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1 2	N-terminal Domain Influences Steroid Activation of the Atlantic Sea Lamprey Corticoid Receptor
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### 25 Abstract

26 Lampreys are jawless fish that evolved about 550 million years ago at the base of the vertebrate 27 line. Modern lampreys contain a corticoid receptor (CR), the common ancestor of the 28 glucocorticoid receptor (GR) and mineralocorticoid receptor (MR), which first appear in 29 cartilaginous fish, such as sharks. Until recently, 344 amino acids at the amino terminus of adult 30 lamprey CR were not present in the lamprey CR sequence in GenBank. A search of the recently 31 sequenced lamprey germline genome identified two CR sequences, CR1 and CR2, containing the 32 344 previously un-identified amino acids. CR1 also contains a novel four amino acid insertion in 33 the DNA-binding domain (DBD). We studied corticosteroid and progesterone activation of CR1 34 and CR2 and found their strongest response was to 11-deoxycorticosterone and 11-deoxycortisol, 35 the two circulating corticosteroids in lamprey. Based on steroid specificity, both CRs are close 36 to elephant shark MR and distant from elephant shark GR. HEK293 cells that were transfected 37 with full-length CR1 or CR2 and the MMTV promoter have about 3-fold higher steroid-38 mediated activation compared to HEK293 cells transfected with these CRs and the TAT3 39 promoter. Deletion of the amino-terminal domain (NTD) of lamprey CR1 and CR2 to form 40 truncated CRs decreased transcriptional activation by about 70% in HEK293 cells that were 41 transfected with MMTV, but increased transcription by about 6-fold in cells transfected with 42 TAT3. This indicated that the promoter has an important effect on NTD regulation of 43 transcriptional activation of the CR by steroids. Our results also indicate that the entire lamprey 44 CR sequence is needed for an accurate determination of steroid-mediated transcription.

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Key words: Atlantic sea lamprey, corticoid receptor, mineralocorticoid receptor, glucocorticoid
receptor, evolution.

### 48 Running title: Regulation of Corticoid Receptor Transcription

49

### 50 **1. Introduction.**

51 The sea lamprey (Petromyzon marinus), belongs to an ancient group of jawless 52 vertebrates known as cyclostomes, which last shared a common ancestor with vertebrates about 53 550 million years ago [1-3]. As an outgroup to the jawed vertebrates, lampreys are important for 54 studying early events in the evolution of vertebrates [1–8]. Lampreys and hagfish, the other 55 extant cyclostome lineage, contain a corticoid receptor (CR), which is the common ancestor to 56 both the mineralocorticoid receptor (MR) and the glucocorticoid receptor (GR) [4,9]. The MR 57 and GR first appear as separate steroid receptors in sharks and chimeras [4,9-13]. The CR, MR 58 and GR belong to the nuclear receptor family of transcription factors, which also contains the 59 progesterone receptor, estrogen receptor and androgen receptor [14-18].

60 Early studies from Thornton's laboratory provided important insights into corticosteroid 61 activation of lamprey CR [4]. These studies reported that several corticosteroids (Figure 1), 62 including aldosterone, cortisol, corticosterone, 11-deoxycorticosterone and 11-deoxycortisol 63 activated lamprey CR. Although aldosterone, the physiological mineralocorticoid in humans and 64 other terrestrial vertebrates [13,19–25], is the strongest activator of lamprey CR [4], neither 65 lampreys nor hagfish synthesize aldosterone [4]. Later studies with lamprey revealed that 11-66 deoxycortisol and 11-deoxycorticosterone (Figure 1) are the circulating corticosteroids in sea 67 lamprey [26–28].



Figure 1. Structures of Corticosteroids and Progesterone. 11-deoxycorticosterone and 11deoxycortisol are circulating corticosteroids in lamprey [26–28]. Aldosterone is the
physiological mineralocorticoid in terrestrial vertebrates [13,19–24]. Aldosterone is not
synthesized by lampreys [4]. Cortisol and corticosterone are glucocorticoids in terrestrial

vertebrates and fish [29–32]. Progesterone is an antagonist for human MR [16,33–35], but an
agonist for fish MR [34,36,37].

75

Until recently, due to complexities in sequencing and assembly of the lamprev's highly 76 77 repetitive and GC rich genome [3,38,39], DNA encoding 344 amino acids at the amino terminus 78 of lamprey CR was present on a separate contiguous sequence that was not joined with the rest 79 of the lamprey CR sequence and therefore not retrieved with BLAST searches of GenBank. The 80 recent sequencing of the sea lamprey germline genome [40] provided contiguous DNA for two 81 CR isoforms, CR1 and CR2, that encode the previously unassembled 344 amino acids at the 82 amino terminus. The sequences of lamprey CR1 and CR2 reveal that like other nuclear 83 receptors, lamprev CR is a multi-domain protein consisting of an N-terminal domain (NTD), a 84 central DNA-binding domain (DBD), a hinge domain and a C-terminal ligand-binding domain 85 (LBD) [4,14,41,42] (Figure 2). The DBD and LBD in lamprey CR are conserved in vertebrate 86 MRs and GRs (Figure 2) [9,43], while their sequences in the NTD and hinge domains have 87 diverged (Figure 2). The only sequence difference between lamprey CR1 and CR2 is a four 88 amino acid insertion in the DBD in CR1 is not present in the DBD in CR2 or in other GRs and 89 MRs. Except for the twelve nucleic acid insertion in the DBD of CR1, the nucleic acid 90 sequences are conserved throughout between CR1 and CR2 (Supplement Figure 1).



93

94 Figure 2. Comparison of the functional domains of lamprey CR1 to CR2, previously cloned 95 lamprev CR and selected vertebrate MRs (human, elephant shark, zebrafish) and GRs 96 (human, elephant shark, zebrafish). Lamprey CR1 and human MR and GR have 87% and 97 90% identity in the DBD and 57% and 51% identity in the LBD, respectively. Lamprey CR1 98 and elephant shark MR and GR have 89% and 90% identity in the DBD and 59% and 57% 99 identity in the LBD, respectively. Lamprey CR1 and zebrafish MR and GR have 87% and 89% 100 identity in the DBD and 60% and 55% identity in the LBD, respectively. This strong 101 conservation of the DBD and LBD contrasts with the low sequence identity of 10-19%% and 15-102 36% between their NTD and hinge domains, respectively. Lamprey CR [4,43] is a partial CR 103 sequence that was cloned from adult lamprey.

