- 1 Tunable valleytronics with symmetry-retaining high polarization degree in SnS<sub>x</sub>Se<sub>1-x</sub> model
- 2 system
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## 22 <u>Abstract</u>

23	SnS has recently been shown to possess unique valleytronic capability with large polarization
24	degree, where non-degenerate valleys can be accessed using linearly polarized light, bestowed
25	upon by the unique anisotropy and wavefunction symmetry. It is thus of utmost importance to
26	demonstrate the extension of such effects for the IV-VI system in general, thereby elucidating the
27	generality and tunability of such valleytronics. We show the highly tunable valleytronics via gradual
28	compositional control of the Tin(II) Sulfo-Selenide (SnS $_x$ Se <sub>1-x</sub> ) alloy system with excellent
29	retainment of symmetry-determined selection rules. We show the presence of both $\Gamma Y$ and $\Gamma X$
30	valleys in all alloy compositions via selectivity in absorption and emission of linearly polarized light
31	by optical reflection (R)/transmission (T) and photoluminescence (PL) measurements, and tuned
32	the bandgaps of the valleys within a range of 1.28eV to 1.05eV and 1.48eV to 1.24eV respectively.
33	This simultaneous tuning of non-degenerate valleys agrees well with theoretical calculations. We
34	then fitted the bandgap values in compositional space, obtaining the bowing parameters as a
35	useful database. We further demonstrated the feasibility of using IV-VI valleytronics systems in
36	general by elucidating the retainment of strong polarization degrees of as high as 91% across all
37	compositions. The generalization of such purely symmetry-dependent valleytronics also opens up

- 38 opportunities for discovery of more multi-functional materials.

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The field of valleytronics presents great opportunities in providing an additional valley degree of freedom in the control of electronic systems [1] [2]; much promise in utilizing this unique material's or system's properties hinges upon the ability to access and hence control each valley selectively. Earlier work on valleytronics demonstrated the selective population of degenerate conduction band minima, using strong biases, in systems such as Si [3] [4], AlAs [5] [6], and diamond [7]. More recently, theoretical work on graphene valleytronics [8] has led to the exploration of valleytronics in two-dimensional (2D) systems.

One of the most effective and well-exploited way to explore the valleys of a material is to leverage 47 the light-matter interaction; in essence, certain polarization of light is used to selectively populate 48 49 each valley, which can then form different population states where on-off information can be subsequently utilized. Previous reports of valleytronic behavior on 2D transition metal 50 dichalcogenides (TMDCs) have demonstrated selective optical valley excitation with varying 51 degrees of success [9] [10] [11] [12]. These reports have generated renewed interest in the 52 valleytronics field, one of which being the actual manipulation of valley population under ambient 53 and bias-free conditions with a high polarization degree, which was only recently reported [13], 54

55 where we demonstrated a form of valleytronics in Tin(II) Sulfide (SnS).

56 Such valleytronics has a two-fold manifestation. First, the two sets of valleys in SnS reside along

- 57 the  $\Gamma$ Y and  $\Gamma$ X directions in reciprocal space respectively, and, by the selection rules, are
- 58 exclusively selected by y and x polarized light respectively (Supplementary Text S1, adapted from
- 59 [13]). Second, the anisotropic nature of the material bestows upon the valleys the non-degeneracy
- 60 in bandgap values. As such, both incident light polarization and energy can potentially be used to
- 61 independently access each valley, granting the material system more flexibility in terms of
- 62 excitation conditions.
- 63 An important extension to such flexibility is then to expand such valleytronics to the rest of the IV-
- 64 VI family, which will not only allow a wider wavelength range for excitation/emission but, more
- 65 importantly, prove that such valleytronics is a general behavior within the material system.
- Herein, we report a model system in  $SnS_xSe_{1-x}$ ,  $0 \le x \le 1$ , demonstrating both the retainment of valley
- 67 selectivity and the gradual tuning of bandgaps at both valleys across all alloy compositions. The



