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**Permalink** https://escholarship.org/uc/item/0kg277gk

**Journal** Birth Defects Research, 109(6)

**ISSN** 2472-1727

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Publication Date 2017-04-03

#### DOI

10.1002/bdra.23602

Peer reviewed



## **HHS Public Access**

Author manuscript *Birth Defects Res.* Author manuscript; available in PMC 2018 January 18.

Published in final edited form as: *Birth Defects Res.* 2017 April 03; 109(6): 403–422. doi:10.1002/bdra.23602.

### Air Pollution, Neighborhood Acculturation Factors, and Neural Tube Defects Among Hispanic Women in California

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#### Abstract

**Background**—Neural tube defects (NTDs) are one of the most common types of birth defects. Environmental pollutants and acculturation have been associated with NTDs independently. The potential effect modification of acculturation in the relationship between ambient air pollution and risks of NTDs is not well understood.

**Methods**—We investigated whether associations between traffic-related air pollutant exposure in early gestation and NTDs, and more specifically spina bifida, were modified by individual and neighborhood acculturation factors among 139 cases and 466 controls born in the San Joaquin Valley of California, 1997 to 2006. Five criteria pollutant exposures in tertiles, two outcomes, and seven neighborhood acculturation factors from the U.S. Census at the block group level were included for a total of 280 investigated associations. Estimates were adjusted for maternal education and multivitamin use in the first 2 months of pregnancy. Additional analyses were stratified by nativity.

**Results**—Increased odds of NTDs were observed for individuals who had high exposures to carbon monoxide, nitrogen oxide, or nitrogen dioxide and lived in neighborhoods that were more acculturated. Conversely, there were increased odds of NTDs for those who had high prenatal exposure to  $PM_{10}$  and lived in neighborhoods that were less acculturated. The results of spina bifida alone were generally stronger in magnitude. When stratified by individual nativity (U.S.- vs. foreign-born), carbon monoxide, nitrogen oxide, and nitrogen dioxide were more strongly associated with NTDs among U.S.-born Hispanic mothers.

**Conclusion**—Neighborhood acculturation factors were modifiers of the relationship between air pollution and NTDs in California, though not in a consistent direction for all pollutants.

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#### Keywords

congenital anomalies; air pollution; birth outcomes; acculturation

#### Introduction

Neural tube defects (NTDs) result from incomplete closure of the neural tube within approximately 28 days following conception (Botto et al., 1999). NTDs are one of the most common groups of birth defects and affect more than 320,000 pregnancies worldwide per year (Christianson et al., 2006) with approximately 3000 in the United States (CDC, 2004). The birth prevalence of NTDs worldwide has decreased over the past 30 years (Kondo et al., 2009). This decline has been attributed to advancements in detection with increasing availability and social acceptance of pregnancy termination and to the introduction of fortification of staple foods with folic acid in many countries including the United States. NTDs remain an important public health problem despite folic acid fortification. Alternative etiologies are largely unknown, though risk factors include both genetic and environmental influences (Wallingford et al., 2013).

We have previously demonstrated in the San Joaquin Valley of California associations between exposure to several pollutants and NTDs (N= 215 cases) (Padula et al., 2013). Spina bifida was associated with approximately twofold risks for carbon monoxide (CO) and nitrogen dioxide (NO<sub>2</sub>) and anencephaly was associated approximately threefold risks for nitrogen oxide (NO) when highest to lowest quartiles were compared (Padula et al., 2013). Additionally, we found that associations between PM<sub>10</sub> and NTDs were modified by neighborhood socioeconomic factors (Padula et al., 2015). Odds of spina bifida were stronger in neighborhoods with high PM<sub>10</sub> and low neighborhood socioeconomic status (Padula et al., 2015).

Birth defect surveillance data in the United States have indicated that there are disparities in the prevalence of NTD by race/ethnicity, with Hispanic women having a higher prevalence than non-Hispanic white and non-Hispanic Black women (Williams et al., 2005, Boulet et al., 2008). It has been hypothesized that Hispanics with lower acculturation may be at higher risk for NTDs compared with those with higher acculturation due to lower total folic acid intake or other undetermined factors (Hamner et al., 2013). Two previous studies found that lower acculturation was associated with higher risk of spina bifida (Carmichael et al., 2008; Canfield et al., 2009).

We are not aware of previous investigations that have simultaneously explored Hispanicity, acculturation, and exposures to air pollutants on NTD risk. Our goal in the current investigation was to explore a potential effect modification of acculturation factors and air pollution exposure and risk for NTDs. These analyses are exploratory and were driven by previous findings of the distribution of risk of NTDs across the population, not a particular hypothesis of a biological mechanism. This analysis used data from the California Center of the National Birth Defects Prevention Study (Yoon et al., 2001) and the Children's Health and Air Pollution Study to specifically investigate whether previously observed associations between ambient air pollutants and NTD (exclusive to spina bifida and anencephaly) risk are

further modified by neighborhood acculturation factors in the San Joaquin Valley of California (Padula et al., 2013), a region of the United States with known poor air quality and social disparity.

#### Materials and Methods

#### **STUDY POPULATION**

The California Center of the National Birth Defects Prevention Study is a collaborative partnership between Stanford University and the California Birth Defects Monitoring Program in the Department of Public Health. Since 1997, the Center has been collecting data from women residing in eight counties (San Joaquin, Stanislaus, Merced, Madera, Fresno, Kings, Tulare, and Kern) in the San Joaquin Valley. The California Birth Defects Monitoring Program is a wellknown surveillance program that is population-based (i.e., not hospital-based) (Croen et al., 1991). To identify infants or fetuses (cases) with birth defects, highly trained data collection staff visit all hospitals with obstetric or pediatric services. Staff visit cytogenetic laboratories and all clinical genetics prenatal and postnatal outpatient services to review and abstract cases including those diagnosed prenatally with birth defects.

Cases in the current analysis included infants or fetuses with anencephaly and spina bifida, as confirmed by clinical, surgical, or autopsy reports. Cases resulting from known single gene or chromosomal abnormalities or with identifiable syndromes were ineligible, given their presumed distinct underlying etiology. Each case was also classified as isolated if there was no additional major unrelated congenital anomaly or as nonisolated if there was at least one unrelated major anomaly.

Eligible cases included live births, stillbirths, and pregnancy terminations and were selected from the Center's surveillance system based on strict eligibility criteria. Controls included nonmalformed live-born infants randomly selected from birth hospitals to represent the population from which the cases arise (approximately 150 per study year). Maternal interviews were conducted using a standardized, computer-based questionnaire, primarily by telephone, in English or Spanish, between 6 weeks and 24 months after the infant's estimated date of delivery. Estimated date of conception was derived by subtracting 266 days from expected date of delivery. Expected date of delivery was based on self-report; if unknown, it was estimated from information in the medical record (<2% of participants) (Yoon et al., 2001). Maternal race, ethnicity, and nativity were determined by self report in the interview.

Interviews were conducted with mothers of 67% of eligible cases and 69% of controls. The present analysis included 139 cases (45 anencephaly and 94 spina bifida) and 466 controls of Hispanic mothers with an estimated delivery date between October 1, 1997, and December 31, 2006. Mothers reported a full residential history from 1 month before conception through delivery, including start and stop dates for each residence. Mothers with diabetes (Type 1 or 2) before gestation were excluded. Addresses were geocoded using the Centrus Software (Stamford, CT), which combines reference street networks from Tele Atlas ('s-Hertogenbosch, Netherlands) and United States Postal Service data. Geocodes were available for 95% of cases and 93% of controls.

The study population for this project includes only the Hispanic mothers in this cohort to address the effect modification of acculturation factors and exposure to air pollution with regard to NTDs.

#### EXPOSURE ASSESSMENT

As part of the Children's Health and Air Pollution Study, estimated ambient air pollution exposures were assigned to each of the geocoded residences reported by study subjects corresponding to their first and second month of pregnancy. If there was more than one address during the period, exposure assignments were calculated for number of days at each residence. Exposure assignments were made if the geocodes were within the San Joaquin Valley and were available for at least 75% of each month. Daily 24-hr averages of NO<sub>2</sub>, NO, CO, particulate matter with aerodynamic diameter than 10  $\mu$ m (PM<sub>10</sub>), and particulate matter with aerodynamic diameter than 2.5  $\mu$ m (PM<sub>2.5</sub>) were averaged over the first 2 months of pregnancy.

