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Authors

Baek, Sanghoon
Merkurjev, Alexander S.

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Sanghoon Baek · Alexander S. Merkurjev

Invariants of simple algebras

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Abstract. We determine the group of invariants with values in Galois cohomology with coefficients $\mathbb{Z}/2\mathbb{Z}$ of central simple algebras of degree at most 8 and exponent dividing 2.

0. Introduction

Let F be a field and let A be an “algebraic structure” over field extensions of F . More precisely, A is a functor from the category $Fields/F$ of field extensions over F to the category $Sets$ of sets. For example, the values of A can be the sets of isomorphism classes of central simple algebras of given degree n , quadratic forms of dimension n , étale algebras of rank n , etc. As defined in [7], an *invariant* of a functor A with values in a cohomology theory H (also viewed as a functor from $Fields/F$ to $Sets$) is a morphism of functors $A \rightarrow H$. All the invariants of A with values in H form a group $\text{Inv}(A, H)$.

An interesting functor $Tors_G$ can be associated to an algebraic group G defined over F as follows. For a field extension L/F , $Tors_G(L)$ is the set of isomorphism classes of G -torsors over $\text{Spec } L$. All examples of the functors A listed above are isomorphic to the functors $Tors_G$ for certain groups G (cf. [7, §3]). For example, $Tors_G(L)$ for the projective linear group $G = \mathbf{PGL}_n$ is naturally bijective to the set of isomorphism classes of central simple L -algebras of degree n .

The structure of the group $\text{Inv}(A, H)$ was determined for various functors A in [7]. The case $A = Tors_G$ for $G = \mathbf{PGL}_n$, i.e., the problem of classification of invariants of central simple algebras of degree n , is still wide open. In the present paper we determine the group of invariants with values in Galois cohomology with coefficients $\mathbb{Z}/2\mathbb{Z}$ of central simple algebras of degree at most 8 and exponent dividing 2, i.e., determine invariants of $Tors_G$ for $G = \mathbf{GL}_n/\mu_2$ with n dividing 8.

In the present paper, the word “variety” over a field F means a separated integral scheme of finite type over F .

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S. Baek · A. S. Merkurjev (✉): Department of Mathematics, University of California, Los Angeles, CA 90095-1555, USA. e-mail: shbaek@math.ucla.edu; merkurev@math.ucla.edu

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1. Invariants

1.1. Cohomology theories, residues and values

Let F be a field and let C be a Galois module for F such that $nC = 0$ for some n not divisible by $\text{char } F$. We define a graded cohomology theory H over F as follows. For any field extension L/F , we write

$$H(L) := \coprod_{r \geq 0} H^r(L, C(r)),$$

where $C(r)$ is the Tate twist of C [7, 7.8]. Note that $H(L)$ is a (left) module over the cohomology ring

$$\coprod_{r \geq 0} H^r(L, (\mathbb{Z}/n\mathbb{Z})(r))$$

with respect to the cup-product. We shall write (x) for the element of

$$H^1(L, (\mathbb{Z}/n\mathbb{Z})(1)) = H^1(L, \mu_n) \simeq L^\times / L^{\times n}$$

corresponding to the coset $xL^{\times n}$.

Let L be a field extension of F with a discrete valuation v trivial on F and residue field $F(v)$. There is the residue map of degree -1 [7, §7.13]:

$$\partial_v : H^r(L) \rightarrow H^{r-1}(F(v)).$$

An element $h \in H^r(L)$ is called *unramified at v* if $\partial_v(h) = 0$.

Let $\pi \in L$ be a prime element. The graded map

$$s_\pi : H^r(L) \rightarrow H^r(F(v)), \quad s_\pi(h) = \partial_v((-\pi) \cup h)$$

is called a *specialization map* [15, §1]. If $h \in H^r(L)$ is unramified at v , then the element $s_\pi(h)$ does not depend on the choice of π and is called the *value of h at v* , denoted $h(v)$.

1.2. The group $A^0(X, H^r)$

Let X be a variety over F and let H be a cohomology theory over F . Recall that for any point $x \in X$ of codimension 1 we have the residue map

$$\partial_x : H^r(F(X)) \rightarrow H^{r-1}(F(x))$$

defined as follows [15, §2]:

$$\partial_x = \sum \text{cor}_{F(v)/F(x)} \circ \partial_v,$$

where the sum is taken over all (finitely many) discrete valuations of $F(X)$ over F dominating x , and $\partial_v : H^r(F(X)) \rightarrow H^{r-1}(F(v))$ is the residue map for the discrete valuation v . We write

$$A^0(X, H^r) := \bigcap \text{Ker}(\partial_x) \subset H^r(F(X)),$$

where the intersection is taken over all points $x \in X$ of codimension 1.

Let K/F be a field extension, $p \in X(K)$ a point and $\alpha \in A^0(X, H^r)$ an arbitrary element. We say that p is *nonsingular* if the image of $p : \text{Spec } K \rightarrow X$ is a

nonsingular point of X . If p is nonsingular, the value $\alpha(p)$ of α at p is the image of α under the pull-back map [15, §12]:

$$A^0(X, H^r) \rightarrow A^0(\text{Spec } K, H^r) = H^r(K).$$

1.3. Values of invariants

We view the homogeneous components H^r of the cohomology theory H as functors from the category Fields/F of field extensions over F and field homomorphisms over F to the category Sets of sets. Let $S : \text{Fields}/F \rightarrow \text{Sets}$ be another functor. An H -invariant of S of degree r is a morphism of functors $q : S \rightarrow H^r$ [7, Def. 1.1]. We write $\text{Inv}(S, H^r)$ for the group of H -invariant of S of degree r and $\text{Inv}(S, H)$ for the graded group $\coprod_{r \geq 0} \text{Inv}(S, H^r)$.