- retainment of PL intensity and polarization degrees across the whole compositional range proves
- 70 We also demonstrate the continuous tuning of bandgaps for both valleys across the whole
- compositional range; the range of bandgaps (1.28eV to 1.05eV and 1.48eV to 1.24eV for the two
- valleys respectively) is much larger than that of 2D semiconductor alloys [14] [15] [16] [17],
- r3 especially when considering the combined effects of both valleys under different polarizations.
- 74 Furthermore, typical advantages of semiconductor alloys over pure semiconductors, including the
- 75 flexibility in choice of synthesis methods [16] and the additional parameter of lattice matching [18],
- can be utilized on top of bandgap tunability.
- 77 SnS<sub>x</sub>Se<sub>1-x</sub> was chosen because of the similarity in band structures for SnS and SnSe, with the
- presence of two valleys along the  $\Gamma$ Y and  $\Gamma$ X axes and the band separation at the  $\Gamma$  point having a
- 79 larger value than the two valleys [19]. Since both materials' band structures arise from
- 80 combinations of the same constituent wavefunctions [20], which satisfies the same symmetry
- considerations [21], valley selection rules in SnS have to also apply to SnSe, along with all
- 82 SnS<sub>x</sub>Se<sub>1-x</sub> compositions.
- Both SnS and SnSe have the Pmcn crystal structure with an orthorhombic unit cell comprising of 83 puckered layers [22], and the lattice parameters of SnS<sub>x</sub>Se<sub>1-x</sub> has been experimentally shown to 84 85 vary gradually across the alloy compositions [23]. The 2x2 supercells of SnS, SnSe, and a 86 representative SnS<sub>0.5</sub>Se<sub>0.5</sub> alloy are presented in Fig. 1a. Using the fitted lattice parameters from 87 [23] and adopting the simplest case of homogeneous alloying [16], our density functional theory 88 (DFT) calculations yield band structures with two local band gaps along the FY and FX axes, 89 denoted by  $E_{q,\Gamma Y}$  and  $E_{q,\Gamma X}$  respectively, across the whole compositional range (Fig. 2 and Fig. S1). 90 We synthesized SnS<sub>x</sub>Se<sub>1-x</sub> microplates,  $0 \le x \le 1$  in nominal increments of  $\Delta x = 0.125$  via Physical 91 Vapor Deposition (PVD) and observed their flat morphology via SEM. Fig. 1b shows the optical microscope image, SEM image and SEM-EDX mappings of Sn, S, and Se of a representative 92 nominally SnS<sub>0.875</sub>Se<sub>0.125</sub> alloy (The peak intensities for S and Se in the SEM-EDX spectra as well 93
- as images and mapping results of other alloys are presented in Fig. S2 and Fig. S3 respectively).



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101 102 quantitative analysis.

achievable using S compensation [28] or multi-sourced CVD methods [29] [30]. 103 104 Raman spectra of SnS [26] and SnSe [27] under -z excitation (perpendicular to the layers) contain 105 characteristic peaks that correspond to three  $A_{q}$  modes and one  $B_{3q}$  mode. We observed that these 106 modes follow gradual shifts for the alloys (Fig. 1d and Fig. 1e). Note that the composition values are nominal, not actual. The larger change of actual composition from SnS to SnS<sub>0.875</sub>Se<sub>0.125</sub> leads 107 to the rapid change of B<sub>3a</sub> modes. We used the relative peak intensities [27] to determine the 108 109 armchair (y) and zigzag (x) directions of each alloy composition, and presented the respective 110 spectra under 532nm excitation when the incident polarization is aligned along the x and y axes for 111 parallel (Fig. 1d) and cross (Fig. 1e) polarization configurations. Note that the peak for the  $A_{10}^{(1)}$ 112 mode of SnSe cannot be observed due to the wavenumber being lower than the Raman filter edge. Also, for clarity, Raman spectra aligned along the y axis under parallel excitation is repeated 113 using 785nm excitation to clearly distinguish and reveal the trends for the  $A_{1g}^{(3)}$  modes of the alloys 114 115 (Fig. S4). 116 We observed a clear two-mode behavior for all Raman peaks, where the alloys can exhibit two 117 peaks (SnS-like and SnSe-like) that belong to the same Raman mode. This alloy behavior can be explained using the modified random element isodisplacement (MREI) model [17] [31], where 118

The exact S:Se ratios obtained from SEM-EDX follow a gradual trend and were used for

From the plot of actual composition versus nominal (design) composition (Fig. 1c), we can see that

attributed to the lower equilibrium vapor pressure of SnSe as compared with SnS [24] [25], which is

commonly reflected in the higher required PVD growth temperature of SnSe as compared with SnS

Further tuning, especially for large S content, is beyond the scope of this work, but should also be

the Se content of all compositions is consistently higher than the design amount. This can be

[26] [27]. Our SEM-EDX results reflects the compositional tunability using our growth method.

