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# 1 **An efficient *Chlorella* sp.-*Cupriavidus necator* consortium for phenol degradation** 2 **and its symbiosis mechanism**

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## 10 **Abstract**

11 A *Chlorella* sp.-*Cupriavidus necator* (*C. necator*) consortium was artificially established for  
12 phenol degradation. The synergistic relationship between *Chlorella* sp. and *C. necator* was initially  
13 demonstrated, and then the effects of *Chlorella* sp./*C. necator* inoculation ratio, light intensity,  
14 temperature and pH on the performance of this consortium were systematically evaluated and  
15 optimized. The optimal conditions for phenol degradation were as follows: a *Chlorella* sp./*C.*  
16 *necator* inoculation ratio of 1:1, a light intensity of 110  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , a temperature in the range of  
17 25-32 °C and a pH in the range of 5.5-7.5. Under optimal conditions, this consortium could degrade  
18 phenol with a maximum concentration of 1200 mg L<sup>-1</sup> within 60 h. Comparative transcriptomic  
19 analysis was conducted to discuss the symbiosis mechanism of this consortium subject to high  
20 phenol concentrations. The up-regulation of genes involved in photosynthesis and carbon fixation  
21 of *Chlorella* sp. demonstrated the CO<sub>2</sub> and O<sub>2</sub> exchange between *Chlorella* sp. and *C. necator*, which,  
22 we suggest, may be the main reason for their mutual promotion of growth and phenol degradation.

23 **Keywords:** Phenol degradation; Microalgae-bacteria consortium; Synergistic relationship;  
24 Transcriptomic analysis

## 26 **1 Introduction**

27 Phenol and its derivatives often occur as hazardous pollutants in industrial effluents such as  
28 petroleum refineries, plastic, paper & pulp, pharmaceuticals and coal processing (Mohammadi et  
29 al., 2015), which can lead to acute environmental impacts even at low concentrations. The removal  
30 of phenol and its derivatives from industrial effluents is of great importance for wastewater  
31 treatment.

32 Conventional physical-chemical methods for phenol treatment include solvent extraction,  
33 adsorption, coagulation and chemical oxidation (Mohammadi et al., 2015). However, most of these  
34 methods yield by-products which create secondary pollution and lead to additional costs for  
35 treatment (Senthivelan et al., 2014). Compared with these physical-chemical treatment methods,  
36 biological treatment which may involve microalgae, bacteria, fungi and their consortia  
37 (Bhattacharya et al., 2018) may be an eco-friendly alternative for phenol removal. Compared to

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38 individual microalgae or bacteria, a microalgae-bacteria consortium shows many advantages such  
39 as cost-efficient aeration, efficient removal of pollutants and mitigation of greenhouse gas emissions  
40 (Su et al., 2012). Moreover, microalgae can concomitantly remove other nutrients and pathogens  
41 (including viruses) (Muñoz et al., 2003), and microalgal biomass provides a potential valuable by-  
42 product, which can offset a portion of plant operating costs.

43 Borde et al. (2003) reported for the first time that a consortium of *C. sorokiniana* and *A.*  
44 *haemolyticus* degraded 89 % of 200 mg L<sup>-1</sup> phenol at 48 h under an Ar atmosphere. The consortium  
45 formed by *Chlorella vulgaris* MM1 and *Pseudomonas* MT1 was able to completely remove 433 mg  
46 L<sup>-1</sup> of phenol from the mixtures of phenol and pyridine with a hydraulic retention time (HRT) of 2.7  
47 days (Essam et al., 2013). However, the phenol removal rate decreased to 78% when the phenol  
48 concentration was increased to 500 mg L<sup>-1</sup>. Maza-Márquez et al. (2017) developed a consortium  
49 using two microalgae strains (*C. vulgaris* and *S. obliquus*) and two bacteria strains (*P. agglomerans*  
50 and *R. terrigena*) to treat olive washing wastewater effluent that had undergone active carbon  
51 pretreatment. The results of this experiment showed that 90.3±11.4% of the total phenolic  
52 compounds (185.8±12.7 mg L<sup>-1</sup>) was removed at an HRT of 3 days. A consortium consisting of  
53 *Chlorella vulgaris* and *Alcaligenes* sp. was established by Tamer et al. (2006) to biologically treat  
54 coking factory wastewater in Egypt with a phenol concentration of 135 mg L<sup>-1</sup>. The results showed  
55 that the phenol removal rate only was 16 % after 7 days. However, pretreatment of the wastewater  
56 with activated carbon or UV radiation subsequently allowed almost complete removal of phenol by  
57 the consortium after 3-4 days of inoculation. Although the feasibility of removing phenol from  
58 wastewater by microalgae-bacteria consortium was demonstrated by the previous studies, the low  
59 tolerance to phenol, long degradation duration and additional physical or chemical pretreatment  
60 steps restricted its widespread application. To overcome these constraints we suggest that the  
61 development of more efficient microalgae-bacteria consortia have the potential to enhance the  
62 degradation efficiency of phenol at high concentrations.

63 Symbiotic interactions between microalgae and bacteria have been widely investigated. Carbon  
64 and macro- and micro-nutrients seem to play a central role. Studies show micro-nutrients like  
65 vitamins (Croft et al., 2005; Kuo et al., 2013; Teplitski et al., 2011), macronutrients like nitrogen  
66 and carbon (Bolch et al., 2011; Kazamia et al., 2012; Teplitski et al., 2011; Kim et al., 2014) and  
67 phytohormones (Teplitski et al., 2011) are exchanged between microalgae and bacteria. Although  
68 previous studies have explored the symbiotic mechanisms of microalgae and bacteria consortia at  
69 the physiological, biochemical and molecular levels, the understanding of the mechanisms is far  
70 from complete and requires further dedicated research (Perera et al., 2019).

71 In this study, a *Chlorella* sp.-*Cupriavidus necator* (*C. necator*) consortium was artificially  
72 established for phenol degradation. The controlled experimental conditions were systematically  
73 studied, which included *Chlorella* sp./*C. necator* inoculation ratio, light intensity, temperature and  
74 pH. The degradation performance of this consortium applied to a substrate containing 600-1200 mg  
75 L<sup>-1</sup> of phenol was investigated under optimal conditions. Finally, the interactions between *Chlorella*

76 sp. and *C. necator* were discussed through comparative analysis in order to provide deep insight  
77 into the physiology of the consortium.

## 78 **2 Experiment**

### 79 **2.1 Organisms and culture conditions**

80 The green microalgae *Chlorella* sp. was separated from a water sample collected locally  
81 (Shanghai), and maintained in petri dishes using BG11 solid medium. *Chlorella* sp. cells were  
82 successively transferred from petri dishes to 250 mL flasks, and then cultivated in 400 mL bubble  
83 column photobioreactors with 1% CO<sub>2</sub> under 120 μmol m<sup>-2</sup> s<sup>-1</sup> and 25 °C conditions. Phenol-  
84 degrading bacteria *C. necator* (formerly *Ralstonia eutropha*, Martinez et al., 2015) was purchased  
85 from the China General Microbiological Culture Collection Center (No. 1.7092) and maintained in  
86 petri dishes using a nutrient broth solid medium consisting of: 10 g L<sup>-1</sup> of peptone, 3 g L<sup>-1</sup> of beef  
87 extract, 5 g L<sup>-1</sup> of sodium chloride and 15 g L<sup>-1</sup> of agar. *C. necator* cells were successively transferred  
88 from petri dishes to 250 mL flasks, and then incubated at 30 °C on a rotary shaker (150 rpm). The  
89 cells of those microorganisms, *Chlorella* sp. and *C. necator*, were harvested during their logarithmic  
90 growth phase by centrifugation. All the harvested cells were resuspended into the simulation phenol  
91 wastewater with the required biomass density and used in the following experiments.

### 92 **2.2 Feasibility of *Chlorella* sp.-*C. necator* consortium for phenol degradation**

93 The stock solution of phenol (2000 mg L<sup>-1</sup>) was prepared by dissolving the requisite amount  
94 of phenol in sterilized BG11 medium. The solution of a required concentration of phenol was  
95 prepared by diluting the stock solution with the sterilized BG11 medium.

96 In order to study the feasibility of the *Chlorella* sp.-*C. necator* consortium for phenol  
97 degradation, three experiments including *Chlorella* sp. monoculture, *C. necator* monoculture and  
98 the co-culture of *Chlorella* sp. and *C. necator* were designed for phenol degradation. The three  
99 experiments were conducted in 250 mL conical flasks (working volume 100 mL) with breathable  
100 sealing membranes, and incubated on a rotary shaker (150 rpm) under continuous illumination (110  
101 μmol m<sup>-2</sup> s<sup>-1</sup>) and a constant temperature of 25 °C. The initial concentrations of phenol, *Chlorella*  
102 sp. and *C. necator* were 500 mg L<sup>-1</sup>, 0.2 g L<sup>-1</sup> and 0.2 g L<sup>-1</sup>, respectively. All tests were performed  
103 in duplicate.