Let G be an algebraic group defined over a field F . Let $\text{Tors}_G : \text{Fields}/F \rightarrow \text{Sets}$ be the functor taking a field extension K/F to the set of isomorphism classes of G -torsors over $\text{Spec } K$. We have $\text{Tors}_G(K) \simeq H^1(K, G)$ [11, Ch. VII]. We simply write $\text{Inv}(G, H^r)$ for the group $\text{Inv}(\text{Tors}_G, H^r)$.

Example 1.1. Let $n > 0$ be an integer and $k > 0$ a divisor of n . We view the group μ_k of k th roots of unity as a subgroup of \mathbf{GL}_n via the embeddings $\mu_k \subset \mathbf{G}_m \subset \mathbf{GL}_n$ and set $G = \mathbf{GL}_n / \mu_k$. By [11, Cor. 28.6], the exact sequence

$$1 \rightarrow \mathbf{G}_m \xrightarrow{\alpha} G \xrightarrow{\beta} \mathbf{PGL}_n \rightarrow 1,$$

where α is the composition

$$\mathbf{G}_m \xrightarrow{\sim} \mathbf{G}_m / \mu_k \rightarrow \mathbf{GL}_n / \mu_k = G$$

and β is the natural epimorphism, and Hilbert Theorem 90 yield a bijection between $H^1(F, G)$ and the kernel of the connecting map

$$\delta : H^1(F, \mathbf{PGL}_n) \rightarrow H^2(F, \mathbf{G}_m) = \text{Br}(F).$$

The set $H^1(F, \mathbf{PGL}_n)$ is bijective to the set of isomorphism classes of central simple F -algebras A of degree n and the map δ takes the class of A to $k[A]$. Therefore, there is a natural bijection between $\text{Tors}_G(F) = H^1(F, G)$ and the set of isomorphism classes of central simple F -algebras of degree n and exponent dividing k .

We shall need the following statement:

Proposition 1.2. [7, Th. 11.7] *Let G be an algebraic group over F and $q \in \text{Inv}(G, H^r)$. Let R be a discrete valuation ring containing F with quotient field L and residue field K . Then for any G -torsor E over $\text{Spec } R$, we have:*

- (1) *The residue of $q(E_L)$ at v is zero, i.e., $q(E_L)$ is unramified at v .*
- (2) *The value $q(E_L)(v)$ of $q(E_L)$ at v is $q(E_K)$.*

Let X be a variety over F and $E \rightarrow X$ a G -torsor. For a field extension K/F and a point $p \in X(K)$, we write $E_p \rightarrow \text{Spec } K$ for the pull-back of the torsor E with respect to $p : \text{Spec } K \rightarrow X$. Thus, we have a morphism of functors $X \rightarrow \text{Tors}_G$

taking a point p to E_p . We also write E_{gen} for the generic fiber of $E \rightarrow X$. It is a G -torsor over $\text{Spec } F(X)$.

Theorem 1.3. *Let G be an algebraic group over F , X a variety over F . Let $E \rightarrow X$ be a G -torsor and $q \in \text{Inv}(G, H^r)$. Then*

- (1) $q(E_{\text{gen}}) \in A^0(X, H^r)$.
- (2) Let K/F be a field extension and let $p \in X(K)$ be a nonsingular point. Then $q(E_p)$ is equal to the value of $q(E_{\text{gen}})$ at p .
- (3) Let X be smooth and let $f : Y \rightarrow X$ be a morphism of varieties over F . Then

$$f^*(q(E_{\text{gen}})) = q(f^*(E)_{\text{gen}})$$

in $A^0(Y, H^r)$, where $f^* : A^0(X, H^r) \rightarrow A^0(Y, H^r)$ is the pull-back homomorphism.

Proof. (1) and (2) follow from Proposition 1.2 and [15, Cor. 12.4].

(3): By (2), the pull-back homomorphism for the composition $\text{Spec } F(Y) \rightarrow Y \rightarrow X$ is equal to $q(f^*(E)_{\text{gen}})$. The pull-back homomorphism for the first morphism $\text{Spec } F(Y) \rightarrow Y$ is the inclusion of $A^0(Y, H^r)$ into $H^r(F(Y))$. \square

It follows from Theorem 1.3(1) that a G -torsor $E \rightarrow X$ gives rise to a group homomorphism

$$\varphi_E : \text{Inv}(G, H^r) \rightarrow A^0(X, H^r), \quad q \mapsto q(E_{\text{gen}}).$$

1.4. Classifying torsors

A G -torsor $E \rightarrow X$ over F is called *classifying* if X is smooth and the corresponding morphism of functors $X \rightarrow \text{Tors}_G$ is surjective, i.e., for any field extension K/F and any G -torsor $E' \rightarrow \text{Spec } K$, there is a point $p \in X(K)$ such that $E' \simeq E_p$.

Remark 1.4. We do not require the density condition as in [7, Def. 5.1].

