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Journal

Neuro-Oncology Advances, 3(1)

ISSN

0801-3284

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Publication Date

2021

DOI

10.1093/noajnl/vdab082

Peer reviewed

Neuro-Oncology Advances

Validation of diffusion MRI as a biomarker for efficacy using randomized phase III trial of bevacizumab with or without VB-111 in recurrent glioblastoma

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Abstract

Background. Evidence from single and multicenter phase II trials have suggested diffusion MRI is a predictive imaging biomarker for survival benefit in recurrent glioblastoma (rGBM) treated with anti-VEGF therapy. The current study confirms these findings in a large, randomized phase III clinical trial.

Methods. Patients with rGBM were enrolled in a phase III randomized (1:1), controlled trial (NCT02511405) to compare the efficacy and safety of bevacizumab (BV) versus BV in combination with ofranergene obadenovec (BV+VB-111), an anti-cancer viral therapy. In 170 patients with diffusion MRI available, pretreatment enhancing tumor volume and ADC histogram analysis were used to phenotype patients as having high (>1.24 µm^2/ms) or low (<1.24 µm^2/ms) ADC$_L$, the mean value of the lower peak of the ADC histogram, within the contrast enhancing tumor.

Results. Baseline tumor volume (P = .3460) and ADC$_L$ (P = .2143) did not differ between treatment arms. Univariate analysis showed patients with high ADC$_L$ had a significant survival advantage in all patients (P = .0006), as well as BV (P = .0159) and BV+VB-111 individually (P = .0262). Multivariable Cox regression accounting for treatment arm, age, baseline tumor volume, and ADC$_L$ identified continuous measures of tumor volume (P < .0001; HR = 1.0212) and ADC$_L$ phenotypes (P = .0012; HR = 0.5574) as independent predictors of OS.

Conclusion. Baseline diffusion MRI and tumor volume are independent imaging biomarkers of OS in rGBM treated with BV or BV+VB-111.

Key Points

- Pretreatment volume and ADC were significant predictors of overall survival in rGBM treated with anti-VEGF treatment.

- Patients with a high ADC prior to bevacizumab +/- VB-111 have 2–3 months additional median survival (HR = 0.55).

Importance of the Study

Bevacizumab, an antiangiogenic drug and the U.S. standard of care for rGBM, has demonstrated a PFS benefit, but has not shown an advantage in OS. Overwhelming evidence from single center and multicenter phase II data suggests patients with a higher apparent diffusion coefficient (ADC) within their tumors have a significant OS benefit when treated with anti-VEGF therapy, including bevacizumab. The current study validates this observation in a randomized, controlled phase III trial of rGBM treated with bevacizumab with or without VB-111, a novel antiangiogenic treatment. Results suggest diffusion MRI, which is recommended as part of the standardized brain tumor imaging protocol, may be a valuable tool for determining survival benefit in rGBM patients considering bevacizumab or other antiangiogenic therapies.

Despite promising initial data and widespread exploration of anti-VEGF therapies in recurrent GBM, randomized phase II trials have not demonstrated an overall survival (OS) benefit for all patients with recurrent GBM.[1–3] We have demonstrated retrospectively in both single center[4–7] and multicenter phase II trials[8–10] that recurrent GBM patients with distinct diffusion MR imaging features have a significant survival benefit when treated with bevacizumab. We have demonstrated that measurements of the apparent diffusion coefficient (ADC) within the area of contrast enhancement on T1-weighted images can be modeled using a double Gaussian mixed model where ADC_L is the mean value of the lower Gaussian distribution, reflecting the more densely cellular component of the tumor, and ADC_H is the mean value of the higher Gaussian distribution, more representative of tumor tissue containing more necrosis, edema, or cerebrospinal contamination (Figure 1B–G). Data in rGBM treated with a wide range of anti-VEGF therapies (eg, cediranib [NCT00035656]; bevacizumab (BRAIN Trial, AVF3708g; NCT00345163); cabozantinib (XL184-201; NCT00704288); and aflibercept (VEGF Trap; NCT00369590) suggests patients with tumors exhibiting an ADC_L higher than 1.24 μm²/ms on pretreatment diffusion MR images have a significant survival benefit compared with patients who have a lower ADC_L, even after controlling for known prognostic variables including age and baseline tumor size.[8] This was independently confirmed[8] using data from BELOB,[3] which showed ADC_L and tumor volume to be predictive for patients treated with bevacizumab monotherapy, but not single agent lomustine. Furthermore, a recent post-hoc analysis of the EORTC-26101 trial by Schell et al.[11] confirmed our findings, showing that ADC_L using a threshold of 1.24 μm²/ms is prognostic for both progression-free survival (PFS) and OS in rGBM patients treated with bevacizumab (10.4 vs 8.1 months median OS, $P = .0004$).

