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24 Abstract

25 The genes underlying adaptations are becoming known, yet the causes of selection on genes -- a 26 key step in the study of the genetics of adaptation -- remains uncertain. We address this issue 27 experimentally in a threespine stickleback species pair showing exaggerated divergence in bony 28 defensive armor in association with competition-driven character displacement. We used semi-29 natural ponds to test the role of a native predator in causing divergent evolution of armor and two 30 known underlying genes. Predator presence/absence altered selection on dorsal spines and allele 31 frequencies at the Msx2a gene across a generation. Evolutionary trajectories of alleles at a second 32 gene, *Pitx1*, and the pelvic spine trait it controls, were more variable. Our experiment 33 demonstrates how manipulation of putative selective agents help to identify causes of 34 evolutionary divergence at key genes, rule out phenotypic plasticity as a sole determinant of 35 phenotypic differences, and eliminate reliance on fitness surrogates. Divergence of predation 36 regimes in sympatric stickleback is associated with coevolution in response to resource 37 competition, implying a cascade of biotic interactions driving species divergence. We suggest 38 that as divergence proceeds, an increasing number of biotic interactions generate divergent 39 selection, causing more evolution in turn. In this way, biotic adaptation perpetuates species 40 divergence through time during adaptive radiation in an expanding number of traits and genes. 41

42 Impact summary

The genes underlying the evolution of differences between species are quickly being identified in many species, but the causes of natural selection on these genes are largely unknown. We manipulated the presence of a native predator to test the effect of contrasting predation regimes on the evolution of defensive armor and at two key genes underlying armor variation between two coexisting stickleback species. The predator altered the pattern of natural selection on armor and on two underlying loci, leading to divergent evolutionary trajectories in the next generation. The study shows how direct manipulation can yield insights into the mechanisms of evolution, in this case the role of a biotic interaction. Beyond illuminating the relationships between natural selection on phenotype and genotype this experiment also demonstrates how evolution in habitat use, driven by competition, can lead to changes in the strength of other species interactions that ultimately drive further divergence. This is an empirical example of how trophic complexity can facilitate diversification and suggests that diverse and evolving biotic interactions could be a core component that sustains species divergence and speciation in adaptive radiations.

65 Main Text

66 Introduction

67 The genes underlying evolution of differences between species have been identified in 68 many cases, but the causes of natural selection on genes and resulting phenotypes are little known 69 (Barrett and Hoekstra 2011; Nosil 2012). A key challenge in determining the selective agents 70 shaping genetic and phenotypic differences lies in disentangling the contribution of particular 71 ecological factors in natural populations. We address the problem experimentally, focusing on a 72 biotic cause of divergence at two genes underlying differences in bony defensive spines between 73 sympatric stickleback species. In one of the species, a deletion of an enhancer of the Pitx1 locus 74 confers loss of the pelvic spines and girdle (Chan et al. 2010), and reduced dorsal spine length 75 results from a splicing variant of the Msx2a gene (Howes et al. 2017). We test the hypothesis that 76 interactions between the two coevolving stickleback species and a vertebrate predator have led to 77 divergence in these armor traits and genes. We disentangle the effect of the predator from other 78 causes by manipulating its presence/absence, rather than by introducing the prey species between 79 locales that may differ in multiple environmental features. We carry out the experiment at a 80 spatial scale sufficient to allow natural avoidance behaviours by prey to affect the outcome, and 81 we use changes at the genes and phenotypes to measure evolution across a generation. 82 Pairs of threespine stickleback consisting of a benthic and a limnetic form (Figure 1)

provide an ideal system in which to examine the role of predation and other biotic interactions in divergence. Sympatric benthic and limnetic pairs have evolved independently several times within the last 12,000 years (Taylor and McPhail 1999) and have repeatedly diverged in many traits (Schluter and McPhail 1992). Observational studies and within-generation selection experiments show that ecological character displacement driven by resource competition has led

