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# One Modal Logic to Rule Them All?

(Extended Technical Report)

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## Abstract

In this paper, we introduce an extension of the modal language with what we call the *global quantificational modality*  $[\forall p]$ . In essence, this modality combines the propositional quantifier  $\forall p$  with the global modality  $A$ :  $[\forall p]$  plays the same role as the compound modality  $\forall pA$ . Unlike the propositional quantifier by itself, the global quantificational modality can be straightforwardly interpreted in any Boolean Algebra Expansion (BAE). We present a logic **GQM** for this language and prove that it is complete with respect to the intended algebraic semantics. This logic enables a conceptual shift, as what have traditionally been called different “modal logics” now become  $[\forall p]$ -universal theories over the base logic **GQM**: instead of defining a new logic with an axiom schema such as  $\Box\varphi \rightarrow \Box\Box\varphi$ , one reasons in **GQM** about what follows from the globally quantified formula  $[\forall p](\Box p \rightarrow \Box\Box p)$ .

*Keywords:* global quantificational modalities, propositional quantifiers, Boolean algebra expansions, Boolean algebras with operators, modal consequence.

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## 1 Introduction

In this paper, we investigate the effect of extending modal syntax with the *global quantificational modality*  $[\forall p]$ . This proposal arose from our efforts to tackle two foundational problems in modal logic, which under careful inspection turn out to be related to each other.

### 1.1 The proliferation of modal “logics”

According to a standard view, there is a striking contrast between first-order logic and modal logic: the former leads to a single system of First-Order Logic,

while the latter leads to a vast landscape of different logics. In some contexts this has prompted the “suggestion. . . that the great proliferation of modal logics is an epidemic from which modal logic ought to be cured” [8, p. 25].<sup>1</sup> One could object that first-order logic is not so monolithic, given the choice between classical, intuitionistic, superintuitionistic, or substructural bases. But even in the classical context, there are objections to the claimed contrast, now coming from the modal side. As van Benthem [4] writes:

[T]hese systems are not “different modal logics”, but different special theories of particular kinds of accessibility relation. We do not speak of “different first-order logics” when we vary the underlying model class. There is no good reason for that here, either. (p. 93)

Yet in modal logic there remains a distinction between theories and logics: the set of formulas satisfied at all points (or a point) in a model counts as a *theory*, but not a logic, while the set of formulas validated at all points (or a point) of a frame counts as a *logic*. Here we will not address the question in the philosophy of logic about what should count as a “logic”. Instead, we answer the question: is there a mathematically appealing way in which what are traditionally called “modal logics” are special theories relative to one logical system?

## 1.2 The riddle of propositional quantification

The other problem we are concerned with is that of conservatively handling propositional quantifiers. Historically, propositional quantifiers were considered in modal logic from the very beginning. Most of the literature quotes references such as Kripke [22], Bull [7], Fine [13], and Kaplan [20]. In fact, however, propositional quantifiers were already present not only in Ruth Barcan Marcus’s post-war papers [3], but even in a chapter about the “existence postulate” by C. I. Lewis in his famous 1932 monograph with Langford [23, § VI.6]. Lewis’s postulate is classically equivalent to  $\exists p(\Diamond p \wedge \Diamond \neg p)$ , and he insisted that “it is only through such principles that the outlines of a logical system can be positively delineated” [23, p. 181].

The problem, however, is that the addition of propositional quantifiers is not necessarily conservative for a given logic. It appears most natural, for example, to interpret them using infinite meets and joins in (algebras dual to) a suitable semantics (see § 3). Unfortunately, there are logics that are not even weakly complete with respect to lattice-complete algebras [24,26,25,28,33]. Moreover, even for standard logics such algebras might well validate undesirable quantified principles, as shown by “Kaplan’s paradox” [21] for possible world semantics (see § 4). Logics with propositional quantifiers also tend to display very bad computational behavior over the dual algebras of Kripke frames: even for logics as strong as **S4.2**, propositional quantification over Kripke frames produces a system as complex as full second-order logic [13,19].

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<sup>1</sup> The authors of [8] attribute this suggestion to others rather than endorsing it themselves.

### 1.3 Our proposal

In this paper, we intend to solve both problems by showing, on the one hand, how “different modal logics” can indeed be seen as different theories over a single base logic, and on the other hand, how each and every modal logic can be conservatively extended with a form of propositional quantification. This is made possible by extending the language with the *global quantificational modality*  $[\forall p]$ , which combines the propositional quantifier  $\forall p$  with the global modality  $\mathbf{A}$ . Semi-formally, one can introduce it as  $[\forall p]\varphi := \forall p\mathbf{A}\varphi$ . One can then think of the global modality as definable by taking a fresh variable in  $[\forall p]$  and introduce further global quantificational modalities (GQMs) as follows:

$$\begin{aligned} \langle \exists p \rangle \varphi &:= \neg[\forall p]\neg\varphi = \exists p\mathbf{E}\varphi \\ [\exists p]\varphi &:= \langle \exists p \rangle \mathbf{A}\varphi = \exists p\mathbf{A}\varphi \\ \langle \forall p \rangle \varphi &:= \neg[\exists p]\neg\varphi = \forall p\mathbf{E}\varphi. \end{aligned}$$

This language of global quantificational modalities, formally defined in § 2, will be our object of study. In § 3, we show that in a global sense, this language is as expressive as the standard language of second-order propositional modal logic over the lattice-complete algebras used to interpret that language; and in § 4, we show that the flexibility to interpret our language in incomplete algebras provides a response to “Kaplan’s paradox” for possible world semantics. In § 5, we introduce our logic  $\mathbf{GQM}$ , and in § 6 we show that  $\mathbf{GQM}$  solves the twin problems of proliferation (§ 1.1) and nonconservativity (§ 1.2). Toward proving the completeness of  $\mathbf{GQM}$  with respect to its intended semantics, we establish prenex normal form results in § 7 and mutual translations with the first-order theory of “discriminator BAEs” in § 8. The storyline culminates with the completeness theorem at the end of § 8. We conclude in § 9. A number of proofs involving syntactic derivations are deferred to two appendices.

An open-source git repository with formalizations of our proofs in Coq by Michael Sammler is available at <https://gitlab.cs.fau.de/lo22tobe/GQM-Coq>.

## 2 Language and semantics

In § 1.3, we introduced the idea of  $[\forall p]$  in terms of the propositional quantifier and the global modality. Officially, we take  $[\forall p]$  as primitive.

Fix a countably infinite set  $\mathbf{Prop}$  of propositional variables and define:

$$\mathcal{L}_{\mathbf{GQM}} \quad \varphi ::= p \mid \neg\varphi \mid (\varphi \wedge \varphi) \mid \Box\varphi \mid [\forall p]\varphi,$$

where  $p \in \mathbf{Prop}$ . We treat  $\vee$ ,  $\rightarrow$ ,  $\leftrightarrow$ , and  $\diamond$  as abbreviations as usual and define:

- $\mathbf{A}\varphi := [\forall r]\varphi$  for an  $r \in \mathbf{Prop}$  not free (in the usual sense) in  $\varphi$ ;  $\mathbf{E}\varphi := \neg\mathbf{A}\neg\varphi$ ;
- $\langle \exists p \rangle \varphi := \neg[\forall p]\neg\varphi$ ,  $[\exists p]\varphi := \langle \exists p \rangle \mathbf{A}\varphi$ , and  $\langle \forall p \rangle \varphi := [\forall p]\mathbf{E}\varphi$ .
- $\perp := (p \wedge \neg p)$  and  $\top := \neg\perp$  for some  $p \in \mathbf{Prop}$ ;<sup>2</sup>

<sup>2</sup> Note that since  $\perp$  can be defined as  $[\forall p]p$ , another elegant choice would be to have  $\rightarrow$  as the *only* Boolean primitive.

- for each GQM  $\{Qp\} \in \{[\forall p], [\exists p], \langle \exists p \rangle, \langle \forall p \rangle\}$ , its dual  $\overline{\{Qp\}}$  is defined in the obvious way, i.e.,  $[\forall p]$  is dual to  $\langle \exists p \rangle$ , and  $[\exists p]$  is dual to  $\langle \forall p \rangle$ ;
- for  $*$   $\in \{\wedge, \vee\}$ , let  $G_*$  be **A** if  $*$  =  $\wedge$  and **E** otherwise, and let us use plain **G** to stand for **A** or **E** (uniformly in a formula) in results that hold for both;
- for any formulas  $\varphi, \psi$  and propositional variable  $p$ ,  $\varphi_\psi^p$  is the result of substituting  $\psi$  for all free occurrences of  $p$  in  $\varphi$ .

Let  $\mathcal{L}_\square$  ( $\mathcal{L}_{\square A}$ ) be the set of GQM formulas in which no global quantificational modalities (no global quantificational modalities other than **A** and **E**) appear.

**Definition 2.1** A formula  $\varphi$  is *global* iff each occurrence of  $\square$  and each propositional variable in  $\varphi$  is in the scope of a GQM (even if not bound by a GQM). E.g.,  $[\forall q]\square p$  is global;  $\square[\forall p]p$  is not.

**Remark 2.2** The use of a single unary  $\square$  is for simplicity only. What follows could instead be developed in a polymodal language with polyadic modalities.

We now introduce the intended algebraic semantics for  $\mathcal{L}_{\text{GQM}}$ .

**Definition 2.3** A *Boolean algebra expansion* (BAE) is a tuple  $\mathfrak{A} = \langle A, \neg, \wedge, \perp, \top, \square \rangle$  where  $\langle A, \neg, \wedge, \perp, \top \rangle$  is a Boolean algebra and  $\square : A \rightarrow A$ .

**Definition 2.4**

- (i) A  $\mathcal{C}$ -BAE (resp.  $\mathcal{A}$ -BAE) is a BAE whose Boolean reduct is complete (resp. atomic).
- (ii) A BAO is a BAE with a *normal*  $\square$ , i.e.,  $\square$  distributes over all finite meets.
- (iii) A  $\mathcal{V}$ -BAO is a BAO in which  $\square$  distributes over all existing meets.

We may concatenate ‘ $\mathcal{C}$ ’, ‘ $\mathcal{A}$ ’, and ‘ $\mathcal{V}$ ’ to indicate multiple properties; e.g., a  $\mathcal{CA}$ -BAE is a BAE whose Boolean reduct is both complete and atomic. This is a convention used in our earlier papers [26,25,28,16,15].

**Definition 2.5** A *valuation* on a BAE  $\mathfrak{A}$  is a function  $\theta : \text{Prop} \rightarrow \mathfrak{A}$  that extends to a function  $\tilde{\theta} : \mathcal{L}_{\text{GQM}} \rightarrow \mathfrak{A}$  as follows:

$$\begin{aligned} \tilde{\theta}(p) &:= \theta(p) & \tilde{\theta}(\neg\varphi) &:= \neg\tilde{\theta}(\varphi) \\ \tilde{\theta}(\varphi \wedge \psi) &:= \tilde{\theta}(\varphi) \wedge \tilde{\theta}(\psi) & \tilde{\theta}(\square\varphi) &:= \square\tilde{\theta}(\varphi) \\ \tilde{\theta}([\forall p]\varphi) &:= \begin{cases} \top & \text{if } \tilde{\gamma}(\varphi) = \top \text{ for all valuations } \gamma \sim_p \theta \\ \perp & \text{otherwise} \end{cases} \end{aligned}$$

where  $\gamma \sim_p \theta$  iff  $\gamma$  and  $\theta$  disagree at most at  $p$ .

A formula  $\varphi$  is *valid in*  $\mathfrak{A}$  iff for every valuation  $\theta$  on  $\mathfrak{A}$ ,  $\tilde{\theta}(\varphi) = \top$ . Let  $\vDash_{\text{GQM}} \varphi$  iff  $\varphi$  is valid in all BAEs, in which case  $\varphi$  is simply *valid*.

**Lemma 2.6** For any valuation  $\theta$  on a BAE  $\mathfrak{A}$ :

$$\begin{aligned} \tilde{\theta}(A\varphi) &= \begin{cases} \top & \text{if } \tilde{\theta}(\varphi) = \top \\ \perp & \text{otherwise} \end{cases} & \tilde{\theta}(E\varphi) &= \begin{cases} \top & \text{if } \tilde{\theta}(\varphi) \neq \perp \\ \perp & \text{otherwise} \end{cases} \\ \tilde{\theta}(\langle \exists p \rangle \varphi) &= \begin{cases} \top & \text{if } \exists \gamma \sim_p \theta. \tilde{\gamma}(\varphi) \neq \perp \\ \perp & \text{otherwise} \end{cases} & \tilde{\theta}([\exists p]\varphi) &= \begin{cases} \top & \text{if } \exists \gamma \sim_p \theta. \tilde{\gamma}(\varphi) = \top \\ \perp & \text{otherwise} \end{cases} \\ \tilde{\theta}(\langle \forall p \rangle \varphi) &= \begin{cases} \top & \text{if } \forall \gamma \sim_p \theta. \tilde{\gamma}(\varphi) \neq \perp \\ \perp & \text{otherwise} \end{cases} \end{aligned}$$

Several definitions of semantic consequence are available, but as our default we pick the algebraic analogue of global model consequence [5, § 1.5].

**Definition 2.7** Given  $\Gamma \cup \{\varphi\} \subseteq \mathcal{L}_{\text{GQM}}$ , let  $\Gamma \vDash_{\text{GQM}}^A \varphi$  iff for any BAE  $\mathfrak{A}$  and  $\theta : \text{Prop} \rightarrow \mathfrak{A}$ , if  $\tilde{\theta}(\gamma) = \top$  for each  $\gamma \in \Gamma$ , then  $\tilde{\theta}(\varphi) = \top$ .

One of our main goals is to find a proof system complete with respect to  $\vDash_{\text{GQM}}^A$ . For this relation we have the following semantic deduction theorem.

**Lemma 2.8** For any formulas  $\varphi_1, \dots, \varphi_n, \psi \in \mathcal{L}_{\text{GQM}}$  and global formulas  $\alpha_1, \dots, \alpha_n, \beta \in \mathcal{L}_{\text{GQM}}$ :

- (i)  $\{\varphi_1, \dots, \varphi_n\} \vDash_{\text{GQM}}^A \psi$  iff  $\vDash_{\text{GQM}} A(\varphi_1 \wedge \dots \wedge \varphi_n) \rightarrow A\psi$ .
- (ii)  $\{\alpha_1, \dots, \alpha_n\} \vDash_{\text{GQM}}^A \beta$  iff  $\vDash_{\text{GQM}} (\alpha_1 \wedge \dots \wedge \alpha_n) \rightarrow \beta$ .

**Proof.** Part (i) is immediate from Definition 2.7 and Lemma 2.6. For part (ii), it is easy to see that if  $\varphi$  is global, then  $\tilde{\theta}(\varphi) = \tilde{\theta}(A\varphi)$ .  $\square$

We also distinguish two senses in which formulas may be equivalent.

