mixed fleets at each location is not  
138 possible, and simulating individual particles is computationally expensive, we did not simulate  
139 UFP per vehicle in the model. Instead, we set line sources at both main streets and sub-main  
140 streets that continuously release particles at constant rate. The calculated UFP concentrations on  
141 the streets were averaged for each morning ('am') or afternoon ('pm') session for each day and  
142 site and compared to measured concentrations that had been normalized by observed traffic flows  
143 (Choi et al. 2016). Thus, the choice of a constant source strength for every site to compare to  
144 traffic-normalized field data does not affect the comparison between the simulated and observed  
145 results.

146 The modeled arbitrary particle concentrations were adjusted to compare to the observations by  
147 assuming the model results and observations should have a slope of unity and intercept of zero  
148 (see Figure 2). The original model output was plotted against the observational data and the  
149 intercept and slope of the resulting linear regression were used to adjust the model results to give  
150 the results shown in Figure 2. Since this process only linearly adjusts the magnitude of simulated  
151 concentrations, the relative differences among built environments still remain. To match the  
152 observations collected with a mobile platform driving on the streets (yellow bands in Figure 1),  
153 the average UFP concentration for each site and session was calculated by averaging the  
154 concentrations 0.4-2 m above ground level (AGL) in the model over all street grids within the



155 domains. Figure 1 shows the Broadway & 7th site with the building shapes in the QUIC model  
156 and the Google Earth satellite map. The building shapes and simulation domains for the  
157 remaining four sites are shown in the supplementary material (Figure S1).

158

### 159 2.3 Observational data and Areal Aspect Ratio parameter

160 The observational data in the Choi et al. (2016) study was collected in five areas with distinct  
161 building configurations that are common in the Los Angeles area. They were collected with a  
162 mobile platform that was driven on the sampling route 25 – 40 times during each ~2-hour  
163 morning or afternoon sampling session on 3 – 4 days at each site. Measurement data were GPS  
164 corrected, binned and averaged (Ranasinghe et al. 2016). The block-scale UFP concentrations  
165 had a strong direct relationship with the vertical turbulence intensity in the afternoons and the  
166 areal aspect ratio ( $Ar_{area}$ , described next) in the mornings (Choi et al. 2016). The vertical  
167 turbulence intensity is influenced by the built environment, so while the built environment has a  
168 more direct impact on morning pollutant concentrations, it also appears to influence afternoon  
169 concentrations. In this dataset, morning wind speeds were low, averaging at about 0.98 m/s, and  
170 the afternoons were higher, averaging at 1.73 m/s. Presumably different heating of sides of  
171 buildings and other surfaces were also more significant in the afternoons.

172 The Areal Aspect Ratio ( $Ar_{area}$ , unitless) developed by Choi et al. (2016) is calculated  
173 based on the building area-weighted building height ( $H_{area}$ , m), the amount of open space  
174 ( $A_{open}$ ,  $m^2$ ), the area of the site ( $A_{site}$ ,  $m^2$ ), and the diagonal block length ( $L_{diag}$ , m)  
175 (Choi et al. 2016) :

176 
$$Ar_{area} = \frac{H_{area}}{L_{diag} \times (A_{open} / A_{site})} \quad (1)$$

177 This relationship was chosen from a set of metrics that combined building heights and footprints,  
178 density and open space as it provided the best fit of the observations. We tried to reproduce the  
179 same relationship between the UFP concentrations and building  $Ar_{area}$  with the QUIC model  
180 simulation using measured meteorological data, including wind speed and direction to drive the  
181 QUIC model.

182

### 183 3. QUIC Model Evaluation

184 Before we explored the various built environment configurations with the QUIC model, we  
185 evaluated the ability of the model to successfully simulate the observational dataset (Choi et al.  
186 2016) collected at five sites with distinct building configurations found in the Los Angeles area.  
187 The five distinct building configurations include all low buildings (Las Tunas and Temple City),  
188 a tall street canyon (Broadway and 7th), a site with a wall of medium-tall buildings on one side  
189 of the main road adjacent to a park (Wilshire and Carondelet), and sites with one (Olive and  
190 12th) and two isolated skyscrapers (Vermont and 7th), respectively, surrounded by 1-3 story  
191 buildings and open space.

