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Three crocodilian genomes reveal ancestral patterns of evolution among archosaurs

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Abstract

To provide context for the diversifications of archosaurs, the group that includes crocodilians, dinosaurs and birds, we generated draft genomes of three crocodilians, Alligator mississippiensis (the American alligator), Crocodylus porosus (the saltwater crocodile), and Gavialis gangeticus (the Indian gharial). We observed an exceptionally slow rate of genome evolution within crocodilians at all levels, including nucleotide substitutions, indels, transposable element content and movement, gene family evolution, and chromosomal synteny. When placed within the context of related taxa including birds and turtles, this suggests that the common ancestor of all of these taxa also exhibited slow genome evolution and that the relatively rapid evolution of bird genomes represents an autapomorphy within that clade. The data also provided the opportunity to analyze heterozygosity in crocodilians, which indicates a likely reduction in population size for all three taxa through the Pleistocene. Finally, these new data combined with newly published bird genomes allowed us to reconstruct the partial genome of the common ancestor of archosaurs providing a tool to investigate the genetic starting material of crocodilians, birds, and dinosaurs.

Introduction

Crocodilians, birds, dinosaurs, and pterosaurs are a monophyletic group known as the archosaurs. Crocodilians and birds are the only extant members and thus crocodilians (alligators, caimans, crocodiles, and gharials) are the closest living relatives of all birds (1, 2). While crocodilians diverged from birds more than 240 million years ago (MYA), animals with morphology unambiguously similar to the extant crocodilian families (Alligatoridae, Crocodylidae, and Gavialidae) first appear in the fossil record between 80 and 90 MYA (3). Unlike other vertebrates such as mammals, squamates and birds, which underwent substantial diversification, extant crocodilian species have maintained morphological and ecological similarities (4). Slow divergence among living crocodilians is also observed at the level of karyotype evolution (5).

Crocodilians are important model organisms in fields as diverse as developmental biology, osmoregulation, cardiophysiology, paleoclimatology, sex determination, population genetics, paleobiogeography, and functional morphology (4). For example, the males and

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females of all crocodilians (like some, but not all, reptiles) are genetically identical. Sexual fate is determined during development by a temperature sensing mechanism whose molecular basis remains poorly understood (6). More broadly, reptilian genomes exhibit substantial variation in isochore content, chromosome sizes and compositions (e.g. some but not all species have GC-rich and gene-rich micro-chromosomes), and sex-determination mechanisms. Remarkably, this plasticity in large-scale genome features is often coincident with a slower rate of karyotype and sequence evolution (7).

We sequenced the genomes of the American alligator, the saltwater crocodile, and the Indian gharial, spanning the three major extant crocodilian lineages (3, 8–10). These crocodilian genomes augment the list of assembled genomes from avian and non-avian reptiles (11–16) allowing us to probe the lineage-specific novelties in avian and crocodilian evolution. They also provide the substrate for computational inference of the common ancestor archosaur genome.

Genome assembly and annotation

We generated high-coverage Illumina sequence data (Tables S1–S3) from paired-end and mate-pair libraries from each species: alligator, crocodile, and gharial. The assembly strategy for each taxon differed due to varying legacy data and developments in library preparation methods during the course of the project (17). Importantly, genome scaffolding of alligator and to a lesser extent, saltwater crocodile, was aided by the availability of bacterial artificial chromosome (BAC) sequences and BAC end-sequence data. RNASeq data were collected from the alligator and, to a lesser extent, the crocodile and gharial (17). Stringently filtered consensus gene sequences were used for quality assessment of drafts of the genome assemblies and finally to aid in scaffolding the assemblies. Details of the libraries and assembly statistics for each genome are summarized in Tables S1–S4.

Gene annotation was accomplished using a combination of RNASeq data and homologybased analyses (17). We identified 23,323 protein-coding genes in the alligator compared to 13,321 and 14,043 in crocodile and gharial, respectively (Table S5). The unevenness likely reflects the larger N50 of the alligator genome assembly (Table S4) and importantly that the bulk of the transcriptome data used to guide gene identification derives from alligator (Table S6). This unevenness of annotation complicates direct comparisons of gene content. Therefore, for protein-coding sequence analyses, we compared orthologous sequence of the crocodile and gharial to the more thoroughly annotated alligator genome. We assigned names to 55% of crocodilian genes, on the basis of orthology to vertebrates with existing standardized nomenclature (human, mouse, anole, chicken and zebrafish). Between 60 and 70% of crocodilian proteins had conserved functional motifs on the basis of comparison to other vertebrates and we provided 377,441 GO annotations for 43,436 crocodilian proteins.