### 104 **2.3 Optimization of operating conditions**

105 An evaluation of the influence of operating conditions (*Chlorella* sp./*C. necator* inoculation  
106 ratio, pH, light intensity and temperature) on the performance of the *Chlorella* sp.-*C. necator*  
107 consortium was conducted in 250 mL conical flasks (working volume 100 mL) with breathable  
108 sealing membranes. The culture parameters at different operating conditions are shown in Table 1.  
109 The initial phenol and *Chlorella* sp. inoculation concentrations were kept at 500 mg L<sup>-1</sup> and 0.2 g L<sup>-1</sup>,  
110 respectively. Different concentrations of *C. necator* (0.05, 0.1, 0.2 and 0.3 g L<sup>-1</sup>) were inoculated  
111 to regulate the *Chlorella* sp./*C. necator* inoculation ratios in a range between 4:1 and 2:3. The initial  
112 pH was adjusted using HCl (1 mol L<sup>-1</sup>) or NaOH (1 mol L<sup>-1</sup>) solution to 5.5, 7.5, 9.5 and 11.5,  
113 respectively. The light intensities of 110, 240 and 580 μmol m<sup>-2</sup> s<sup>-1</sup> were adjusted by changing the

114 distances between the flasks and the LED (maximum light intensity around  $2000 \mu\text{mol m}^{-2} \text{s}^{-1}$ ). The  
115 dark condition ( $0 \mu\text{mol m}^{-2} \text{s}^{-1}$ ) was achieved by covering the flask with aluminum-foil. The  
116 temperature was regulated using a constant temperature water bath and set at 17, 25, 32 and  $40^\circ\text{C}$ ,  
117 respectively. All tests were performed in duplicate.

#### 118 **2.4 Performance of *Chlorella* sp.-*C. necator* consortium for phenol degradation under optimal** 119 **conditions.**

120 The degradation performance of the *Chlorella* sp.-*C. necator* consortium was investigated with  
121 different initial phenol concentrations under optimal conditions. The initial phenol concentrations  
122 were adjusted using stock phenol solution to 600, 800, 1000 and  $1200 \text{ mg L}^{-1}$ , respectively. All the  
123 experiments were conducted in 500 mL conical flasks (working volume 300 mL) with breathable  
124 sealing membranes on a rotary shaker (150 rpm) under  $110 \mu\text{mol m}^{-2} \text{s}^{-1}$  and  $25^\circ\text{C}$  conditions. The  
125 initial concentrations of *Chlorella* sp. and *C. necator* were 0.2 and  $0.2 \text{ g L}^{-1}$ , respectively. The initial  
126 pH was adjusted to 7.5 using NaOH solution ( $1 \text{ mol L}^{-1}$ ). All tests were performed in duplicate.

#### 127 **2.5 Comparative transcriptomic analysis**

128 Comparative transcriptomic analysis was used to investigate the potential symbiotic mechanism  
129 between *Chlorella* sp. and *C. necator* at a molecular level in the process of degrading high  
130 concentrations of phenol. However, the direct co-culture could not be used for the comparative  
131 transcriptomic analysis because the microarray only quantifies target sequences of individuals. In  
132 this experiment, *Chlorella* sp. were co-cultivated with the immobilized *C. necator* beads and then  
133 separated for the comparative transcriptomic analysis.

134 Immobilized *C. necator* beads were prepared using polyvinyl alcohol (PVA) and sodium  
135 alginate (SA). The preparation process was conducted as follows: first, PVA (6 wt%) and SA (3 wt%)  
136 were added to deionized water and autoclaved for 30 min at  $121^\circ\text{C}$ . The obtained gel was cooled at  
137 room temperature and then mixed with *C. necator* ( $0.2 \text{ g L}^{-1}$ ). Then the resulting mixture was added  
138 drop-wise into a calcium chloride solution (2 wt %) with a peristaltic pump and maintained at  $4^\circ\text{C}$   
139 for 24 h to form gel beads. Finally, the beads were washed with deionized water five times for  
140 removing the adhered *C. necator* on the surface.

141 The phenol degradation experiment for comparative transcriptomic analysis was designed to  
142 observe two groups: *Chlorella* sp. monoculture (denoted as Alg), *Chlorella* sp. and immobilized *C.*  
143 *necator* co-culture (denoted as Alg\_Co). The inoculation concentrations of phenol, *Chlorella* sp.  
144 and immobilized *C. necator* were  $800 \text{ mg L}^{-1}$ ,  $0.2 \text{ g L}^{-1}$  and  $0.2 \text{ g L}^{-1}$ , respectively. The other  
145 experimental conditions were similar to those described in Section 2.2. The *Chlorella* sp. cells  
146 cultivated in Alg and Alg\_Co were collected after 12 h had elapsed. Each sample of Alg and Alg\_Co  
147 was analyzed in triplicate. The sample treatment, transcriptomic determination, gene annotation and  
148 the related bioinformatics analysis were performed as described in previous studies [Cheng et  
149 al.,2019a; Zhou et al., 2017]. False discovery rate (FDR) and Log2 fold change (LFC) were selected  
150 to investigate the target genes. If the FDR is less than 0.05 and the absolute value of LFC is not less  
151 than 1, the gene is regarded as being significantly expressed.

## 152 2.6 Analysis methods

153 10 mL of sample was periodically withdrawn from each flask to measure the residual phenol  
154 concentration, growth of *Chlorella* sp. and *C. necator* and pH of the culture medium. The pH of the  
155 sample was measured using a Five Easy pH meter (METTLER TOLEDO) immediately after the  
156 sample was harvested. The maximum quantum yield of photosystem II was determined using 2 mL  
157 of sample. The Fv/Fm value was measured using a fluorescence monitoring system (FMS2,  
158 Lufthansa Scientific Instruments Co., Ltd., UK) after the sample had been stored in dark conditions  
159 for 30 min. 1 mL of sample was centrifuged at 6000 rpm for 10 min to obtain the supernatant. The  
160 concentration of residual phenol in the supernatant was measured by the colorimetric assay 4-amino  
161 antipyrine method (Zhou et al., 2017).

162 The biomass concentrations of *Chlorella* sp. and *C. necator* in monoculture were determined  
163 gravimetrically. Generally, 5 mL of sample was filtered using a pre-dried and pre-weighed cellulose  
164 membrane (0.45 µm pore size), washed with deionized water, dried for 24 h at 105 °C, cooled in a  
165 desiccator and then weighed again. The dry weight of the blank filter was subtracted from that of  
166 the loaded filter to obtain the dry weight.

167 The biomass concentration of *Chlorella* sp. in the consortium was determined indirectly by  
168 measuring the chlorophyll a and b (Chl *a+b*) concentrations in the consortium according to the  
169 method of Mohammad et al. (2020). Generally, 0.5 mL of consortium sample was centrifuged at  
170 13,400 rpm for 10 min and the supernatant was discarded. Chlorophyll a and b were extracted from  
171 the pellets using methanol (1.5 mL) and quantified as described in Pruvost et al (2011). The  
172 concentrations of Chl *a+b* (mg L<sup>-1</sup>) were calculated using equation (1-3):

$$173 \text{ Chl } a = [-8.0962 \times (OD_{652} - OD_{750}) + 16.5169 \times (OD_{665} - OD_{750})] \times 3 \quad (1)$$

$$174 \text{ Chl } b = [27.4405 \times (OD_{652} - OD_{750}) - 12.1688 \times (OD_{665} - OD_{750})] \times 3 \quad (2)$$

$$175 \text{ Chl } a + b = \text{Chl } a + \text{Chl } b \quad (3)$$

176 Where, Chl *a*, Chl *b* and Chl *a+b* are the concentrations of chlorophyll a (mg L<sup>-1</sup>), chlorophyll b  
177 (mg L<sup>-1</sup>) and chlorophyll a and b (mg L<sup>-1</sup>), respectively. OD<sub>652</sub>, OD<sub>665</sub> and OD<sub>750</sub> are the optical  
178 densities of the extraction solution at wavelengths of 652, 665 and 750 nm, respectively.

179 A standard curve was prepared for measuring biomass concentrations using Chl *a+b*  
180 concentrations in a series of *Chlorella* sp. suspensions. Chl *a+b* concentrations and biomass  
181 concentrations of *Chlorella* sp. were correlated according to the following equation (4):

$$182 Y = 0.0223X - 0.0056 [R^2 = 0.997] \quad (4)$$

183 Where, *Y* and *X* are biomass concentrations (g L<sup>-1</sup>) and Chl *a+b* concentrations (mg L<sup>-1</sup>) of *Chlorella*  
184 sp., respectively.

185 The biomass concentration of *Chlorella* sp. in the consortium was calculated using equation (4)  
186 after measuring the concentrations of Chl *a+b* in the consortium. The total biomass concentration  
187 of the *Chlorella* sp.-*C. necator* consortium was determined similarly to the biomass of the  
188 monoculture. The biomass concentration of *C. necator*. in the consortium was obtained by  
189 subtracting the biomass concentration of *Chlorella* sp. from the total biomass of the consortium.

## 190 3 Results and discussion

### 191 **3.1 Feasibility of *Chlorella* sp.-*C. necator* consortium for phenol degradation**

192 In order to determine the effectiveness of the artificial *Chlorella* sp.-*C. necator* consortium for  
193 improving phenol degradation, degradation trials using the *Chlorella* sp. monoculture, *C. necator*  
194 monoculture and the co-culture of *Chlorella* sp. and *C. necator* were conducted in batch reactors  
195 with 500 mg L<sup>-1</sup> of phenol. As shown in Fig. 1a, the residual phenol concentration in *Chlorella* sp.  
196 monoculture remained almost the same as the initial concentration after *Chlorella* sp. inoculation  
197 after 24 h. However, *C. necator* was observed to rapidly degrade the phenol after a lag phase of 6 h,  
198 and completely degraded the initial 500 mg L<sup>-1</sup> of phenol after 18 h. The decrease of pH in the *C.*  
199 *necator* monoculture further demonstrated the degradation of phenol, which could be ascribed to  
200 the generation of CO<sub>2</sub> in the process of phenol degradation by *C. necator* (Fig. 1d). Compared with  
201 the *Chlorella* sp. and *C. necator* monoculture, no significant lag period was observed in their  
202 consortium, and complete phenol removal was observed at 12 h (Fig. 1a). The above results  
203 suggest that *C. necator* is largely responsible for phenol degradation, and the presence of *Chlorella*  
204 sp. enhances the phenol degradation rate..