192 Figure 2 shows the average UFP concentrations for measurements (left panels) and model  
193 simulations (right panels) for each site and measurement session plotted against corresponding  
194  $Ar_{area}$ , for mornings and afternoons to compare with the analysis of the observational data as in  
195 Choi et al. (2016). Each point indicates the average for an individual ~ 2-hour measurement  
196 session; multiple points of the same color/shape were collected on different days at the same site.

197 As Choi et al. (2016) found the best fit line to be of the form  $y = a \times \log(Ar_{area}) + b$ , we use this  
198 expression to fit our simulation results as well. Both the modeled and observed UFP  
199 concentrations exhibit strong relationships between with  $Ar_{area}$ ; the log best-fit curves (red lines)  
200 for the model have  $r = 0.50$ , am,  $r = 0.72$ , pm, respectively. UFP concentrations increasing  
201 sharply with  $Ar_{area}$  at low  $Ar_{area}$  ( $< 0.2$ ) and more slowly at higher  $Ar_{area}$ . The measurement data  
202 indicates that after normalizing for traffic, the built environment has a large impact on measured  
203 pollutant concentrations; the highest measured values, observed in the area with street canyons  
204 were 5 – 6 times the lowest values which were observed in a neighborhood with single story  
205 buildings. The simulations capture a similar range (Figs. 2 and 3).

206 Figure 3 shows the UFP concentrations from QUIC simulations and observations plotted  
207 against each other. The 1:1 linear regression line (red line) and has reasonably high  $r$  values of  
208 0.58 and 0.50 for the mornings and afternoons respectively. The green dashed lines represent the  
209  $\pm$  root mean square error (RMSE) interval, and red dotted lines represent the 90% confidence  
210 level that the prediction interval for which the next observational point will fall within the band  
211 (only the upper confidence intervals appear at this scale; the lower lines fall below the frame).  
212 All of the values are within the 90% confidence band (red) and most of them are within the  
213 RMSE interval (green).

214 Taken together, the results show the model does an acceptable job of reproducing the  
215 impact of the built environment on pollutant concentrations. There are several potential reasons  
216 for the scatter. These include differences in emissions between sites and sessions arising from  
217 variations in the vehicle fleets; sites had different average fleet ages and proportions of heavy-  
218 duty vehicles. Even for the same site, as small numbers of high emitting vehicles can overwhelm  
219 large numbers of cleaner vehicles (Choi et al. 2013), traffic-normalized emissions may have

220 varied between sessions. Model-related reasons include the lack of vegetation and traffic-induced  
221 turbulence in the model. Model related limitations are discussed more in section 4.3.

222

#### 223 4. Idealized Built Environment Simulations

224 In the previous section, we were able to reasonably reproduce the relationship between street-  
225 level UFP concentrations and the built environment parameter  $Ar_{area}$  at five sites in Southern  
226 California. The observational sites were very different from one another and span a large portion  
227 of variability in configurations and values of  $Ar_{area}$  in urban areas worldwide. More uniform built  
228 environments that can be more common both in much older cities and in newer planned areas of  
229 developing cities. Here we explore six more regular building configurations that could be design  
230 choices for modern urban planners. We also examined the vertical distributions of UFP in our  
231 simulations to inform potential exposures of residents living on higher floors.

232

##### 233 4.1 Effects of six built environment configurations on UFP concentrations at street level

234 We designed six idealized built environments (Types 1-6) with identical building volumes of  
235  $15.3 \text{ m}^3$  building volume/ $\text{m}^2$  ground area (Figure 4). The total volume of real city blocks varies  
236 widely; the neighborhoods included in the observational dataset had 42, 8.8, 3.6, 8.3 and  $1.5 \text{ m}^3/$   
237  $\text{m}^2$  building volume of a city block for Broadway & 7th, Olive & 12th, Vermont & 7th, Wilshire  
238 & Carondelet and Temple City & Las Tunas respectively (Choi et al. (2016). Building heights  
239 also varied widely; in the observational data the maximum building height ranged from 8 – 130  
240 m; two of the sites had maximum heights of 57-58 m, corresponding to 15 – 20 story buildings.