**Definition 2.9** For any  $\varphi, \psi \in \mathcal{L}_{\text{GQM}}$  and class  $\mathcal{K}$  of BAEs:

- (i)  $\varphi$  and  $\psi$  are *equivalent over  $\mathcal{K}$*  iff for every  $\mathfrak{A} \in \mathcal{K}$  and valuation  $\theta$  on  $\mathfrak{A}$ ,  $\tilde{\theta}(\varphi) = \tilde{\theta}(\psi)$  (or equivalently,  $\varphi \leftrightarrow \psi$  is valid in  $\mathfrak{A}$ );
- (ii)  $\varphi$  and  $\psi$  are *globally equivalent over  $\mathcal{K}$*  iff for every  $\mathfrak{A} \in \mathcal{K}$  and valuation  $\theta$  on  $\mathfrak{A}$ ,  $\tilde{\theta}(\varphi) = \top$  iff  $\tilde{\theta}(\psi) = \top$  (or equivalently,  $A\varphi \leftrightarrow A\psi$  is valid in  $\mathfrak{A}$ ).
- (iii)  $\varphi$  and  $\psi$  are *equivalent* (resp. *globally equivalent*) iff they are equivalent (resp. globally equivalent) over the class of all BAEs.

**Remark 2.10** Since  $\mathcal{L}_{\text{GQM}}$  can be interpreted in arbitrary BAEs, it can be interpreted in any frames that give rise to BAEs, e.g.: Kripke frames (corresponding to  $\mathcal{CAV}$ -BAOs); relational possibility frames [15] (corresponding to  $\mathcal{CV}$ -BAOs); neighborhood frames (corresponding to  $\mathcal{CA}$ -BAEs); neighborhood possibility frames [15] (corresponding to  $\mathcal{C}$ -BAEs); discrete general frames [10] (corresponding to  $\mathcal{AV}$ -BAOs); discrete general neighborhood frames (corresponding to  $\mathcal{A}$ -BAEs); general neighborhood frames (corresponding to BAEs).

**Remark 2.11** The predicate lifting approach in coalgebraic logic can be seen as a way to reduce any set-based coalgebra to a neighborhood frame (see, e.g., [29, Rem. 3.10] and references therein). Thus, our solution to the problems discussed in § 1 should be of interest for coalgebraic logic.

### 3 Reduction of SOPML to GQM

The standard language  $\mathcal{L}_{\text{SOPML}}$  of second-order propositional modal logic replaces  $[\forall p]$  by the propositional quantifier  $\forall p$ . At first one might expect that the implicit global modality in  $[\forall p]$  reduces the expressivity of  $\mathcal{L}_{\text{GQM}}$  relative to the language  $\mathcal{L}_{\text{SOPML}_A}$  of second-order propositional modal logic plus the global modality. In fact, we will show that every  $\text{SOPML}_A$  formula is globally equivalent to a GQM formula over standard semantics. For convenience, in this section we regard GQM formulas as  $\text{SOPML}_A$  formulas with  $[\forall p]$  as  $\forall pA$ .

First, let us recall the algebraic semantics for  $\mathcal{L}_{\text{SOPML}_A}$  that interprets  $\forall p$  using the meets in a  $\mathcal{C}$ -BAE, as in, e.g., [16].

**Definition 3.1** We extend a valuation  $\theta$  on a  $\mathcal{C}$ -BAE  $\mathfrak{A}$  to a valuation  $\tilde{\theta} : \mathcal{L}_{\text{SOPML}_A} \rightarrow \mathfrak{A}$  using the clauses for  $\neg$ ,  $\wedge$ , and  $\square$  from Definition 2.5 plus:

$$\tilde{\theta}(\forall p\varphi) = \bigwedge \{ \tilde{\gamma}(\varphi) \mid \gamma \sim_p \theta \} \quad \tilde{\theta}(A\varphi) = \begin{cases} \top & \text{if } \tilde{\theta}(\varphi) = \top \\ \perp & \text{otherwise.} \end{cases}$$

Dually,  $\exists p\varphi$  is interpreted using the join. The definitions of local and global equivalence from Definition 2.9 transfer in the obvious way to  $\mathcal{L}_{\text{SOPML}_A}$ .

We will reduce  $\mathcal{L}_{\text{SOPML}_A}$  to  $\mathcal{L}_{\text{GQM}}$  over  $\mathcal{C}$ -BAEs using a prenex form result. In [9] it was shown that over  $\mathcal{CAV}$ -BAOs, every  $\mathcal{L}_{\text{SOPML}}$  formula is equivalent to a prenex one, i.e., a formula of the form  $Q_1p_1 \dots Q_n p_n \varphi$  where  $Q_i \in \{\forall, \exists\}$  and  $\varphi$  is quantifier-free. In fact, the following more general result holds.

**Proposition 3.2**

- (i) *Over  $\mathcal{CV}$ -BAOs, every SOPML formula is equivalent to a prenex SOPML formula.*
- (ii) *Over  $\mathcal{C}$ -BAEs, every  $\text{SOPML}_A$  formula is equivalent to a prenex  $\text{SOPML}_A$  formula.*

**Proof.** The proof of part (i) is the same as in [9, Prop. 3] except that we give a different argument for pulling the quantifier out of  $\diamond\forall p\varphi$ , which does not assume  $\mathcal{A}$ . For consistency with [9], we work with  $\diamond$ , though everything dualizes easily. For any normal  $\square$ , we have the following equivalence:

$$\diamond\psi \Leftrightarrow \exists q(\diamond q \wedge \square(q \rightarrow \psi))$$

for a variable  $q$  that does not occur in  $\psi$ . Thus, we have the following equivalences where  $q \neq p$  and  $q$  does not occur in  $\varphi$ :

$$\begin{aligned} \diamond\forall p\varphi &\Leftrightarrow \exists q(\diamond q \wedge \square(q \rightarrow \forall p\varphi)) \text{ setting } \psi := \forall p\varphi \\ &\Leftrightarrow \exists q(\diamond q \wedge \square\forall p(q \rightarrow \varphi)) \text{ because } q \neq p \\ &\Leftrightarrow \exists q(\diamond q \wedge \forall p\square(q \rightarrow \varphi)) \text{ by } \mathcal{V} \text{ for } \square \\ &\Leftrightarrow \exists q\forall p(\diamond q \wedge \square(q \rightarrow \varphi)) \text{ because } q \neq p. \end{aligned}$$

For part (ii), we use the fact that **A** distributes over arbitrary meets, so the reasoning for part (i) shows that  $E\forall p\varphi$  is equivalent to  $\exists q\forall p(Eq \wedge A(q \rightarrow \varphi))$ , which implies  $(\star)$ :  $A\exists p\psi$  is equivalent to  $\forall q\exists p(Eq \rightarrow E(q \wedge \psi))$ .

Now for any  $\Box$  in a  $\mathcal{C}$ -BAE, we have the following equivalence:

$$\Diamond\psi \Leftrightarrow \exists q(\Diamond q \wedge \mathbf{A}(q \leftrightarrow \psi))$$

for a variable  $q$  that does not occur in  $\psi$ . Thus, we have the following equivalences where  $q \neq p$  and  $q$  does not occur in  $\varphi$ :

$$\begin{aligned} \Diamond\forall p\varphi &\Leftrightarrow \exists q(\Diamond q \wedge \mathbf{A}(q \leftrightarrow \forall p\varphi)) \text{ setting } \psi := \forall p\varphi \\ &\Leftrightarrow \exists q(\Diamond q \wedge \mathbf{A}(\forall p(q \rightarrow \varphi) \wedge \exists p(\varphi \rightarrow q))) \text{ because } q \neq p \\ &\Leftrightarrow \exists q(\Diamond q \wedge \mathbf{A}(\forall p(q \rightarrow \varphi) \wedge \exists r(\varphi_r^p \rightarrow q))) \text{ for a fresh } r \\ &\Leftrightarrow \exists q(\Diamond q \wedge \mathbf{A}\underbrace{\forall p\exists r((q \rightarrow \varphi) \wedge (\varphi_r^p \rightarrow q))}_{\alpha}) \text{ because } r \neq p \\ &\Leftrightarrow \exists q(\Diamond q \wedge \forall p\mathbf{A}\exists r\alpha) \text{ by } \mathcal{V} \text{ for } \mathbf{A} \\ &\Leftrightarrow \exists q(\Diamond q \wedge \forall p\forall q'\exists r(\mathbf{E}q' \rightarrow \mathbf{E}(q' \wedge \alpha))) \text{ by } (\star) \text{ where } q' \text{ is fresh} \\ &\Leftrightarrow \exists q\forall p\forall q'\exists r(\Diamond q \wedge (\mathbf{E}q' \rightarrow \mathbf{E}(q' \wedge \alpha))) \text{ because } q \neq p, q \neq q', q \neq r. \end{aligned}$$

The rest of the proof is as in [9].  $\square$

To prove Proposition 3.4 below, it is convenient to have another equivalence for  $\mathbf{A}\exists p\psi$  (other than  $(\star)$  in the preceding proof) in part (ii) of the following.

**Lemma 3.3** *The following are valid in all  $\mathcal{C}$ -BAEs:*

- (i)  $\mathbf{A}\forall p\psi \leftrightarrow \forall p\mathbf{A}\psi$ ;
- (ii)  $\mathbf{A}\exists p\psi \leftrightarrow \forall q\mathbf{A}(\mathbf{E}q \rightarrow \exists r\mathbf{A}(\mathbf{E}r \wedge (r \rightarrow q) \wedge \exists p\mathbf{A}(r \rightarrow \psi)))$  where  $q$  and  $r$  do not occur in  $\psi$ .

**Proof.** Part (i) again follows from the distribution of  $\mathbf{A}$  over arbitrary meets.

Part (ii) follows from the Boolean algebraic fact that for any  $\mathcal{C}$ -BA  $\mathfrak{A}$  and  $Y \subseteq \mathfrak{A}$ , we have  $\bigvee Y = \top$  (take  $Y = \{\tilde{\gamma}(\psi) \mid \gamma \sim_p \theta\}$ ) iff for all  $x \in \mathfrak{A}$  (take  $x$  as the semantic value of  $q$ ), if  $x \neq 0$ , then there exists a  $y \in Y$  such that  $x \wedge y \neq 0$ , which is equivalent to there being a  $z \in \mathfrak{A}$  (take  $z$  to be the semantic value of  $r$ ) such that  $z \neq 0$ ,  $z \leq x$ , and  $z$  is under some element of  $Y$ .  $\square$

**Proposition 3.4** *If  $\alpha$  is a prenex SOPML $_{\mathbf{A}}$  formula, then  $\mathbf{A}\alpha$  is equivalent over  $\mathcal{C}$ -BAEs to a GQM formula.*

**Proof.** We continue to regard GQM formulas as SOPML $_{\mathbf{A}}$  formulas as in § 3.

The proof is by induction on the number of quantifiers in the prenex formula  $\alpha := Q_1 p_1 \dots Q_n p_n \chi$ . Let  $\alpha' := Q_2 p_2 \dots Q_n p_n \chi$ .

Case 1:  $Q_1 = \forall$ . Then by Lemma 3.3.(i),  $\mathbf{A}\alpha$  is equivalent to  $\forall p_1 \mathbf{A}\alpha'$ , which is equivalent to  $\forall p_1 \mathbf{A}\mathbf{A}\alpha'$ . Since  $\alpha'$  has fewer quantifiers than  $\alpha$ , by the inductive hypothesis  $\mathbf{A}\alpha'$  is equivalent to a GQM formula  $\beta$ . Hence  $\forall p_1 \mathbf{A}\mathbf{A}\alpha'$  is equivalent to the GQM formula  $\forall p_1 \mathbf{A}\beta$ .

Case 2:  $Q_1 = \exists$ . Then by Lemma 3.3.(ii),  $\mathbf{A}\alpha$  is equivalent to

$$(1) \forall q\mathbf{A}(\mathbf{E}q \rightarrow \exists r\mathbf{A}(\mathbf{E}r \wedge (r \rightarrow q) \wedge \exists p_1 \mathbf{A}(r \rightarrow \alpha')))$$

and hence to

$$(2) \forall q\mathbf{A}(\mathbf{E}q \rightarrow \exists r\mathbf{A}(\mathbf{E}r \wedge (r \rightarrow q) \wedge \exists p_1 \mathbf{A}\mathbf{A}(r \rightarrow \alpha'))).$$



Since  $r$  is not among  $p_2, \dots, p_n$ , (2) is equivalent to

$$(3) \quad \forall q A(Eq \rightarrow \exists r A(Er \wedge (r \rightarrow q) \wedge \exists p_1 A A Q_2 p_2 \dots Q_n p_n (r \rightarrow \chi))).$$

Since  $Q_2 p_2 \dots Q_n p_n (r \rightarrow \chi)$  has fewer quantifiers than  $\alpha$ , by the inductive hypothesis  $A Q_2 p_2 \dots Q_n p_n (r \rightarrow \chi)$  is equivalent to a GQM formula  $\gamma$ . Hence (3) is equivalent to the GQM formula

$$(4) \quad \forall q A(Eq \rightarrow \exists r A(Er \wedge (r \rightarrow q) \wedge \exists p_1 A \gamma)). \quad \square$$

We can now prove our main claim about the reduction of SOPML to GQM.

**Theorem 3.5** *Every SOPML<sub>A</sub> formula is globally equivalent over  $\mathcal{C}$ -BAEs to a GQM formula.*

**Proof.** By Proposition 3.2(ii),  $\varphi$  is globally equivalent over  $\mathcal{C}$ -BAEs to a prenex SOPML<sub>A</sub> formula  $\psi$ . Then since  $\psi$  is globally equivalent to  $A\psi$ , it follows by Proposition 3.4 that  $\psi$  is globally equivalent to a GQM formula.  $\square$

**Theorem 3.6** *The set of GQM formulas valid over any class of  $\mathcal{C}$ -BAEs containing the class of  $\mathcal{CAV}$ -BAOs validating S4.2 is not recursively enumerable.*

**Proof.** [Sketch] Fine [13, Prop. 6] (cf. [19]) showed that the set of SOPML sentences valid in  $\mathcal{CAV}$ -BAOs validating S4.2 is not recursively enumerable. The property of a BAE being an  $\mathcal{AV}$ -BAO is expressible in  $\mathcal{L}_{\text{GQM}}$ ; we leave this to the reader as an exercise (cf. § 8, [17, § 9]). Let  $\chi_{\mathcal{AV}}$  be the corresponding sentence. The validity of an SOPML sentence  $\varphi$  over S4.2  $\mathcal{CAV}$ -BAOs is equivalent to the validity of the GQM sentence  $(\chi_{\mathcal{AV}} \wedge [\forall]S4.2) \rightarrow \varphi^*$  over  $\mathcal{C}$ -BAEs, where  $\varphi^*$  is obtained from  $A\varphi$  by Theorem 3.5 and  $[\forall]S4.2$  is the GQM statement of the S4.2 axioms. Thus, the existence of a semi-decision procedure contradicting the statement would yield a semi-decision procedure contradicting [13,19].  $\square$

Another route would be to use results of Thomason [32]. Both [32] and [19] deal with a stronger property: reducibility of full second-order consequence. We postpone the details to a sequel paper.

