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### Permalink

<https://escholarship.org/uc/item/0b25396p>

### Journal

Physica B+C, 135(1-3)

### ISSN

0378-4363

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### Publication Date

1985-12-01

### DOI

10.1016/0378-4363(85)90422-x

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Peer reviewed

## NUCLEAR MAGNETIC RESONANCE AND HEAVY-FERMION SUPERCONDUCTIVITY IN $\text{UBe}_{13}$ AND RELATED SYSTEMS

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We report the use of the  $^9\text{Be}$  nuclear magnetic resonance to study heavy-fermion superconductivity in the  $\text{U}_{1-x}\text{Th}_x\text{Be}_{13}$  alloy system,  $x = 0$  and  $0.033$ . The nuclear spin–lattice relaxation rate  $1/T_1$ , which yields information on thermal excitations in the superconducting state, is found to vary more slowly the temperature than expected for a conventional BCS superconductor with a nonzero energy gap  $\Delta$ . This indicates an enhanced density of excitations for low energies  $E \ll \Delta$ . At intermediate temperatures  $1/T_1 T^3 \approx \text{const.}$  for  $\text{UBe}_{13}$ , which is consistent with highly anisotropic pairing; the specific temperature dependence suggests lines of zeros of  $\Delta$  on the Fermi surface. The relaxation data do not agree qualitatively with theories of superconducting pair breaking due to paramagnetic impurities. At low temperatures ( $T < 0.2$  K),  $1/T_1 T$  is approximately constant in  $\text{UBe}_{13}$ , with a value which decreases with decreasing applied field. This behavior is probably not due to direct relaxation by paramagnetic impurities, for which the temperature dependence would be in the opposite sense. For  $x = 0.033$   $1/T_1$  varies less rapidly than  $T^3$ . The additional relaxation may be due to filling in of the gap by Th-induced pair breaking. The  $^9\text{Be}$  spectra give no indication of additional broadening due to vortex-lattice inhomogeneity or (for  $x = 0.033$ ) of magnetic ordering at a second transition temperature  $T_{c2}$  below the superconducting transition (at  $T_{c1}$ ). Our results are compared to NMR studies of  $\text{CeCu}_2\text{Si}_2$ , and to other experiments in heavy-fermion superconductors.

### 1. Introduction

Cerium- and uranium-based intermetallic compounds have recently been discovered [1–3] in which very massive ( $m_{\text{eff}} > 200m_e$ ) itinerant electrons, or “heavy fermions”, are unambiguously involved in the superconducting state. These heavy-fermion superconductors exhibit extremely enhanced normal-state specific heats at low temperatures, with linear specific-heat coefficients  $\gamma \equiv C/T$  hundreds of times greater than in ordinary

transition metals. A clear signature of heavy-fermion superconductivity is a correspondingly large discontinuity in the specific heat at the superconducting transition temperature  $T_c$ , which implies that the heavy fermions themselves participate in the superconducting pairing [4]. The possibility has been raised [5] of unconventional Cooper pairing in these materials, with non-BCS orbital and spin symmetries and strong energy-gap anisotropy.

Furthermore an interesting “double transition”

<sup>(a)</sup> Supported by NSF grants DMR-8115543 and DMR-8413730, and by the U.C. Riverside Academic Senate Committee on Research.

<sup>(b)</sup> Supported by NSF grant DMR-8409390 and by the UCLA Academic Senate Committee on Research.

<sup>(c)</sup> Work performed under the auspices of the U.S. Department of Energy.

<sup>(d)</sup> Work supported by the Schweizerische Nationalfonds zur Förderung der Wissenschaftlichen Forschung.

has been observed in the specific heat of the pseudobinary alloy system  $U_{1-x}Th_xBe_{13}$  for  $x$  of the order of a few per cent [6]. Superconductivity appears below the higher transition temperature  $T_{c1}$ , and persists below the second transition temperature  $T_{c2}$ .  $T_{c1}$  decreases with Th concentration, but there is an unusual plateau in  $T_{c1}(x)$  in the region where the double transition is observed. No evidence for macroscopic phase separation has been found, and the second transition seems to be accompanied by strong anomalies in the ultrasonic sound velocity [7] and thermal expansion [8].

