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Physical Mechanisms of Bacterial Killing by Histones

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Abstract Antibiotic resistance is a global epidemic, becoming increasingly pressing due to its rapid spread. There is thus a critical need to develop new therapeutic approaches. In addition to searching for new antibiotics, looking into existing mechanisms of natural host defense may enable researchers to improve existing defense mechanisms, and to develop effective, synthetic drugs guided by natural principles. Histones, primarily known for their role in condensing mammalian DNA, are antimicrobial and share biochemical similarities with antimicrobial peptides (AMPs); however, the mechanism by which histones kill bacteria is largely unknown. Both AMPs and histones are similar in size, cationic, contain a high proportion of hydrophobic amino acids, and possess the ability to form alpha helices. AMPs, which mostly kill bacteria through permeabilization or disruption of the biological membrane, have recently garnered significant attention for playing a key role in host defenses. This chapter outlines the structure and function of histone proteins as they compare to AMPs and provides an overview of their role in innate immune responses, especially regarding the action of specific histones against microorganisms and their potential mechanism of action against microbial pathogens.

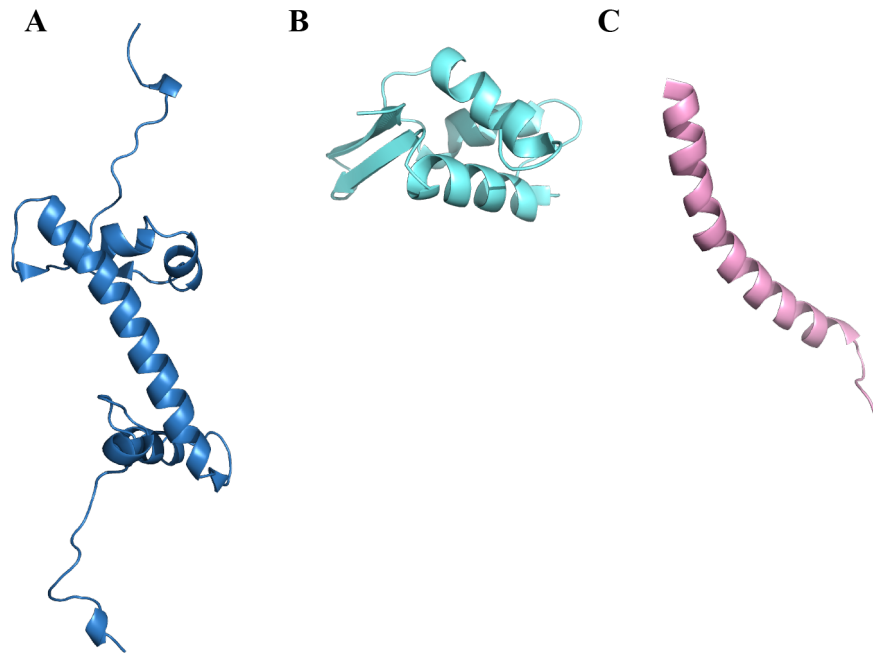
Abbreviations

| | |
|-------|--|
| H1 | Histone H1 |
| H2A | Histone H2A |
| H2B | Histone H2B |
| H3 | Histone H3 |
| H4 | Histone H4 |
| AMP | Antimicrobial Peptide |
| NETs | Neutrophil Extracellular Traps |
| LDs | Lipid Droplets |
| LPS | Lipopolysaccharide |
| LTA | Lipoteichoic acid |
| PAD4 | Peptidyl arginine deiminase, type IV |
| MIC | Minimum inhibitory concentration |
| CRAMP | Cathelin-related antimicrobial peptide |
| NMDA | N-methyl-D-aspartate |

1.1 Introduction

In 1922, Alexander Fleming discovered lysozyme from nasal mucus¹. This was the first human antimicrobial protein to be reported; however, the discovery of penicillin in 1928² overshadowed this finding, and ushered the world into the “Golden Age” of antibiotics. Recently, the rise of antibiotic resistance, combined with the stagnation in discovering new, viable antimicrobial agents, has sparked renewed interest in natural host defenses. The antimicrobial activity of histones was first reported in 1942³ and *in vitro* histone killing of bacteria was further characterized in 1958 using *Escherichia coli*⁴. However, despite originally being proposed to function as antimicrobial agents, the role of histones in condensing eukaryotic DNA became seen as their primary function and little is known about their antimicrobial role and the possible mechanisms by which they kill bacteria. The discovery that histones have a central role in innate immune responses⁵ has renewed interest into understanding their antimicrobial functions.