Transposable elements (TEs) were identified *de novo* in all three crocodilians and analyses resulted in a library of 1269 different TEs (Table S7); a large number for a vertebrate. This high TE count in crocodilian genomes is due, at least in part, to the apparently low rate of base substitution in crocodilians as discussed below. We find that ~37.5% of each

crocodilian genome can be annotated as TE (Table S7), a value intermediate between mammals (40–60%) and birds (12–15%) (18–23).

Ultraconserved element (UCE) phylogeny and molecular evolution

UCEs were originally defined as orthologous segments that exhibit very high levels of sequence conservation (24). Subsequent work established that UCEs often occur in single copy regions of the genome. Regions immediately flanking the core of a UCE typically exhibit progressively greater evolutionary rates (25–27). The relative ease of assessing orthology for UCEs and their flanking regions (hereafter called UCE-anchored loci), combined with their ease of alignment and the fact that they exhibit little or no substitution saturation, makes them useful for estimating *relative* evolutionary rates across all tetrapods. We identified and extracted 965 UCE-anchored sequences from the three crocodilian genomes and compared them to their orthologs from representatives of all major tetrapod lineages [in addition to the archosaurs, we included mammals, lepidosaurs (lizards and snakes), turtles, and an amphibian along with the coelacanth outgroup; (17) (Table S8)]. Using these data, we inferred tetrapod phylogeny and examined rates of evolution along the branches (Figure 1a and Figures S1–S7).

The phylogeny estimated using UCE-anchored data largely agrees with other studies (8, 10, 28, 29). For example, we recovered Longirostres (crocodile + gharials) within Crocodylia, found crocodilians to be the sister-group of birds (supporting the clade Archosauria), and confirm turtles as the sister group to living archosaurs. Branch lengths across this phylogeny suggest that crocodilians exhibit a low rate of molecular evolution for UCE-anchored loci relative to all tetrapod groups (Figure 1a), including the slowly evolving turtles. To explore the evolutionary tempo of crocodilians, we estimated absolute substitution rates across the tree using divergence time estimates for critical nodes (17). These estimates suggest that the molecular evolution of crocodilians is slower than all other lineages (Figures S2, S4, S7, S15, and S16). Indeed, the crocodilian rate is approximately an order of magnitude slower than that of lepidosaurs and mammals. Perhaps more importantly, the availability of multiple bird, crocodilian, and turtle genomes allow us to estimate the ancestral rates for these groups (Figure 1b). Using a variety of calibration times for the TMRCA of birds, crocodilians, and archosaurs (Figure S8 and Table S9), we find that the rate of UCE evolution for the avian stem lineage was similar to extant avian lineages (Figure 1b and Figure S7). In contrast, the crocodilians stem lineage evolved more rapidly than its extant lineages. Given the low rates observed in both turtles and crocodilians and the reduced rate in the avian stem lineage, we propose that the ancestor of all archosaurs was likely characterized by an extremely slow rate of molecular evolution that subsequently increased on the avian stem lineage.

Gene-based phylogeny and molecular evolution

We used the PhylomeDB pipeline (19) to identify 337 single copy orthologous gene sequences (17) from 22 tetrapod genomes (Table S10). Phylogenetic analysis of a concatenated alignment of these genes (Figure S10) produced a tree congruent with the UCE-based phylogeny shown in Figure 1a and other amniote phylogenies (28, 30). The

concatenated alignment of orthologs was then further filtered to extract four-fold degenerate (4D) sites (17), which evolve at a rate similar to the neutral rate. Although some 4D sites may be subject to purifying selection (31), studies in birds suggest that substitutions at 4D sites accumulate ~75% as rapidly as those at other sites thought to be neutral (32). Thus, their rate is expected to be much closer to the neutral rate than the rate estimated using UCE-anchored data. As expected, substitution rates at 4D sites were higher than the rate estimated using UCE-anchored regions (Fig. 1b). However, the pattern of relative rates for different taxa was qualitatively similar to that reconstructed using the UCE-anchored regions (Figure 1b and Figures S13–S16).

