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### Authors

Jackson, James R  
Pasternack, Gregory B  
Wheaton, Joseph M

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1 Virtual manipulation of topography to test potential pool–riffle maintenance mechanisms

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3 J.R. Jackson<sup>a</sup>, G.B. Pasternack<sup>a\*</sup>, J.M. Wheaton<sup>b</sup>

4

5 <sup>a</sup>Department of Land, Air, and Water Resources, University of California at Davis, Davis,

6 CA 95616-8628, USA

7 <sup>b</sup>Department of Watershed Sciences, Utah State University, Logan, UT 84322-5210,

8 USA

9

10 \*Corresponding author. Tel.: +1 530-754-9243; Fax: +1 530-752-5262;

11 E-mail: [gpast@ucdavis.edu](mailto:gpast@ucdavis.edu).

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16 **Abstract**

17

18 In this study, numerical experimentation with two-dimensional hydraulic modeling of  
19 pool–riffle river topography drawing on the testbed data from the classic Keller (1971)  
20 study was used to investigate the effect of synthetically manipulating topography on the  
21 occurrence and magnitude of velocity and Shields stress reversals in a pool–riffle  
22 sequence. Reversals in velocity and shear stress have been used to explore  
23 mechanisms of pool–riffle maintenance, while Shields stress (a combined measure of  
24 transport capacity and substrate erodibility) is emerging in importance. The original site  
25 topography was modeled alongside six altered ones to evaluate the sensitivity of  
26 hydraulic reversals to subtle morphology — five incrementally wider pools and a filled  
27 pool. The Caamaño (2009) criterion, a simplified geometric threshold for predicting  
28 velocity reversals, was applied to each terrain to evaluate its utility. The original pool–  
29 riffle topography was just over the threshold for a velocity reversal and well over the  
30 threshold for a strong Shields stress reversal. Overall, pool widening caused a  
31 predominantly local response, with change to pool hydraulics and no change in section-  
32 averaged velocity in the riffle beyond the initial widening of 10%. Filling in the pool  
33 significantly increased the magnitude of reversals, whereas expanding it eliminated the  
34 occurrence of a reversal in mean velocity, though the Shields stress reversal persisted  
35 because of strong differentiation in bed material texture. Using Shields stress as a  
36 reversal parameter enabled the quantification of pool modification effects on pool–riffle  
37 resiliency. The Caamaño (2009) criterion accurately predicted reversal occurrence for

38 the altered terrains with exaggerated effects, but failed to predict the weak reversal for  
39 the original topography. Two-dimensional modeling coupled with previously accepted  
40 hydrologic, geomorphic, and engineering analyses is vital in project design and  
41 evaluation prior to construction.

42

43 *Keywords:* velocity reversal; Shields stress; pool–riffle maintenance; fluvial  
44 geomorphology; 2D hydraulic modeling

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## 47 1. Introduction

48 Characterizing mechanistic linkages between fluvial form and process is the  
49 central aim of research in fluvial geomorphology, while sustainably instilling such  
50 linkages in engineering designs remains a grand challenge in river rehabilitation. New  
51 tools are emerging to address these topics using a near-census approach —  
52 comprehensive, spatially explicit observation of the landscape emphasizing the ~ 1-m  
53 scale as the basic building block for characterizing geomorphic processes and  
54 ecological functions. For example, 0.01- to 1.0-m resolution remote sensing imagery  
55 and topographic mapping data sets (Hilldale and Raff, 2008; Marcus and Fonstad,  
56 2008), spatially explicit topographic change detection (Wheaton, 2008; Milan et al.,  
57 2011; Carley et al., 2012), and 1-m resolution two-dimensional (2D) hydrodynamic  
58 modeling (Pasternack et al., 2006; Abu-Aly, 2013) are driving more detailed and  
59 accurate assessments of existing theories as well as the next generation of new ones.  
60 In this study numerical experimentation of pool–riffle channel topography from the  
61 classic Keller (1971) study on velocity reversal was done using 2D hydrodynamic  
62 modeling to investigate the role of subtle landform changes on the occurrence and  
63 magnitude of velocity and Shields stress reversals, with implications for understanding  
64 process–form linkages and using them in river rehabilitation.

65 Pool–riffle sequences are fundamental morphological features in moderate-  
66 gradient alluvial channels (Richards, 1976). Pools are low points in the bed topography  
67 with relatively low water surface slopes and finer bed material. Riffles are high points in  
68 the topography with relatively steep water surface slopes and coarser bed material

69 (Clifford and Richards, 1992). The role of pool–riffle relief in dictating the flow field is  
70 most significant under low flow conditions and becomes comparatively less pronounced  
71 as discharge rises (Cao et al., 2003; Brown and Pasternack, 2008). Meanwhile the  
72 understanding of pool–riffle dynamics has shifted over decades from a focus on relief  
73 (Keller, 1971) to relative wetted width between riffles and pools (MacWilliams et al.,  
74 2006; Sawyer et al., 2010). As an expression of interactive adjustments among  
75 hydraulics, bed scour, and sediment transport and deposition, pool–riffle sequences are  
76 responsible for generating a wide range of unique hydraulic patches that are critical in  
77 sustaining high-quality ecological niches necessary for diverse life history strategies by  
78 aquatic and riparian species (Woodsmith and Hassan, 2005; Moir and Pasternack,  
79 2008; Pasternack and Senter, 2011). Enriching the understanding of pool–riffle  
80 hydrogeomorphic processes is therefore crucial to advancement of river science as well  
81 as rehabilitation and management of alluvial rivers.

82

### 83 *1.1. Velocity reversal concept*

84 Explanations for the maintenance of pool–riffle sequences have been debated for  
85 decades. Many studies rely on the velocity reversal hypothesis by Keller (1971) that  
86 sought to explain the areal sorting of bed material. Based on observations from one  
87 small creek in the Central Valley of California, the hypothesis states that ‘at low flow the  
88 bottom velocity is less in the pool than adjacent riffles’ and that ‘with increasing  
89 discharge the bottom velocity in pools increases faster than in riffles’ (Keller, 1971). At  
90 low flows, fine sediment is winnowed from riffles and deposited in downstream pools. At

91 or near bankfull stages, flow velocity in pools is said to exceed the velocity over riffles.  
92 The shift in location of peak velocity maintains topographic relief of pool–riffle couplets;  
93 high flows scour sediment previously deposited in the pool, flow diverges out of the pool  
94 leading to deposition of larger sediment at the downstream riffle. While Keller’s data  
95 showed that pool velocity increased faster than riffle velocity as discharge increased  
96 within the channel, it did not actually reveal the existence of a reversal in Dry Creek as  
97 no measurements of bankfull and above-bankfull conditions were made.

98 The velocity reversal hypothesis is controversial among the scientific community.  
99 Since its conception, many studies found velocity reversals in other river environments  
100 (Lisle, 1979; Jackson and Beschta, 1982), while others did not (Carling, 1991; Clifford  
101 and Richards, 1992). Uncertainty stems from the various parameters used to describe  
102 this phenomenon (Woodsmith and Hassan, 2005). Keller (1971) recorded near-bed  
103 velocities to support his hypothesis. Other field studies examined mean variables such  
104 as section-averaged velocity and shear stress (Clifford and Richards, 1992) or water  
105 surface gradient (Thompson et al., 1999). MacWilliams et al. (2006) organized past  
106 studies into a table and indicated whether they found a reversal or not. While past  
107 studies have included shear stress in their analyses, none have examined Shields  
108 stress as reversal parameter describing the maintenance of pool–riffle sequences.

109 Research continues to introduce alternative hypotheses for pool–riffle  
110 maintenance and to study more diverse settings. Building on the velocity reversal  
111 hypothesis and moving the focus to rivers whose alluvial landforms are highly forced by  
112 strong local outcrops, Thompson et al. (1999) proposed a model that incorporates flow-

113 width constriction through a forced pool by recirculating eddies. Further field and  
114 laboratory studies examined interactions among discharge metrics, outcrop geometry,  
115 pool geometry, local hydraulics, and local morphodynamics in detail (Thompson and  
116 Hoffman, 2001; Thompson, 2002, 2006). The data collected by Woodsmith and Hassan  
117 (2005) did not indicate a reversal of mean velocity; to explain pool–riffle maintenance,  
118 these researchers suggested a conceptual model that combined mean bed shear stress  
119 and large-scale turbulent force. Similarly, MacVicar et al. (2010) examined forced pool–  
120 riffles and showed a reversal in near-bed velocity in the absence of a cross-sectional  
121 average reversal, pointing to localized turbulent forces. Notably, the ability of local  
122 turbulence to create near-bed hydraulic reversals in forced systems does not preclude  
123 the relevance of bulk hydraulic reversals. In forced settings, the onset of a bulk reversal  
124 could be a conservative estimate of when pool–riffle maintenance is beginning, and  
125 often river project designers seek high certainty of the presence of a key process.

