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Title

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Permalink https://escholarship.org/uc/item/07m23306

Journal ACS Applied Materials & Interfaces, 10(34)

ISSN 1944-8244

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Publication Date 2018-08-29

DOI

10.1021/acsami.8b08076

Peer reviewed

ACS APPLIED MATERIALS

¹ Interdiffusion and Doping Gradients at the Buffer/Absorber ² Interface in Thin-Film Solar Cells

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ABSTRACT: An accurate determination of the net dopant concentration in 7 photovoltaic absorbers is critical for understanding and optimizing solar cell 8 performance. The complex device structure of multilayered thin-film solar 9 cells poses challenges to determine the dopant concentration. Capacitance-10 voltage (C-V) measurements of Cu(In,Ga)Se₂ thin-film solar cells typically 11 yield depth-dependent apparent doping profiles and are not consistent with 12 Hall measurements of bare absorbers. We show that deep defects cannot fully 13 explain these discrepancies. We instead find that the space charge region 14 capacitance follows the model of a linearly graded junction in devices 15



16 containing a CdS or Zn(O,S) buffer layer, indicating that elemental intermixing at the buffer/window interface alters the dopant 17 concentration within the absorber. For absorbers covered with MgF₂, C-V measurements indeed agree well with Hall

measurements. Photoluminescence measurements of $Cu(In,Ga)Se_2$ absorbers before and after deposition of a CdS layer provide

19 further evidence for a significant reduction of the near-surface net dopant concentration in the presence of CdS. We thus

20 demonstrate that interdiffusion at the absorber/buffer interface is a critical factor to consider in the correct interpretation of

doping profiles obtained from C-V analysis in any multilayered solar cell and that the true bulk dopant concentration in thin-

22 film devices might be considerably different.

23 KEYWORDS: doping profile, capacitance-voltage, Mott-Schottky, thin-film solar cells, diffusion

1. INTRODUCTION

24 Photovoltaic technologies play a crucial role for a clean and 25 renewable generation of electricity. Solar cells based on high-26 quality single-crystalline semiconductors, for example, silicon 27 or gallium arsenide, are generally most successful in terms of ²⁸ pure record power conversion efficiency.¹ In contrast, thin-film 29 photovoltaic technologies emerged from the idea to reduce 30 fabrication costs² and were shown to be superior in terms of 31 energy payback time and carbon footprint.^{3,4} Despite their 32 intrinsically lower material quality, thin-film solar cells achieve 33 remarkably high power conversion efficiencies on a laboratory scale. Recently, solar cells based on the ternary chalcopyrite 34 35 semiconductor Cu(In,Ga)Se₂ (CIGS)⁵⁻⁷ have demonstrated 36 the highest efficiencies among all low-cost thin-film photo-37 voltaic technologies with record efficiencies of up to 22.9%^{8,9} 38 on rigid substrates and of 20.4% using flexible substrates.¹⁰ 39 Efficiencies exceeding 22% have also been reported for thin-40 film devices based on CdTe¹¹ and perovskite¹² absorbers. Thin-film solar cells are complex multilayer structures. 41

Reliable experimental techniques to measure fundamental amaterial properties of the semiconducting absorber layer and to other constituent elements of the device are crucial to understand and optimize the performance of a solar cell. The for example, regionically affects the recombination rate in the device and the width of the space charge region (SCR) at the chargegence production of the space charge region (SCR) at the chargeproduction p/n junction.¹³ Accordingly, both open-circuit voltage and short-circuit current density of the solar cell ⁵⁰ directly depend on the bulk dopant concentration. Correct ⁵¹ knowledge of the doping level, thus, is a prerequisite to model ⁵² or simulate thin-film solar cells or indeed any other thin-film ⁵³ device. The doping level can be obtained experimentally from ⁵⁴ Hall measurements or capacitance–voltage (C-V) measure- ⁵⁵ ments. Both methods are well established for bulk semi- ⁵⁶ conductors^{13–16} but are challenging to interpret in thin-film ⁵⁷ devices.^{17–19} As a result, obtaining a correct description of the ⁵⁸ depth-dependent concentration of dopants in the absorber ⁵⁹ layer of a thin-film device requires particular care. In the ⁶⁰ present manuscript, we discuss CIGS devices in detail; similar ⁶¹ effects are, however, likely to occur as well in CdTe solar cells ⁶² and other thin-film devices containing heterojunctions. ⁶³

On the one hand, thin-film solar cells consist of several thin 64 layers with corresponding interfaces, as depicted on the top of 65 Figure 1 for the example of a typical CIGS thin-film solar cell. 66 fi This complex device geometry requires a complex electrical 67 equivalent circuit^{20–24} to interpret the measured capacitance 68 and thus might have a strong impact on the extracted doping 69 profiles. In particular, bias-dependent capacitance–voltage 70 measurements always have to be regarded in relation to the 71 frequency-dependent capacitance spectrum. On the other 72

 Received:
 May 16, 2018

 Accepted:
 July 31, 2018

 Published:
 July 31, 2018



Figure 1. (a) Sketch of a typical CIGS thin-film solar cell processed on a glass substrate: a Mo back contact, a p-doped CIGS absorber layer, a CdS buffer layer, and an n-type window double layer (nonintentionally-doped "intrinsic" i-ZnO and Al-doped ZnO). The given scale is only approximate. (b) Experimental capacitance spectrum C(f) of a CIGS solar cell in a measured temperature range of 320–50 K, showing a main capacitance step from 15 down to 10 nF/cm² in a temperature range of 250–70 K and a capacitance freeze-out at the lowest temperatures.

⁷³ hand, carrier traps and recombination centers in the form of
⁷⁴ electronic defects might be present within the active CIGS
⁷⁵ absorber layer or at the buffer/absorber interface. Even at high
⁷⁶ frequencies, where capacitive contributions from such defects
⁷⁷ are typically negligible, charges stored in the defects can still
⁷⁸ affect the experimental capacitance–voltage relations if the
⁷⁹ defect level crosses the Fermi level somewhere in the absorber.
⁸⁰ This adds a bias-dependent contribution of defect states to the
⁸¹ true concentration²⁵ of shallow dopants in the absorber.

In the standard approach to obtain the absorber dopant 82 83 concentration from a C-V measurement, the effective dopant 84 concentration is calculated from the slope of the inverse 85 squared capacitance C^{-2} as a function of applied bias voltage V 86 ("Mott–Schottky plot").¹⁵ The corresponding apparent depth 87 is calculated from the inverse capacitance assuming a parallel 88 plate capacitor between both edges of the SCR. Note that the 89 SCR is typically assumed to only extend into the p-type 90 absorber because of the (assumed) high n-type doping of the 91 buffer/window layers. In addition to this fundamental 92 assumption, the effect of additional layers and interfaces in 93 the device structure is usually not taken into account. Although 94 some authors do consider the contribution of an intrinsic 95 interlayer to the SCR width, for example, the buffer layer in 96 CIGS solar cells, $^{26-28}$ further effects of the n-doped side are 97 neglected. Most importantly, however, the standard inter-98 pretation of C-V measurements relies on the assumption of 99 sharp and well defined "step-like" interfaces, that is, no 100 intermixing of adjacent materials should occur within the 101 device.

Several common features are routinely observed in the 102 electrical characterization of CIGS thin-film solar cells.