88 to the evolution of differences between sympatric species in numerous morphological traits that 89 increase feeding performance on habitat-specific prey types (Schluter and McPhail 1992; 90 Schluter 1994; Schluter 2003). Single-species ("solitary") stickleback populations occurring in 91 otherwise similar lakes are intermediate in trophic traits and have a generalist diet (Schluter and 92 McPhail 1992). At the same time, patterns of divergence in traits not directly related to feeding 93 suggest involvement of a broader suite of ecological interactions in the divergence of sympatric 94 species (Vamosi and Schluter 2004). For example, compared to solitary stickleback populations, 95 benthic-limnetic pairs repeatedly show exaggerated divergence in the length of bony spines and 96 other armor defenses against vertebrate predators (cutthroat trout, Oncorhynchus clarkii clarkii, 97 and piscivorous diving birds) (Reimchen 1980; Vamosi and Schluter 2002; Vamosi and Schluter 98 2004;). Vertebrate predators preferentially exploit the open water habitat utilized by the more 99 armored limnetic species, whereas the armor-reduced benthic species utilizes the vegetated 100 littoral zone of lakes where insect predators are more common (Vamosi and Schluter 2002). 101 However, the native lakes are small, the two habitats are adjacent throughout, and individual 102 stickleback can move freely between them.

103 We tested whether divergence of armor between sympatric stickleback is driven by their 104 interactions with the trout predator, an interaction that evolved in conjunction with ecological 105 character displacement and a corresponding shift in habitat use. To maximize variation in traits 106 and underlying genes, and yield a sensitive measure of selection and evolution, we used second 107 generation hybrids between benthic and limnetic stickleback as our target experimental 108 population. Although ponds are not the same as lakes, they are otherwise unmanipulated water 109 bodies that, as we show, are sufficiently large to permit natural behaviors to mediate outcomes of 110 natural selection (for example differential resource use (Arnegard et al. 2014)). We estimated

111 phenotypes and genotypes for the F₂ generation before addition of trout and tracked phenotype

and allele frequencies into the F₃ generation after one year of differential selection.

113

114 Methods

115 Collection of experimental fish

116 The experimental fish were the product of four F₁ crosses made in the spring of 2011, 117 between four pairs of benthic mothers and limnetic fathers collected from Paxton Lake on Texada 118 Island, British Columbia, Canada. We used hybrids as the target populations in our experiment, 119 to maximize variation for selection to act upon and to generate segregation of traits and alleles 120 from the separate species. The range of phenotypes observed in each benthic-limnetic F₂ cross 121 encompassed the variation found between the benthic and limnetic ecotypes; some F₂ offspring 122 lacked the first dorsal and/or pelvic spines (the benthic phenotype) others had long spines (the 123 limnetic phenotype), with many individuals possessing intermediate spine length values. The F_0 124 benthic and limnetic fish possessed the typical armor phenotypes of their ecotype: all four benthic 125 mothers lacked pelvic spines and three of the four lacked first dorsal spines (the fourth had a 126 short first dorsal spine), the limnetic fathers all had pelvic spines and first dorsal spines.

127 The experimental ponds

The experiment was conducted in eight semi-natural experimental ponds located on the University of British Columbia Campus in Vancouver, Canada. The ponds were constructed in 2008 and are $25 \text{ m} \times 15 \text{ m}$, encompassing both a vegetated littoral zone and a 6 m deep open water habitat. The ponds contain a natural assemblage of food resources and do not exclude invertebrate or avian predators. For further details of the pond structure see Arnegard *et al.* 2014 and Figure S1 for an aerial photo.

134 Experimental fish and pond introductions

135 The experiment was conducted in four pairs of ponds (see Figure S2 for schematic of 136 experimental design). Pairing was based on similarity of environments according to count 137 surveys of macrophyte coverage, phytoplankton, zooplankton and insects. The F_1 hybrids were 138 reared in the lab in 100 L tanks for a year prior to their introduction into the experimental ponds 139 in May 2012. Each of the four F₁ families was split between a pair of ponds, with one cross per 140 pond pair. Each pond received 21-31 individuals, with paired ponds receiving equal numbers of 141 fish. The F₁ hybrid stickleback in all eight ponds reproduced naturally over the spring and 142 summer of 2012, producing the first pond generation composed of multiple F₂ hybrid families.

