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Functional genetic variation in the Rev-Erb α pathway and lithium response in the treatment of bipolar disorder

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Abstract

Bipolar disorder (BD) is characterized by disruptions in circadian rhythms such as sleep and daily activity that often normalize after lithium treatment in responsive patients. Since lithium is known to interact with the circadian clock, we hypothesized that variation in circadian “clock genes” would be associated with lithium response in BD. We determined genotype for 16 variants in 7 circadian clock genes, and conducted a candidate gene association study of these in 282 Caucasian BD patients who were previously treated with lithium. We found that a variant in the promoter of *NR1D1* encoding Rev-Erb α (rs2071427) and a second variant in *CRY1* (rs8192440) were nominally associated with good treatment response. Previous studies have shown that lithium regulates Rev-Erb α protein stability by inhibiting GSK3 β . We found that *GSK3 β* genotype was also suggestive of a lithium response association, but not statistically significant. However, when *GSK3 β* and *NR1D1* genotypes were considered together, they predicted lithium response robustly and additively in proportion to the number of response-associated alleles. Using lymphoblastoid cell lines from BD patients, we found that both the *NR1D1* and *GSK3 β* variants are associated with functional differences in gene expression. Our findings support a role for Rev-Erb α in the therapeutic mechanism of lithium and suggest that the interaction between Rev-Erb α and GSK3 β may warrant further study.

Keywords

Lithium; Bipolar Disorder; Circadian Rhythm; CRY1; glycogen synthase kinase; GSK3B; Rev-Erb-alpha; NR1D1

INTRODUCTION

Bipolar disorder (BD) is a psychiatric illness characterized by severe, episodic mood disturbances that include distinct periods of mania and depression. In both phases of the illness, BD perturbs daily rhythms in sleep, energy, appetite and activity. Furthermore, mood symptoms can vary with time of day and season, and bright light is both an effective antidepressant and a potential trigger for mania. For these reasons, circadian clock

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Disclosure/Conflict of Interest

None of the authors have conflicting interests to report.

abnormalities have been suspected in BD for decades (reviewed by McClung, 2007). Previous studies from our laboratory and others have supported this hypothesis, reporting that polymorphisms in a number of genes with circadian rhythm roles are associated with BD including *ARNTL*, *CRY1*, *CRY2*, *NR1D1*, and *RORB* among others (Kripke *et al.* 2009; Mansour *et al.* 2005; Mansour *et al.* 2009; McGrath *et al.* 2009; Nievergelt *et al.* 2006; Shi *et al.* 2008; Sjöholm *et al.* 2010, Soria *et al.* 2010). Moreover, models for mania have been developed based upon experimental manipulations of the circadian clock in animals (Mukherjee *et al.* 2010; Le-Niculescu *et al.* 2008; Roybal *et al.* 2007).

The circadian clock is comprised of a complex, interacting network of genes (“clock genes”) that collectively maintains rhythmic expression over ~24 hr cycles (reviewed by Takahashi *et al.* 2008). The protein products of *CLOCK* and *ARNTL* are the primary transcriptional activators, whereas the protein products of *PER1/2*, *CRY1/2* and *NR1D1/2* (Rev-Erba/β) are the major transcriptional inhibitors that complete negative feedback loops within the clock (Kume *et al.* 1999, Liu *et al.* 2008). Interestingly, these genes are expressed widely throughout the brain and peripheral tissues maintaining rhythms throughout the body.

Among the effective treatments for BD, lithium (Li) is commonly used. However, a good treatment response to lithium is not universal and among BD patients, ~50% fail Li by the second year of treatment (Vestergaard and Schou 1988). The clinical profiles of Li responsive (Li-R) and non-responsive (Li-NR) BD patients have been reported to differ. For example, euphoric mania and a positive family history are considered to be factors favoring Li response, while dysphoric mania, the presence of co-morbid psychiatric conditions and a negative family history are considered poor prognostic indicators (Kukopulos *et al.* 1980, Grof *et al.* 2003). Based on these and other observations, it has been proposed that Li-R and Li-NR BD may be genetically distinct forms of illness (Grof *et al.* 2003; 2009). However, the biological basis for these differences in Li responsiveness remains unknown.

Despite its common use for over 50 years, the precise therapeutic mechanism of Li remains unknown, but may involve the circadian clock. In support of this notion, various molecular components of the circadian clock are known to be Li sensitive. Specifically, Li has been reported to lengthen the period of circadian rhythms in several species (Kripke *et al.* 1979; Kripke and Wyborne 1980; Welsh and Moore-Ede *et al.* 1990) and to alter circadian rhythms in neuronal firing (Abe *et al.* 2000). Li also inhibits glycogen synthase kinase 3β (GSK3β, Klein and Melton 1996), which in turn regulates protein turnover and stability in the clock network by phosphorylating target proteins, thereby modulating circadian rhythms (Iitaka *et al.* 2005, Yin *et al.* 2006). Of particular interest to the current study, GSK3β inhibition by Li has been shown to facilitate the degradation of Rev-Erba, an important clock signaling molecule (Yin *et al.* 2006). This evidence suggests that Li affects clock genes, and that within the clock, the relationship between GSK3β and Rev-Erba (transcribed from the gene *NR1D1*) may be of particular interest. Supporting this notion, the variant rs2314339 within *NR1D1* has recently been associated with Li response (Campos-de-Sousa *et al.* 2010). However, in this study the relationship of *NR1D1* to *GSK3β* was not examined.