105	With the full sequences of the two lamprey CR isoforms in hand, we investigated four
106	questions about this receptor that shares common ancestry with gnathostome GRs and MRs
107	[9,13,43]. First, what is the response of lamprey CR to a panel of physiological corticosteroids
108	(aldosterone, cortisol, corticosterone, 11-deoxycorticosterone and 11-deoxycortisol) (Figure 1)
109	and progesterone for vertebrate GRs and MRs, and, second, how does this response compare to
110	the response to these steroids by elephant shark GR and MR [12,44]? Comparison of
111	corticosteroid activation of lamprey CR with elephant shark GR and MR can provide insights
112	into the evolution of corticosteroid specificity in the GR and MR.
113	Third, what is the role, if any, of the NTD in transcriptional activation of lamprey CR?
114	The NTD on human GR contains an activation function 1 (AF1) domain that is very important in
115	GR activation by steroids [45–53]. The NTD on human MR also contains an AF1 domain,
116	although it is a much weaker activator of the MR [46,54–56] compared to the AF1 on human
117	GR. The NTD on elephant shark GR also contains a strong AF1 [12]. The low sequence
118	identity (less than 20%) between the NTD in lamprey CR and in elephant shark MR and GR
119	raises the question: Is there AF1 activity in lamprey CR or did a strong AF1 evolve after CR
120	duplication and divergence to form vertebrate GR and MR?
121	Fourth, what is the role of the MMTV [57,58] and TAT3 [59] promoters in steroid-
122	mediated transcriptional activation of lamprey CR? That is, does lamprey CR have different
123	responses to corticosteroids in cells co-transfected with MMTV or TAT3 promoters, as we found

124 for cells co-transfected with either MMTV or TAT3 and either elephant shark MR [60] or GR

125 [12]. Comparison of activation of lamprey CR in cells with either MMTV or TAT3 with that of

126 elephant shark GR and MR could indicate whether lamprey CR was closer to the GR or to the

127 MR, and thus shed light on the evolution of the GR and MR from their common ancestor.

128 In this report, we used two metrics for evaluating activation by steroids of lamprey CR 129 and other receptors. The first metric was the half maximal response (EC50) to various steroids, 130 and the second metric was the strength (fold-activation) of transcription. Combined, these two 131 metrics provide insights into the relevance of a steroid as a physiological ligand for the CR. 132 Our initial experiments focused on lamprey CR1 because RNA-Seq analysis indicates 133 that CR1 is more highly expressed than CR2 (greater than 99%) in lamprey tissue. However, CR1 and CR2 have similar EC50s for corticosteroids. We find that the EC50s for activation by 134 135 11-deoxycorticosterone and 11-deoxycortisol, the two circulating corticosteroids in lampreys 136 [26–28], of full-length lamprey CR1 in HEK293 cells with MMTV were 0.16 nM and 1.5 nM, 137 respectively. These are the lowest EC50s for CR1 among the corticosteroids that we studied. 138 Aldosterone, cortisol and corticosterone had EC50s from 2 nM to 9.9 nM for activation of CR1 139 in cells with MMTV. For truncated CR1, which lacks the NTD, the EC50 of 11-140 deoxycorticosterone for lamprey CR1 in HEK293 cells with MMTV was 0.4 nM, while EC50s 141 of the other corticosteroids for lamprey CR1 increased from 3 to 6-fold. 142 Comparison of corticosteroid activation of CR with that of elephant shark MR and GR 143 reveals that full-length and truncated elephant shark MR and the CR have similar EC50s for 11-144 deoxycorticosterone, 11-deoxycortisol and other corticosteroids, in contrast to full-length and 145 truncated elephant shark GR, which has a negligible response to 11-deoxycortisol and weak 146 responses to other corticosteroids [12], indicating that, based on steroid specificity, elephant 147 shark MR is a closer to CR1 and CR2 than is elephant shark GR, which has diverged more from 148 its common ancestor with the MR.

Interestingly, we found differences between the effect of the MMTV and TAT3promoters on fold-activation of transcription of full-length CR and of truncated CR.

151	Unexpectedly, fold-activation of full-length CR1 to corticosteroids was about 3 to 4-fold higher
152	in cells with the MMTV promoter than in cells transfected with the TAT3 promoter. Removal of
153	the NTD on CR1 decreased fold-activation by corticosteroids of truncated CR1 in cells with
154	MMTV by about 70% indicating that there is an activation function in the NTD. In contrast,
155	compared to full-length CR1, transcriptional activation by corticosteroids of truncated CR1 in
156	cells with TAT3 increased by about 6-fold, indicating that the CR1 NTD represses steroid
157	activation in the presence of TAT3. These data indicate that regulation by the NTD evolved
158	before the evolution of the GR and MR from their common ancestor in cartilaginous fishes, with
159	divergence of specificity for various corticosteroids evolving in elephant shark GR and MR [12].

161 **2. RESULTS** 

# 162 2.1 Comparison of functional domains on lamprey CR to domains on selected vertebrate 163 MRs and GRs.

164 To begin to understand the evolution of the MR and GR from the CR, we compared the sequences of functional domains on lamprey CRs with corresponding domains in human, 165 166 elephant shark and zebrafish MRs and GRs (Figure 2). The sequences of LBD and hinge domain 167 of lamprey CR1 and CR2 have more similarity to the LBD and hinge domain on vertebrate MRs 168 than to the GRs as has been reported for lamprey CR [9,13,43,61]. The strong conservation of 169 the DBD and LBD contrasts with the low sequence identity of 10-19% between the NTDs and 170 15-36% between the hinge domains. The low similarity of the NTD on the CR to the NTD on 171 elephant shark MR and GR of 13% and 12%, respectively, indicates that there was rapid 172 evolution of the NTD during the divergence of a distinct MR and GR from their CR ancestor.

Moreover, the NTD of elephant shark GR has only 21% sequence identity with the NTD on
elephant shark MR, additional evidence for rapid evolution of the NTD early in the evolution of
the MR and GR [12].