143 **Pond sampling**

144 In September 2012, a lethal sample of F₂ offspring was taken from each pond. After this 145 initial sampling was complete two coastal cutthroat trout (10 - 12 inches in length) were 146 introduced to one randomly chosen pond within each pond pair (hereafter referred to as 'trout 147 addition ponds'). Cutthroat trout were obtained by angling in Placid Lake, southwestern British 148 Columbia. The F₂ generation was again lethally sampled in January 2013 and April 2013. In the 149 spring and summer of 2013 the F₂ generation fish bred within the ponds creating the F₃ 150 generation. This F_3 generation was lethally sampled in September 2013. During all sampling 151 periods stickleback were caught using a combination of un-baited minnow traps, open water 152 seining, and dip netting. We then sub-sampled randomly from all captured individuals. Trout did 153 not breed within the ponds. See Figure S2 for a schematic of the experimental design and 154 sampling timeline. Across timepoints and treatments the estimated average population density of 155 stickleback (indicated from mark recapture data) ranged from 693-1977 (Rudman et al. 2016), so the sampling of 50 individuals constituted a subsample of between two and seven percent of theestimated total population.

158 Phenotyping

Immediately following collection, fish were euthanized in MS-222 and placed in 95% ethanol. A portion of the caudal fin was removed and set aside for DNA extraction. Each fish was then stained with alizarin red to highlight bony structures (Peichel *et al.* 2001) and the length of its first dorsal spine, pelvic spine, and standard length were measured then size corrected (see online supplement for full details). All analyses reported in this paper were undertaken using these size corrected measurements. Fifty individuals per pond were measured in September 2012, January 2013, April 2013 and September 2013.

166 Genotyping, linkage and quantitative trait locus (QTL) mapping

167 DNA was extracted from each fish's fin clip using a standard phenol-chloroform 168 extraction protocol. Fifty individuals were sampled per pond from September 2012 F₂s and 169 September 2013 F_{3s} (800 individuals total). DNA was also extracted from the F_1 parents and pure 170 benthic or limnetic grandparental individuals. DNA was prepared for Illumina sequencing using 171 the *PstI* enzyme following the genotyping by sequence method of Elshire *et al.* 2011 (see online 172 supplement for full details). Sequence variants were identified using a standard, reference-based 173 bioinformatics pipeline (see archived code and online supplement for full details). A pedigree 174 was constructed using the MasterBayes R package (Hadfield 2012) and JoinMap (Ooijen and 175 Voorrips 2002) was used to estimate the genetic map (see online supplement for full details). A 176 total of 2243 SNP markers and the genetic map were used for the quantitative trait locus (OTL) 177 mapping of first dorsal spine and pelvic spine length. QTL mapping was done using the Haley178 Knott regression with F₁ family as a covariate in the R/qtl package (Broman and Wu 2013) (see
179 online supplement for full details).

180 Selection Analyses

181 We estimated the standardized evolutionary response of phenotype, genotypes and 182 treatment effects in Haldanes (h) (see online supplement for the corresponding equations 183 (Equations 1 & 2)). Haldanes were used to estimate the evolutionary response as they are 184 expressed in units of standard deviation and a common scale allowed us to compare the 185 magnitude of the genotypic and phenotypic responses (although we also report allele frequency 186 differences). For both genotype and phenotype, the statistical significance of the mean selection 187 intensity, mean evolutionary response and treatment effects were determined using a *t*-test with 188 pond pairs as replicates. For the genotypic analysis an individual's genotype was coded as a 189 numeric trait (2 for two limnetic alleles, 1 for an individual with 1 limnetic and 1 benthic allele, 0 190 for two benthic alleles). We used linear models to describe the phenotypic trait trajectories 191 through time. These models included a quadratic term which allowed us to model curvature in the 192 trajectories through time. We quantified the difference between treatments within a family for 193 both curvature and linear slope (Equations 3 & 4 in the online supplement). We estimated 194 standardized univariate selection differentials (intensities, s') between sampling periods within a 195 generation (*i.e.* September to January) as $s' = (\bar{x}_{after} - \bar{x}_{before})/\hat{\sigma}_{pooled}$. All statistical analyses were 196 conducted in R (version 3.1.2) (R Core Development Team 2018). All reported P-values are two-197 tailed.