- At least one pronounced capacitance step in thermal 104 admittance spectroscopy with an activation energy 105 around 100 meV, similar to that shown in Figure 1, 106 which has been termed the N1 signature.²⁹ It has 107 traditionally been interpreted as the signature of a defect 108 level either at the interfaces^{27,29} or in the bulk.³⁰ 109 Recently, an increasing number of publications link this 110 capacitance step to transport phenomena in the bulk^{31,32} 111 or transport barriers at the interfaces.^{21,23,24,26,33-36} 112
- A "U"-shaped depth-dependent doping profile, ^{26,30,37-40} ¹¹³ with a minimum dopant concentration typically in the ¹¹⁴ range of a few 10¹⁵ cm⁻³ for moderate applied bias and ¹¹⁵ significantly higher dopant concentrations toward higher ¹¹⁶ forward bias ("front") and reverse bias ("back"). The ¹¹⁷ increase toward forward bias is explained by minority ¹¹⁸ carrier injection and parasitic resistances, whereas the ¹¹⁹ increase toward reverse bias is typically attributed to the ¹²⁰ presence of deep defects.²⁵ ¹²¹

In a previous study,^{19,40} we demonstrated that Hall 122 measurements yield dopant concentrations significantly higher 123 than that suggested by the standard interpretation of C-V 124 measurements and we argued that transport barriers or defects 125 are most likely not sufficient to explain this discrepancy. 126 Furthermore, a different doping in films grown directly on glass 127 compared to those grown on Mo could also be excluded by 128 measuring a film grown on Mo and mechanically removed 129 from the substrate. We rather proposed that cadmium in- 130 diffusion from the CdS buffer layer in these devices results in 131 an increased donor concentration near the buffer/window 132 interface, thus reducing the near-surface net dopant concen- 133 tration compared to the strong p-type doping in the bulk. Such 134 Cd in-diffusion into CIGS has indeed been directly 135 observed,⁴¹⁻⁴⁵ at least within a few tens of nanometers from 136 the interface. The copper vacancy (V_{Cu}) is expected to be a 137 dominant acceptor in CIGS,^{46–49} and substitutional Cd-on-Cu ¹³⁸ (Cd_{Cu}) is predicted to form a donor in CIGS.^{50–52} ¹³⁹ Furthermore, CdS can be doped p-type by replacing Cd with 140 Cu.⁵³ Accordingly, Cd diffusion from CdS to available sites on 141 the Cu lattice in CIGS, or vice versa, could lead to a 142 substantially reduced net doping or even type inversion near 143 the CIGS/CdS interface. 144

In this contribution, we present further insight into the 145 electrical characterization of CIGS solar cells with particular 146 focus on doping gradients in capacitance-voltage measure- 147 ments. In Section 3, we discuss deep defects in CIGS and 148 explore to which extent they might be responsible for gradients 149 in the apparent doping profile. We review the impact of doping 150 gradients on the bias dependence of the junction capacitance 151 in Section 4 and compare our experimental data to different 152 junction models. We find that elemental interdiffusion between 153 the buffer layer and absorber indeed consistently explains our 154 electrical measurements, which we verify in Section 5 by 155 replacing the standard CdS/ZnO buffer/window stack with 156 alternative buffers and interfaces. We also provide a short 157 update on our previous Hall measurements of CdS-coated 158 absorbers grown on glass. Our electrical studies are 159 complemented and confirmed by photoluminescence (PL) 160 measurements of bare and CdS-covered absorbers in Section 6. 161 Our results provide further support for interdiffusion as a 162

163 critical factor for the correct interpretation of doping profiles 164 obtained from C-V analysis.

2. EXPERIMENTAL DETAILS

165 We study polycrystalline CIGS thin-film absorbers, which are grown 166 on Mo-coated soda-lime glass in a three-stage coevaporation process 167 with varying Cu and Ga contents and incorporating a double Ga 168 gradient. For the electrical measurements shown in this manuscript, 169 we have exemplarily chosen absorbers with copper content of [Cu]/170 ([Ga] + [In]) \approx 0.98–0.99 and average gallium content of [Ga]/ 171 ([Ga] + [In]) $\approx 0.28 - 0.37$ as determined from energy-dispersive X-172 ray (EDX) measurements. Despite the Cu content close to unity 173 estimated from EDX, these absorbers clearly show PL peaks 174 characteristic for compensated Cu-poor material. The set of samples 175 shown in this manuscript was chosen to minimize the impact of 176 potential near-surface vacancy compounds in highly Cu-deficient 177 absorbers and because these absorbers resulted in the highest solar 178 cell efficiencies of 16-18%. We obtain comparable trends also for 179 absorbers with different compositions, in particular for a range of Cu 180 contents in Cu-poor samples and also for samples without Ga. 181 Absorbers grown under Cu excess ("Cu-rich") were etched in a 182 potassium cyanide (KCN) solution to remove Cu_xSe secondary 183 phases developed under Cu-rich growth conditions and thus result in 184 nominally stoichiometric absorbers. All samples contain Na and to a 185 certain extent K, from the glass substrate, but have not received an 186 additional alkali postdeposition treatment.

Standard solar cells have a CdS buffer layer deposited by chemical the bath deposition (CBD) for 5–6 min at 67 °C (2 mM CdSO₄, 50 mM thiourea, 1.5 M NH₄OH). The thickness is estimated to be 40–50 nm from typical growth rates. Alternatively, Zn(O,S) is deposited by CBD for 6 min at 75 °C (0.1 M ZnSO₄:7H₂O, 0.4 M thiourea, 2 M the VH₄OH, and 0.22 M H₂O₂ 30%, recipe adapted from refs,^{54,55} sestimated thickness 20–35 nm). On top of the buffer layer, we deposit an rf-sputtered i-ZnO/ZnO:Al double window layer and a Ni/ shadow mask. Solar cells with an active area of 0.2–0.5 cm² are defined by mechanical scribing and achieve efficiencies above 16% at room temperature under 1 sun illumination.

For electrical measurements, samples are mounted in the dark in a 199 200 closed-cycle cryostat at a base pressure below 10^{-3} mbar. The sample 201 temperature is measured with a Si diode sensor glued onto an 202 identical glass substrate beside the solar cells. The device conductance 203 and capacitance are recorded with an LCR meter in a maximum 204 frequency range of f = 20 Hz to 2 MHz with a controlled ac voltage 205 amplitude of δV_{ac} = 30 mV rms, assuming a standard parallel 206 equivalent circuit model (" G_p-C_p model"). We use the measured dc 207 voltage across the terminals connecting the solar cell for all voltage-208 dependent measurements to exclude artifacts because of the internal 209 resistance of the LCR meter. In Section 5, we use a deconvolution of 210 the frequency-dependent impedance spectrum based on the serial 211 electrical equivalent circuit consisting of two R-C elements 212 representing the buffer layer and main junction, respectively. This 213 approach is detailed in ref 23.

For calibrated PL measurements, the samples are excited by the 214 215 514.5 nm line of an argon ion laser at room temperature. The emitted 216 PL is collected by off-axis parabolic mirrors, redirected into a 303 mm 217 spectrograph, and recorded by an InGaAs-array detector. Spectral correction is applied using a calibrated halogen lamp. The beam 218 219 diameter and laser power are measured with a charge-coupled device 220 camera and power meter, respectively, to calibrate the photon flux. 221 The samples are cooled to a temperature of 10 K in a He-flow cryostat 222 for low-temperature measurements. Time-resolved PL measurements 223 are performed with a pulsed laser diode at 638 nm wavelength and 224 100 ps pulse width. The PL transients are recorded with a time 225 resolution of approximately 400 ps with a near-infrared photo-226 multiplier tube employing time-correlated single photon counting. 227 Storage of bare absorbers in air reduces both quasi-Fermi level 228 splitting⁵⁶ and lifetime, which can be reversed by cyanide etching. 229 Thus, cyanide etching is necessary for bare absorbers before PL 231

2.32

3. EFFECT OF DEEP DEFECTS ON THE DOPING PROFILE

constant quasi-Fermi level splitting over many months.⁵⁰

Electronic defects within the SCR modify the capacitance– 233 voltage relation and might thus lead to a misinterpretation of 234 the experimental apparent doping profiles. At angular 235 excitation frequencies well above the inverse response time 236 of a given defect state, this defect no longer responds to the ac 237 voltage modulation and remains in the same charge state. This 238 causes the direct contribution of defect states to the device 239 capacitance to vanish at high frequencies. Figure 1 shows a 240 typical zero-bias capacitance spectrum of a Cu-poor CIGS 241 solar cell for sample temperatures in a range of 320–50 K. 242 First, we have to establish which features in the capacitance 243 spectrum are actually related to deep defects. From low to high 244 temperatures, we observe the following features.

measurements. Absorbers covered with CdS in contrast show a 230

3.1. Freeze-Out. The low-temperature capacitance step (C 246 \approx 10-4 nF/cm²) is clearly related to a freeze-out of the 247 absorber because the capacitance drops to the geometrical 248 capacitance $C = \varepsilon_0 \varepsilon_r / d$ of the absorber layer with a thickness of 249 $d = 2.3 \ \mu$ m, assuming a relative dielectric permittivity $\varepsilon_r = 10.250$ It is thus not relevant for the present discussion.