- 119 strong S-Se interactions gives two eigenvalues, one SnS-like and one SnSe-like, as the solutions
- 120 to the quadratic equation of the model. This observation agrees with the common understanding
- 121 that SnS and SnSe possess comparable interlayer and intralayer forces, as evidenced by the
- 122 relatively small difference in interlayer and intralayer atomic distances [19]. Since all of the Raman



modes of concern here are related to relative interlayer motions [27], S-Se interactions should be 123 significant, which explains the two-mode behavior. 124

Tunability of bandgap values at each valley is demonstrated using reflection (R) and transmission 125

(T) as well as PL measurements, following the same protocol in our previous work on SnS [13]. 126

127 White light R and T measurements were conducted with the incident excitation polarized along the

128 y or x direction, hence demonstrating valley selectivity for absorption. Normalized Tauc plots are

presented in Fig. 3a, clearly showing the decrease in optical bandgap values as Se content 129

130 increases. Using Tauc plot analyses, we show that the bandgaps can be gradually tuned within a

131 range of 1.28eV to 1.05eV and 1.48eV to 1.24eV for the *FX* and *FY* valleys respectively.

132 PL measurements were conducted under parallel or cross polarization, with a second polarizer

133 serving as an analyser placed before the detector, to further demonstrate selectivity for emission.

134 Fig. 3b shows the PL spectra under parallel polarized 532nm excitation that is aligned to the y and

135 x axes for all alloy compositions, which elucidates the presence of a single PL peak in each

spectrum that corresponds to the  $\Gamma Y$  and  $\Gamma X$  valleys respectively. (Due to the limit of the Silicon 136

137 visible range detector, the PL peaks from the  $\Gamma X$  valleys cannot be detected for alloys with Se

138 content SnS<sub>0.25</sub>Se<sub>0.75</sub> (nominal) and above. We subsequently conducted PL measurements using

139 785nm excitation and an InGaAs infrared detector (Fig. S5) to obtain the PL peak positions of

those alloys.) It can be observed that the PL peak positions red-shifts with more Se for a range of 140

1.29eV to 1.20eV and 1.64eV to 1.45eV respectively. This agrees with the decrease in optical 141

142 bandgap values obtained from R and T spectra.

143 The trends in bandgaps values for the valleys can be explained using the difference in 144 contributions of elemental atomic orbitals to the sub-bands that make up the conduction band minimum (CBM) and valence band maximum (VBM). Orbital projected band structures in Fig. 2 145

show that the CBMs are predominantly made up of 5p orbitals from Sn, while the VBMs are mainly 146

composed of p orbitals of chalcogenide atoms. The contribution by Se is from the 4p orbitals, 147

which is higher in energy as compared with the 3p orbitals of S. Therefore, with a greater Se 148

149 content, the hybridised orbitals that make up the VBM of alloys will have more Se character, hence



- leading to a smaller bandgap. This is clearly shown in Fig. 2b, where the VBM of SnS<sub>0.5</sub>Se<sub>0.5</sub> is 150
- contributed mostly by Se orbitals. 151
- The trends in bandgap values agree with the calculated band structure (Fig. 2 and Fig. S1), 152
- ascertaining the validity of the optical measurement results. More importantly, our DFT calculations 153
- 154 show that the CBM and VBM along  $\Gamma X$  ( $\Gamma Y$ ) is contributed almost entirely by  $p_x$  ( $p_y$ ) orbitals. This
- 155 confirms that the symmetry of the wavefunctions that make up the CB and VB along  $\Gamma X$  and  $\Gamma Y$  for
- all alloy compositions remain the same as that of SnS; the irreducible representations of orbitals 156
- that make up the CBM and VBM remain the same across all compositions. 157
- 158 Hence, using our previously discussed selection rules (Supplementary Text S1, adapted from [13]),
- 159 CB to VB transitions at the valleys can only occur for light polarized along the x and y directions
- 160 respectively; valley selectivity is retained across all SnS<sub>x</sub>Se<sub>1-x</sub> alloy compositions, in agreement
- 161 with the experimental results shown above.
- 162 We further quantify such retainment of valley selectivity with the figures of merit, the intervalley
- $(P_{\text{intervalley}, \Gamma Y / \Gamma X})$  and intravalley  $(P_{\text{intravalley}, \Gamma Y / \Gamma X})$  polarization degrees, as defined in our previous work 163