Ambient air quality data have been collected routinely at over 20 locations in the San Joaquin Valley since the 1970s and these data were acquired from U.S. Environmental Protection Agency's Air Quality System database (www.epa.gov/ttn/airs/airsaqs). The station-specific daily air quality data were spatially interpolated using inverse distance-squared weighting. Data from up to four air quality monitoring stations were included in each interpolation. Owing to the regional nature of NO<sub>2</sub>, PM<sub>10</sub>, and PM<sub>2.5</sub> concentrations, a maximum interpolation radius of 50 km was used. NO and CO were interpolated using a smaller maximum interpolation radius of 25 km, because they are directly emitted pollutants with larger spatial gradients. When a residence was located within 5 km of one or more monitoring stations, the interpolation was based solely on the nearby values.

Gaseous pollutants were measured using Federal Reference Method continuous monitors. Particulate matter data were primarily limited to those collected with Federal Reference Method samplers and Federal Equivalent Method monitors. The national air monitoring networks began measuring  $PM_{2.5}$  in 1999; therefore, births with dates of conception before 1999 were not part of the analyses of  $PM_{2.5}$ .

#### STATISTICAL ANALYSIS

Analyses were conducted to examine the correlations between the various pollutants. Each pollutant was examined by tertile of exposure as determined by the distribution in the controls. Distributions of several potential covariates were examined in relation to the exposures and the outcomes: maternal nativity (U.S.- or foreign-born), maternal education (less than high school, high school, more than high school); age (<25, 25–34, 35 years); plurality (singletons, multiples); parity (0, 1, >1); early pregnancy multi-vitamin use (1 month before and/or first 2 months of pregnancy); active and/or passive smoking during pregnancy; year of estimated delivery category (1997–2000, 2001–2003, 2004–2006); and infant sex.

Multivariable logistic regression analyses were conducted to estimate adjusted odds ratios (aORs) and 95% confidence intervals (CI) reflecting the association between ambient air pollutants and NTDs. NTDs were analyzed as a group and separately as spina bifida (the

number of cases was too small to analyze anencephaly separately). The highest tertile of each pollutant was compared with the lowest tertile. Multivariable analyses were performed adjusting for education, early pregnancy vitamin use and maternal nativity (U.S.-born or foreign-born) for the neighborhood (see next paragraph) analyses. These covariates were selected a priori and based on causal assumptions derived from subject matter knowledge (Hernan et al., 2002). The remaining covariates (age, parity, active and/or passive smoking, year of birth, infant sex) were examined as potential confounders in bivariate analyses but did not change the estimates (results not shown).

We stratified by nativity on the individual level (U.S.-vs. foreign-born). To examine the role of neighborhood acculturation factors, analyses were stratified near the median by the following variables from the United States at the block group level: Proportion of block group that (1) speak Spanish as their primary language (>50%); (2) are not U.S. citizens (>70%); (3) identify themselves as Hispanic or Latino (>50%); (4) were born outside of the United States (>20%); (5) do not speak English "very well" (>20%); and (6) recent year of entry (1990–2000 vs. before 1990) for majority of immigrants in block group. Tests of homogeneity using the Wald chi-square were calculated to evaluate effect modification.

In addition to stratifying on each neighborhood factor, a principal component analysis was run to reduce these six variables to a single index. One significant component with an eigenvalue >1 was used to create an indicator of neighborhood acculturation factors (component scores were categorized at greater than or less than zero, which coincided with the median).

To contrast a woman's nativity with that of the acculturation factors in the neighborhood around her, we additionally stratified by both individual and neighborhood acculturation factors to examine if the association between air pollution and NTDs varied by a combination of both levels of acculturation factors.

Analyses were conducted using SAS 9.4 (SAS Institute Inc., Cary, NC, 2014–2015). The study protocol was reviewed and approved by the institutional review boards of Stanford University, University of California, Berkeley and the California Department of Public Health.

#### Results

The maternal study population, which was entirely Hispanic by design, was approximately half foreign-born and half U.S.-born. The characteristics by case–control status are presented in Table 1. NTD cases were slightly more likely to have mothers who were foreign-born, used multivitamins, and were exposed to passive smoke early in pregnancy.

The correlations between the pollutant exposures are presented in Table 2a. Most of the pollutants were moderately to strongly correlated, which is to be expected given their common sources such as traffic.  $PM_{10}$  was the least correlated compared with the other pollutants. Table 2b shows the correlation between each of the neighborhood acculturation factors and principal components analysis variable of all factors. The neighborhood acculturation factors are all at least moderately correlated (0.27–0.78).

When stratified by individual nativity (U.S.-born vs. foreign-born), CO, NO, and NO<sub>2</sub> were more strongly associated with NTDs among U.S.-born Hispanic mothers (Table 3). The statistically significant ORs ranged from 2.3 to 2.8 for NTDs overall and 2.7 to 4.1 for spina bifida specifically. ORs between the PM pollutants and NTDs, and spina bifida specifically, were smaller in magnitude and not statistically significant, although ORs were higher between PM<sub>10</sub> and NTDs among the foreign-born compared with U.S.-born Hispanics.

Tables 4a–4e show results between each pollutant and NTDs overall and spina bifida alone, stratified by each of the neighborhood acculturation factors and the principal component. In Table 4a, the highest tertile of CO was associated with NTDs among neighborhoods with high Spanish as their primary language (low acculturation), high numbers of U.S. citizens (high acculturation), low Hispanic population (high acculturation) or high English proficiency (high acculturation). The OR of NTDs comparing the highest to lowest tertile of exposure in the high English proficiency neighborhoods (OR = 3.3) was statistically significantly different from the OR in the low English proficiency neighborhoods (OR = 0.9). That is, the association between CO and NTDs is higher among neighborhoods that are more acculturated to the United States. Tables 4b and 4c shows similar results for NO and NO<sub>2</sub>, respectively.

The results of  $PM_{10}$  were in the opposite direction for two of the neighborhood factors, with higher risk of NTDs associated with high levels of  $PM_{10}$  in the neighborhoods with lower U.S. citizens and higher Hispanic population (Table 4d). When stratified by the "acculturation" summary variable, created with principal components analysis, the associations between  $PM_{10}$  and NTD were stronger in the neighborhoods with "low acculturation." The effect modification of neighborhood acculturation between  $PM_{2.5}$  and risk of NTDs was less clear. There were a few significant ORs comparing the 2<sup>nd</sup> to 1<sup>st</sup> tertile of  $PM_{2.5}$  exposure among neighborhoods with a low Hispanic population and lower Spanish language. There were more missing exposures of  $PM_{2.5}$  owing to the more recent monitoring during the study period.

Similar results were found when analyses were stratified by individual nativity (Appendix Tables A1a–A1e). Among the foreign-born women, those in neighborhoods with high English proficiency and low Hispanic populations (i.e., more highly acculturated neighborhoods) had stronger associations among CO, NO, and NO<sub>2</sub> and NTDs (Tables A1a–A1c). The results for spina bifida often had too few numbers to calculate reliable ORs (data not shown). The association between PM<sub>10</sub> and NTDs were higher among the less acculturated neighborhoods (high Spanish isolation, low U.S. citizens, and the index summary variable).

#### Discussion

In this study of Hispanic women, associations between air pollutant exposure during the first 2 months of pregnancy and NTDs were stronger for women who were U.S.-born compared with foreign-born. When stratified by neighborhood acculturation factors, there were strong and statistically significant associations among CO, NO, and NO<sub>2</sub> and NTDs in more acculturated neighborhoods (e.g., high proportion of U.S. citizens, high English proficiency,

low Hispanic population, earlier entry to the United States), although not entirely consistent. Conversely, there were associations between  $PM_{10}$  and NTDs in the less acculturated neighborhoods (low proportion of U.S. citizens, high Hispanic population, low acculturation index), and these were statistically different when stratified by the proportion of U.S. citizens. The results for  $PM_{2.5}$  were less remarkable. Observed associations were generally stronger for spina bifida.

This work builds on a previous analysis of this study population that found CO, NO, and NO<sub>2</sub> were associated with NTDs and CO and NO with spina bifida with ORs ranging from 1.7 to 1.9 (Padula et al., 2013). An additional analysis also found that neighborhood socioeconomic factors modified the relationship between  $PM_{10}$  and spina bifida (Padula et al., 2015). Our goal in this investigation was to further determine whether Hispanic ethnicity and neighborhood context, known to have elevated risks for NTDs (Carmichael et al., 2008; Canfield et al., 2009; Ramadhani et al., 2009), contributed further to the association with air pollutants. Given the lack of direct toxicity of NO and CO at ambient levels, it is more likely that NO and CO are surrogates for toxic components in primary combustion emissions rather than causal agents.