3.2. Main Capacitance Step ("N1"). The main 252 capacitance step ($C \approx 15-10 \text{ nF/cm}^2$) in a temperature 253 range of 250–70 K agrees with the N1 signature²⁹ commonly 254 observed for CIGS solar cells and could in principle be related 255 to deep defects. If this was the case, these defects could follow 256 the ac excitation over the full experimental frequency range for 257 T > 250 K and accordingly would always contribute to the 258 capacitance near room temperature. However, on the basis of 259 refs,^{23,24,36} we instead attribute this capacitance step to a 260 transport barrier or interfacial/buffer layer in our devices. 261

3.3. Slow Defects? The slight capacitance dispersion at the 262 highest temperatures above the main capacitance step might be 263 caused by tail states at the band edges⁵⁷ but might also indicate 264 the presence of slow defect states in the bulk or at the interface. 265 "Slow" in this context refers to a capacitance step with 266 inflection frequency well below the experimental frequency 267 range. 268

According to these observations, we only expect slow defect 269 levels that do not follow the ac excitation in a C-V 270 measurement near room temperature. Nevertheless, the 271 charges stored in these defects at a given applied dc bias 272 voltage will differ from the zero-bias case, unless the defect 273 level is energetically far away from the Fermi level everywhere 274 in the SCR. The potential distribution across the device is 275 described by the Poisson equation, which links the potential to 276 the total charge density including carriers in the bands, ionized 277 dopants, and charged defects. Despite a negligible direct 278 capacitive contribution, charged defects thus modify the band 279 bending in the SCR and consequently also alter the SCR 280 capacitance. This results in a "stretch-out" of the capacitance- 281 voltage curve along the voltage axis, and the apparent doping 282 concentration obtained from the Mott-Schottky plot 283 increases.^{15,25} For sufficiently high ac frequencies or temper- 284 atures, the only impact of defects on the doping profile thus 285 originates from the slow variation of the dc bias voltage, which 286 allows the defect charges to equilibrate at each measurement 287 point on the bias voltage ramp. We indeed observe a 288 measurable difference in the doping profiles depending on 289 the voltage sweep rate used in the experiment (not shown 290 291 here), which suggests an influence of deep defects on the 292 device capacitance. We thus investigate the time-dependence 293 of the measured capacitance in response to changes in dc bias 294 voltage to quantify the impact of deep defects on the 295 capacitance measurement.

Because we only expect slow defect levels, we are fairly 296 297 unrestricted in our choice of measurement frequency. Here, we 298 have chosen an ac frequency of 10 kHz to avoid artifacts due to 299 series resistance, which increase with frequency. We study the 300 time-evolution of the SCR width due to charging or 301 discharging of defects by the following method: the sample 302 is kept at a forward bias of \approx 700 mV, comparable to the opencircuit voltage V_{oc} under 1 sun illumination, for at least 120 s. 303 304 The capacitance is continuously monitored to verify that a saturation value is reached after this time. This procedure 305 306 ensures that the device is in a well-defined steady state in 307 forward bias with all relevant trap levels above the Fermi level 308 of the bulk majority carriers, as depicted by the top left sketch 309 in Figure 2. The bias voltage is then set to the voltage of



Figure 2. Top: Schematic sketch of the conduction band minimum and valence band maximum as a function of depth below the CIGS/ CdS interface, with a defect level and its occupation indicated by blue circles, in forward bias (left) and in equilibrium (right). The red lines represent the majority (quasi-)Fermi level ($E_{\rm Fr}$, solid line) and minority quasi-Fermi level ($E_{\rm Fn}$, dotted line). Bottom: Evolution of the apparent SCR width $w = \varepsilon_0 \varepsilon_r$, with $\varepsilon_0 = 10$ as a function of time after keeping the device under forward bias of +700 mV immediately before applying a set bias voltage between +0.5 V and -3.0 V, as indicated in the graph. The red dashed lines exemplarily mark the initial (w_0) and final ($w_{\rm equiv}$) SCR width at 0 V.

310 interest at t = 0 and the capacitance is monitored for at least 311 120 s. Depending on the chosen bias voltage and thus band 312 bending in the SCR, a bulk defect level might now cross the 313 Fermi level within the SCR, as shown by the top right sketch in 314 Figure 2. Charging or discharging of these defects will then 315 cause a change in the SCR width with time, which manifests as 316 a capacitance transient in the experiment. After the measure-317 ment, the device is allowed to relax at zero bias for a few 318 minutes; then, this procedure—prebiasing at +700 mV 319 followed by a change in bias voltage—is repeated for a different bias voltage. Note that our admittance setup is not 320 equipped to measure precise time-resolved capacitance 321 transients, and the recorded transients are limited to a time 322 resolution of approximately 1 s. This uncertainty does not 323 influence the analysis in our study as we do not take the 324 quantitative decay time constant into account. 325

Figure 2 shows a set of capacitance transients for different 326 applied bias voltages between +0.5 V and -3 V and a sample 327 temperature of T = 300 K. We plot the corresponding apparent 328 SCR width $w = \varepsilon_0 \varepsilon_r / C$ rather than the capacitance *C* to 329 emphasize that the defects at the chosen frequency of 10 kHz 330 mainly act on the potential and thus *w*, rather than directly on 331 the capacitance *C*. Note that any intrinsic layer, for example, a 332 depleted buffer layer, would add a constant width w_i to the 333 SCR width, independent of the bias voltage. Throughout this 334 paper, we assume a relative dielectric permittivity of $\varepsilon_r = 10$ for 335 CIGS but our discussion does not depend on the exact value. 336

For t < 0, all curves in Figure 2 coincide as expected. Minor 337 differences in capacitance correlate with variations of a few mV 338 in the actual applied bias voltage. Note that the capacitance in 339 forward bias shows an exponential voltage dependence because 340 of carrier injection, and thus, small variations in bias voltage 341 lead to a large spread in capacitance values. 342

When a bias voltage lower than the open-circuit voltage $V_{\rm oc}$ 343 is applied at t = 0, the apparent SCR width increases 344 instantly—within our measurement resolution of roughly 1 s— 345 to an initial value w_0 and then slowly increases further to a 346 saturation value w_{equiv} . These values are indicated in Figure 2 347 for the example of the zero-bias transient. We interpret the 348 initial values of the SCR width w_0 and capacitance C_0 as the 349 ideal capacitance of the SCR in the absence of deep defects 350 because slow defects did not yet have sufficient time to change 351 their charge state and thus do not contribute to the capacitance 352 at all. After some time, these defects equilibrate and additional 353 charges localized in the defects result in an expansion of the 354 SCR to the final width w_{equiv} in steady-state conditions. Taking 355 the initial value C_0 at each bias voltage thus allows us to 356 construct an experimental C-V curve representative of a 357 device without any deep defects. In this interpretation, we have 358 neglected defects with response times faster than 1 s, for which 359 we would not be able to resolve the capacitance transient, but 360 above a few milliseconds corresponding to an inflection 361 frequency at the lower limit of our experimental frequency 362 range (typically 20–100 Hz). As discussed above, we, however, 363 do not expect such defects to be present in our devices in 364 significant concentrations because of the small capacitance 365 dispersion at T = 300 K. 366

It is worth pointing out that the slow defect response $_{367}^{367}$ observed in the capacitance transients is not related to $_{368}^{369}$ metastabilities⁵ in the CIGS absorber. For a given bias voltage, $_{369}^{369}$ we always obtain the same capacitance transient, independent $_{370}^{371}$ of biasing or illumination history of the sample. This means $_{371}^{371}$ that the capacitance only changes because of the slow capture $_{372}^{372}$ and emission of charge carriers, but the nature of the defects is $_{373}^{373}$ not altered by light soaking or by applying a bias voltage. In a $_{374}^{376}$ observe any impact of the wavelength of illumination; $_{376}^{376}$ particularly, we did not find any change of electronic or $_{377}^{377}$ transport properties under illumination in the absence of blue $_{378}^{378}$ light, as has been reported for certain buffer/absorber $_{379}^{380}$

4. DOPING GRADIENTS IN CAPACITANCE-VOLTAGE MEASUREMENTS 381

382 We can now compare two different sets of C-V data of the 383 same sample to investigate to which extent apparent doping gradients in CIGS devices are caused by deep defect 384 385 contributions:

The initial capacitance C_0 of the capacitance transient as 386 described above, which we identify with the ideal SCR 387 capacitance of a hypothetical device without deep 388 defects and 389

a conventional C-V measurement at a frequency of 10 390

- kHz, where the bias voltage is incrementally decreased 391
- from forward to reverse bias in steps of 100 mV, with a 392
- wait time of 30 s after each voltage step, which thus 393
- includes the effects of deep defects on the SCR width. 394

f3

f4

Figure 3a shows the Mott-Schottky plots, that is, inverse 395 396 squared capacitance versus applied dc voltage, for the transient



Figure 3. Voltage dependence of (a) the inverse squared capacitance $C^{2}(V)$ and (b) the inverse cube capacitance $C^{-3}(V)$ at T = 300 K and f = 10 kHz, obtained from a standard capacitance–voltage sweep with equilibration time of 30 s (blue circles) and from the initial SCR capacitance C_0 extracted from the capacitance transients (black squares). The red solid line in part (b) is a linear fit to the data.