## 4 Interlude: “Kaplan’s paradox”

In a festschrift for Ruth Barcan Marcus, Kaplan [21] posed a problem for possible world semantics involving propositional quantification. In brief, Kaplan claimed that the following should be consistent for a non-monotonic  $\square$ :

$$\bullet \quad \kappa := \forall p E \forall q (\square q \leftrightarrow A(p \leftrightarrow q)).$$

For example, if  $\square$  means “it is entertained at time  $t$  that...”, then  $\kappa$  says that for all propositions  $p$ , it could have been that  $p$  was the unique proposition entertained at time  $t$ . Kaplan argued that *logic* should not rule this out. Yet he noted that  $\kappa$  is unsatisfiable in possible world semantics with  $\forall$  quantifying over the powerset. For the truth of  $\kappa$  would yield an injection from the powerset of the set of worlds to the set of worlds. In fact, as Yifeng Ding (p.c.) observed, it is unsatisfiable in any  $\mathcal{C}$ -BAE. The truth of  $\kappa$  would yield (a) an injection from the algebra to an antichain of elements. But since the algebra is complete,

(b) every subset of the antichain has a join, and all such joins are distinct. Together (a) and (b) contradict Cantor's theorem.

We will show there is a GQM formula  $\varphi$ , regarded as an  $\text{SOPML}_A$  formula as in § 3, such that (i) in any logic that derives some plausible equivalences,  $\varphi$  is provably equivalent to (the  $A$ -necessitation of) Kaplan's formula, so intuitively the truth of  $\varphi$  implies the truth of Kaplan's formula, and (ii)  $\varphi$  can be made true in an incomplete BAE. First, in any modal logic with propositional quantifiers in which the equivalences in the proof of Proposition 3.2.(ii) are provable, (the  $A$ -necessitation of) Kaplan's formula is provably equivalent to

- $A\forall p\exists r(Er \wedge \forall qA(r \rightarrow (\Box q \leftrightarrow A(p \leftrightarrow q))))$ .<sup>3</sup>

Then using Barcan's equivalence  $A\forall p\psi \leftrightarrow \forall pA\psi$  and S5 reasoning with  $E$  and  $A$ , the preceding formula is provably equivalent to

- $\forall pA\exists rA(Er \wedge \forall qA(r \rightarrow (\Box q \leftrightarrow A(p \leftrightarrow q))))$ ,

which becomes the GQM formula

- $[\forall p][\exists r](Er \wedge [\forall q](r \rightarrow (\Box q \leftrightarrow A(p \leftrightarrow q))))$ .

Now this formula can be made true in a BAE. Pick any infinite set  $X$ , and let  $\mathfrak{A}$  be the Boolean algebra of its finite and cofinite subsets. Clearly, not only is  $X$  identifiable with the set  $At(\mathfrak{A})$  of atoms of  $\mathfrak{A}$ , but also there is an injective (and hence bijective) map  $\Box : \mathfrak{A} \rightarrow At(\mathfrak{A})$ . It is easy to see that the formula above evaluates to  $\top$  in  $\mathfrak{A}$  with this interpretation of  $\Box$ . Thus, according to the logic GQM, the GQM translation of Kaplan's formula is consistent.<sup>4</sup>

## 5 Axiomatization

Let us now turn to formulating a complete proof system for  $\mathcal{L}_{\text{GQM}}$ .

**Definition 5.1** The logic GQM is the smallest set of formulas containing the following axioms and closed under the following rules.

### propositional axioms

- all classical propositional tautologies.

### axioms for $[\forall p]$

- distribution:  $[\forall p](\varphi \rightarrow \psi) \rightarrow ([\forall p]\varphi \rightarrow [\forall p]\psi)$ ;
- instantiation:  $[\forall p]\varphi \rightarrow \varphi_\psi^p$  where  $\psi$  is substitutable for  $p$  in  $\varphi$ ;<sup>5</sup>
- global instantiation:  $[\forall p]\varphi \rightarrow [\forall r]\varphi_\psi^p$  where  $\psi$  is substitutable for  $p$  in  $\varphi$  and  $r$  is not free in  $\varphi_\psi^p$ ;

<sup>3</sup> Of course the quantifier  $\forall q$  can be pulled to the front for a prenex form, but here we opt for better human readability.

<sup>4</sup> After submitting the AiML version of this paper, we learned from John Hawthorne of the paper [2] in which the finite-cofinite algebra is also used in response to Kaplan's paradox.

<sup>5</sup> The definition of  $\psi$  being substitutable for  $p$  in  $\varphi$  is the obvious analogue of the definition of a term  $t$  being substitutable for a variable  $x$  in a first-order formula [12, p. 113]: no propositional variable in  $\psi$  should be captured by a quantifier in  $\varphi$  upon substituting  $\psi$  for  $p$ .

- quantificational 5 axiom:  $\neg[\forall p]\varphi \rightarrow [\forall r]\neg[\forall p]\varphi$  where  $r$  is not free in  $[\forall p]\varphi$ .

**axioms linking  $[\forall p]$  and  $\Box$**

- $\Box$ -congruence:  $[\forall p](\varphi \leftrightarrow \psi) \rightarrow (\Box\varphi \leftrightarrow \Box\psi)$ .

**rules**

- modus ponens: if  $\vdash_{\text{GQM}} \varphi$  and  $\vdash_{\text{GQM}} \varphi \rightarrow \psi$ , then  $\vdash_{\text{GQM}} \psi$ ;
- $[\forall p]$ -necessitation: if  $\vdash_{\text{GQM}} \varphi$ , then  $\vdash_{\text{GQM}} [\forall p]\varphi$ ;
- universal generalization: if  $\vdash_{\text{GQM}} \alpha \rightarrow [\forall p]\varphi$  and  $q$  is not free in  $\alpha$ , then  $\vdash_{\text{GQM}} \alpha \rightarrow [\forall q][\forall p]\varphi$ .

Here ' $\vdash_{\text{GQM}} \varphi$ ' means  $\varphi \in \text{GQM}$ . We write ' $\vdash \varphi$ ' when no confusion will arise.

Let us now record some useful theorems and metatheorems.

**Lemma 5.2** *If  $q$  is substitutable for  $p$  in  $\varphi$ , and  $q$  is not free in  $\varphi$ , then  $\vdash [\forall p]\varphi \leftrightarrow [\forall q]\varphi_q^p$ .*

**Proof.** Proved in Appendix A.1 as Lemma A.3. □

**Lemma 5.3** *If  $\vdash \varphi \rightarrow \psi$ , then  $\vdash [Qp]\varphi \rightarrow [Qp]\psi$ .*

**Proof.** Proved in Appendix A.1 as Lemma A.5. □

**Lemma 5.4**

- |                                                                                         |                                                                  |
|-----------------------------------------------------------------------------------------|------------------------------------------------------------------|
| (i) $\vdash A(\varphi \rightarrow \psi) \rightarrow (A\varphi \rightarrow A\psi)$ ;     | (vii) $\vdash EA\varphi \leftrightarrow A\varphi$ ;              |
| (ii) $\vdash G_*(\varphi * \psi) \leftrightarrow (G_*\varphi * G_*\psi)$ ;              | (viii) $\vdash GG\varphi \leftrightarrow G\varphi$ ;             |
| (iii) if $\vdash \varphi \rightarrow \psi$ , then $\vdash G\varphi \rightarrow G\psi$ ; | (ix) $\vdash [Qp]A\psi \leftrightarrow [Qp]\psi$ ;               |
| (iv) $\vdash A\varphi \rightarrow \varphi$ ;                                            | (x) $\vdash [Qp]E\psi \leftrightarrow \langle Qp \rangle \psi$ ; |
| (v) $\vdash \varphi \rightarrow E\varphi$ ;                                             | (xi) $\vdash [Qp]\psi \leftrightarrow A[Qp]\psi$ ;               |
| (vi) $\vdash E\varphi \leftrightarrow AE\varphi$ ;                                      | (xii) $\vdash [Qp]\psi \leftrightarrow E[Qp]\psi$ .              |

**Proof.** Proved in Appendix A.2 as Lemma A.7. □

Let us now introduce a relation of syntactic consequence. In the following definition,  $\Gamma$  may be regarded as a set of globally true premises.

**Definition 5.5** Given  $\Gamma \cup \{\varphi\} \subseteq \mathcal{L}_{\text{GQM}}$ , let  $\Gamma \vdash_{\text{GQM}}^A \varphi$  iff  $\varphi$  belongs to the smallest set  $\Lambda$  of GQM formulas that includes  $\Gamma \cup \text{GQM}$  and is closed under modus ponens and A-necessitation: if  $\psi \in \Lambda$ , then  $A\psi \in \Lambda$ .

Now we obtain a syntactic deduction theorem parallel to Lemma 2.8.

**Lemma 5.6** *For any formulas  $\varphi_1, \dots, \varphi_n, \psi \in \mathcal{L}_{\text{GQM}}$  and global formulas  $\alpha_1, \dots, \alpha_n \in \mathcal{L}_{\text{GQM}}$ :*

- (i)  $\{\varphi_1, \dots, \varphi_n\} \vdash_{\text{GQM}}^A \psi$  iff  $\vdash_{\text{GQM}} A(\varphi_1 \wedge \dots \wedge \varphi_n) \rightarrow A\psi$ .
- (ii)  $\{\alpha_1, \dots, \alpha_n\} \vdash_{\text{GQM}}^A \psi$  iff  $\vdash_{\text{GQM}} (\alpha_1 \wedge \dots \wedge \alpha_n) \rightarrow \psi$ .

**Proof.** Part (i) uses Lemma 5.4. Part (ii) then uses Lemma 5.4.(xi). □

By design,  $\vdash_{\text{GQM}}^A$  is sound with respect to  $\models_{\text{GQM}}^A$ .

**Lemma 5.7** For  $\Gamma \cup \{\varphi\} \subseteq \mathcal{L}_{\text{GQM}}$ ,  $\Gamma \vdash_{\text{GQM}}^A \varphi$  implies  $\Gamma \vDash_{\text{GQM}}^A \varphi$ .

**Proof.** Straightforward induction.  $\square$

It follows from Lemma 5.7 and the example in § 4 (or Theorem 3.6) that GQM is incomplete with respect to validity over the class of  $\mathcal{C}$ -BAEs. However, we will see in § 8 that GQM is complete with respect to validity over all BAEs.

## 6 Conservativity and modal logics as GQM theories

Before proving completeness, we show that GQM solves our two problems from § 1: the proliferation problem and the nonconservativity problem.

A *congruential modal logic* is a set  $\mathbf{L} \subseteq \mathcal{L}_{\square}$  containing all propositional tautologies and closed under uniform substitution, modus ponens, and the rule that if  $\varphi \leftrightarrow \psi \in \mathbf{L}$ , then  $\Box\varphi \leftrightarrow \Box\psi \in \mathbf{L}$ . Let  $\text{GQM-L}$  be the smallest set of formulas that includes  $\text{GQM} \cup \mathbf{L}$  and is closed under all three rules of GQM.

**Proposition 6.1 (Conservativity)** For any  $\varphi \in \mathcal{L}_{\square}$ ,  $\varphi \in \text{GQM-L}$  iff  $\varphi \in \mathbf{L}$ .

**Proof.** The Lindenbaum-Tarski algebra for  $\mathbf{L}$  is a BAE in which every  $\varphi \in \text{GQM-L}$  is valid and in which any  $\mathcal{L}_{\square}$  formula not in  $\mathbf{L}$  can be refuted.  $\square$

A set  $\Sigma \subseteq \mathcal{L}_{\square}$  *axiomatizes* a congruential modal logic  $\mathbf{L}$  iff  $\mathbf{L}$  is the smallest congruential modal logic such that  $\Sigma \subseteq \mathbf{L}$ .

**Theorem 6.2** If  $\Sigma$  axiomatizes  $\mathbf{L}$ , then we have the following equivalence:  $\varphi \in \mathbf{L}$  iff there are  $\psi_1, \dots, \psi_n \in \Sigma$  such that  $\vdash_{\text{GQM}} [\forall \mathbf{p}](\psi_1 \wedge \dots \wedge \psi_n) \rightarrow \varphi$ , where  $\mathbf{p}$  is the tuple of variables occurring in  $\psi_1, \dots, \psi_n$ .

**Proof.** From right to left, we have:

$$\begin{aligned} & \vdash_{\text{GQM}} [\forall \mathbf{p}](\psi_1 \wedge \dots \wedge \psi_n) \rightarrow \varphi \\ \Rightarrow & \varphi \in \text{GQM-L} \text{ by } [\forall]\text{-necessitation to } \psi_1 \wedge \dots \wedge \psi_n \in \mathbf{L} \text{ and modus ponens} \\ \Rightarrow & \varphi \in \mathbf{L} \text{ by Proposition 6.1.} \end{aligned}$$

From left to right, suppose  $\varphi \in \mathbf{L}$ . Hence there is a sequence  $\langle \varphi_1, \dots, \varphi_k \rangle$  of  $\mathcal{L}_{\square}$  formulas with  $\varphi_k = \varphi$  such that each  $\varphi_i$  is either a tautology, an axiom from  $\Sigma$ , or is obtained from previous formulas by either uniform substitution, modus ponens, or the congruence rule. It is easy to show that there is such a sequence in which uniform substitution is applied only to propositional tautologies or axioms from  $\Sigma$ , so let us assume that  $\langle \varphi_1, \dots, \varphi_k \rangle$  has this property. Further suppose that the tautologies and axioms from  $\Sigma$  occur at the beginning of the sequence, so the sequence is of the form  $\pi = \langle \alpha_1, \dots, \alpha_m, \varphi_{m+1}, \dots, \varphi_k \rangle$  where each  $\alpha_i$  is a tautology or an axiom from  $\Sigma$ .

We prove by induction that for  $m \leq \ell \leq k$ , there is a sequence of GQM formulas containing each of  $[\forall \mathbf{p}]\alpha_1, \dots, [\forall \mathbf{p}]\alpha_m, A\varphi_{m+1}, \dots, A\varphi_{\ell}$  such that each formula of the sequence is one of the  $[\forall \mathbf{p}]\alpha_i$ , or is a theorem of GQM, or follows from previous formulas by modus ponens. It is easy to see that the existence of such a sequence for  $\varphi_k$  implies that  $\vdash_{\text{GQM}} ([\forall \mathbf{p}]\psi_1 \wedge \dots \wedge [\forall \mathbf{p}]\psi_n) \rightarrow A\varphi_k$ , which in turn implies  $\vdash_{\text{GQM}} [\forall \mathbf{p}](\psi_1 \wedge \dots \wedge \psi_n) \rightarrow \varphi_k$  by Lemma 5.4.(iv).