Eukaryotic organisms possess a cell nucleus and other organelles enclosed within a membrane. Their nuclei contain genetic material, typically encoded in DNA, within a nuclear envelope. Within the nucleus, small, alkaline histone proteins are used to package the DNA into 5 nm nucleosomes that condense chromatin, the chromosomal material in eukaryotic cells that is composed protein, DNA, and a small amount of RNA. The basic structural unit of chromatin is made up of 146 DNA base pairs wrapped roughly 1.5 times around a histone core. This histone core structure is made up of eight histone components: two H2A-H2B dimers and a H3-H4 tetramer⁶. These core histones are highly conserved through evolution, containing the ‘helix turn helix turn helix’ central motif, named the histone fold, and an unstructured amino-terminal tail⁷. The structure of H2A, which is representative of the structure of the four core histones, is shown in Figure 1. Histones contain the positively-charged amino acids lysine and arginine, which facilitate their interactions with negatively-charged DNA. The histones are grouped into two classes: lysine-rich (H1, H2A, H2B) and arginine-rich (H3, H4)⁷. The nucleosome complex which contains the segment of DNA wrapped around the histone core, forms the repeating units of chromatin, facilitates higher order chromatin structure, and is necessary for eukaryotic survival. Histone H1 functions as a linker that binds to 20 base pairs of DNA, forming a chromatosome⁸. The structure of H1, with a long C-terminal tail, a short N-terminal tail, and a central globular domain with a winged helix domain⁹, is shown in Figure 1. Linker DNA from one chromatosome binds to linker DNA from another chromatosome, further condensing the DNA into 30 nm chromatin fiber.



36
 37 **Figure 1. Structure of the core histone H2A, the linker histone H1, and the antimicrobial**
 38 **peptide cathelicidin LL-37.** (A) The core histone H2A contains a ‘helix turn helix turn helix’
 39 central motif, named the histone fold, and an unstructured amino-terminal tail (PDB ID: 1AOI)⁶.
 40 (B) The linker histone H1 contains a long C-terminal tail, a short N-terminal tail, and a central
 41 globular domain with a winged helix domain (PDB ID: 1GHC)¹⁰. (C) The antimicrobial peptide
 42 cathelicidin LL-37 is a linear peptide folded into an amphipathic α -helix (PDB ID: 2K6O)¹¹.
 43

44 1.2 Innate Immune Responses for Combating Bacterial Infections

45
 46 When histones were believed to be solely in the nucleus, it was hard to imagine how they
 47 might play an antimicrobial role. More recently, the observation of histones localizing outside of
 48 the cell nucleus, across multiple species, allows one to speculate that they may have roles in
 49 addition to chromosome condensation^{5,12}. For instance, histones are observed inside cytoplasmic
 50 granules in human neutrophils¹³. Further, they are likely functional: H2A and H2B purified from
 51 the fetal membranes of the human placenta show dose-dependent inhibition of LPS endotoxin
 52 activity, by binding the core and lipid A portions of LPS¹⁴. These histones are secreted from
 53 placenta epithelial cells into the amniotic fluid, contributing to fetal host defenses. Finally, in the
 54 Asian toad *Bufo gargarizans*, H2A is synthesized in excess of the amount required for DNA
 55 packaging, and unacetylated H2A accumulates within cytoplasmic secretory granules¹⁵. Histones
 56 are also reported to localize to the plasma membrane, possibly for both signaling and targeted
 57 release¹⁶.

58 Neutrophil extracellular traps (NETs)⁵ are one of the best explored examples of histones
 59 playing a central role in combating bacterial infections. Neutrophils are the immune system’s
 60 first line of defense against bacterial infections, and their prototypical function involves
 61 engulfing bacteria and other pathogens. The engulfed pathogens are subsequently killed through
 62 the fusion of the phagosome with antimicrobial, cytoplasmic granules. These granules contain an
 63 array of components that kill bacteria, including myeloperoxidase, defensins, lysozyme,
 64 proteinases (cathepsin G, elastase, and proteinase 3), bactericidal/permeability-increasing protein

65 (BPI), NADPH oxidase, cathelicidin LL-37, lactoferrin¹⁷, and of course, the above-mentioned
66 histones¹³. However, neutrophils also have a less canonical, alternate killing pathway. The
67 presence of virulent microorganisms¹⁸, such as *Pseudomonas aeruginosa*^{19,20}, *Escherichia*
68 *coli*^{21,22}, and *Staphylococcus aureus*²³, stimulates a neutrophil immune response known as
69 NETosis⁵. During this process, histones are citrullinated by peptidylarginine deiminase 4
70 (PAD4), an enzyme essential for chromatin decondensation²⁴. This enzyme converts arginine
71 residues, which are charged, into neutral citrulline residues, resulting in a more open chromatin
72 structure. The result is the formation of NETs, which are fibrous networks that contain cation-
73 chelating mitochondrial and nuclear DNA and antimicrobial granular proteins^{5,25-30}. NETs kill
74 and suppress the proliferation of microorganisms, though the mechanism of NET-mediated
75 killing remains poorly understood²⁷. PAD4 knockout mice have increased susceptibility to
76 bacterial infection due to an inability to form NETs; however, these neutrophils retain the ability
77 to kill bacteria in other ways and mice exposed to septic conditions had comparable survival to
78 wild-type mice^{31,32}.

79 Histones constitute a large fraction of the proteins in NETs⁵. However, initially the role of
80 histones in NETs was unclear, as histones might simply be remnant features of the neutrophils.
81 Nonetheless, the co-localization of histones in the NET scaffold, including with the human
82 antimicrobial peptide cathelicidin LL-37^{33,34} and HNP alpha-defensins³⁵, suggest that histones
83 could have a role as an antimicrobial agent here^{36,37}. Importantly, antibodies against H2A and
84 H2B eliminate NET-mediated killing of bacteria⁵. Furthermore, purified Histone H2A kill *S.*
85 *flexneri*, *S. typhimurium*, and *S. aureus* bacterial cultures in 30 minutes with concentrations as
86 low as 2 µg/mL⁵. Combined, these findings suggest that histones likely to play an important anti-
87 bacterial role.