This is the first study to our knowledge to examine associations between air pollution and NTDs with regard to neighborhood acculturation factors. Previous studies have investigated associations of NTDs with air pollution or acculturation status, but not the combination of the two. This study is in an area of California with some of the highest air pollution exposure in the country and a high proportion of Hispanic women.

There are some potential limitations to this study. There is measurement error in the exposure assignment based on distance-weighted averages of the nearest monitors. Furthermore, it is unknown how much time the mother spent at her home during the first 2 months of pregnancy. For example, this could lead to potential exposure misclassification if a mother worked at a location associated with different exposure levels. The ambient air pollution levels also do not account for indoor sources of similar air pollutants that may have been present. This misclassification of exposure would bias results in an unknown direction. Data obtained from retrospective studies are always subject to recall error. However, recall error did not affect the exposure assignment because it was based on residential history and measurement of air pollutant concentrations. It is unknown whether women who did versus did not participate in the study were systematically different with respect to air pollution exposure. In addition, some women had to be excluded from various aspects of the analysis because of missing data on exposure levels; whether this caused some bias in our results is unknown. The lack of statistically significant associations between PM2.5 and NTDs may be attributable to exclusion of data from 1997 to 1998 when the PM2.5 monitoring network was not yet established throughout California and levels were higher.

Strengths of the present study include the rigorous, population-based design and careful case ascertainment. The study also included detailed information on potential covariates specifically during the critical period of the first 8 weeks of pregnancy including maternal residence, multivitamin use, and smoking. These study characteristics limited potential selection bias and allow for mitigation of confounding. This study covered a wide

geographic area with among the highest levels of air pollution exposure in the United States. During the study period, all eight counties in the study area were in nonattainment for  $PM_{10}$  for each year in the study period according to the National Ambient Air Quality Standards set by the U.S. Environmental Protection Agency (http://www.epa.gov/oaqps001/greenbk/ index.html). Our study benefited from careful air pollution exposure assessment with precise spatial and temporal considerations.

In summary, increased odds of NTDs were observed among Hispanic women who had high exposures to CO, NO, and NO<sub>2</sub> and lived in neighborhoods that were more acculturated. Conversely, there were increased odds of NTDs for those with high prenatal exposure to  $PM_{10}$  and living in neighborhoods that were less acculturated. The results of spina bifida alone were generally stronger in magnitude. We do not know what is the underlying biological mechanism to explain this observation. A multifactorial pathway is likely to explain the development of NTDs. Given the continuing occurrence of NTDs, despite folic acid fortification in the U.S. food system, additional pathways for prevention should be explored.

The results are not consistent for the effect modification of acculturation and air pollution exposure and risk of NTDs using these multiple measures of acculturation. Acculturation is a complex social concept and is not easily measured. It is uncertain as to whether neighborhood acculturation is not a clear modifying factor of the association between air pollution and NTDs or whether the data on the census block level are too imprecise. Though we gathered data on individual and neighborhood factors, there was not one variable that stood out as a key measure. Additionally, the index of acculturation was not informative, suggesting that these variables cannot be easily combined to discern risk in this study population. Further studies are needed to understand the effect modification of environmental and acculturation factors in the etiology of NTDs.

#### **Supplementary Material**

Refer to Web version on PubMed Central for supplementary material.

#### Acknowledgments

The authors thank the California Department of Public Health Maternal Child and Adolescent Health Division. The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the California Department of Public Health.

Supported by the National Institute for Environmental Health Science (R00ES021470, K99ES021470, P01ES022849, P20ES018173, L40ES023163), the United States Environmental Protection Agency (R834596, R835435), and the Centers for Disease Control and Prevention, Center of Excellence Award U50/ CCU913241.

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#### TABLE 1

Demographic Characteristics (%) of Hispanic Subjects Born between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

	<b>Controls</b> ( <i>n</i> = 466)	NTDs ( <i>n</i> = 139)	Spina bifida $(n = 94)$	Anencephaly (n = 45)
Maternal education (y	rears)			
<12	48	45	43	51
12	28	35	37	29
>12	24	20	20	20
Maternal nativity				
Foreign-born	53	58	56	62
U.Sborn	47	42	44	38
Multi-vitamin use <sup>a</sup>				
Yes	57	62	59	69
No	41	37	40	29
Missing	2	1	1	2
Smoking <sup>b</sup>				
None	84	77	74	82
Active only	4	6	5	7
Passive only	8	17	19	11
Active and passive	3	1	1	0
Maternal age (years)				
<20	19	14	14	16
20–24	33	30	34	20
25–29	24	32	31	36
30–34	17	16	16	16
35	7	8	5	13
Infant sex				
Male	54	47	48	44
Female	46	47	49	44
Missing	0	6	3	11
Plurality				
Singletons	100	98	98	98
Multiples	<1	2	2	2
Parity				
0	33	27	31	20
1	31	34	30	42
2+	36	39	39	38
Year of expected delive	very date			
1997–2000	36	32	32	31

	<b>Controls</b> ( <i>n</i> = 466)	NTDs ( <i>n</i> = 139)	Spina bifida (n = 94)	An encephaly $(n = 45)$
2001-2003	31	40	41	36
2004–2006	33	29	27	33

 $^{a}$ Any folate-containing multi-vitamin use during 1 month before through 2 months after conception.

 $^b\mathrm{Any}$  smoking during 1 month before through 2 months after conception.