205 Similarly, as shown in Fig. 1b, the biomass concentrations of *Chlorella* sp. and *C. necator* in  
206 consortium were much higher than that of the corresponding monoculture. In this case, the Fv/Fm  
207 values, the maximum quantum yield of photosystem II, of *Chlorella* sp. in consortium, were much  
208 higher than that of *Chlorella* sp. in monoculture (Fig. 1c). Hence, the enhancement of photosynthesis  
209 could induce more O<sub>2</sub> generation, which is important for aerobic degradation of phenol by *C. necator*  
210 (Maza-Márquez et al., 2014). The results demonstrated that *Chlorella* sp. and *C. necator* in the co-  
211 culture system promoted mutual growth, which might be facilitated by the exchange of CO<sub>2</sub> and O<sub>2</sub>  
212 between *Chlorella* sp. and *C. necator* (Borde et al., 2003).

213 Thus, based on the enhanced mutual growth and the improvement of phenol degradation, the  
214 hypothesized synergetic relationship between *Chlorella* sp. and *C. necator* and the application  
215 feasibility of this consortium appears confirmed.

### 216 **3.2 Optimization of operating conditions for phenol degradation by *Chlorella* sp.-*C. necator*** 217 **consortium**

218 In order to investigate the maximum phenol degradation performance of this consortium,  
219 operating parameters (*Chlorella* sp./*C. necator* inoculation ratio, pH, light intensity and temperature)  
220 were studied and optimized. The experimental results for a number of operational parameters are  
221 presented and discussed below.

#### 222 **3.2.1 *Chlorella* sp./*C. necator* inoculation ratio**

223 The initial ratio of microalgae to bacteria is regarded as one of the most important factors for  
224 determining the rate of phenol degradation and the growth of microalgae and bacteria (Maza-  
225 Márquez et al., 2014; Guieysse et al., 2002:). To further investigate these factors, the *Chlorella* sp./*C.*  
226 *necator* inoculation ratios were adjusted to 4:1, 2:1, 1:1 and 2:3 by mixing 0.2 g L<sup>-1</sup> of *Chlorella* sp.  
227 with 0.05, 0.1, 0.2 and 0.3 g L<sup>-1</sup> of *C. necator*, respectively. Fig.2 shows the effect of different  
228 inoculation ratios on the phenol degradation rate and the growth of *Chlorella* sp. and *C. necator*. As  
229 shown in Fig. 2a, the consortia with the 2:3 and 1:1 ratios degraded the initial concentration of 500

230 mg L<sup>-1</sup> phenol completely within 12 h, and showed the best phenol degradation performance. The  
231 consortium with the 2:1 ratio degraded phenol more slowly with complete removal after 18 h. The  
232 residual phenol concentration of the consortium with a 4:1 ratio was similar to the initial phenol  
233 concentration after 24 h indicating minimal degradation. The results of this experiment suggest that  
234 phenol removal is likely enhanced by increasing the *C. necator* inoculation concentration.  
235 Meanwhile, *C. necator* growth (Fig. 2b) was also synchronous with phenol removal, which further  
236 supports the hypothesis that phenol removal is likely dominated by metabolic degradation of *C.*  
237 *necator*.

238 In general, high concentrations of phenol exhibit a strong toxicity to microalgae, which  
239 seriously affects the growth of microalgae and even leads to death (Cheng et al. 2017; Priyadharshini  
240 et al., 2017; Xiao et al., 2019). In this experiment, the toxicity of phenol to *Chlorella* sp. appeared  
241 to be diminished by the addition of *C. necator* except when the ratio of *Chlorella* sp. to *C. necator*  
242 was increased to a level of 4:1. As shown in Fig. 2c and 2d, the biomass concentrations and Fv/Fm  
243 values of *Chlorella* sp. in consortia with 2:1, 1:1 and 2:3 ratios were enhanced relative to those of  
244 *Chlorella* sp. in monoculture (Fig. 2b and 2c). However, no obvious improvement in the consortium  
245 with the 4:1 ratio was observed, which was due to minimal growth of *C. necator* and degradation  
246 of phenol. For the consortium with 4:1 ratio, the low inoculation concentration of *C. necator* (0.05g  
247 L<sup>-1</sup>) most likely resulted in *C. necator* taking more incubation time to adjust to the stress created by  
248 the high phenol concentration. In addition, the pH of the culture medium continued to increase with  
249 the passage of time due to the photosynthesis of *Chlorella* sp. (Fig. 2d), which increased to 8.41 and  
250 9.08 after 6 h and 24 h (Fig. S1a), respectively. As shown in Fig. 3 a and b, a high pH (pH 9.5)  
251 inhibited the growth of bacteria and caused phenol degradation to cease. Therefore, the delay of  
252 phenol degradation caused by low inoculation concentration of *C. necator* and the inhibition of *C.*  
253 *necator* growth caused by high pH most likely led to the lack of phenol degradation for the  
254 consortium with the 4:1 ratio. Conversely, the pH of the consortia with the 2:1, 1:1 and 2:3 ratios  
255 decreased after 6 h and then increased thereafter (Fig. S1a). For the three consortia, the pH is dictated  
256 by the acidification process resulting from CO<sub>2</sub> release via phenol degradation of *C. necator* and by  
257 the alkalization process resulting from CO<sub>2</sub> uptake via the photosynthesis of *Chlorella* sp. (Fig. 1d).  
258 Up until the elapsed time of 6 h, *C. necator* degraded phenol and released CO<sub>2</sub> into the growth  
259 medium, which resulted in a decrease in pH (Fig. S1a). Thereafter *Chlorella* sp. appeared to recover  
260 and photosynthesis was enhanced (Fig. 2d) which led to a resultant increase in pH.

261 These experiments suggest that the consortia with the 2:3 and 2:1 ratios exhibited the best  
262 performance. Compared to the ratio of 2:3, the ratio of 1:1 had a similar phenol removal rate and a  
263 low inoculation concentration of *C. necator*. Therefore, the ratio of 1:1 was selected as the optimal  
264 *Chlorella* sp./*C. necator* ratio and was utilized in the subsequent experiments.

265

### 266 3.2.2 pH

267 The pH of the growth medium affects many biological processes and strongly governs the  
268 growth of both microalgae and bacteria (Muñoz and Guieysse, 2006). The effect of pH on phenol  
269 degradation and the growth of *Chlorella* sp. and *C. necator* was studied at pH ranging from 5.5 to  
270 11.5. As shown in Fig. 3a, the consortium appeared capable of degrading 500 mg L<sup>-1</sup> of phenol  
271 completely at both pH 5.5 and 7.5 within 12 h. However, under alkaline conditions (pH 9.5 and  
272 11.5), the consortium showed no marked phenol degradation activity. Similarly, the growth of *C.*



273 *necator* was correlated with phenol degradation. As shown in Fig. 3b, the biomass concentrations  
274 of *C. necator* reached the maximum at 12 h under pH 5.5 and 7.5 conditions. There was no  
275 significant growth of *C. necator* at pH 9.5 and 11.5 after incubation for 24 h. Most microorganisms  
276 cannot tolerate pH values below 4.0 or above 9.0 (Kim et al., 1981; Muñoz and Guieysse, 2006). At  
277 low (4.0) or high (9.0) pH values, acids or bases can penetrate microbe cells more readily because  
278 they tend to exist in an undissociated form under these conditions and electrostatic forces cannot  
279 prevent them from entering cells (Kim et al., 1981; Annadurai et al., 1999; Muñoz and Guieysse,  
280 2006). Likewise for the consortia with 2:1, 1:1 and 2:3 ratios, the toxic effect of phenol on *Chlorella*  
281 sp. growth appeared to be diminished by *C. necator* via its phenol metabolism at pH 5.5 and 7.5. As  
282 shown in Fig. 3c and 3d, the *Chlorella* sp. in consortium showed higher biomass concentrations and  
283 Fv/Fm values at pH 5.5 and 7.5 than these at pH 9.5 and 11.5. The photosynthesis of *Chlorella* sp.  
284 caused the pH rise for the consortia cultivated at pH 5.5 and 7.5 (Fig. S1b). However, as shown in  
285 Fig. S1b, there was no significant pH change for the consortium cultivated at pH 9.5. The pH  
286 decrease observed for the consortium cultivated at pH 11.5 was attributed to the fact that the highly  
287 alkaline solution was able to readily absorb CO<sub>2</sub> from the atmosphere. The results of this experiment  
288 suggest an optimal pH for phenol removal to be in the range of 5.5 to 7.5.