88

89 **1.3 Possible Side Effects of Histones and How to Modulate Them**

90

91 The role of histone citrullination in NET antimicrobial activity is unclear. Antibacterial
92 activity in antimicrobial peptides (AMPs) correlates with increasing arginine content³⁸, either by
93 increasing permeabilization or improving translocation, depending on the mechanism of the
94 AMP. PAD4-mediated citrullination of histones, which decreases the arginine content, may
95 decrease the antimicrobial potency of histones³¹. PAD4 is tightly regulated, possibly to minimize
96 the toxic effects of free histones in the host and to maximize antimicrobial activity, by
97 controlling the potency of the histones within NETs³⁹. Given that NETs induce inflammation and
98 are damaging to surrounding host tissue, there is a delicate balance that needs to be met in order
99 to fight pathogen microbes without inducing high levels of damage to the host⁴⁰.

100 Extracellular histone release, which can elicit toxic effects on pathogenic bacteria, can have
101 negative side effects. Due to their ability to interact with biological membranes, extracellular
102 histones can act as proinflammatory signals, triggering inflammatory responses and injury in the
103 host. In contrast, AMPs are not known to serve as proinflammatory signals for the host. The
104 presence of extracellular histones elicits the production of antibodies against histones and
105 contributes to autoimmune and inflammatory responses in patients with systemic lupus
106 erythematosus, neuropsychiatric lupus, and lupus nephritis⁴¹. Histones have a pro-inflammatory
107 role in several diseases, including sepsis, trauma, thrombosis, stroke, atherogenesis, and systemic
108 lupus. Histones are suspected to be mediators of mortality in sepsis, contributing to endothelial
109 dysfunction, organ failure, and death during sepsis⁴². Extracellular histones are elevated
110 following traumatic tissue injury and the ongoing rise of histone levels are predictive of

111 mortality, suggesting the role of histones in the sterile inflammatory response following trauma
112 may parallel the role of histones in sepsis⁴³. Elevated levels of circulating extracellular histones
113 in trauma-associated lung injuries are associated with endothelial damage and coagulation
114 activation⁴⁴.

115 Extracellular histones contribute as a damage-associated molecular pattern (DAMP),
116 inducing cytotoxicity and pro-inflammatory signaling through toll-like receptor (TLR) TLR2 and
117 TLR4⁴⁵. Extracellular histones promote thrombin generation, which triggers thrombosis⁴⁶.
118 Histones bind to platelets, inducing calcium influx and platelet aggregation causing
119 thrombocytopenia in mice within minutes⁴⁷. Histones promote chemotaxis of human
120 polymorphonuclear leukocytes, suggesting histones may modulate leukocyte activation⁴⁸.
121 Inflammation frequently causes cellular death, leading to the release of cellular components,
122 such as chromatin components, potentially exacerbating the toxic effects of histones by causing
123 the release of additional histones.

124 NETs and concentrations of H2A higher than 50 µg/mL induce the death of endothelial and
125 lung epithelial cells⁴⁹. While digestion of extracellular DNA decreases the ability of NETs to kill
126 bacteria, DNA digestion does not have any effect on mediating cytotoxicity on epithelial and
127 endothelial cells⁴⁹. Thus, the controlled storage and release of histones upon bacterial infection
128 appears critical. It is plausible that citrullination of histones decreases histone potency in NETs
129 and provides a mechanism that balances antimicrobial activity and toxicity to the host.

130 In addition to playing an essential role in NET-mediated killing of microbes, histones have
131 been shown to localize to cytoplasmic lipid droplets. Lipid droplets are lipid-rich organelles,
132 found in all eukaryotic organisms, which dynamically regulate the storage and breakdown of
133 lipids. Originally thought to serve solely as fat reservoirs, proteomic analyses have uncovered the
134 presence various proteins, including histones⁵⁰. In early *Drosophila melanogaster* embryos,
135 excess H2A, H2B, and H2Av histones, a variant of H2A, are recruited and bound to lipid
136 droplets, perhaps as a means of temporary storage to avoid toxic effects introduced by free
137 histones⁵¹. In the presence of bacterial lipopolysaccharide (LPS) or lipoteichoic acid (LTA),
138 these lipid droplet-bound histones are released from the lipid droplets and kill bacteria *in vivo*¹².
139 Histones bound to lipid droplets protect cells against bacteria without causing any of the harm
140 normally associated with the presence of free histones. Purified *Drosophila* embryos lacking
141 lipid droplet-bound histones also showed decreased survival when assaulted with bacterial
142 species¹².

143

144 **1.4 Biochemical Properties of Antimicrobial Peptides (AMPs)**

145

146 Histones possess antimicrobial activity and play a critical role in the innate immune system.
147 Histones share many biochemical similarities with AMPs, as summarized in Table 1. Like
148 AMPs, histones are cationic, contain a high proportion of hydrophobic amino acids, and possess
149 the ability to form alpha helices^{52,53}. AMPs and individual histone proteins are comparable in
150 size, averaging 18 kDa and 14 kDa respectively^{54,55}. Additionally, both are present in NETs^{35,37}.
151 Here, we review the biochemical properties of AMPs and insights into their antimicrobial
152 activity. However, unlike AMPs, far less is known about the antimicrobial and biochemical
153 properties of histones.