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**TABLE 2** 

CO         NO         NO.         NO.         PMJ.st           Curbon monoxide (CO)         1              Nitrogen dioxide (NO.)         0.80         1             Particulate matter <10 µm(PM <sub>10</sub> )         0.43         0.57         0.57         1           Particulate matter <25 µm(PM <sub>2.3</sub> )         0.82         0.74         0.63         0.57         1           Particulate matter <25 µm(PM <sub>10</sub> )         0.43         0.53         0.57         1            Particulate matter <25 µm(PM <sub>10</sub> )         0.83         0.57         1             Particulate matter <25 µm(PM <sub>10</sub> )         0.83         0.57         1             Particulate matter <25 µm(PM <sub>10</sub> )         0.83         0.57         1             Particulate matter <25 µm(PM <sub>10</sub> )         0.83         0.74         0.74             Particulate matter <25 µm(PM <sub>10</sub> )         0.83         0.74              Particulate matter <25 µm(PM <sub>10</sub> )         0.83         Particulate matter <25 µm(PM <sub>10</sub> )              Particulate		Valley of California (N = 466)									
Carbon monoxide (CO)         1           Nitrogen oxide (NO)         0.80         1           Nitrogen oxide (NO)         0.80         1           Nitrogen oxide (NO)         0.81         0.73         0.74         1           Particulate matter <0.10 m(PM <sub>16</sub> )         0.43         0.57         1         1           Particulate matter <0.51 m(PM <sub>26</sub> )         0.83         0.57         1         1           Particulate matter <0.51 m(PM <sub>26</sub> )         0.83         0.57         1         1           Particulate matter <0.51 m(PM <sub>26</sub> )         0.83         0.57         1         1         1           Particulate matter <0.51 m(PM <sub>26</sub> )         0.83         0.74         0.65         1         1         1           Particulate matter <0.51 m(PM <sub>26</sub> )         0.83         0.73         Netro         Particulate Parter         Particulate Parter         Parter         Low Engish         Parter           Parter         Mispatific         Parter         Parter         Parter         Parter         Low Engish         Parter           Parter         Mispatific         Parter         Parter         Parter         Parter         Parter         Parter           Parter         I         Parter	Carbon monocide (CO)         I           Nitrogen oxide (NO)         0.80         1           Nitrogen oxide (NO)         0.80         1           Patricialite matter <2.5 µm(PM <sub>5.1</sub> )         0.81         0.57         1           Patricialite matter <2.5 µm(PM <sub>5.1</sub> )         0.82         0.57         1           Patricialitie matter <2.5 µm(PM <sub>5.1</sub> )         0.83         0.57         1           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.82         0.74         0.65         0.57         1           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.82         0.74         0.65         0.57         1           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.83         0.74         0.65         0.57         1           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.83         0.74         0.66         Patricialitie         Patricialitie           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.83         Patricialitie         Patricialitie         Patricialitie         Patricialitie         Patricialitie           Patricialitie matter <2.5 µm(PM <sub>5.2</sub> )         0.83         Patricialitie         Patricialitie         Patricialitie         Patricialitie         Patricialitie         Patricialitie         Patricialitie           Patricialitie         1 <th></th> <th>CO</th> <th>NO</th> <th><math>NO_2</math></th> <th><math>PM_{10}</math></th> <th><math>PM_{2.5}</math></th> <th></th> <th></th> <th></th> <th></th>		CO	NO	$NO_2$	$PM_{10}$	$PM_{2.5}$				
Nitrogen oxide (NO) 103 10 1 Nitrogen dioxide (NO) 107 104 1 Particulate matter <10 µm(PM <sub>14</sub> ) 104 105 105 11 11 Particulate matter <2.5 µm(PM <sub>14</sub> ) 104 105 105 11 11 Particulate matter <2.5 µm(PM <sub>14</sub> ) 104 105 105 11 110 110 110 110 110 110 110	Nitrogen oxide (NO)         0.80         1           Nitrogen dioxide (NO)         0.71         0.74         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.73         0.74         0.57         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.81         0.57         1         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.82         0.74         0.63         0.57         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.82         0.74         0.63         0.57         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.82         0.74         0.65         1         1         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.82         0.74         0.65         1         1         1         1           Particulate matter <10 µm(PM <sub>10</sub> )         0.82         0.74         0.67         1         1         1         1           Particulate         1         0.69         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1         1	Carbon monoxide (CO)	1								
Nitrogen dioxide (NO2) $0.77$ $0.74$ $1$ Particulate matter <10 µm(PM_{12})	Nitrogen dioxide (NO <sub>2</sub> ) $\begin{array}{ c c c c c c c c c c c c c c c c c c c$	Nitrogen oxide (NO)	0.80	-							
Particulate matter <[0 µm(PM1_0)0.430.260.571Particulate matter <[0 µm(PM1_2)	Particulate matter <10 µm(PM <sub>10</sub> )         0.43         0.57         1           Particulate matter <2.5 µm(PM <sub>23</sub> )         0.82         0.54         0.65         0.57         1           Particulate matter <2.5 µm(PM <sub>23</sub> )         0.82         0.74         0.63         0.57         1           Particulate matter <2.5 µm(PM <sub>23</sub> )         0.82         0.74         0.63         0.57         1           Particulate matter <2.5 µm(PM <sub>23</sub> )         0.82         0.74         0.65         Parter	Nitrogen dioxide (NO <sub>2</sub> )	0.77	0.74	-						
Particulate matter $<2.5 \ \mumode M_{2,0}$ $0.82$ $0.74$ $0.63$ $0.57$ $1$ <b>b. Percentage of Concordance between Neighborhood Factors among HispanicFigh Controls Born between 1997 and 2006 in Eight Counties in the San Jourd Fight Neighborhood Factors among Hispanic<b>High Spanish</b><math>\mathbf{Low English}</math><math>\mathbf{Low English}</math></b>	Particulate matter $\neg$ 2.5 µm(PM12)0.820.740.630.571b. Percentage of Concordance between Neighborhood Factors among HispanicControls Born between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California (N = 466)D. Percentage of Concordance between Neighborhood Factors among HispanicRecentHigh PipanicPipanicPublic High PipanicHigh Spanish primary language11111Uov U.S. citizens0.691111Low U.S. citizens0.691111Uov U.S. citizens0.691111Low U.S. citizens0.790.690.700.891High Hispanic population0.840.700.6911High Hispanic population0.760.790.7011High foreigne-born0.760.790.8911Low acculturation indexa0.760.790.8911Mathematice are based on 24-hir average measurements.111Publicant levels are based on 24-hir average m	Particulate matter <10 µm(PM <sub>10</sub> )	0.43	0.26	0.57	-					
b. Percentage of Concordance between Neighborhood Factors among Hispanic Controls Born between 1997 and 2006 in Fight Counties in the Sat Jonquin Valley of California (N = 466)         B. Percentage of Concordance between Neighborhood Factors among Hispanic (N = 466)       High Spanish       Inspire       High Spanish       Low US       High Spanish       Low US       High Spanish       Primary Imprimary       Low US       High Spanish       High Spanish       High Spanish       Inspire       Low US       Inspire       Inspire       Low US       Inspire       Inspire       Inspire       Inspire       Inspire       Low US       Inspire       Inspire       Inspire       Inspire       Low US       Inspire	Intercentage of Concordance between Neighborhood Factors among Hispanic Controls Born between 1997 and 2006 in Eight Counties in the San Daquin Valley of California (N = 466).         Recent High Spanish (N = 466).         Recent (N = 466).	Particulate matter <2.5 µm(PM <sub>2.5</sub> )	0.82	0.74	0.63	0.57	-				
High Spanish primary laguageLow U.S. tow entryRecut Hispanic populationHigh foreign-bornLow English proficiencylanguage1	High Spanish prinary laguageLow U.S. terr of entry populationRecut Hispanic populationHigh foreign-burLow English proficiencylanguage1 </th <th>b. Percentage of Concordance bet Joaquin Valley of California (N =</th> <th>ween Nei 466)</th> <th>ighborh</th> <th>ood Facti</th> <th>ors amor</th> <th>ng Hispanic</th> <th>Controls Born</th> <th>between 1997 and</th> <th>l 2006 in Eight Co</th> <th>ounties in the San</th>	b. Percentage of Concordance bet Joaquin Valley of California (N =	ween Nei 466)	ighborh	ood Facti	ors amor	ng Hispanic	Controls Born	between 1997 and	l 2006 in Eight Co	ounties in the San
Ianguage       1         0.69       1         0.69       1         0.63       0.73       1         0.64       1         0.74       0.76       0.64       1         0.73       0.64       1       1         0.74       0.75       0.66       0.82       1         0.74       0.72       0.68       0.71       0.80         exa       0.76       0.70       0.83       0.70	Ianguage       1         0.69       1         0.63       0.73       1         0.63       0.73       1         tion       0.84       0.70       0.64       1         tion       0.84       0.70       0.66       0.82       1         tion       0.74       0.75       0.66       0.82       1         tion       0.63       0.70       0.68       0.71       0.80         exa       0.76       0.70       0.85       0.90		High S prir lang	Spanish nary nage	Low citiz	U.S. ens	Recent year of entry	High Hispanic population	High foreign-born	Low English proficiency	Low acculturation index <sup>a</sup>
0.69       1         0.63       0.73       1         0.63       0.73       1         1       0.74       0.76       1         1       0.75       0.66       0.82       1         1       0.72       0.68       0.82       1         1       0.72       0.68       0.71       0.80         1       0.72       0.68       0.71       0.80         1       0.72       0.68       0.71       0.80         1       0.72       0.68       0.71       0.80         1       0.70       0.85       0.90       0.90	0.69         1           0.63         0.73         1           0.63         0.70         0.64         1           0.034         0.70         0.66         1           0.74         0.75         0.66         0.82         1           0.72         0.67         0.68         0.71         0.80           exa         0.76         0.70         0.83         0.90	High Spanish primary language		1							
0.63         0.73         1           tion         0.84         0.70         0.64         1           0.74         0.75         0.66         0.82         1           cy         0.63         0.72         0.68         0.71         0.80           exa         0.76         0.79         0.68         0.71         0.80	0.63         0.73         1           tion         0.84         0.70         0.64         1           0.74         0.75         0.66         0.82         1           ev         0.72         0.68         0.71         0.80           ev         0.72         0.68         0.71         0.80           eva         0.76         0.79         0.68         0.71         0.80	Low U.S. citizens	0.	69							
tion0.840.700.6410.740.750.660.821cy0.630.720.680.710.80exa0.760.790.700.850.90	tion         0.84         0.70         0.64         1           0.74         0.75         0.66         0.82         1           cv         0.63         0.72         0.68         0.71         0.80           exa         0.76         0.79         0.68         0.71         0.80           exa         0.76         0.79         0.70         0.85         0.90	Recent year of entry	0.	.63	0.0	73	-				
0.74         0.75         0.66         0.82         1           cy         0.63         0.72         0.68         0.71         0.80           exa         0.76         0.79         0.70         0.85         0.90	0.74         0.75         0.66         0.82         1           cv         0.63         0.72         0.68         0.71         0.80           exa         0.76         0.79         0.70         0.85         0.90           exd on 24-hr average measurements.	High Hispanic population	0.	84	0.0	70	0.64	-			
icy 0.63 0.72 0.68 0.71 0.80 exa 0.76 0.79 0.70 0.85 0.90	icy         0.63         0.72         0.68         0.71         0.80           exa         0.76         0.79         0.70         0.85         0.90           exd on 24-hr average measurements.	High foreign-born	0.	74	0.	75	0.66	0.82	1		
exa 0.76 0.79 0.70 0.85 0.90	exa 0.76 0.79 0.70 0.85 0.90 sed on 24-hr average measurements.	Low English proficiency	0.	.63	.0	72	0.68	0.71	0.80	1	
All <i>p</i> -values < 0.0001.	<sup>A</sup> II <i>p</i> -values < 0.0001. <sup>5</sup> Pollutant levels are based on 24-hr average measurements.	Low acculturation indexa	0.	.76	0.	79	0.70	0.85	06.0	0.83	1
	, Pollutant levels are based on 24-hr average measurements.	<sup><math>^{4}</math></sup> All <i>p</i> -values < 0.0001.									