126 MacWilliams et al. (2006) revisited Keller’s field site, Dry Creek, and employed  
127 2D and 3D numerical models to study the pool–riffle hydraulics. Both models predicted  
128 that a subtle velocity reversal took place on the pool–riffle sequence in Dry Creek, with  
129 the peak velocity occurring adjacent to the point bar and not over the deepest part of the  
130 pool by the outer cutbank. MacWilliams et al. (2006) indicated that the effects of lateral  
131 flow convergence resulting from a point-bar constriction and the routing of flow through  
132 the system were more significant in influencing pool–riffle morphology than the  
133 occurrence of a mean velocity reversal. Compatible ideas about the dominant role of  
134 width in pool–riffle maintenance (whether perceived in terms of channel, wetted, or



135 'effective' width) have grown in recent years (Repetto et al., 2002; Cao et al., 2003; Wu  
136 and Yeh, 2005; White et al., 2010).

137 In order to consolidate the findings of emerging research about the role of  
138 channel width on velocity reversals, Caamaño et al. (2009) proposed a highly simplified  
139 one-dimensional unifying criterion in which velocity reversal occurrence is a threshold  
140 function of the ratio of riffle to pool width, residual pool depth, and the depth of flow over  
141 a riffle. While much literature has focused on the existence of a single unifying  
142 hypothesis for the explanation of pool–riffle maintenance, the variability in support of  
143 these different hypotheses reflects the fact that different mechanisms may be at play in  
144 different circumstances, as evident in the citations earlier in this section. Indeed, the  
145 diversity in the literature now shows that no one mechanism governs all cases of pool  
146 and/or riffle maintenance, so studying each mechanism is important. This study  
147 provides new insights regarding hydraulic reversals, which are well established as one  
148 such maintenance mechanism and can be used by river practitioners in designing river  
149 rehabilitation projects (e.g., Wheaton et al., 2004, 2010; Brown et al., 2014).

150

### 151 *1.2. Study objectives*

152 In this study we experimented numerically with pool–riffle channel topography  
153 from the classic Keller (1971) study on velocity reversal using 2D hydraulic modeling to  
154 investigate the role of differences in width constrictions at the head of a pool on the  
155 occurrence and magnitude of velocity and Shields stress reversals, with implications for  
156 understanding process–form linkages and using them in river rehabilitation. The overall

157 goal of this study was to refine the understanding of the role of width in pool–riffle  
158 maintenance by quantifying the flow-dependent sensitivity of reversals in velocity and  
159 Shields stress to systematic variations in wetted width at pools in gravel-bed channels  
160 with the aid of 2D hydrodynamic modeling. Considering only within bankfull flows, Cao  
161 et al. (2003) performed a numerical experimentation with a 2D hydrodynamic model.  
162 They showed that dramatic modifications to channel width could turn a bed shear stress  
163 reversal on or off. The question arises as to how sensitive the reversal mechanism is to  
164 incremental changes in channel geometry. By including overbank flows herein, a more  
165 comprehensive understanding of the system hydraulics was achieved.

166 We again returned to the pool–riffle couplet in Dry Creek near Winters, California  
167 that was mapped and monitored by Keller (1971), revisited by Keller and Florsheim  
168 (1993) in a 1D model study, and modeled in higher dimensions by MacWilliams et al.  
169 (2006). By using Keller’s (1971) original Dry Creek study site as the starting topography  
170 for experimentation, it was possible to make new insights about the original hypothesis  
171 building on the reanalysis of MacWilliams et al. (2006). The use of Shields stress as a  
172 reversal parameter herein helped to describe the transport capacity specific to Dry  
173 Creek and yielded new discoveries about the transport regimes present that were  
174 previously missed for this case. In other settings, previous studies that included shear  
175 stress reversals did not relate the shear stress magnitude to substrate size.  
176 Contextualizing shear stress with river sediment size further strengthens the  
177 understanding of the process and thus the resiliency of the morphological units. In  
178 addition to testing for reversals, the Caamaño criterion was applied to each

179 experimental topography during the analysis of 2D hydraulics to further evaluate the  
180 utility of that tool for use in pool–riffle evaluation and design. The results have significant  
181 implications for river science and management efforts because digital creation and  
182 testing of artificial fluvial terrain prior to project implementation can be used to avoid  
183 costly failures (Wheaton et al., 2004; Elkins et al., 2007; Brown and Pasternack, 2009;  
184 Pasternack and Brown, 2013).

185

## 186 **2. Experimental methods**

187 To assess the effect of pool geometry on velocity and Shields stress reversals  
188 and pool–riffle maintenance we (i) designed seven synthetic river digital elevation  
189 models (DEMs) with different pool-wetted widths and depths, (ii) conducted 2D  
190 modeling of the synthetic designs at five discharges ranging from 0.09 to 3.8 times  
191 bankfull (0.42 to 17.0 m<sup>3</sup>/s), and (iii) extracted and compared performance indicators  
192 related to velocity and Shields stress reversal occurrence and magnitude to determine  
193 the hydraulic mechanism for each experimental terrain and implications for pool–riffle  
194 maintenance.

195 Previous studies have digitally modeled Dry Creek over this same flow range  
196 (Keller and Florsheim, 1993; MacWilliams et al., 2006) to examine pool–riffle hydraulics.  
197 The original DEM created by MacWilliams et al. (2006) and validated using field data  
198 from Keller (1969) was used in this study as the baseline terrain. Even though modeled  
199 flow exceeded the estimated bankfull discharge of the channel, this site is entrenched  
200 enough that the peak flow investigated was still contained within a well-defined channel

201 and did not spread out over a floodplain. Hydraulic models tend to perform better at  
202 higher discharges than lower ones because higher momentum causes velocity vectors  
203 to straighten out; thus, the relation between depth and velocity switches from inverse to  
204 direct (Brown and Pasternack, 2008). Six experimental DEMs were made by manually  
205 altering the topography in the vicinity of the primary pool feature in AutoCAD 2002 Land  
206 Desktop. Cross-section-averaged velocity and Shields stress were the performance  
207 indicators used to evaluate each DEM for the occurrence and magnitude of the  
208 parameter reversals and pool-riffle maintenance.

209

### 210 *2.1. Dry Creek study site*

211 The riffle-pool-riffle sequence in Dry Creek in Winters, California (navigate to  
212  $38^{\circ}31'43.72''$  N.,  $121^{\circ}59'51.43''$  W. using Google Earth) is the classic field site from  
213 Keller's (1971) original velocity reversal hypothesis. Dry Creek is located in the eastern  
214 foothills of the California Coast Range, ~ 30 miles west of Sacramento. The site length  
215 modeled is ~ 135 m long and ~ 20-25 m wide. The upstream riffle is fairly uniform, with  
216 localized topographic highs across the channel at the riffle peak. The channel narrows  
217 upstream of the pool and the thalweg shifts to river right as the channel bends slightly to  
218 river left. The channel widens exiting the pool, two topographic high points exist  
219 downstream of the pool tail on river right. Downstream of the pool, the channel cross  
220 section becomes uniform and continues through the downstream riffle. Keller (1972)  
221 provided grain size distributions for the pool and riffle in Dry Creek; the median diameter  
222 was 10 mm at the pool, 32 mm on the adjacent point bar, and 32 mm at the downstream

223 riffle. Together with morphological controls, this size differential enhances the potential  
224 for different scour regimes for these morphological units. Analyses of the 2D model  
225 results herein focus on cross sections of the pool and downstream riffle, consistent with  
226 past studies.

227

## 228 *2.2. Experimental terrains*

229 The numerical experimentation in this study involved manipulating the DEM of  
230 Dry Creek that MacWilliams et al. (2006) made by digitizing the contour map plate in  
231 Keller (1969). The primary focus of this study involved assessing width expansions to  
232 see when and if the existent flow-dependent reversals might be lost. No further width  
233 constrictions were tested because the original channel was constricted and already  
234 exhibited velocity and Shields stress reversals in the base case, so further width  
235 constriction would simply strengthen that. In contrast, how much wider the channel  
236 needed to be in order for the reversals to disappear was unknown. This is of critical  
237 importance in geomorphology and river engineering because empirical channel design  
238 specifies design width on the basis of bankfull width predicted using regional regression  
239 relations (e.g., Osterkamp et al., 1983; Williams, 1986; Xu, 2004) that have significant  
240 deviations between width at a real site and the regression best-fit value. If the error in  
241 width specification exceeds that to maintain the process of flow convergence routing,  
242 then geomorphic dynamics may cause the as-built landforms to fall apart. By testing  
243 different expansions, it was possible to determine not only the width deviation for the  
244 velocity reversal to disappear but also evaluate the effectiveness of the 1D Caamaño

245 criterion at the same time. In addition, a reference scenario with no pool present at all  
246 was made to investigate the potential for the onset of pool formation as a result of flow-  
247 dependent scour at the pool location had the pool not been there at the outset, but with  
248 the natural constriction at that location. Virtual pool filling has also been tested by Biron  
249 et al. (2012). Thus the totality of the experimental design of this study involved exploring  
250 the range of reversal strengths and the implications of those for pool–riffle maintenance  
251 using six topographic scenarios.