Nuclear magnetic resonance (NMR) techniques have been used to probe superconductivity since before the appearance of the BCS theory [9]. The utility of NMR lies in its sensitivity to the behavior of local microscopic magnetic fields, both static and dynamic, in condensed matter. We review briefly the kinds of information which can be obtained using NMR which are relevant to the study of heavy-fermion superconductors.

Nuclear spin-lattice relaxation, the process by which a nuclear spin population distribution attains thermal equilibrium with the lattice, is due to coupling between nuclear spins and low-lying thermal excitations. In metals the dominant mechanism for nuclear spin-lattice relaxation is often the so-called Korringa mechanism, in which conduction electrons are spin-flip scattered by the nuclear moments. Paramagnetic impurities in a metal can also couple to the nuclei, and impurity-spin fluctuations can be an important relaxation time  $T_1$  or, equivalently, the relaxation rate  $R \equiv 1/T_1$ , is the principal quantity of interest.

NMR spectra reflect the distribution of local static fields, and can be used, for example, to map out the field distribution in the mixed-state Abrikosov vortex lattice [9]. Large NMR frequency shifts and line broadening accompany magnetic ordering transitions [11], and NMR can therefore be used to search for such transitions in superconductors. NMR spectra are split by the electrostatic interaction between nuclear quadrupole moments and crystalline electric field gradients. The latter depend on crystal structure, and are correspondingly altered by structural modifications or phase transitions.

The three heavy-fermion superconductors with the largest effective masses observed to date are  $CeCu_2Si_2$  [1],  $UBe_{13}$  [2], and  $UPt_3$  [3]. Kitaoka et al. [12] reported the first NMR studies of the superconducting state in  $CeCu_2Si_2$ , using zero-field  $^{63}Cu$  nuclear quadrupole resonance (NQR). Subsequently  $^{63}Cu$  NQR and NMR in  $CeCu_2Si_2$  [13,14] and  $^9Be$  NMR in  $UBe_{13}$  [15,16] were investigated. The  $^{29}Si$  resonance is also available in  $CeCu_2Si_2$ . It is unlikely, however, that a  $^{195}Pt$  resonance will be easily observable in  $UPt_3$ , because the estimated relaxation time  $T_1$  at low temperatures is prohibitively short.

This paper reports the results of our NMR studies in the superconducting states of the heavy-fermion superconductors  $U_{1-x}Th_xBe_{13}$ ,  $x = 0$  and  $0.033$ . (Data from the normal state will be discussed only as they affect interpretation of superconducting-state results.) Section 2 describes  $^9Be$  spin-lattice relaxation in  $U_{1-x}Th_xBe_{13}$ ,  $x = 0$  and  $0.033$ , and section 3 gives results obtained from  $^9Be$  resonance spectra in these materials. We compare our data to other experimental results in these and other HFS systems in section 4, and section 5 summarizes our conclusions. Some of this work has been reported previously [15,16].

## 2. Spin-lattice relaxation in $U_{1-x}Th_xBe_{13}$

NMR experiments have been carried out on two specimens of  $UBe_{13}$  ( $x = 0$ ). Sample no. 1 was ground to a coarse powder (0.5 mm grain size), and sample no. 2 was in the form of several small crystallites (5 mm size). A small-crystallite sample of  $U_{1-x}Th_xBe_{13}$ ,  $x = 0.033$ , was also investigated. Superconducting transition temperatures  $T_c$  were measured using an ac inductance technique, and found to be 0.85 K and 0.75 K for  $UBe_{13}$  sample nos. 1 and 2, respectively, in the applied field  $B = \mu_0 H \approx 1.56$  T used for most of the experiments. For the  $x = 0.033$  sample  $T_c(1.56$  T) was found to be 0.55 K. Standard pulsed NMR techniques were used to obtain relaxation times and field-swept spectra.