Presently, we sought to identify functional genetic variants in the circadian clock that may be useful in predicting lithium response in BD. We found clock gene variants that are nominally associated with clinical Li response in BD patients, including two variants from the well characterized clock signaling pathway that combine for a more robust association. We then used lymphoblastoid cell lines (LCLs) to model the effects of genetic variation within the clock on Li-induced gene expression in Li-R and Li-NR patients with BD.

METHODS

Subjects

Subjects (N=282) were recruited for genetic studies through a mood disorder clinic at the Veterans Affairs San Diego Healthcare System (VASDHS). Research was approved by the UCSD/VASDHS IRB and all participating subjects provided informed consent. BD can be subdivided into types I and II, based primarily upon illness severity and the presence of mania (in BD I) or hypomania (in BD II), whereas BD not otherwise specified (NOS) is used when one or more factors limit the ability to make a specific BD I/II diagnosis (American Psychiatric Association 2000). Since Li is an accepted therapy for all forms of BD, subjects with any BD sub-type were included. The majority (91%) had BD I, whereas a minority had BD II (7%) or BD NOS (2%). All diagnoses were established using one or more standardized instruments including the Structured Clinical Interview for DSM-III-R or DSM-IVTR (SCID), and the Diagnostic Interview for Genetic Studies (DIGS). All subjects were of self-declared Caucasian ancestry.

Clinical assessment

Li response was determined retrospectively as described previously (Bremer *et al.* 2007). Briefly, a detailed review of medication trials was conducted using information from the patient, medical records and other informants. A panel of clinicians blind to genotype then rated this information. Episode frequency and severity were compared between periods on/off Li. Only Li trials of three months or longer were considered. Response was rated as good if there was a 50% reduction in the frequency and/or severity of symptoms on Li. These subjects were classified as Li responders (Li-R, N=148). Those with < 50% symptom reduction were considered Li non-responders (Li-NR, N=134). The majority of subjects were also scored on the 10 point Alda scale of Li response (Grof *et al.* 2002). Average Alda A criterion score (\pm SD) for Li-R (N=115) was a 6.6 ± 1.5 , corresponding to a response of ~81.5%, and for Li-NR (N= 118) was 2.5 ± 1.5 , corresponding to a response of ~22.5%. In each group, average age (yr \pm SD) was similar (Li-R: 45 ± 12.9 , Li-NR: 44 ± 12.0). Among the Li-R group, 82/148 (55%) were men, compared to 60/134 (45%) men in the Li-NR group [$\chi^2=3.1(1)$, $p=0.08$].

Genotyping

Seven genes were selected for analysis based on their critical roles in the core clock mechanism, both in the positive and negative feedback limbs of the system (Table 1). Sixteen single nucleotide polymorphisms (SNPs) were selected based on predicted function and position within the gene. We focused on regions showing epigenetic histone marks associated with regulatory elements and/or phylogenetic conservation (UCSC Genome Browser: <http://genome.ucsc.edu>, March 2006 Assembly). We also used previous evidence from a case-control study performed previously by our group (Kripke *et al.* 2009), and unpublished association data. This set of markers does not provide comprehensive haplotype coverage, but tests multiple candidate gene regions while minimizing multiple comparisons. All SNPs were transmitted independently across genes. Within *ARNTL*, rs1982350 and rs2279287 were correlated ($r^2 = 0.36$), and all 6 SNPs in *PER3* showed varying degrees of correlation ($r^2 = 0.21$ to 0.84). Thus, the Bonferroni correction employed for multiple testing was considered conservative (Nyholt 2004).

For genotyping, we used SNplex with an ABI 3730 48-capillary DNA analyzer following the manufacturer's protocols (Applied Biosystems). Three SNPs (rs2304679, rs3736544 and rs8192440) were genotyped by Taqman PCR (Applied Biosystems) using an ABI 7900 thermocycler. Among our cohort of 282 BD subjects, 184 (92 Li-R and 92 Li-NR, 65% of subjects) have been genotyped previously using a distinct and non-overlapping set of

markers (Bremer et al. 2007). A different set of 56 BD subjects (26 Li-R, 30 Li-NR, 20% of subjects) was genotyped previously using some of the same markers as part of a BD case-control association study (Kripke et al. 2009). In the present case-case analysis, no healthy controls were included, and all samples were re-genotyped. Using the latter 56 samples as a quality control measure, we found 100% agreement in genotype calls between our previous study and the results presently reported. All of the 16 SNPs used for the Li association experiment also passed the following quality controls: call rate >0.98, minor allele frequency (MAF) >0.05, and genotypes in Hardy-Weinberg equilibrium.

Genetic association analysis

We used a panel of 41 ancestry-informative genetic markers to control for population stratification. Continental ancestry was determined using 944 Human Genome Diversity Panel subjects as a reference (Nievergelt, in preparation). Within subjects of European ancestry, the multidimensional scaling method (Purcell *et al.* 2007) was used to infer continuous axes of genetic variation to be used as covariates to control for residual stratification.

Genetic association was determined using logistic regression. The Wald test was used to calculate odds ratios (OR) for each variant with Li-R/Li-NR as the dependent variable, allelic frequencies of the SNPs as the predictors and gender with two MDS components as covariates. Since we had no *a priori* hypothesis regarding the specific inheritance patterns that determine lithium response, we explored three common mechanisms, analyzing our genetic association data using additive, recessive, and dominant genotypic models for each SNP. A haplotype analyses using a moving window approach was performed but did not result in any significant associations (not shown). All associations were performed in PLINK v. 1.0.7 and SPSS v. 16.

