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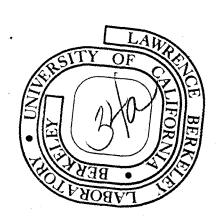
Dean Hemingway Liskow (Ph. D. thesis)

August, 1974

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ELECTRONIC STRUCTURE QUANTUM MECHANICS APPLIED TO SOME SMALL POLYATOMIC MOLECULES

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ELECTRONIC STRUCTURE QUANTUM MECHANICS APPLIED TO SOME SMALL POLYATOMIC MOLECULES

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August 1974

ABSTRACT

Quantum mechanics is used to compute <u>ab-initio</u> wavefunctions for several molecular systems in order to derive theoretical estimates for their structure and chemical behavior. The structure of the ${\rm HO}_2$ radical is investigated with SCF and CI wavefunctions and is predicted to have a 106.8° bond angle. The bending potential energy for ${\rm C}_3$, a species in carbon vapor, is investigated with SCF and CI wavefunctions and the results support the unusually low bending vibrational frequency previously determined experimentally. An SCF wavefunction is used to determine features of the ${\rm CH}_3{\rm NC} \rightarrow {\rm CH}_3{\rm CN}$ isomerization potential energy surface. And lastly, features of the ${\rm C}^+$ + ${\rm H}_2$ \rightarrow CH $^+$ + H reaction potential energy surfaces are determined with CI wavefunctions.

I. INTRODUCTION

Theoretical chemists have long known that the explanation of chemical phenomena is contained in solutions to the quantum mechanical equations of motion, but only recently have they become able to produce practical solutions to these equations for systems of chemical interest, with the use of fast electronic computers and sophisticated computer programs. However, the description of chemical behavior is restricted by the level of approximation one is still forced to use to produce the quantum mechanical solution, and up to now there has been no indication that the quantum theory can not explain all the observations made experimentally. Certainly the last statement alone requires exhaustive application of the best computational methods available, but allowing that the quantum theory is correct, the computation of chemical properties can be performed to predict new phenomena and used to analyze experiments. This is the basis for the work contained in this volume.

II. BACKGROUND AND THEORY

It is now becoming a routine matter for a theoretical chemist to compute chemical properties with quantitative accuracy from first principles by using quantum mechanics, specifically the Schrödinger equation, 1

$$H\Psi = -\frac{\hbar}{i} \dot{\Psi} \tag{1}$$

where \underline{H} is the Hamiltonian operator describing the total energy for the system under investigation, and Ψ is the wavefunction solution to the equation (1). The wavefunction Ψ may be separated into space and time parts, $\Psi(r)$ and $\varphi(t)$ respectively if H is a time independent operator. This produces the equations

$$H^{\Psi}(r) = E^{\Psi}(r)$$
 and $-(\hbar/i)$ $\phi(t) = E \phi(t)$. (2 a and b)

The second equation, (2b), has the simple solution

$$-iEt/\hbar$$

 $\phi(t) = e$

It is equation (2a) that is interesting since the time independent solution $\Psi(r)$ determines the total energy E and describes the structure of the system with the chosen Hamiltonian.

The Hamiltonian of interest to the chemist is just the non-relativistic electrostatic Hamiltonian for the electrons and the nuclei of a particular molecular system, given here using atomic units ($\hbar=m_e=q_e=1$)

$$H = \sum_{A} \frac{-\nabla^{2}_{A}}{2} + \sum_{i} \frac{-\nabla^{2}_{i}}{2} + \sum_{A} \sum_{i} \frac{-Z_{A}}{r_{Ai}} + \sum_{A < B} \frac{Z_{A}Z_{B}}{r_{AB}} + \sum_{i < j} \frac{1}{r_{ij}}$$
(3)

Additional interactions² can be included in this Hamiltonian, but are generally treated as perturbations since the relative magnitudes of these interactions are often small compared to the electronic terms already included.

The calculation of a solution to equation (2a) using the Hamiltonian (3) which has the properties of a many electron wavefunction requires several approximations. Trial solutions are restricted to antisymmetric functions required for a many electron wavefunction by the Pauli postulate. The wavefunction is determined using the variation principle, which says that a trial solution to the eigenvalue problem (2a) will have an expectation value that is always greater than the lowest eigenvalue

$$\frac{\langle \Psi_{\text{trial}} | \Psi_{\text{trial}} \rangle}{\langle \Psi_{\text{trial}} | \Psi_{\text{trial}} \rangle} = \langle E \rangle \stackrel{>}{\sim} E_{\text{lowest eigenvalue}}$$

and that the variations in Ψ_{trial} that reduce the expectation value-eigenvalue difference also reduce their error difference between Ψ_{trial} and the true ground state eigenfunction.