A larger survey of aligned genes (without the single copy orthology filters) found 9,574 trees that suggested monophyly of birds, turtles and crocodilians relative to squamates and the vast majority of those (6,880; 72%) placed crocodilians and birds together in a clade. Only 28% of trees supported alternate topologies (birds + turtles or crocodilians + turtles (17)). Although the placement of gharial within the crocodilian phylogeny has been contentious over the last several decades (33) a clear majority (78.4%) of protein coding gene trees supported Longirostres (8).

Rates of genome evolution in crocodilians, birds, and other reptiles

To explore the patterns of molecular evolution across the genomes of crocodilians, we created a whole genome alignment (WGA) (17) that included 23 reptile genomes, including the three crocodilians, 15 birds, four turtles, and the Carolina anole lizard as the outgroup (Table S12). Consistent with our other results, the WGA analysis revealed low genome-wide pairwise divergences among crocodilians (Table S13); for example, the alligator and crocodile (which shared a common ancestor ~80–100 MYA; node O in Table S9) have ~93% genome-wide identity. This is similar to the level of identity between human and rhesus macaque, whose common ancestor lived only ~23 MYA (34), indicating exceptionally low rates of evolution compared to mammals.

This WGA for birds and reptiles also provides an opportunity to assess the relative rates of different substitution types using a single alignment framework. We compared rates at 4D sites (Figure 2 and Table S14) with those occurring within orthologous TE insertions that are shared among the three crocodilians (Table S15). Substitutions in TEs, which presumably accumulate at close to the neutral rate (35), accumulated slightly more rapidly than those at 4D sites extracted from the WGA (Fig. 2A). The WGA also allowed us to estimate the rate of micro-indels (10 bp per event, filtered to avoid alignment errors) relative to substitutions. This ratio for crocodilians (0.064 microindels per substitution) is similar to that in birds and turtles (Fig. 2b), and is within the range of previous estimates for mammals (36, 37). The ratio of micro-deletions to micro-insertions was similar across the tree (avg. ~1.94; Table S16) and concordant with previous estimates from other taxa (36, 37), with no apparent bias towards either category in crocodilians, birds or turtles (Table S20).

Finally, we also used the multiple species WGA to examine the conservation of synteny between adjacent gene pairs in chicken and alligator, examining only those pairs where both

genes were unambiguously located (17). We find similarly high levels of gene order conservation between crocodilian genomes as between comparably separated bird genomes – a group marked by its extreme syntenic conservation relative to mammals (Figure 2C). Thus, the low evolutionary rates observed in crocodilians are not specific to substitutions, but also include micro-indels and gene-level rearrangements.

Transposable elements evolve slowly in crocodilians

Of the annotated TEs, 95% belong to families that appear in all three genomes with nearequal frequency. Thus, only ~5% of TE copies (representing <2% of the genome) arose after the split of Longirostres (crocodile + gharial) from alligators, approximately 80–100 MYA (Table S9). Considering that there is an ascertainment bias against older repeats, these data suggest that the rate of new TE family invasion/evolution has generally been decreasing in crocodilians, with an exception of a minor burst of novel activity in the common ancestor of Longirostres (Figure S20). Indeed, in the ~235 MY between the mammal-crocodilian divergence and the origin of crown crocodilians, at least 823 TE families were active, a rate of around 3.5 TE families/MY. The rate has fallen below 1.0 in both crocodile and gharial since their divergence.

The 'visibility' of TE copies introduced before the divergence of mammals and reptiles, ~310–330 MYA (Table S9) (17), provides another line of evidence for the extraordinarily low rate of crocodilian genome evolution. Averaged over 74 unrelated families of such elements (17), crocodilian genomes contain five times more DNA that is recognizably derived from such elements as the typical mammalian genome, three times more than the reconstructed boreoeutherian (the mammalian clade comprising primates, rodents, carnivores, bats, and a number of additional orders, 'boreo' in Figure 3) genome (36), 3.8 times what is identifiable in the chicken genome and 15 times more than the anole genome. Surprisingly, the painted turtle genome contains on average 2.3 times more bases recognizably derived from each of these repeats compared to crocodilians (Figure 3 and Figures S21–S23), suggesting an even slower neutral decay rate. The consistency of the relative representation of these unrelated elements in each genome suggests that these ratios are not the result of differential lineage-specific accumulation but represent actual differences in mutation and deletion rates and that crocodilians exhibit a neutral mutation rate that is among the slowest found in vertebrates and may be the slowest within amniotes.