252 The base case used for comparison was the original Dry Creek (DC) DEM. The  
253 first experimental terrain, ‘No Pool’ (NP), consisted of the pool filled in to a minimum  
254 elevation of 26.5 m, corresponding with the downstream riffle thalweg elevation, thereby  
255 erasing the pool from the terrain. For the remaining five experimental terrains, width  
256 expansions of ~ 10, 15, 20, 25, and 30% (correspondingly named WE10, ... WE30)  
257 were applied to the original pool feature by excavating the point bar and adjacent bank  
258 material. The pool width was first expanded by 30 percent where the pool XS station  
259 line intersects the 26.8 m (88 foot) contour, and the remaining designs were created  
260 incrementally. A width change of just 5% was found to be so subtle compared to the  
261 original topography that it was not investigated. A planview comparison of the DC, NP,  
262 WE10, and WE30 terrains is shown in Fig. 1, while the pool cross section for all terrains  
263 is shown in Fig. 2. A summary of the widths and cross-sectional areas associated with  
264 the original pool morphology, no pool, and width-expanded designs is presented in  
265 Table 1. Model results from this range of pool–riffle width and depth ratios were used to  
266 assess the hydraulic mechanism for each terrain, how much the pool feature in Dry

267 Creek must be widened before it no longer exhibited a velocity or Shields stress  
268 reversal, and the capacity of the pool–riffle sequence to self-maintain.

269

### 270 *2.3. 2D FESWMS model*

271 A 2D hydrodynamic model, Finite Element Surface Water Modeling System 3.1.5  
272 (FESWMS), was used to simulate hydrodynamics for the baseline channel and the  
273 alternative terrains. This model was previously validated for use in shallow, gravel-bed  
274 rivers many times (e.g., examples from four different rivers are available in Pasternack  
275 et al., 2006; Brown and Pasternack, 2008; Mainwaring et al., 2009; and Sawyer et al.,  
276 2010). The FESWMS model of Keller’s (1971) Dry Creek site was previously developed,  
277 validated, compared to 1D and 3D models, peer-reviewed, and published by  
278 MacWilliams et al. (2006). This study applies the preexisting model to experimental  
279 terrains in the fashion of previous studies by Cao et al. (2003), Pasternack et al. (2004,  
280 2008), and Pasternack and Brown (2013).

281 Here the essential features of the model are summarized and the reader is  
282 referred to MacWilliams et al. (2006) for complete details. The FESWMS model used a  
283 finite element mesh (hybrid of triangular and quadrilateral elements) with a roughly  
284 uniform node spacing of 0.45 m. The elevation at each node was interpolated from the  
285 triangulated irregular network (TIN) DEM of the site. A constant eddy viscosity value of  
286  $0.027 \text{ m}^2/\text{s}$  was used for all model runs.

287 Earlier studies explained roughness parameterization, but primarily addressed  
288 the decision to not spatially distribute roughness parameter values and did not explain

289 the effect of discharge on roughness. Classic parameterization of channel roughness for  
290 analytical methods and 1D hydraulic models involves consideration of many potential  
291 form roughness contributors that vary with discharge. In contrast, 2D models explicitly  
292 resolve many scales of form roughness, and thus parameterization is required only for  
293 unresolved, sub-grid-scale features (e.g., grains, grain heterogeneity, grain clusters,  
294 potential bank roughness where flow interacts with a steep bank composed of different  
295 material than the bed, and unresolved bed topography for unvegetated alluvial  
296 channels). Notably, because the channel in this study is entrenched, higher flows do not  
297 spill out onto a floodplain at all; they modestly expand onto the point bar and higher up  
298 the steep bank — both of which are composed of the same material as the bed. Our  
299 past experience with 2D modeling of floods in gravel-bed rivers and bedrock canyons  
300 for which extensive water surface elevation data was available to calibrate flow-  
301 dependent Manning's N showed no decrease in Manning's N with increasing discharge  
302 in this setting— the best-performing parameter value can change up or down and no  
303 apparent control or trend is associated with the discharge. This happens because water  
304 surface elevation is an integrated measure of roughness; any local reduction in  
305 Manning's N resulting from the increased relative submergence in the thalweg is offset  
306 by form roughness associated with increasingly inundated topographic features as well  
307 as the addition of new roughness elements along the banks that have extremely low  
308 relative submergence. Abu-Aly et al. (2013) provide strong evidence of this  
309 phenomenon for 2D modeling of vegetated channels. To assess local roughness at the  
310 same scale as needed in the model, one would need detailed velocity observations.



311 Because collecting data during floods is hazardous, the scientific literature is bereft of  
312 cases in which velocity data is used to validate the performance of 2D models during  
313 overbank floods. Lacking high quality, unique calibration of Manning's N for each  
314 overbank flow, this study of a classic data set has to be recognized as in the realm of  
315 scientific exploration and not predictive forecasting with high certainty for the purpose of  
316 critical management decision making, using the uncertainty concepts of Murray (2003).  
317 In a real-world application of the methods and ideas in this study, river rehabilitation  
318 designers and modelers should thoroughly calibrate and validate their study sites using  
319 modern methods (Pasternack, 2011). Thus, in keeping with validated 2D and 3D  
320 hydrodynamic models of the site reported by MacWilliams et al. (2006) for the same  
321 flow range used in this study, a uniform bed roughness Manning's N of 0.041 was used.

322 Keller's original field measurements (1969, 1971) were made at discharges of  
323 0.42, 0.97, and 4.5 m<sup>3</sup>/s, with this last being close to bankfull discharge. The HEC-RAS  
324 model simulations by Keller and Florsheim (1993) were conducted for five steady flow  
325 rates including the three discharges measured by Keller and two larger discharges of  
326 8.5 and 17 m<sup>3</sup>/s. These five steady flows ranging from 0.09 to 3.8 times bankfull were  
327 modeled in FESWMS in this study for all terrains.

328

#### 329 *2.4. Experimental test variables*

330 The comparison of hydraulic mechanisms driving pool-riffle maintenance at the  
331 study site was made using velocity and Shields stress as the test variables. FESWMS  
332 hydraulic predictions include depth and velocity magnitude scalars at each

333 computational mesh node. Using the workflow in Pasternack (2011) and ArcMap 10.1,  
334 velocity magnitude and depth TINs were generated and then converted into rasters. For  
335 each scenario and discharge simulated, cross-sectional velocity profiles at the pool and  
336 downstream riffle were extracted from each TIN at 0.5-m spacing along station lines in  
337 ArcGIS.

338 Different field methods and theoretical approaches to estimating bottom-  
339 boundary shear stress yield significantly different numbers (Whiting and Dietrich, 1991;  
340 Wilcock et al., 1996; Smart, 1999). Traditional approaches that use whole-column  
341 velocity observational data at a point to estimate bottom-boundary shear stress have  
342 been found to match predictions made using point-scale, depth-averaged velocity  
343 outputs from a 2D model (Pasternack et al., 2006). Traditional approaches that estimate  
344 shear stress using velocity measurements right above the riverbed yield numbers  
345 significantly smaller than those using whole-column measurements, even though they  
346 are supposed to be estimating the same point-scale phenomenon. Making  
347 measurements near the bed that can be used to estimate shear stress is also highly  
348 fraught with uncertainty because of the presence of heterogeneous grain clusters and  
349 complex microhydraulics (Buffin-Belanger and Roy, 1998). Pasternack et al. (2006)  
350 found that if one wants to obtain 2D model predictions of shear stress matching values  
351 estimated from near-bed velocity measurements, then one can multiply 2D model shear  
352 stress output by 0.51 (essentially a factor of one-half). MacWilliams et al. (2006)  
353 reported the same scaling factor for converting 2D model shear stress output to match  
354 near-bed shear stress outputs from a 3D model. However, it is unclear if that is really

355 warranted as width-scale spatial patterns of geomorphic change during flows many  
356 times bankfull discharge require width-scale, spatially coherent patches of shear stress,  
357 not just what happens to one grain at the tip of a velocity sensor. For example, Sawyer  
358 et al. (2010) compared the depth-averaged shear stress output from a 2D model to  
359 actual channel change in a gravel/cobble river for a flood of  $\sim 7.6$  times bankfull  
360 discharge. They found that this variable was successful at differentiating coherent  
361 regions of scour and deposition associated with processes such as island deposition,  
362 knickpoint scour, pool scour, and floodplain deposition, but no point-by-point correlation  
363 between shear stress and elevation change existed. Instead, unresolved local factors  
364 controlled point-scale changes. Thus, the depth-averaged shear stress output from a 2D  
365 model ought to be capable of identifying coherent regions susceptible to geomorphic  
366 change associated with flow convergence routing even if it cannot precisely predict the  
367 point-scale shear stress at any location with real-world sub-grid-scale complexity.