154 In the first line of defense against pathogenic microbes, surface epithelial cells have a crucial
155 role in mediating the host's innate immune response by secreting AMPs⁵⁶⁻⁵⁸. In addition to
156 surface epithelial cells, these peptides are secreted by submucosal glands^{59,60} and neutrophils^{61,62}.

157 AMPs are widely evolutionarily conserved and are found throughout all classes of life, including
158 bacteria⁶³, plants⁶⁴, fungi⁶⁵, insects⁶⁶, aquatic species⁶⁷, birds⁶⁸, and mammals^{69–71}. AMPs exhibit
159 activity against several classes of microorganisms, including bacteria^{69,72–74}, fungi^{65,75,76},
160 viruses^{77–79}, protozoa⁸⁰, and cancerous cells⁸¹. In addition to their role as antimicrobial agents,
161 AMPs direct multiple cellular processes in immune defense including cytokine release,
162 chemotaxis, antigen presentation, angiogenesis, and wound healing⁸². These peptides have been
163 proposed as alternative therapeutics due to their rapid-killing, high potency, and broad-spectrum
164 of activity⁵³.

165 AMPs tend to be small, typically less than 100 amino acids^{83,84}. AMPs are classified into
166 broad groups based on secondary structure, including α -helical, β -sheet, loop, or extended⁸⁵. An
167 example structure of an α -helical AMP, cathelicidin LL-37, is shown in Figure 1. Most of these
168 small peptides are cationic at physiological pH, stemming from the high proportion of the
169 positively-charged amino acids arginine and lysine^{86,87}. Despite arginine and lysine having
170 identical charges, arginine occurs more frequently in AMPs, indicating that guanidinium groups
171 may be more beneficial for AMP activity than amine groups⁸⁸. This may be attributed to the
172 ability of arginine to form multiple electrostatic interactions and hydrogen bonds with lipid heads
173 in the membrane, which may cause membrane deformation. AMP sequences also contain a high
174 proportion of hydrophobic residues, lending to an amphipathic structure. Both the cationic and
175 amphipathic characteristics of these AMPs allows for interactions with the anionic lipid bilayers
176 of bacteria. Many AMPs are unstructured in free solution and fold upon insertion into a
177 biological membrane⁸⁹. The ability to associate with biological membranes is a defining feature
178 of AMPs^{89,90}.

179 The mechanism of antimicrobial action for many AMPs involves permeabilization or
180 disruption of the microbial membrane; however, many AMPs also target DNA and protein
181 synthesis, disrupt protein folding, or inhibit cell wall synthesis^{91–93}. A proposed global
182 mechanism of action for this class of peptides is the Shai-Matsuzaki-Huang model of
183 spontaneous translocation^{94–97}. The cationic and amphipathic properties of AMPs enable their
184 binding to the surface of the bacterial membrane and inserting themselves, breaking lipid chain
185 interactions and displacing cations that stabilize the membrane, such as Mg^{2+} . This alters
186 membrane structure, causing membrane thinning and increasing membrane destabilization, in
187 addition to increasing surface tension. At AMP concentrations above a threshold, the high level
188 of surface tension causes permeabilization of the membrane by the formation of transient pores.
189 This action enables additional peptides to enter the interior of the cell. If the AMP concentration
190 is below that which will cause the membrane to fully collapse, overall membrane integrity is
191 preserved. Virtually all AMPs, apart from insect apidaecin-type peptides, have high membrane
192 affinity and induce a certain level of membrane perturbation⁹⁸. An alternate mechanism for
193 proline-rich groups of AMPs is to exploit the inner membrane protein SbmA to penetrate *E.*
194 *coli*⁹⁹.

195 Despite similarities in the cationic and amphipathic nature across AMPs, there is prominent
196 sequence diversity, allowing for some AMPs to interact with intracellular targets or affect key
197 cellular processes, either in addition to, or instead of, membrane permeabilization. Because of
198 their strong positive charge, most AMPs permeabilize the membrane at concentrations above the
199 minimum inhibitory concentration (MIC) *in vitro*, indicating that membrane permeabilization is
200 a secondary effect of most AMPs^{100,101}. Peptide concentrations well above the MIC or high
201 peptide:lipid ratios can falsely indicate a membrane lytic mechanism and mask true intracellular
202 effects. For instance, pleurocidin-derived AMPs inhibit RNA and protein synthesis at the MIC

203 without affecting membrane integrity; however, at ten times the MIC, cells depolarize and
 204 membranes are disrupted¹⁰⁰. Under conditions that support bacterial killing, human neutrophil
 205 peptide defensin [HNP]-1 penetrates the outer and inner membranes of *E. coli*¹⁰². Upon
 206 penetration, bacterial synthesis of DNA, RNA, and protein stops. Inhibition of cytokinesis has
 207 been seen with the alpha helical peptide cathelin-related AMP (CRAMP), the mouse ortholog of
 208 cathelicidin LL-37. CRAMP impairs *Salmonella typhimurium* cell division *in vitro* and in
 209 macrophage-phagocytized bacteria, resulting in long, filamentous structures¹⁰³.