[13] as:

164

165 
$$P_{intervalley,\Gamma X} = \frac{I_{\Gamma X \parallel}(\theta = 90^\circ) - I_{\Gamma Y \parallel}(\theta = 90^\circ)}{I_{\Gamma X \parallel}(\theta = 90^\circ) + I_{\Gamma Y \parallel}(\theta = 90^\circ)} \text{ and } P_{intervalley,\Gamma Y} = \frac{I_{\Gamma Y \parallel}(\theta = 0^\circ) - I_{\Gamma X \parallel}(\theta = 0^\circ)}{I_{\Gamma Y \parallel}(\theta = 0^\circ) + I_{\Gamma X \parallel}(\theta = 0^\circ)}$$

166 
$$P_{intravalley,\Gamma X} = \frac{I_{\Gamma X \parallel}(\theta = 90^{\circ}) - I_{\Gamma X \perp}(\theta = 90^{\circ})}{I_{\Gamma X \perp}(\theta = 90^{\circ}) + I_{\Gamma X \perp}(\theta = 90^{\circ})} \text{ and } P_{intravalley,\Gamma Y} = \frac{I_{\Gamma Y \parallel}(\theta = 0^{\circ}) - I_{\Gamma Y \perp}(\theta = 0^{\circ})}{I_{\Gamma Y \parallel}(\theta = 0^{\circ}) + I_{\Gamma Y \perp}(\theta = 0^{\circ})}$$

It is clear from Fig. 3b, that Pintervalley, FY/FX (Fig. 4a) remains significant across all compositions. 167

168 Results from PL measurements conducted under cross polarization were then used to calculate

- 169 Pintravalley, FY/FX, which are also presented in Fig. 4a. The strong polarization degrees of, for example,
- up to 91% and an average of 75% for P<sub>intravaliey, IX</sub>, proves that the alloys still rival results obtained 170
- using monolayer TMDCs from experiments conducted at cryogenic temperatures [9] [12] [32]. 171
- Our PL, white light R and T and theoretical results clearly show tunable, wavelength-dependent, 172
- non-degenerate valleytronics in SnS<sub>x</sub>Se<sub>1-x</sub>. For practical purposes, it is thus important to apply 173
- 174 Vegard's law [33] to generate a database for the bandgap values and the bowing parameter, b



[34]. Fig. 4b shows the optical bandgap values obtained via white light R and T as well as PL forboth valleys, plotted in compositional space. Using

177 
$$E_{g,SnS_xSe_{1-x}} = xE_{g,SnS} + (1-x)E_{g,SnSe} + bx(1-x)$$

178 we obtained  $b_{,\Gamma Y(white light)}$ =-0.11185eV,  $b_{,\Gamma X(white light)}$ =-0.0331eV,  $b_{,\Gamma Y(PL)}$ =-0.06843eV, and  $b_{,\Gamma X(PL)}$ =-0.06844eV, and  $b_{,\Gamma X(PL)}$ =-0.06844EV, and  $b_{,$ 

0.0521eV, respectively. The fitted functions are also overlaid in Fig. 4b. We note that the bowing
parameters obtained for the valleys using both absorption and PL methods are close to each other
and very small [35], which gives a rather linear relationship that simplifies the tunability. Calculated
bandgaps (obtained from Fig.S1) values are also presented in the inset of Fig. 4b.

While beyond the scope of this work, we also observe from Fig. 3b and Fig. S5 that the full-width at
half-maximum (FWHM) of the PL peaks (Fig. S6a and Fig. S6b) increases with greater Se content,
along with a decrease in PL intensity (Fig. S6c). We further conducted power-dependent PL

186 measurements, fitted, and analysed the PL peak intensity using a power law,  $I_{PL} \propto I_{excitation}^{k}$ , as

presented in Supplementary Text S2 and Fig. S6d, to understand the effect on the nature of thebandgap transitions with alloying.