368 Shields stress was calculated at station points along the pool and downstream  
369 riffle using the profiles of local depth and velocity extracted from the FESWMS results,  
370 *not* calculated from the section-averaged depth and velocity. This was accomplished by  
371 employing the drag force method (Pasternack et al., 2006):

$$372 \quad C_D = (32.2n^2) / [2.208(H^{1/3})] \quad (1)$$

$$373 \quad \tau_v^b = 1.937C_D V^2 \quad (2)$$

$$374 \quad \text{and } \tau^* = \tau_v^b / [(\gamma_s - \gamma_w)d_{50}] \quad (3)$$

375 where  $C_D$  is the drag coefficient,  $n$  is the Manning's roughness factor,  $H$  is water depth  
376 (m),  $\tau_v^b$  is bed shear stress in the direction of the velocity vector  $V$  ( $m^2/s$ ),  $\tau^*$  is Shields

377 stress,  $\gamma_s$  is sediment specific weight,  $\gamma_w$  is water specific weight, and  $d_{50}$  is median  
378 grain size. Median grain sizes were extracted from the frequency distributions of bed  
379 material at the pool and riffle provided by Keller (1972) and are mentioned in section 2.1  
380 above. Shields stress was used to compare the synthetic designs against the original  
381 with respect to sediment transport capacity and resilience of the pool–riffle couplet  
382 (Pasternack, 2011).

383 Note that if the local grain size at any arbitrary location were to be known to be  
384 higher than the surrounding terrain and roughness was raised there accordingly in Eqs.  
385 (1-3), then it would appear to increase Shields stress. However, if the effect is also  
386 natively instituted into the 2D hydraulic model then it would also lower velocity and  
387 slightly raise depth. Because the velocity effect in Eqs. (1-3) is nonlinear, it might  
388 outweigh the drag coefficient and depth effects, causing a decrease in Shields stress.  
389 The key point is that one cannot easily discern how simple adjustments to boundary  
390 conditions will effect the nonlinear physics, which is why 2D modeling is useful. In this  
391 study we chose to stay as true as possible to past model setups for the site and just  
392 vary grain size in Eq. (3) where we had data from the original field work by Keller  
393 (1972). In modern practice, bed material facies can be mapped for baseline studies and  
394 specified as part of project designs, eliminating the deficiency we faced in our scientific  
395 exploration of an important historical data set.

396 Lisle et al. (2000) defined sediment transport regimes relative to  $\tau^*$  as  $\tau^* < 0.01$   
397 represents no transport;  $0.01 < \tau^* < 0.03$  represents probabilistically intermittent  
398 entrainment;  $0.03 < \tau^* < 0.06$  represents partial transport;  $0.06 < \tau^* < 0.1$  represents full

399 transport of a 'carpet' of sediment  $1-2 \cdot D_{90}$  thick, and  $0.1 < \tau^*$  corresponds with  
400 potentially channel-altering conditions. These threshold delineations have uncertainty  
401 but provide a reasonable basis for characterizing and comparing sediment transport  
402 conditions (Sawyer et al., 2010). Using these bins, sediment transport regimes were  
403 generated at the pool and downstream riffle cross sections over the range of discharges  
404 simulated to examine the relative resiliency of each terrain.

## 406 *2.5. Determination of test outcomes*

### 407 *2.5.1. Reversal testing*

408 A velocity reversal refers to the discharge at which the cross-sectional average  
409 velocity at the pool exceeds the cross-sectional average velocity at the riffle  
410 (MacWilliams et al., 2006). To test for the occurrence and magnitude of a velocity  
411 reversal, at-a-station velocity-versus-discharge curves were generated for each  
412 scenario from the profiles described in section 2.4. Velocity profiles at the pool and  
413 downstream riffle were averaged for each scenario and plotted for the five flows  
414 simulated. The resulting figures show that a reversal was achieved if the average  
415 velocity-versus-discharge curve at the pool cross section surpassed that at the riffle  
416 within the flows simulated. The strength of the reversal was identified by the relative  
417 exceedence of the pool velocities to the riffle velocities in the  $17 \text{ m}^3/\text{s}$  simulation.

418 The evaluation of cross-sectional average Shields stress ( $\tau_{xs}^*$ ) reversal  
419 occurrence and magnitude followed the same method as the velocity reversals. Average  
420 Shields stress-versus-discharge curves at the pool and riffle cross sections were plotted

421 against one another for each design. The occurrence and magnitude were determined  
422 by whether the pool curve exceeded the riffle curve and by how much it dominated in  
423 the 17-m<sup>3</sup>/s simulation.

424

### 425 *2.5.2. Sediment transport regime testing*

426 Past studies in Dry Creek did not include Shields stress analyses of pool–riffle  
427 couplets, so transport regimes were not previously quantified. Understanding the  
428 resiliency of morphological units requires comparison of hydraulics and local substrate.  
429 Sediment data available for Dry Creek was limited; Keller (1971) provided grain size  
430 distributions for the pool and riffle but comprehensive substrate maps of this pool–riffle  
431 couplet do not exist. Shields stresses were therefore only calculated along the cross  
432 sections of the pool and riffle for analysis. These cross-sectional representations of the  
433 pool–riffle couplet were thought sufficient in characterizing the transport capacity of the  
434 site for the purposes of this study. Note the variable of concern for sediment transport  
435 regime testing is Shields stress ( $\tau^*$ ) along the pool and riffle cross section opposed to  
436 cross-sectional mean Shields stress ( $\tau_{xs}^*$ ) used for reversal testing.

437 The statistical distributions of Shields stress bins for  $0.01 < \tau^* < 0.03$  (intermittent  
438 transport),  $0.03 < \tau^* < 0.06$  (partial transport),  $0.06 < \tau^* < 0.1$  (full transport), and  $0.1 <$   
439  $\tau^*$  (channel altering) were used to analyze the relative resilience of the alternate designs  
440 across the range of discharges simulated. By comparing the  $\tau^*$  distributions of each  
441 modified design with the original Dry Creek along with  $\tau_{xs}^*$ , changes in transport  
442 competence and expected resilience were determined. Because the original Dry Creek

443 pool–riffle couplet persisted naturally over the range of flows explored in this study, it  
444 was used as the base for comparison.

445

### 446 2.5.3. Caamaño criterion

447 In order to consolidate the results of previous studies on velocity reversals,  
448 Caamaño et al. (2009) developed a simplified criterion aimed to predict whether a pool–  
449 riffle couplet would exhibit a reversal. Caamaño et al. (2009) corroborated the criterion  
450 with data from previous studies using a simplified version of the model that neglected  
451 expansion and frictional head losses and cross-sectional shape ratio. The resulting  
452 velocity reversal threshold is given by

$$453 \quad (B_r / B_p) - 1 = D_z / h_{Rt} \quad (4)$$

454 where  $B_r$  and  $B_p$  are riffle and pool water surface widths, respectively;  $D_z$  is residual pool  
455 depth; and  $h_{Rt}$  is riffle thalweg depth. These parameters were obtained for each model  
456 herein at 17.0 m<sup>3</sup>/s to apply the criterion to the flow wherein a maximum hydraulic  
457 reversal would exist. If the criterion fails here, it certainly fails at lower discharges.  
458 Consistent with Caamaño, the criterion was applied to the pool and downstream riffle.

459 The accuracy of the Caamaño criterion prediction has been called into question  
460 by MacVicar et al. (2010) for the case of forced pools because it lacks consideration of  
461 local turbulence near the bed. This study provided an opportunity to investigate it on a  
462 larger, subwidth scale basis and where the constricting point bar is alluvial and  
463 incrementally removed. The criterion was tested by first evaluating whether each  
464 scenario actually exhibited a velocity reversal or not. For the real DC terrain, strong field

465 evidence for a velocity reversal as well as supporting evidence for its occurrence based  
466 on 1D, 2D, and 3D models existed (Keller and Florsheim, 1993; MacWilliams et al.,  
467 2006). If the Caamaño criterion predicted the presence of a velocity reversal and it was  
468 not in fact present in the 2D model or vice versa, then the simplifications and  
469 assumptions of the criterion used would turn out to be not reliable, even limiting the  
470 evaluation to just bulk hydraulic phenomena and not considering microscale turbulence  
471 processes affecting individual grains.