198

199 **Results**

200 Phenotypic trajectories

201 Trajectories of mean length of dorsal and pelvic spines in the experimental F₂ generation 202 populations diverged between treatments over time, and these differences were transmitted to the 203 next (F₃) generation (Figure 2). Initially, over the first sampling interval, mean armor declined in 204 all 8 ponds, corresponding to the first summer and fall for the juvenile F₂ generation stickleback (first dorsal spine, mean directional selection coefficients \bar{s} ' = -0.30 ±0.07 SE, t_7 = -4.24, P = 205 206 0.004; pelvic spine, $\bar{s}' = -0.15 \pm 0.04$ SE, $t_7 = -4.26$, P = 0.004, treating ponds as independent 207 replicates). Surprisingly, the initial decline in mean armor was significantly faster in ponds where 208 trout were present than in control ponds (Figure 2; statistical estimates of rate of change Table 1). 209 This initial effect of treatment was found to be associated with reduced use of the open water 210 habitat in the presence of trout, and increased use of the littoral zone (Rudman et al. 2016), where 211 shorter spines are predicted to be favored (Reimchen 1994). Trajectories of mean dorsal and 212 pelvic spine lengths began to reverse direction in the trout treatment ponds as the F₂ cohort 213 increased in body size over the winter and subsequent spring. This resulted in a significantly 214 greater upward curvature of trajectories in both spine traits in ponds with trout predation (Figure 215 2, Table 1).

216 *Evolutionary response of phenotype*

After reproduction, mean length of first dorsal spine in the F_3 cohort was greater in the treatment ponds than in control ponds, indicating an evolutionary response to vertebrate predation. In trout treatment ponds, mean first dorsal spine length in the next generation recovered from its initial decline to values similar to those of the F_2 cohort at the start of the experiment, whereas the mean in the next generation declined in control ponds (Figure 2). This resulted in divergent evolution of first dorsal spines between treatment and control ponds (mean

treatment effect 0.63 \bar{h} (haldanes) ±0.20 SE, $t_3 = 3.11$, P = 0.052) (Figure 3A). Trends were the same in pelvic spine length, where treatment ponds showed a late-life recovery from their initial decline, combined with weak selection on the trait in control ponds (Figure 2). The net result after one pond generation was slight, but variable and non-significant, evolutionary divergence in pelvic spine length between treatment groups (0.21 \bar{h} ±0.29 SE, $t_3 = 0.71$, P = 0.54) (Figure 3A).

228

Evolutionary response of genotype

229 Our four F₁ family OTL map (Figure S6) indicated that length of the first dorsal spine 230 maps to the region containing Msx2a on chromosome IV, and length of the pelvic spine and 231 pelvic girdle map to the *Pitx1* region on chromosome VII, consistent with previous work (Chan et 232 al. 2010; Howes et al. 2017). In the QTL maps within each F₁ family peaks on chromosome IV 233 near Msx2a explained an average of 9 percent of the variance (PVE) in first dorsal spine length 234 and the peaks on chromosome VII near *Pitx1* explained on average 57 percent of the variance in 235 pelvic spine length, depending on family (see Supplementary Table 1 for individual F_1 family 236 values). Evolutionary changes in allele frequencies at the two major loci (Msx2a and Pitx1) 237 underlying armor differences were commensurate with armor changes across the generations, 238 confirming an evolutionary response at these genes. Alleles at Msx2a causing longer dorsal 239 spines, inherited from the limnetic grandparents of the crosses, increased in frequency in 240 treatment ponds relative to control ponds, with on average a 0.14 (\pm 0.06 SE) difference in the 241 frequency change of limnetic alleles. This allele frequency difference translated to an average standardized treatment effect of 0.23 \bar{h} (± 0.09 SE, t_3 = 2.45, p = 0.09; a one-tailed test based on 242 243 the direction of phenotypic evolution is significant) (Figure 3B). Similar to the results on pelvic spine length, no significant treatment effect was detected at the *Pitx1* locus (-0.13 $\bar{h} \pm 0.15$ SE, t_3 244

= -0.87, p = 0.45) (Figure 3B). The average difference in the change of limit allele frequency 245 246 between predation and control ponds was -0.09 (\pm 0.09 SE). *Pitx1* accounted for the majority of genetic variation in pelvic spine length in the F₂ crosses (57 percent of variance on average), and 247 248 the magnitude of the difference in allele frequency at this locus (Figure 3A) was strongly 249 correlated with the magnitude of the phenotypic difference in the trait between pond pairs (r =250 0.99, $t_2 = 8.19$, p = 0.015). In contrast, the genotype-phenotype map for first dorsal spine is more 251 complex, with Msx2a accounting for a smaller percentage of the variation in first dorsal spine 252 length (9 percent of variance on average among the four families). Accordingly, the magnitude of 253 change in allele frequency was uncorrelated with the magnitude of the phenotypic shift between 254 generations (r = -0.35, $t_2 = -0.68$, p = 0.56)).