Ofranergene obadenovec (VB-111),[12,13] a viral gene therapy that triggers apoptosis of tumor vascular endothelium, is a novel antiangiogenic therapy and an alternative to traditional anti-VEGF therapies. Phase II studies of VB-111 have shown to increase survival in rGBM when used prior to treatment with bevacizumab.[13] In the current study, we examine whether diffusion MR imaging phenotypes in tumors included in the GLOBE trial,[12] a randomized controlled phase III study of VB-111 combined with bevacizumab versus bevacizumab monotherapy in patients with recurrent glioblastoma.[12] Using this study, we aim to further validate the ability of diffusion MR phenotypes to predict survival in patients treated with bevacizumab as well as combined antiangiogenic therapy consisting of bevacizumab and VB-111.

Methods

Study Objectives

This study was approved by UCLA institutional review board (#10-000655; #14-001261). We retrospectively reviewed clinical, imaging, and survival data from a phase III randomized (1:1), controlled trial (NCT02511405) aimed to compare efficacy of bevacizumab alone versus bevacizumab with ofranergene obadenovec (VB-111) in recurrent glioblastoma to determine whether diffusion MRI and other factors were independent prognostic factors for OS.

Patient Eligibility

Eligible participants were adults aged >18 years with first or second progression of histologically confirmed GBM,
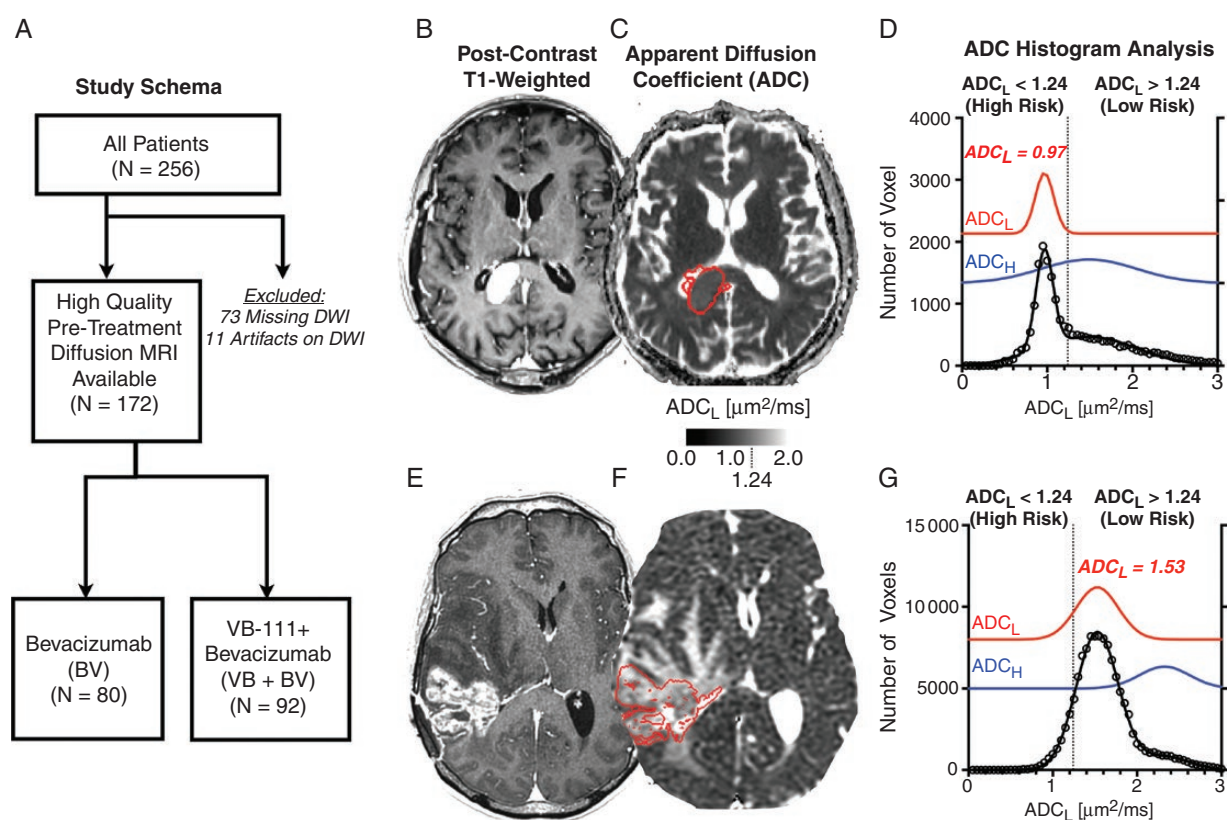
Figure 1. Study schema and ADC histogram analyses for representative rGBM patients. (A) Study schema showing initial study size ($N = 256$), for which 84 patients were excluded for lack of high-quality pretreatment diffusion MRI data (73 missing data and 11 with significant artifacts), leaving $N = 172$ patients for this imaging sub study. Of these 172 patients, $N = 80$ were randomized to the bevacizumab monotherapy arm (BV) and 92 were randomized to the combination of VB-111 and bevacizumab (VB+BV). (B) Post-contrast T1-weighted, (C) apparent diffusion coefficient (ADC) map, and (D) ADC histograms for a patient with low ADC_L, or high risk ($ADC_L = 0.97$ $\mu m^2/ms$). (E) Post-contrast T1-weighted, (F) ADC map, and (G) ADC histograms for a patient with high ADC_L, or low risk ($ADC_L = 1.53$ $\mu m^2/ms$).