Fig. 1 gives the temperature dependence of the  $^9Be$  spin-lattice relaxation time  $T_1$  in  $UBe_{13}$  (sample no. 1), in the form  $1/T_1 T$  vs. tempera-

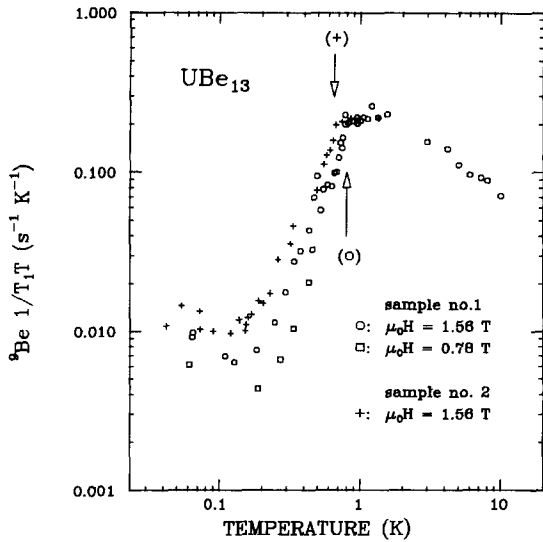


Fig. 1. Temperature and magnetic field dependence of the  ${}^9\text{Be}$  spin-lattice relaxation time  $T_1$ , in the form  $1/T_1T$  vs. temperature  $T$ . Transition temperatures in applied field are indicated by arrows. In the normal state and for  $T < 0.1\text{ K}$   $1/T_1T \approx \text{const.}$  The observed decrease of  $1/T_1T$  with decreasing magnetic field would not be expected for relaxation by paramagnetic impurities.

ture, for several values of applied field. Korringa relaxation due to degenerate conduction-band electrons yields  $1/T_{1n}T = \text{const.}$  in the normal state of a metal; this is confirmed in  $\text{UBe}_{13}$  for temperatures between  $T_c$  and about 2 K. Since  $1/T_{1n} \propto T$ ,  $1/T_{1s}T$  in the superconducting state is proportional to  $T_{1n}(T)/T_{1s}(T) \equiv R_s(T)/R_n(T)$ , where  $R_n$  is the relaxation rate which would be measured in the normal state at temperature  $T$ .  $R_s/R_n$  is directly available from theory [9], and  $1/T_{1s}T$  is therefore a convenient quantity for comparison.

The salient features of these results are as follows: (1) The increase of  $1/T_{1s}T$  just below  $T_c$  usually observed in conventional superconductors [9] is absent in  $\text{UBe}_{13}$ . (2) The data follow a power law  $1/T_{1s}T \propto T^2$  between approximately 0.2 K and somewhat below  $T_c$ . This is more easily seen in fig. 2, which gives the temperature dependence of the quantity  $1/T_1T^3$  for both  $x=0$  samples. (3) At temperatures below  $\approx 0.2\text{ K}$   $1/T_{1s}T$  is again approximately constant, at a value  $\approx 30$  times smaller than in the normal state. We