Further analyses of the *NR1D1* and *GSK3 β* genotypic combinations were made post-hoc, examining each of the nine genotype possibilities individually. Calculations for ORs were made for each possible genotype combination and compared to the OR of Li-R/Li-NRs for all of the other genotypes, combined in an iterative manner for each of the nine possibilities. In all analyses, a higher OR corresponds to better chance of treatment response. A chi-square test was used to calculate ORs with significance defined as $p < 0.05$. Gene x gene interaction power analyses were performed using QUANTO v. 1.2.

Lymphoblastoid cell cultures

For the *NR1D1* expression studies, lymphoblastoid cell lines (LCLs) were selected from Li-R (N=13) and Li-NR (N=18) subjects with BD I on the basis of *NR1D1* genotype. For *GSK3 β* expression studies, additional samples were required to obtain sufficient numbers of each genotype, and not all of these cells had donor's Li response history available. In all cases, LCLs were cultured from frozen vials in standard RPMI growth medium with 10% fetal bovine serum (FBS) for 10–14 days to a density of ~1 million cells/ml. Cells were then collected, rinsed in PBS and stored at -80°C . For time course experiments, cells were serum shocked in medium containing 50% FBS for 90 min to synchronize circadian clock gene expression (Liu *et al.* 2007), then re-suspended into standard medium in parallel cultures. After a 20 hr equilibration period, flasks were harvested every three hours over the next 24 hr. For the Li studies, additional LCL cultures were grown in fresh medium \pm Li chloride (1mM) for 72 hr, a duration that simulates the early phase of a clinically relevant drug exposure, but short enough to eliminate the need for manipulations that could introduce artifacts between cultures. Parallel cultures from the same donor \pm Li were compared, allowing each cell line to serve as its own control.

RNA preparation and cDNA synthesis

RNA extraction from LCLs was performed with the RNeasy Mini Kit (Qiagen). RNA (500 ng) was used to synthesize cDNA from each sample using a High-Capacity cDNA Reverse Transcription Kit (Applied Biosystems).

Assessment of clock gene expression

Expression of individual genes was measured by RT-PCR using commercial primers (Applied Biosystems) for *GSK3 β* (Hs00275656_m1) and *GAPDH* (Hs99999905_m1). *NR1D1* has been previously reported to contain an alternative promoter, generating two functional transcripts of different lengths. For this reason, two distinct PCR primer pairs were used to measure *NR1D1* expression. The first (Hs00253876_m1) targeted the junction of the first and second exons, thereby measuring only the full length transcript. The second (Hs00897531_m1) targeted the junction of exons six and seven, thereby measuring both the full length and truncated transcripts. RT-PCR reactions were performed in an ABI 7900 thermocycler with all samples run in duplicate. Gene expression was calculated as a ratio of the target gene to *GAPDH*, and analyzed using the comparative Ct method (Schmittgen and Livak 2008). Where appropriate, data were normalized as a percent of baseline.

Statistical Analysis

Time course data were analyzed using a one-way ANOVA with post-hoc t tests. The least squares method was then used to determine the best line or non-linear function to fit the data. Changes in gene expression following Li were determined by two-way ANOVA with repeated measures using commercial software (Graphpad Prism).

RESULTS

Genetic association of lithium response in BD patients

Among the 16 clock gene variants, two SNPs (rs8192440 and rs2071427) were nominally associated with Li response. The rs8192440 (*CRY1*) association was strongest using an additive transmission model, with the common G allele favoring good response (Table 1). The rs2071427 (*NR1D1*) association was strongest using a dominant transmission model, with the rare A allele favoring good response (Table 1). Previous reports have suggested that *GSK3 β* polymorphisms are associated with Li response (Benedetti *et al.* 2005; Adli *et al.* 2007). In our sample, the *GSK3 β* variant rs6438552 showed only a suggestive trend toward association with Li response using a recessive inheritance model (Table 1), whereby homozygosity at the rare C allele favored Li response. The results obtained using a univariate analysis also revealed nominal lithium response associations with rs8192440 from *CRY1* and rs2071427 from *NR1D1*, but no significant association with the *GSK3 β* variant rs6438552 (Supplementary Table 1). In neither analysis did any of the nominal associations remain significant after Bonferroni correction for 16 tests.

Association analysis of combined *NR1D1* and *GSK3 β* variants

In model systems, *GSK3 β* has been reported to phosphorylate multiple proteins within the circadian clock including Rev-Erba (Yin 2006), ARNTL (also called BMAL, Sahar *et al.* 2010), PER2 (Iitaka *et al.* 2005) and CRY2 (Harada *et al.* 2005). Unlike CRY2, evidence suggests that CRY1 is not a substrate for *GSK3B* phosphorylation (Kurabayashi *et al.* 2010).