241 Similar to these, we used a maximum building height of 60 m, and a footprint of a reasonably  
242 representative tall building of  $50 \times 50$  m, for building layout types 1-3. To hold the built  
243 environment volumes and building footprints constant and change the amount of open space, we  
244 cut the taller buildings to 45 m and added the extra volume as 15 m buildings for layout types 4 -  
245 6. Streets were set to be 20 m wide, including sidewalks. This is at the lower end of the street  
246 widths in the observational data from Los Angeles in Choi et al. (2016), but Los Angeles has  
247 particularly wide streets, so we chose a value closer to the lower end to be more generally  
248 representative.

249 As for the simulations above, we released source particles along every main and sub-main  
250 street in the  $2 \times 2$  city block domains and scaled the results by the source strength as described  
251 in section 2.2. However, unlike the simulations in the Los Angeles cases, we included not only  
252 the streets but also the open spaces between buildings when we averaged the street level  
253 concentrations over the area (see yellow area in Figure 4). This is because here we focused on  
254 potential for human exposure and thus put more emphasis on diagonal walkways, playground  
255 and other uses of open space and somewhat less on the sidewalks adjacent to the roadways and  
256 in the roadway itself.

257 Ground level UFP concentrations were strongly impacted by the wind direction. While  
258 important factor for the measured data, its impact was more extreme for the modeled built  
259 environments because of their regularity. Thus, for each type of built environment, we simulated  
260 UFP concentrations using several wind directions (Figure 5). In Figure 5, we show the average  
261 UFP concentrations over all open space within the yellow area (see Figs. 4 and 6) at street level  
262 (0.4 – 2 m AGL). For these simulations, the background wind speed was fixed at 1 m/s at 20 m

263 above ground level (AGL) for all simulations. This relatively low wind speed was commonly  
264 observed in urban areas, and lower wind speeds were associated with higher pollutant  
265 concentrations and thus represented times of day that were of greater concern (Choi et al. 2012;  
266 Ranasinghe et al. 2018). A similar comparison with averages over only the main and sub-main  
267 streets within the yellow area is shown in SI Fig. S3. The same general pattern was observed, but  
268 the differences between types were much smaller, because the particles were released on the  
269 streets, so the particle concentrations were more impacted by direct emissions and less by  
270 dispersion.

271 The UFP concentrations were strongly dependent on wind direction (Figure 5), and winds  
272 coming from the southwest (hitting the corners of the buildings) produced the most varied  
273 results. We show the spatial distribution maps of the average UFP concentrations at street level  
274 (0.4 – 2 m AGL) for southwesterly winds in Figure 6. the remaining wind directions are shown  
275 in the supplementary material (Figure S2). Taken together, the figures also show the high  
276 dependence of hotspot formation and location on wind direction.

277 For the same building volume density, UFP concentrations at street level are generally  
278 lower for the built environments that have taller buildings and more open space between  
279 buildings (Type 1-3 vs Type 4-6, Figure 6). Further, UFP concentrations at street level were  
280 highest if the tall buildings were arranged in rows with deep street canyons between buildings,  
281 except when winds were parallel to the building rows (Type 1 vs Type 2-3; Type 4 vs Type 5-6,  
282 Figure 6). This was followed by buildings arranged in clusters (Types 2 and 5). The  
283 configuration that consistently showed the lowest concentrations was type 3, the ‘checkerboard’,  
284 a configuration in which streets have adjacent buildings on only one side of the street.

285 Average differences between the idealized layouts (Figure 5) were smaller than observed  
286 for the observations (Figs. 2 and 3). However the observations span much wider ranges of  
287 building densities; 15 vs. 1.5 - 42 for the simulations and observations respectively. All of the  
288 simulated configurations also have similar  $Ar_{area}$  values; 0.399 for Types 1 – 3, slightly higher  
289 than 0.304 for types 4 – 6. These  $Ar_{area}$  values fall on in a part of the curve that is relatively flat  
290 (Fig. 2), although the  $Ar_{area}$  values alone should make concentrations for types 1 - 3 higher than 4  
291 – 6, the opposite of what was observed. The  $Ar_{area}$  is an empirically derived relationship that  
292 weighs building height slightly more than the ground-level open space. For sites with similar  
293  $Ar_{area}$  values the open space appears to have larger importance.