Gene family evolution suggests retention of ancestral orthologs in crocodilian lineages

We used gene trees from the phylome analysis to search for gene families that underwent duplications within the crocodilian lineage (17). One of several gene families on which we concentrated our efforts is olfactory receptors. These comprise one of largest vertebrate gene families and its members are small, single-exon genes, making them relatively easy to investigate. They have also played a central role in the development of our understanding of how gene families evolve (38). Similar to results found in other amniote lineages (39, 40), genes associated with olfactory perception were over-represented among duplicated genes in crocodilians. Crocodilians possess a diverse olfactory receptor (OR) repertoire, and each

species has approximately 1,000 ORs, half of which are likely functional (Table S21) (17) which is not unusual for a tetrapod genome. However, in other tetrapods the ORs derive from independent expansions of a small number of ancestral OR genes within those lineages (38), as we observed for the birds and turtles we examined (Figure 4 and Figure S24). In contrast, crocodilian OR repertoires almost exclusively reflect the retention of OR genes present in the common ancestor of crown crocodilian followed by few gains or losses (Figure 4 and Figure S24). This observation, many retained ancestral genes rather than novel expansion, suggests that crocodilians have achieved a diverse OR repertoire using a novel strategy: retention of ancient genes as opposed to the generation of novel variants.

Genetic diversity and natural history of Crocodylia

We used the genomic data generated here to investigate the population history of each crocodilian species. Mapping shotgun reads back to the assembly, we identified and quantified the rate of heterozygosity (17) within each species. All three genomes exhibited a low degree of heterozygosity compared to most mammalian and avian genomes (Figure 5). Among the three crocodilian taxa we examined, the crocodile had the highest observed genetic diversity with about three heterozygous sites per 10 kb. The lower heterozygosity of the other two crocodilians examined here is interesting considering their recent or current status as endangered species. The gharial is critically endangered due to habitat loss (41) and the American alligator recently survived an anthropogenic population bottleneck (42) and was removed from the endangered species list in 1987. We inferred the effective population sizes of alligator, crocodile, and gharial (Figure 5A) using the neutral mutation rate for crocodilians ($\mu = 7.9 \times 10^{-9}$ substitutions site⁻¹ generation⁻¹) calculated from the pairwise divergence (17) between the alligator and saltwater crocodile. We note that the alligator and crocodile were wild caught and thus likely to represent the genetic diversity of their species, whereas the gharial we sequenced was bred in captivity and of unknown recent ancestry.

The crocodilians comprise many of the largest extant ectothermic species. As such, their success through recent geologic time is of special interest. Given their long generation time and slow mutation rate the pairwise sequentially Markovian coalescent (PSMC) model (43) approach can probe population sizes further into the past than is possible for faster evolving lineages. All three lineages experienced distinct changes in their estimated N_e over the past seven million years (Figure 5B and Figure S26). We also included estimates of air temperature data (44) to identify any potential relationship of demographic histories to climate change. We identified that both crocodile and gharial maintained relatively stable population sizes through the Pleistocene and Pliocene but both experienced sharp declines during the last cooling cycle between ~100 and 10 thousand years before present (Figure 5B). In contrast, the population size of alligators declined continuously throughout the Pleistocene, perhaps because they inhabit more temperate latitudes and experienced greater effects from global cooling. A generally declining effective population size over the past million years was also shown for the Chinese alligator (15) using the PSMC approach.

A draft archosaur genome

One exciting use of genome sequence spanning archosaurs is the potential to infer the ancestral archosaur genome. As part of the WGA analysis, we computationally inferred the ancestral archosaur genome, along with ancestral genomes for all the internal nodes of the tree. Due to the constant turnover of sequence during the ~300 MY since the divergence of birds and crocodilians and the likelihood that some data are missing in the assemblies of extant taxa, the reconstructed genome assembly is limited to 584 Mb of sequence, less than the genome assemblies for extant taxa. Determining the nucleotide at each position in the ancestral archosaur genome with a standard continuous time substitution model, the average expected reconstruction accuracy of archosaur bases is 91% (Figure 6A and Figure S17).