397 data (black squares) and conventional C-V sweep (blue 398 circles). The corresponding apparent doping profiles are 399 shown in Figure 4d (solid symbols).

As expected, the Mott-Schottky plots mirror the trend seen 400 401 in the capacitance transients: in the conventional C-V402 measurement, charged slow defects increase the SCR width 403 and lead to a clearly nonlinear Mott-Schottky plot. Note that 404 the exact bias dependence then depends on the voltage sweep 405 rate (not shown here). In contrast, the ideal SCR capacitance 406 obtained from the starting value of the capacitance transients 407 C_0 yields a much flatter doping profile. At least some part of 408 the apparent depth dependence of typical doping profiles in 409 CIGS devices is thus indeed related to the presence of deep 410 defects in the SCR or at the CdS/CIGS interface. Note,



Figure 4. (a-c) Net acceptor (blue) and donor (red) concentrations near the interface between p-type CIGS bulk and n-type buffer/ window layers for an (a) abrupt constant profile, (b) linearly graded profile, and (c) abrupt graded profile. For clarity, the n-type doping is drawn lower than typically assumed and any intrinsic interlayers are ignored. (d) Apparent dopant concentration N as a function of apparent depth w obtained from the Mott-Schottky plots of a standard C-V sweep (blue circles) and the initial SCR capacitance of the capacitance transients (black squares). The red lines are reconstructed doping profiles for an assumed linearly graded profile [as in (b), dashed line] or abrupt graded profile [as in (c), solid line] with a slope parameter of $dC^{-3}/dV = -5 \times 10^{22} (F/cm^2)^{-3}/V$.

however, that even the "ideal" capacitance does not obey a 411 linear Mott-Schottky relation and thus indicates an 412 inhomogeneous dopant concentration. 413

4.1. Fundamental Junction Models. So far, we have not 414 addressed the implications of a potentially inhomogeneous 415 doping profile on the analysis of capacitance-voltage measure- 416 ments. Relations between various depth profiles of dopant 417 concentration and their respective capacitance-voltage curves 418 are discussed by van Opdorp in ref 59. On the basis of his 419 work, we will summarize the relations relevant for the present 420 discussion. For an arbitrary doping profile, the voltage drop V 421 is integrated over the SCR width w according to 422

$$\mathrm{d}V = -\frac{q}{\varepsilon}N(w)w \,\,\mathrm{d}w \tag{1}_{423}$$

where q is the elementary unit charge, $\varepsilon = \varepsilon_0 \varepsilon_r$ is the dielectric 424 permittivity of the semiconductor, and N(w) is the apparent 425 effective doping concentration given by 426

$$N(w) = \left[\frac{1}{N_{\rm A}(x_{\rm p})} + \frac{1}{N_{\rm D}(x_{\rm n})}\right]^{-1}$$
(2) 427

where $N_{\rm A}(x_{\rm p})$ and $N_{\rm D}(x_{\rm n})$ are the net acceptor (donor) 428 concentrations at the edges x_p and x_n of the SCR on the p- and 429 n-doped side at the applied voltage V, respectively, and the 430 total SCR width is given by $w = x_n + x_p$. The capacitance C at a 431 given voltage is then obtained by substituting $C = \varepsilon/w$. It is 432 generally not possible to unambiguously deduce the correct 433 physical acceptor or donor profiles as a function of depth from 434 a simple C-V measurement alone because it requires 435 knowledge of x_n , x_p , $N_A(x_p)$, and $N_D(x_n)$ for each bias 436 voltage.⁵⁹ Nevertheless, information about the qualitative 437 shape of the apparent doping profile can be obtained from 438

45

468

480

439 the exponent x of a linear $C^{-x}(V)$ relation, which arises from 440 the depth-dependence of the apparent doping concentration 441 N(w) in eq 1; although the exact values of $N_{A,D}(x)$ cannot be 442 determined, it is possible to distinguish whether the net dopant 443 concentration changes with depth or not.

444 Three exemplary doping profiles relevant for the present 445 discussion are sketched in Figure 4a–c. The constant doping 446 model in Figure 4a represents the standard model used in most 447 discussions, where the net dopant concentrations at the n- and 448 p-doped sides are assumed to be uniform throughout the depth 449 of the device with a step-like junction in-between. In this case, 450 N(w) is constant and integration of eq 1 yields

$$V_{\rm D} - V = \frac{qN}{2\varepsilon} \left(\frac{\varepsilon}{C}\right)^2 \tag{3}$$

452 where the integration constant $V_{\rm D}$ is an offset voltage related to 453 the built-in potential at the junction. The inverse square 454 capacitance thus yields a straight line as a function of voltage, 455 which explains the prominence of the Mott–Schottky plot in 456 *C*–*V* analysis. If furthermore the donor concentration in the 457 buffer/window layers is assumed to far exceed the CIGS 458 acceptor concentration, the effective dopant concentration in 459 eq 2 approximately equals the CIGS net acceptor concen-460 tration. The inverse slope of the Mott–Schottky plot $C^{-2}(V)$ is 461 then directly proportional to the approximate CIGS absorber 462 dopant concentration.

Figure 4b represents a linearly graded doping profile, where 464 the net donor and acceptor concentrations increase linearly 465 with a gradient of a = dN/dx with respect to distance x from 466 the junction. Thus, $N_A(x_p) = N_D(x_n) = aw/2$ at the edges of 467 the SCR. Integration then yields

$$V_{\rm D} - V = \frac{qa}{12\varepsilon} \left(\frac{\varepsilon}{C}\right)^3 \tag{4}$$

469 where the denominator of the prefactor contains a factor of 3 470 because of the cubic dependence on capacitance and a factor of 471 4 from N(w) = aw/4 according to eq 2. The abrupt graded 472 profile in Figure 4c represents an intermediate case between 473 the two former extremes; here, the electronic junction 474 coincides with the absorber/buffer interface, and only one 475 side of the junction exhibits a gradient of the dopant 476 concentration. For sufficiently high doping in the n-doped 477 side, the SCR mainly extends into the linearly graded p-doped 478 side of the junction ($w \approx x_p$), and the capacitance–voltage 479 relation is given by

$$V_{\rm D} - V = \frac{qa}{3\varepsilon} \left(\frac{\varepsilon}{C}\right)^3 \tag{5}$$

⁴⁸¹ The abrupt graded profile, eq 5, differs from the linearly ⁴⁸² graded profile, eq 4, only by a factor of 4 because now $N(w) \approx$ ⁴⁸³ $N_A(x_p) \approx aw$.

For both types of graded profiles, a plot of the inverse cube 485 capacitance $1/C^3$ versus applied voltage is thus expected to 486 yield a straight line. A constant dopant concentration 487 sufficiently far away from the junction, as pictured here for 488 the highly n-doped window layer, has no effect on the C-V489 relation if the SCR is always confined to the linearly graded 490 section of the doping profile for the full range of bias voltages 491 employed in the measurement. Note that linearly graded 492 models are also good approximations for technologically more 493 relevant exponential diffusion profiles, which can locally be 494 approximated by a linear gradient.⁵⁹ An abrupt graded model, on the other hand, might be applicable if the CIGS absorber 495 always remains p-doped at the surface and the CdS buffer is 496 unaffected by interdiffusion of Cd and Cu or always located 497 fully within the SCR. 498

4.2. Comparison with Experiment. Figure 3b shows that 499 we indeed observe a nearly perfectly linear voltage-dependence 500 of the inverse cube capacitance obtained from the capacitance 501 transients (ideal SCR, black squares in Figure 3b), in 502 accordance with the predictions for a graded doping profile. 503 From a linear fit to the data (solid red line), we deduce a slope 504 parameter of $dC^{-3}/dV = -(5.0 \pm 0.1) \times 10^{22} (F/cm^2)^{-3}/V$. 505 This value corresponds to a doping gradient of $a = 1.9 \times 10^{14}$ 506 cm⁻³/nm for a linearly graded profile, eq 4, and a four times 507 lower gradient $a = 4.8 \times 10^{13} \text{ cm}^{-3}/\text{nm}$ for an abrupt/graded 508 profile, eq 5. Reconstructed acceptor concentration profiles in 509 the CIGS absorber based on this fit are shown by the red lines 510 in Figure 4d as a function of the physical distance from the p/ 511 n-junction for the two different graded doping models.