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 $^{2}\mathrm{Index}$  created using principal component analysis of all factors.

All chi-square p-values < 0.0001.

# TABLE 3

aORs<sup>a</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Each Pollutant<sup>b</sup> to the Lowest (1<sup>st</sup>) Tertile, Stratified by Individual Maternal Nativity Status<sup>c</sup>, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

				UTD		S	Spina bifida	īda
Maternal nativity	Pollutant tertile	$\operatorname{Controls}_N$	Cases N	aOR	95% CI	Cases N	aOR	95% CI
U.Sborn	3 <sup>rd</sup> CO	51	20	2.8	1.1-7.3	16	NC	:
	2 <sup>nd</sup> CO	62	15	1.8	0.7-4.7	12	NC	;
	1 <sup>st</sup> CO	47	7	1.0	Reference	4	1.0	Reference
Foreign-born	3 <sup>rd</sup> CO	58	21	1.7	0.8–3.6	10	1.2	0.5-3.4
	2 <sup>nd</sup> CO	56	17	1.3	0.6–3.1	14	1.7	0.7-4.5
	1 <sup>st</sup> CO	59	13	1.0	Reference	8	1.0	Reference
U.Sborn	ON pr	59	23	2.5	1.1-5.8	19	2.7	1.1-7.0
	2 <sup>nd</sup> NO	62	15	1.6	0.6–3.9	10	1.4	0.5–3.8
	I <sup>st</sup> NO	57	6	1.0	Reference	7	1.0	Reference
Foreign-born	3rd NO	65	25	1.4	0.7–2.7	14	1.0	0.4–2.2
	2 <sup>nd</sup> NO	64	18	1.0	0.5 - 2.1	13	1.0	0.4–2.2
	I <sup>st</sup> NO	67	19	1.0	Reference	14	1.0	Reference
U.Sbom	$3^{rd}$ NO <sub>2</sub>	71	29	2.3	1.1-4.8	21	3.2	1.3-8.0
	$2^{nd} NO_2$	69	15	1.3	0.6–3.0	12	2.0	0.7–5.4
	1 <sup>st</sup> NO <sub>2</sub>	74	13	1.0	Reference	٢	1.0	Reference
Foreign-born	$3^{rd} NO_2$	79	31	1.4	0.7–2.6	18	1.0	0.5–2.1

						2	norma muda	
Maternal nativity	Pollutant tertile	Controls N	Cases N	aOR	95% CI	Cases N	aOR	95% CI
	$2^{nd}$ NO <sub>2</sub>	84	23	1.0	0.5-1.9	15	0.8	0.4–1.7
	$1^{\rm st}{ m NO}_2$	LL	23	1.0	Reference	18	1.0	Reference
U.Sborn	$3^{ m rd}{ m PM}_{10}$	70	23	1.0	0.5–2.0	17	1.3	0.6–2.8
	$2^{nd}  PM_{10}$	71	11	0.5	0.2–1.1	10	0.8	0.3-1.9
	$1^{\mathrm{st}}\mathrm{PM}_{10}$	69	22	1.0	Reference	13	1.0	Reference
Foreign-born	$3^{ m rd}{ m PM}_{10}$	76	31	1.9	1.0–3.8	20	1.7	0.8–3.8
	$2^{ m nd}  PM_{10}$	79	28	1.6	0.8–3.2	18	1.4	0.6–3.2
	$1^{\mathrm{st}}\mathrm{PM}_{10}$	78	17	1.0	Reference	12	1.0	Reference
U.Sbom	$3^{ m rd}{ m PM}_{2.5}$	59	20	1.5	0.6–3.3	16	2.2	0.8–6.0
	2 <sup>nd</sup> PM <sub>2.5</sub>	65	17	1.2	0.5–2.7	11	1.3	0.5–3.9
	1 <sup>st</sup> PM <sub>2.5</sub>	48	11	1.0	Reference	9	1.0	Reference
Foreign-born	$3^{ m rd}{ m PM}_{2.5}$	62	22	1.6	0.8–3.3	11	1.1	0.4–2.7
	2 <sup>nd</sup> PM <sub>2.5</sub>	57	23	1.8	0.9–3.8	17	1.9	0.8-4.4
	$1^{\mathrm{st}}\mathrm{PM}_{2.5}$	70	16	1.0	Reference	Π	1.0	Reference

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. ata in bold type are  $^{a}$ Analyses are adjusted for education and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

b bollutant levels are based on 24-hr average measurements, which are then averaged over 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> = 13.17-27.39;  $2^{nd} = 27.40 - 39.75$ ;  $3^{rd} = 39.76 - 95.32 \ \mu g/m^3$ .

NC, not calculated (estimates that rely on cells less than five).

# **TABLE 4**

a. aORs<sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to  $CO^b$  to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

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				NTD	Spi	Spina bifida
Neighborhood factor <sup>c</sup>	CO tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
High Spanish primary language	3rd	69	24	2.6 (1.1–6.1)	14	2.3 (0.8–6.4)
	2 <sup>nd</sup>	82	19	1.8 (0.7–4.2)	17	2.3 (0.9–6.3)
	1 st	65	6	1.0 (Reference)	9	1.0 (Reference)
Low Spanish primary language	3rd	40	17	1.7 (0.7–4.0)	12	2.1 (0.7–6.2)
	2 <sup>nd</sup>	36	13	1.4 (0.6–3.6)	6	1.7 (0.5–5.2)
	1 <sup>st</sup>	41	11	1.0 (Reference)	9	1.0 (Reference)
Low U.S. citizens	3rd	64	17	1.4 (0.6–3.23)	8	1.2 (0.4–3.7)
	2 <sup>nd</sup>	68	16	1.3 (0.6–3.0)	15	2.2 (0.8–6.0)
	1 st	56	11	1.0 (Reference)	9	1.0 (Reference)
High U.S. citizens	3rd	45	24	3.1 (1.3–7.5)	18	3.5 (1.3–9.7)
	2 <sup>nd</sup>	50	16	1.8 (0.7–4.5)	11	1.7 (0.6–5.1)
	1 st	50	6	1.0 (Reference)	9	1.0 (Reference)
High Hispanic	3rd	57	18	1.4 (0.6–3.3)	12	2.0 (0.7–5.6)
	2 <sup>nd</sup>	62	13	0.9 (0.4–2.2)	11	1.6(0.5-4.6)
	1 st	51	12	1.0 (Reference)	9	1.0 (Reference)
Low Hispanic	$3^{ m rd}$	52	23	3.1 (1.3–7.6)	14	2.4 (0.9–6.9)
	2 <sup>nd</sup>	56	19	2.4 (1.0–6.1)	15	2.4 (0.9–6.7)

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NTD Spina bifida	1		1	OTN	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	CO tertile	$\operatorname{Controls}_N$	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	lst	55	8	1.0 (Reference)	9	1.0 (Reference)
High foreign-born	3rd	69	21	1.8 (0.8–3.9)	13	2.2 (0.8–6.2)
	2nd	75	23	1.8 (0.8–3.9)	19	2.9 (1.1–7.7)
	Ist	67	12	1.0 (Reference)	9	1.0 (Reference)
Low foreign-born	3rd	40	20	2.6 (1.0–6.5)	13	2.2 (0.7–6.3)
	2nd	43	6	1.1 (0.4–3.1)	٦	1.1 (0.3–3.4)
	Ist	39	8	1.0 (Reference)	9	1.0 (Reference)
Low English proficiency	3rd	43	10	0.9 (0.3–2.4)	4	NC
	2nd	47	6	0.7 (0.2–1.9)	∞	NC
	lst	31	6	1.0 (Reference)	б	1.0 (Reference)
High English proficiency	3rd	66	31	*3.3 (1.5–7.0) <sup>d</sup>	22	2.8 (1.2-6.6)
	2nd	71	23	2.3 (1.0–5.1)	18	2.1 (0.9–5.0)
	Ist	75	11	1.0 (Reference)	6	1.0 (Reference)
Recent year of entry to U.S.	3rd	43	12	1.4 (0.6–3.7)	×	1.5 (0.5–4.7)
	2nd	58	16	1.5 (0.6–3.6)	15	2.2 (0.8–6.2)
	1 st	50	10	1.0 (Reference)	9	1.0 (Reference)
Earlier year of entry to U.S.	3rd	66	29	2.6 (1.1–5.8)	18	2.8 (1.0–7.5)
	Znd	60	16	1.5 (0.6–3.6)	11	1.7 (0.6–4.9)