### 289 **3.2.3 Light intensity**

290 Light illumination is one of the most limiting factors to be determined for microalga and  
291 bacteria partnerships for the treatment of wastewater (Mohammad et al., 2020). The effect of light  
292 intensity on phenol degradation and the growth of *Chlorella* sp. and *C. necator* was studied at light  
293 intensities of 0, 110, 240 and 580  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , respectively. As shown in Fig. 4a, the consortium  
294 degraded 500 mg L<sup>-1</sup> of phenol completely at 18 h, 12 h and 18 h under 0, 110 and 240  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ,  
295 respectively. However, the phenol degradation was almost inhibited at a high light intensity of  
296 580  $\mu\text{mol m}^{-2} \text{s}^{-1}$ . Under dark conditions (0  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ), heterotrophic *C. necator* could mineralize  
297 phenol and grow (Fig. 4b). *Chlorella* sp., on the other hand, experienced growth inhibition because  
298 of the lack of light to enable photosynthesis (Fig. 4c). Both phenol degradation and *C. necator*  
299 growth in the consortium at a light intensity of 110  $\mu\text{mol m}^{-2} \text{s}^{-1}$  were enhanced compared to dark  
300 conditions (Fig. 4 a and b). This result was ascribed to the oxygen released by *Chlorella* sp.  
301 photosynthesis under illumination (Borde et al. 2003). These experimental results further  
302 demonstrate the synergistic relationship between *Chlorella* sp. and *C. necator*. However, when the  
303 light intensity was increased to 240 and 580  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , the process of phenol removal was reduced  
304 and even completely inhibited. The result is consistent with the inhibition of growth or even death  
305 of *C. necator*., which may be related to cell stress response caused by reactive oxygen species (ROS)  
306 produced under the high light intensity and phenol concentration conditions (Khaengraeng and Reed,  
307 2005). These results are also in agreement with Merbt et al. (2011) and Vergara et al. (2016), who  
308 have reported that high light intensity reduced bacterial nitrifying activity. Although the light  
309 intensity of 240  $\mu\text{mol m}^{-2} \text{s}^{-1}$  had a negative effect on *C. necator* growth and phenol degradation, it  
310 enhanced the photosynthesis (Fig. 4d) and growth of *Chlorella* sp. (Fig. 4c), and resulted in a higher

311 pH at 24 h (Fig. S1c). However, the photosynthesis and growth of *Chlorella* sp. were completely  
312 inhibited at 580  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and high amounts of phenol were still present in growth medium. The  
313 results of this experiment suggest that low light intensity (100  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ) is the optimal  
314 illumination condition for algal and bacterial phenol degradation.

#### 315 **3.2.4 Temperature**

316 The temperature can strongly influence the growth and survival of microorganisms (Li et al,  
317 2019). The effect of temperature on phenol removal and the growth of *Chlorella* sp. and *C. necator*  
318 was studied within a range of 17 °C and 40 °C. As shown in Fig. 5a, the consortium could completely  
319 degrade 500 mg L<sup>-1</sup> of phenol within 12 h at temperatures of 25 and 32 °C. The phenol removal  
320 rates at 17 and 40 °C were much lower than those at 25 and 32 °C. As shown in Fig. 5b, low and  
321 high temperatures below and above a threshold range appeared to negatively affect the growth of  
322 the bacteria and inhibit phenol degradation potential. High temperature most likely has a detrimental  
323 effect on the bacterial enzymes usually responsible for the benzene ring cleavage, which is the main  
324 process step in biological degradation (El-Naas et al., 2009). On the other hand, exposure to low  
325 temperature outside the optimal range is expected to slow bacterial activity. Meanwhile, this  
326 inhibitory effect is enhanced at high phenol concentrations (Onysko et al., 2000). Similarly, the  
327 phenol degradation improved the growth of *Chlorella* sp. at suitable temperature. As shown in Fig.  
328 5c, d and Fig. S1d, the biomass concentration, Fv/Fm and pH of *Chlorella* sp. in the consortia  
329 maintained at 25 and 32°C were enhanced compared to the same factors at 17 and 40 °C. Therefore,  
330 the optimal temperature for phenol degradation and microalgae growth suggested by this experiment  
331 ranges from 25 to 32°C.

#### 332 **3.3 Phenol degradation performance of *Chlorella* sp.-*C. necator* consortium under optimal** 333 **conditions.**

334 In order to investigate the maximum phenol degradation performance of the *Chlorella* sp.-*C.*  
335 *necator* consortium, the degradation of 600-1200 mg L<sup>-1</sup> phenol was carried out under optimal  
336 operating conditions. As shown in Fig. 6a, 600, 800, 1000 and 1200 mg L<sup>-1</sup> concentrations of phenol  
337 were completely degraded at 18, 24, 36 and 60 h, respectively. The required time for complete  
338 removal of phenol was positively correlated with the initial phenol concentration. During the process  
339 of phenol degradation, the phenol removal rate was relatively slow during the initial stage, the rate  
340 increased during the intermediate stage, and was the fastest during the final stage.

341 In the case of the highest initial phenol concentration of 1200 mg L<sup>-1</sup>, the *Chlorella* sp.-*C.*  
342 *necator* consortium could not degrade phenol until 24 h had elapsed, and then began to degrade  
343 phenol slowly during the period from 24 h to 48 h. The consortium showed the fastest removal rate  
344 between 48 h and 60 h. Correspondingly, *C. necator* in the consortium showed a lag period of about  
345 24 h (Fig. 6b), and then began to grow slowly between 24 and 30 h. *C. necator* exhibited exponential  
346 growth after 30 h. On the other hand, *Chlorella* sp. had a longer lag period of about 48h (Fig. 6c),  
347 then the culture began to grow after the phenol concentration dropped to 774  $\pm$ 5.2 mg L<sup>-1</sup>, which  
348 was consistent with that of consortium cultivated at 800 mg L<sup>-1</sup> of phenol. Finally, *Chlorella* sp.

349 grew exponentially in the period of 48 and 60 h. These results indicate that the growth of *Chlorella*  
350 sp. lags behind the phenol degradation and *C. necator* growth.

351 Under conditions of high phenol concentrations, the relationship between phenol degradation  
352 and microalgal and bacterial growth can be explained as follows. In the initial stage of phenol  
353 degradation, the high concentration of phenol most likely resulted in high stress to the  
354 microorganisms resulting in growth inhibition and low biomass concentrations of both *C. necator*  
355 and *Chlorella* sp. (Fig. 6 b and c). As *C. necator* slowly adapted to the high phenol stress conditions,  
356 growth increased and it began to degrade phenol. When the residual phenol concentration decreased  
357 to a more tolerable level for *Chlorella* sp., the microalgae began to grow more rapidly using CO<sub>2</sub>,  
358 likely released from phenol degradation as a carbon source (Fig. 6 c). The Fv/Fm values of *Chlorella*  
359 sp. gradually recovered to a high level (Fig. 6 d). At the end stage, with lower phenol concentrations  
360 in the growth medium, both *Chlorella* sp. and *C. necator* grew exponentially and phenol exhibited  
361 rapid degradation. The synergistic effect was observed only in the final stage of phenol degradation.  
362 If the phenol tolerance of microalgae is enhanced, the early start of photosynthesis could increase  
363 the period of synergistic effect between microalgae and bacteria, which would speed up the phenol  
364 degradation rate.

365 This experiment shows that bacteria tolerance and degradation capability for phenol  
366 degradation were generally higher than that for microalgae (Maza-Márquez et al., 2018). Therefore,  
367 the maximum biodegradable phenol concentration of the consortium appears to be determined by  
368 the degradation performance of bacteria in the consortium. The phenol degradation rate is  
369 determined by the tolerance of microalgae, and, the earlier the synergistic effect appears, the faster  
370 the phenol degradation rate. Hence, microalgal tolerance of phenol may be more important than its  
371 capacity for phenol degradation and for the successful establishment of a microalga-bacteria  
372 consortium. These results suggest the importance of careful selection of microalga and bacteria  
373 strains prior to the artificial establishment of a microalga-bacteria consortium.

#### 374 **3.4 The symbiosis mechanism between *Chlorella* sp. and *C. necator***

375 The symbiotic mechanism between *Chlorella* sp. and *C. necator* was investigated by  
376 comparative transcriptomic analysis under a high phenol concentration. *Chlorella* sp. were co-  
377 cultivated with immobilized *C. necator* beads (Alg\_Co) and then separated for the comparative  
378 transcriptomic analysis. *Chlorella* sp. were cultivated alone as the control (Alg). Immobilization can  
379 retard phenol transport and result in longer degradation time when compared to direct coculture,  
380 which leads to the release of *C. necator* and in turn results in the failure of comparative analysis.  
381 Therefore, 800 mg L<sup>-1</sup> of phenol was selected in this experiment because of the relative shorter  
382 degradation time compared to 1000 and 1200 mg L<sup>-1</sup>. As shown in Fig. S3 and S4, the phenol  
383 degradation rate of Alg\_Co is slower than the direct coculture. Meanwhile, no *C. necator* cells were  
384 found to be released from the beads, which are important for the successful application of  
385 transcriptomic analysis, after microscopic observation.

386 For the two groups of microalgal cells 40,362 unigenes were detected. To identify genes that

387 displayed significant changes in expression under high phenol concentration stress, differentially  
388 expressed genes (DEGs) were analyzed by comparing the libraries for Alg\_Co and Alg. Overall, a  
389 total of 3,529 unigenes in Alg\_Co were significantly differentially-expressed compared with Alg,  
390 among which 2,166 and 1,363 genes were significantly up-regulated and down-regulated,  
391 respectively (Fig. S5). In order to determine signaling pathways involved in DEGs, DEGs were  
392 annotated using the KEGG database. An enriched bubble chart of the candidate genes provided a  
393 graphic display of top 20 most enriched pathways for DEGs. As shown in Fig. 7, photosynthesis-  
394 antenna proteins, photosynthesis, ribosome, DNA replication, carbon fixation in a photosynthetic  
395 organism ranked among the top 5 most enriched pathways, most of which are related to the  
396 photosynthesis of *Chlorella* sp.