Since Rev-Erba is a substrate for *GSK3 β* phosphorylation, and Li has been shown to inhibit this interaction (Yin *et al.* 2006), we examined whether multiple genetic variants within this known signaling pathway could interact to better predict Li response outcomes. Considering the *NR1D1* and *GSK3 β* variants together, the total number of Li response-associated alleles

(range 0-4) was highly correlated with clinical outcome (Figure 1A; $r^2=0.97$, $F_{1,3} = 98$, $p < 0.0025$). Subjects who were doubly homozygous for the favorable A allele at rs2071427 and C allele at rs6438552 had a 75% chance of being in the Li-R group. In comparison, those who were doubly homozygous for the unfavorable G allele at rs2071427 and T allele at rs6438552 had only a 44% response rate. Those with three, two or one favorable alleles were intermediate with progressively lower chances of favorable clinical outcomes. Two additional analyses were conducted to evaluate the Li response rates of specific genotype combinations. The first, a 2×2 analysis using only the best fitting transmission models (dominant for *NR1D1* and recessive for *GSK3β*) maximized statistical power. The second, a 3×3 analysis using each of the nine possible genotypic combinations allowed for higher resolution comparisons of the each genotypic combination (Figure 1B, 1C). In the 2×2 analysis, those with *NR1D1:GSK3β* genotypes of AA-AG:CC responded significantly more often than did the comparison group [$\chi^2(1)=9.36$, OR = 7.5, 95% CI 1.68 – 33.46, $p < 0.0025$]. Conversely, those with GG:TT-TC genotypes responded significantly less often [$\chi^2(1)=7.40$, OR = 0.52, 95% CI 0.32 - 0.83, $p < 0.0075$]. The more detailed 3×3 analysis suggested that the rs6438552 CC genotype at *GSK3β* nominally favored Li response, regardless of *NR1D1* genotype. The statistical analysis revealed that subjects with *NR1D1:GSK3β* genotype of AG:CC fared best [$\chi^2(1) = 8.75$, OR 11.87, 95% CI 1.52 - 92.25, $p < 0.003$] whereas those with GG:TC genotype fared worst [$\chi^2(1) = 3.85$, OR 0.58, 95% CI 0.33 - 1.00, $p < 0.05$]. Only four subjects had the doubly rare AA:CC genotype. While this combination showed a trend toward favorable Li response its OR was not significantly different from unity [$\chi^2(1) = 0.84$, OR 2.77, 95% CI 0.28 - 26.98, $p < 0.36$]. Similarly, while the Li response rate of the AG:CC genotype was nominally higher than the AA:CC genotype, these groups had overlapping confidence intervals and could not be statistically discriminated. None of the other genotype combinations differed significantly from unity in the post-hoc OR analyses (range 0.66 – 2.77).

Examination for epistatic interaction

To more precisely define the combinatorial nature of the relationship between *NR1D1* and *GSK3β*, a logistic regression analysis was performed to predict Li response including both rs2071427 and rs6438552. For each gene this analysis used the most significant genetic model based on the results from the single SNP association analyses (dominant model for rs2071427, recessive model for rs6438552, see Table 1) and included covariates for gender and population stratification. This analysis showed a significant additive effect [$\chi^2(5) = 15.92$, $p < 0.007$], but fell short of providing evidence for a synergistic gene x gene interaction [$\chi^2(1) = 2.228$, $p = 0.136$]. We conducted a power analysis to assess our ability to detect epistasis using the model parameters that corresponded to the strongest single SNP associations (rs2071427: dominant model, MAF 0.28, OR 1.90; rs6438552: recessive model, MAF 0.42, OR 1.82) for 148 Li-R and 134 Li-NR at a baseline Li response rate of 0.47. Under these assumptions, we had 80% power to detect only very large interaction effects of OR >25. To detect interaction effects in the range of 7 - 12, as seen in Figure 1B and 1C, we would need at least 246 Li-R and 224 Li-NR, or 187 Li-R and 171 Li-NR, respectively.

Variant rs2071427 does not affect rhythmic *NR1D1* expression

We next examined whether rs2071427 was associated with functional effects on *NR1D1* expression. As a clock gene, expression of *NR1D1* (encoding Rev-Erba) was expected to oscillate rhythmically (Liu *et al.* 2008). Indeed, we were able to show that *NR1D1* expression did vary significantly over time ($F_{7,88} = 3.55$, $p < 0.005$) after synchronization of the cells, and that a non-linear curve fit the data better than did the best fit line, suggesting a ~24 hr periodic expression rhythm (Figure 2A). When *NR1D1* expression was analyzed by rs2071427 genotype and by Li treatment outcome (Figure 2B and 2C), the expression profiles of *NR1D1* did not differ significantly between groups. Both *NR1D1* PCR primer

sets (directed towards exon junctions 1/2 or 6/7) revealed similar results, and the ratios of *NR1D1* alternate transcripts did not differ by time (not shown).

Variant rs2071427 and *NR1D1* expression after lithium

Genetic variation in *NR1D1* might also affect expression in response to Li. In order to assess this possibility, we measured *NR1D1* transcripts in LCLs after 72 hr of Li exposure. Under these circumstances, rs2071427 genotype was associated with several differences in *NR1D1* expression. First, in samples homozygous for the A allele (AA), expression of the full length *NR1D1* transcript was decreased by ~20% following Li, whereas expression in samples homozygous for the G (GG) allele did not change. Statistical analysis revealed a significant interaction of genotype x Li treatment, favoring decreased expression selectively in the AA group ($F_{1,18} = 5.4$, $p < 0.05$, Figure 3A). When expression of both the full and truncated *NR1D1* transcripts was measured, the AA samples had a non-significant ~15% trend towards increased *NR1D1* expression after Li treatment, whereas the GG samples again did not show any change in expression (Figure 3B).