For the abrupt/graded profile [Figure 4c], assuming a highly 513 n-doped window layer, the apparent SCR width roughly 514 coincides with the physical depth of the depletion region edge 515 $x_{\rm p}$ within the absorber, resulting in a one-sided junction. For 516 such a one-sided junction, the depth-dependent effective 517 dopant concentration can be derived from the local slope of a 518 Mott-Schottky plot $C^{-2}(V)$ around each bias voltage. As 519 expected, the reconstructed abrupt/graded profile (solid red 520 line in Figure 4d) thus coincides with the apparent doping 521 profile obtained from a Mott–Schottky plot (black squares in 522 Figure 4d). This implies that the exact junction model is not 523 relevant for the interpretation of a C-V measurement as long 524 as the junction can be regarded as one-sided. Note that the 525 blue circles in Figure 4d are not comparable because this 526 doping profile includes the impact of slow defect states on the 527 C-V relation, as discussed in Section 3. All models discussed 528 here are only valid in sufficient reverse bias, and thus, 529 differences for small apparent depth values should also be 530 neglected. 531

In contrast to the abrupt/graded case, the assumption of a 532 one-sided junction is no longer valid for a linearly graded 533 junction (Figure 4b). The SCR extends significantly into both 534 the n- and p-doped side and is in fact distributed equally 535 between both sides for an ideal linearly graded junction with 536 the same dopant gradient in the n- and p-type sides. 537 Accordingly, the experimental apparent doping profile under- 538 estimates the true absorber doping profile by a factor of 4 as 539 discussed in the derivation of eq 4, a factor of 2 for the effective 540 dopant concentration $[N_A(x_p) = N_D(x_n)$ in eq 2], and a further 541 factor of 2 because the distance of either SCR edge from the 542 junction is just half the total SCR width. Accordingly, the 543 reconstructed doping profile for the linearly graded junction 544 (dashed red line in Figure 4d) implies dopant concentrations 545 larger than expected from the standard Mott-Schottky analysis 546 assuming a one-sided step junction. Remarkably, the linearly 547 graded junction model for this particular sample is consistent 548 with a bulk dopant concentration of approximately 10¹⁷ cm⁻³ 549 expected from Hall measurements⁴⁰ on comparable absorbers. 550

The linear voltage-dependence of the inverse cube 551 capacitance shown in Figure 3b presents a compelling 552 argument for a graded junction. Note that based on these 553 C-V measurements alone, we cannot differentiate between an 554 abrupt and linearly graded junction according to Figure 4b or 555 4c, that is, whether the p/n junction is located at the absorber/ 556 buffer interface or within the CIGS absorber. This distinction, 557

558 however, becomes critical when trying to reconcile the low 559 experimental doping concentrations of a few 10^{16} cm⁻³ derived 560 from C-V measurements with much higher values around 10^{17} 561 cm⁻³ obtained by Hall measurements. Because doping profiles 562 extracted from a Mott–Schottky plot underestimate the true 563 physical dopant concentration for linearly graded profiles 564 (dashed red line in Figure 4d), a buried junction within the 565 CIGS absorber due to an (approximately) linearly graded 566 doping profile could nicely explain the stark differences 567 between C-V and Hall measurements.

568 For the sample shown in Figure 4, the two different 569 scenarios are as follows.

• Assuming a linearly graded profile, the experimental apparent dopant concentration of roughly 2×10^{16} cm⁻³ in high reverse bias (black squares in Figure 4d) corresponds to an *actual* net dopant concentration of up to 8×10^{16} cm⁻³ at the corresponding apparent depth, in good agreement with Hall results.

576 • For an abrupt/graded profile, the apparent doping 577 approaches values close to 10^{17} cm⁻³ only in a depth of 2 578 μ m because of the low gradient of $a = 4.8 \times 10^{13}$ cm⁻³/ 579 nm. The doping gradient in this case would extend 580 through almost the entire CIGS thin film, which has a 581 thickness of 2–2.5 μ m determined by cross section 582 scanning electron microscopy.

On the basis of this comparison, we find that a linearly stagraded doping profile with a buried junction is the most natural explanation to reconcile the differences in doping level between C-V and Hall measurements because C-V measurements would underestimate the real doping density by a factor where C-V measurements would in principle yield the correct where C-V measurements would in principle yield the correct of dopant concentration, the doping level deep within the station within the SCR accessible by C-V measurements.

Experimentally, however, the apparent doping profile is only 593 594 accessible in C-V in a limited depth range, given by the 595 location of the SCR edges for various bias voltages. Thus, we 596 cannot determine the net dopant concentration deep within 597 the bulk from C-V and can only assume that it will level off at 598 a dopant concentration close to that measured in Hall 599 experiments. By the same reasoning, we would not be able 600 to directly resolve any differently-doped, for example, intrinsic 601 or highly p⁺-doped, interlayers located in close proximity to the 602 electronic junction. Note that such interlayers would, however, 603 affect the extrapolated built-in potential, which could explain 604 why the linear fit in Figure 3b intercepts the voltage axis more 605 than 100 meV below the 1 sun open-circuit voltage of the 606 device. The different doping models sketched in Figure 4a-c 607 thus have to be regarded as schematic classifications only. 608 From the presented C-V measurements, we thus conclude 609 that a doping gradient exists in CIGS solar cells, with reduced 610 net dopant concentration toward the buffer/window interface 611 and potentially inverted n-type near-surface region within the 612 absorber. It is, however, unlikely that a perfectly linear doping 613 gradient throughout the full SCR forms in a real device.

5. COMPARING DIFFERENT BUFFER LAYERS

⁶¹⁴ The preceding discussion indicates that doping gradients ⁶¹⁵ indeed exist in CIGS devices. On the basis of previous ⁶¹⁶ results,⁴⁰ such doping gradients could be caused by Cd in-⁶¹⁷ diffusion and accordingly should be absent in devices where the CdS buffer layer is replaced by an alternative Cd-free buffer 618 layer. We compare four different samples, which have been 619 processed from pieces of the same substrate. All samples thus 620 share an identical absorber but differ in the buffer/window 621 stacks used. Their respective buffer/window stacks are as 622 follows: 623

1.	CBD-	CdS				624

- 2. CBD-CdS with i-ZnO/ZnO:Al, 625
- 3. CBD-Zn(O,S) with i-ZnO/ZnO:Al, 626 4. MgF₂₁ and 627
- 5. MgF₂ after etching the CdS/ZnO from sample 2. 628

The CdS and Zn(O,S) buffer layers are deposited by CBD, 629 whereas MgF_2 with a nominal thickness of 50 nm is deposited 630 by electron beam evaporation. For sample 5, the CdS/i-ZnO/ 631 ZnO:Al stack is etched from a piece of sample 2 in diluted 632 hydrochloric acid (HCl), and the etched surface is then 633 covered with MgF_2. Note that samples with the MgF_2 layer did 634 not receive any ZnO layers on top of MgF_2, neither did sample 635 1 with only a CdS layer. 636

In addition to electrical measurements, we performed 637 secondary ion mass spectrometry (SIMS) on all devices. 638 Because of the rough surface and the presence of grain 639 boundaries, however, these SIMS measurements are not 640 conclusive at the moment. In fact, already very small quantities 641 below 10 ppm of electrically active donor defects, compared to 642 approximately 4×10^{22} cm⁻³ total atoms in CIGS, are required 643 to already fully compensate a bulk net acceptor concentration 644 of 10^{17} cm⁻³. It is unlikely that such small concentrations could 645 be detected reliably by available depth- and element-specific 646 experimental techniques such as SIMS or atom probe 647 tomography. 648

The distinctly different buffer/window stacks in the five 649 different samples might cause artifacts in the C-V analysis 650 because of their impact on the device impedance. We thus use 651 a serial connection of two circuit elements representing the 652 junction and buffer/window stack, respectively, each consisting 653 of a parallel capacitance and conductance, to extract the 654 junction capacitance at each bias voltage from the correspond- 655 ing frequency-dependent impedance.²³ For devices with a CdS 656 or Zn(O,S) buffer layer, we find that this approach is not 657 necessary for room-temperature measurements because the 658 conductivity of the buffer layer is high and only affects the 659 device impedance at lower temperatures. For the less 660 conductive MgF₂ layers, on the other hand, the main 661 capacitance step is already visible at room temperature. As 662 expected,²³ our circuit analysis results in doping profiles in 663 agreement with standard C-V measurements at low 664 frequencies below the capacitance step. Measurements at 665 high frequencies above the capacitance step, however, are 666 shifted to lower absolute values of dopant concentration and 667 higher SCR width, although they result in a qualitatively similar 668 depth dependence. The apparent depth-dependent doping 669 profiles at a temperature of 300 K, obtained from the circuit 670 analysis described above, are shown in Figure 5 for all five 671 f5 devices. Only the reverse-bias region (V < 0 V) is shown for 672 clarity because carrier injection effects start to distort the C-V 673 relation in forward bias. 674

5.1. Elemental Diffusion from the Buffer Layer. All 675 samples with the CdS or Zn(O,S) buffer layer show the well- 676 known pronounced doping gradient already observed in 677 Section 3. If we use a thin MgF₂ layer (blue diamonds in 678 Figure 5) instead of the typical buffer/window stack, we obtain 679



Figure 5. Apparent dopant concentration N at T = 300 K vs apparent depth *w* for different buffer/window combinations mentioned in the graph. Only reverse bias (V < 0 V) is shown for clarity.