472

### 473 **3. Results**

#### 474 *3.1. Hydraulics*

475 A complete comparison of FEWSMS-predicted depths and velocities at the pool  
476 and riffle is shown in Table 2. Depth-averaged velocity maps of the highest and lowest  
477 ( $0.42$  and  $17.0$   $\text{m}^3/\text{s}$ ) discharges illuminate the hydraulic mechanisms behind each  
478 scenario (Figs. 3, 4). The WE15, WE20, and WE25 results were omitted for  
479 conciseness.

480 Topographically filling the pool increased velocities at the pool and riffle for all  
481 flows. For NP at  $0.42$   $\text{m}^3/\text{s}$ , mean velocity at the pool increased by  $0.06$   $\text{m/s}$  (48%). The  
482 vertical constriction in flow imposed by filling the pool was less of a factor at greater  
483 discharges but still amplified flow convergence through the pool; mean velocity was  $0.12$   
484  $\text{m/s}$  (10%) greater than DC at  $17$   $\text{m}^3/\text{s}$ . Mean velocity at the riffle increased by  $0.02$   $\text{m/s}$   
485 (8%) for the low flow of  $0.42$   $\text{m}^3/\text{s}$ . At  $17$   $\text{m}^3/\text{s}$ , no difference in mean riffle velocity  
486 between DC and NP scenarios was predicted.



487 As with the NP scenario, the effects of pool modification on system hydraulics  
488 were mostly localized at the pool for the WE scenarios. Overall, velocities decreased  
489 throughout the site for the first width expansion with the exception of at the riffle. Only  
490 mean pool velocity consistently dropped with subsequent pool expansions. At  $0.42 \text{ m}^3/\text{s}$ ,  
491 no change was seen at the pool for the first two width expansions, but mean velocity  
492 dropped 7% for WE20 and was down 14% for WE30. Mean riffle velocity remained  
493 unchanged for all pool expansions at the low flow. Mean pool velocities continued to  
494 decrease with increasing discharge, but to a lesser degree. The intermediate discharges  
495 simulated yielded interesting results at the riffle. Compared to DC, the mean riffle  
496 velocities for WE20 through WE30 were actually greater at  $0.96$  and  $4.5 \text{ m}^3/\text{s}$ , whereas  
497 WE10 and WE15 were lower than DC. At  $8.5$  and  $17 \text{ m}^3/\text{s}$ , all width expansions yielded  
498 lower riffle velocities.

499

### 500 3.2. Reversal test results

501 At-a-station velocity versus discharge plots showed that the pool–riffle couplet is  
502 naturally at the cusp of having a mean velocity reversal (Fig. 5). Even a 10% increase in  
503 pool width would turn off the mechanism. For the real-world base case, FESWMS  
504 predicted a mean velocity reversal in Dry Creek at a discharge between  $6$  and  $7 \text{ m}^3/\text{s}$  (~  
505 130 and 160% of bankfull). The predicted mean pool velocity in Dry Creek was only  
506 5.6% greater than mean downstream riffle velocity at  $17 \text{ m}^3/\text{s}$ . For the NP case,  
507 FESWMS predicted a velocity reversal at a discharge just below  $3 \text{ m}^3/\text{s}$  (67% of  
508 bankfull). The mean velocity was 12% greater at the pool than the riffle at  $17 \text{ m}^3/\text{s}$ ,

509 which is a significant strengthening of the reversal because of the absence of a pool. No  
510 velocity reversals were predicted for any of the width expansion topographies; mean  
511 velocity at the downstream riffle was always greatest. Mean pool velocity for width  
512 expansions WE10 and WE30 were respectively 12 to 15% less than the riffle velocities  
513 at 17 m<sup>3</sup>/s.

514 In contrast to the mean velocity reversal, the  $\tau_{xs}^*$  reversal showed a dramatically  
515 different flow dependence, with a much lower discharge and greater resilience against  
516 width expansion (Table 3, Fig. 5). Reversals in Shields stress occurred for all terrains  
517 except for NP, in which pool  $\tau_{xs}^*$  was always greater than the downstream riffle,  
518 emphasizing a strong propensity for pool scour and substrate coarsening at greater  
519 discharges.

520 At 0.42 m<sup>3</sup>/s, the WE scenarios had the same  $\tau_{xs}^*$  as DC: 0.003 in the pool and  
521 0.006 at the downstream riffle. A reversal in  $\tau_{xs}^*$  was predicted just below 0.96 m<sup>3</sup>/s for  
522 the DC terrain. At 17 m<sup>3</sup>/s, DC  $\tau_{xs}^*$  in the pool was about 3.5 times higher than in the  
523 riffle. Pool  $\tau_{xs}^*$  dominated at all flows for the NP design; pool  $\tau_{xs}^*$  was slightly greater  
524 than that of the riffle at 0.42 m<sup>3</sup>/s and over four times that of the riffle at 17 m<sup>3</sup>/s. For the  
525 WE10 design, the  $\tau_{xs}^*$  reversal was predicted just above the 0.96 m<sup>3</sup>/s discharge, which  
526 was at a slightly higher stage than for DC. The reversal was predicted to occur at 1.3  
527 m<sup>3</sup>/s for WE30. Pool  $\tau_{xs}^*$  for WE30 was only twice that of the downstream riffle at 17  
528 m<sup>3</sup>/s.

529

530 *3.3. Sediment transport regime analysis*

531 Overall, pool filling caused an increase in  $\tau^*$ , while widening the pool reduced  $\tau^*$ .  
532 Again, the effects were prominent over the pool and less pronounced over the riffle. At  
533  $0.42 \text{ m}^3/\text{s}$ ,  $\tau^*$  statistics were difficult to distinguish (Fig. 6A). Only NP exhibited  $\tau^*$  in the  
534 intermittent transport range (28% of the cross section), and no transport was predicted  
535 for DC and WE scenarios. The fraction of the riffle cross section with  $\tau^*$  in the partial  
536 transport range was 24% for DC, 28% for NP, and 11% for WE scenarios.

537 Results for the intermediate flows clearly reveal changes in the sediment  
538 transport regime caused by pool alteration. At  $4.5 \text{ m}^3/\text{s}$ , 31% of pool  $\tau^*$  values were  
539 above the 0.1 threshold for channel altering conditions for the NP terrain (Fig. 6C). The  
540 fraction of pool with  $\tau^*$  in the full transport range dropped from 60% in DC to 28% in  
541 WE10; it fell to 0% by WE20. The effects of widening were less apparent at the riffle;  
542 21% full transport for DC was reduced to 19% at WE10 and declined to 16% for WE30.

543 At  $17 \text{ m}^3/\text{s}$ , pool  $\tau^*$  dominated for all terrains (Fig. 6E). Dry Creek exhibited 89%  
544 channel-altering values that increased to 100% for NP. Pool widening decreased the  
545 pool fraction of channel altering  $\tau^*$  to 70% for WE10 and 60% for WE30. Full transport  
546 was predicted at 23% of the DC riffle. Full transport on the riffle reduced to 15% for NP,  
547 and the WE terrains ranged from 21% (WE10) to 15% (WE30).

548

#### 549 3.4. *Caamaño criterion*

550 The Caamaño criterion was applied to all scenarios to predict whether any would  
551 experience a velocity reversal (Fig. 7). The criterion correctly predicts a mean velocity  
552 reversal in the NP design but misses the reversal that occurs naturally in the real DC

553 terrain. The absence of a mean velocity reversal in each WE design is correctly  
554 captured by the Caamaño criterion. Because the Caamaño criterion does not account  
555 for sediment, it cannot predict the occurrence of a  $\tau_{xs}^*$  reversal, which is present in all  
556 terrains using the natural bed materials at the site. The inability to incorporate sediment  
557 transport is a significant limitation of the tool.

558

#### 559 **4. Discussion**

##### 560 *4.1. Hydraulics*

561 A key finding in the pool geometry experiments was that the changes to the width  
562 constriction and pool geometry affected the hydraulics in the pool region to a much  
563 greater extent than the riffle region, though it did influence both. For example, filling the  
564 pool increased mean pool velocity by 17% at 8.5 m<sup>3</sup>/s but only increased mean riffle  
565 velocity by 1%. At the same discharge, mean velocity for WE20 was 21% lower than  
566 that for DC at the pool but only 1% lower than that for DC at the riffle.