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				<b>UTD</b>	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	CO tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	1 st	56	10	1.0 (Reference)	9	1.0 (Reference)
Low acculturation index	3rd	65	21	1.8 (0.8–3.9)	13	2.1 (0.8–5.5)
	2nd	76	20	1.5 (0.7–3.2)	18	2.4 (0.9–6.1)
	1 <sup>st</sup>	69	13	1.0 (Reference)	7	1.0 (Reference)
High acculturation index	3rd	44	20	2.5 (0.9–6.6)	13	2.2 (0.71–6.8)
	2 <sup>nd</sup>	42	12	1.6 (0.6-4.4)	×	1.4 (0.41–4.6)
	1 st	37	7	1.0 (Reference)	5	1.0 (Reference)

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b. aORs<sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to NO<sup>b</sup> to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

1.0 (Reference)

12

1.0 (Reference)

4

84

1 st

1.7 (0.7-4.4)

16

1.6 (0.7-3.5)

22

41

 $3^{rd}$ 

Low Spanish primary language

0.5 (0.1–1.7)

4

0.7 (0.3–1.8)

6

38

 $2^{nd}$ 

aOR (95% CI)

Cases N 17

**aOR (95% CI)** 1.9 (0.9–3.9)

Cases N 26

Controls

NO 3rd

Z

83

High Spanish primary language

Neighborhood factor<sup>c</sup>

**DTD** 

Spina bifida

1.4 (0.6–3.2)

1.5 (0.7–3.4)

19

1.7 (0.8–3.6)

24

88

 $2^{nd}$ 

1.0 (Reference)

6

1.0 (Reference)

4

40

1 st

0.9 (0.4–2.1)

Ξ

1.3 (0.6–2.7)

20

74

 $3^{rd}$ 

Low U.S. citizens

1.1 (0.5–2.6)

13

1.3 (0.6–2.8)

 $\frac{18}{18}$ 

69

 $2^{nd}$ 

1.0 (Reference)

12

1.0 (Reference)

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71

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b. aORs <sup><math>a</math></sup> and 95%. CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to NO <sup><math>b</math></sup> to the Lowest (1 <sup>st</sup> ), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California	l Spina Bifida Cor 7 and 2006 in Eigh	nparing Each Ter tt Counties in the S	ile of Exposure to San Joaquin Valley	NO <sup>b</sup> to the Lowest (1 <sup>st</sup> ), <sup>1</sup> of California	Stratified by Neigh	borhood Acculturation
)	C		4	NTD	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	NO tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
High U.S. citizens	3rd	50	28	2.4 (1.1–5.2)	22	2.6 (1.1–6.3)
	2 <sup>nd</sup>	57	15	1.1 (0.5–2.5)	10	1.1 (0.4–2.8)
	1 <sup>st</sup>	53	13	1.0 (Reference)	6	1.0 (Reference)
High Hispanic	3rd	64	18	1.2 (0.6–2.5)	13	1.1 (0.5–2.6)
	2 <sup>nd</sup>	66	17	1.1 (0.5–2.4)	12	1.0 (0.4–2.5)
	1 <sup>st</sup>	75	18	1.0 (Reference)	13	1.0 (Reference)
Low Hispanic	3rd	60	30	2.5 (1.1–5.6)	20	2.1 (0.8–5.2)
	2 <sup>nd</sup>	60	16	1.3 (0.6–3.2)	Π	1.1 (0.4–3.1)
	1 <sup>st</sup>	49	10	1.0 (Reference)	∞	1.0 (Reference)
High foreign-born	3rd	80	28	1.7 (0.9–3.3)	19	1.5 (0.7–3.3)
	2 <sup>nd</sup>	82	20	1.2 (0.6–2.5)	15	1.2 (0.5–2.7)
Low foreion-born	1 <sup>st</sup> 3rd	86 44	18 20	1.0 (Reference) 1 8 (0 7–4 3)	13 14	1.0 (Reference) 1 6 (0 6-4 2)
	2nd	44	13	1.1 (0.4–2.9)	∞	0.9 (0.3–2.6)
	lst	38	10	1.0 (Reference)	×	1.0 (Reference)
Low English proficiency	3rd	46	10	1.0 (0.4–2.4)	S	0.7 (0.2–2.3)
	2 <sup>nd</sup>	45	11	1.1 (0.5–2.7)	L	1.0 (0.4–3.0)
	1 <sup>st</sup>	60	14	1.0 (Reference)	6	1.0 (Reference)
High English proficiency	3rd	78	38	2.2 (1.1–4.5)	28	1.9 (0.9–4.1)

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b. aORs<sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to NO<sup>b</sup> to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation

Birth Defects Res. Author manuscript; available in PMC 2018 January 18.

				NTD		Spina	Spina bifida
Neighborhood factor <sup>c</sup>	NO tertile	$\operatorname{Controls}_N$	Cases N	aOR (95% CI)	Cas	Cases N	aOR (95% CI)
	2nd	81	22	1.2 (0.6–2.6)	1	16	1.1 (0.5–2.4)
	Ist	64	14	1.0 (Reference)	1	12	1.0 (Reference)
Recent year of entry to U.S.	3rd	59	16	1.1 (0.5–2.4)	1	12	0.9 (0.4–2.1)
	2 <sup>nd</sup>	61	17	1.2 (0.5–2.5)	1	13	1.0 (0.4–2.3)
	1 st	63	16	1.0 (Reference)	1	14	1.0 (Reference)
Earlier year of entry to U.S.	3rd	65	32	2.5 (1.2–5.2)	5	21	2.8 (1.1–7.1)
	2nd	65	16	1.2 (0.5–2.9)	1	10	1.3 (0.5–3.7)
	1 st	61	12	1.0 (Reference)		7	1.0 (Reference)
Low acculturation index	3rd	77	24	1.4 (0.7–2.8)	1	17	1.3 (0.6–2.9)
	2 <sup>nd</sup>	79	21	1.3 (0.6–2.5)	1	16	1.2 (0.6–2.7)
	1 <sup>st</sup>	86	19	1.00 (Reference)	1	14	1.00 (Reference)
High acculturation index	3rd	47	24	2.18 (0.90–5.28)	1	16	1.89 (0.70–5.10)
	2 <sup>nd</sup>	47	12	1.10 (0.42–2.89)		7	0.85 (0.27–2.64)
	П	38	6	1.00 (Reference)		7	1.00 (Reference)
c. aORs <sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to NO <sub>2</sub> <sup>b</sup> to the Lowest (1 <sup>st</sup> ), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California	nd Spina Bifida Com ths between 1997 and	paring Each Te 1 2006 in Eight	rtile of Exposure Counties in the S	to NO <sub>2</sub> <sup>b</sup> to the Lowest (1 <sup>s</sup> an Joaquin Valley of Calif	<sup>t</sup> ), Stratifiec fornia	d by Neighbo	rhood
			4	NTD	Spin	Spina bifida	
Neighborhood factor <sup>c</sup>	NO2 tertile	$\operatorname{Controls}_N$	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)	
High Spanish primary language	$3^{rd}$	113	37	1.9 (1.0–3.4)	24	1.5 (0.7–3.0)	
	puc	100		16(0831)	00	15/07 21	

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c.  $aORs^{d}$  and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to  $NO_{2}^{b}$  to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

				QTN	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	NO <sub>2</sub> tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	lst	104	19	1.0 (Reference)	15	1.0 (Reference)
Low Spanish primary language	3rd	37	23	1.8 (0.8–3.9)	15	2.1 (0.8–5.2)
	2nd	53	11	0.6 (0.3–1.4)	7	0.7 (0.2–1.9)
	lst	47	17	1.0 (Reference)	10	1.0 (Reference)
Low U.S. citizens	3rd	92	30	1.4 (0.8–2.7)	18	1.2 (0.6–2.6)
	2nd	94	20	1.0 (0.5–1.9)	14	1.0 (0.4–2.2)
	1 <sup>st</sup>	84	20	1.0 (Reference)	14	1.0 (Reference)
High U.S. citizens	3rd	58	30	2.2 (1.1–4.5)	21	2.3 (1.0–5.2)
	2nd	59	18	1.3 (0.6–2.8)	13	1.4 (0.6–3.4)
	lst	67	16	1.0 (Reference)	11	1.0 (Reference)
High Hispanic	3rd	88	32	1.6 (0.9–3.0)	22	1.5 (0.7–3.1)
	2nd	<i>4</i>	21	1.2 (0.6–2.3)	13	1.0 (0.5–2.3)
	1st	89	21	1.0 (Reference)	15	1.0 (Reference)
Low Hispanic	3rd	62	28	1.9 (0.9–3.9)	17	1.8 (0.8–4.2)
	2nd	74	17	1.0 (0.5–2.2)	14	1.3 (0.5–3.1)
	1st	62	15	1.0 (Reference)	10	1.0 (Reference)
High foreign-born	3rd	107	39	1.8 (1.0–3.4)	26	1.7 (0.8–3.4)
	2nd	86	25	1.3 (0.7–2.5)	17	1.3 (0.6–2.7)
	1st	101	21	1.0 (Reference)	15	1.0 (Reference)