For the base case, take the sequence  $[\forall \mathbf{p}]\alpha_1, \dots, [\forall \mathbf{p}]\alpha_m$ . For the inductive hypothesis, assume  $\langle \gamma_1, \dots, \gamma_j \rangle$  is an appropriate sequence for  $\ell$ . For  $\ell + 1$ , in  $\pi$  above,  $\varphi_{\ell+1}$  is obtained either from some  $\alpha_i$  by uniform substitution or from previous formulas by modus ponens or the congruence rule.

Case 1:  $\varphi_{\ell+1}$  comes from  $\alpha_i$  by substitution. Observe that  $\vdash_{\text{GQM}} [\forall \mathbf{p}]\alpha_i \rightarrow A\varphi_{\ell+1}$  by repeated application of instantiation and then global instantiation. Hence we can extend  $\langle \gamma_1, \dots, \gamma_j \rangle$  to  $\langle \gamma_1, \dots, \gamma_j, [\forall \mathbf{p}]\alpha_i \rightarrow A\varphi_{\ell+1}, A\varphi_{\ell+1} \rangle$ , and  $A\varphi_{\ell+1}$  follows from  $[\forall \mathbf{p}]\alpha_i$  and  $[\forall \mathbf{p}]\alpha_i \rightarrow A\varphi_{\ell+1}$  by modus ponens.

Case 2:  $\varphi_{\ell+1}$  comes from a previous  $\chi$  in  $\pi$  by the congruence rule, so  $\chi$  is a biconditional  $\chi_1 \leftrightarrow \chi_2$  and  $\varphi_{\ell+1}$  is  $\Box\chi_1 \leftrightarrow \Box\chi_2$ .

Case 2a:  $\chi$  is among the  $\alpha_i$ . Observe that  $\vdash_{\text{GQM}} [\forall \mathbf{p}](\chi_1 \leftrightarrow \chi_2) \rightarrow A(\Box\chi_1 \leftrightarrow \Box\chi_2)$  by instantiation,  $\Box$ -congruence, and Lemma 5.4.(iii) and 5.4.(ix). Hence we can extend  $\langle \gamma_1, \dots, \gamma_j \rangle$  to  $\langle \gamma_1, \dots, \gamma_j, [\forall \mathbf{p}]\alpha_i \rightarrow A\varphi_{\ell+1}, A\varphi_{\ell+1} \rangle$ , and  $A\varphi_{\ell+1}$  follows from  $[\forall \mathbf{p}]\alpha_i$  and  $[\forall \mathbf{p}]\alpha_i \rightarrow A\varphi_{\ell+1}$  by modus ponens.

Case 2b:  $\chi$  is among  $\varphi_{m+1}, \dots, \varphi_n$ . Observe that  $\vdash_{\text{GQM}} A(\chi_1 \leftrightarrow \chi_2) \rightarrow A(\Box\chi_1 \leftrightarrow \Box\chi_2)$  by  $\Box$ -congruence and Lemma 5.4.(iii) and 5.4.(viii). Hence we can extend  $\langle \gamma_1, \dots, \gamma_j \rangle$  to  $\langle \gamma_1, \dots, \gamma_j, A\chi \rightarrow A\varphi_{\ell+1}, A\varphi_{\ell+1} \rangle$ , and  $A\varphi_{\ell+1}$  follows from  $A\chi$  and  $A\chi \rightarrow A\varphi_{\ell+1}$  by modus ponens.

Case 3:  $\varphi_{\ell+1}$  comes from two previous formulas  $\chi$  and  $\chi'$  in  $\pi$  by modus ponens. Then the reasoning is similar to the previous cases, using distribution.  $\square$

We can easily rephrase Theorem 6.2 in the language of “theories.”

**Definition 6.3** A  $\vdash_{\text{GQM}}$ -theory is a set of GQM formulas that includes GQM and is closed under modus ponens.

**Corollary 6.4** If  $\Sigma \subseteq \mathcal{L}_{\Box}$  axiomatizes a congruential modal logic  $\mathbf{L}$ , then we have the following equivalence:  $\varphi \in \mathbf{L}$  iff  $\varphi$  belongs to the smallest  $\vdash_{\text{GQM}}$ -theory that includes  $[\forall]\Sigma = \{[\forall \mathbf{p}]\varphi \mid \varphi \in \Sigma \text{ and } \mathbf{p} \text{ are the variables in } \varphi\}$ .

Given this reduction of modal logics to  $\vdash_{\text{GQM}}$ -theories, we have the following.

**Corollary 6.5** GQM theoremhood is undecidable.

**Proof.** In light of Theorem 6.2, a decision procedure for GQM would yield a decision procedure for every finitely axiomatizable modal logic. But there are undecidable logics with finite axiomatizations [11, § 16.4].  $\square$

Theorem 3.6 showed that the set of GQM formulas valid over  $\mathcal{C}$ -BAEs is not recursively enumerable. Our completeness result in Theorem 8.6 will yield that the situation is better over general algebraic semantics.

## 7 Prenex forms

Our path to completeness begins with suitable normal and prenex forms.

### 7.1 Weak prenex forms

**Definition 7.1**

- (i) A *nontrivial weak prenex (NWP) formula* is a formula of the form  $\{\mathbf{Qp}\}\varphi$ , where  $\{\mathbf{Qp}\}$  is a nonempty sequence of GQMs and  $\varphi$  is a  $\mathcal{L}_{\Box\mathbf{A}}$ -formula.

- (ii) A *normal clause* is a disjunction each disjunct of which is either (a) a literal, (b) of the form  $\Box\psi$  or  $\Diamond\psi$  with  $\psi$  quantifier free, or (c) a formula in NWP form.
- (iii) A *conjunctive normal form weak prenex (CNFWP) formula* is a conjunction of normal clauses.

The following are key lemmas for the purposes of showing that formulas can be transformed into equivalent CNFWP formulas.

**Lemma 7.2**

- (i)  $\vdash (\mathbf{G}_*\alpha * \{Qp\}\beta) \leftrightarrow \{Qp\}(\mathbf{G}_*\alpha * \beta)$  where  $p$  is not free in  $\alpha$ ;
- (ii)  $\vdash \mathbf{A}(\varphi \vee \{Qp\}\psi) \leftrightarrow (\mathbf{A}\varphi \vee \{Qp\}\psi)$ ;
- (iii)  $\vdash \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow ((\{Qp\}\psi \wedge \Box\alpha) \vee (\neg\{Qp\}\psi \wedge \Box(\alpha \wedge \varphi)))$ .

**Proof.** Parts (i), (ii), and (iii) are proved in Appendix A.3 as Propositions A.12, A.13, and A.14, respectively.  $\square$

**Lemma 7.3**

- (i) If  $\alpha_1, \dots, \alpha_m$  are each NWP formulas, then  $\alpha_1 * \dots * \alpha_m$  is provably equivalent to  $\mathbf{G}_*(\alpha_1 * \dots * \alpha_m)$ .
- (ii) If  $\alpha_1, \dots, \alpha_n$  are each NWP formulas, then  $\alpha_1 * \dots * \alpha_n$  is provably equivalent to an NWP formula.
- (iii) If  $\alpha$  is a normal clause, then  $\mathbf{A}\alpha$  is provably equivalent to an NWP formula.
- (iv) If  $\varphi$  is a CNFWP formula, then  $\mathbf{A}\varphi$  is provably equivalent to an NWP formula.

**Proof.** (i) We have:

- (1)  $\vdash (\alpha_1 * \dots * \alpha_n) \leftrightarrow (\mathbf{G}_*\alpha_1 * \dots * \mathbf{G}_*\alpha_n)$  by Lemma 5.4.(xi)-(xii) since each  $\alpha_i$  is an NWP formula
- (2)  $\vdash (\mathbf{G}_*\alpha_1 * \dots * \mathbf{G}_*\alpha_n) \leftrightarrow \mathbf{G}_*(\alpha_1 * \dots * \alpha_n)$  by Lemma 5.4.(ii)
- (3)  $\vdash (\alpha_1 * \dots * \alpha_n) \leftrightarrow \mathbf{G}_*(\alpha_1 * \dots * \alpha_n)$  from (1) and (2) by PL.

(ii) By Lemma 5.2, we may assume without loss of generality that (a) no propositional variable occurs both free and bound in  $\alpha_1, \dots, \alpha_n$ . The proof is by induction on the number of nonvacuous GQMs (i.e., GQMs binding variables, unlike A and E) occurring in  $\alpha_1, \dots, \alpha_n$ . First, by Lemma 5.4.(xi)-(xii), we may replace each  $\alpha_i$  with an equivalent NWP formula  $\alpha'_i$  containing no more GQMs and in which no vacuous GQM occurs before a nonvacuous GQM. Thus, if no  $\alpha'_i$  begins with a nonvacuous GQM, then  $\alpha'_1 * \dots * \alpha'_n$  is already an NWP formula, so we are done. Now suppose that some  $\alpha'_i$ , say  $\alpha'_n$ , is of the form  $\{Qp\}\varphi$  where  $\{Qp\}$  is nonvacuous. Since  $\alpha'_1, \dots, \alpha'_{n-1}$  are each NWP formulas,  $\alpha := (\alpha'_1 * \dots * \alpha'_{n-1})$  is equivalent to  $\mathbf{G}_*\alpha$  by part (i). Then we have:

- (4)  $\vdash \alpha \leftrightarrow \mathbf{G}_*\alpha$
- (5)  $\vdash (\alpha'_1 * \dots * \alpha'_n) \leftrightarrow (\mathbf{G}_*\alpha * \{Qp\}\varphi)$  by (4) and PL

- (6)  $\vdash (\mathbf{G}_*\alpha * \{Qp\}\varphi) \leftrightarrow (\mathbf{G}_*\alpha * \{Qp\}\{Qr\}\varphi)$  where  $r$  is not free in  $\varphi$  or  $\alpha$ , by Lemma 5.4.(ix)-(x)
- (7)  $\vdash (\mathbf{G}_*\alpha * \{Qp\}\{\forall r\}\varphi) \leftrightarrow \{Qp\}(\mathbf{G}_*\alpha * \{Qr\}\varphi)$  by Lemma 7.2.(i), since  $p$  is not free in  $\alpha$  by (a) above
- (8)  $\vdash \{Qp\}(\mathbf{G}_*\alpha * \{\forall r\}\varphi) \leftrightarrow \{Qp\}(\alpha * \{Qr\}\varphi)$  by (4), PL, and Lemma 5.3
- (9)  $\vdash (\alpha'_1 * \dots * \alpha'_n) \leftrightarrow \{Qp\}(\alpha'_1 * \dots * \alpha'_{n-1} * \{Qr\}\varphi)$  by (5)–(8) by PL.

Since  $\alpha'_1, \dots, \alpha'_{n-1}, \{Qr\}\varphi$  are each NWP formulas, and there is one fewer non-vacuous GQM in  $\alpha'_1, \dots, \alpha'_{n-1}, \{Qr\}\varphi$  than in  $\alpha'_1, \dots, \alpha'_n$ , the inductive hypothesis implies that  $\alpha'_1 * \dots * \alpha'_{n-1} * \{Qr\}\varphi$  is equivalent to an NWP formula. It follows by Lemma 5.3 that  $\{Qp\}(\alpha'_1 * \dots * \alpha'_{n-1} * \{Qr\}\varphi)$  is equivalent to an NWP formula, so by (9),  $\alpha'_1 * \dots * \alpha'_n$  is equivalent to an NWP formula, which means that  $\alpha_1 * \dots * \alpha_n$  is equivalent to an NWP formula.

(iii) The proof is by induction on the number of disjuncts in a normal clause. Suppose  $\alpha$  is  $\beta_1 \vee \dots \vee \beta_m$ . If no  $\beta_k$  is an NWP formula, then  $\mathbf{A}\alpha$  is already an NWP formula. Suppose  $\beta_m := \{Qp\}\gamma$  is an NWP formula, and let  $\beta := \beta_1 \vee \dots \vee \beta_{m-1}$ . Then  $\beta$  is a normal clause, so by the inductive hypothesis,  $\mathbf{A}\beta$  is equivalent to an NWP formula  $\delta$ . Now we have:

- (10)  $\vdash \alpha \leftrightarrow (\beta \vee \{Qp\}\gamma)$  by our assumption of what  $\alpha$  is
- (11)  $\vdash \mathbf{A}\alpha \leftrightarrow \mathbf{A}(\beta \vee \{Qp\}\gamma)$  from (10) by Lemma 5.4.(iii)
- (12)  $\vdash \mathbf{A}(\beta \vee \{Qp\}\gamma) \leftrightarrow (\mathbf{A}\beta \vee \{Qp\}\gamma)$  by Lemma 7.2.(ii)
- (13)  $\vdash \mathbf{A}\beta \leftrightarrow \delta$  by the inductive hypothesis
- (14)  $\vdash (\mathbf{A}\beta \vee \{Qp\}\gamma) \leftrightarrow (\delta \vee \{Qp\}\gamma)$  from (12) and (13) by PL
- (15)  $\vdash \mathbf{A}\alpha \leftrightarrow (\delta \vee \{Qp\}\gamma)$  from (11), (12), and (14) by PL.

Since  $\delta$  is an NWP formula,  $\delta \vee \{Qp\}\gamma$  is a disjunction of NWP formulas. Thus, by part (ii),  $\delta \vee \{Qp\}\gamma$  is equivalent to an NWP formula, and hence by (15),  $\mathbf{A}\alpha$  is equivalent to an NWP formula.

(iv) Suppose  $\varphi$  is a CNFWP formula  $\alpha_1 \wedge \dots \wedge \alpha_n$ . Hence  $\mathbf{A}\varphi$  is equivalent to  $\mathbf{A}\alpha_1 \wedge \dots \wedge \mathbf{A}\alpha_n$  by Lemma 5.4.(ii). Since each  $\alpha_i$  is a normal clause, part (iii) implies that each  $\mathbf{A}\alpha_i$  is equivalent to an NWP formula  $\chi_i$ . Hence  $\mathbf{A}\alpha_1 \wedge \dots \wedge \mathbf{A}\alpha_n$  is equivalent to  $\chi_1 \wedge \dots \wedge \chi_n$ , which by part (ii) is equivalent to an NWP formula. Thus,  $\varphi$  is equivalent to an NWP formula.  $\square$

Our main normal form result is the following.

**Theorem 7.4** *For every  $\varphi \in \mathcal{L}_{\text{GQM}}$ :*

- (i)  $\varphi$  is provably equivalent to a CNFWP formula;
- (ii)  $\mathbf{A}\varphi$  is provably equivalent to an NWP formula.