294 In addition to wind direction, we also explored the effect of wind speed. We set up three  
295 different wind speeds, at  $0.5 \text{ m s}^{-1}$ ,  $1 \text{ m s}^{-1}$  and  $2 \text{ m s}^{-1}$  and used a fixed wind direction  
296 (southwest). The spatial map is shown in Figure S4. The averaged UFP concentrations of all six  
297 types over the domain with these three different wind speeds are compared in Figure S5. As  
298 expected,, the UFP concentrations decreased with increasing wind speed. The same trends in  
299 concentrations were observed for all wind speeds, but the differences between layouts were  
300 largest for  $0.5 \text{ m s}^{-1}$  and smallest for  $2 \text{ m s}^{-1}$ .

301

#### 302 4.2 Vertical pollutant profiles.

303 The vertical distribution of traffic-related pollution near tall residential buildings is a concern for  
304 residents on upper floors, but observations of vertical profiles of pollutants on urban streets are  
305 limited and difficult to obtain (Morawska et al. 1999; Wu et al. 2002; Quang et al. 2012; Wu et

306 al. 2013). Spatially averaged vertical concentration profiles from QUIC simulations for the six  
307 idealized urban built environments (Figure 7) and the five sites in the Los Angeles area (SI  
308 Figure S6). Generally, UFP concentrations decrease rapidly with increasing height for all  
309 configurations, especially within the first 10 meters. For types 4 – 6 the UFP concentrations have  
310 one or more small peaks at around 15 m. 15 m was both half of the mean area weighted building  
311 height,  $H_{\text{weighted}}$  for type 4-6 and the roof height of the shorter buildings. The small elevated peaks  
312 may be due to the 15 m roof level of the shorter buildings as rooftops can trap pollutants in a  
313 rooftop recirculation (Bagal et al. 2004). This feature was also seen in the Los Angeles site  
314 configurations; Figure S6 shows that the Broadway & 7th site and Wilshire & Carondelet sites  
315 have additional concentration peaks at upper levels ( $0.65 * H_{\text{weighted}}$ ) in some measurement  
316 sessions. The modeling results are in good agreement with observational results; Marini et al.  
317 (2014) measured UFP concentrations at seven street canyon sites in an Italian city between two  
318 canyon sides of an Italian city and found the peak occurs at non-surface level site ( $0.38 * H_{\text{mean}}$ )  
319 on the leeward side. Moreover, our model results also match the findings of Marini et al. (2014)  
320 that particle number concentrations decrease with increasing rooftop wind speed (Figure S4 and  
321 S5).

322

323 4.3 Model limitations: Traffic-induced turbulence (TT), turbulent kinetic energy as a model  
324 output, and canopy effects

325 Although QUIC was able to reproduce the main relationship between representative built  
326 environment parameters (e.g.,  $A_{\text{area}}$ ), there are additional factors that should be considered in  
327 future developments. These include a parameterization for traffic induced turbulence, canopy



328 effects, and an option to output turbulent kinetic energy (TKE) from the model. Turbulence that  
329 is very close to roads (traffic-induced turbulence, TT) differs strongly from that over natural  
330 surfaces (e.g., Rao et al. 1979; Kalthoff et al. 2005). Many computational and experimental  
331 studies have confirmed that turbulence induced by road traffic should not be neglected in the  
332 dispersion of trace gases in near roadway environments (Rao et al. 1979; Kalthoff et al. 2005;  
333 Alonso-Estébanez et al. 2012). Recently, more researchers have included the TT effects in  
334 atmospheric turbulence models, finding it improves the fit with field measurements (Katolický  
335 and Jícha 2005; Dong and Chan 2006; Xia et al. 2006).

336 In our study, we found that the simulated wind data for the sidewalks had lower spatial  
337 variability observed by Choi et al. (2016). Our hypothesis was that the observations were  
338 influenced by TT, which is not included in the QUIC model. This might be verifiable if TKE  
339 were available as an output from the QUIC model. Further, there is strong evidence from the  
340 observations that the surface level TKE increases sharply with building heterogeneity (Choi et al.  
341 2016), and this has an indirect effect on the surface level pollution dispersion through turbulent  
342 processes, but the QUIC model performance cannot be probed in this regard.