who had received previous treatment with standard of care radiotherapy and temozolomide. Additionally, patients needed to have a KPS of at least 70%, life expectancy of at least 3 months, an interval of at least 12 weeks since the cessation of radiotherapy, and measurable lesion by RANO.[28] Exclusion criteria included prior antiangiogenic therapy, history of recent grade 2 or higher CNS hemorrhage, gastrointestinal bleeding or pulmonary hemorrhage/hemoptysis, inherited bleeding diathesis or significant coagulopathy at risk of bleeding, surgical treatment or significant trauma within 4 weeks, active vascular disease, proliferative and/or vascular retinopathy, inadequately controlled hypertension, history of gastrointestinal perforation or abscess.

Study Design

This was a phase III multisite, international, randomized, open-labeled, controlled trial. Study design and treatment regimens were determined in agreement with an FDA Special Protocol Assessment (SPA). Eligible patients with rGBM were randomized 1:1 to receive either VB-111 1 ×

1013 VPs every 8 weeks in combination with bevacizumab 10 mg/kg every 2 weeks (VB+BV) or bevacizumab monotherapy 10 mg/kg every 2 weeks (BV). Treatment assignment was determined by central randomization, and was stratified by age, KPS and first or second progression. Disease characteristics, including local assessment of the prognostic factors MGMT Methylation, EGFRvIII and IDH-1 mutation, were collected from patients' medical history (if available). Primary endpoint was OS, defined as the time from randomization until death from any cause.

Upon evidence of PD by RANO (defined as ≥25% increase in the sum of enhancing lesions diameters) continuation or discontinuation of study therapy was decided per physician's discretion, as long as the patient did not have increase in tumor measurements >50% or any confirmed T2/FLAIR and/or clinical deterioration. All patients who discontinued study drug were treated according to standard of care, and there was no cross-over from bevacizumab monotherapy to VB-111. All efforts were made to collect post-study MRIs, health related quality of life measures, follow-up of anti-cancer treatments and survival data every 2–3 months until the patient expired. Dose reductions of VB-111 and bevacizumab were not allowed. Repeat VB-111

dosing was delayed for patients who experienced a drug related AE, until the severity of the event was no more than CTCAE Grade 1. All patients received concomitant pre-dose acetaminophen to mitigate post-treatment fever, and pre-dose dexamethasone (10 mg) followed by 4 mg twice daily for 3 days post-dosing to prevent potential cerebral edema.