189 In conclusion, we have conducted reflection/ transmission and PL measurements that show the 190 tunability of the two bandgaps from 1.28eV to 1.05eV and 1.48eV to 1.24eV in bulk SnS<sub>x</sub>Se<sub>1-x</sub> for 191 the valleys residing along ГX and ГY respectively. These valleys retained the selection rules as previously reported in bulk SnS, under ambient conditions and without additional biases. The 192 valleys are strongly and solely excited by y- and x-polarized light and also mostly emit y- and x-193 polarized light respectively, effectively serving as a demonstration of tunability of non-degenerate 194 valleys. Our model system also has, nominally, the advantage of superior polarization degrees of 195 196 up to 91%. We also elucidate the bandgap bowing parameters for the alloy system and revealed 197 some insights of the transitions, including quantitative analyses that revealed recombination 198 mechanisms to be dominantly independent of dopants levels. Such discovery may allow future

199 work on practical applications with  $SnS_xSe_{1-x}$  as a model system to be conducted.



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## 246 Supplementary material

- 247 See supplementary material for power law analyses of CB to VB transitions, which further explains
- bandgap transitions, as well as supporting SEM, Raman, and DFT evidences.

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## 253 Author contributions

- 254 J.Y. coordinated the work. S.L and J.Y designed the experiments. S.L. conducted the optical
- 255 measurements and analysed the data. T.W.H. and E.M.C. conducted the optical measurements.
- 256 T.H. and K.P. carried out the DFT calculations and electric dipole analysis. Z.F., C.H.S., C.Y., R.L,
- 257 and Y.L. synthesized and characterized the materials. S.L and J.Y wrote the manuscript with the
- help from all authors.
- 259 Competing financial interests
- 260 The authors declare no competing financial interests.
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- 262 Correspondence and material requests should be addressed to Jie Yao.



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**Figures** 

(a) <sub>SnS</sub> Sn
Sn
Sn
Sn
Sn (c) (b) 1.0 0.0 0.2 0.4 0.6 0.8 Nominal composition (x) B<sub>3g</sub> (d) A (2) c(bb)c ....c(aa)c (e) -----c(ba)d SnSe ntensity (a.u.) 90 120 150 180 210 Wavenumber (cm<sup>-1</sup>) 90 120 150 180 Wavenumber (cm<sup>-1</sup>) 60 210 240 Fig 1: Structure and characterization of SnSxSe1-x. (a) 2x2 supercells of molecular structures of SnS, SnSe, and a representative SnS<sub>0.5</sub>Se<sub>0.5</sub> alloy with the simplest case of homogeneous alloying. The puckered arrangement of atoms and the high anisotropy along the y (armchair) and x (zigzag) directions is maintained across the whole compositional range while the lattice parameters increase





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- 277 samples. (e) Raman spectra obtained under cross polarization, showing clear trends in intensities
- 278 for the B<sub>3q</sub> modes.

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- $\label{eq:scalar} 286 \qquad \underline{respectively}. \ Red, \ green, \ and \ blue \ shades \ correspond to \ contributions \ of \ each \ subband \ by \ p_{x_1}, \ p_{y_2}$
- 287 and  $p_z$  orbitals respectively; this demonstrates that  $\Gamma X$  and  $\Gamma Y$  valleys are predominantly made up of
- 288  $p_x$  and  $p_y$ , orbitals respectively for all alloy compositions.

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Fig 3: Optical measurements of SnS<sub>x</sub>Se<sub>1-x</sub>. (a) Normalized Tauc plots of both directions for different alloy compositions obtained from white light R and T measurements, showing a distinct decrease in bandgap value with increasing Se content. (b) PL peaks under parallel polarization. Only spectra aligned along x and y directions are presented, showing that for all alloy compositions, the high (low) energy peak has the strongest intensity when the excitation light is polarized along the y (x) direction, showing the retainment of valley selectivity across all alloy compositions. There is also a clear trend of decrease in bandgap value with increasing Se content.

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Fig 4: Valley selectivity in SnS<sub>x</sub>Se<sub>1-x</sub>. (a) Trends in polarization degrees with compositional
 variation, showing the retainment of high valley selectivity across all alloy compositions in SnS<sub>x</sub>Se<sub>1</sub>.

301 <u>x. Intervalley (intravalley) polarization degrees are presented as squares (circles), with dashed</u>

302 (dotted) lines demarcating the respective average values. Solid and open data points denotes the

303 polarization degrees that depends predominantly on PL signals of the *Г*Y and *Г*X valleys respectively.

304 (b) Optical bandgaps obtained by white light R and T as well as PL measurements, plotted with fitting

305 functions using Vegard's law. Calculated bandgap values are presented in the inset, showing a

306 smooth variation and similar trends for both *ГY* and *ГX* valleys respectively.



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