Although most of the sequence divergence among these receptors occurred in the NTD and hinge domain, there is an insertion of four amino acids in the DBD of CR1 that is not found in the DBD of either CR2 or the MR and GR (Figure 3). Otherwise, the DBD in both CRs is highly conserved in the MR and GR, with CR2 being closest to the DBD in the other MRs and GRs. Based on this analysis of the DBD, CR2 appears to be related to the common ancestor of the MR and GR.

182



Figure 3. Comparison of the DNA-binding domains on lamprey CR1, CR2, elephant shark
MR and GR and human MR and GR. The DNA-binding domain of lamprey CR1 has a
unique insertion of four amino acids. Otherwise the DNA-binding domain on CR1 and CR2 are
identical. Differences between the amino acid sequence of lamprey CR and selected vertebrate
MRs and GRs are shown in red. Comparison of the nucleic acid sequences of CR1 and CR2
(Supplement Figure 1) reveal that except for the twelve nucleic acid insertion in CR1, the nucleic
acid sequences are conserved between CR1 and CR2.

192 **2.2 RNA-Seq analysis indicates that lamprey CR1 is the predominate CR expressed in** 

193 lamprey.

To gain an insight into the relative biological importance of CR1 and CR2 in lamprey, we used RNA-Seq analysis to investigate the relative expression of lamprey CR1 and CR2 in lamprey tissues using databases in GenBank [3,40]. As shown in Table 1, RNA-Seq analysis reveals that expression of lamprey CR1 is substantially higher than CR2. Indeed, CR1 expression is over 100-fold higher than CR2 in the intestine and kidney in the larval stage, in the parasitic and adult stages, as well as in the parasitic liver and in the adult intestine, kidney and brain.

201

Table 1. RNA-Seq Analysis of Expression of Lamprey CR1 and CR2.

	Larval Stage		Parasitic Stage				Adult Stage			
	Intestine	Kidney	Proximal intestine	Distal intestine	Kidney	Liver	Brain	Intestine	Kidney	
CR1	21.78	10.36	23.23	19.44	21.49	24.96	2471	35.48	30.32	
CR2	0.1	0.13	0.22	0.32	0.09	0	0	0.2	0.35	

Single-end RNA-Seq reads of sea lamprey, *Petromyzon marinus* for intestine and kidney from
larval stage, intestine, kidney and liver from parasitic stage, brain, intestine and kidney from
adult stage, were downloaded from database of National Center for Biotechnology Information
(accession number: PRJNA50489). The relative measure of transcript abundance is FPKM
(fragments per kilobase of transcript per million mapped reads) [62]. FPKM values were
estimated by normalizing gene length, followed by normalizing for sequencing depth.

208

### 209 2.3 Corticosteroid-dependent and promoter-dependent activation of full-length and

210 truncated lamprey CR1 and CR2.

To gain a quantitative measure of corticosteroid activation of full-length and truncated lamprey CR1 and CR2, we determined the concentration dependence of transcriptional activation by corticosteroids of full-length lamprey CR1 transfected into HEK293 cells with either an MMTV-luciferase promoter (Figure 4A) or a TAT3 luciferase promoter (Figure 4B). A parallel

215	study was done with truncated lamprey CR1 (Figure 4 C, D). Luciferase levels were used to
216	calculate an EC50 value and fold-activation for each steroid for lamprey CR1 in HEK293 cells
217	with either MMTV (Table 2) or TAT3 (Table 2).
218	Similar experiments were performed for corticosteroid activation of full-length and
210	truncated lamprey CR2 in HEK293 cells, containing either the MMTV or TAT3 promoters
21)	uncated tampicy CK2 in TIEK275 cens, containing entiter the WIWITV of TATS promoters

- 220 (Figure 5). EC50 values and fold-activation for each steroid for lamprey CR2 in the presence of
- 221 either MMTV or TAT3 are shown in Table 2.
- 222
- 223



225 Fig. 4. Concentration-dependent transcriptional activation by corticosteroids of full length 226 and truncated lamprey CR1. Plasmids for full-length or truncated lamprey CR1 were 227 expressed in HEK293 cells with either an MMTV-luciferase promoter or a TAT3-luciferase 228 promoter. Cells were treated with increasing concentrations of either aldosterone, cortisol, 229 corticosterone, 11-deoxycortisol, 11-deoxycorticosterone or vehicle alone (DMSO). Results are 230 expressed as means  $\pm$  SEM, n=3. Y-axis indicates fold-activation compared to the activity of 231 vector with vehicle (DMSO) alone as 1. A. Full-length CR1 with MMTV-luc. B. Full-length 232 CR1 with TAT3-luc. C. Truncated lamprey CR1 with MMTV-luc. D. Truncated lamprey CR1 233 with TAT3-luc.



236 Fig. 5. Concentration-dependent transcriptional activation by corticosteroids of full length 237 and truncated lamprey CR2. Plasmids for full-length or truncated lamprey CR2 were 238 expressed in HEK293 cells with either an MMTV-luciferase promoter or a TAT3-luciferase 239 promoter. Cells were treated with increasing concentrations of either aldosterone, cortisol, 240 corticosterone, 11-deoxycortisol, 11-deoxycorticosterone or vehicle alone (DMSO). Results are 241 expressed as means  $\pm$  SEM, n=3. Y-axis indicates fold-activation compared to the activity of 242 vector with vehicle (DMSO) alone as 1. A. Full-length CR2 with MMTV-luc. B. Full-length 243 CR2 with TAT3-luc. C. Truncated lamprey CR2 with MMTV-luc. D. Truncated lamprey CR2 244 with TAT3-luc.