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c.  $aORs^{d}$  and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to  $NO_{2}^{b}$  to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

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Neighborhood factor <sup>c</sup> Low foreign-born						
Low foreign-born	NO <sub>2</sub> tertile	Controls $N$	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	3rd	43	21	1.6 (0.8–3.6)	13	1.5 (0.6–3.9)
	2 <sup>nd</sup>	55	13	0.8 (0.4–1.9)	10	1.0 (0.4–2.5)
	lst	50	15	1.0 (Reference)	10	1.0 (Reference)
Low English proficiency	3rd	99	20	1.2 (0.6–2.6)	12	1.1 (0.5–2.6)
	2 <sup>nd</sup>	58	14	1.0 (0.5–2.2)	7	0.7 (0.3–2.0)
	lst	70	18	1.0 (Reference)	12	1.0 (Reference)
High English proficiency	3rd	84	40	2.2 (1.2–4.1)	27	2.0 (1.0-4.2)
	2 <sup>nd</sup>	95	24	1.2 (0.6–2.4)	20	1.4 (0.7–3.0)
	1st	81	18	1.0 (Reference)	13	1.0 (Reference)
Recent year of entry to U.S.	3rd	LL	26	1.4 (0.7–2.8)	18	1.2 (0.6–2.6)
	2nd	<i>4</i>	20	1.1 (0.6–2.3)	15	1.1 (0.5–2.4)
	lst	LL	19	1.0 (Reference)	15	1.0 (Reference)
Earlier year of entry to U.S.	3rd	73	34	2.0 (1.0-4.0)	21	2.2 (1.0-4.9)
	$2^{ m nd}$	74	18	1.1 (0.5–2.2)	12	1.2 (0.5–3.0)
	1st	74	17	1.0 (Reference)	10	1.0 (Reference)
Low acculturation index	3rd	103	36	1.6 (0.9–3.0)	25	1.5 (0.8–3.0)
	2 <sup>nd</sup>	101	25	1.2 (0.6–2.3)	17	1.1 (0.5–2.3)
	lst	86	22	1.0 (Reference)	16	1.0 (Reference)

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c. aORs<sup>a</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to NO<sub>2</sub><sup>b</sup> to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

eighborhood factor <sup>6</sup> NO <sub>2</sub> tertile         Controls         Cases N         aOR (95% CI)         Cases N         aOR (95% CI)           igh acculturation index $3^{rd}$ $47$ $24$ $2.0 (0.9-4.2)$ $14$ $1.8 (0.7-4.6)$ $2^{rd}$ $52$ $13$ $1.0 (0.4-2.3)$ $10$ $1.2 (0.5-3.3)$ $1^{st}$ $53$ $14$ $1.0 (0.4-2.3)$ $10$ $1.2 (0.5-3.3)$					OTN	Spi	Spina bifida
47     24     2.0 (0.9-4.2)       52     13     1.0 (0.4-2.3)       53     14     1.0 (Reference)	Neighborhood factor <sup>c</sup>	NO2 tertile	$\operatorname{Controls}_N$	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
52 13 1.0 (0.4–2.3) 53 14 1.0 (Reference)	ligh acculturation index	3rd	47	24	2.0 (0.9–4.2)	14	1.8 (0.7-4.6)
53 14 1.0 (Reference)		2 <sup>nd</sup>	52	13	1.0 (0.4–2.3)	10	1.2 (0.5–3.3)
		1 st	53	14	1.0 (Reference)	6	1.0 (Reference)

d. aORs<sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to PM<sub>10</sub> $^{b}$  to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

гаснога, ашонд ди цаз регисси 1777 ана 2000 и гади. Социнса и це заи зоачин танеу ог сапиониа NTD				NTD	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	PM <sub>10</sub> tertile	Controls - N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
High Spanish primary language	3rd	106	37	1.7 (0.9–3.1)	24	1.4 (0.7–2.9)
	2nd	III	27	1.1 (0.6–2.2)	21	1.2 (0.6–2.4)
	1 st	89	19	1.0 (Reference)	14	1.0 (Reference)
Low Spanish primary language	3rd	40	17	1.2 (0.6–2.7)	13	1.7 (0.7–4.3)
	2nd	39	12	12 0.9 (0.4–2.1)	7	7 1.0 (0.3–2.7)
	1 <sup>st</sup>	58	20	1.0 (Reference)	11	1.0 (Reference)
Low U.S. citizens	3rd	87	35	<b>3.0</b> (1.4–6.2) <sup>d</sup>	23	<b>3.2</b> (1.3–8.0) <sup>d</sup>
	2nd	06	21	1.7 (0.8–3.6)	15	2.0 (0.8–5.1)
	1 <sup>st</sup>	83	12	1.0 (Reference)	7	1.0 (Reference)
High U.S. citizens	3rd	59	19	0.7 (0.4–1.5)	14	0.8 (0.4–1.8)
	2nd	60	18	0.7 (0.3–1.4)	13	0.7 (0.3–1.7)
	1 <sup>st</sup>	64	27	1.0 (Reference)	18	1.0 (Reference)
High Hispanic	3rd	91	37	2.3 (1.1–4.8)	26	26 2.8 (1.1–6.8)

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				UTD	Sp	Spina bifida
Neighborhood factor <sup>c</sup>	PM <sub>10</sub> tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	2 <sup>nd</sup>	06	22	1.4 (0.6–2.9)	15	1.6 (0.6-4.1)
	1 <sup>st</sup>	63	12	1.0 (Reference)	7	1.0 (Reference)
Low Hispanic	3rd	55	17	0.9 (0.5–1.9)	11	0.9 (0.4–2.0)
	2 <sup>nd</sup>	60	17	0.9 (0.4–1.7)	13	0.9 (0.4–2.1)
	1 <sup>st</sup>	84	27	1.0 (Reference)	18	1.0 (Reference)
High foreign-born	3rd	103	39	1.7 (0.9–3.2)	28	2.1 (1.0–4.3)
	2 <sup>nd</sup>	105	24	1.0 (0.5–1.9)	17	1.2 (0.5–2.6)
	1st	87	20	1.0 (Reference)	12	1.0 (Reference)
Low foreign-born	3rd	43	15	1.1 (0.5–2.3)	6	0.9 (0.3–2.3)
	2 <sup>nd</sup>	45	15	1.0 (0.5–2.3)	11	1.1 (0.4–2.6)
	1st	60	19	1.0 (Reference)	13	1.0 (Reference)
Low English proficiency	3rd	70	26	2.1 (0.9–4.9)	17	NC
	5nd	65	14	1.2 (0.5–3.0)	8	NC
	I <sup>st</sup>	47	6	1.0 (Reference)	4	1.0 (Reference)
High English proficiency	3rd	76	28	1.2 (0.7–2.2)	20	1.2 (0.6–2.4)
	2 <sup>nd</sup>	85	25	1.0 (0.5–1.7)	20	1.1 (0.5–2.1)

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2nd 1 <sup>st</sup> 3rd		
1 <sup>st</sup> 3rd	20 1.2 (0.6–2.7)	17 1.5 (0.6–3.6)
3 <sup>rtl</sup>	13 1.0 (Reference)	9 1.0 (Reference)
	24 1.1 (0.6–2.0)	17 1.2 (0.6–2.6)
7,00	19 0.9 (0.4–1.7)	11 0.8 (0.4–1.9)
1 <sup>st</sup> 81	26 1.0 (Reference)	16 1.0 (Reference)
Low acculturation index 3 <sup>rd</sup> 102	40 2.1 (1.1–4.1)	29 <b>2.5</b> (1.1–5.4)
2 <sup>nd</sup> 107	25 1.2 (0.6–2.5)	18 1.4 (0.6–3.2)
1 <sup>st</sup> 82	16 1.0 (Reference)	10 1.0 (Reference)
High acculturation index 3 <sup>rd</sup> 44	14 0.9 (0.39–1.9)	8 0.7 (0.3–1.9)
2 <sup>nd</sup> 43	14 0.9 (0.41–1.9)	10 1.0 (0.4–2.4)
1 <sup>st</sup> 65	23 1.0 (Reference)	15 1.0 (Reference)