The ancestral genome reconstruction exhibits a strong bias toward the recovery of functional elements. For example, we mapped alligator regions with various annotations, TEs, coding DNA sequences (CDS), 3' and 5' untranslated regions (UTR), exons, upstream sequences (defined by a 500bp window upstream of the putative transcription start site for each gene) and introns, to the archosaur genome using the WGA to map the annotations by projection through the alignment. Compared to putatively neutrally evolving elements like TEs, we found CDSs, 3' UTRs, and 5' UTRs (in decreasing order), to have substantially higher base level reconstruction accuracy (e.g., 97% of base calls mapped by CDS annotations are expected to be correct, Figure 6A). Concordantly, while on average only 26% of alligator bases have an aligned base in the archosaur reconstruction, the proportion of annotated bases mapping to archosaur is higher (Figure 6B) (17). The reconstruction bias toward functional elements is correlated with differences in purifying selection as measured with PhyloP on the WGA (17). Transcribed elements annotated in alligator or chicken are also more likely to have remained stably ordered and oriented mapping back to the archosaur, suggesting that intra-gene ordering constraints have helped preserve sequence structure (Figures S17–S19).

Discussion

The draft genome assemblies of these three crocodilian taxa add to the growing list of available reptilian genomes and allow a more comprehensive analysis of vertebrate genome evolution. Because crocodilians are the sister group of birds, these three genome also provide a critical resource for examining the ancestral state of various genomic features for birds, for which multiple genomes are now available (45). The most striking of our results is the remarkably low rate of genome-wide molecular evolution among all major crocodilian lineages. This low rate was observed for the accumulation of base substitutions at many different types of sites (those in UCE-anchored loci, 4D sites in protein coding regions, and the presumably neutral sites in TE insertions) and for other types of genomic changes, like micro-indels and TE movement. Recent genomic analyses of turtles suggest a low rate of evolution in that lineage as well (13), a finding we confirmed and extended. Taken as a whole, this provides strong evidence that that a slow rate of genomic change is the ancestral state for archosaurs.

Our evidence that the low rate of molecular evolution applies to multiple types of genomic changes makes it tempting to speculate that there is a single underlying cause. Within

mammals, the accelerated rate of molecular evolution for rodents relative to primates (also observed here; Figure 1) is often attributed to shorter generation times along the rodent lineage (46). However, there have also been suggestions that the high rate in rodents could reflect differences in DNA repair efficiency (47). More broadly, rates of molecular evolution may be correlated with a number of factors, including body size and metabolic rate (48, 49). However, these and other life history characters are themselves correlated (50, 51), making it very difficult to untangle the relevant causal factors.

Our analyses include all major amniote lineages and it is clear that crocodilians and turtles exhibit the lowest rates of molecular evolution; both of those clades are characterized by long generation times. Indeed, using a 20-year generation time along the crocodilian lineage (17), the inferred rate of molecular evolution per site per *generation* $(7.9 \times 10^{-9} \times 10^{-9})$ substitutions per site per generation) is not substantially different from estimates in other lineages; it is the rate per *year* that is much lower for crocodilians. The higher rate for stem birds, which is actually similar to that observed for extant birds, could indicate that this species had already decreased its generation time. Indeed, recent analyses of paleontological data are highly consistent with decreased body size on the lineage ancestral to extant birds (52). Given the strong correlation between body size and generation time (51) this would be consistent with our observed changes in the average rate of molecular evolution. It will be of substantial interest to establish whether similar morphological correlates can be established for stem crocodilians and other lineages.

Materials and Methods

Sequencing and assembly

Genomic DNA was isolated using blood from four individuals including two *A*. *mississippiensis*, individuals of *C. porosus* and *G. gangeticus*. Sequencing depth and assembly strategies differed depending on legacy data available for each taxon (17). Briefly, alligator data consisted of Illumina sequences from five libraries ranging from 5.5 to 88.7x coverage. These reads were assembled using AllPaths-LG (53) with default parameters. Legacy data from 21 fully sequenced BACs, 1309 BAC-end read pairs (54), and RNASeq data, described below, were also used to aid the assembly. Crocodile data consisted of Illumina reads from three libraries ranging from 21.6 to 90.2x coverage. AllPaths-LG was used to assemble the raw data. As with the alligator genome draft, sequences from 360 MHC region BAC assemblies as well as RNASeq data were used to aid the assembly. The gharial genome was assembled using SOAPdenovo v2.04 (55) and data from four Illumina libraries ranging from 50 to 170x coverage. No legacy data was available to improve the gharial assembly.