The ratio of truncated to full-length Rev-Erba protein has been shown previously to affect the stability of the protein (Rambaud *et al.* 2009). When *NR1D1* expression after Li was analyzed as the ratio of full length transcript to full + truncated transcript, the effects of drug treatment on *NR1D1* transcript populations were more pronounced (Figure 3C), with a significant effect of Li ($F_{1,18} = 5.15$, $p < 0.05$), and a highly significant interaction between Li and genotype ($F_{1,18} = 16.4$, $p < 0.001$). A post-hoc t-test revealed that Li-treated samples with the AA genotype expressed a significantly lower ratio of full length/truncated *NR1D1* transcript compared to untreated samples ($t = 3.58$, $df = 9$, $p < 0.01$), in contrast to samples with GG genotype showed no difference after Li treatment.

We examined *NR1D1* expression in a smaller number of AG heterozygotes (N=5) with TT genotype at GSK3 β rs6438552 and found expression levels that were on average intermediate between the homozygous groups in all conditions but did not statistically differ from either the AA or GG groups (data not shown).

GSK3 β expression: Effect of genotype, lithium and clinical history

The SNP rs334558 within *GSK3 β* , commonly called -50 T/C (Russ *et al.* 2001) has previously been shown to affect gene expression and transcription factor binding (Lau *et al.* 1999, Kwok *et al.* 2005) but has not been formally studied in samples from BD patients. The rs6438552 variant that we studied has been linked to rs334558, and we confirmed this linkage in our sample, finding nearly perfect linkage disequilibrium ($r^2 = 0.95$) between genotypes at rs6438552 and rs334558. We examined the association of rs6438552 with gene expression in a sample of 29 LCLs from BD patients. *GSK3 β* expression differed significantly by rs6438552 genotype, with TT samples having the greatest expression, CC having the least, and TC intermediate ($F_{2,26} = 5.25$, $p < 0.05$; Figure 4). In 11 LCL samples (all TT genotype) *GSK3 β* expression after Li treatment was analyzed by clinical response history. Exposure of LCLs to Li did not have any significant effect on *GSK3 β* expression in either Li-R (13.59 vs. 15.10) or Li-NR (13.85 vs. 15.59, data reflect normalized *GSK3 β* expression: baseline vs. Li treated, all p-values > 0.05).

No interaction between GSK3 β and *NR1D1* genotypes on gene expression

Finally, we examined whether *GSK3 β* genotype altered Li's effects on *NR1D1* expression. In the samples described above, *NR1D1* expression for four different *GSK3 β :NR1D1* genotype combinations (TT:GG, TT:AA, TC:GG, TC:AA, N=5/group) was re-examined at baseline and after Li using both *NR1D1* probes. We were unable to examine the rs6438552 CC genotype. No significant differences were observed (data not shown).

DISCUSSION

Overview

The circadian clock has been implicated in BD and Li response by behavioral, genetic and biochemical studies. However, few data directly address the molecular mechanisms underlying clock dysfunction in human BD patients. We performed a candidate gene association study of Li responsiveness in BD and made detailed measurements of clock gene function at the molecular level. In so doing, we have established a preliminary link between molecular clock function and clinical Li response in BD.

Study Limitations

Our work has two major limitations. First, our retrospective genetic association study was underpowered and the nominally significant findings did not survive corrections for multiple comparisons. While replication will be required, the shortcomings of our work are mitigated by several important factors. Namely, the individual variants are associated with functional differences in gene expression, have high odds ratios, are linked in a known biochemical pathway and are robust in their additive effects, together offering sufficient evidence to warrant further investigation.

The second limitation is the use of LCLs to model BD. As a model of psychiatric illness, LCLs are constrained by the absence of specialized brain circuits, clear differences in gene expression between brain and lymphocytes, and potential artifacts caused by viral transformation of the cells. Nevertheless, we believe LCLs to be a reasonable model for the following reasons. First, the circadian clock is cell-autonomous, and does not depend on brain circuits for its function (Welsh *et al.* 2010). Second, despite differences, there is substantial overlap among the gene expression profiles of LCLs, whole blood, and brain (Rollins *et al.* 2010) and while the master clock in mammals is located in the suprachiasmatic nucleus, peripheral cells including blood monocytes also express functional clock genes (Archer *et al.* 2008; Brown *et al.* 2005; Liu *et al.* 2007; Liu *et al.* 2008; Teboul *et al.* 2005; Yagita *et al.* 2001). Moreover, fibroblasts and blood cells have been used to demonstrate differences in circadian gene expression between healthy humans and patients with sleep disorders and BD, suggesting that genetic factors influencing clock function are faithfully reflected in peripheral tissues (Archer *et al.* 2008; Brown *et al.* 2005; Yang *et al.* 2008). We found that LCLs express >40 known clock genes (Supplementary Table 2), and our data suggest that *NR1D1* expression is rhythmic. Collectively, this evidence indicates that LCLs are an appropriate model system for the study of clock genes in BD.

Genetic association of clock genes with lithium response

Using the LCL model, we found two genetic variants associated with gene expression differences. These differences plausibly link genetic variation in the circadian clock to lithium response. In addition, we also found two nominal associations of clock gene variants with Li response in BD patients: the *NR1D1* variant rs2071427 and the *CRY1* variant rs8192440. We found a trend for association of the *GSK3 β* variant rs6438552 with Li response, partially supporting the findings of Benedetti *et al.* (2005) who previously implicated a variant within the same haplotype. While none of these findings remained significant after correcting for multiple comparisons, there was an additive effect and a trend toward epistasis between variants of *NR1D1* and *GSK3 β* , both of which were associated with relevant functional differences in gene expression. Therefore, our analysis suggests that these effects should be re-examined in larger studies of uniformly treated BD patients that have improved power to detect gene-gene interactions. Indeed, larger replication studies are planned in the near future.