680 a much higher apparent dopant concentration close to 10^{17} 681 cm⁻³, which is comparable to the (in-grain) free carrier 682 concentration obtained by Hall measurements⁴⁰ of comparable 683 absorbers. Accordingly, *C*–*V* measurements indeed yield the 684 correct absorber dopant concentration for this particular 685 sample (covered with MgF₂) and the doping gradients 686 observed for CdS and Zn(O,S) devices must either be real 687 or a measurement artifact caused by the different buffer/ 688 window stacks.

If the experimental doping gradient was indeed an artifact 689 690 related to the different buffer/window stack compared to a 691 MgF₂ layer, it should disappear in sample 5 containing an 692 identical MgF₂ layer on the etched device. In contrast, we 693 observe a pronounced doping gradient (green triangles in 694 Figure 5) even after replacing the buffer/window stack with 695 MgF₂. The doping profile in this case is quite different from 696 the CdS and Zn(O,S) devices but this might be in part related 697 to the etching process; on the basis of SIMS profiles, the 698 etched absorber appears to be 100-200 nm thinner than the 699 initial absorber. This value is difficult to determine with any 700 certainty and is likely insignificant compared to local thickness variations. Nevertheless, etching in HCl might indeed have 701 702 removed at least some part of the original absorber surface. 703 One might argue that the thickness of the intrinsic ZnO layer 704 in the window stack could contribute to the apparent depth and thus explain the shift between doping profiles of samples 705 706 with and without the i-ZnO layer. This is not the case in our 707 study because we have separated the junction capacitance and 708 any capacitive contributions of intrinsic interlayers from the frequency-dependent impedance spectrum. The impact of the 709 710 i-ZnO layers on the apparent depth would anyway be small at 300 K because of their high conductivity, which is also 711 712 apparent from the temperature range of the main capacitance step associated with the buffer layer in Figure 1. 713

5.2. Cadmium Versus Zinc. By comparing both devices ris with the MgF_2 layer, we have established that the typical rie dopant gradient observed in C-V measurements of CIGS rie devices is indeed located within the absorber and not related to ris the presence of the buffer/window stack. This conclusion is rie furthermore consistent with the PL results discussed in Section 20 6 below. We obtain similar doping profiles for devices with rie CdS or Zn(O,S) buffer layers, although Zn(O,S) is nominally right contained with Zn(O,S), presumably due to the right contamination of the equipment used for CBD. Migration of ris Zn into the absorber would likely also reduce the surface-near right concentration because substitutional Zn-on-Cu

 (Zn_{Cu}) is predicted to be a donor in CIGS as well, albeit 727 energetically somewhat deeper than Cd_{Cu} .⁵¹ 728

Compared to CdS, the doping gradient in the absorber with 729 the Zn(O,S) buffer layer appears to be steeper in Figure 5, 730 which results in a higher net dopant concentration deep within 731 the bulk. In some cases, (not shown here) we even obtain 732 apparent doping profiles approaching the bulk dopant 733 concentration close to 10^{17} cm⁻³ at large reverse bias for 734 devices containing a Zn(O,S) buffer layer. These differences 735 between CdS and Zn(O,S) buffered devices are consistent with 736 the literature data of the diffusion coefficients of Cd and Zn in 737 CIGS.^{60,61} The diffusion coefficient of Cd is larger than that of 738 Zn in the relevant temperature range and we expect a deeper 739 penetration of Cd into the CIGS absorber, whereas Zn is more 740 confined to the surface-near region of the absorber. 741

Although this trend agrees with the relative magnitudes of 742 the respective diffusion coefficients of Cd and Zn, the apparent 743 penetration depth of several hundreds of nanometers suggested 744 by Figure 5 is much larger than expected. Extrapolating the 745 reported experimental diffusion coefficients^{60,61} to room 746 temperature, the penetration depth of both Cd and Zn in 747 CIGS should not exceed a few nanometers. A few caveats have 748 to be taken into consideration, however. 749

- Irregularities in the diffusion processes have been 750 reported in these studies,^{60,61} and copper vacancies 751 and grain boundaries also enhance the diffusion 752 process.⁶²⁻⁶⁴ 753
- During rf-sputtering of the ZnO window layer stack, the 754 absorber layer is exposed to elevated temperatures and 755 the sputtering plasma. Interdiffusion might be signifi-756 cantly enhanced under these conditions. Already at 100 757 °C, for example, Cd and Zn diffusion coefficients are 3-758 4 orders of magnitude higher compared to their room-759 temperature values, which increases the penetration 760 depth by roughly a factor of 100. At 200 °C, the 761 penetration depth would even be 3 orders of magnitude 762 higher compared to room temperature.
- Small quantities below 10 ppm of electrically active Cd $_{764}$ or Zn might already be sufficient to fully compensate the $_{765}$ bulk net acceptor concentration of 10^{17} cm⁻³. $_{766}$

5.3. Complementary Electrical Measurement Techni- 767 ques. Such small quantities of Cd or Zn prove difficult to 768 detect with sufficient precision in CIGS thin-film devices, even 769 by SIMS measurements, but their electronic effect should be 770 readily detectable in Hall measurements. Nevertheless, we did 771 not observe any effect of CdS deposition on the in-grain carrier 772 concentration in our earlier Hall experiments.^{19,40} A standard 773 Hall measurement probes the full cross section of the sample 774 and is thus less sensitive to the near-surface doping than C-V 775 measurements. Accordingly, the initial interdiffusion restricted 776 to a near-surface layer might have gone undetected because of 777 the high conductivity of the unaffected part of the highly- 778 doped CIGS layer. We expect a more drastic change of the 779 doping profile after some time because the Cd diffusion 780 appears to proceed noticeably even at room temperature.⁴⁰ We 781 have thus attempted to repeat Hall measurement of the same 782 sample after storage for 18 months in vacuum. The resolution 783 of these measurements is insufficient to obtain reliable results 784 for the majority carrier concentration and mobility, in large 785 part because of a strong increase of the sheet resistance over 786 time. The absorber layer initially had an average resistivity of 787 approximately 35 Ω cm at T = 270 K, which increased to 60 Ω 788

789 cm after CdS deposition mainly due to increased band bending 790 at the grain boundaries.⁴⁰ After 18 months of storage in 791 vacuum, we measure a resistivity of 165 Ω cm, which clearly 792 indicates that the transport properties of the CdS-coated 793 absorber have changed with time even when stored at room 794 temperature. Although part of the increase in resistivity might 795 again be due to changes of the grain boundary potential, these 796 results would also be consistent with a substantial decrease of 797 net dopant concentration in parts of the absorber layer.