Theorem 1.5. *Let $E \rightarrow X$ be a classifying G -torsor over F . Then the map $\varphi_E : \text{Inv}(G, H^r) \rightarrow A^0(X, H^r)$ is injective.*

Proof. Let $q \in \text{Ker}(\varphi_E)$, i.e., $q(E_{\text{gen}}) = 0$. Let K/F be a field extension and let $E' \rightarrow \text{Spec } K$ be a G -torsor. Choose a point $p \in X(K)$ such that $E' \simeq E_p$. By Theorem 1.3(2), $q(E_p)$ is the value of $q(E_{\text{gen}})$ at p . Hence $q(E') = 0$. \square

2. Invariants of algebras of degree 8

In this section we assume that $\text{char}(F) \neq 2$.

2.1. The functors Alg_n and Dec_n

For a commutative F -algebra R and $a, b \in R^\times$ we write $(a, b) = (a, b)_R$ for the quaternion algebra $R \oplus Ri \oplus Rj \oplus Rk$ with the multiplication table $i^2 = a, j^2 = b, k = ij = -ji$. The class of $(a, b)_R$ in the Brauer group $Br(R)$ will be

denoted by $[a, b] = [a, b]_R$. We write $Quat(R)$ for the set of isomorphism classes of quaternion algebras over R .

Let $a \in R^\times$ and $S = R[\sqrt{a}] := R[t]/(t^2 - a)$ the quadratic extension of R . We write $N_R(a)$ for the subgroup of R^\times of all element of the form $x^2 - ay^2$ with $x, y \in R$, i.e., $N_R(a)$ is the image of the norm homomorphism $N_{S/R} : S^\times \rightarrow R^\times$. If $b \in N_R(a)$, then the quaternion algebra $(a, b)_R$ is isomorphic to the matrix algebra $M_2(R)$ by [10, Th. 6].

For every $n \geq 1$, $Alg_n(F)$ denotes the set of isomorphism classes of central simple F -algebras of degree 2^n and exponent dividing 2. We can identify $Alg_n(F)$ with the subset of $Br(F)$ of classes of algebras of degree dividing 2^n . In particular, we have that

$$Alg_1(F) \subset Alg_2(F) \subset Alg_3(F) \subset \dots \subset Br_2(F).$$

The isomorphism class of an algebra A in $Alg_n(F)$ is called *decomposable* if A is isomorphic to the tensor product of n quaternion algebras over F . The subset of all decomposable classes in $Alg_n(F)$ is denoted by $Dec_n(F)$. The union of all $Dec_n(F)$ coincides with $Br_2(F)$.

We view Alg_n and Dec_n as functors $Fields/F \rightarrow Sets$. By Example 1.1, the functor Alg_n is isomorphic to the functor $Tors_G$ for $G = \mathbf{GL}_{2^n} / \mu_2$.

Obviously, $Alg_1(F) = Dec_1(F) = Quat(F)$. By Albert’s theorem [12, Prop. 5.2], $Alg_2(F) = Dec_2(F)$.

The case $n = 3$ is more complicated. It is shown in [1] that $Alg_3(F) \neq Dec_3(F)$ in general. On the other hand, Tignol proved in [18] that $Alg_3(F) \subset Dec_4(F)$ as the subsets of $Br_2(F)$.

2.2. Tignol’s construction

We recall Tignol’s argument given in [18]. Let A be a central simple F -algebra in $Alg_3(F)$. By [16], there is a triquadratic splitting extension $F(\sqrt{a}, \sqrt{b}, \sqrt{c})/F$ of A with $a, b, c \in F^\times$. Let $L = F(\sqrt{a})$. By Albert’s Theorem, we have

$$[A]_L = [b, s] + [c, t] \tag{1}$$

in $Br(L)$ for some $s, t \in L^\times$.

Taking the corestriction for the extension L/F in (1), we get

$$0 = 2[A] = [b, N_{L/F}(s)] + [c, N_{L/F}(t)]$$

in $Br(F)$, hence $[b, N_{L/F}(s)] = [c, N_{L/F}(t)]$. By the Common Slot Lemma [2, Lemma 1.7], we have

$$[b, N_{L/F}(s)] = [d, N_{L/F}(s)] = [d, N_{L/F}(t)] = [c, N_{L/F}(t)]$$

in $Br(F)$ for some $d \in F^\times$. It follows that the classes $[bd, N_{L/F}(s)], [cd, N_{L/F}(t)]$ and $[d, N_{L/F}(st)]$ are trivial. By [4, Lemma 2.3] (see also Lemma 2.2 below),

$$\begin{aligned} [bd, s] &= [bd, k], \\ [cd, t] &= [cd, l], \\ [d, st] &= [d, m]. \end{aligned}$$

in $\text{Br}(L)$ for some $k, l, m \in F^\times$. It follows from (1) that

$$[A]_L = [bd, k]_L + [cd, l]_L + [d, m]_L$$

in $\text{Br}(L)$. Hence

$$[A] = [a, e] + [bd, k] + [cd, l] + [d, m] = [a, e] + [b, k] + [c, l] + [d, klm] \quad (2)$$

in $\text{Br}(F)$ for some $e \in F^\times$. We shall also need the following well known statements:

Lemma 2.1. *Let K be a field and let A be a central simple K -algebra such that $[A] \in \text{Br}_2(K)$ and let L/K be a quadratic field extension such that $[A]_L = [b, s] + [c, t]$ for some $b, c \in K^\times$ and $s, t \in L^\times$. Suppose that one of the classes $[b, N_{L/K}(s)]$ and $[c, N_{L/K}(t)]$ is zero in $\text{Br}(K)$. Then $A \in \text{Dec}_3(K)$.*