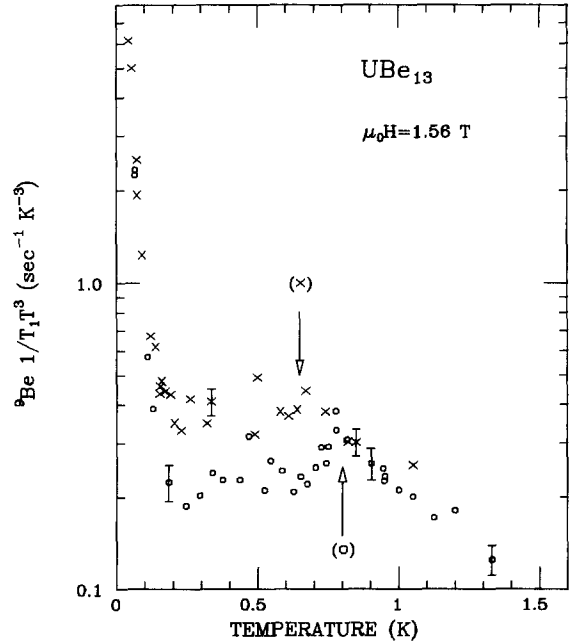


Fig. 2. Temperature dependence of the  ${}^9\text{Be}$   $1/T_1T^3$  for two samples of  $\text{UBe}_{13}$ . Applied magnetic field  $\mu_0H = 1.56\text{ T}$ . Circles: sample no. 1. Crosses: sample no. 2. The arrows labeled by symbols give the superconducting transition temperatures  $T_c(H)$  for each sample. Note the region  $1/T_1T^3 \approx \text{const.}$  from  $\approx 0.2\text{ K}$  to somewhat below  $T_c(H)$ .

now discuss these features in turn.

The absence of a pronounced maximum in  $1/T_{1s}T$  at intermediate temperatures and the power-law dependence of  $1/T_{1s}T$  at intermediate temperatures are both consistent with marked broadening of the singularity at the gap parameter  $\Delta$  in the BCS density of excited states [9]. The anisotropic form of the density of states obtained from the  $L=1$  (p-wave) triplet pairing model commonly used to describe superfluid  ${}^3\text{He}$  [12, 17] provides such a broadening, although it is not unique in this regard.

The densities of states  $N_s(E)$  for the so-called axial and polar anisotropic states are given in figs. 3a and 3b respectively. The BCS gap [ $N_s(\text{BCS}) = 0$  for  $E < \Delta$ ] is filled in by low-energy excitations ( $E \ll \Delta_{\text{max}}$ , where  $\Delta_{\text{max}}$  is the maximum value of  $\Delta$ ). These come from excitations for which  $\Delta$  nearly vanishes, i.e. near points (axial state) or lines (polar state)  $\Delta = 0$  on the Fermi

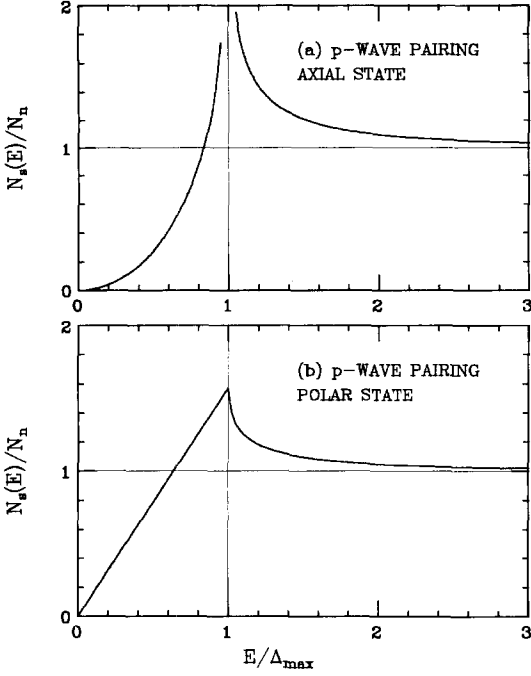


Fig. 3. Theoretical densities of superconducting quasiparticle excited states  $N_s(e)$  for p-wave anisotropic superconducting pairing. (a) Axial (ABM) state [ $N_s(E) \propto E^2$  for  $E \leq \Delta_{\max}$ ]. (b) Polar state [ $N_s(E) \propto E$  for  $E \leq \Delta_{\max}$ ].

surface. For these excitations  $N_s(E) \propto E^q$ , which leads to

$$R_s/R_n \propto T^p \quad (T \ll T_c), \quad (1)$$

$$p = 2q.$$

for the temperature dependence of  $R_s/R_n$  at low temperatures. The values  $p = 4$  and  $2$  are found for the axial and polar  $L = 1$  triplet states, respectively. The latter is consistent with the data of fig. 2. This suggests that anisotropic pairing is a candidate for superconductivity in  $\text{UBe}_{13}$ . Low-lying excitations would then be associated, more generally than in the p-wave triplet model, with lines of zeros of  $\Delta$  on the Fermi surface.