In the literature, cross sections of CIGS devices have been 798 799 studied by spreading resistance and electron beam induced 800 current (EBIC) techniques, see, for example, refs,^{65,66} to map 801 the majority carrier concentration and current collection by the 802 SCR, respectively. This allows in principle to reconstruct the 803 laterally and depth-resolved local dopant concentration within 804 the absorber. Spreading resistance maps recorded at flat band 805 conditions in ref 65 revealed a highly resistive near-surface 806 layer, which has been attributed to type-inversion because of 807 Cd diffusion into the absorber. This effect was far more 808 pronounced in Cu-depleted samples, indicating that Cd 809 diffusion indeed preferentially proceeds via Cu vacancies. It 810 is worth pointing out, however, that this study only found a 811 low doping efficiency of Cd in CIGS because the surface-near 812 Cd-doped n-type layer was much lower doped than the p-type 813 absorber. Such a low doping efficiency, however, only affects 814 the formation of an inverted n-doped layer, whereas Cd is 815 apparently still able to efficiently compensate the p-type 816 absorber doping, leading to a doping gradient. This suggests 817 that the donor state associated with the Cd_{Cu} defect 818 energetically lies fairly deep below the conduction band. 819 Recent EBIC measurements⁶⁷ revealed that the magnitude and 820 fluctuations of the SCR width within the p-type absorber 821 strongly depend on the buffer and window layers used in the 822 device stack, which also supports interdiffusion processes 823 between these layers and the CIGS absorber to have a 824 noticeable impact on the electronic bulk properties. Both 825 spreading resistance and EBIC measurements show that the 826 net dopant concentration in the absorber might differ 827 significantly between different grains.^{65–67} This effect cannot s28 be resolved by macroscopic C-V measurements, which 829 average over many grains but might contribute to differences 830 between (perpendicular) C-V and (lateral) Hall measure-831 ments. Accordingly, although all of these results from different 832 electrical measurements support a reduced net doping 833 concentration near the p/n-junction because of interdiffusion 834 processes between the buffer or window layer and absorber, 835 the actual bulk doping concentration deep within the absorber 836 cannot be determined reliably by C-V measurements and 837 furthermore might vary significantly with lateral position.

6. ROLE OF CADMIUM STUDIED BY PL

838 PL experiments on bare and CdS-coated absorbers provide 839 further evidence for fast Cd in-diffusion into the surface-near 840 regions of the CIGS absorber. CIGS absorbers with different 841 copper contents show distinct differences in their respective 842 low-temperature PL spectra because of the different 843 compensation ratios N_D/N_A , that is, the ratio of compensating 844 donor concentration to the total acceptor concentration.^{68,69} A 845 high compensation ratio leads to local fluctuations of the 846 electrostatic potential, thus broadening the PL emission peaks 847 and resulting in a stronger shift of the PL peaks with increasing 848 excitation intensity. Because of their high concentration of 849 native point defects, Cu-poor absorbers with [Cu]/([In] + [Ga]) < 1 usually exhibit only a single broad, asymmetric PL 850 emission peak. In contrast, individual donor–acceptor-pair and 851 excitonic transitions can be resolved for nearly stoichiometric 852 absorbers grown under Cu excess ("Cu-rich").^{68,69} Figure 6 853 f6



Figure 6. PL spectra recorded at T = 10 K for Cu-rich and Cu-poor (data shifted vertically by +0.6 for clarity) absorbers before (solid lines) and directly after (dashed lines) CBD of a CdS layer.

shows the low-temperature (T = 10 K) PL spectra of bare Cu- 854 poor and Cu-rich CIGS absorbers before (solid lines) and 855 directly after (dashed lines) depositing a standard CdS layer by 856 CBD. The Cu-rich absorber had been etched in a KCN 857 solution prior to the PL experiment to remove Cu_xSe 858 secondary phases developed under Cu-rich growth conditions. 859

For the bare Cu-rich absorber, we initially clearly resolve 860 several individual transitions, which are broadened into a single 861 asymmetric peak after CdS deposition. Such an asymmetric 862 broadening is similarly attributed to an increased compensa- 863 tion ratio within the absorber, that is, an increase in donor 864 concentration or a decrease in acceptor concentration upon 865 CdS deposition. In addition, the main peak at 1.08 eV shifts by 866 3 meV/decade with increasing excitation intensity for the bare 867 Cu-rich absorber, indicating a low degree of compensation. 868 After CdS deposition, this peak shifts by more than 12 meV/ 869 decade, typically related to a strong electrostatic fluctuation 870 caused by compensating donors.⁶⁸ It should be noted that 871 these measurements are performed at low temperatures, where 872 the free carrier mobility is low.^{19,40,70} This means that the PL 873 emission stems predominantly from the region where 874 absorption of the excitation laser takes place, that is, from 875 the first ~100 nm near the surface. Thus, by adding a CdS 876 layer onto a Cu-rich absorber, we observe that its 877 compensation is increased, in agreement with Cd in-diffusion, 878 which results in a lower doping level near the surface. The bare 879 Cu-poor absorber only shows a single broad PL peak, which is 880 only slightly broadened upon CdS deposition. This can be 881 attributed to the already high compensation of the bare 882 absorber in the Cu-poor case, and any further increase of the 883 compensation ratio would not strongly alter the peak shape 884 any further. 885

Further evidence for a reduced doping level after CdS 886 deposition comes from time-resolved PL measurements on 887 polycrystalline CIGS absorbers at room temperature. Figure 7a 888 f7 shows exemplary time-resolved PL measurements of two 889 different sets of bare and CdS-coated Cu-poor absorbers, 890 which demonstrate that the experimental PL decay curves are 891



Figure 7. (a) Time-resolved PL measurements of two different Cupoor absorbers (open and closed symbols, respectively): bare absorber (blue) and with CdS (red). (b) Quasi-Fermi level splitting deficit $E_{\rm G} - \Delta \mu$ as a function of PL lifetime τ for bare (blue squares) and CdS-covered (red circles) CIGS absorbers. The solid lines represent calculated trends according to eq 7, where the dopant concentration $N_{\rm A}$ is each time increased by 1 order of magnitude from approximately 10¹⁵ cm⁻³ (top) to 10¹⁸ cm⁻³ (bottom).

892 not monoexponential. We fit the experimental data with the 893 sum of three exponential decays $I_{\rm PL}(t) = \sum_i A_i \exp(t/\tau_i)$ and 894 estimate a mean PL lifetime τ as weighted average $\tau = \sum_i A_i \tau_i /$ 895 $\sum_i A_i$. These results are similar to a single exponential fit in an 896 intermediate time range but remove the ambiguity of having to 897 manually define a fitting range.

We compare the quasi-Fermi level splitting $\Delta \mu$ and PL solutions in the band gap $E_{\rm G}$ of the absorber, we rather quasi-Fermi level splitting *deficit* $E_{\rm G} - \Delta \mu$, that is, solutions the quasi-Fermi level splitting *deficit* $E_{\rm G} - \Delta \mu$, that is, solutions the difference between band gap and quasi-Fermi level splitting. Besides the band gap, the quasi-Fermi level splitting solutions depends on the excitation, which is kept constant in all some measurements, on the amount of nonradiative recombination described by the reduced PL lifetime τ , and on the doping solutions $N_{\rm A}$. The use a simple model to relate lifetime τ and some quasi-Fermi level splitting $\Delta \mu$

$$\Delta \mu = kT \ln \left(\frac{N_{\rm A} \Delta n}{n_{\rm i}^2} \right) = kT \ln \left[\frac{N_{\rm A} \alpha j_{\rm ph} \tau}{N_{\rm C} N_{\rm V} \exp \left(-\frac{E_{\rm G}}{kT} \right)} \right]$$
(6)

910 where k is Boltzmann constant, T is temperature, N_A is net 911 dopant concentration, Δn is the excess carrier concentration, n_i 912 is the intrinsic carrier concentration, α is the inverse absorption 913 length, $j_{\rm ph}$ is the photon flux of the excitation, N_C and N_V are 914 the effective density of states of the conduction and valence 915 bands, respectively, and E_G is the band gap. Equation 6 is only 916 valid in low excitation, which was ensured by the experimental

909

conditions. We obtain an expression for the quasi-Fermi level 917 splitting deficit by rearranging eq 6 918

$$E_{\rm G} - \Delta \mu = kT \ln \left[\frac{C}{\tau N_{\rm A}} \right] \tag{7}_{919}$$

where the constant $C = (N_C N_V)/(\alpha j_{ph})$ in the enumerator has 920 the same value for all samples in our study. This deficit is thus 921 higher for increased nonradiative recombination, that is, for 922 lower lifetime, but also increases for lower dopant concen- 923 trations. 924

Figure 7 shows experimental values of the quasi-Fermi level 925 splitting deficit $E_G - \Delta \mu$ as a function of PL lifetime τ for bare 926 (blue squares) and CdS-covered (red circles) absorbers. In 927 both cases, the low lifetimes below 1 ns are observed for Cu- 928 rich absorbers and the higher ones for Cu-poor CIGS. The 929 quasi-Fermi level splitting $\Delta \mu$ of freshly etched or freshly 930 prepared absorbers is identical within error to the one on 931 absorbers covered with CdS.⁷² In contrast to the guasi-Fermi 932 level splitting, which essentially remained unchanged, the 933 carrier lifetime determined by time-resolved PL measurements 934 at room temperature changes considerably between freshly 935 etched and CdS-covered absorbers. Various bare absorbers 936 measured directly after etching show average lifetimes between 937 5 and 20 ns (Cu-poor) and around 0.1 ns (Cu-rich). PL 938 lifetimes of the same samples with a CdS buffer vary between 939 10 and 100 ns (Cu-poor) or around 0.5 ns (Cu-rich). 940