246 The results in Figures 4, 5 and 6 and Table 2 show that steroid-mediated transcriptional 247 activation of full-length and truncated lamprey CR1 and CR2 in HEK293 cells is different in the 248 presence of the MMTV and TAT3 promoters. In cells containing the MMTV promoter and full-249 length CR1, 11-deoxycortisol and 11-deoxycorticosterone, the two circulating corticosteroids in 250 lamprey [26–28] have EC50s of 1.5 nM and 0.16 nM respectively (Table 2). These are the 251 lowest EC50s of the tested corticosteroids, although aldosterone, corticosterone and cortisol also 252 have low EC50s, which vary from 2.1 nM to 9.9 nM. In cells with MMTV, loss of the NTD 253 raises the EC50 for corticosteroids, although the values for 11-deoxycorticosterone (0.4 nM) and 254 11-deoxycortisol (5.6 nM) are low. Cortisol has EC50 of 43 nM. Loss of the NTD results in a 255 decline of fold-activation of CR1 by about 75%.

256 Analysis of Figure 4A and 4B and Table 2 shows that activation of full-length CR1 by 257 corticosteroids is about 3-fold higher in HEK293 cells with the MMTV promoter than in cells 258 with the TAT3 promoter. Removal of the NTD from CR1 leads to a decrease in fold-activation 259 of about 75% by corticosteroids in cells with MMTV promoter (Figure 4C vs 4A). In contrast, 260 in HEK293 cells with truncated CR1 and TAT3, corticosteroid stimulated transcription is about 261 7-fold higher than in cells with full-length CR1 and TAT3 (Table 2). Moreover, in cells with 262 truncated CR1 and TAT3 corticosteroid-mediated transcriptional activation is about 50-fold 263 higher than in cells with truncated CR1 and MMTV (Table 2).

264	Table 2. Corticosteroid and Progesterone Activation of Lamprey CR1 and CR2 in HEK293
265	cells with an MMTV promoter or a TAT3 promoter.

MMTV-luc		DOC	S	ALDO	CORT	Cortisol	Progesterone
Lamprey CR1	EC50 (nM)	0.16	1.5	2.1	4.8	9.9	16.2
	Fold-Activation	95	106	112	116	92	81
Full Seq.	(±SEM)	(± 12)	(± 2.5)	(± 2.5)	(± 6.0)	(± 5.3)	(± 6.1)

Lamprey CR1	EC50 (nM)	0.4	5.6	13.2	11.2	43.1	53.8
T	Fold-Activation	27	26	26	29	28	14
Seq.	(±SEM)	(±1.0)	(± 1.3)	(± 0.9)	(± 1.7)	(± 1.8)	(± 0.4)
Lamprey CR2	EC50 (nM)	0.2	2.7	3.1	5.9	14.2	26.4
	Fold-Activation	113	116	113	110	888	70
Full Seq.	(±SEM)	(± 4.8)	(± 9)	(± 6.4)	(± 7.0)	(± 6.2)	(± 5.2)
Lamprey	EC50 (nM)	0.6	7.0	14.9	13.0	47.8	63.5
CR2	Fold-Activation	23.0	21.3	23.3	23.3	21.2	9.7
Truncated Seq.	(±SEM)	(±0.8)	(±0.9)	(± 0.8)	(± 0.8)	(± 0.8)	(± 1.0)
TAT3-luc		DOC	S	ALDO	CORT	Cortisol	Progesterone
Lamprey	EC50 (nM)	0.24	1.3	2.4	4.7	7.5	35.2
CR1	Fold-Activation	36	35	41	39	29	14
Full Seq.	(±SEM)	(± 4)	(± 2.6)	(± 2.9)	(± 2.4)	(± 2.3)	(± 0.3)
Lamprey	EC50 (nM)	0.24	2.3	8.6	7.9	26	45.3
CR1	Fold-Activation	280	264	267	280	284	89(± 1.1)
Truncated Seq.	(±SEM)	(± 9.7)	(± 2.3)	(± 10.1)	(± 19.4)	(± 13.7)	
Lamprey	EC50 (nM)	0.26	1.2	1.5	4	7.4	16.7
CR2	Fold-Activation	88	79	93.4	80.4	71	41
Full Seq.	(±SEM)	(±4.1)	(± 2.8)	(± 6.8)	(± 1.7)	(± 5.8)	(± 1.6)
Lamprey	EC50 (nM)	0.31	3.7	8.2	6.6	23.0	49.7
CR2	Fold-Activation	1281	1232	1325	1215.5	1183	381
Truncated Seq.	(±SEM)	(± 51)	(± 66)	(± 16)	(± 69)	(± 55.6)	(± 22.7)

266 Full Seq. = full receptor sequence, Truncated Seq. = Receptor with NTD deleted

267 DOC = 11-deoxycorticosterone, ALDO = aldosterone, S = 11-deoxycortisol, CORT = Corticosterone





272 Plasmids for full-length or truncated lamprey CR2 were expressed in HEK293 cells with either

an MMTV-luciferase promoter or a TAT3-luciferase promoter. Cells were treated with 100 nM

- 274 concentrations of either aldosterone, cortisol, corticosterone, 11-deoxycortisol, 11-
- 275 deoxycorticosterone, progesterone or vehicle alone (DMSO). Results are expressed as means  $\pm$
- 276 SEM, n=3. Y-axis indicates fold-activation compared to the activity of vector with vehicle
- 277 (DMSO) alone as 1. A. Full-length CR1 and CR2 with MMTV-luc. B. Full-length CR1 and
- 278 CR2 with TAT3-luc. C. Truncated lamprey CR1 and CR2 with MMTV-luc. D. Truncated
- 279 lamprey CR1 and CR2 with TAT3-luc.

DOC = 11-deoxycorticosterone, ALDO = aldosterone, S = 11-deoxycortisol, CORT = Corticosterone, F =
 cortisol, Prog = progesterone.

282

## 283 2.4 Corticosteroid-dependent and promoter-dependent activation by corticosteroids of full284 length and truncated lamprey CR2.

285 Corticosteroids in HEK293 cells with full-length CR2 and the MMTV promoter have 286 slightly higher EC50s and a similar fold-activation compared to corticosteroids in HEK293 cells 287 with full-length CR1 with the MMTV promoter (Table 2, Figure 6). However, steroid-mediated 288 transcriptional activation of full-length and truncated lamprey CR2 in HEK293 cells is different 289 in the presence of the MMTV and TAT3 promoters (Figure 5, Table 2). In HEK293 cells with 290 TAT3, there is about 30% lower fold-activation for full-length CR2 compared to fold-activation 291 for full-length CR2 in cells with MMTV. In contrast, fold-activation for truncated CR2 is about 292 15-fold higher in the presence of TAT3 than full-length CR2 and 55 to 60 higher activation than 293 for truncated CR2 with MMTV.