255

256 **Discussion**

257 The phenotypic and ecological divergence of limnetic and benthic stickleback has been 258 regarded as primarily a consequence of resource competition leading to differential foraging and 259 habitat use (Schluter 1994). However, this differential habitat use has led to differential exposure 260 to the community of predators. We show experimentally that spines and allele frequencies at the 261 underlying genes evolved along different trajectories between trout addition and control ponds. 262 This finding supports the hypothesis that divergence between sympatric stickleback is in part the 263 outcome of their interactions with a vertebrate predator. We show that after a generation, an 264 absence of vertebrate predators favors armor reduction, as has long been suspected (Nelson 1969; 265 Reimchen 1980; Reimchen 1994). However, spine reduction was initially favored in both 266 treatment and control ponds. The cause of this trend is not known but might have stemmed from 267 differential mortality by insects, the main predators of juvenile stickleback, which has been

268 hypothesized to select for reduced armor (Reimchen 1980; Reimchen 1994; Marchinko 2009). 269 Early in life, armor reduction was favored even more strongly in the presence of the vertebrate 270 predator than in its absence. In this experiment this initial effect of treatment was shown to be 271 linked to reduced use of the open water habitat and increased use of the littoral zone by individual 272 fish in the presence of trout (Rudman *et al.* 2016), a behavioral response that may have 273 heightened insect predation and selection in favor of shorter spines. Selection was later reversed 274 in ponds with trout predators, favoring more armor (the ancestral marine phenotype). The large 275 spatial scale of this experiment thus allowed behavioral responses to mediate the direction of 276 selection, but it limited us to few replicates and hence manipulation of a single agent of biotic 277 selection. Future experiments that manipulate multiple biotic agents, including insects, will be 278 needed to disentangle the interactions between distinct predators and confirm our observed 279 trajectories.

280 This experiment advances previous genetic mapping studies and transgenic experiments 281 in stickleback (Chan et al. 2010; Howes et al. 2017), which identified genes contributing to 282 variation in bony armor. Using artificial ponds, we manipulated a potential agent of selection on 283 traits and key genes at a realistic biological scale. By measuring the evolutionary consequences of 284 natural selection directly, we bypassed the need for fitness surrogates and strengthened the 285 evidence for a heritable treatment effect. Thus, using a manipulative experiment, we provide one 286 of the first examples in which the evolution of a phenotype has been linked to both the cause of 287 selection and underlying genotype, which define critical steps in the modern study of the genetics 288 of adaptation (Barrett and Hoekstra 2011; Barrett et al. 2019).

We also clearly attribute phenotypic and genotypic shifts to effects of a biotic interaction, in our case predation. Our results indicate that the ability to predict the evolutionary response at

291 the genotypic level might depend on the complexity of the genotype-phenotype map. The major 292 effect of the *Pitx1* locus resulted in a much stronger correlation between the observed 293 evolutionary responses at the level of phenotype and genotype than the minor effect Msx2 locus. 294 Aside from effect size, reduced predictability was likely also due to variation in epistatic effects 295 among F_1 families. Our relatively coarse scale mapping of the traits (due to the limited number of 296 recombination events in an F₂ cross) likely further contributed to reduced predictability. A caveat 297 is that selection on linked genes and traits might also have contributed to treatment effects via 298 correlated response. This is because Msx2a is located in a region of low recombination (Howes et 299 al. 2017) also known to contain other genes affecting armor, body shape and trophic traits (Albert 300 et al. 2008; Howes et al. 2017). Future experiments are needed to disentangle individual genetic 301 contributions to divergent evolution. Given the considerably larger effect size of *Pitx1* than 302 Msx2a on the resultant phenotype, it is surprising that we observed a less consistent evolutionary 303 response for pelvic spine length across replicates (*i.e.* increased spine length was disfavored in 304 some families). Possible reasons for this variability include variable selection across replicates, 305 differences in linkage disequilibrium between families, and sampling error. Although we do not 306 explicitly examine competition its strength also likely varied between treatments. Stickleback 307 density was temporally variable within the first generation and at the time of reproduction 308 differed between the control and predation treatment ponds (Rudman et al. 2016); on average 309 there was a 65% reduction in the treatment pond populations compared to a 25% reduction in 310 control ponds (Rudman et al. 2016). Interestingly population size reversed at the beginning of the 311 F₃ generation where on average treatment ponds had two times more fish than control ponds 312 (Rudman et al. 2016).