Magnetic Resonance Imaging

For the current study, only baseline (pretreatment) MRI scans were used. All MRI scans were obtained on a 1.5T or 3T MR scanner and were compliant with the standardized brain tumor imaging protocol,[14] including 1–1.5 mm isotropic pre- and post-contrast T1-weighted images, T2-weighted turbo spin echo and T2-weighted FLAIR images, and diffusion-weighted images with at least $b = 0$ and 1000 s/mm^2 and slice thickness ≤4 mm.

T1 Subtraction Maps

Contrast enhanced T1-weighted digital subtraction maps were used to insulate areas of contrast enhancement and exclude blood products and necrotic regions within the tumor using previously described techniques.[15–17] Briefly, T1-weighted images with and without contrast were co-registered, intensity normalized, then subtracted from each other on a voxel-wise basis to highlight the change in signal intensity due to contrast administration. Regions with positive values were segmented and used for ADC histogram analysis.

ADC Histogram Analysis

ADC histogram analysis was applied using standard techniques described elsewhere.[4,6,8,9,18] Simply, histograms were generated from ADC values extracted from contrast enhancing regions from T1 subtraction maps were generated, with average histogram bin size of 0.05 µm^2/ms. Then, nonlinear regression was performed using a double Gaussian model defined as: $p(ADC = f \cdot N(ADC_L, \sigma_{ADC_L}) + (1 - f) N(ADC_H, \sigma_{ADC_H})$, where $p(ADC)$ is the probability of a specific ADC value, f is the proportion of voxels in the histogram, $N(\mu,\sigma)$ is a normal Gaussian distribution with mean = μ and standard deviation = σ, and ADC_L is mean of the lower Gaussian distribution and ADC_H is mean of the higher Gaussian distribution. From this, ADC_L was used for further analyses.

Statistical Analysis

All analysis was carried out in MATLAB or using GraphPad Prism v9.0.1 (GraphPad Software). Baseline volume and ADC_L were compared using unpaired t-tests. Overall survival between different diffusion phenotypes as well as between different treatment groups were evaluated using cox regression survival analysis. Multivariate cox regression analysis including age, pretreatment tumor volume, ADC_L, and treatment arm was carried out to evaluate independent predictors of overall survival. P values of < 0.05 were considered significant.

Results

A total of 172 of the original 256 patients with recurrent glioblastoma enrolled in the phase III randomized controlled trial (NCT02511405) had available pretreatment diffusion imaging and were included in this study (Figure 1A). Of these patients, 92 of original 128 patients were randomized to VB+BV and had adequate diffusion imaging available (32 missing diffusion data and 4 with significant artifacts by quality control procedures described previously[19]), while 80 of the original 128 patients were randomized to BV and had adequate imaging available (41 missing diffusion data and 7 with significant artifacts). Table 1 highlights the patient characteristics for patients included in the current study. Figure 1B–G illustrates representative patients with low and high ADC_L. There was no significant difference in mean pretreatment contrast enhancing tumor volume between the 2 groups (Figure 2A; t-test, $P = .3460$; BV, mean volume = 26.2 mL; range 18.7–128.1 mL; VB+BV, mean volume = 23.0 mL; range 19.3–88.5 mL) Similarly, pretreatment ADC_L values were not significantly different between the 2 groups (Figure 2B; t-test, $P = 0.2143$; BV, mean ADC_L = 1.28 µm^2/ms; range 0.78–3.03 µm^2/ms; VB+BV, mean ADC_L = 1.23 µm^2/ms; range 0.64–1.94 µm^2/ms). MGMT promoter methylation status was evenly split between ADC_L high and low