Previous pharmacogenetic studies: *NR1D1* and lithium

A recent candidate gene study of Li response also reported positive findings for *NR1D1*, associating rs2314339 with Li-NR (OR = 0.28), but reported no association with rs2071427 (Campos-de-Sousa *et al.* 2010). This study population (N=170) had only 7 subjects with the minor rs2071427 allele and lacked statistical power to detect a contribution from the variant. Previously implicated in BD, rs2314339 is in partial linkage with rs2071427 (Kripke *et al.* 2009), and thus it remains possible that the functional variant in *NR1D1* lies not at rs2071427 but elsewhere in a risk-associated haplotype.

Another report failed to associate *NR1D1* with Li response at two other variants previously associated with BD: rs12941497 and rs939347 (Manchia *et al.* 2009). These SNPS are not strongly linked with rs2071427 (Campos-de-Sousa *et al.* 2010; Kishi *et al.* 2008). The only genome-wide association study of Li response to date failed to identify any Li-associated genetic variants in *NR1D1* (Perlis *et al.* 2009).

Structure and function of *NR1D1*

Rev-Erba, transcribed from *NR1D1*, is of particular interest to Li's pharmacological mechanism due to its role as a substrate for phosphorylation by GSK3 β . Within *NR1D1*, rs2071427 is located in the first intron, a conserved region enriched in epigenetically modified chromatin (Ripperger *et al.* 2006). This region has been shown to serve as an alternative promoter for *NR1D1*, generating a truncated, functional form of Rev-Erba protein (Triqueneaux *et al.* 2004, Rambaud *et al.* 2009). Interestingly, truncated Rev-Erba lacks the amino acids targeted for phosphorylation by GSK3 β , and additional sequences that facilitate protein turnover (Rambaud *et al.* 2009). As a result, truncated Rev-Erba is a poor substrate for GSK3 β , but has enhanced protein stability compared to the full length protein. Li enhances proteasomal degradation of Rev-Erba by inhibiting its phosphorylation by GSK3 β (Yin *et al.* 2006). For this reason, Rev-Erba truncation on the one hand opposes the action of Li by increasing protein stability, but on the other reinforces Li's effects by preventing Rev-Erba phosphorylation, which could affect clock function by mechanisms other than regulating protein stability. We did not assess Rev-Erba protein content in our study, but this may be an interesting topic for future research.

In light of these past findings, we propose that differential sensitivity of *NR1D1* gene expression to Li and altered ratios of *NR1D1* transcripts may improve Li response among BD patients carrying the rs2071427 A allele. The direction of the *NR1D1* expression changes we report in response to Li suggest that in sensitive subjects, Li increases the ratio of truncated *NR1D1* transcript relative to the full-length transcript, which could alter Rev-Erba stability, or perhaps other processes like subcellular localization (which depends on phosphorylation in other clock proteins, e.g. PER2, Vanselow *et al.* 2006).

Interactive genetic effects of GSK3 β and *NR1D1*

Our genetic association results revealed that *GSK3 β* and *NR1D1* are associated with Li response additively. Our study lacked sufficient power to demonstrate epistatic gene x gene interactions, but we did find a trend in this direction. *GSK3 β* and *NR1D1* also interact biochemically in a well-characterized pathway within the circadian clock, in which Li blocks phosphorylation of Rev-Erba by inhibiting GSK3 β (Figure 5). Therefore, any process that reduces the phosphorylation of Rev-Erba could in principle amplify the effects of Li. As discussed previously, truncated Rev-Erba cannot be phosphorylated by GSK3 β . The mood stabilizing properties of Li have been attributed to GSK3 β inhibition, and lower *GSK3 β* expression (as seen with the CC variant of rs6438552) may facilitate this inhibition by reducing the number of active GSK3 β molecules available. Since both mechanisms ultimately converge upon a reduction in phosphorylated Rev-Erba, they could be

combinatorial in their effects. Our genetic association results, while preliminary, suggest that this may be the case.

Conclusions

Our work provides an example of how using targeted functional studies of known biological interactions can enrich the study of genetic associations. Since many complex processes are polygenic, composed of multiple genetic effects of small size, this convergent approach may continue to be useful in the future. Because the clock system is well-characterized and accessible for direct study in samples from clinical populations, further work to clarify its role in BD may be fruitful.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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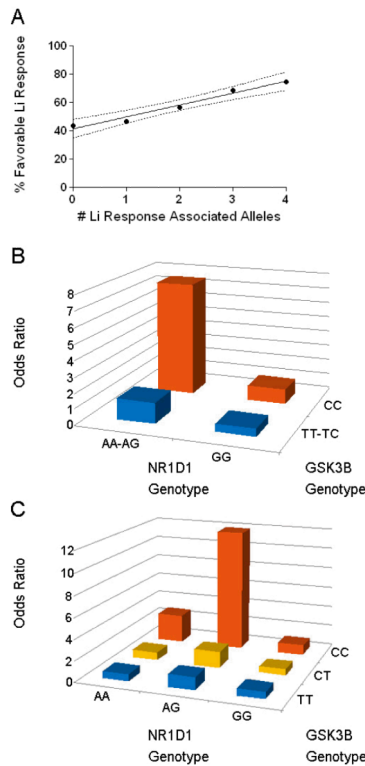


Figure 1.