Proof. Suppose that $[b, N_{L/K}(s)] = 0$. Taking the corestriction we get

$$0 = 2[A] = [b, N_{L/K}(s)] + [c, N_{L/K}(t)] = [c, N_{L/K}(t)].$$

By [4, Lemma 2.3], there are $u, v \in K^\times$ such that $[b, s] = [b, u]_L$ and $[c, t] = [c, v]_L$. It follows that the class $[A] - [b, u] - [c, v]$ is split by L , hence is the class of a quaternion algebra. Thus, $A \in \text{Dec}_3(K)$. \square

Lemma 2.2. *Let R be a commutative F -algebra, $a, b \in R^\times$, $T = R[\sqrt{a}]$ and $x + y\sqrt{a} \in T^\times$ such that $x^2 - ay^2 = u^2 - bv^2$ for some $u, v \in R$. If $x + u \in R^\times$, then $2(x + u)(x + y\sqrt{a}) \in N_T(b)$. In particular,*

$$[b, x + y\sqrt{a}]_T = [b, 2(x + u)]_T.$$

Proof. We have the equality

$$\begin{aligned} (x + y\sqrt{a} + u)^2 - bv^2 &= (x + y\sqrt{a})(x + y\sqrt{a} + 2u) + (u^2 - bv^2) \\ &= (x + y\sqrt{a})(x + y\sqrt{a} + 2u) + (x + y\sqrt{a})(x - y\sqrt{a}) \\ &= (x + y\sqrt{a})(2x + 2u). \end{aligned}$$

\square

2.3. The Azumaya algebra \mathcal{A}

Consider the affine space \mathbf{A}_F^8 with coordinates $\mathbf{a}, \mathbf{e}, \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}$ and define the rational functions:

$$\begin{aligned} \mathbf{f} &= \mathbf{xy} + \mathbf{az}, \\ \mathbf{g} &= \mathbf{y} + \mathbf{xz}, \\ \mathbf{d} &= \mathbf{w}^2 - \mathbf{f}^2 + \mathbf{ag}^2, \\ \mathbf{b} &= (\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a})\mathbf{d}^{-1}, \\ \mathbf{c} &= (\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{az}^2)\mathbf{d}^{-1}, \\ \mathbf{p} &= (\mathbf{u} + \mathbf{x})(\mathbf{v} + \mathbf{y})(\mathbf{w} + \mathbf{f}). \end{aligned}$$

Let X be the open subscheme of \mathbf{A}_F^8 given by

$$\mathbf{q} := \mathbf{a}\mathbf{d}\mathbf{e}\mathbf{p}(\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a})(\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{a}\mathbf{z}^2)(\mathbf{x}^2 - \mathbf{a})(\mathbf{y}^2 - \mathbf{a}\mathbf{z}^2)(\mathbf{f}^2 - \mathbf{a}\mathbf{g}^2) \neq 0,$$

i.e., $X = \text{Spec}(R)$ with $R = F[\mathbf{a}, \mathbf{e}, \mathbf{u}, \mathbf{v}, \mathbf{w}, \mathbf{x}, \mathbf{y}, \mathbf{z}, \mathbf{q}^{-1}]$. Let $S = R[\sqrt{\mathbf{a}}, \sqrt{\mathbf{b}}, \sqrt{\mathbf{c}}]$. Consider the Azumaya R -algebra

$$\mathcal{A}' = (\mathbf{a}, \mathbf{e})_R \otimes (\mathbf{b}, 2(\mathbf{u} + \mathbf{x}))_R \otimes (\mathbf{c}, 2(\mathbf{v} + \mathbf{y}))_R \otimes (\mathbf{d}, 2\mathbf{p})_R. \tag{3}$$

We view S as a subring of \mathcal{A}' . Moreover, $(\mathbf{d}, 2\mathbf{p})_S := (\mathbf{d}, 2\mathbf{p}) \otimes_R S \subset \mathcal{A}'$.

Let $T = R[\sqrt{\mathbf{a}}]$. It follows from Lemma 2.2 that

$$\begin{aligned} 2(\mathbf{u} + \mathbf{x})(\mathbf{x} + \sqrt{\mathbf{a}}) &\in N_T(\mathbf{bd}) \subset N_S(\mathbf{d}), \\ 2(\mathbf{v} + \mathbf{y})(\mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}) &\in N_T(\mathbf{cd}) \subset N_S(\mathbf{d}), \\ 2(\mathbf{w} + \mathbf{f})(\mathbf{x} + \sqrt{\mathbf{a}})(\mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}) &\in N_T(\mathbf{d}) \subset N_S(\mathbf{d}). \end{aligned}$$

It follows from (3) that

$$[\mathcal{A}']_T = [\mathbf{b}, \mathbf{x} + \sqrt{\mathbf{a}}] + [\mathbf{c}, \mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}] \tag{4}$$

in $\text{Br}(T)$.