567 Because flow convergence routing (e.g., MacWilliams et al., 2006) kicks in at  
568 higher discharges, mean velocity on the riffle was only affected at higher discharges for  
569 the WE scenarios. Mean velocities at the riffle were unchanged by pool expansions  
570 during the 0.42 m<sup>3</sup>/s discharge. At the intermediate discharges 0.96 and 4.5 m<sup>3</sup>/s, riffle  
571 hydraulics changed with expansions. The first two expansions (WE10 and WE15)  
572 resulted in lower velocities at the riffle compared to DC, while greater pool expansions  
573 (WE20 through WE30) resulted in slightly higher riffle mean velocities. Mean riffle

574 velocity decreased with the first pool expansion during the 8.5 and 17.0 m<sup>3</sup>/s discharges  
575 but exhibited negligible change with additional expansions.

576 By expanding the pool by just 10% of the original width, the flow convergence  
577 that originally took place at high discharges was significantly reduced. The riffle became  
578 the constricting feature in this sequence after the width constriction was widened by  
579 20% and beyond. The physical extent of the pool expansions did not extend to the riffle  
580 so velocities at the riffle did not change significantly with further expansions once flow  
581 convergence was eliminated at the pool. Nonetheless, expanding the pool reverses the  
582 hydraulic character that was considered to maintain the pool–riffle sequence during high  
583 flow events.

584

#### 585 *4.2. Reversals*

586 The original pool–riffle sequence in Dry Creek is just beyond the fringe of  
587 exhibiting a velocity reversal and is thus sensitive to changes in pool geometry. Filling in  
588 the deepest areas of the pool reduced the cross-sectional area and resulted in higher  
589 pool velocities at all flows and a stronger mean velocity reversal. Because of the  
590 increased flow convergence, the jet of flow exiting the pool in the NP design resulted in  
591 a slight increase in downstream riffle velocities at all discharges simulated. Because the  
592 DC geometry is in a near-critical state, widening the pool by 10% eliminated the  
593 occurrence of a mean velocity reversal. Each additional expansion created a greater  
594 bankfull differential in velocity in favor of riffle scour, a condition that can lead to

595 degradation of the pool–riffle system by the reverse domino mechanism of Pasternack  
596 et al. (2008).

597         The results for mean Shields stress reversal were vastly different than velocity. A  
598 reversal in Shields stress was predicted to take place just below  $0.96 \text{ m}^3/\text{s}$  for the DC  
599 terrain compared to about  $6.5 \text{ m}^3/\text{s}$  for the velocity reversal. Filling the pool led to  
600 greater  $\tau_{xs}^*$  in the pool always, and the strong  $\tau_{xs}^*$  reversal occurring in DC was only  
601 slightly reduced by expanding the pool, not eliminated. Both variables shed light on  
602 hydraulic mechanisms, but this study shows that future research should further pursue  
603 Shields stress.

604         Obtaining substrate data and facies maps comprehensively for long segments of  
605 rivers is challenging, but emerging technologies are resolving that problem for subaerial  
606 terrain (Carbonneau et al., 2006) and may eventually address subaqueous terrain (e.g.,  
607 multibeam SONAR surveys). In the near-term, rapid facies mapping of subaerial and  
608 subaqueous substrate with visual estimation of the percent abundance of discrete size  
609 fractions has been found to capture reach- and morphological-unit-scale relations  
610 between hydraulics and grain size metrics (Buffington and Montgomery, 1999; Jackson  
611 et al., 2013) as well as to be useful for 2D hydraulic modeling and Chinook salmon  
612 (*Onocorhynchus tshawytscha*) spawning microhabitat prediction (Pasternack et al.,  
613 2013). Meanwhile, centimeter-scale digital color imagery of subaerial alluvium can  
614 readily be used to make facies maps, and then size metrics for each facies polygon can  
615 be obtained using emerging algorithms (e.g., Warrick et al., 2009; Buscombe et al.,  
616 2010; Bertoldi et al., 2012; Nelson et al., in press). Ground-based Light Detection and

617 Ranging (LiDAR) can directly resolve the dimensions of individual subaerial grains  
618 (Brasington et al., 2012) and Airborne LiDAR can be used to derive surface roughness  
619 maps of subaerial terrain.

620

#### 621 4.3. Sediment transport regime

622 Comparing scenarios using  $\tau_{xs}^*$  showed little to no change at the riffle after the  
623 initial pool width expansion (WE10). Riffle velocity and  $\tau_{xs}^*$  remained unchanged with  
624 additional expansions. When classified into bins based on sediment transport regimes  
625 of Lisle et al. (2000),  $\tau^*$  showed a trend in its distribution across the riffle. Widening the  
626 pool incrementally shifted the sediment transport regime toward less transport at the  
627 pool and riffle, although changes at the riffle were less pronounced. This effect was  
628 more apparent at higher discharges. At 0.42 and 0.96 m<sup>3</sup>/s, no transport or intermittent  
629 transport was predicted at the pool and riffle (Figs. 6A, B). At 4.5 m<sup>3</sup>/s, the majority of  
630 the pool entered full and partial transport for DC (Fig. 6C). Here, the effect of pool  
631 alterations was revealed through  $\tau^*$  bins. Pool filling raised 31% of the pool cross  
632 section above the channel-altering threshold; while the fraction of pool  $\tau^*$  in full transport  
633 was incrementally reduced in WE10 and WE15, and then eliminated in WE20. This  
634 trend was evident in the channel-altering bins at 8.5 m<sup>3</sup>/s; the fraction of channel-  
635 altering  $\tau^*$  was absent in WE15. Comparing averaged  $\tau^*$  values used for the reversal  
636 analysis does not capture the underlying trends in  $\tau^*$  distributions for the terrains, which  
637 is an important indicator of the importance of a spatially explicit approach to simulating  
638 and assessing hydraulic scour mechanisms.

639 To further understand the sediment transport regimes inferred with the  
640 classification scheme of Lisle et al. (2000), flow frequency and duration is needed.  
641 Historic hydrologic data is not available for Dry Creek, so the significance of the  
642 modeled flows in terms of their ability to change landforms is uncertain. Grain sizes  
643 used in this analysis significantly contributed to the differences in  $\tau^*$  between pool and  
644 riffle. As discharge approaches  $17 \text{ m}^3/\text{s}$ , width undulations have less influence and the  
645 whole channel trends toward full transport. To determine the importance of full transport,  
646 one should know how often  $17 \text{ m}^3/\text{s}$  occurs and for what duration. This is a limitation of  
647 using a historic site; future studies at modern sites could likely answer this question. For  
648 example, Sawyer et al. (2010) found that a flood on a gravel/cobble bed river with  
649 overbank flow for 14 days and an instantaneous peak of 7.7 times bankfull discharge  
650 exhibited a velocity reversal and caused rejuvenation in pool–riffle relief along with other  
651 substantial morphological changes.

652

#### 653 4.4. *Caamaño criterion*

654 One of the secondary objectives of this study was to apply the Caamaño criterion  
655 to each modification and determine its accuracy as a guide to practitioners. Caamaño et  
656 al. (2009) noted that the criterion ‘does not account for the spatial and temporal variation  
657 of local (point) velocity reversal’. Thus, weak, local, or transient reversals may not be  
658 accurately predicted with the simplified one-dimensional criterion. The velocity reversal  
659 predicted for the original topography in Dry Creek was weak and the Caamaño criterion  
660 failed to predict its occurrence. The criterion more accurately predicts reversal



661 occurrence or lack thereof for the altered terrains in which the pool is either filled or  
662 expanded. Filling the pool reduces the residual pool depth relative to the riffle thalweg  
663 and a velocity reversal will more likely occur (Caamaño et al., 2009). The criterion  
664 successfully predicts a reversal for the filled pool. Pool width must be less than riffle  
665 width for a cross-sectional average velocity reversal to occur. Expanding the pool  
666 resulted in widths greater than the riffle at the largest discharge and the resulting  
667 absence of velocity reversals was accurately predicted by the Caamaño criterion. While  
668 attractive for its simplicity, the Caamaño criterion cannot predict near-bed or peak  
669 velocity reversals, which MacVicar et al. (2010) have shown to occur in forced pools in  
670 the absence of an average velocity reversal. This reminds us that pool formation and  
671 maintenance can be attributed to different mechanisms in different settings and that the  
672 criterion may not be applicable in all cases. However, where the channel configuration  
673 matches the assumptions of the method and it does predict a velocity reversal, one will  
674 likely occur and play an important role in pool–riffle maintenance. Because the  
675 Caamaño criterion does not account for sediment, it cannot predict the  $\tau_{xs}^*$  reversals  
676 that took place in all terrains. An opportunity exists to create a new criterion to solve that  
677 problem, but given that 2D models provide a breadth of utility in geomorphic and  
678 ecohydraulic assessment (Pasternack, 2011) as well as river restoration design  
679 (Pasternack et al., 2004; Brown and Pasternack, 2009; Pasternack and Brown, 2013),  
680 getting the best available answer with a spatially explicit representation of  
681 hydrogeomorphic processes makes more sense than accepting risk and liability  
682 associated with a simplified metric. Given typical project construction documents and

683 associated engineering/geomorphic analyses, the effort to make and evaluate 2D  
684 models is actually quite small.