210 Mechanisms of cell death via AMPs can be elucidated by measuring the delay between cell
 211 death, measured by an inhibition of colony formation, and membrane permeability changes.
 212 AMPs that have a lytic mechanism of action have these two events occur rapidly and
 213 concurrently, whereas non-lytic mechanisms of cell death are characterized by a delay between
 214 cell death and changes in membrane permeability. Increases in permeability as a secondary effect
 215 after bacterial death has been observed with some classic antibiotics, including ceftazidime,
 216 ciprofloxacin, and gentamicin^{104,105}. Various intracellular AMP mechanisms of action have been
 217 studied, but the degree to which membrane permeabilization or intracellular mechanisms have a
 218 role in cell death are often not investigated. Given the negative charge of DNA and RNA, it is
 219 not surprising that positively-charged AMPs bind to nucleic acid polymers *in vitro*.

220 The similar biochemical properties between AMPs, such as cathelicidin LL-37, and histone
 221 proteins have led to the conclusion that the molecules serve redundant functions in their
 222 antimicrobial activities²⁸. Whether and AMPs have redundant or independent functions has not
 223 been fully explored.
 224

| Property | Histones | | | | AMPs | |
|----------------------------------|--|---|-------------------|------------------------------------|-------------------|---|
| | H1 | H2A | H2B | H3 | | H4 |
| Molecular Weight (kDa) | 22 ¹⁰⁶ | 14 ¹⁰⁷ | 14 ¹⁰⁷ | 15 ¹⁰⁷ | 11 ¹⁰⁷ | 18 ⁵⁴ |
| Charge | Positive due to abundance of lysine residues | Positive due to abundance of lysine residues | | Positive, due to arginine residues | | Positively-charged, due to lysine and arginine residues |
| Structural Motifs | Winged helix motif in the globular domain, short N-terminal tail, long C-terminal tail | Histone fold domain: three α -helices connected by two loops | | | | α -helical, β -sheet, loop, or extended |
| Amino Acid Composition | High proportion of positively-charged amino acids and hydrophobic amino acids | High proportion of positively-charged amino acids and hydrophobic amino acids | | | | High proportion of positively-charged amino acids and hydrophobic amino acids |
| Defining Feature | Linker histone, stabilizing the chromatin fiber | Component of the histone octamer, which binds and condenses DNA | | | | Associates with and permeabilize membranes |
| Sequence Diversity Among Species | Largely conserved, but less conserved than core histones | Largely conserved | | | | Prominent sequence diversity |

225 **Table 1. Comparison of the biochemical properties of histones and AMPs.**
 226

227 **1.5 The Role of Histones and Histone Fragments as Antimicrobial** 228 **Agents**

229
 230 *Full-length histones are antimicrobial*

231
 232 Full-length histones from a range of species have antimicrobial activity, including the
 233 rainbow trout, shrimp, and Atlantic salmon. Acetylated H2A is found in skin secretions of the
 234 rainbow trout *Oncorhynchus mykiss*¹⁰⁸. Reconstitution of H2A within the membrane perturbs the

235 membrane, without forming ion channels, supporting a non-pore-forming mechanism of action.
236 All core histone proteins, H2A, H2B, H3, H4 are found in the blood cells of the invertebrate
237 Pacific white shrimp (*Litopenaeus vannamei*)¹⁰⁹. These proteins have high sequence identity to
238 the histones of other species, and the N-terminus of H2A has sequence identity to the
239 antimicrobial histone peptides buforin I, parasin, and hopposin. Liver, intestine, and stomach
240 extracts from healthy Atlantic salmon (*Salmo salar*) contain an antimicrobial protein identified
241 as H1¹¹⁰.

242 Histones from *Gallus gallus* and mice also have antimicrobial activity. Sequences of
243 bactericidal proteins from mice macrophages activated by gamma interferon have similarities to
244 H1 and H2B histone sequences¹¹¹. H2A, H2B.V, and an H2B C-terminal fragment identified in
245 the liver extracts of White Leghorn hens (*Gallus gallus*) and histones from chicken erythrocytes
246 have antimicrobial activity against Gram-negative and Gram-positive bacteria¹¹². Additionally,
247 histones from chicken erythrocytes bind to cell wall components, including lipopolysaccharide
248 (LPS) and lipoteichoic acid (LTA)¹¹³.

249 Numerous reports indicate antimicrobial activity of histones in humans. H1 and its
250 fragments are present in human terminal ileal mucosal samples and the cytoplasm of villus
251 epithelial cells and showed antimicrobial activity against *Salmonella typhimurium*. *In vitro*
252 culturing of villus epithelial cells from the basement membrane releases antimicrobial H1
253 proteins while the cells undergo programmed cell death¹¹⁴. A shotgun proteomics approach
254 revealed the presence of core histones (H2A, H2B, H3, H4) and linker histones (H1) in human
255 hair shafts and extracts of partially-purified histones kill *E. coli* in a radial diffusion assay¹¹⁵. The
256 antimicrobial action of sebocytes from the SEB-1 cell line against *S. aureus* has been attributed
257 to histone H4. Here, synergy between histones and free fatty acids in human sebum are
258 responsible for the antimicrobial effects. As cells in the sebaceous gland secrete their cellular
259 contents into the sebum through holocrine secretion, a secretion mode involving plasma
260 membrane rupture and cellular death, sebocytes use histones as antimicrobial agents released as a
261 sebum component. Analysis of the antimicrobial activity and polypeptide composition of
262 meconium identified histones H2 and H4¹¹⁶.