Moreover, we have $2\mathbf{p} = 2(\mathbf{u} + \mathbf{x})(\mathbf{v} + \mathbf{y})(\mathbf{w} + \mathbf{f}) \in N_S(\mathbf{d})$, therefore, $(\mathbf{d}, 2\mathbf{p})_S$ is isomorphic to the matrix algebra $M_2(S)$. In particular,

$$M_2(R) \subset M_2(S) \simeq (\mathbf{d}, 2\mathbf{p})_S \subset \mathcal{A}'$$

and hence $\mathcal{A}' \simeq M_2(\mathcal{A})$ for the centralizer \mathcal{A} of $M_2(R)$ in \mathcal{A}' by the proof of [8, Th. 4.4.2]. Then \mathcal{A} is an Azumaya R -algebra of degree 8 that is Brauer equivalent to \mathcal{A}' by [17, Th. 3.10].

Proposition 2.3. *The Azumaya algebra \mathcal{A} is classifying for Alg_3 , i.e, the corresponding \mathbf{GL}_8 / μ_2 -torsor over X is classifying.*

Proof. Let $A \in \text{Alg}_3(K)$, where K is a field extension of F . We shall find a point $p \in X(K)$ such that $A \simeq \mathcal{A}(p)$.

We follow Tignol’s construction. There is a triquadratic splitting extension $K(\sqrt{a}, \sqrt{b}, \sqrt{c})/K$ of A with $a, b, c \in K^\times$. Let $L = K(\sqrt{a})$, so

$$[A]_L = [b, s] + [c, t]$$

in $\text{Br}(L)$ for some $s = x + x'\sqrt{a}$, and $t = y + z\sqrt{a} \in L^\times$. Modifying s by a norm for the extension $L(\sqrt{b})/L$, we may assume that $x' \neq 0$. Similarly, we may assume that $z \neq 0$. Moreover, replacing a by ax'^2 , we may assume that $x' = 1$.

We have

$$[b, x^2 - a] = [d, x^2 - a] = [d, y^2 - az^2] = [c, y^2 - az^2]$$

in $\text{Br}(K)$ for some $d \in K^\times$, so the classes $[bd, x^2 - a]$, $[cd, y^2 - az^2]$ and $[d, (x^2 - a)(y^2 - az^2)]$ are trivial. Hence

$$\begin{aligned} bd &= u^2 - (x^2 - a)u'^2, \\ cd &= v^2 - (y^2 - az^2)v'^2, \\ d &= w^2 - (x^2 - a)(y^2 - az^2)w'^2 \end{aligned}$$

for some u, u', v, v', w, w' in K . Moreover, we may assume that $u' \neq 0$. Replacing b and u by bu'^2 and uu' respectively, we may assume that $u' = 1$. Similarly, we may assume $v' = w' = 1$.

Replacing u by $-u$ if necessary, we may assume that $u + x \neq 0$ and similarly $v + y \neq 0$ and $w + s \neq 0$, where $s = xy + az$. It follows from Lemma 2.2 that

$$\begin{aligned} [b, x + \sqrt{a}] &= [b, 2(u + x)]_L, \\ [c, y + z\sqrt{a}] &= [c, 2(v + y)]_L, \\ [d, (x + \sqrt{a})(y + z\sqrt{a})] &= [d, 2(w + s)]_L \end{aligned}$$

in $\text{Br}(L)$. Hence

$$[A] = [a, e] + [b, 2(u + x)] + [c, 2(v + y)] + [d, 2(u + x)(v + y)(w + s)]$$

in $\text{Br}(K)$ for some $e \in K^\times$.

Let p be the point (a, e, u, v, w, x, y, z) in $X(K)$. We have $[\mathcal{A}(p)] = [A]$ and hence $\mathcal{A}(p) \simeq A$ as $\mathcal{A}(p)$ and A have the same dimension. \square

Proposition 2.4. *Let K be the quotient field of the ring $R = F[X]$. Let \widehat{K} be the completion of K with respect to the discrete valuation associated with one of the irreducible polynomials $\mathbf{a}, \mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a}, \mathbf{v}^2 - \mathbf{y}^2 + \mathbf{az}^2, \mathbf{d}, \mathbf{x}^2 - \mathbf{a}, \mathbf{y}^2 - \mathbf{az}^2, \mathbf{f}^2 - \mathbf{ag}^2, \mathbf{u} + \mathbf{x}, \mathbf{v} + \mathbf{y}$ and $\mathbf{w} + \mathbf{f}$. Then $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$.*

Proof. First assume that the valuation $v = v_{\mathbf{a}}$ is associated with \mathbf{a} . By Hensel’s Lemma, $\mathbf{x}^2 - \mathbf{a} \in \widehat{K}^{\times 2}$. It follows that $[\mathbf{b}, \mathbf{x}^2 - \mathbf{a}]_{\widehat{K}} = 0$. By Lemma 2.1, applied to (4), $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$.

Let $v = v_{\mathbf{u}^2 - \mathbf{x}^2 + \mathbf{a}}$. In the residue field, $\bar{\mathbf{u}}^2 - \bar{\mathbf{x}}^2 + \bar{\mathbf{a}} = \bar{0}$, hence $\bar{\mathbf{x}}^2 - \bar{\mathbf{a}}$ is a square. By Hensel’s Lemma, $\mathbf{x}^2 - \mathbf{a} \in \widehat{K}^{\times 2}$. Therefore, $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$ as in the previous case.

The case $v = v_{\mathbf{v}^2 - \mathbf{y}^2 + \mathbf{az}^2}$ is similar.