A similar quasi-Fermi level splitting within the same 941 absorber, despite the pronounced differences in lifetime, is 942 best described by a change in dopant concentration. For the 943 constant C in eq 7, we estimate a value of $C \approx 1.6 \times 10^{16}$ s/ 944 cm³ for our samples, assuming 1 sun illumination, $N_{\rm C}$ = 7 × 945 10^{17} cm⁻³ and $N_{\rm V} = 1.5 \times 10^{19}$ cm⁻³ corresponding to effective 946 electron and hole masses of 0.09 and 1.0, respectively, and 947 assuming that α is given by the inverse absorber thickness at 948 room temperature. Note that the factor α in eqs 6 and 7 949 converts the incident photon area density to a volume density 950 of photoexcited carriers. Because excess carriers initially 951 generated near the absorber surface redistribute throughout 952 the absorber in a quasi-static PL measurement, the appropriate 953 value of α will be smaller than the absorption coefficient in 954 CIGS. Solid lines in Figure 7 show calculated relations between 955 quasi-Fermi level splitting deficit and lifetime calculated from 956 eq 7 for different dopant concentrations. From top to bottom, 957 the dopant concentration increases from approximately $N_{\rm A}$ = 958 10¹⁵ to 10¹⁸ cm⁻³ by 1 order of magnitude between two 959 calculations. As can be seen in Figure 7, most of the 960 measurements on CdS-covered absorbers (red circles) can be 961 described by doping levels around approximately 10¹⁶ cm⁻³, 962 about 1 order of magnitude lower than $N_{
m A} pprox 10^{17} {
m cm}^{-3}$ of bare 963 absorbers (blue squares). The exact numbers depend on the 964 assumptions made for α , $N_{\rm C}$, and $N_{\rm V}$, but relative trends do not 965 depend on these assumptions. Thus, also the time-dependent 966 PL measurements indicate a reduced doping of the front part 967 of the absorber, which is accessible by PL, after the CdS 968 deposition.

Our PL results thus support the model that substantial Cd 970 in-diffusion could proceed quickly even at moderate temper-971 atures of 70 °C or below during CdS deposition. Note that, in 972 addition, Cu from the absorber might diffuse into the CdS 973 layer, thus representing an intermixing of the CdS and CIGS 974 layers at the interface. 975

7. DISCUSSION

976 The electrical characterization of thin-film solar cells by means 977 of capacitance–voltage (C-V) measurements was addressed 978 with particular focus on the dopant concentration of the 979 absorber layer in CIGS solar cells. We specifically focused on 980 discrepancies between C-V and Hall measurements and on 981 the correct interpretation of depth-dependent doping profiles 982 determined by C-V profiling, which show an increasing 983 apparent net acceptor concentration with depth.

The time-dependence of the device capacitance recorded at 984 985 different bias voltages showed a clear saturation behavior, 986 indicating a noticeable effect of "slow" defect states with 987 characteristic time constants of seconds or even minutes, and 988 thus well below the frequency range accessible in thermal 989 admittance spectroscopy. Although these defect states do 990 influence the apparent doping profile, they mainly act to 991 expand the SCR width and only have a minor effect on the 992 doping gradient. The ideal deep defect-free SCR capacitance 993 estimated from the experimental capacitance transients 994 accordingly still indicates a reduced net dopant concentration 995 near the buffer/absorber interface. A depth-dependent doping 996 profile is typically analyzed by calculating the local slope of a 997 Mott–Schottky plot $C^{-2}(V)$. Although this produces the 998 correct apparent doping profile as a function of apparent depth 999 for an arbitrary device, it does not provide any further insight 1000 into the physical origin of such a profile. Here, it is useful to 1001 additionally consider different exponents x for $C^{-x}(V)$; the 1002 inverse squared capacitance $C^{-2}(V)$ yields a straight line as 1003 function of voltage for constant dopant concentrations, 1004 whereas the inverse cube capacitance $C^{-3}(V)$ yields a straight 1005 line for linearly graded doping profiles. We showed that the 1006 ideal deep defect-free SCR capacitance estimated from the 1007 capacitance transients indeed perfectly follows the model of a 1008 linearly graded junction for our devices. On the basis of this 1009 observation, the true dopant concentration deep within the 1010 absorber bulk might indeed be severely underestimated by 1011 conventional C-V analysis, both due to the correction factor in 1012 the model for a nonabrupt junction and due to a reduced net 1013 doping within the SCR.

Our model of a modified dopant concentration in devices 1014 1015 including a buffer layer was verified by comparing different 1016 buffer layers deposited onto the same absorber. Although the 1017 typical "U"-shaped apparent doping profile was found for both 1018 CdS and Zn(O,S) buffer layers with the i-ZnO/ZnO:Al 1019 window layer, this effect was completely absent when using a 1020 thin MgF₂ layer instead. The apparent dopant concentration ¹⁰²⁰ close to 10^{17} cm⁻³ for the MgF₂-covered absorber in fact agrees 1022 with free carrier concentrations previously obtained by Hall 1023 measurements on similar absorbers. We showed that this result 1024 is not an artifact because of parasitic effects of the buffer/ 1025 window layers on the capacitance measurement by etching off 1026 the CdS/ZnO buffer/window stack and subsequently covering 1027 the etched absorber with MgF₂. We still found a pronounced 1028 doping gradient in this sample, indicating that the deposition 1029 of the initial buffer/window stack prior to the etching led to a 1030 physical change of the absorber near the surface. We expect 1031 these changes to be related to Cd or Zn diffusion from the 1032 buffer layer into the absorber, which could increase the donor 1033 concentration (Cd $_{Cu}$, Zn $_{Cu}$) and reduce the acceptor 1034 concentration (V_{Cu}) in the CIGS absorber close to the 1035 interface. This diffusion proceeds even at room temperature 1036 and the net dopant concentration of a given sample will change

over time.⁴⁰ Although we consider Cd and Zn incorporation to 1037 most probably occur via vacancies in the copper lattice, other 1038 mechanisms or incorporation on other lattice sites might 1039 equally play a role and might also explain differences between 1040 different buffer layer materials. 1041

Our results from electrical characterization were shown to be 1042 consistent with PL studies of bare absorbers and absorbers 1043 covered with CdS. Deposition of CdS increases potential 1044 fluctuations in the absorber, in particular for CIGS absorbers 1045 grown under Cu excess, which initially are virtually free of 1046 potential fluctuations. Furthermore, the relation between 1047 quasi-Fermi level splitting and PL lifetime could only be 1048 modeled by a significantly reduced dopant concentration in 1049 absorbers covered with CdS compared to bare absorbers. The 1050 PL experiments are thus in good agreement with a substantial 1051 reduction in near-surface net dopant concentration caused by 1052 increased donor/acceptor compensation, upon deposition of a 1053 CdS layer. 1054

8. CONCLUSIONS

Our results demonstrate that interdiffusion at the absorber/ 1055 buffer interface of CIGS thin-film solar cells is a critical factor 1056 to consider in the correct interpretation of doping profiles 1057 obtained from C-V analysis. The net dopant concentration 1058 near the absorber/buffer interface is significantly reduced in 1059 devices with Cd- or Zn-containing buffer layer compared to 1060 bare absorbers. On the one hand, the true bulk dopant 1061 concentration deep within the absorber might thus be far larger 1062 than previously expected. On the other hand, the choice of the 1063 buffer layer material could be of particular importance by 1064 determining the deep and shallow defect concentrations within 1065 the SCR, which is the most crucial component of a typical solar 1066 cell. 1067

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The authors would like to thank T. Bertram and M. Melchiorre 1076 from University of Luxembourg for assistance in sample 1077 preparation, N. Valle and B. El Adib from Luxembourg 1078 Institute of Science and Technology (LIST) for SIMS 1079 measurements. This study was funded by the Fonds National 1080 de la Recherche Luxembourg (FNR) in the projects "Surface 1081 passivation for thin film photovoltaics" (SURPASS), "Cu rich 1082 CIS—the effect of potassium treatment" (CURI-K), and 1083 "Optical detection of deep defects in chalcopyrite semi- 1084 conductors" (ODD). 1085

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