294

### 295 **2.6 Progesterone activates transcription of full-length and truncated CR1 and CR2.**

Although progesterone has a strong affinity for human MR [33,34,63], progesterone is an antagonist of the mineralocorticoid receptor [33–35]. However, progesterone is an agonist for elephant shark MR [44]. We find that progesterone is an agonist for lamprey CR1 and CR2. Progesterone stimulates luciferase activity in HEK293 cells transfected with either full-length CR1 and CR2 and either an MMTV-luciferase promoter (Figure 7A) or a TAT3 luciferase promoter (Figure 7B). For full-length CR1, progesterone has an EC50 of 16.2 nM with MMTV and 35.2 nM with TAT3. For full-length CR2, progesterone has an EC50 of 26.4 nM with

303	MMTV (Table 2) and 16.7 nM with TAT3 (Table 2). These EC50s are higher than that for 11-
304	deoxycortisol and 11-deoxycorticosterone. Fold activation of CR1 and CR2 differs for the
305	MMTV and TAT3 promoters (Figure 6).
306	We also studied progesterone activation of truncated CR1 and CR2 in HEK293 cells that
307	were transfected with either the MMTV or TAT3 promoters. Truncated CR1 and CR2 lost
308	substantial activity for progesterone. In this assay, progesterone had an EC50 of 53.8 nM for
309	truncated CR1 in HEK293 cells with MMTV (Table 2) and an EC50 of 45.3 nM for truncated
310	CR1 in HEK293 cells with TAT3 (Table 2). Progesterone had an EC50 of 63.5 nM for truncated
311	CR2 in HEK293 cells with MMTV (Table 2) and an EC50 of 49.7 nM for truncated CR2 in

312 HEK293 cells with TAT3 (Table 2).



314 Fig. 7. Concentration-dependent transcriptional activation by progesterone of full length 315 and truncated lamprey CR. Plasmids for full-length or truncated lamprey CRs were expressed 316 in HEK293 cells with either an MMTV-luciferase promoter or a TAT3-luciferase promoter. 317 Cells were treated with increasing concentrations of progesterone or vehicle alone (DMSO). 318 Results are expressed as means  $\pm$  SEM, n=3. Y-axis indicates fold-activation compared to the 319 activity of vector with vehicle (DMSO) alone as 1. A. Full-length CR with MMTV-luc. B. Full-320 length CR with TAT3-luc. C. Truncated lamprey CR with MMTV-luc. D. Truncated lamprey CR with TAT3-luc. 321

323 2.7 Corticosteroid-dependent and promoter-dependent activation by corticosteroids of full324 length and truncated elephant shark MR and GR.

To gain an insight into corticosteroid activation of the MR and GR early in their evolution, we compared corticosteroid activation of lamprey CR with activation of the MR and GR in elephant shark (*Callorhinchus milii*), a cartilaginous fish belonging to the oldest group of jawed vertebrates. Elephant shark occupy a key position spanning an ancestral node from which ray-finned fish and terrestrial vertebrates diverged about 450 million years ago from bony vertebrates [64,65].

331 We previously studied corticosteroid activation of elephant shark GR [12,44] and MR 332 [12] in HEK293 cells containing the MMTV promoter. To complete our dataset for an 333 evolutionary analysis of the CR with elephant shark MR and GR, we investigated corticosteroid 334 activation of elephant shark GR and MR in HEK293 cells containing TAT3. Figure 8 and Table 335 3 show our results. For full-length elephant shark GR, only corticosterone retains an EC50 value 336 close to the EC50 of lamprey CR1. The other corticosteroids have substantially higher EC50s, 337 and are unlikely to be physiological ligands for this GR. Notably, 11-deoxycortisol has little 338 activity for elephant shark GR (EC50=289 nM), which compares to an EC50 of 1.5 nM for 339 lamprey CR1 and 2.7 nM for lamprey CR2. However, fold-activation by corticosteroids of full-340 length elephant shark GR is higher than for full-length lamprey CR1 with over 600-fold 341 activation by aldosterone, corticosterone and cortisol. Truncated elephant shark GR loses 342 substantial activity for all corticosteroids indicating that the NTD is important in the EC50 and 343 fold-activation of elephant GR.

344



Fig. 8. Concentration-dependent transcriptional activation by corticosteroids of full length
and truncated elephant shark GR and elephant shark MR. Plasmids for full-length or
truncated elephant shark GR were expressed in HEK293 cells with either an MMTV-luciferase
promoter or a TAT3-luciferase promoter. Plasmids for full-length or truncated elephant shark
MR were expressed in HEK293 cells with a TAT3-luciferase promoter. Cells were treated with
increasing concentrations of either aldosterone, cortisol, corticosterone, 11-deoxycortisol, 11deoxycorticosterone or vehicle alone (DMSO). Results are expressed as means ± SEM, n=3. Y-

- axis indicates fold-activation compared to the activity of vector treated with vehicle (DMSO)
- alone as 1. A. Full-length elephant shark GR with MMTV-luc. B. Truncated elephant shark GR
- 355 with MMTV. C. Full-length elephant shark GR with TAT3. D. Truncated elephant shark GR
- 356 with TAT3. E. Full-length elephant shark MR with TAT3-luc. F. Truncated elephant shark MR
- 357 with TAT3.
- 358

## Table 3. Corticosteroid Activation of elephant shark GR and MR in HEK293 cells with an MMTV promoter or a TAT3 promoter.