Let $v = v_{\mathbf{d}}$. In the residue field, $\bar{\mathbf{w}}^2 - \bar{\mathbf{f}}^2 + \bar{\mathbf{ag}}^2 = \bar{0}$, hence $\bar{\mathbf{f}}^2 - \bar{\mathbf{ag}}^2$ is a square. By Hensel’s Lemma, $\mathbf{f}^2 - \mathbf{ag}^2 \in \widehat{K}^{\times 2}$, hence $[\mathbf{b}, \mathbf{f}^2 - \mathbf{ag}^2]_{\widehat{K}} = 0$. It follows from (4) that

$$[\mathcal{A}]_T = [\mathbf{b}, \mathbf{x} + \sqrt{\mathbf{a}}] + [\mathbf{c}, \mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}] = [\mathbf{b}, \mathbf{f} + \mathbf{g}\sqrt{\mathbf{a}}] + [\mathbf{bc}, \mathbf{y} + \mathbf{z}\sqrt{\mathbf{a}}].$$

By Lemma 2.1, $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$.

Let $v = v_{\mathbf{x}^2 - \mathbf{a}}$. In the residue field, $\bar{\mathbf{b}}\bar{\mathbf{d}} = \bar{\mathbf{u}}^2$ is a square. By Hensel’s Lemma, $\mathbf{bd} \in \widehat{K}^{\times 2}$. It follows from (3) that $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$.

The cases $v = v_{\mathbf{y}^2 - \mathbf{az}^2}$ and $v = v_{\mathbf{f}^2 - \mathbf{ag}^2}$ are similar.

Let $v = v_{\mathbf{u}+\mathbf{x}}$. In the residue field, $\bar{\mathbf{b}}\bar{\mathbf{d}} = \bar{\mathbf{a}}$. By Hensel's Lemma, $\mathbf{abd} \in \widehat{K}^{\times 2}$. It follows again from (3) that $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$.

The cases $v = v_{\mathbf{v}+\mathbf{y}}$ and $v = v_{\mathbf{w}+\mathbf{t}}$ are similar. □

From now on we consider the cohomology theory with values in the Galois module $\mathbb{Z}/2\mathbb{Z}$, i.e., $H(L) = H(L, \mathbb{Z}/2\mathbb{Z})$ for any field extension of F . Note that $H(L)$ has structure of a commutative ring.

Proposition 2.5. *The restriction homomorphism*

$$\text{Inv}(A/g_3, H^r) \rightarrow \text{Inv}(\text{Dec}_3, H^r)$$

is injective.

Proof. Let q be an invariant of A/g_3 of degree r and let K be the quotient field of the ring R , i.e., $K = F(X)$. By Theorem 1.3, we have $q(\mathcal{A}_K) \in A^0(X, H^r)$. Let X' be the open subscheme of \mathbf{A}_F^8 given by $\mathbf{e} \neq 0$, so $X \subset X' \subset \mathbf{A}_F^8$ and $X' \simeq \mathbf{A}_F^7 \times \mathbf{G}_m$. Note that

$$A^0(X', H^r) = A^0(\mathbf{G}_m, H^r) = H^r(F) \oplus (\mathbf{e}) \cup H^{r-1}(F)$$

by [15, Prop. 2.2 and Prop. 8.6].

Suppose that the restriction of q on Dec_3 is zero. By Proposition 2.4, $\mathcal{A}_{\widehat{K}} \in \text{Dec}_3(\widehat{K})$, where \widehat{K} is the completion of K with respect to every divisor x of X' in $X' \setminus X$. Hence $q(\mathcal{A}_{\widehat{K}}) = 0$ for all such \widehat{K} . The residue homomorphism $\partial_x : H^r(K) \rightarrow H^{r-1}(F(x))$ factors through the group $H^r(\widehat{K})$. It follows that $\partial_x(q(\mathcal{A}_K)) = 0$ and therefore,

$$q(\mathcal{A}_K) \in A^0(X', H^r) = H^r(F) \oplus (\mathbf{e}) \cup H^{r-1}(F),$$

i.e., $q(\mathcal{A}_K) = h_K + (\mathbf{e}) \cup h'_K$ for some $h \in H^r(F)$ and $h' \in H^{r-1}(F)$. Consider a point $p \in X(E)$ with $E = F(\mathbf{e})$ such that $\mathbf{e}(p) = \mathbf{e}$ and $\mathbf{b}(p) = 1$. It follows from (3) that $\mathcal{A}(p) \in \text{Dec}_3(E)$. Hence by Theorem 1.3(2),

$$0 = q(\mathcal{A}(p)) = h_E + (\mathbf{e}) \cup h'_E,$$

therefore, $h = h' = 0$ and $q(\mathcal{A}_K) = 0$. By Proposition 2.3 and Theorem 1.5, $q = 0$. □

2.4. *Invariants of Dec_n*

From now on we assume that $-1 \in F^{\times 2}$.

Let $K_*(F)$ denote the Milnor ring of a field F and set $k_*(F) = K_*(F)/2K_*(F)$. For every $n \geq 0$, let γ_n denote the *divided power operation* [9, 19]:

$$k_2(F) \rightarrow k_{2m}(F)$$

defined by

$$\gamma_n \left(\sum_{i=1}^r \alpha_i \right) = \sum_{1 \leq i_1 \leq \dots \leq i_m \leq n} \alpha_{i_1} \cdots \alpha_{i_m},$$

where the α_i are symbols. In particular, $\gamma_0 = 1 \in k_0(F) = \mathbb{Z}/2\mathbb{Z}$ and γ_1 is the identity.