Additive effects of *GSK3β* and *NR1D1* alleles on lithium response. **A)** All possible genotype combinations at rs2071427 and rs6438552 were determined for all Li-R and Li-NR subjects and scored (0-4) for the number of alleles associated with favorable lithium outcomes. Dashed lines indicate 95% confidence intervals for the regression line. Rates of response for each allelic combination are the following: 4 alleles: 75% (N=4), 3 alleles: 69% (N=26), 2 alleles: 57% (N=95), 1 allele: 44% (N=108), 0 alleles: 47% (N=48). **B)** Odds ratios for lithium response calculated for each of 2x2 *GSK3β* and *NR1D1* genotype combinations using dominant and recessive models. Sample sizes for each subgroup are as follows: AA-AG:CC N=17, AA-AG:TT-TC N=116, GG:TT-TC N=119, GG:CC N= 29 **C)** Odds ratios for lithium response calculated for each of 3x3 *GSK3β* and *NR1D1* genotype combinations. Sample sizes for each subgroup are as follows: AA:CC N=4, AA:TC N=13, AA:TT N=7, AG:CC N=13, AG:TC N=59, AG:TT N=37, GG:CC N=29, GG:TC N=71, GG:TT N=48.

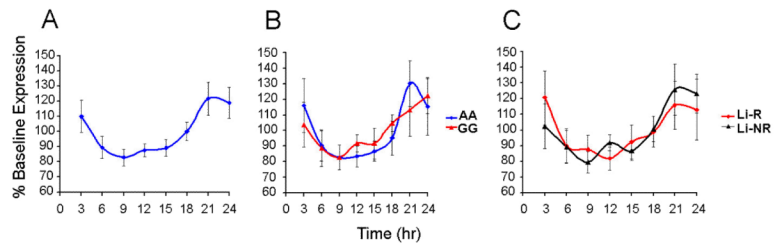


Figure 2. Time course of *NR1D1* expression. **A)** Expression in LCLs (N=12) over 24 hrs after serum shock varies in a non-linear manner suggestive of a ~24 hr periodic rhythm. Expression is similar when analyzed by **B)** Genotype (N= 6/ group) or **C)** Li response history (N= 5 Li-R and 7 Li-NR). In all cases data are presented as % baseline expression \pm SEM.

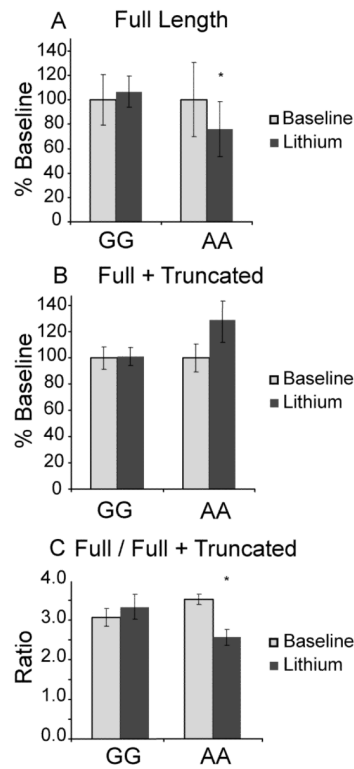


Figure 3. *NR1D1* expression differs by genotype after Li exposure. **A)** Full length transcript expression decreases after Li preferentially in AA homozygotes at the rs2071427 locus. **B)** Expression of full length + truncated *NR1D1* transcript trends toward an increase after Li preferentially in AA homozygotes. **C)** Ratio of full length/(full+truncated) *NR1D1* transcript is significantly and specifically decreased among AA homozygotes at rs2071427. *NR1D1* expression among GG homozygotes does not change significantly after Li. N=10 LCLs/group. Data reflect normalized averages \pm SEM. (*) indicates significance of $p < 0.05$

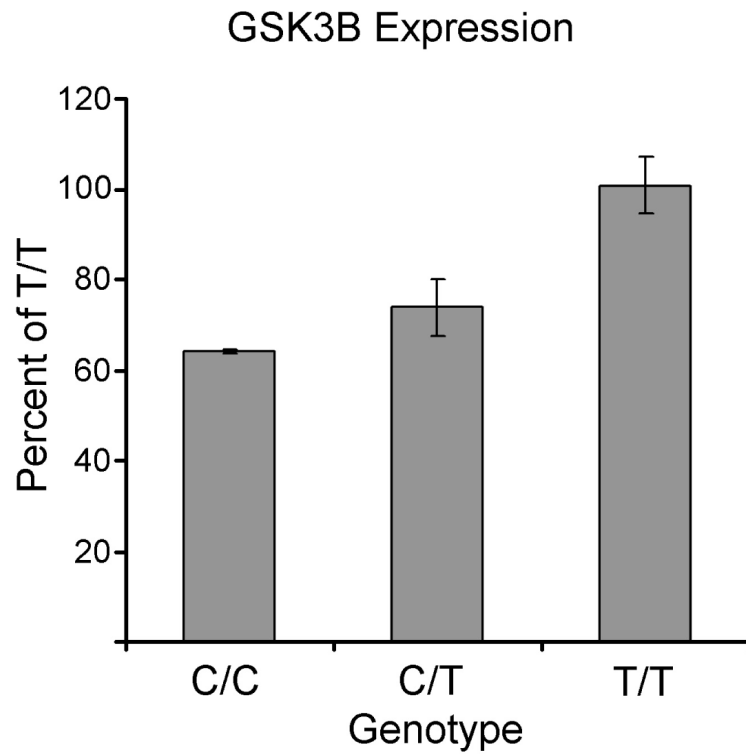


Figure 4.