343 In our study, we did not include vegetation because a comprehensive vegetation map was  
344 not available for the Los Angeles region. However, vegetative canopies, including trees and  
345 bushes are reasonably common along streets in the study area. Taking advantage of the  
346 vegetative canopy drag and turbulence scheme in QUIC could significantly impact the plume  
347 dispersion downwind and change the pollutant concentrations. Nelson et al. (2009) has shown  
348 that the canopy traps the plume and lowers wind velocities within and after the canopy,  
349 increasing exposure time in the canopy and downwind areas. In future studies, if vegetative

350 canopy input data can be obtained, including these may also improve model performance  
351 (Nelson et al. 2009).

352 TT and vegetation have opposing effects on dispersion, however, so omitting both  
353 processes may have a muted effect, the sign of which is not known.

#### 354 4.5 Recommendations for urban design

355 Our research findings suggest three features of the built environment can improve dispersion and  
356 lower concentrations in the built environment: 1) Placing more open space immediately adjacent  
357 to roadways; 2) Using taller buildings and more open space instead of shorter buildings and less  
358 open space; and 3) Avoiding arranging buildings in rows.

359

#### 360 **Supporting Information**

361 The SI includes: Wind data for QUIC inputs, building heights, layouts and simulation domains  
362 and simulated UFP concentrations averaged at street levels for each type with wind coming from  
363 each direction and with different wind speeds from one certain direction.

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## 371 **Notes**

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373

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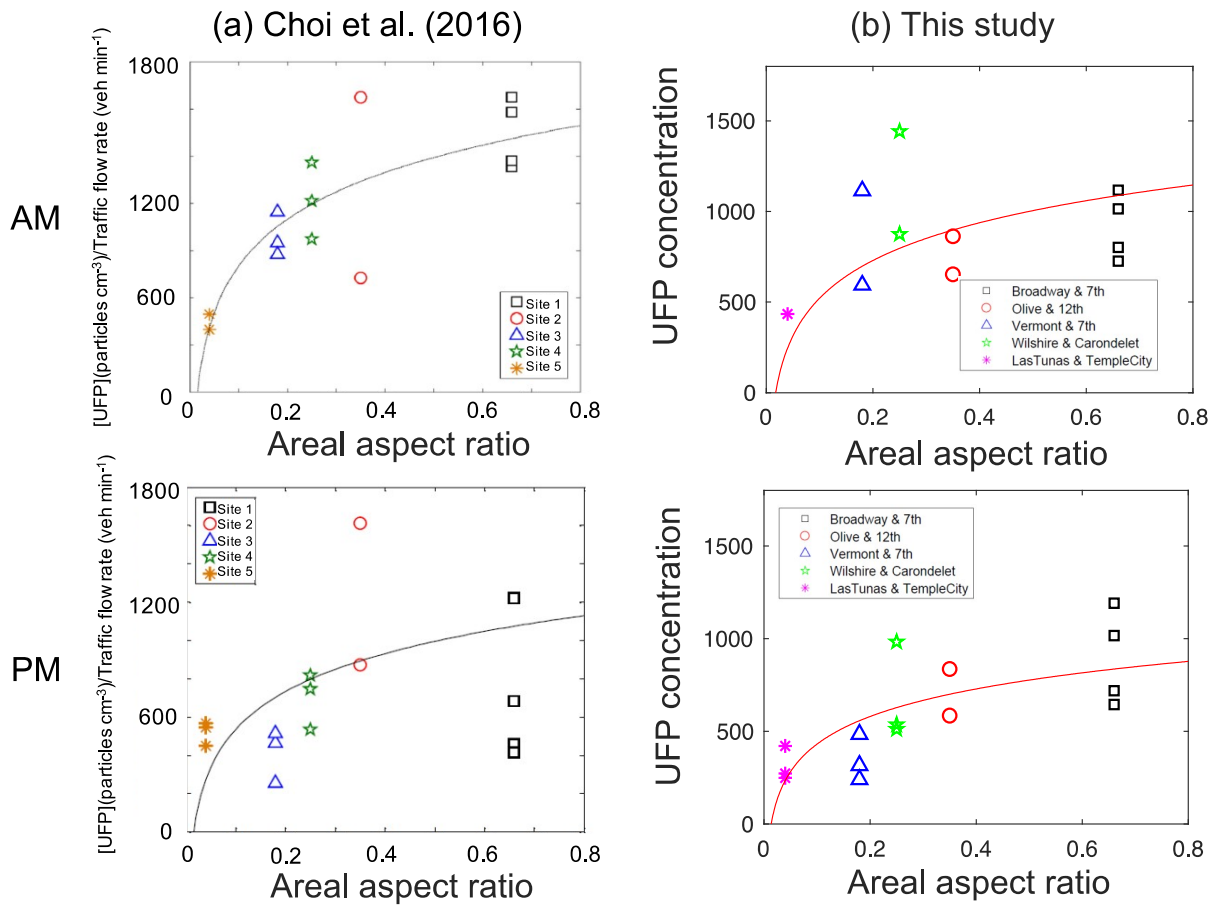
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537 Figure 1. Building shapes and the QUIK simulation domain in 2D and 3D Google Earth  
538 for the Broadway & 7th site. The yellow bands in QUIK map are the man and sub-main streets  
539 and indicate the driving pattern where measurements were collected. Red lines are the line  
540 sources from the traffic. Light yellow squares in Google Earth satellite view are the  $2 \times 2$  blocks  
541 we are focusing on. The colors of the buildings from dark blue to red represent the building  
542 height from low to high.