2.2 (0.7–7.1)

10

1.4 (0.6–3.6)

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1.9 (0.6-6.2)

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Low Spanish primary language

1.4 (0.6–3.2)

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1.8 (0.9–3.5)

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High Spanish primary language

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e. aORs <sup>d</sup> and 95% CIs of NTDs and Spina Bifida Comparing Each Tertile of Exposure to PM <sub>2.5</sub> <sup>b</sup> to the Lowest (1 <sup>st</sup> )	Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California	QIN

	)			NTD	Spi	Spina bifida
Neighborhood factor <sup>c</sup>	PM <sub>2.5</sub> tertile	Controls N	Cases N	aOR (95% CI)	Cases N	aOR (95% CI)
	lst	38	11	1.0 (Reference)	S	1.0 (Reference)
Low U.S. citizens	3rd	74	26	1.5 (0.8–3.1)	16	1.3 (0.6–3.1)
	2 <sup>nd</sup>	70	17	1.1 (0.5–2.3)	12	1.1 (0.5–2.7)
	lst	67	16	1.0 (Reference)	11	1.0 (Reference)
High U.S. citizens	3rd	47	16	1.6 (0.7–3.7)	11	2.0 (0.7–5.8)
	2 <sup>nd</sup>	52	23	2.1 (0.9–4.7)	16	2.6 (0.9–7.2)
	I <sup>st</sup>	51	11	1.0 (Reference)	ę	1.0 (Reference)
High Hispanic	3rd	68	23	1.2 (0.6–2.4)	15	1.1 (0.5–2.5)
	2 <sup>nd</sup>	69	21	1.1 (0.5–2.2)	12	0.9 (0.4–2.1)
	lst	58	17	1.0 (Reference)	12	1.0 (Reference)
Low Hispanic	3rd	53	19	2.2 (0.9–5.1)	12	2.7 (0.9–8.3)
	2 <sup>nd</sup>	53	19	2.3 (1.0–5.3)	16	3.7 (1.3–10.8)
	lst	60	10	1.0 (Reference)	5	1.0 (Reference)
High foreign-born	3rd	78	30	1.9 (1.0–3.8)	19	1.7 (0.8–3.9)
	2 <sup>nd</sup>	85	24	1.5 (0.7–2.9)	15	1.3 (0.6–3.0)
	1 st	78	16	1.0 (Reference)	11	1.0 (Reference)
Low foreign-born	3rd	43	12	1.0 (0.4–2.7)	8	1.3 (0.4-4.0)
	2 <sup>nd</sup>	37	16	1.6 (0.6–3.9)	13	2.3 (0.8–6.7)

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e. aORs<sup>*a*</sup> and 95% CIs of NTDs and Spina Biffda Comparing Each Tertile of Exposure to  $PM_{2.5}^{b}$  to the Lowest (1<sup>st</sup>), Stratified by Neighborhood Acculturation Factors, among Births between 1997 and 2006 in Eight Counties in the San Joaquin Valley of California

Neighborhood factor <sup>c</sup> PM <sub>2</sub> Low English proficiency High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.	<b>PM<sub>2,5</sub> tertile</b> 1 <sup>st</sup> 3 <sup>rd</sup>	$\operatorname{Controls}_N$	Cases N			OD (0207 CI)
Low English proficiency High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.	1 <sup>st</sup> 3rd			aOR (95% CI)	Cases N	aun (J) (J) (J)
Low English proficiency High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.	3rd	40	11	1.0 (Reference)	9	1.0 (Reference)
High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.		53	18	1.1 (0.5–2.5)	10	1.0 (0.3–2.9)
High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.	2 <sup>nd</sup>	53	14	0.9 (0.4–2.1)	8	0.8 (0.3–2.5)
High English proficiency Recent year of entry to U.S. Earlier year of entry to U.S.	lst	36	12	1.0 (Reference)	7	1.0 (Reference)
	3rd	68	24	1.9 (0.9–4.0)	17	2.0 (0.9–4.8)
	2 <sup>nd</sup>	69	26	2.1 (1.0–4.3)	20	2.4 (1.0–5.4)
	1 <sup>st</sup>	82	15	1.0 (Reference)	10	1.0 (Reference)
Earlier year of entry to U.S.	3rd	62	23	1.4 (0.7–3.0)	15	1.1 (0.5–2.6)
Earlier year of entry to U.S.	2 <sup>nd</sup>	62	19	1.2 (0.6–2.6)	14	1.1 (0.5–2.5)
äarlier year of entry to U.S.	1st	55	15	1.0 (Reference)	12	1.0 (Reference)
	3rd	59	19	1.7 (0.7–3.7)	12	2.5 (0.8–7.6)
	2 <sup>nd</sup>	60	21	1.9 (0.8-4.1)	14	2.9 (1.0–8.5)
	lst	63	12	1.0 (Reference)	5	1.0 (Reference)
Low acculturation index	3rd	79	29	1.6 (0.8–3.1)	19	1.4 (0.6–3.1)
	2 <sup>nd</sup>	79	22	1.2 (0.6–2.5)	14	1.1 (0.5–2.4)
	1st	75	18	1.0 (Reference)	13	1.0 (Reference)
High acculturation index	3rd	42	13	1.5 (0.6–3.8)	8	NC
	2 <sup>nd</sup>	43	18	2.0 (0.8–5.1)	14	NC
	1 st	43	6	1.0 (Reference)	4	NC

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Data in bold type are estimates that have 95% CIs that do not include 1.

<sup>a</sup> Analyses are adjusted for maternal nativity (U.S.- or foreign-born), education, and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

<sup>b</sup>CO levels are based on 24-hr average measurements, which are then averaged over the 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> 5 0.13–0.42; 2nd 5 0.43-0.62; 3rd 5 0.63-1.35 ppm

 $^{\mathcal{C}}$  variables from the 2000 U.S. Census at the block group level stratified near the median.

dWald chi-square test of homogeneity p < 0.05 are comparing each neighborhood acculturation factor.

NC, not calculated (estimates that rely on cells less than five).

<sup>a</sup>Analyses are adjusted for maternal nativity (U.S.- or foreign-born), education, and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

b NO levels are based on 24-hr average measurements, which are then averaged over the 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> = 0.69–5.06;  $2^{nd} = 5.07 - 15.02; 3^{rd} = 15.03 - 67.34 \text{ ppb.}$ 

 $c_{\rm Variables}$  from the 2000 U.S. Census at the block group level stratified near the median.

<sup>a</sup>Analyses are adjusted for maternal nativity (U.S.- or foreign-born), education, and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

<sup>b</sup>NO2 levels are based on 24-hr average measurements, which are then averaged over the 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> = 7.27-

14.39;  $2^{nd} = 14.40 - 18.69$ ;  $3^{rd} = 18.70 - 38.94 \text{ ppb.}$ 

Birth Defects Res. Author manuscript; available in PMC 2018 January 18.

 $^{\mathcal{C}}$ Variables from the 2000 U.S. Census at the block group level stratified near the median.

<sup>a</sup>Analyses are adjusted for maternal nativity (U.S.- or foreign-born), education, and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

 $b_{\rm DM10}$  levels are based on 24-hr average measurements, which are then averaged over the 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> = 13.17-27.39;  $2^{nd} = 27.40-39.75$ ;  $3^{rd} = 39.76-95.32 \ \mu g/m^3$ .

 $^{\mathcal{C}}$ Variables from the 2000 U.S. Census at the block group level stratified near the median.

 $d_{\rm W}$ ald chi-square test of homogeneity p < 0.05 are comparing each neighborhood acculturation factor.

NC, not calculated (estimates that rely on cells less than five).

<sup>a</sup>Analyses are adjusted for maternal nativity (U.S.- or foreign-born), education, and vitamin use (for the month prior to and/or the first 2 months of pregnancy).

b PM2.5 levels are based on 24-hr average measurements, which are then averaged over the 1<sup>st</sup> and 2<sup>nd</sup> months of pregnancy and analyzed in tertiles (determined from controls). Tertile ranges: 1<sup>st</sup> = 4.58-11.81;  $2^{nd} = 11.82 - 22.39$ ;  $3^{rd} = 22.40 - 66.29 \ \mu g/m^3$ .

 $^{c}$ Variables from the 2000 U.S. Census at the block group level stratified near the median.

NC, not calculated (estimates that rely on cells less than five).