Table 1. Patient Characteristics for Imaging Sub Study of GLOBE Trial

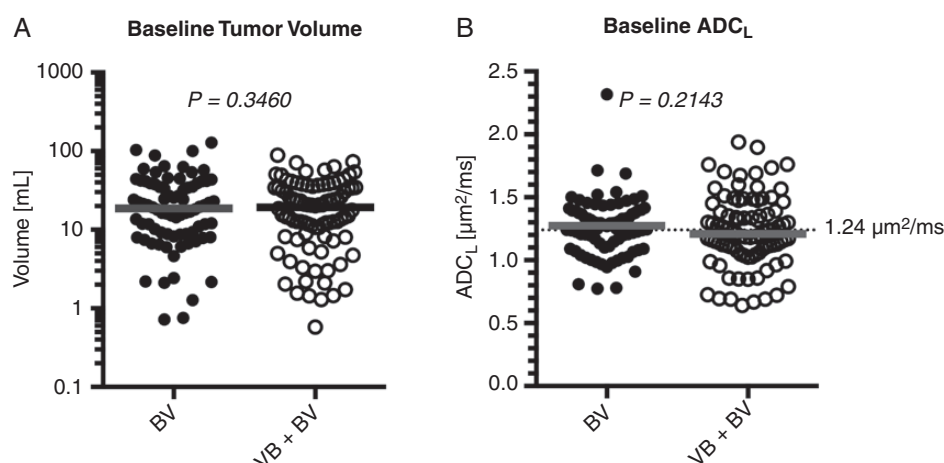| Characteristics	VB-111 + Bevacizumab (VB+BV) ($N = 92$)	Bevacizumab (BV) ($N = 80$)	P-Value
Mean age, y (SD)	55.1 (11.3)	54.4 (13.1)	.7072
Sex			
Male (%)	60 (65%)	55 (69%)	
Female (%)	32 (35%)	25 (31%)	
Baseline KPS (SD)	83 (9.5)	84 (9.3)	.4878
Median Survival (Months)	6.4	7.9	.3471

Figure 2. Baseline tumor volume and diffusion MRI measurements. (A) Comparison of pretreatment, baseline enhancing tumor volume ($P = .3460$) and (B) ADC$_l$ ($P = .2143$) between BV and VB+BV treatment arms showing no significant differences.
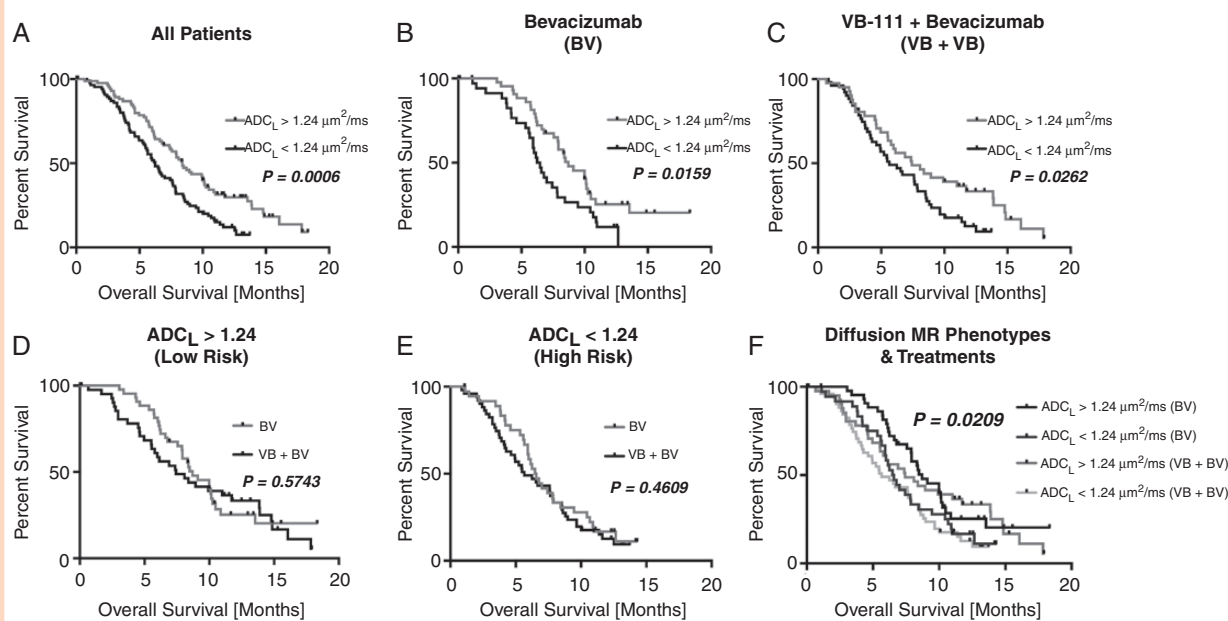