Transcriptome sequencing and sequence annotation

Total RNA was extracted from multiple alligator and crocodile tissues as well as gharial whole blood (17). RNA was extracted and subjected to library preparation and Illumina RNASeq. While variable, most libraries had insert sizes between 300 and 350 bp and were sequenced both individually and as pools. In total, 11 Gb of high quality sequence data were generated.

Gene predictions were made using Augustus (version 2.5.5) (56). RNASeq data from *A. mississippiensis* was aligned to the genome draft of American alligator draft using Tophat version 2.0.6 (57) and Bowtie version 2.0.5 (58). Augustus used these alignments to improve its gene predictions. Protein coding genes predicted for alligator were then aligned to the other crocodilian assemblies using Genblastg version 1.38, and those alignments were used by Augustus to improve the gene predictions for those species. Functional annotation was accomplished by assigning gene nomenclature, Gene Ontology (GO) and pathway information. Gene names were assigned based upon orthology or homology to species with a gene nomenclature project by transferring names to the crocodilian genes. GO was assigned to predicted proteins based upon a combinatorial approach that is fully described in the Supplemental Materials (17). Pathway information was assigned based upon reciprocal BLAST. Annotated genes, gene products and genome assemblies are available at NCBI, CrocBase (http://crocgenome.hpc.msstate.edu/crocbase/gene.php) and via the Comparative Genomics (CoGe) browser (http://genomevolution.org/CoGe/).

Transposable elements (TEs) were identified and annotated semi-independently in the genomes by three laboratories semi-independently. Briefly, TEs were identified *de novo* in a given genome draft with either RepeatModeler (59) or a combination of PILER (60), RepeatScout (61), and LTRHarvest (62). Output from each method was curated using a combination of manual inspection and computational tools. Combining TE consensus sequences from all three crocodilians resulted in a library of 1269 different TEs. Full details of all sequence annotation protocols are available in the Supplemental Materials (17).

UCE identification and analysis

To create a large set of ultraconserved element loci (UCEs) (17), we combined two sets of ultraconserved elements (25, 63) and kept unique and non-duplicate loci in the set (n=8,047 UCE loci). Using the positions of these loci in the chicken genome (galGal3), we designed capture probes (n=12,237) for each locus to use for *in silico* identification of orthologous UCEs in other tetrapods (Table S6) and aligned each capture probe to those genomes. Following identification of putative UCE loci in each genome, we sliced the match location of all probes \pm 2000 bp from each genome assembly and recovered slices derived from multiple probes targeting the same locus, we re-assembled sequences back into full UCE loci. We then trimmed all slices to approximately the length of the UCE locus \pm 1000 bp and identified the set of all loci found in all taxa (a complete matrix) from two different taxon samples (Table S8). We named these taxon-set-1 and taxon-set-2. Taxon-set-1 includes the Western clawed frog (*Xenopus tropicalis*), and as a result, contains fewer orthologous loci in a complete matrix.

Using the complete data matrices, we aligned FASTA data corresponding to each reassembled UCE locus for each taxon. Following alignment and trimming, we removed any loci containing ambiguous base calls. The remaining alignment data for taxon-set-1 contained 604 loci totaling 495,744 characters and 93,374 alignment patterns (mean locus length=820 bp; 95 CI = 47 bp). The remaining alignment data for taxon-set-2 contained 965 loci totaling 878,786 characters and 172,112 alignment patterns (mean locus length=911 bp; 95 CI = 40 bp). We concatenated all loci in each set, and we analyzed the resulting,

concatenated alignments using RAxML 7.3.4 (64), conducting 20 maximum likelihood (ML) tree searches and 500 bootstrap replicates for each data set. Using RAxML, we checked for bootstrap replicate convergence using the "autoMRE" function. Both data sets converged after 50 replicates, and we used RAxML to reconcile each best, ML tree with each set of 500 bootstrap replicates. We also conducted partitioned, concatenated analyses of the UCE data, but these results did not differ from the unpartitioned results (17).