685

## 686 **5. Conclusions**

687 In this study the historic pool–riffle couplet analyzed by Keller (1971) was once  
688 again revisited and used in a systematic experiment to assess the sensitivity of velocity  
689 and Shields stress reversals to incremental topographic changes and to test the  
690 Caamaño criterion to aid practitioners considering using it for engineering design. This  
691 time synthetic terrains were made, not unlike river engineering designs, in which the  
692 constriction at the pool was incrementally removed. For contrast we included one case  
693 in which the pool was filled in. Depth and depth-averaged velocity for each terrain at five  
694 discharges from base flow to overbank flood were predicted with a preexisting, validated  
695 2D model of the site and combined with historic substrate measurements to reveal  
696 hydraulic mechanisms, including velocity and Shields stress reversals. Removing the  
697 width constriction was found to shut down the velocity reversal because it was already  
698 weak in the baseline terrain. However, it did not shutdown the Shields stress reversal  
699 because the difference in bed material grain size between the pool and riffle was too  
700 large. That points to the other important discovery in this study: by accounting for  
701 substrate composition, Shields stress exhibited a different mechanism than velocity,  
702 though obviously related to velocity as given in the governing equation. This study  
703 investigated a simple setting with relatively limited data compared to what modern data  
704 sets are getting, so limited spatial variation is present. Nevertheless, characterizing the

705 sediment transport regime for modern data sets with ranges of Shields stress will be an  
706 effective way to introduce channel bed erodibility into spatially explicit models of scour  
707 potential without having to add full morphodynamics to a model. Finally, the Caamaño  
708 criterion can be used in geomorphic assessment and design only when the velocity  
709 reversal is very strong. The criterion cannot account for peak reversals, which is  
710 mentioned by Caamaño (2009) and remains the main criticism of the unifying one-  
711 dimensional criterion for velocity reversals and pool-riffle maintenance (MacVicar et al.,  
712 2010). Also, the Caamaño criterion cannot account for Shields stress reversals. Explicit  
713 2D modeling of complex landforms has many benefits for channel assessment and  
714 design and is becoming increasingly affordable and simple to implement. Nevertheless,  
715 the Caamaño criterion has already proven useful for preliminary design development  
716 and testing in the early stage of developing terrain alternatives before it makes sense to  
717 do 2D modeling.

718

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726

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## 910 **List of Figures**

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925 pool depth, and  $h_{Rt}$  is riffle thalweg depth.

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Table 1

Summary of pool modification geometry; pool experiment attributes are volume, cross-sectional area, and width for each design; cross-sectional area based on total available up to elevation 27.7 m

Terrain	Pool width		Pool cross section		Volume cut / filled (- / +)
	[m]	% change	[m <sup>2</sup> ]	% change	[m <sup>3</sup> ]
DC	9.3	0.0	14.0	0.0	0.0
NP	9.3	0.0	13.1	-6.5	25.2
WE10	10.2	10.0	15.6	10.9	-25.2
WE15	10.6	15.0	15.9	13.5	-34.3
WE20	11.1	20.0	16.3	15.9	-40.4
WE25	11.6	25.0	17.3	22.9	-76.8
WE30	12.1	30.0	17.2	22.5	-78.1

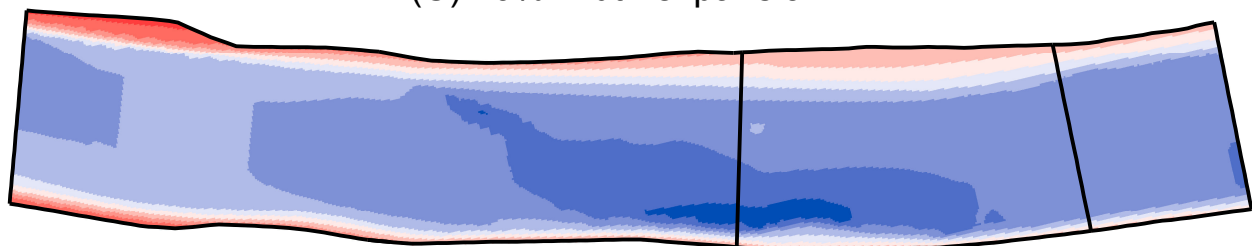
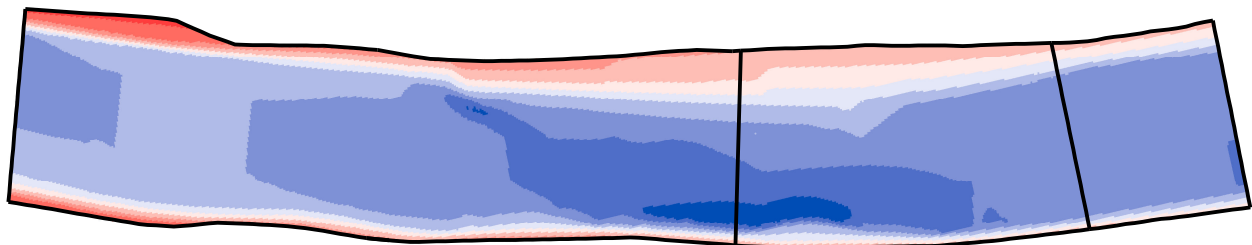
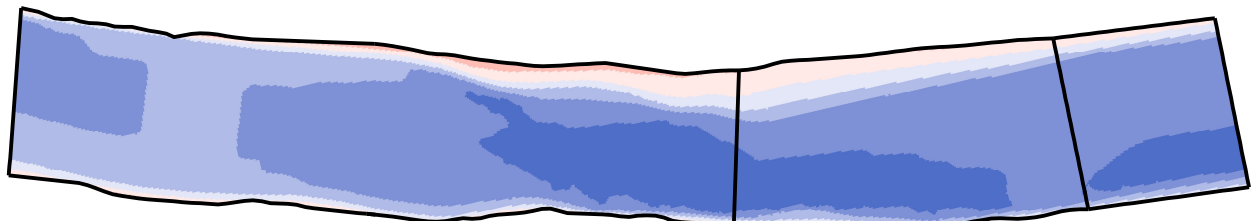
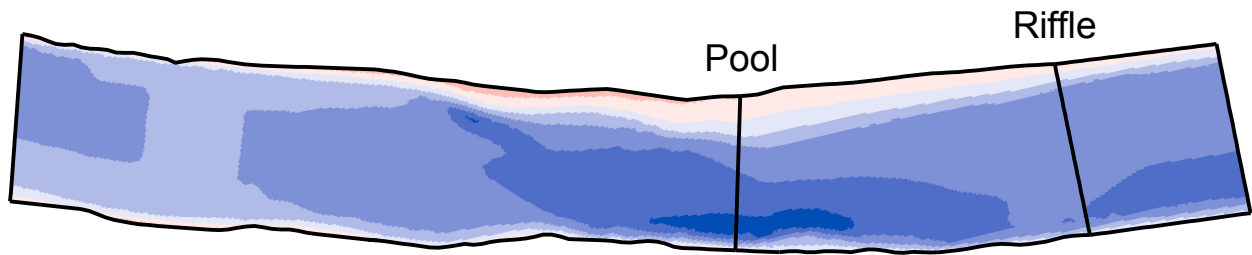
**Table 2**  
**Mean cross section velocity**

Discharge (m <sup>3</sup> /s)	DC		NP		WE10		WE15		WE20		WE25		WE30	
	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle	Velocity (m/s) Pool	Velocity (m/s) Riffle
0.42	0.14	0.28	0.20	0.30	0.14	0.28	0.14	0.28	0.13	0.28	0.12	0.28	0.12	0.28
0.96	0.25	0.40	0.34	0.41	0.24	0.39	0.24	0.39	0.24	0.42	0.23	0.42	0.23	0.42
4.50	0.67	0.71	0.78	0.72	0.60	0.70	0.57	0.69	0.59	0.73	0.57	0.73	0.57	0.73
8.50	0.95	0.90	1.02	0.91	0.79	0.89	0.79	0.89	0.75	0.89	0.72	0.89	0.73	0.89
17.0	1.26	1.19	1.38	1.21	1.01	1.12	1.01	1.12	0.99	1.12	0.97	1.12	0.97	1.12

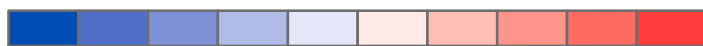
Table 3  
Mean Shields stress ( $\tau^*$ ) at the pool and riffle for each discharge and terrain; pool  $D_{50}$  equals 10 mm and riffle  $D_{50}$  equals 32 mm