263
264 *Histone-derived peptide fragments are also antimicrobial*

265
266 Peptides that have antimicrobial activity are formed from the N-terminus cleavage of full-
267 length histones, although this cleavage is not known to occur in humans. These are considered to
268 be AMPs and have been extensively observed in non-mammalian species. The synthesis of
269 inactive proteins require processing to function properly is a common tactic used to prevent off-
270 target harmful effects to the host. Classic examples in the antimicrobial realm are antimicrobial
271 peptides generated from trypsin-mediated cleavage of lactoferrin and neutrophil elastase-
272 mediated cleavage of thrombin^{117,118}. Endogenous proteases are implicated in the production of
273 AMPs from lysine-rich histones. Following cleavage, AMP antimicrobial activity can be
274 attributed to the amphipathic secondary structure with net positive charge, allowing for
275 membrane binding, membrane permeabilization, and binding to nucleic acids⁵². Here, we
276 provide a summary of AMPs that have sequence similarity to the N-terminus of the different
277 histones.

278
279 *Histone H1 homologs*

280

281 AMPs with sequence similarity to H1 are present in Atlantic salmon, rainbow trout, and
282 Coho salmon. In *Salmo salar*, the Atlantic salmon, a 30-residue N-terminally acetylated peptide
283 derived from H1 is present in the skin mucus and has activity against both Gram-negative and
284 Gram-positive bacteria. Isomerization of the proline peptide bond is crucial for activity, leading
285 to increased structure, condensation, and rigidity of the peptide¹¹⁹. A potent antimicrobial peptide
286 in *O. mykiss*¹²⁰ with sequence identity to the H1 induces destabilization of planar lipid bilayers.
287 Blood and mucus antimicrobial fractions of Coho salmon (*Oncorhynchus kisutch*) has sequence
288 identity with the N-terminus of H1. Synthetic peptides showed no antimicrobial effects, but
289 showed synergy with the flounder peptide pleurocidin and lysozyme¹²¹.

290

291 *Histone H2A and H2B homologs*

292

293 There are several known AMPs that have sequence similarity with histone H2A. Parasin I
294 is a 19-amino acid antimicrobial peptide secreted into the epithelial mucosal layer by the catfish
295 *Parasilurus asotus* in response to epidermal injury¹²². The AMP shows high homology to the N-
296 terminal region of H2A and is thought to be produced by cathepsin D-directed H2A proteolysis
297 upon injury¹²³. The basic N-terminal residue is essential for membrane-binding, and the α -helical
298 structure is necessary for membrane-permeabilizing¹²⁴.

299 Buforin I is a 39-amino acid AMP isolated from the Asian toad *Bufo bufo gargarizans*,
300 composed of the N-terminal parasin and buforin II. Upon pepsin-mediated proteolysis of the
301 Tyr³⁹-Ala⁴⁰ H2A bond in the cytoplasm of gastric gland cells, buforin I is secreted into the
302 gastric lumen where it adheres to the stomach mucosal surface and forms a protective
303 antimicrobial coating¹⁵. In contrast, unacetylated H2A is located in the cytoplasm of gastric
304 gland cells, suggesting a portion of cytoplasmic unacetylated H2A is secreted into the lumen and
305 undergoes pepsin processing, while another portion of H2A is acetylated and targeted for nuclear
306 translocation.

307 Buforin II (BF2) is a 21-amino acid peptide derived from endoproteinase Lys-C treatment
308 of buforin I, which displays increased antimicrobial activity compared to buforin I and adopts a
309 helix-hinge-helix structure in 50% trifluoroethanol^{125,126}. Both buforin I and buforin II share
310 sequence identity to the N-terminus of H2A¹²⁷. Circular dichroism measurements of equipotent
311 Trp-substituted peptides indicate that BF2 binds selectively to liposomes composed of acidic
312 phospholipids and has weak membrane permeabilization activity when compared to magainin 2,
313 a membrane-permeabilizing *Xenopus laevis* antimicrobial peptide¹²⁸. Instead, BF2 is efficiently
314 translocated across lipid bilayers, supporting an intracellular mechanism of bacterial death by
315 nucleic acid binding. The Pro¹¹ residue is structurally responsible for introducing a kink in the α
316 helix and disturbing the helical structure¹²⁹. To translocate the lipid bilayer, BF2 forms a toroidal
317 pore that is destabilized by the electrostatic repulsion that accompanies five basic amino acids in
318 close proximity, promoting translocation of the peptide across the bacterial cell membrane. In
319 membranes, amidated BF2 adopts a poorly helical structure in membranes, mimicking the
320 composition of *E. coli*, and binds to duplex DNA causing condensation¹³⁰.