Fig. 4a gives the temperature dependence of the  $^{119}\text{In}$   $1/T_{1s}T$  in indium metal, a conventional superconductor, for comparison with the  $\text{UBe}_{13}$  data of fig. 1 [18]. It can be seen that a pronounced maximum is observed just below  $T_c$  in in-

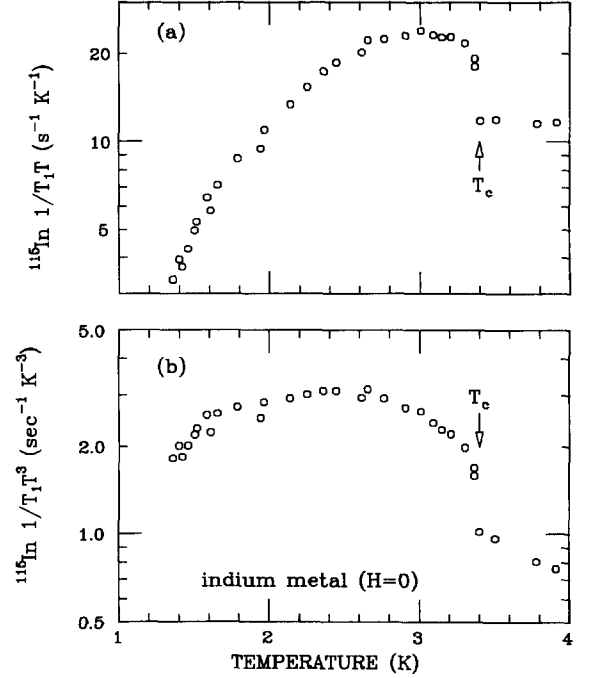


Fig. 4.  $^{119}\text{In}$  spin-lattice relaxation in superconducting indium metal. (a) Temperature dependence of  $1/T_1 T$ . (b) Temperature dependence of  $1/T_1 T^3$ . The vertical axis of (b) has roughly the same scale as in fig. 2. Data from ref. 18.

dium, but not in  $\text{UBe}_{13}$  over the corresponding temperature range. Similarly, Fig. 4b gives the temperature dependence of  $1/T_1 T^3$  for indium metal. Downward curvature is observed which, if present, would also have been seen in  $\text{UBe}_{13}$  in spite of the poorer accuracy of the latter data (fig. 2). Indeed, the  $^{119}\text{In}$  data can be fit very well [18] to the activated temperature dependence expected for a BCS superconductor with only slight anisotropy of the gap parameter [9], and the reasonable value  $\langle \Delta \rangle_{\text{av}}/k_B T_c = 1.80 \pm 0.05$  is obtained [18]. This comparison indicates that  $\text{UBe}_{13}$  is a highly anisotropic superconductor compared to conventional materials.

The observation  $1/T_{1s} T \approx \text{const.}$  below  $0.2 \text{ K}$  in  $\text{UBe}_{13}$  (fig. 1) suggests a nonzero  $N_s(E)$  for  $E = 0$ , i.e. a small residual gaplessness. Other mechanisms exist for this observation, however, in particular pair breaking by paramagnetic spins (impurities or incompletely-compensated Kondo moments.)

The consequences for nuclear spin–lattice relaxation of the effect of pair breaking on excitations in a BCS superconductor have been treated in both the weak-coupling [19] and the strong coupling (Kondo) [20] limits. Pair breaking reduces the maximum value of  $R_s/R_n$  just below  $T_c$ , but theory does not yield the required linear density of states  $N_s(E)$  at low energies. Fig. 5 gives the weak-coupling result for  $R_s/R_n$  vs  $T/T_c$  for several values of the pair-breaking parameter  $\alpha$  required to suppress superconductivity completely [ $T_c(\alpha_{cr}) = 0$ ]. It can be seen that quantitative agreement is lacking: a power law  $R_s/R_n \propto T$  is found only for the value  $\alpha \approx 0.91\alpha_{cr}$  which just suppresses the gap to zero, because in this case  $N_s(E) \propto E^{1/2}$  [19].