Discharge [cms]	DC		NP		WE10		WE15		WE20		WE25		WE30	
	Pool	Riffle	Pool	Riffle	Pool	Riffle	Pool	Riffle	Pool	Riffle	Pool	Riffle	Pool	Riffle
0.42	0.003	0.006	0.008	0.007	0.003	0.006	0.003	0.006	0.003	0.006	0.003	0.006	0.003	0.006
0.96	0.010	0.010	0.019	0.010	0.009	0.009	0.009	0.009	0.009	0.009	0.008	0.010	0.008	0.010
4.50	0.057	0.023	0.080	0.023	0.049	0.023	0.043	0.022	0.046	0.023	0.040	0.023	0.040	0.023
8.50	0.11	0.032	0.13	0.033	0.076	0.032	0.074	0.032	0.068	0.032	0.062	0.032	0.063	0.032
17.0	0.17	0.048	0.21	0.049	0.12	0.045	0.12	0.045	0.11	0.045	0.10	0.045	0.10	0.045





Elevation [m]



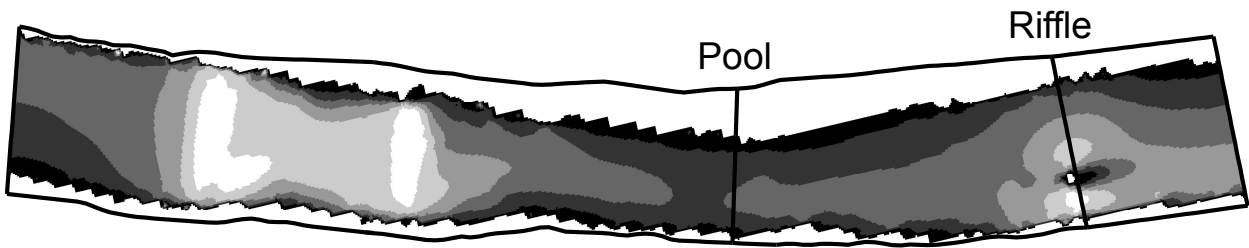
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26.25 - 26.50  
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27.75 - 28.00  
28.00 - 28.25  
28.25 - 28.50



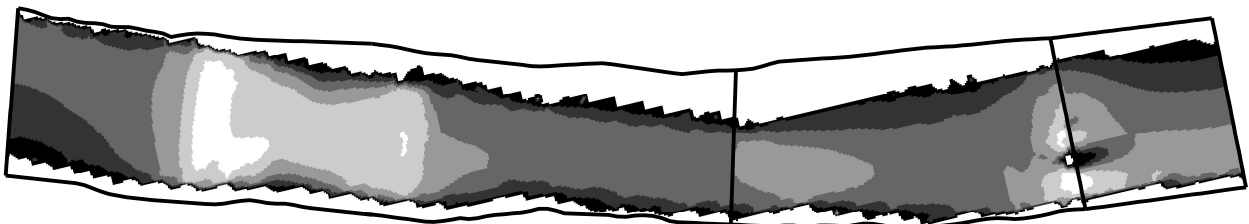
0 5 10 20 m

A horizontal scale bar with markings at 0, 5, 10, and 20 meters.

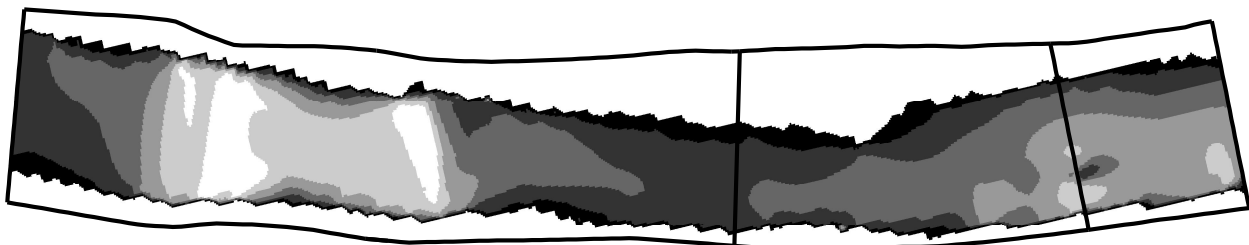




(A) Dry Creek



(B) No pool



(C) 10% width expansion



(D) 30% width expansion

Depth-averaged velocity [m/s]

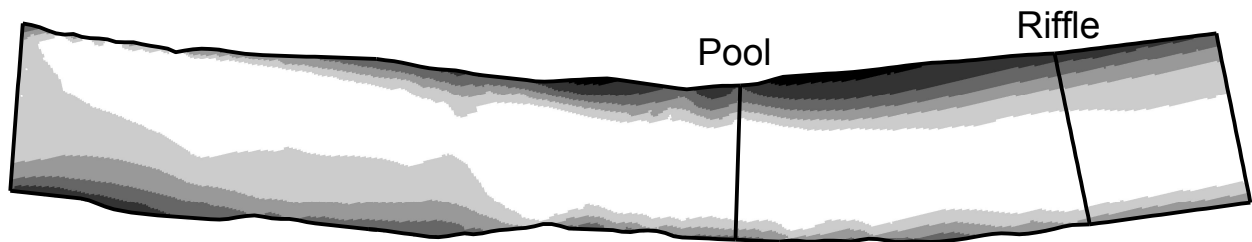


0.00 - 0.10  
0.10 - 0.18  
0.18 - 0.27  
0.27 - 0.36  
0.36 - 0.47  
0.47 - 0.61

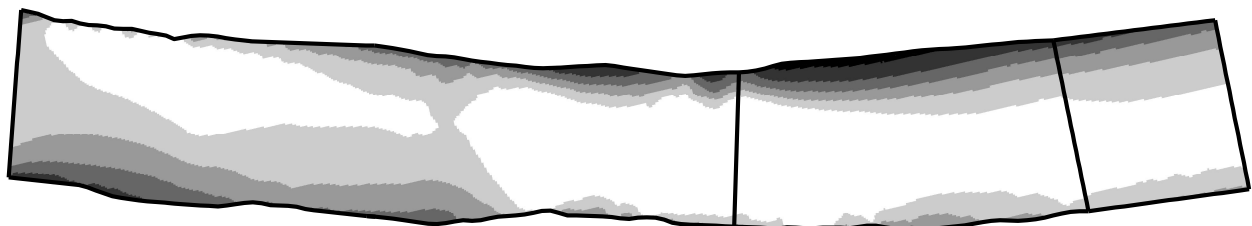


0 5 10 20 m

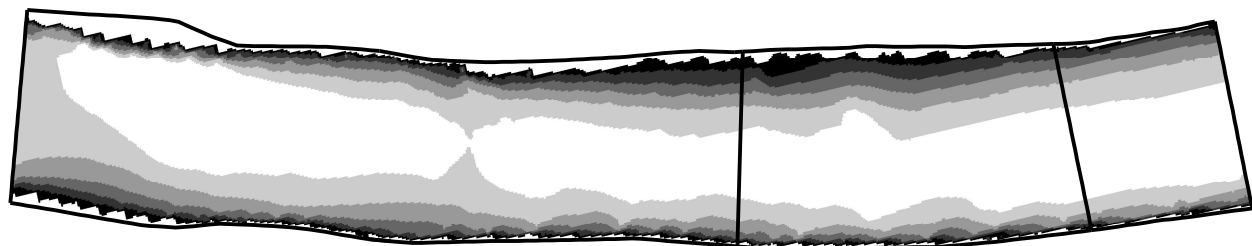
A scale bar showing distances of 0, 5, 10, and 20 meters.



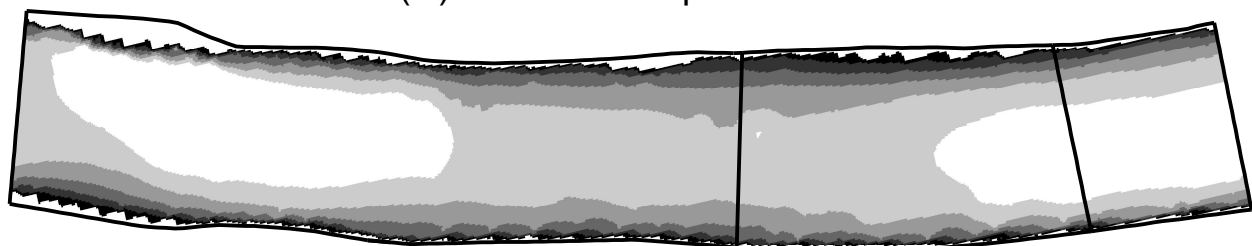
(A) Dry Creek



(B) No pool



(C) 10% width expansion



(D) 30% width expansion

Depth-averaged velocity [m/s]



0.00 - 0.30  
0.30 - 0.61  
0.61 - 0.87  
0.87 - 1.09  
1.09 - 1.26  
1.26 - 1.64



0 5 10 20  
m