Figure 3. Overall survival comparisons between treatment arms and diffusion characteristics. Kaplan–Meier plots showing higher overall survival in rGBM with ADC$_L$ > 1.24 µm^2/ms compared with ADC$_L$ < 1.24 µm^2/ms when examining (A) all patients (Log-rank, $P = .0006$), (B) patients in the BV arm (Log-rank, $P = .0159$), and (C) patients in the VB+BV arm (Log-rank, $P = .0262$). No significant difference between treatment groups was observed when looking within tumors exhibiting (D) ADC$_L$ > 1.24 µm^2/ms (Low Risk; Log-rank, $P = .5743$) or (E) ADC < 1.24 µm^2/ms (High Risk; Log-rank, $P = .4609$). (F) Comparison of survival between diffusion MR phenotypes and treatment groups, showing a significant trend (Log-rank test for trends, $P = .0209$).

(20 vs 17 methylated, 34 vs 46 unmethylated, and 28 vs 27 missing or unknown). Similarly, IDH mutation status was also evenly distributed between ADC$_L$ high and low phenotypes (10 vs 12 mutated, 56 vs 58 wild type, 16 vs 20 missing or unknown.

Pretreatment diffusion phenotypes as stratified by ADC$_L$ greater than or less than 1.24 µm^2/ms were significantly associated with survival. Specifically, high ADC$_L$ (ADC$_L$ > 1.24 µm^2/ms) was associated with increased overall survival relative to patients with low pretreatment ADC$_L$

when pooling all patients (Figure 3A; Log-rank, P = .0006; HR = 0.5726; median OS = 8.4 vs 6.2 months). The association of increased overall survival with high ADC_L held true when examining patients within the BV (Figure 3B; Log-rank, P = .0159; HR = 0.5513; median OS = 8.7 vs 6.4 months) and VB+BV combination cohorts (Figure 3C; Log-rank, P = .0263; HR = 0.6175; median OS = 7.4 vs 5.7 months).

In patients with high ADC_L, which has been associated with higher overall survival in anti-VEGF treatment, there was no difference in overall survival by treatment strategy (Figure 3D; Log-rank, P = .5743; BV median OS = 8.7 months vs VB+BV median OS = 7.4 months). Similarly, in patients with low ADC_L, which is associated with lower overall survival when treated with anti-VEGF therapies, there was no difference in overall survival by treatment strategy (Figure 3E; Log-rank, P = .4609; BV median OS = 6.5 months vs VB+BV median OS = 5.7 months). Overall, when comparing 4 distinct groups: (1) high ADC_L & BV, (2) low ADC_L & BV, (3) high ADC_L & VB+BV, and (4) low ADC_L & VB+BV there was a significant trend in survival outcomes (Figure 3F; Log-rank test for trends, P = .0209), likely dominated by diffusion phenotypes rather than treatment strategy. A multivariable cox regression accounting for treatment arm, age, baseline tumor volume, and ADC_L identified continuous measures of tumor volume (Table 2; P < .0001; HR = 1.0212) and ADC_L phenotypes (Table 2; P = .0012; HR = 0.5574) as independent predictors of overall survival.

Discussion

Identifying effective therapies in recurrent GBM remains one of the most difficult challenges in neuro-oncology. Given that these patients may often not be eligible for repeat debulking surgery, personalized treatment strategies in the recurrent setting are significantly limited because of lack of tissue biomarkers to inform decision-making. While some molecular markers such as MGMT methylation status and IDH mutational harbor prognostic implications, these have a limited role in the setting of recurrent GBM and specifically in the setting of salvage therapies like anti-VEGF therapies. Thus, a pretreatment imaging biomarker that can be used to guide treatment decisions in rGBM is greatly desired. Results from the current study strongly support previous single institution[4–7] and multicenter phase II trials[8–11] showing that ADC_L, using a threshold of 1.24 $\mu m^2/ms$, within contrast enhancing tumor is an independent imaging biomarker for predicting overall survival

benefit from anti-VEGF treatments, including combination therapies.