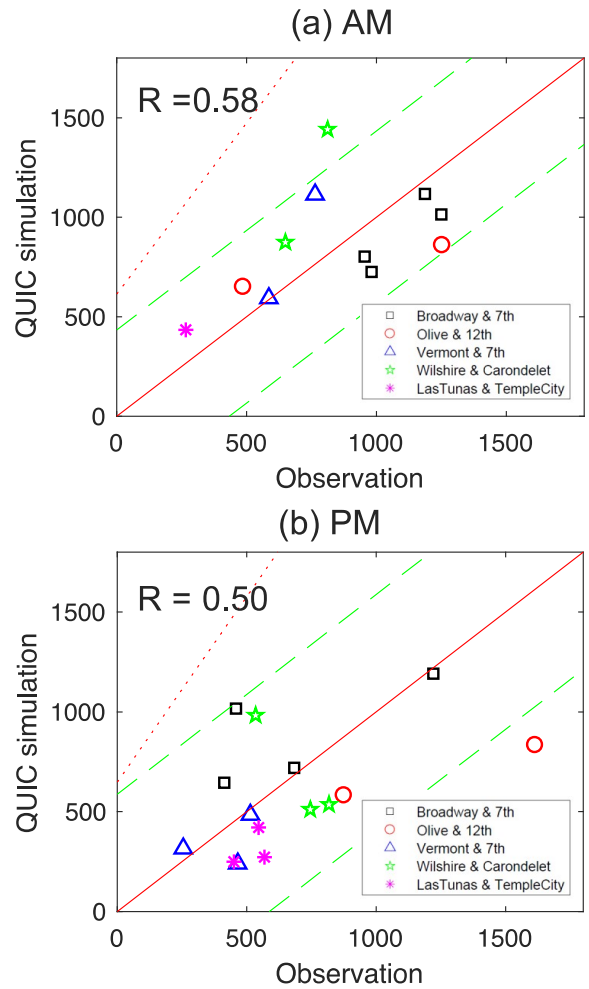
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544 Figure 2. The relationship between area aspect ratio ( $Ar_{area}$ ) and UFP adjusted concentration for  
 545 each site and measurement day from (a) mobile observations of Choi et al. (2016) and (b) the  
 546 QUIC area-averaged simulations. The definitions of markers and colors match those in Figure 2.

547 The red lines in the right column plots are the log-fit lines in order to be consist with the analysis  
 548 method in Choi et al. (2016).

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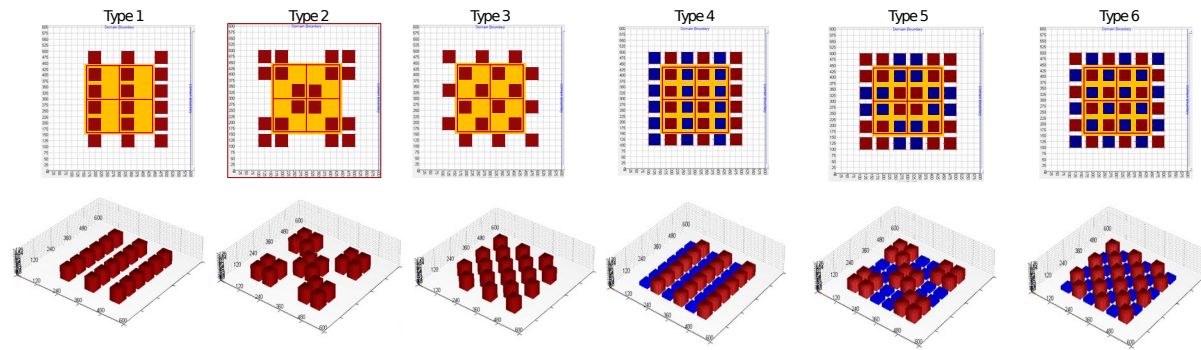