321 The α -helical structure, which directs cell-penetration, has been shown to be critical in
322 determining antimicrobial efficacy¹³¹. The helix-hinge-helix domain enables BF2 to enter
323 bacterial cells without inducing membrane disruption, where the AMP binds to intracellular
324 nucleic acids and inhibit cellular functioning¹³². Although *in vitro* binding of BF2 to nucleic acid
325 has been shown, it is unknown whether this interaction is directed or a result of opposite charged
326 interactions. Further characterization of the nucleic acid binding property of BF2 indicates that

327 the R² and R²⁰ side chains of BF2 form interactions with DNA that are stronger than non-specific
328 electrostatic interactions, and that the substitution of the basic residues with alanine decreases the
329 antimicrobial activity of BF2¹³³.

330 Hipposin is a potent 51-residue antimicrobial peptide isolated from the skin mucus of
331 Atlantic halibut *Hippoglossus hippoglossus* L¹³⁴. This AMP has 98% sequence similarity to the
332 N-terminal of histone 2A from rainbow trout, and has sequence similarities to both parasin and
333 BF2. The AMP was shown to kill bacteria through membrane permeabilization, as evidenced by
334 increased propidium iodide fluorescence intracellularly following peptide exposure and
335 localization of AlexaFluor conjugates around the cellular membrane¹³⁵. The localization of
336 fluorescence around the cell membrane, with low fluorescence intracellularly, is similar to the
337 fluorescence pattern depicted by parasin, another histone-derived peptide that causes
338 permeabilization¹²⁴. The N-terminal parasin domain of hipposin is necessary for membrane
339 permeabilization, as peptides lacking the parasin domain show translocation of the membrane,
340 without permeabilization. The C-terminal domain of hipposin, HipC, is cell-penetrating, but
341 shows no measurable antimicrobial activity.

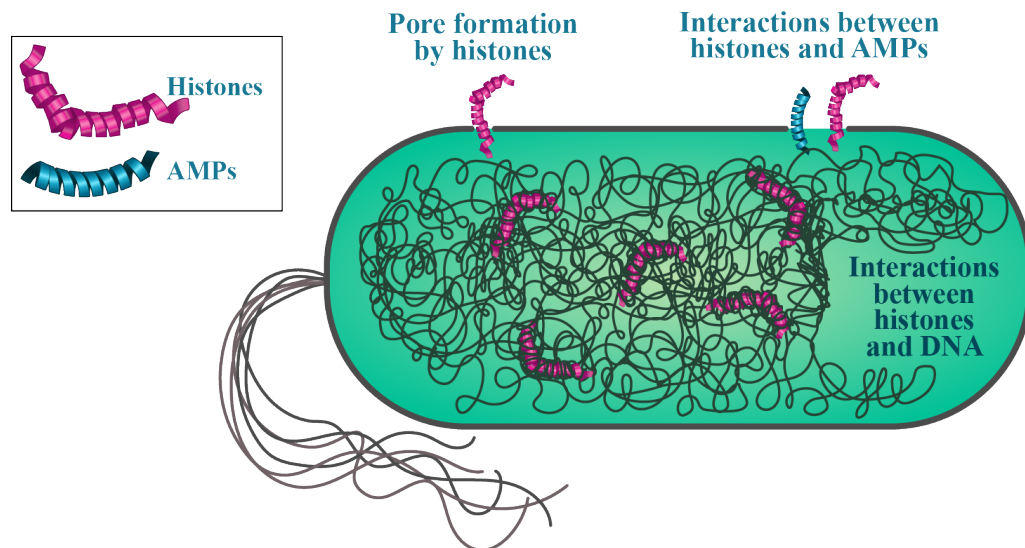
342 A combination of molecular dynamics (MD) simulations and DNA binding affinity
343 experiments provide support for BF2 forming specific interactions with DNA¹³³. Additionally,
344 through the use of BF2 variants, the affinity of the peptide for DNA has been correlated with
345 increased antimicrobial activity. Additional MD simulations, along with electrostatic analysis
346 and nucleic acid binding experiments, on buforin II and DesHDAP1, a designed histone-derived
347 AMP thought to share a similar structure and mechanism of action with buforin II, support a
348 sequence-independent method of AMP binding to DNA¹³⁶. Instead of peptide binding with
349 sequence specificity, peptide-phosphate interactions are thought to be the predominant basis of
350 AMP binding to DNA. As such, arginine residues are shown to have greater antimicrobial
351 activity than lysine residues, possibly due to increases interactions with DNA; however, higher
352 arginine composition could also influence AMP-membrane interactions.

353
354 *H3 and H4 homologs*

355
356 No known natural fragments with similarity to H3 have been identified. Histogrammin, a
357 slightly modified C-terminal 15 amino acid fragment showing similarities to the C-terminal end
358 of H4 has been identified from bovine adrenal medulla¹³⁷. The fragment, which is synthesized
359 from a separate mRNA variant, is an antagonist of N-methyl-D-aspartate (NMDA) receptor
360 activity. Histogrammin has antimicrobial activity against Gram-negative and Gram-positive
361 bacteria and is thought to function through inhibition of ATP-dependent DNA gyrase, a
362 mechanism similar to quinolone antibiotics¹³⁸.