MMTV-luc		DOC	S	ALDO	Corticosterone	Cortisol
Elephant Shark GR	EC50 (nM)	11.2	289	17.1	5.9	30
Full Seq.	Fold-Activation	297	23.4	561	648	514
	(±SEM)	(± 22)	(± 0.5)	(± 34)	(± 30)	(± 25)
Elephant Shark GR	EC50 (nM)	40.5	-	98.4	17.5	124
Truncated Seq.	Fold-Activation	2.0	1.1	4.7	6.4	4.2
	(±SEM)	(± 0.1)	(± 0.03)	(± 0.2)	(± 0.23)	(± 0.06)
Elephant Shark MR	EC50 (nM)	0.1	0.22	0.14	0.61	1.6
run seq.	Fold-Activation	8.6	8.0	9.6	9.1	10.1
	(±SEM)	(± 0.4)	(± 0.5)	(± 1.0)	(± 0.7)	(± 0.9)
Elephant Shark MR	EC50 (nM)	0.09	0.28	0.26	0.58	1.06
Truncated Seq. *	Fold-Activation	6.9	6.6	7.3	8.2	8.1
	(±SEM)	(± 0.15)	(± 0.5)	(± 0.8)	(± 0.4)	(± 0.95)
TAT3-luc		DOC	S	ALDO	Corticosterone	Cortisol
Elephant Shark GR	EC50 (nM)	11.0	-	11.4	4.0	21
Full Seq.	Fold-Activation	48	2.1	137.3	152	124
	(±SEM)	(± 3.5)	(± 0.2)	(± 10)	(± 18)	(± 10.2)
Elephant Shark GR	EC50 (nM)	37.4	-	100.3	16.3	99.6
Truncated Seq.	Fold-Activation	21.4	1.3	125	216	122
	(±SEM)	(± 0.8)	(± 0.04)	(± 5)	(± 8)	(± 5.7)
Elephant Shark MR	EC50 (nM)	0.03	0.2	0.08	1.0	2.4
run sey.	Fold-Activation	9.5	9.2	10	11	15
	(±SEM)	(± 0.84)	(± 0.74)	(± 1)	(± 1.2)	(± 0.7)

Elephant Shark MR	EC50 (nM)	0.05	0.13	0.17	0.35	0.64
Truncated Seq.	Fold-Activation	571	514	613	656	705
	(±SEM)	(± 11)	(± 24.6)	(± 17.5)	(± 35.3)	(± 24.6)

361 Full Seq. = full receptor sequence, Truncated Seq. = Receptor with NTD deleted

362 DOC = 11-deoxycorticosterone, ALDO = aldosterone, S = 11-deoxycortisol

363 \*: Katsu et al. N-terminal Domain Regulates Steroid Activation of Elephant Shark Glucocorticoid and

364 Mineralocorticoid Receptors. J. Steroid Biochem. Mol. Biol. 210, 105845 (2021).

365 Unlike full-length elephant shark GR, full-length elephant shark MR in cells with the

366 MMTV promoter has EC50s varying from 0.1 nM (11-deoxycorticosterone) to 1.6 nM (cortisol),

367 which are close to their EC50s for lamprey CR1 and CR2. Truncated elephant shark MR in cells

368 with MMTV retains these low EC50s (Table 3). As found for lamprey CR in cells with TAT3,

369 removal of the NTD in elephant shark MR leads to a substantial increase of 50-fold in

transcriptional activation by corticosteroids (Figure 8, Table 3). These data also support lamprey

371 CR and elephant shark MR as closer to each other than to elephant shark GR.

372

### 373 **3. Discussion**

Sea lamprey and hagfish, the two extant cyclostomes, occupy a critical position in the evolution of vertebrates [1–3,6], and the sea lamprey CR occupies a critical position in the evolution of the MR and GR in vertebrates [4,8,9,13,43,61], two steroid receptors that are important regulators of vertebrate physiology [10,19,20,22,31,32,41]. The assembly of the lamprey germline genome [40] provided an opportunity to determine the response to corticosteroids of full-length CR for comparison to elephant shark GR and MR and provide insights into the evolution of glucocorticoid and mineralocorticoid signaling. Analysis of the CR

in the lamprey genome identified two CRs, CR1 and CR2, which differ only in an insertion of
four amino acids in the DBD of CR1. The DBD in elephant shark MR and GR [12], as well as in
other vertebrate MRs and GRs lack these extra four amino acids found in CR1, suggesting that
vertebrate MRs and GRs are descended from an ancestral CR2-like gene. Expression of CR1
and CR2, as determined by RNA-Seq revealed that CR1 comprises over 99% of expressed CR in
lamprey kidney, intestine and brain (Table 1).

387 Our analysis of steroid activation of lamprey CR1 and CR2 found that 11-388 deoxycorticosterone and 11-deoxycortisol (Figure 1) have the lowest EC50s and a high fold-389 activation of transcription, consistent with the evidence that these are the circulating steroids in 390 lamprey [26–28]. Overall, full-length lamprey CR1 and CR2 have similar EC50s and fold-391 activation for corticosteroids in cells transfected with MMTV promoter. Truncated lamprey CR1 392 and CR2 also have similar EC50s and fold-activation for corticosteroids in cells transfected with 393 MMTV promoter. However, in HEK293 cells transfected with TAT3, compared to full-length 394 CR1, full-length CR2 has about 2.25-fold higher activation in the presence of corticosteroids and 395 truncated CR2 has about 4.5-fold higher fold activation than truncated CR1. It appears that a 396 complex mechanism regulating CR-mediated transcription involving the NTD and the MMTV 397 and TAT3 promoters evolved before the evolution of distinct GR and MR genes in an ancestral 398 cartilaginous fish. NTD regulation of corticosteroid activation of the CR also indicates that the 399 entire sequence of lamprey CR regulates transcription by corticosteroids.

400 Progesterone activates transcription by full-length lamprey CR1 and CR2, in contrast to

401 the lack of progesterone-mediated transcriptional activation of human MR [12,33–35,44].

402 Progesterone also activates transcription by full-length elephant shark MR (Table 3), but not

403 elephant shark GR [12,44].

Analysis of the effect of deleting the NTD in the CR and in elephant shark MR and GR on corticosteroid activation of these receptors in HEK293 cells containing TAT3 support the hypothesis that lamprey CR and elephant shark MR as functionally more similar to one another than to elephant shark GR. A similar conclusion comes from comparison of the responses of lamprey CRs and elephant shark MR and GR to corticosteroids.

Although 11-deoxycortisol and 11-deoxycorticosterone are the circulating corticosteroids in lamprey, studies of these two steroids in live lamprey find that only 11-deoxycortisol is biologically active [26,27,66]. This contrasts with our results in cell culture in which both steroids, as well as other corticosteroids, stimulate transcription of CR1 and CR2 (Figures 4 and 5). There may be additional regulatory mechanisms that lead to preferential activity of 11deoxycortisol in lamprey and inactivity of 11-deoxycorticosterone. It appears that the Atlantic sea lamprey has more secrets to share.