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551 Figure 3. Comparisons of UFP concentrations from QUIC simulations with observations from  
 552 Choi et al. (2016). The red lines indicate both the linear regression line and the 1:1 line. Each  
 553 point indicates the average concentration measured in a ~4 block area over the span of ~2 hours  
 554 during which windspeeds, directions and atmospheric structure were reasonably stable Choi et al.  
 555 (2016); each one was measured on a different day. The R value is 0.58 in the morning case and  
 556 0.50 in the afternoon, respectively. The green dashed lines represent  $\pm$  root mean square error  
 557 (RMSE). The red dotted lines represent 90% confidence level that the prediction of next  
 558 observational point will fall within the band.

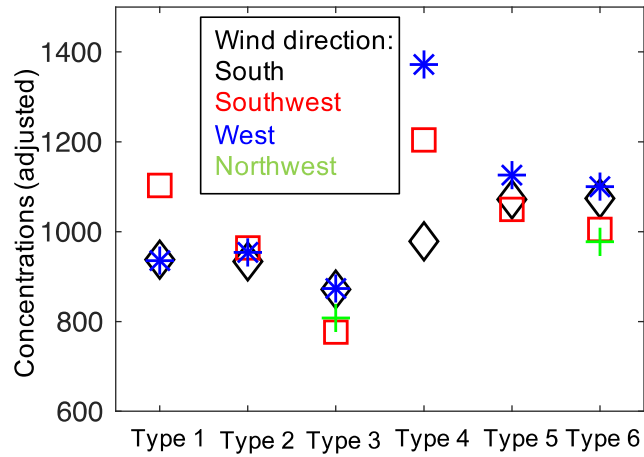
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561 Figure 4. Six model-built environment configurations. The main, sub-main streets and the open  
562 space between buildings within the 2 x 2 blocks are highlighted with yellow. The upper row  
563 shows the 2D visualization, and the lower row shows the 3D visualization. Buildings are shown  
564 in red or blue; open space is white. Red lines are the line sources from the traffic. The height of  
565 all buildings of Type 1-3 is 60 m. For Type 4-6, the height of blue buildings is 15 m, and the  
566 height of red buildings is 45 m.