**Proof.** We prove part (i) by induction on  $\varphi$ . The base case for propositional variables is immediate. Suppose  $\varphi$  is  $\neg\psi$ . By the inductive hypothesis,  $\psi$  is equivalent to a CNFWP formula. One then uses de Morgan and distributive laws to show that  $\neg\psi$  is also equivalent to a CNFWP formula. Suppose  $\varphi$  is  $\psi_1 \wedge \psi_2$ . By the inductive hypothesis,  $\psi_1$  and  $\psi_2$  are both equivalent to CNFWP

formulas  $\psi'_1$  and  $\psi'_2$ . Then  $\psi_1 \wedge \psi_2$  is equivalent to the CNFWP formula  $\psi'_1 \wedge \psi'_2$ .

Suppose  $\varphi$  is  $[\forall p]\psi$ . By the inductive hypothesis,  $\psi$  is equivalent to a CNFWP formula  $\chi$ , which implies that  $A\psi$  is equivalent to  $A\chi$  by Lemma 5.3. Hence  $[\forall p]\psi$ , which is equivalent to  $[\forall p]A\psi$  by Lemma 5.4.(ix), is equivalent to  $[\forall p]A\chi$  by Lemma 5.3. By Lemma 7.3.(iv),  $A\chi$  is equivalent to an NWP formula, from which it follows by Lemma 5.3 that  $[\forall p]A\chi$  is equivalent to an NWP formula. Such a formula is in CNFWP.

Suppose  $\varphi$  is  $\Box\psi$ . By the inductive hypothesis,  $\psi$  is equivalent to a CNFWP formula  $\alpha_1 \wedge \dots \wedge \alpha_n$ . We will prove that for any CNFWP formula  $\sigma_1 \wedge \dots \wedge \sigma_k$ ,  $\Box(\sigma_1 \wedge \dots \wedge \sigma_k)$  is equivalent to a formula in CNFWP, by induction on the number of GQMs occurring in  $\sigma_1 \wedge \dots \wedge \sigma_k$ . If no  $\sigma_i$  contains a disjunct in NWP, then no  $\sigma_i$  contains a GQM, which means  $\Box(\sigma_1 \wedge \dots \wedge \sigma_k)$  is already in CNFWP. So suppose that some  $\sigma_i$ , say  $\sigma_k$ , contains as a disjunct an NWP formula  $\{Qp\}\gamma$ . Hence  $\sigma_k$  is equivalent to  $\beta \vee \{Qp\}\gamma$  for a normal clause  $\beta$ . Let  $\sigma := \sigma_1 \wedge \dots \wedge \sigma_{k-1}$ . Thus,  $\Box(\sigma_1 \wedge \dots \wedge \sigma_k)$  is equivalent to  $\Box(\sigma \wedge (\beta \vee \{Qp\}\gamma))$ , which by Lemma 7.2.(iii) is equivalent to

$$(1) (\{Qp\}\gamma \wedge \Box\sigma) \vee (\neg\{Qp\}\gamma \wedge \Box(\sigma \wedge \beta)).$$

Now  $\sigma$  and  $\sigma \wedge \beta$  are CNFWP formulas containing fewer GQMs than  $\sigma_1 \wedge \dots \wedge \sigma_k$ . Hence by the inductive hypothesis, there are CNFWP formulas  $\chi_1$  and  $\chi_2$  such that  $\Box\sigma$  is equivalent to  $\chi_1$  and  $\Box(\sigma \wedge \beta)$  is equivalent to  $\chi_2$ . Thus,  $\Box(\sigma_1 \wedge \dots \wedge \sigma_k)$  is equivalent to

$$(2) (\{Qp\}\gamma \wedge \chi_1) \vee (\neg\{Qp\}\gamma \wedge \chi_2).$$

Since  $\{Qp\}\gamma$  is an NWP formula and  $\chi_1$  and  $\chi_2$  are CNFWP formulas, (2) can be transformed into an equivalent CNFWP using distributive laws and the fact that  $\neg\{Qp\}\gamma$  is equivalent to the NWP formula  $\overline{\{Qp\}\neg\gamma}$ .

Part (ii) follows from part (i) and Lemma 7.3.(iv).  $\square$

## 7.2 Pure weak prenex forms

The following special case of NWP form will be essential in relating  $\mathcal{L}_{\text{GQM}}$  to the first-order language in § 8.

**Definition 7.5** A formula is in *pure weak prenex form* (PWP) iff it is of the form  $\{Qp\}G\varphi$  where  $\{Qp\}$  is a sequence of  $[\forall p_i]$  and  $\langle \exists p_i \rangle$  GQMs only,  $G$  is either  $A$  or  $E$ , and  $\varphi$  is a  $\mathcal{L}_{\Box A}$ -formula.

**Theorem 7.6** *Every NWP formula is provably equivalent to a PWP formula.*

**Proof.** By induction on the length of the quantifier prefix. Assuming  $\varphi$  is a PWP formula, we must show that  $\{Qp\}\varphi$  is equivalent to a PWP formula. If  $\{Qp\} \in \{[\forall p], \langle \exists p \rangle\}$ , there is nothing to do. Case 1:  $\{Qp\} := \langle \forall p \rangle$ . By Lemma 5.4.(ix)-(x), where  $r$  is not free in  $\varphi$ ,  $\langle \forall p \rangle\varphi$  is equivalent to  $[\forall p]\langle \exists r \rangle\varphi$ , which is a PWP formula. Case 2:  $\{Qp\} := [\exists p]$ . By Lemma 5.4.(ix)-(x), where  $r$  is not free in  $\varphi$ ,  $[\exists p]\varphi$  is equivalent to  $\langle \exists p \rangle[\forall r]\varphi$ , which is a PWP formula.  $\square$



## 8 Completeness via FO-theory of discriminator BAEs

Using the prenex results of § 7, we will now prove the completeness of GQM via mutual translations with the first-order theory of discriminator BAEs.

**Definition 8.1** A *Boolean algebra expansion with a discriminator* ( $\text{BAE}_A$ ) is a tuple  $\mathfrak{A} = \langle A, \neg, \wedge, \perp, \top, \square, A \rangle$  where  $\langle A, \neg, \wedge, \perp, \top, \square \rangle$  is a BAE and  $A$  is the dual form of the *unary discriminator term* [18], i.e., an algebraic counterpart of the global modality:  $Aa = \top$  if  $a = \top$ , and  $Aa = \perp$  otherwise.

Let  $\text{FO}_{\text{BAE}_A}$  (resp.  $\text{FO}_{\text{BAE}}$ ) be the set of first-order formulas in the  $\text{BAE}_A$  (resp. BAE) signature (recycling  $\text{Prop}$  for our set of first-order variables).

The class of all  $\text{BAE}_A$ s is elementary, although it is not exactly a variety (an equationally definable class): rather, it is the class of all *simple* members of the corresponding variety [18, Thm. 3]. BAEs and  $\text{BAE}_A$ s are in 1-1 correspondence:  $\text{BAE}_A$ s have BAEs as reducts; every BAE  $\mathfrak{A}$  can be trivially extended to a  $\text{BAE}_A \mathfrak{A}_A$ ; and both operations are mutual inverses.

In a similar way, we can assign to every formula of  $\text{FO}_{\text{BAE}_A}$  a formula equivalent to a PWP formula (where  $\sim$  and  $\&$  are the negation and conjunction connectives in the first-order language, whereas  $\neg$  and  $\wedge$  in the first-order language are function symbols for the Boolean algebraic operations):

$$\begin{aligned} (\varphi \approx \psi)_* &:= A(\varphi \leftrightarrow \psi) & (\sim\alpha)_* &:= \neg(\alpha)_* \\ (\alpha \& \beta)_* &:= ((\alpha)_* \wedge (\beta)_*) & (\forall p\alpha)_* &:= [\forall p](\alpha)_*. \end{aligned}$$

Note that the *terms* in the  $\text{FO}_{\text{BAE}_A}$  formula become formulas of  $\mathcal{L}_{\text{GQM}}$ , with the Boolean function symbols becoming propositional connectives.

In the reverse direction, define for each PWP formula:

$$\begin{aligned} (A\varphi)^* &:= \varphi \approx \top & (E\varphi)^* &:= \varphi \not\approx \perp \\ ([\forall p]\varphi)^* &:= \forall p(\varphi)^* & (\langle \exists p \rangle \varphi)^* &:= \exists p(\varphi)^*. \end{aligned}$$

Any  $A$  or  $E$  GQMs inside  $\varphi$  become function symbols in the  $\text{FO}_{\text{BAE}_A}$  translation.

**Lemma 8.2** For any nontrivial<sup>6</sup> BAE  $\mathfrak{A}$ ,  $\theta : \text{Prop} \rightarrow \mathfrak{A}$ , and  $\alpha \in \text{FO}_{\text{BAE}_A}$ :

$$\mathfrak{A}, \theta \models \alpha \text{ iff } \tilde{\theta}((\alpha)_*) = \top \quad \text{and} \quad \mathfrak{A}, \theta \not\models \alpha \text{ iff } \tilde{\theta}((\alpha)_*) = \perp.$$

**Proof.** By induction on the complexity of  $\alpha$ . The atomic case follows directly from properties of the connective  $\leftrightarrow$ , Lemma 2.6, and the fact that in a nontrivial Boolean algebra,  $\top$  and  $\perp$  are distinct. The Boolean cases follow from the first-order satisfaction definition, the inductive hypothesis, and the algebraic behavior of  $\top$  and  $\perp$ . The GQM case is by Definition 2.5.  $\square$

**Corollary 8.3** For any  $\Delta \cup \{\alpha\} \subseteq \text{FO}_{\text{BAE}_A}$ ,  $\Delta \models_{\text{FO}_{\text{BAE}_A}} \alpha$  iff  $(\Delta)_* \models_{\text{GQM}}^A (\alpha)_*$ .

**Proof.** Immediate from Lemma 8.2 and the definitions of consequence.  $\square$

### Theorem 8.4

- (i) For any PWP formula  $\varphi \in \mathcal{L}_{\text{GQM}}$ ,  $\varphi \vdash_{\text{GQM}}^A ((\varphi)_*)$  and  $((\varphi)_*) \vdash_{\text{GQM}}^A \varphi$ .

<sup>6</sup> By a nontrivial BAE, we mean a BAE in which  $\top \neq \perp$ .

- (ii) For any  $\Delta \cup \{\alpha\} \subseteq \text{FO}_{\text{BAE}_A}$ ,  $\Delta \vdash_{\text{FO}_{\text{BAE}_A}} \alpha$  implies  $(\Delta)_* \vdash_{\text{GQM}}^A (\alpha)_*$ .
- (iii) For any  $\Delta \cup \{\alpha\} \subseteq \text{FO}_{\text{BAE}_A}$ ,  $\Delta \vdash_{\text{FO}_{\text{BAE}_A}} \alpha$  iff  $(\Delta)_* \vdash_{\text{GQM}}^A (\alpha)_*$ .

**Proof.** For part (i), given  $\langle \exists p \rangle \psi := \neg[\forall p]\neg\psi$ , we have (for a fresh  $q$ ):

$$\begin{aligned} (\{Qp\}A\varphi)^* &= Qp\forall q(\varphi \approx \top) & ([Qp]E\varphi)^* &= Qp\exists q(\varphi \approx \perp) \\ ((\{Qp\}A\varphi)^*)_* &= \{Qp\}A(\varphi \leftrightarrow \top) & (([Qp]E\varphi)^*)_* &= \{Qp\}E\neg(\varphi \leftrightarrow \perp). \end{aligned}$$

It is an easy exercise using Lemmas 5.3 and 5.4 to show that  $\{Qp\}A\varphi$  is GQM-equivalent to  $\{Qp\}A(\varphi \leftrightarrow \top)$  and  $\{Qp\}E\varphi$  to  $\{Qp\}E\neg(\varphi \leftrightarrow \perp)$ .

For part (ii), see Appendix B. Part (iii) is obtained from (ii) by noting that the opposite direction follows from the soundness of GQM (Lemma 5.7), Corollary 8.3, and the completeness of  $\text{FO}_{\text{BAE}_A}$ .  $\square$

An astute reader will note here that even though  $(\cdot)_*$  and  $(\cdot)^*$  are mutual inverses up to equivalence, the matrix of  $((\alpha)_*)^*$  consists of a single equation or its negation, including for those  $\alpha$  whose matrix is a nontrivial conjunction of disjunctions. This is in keeping with general discriminator theory [34].

### Corollary 8.5

- (i) For any  $\Delta \cup \{\alpha\} \subseteq \text{FO}_{\text{BAE}_A}$ ,  $\Delta \vDash_{\text{FO}_{\text{BAE}_A}} \alpha$  iff  $(\Delta)_* \vdash_{\text{GQM}}^A (\alpha)_*$ .
- (ii) For any set of PWP formulas  $\Gamma \cup \{\varphi\} \subseteq \mathcal{L}_{\text{GQM}}$ ,  $\Gamma \vdash_{\text{GQM}}^A \varphi$  iff  $(\Gamma)^* \vDash_{\text{FO}_{\text{BAE}_A}} (\varphi)^*$ .

**Proof.** For part (i), we proceed as follows:

$$\begin{aligned} \Delta \vDash_{\text{FO}_{\text{BAE}_A}} \alpha &\Leftrightarrow \Delta \vdash_{\text{FO}_{\text{BAE}_A}} \alpha && \text{by completeness of } \text{FO}_{\text{BAE}_A} \\ &\Leftrightarrow (\Delta)_* \vdash_{\text{GQM}}^A (\varphi)_* && \text{by Theorem 8.4.(iii).} \end{aligned}$$

For part (ii), we have:

$$\begin{aligned} (\Gamma)^* \vDash_{\text{FO}_{\text{BAE}_A}} (\varphi)^* &\Leftrightarrow ((\Gamma)^*)_* \vdash_{\text{GQM}}^A ((\varphi)^*)_* && \text{by part (i)} \\ &\Leftrightarrow \Gamma \vdash_{\text{GQM}}^A \varphi && \text{by Theorem 8.4.(i). } \quad \square \end{aligned}$$

**Theorem 8.6 (Completeness)** For any  $\Gamma \cup \{\varphi\} \subseteq \mathcal{L}_{\text{GQM}}$ ,

$$\Gamma \vdash_{\text{GQM}}^A \varphi \text{ iff } \Gamma \vDash_{\text{FO}_{\text{BAE}_A}} \varphi.$$

**Proof.** First, as far as the consequence relation  $\vdash_{\text{GQM}}^A$  is concerned, we can prefix all formulas in  $\Gamma \cup \{\varphi\}$  by A (by Lemma 5.6) and then transform them into equivalent PWP formulas (Theorems 7.4.(ii) and 7.6). Corollary 8.5 established that  $\Gamma \vdash_{\text{GQM}}^A \varphi$  iff  $(\Gamma)^* \vDash_{\text{FO}_{\text{BAE}_A}} (\varphi)^*$ . By Corollary 8.3, this is equivalent to  $((\Gamma)^*)_* \vDash_{\text{FO}_{\text{BAE}_A}} ((\varphi)^*)_*$ . The result then follows by Theorem 8.4.(i).  $\square$

**Remark 8.7** The use of Theorem 8.4 in this section should be compared with [6, Thm. 3.7] and [27, Lem. 19]. Our success in establishing the equivalence between the (global) GQM-consequence relation and that of  $\text{FO}_{\text{BAE}}$  means that we can internalize the metatheory of modal logics concisely and in a generic way

using “bridge theorems” of abstract algebraic logic [6,1,14]. For lack of space, we are not pursuing this option further in this paper, but a good illustration of how **GQM** can be used in such a generalization can be found in our recent paper [17, § 9], which in fact led us to the invention of this formalism. Bases of admissible rules (see, e.g., [31]) seem to provide another promising candidate. Details and more examples will be provided in a sequel paper.