416

### 417 **4. Materials and Methods**

### 418 **4.1 Chemical reagents**

Cortisol, corticosterone, 11-deoxycorticosterone, 11-deoxycortisol, and aldosterone were
purchased from Sigma-Aldrich. For reporter gene assays, all hormones were dissolved in
dimethyl-sulfoxide (DMSO); the final DMSO concentration in the culture medium did not
exceed 0.1%.

### 423 **4.2 Gene expression analysis**

424 To determine the expression level of CR gene, single-end RNA-seq reads of sea lamprey for
425 seven stages of embryos, intestine and kidney from larval stage, intestine, kidney and liver from

parasitic stage, brain, intestine and kidney from adult stage, were downloaded from database of
National Center for Biotechnology Information (accession number: PRJNA50489). Reference
genome assembly and gene annotation were also downloaded from NCBI database (accession
ID: GCF\_010993605.1). RNA-seq reads of various tissues were independently aligned to the
reference sequences using RSEM (v1.3.3) [57]. The relative measure of transcript abundance is
FPKM (fragments per kilobase of transcript per million mapped reads).

### 432 **4.3** Construction of plasmid vectors

Full-length mineralocorticoid receptor sequence of sea lamprey, *Petromyzon marinus*, was
registered in Genbank (accession number: XM\_032955475). Based on the registered sea
lamprey MR sequence we synthesized DNA containing the full-length sequence. Full-length sea
lamprey CR1 was ligated into pcDNA3.1 vector (Invitrogen). CR2 construction was performed
using KOD-Plus-mutagenesis kit (TOYOBO). All cloned DNA sequences were verified by
sequencing.

### 439 4.4 Transactivation assay and statistical methods

440 Transfection and reporter assays were carried out in HEK293 cells, as described previously [12]. 441 The cells were transfected with 100 ng of receptor gene, reporter gene containing the Photinus 442 pyralis luciferase gene and pRL-tk, as an internal control to normalize for variation in 443 transfection efficiency; pRL-tk contains the *Renilla reniformis* luciferase gene with the herpes 444 simplex virus thymidine kinase promoter. Each assay had a similar number of cells, and assays 445 were done with the same batch of cells in each experiment, which is consistent with similar fold-446 activation in each assay at 1 M and 100 nM (Figure 6). All experiments were performed in 447 triplicate. Promoter activity was calculated as firefly (P. pyralis)-lucifease activity/sea pansy (R.

448 *reniformis*)-lucifease activity. The values shown are mean  $\pm$  SEM from three separate 449 experiments, and dose-response data, which were used to calculate the half maximal response 450 (EC50) for each steroid, were analyzed using GraphPad Prism. Comparisons between two 451 groups were performed using paired *t*-test. *P* < 0.05 was considered statistically significant.

452

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- 458 **5.2** Author contributions: Y.K. and M.E.B. designed the research. Y.K. and X.L. carried out
- 459 the research and analyzed data. R.J., Z.C., Y.K., K.B. performed the cell culture and DNA
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465