397 Microalgae can fix CO<sub>2</sub> and produce O<sub>2</sub> through photosynthesis. As shown in Fig. 8a and Table  
398 S1, most of the detected genes in photosynthesis pathway were up-regulated, such as *PsaA*, *PsaB*,  
399 *PsaD*, *PsaE*, *PsaF*, *PsaH*, *PsaK*, *PsaL*, *PsaN*, and *PsaO* in photosystem I, *PetE*, *PetH* and *PetJ* in  
400 photosynthetic electron transport, *PsbE*, *PsbP*, *PsbQ*, *PsbR*, *PsbW*, *PsbY* in photosystem II.  
401 Meanwhile, the antenna proteins such as *Lhca2-5*, *Lhcb1*, *Lhcb2*, *Lhcb4* and *Lhcb5* were also up-  
402 regulated (Fig. 8b and Table S2), which are important for photosynthetic light harvesting (Kim et  
403 al., 2010). Therefore, the expression of most genes involved in the light reactions and those  
404 encoding antenna proteins were up-regulated to different degrees when *Chlorella* sp. was  
405 cultivated with immobilized *C. necator*. This result implies that the photosynthesis of *Chlorella*  
406 sp. was enhanced. *Chlorella* sp. in Alg\_Co produced more O<sub>2</sub> compared to that in Alg, which further  
407 enhanced the aerobic degradation of phenol by *C. necator*. Correspondingly, more CO<sub>2</sub> should be  
408 released during the biodegradation of phenol [Maza-Márquez et al., 2014]. As, The pathway of  
409 carbon fixation in photosynthetic organisms (the last step of photosynthesis) was also enriched. As  
410 shown in Fig. 9 and Table S3, most of the enzymes involved in C3 pathways were upregulated for  
411 *Chlorella* sp. in Alg\_Co, which indicated that the carbon fixation process was enhanced. Ribulose-  
412 1,5-bisphosphate carboxylase-oxygenase (rubisco), the first and critical enzyme of the Calvin cycle  
413 (Spreitzer et al., 2002), is only activated when CO<sub>2</sub> concentrations are greater than its *K<sub>m</sub>* for CO<sub>2</sub>  
414 (Cheng et al., 2013). The up-regulation of genes related with CO<sub>2</sub> fixation showed that the  
415 concentration of CO<sub>2</sub> increased in Alg\_Co compared to Alg. O<sub>2</sub> and CO<sub>2</sub> are the key resources  
416 needed for the growth and metabolic activity of *C. necator* and *Chlorella* sp. Therefore, the up-  
417 regulation of genes involved in photosynthesis and carbon fixation implied that *C. necator* and  
418 *Chlorella* sp. build up a mutual partnership to exchange CO<sub>2</sub> and O<sub>2</sub>, which resulted in the  
419 enhancement of phenol removal and the promotion of biomass accumulation.

420 Cell growth depends on protein synthesis by ribosomes, and both reductions in ribosome  
421 assembly and translation are often among the first and most drastic responses when cells experience  
422 environmental stress (Sanchez et al., 2016; Wang et al., 2019; Zhu et al., 2019). The expression  
423 patterns of microalgal ribosome genes in transcriptomic analysis were shown to be sensitive to  
424 environmental stress such as the introduction of phenolic compounds (Qian et al., 2011; Xiang et

425 al., 2018; Duan et al., 2019). Cheng et al. (2019b) reported that *Dictyosphaerium* sp. after exposure  
426 to nonylphenol (4 mg L<sup>-1</sup>) resulted in having genes encode the ribosomal large subunit (*rpl3e*, *rpl7e*,  
427 *rpl14e*) and small subunit (*rps8e*, *rps30e*, *rpsAe*) that were completely inactivated compared to  
428 algae that experienced normal growth patterns. In this study, the detailed enrichment analysis  
429 showed that ribosome pathways were among the most regulated pathways (Fig.7), and 128 unigenes  
430 were up-regulated and only two down-regulated in Alg\_Co compared to Alg (Fig. 10; Table S4).  
431 The results also suggest that *Chlorella* sp. in Alg\_Co is under less environmental stress, which is  
432 consistent with the resulting low concentration of residual phenol in the culture medium (Fig. S3).  
433 Meanwhile, as shown in Fig. S2, a low concentration of phenol (100-400 mg L<sup>-1</sup>) could enhance the  
434 growth of *Chlorella* sp. Duan et al. (2019) reported that low concentration of BPA could enhance  
435 the growth of *Chlorella pyrenoidosa* and the expression of ribosomal genes up-regulated, which are  
436 supported by the results of this study. Low concentration of phenol appear to act as a hermetic  
437 substance to stimulate the growth of *Chlorella* sp..

438

#### 439 **4. Conclusions**

440 In this study, a *Chlorella* sp.-*C. necator* consortium was artificially established for degradation  
441 of phenol at high concentrations. The efficacy of this technique was demonstrated after conducting  
442 experiments to determine optimal operating conditions that included the factors pH, light intensity,  
443 temperature. This consortium produced high phenol degradations rate for initial phenol  
444 concentrations of between 600 and 1200 mg L<sup>-1</sup> under optimal conditions. The CO<sub>2</sub> and O<sub>2</sub> exchange  
445 mechanism between *Chlorella* sp. and *C. necator* were demonstrated observation of the up-  
446 regulation genes involved in photosynthesis and carbon fixation pathways through comparative  
447 transcriptomic analysis. This study suggests that this consortium has great potential for the  
448 bioremediation of phenol contaminants. Further research should be focused on the removal rate for  
449 other contaminants such as phenol derivates and the availability of nitrogen and phosphorous for  
450 the growth of microalgae and bacteria. Optimization and careful control of these factors are essential  
451 for efficient biological treatment the using microalga-bacteria consortia. .