## 9 Conclusions

We have seen that **GQM** provides the sought-after way of viewing “modal logics” as theories relative to one logical system, while also offering a generic and conservative way to enrich any modal logic with propositional quantifiers, including coalgebraic logics (see Remark 2.11). This study led us to new perspectives on the first-order correspondence language for BAEs (§ 8) on the one hand and SOPML on the other hand (§ 3). In the first case, the equivalence between  $\text{FO}_{\text{BAEA}}$ -consequence and  $\vdash_{\text{GQM}}^{\text{A}}$ -consequence illustrates a curious use of techniques from abstract algebraic logic (cf. Remark 8.7) beyond their usual scope. In the second case, we were led to new prenex normal form results. We also believe that focusing on the syntax of **GQM** and its algebraic semantics can lead to a clarification of philosophical problems concerning propositional quantification, such as Kaplan’s paradox in § 4.

Along the way, a number of issues have been postponed to a follow-up paper. In particular, we mentioned that over dual, set-based semantics **GQM**-consequence may be intractable, as it is over Kripke frames. On the other hand, given that modal logics can be identified with (fragments of) universal **GQM**-theories, the existence of a rich modal completeness apparatus indicates that for suitable fragments of **GQM** and formulas of specific syntactic shapes, developing **GQM** model theory is not hopeless and may yield additional insights in modal logic. This will be a subject of future investigation, as will the systematic internalization of “bridge theorems” mentioned in Remark 8.7.

Another issue we have not touched on is that of Gentzen-style proof theory for (well-behaved fragments of) **GQM**. Since the difficulty of developing Gentzen systems for many modal logics was behind the idea “that the great proliferation of modal logics is an epidemic from which modal logic ought to be cured” [8, p. 25], it would be of interest to see if **GQM** could help here as well.

A further intriguing possibility is that of weakening the classical base of **GQM** to an intuitionistic one. Just as modal logics are  $[\forall]$ -universal theories in classical **GQM**, intermediate (modal) logics could be  $[\forall]$ -universal theories in intuitionistic **GQM**. There is a connection here with the origins of modal logic: not only was C. I. Lewis a proponent of propositional quantification in modal logic, as well as perhaps the earliest opponent of modal proliferation, but also he seemed interested in the idea of strict implication on an intuitionistic base (see [30] for discussion). It is argued in [30] that moving Lewis’s strict implication to an intuitionistic base is indeed a conceptually fruitful step. The enrichment of that system with **GQM** may be the ultimate Lewisian logic.

One need not stop at intuitionistic logic. With the power of the global

quantificational modality, there is the possibility that even vaster swaths of “logics” could become special theories over a generalized version of GQM. Alternatively, the classical base of GQM could be retained, while the connectives of different “logics” are treated as modal operators in BAEs, whose behavior is governed by  $[\forall]$ -universal GQM formulas. Under one of these approaches, a version of GQM could bring us closer to the idea of “one logic to rule them all.”

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## A Syntactic Derivations

### A.1 Proofs of Lemmas 5.2 and 5.3

**Lemma A.1** *If  $\vdash \varphi \rightarrow \psi$ , then  $\vdash [\forall p]\varphi \rightarrow [\forall p]\psi$ .*

**Proof.** By  $[\forall p]$ -necessitation, distribution, and modus ponens.  $\square$

**Lemma A.2**  $\vdash \overline{\{Qp\}}\varphi \leftrightarrow \neg\{Qp\}\neg\varphi$ .

**Proof.** For  $\{Qp\} = [\forall p]$ , by definition  $\langle \exists p \rangle := \neg[\forall p]\neg$ . For  $\{Qp\} = [\exists p]$ , the definitions  $\langle \forall p \rangle\varphi := [\forall p]\neg A\neg\varphi$  and  $[\exists p]\varphi := \neg[\forall p]\neg A\varphi$  imply  $\vdash \langle \forall p \rangle\varphi \leftrightarrow \neg[\exists p]\neg\varphi$ . The cases of  $\{Qp\} = \langle \exists p \rangle$  and  $\{Qp\} = \langle \forall p \rangle$  easily follow.  $\square$

We can now prove Lemma 5.2.

**Lemma A.3** *If  $q$  is substitutable for  $p$  in  $\varphi$ , and  $q$  is not free in  $\varphi$ , then  $\vdash [\forall p]\varphi \leftrightarrow [\forall q]\varphi_q^p$ .*

**Proof.** First, observe that if  $q$  is substitutable for  $p$  in  $\varphi$ , and  $q$  is not free in  $\varphi$ , then  $p$  is substitutable for  $q$  in  $\varphi_q^p$ , and  $p$  is not free in  $\varphi_q^p$ . In addition,  $(\varphi_q^p)_q^p = \varphi$ . Thus, to show that the biconditional in the lemma are provable it suffices to show that the left-to-right direction is provable for any  $q, p$ , and  $\varphi$  satisfying the assumptions. We have:

- (1)  $\vdash [\forall p]\varphi \rightarrow A\varphi_q^p$  by global instantiation since  $q$  is substitutable for  $p$  in  $\varphi$
- (2)  $\vdash [\forall p]\varphi \rightarrow [\forall q]A\varphi_q^p$  from (1) by universal generalization since  $q$  is not free in  $[\forall p]\varphi$
- (3)  $\vdash A\varphi_q^p \rightarrow \varphi_q^p$  by instantiation
- (4)  $\vdash [\forall q]A\varphi_q^p \rightarrow [\forall q]\varphi_q^p$  from (3) by Lemma A.1
- (5)  $\vdash [\forall p]\varphi \rightarrow [\forall q]\varphi_q^p$  from (2) and (4) by PL  $\square$

**Lemma A.4**

- (i) *existential generalization*:  $\vdash [\forall r]\varphi_\psi^p \rightarrow [\exists p]\varphi$  where  $\psi$  is substitutable for  $p$  in  $\varphi$ .
- (ii) *existential elimination*: if  $\vdash (\chi \wedge [\forall r]\varphi_q^p) \rightarrow \alpha$ , where  $q$  is substitutable for  $p$  in  $\varphi$ ,  $q$  is not free in  $\chi$ ,  $\varphi$ , or  $\alpha$ ,  $r \neq q$ , and  $r$  is not free in  $\varphi$ , then  $\vdash (\chi \wedge [\exists p]\varphi) \rightarrow \alpha$ .

**Proof.** By definition  $[\exists p]\varphi$  is  $\neg[\forall p]\neg[\forall s]\varphi$  for a variable  $s$  not free in  $\varphi$ .

For part (i), suppose  $s'$  is a propositional variable that does not occur free in  $\varphi$  or in  $\psi$ . Then since  $\psi$  is assumed to be substitutable for  $p$  in  $\varphi$ , it follows that  $\psi$  is substitutable for  $p$  in  $[\forall s']\varphi$ . Now we have:

- (1)  $\vdash \neg[\forall s]\varphi \rightarrow \neg[\forall s']\varphi$  by Lemma A.3
- (2)  $\vdash [\forall p]\neg[\forall s]\varphi \rightarrow [\forall p]\neg[\forall s']\varphi$  from (1) by Lemma A.1
- (3)  $\vdash [\forall p]\neg[\forall s']\varphi \rightarrow (\neg[\forall s']\varphi)_\psi^p$  by instantiation, since  $\psi$  is substitutable for  $p$  in  $[\forall s']\varphi$
- (4)  $\vdash [\forall p]\neg[\forall s']\varphi \rightarrow \neg[\forall s']\varphi_\psi^p$  from (3), since  $(\neg[\forall s']\varphi)_\psi^p = \neg[\forall s']\varphi_\psi^p$ .
- (5)  $\vdash [\forall r]\varphi_\psi^p \rightarrow [\forall s']\varphi_\psi^p$  by global instantiation
- (6)  $\vdash [\forall r]\varphi_\psi^p \rightarrow \neg[\forall p]\neg[\forall s]\varphi$  from (2)–(5) by PL.

For part (ii), we have:

- (1)  $\vdash (\chi \wedge [\forall r]\varphi_q^p) \rightarrow \alpha$  by assumption
- (2)  $\vdash (\chi \wedge \neg\alpha) \rightarrow \neg[\forall r]\varphi_q^p$  from (1) by PL
- (3)  $\vdash \neg[\forall r]\varphi_q^p \rightarrow [\forall r]\neg[\forall r]\varphi_q^p$  by quantificational 5 axiom
- (4)  $\vdash (\chi \wedge \neg\alpha) \rightarrow [\forall r]\neg[\forall r]\varphi_q^p$  from (2)–(3) by PL
- (5)  $\vdash (\chi \wedge \neg\alpha) \rightarrow [\forall q][\forall r]\neg[\forall r]\varphi_q^p$  from (4) by universal generalization, since  $q$  is not free in  $\chi$  or  $\alpha$
- (6)  $\vdash [\forall r]\neg[\forall r]\varphi_q^p \rightarrow \neg[\forall r]\varphi_q^p$  by instantiation
- (7)  $\vdash [\forall q][\forall r]\neg[\forall r]\varphi_q^p \rightarrow [\forall q]\neg[\forall r]\varphi_q^p$  from (6) by Lemma A.1
- (8)  $\vdash (\chi \wedge \neg\alpha) \rightarrow [\forall q]\neg[\forall r]\varphi_q^p$  from (5) and (7) by PL
- (9)  $\vdash [\forall q]\neg[\forall r]\varphi_q^p \rightarrow [\forall p]\neg[\forall r]\varphi$  by Lemma A.3, because  $q$  is substitutable for  $p$  in  $\varphi$ , and  $q$  is not free in  $\varphi$
- (10)  $\vdash \neg[\forall r]\varphi \rightarrow \neg[\forall s]\varphi$  by Lemma A.3, since neither  $r$  nor  $s$  is free in  $\varphi$
- (11)  $\vdash [\forall p]\neg[\forall r]\varphi \rightarrow [\forall p]\neg[\forall s]\varphi$  from (10) by Lemma A.1

(12)  $\vdash (\chi \wedge \neg\alpha) \rightarrow [\forall p]\neg[\forall s]\varphi$  from (8), (9), and (11) by PL

(13)  $\vdash (\chi \wedge \neg[\forall p]\neg[\forall s]\varphi) \rightarrow \alpha$  from (12) by PL.  $\square$

We can now prove Lemma 5.3, split into two parts for clarity.

**Lemma A.5**

(i) if  $\vdash \varphi \rightarrow \psi$ , then  $\vdash [Qp]\varphi \rightarrow [Qp]\psi$ ;

(ii) if  $\vdash \varphi \rightarrow \psi$ , then  $\vdash \langle Qp \rangle \varphi \rightarrow \langle Qp \rangle \psi$ .

**Proof.** Part (i) with  $Q := \forall$  is Lemma A.1. For part (i) with  $Q := \exists$ , we have:

(1)  $\vdash \varphi \rightarrow \psi$  assumption

(2)  $\vdash [\forall r]\varphi \rightarrow [\forall r]\psi$  for  $r$  not free in  $\varphi$  or  $\psi$ , from (1) by part (i) for  $Q := \forall$

(3)  $\vdash [\forall r]\psi \rightarrow [\exists p]\psi$  by existential generalization

(4)  $\vdash [\forall r]\varphi \rightarrow [\exists p]\psi$  from (2) and (3) by PL

(5)  $\vdash [\exists p]\varphi \rightarrow [\exists p]\psi$  from (4) by existential elimination, since  $r$  is not free in  $\varphi$  and  $p$  is not free in  $[\exists p]\psi$ .

For (ii), if  $\vdash \varphi \rightarrow \psi$ , then  $\vdash \neg\psi \rightarrow \neg\varphi$ , so  $\vdash [\overline{Q}p]\neg\psi \rightarrow [\overline{Q}p]\neg\varphi$  by (i). Then  $\vdash \neg[\overline{Q}p]\neg\varphi \rightarrow \neg[\overline{Q}p]\neg\psi$  by PL and hence  $\vdash \langle Qp \rangle \varphi \rightarrow \langle Qp \rangle \psi$  by Lemma A.2.  $\square$

The next lemma will be useful in the appendices to follow.

**Lemma A.6**

(i)  $\vdash \{\forall p\}\varphi \rightarrow \{\exists p\}\varphi$ ;

(ii)  $\vdash \{\exists r\}\varphi \rightarrow \{\forall r\}\varphi$  if  $r$  not free in  $\varphi$ ;

(iii)  $\vdash [Qp]\varphi \rightarrow \langle Qp \rangle \varphi$ .