Paramagnetic spins can also relax nuclei directly; indeed, this is more commonly observed than relaxation by superconducting excitations if the concentration of paramagnetic spins is at all large

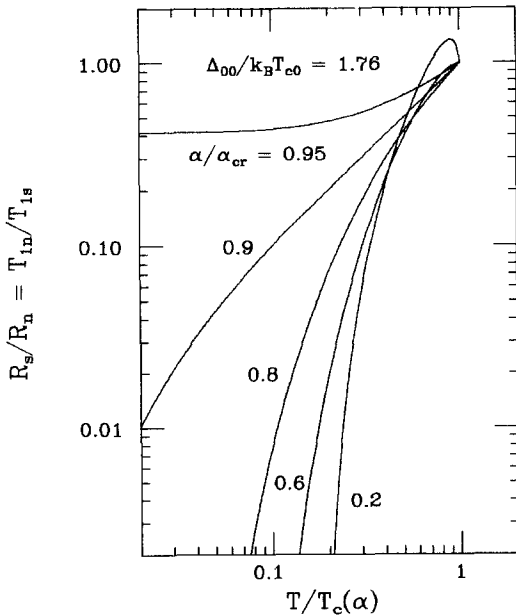


Fig. 5. Calculated temperature dependence of the normalized nuclear spin–lattice relaxation rate  $R_s/R_n$  in a superconductor containing paramagnetic impurities, according to the weak-coupling theory (ref. 19). Here  $\Delta_{00}$  and  $T_{c0}$  are the gap parameter and transition temperature in the undoped host superconductor, and  $\alpha/\alpha_{cr}$  is the normalized pair-breaking parameter.

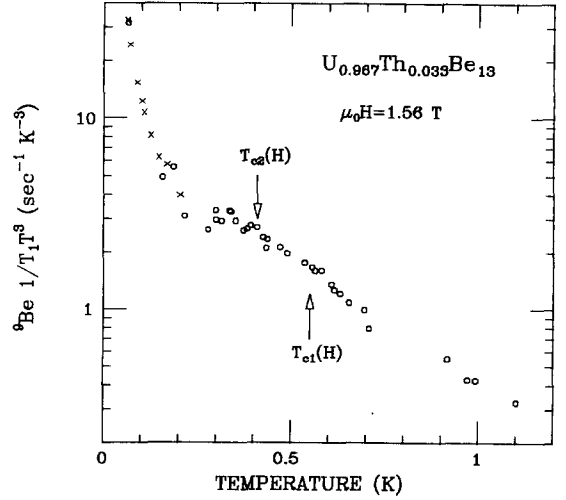


Fig. 6. Temperature dependence of the  ${}^9\text{Be}$   $1/T_1 T^3$  for  $\text{U}_{1-x}\text{Th}_x\text{Be}_{13}$ ,  $x = 0.033$ . Circles and crosses give data taken on two separate runs. A law  $1/T_1 T^3 \approx \text{const.}$  is *not* observed below  $T_c$ , in contrast to the result for  $x = 0$  (fig. 2).

[9]. However, in this case  $1/T_{1s} T$  would be expected to be highly field dependent at low temperatures, due to saturation of the electronic spins by the field. Saturation decreases  $1/T_1$  with increasing field [7], which is opposite to the observed effect. We conclude that direct paramagnetic relaxation is not likely to be an important contribution to the observed rate.

${}^9\text{Be}$  relaxation data from the  $x = 0.033$  sample are given in fig. 6 in the form  $1/T_1 T^3$  vs.  $T$ . For  $0.2 \text{ K} < T < T_c$ ,  $1/T_1 T$  varies less rapidly than  $T^2$ , as can be seen from the increase of  $1/T_1 T^3$  with decreasing temperature shown in Fig. 6. This result may indeed signal pair breaking due to Th impurities, which would depress  $T_c$ , as observed [21], and also broaden the structure in  $N_s(E)$ . The absence of structure in the neighborhood of either  $T_{c1}$  or  $T_{c2}$  should also be noted (fig. 6).