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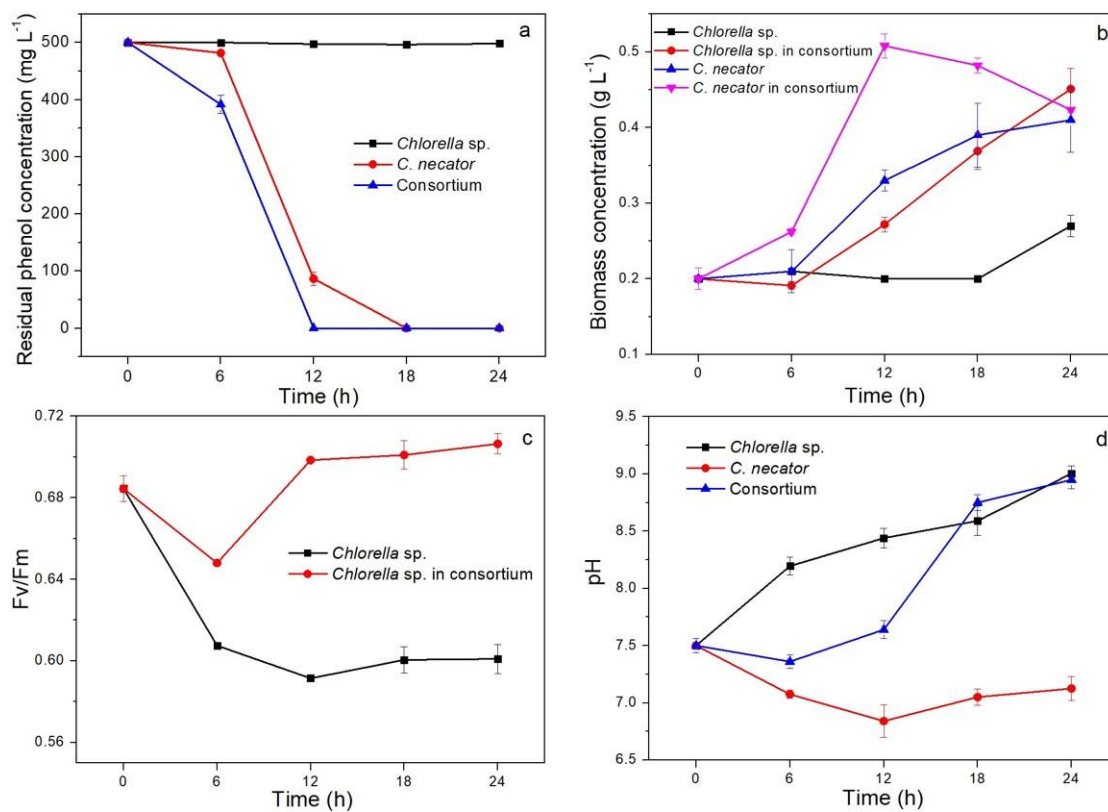
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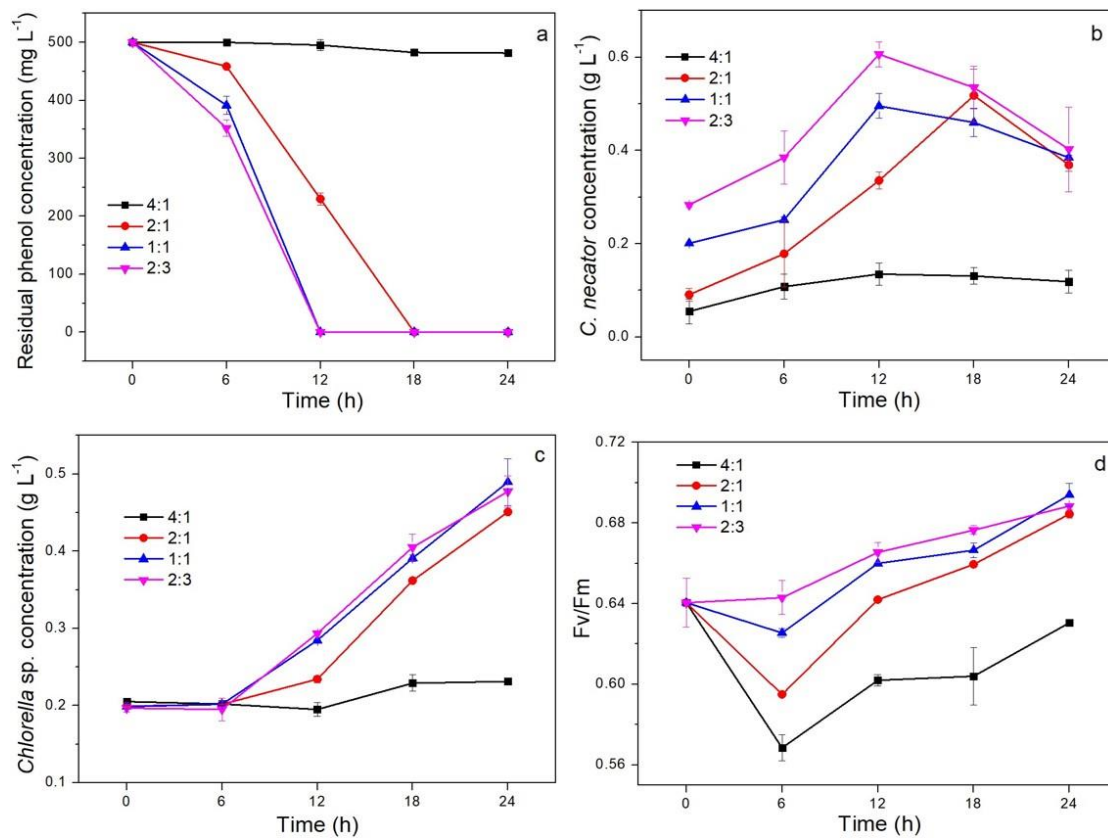
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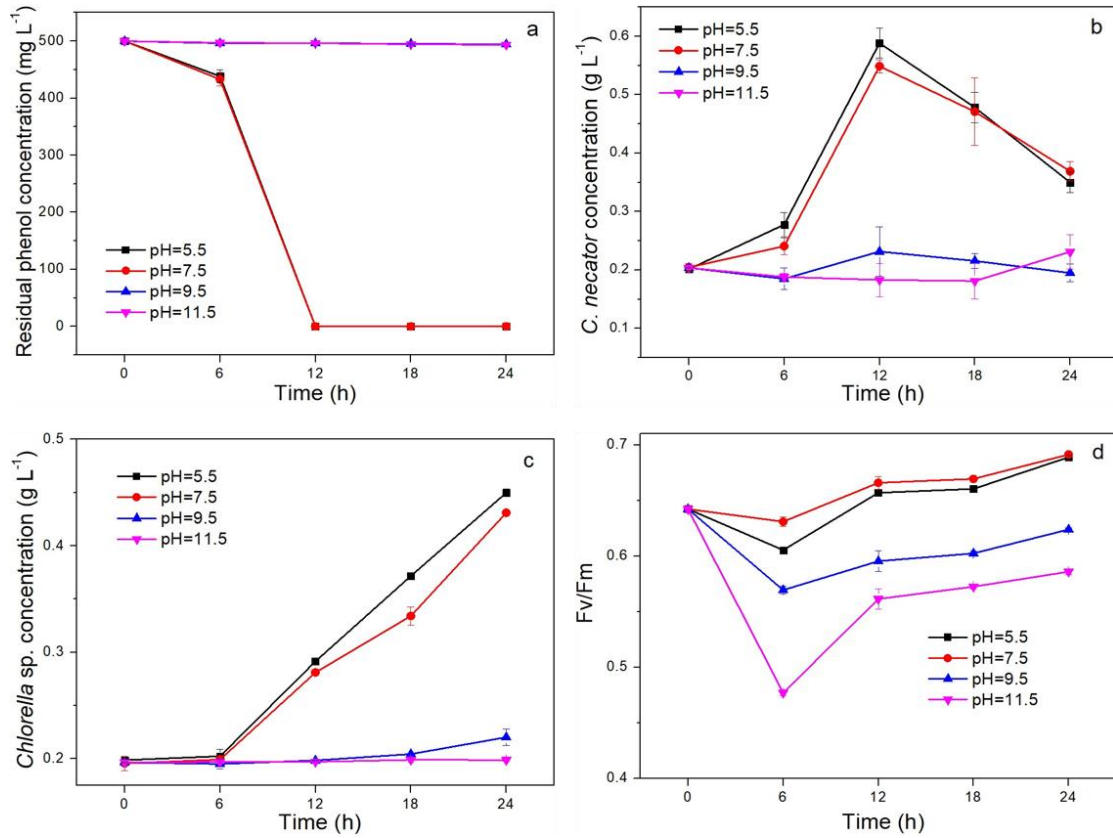
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**Fig. 1.** Comparison of the residual phenol concentration (a), biomass concentration (b), Fv/Fm (c) and pH (d) of *Chlorella sp.*, *C. necator* and their consortium.



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**Fig. 2.** Effect of *Chlorella sp.*/*C. necator* ratio on the residual phenol concentration (a), *C. necator* (b) and *Chlorella sp.* (c) biomass concentration and Fv/Fm (d) of consortium under 25 °C, 110 μmol m<sup>-2</sup> s<sup>-1</sup>, pH 7.5 and 500 mg L<sup>-1</sup> phenol conditions.