364 **1.6 Insights into the Mechanism of Histone-Mediated Killing of** 365 **Bacteria**

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368
 369 **Figure 2. Potential mechanisms of bacterial killing by histones.** Histones have high affinity
 370 for LPS and histone-derived peptides induce membrane permeabilization. Full-length histones
 371 thus may bind to bacterial membranes, disrupting the membrane and forming pores. The high
 372 affinity of histones for phosphodiester bonds, which enables histones to bind and condense
 373 DNA, suggests that part of the antimicrobial activity of histones may involve interactions
 374 between histones and microbial DNA. Since histones alone show weak antimicrobial activity *in*
 375 *vivo*, the antimicrobial activity of histones may be dependent upon interactions with AMPs or
 376 other antimicrobial agents.

377
 378 The findings from histone-derived AMPs suggest that part of the antimicrobial activity of
 379 histones is achieved through membrane permeabilization, as depicted in Figure 2. The linker
 380 histone H1 and four core histones from calf thymus bind LPS present on the outer membrane. All
 381 histones except H4 have affinities for LPS that are greater than that of the antibiotic polymyxin
 382 B¹³⁹. The strong affinity of histones for phosphodiester bonds enables histone binding to DNA and
 383 facilitates proper chromatin structure formation. However, this affinity may extend to the
 384 phosphodiester bonds in phospholipids, facilitating the integration of histones into membranes.
 385 The strong positive charge of histones from *Plasmodium falciparum*, a unicellular protozoan
 386 parasite that causes malaria in humans, increases membrane permeability in human endothelial
 387 cells and induces IL-8 production at concentrations higher than 50 µg/mL¹⁴⁰. The negatively-
 388 charged glycoaminoglycans (GAGs) heparan sulfate and hyaluronan protect CHO cells from
 389 histone-induced cytotoxicity, supporting the notion that glycocalyx, the negatively-charged
 390 polysaccharide network that protects cells from bacteria, may further mitigate the effects of
 391 histones by preventing membrane insertion¹⁴¹. The strong positive charge of histones may induce
 392 permeability in membranes across of a broad range of organisms including bacteria. Divalent
 393 cations, such as Mg²⁺, function as cationic bridges between adjacent phosphates on LPS. Histones
 394 may compete with divalent cations, compromising LPS cross-bridges, and destroying the outer
 395 membrane integrity.

396 Other work suggests that the antimicrobial mechanism of histones occurs following entry
 397 into the bacterium and that the target is cytoplasmic (Figure 2). An active fragment of H2B from
 398 *R. schlegelli* is thought to be generated via digestion by the bacterial outer membrane protease T
 399 (OmpT)¹¹¹. This fragment of H2B can penetrate the cell membrane of OmpT-expressing *E. coli*,
 400 but not *ompT*-deleted *E. coli*, accumulate in the cytoplasm, and inhibit cell function, presumably

401 by binding to nucleic acids. In the absence of OmpT, H2B is unable to penetrate the membrane,
402 and remains localized on the exterior of the bacteria¹⁴². Consistent with requirement for H2B
403 translocation into the cell, the MIC values for H2B, H3, and H4 significantly increase in the
404 absence of OmpT¹⁴³. The antimicrobial effects observed at higher concentrations of histones may
405 be due to the secondary effect of histones on increasing membrane permeation, and not the primary
406 mechanism by which lysine-rich histones kill bacteria. In addition, lysine-rich (H1, H2A, H2B)
407 and arginine-rich (H3, H4) histones likely kill bacteria using distinct mechanisms. While H2B
408 penetrates *E. coli* membranes and enters the cytoplasmic space, H3 and H4 remain localized on
409 the cell surface, causing membrane blebbing¹⁴³.

410

411 1.7 Conclusion and Future Developments

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413 Despite being originally proposed to function as antimicrobial agents^{144,145}, and having
414 an essential role in mammalian innate immune responses⁵, little is known about how histones
415 function as antimicrobial agents. Complicating matters is that studies on the antimicrobial
416 activity of histones typically utilize low-ionic solutions and buffers that are not physiologically
417 relevant^{5,12,50,142,143,146–156}. In physiologically relevant conditions, histones are far less effective at
418 killing bacteria^{12,53}, and require high, unphysiological concentrations of histones (120 µg/mL)
419^{12,143,157}. Furthermore, some studies use concentrations of histones well above the MIC^{143,157}. As
420 noted above, peptide concentrations in excess of the MIC may render bacteria susceptible to
421 secondary mechanisms of histones through membrane permeabilization^{100,101}.

422

423 It is plausible that since that histones show weak antimicrobial activity *in vivo*, the
424 antimicrobial activity of histones is dependent upon interactions with other immune system
425 mechanisms or components (Figure 2)¹⁵⁸. Synergy between antibacterial peptides released from
426 activated neutrophils has been reported previously. In the absence of salt, defensins show
427 antibacterial activity in a dose-dependent manner; however, antimicrobial activity is lost in the
428 presence of salt¹⁵⁹. Defensins exhibit synergy with cathelicidins in the killing of *E. coli* and *S.*
429 *aureus*¹⁵⁹. There have also been reports of histone H1 fragments having synergistic
430 antimicrobial effects with lysozyme, lysozyme-containing extracts from *O. kisutch*, and
431 pleurocidin against *Vibrio anguillarum* and *Aeromonas salmonicida*¹²¹. Future experiments will
432 need to focus on mechanistic details of histones and will need to consider their role in the context
433 of the immune system as a whole, not as a sole antimicrobial agent.

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