### 3. ${}^9\text{Be}$ resonance spectra in the superconducting state

NMR spectra were obtained from traces of integrated spin-echo intensity as a function of swept field. Spectra for  $\text{UBe}_{13}$  sample no. 2 are

shown in fig. 7. These are typical quadrupole-split spectra for nuclear spin  $3/2$ , with a central ( $1/2 \leftrightarrow -1/2$ ) transition and quadrupole satellites. A curious property of these spectra is the absence of large shifts or broadening  $\Delta H$  in the superconducting state; broadening ( $\mu_0 \Delta H \approx 1$  mT) can be barely resolved at the lowest temperatures in the spectra of fig. 7. Slight broadening was previously found for the  $x = 0.033$  sample [16], where a shift at  $T = 0.113$  K was also observed. No shift is seen in Fig. 7. It is not clear how much of the previously-observed shift was due to field drift, however, since the field was regulated more closely for the  $x = 0$  spectra than for  $x = 0.033$ .

A shift and broadening should arise from the inhomogeneous flux expulsion characteristic of the type-II mixed-state vortex lattice [9]. It is not clear why it sets in only for  $T < 0.15$  K in  $\text{UBe}_{13}$ . The order of magnitude of the broadening, analyzed as described previously [16], leads to an estimated London penetration depth  $\lambda_L > 2000$  Å

and a corresponding Ginzburg–Landau parameter  $\kappa > 20$  for  $x = 0$  as well as 0.033.

It has been remarked [16] that the absence of  $^9\text{Be}$  shift or broadening at the second transition (temperature  $T_{c2}$ ) for  $x = 0.033$  is evidence for the absence of magnetic ordering below this temperature. For example,  $^{27}\text{Al}$  NMR and NQR in the Kondo compound  $\text{CeAl}_2$  [22], which orders antiferromagnetically at  $T_N = 3.8$  K, exhibit broadened spectra ( $\mu_0 \Delta H \approx 10$  mT) below  $T_N$ . NMR is a direct magnetic probe of local magnetic order, and it would be very hard to see how order with an appreciable magnetic moment could occur without causing NMR broadening. A quantitative estimate of this broadening is hard to make, since indirect (RKKY) couplings, etc., are not known in  $\text{UBe}_{13}$ . A rough estimate of the dipolar coupling is  $0.1 T/\mu_B$  [16], which leads to an upper limit of  $\approx 10^{-2} \mu_B$  on the moment per U atom if the low-atom if the low-temperature broadening were magnetic in origin.

#### 4. Comparison with other experiments

##### 4.1. $^{63}\text{Cu}$ NQR and NMR in $\text{CeCu}_2\text{Si}_2$

NQR data show an absent [12] or strongly reduced [13] maximum in  $1/T_1 T$  below  $T_c$ , as in  $\text{UBe}_{13}$ , but the temperature dependence of  $1/T_1 T$  is much weaker below  $\approx 0.6 T_c$  than in  $\text{UBe}_{13}$ . In an applied field of 0.572 T, however, the  $^{63}\text{Cu}$  relaxation rate in  $\text{CeCu}_2\text{Si}_2$  varies with temperature similarly to the  $^9\text{Be}$  rate in  $\text{UBe}_{13}$  [14]. This strong-field dependence in  $\text{CeCu}_2\text{Si}_2$  is opposite to that found in  $\text{UBe}_{13}$ , and is consistent with direct relaxation by paramagnetic impurities [14], possibly “remagnetized” Ce ions near defects. A direct mechanism was not favored in the original report [12], because the nuclear magnetization recovery was observed to be exponential [7]. A simple calculation shows, however, that spin-diffusion-limited exponential relaxation would be consistent with the data for impurity concentrations  $\approx 1000$  ppm.