We identify $k_2(F)$ with $\text{Br}_2(F)$ via the norm residue isomorphism. Restricting γ_m to Dec_n and composing with the norm residue homomorphism $k_{2m}(F) \rightarrow H^{2m}(F)$, we can view the divided power operations (still denoted by γ_m) as invariants of Dec_n with values in H , so $\gamma_m \in \text{Inv}(\text{Dec}_n, H^{2m})$ for all n . Clearly, $\gamma_m = 0$ if $m > n$.

Theorem 2.6. *The $H(F)$ -module $\text{Inv}(\text{Dec}_n, H)$ is free with basis $\{1 = \gamma_0, \gamma_1, \dots, \gamma_n\}$.*

Proof. The case $n = 1$, when $\text{Dec}_1 = \text{Quat}$ is proven in [7, Th. 18.1]. By [7, Ex. 16.5], the natural map

$$\text{Inv}(\text{Quat}, H)^{\otimes n} \rightarrow \text{Inv}(\text{Quat}^{\times n}, H)$$

is an isomorphism. It follows that $\text{Inv}(\text{Quat}^{\times n}, H)$ is a free $H(F)$ -module with basis of all monomials $\delta_1^{\varepsilon_1} \delta_2^{\varepsilon_2} \dots \delta_n^{\varepsilon_n}$, where $\varepsilon_i = 0$ or 1 and the invariant δ_i is defined by $\delta_i(\alpha_1, \dots, \alpha_n) = \alpha_i$.

The natural morphism of functors

$$\text{Quat}^{\times n} \rightarrow \text{Dec}_n \tag{5}$$

given by the tensor product is surjective. It follows that the map

$$\text{Inv}(\text{Dec}_n, H) \rightarrow \text{Inv}(\text{Quat}^{\times n}, H)$$

is injective. The image of this map is element-wise invariant under the natural action of the symmetric group S_n and hence is contained in the free $H(F)$ -submodule generated by the standard symmetric functions γ_m on the $\delta_1, \dots, \delta_n$ that are precisely the divided powers. □

Remark 2.7. Vial has computed all invariants of k_n in [19].

Restricting the divided powers on the subfunctors $\text{Alg}_n \subset \text{Br}_2$ we view the γ_m as invariants on Alg_n .

Theorem 2.8. *If $n \leq 3$, then the $H(F)$ -module $\text{Inv}(\text{Alg}_n, H)$ is free with basis $\{1 = \gamma_0, \gamma_1, \dots, \gamma_n\}$.*

Proof. If $n \leq 2$, then $\text{Alg}_n = \text{Dec}_n$ and the statement follows from Theorem 2.6. The case $n = 3$ is implied by Proposition 2.5 and Theorem 2.6. □

2.5. Reduced trace form

Let A be a central simple algebra over a field F . Denote by q_A the quadratic form on A defined by $q_A(a) = \text{Trd}_A(a^2)$ for $a \in A$, where Trd_A is the reduced trace form for A . If A and A' are two central simple algebras over F , then

$$q_{A \otimes A'} \simeq q_A \otimes q_{A'}.$$

Example 2.9. Let A be a quaternion algebra over a field F . Then q_A is the 2-fold Pfister form $\langle\langle a, b \rangle\rangle$, where $a, b \in F^\times$ such that $[A] = [a, b]$ in $\text{Br}(F)$.

It follows from Example 2.9 that for any $A \in \text{Dec}_n(F)$ the form q_A is a $2n$ -fold Pfister form. Moreover, the invariant $e_{2n}(q_A)$ in $H^{2n}(F)$ (cf. [6, §16]) coincides with the divided power $\gamma_n(A)$.

Theorem 2.10. *If $n \leq 3$, then for any $A \in \text{Alg}_n(F)$, the form q_A is a $2n$ -fold Pfister form such that $e_{2n}(q_A) = \gamma_n(A)$.*

Proof. If $n \leq 2$, then $\text{Alg}_n = \text{Dec}_n$ and the statement follows.

Consider the case $n = 3$. Let $A \in \text{Alg}_3(F)$. Choose a splitting field $F(\sqrt{a}, \sqrt{b}, \sqrt{c})$ and set $L = F(\sqrt{a})$. We write $a \mapsto \bar{a}$ for the nontrivial automorphism of L over F . Let B be the centralizer of L in A . By Skolem–Noether Theorem [11, Th. 1.4], there is an $s \in A$ such that $sxs^{-1} = \bar{x}$ for all x in L . Note that s^2 commutes with all elements in L , hence $s^2 \in B$.

Let $\psi : B \rightarrow B$ be an automorphism defined by $y \mapsto sy s^{-1}$. Then $A = B \oplus Bs$ with $sy = \psi(y)s$ for all $y \in B$. Since $\text{Tr}_A(yzs) = \text{Tr}_A(\sqrt{a}y z s(\sqrt{a})^{-1}) = -\text{Tr}_A(yzs)$, we have $\text{Tr}_A(yzs) = 0$ for any y and z in B . Moreover, $\text{Tr}_A(y) = \text{Tr}_{L/F}(\text{Tr}_B(y))$ for any $y \in B$ by [5, §22, Cor. 5]. Therefore, for the trace forms we have

$$q_A = \text{Tr}_{L/F}(q_B) \perp \text{Tr}_{L/F}(q'_B),$$

where $q'_B(x) = \text{Tr}_B((xs)^2)$.