Phylome analysis

Complete collections of Maximum Likelihood (ML) gene trees for every gene encoded in each of the three crocodilian genomes (phylomes) were reconstructed using the phylomeDB pipeline (17, 65). In brief sequence searches were used to retrieve homologs (e-value 1e-5, 50% overlap) in a set of vertebrates (17). These were aligned using three different programs in forward and reverse orientation. Consensus alignments were built with T-coffee (66) and trimmed with trimAl (67). The evolutionary model best fitting the data was used to build an ML tree with PhyML (68) using four rate categories and a fraction of invariable sites, estimated from the data. Branch support was computed using an aLRT (approximate likelihood ratio test) parametric test. Orthology and paralogy relationships among crocodilian genes and those encoded by the other genomes were inferred from the phylomes, using a species-overlap algorithm (69), as implemented in ETE (70). The resulting trees and orthology and paralogy predictions can be accessed through phylomeDB.org (19). The crocodilian phylomes were scanned to detect and date duplication events using a previously described algorithm (71). For species tree reconstruction two complementary approaches were used. First, a super-tree was inferred from all trees in the three phylomes by using a Gene Tree Parsimony approach as implemented in the dup-tree algorithm (72). Second, the alignments of 337 gene families with one-to-one orthology in all considered species were concatenated and used to build a ML phylogeny as described above.

Gene family analysis

We conducted bioinformatic searches to characterize the repertoires of Olfactory Receptors (ORs), Vomeronasal Receptors types 1 and 2 (V1R and V2R), Taste Receptors type 1 and 2 (T1R and T2R) and trace amine-associated receptors (TAAR) of the three crocodilians in our study, and we compared the repetoires with representative vertebrates (Table S21) (17). We focused the majority of our analyses on the ORs. Briefly, we performed TblastN searches of the three crocodilian genomes using known vertebrate ORs as queries and the best non-overlapping BLAST hits were extracted. Putative complete OR genes were added to the amino acid query and a new TBlastN search was conducted to annotate pseudogenes and truncated genes. Putative ORs were annotated to their subfamily by comparing amino acid sequences against a BLASTP database of known OR amino acid sequences. Phylogenetic analyses were conducted using MEGA v5 (73). We inferred neighbor-joining phylogenies to assess patterns of divergence and diversity of intact crocodilian ORs compared to other vertebrates using a Poisson model of substitution and evaluated support for the nodes with 1,000 pseudoreplicates. We compared the evolution of ORs for the three crocodilians, chicken and zebra finch (74), and green sea turtle and Asian softshell turtle (16).

The whole genome alignment of 23 taxa (Table S12) (17) was computed using progressivecactus (github.com/glennhickey/progressiveCactus) with default parameters and the phylogeny shown in Fig. 2A (75). The topology of the phylogeny was derived by manually merging a subtree of the UCE tree (17) with results from the avian phylogeny sister paper (76) along with published phylogenies for passerine birds (77), parrots, (78), and turtles (79). Nucleotide level ancestral reconstruction of all internal nodes was performed as part of the process using a phylogenetically weighted form of the algorithm described in Nguyen et al. (80) and appropriate for partial genome assemblies. To improve the ancestral base calls we used the ancestorsML tool in the HAL tools library (github.com/glennhickey/hal) (81) to call bases by maximum likelihood, using the general reversible continuous time nucleotide substitution model. To parameterise the model and estimate branch lengths we used phyloFit (82) on conserved fourfold degenerate sites in alligator genes, as described in the Supplemental Materials (17). A complete technical exposition of the alignment computation and statistics calculated is available in the Supplemental Materials.

Mutation rate estimation

We used a phylogenetic approach to estimate the overall mutation rate, μ , along the crocodilian lineage. From both the whole genome alignment between alligator and crocodile and the multiple sequence alignment that includes alligator and crocodile, we estimate the overall divergence between alligator and crocodile to be 7.1%. Because of the remarkably small divergence between these two, we assumed an infinite sites model of evolution and ignored back mutations. We calculate a per generation mutation rate using 90 MY as the time of the most recent common ancestor of alligator and crocodile and an average generation time of 20 years (Table S23) as shown in the Supplemental Materials (17).