##### 4.2. Other techniques

The relative ultrasonic attenuation rate  $\alpha_s/\alpha_n$

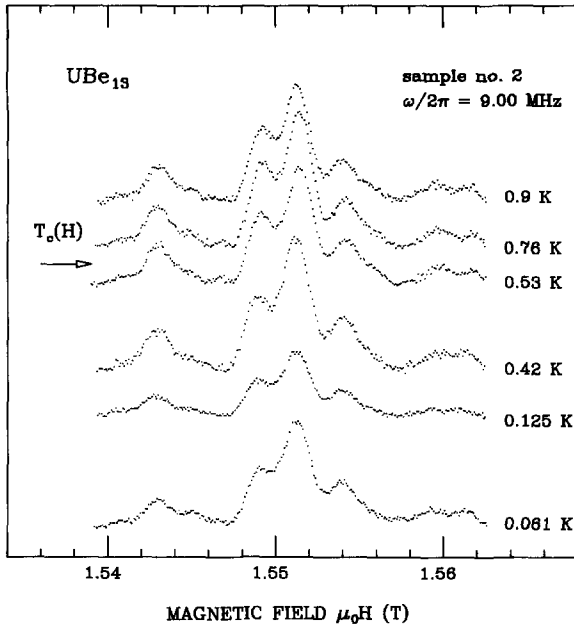


Fig. 7. Field-swept  $^9\text{Be}$  spectra in the normal and superconducting states of  $\text{UBe}_{13}$  (sample no. 2). The shapes of the spectra are indistinguishable except at the lowest temperatures, where a small additional line broadening appears.

varies as  $T^2$  at low temperatures in both  $\text{UPt}_3$  [17] and  $\text{UBe}_{13}$  [23]. This is consistent with the nuclear spin-lattice relaxation results, except that the  $1/T_1 T \approx \text{const.}$  behavior seen in NMR in  $\text{UBe}_{13}$  below  $\approx 0.1$  K does not seem to be observed in ultrasonic attenuation. NMR relaxation might therefore be sensing a spin-dependent excitation which does not affect ultrasonic attenuation. Such a difference does not seem to arise from the present state of the theory, however.

The absence of strong NMR anomalies at either transition for the  $x = 0.033$  sample makes it unlikely that the recently discovered giant ultrasonic anomalies at  $T_{c2}$  [7] can be attributed to an antiferromagnetic transition with an appreciable moment per U atom.

Thermal conductivity measurements in  $\text{UBe}_{13}$  [24] are also consistent with the picture which seems to emerge, at least at intermediate temperatures, of superconducting pairing with lines of zeros of the gap parameter.

## 5. Conclusions

NMR and data from other nonequilibrium techniques exhibit qualitative differences between heavy-fermion and conventional superconductors. The low-lying excitations are much more numerous in the former, and seem to be given by power-law dependences of the density of states on excitation energy. The power laws are consistent with excitation spectra obtained from  $^3\text{He}$ -like theories of anisotropic pairing, but the experiments do not constitute proof of such pairing. For example, Kondo-lattice theories with singlet pairing are able to obtain lines of zeros of the gap parameter [25].

Surprisingly,  $^9\text{Be}$  NMR spectra show no rapid onset of vortex inhomogeneity broadening below  $T_c$  in  $\text{UBe}_{13}$ . The same absence of anomalies in the NMR spectra are strong evidence against magnetic ordering at  $T_{c2}$  in  $\text{U}_{1-x}\text{Th}_x\text{Be}_{13}$ ,  $x = 0.033$ . No anomaly was observed in the quadrupole splitting, either, but this only puts an upper bound of  $\approx 2\%$  on any change of lattice parameter [16]. This would be an enormous structural

change; a weaker one, consistent with the NMR spectra, could occur at the lower transition.

## Acknowledgements

We are grateful for experimental help from K. Glover, J. Moore and C. Murayama. Thanks are due to P. Fulde, M.B. Maple, T.M. Rice, F. Steglich, M. Tachiki, C.M. Varma, and J.W. Wilkins for useful discussions.

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