Let $t \in F^\times$ and A_t the F -algebra with presentation $A_t = B \oplus By$ and $yby^{-1} = sb s^{-1}$ for all $b \in B$ and $y^2 = ts^2$. By Proposition [11, Th. 13.41],

$$[A_t] = [a, t] + [A].$$

Moreover,

$$q_{A_t} = \text{Tr}_{L/F}(q_B) \perp t \text{Tr}_{L/F}(q'_B),$$

hence, by Lemma 2.11 below, in the Witt ring of F , we have

$$q_A - tq_{A_t} = \langle\langle t \rangle\rangle \cdot \text{Tr}_{L/F}(q_B) \in I^6(F).$$

By (2), we can choose t such that A_t is decomposable, hence $q_{A_t} \in I^6(F)$ and therefore, $q_A \in I^6(F)$. As $\dim(q_A) = 64$, the form q_A is a 6-fold Pfister form.

It follows that $e_6(q_A)$ is a well-defined invariant of Alg_3 that agrees with γ_3 on Dec_3 . By Proposition 2.5, $e_6(q_A) = \gamma_3$ on Alg_3 . □

Lemma 2.11. *In the notation above, $\text{Tr}_{L/F}(q_B) \in I^5(F)$.*

Proof. In Tignol’s construction (see (1) and (2)),

$$[A]_L = [b, s] + [c, t] = [a, e] + [b, k] + [c, l] + [d, klm]$$

in $\text{Br}(L)$. Let

$$p := \langle\langle a, e \rangle\rangle + \langle\langle b, k \rangle\rangle + \langle\langle c, l \rangle\rangle + \langle\langle d, klm \rangle\rangle \in I^2(F). \tag{6}$$

It follows that

$$p_L \equiv \langle\langle b, s \rangle\rangle + \langle\langle c, t \rangle\rangle \pmod{I^3(L)},$$

so $B \simeq (b, s) \otimes_L (c, t)$. We have in $W(L)$:

$$q_B = \langle\langle b, s \rangle\rangle \cdot \langle\langle c, t \rangle\rangle \equiv \langle\langle b, s \rangle\rangle \cdot p_L - \langle\langle b, s \rangle\rangle = \langle\langle b, s \rangle\rangle \cdot p_L \pmod{I^5(L)}$$

since $\langle\langle b, b \rangle\rangle = 0$. By the projection formula and [6, Cor. 34.19],

$$\mathrm{Tr}_{L/F}(q_B) \equiv \mathrm{Tr}_{L/F}(\langle\langle b, s \rangle\rangle) \cdot p \equiv \langle\langle b, N_{L/F}(s) \rangle\rangle \cdot p \pmod{I^5(F)}. \quad (7)$$

We have $\langle\langle b, N_{L/F}(s) \rangle\rangle \simeq \langle\langle c, N_{L/F}(t) \rangle\rangle \simeq \langle\langle d, N_{L/F}(t) \rangle\rangle$. It follows that $\langle\langle b, N_{L/F}(s) \rangle\rangle$ annihilates all four summands in the right hand side of (6), hence $\langle\langle b, N_{L/F}(s) \rangle\rangle \cdot p = 0$. By (7), $\mathrm{Tr}_{L/F}(q_B) \in I^5(F)$. \square

2.6. Essential dimension of Dec_n and Alg_3

Let $S : \mathrm{Fields}/F \rightarrow \mathrm{Sets}$ be a functor, $E \in \mathrm{Fields}/F$ and $K \subset E$ a subfield over F . An element $\alpha \in S(E)$ is said to be *defined over K* (and K is called a *field of definition of α*) if there exists an element $\beta \in S(K)$ such that α is the image of β under the map $S(K) \rightarrow S(E)$. The *essential dimension of α* , denoted $\mathrm{ed}(\alpha)$, is the least transcendence degree $\mathrm{tr. deg}_F(K)$ over all fields of definition K of α . The *essential dimension of the functor S* is

$$\mathrm{ed}(S) = \sup\{\mathrm{ed}(\alpha)\},$$

where the supremum is taken over fields $E \in \mathrm{Fields}/F$ and all $\alpha \in S(E)$ (cf. [3, Def. 1.2]).

The highest invariant γ_n of Alg_n and Dec_n of degree $2n$ is nontrivial, hence $\mathrm{ed}(\mathrm{Alg}_n) \geq 2n$ and $\mathrm{ed}(\mathrm{Dec}_n) \geq 2n$ by [3, Cor. 3.6]. On the other hand, using the surjection (5), we get

$$\mathrm{ed}(\mathrm{Dec}_n) \leq \mathrm{ed}(\mathrm{Quat}^{\times n}) \leq n \cdot \mathrm{ed}(\mathrm{Quat}) = 2n.$$

Thus, $\mathrm{ed}(\mathrm{Dec}_n) = 2n$.

It is proved in [13, Cor. 3.10] and [14, Th. 8.6] that $\mathrm{ed}(\mathrm{Alg}_3) \leq 17$.

Theorem 2.12. $6 \leq \mathrm{ed}(\mathrm{Alg}_3) \leq 8$.

Proof. By Proposition 2.3, there is a surjective morphism of functors $X \rightarrow \mathrm{Alg}_3$, where X is a variety defined in Sect. 2. By [3, Cor. 1.19], $\mathrm{ed}(\mathrm{Alg}_3) \leq \dim(X) = 8$. \square

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