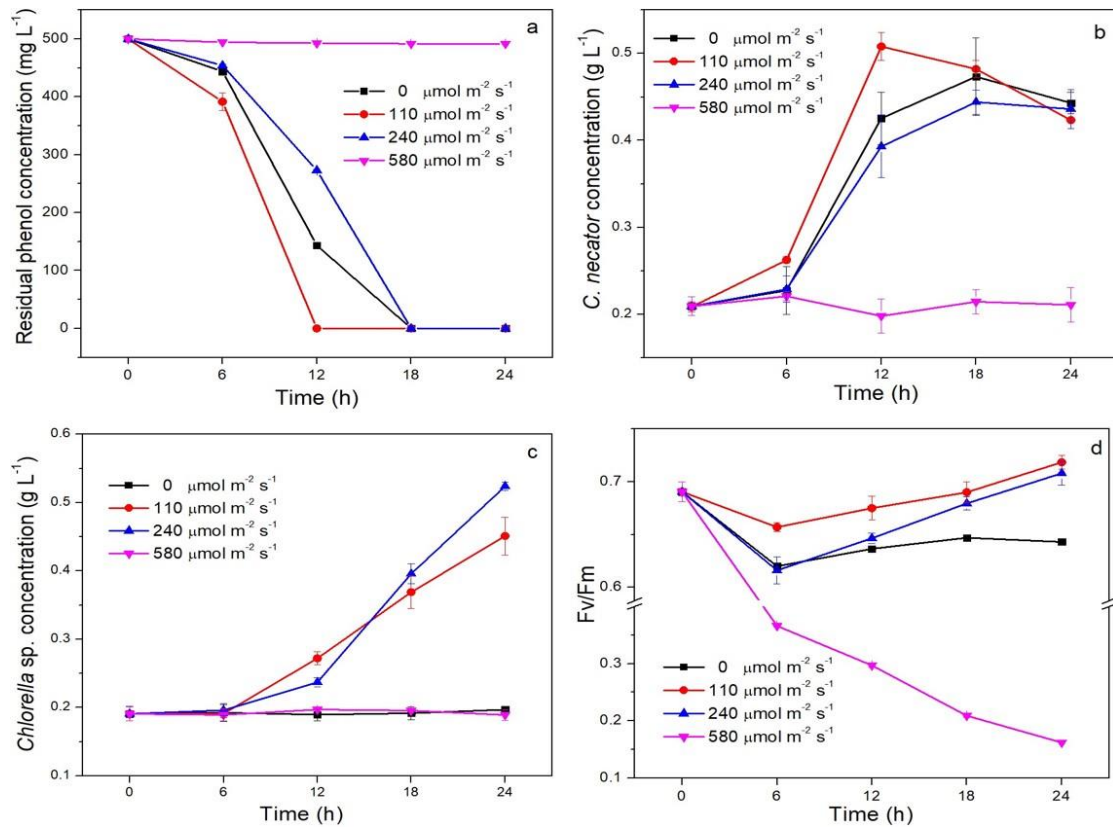


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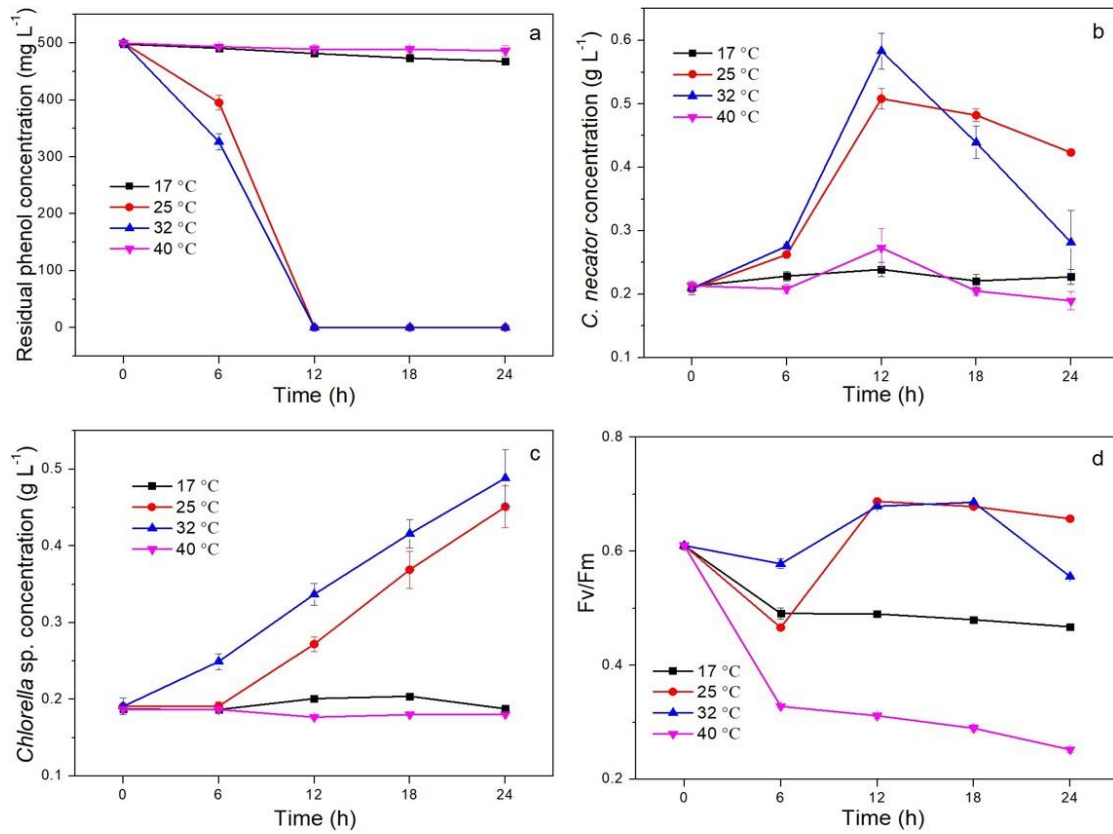
635 **Fig. 3.** Effect of initial pH on the residual phenol concentration (a), *C. necator* (b) and *Chlorella* sp.  
 636 (c) biomass concentration and Fv/Fm (d) of consortium under 0.2 g L<sup>-1</sup> *Chlorella* sp., 0.2 g L<sup>-1</sup> *C.*  
 637 *necator*, 25 °C, 110 μmol m<sup>-2</sup> s<sup>-1</sup> and 500 mg L<sup>-1</sup> phenol conditions.

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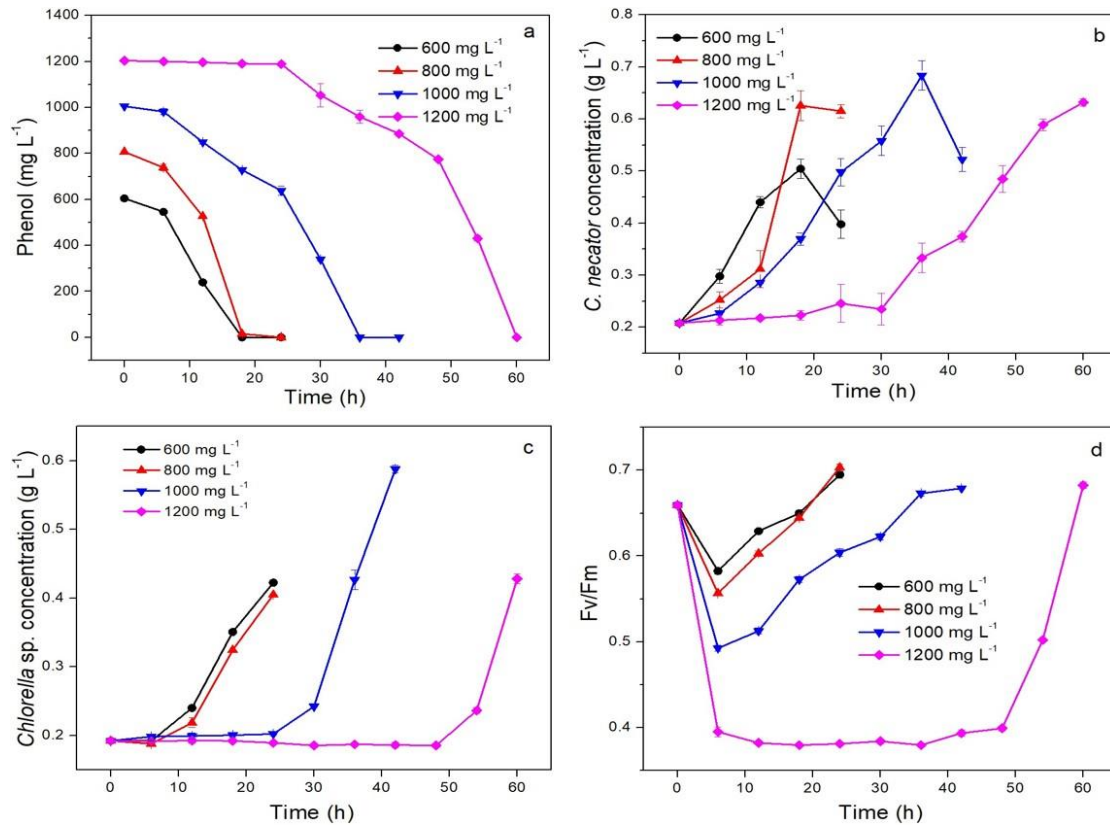


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 641 **Fig. 4.** Effect of light intensity on the residual phenol concentration (a), *C. necator* (b) and *Chlorella*  
 642 sp. (c) biomass concentration and Fv/Fm (d) of consortium under  $0.2 \text{ g L}^{-1}$  *Chlorella* sp.,  $0.2 \text{ g L}^{-1}$   
 643 *C. necator*, pH 7.5,  $25 \text{ }^\circ\text{C}$  and  $500 \text{ mg L}^{-1}$  phenol conditions.



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 645 **Fig. 5.** Effect of temperature on the residual phenol concentration (a), *C. necator* (b) and *Chlorella*

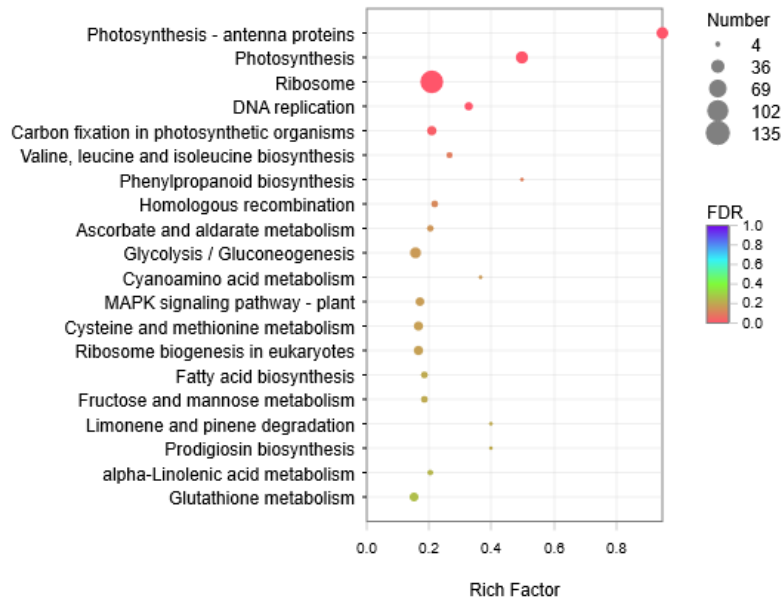
646 sp. (c) biomass concentration and Fv/Fm (d) of consortium under 0.2 g L<sup>-1</sup> *Chlorella* sp., 0.2 g L<sup>-1</sup>  
 647 *C. necator*, pH 7.5, 110 μmol m<sup>-2</sup> s<sup>-1</sup> and 500 mg L<sup>-1</sup> phenol conditions.  
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 651 **Fig. 6.** Effect of phenol concentration on the residual phenol concentration (a), *C. necator* (b) and  
 652 *Chlorella* sp. (c) biomass concentration and Fv/Fm (d) of consortium under 0.2 g L<sup>-1</sup> *Chlorella* sp.,  
 653 0.2 g L<sup>-1</sup> *C. necator*, pH 7.5, 110 μmol m<sup>-2</sup> s<sup>-1</sup> and 25 °C conditions.

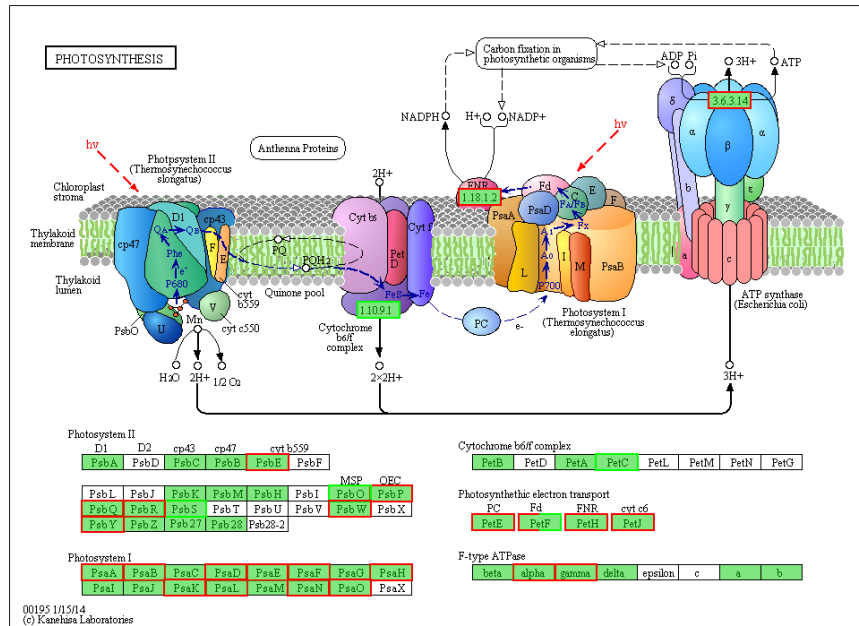
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KEGG enrichment analysis(Alg\_vs\_Alg\_Co\_G)