Heterozygosity and population history estimation

For each genome, we mapped paired-end genome reads from a single individual back to the final genome assembly using BWA (83) as described in the Supplemental Materials (17). We used tools in the GATK package (http://www.broadinstitute.org/gatk/) to perform indel realignment of each read around possible insertion-deletion positions, then analyzed all genomic positions where the read depth was exactly equal to the genome-wide mean. We derived cutoffs to distinguish *bona fide* heterozygous positions from sequence error by analysis of mutation spectra at these sites (Table S24). From this analysis, we calculated the observed rate of heterozygosity, *H*, at intergenic sequence in each species: alligator H = 0.000136; gharial H = 0.000217; crocodile H = 0.000360. Using these values as an estimate for theta and the substitution rate, m, calculated above, we estimated the effective population size for each species as shown in Figure 6 of the main manuscript.

To estimate historical population sizes, we called SNPs with SAMtools using reads with a >30 map score and base calls with a >20 quality score. We applied the pairwise sequential Markovian coalescent (43) model using 20 years for the generation time (Table S23). We used 90 MYA as the TMRCA of *C. porosus* and *A. mississippiensis* and our analyses indicate 7.1% divergence. Therefore, given a 20 year generation time, we calculated a mutation rate of 7.89×10^{-9} /year/site. We conducted bootstrap tests for each of the three

taxa by splitting the scaffolds into smaller segments and randomly sampling the segments with replacement (Figure S26). We used 100 replicates to test the robustness of the returned population demographic history. We also gathered ancestral Northern Hemisphere air temperature data from (44) and took averages for 200k year bins. Climate oscillations over the last one million years were calculated in 20k year bins.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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Figure 1. Rates of substitution for ultraconserved elements (UCEs) and fourfold degenerate (4D) sites

(A) Inferred amniote phylogeny based on maximum likelihood analysis of partitioned UCEanchored loci using RAxML v7.3.4 (17). All branches received 100% bootstrap support. Colors indicate the estimated rates, with cooler colors corresponding to lower rates of molecular evolution (key presented as an insert). (B) Estimated rates of molecular evolution for UCE-anchored loci (left) and 4D sites (right). Red dots indicate the estimated rate for the branch ancestral to the group of interest. The UCE rate for mouse is an outlier; it is indicated by the black dot.



Figure 2. Rates of substitution, micro-indel and break-point evolution

(A) 4D, transposable element and, for comparison, UCE rates, measured as subs/site. (B) Indel rate vs. 4D subs/site for each extant lineage. (C) Gene syteny breakage rate vs. 4D subs/site distance from the gene annotated species (either alligator or chicken).





Shown are TE copies that predate the speciation of crocodilians and mammals in 16 amniote genomes. The figure displays, on a log scale, 55 unrelated TE families present in all amniote genomes, the bases identified in each individual genome relative to the average identified in all 16 genomes. A * indicates that two or more subfamilies were combined to form a single category. See the Supplemental Materials (17) for the full analysis encompassing all 74 TE families.



Figure 4. OR expansions/contractions within archosaurs

Subtrees from neighbor-joining phylogenies of the intact crocodilian (A), avian (B) and testudine (C) OR repertoires. Crocodiles are represented by the saltwater crocodile, gharial and American alligator; birds are represented by chicken and zebra finch; and testudines are represented by the soft shell and green sea turtle. Note the paucity of lineage-specific clades, in color, among crocodilian ORs, as opposed to avian and testudine ORs. Most crocodilian ORs are outparalogs, groups of paralogous genes that emerged prior to the divergence of the species analyzed, whereas the vast majority of avian and testudine ORs fall on monophyletic groups of inparalogs, groups of paralogous genes the emerged after the divergence of the species analyzed. Neighbor-joining trees were inferred using MEGA v5, a Poisson model of substitution, and 1000 bootstrap iterations were performed to evaluate support. Also see Figure S24



Figure 5. Crocodilian genetic diversity and population history

A. The rate of observed heterozygosity within annotated exons, intergenic sequence, and introns. B. PSMC estimates of the historical crocodilian *N*e inferred from each genome shown in a time span of (B) 5 million years and (C) 1 million years under the assumption of a generation time of 20 years.



Figure 6. Analyzing the archosaur assembly

A. Expected base reconstruction accuracy B. Total archosaur bases assembled in several annotated functional classes and the number of bases in each category from the alligator genome.