**Proof.** For part (i), by existential generalization, we have  $\vdash [\forall p]\varphi \rightarrow [\exists p]\varphi$ . Then by contraposition with  $\varphi := \neg\psi$ , we obtain  $\vdash \neg[\exists p]\neg\psi \rightarrow \neg[\forall p]\neg\psi$ , which implies  $\vdash \langle \forall p \rangle \psi \rightarrow \langle \exists p \rangle \psi$ . For part (ii), from  $\vdash [\forall r]\varphi \rightarrow [\forall r]\varphi$  we obtain  $\vdash [\exists r]\varphi \rightarrow [\forall r]\varphi$  by existential elimination since  $r$  is not free in  $\varphi$ . Then by contraposition with  $\varphi := \neg\psi$ , we obtain  $\vdash \neg[\forall r]\neg\psi \rightarrow \neg[\exists r]\neg\psi$ , which implies  $\vdash \langle \exists r \rangle \psi \rightarrow \langle \forall r \rangle \psi$ . For part (iii) for  $Q := \forall$ ,  $\langle \forall p \rangle \psi$  is  $[\forall p]E\psi$ . By instantiation and contraposition, we have  $\vdash \psi \rightarrow E\psi$ , so  $\vdash [\forall p]\psi \rightarrow [\forall p]E\psi$  and hence  $\vdash [\forall p]\psi \rightarrow \langle \forall p \rangle \psi$ . For  $Q := \exists$ ,  $[\exists p]\psi$  is  $[\forall p]E\psi$  and  $\langle \exists p \rangle \psi$  is  $\neg[\forall p]\neg\psi$ . Since  $[\forall p]E\psi \rightarrow E\psi$  by instantiation and  $\vdash [\forall p]\neg\psi \rightarrow A\neg\psi$  by global instantiation, we have  $\vdash [\forall p]E\psi \rightarrow \neg[\forall p]\neg\psi$  by definition of E and PL. Hence  $\vdash [\exists p]\psi \rightarrow \langle \exists p \rangle \psi$ .  $\square$

**A.2 Proof of Lemma 5.4**

**Lemma A.7**

(i)  $\vdash A(\varphi \rightarrow \psi) \rightarrow (A\varphi \rightarrow A\psi)$ ;

(v)  $\vdash \varphi \rightarrow E\varphi$ ;

(ii)  $\vdash G_*(\varphi * \psi) \leftrightarrow (G_*\varphi * G_*\psi)$ ;

(vi)  $\vdash E\varphi \leftrightarrow AE\varphi$ ;

(iii) if  $\vdash \varphi \rightarrow \psi$ , then  $\vdash G\varphi \rightarrow G\psi$ ;

(vii)  $\vdash EA\varphi \leftrightarrow A\varphi$ ;

(iv)  $\vdash A\varphi \rightarrow \varphi$ ;

(viii)  $\vdash GG\varphi \leftrightarrow G\varphi$ ;

- (ix)  $\vdash \{Qp\}A\psi \leftrightarrow [Qp]\psi;$                       (xi)  $\vdash \{Qp\}\psi \leftrightarrow A\{Qp\}\psi;$   
(x)  $\vdash \{Qp\}E\psi \leftrightarrow \langle Qp \rangle \psi;$                       (xii)  $\vdash \{Qp\}\psi \leftrightarrow E\{Qp\}\psi.$

**Proof.** The first eight are straightforward:

- (i) is by distribution.
- (ii) for  $*$  =  $\wedge$  follows from part (i) and  $[\forall p]$ -necessitation using PL.
- (ii) for  $*$  =  $\vee$  is by part (ii) for  $*$  =  $\wedge$ , the definition of E, and PL.
- (iii) for A is by Lemma A.5.(i).
- (iii) for E is by part (iii) for A, the definition of E, and PL.
- (iv) is by instantiation.
- (v) is by part (iv), the definition of E, and PL.
- (vi) is by the quantificational 5 axiom and PL for the left-to-right direction and by part (iv) for the right-to-left direction.
- (vii) is by part (vi), the definition of E, and PL.
- (viii) follows from parts (iv) and (vii) by standard modal reasoning.

For part (ix), first suppose  $\{Qp\} = [Qp]$ . Then the left-to-right direction is by part (iv) and then Lemma A.5.(i). For the right-to-left direction with  $Q = \forall$ , we have  $\vdash [\forall p]\psi \rightarrow A\psi$  by global instantiation and hence  $\vdash [\forall p]\psi \rightarrow [\forall p]A\psi$  by universal generalization since  $p$  is not free in the antecedent. The right-to-left direction with  $Q = \exists$  follows from the definition of  $[\exists p]\psi$  as  $\neg[\forall p]\neg A\psi$ , part (viii), and Lemma A.5.(i). Now suppose that  $\{Qp\} = \langle Qp \rangle$ . If  $Q = \forall$ , then we must show that  $\vdash \langle \forall p \rangle A\psi \leftrightarrow [\forall p]\psi$ . The right-to-left direction follows from  $\vdash [\forall p]\psi \rightarrow [\forall p]A\psi$ , established above, and Lemma A.6.(iii). From left to right, by definition  $\langle \forall p \rangle A\psi$  is  $[\forall p]EA\psi$ , which by parts (vii) and (iv) and Lemma A.5.(i) entails  $[\forall p]\psi$ . Finally, suppose that  $Q = \exists$ . Since  $\langle \exists p \rangle$  is by definition  $\neg[\forall p]\neg$  and  $[\exists p]$  is  $\neg[\forall p]\neg A$ , we have  $\vdash \langle \exists p \rangle A\psi \leftrightarrow [\exists p]\psi$ .

For part (x), first suppose that  $\{Qp\} = \langle Qp \rangle$ . Then the right-to-left direction is by part (v) and Lemma A.5.(ii). Since  $\langle \exists p \rangle$  is defined as  $\neg[\forall p]\neg$  and E as  $\neg A\neg$ , the left-to-right direction for  $Q = \exists$  follows from part (ix) and PL. For the left-to-right direction with  $Q = \forall$ , by definition  $\langle \forall p \rangle E\psi$  is  $[\forall p]EE\psi$ , which by part (v) and Lemma A.5.(i) entails  $[\forall p]E\psi$ , which by definition  $\langle \forall p \rangle \psi$ . Now suppose  $\{Qp\} = [Qp]$ . If  $Q = \forall$ , then by the definition of  $\langle \forall p \rangle \psi$  we have  $\vdash [\forall p]E\psi \leftrightarrow \langle \forall p \rangle \psi$ . If  $Q = \exists$ , then we must show  $\vdash [\exists p]E\psi \leftrightarrow \langle \exists p \rangle \psi$ . By definition,  $[\exists p]E\psi$  is  $\langle \exists p \rangle AE\psi$ , which by parts (vi) and Lemma A.5.(ii) is equivalent to  $\langle \exists p \rangle E\psi$ , which we showed above to be equivalent to  $\langle \exists p \rangle \psi$ .

For part (xi), the right-to-left direction is by part (iv). For the left-to-right direction in the case of  $[\forall p]$ , we have:

- (1)  $\vdash [\forall p]\psi \rightarrow [\forall p]\psi$  propositional tautology
- (2)  $\vdash [\forall p]\psi \rightarrow A[\forall p]\psi$  from (1) by universal generalization, since the variable in A is assumed not to be free in  $[\forall p]$ .

In the case of  $[\exists p]$ , the quantificational 5 axiom gives  $\vdash \neg[\forall p]\neg A\psi \rightarrow A\neg[\forall p]\neg A\psi$ , which by definition of  $[\exists p]$  yields  $\vdash [\exists p]\psi \rightarrow A[\exists p]\psi$ .

For the left-to-right direction of part (xi) in the case of  $\langle Qp \rangle$ , we have:

- (3)  $\vdash [\overline{Qp}]\neg\psi \rightarrow A[\overline{Qp}]\neg\psi$  from part (xi) for  $[\overline{Qp}]$  as above



- (4)  $\vdash \mathbb{E}[\overline{Qp}] \neg\psi \rightarrow \mathbb{EA}[\overline{Qp}] \neg\psi$  from (3) by part (iii) of the lemma
- (5)  $\vdash \mathbb{E}[\overline{Qp}] \neg\psi \rightarrow [\overline{Qp}] \neg\psi$  from (4) by parts (vii) and (iv) of the lemma
- (6)  $\vdash \langle Qp \rangle \psi \rightarrow \mathbb{A}\langle Qp \rangle \psi$  from (5) by PL and the definition of  $\langle Qp \rangle$ .

Part (xii) follows from part (xi) by definition of  $\mathbb{E}$  and PL.  $\square$

### A.3 Proof of Lemma 7.2

To prove Lemma 7.2.(i), we begin with the following cases.

**Lemma A.8** *If  $p$  is not free in  $\alpha$ , then:*

- (i)  $\vdash (\mathbb{A}\alpha \wedge [\forall p]\beta) \leftrightarrow [\forall p](\alpha \wedge \beta)$ ;
- (ii)  $\vdash (\mathbb{A}\alpha \wedge [\exists p]\beta) \leftrightarrow [\exists p](\alpha \wedge \beta)$ ;
- (iii)  $\vdash (\mathbb{E}\alpha \vee [\forall p]\beta) \leftrightarrow [\forall p](\mathbb{E}\alpha \vee \beta)$ ;
- (iv)  $\vdash (\mathbb{E}\alpha \vee [\exists p]\beta) \leftrightarrow [\exists p](\mathbb{E}\alpha \vee \beta)$ .

**Proof.** For the left-to-right direction of part (i), we have:

- (1)  $\vdash [\forall p]\beta \rightarrow \mathbb{A}\beta$  by global instantiation
- (2)  $\vdash (\mathbb{A}\alpha \wedge [\forall p]\beta) \rightarrow (\mathbb{A}\alpha \wedge \mathbb{A}\beta)$  from (1) by PL
- (3)  $\vdash (\mathbb{A}\alpha \wedge [\forall p]\beta) \rightarrow \mathbb{A}(\alpha \wedge \beta)$  from (2) by Lemma A.7.(ii) and PL
- (4)  $\vdash (\mathbb{A}\alpha \wedge [\forall p]\beta) \rightarrow [\forall p]\mathbb{A}(\alpha \wedge \beta)$  from (3) by universal generalization, since  $p$  is not free in  $\mathbb{A}\alpha \wedge [\forall p]\beta$
- (5)  $\vdash (\mathbb{A}\alpha \wedge [\forall p]\beta) \rightarrow [\forall p](\alpha \wedge \beta)$  from (4) by Lemma A.7.(ix).

For the right-to-left direction of part (i), we have:

- (6)  $\vdash (\alpha \wedge \beta) \rightarrow \alpha$  propositional tautology
- (7)  $\vdash [\forall p](\alpha \wedge \beta) \rightarrow [\forall p]\alpha$  from (6) by Lemma A.5.(i)
- (8)  $\vdash [\forall p]\alpha \rightarrow \mathbb{A}\alpha$  by global instantiation
- (9)  $\vdash [\forall p](\alpha \wedge \beta) \rightarrow \mathbb{A}\alpha$  from (7) and (8) by PL
- (10)  $\vdash (\alpha \wedge \beta) \rightarrow \beta$  propositional tautology
- (11)  $\vdash [\forall p](\alpha \wedge \beta) \rightarrow [\forall p]\beta$  from (10) by Lemma A.5.(i)
- (12)  $\vdash [\forall p](\alpha \wedge \beta) \rightarrow (\mathbb{A}\alpha \wedge [\forall p]\beta)$  from (9) and (11) by PL.

For the left-to-right direction of part (ii), we have:

- (13)  $\vdash (\mathbb{A}\alpha \wedge \mathbb{A}\beta) \rightarrow \mathbb{A}(\alpha \wedge \beta)$  by Lemma A.7.(ii)
- (14)  $\vdash \mathbb{A}(\alpha \wedge \beta) \rightarrow [\exists p](\alpha \wedge \beta)$  by existential generalization
- (15)  $\vdash (\mathbb{A}\alpha \wedge \mathbb{A}\beta) \rightarrow [\exists p](\alpha \wedge \beta)$  from (13) and (14) by PL
- (16)  $\vdash (\mathbb{A}\alpha \wedge [\exists p]\beta) \rightarrow [\exists p](\alpha \wedge \beta)$  from (15) by existential elimination since  $p$  is not free in  $\alpha$  or  $[\exists p](\alpha \wedge \beta)$ .

For the right-to-left direction of part (ii), we have:

- (17)  $\vdash (\alpha \wedge \beta) \rightarrow \alpha$  propositional tautology
- (18)  $\vdash [\exists p](\alpha \wedge \beta) \rightarrow [\exists p]\alpha$  from (17) by Lemma A.5.(i)

- (19)  $\vdash [\exists p]\alpha \rightarrow A\alpha$  by Lemma A.6.(ii) since  $p$  is not free in  $\alpha$   
(20)  $\vdash (\alpha \wedge \beta) \rightarrow \beta$  propositional tautology  
(21)  $\vdash [\exists p](\alpha \wedge \beta) \rightarrow [\exists p]\beta$  from (20) by Lemma A.5.(i)  
(22)  $\vdash [\exists p](\alpha \wedge \beta) \rightarrow (A\alpha \wedge [\exists p]\beta)$  from (18), (19), and (21) by PL.

For the left-to-right direction of part (iii), we have:

- (23)  $\vdash E\alpha \rightarrow (E\alpha \vee \beta)$  propositional tautology  
(24)  $\vdash AE\alpha \rightarrow A(E\alpha \vee \beta)$  from (23) by Lemma A.5.(i)  
(25)  $\vdash E\alpha \rightarrow A(E\alpha \vee \beta)$  from (24) by Lemma A.7.(vi) and PL  
(26)  $\vdash E\alpha \rightarrow [\forall p]A(E\alpha \vee \beta)$  from (25) by universal generalization, since  $p$  is not free in  $E\alpha$   
(27)  $\vdash E\alpha \rightarrow [\forall p](E\alpha \vee \beta)$  from (26) by Lemma A.7.(ix) and PL  
(28)  $\vdash \beta \rightarrow (E\alpha \vee \beta)$  propositional tautology  
(29)  $\vdash [\forall p]\beta \rightarrow [\forall p](E\alpha \vee \beta)$  from (28) by Lemma A.5.(i)  
(30)  $\vdash (E\alpha \vee [\forall p]\beta) \rightarrow [\forall p](E\alpha \vee \beta)$  from (27) and (29) by PL.

For the right-to-left direction of part (iii), we have:

- (31)  $\vdash [\forall p](A\neg\alpha \rightarrow \beta) \rightarrow ([\forall p]A\neg\alpha \rightarrow [\forall p]\beta)$  by distribution  
(32)  $\vdash A\neg\alpha \rightarrow [\forall p]A\neg\alpha$  by universal generalization, since  $p$  is not free in  $A\neg\alpha$   
(33)  $\vdash [\forall p](A\neg\alpha \rightarrow \beta) \rightarrow (A\neg\alpha \rightarrow [\forall p]\beta)$  from (31) and (32) by PL  
(34)  $\vdash [\forall p](E\alpha \vee \beta) \rightarrow (E\alpha \vee [\forall p]\beta)$  from (33) by definition of E and PL.

For the left-to-right direction of part (iv), we have:

- (35)  $\vdash E\alpha \rightarrow AE\alpha$  from Lemma A.7.(vi) by definition of E and PL  
(36)  $\vdash AE\alpha \rightarrow [\exists p]E\alpha$  by existential generalization  
(37)  $\vdash E\alpha \rightarrow [\exists p]E\alpha$  from (35) and (36) by PL  
(38)  $\vdash E\alpha \rightarrow (E\alpha \vee \beta)$  propositional tautology  
(39)  $\vdash [\exists p]E\alpha \rightarrow [\exists p](E\alpha \vee \beta)$  from (38) by Lemma A.5.(i)  
(40)  $\vdash E\alpha \rightarrow [\exists p](E\alpha \vee \beta)$  from (37) and (39) by PL  
(41)  $\vdash \beta \rightarrow (E\alpha \vee \beta)$  propositional tautology  
(42)  $\vdash [\exists p]\beta \rightarrow [\exists p](E\alpha \vee \beta)$  from (41) by Lemma A.5.(i)  
(43)  $\vdash (E\alpha \vee [\exists p]\beta) \rightarrow [\exists p](E\alpha \vee \beta)$  from (40) and (42) by PL.