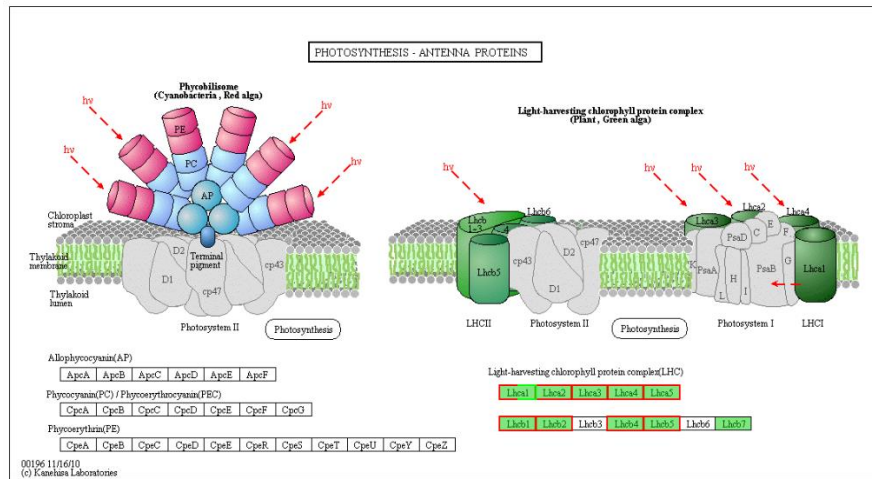


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**Fig. 7.** KEGG pathway enrichment analyses of DEGs. The size of the point indicates the number of DEGs enriched in the pathway, and the redder the color, the more significant the enrichment result is. The different colors represent different FDR, correction of which is performed on the *P*-value.

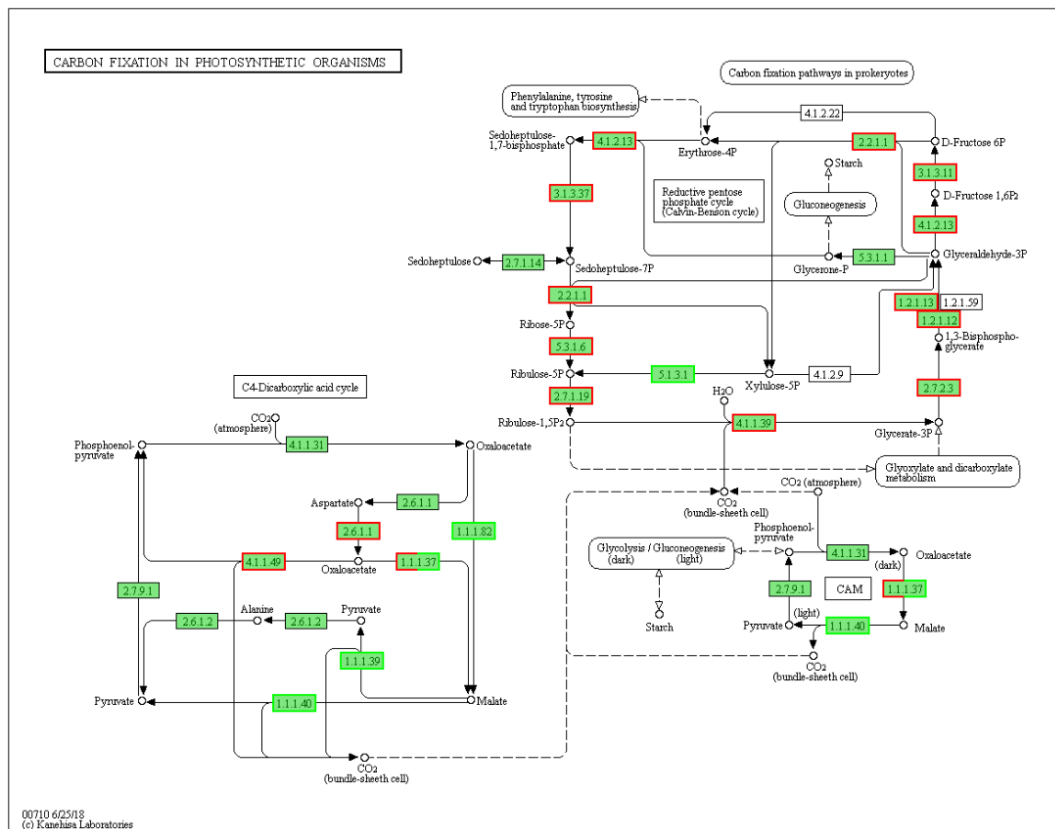


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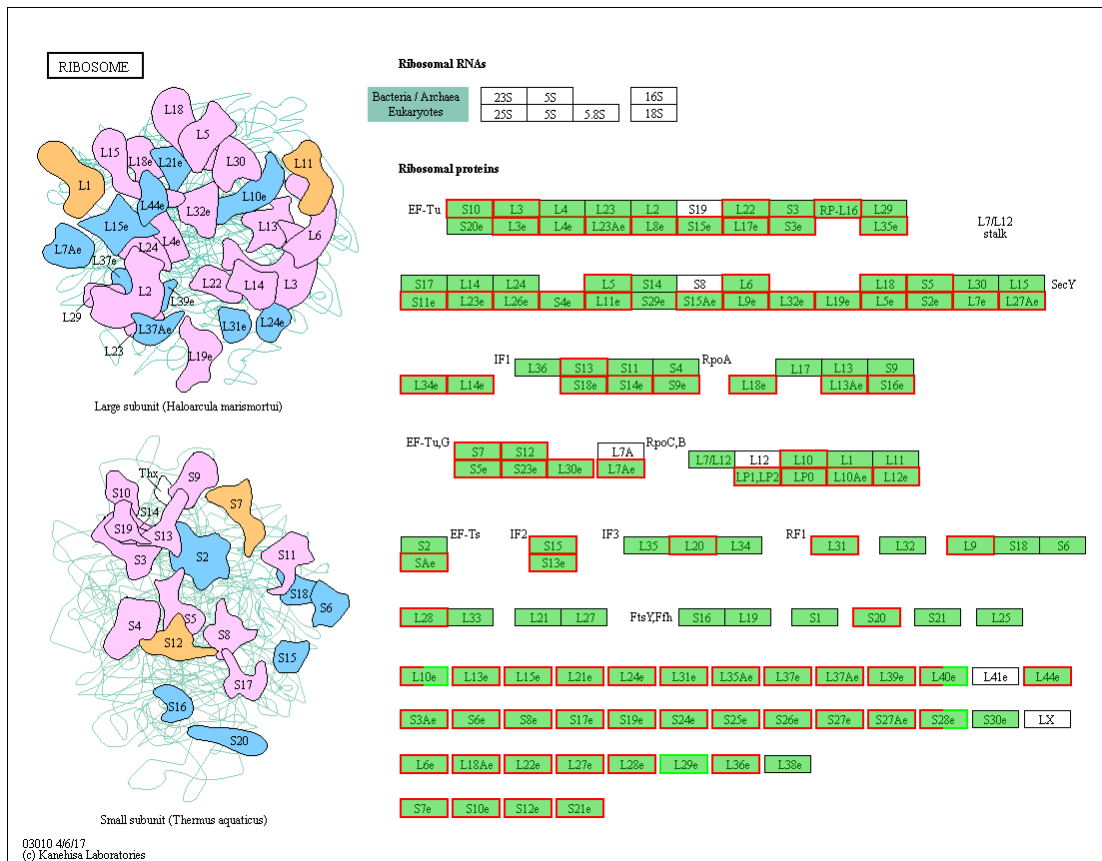
**Fig. 8.** Comparative transcriptomic analysis for the related genes of photosynthesis (a) and photosynthesis antenna protein (b) of *Chlorella* sp. monoculture (Alg) and *Chlorella* sp. -*C. necator* co-culture (Alg\_Co). The red frame suggests up-regulated genes and the blue frame suggests down-regulated genes. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



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**Fig. 9.** Comparative transcriptomic analysis for the related genes of carbon fixation of *Chlorella* sp. monoculture (Alg) and *Chlorella* sp. -*C. necator* co-culture (Alg\_Co). The red frame suggests up-regulated genes and the blue frame suggests down-regulated genes.





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**Fig. 10.** Comparative transcriptomic analysis for the related genes of ribosome biosynthesis of *Chlorella* sp.

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monoculture (Alg) and *Chlorella* sp. -*C. necator* co-culture (Alg\_Co). The red frame suggests up-regulated genes

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and the blue frame suggests down-regulated genes.

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**Table 1.** The culture parameters of different operating conditions\*

Operating conditions	$C_{\text{phenol}}$ (mg L <sup>-1</sup> )	$C_{\text{Chlorella sp.}}$ (g L <sup>-1</sup> )	$C_{\text{C. necator}}$ (g L <sup>-1</sup> )	pH	Light intensity ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )	Temperature (°C)
<i>Chlorella sp./C. necator</i> ratio	500	0.2	0.05, 0.1, 0.2, 0.3	7.5	110	25
pH	500	0.2	0.2	5.5, 7.5, 9.5, 11.5	110	25
Light intensity	500	0.2	0.2	7.5	0, 110, 240, 580	25
Temperature	500	0.2	0.2	7.5	110	17, 25, 32, 40

688 \* $C_{\text{phenol}}$ ,  $C_{\text{Chlorella sp.}}$ , and  $C_{\text{C. necator}}$  are the initial concentrations of phenol, *Chlorella sp.* and *C. necator*, respectively.

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