Whether diffusion MR phenotypes are *predictive* for anti-VEGF treatment or merely *prognostic* for all treatments in rGBM is still open to considerable debate. Previous single institution studies have suggested that diffusion MR phenotypes are predictive for survival benefit in anti-VEGF monotherapy, but not cytotoxic chemotherapies[4] or surgical resection.[7] This was independently confirmed[8] in a separate cohort using data from BELOB,[3] which showed ADC_L to be predictive for patients treated with bevacizumab monotherapy, but not single agent lomustine. When this was tested post-hoc using data from EORTC-26101, Schell et al.[11] found that ADC_L using a similar threshold was prognostic for both bevacizumab and non-bevacizumab treated patients. However, unlike previous studies,[4,8] 35% of these "non-bevacizumab" patients crossed over and received bevacizumab treatment after disease progression, suggesting diffusion MR phenotypes may still be *predictive* to anti-VEGF treatment. Regardless, all these studies harmonize and together strongly support the hypothesis that pretreatment ADC_L is a powerful tool for identifying patients that will have a favorable outcome to anti-VEGF treatment, including bevacizumab.

The biological basis of these diffusion MR phenotypes has also been under recent investigation.[5,20] Specifically, we have noted that ADC_L is significantly correlated with increased DNA, RNA, and protein expression of decorin, a small proteoglycan that both modulates angiogenesis and alters viscosity of the extracellular matrix, and this relationship is preserved in patient derived xenograft (PDX) models.[5] Data suggest decorin may be a multifaceted antiangiogenic agent,[21–24] as it interferes with thrombospondin-1,[25] suppress endogenous tumor cell production of VEGF[22,26] and VEGF-A,[27] the therapeutic targets of bevacizumab, and binds with a high affinity to VEGFR1/2.[28] Decorin also concurrently modulates the stiffness of the extracellular matrix by binding with various macromolecules and activating specific matrix metalloproteinases (MMPs),[22] which may explain the relationship between increased decorin expression and increased ADC_L, a measure of water mobility (or viscosity) within the tumor tissue. Studies aimed at identifying any causal associations between decorin expression, diffusion MR phenotypes, and anti-VEGF efficacy are yet to be conducted.

In addition, the molecular status of the tumor may also contribute to the specific diffusion MR phenotypes. For example, a TCGA study by Wu et al.[29] suggested that newly diagnosed IDH mutant gliomas have significantly higher average ADC values within areas of bulk tumor compared

Table 2. Cox Multivariate Regression Results for Age, Treatment, Tumor Volume, and ADC_L

Variable	Coefficient	Hazard Ratio (HR) [95% CI]	*P*-Value
Age (years, continuous)	−0.0086	0.9914 [0.9765–1.0066]	.2660
Treatment (BV vs VB+BV)	0.1271	1.1356 [0.8042–1.6036]	.4702
Tumor Volume (mL, continuous)	0.0209	1.0212 [1.0122–1.0302]	<.0001
ADC_L Phenotype (High/Low)	−0.5844	0.5574 [0.3913–0.7940]	.0012

with newly diagnosed IDH wild-type gliomas, irrespective of tumor grade. Also, a study by Han et al.[30] suggests newly diagnosed MGMT methylated tumors may have a higher ADC measurement compared to unmethylated tumors; however, a larger and more comprehensive study with more than twice the patients suggested the opposite, with newly diagnosed MGMT methylated tumors exhibiting significantly lower ADC.[31] While these other factors may have contributed a part in the underlying diffusion phenotype, these previous studies were in newly diagnosed gliomas and not recurrent disease. Additionally, the current study did not require IDH and MGMT status be obtained for all patients. Approximately 30% of patients in the VB+BV cohort and 25% of patients in the BV cohort did not have IDH status available, and 18% of patients on both arms did not have MGMT status available.[12] Despite this, MGMT methylation status and IDH mutation status appeared evenly distributed across ADC_L phenotypes. However, a proper accountability of these variables cannot be considered in the current study and is a potential limitation.

Conclusions

Results from the current phase III trial confirms that pretreatment contrast enhancing tumor volume and diffusion MR imaging phenotypes are independent predictors of overall survival in rGBM treated with bevacizumab with or without VB-111. Additionally, results suggest clinicians should consider using diffusion measurements within the enhancing tumor prior to bevacizumab treatment in order to estimate potential patient benefits.

Keywords

anti-VEGF therapy | bevacizumab | diffusion MRI | imaging biomarker | recurrent GBM | VB-111

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