For the right-to-left direction of part (iv), we have:

- (44)  $\vdash A(\neg E\alpha \rightarrow \beta) \rightarrow (A\neg E\alpha \rightarrow A\beta)$  by Lemma A.7.(i)  
(45)  $\vdash A(E\alpha \vee \beta) \rightarrow (\neg A\neg E\alpha \vee A\beta)$  from (44) by PL  
(46)  $\vdash A(E\alpha \vee \beta) \rightarrow (EE\alpha \vee A\beta)$  from (45) by definition of E and PL  
(47)  $\vdash A(E\alpha \vee \beta) \rightarrow (E\alpha \vee A\beta)$  from (46) by Lemma A.7.(viii) and PL  
(48)  $\vdash A\beta \rightarrow [\exists p]\beta$  by existential generalization

(49)  $\vdash A(E\alpha \vee \beta) \rightarrow (E\alpha \vee [\exists p]\beta)$  from (47) and (48) by PL

(50)  $\vdash [\exists p](E\alpha \vee \beta) \rightarrow (E\alpha \vee [\exists p]\beta)$  from (49) by existential elimination since  $p$  is not free in  $(E\alpha \vee [\exists p]\beta)$ .  $\square$

Lemma A.8 can be succinctly summarized as follows.

**Lemma A.9** *If  $p$  is not free in  $\alpha$ , then:*

(i)  $\vdash (A\alpha \wedge [Qp]\beta) \leftrightarrow [Qp](\alpha \wedge \beta)$ ;

(ii)  $\vdash (E\alpha \vee [Qp]\beta) \leftrightarrow [Qp](E\alpha \vee \beta)$ .

**Lemma A.10** *If  $p$  is not free in  $\alpha$ , then:*

(i)  $\vdash (E\alpha \vee \langle Qp \rangle \beta) \leftrightarrow \langle Qp \rangle (\alpha \vee \beta)$ ;

(ii)  $\vdash (A\alpha \wedge \langle Qp \rangle \beta) \leftrightarrow \langle Qp \rangle (A\alpha \wedge \beta)$ .

**Proof.** From Lemma A.9 by PL and Lemma A.2.  $\square$

**Proposition A.11** *If  $p$  is not free in  $\alpha$ , then:*

(i)  $\vdash (A\alpha \wedge \{Qp\}\beta) \leftrightarrow \{Qp\}(A\alpha \wedge \beta)$ ;

(ii)  $\vdash (E\alpha \vee \{Qp\}\beta) \leftrightarrow \{Qp\}(E\alpha \vee \beta)$ .

**Proof.** Part (i) is given by Lemma A.9.(i), using the fact from Lemma A.7.(viii) that  $\vdash A\alpha \leftrightarrow AA\alpha$ , and Lemma A.10.(ii). Part (ii) is given by Lemmas A.9.(ii) and A.10.(i), using the fact from Lemma A.7.(viii) that  $\vdash E\alpha \leftrightarrow EE\alpha$ .  $\square$

Proposition A.11 can be succinctly summarized by the following statement, which is Lemma 7.2.(i).

**Proposition A.12**  $\vdash (G_*\alpha * \{Qp\}\beta) \leftrightarrow \{Qp\}(G_*\alpha * \beta)$  where  $p$  is not free in  $\alpha$ .

Next we prove Lemma 7.2.(ii).

**Proposition A.13**  $\vdash A(\varphi \vee \{Qp\}\psi) \leftrightarrow (A\varphi \vee \{Qp\}\psi)$ .

**Proof.** For the left-to-right direction, we have:

- (1)  $\vdash E\{Qp\}\psi \vee \neg E\{Qp\}\psi$  propositional tautology
- (2)  $\vdash E\{Qp\}\psi \rightarrow \{Qp\}\psi$  by Lemma A.7.(xii)
- (3)  $\vdash A(\neg\{Qp\}\psi \rightarrow \varphi) \rightarrow (A\neg\{Qp\}\psi \rightarrow A\varphi)$  by Lemma A.7.(i)
- (4)  $\vdash (\varphi \vee \{Qp\}\psi) \rightarrow (\neg\{Qp\}\psi \rightarrow \varphi)$  propositional tautology
- (5)  $\vdash A(\varphi \vee \{Qp\}\psi) \rightarrow A(\neg\{Qp\}\psi \rightarrow \varphi)$  from (4) by Lemma A.7.(iii)
- (6)  $\vdash (A(\varphi \vee \{Qp\}\psi) \wedge \neg E\{Qp\}\psi) \rightarrow A\varphi$  from (5) and (3) by PL
- (7)  $\vdash A(\varphi \vee \{Qp\}\psi) \rightarrow (A\varphi \vee \{Qp\}\psi)$  from (1), (2), and (6) by PL.

For the right-to-left direction, we have:

- (8)  $\vdash \varphi \rightarrow (\varphi \vee \{Qp\}\psi)$  propositional tautology
- (9)  $\vdash A\varphi \rightarrow A(\varphi \vee \{Qp\}\psi)$  from (8) by Lemma A.7.(iii)
- (10)  $\vdash \{Qp\}\psi \rightarrow A\{Qp\}\psi$  by Lemma A.7.(xi)
- (11)  $\vdash \{Qp\}\psi \rightarrow (\varphi \vee \{Qp\}\psi)$  propositional tautology

- (12)  $\vdash A\{Qp\}\psi \rightarrow A(\varphi \vee \{Qp\}\psi)$  from (11) by Lemma A.7.(iii)  
 (13)  $\vdash (A\varphi \vee \{Qp\}\psi) \rightarrow A(\varphi \vee \{Qp\}\psi)$  from (9), (10), and (12) by PL.  $\square$

Finally, we prove Lemma 7.2.(iii).

**Proposition A.14**

$$\vdash \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow ((\{Qp\}\psi \wedge \Box\alpha) \vee (\neg\{Qp\}\psi \wedge \Box(\alpha \wedge \varphi))).$$

**Proof.** First, we have:

- (1)  $\vdash \{Qp\}\psi \rightarrow A\{Qp\}\psi$  by Lemma A.7.(xi)  
 (2)  $\vdash \{Qp\}\psi \rightarrow ((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha)$  propositional tautology  
 (3)  $\vdash A\{Qp\}\psi \rightarrow A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha)$  from (2) by Lemma A.7.(iii)  
 (4)  $\vdash \{Qp\}\psi \rightarrow A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha)$  from (1) and (3) by PL  
 (5)  $\vdash A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha) \rightarrow (\Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \Box\alpha)$  by  $\Box$ -congruence  
 (6)  $\vdash \neg\{Qp\}\psi \rightarrow A\neg\{Qp\}\psi$  by Lemma A.7.(xii), definition of E, and PL  
 (7)  $\vdash \neg\{Qp\}\psi \rightarrow ((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi))$  propositional tautology  
 (8)  $\vdash A\neg\{Qp\}\psi \rightarrow A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi))$  from (7) by Lemma A.7.(iii)  
 (9)  $\vdash \neg\{Qp\}\psi \rightarrow A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi))$  from (6) and (8) by PL  
 (10)  $\vdash A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi)) \rightarrow (\Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \Box(\alpha \wedge \varphi))$  by  $\Box$ -congruence.

Now for the left-to-right direction of the formula to be proved, we have:

- (11)  $\vdash \{Qp\}\psi \vee \neg\{Qp\}\psi$  propositional tautology  
 (12)  $\vdash \{Qp\}\psi \rightarrow (\{Qp\}\psi \wedge A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha))$  from (4) by PL  
 (13)  $\vdash \neg\{Qp\}\psi \rightarrow (\neg\{Qp\}\psi \wedge A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi)))$  from (9) by PL  
 (14)  $\vdash ((\{Qp\}\psi \wedge A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \alpha)) \vee (\neg\{Qp\}\psi \wedge A((\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow (\alpha \wedge \varphi))))$  from (11), (12), and (13) by PL  
 (15)  $\vdash ((\{Qp\}\psi \wedge (\Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \Box\alpha)) \vee (\neg\{Qp\}\psi \wedge (\Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \leftrightarrow \Box(\alpha \wedge \varphi))))$  from (14), (5), and (10) by PL  
 (16)  $\vdash \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)) \rightarrow ((\{Qp\}\psi \wedge \Box\alpha) \vee (\neg\{Qp\}\psi \wedge \Box(\alpha \wedge \varphi)))$  from (15) by PL.

For the right-to-left direction, we have:

- (17)  $\vdash ((\{Qp\}\psi \wedge \Box\alpha) \rightarrow \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi)))$  from (4) and (5) by PL  
 (18)  $\vdash (\neg\{Qp\}\psi \wedge \Box(\alpha \wedge \varphi)) \rightarrow \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi))$  from (9) and (10) by PL  
 (19)  $\vdash ((\{Qp\}\psi \wedge \Box\alpha) \vee (\neg\{Qp\}\psi \wedge \Box(\alpha \wedge \varphi))) \rightarrow \Box(\alpha \wedge (\varphi \vee \{Qp\}\psi))$  from (17) and (18) by PL.  $\square$

## B Proof of Theorem 8.4.(ii)

In order to prove Theorem 8.4.(ii), we first recall the needed first-order apparatus, for which we follow Enderton [12]. A *generalization* of a first-order formula  $\varphi$  is any formula of the form  $\forall p_1 \dots \forall p_n \varphi$  for  $n \geq 0$ . Enderton takes as axioms all generalizations of the following:

- all substitution instances of propositional tautologies;
- $\forall p \varphi \rightarrow \varphi_t^p$  where the term  $t$  is substitutable for  $p$  in  $\varphi$ ;
- $\forall p(\varphi \rightarrow \psi) \rightarrow (\forall p \varphi \rightarrow \forall p \psi)$ ;
- $\varphi \rightarrow \forall p \varphi$  where  $p$  does not occur free in  $\varphi$ ;
- $p \approx p$ , and  $p \approx q \rightarrow (\varphi \rightarrow \varphi')$  where  $\varphi$  is atomic and  $\varphi'$  is obtained from  $\varphi$  by replacing  $p$  in zero or more places by  $q$ .

In addition, we add all generalizations of the following axioms for the elementary theory of nontrivial discriminator BAEs:

- first-order axioms of Boolean algebras;
- $\forall p((p \approx \top \ \& \ Ap \approx \top) \text{ OR } (p \not\approx \top \ \& \ Ap \approx \perp))$  and  $\top \not\approx \perp$ .

Let  $\Gamma \vdash_{\text{FO}_{\text{BAE}_A}} \varphi$  iff  $\varphi$  belongs to the smallest set of  $\text{FO}_{\text{BAE}_A}$  formulas that includes all the axioms above, is closed under modus ponens, and includes  $\Gamma$ .

**Lemma B.1** *For any  $\varphi \in \text{FO}_{\text{BAE}_A}$ , term  $t$  and variable  $p$ :*

- (i) *if  $p$  is not free in  $\varphi$ , then  $p$  is not free in  $(\varphi)_*$ ;*
- (ii) *if  $t$  is substitutable for  $p$  in  $\varphi$ , then  $t$  is substitutable for  $p$  in  $(\varphi)_*$ ;*
- (iii)  $(\varphi_t^p)_* = ((\varphi)_*)_t^p$ .

**Lemma B.2** *For every  $\varphi \in \text{FO}_{\text{BAE}_A}$ ,  $\vdash_{\text{GQM}} (\varphi)_* \leftrightarrow \mathbf{A}(\varphi)_*$ .*

**Proof.** A straightforward induction using Lemma 5.4. □

We are now ready to prove Theorem 8.4.(ii): for any  $\Delta \cup \{\alpha\} \subseteq \text{FO}_{\text{BAE}_A}$ ,  $\Delta \vdash_{\text{FO}_{\text{BAE}_A}} \alpha$  implies  $(\Delta)_* \vdash_{\text{GQM}}^{\mathbf{A}} (\alpha)_*$ .

**Proof.** The proof is by induction on the length of  $\vdash_{\text{FO}_{\text{BAE}_A}}$  proofs. We first check that the translation of each axiom is a theorem of **GQM**. Since **GQM** has the  $[\forall]$ -necessitation rule that if  $\vdash_{\text{GQM}} \varphi$ , then  $\vdash_{\text{GQM}} [\forall p]\varphi$ , it suffices to check that each of the ungeneralized axioms translates to a theorem of **GQM**:

- The translation of any propositional tautology is clearly also a propositional tautology.
- By Lemma B.1.(iii),  $(\forall p \varphi \rightarrow \varphi_t^p)_* = [\forall p](\varphi)_* \rightarrow ((\varphi)_*)_t^p$ , which by Lemma B.1.(ii) is an instance of **instantiation**.
- $(\forall p(\varphi \rightarrow \psi) \rightarrow (\forall p \varphi \rightarrow \forall p \psi))_* = [\forall p]((\varphi)_* \rightarrow (\psi)_*) \rightarrow ([\forall p](\varphi)_* \rightarrow [\forall p](\psi)_*)$ , which is an instance of **distribution**.
- $(\varphi \rightarrow \forall p \varphi)_* = (\varphi)_* \rightarrow [\forall p](\varphi)_*$ , and we have  $\vdash (\varphi)_* \rightarrow \mathbf{A}(\varphi)_*$  by Lemma B.2 and hence  $\vdash (\varphi)_* \rightarrow [\forall p](\varphi)_*$  by Lemma 5.2 since  $p$  is not free in  $(\varphi)_*$  (by Lemma B.1).

- $(p \approx p)_* := \mathbf{A}(p \leftrightarrow p)$ , which is obtained from the tautology  $p \leftrightarrow p$  by  $[\forall]$ -necessitation.
- $(p \approx q \rightarrow (\varphi \rightarrow \varphi'))_* = \mathbf{A}(p \leftrightarrow q) \rightarrow ((\varphi)_* \rightarrow ((\varphi)_*)')$  where  $((\varphi)_*)'$  is obtained from  $(\varphi)_*$  by replacing the appropriate occurrences of  $p$  by  $q$ . Proving that  $\vdash_{\text{GQM}} \mathbf{A}(p \leftrightarrow q) \rightarrow ((\varphi)_* \rightarrow ((\varphi)_*)')$  is routine.
- The translation of any axiom of Boolean algebra is clearly derivable in GQM using PL and  $[\forall]$ -necessitation.
- $(\forall p((p \approx \top \& Ap \approx \top) \text{ OR } (p \not\approx \top \& Ap \approx \perp)))_*$  is

$$[\forall p]((\mathbf{A}(p \leftrightarrow \top) \wedge \mathbf{A}(Ap \leftrightarrow \top)) \vee (\neg \mathbf{A}(p \leftrightarrow \top) \wedge \mathbf{A}(Ap \leftrightarrow \perp))),$$

which is straightforward to derive using Lemma 5.4 and  $[\forall]$ -necessitation.

- $(\top \neq \perp)_* = \neg \mathbf{A}(\top \leftrightarrow \perp)$ , which is derivable by instantiation and PL.

Finally, any application of modus ponens for  $\vdash_{\text{FO}_{\text{BAEA}}}$  can be matched—using the inductive hypothesis—by an application of modus ponens for  $\vdash_{\text{GQM}}^{\mathbf{A}}$ .  $\square$