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CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR2: CR2: CR2: CR2: CR2: CR2: CR2	ATGGGGACTGAGGGCCTGTGGCAGCAACAACAGTATCCGGAGCCTCTAACCCAAAGCAGTCGGAGTGGGAGAGAGA	120 120 240 240 360 360 480 480 600 600 720 720 720 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR2: CR2: CR2: CR2: CR2: CR2: CR2	AACATGGATTTCCCCGAGGACCAGGCCCGCGCGGGGGAGCCAGGCCAACAA	240 240 360 360 480 600 600 720 720 720 840 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR2: CR2: CR2: CR2: CR2: CR2: CR2	ATCCTGGATGATGAACACCCGGTGCCCGAGGAGCTCTTCAAGACGGTGGCGGAGTCCATGGGGCTGTACATGGCCGATGGTGGGAACTTCGACCTGCCGGCGAAGGCTCTAGAGCGTTACCTGGGGAACTTCGACCTGCCGGCGAAGGCTCTAGAGCGTTCAAGACGGGGGCGAGTCCATGGGGGGGCGGTTAGCCCGTTCCCAAGCTGCGGGGAGGCTCTAGGAGGCTCAAGGGGGGGCCCATGGGGGGGG	360 360 480 600 600 720 720 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2:	GACGCGGCCATTTTCCAGGGTGAGGACTTGCGCCTCTCGCCGTCAAACCTTTCCCACGTTGGTCCACGGGTTAGCCCGTTCCCAAGTGTTAAGCCTGGCGACGACTCTGCAGGGGCCTCAA GACGCGGCCATTTTCCAGGGTGAGACTTGCGCCTCTCGGCGCGCGC	480 480 600 720 720 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2:	GAAAGCATGGCAAGCCCTGTTTCGGCAGCTCTCAGATCAAAGCCGCAGCAACAACAACAAGAAGCAGCAGCAGCAGC	600 600 720 720 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2: CR1: CR2:	TCTGTGAAACAGGAGAAACAACAGCCACAGGCAACGTTCAGAAACACATGTTTTGATGAAACCAGAAGCTGACGTTGGAGCAGATTGTAGCCACTTCTCTCATGGAAACAGCAGCAGCACTCCCCCATGGAAACAAGCAGCCACATGCAGCACACTGCAGCACACTGCAGCACACTGCAGCAGCACCACTGCGAGCGCGGCACTGGGAGCACCGAGCACCACTGCGAGCGGGCGCACTGCGGCGCGGCGTGGCAGCACCACTGCGGGGCGCACCCAGCGGGGCGCCGGCGTGGCAGCACCACTGCGAGCGGGGCGCACTGGGGGGGG	720 720 840 840 960 960
CR1: CR2: CR1: CR2: CR1: CR2:	AGGCCAATTAAGGTGGAGCCCCAGTCGTCGAGAGTCCGTCAGAATATGGGGGACCTCAGCTGATGGGTTTTGATTCGAATTTACACACATACGGGGACATGGACTCCAGTGCGAGGCAC AGGCCAATTAAGGTGGAGCCCCAGTCGTCGAGAGTCCGTCAGAATATGGGGGGCCCACGCGAGGCGTTTGATTGA	840 840 960 960
CR1: CR2: CR1: CR2:	GCAGAAAGGGGGGCATTTCCGGGTCCGGCCGCCAGGGGGGACACCACTGCGAGTCGTGCCAACGGCAAGAGGAAGACTCGGGTTGTGATTTACACATCTGCAGGCCGGGGGGGG	960 960 1080
CR1: CR2:		1080
an 1 .		1080
CR1: CR2:	CCGTCCGTTGCCACCTCGGCCTCGGGCATCTCCAACTTTTCAAATGGGAATAATTTTGGATTCCTTTCTCCCCAATGGAGTACAACAGGATGGAT	1200 1200
CR1: CR2:	CCCGCACAGTCCTCAGTCCTCCGCAGAAGGCGTGTCTCATCTGTAGTGATGAGGCTTCGGGCTGCCACTACGGAGTGCTCACCTGTGGAAGCTGCAAGGTGTTCTTCAAGCGTGCCGTG CCCGCACAGTCCTCCGCCAGAAGGCCGTGTCTCATCTGTAGTGATGAGGGTTCGGGCTGCCACTACGGAGTGCTCACCTGTGGAAGCTGCAAGGTGTTCTTCAAGCGTGCCGTG	1320 1320
CR1: CR2:	GAAGGTACGCGACAAGGACAGCACAATTATCTGTGCGCCGGACGAAATGACTGCATCATTGACAAGATCCGCCGCAAGAACTGCCCAGCTTGCCGTCGCGCAAGTGCATCCAGGCGGGA GAAGGACAGCACAATTATCTGTGCGCCGGACGAAATGACTGCATCATTGACAAGATCCGCCGCAAGAACTGCCCAGCTGCCGTCGCGCAAGTGCATCCAGGCGGGA ****	1440 1428
CR1: CR2:	ATGACGCTAGGAGCACGCAAGCTTAAGAAGCAAGGCCGGGTAAAGGGAGAGAACCAGCGAGCCAGCGCCAGCGTCCCCCACAGCCACCCCGCCTCCGCCACCCCCGCAACCCCCGACACCCG ATGACGCTAGGAGCACGCAAGCTTAAGAAGCAAGGCCGGGTAAAGGGAGAGAACCAGCGCAGCCCAGCGCCCCCCCACAGCCACCCCCGTCTGCCACCCCCGCCACCCCCGAACCCCC ***	1560 1548
CR1: CR2:	ACGGCCGTGACCACGTTCTCGCCACCGCGACCGGAGAGCCCATTTTCTCACCCACACTCATCGCCATCCTGCAGGCGATCGAGCCCGAGGTGGTCATGTCCGGCTATGACAACACGCGG ACGGCCGTGACCACGTTCTCGCCACCGCCGACCGGAGAGCCCATTTTCTCACCCACACTCATCGCCATCCTGCAGGCGATCGAGCCCGAGGTGGTCATGTCCGGCTATGACAACACGCGG	1680 1668
CR1: CR2:	TCCCAGACCACCGCCTACATGCTGTCGAGCCTCAACCGCCTCGCGACAAGCAGCAGCGCGTGTCCATTGTCAAGTGGGCCAAGTCTCTGCCAGGTTTCCGAAAACCTGCACATCGACGACCAG TCCCAGACCACCGCCTACATGCTGTCGAGCCTCAACCGCCTCTGCGACAAGCAGCCGTGTCCATTGTCAAGTGGGCCAAGTCTCTGCCAGGTTTCCGAAAACCTGCACATCGACGACCAG	1800 1788
CR1: CR2:	ATGGTGTTAATCCAGTACTCATGGATGGGCCTGATGTCATTTGCCATGAGCTGGAGGTCCTTCCAGCACACCAACAGCAAGCTGCTCTACTTTGCCCCGATCTGGTTTTTGATGAGACA ATGGTGTTAATCCAGTACTCATGGATGGGCCTGATGTCATTTGCCATGAGCTGGAGGTCCTCCAGCACCAACAGCAAGCTGCTCTACTTTGCTCCTGATCTGGTTTTTGATGAGACA	1920 1908
CR1: CR2:	${\tt CGCATGCAGCAGTCGGCGATGTATCAATTGTGCGTGGAAATGAGGCAAGTCTCGGAGGACTTCATGAAGTTGCAAGTCACTTCAGAGGAGTTTCTGTGCATGAAAGCCATCTTGCTCCTGCGCAGGCAG$	2040 2028
CR1: CR2:	AGTACTGTCCCACAAGAGGGTCTGAAGAGGCCAGGGCTGCTTCGAGGAGATGCGGATCAGCTACATCCGGGAATTGAACCGGACCATCGCACGGACGAGAAGAATGCCGTGCAGTGTGG AGTACTGTCCCACAAGAGGGTCTGAAGAGGCCAGGGCTGCTTCGAGGAGATGCGGATCAGCTACATCCGGGAATTGAACCGGACCATCGCACGGACGAGAAGAATGCCGTGCAGTGTTGG *******************************	2160 2148
CR1: CR2:	${\tt CAGCGCTTCTACCAGCTCCACGAGCTGCAGGACTGCATGCA$	2280 2268
CR1:	ATGATGGCCGAGATCATCAGTGCGCAGCTGCCTCGCATCATGGCCGGAGAAGCCCGGGCACTCCACTTCCACAAGAAATGA 2361 ATGATGGCCGAGATCATCACTGCGCAGCAGCTGCCTCGCATCATGGCCGGAGAAGCCCGGGCACTCCACTTCCACAAGAAATGA 2349	

702 **Supplement Figure 1.** Alignment of the nucleic acid sequences of lamprey CR1 and CR2.

- With exception of the 12 nucleic acid, TACGCGACAAGG, insert in lamprey CR1, the sequences of CR1 and CR2 are identical.
- Accession for CR1 nucleotide sequence: XM 032955475.
- Accession for CR2 nucleotide sequence: XM\_032955480