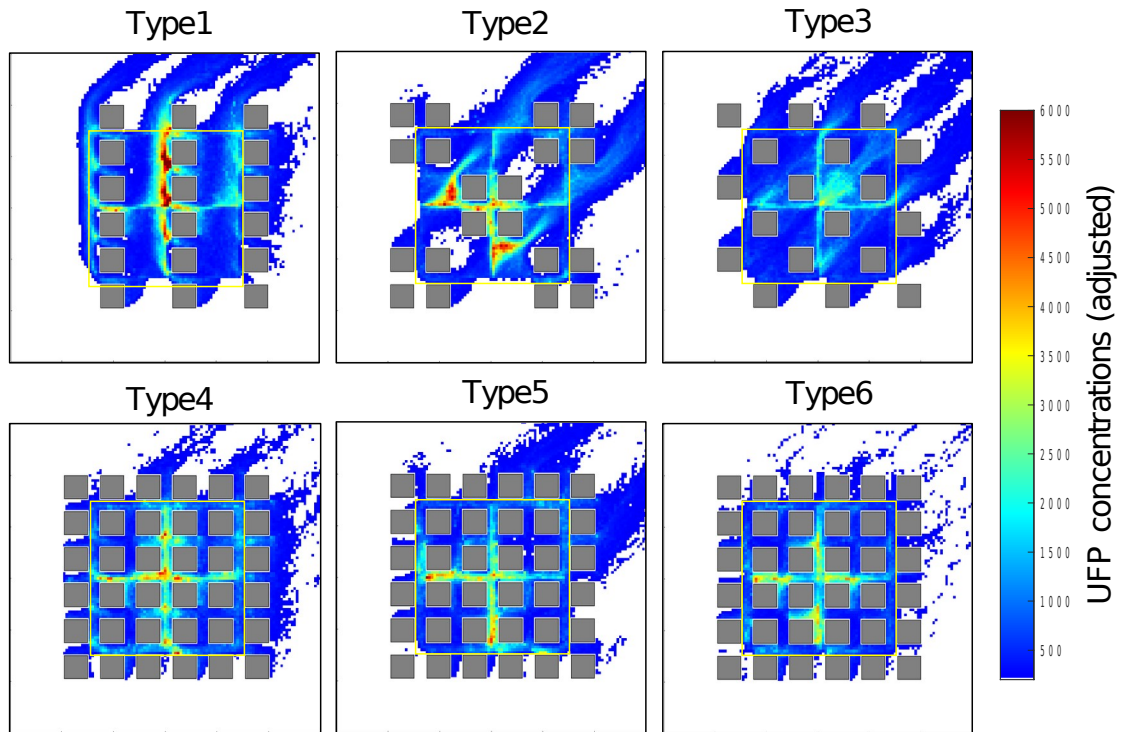
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569 Figure 5. Averaged UFP concentrations for six built environment types for different wind  
 570 directions, south (S), southwest (SW), west (W), and northwest (NW). As the configurations are  
 571 symmetric, directions rotated by 180° are not shown; Types 3 and 6 are not diagonally symmetric  
 572 so NW is also shown for these layouts.

573



UFP concentrations at street levels. Wind direction: Southwest

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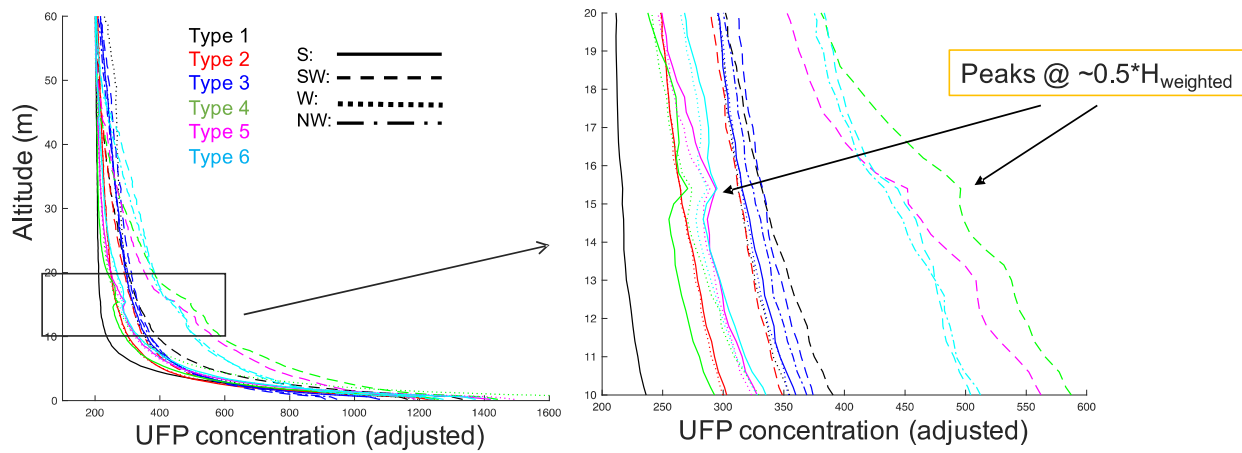
575 Figure 6. The averaged UFP concentrations at street level (from 0.4 m to 2 m above the ground)

576 for all six types with wind coming from southwest. The yellow squares show the area within

577 which ground level concentrations were averaged (outside areas only; not within buildings).

578

579



580

581 Figure 7. Averaged profile of UFP concentrations over the main, sub-main streets and open space  
 582 in the  $2 \times 2$  city blocks. Line colors represent corresponding built types and different line styles  
 583 represent simulation with different wind directions. Arrows point out the peaks.  $H_{\text{weighted}}$   
 584 represents the mean area weighted building height for corresponding type.

585

586