GSK3 β expression varies by genotype in LCLs from BD patients. Expression was measured in LCLs who were identified as CC (N=2), TC (N= 9) or TT (N= 18) genotypes at rs6438552. Data were normalized to TT genotype. Post-hoc tests showed that the differences between CC vs. TT and TT vs. TC were significant ($p < 0.05$), but that the differences between CC and TC were not.

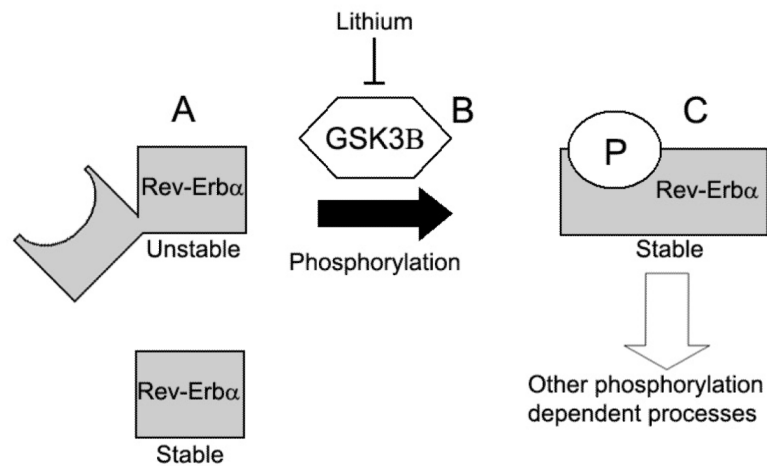


Figure 5.

Proposed model for the putative additive *NR1D1-GSK3β* genetic contribution to Li response. **A)** Variant rs2071427 is associated with altered *NR1D1* transcript ratios in the presence of Li. This may lead to an increase in the truncated Rev-Erbα protein isoform which is more stable, but unable to serve as a substrate for GSK3β phosphorylation. **B)** Variant rs6438552 is associated with lower *GSK3β* gene expression, which may subsequently reduce GSK3β protein levels. **C)** These mechanistically independent processes could additively oppose Rev-Erbα phosphorylation, altering protein stability and/or limiting Rev-Erbα's role in processes requiring this modification.

Table 1

Variants selected from circadian clock genes and Li response association results. Gene and SNP information including the minor allele frequency (MAF) for the rare allele is indicated. Additive, dominant and recessive genetic transmission models were used, the nominal p-values (P) and odds ratios (OR) are shown for each (Add, Dom, Rec). Uncorrected $p < 0.05$ was considered nominally statistically significant with one degree of freedom for each analysis. In some cases, exclusions for quality control slightly reduced total sample size.

Gene	SNP	Chr	Position	Location	N=	Minor allele	Major allele	MAF	Wald Stat	OR Add	P Add	OR Dom	P Dom	OR Rec	P Rec
ARNTL	rs2279287	11	13298485	Enhancer	281	A	G	0.27	0.56	1.11	0.57	1.10	0.68	1.30	0.57
ARNTL	rs1982350	11	13350131	Enhancer	281	T	C	0.35	-0.18	0.97	0.86	1.04	0.89	0.80	0.56
ARNTL	rs2278749	11	13397878	Promoter	282	A	G	0.19	0.72	1.18	0.47	1.11	0.69	2.67	0.24
CLOCK	rs1801260	4	56301369	Exon	281	C	T	0.26	0.32	1.07	0.75	1.32	0.26	0.45	0.13
CLOCK	rs3736544	4	56309992	Exon	279	A	G	0.36	-1.64	0.75	0.10	0.68	0.12	0.68	0.27
CLOCK	rs34897046	4	56325365	Exon	282	C	G	0.05	0.75	1.34	0.45	1.52	0.31	NA	NA
CRY1	rs8192440	12	107395106	Exon	278	A	G	0.41	-2.50	0.64	0.01	0.54	0.02	0.59	0.11
GSK3B	rs6438552	3	119631814	Enhancer	281	C	T	0.42	1.38	1.28	0.17	1.17	0.54	1.82	0.08
NR1D1	rs2071427	17	38254492	Promoter	282	A	G	0.28	1.95	1.45	0.05	1.90	0.01	0.91	0.83
PER2	rs2304672	2	239186589	Exon	281	G	C	0.09	-1.65	0.59	0.10	0.60	0.12	NA	NA
PER3	rs228729	1	7845695	Intron	282	A	G	0.31	0.69	1.14	0.49	1.25	0.36	0.97	0.95
PER3	rs228642	1	7863293	Intron	282	C	T	0.43	0.56	1.11	0.58	1.06	0.83	1.27	0.46
PER3	rs228666	1	7868725	Intron	282	C	T	0.37	-0.51	0.92	0.61	0.95	0.84	0.78	0.46
PER3	rs228697	1	7887579	Exon	281	G	C	0.11	0.44	1.12	0.66	1.10	0.75	1.58	0.61
PER3	rs2859388	1	7888215	Promoter	282	G	A	0.36	0.26	1.05	0.79	0.99	0.97	1.26	0.55
PER3	rs2640909	1	7890117	Exon	277	C	T	0.33	-0.72	0.88	0.47	0.83	0.45	0.87	0.73