313 Adaptive radiations are marked by explosions of new species having a diversity of 314 ecological roles that often include herbivores, secondary consumers and top predators (Schluter 315 2000; Seehausen 2006). Resource competition has been emphasized as the predominant biotic 316 interaction driving these bursts. However, this view of biotic interactions in adaptive radiation 317 does not explain divergence of sympatric, competing species in numerous traits not directly 318 involved in resource acquisition (Thompson 1994; Jablonski 2008). It has also led to questions 319 about whether the impact of biotic interactions in diversification are short-lived and quickly wane 320 over time, for example as divergence proceeds and interspecific competition subsides (Hembry et 321 al. 2014; Voje et al. 2015). Based on our findings, we suggest that evolving biotic interactions 322 between any pair of diverging species can also lead to a cascade of changes in their interactions 323 with other components of the food web in which they are embedded (Brodersen et al. 2018), in 324 the present case accompanying differential habitat use, spurring further evolution. Thus, biotic 325 interactions can sustain divergence in an ever expanding number of traits and genes, even in 326 relatively low-diversity environments such as postglacial lakes. 327 328 Acknowledgements We thank the following agencies for funding: Natural Sciences and 329 Engineering Research Council of Canada for a Discovery Grant (DS) and PGS-D Fellowship 330 (DJR); University of British Columbia (UBC) for a Four-Year-Fellowship (DJR & SMR) and 331 Zoology Fellowship (DJR); and the Canada Foundation for Innovation, BC Knowledge 332 Development Fund, and UBC for the experimental pond facility. We thank Jacob Best, Mandy 333 Lo & Graeme Rennison for help processing stickleback samples and Benjamin Freeman, Peter 334

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337	Author Contributions
338	D.J.R. and D.S. conceived of the idea behind the study and designed the experiment. D.J.R. and
339	S.M.R. set up and conducted the experiment. D.J.R. collected and analysed the phenotype and
340	genotype data. D.S. performed the QTL mapping. D.J.R. wrote the manuscript with input from
341	D.S and S.M.R.
342	
343	Data Accessibility
344	Raw data, bioinformatic and R scripts archived in Dryad (Accession # TBD).
345	
346	Supplementary Materials
347	Additional Materials and Methods
348	Fig S1 – S6
349	Table S1
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450 Tables

- 451 Table 1. Treatment effect on the linear slope and curvature of size corrected trait trajectories
- 452 through time.

	Treatment effect (95% CI)	<i>t</i> ₃	P value
First dorsal spine linear slope	-0.63 (-1.11 - 0.027)	-3.03	0.056
Pelvic spine linear slope	-0.73 (-1.220.24)	-4.73	0.018
First dorsal spine curvature	0.14 (0.002 - 0.277)	3.22	0.049
Pelvic spine curvature	0.15 (0.008 - 0.300)	3.37	0.043
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456 Figure Legends



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458 Fig. 1. Benthic and limnetic stickleback ecotypes from Paxton Lake. Fish specimens are stained
459 with Alizarin red to highlight bone. The letter A indicates first dorsal spine, B indicates pelvic

460 spine; both traits are most often absent in benthic fish.



Fig. 2. Trajectories of size corrected mean first dorsal spine and pelvic spine length through time in treatment and control ponds. Lines represent fitted values of quadratic regressions. Shared line color between panels identifies ponds within a pair (*i.e.* the same founding F_1 family).





Fig. 3. Evolutionary response of armor (A) and allele frequencies at two underlying genes (B).
Dots above the line indicate more armor (longer spines or higher frequency of the limnetic alleles
linked to longer spines) in the treatment ponds relative to control ponds. Black dots indicate
overall mean with standard error. Individual colored dots represent pond pairs (F₁ families).