In practice a very common approximation used to simplify equation (2a) is the Born-Oppenheimer approximation. This approximation involves the separation of the nuclear and electronic coordinates

so that the nuclear motion is described by a potential energy surface determined for fixed nuclear positions by solving an electronic Schrödinger equation. The relationship to equation (2a) is seen where $\Psi(\mathbf{r})$ is assumed to factor into electronic and nuclear parts

$$\Psi(r) = \Psi_{R}(r_{e}) \phi(R)$$
, $r_{e} = \text{electronic coordinates}$
 $R = \text{nuclear coordinates}$. (4)

where the subscript on $\Psi_R(r_e)$ signifies that the electronic wavefunction depends parametrically on the nuclear coordinates. If nuclear and electronic terms in the Hamiltonian are collected together in the resulting equation one obtains

$$-\frac{1}{2}\sum_{\mathbf{A}}\frac{1}{M_{\mathbf{A}}}\left[\Psi_{\mathbf{R}}(\mathbf{r})^{\nabla_{\mathbf{A}}^{2}}\phi(\mathbf{R}) + (\nabla_{\mathbf{A}}\Psi_{\mathbf{R}}(\mathbf{r})) \cdot (\nabla_{\mathbf{A}}\phi(\mathbf{R})) + \phi(\mathbf{R})\nabla_{\mathbf{A}}^{2}\Psi_{\mathbf{R}}(\mathbf{r})\right]$$

$$-\frac{1}{2}\sum_{\mathbf{i}}\phi(\mathbf{R})\nabla_{\mathbf{i}}^{2}\Psi_{\mathbf{R}}(\mathbf{r}) + \left(\sum_{\mathbf{A}}\sum_{\mathbf{i}}\frac{-\mathbf{Z}_{\mathbf{A}}}{r_{\mathbf{A}\mathbf{i}}} + \sum_{\mathbf{A}\leq\mathbf{B}}\frac{\mathbf{Z}_{\mathbf{A}}\mathbf{Z}_{\mathbf{B}}}{R_{\mathbf{A}\mathbf{B}}} + \sum_{\mathbf{i}\leq\mathbf{j}}\frac{1}{r_{\mathbf{i}\mathbf{j}}}\Psi_{\mathbf{R}}(\mathbf{r})\phi(\mathbf{R})\right]$$

$$= \mathbf{E}\Psi_{\mathbf{R}}(\mathbf{r})\phi(\mathbf{R}). \tag{5}$$

If the last two terms in the square brackets are dropped, the separated equations that result are

$$-\frac{1}{2}\sum_{i}\nabla^{2}\Psi_{R}(\mathbf{r}) + \left(\sum_{Ai}\frac{-z_{A}}{r_{Ai}} + \sum_{A\leq B}\frac{z_{A}z_{B}}{R_{AB}} + \sum_{i\leq j}\frac{1}{r_{ij}}\right)\Psi_{R}(\mathbf{r}) = E(R)\Psi_{R}(\mathbf{r})$$
(6a)

and

$$-\frac{1}{2}\sum_{\mathbf{A}}\frac{1}{M_{\mathbf{A}}}\nabla_{\mathbf{A}}^{2}\phi(\mathbf{R}) = (\mathbf{E} - \mathbf{E}(\mathbf{R}))\phi(\mathbf{R}) \qquad . \tag{6b}$$

Equation (6a) corresponds to the electronic Schrödinger equation mentioned earlier, and (6b) is a Schrödinger equation for the nuclei using the potential energy surface E(R). It is a requirement for the validity of this approximation that the two neglected terms really be insignificant. The fact that these terms are weighted by the reciprocal of the nuclear mass usually provides the required smallness since the terms inside the brackets are of the same magnitude as the purely electronic terms. 6

The next approximation is the most severe approximation involved in <u>ab-initio</u> calculations today. That is the use of a finite basis set for expanding solutions to the Schrödinger equation. Basis set expansion is desirable because the eigenvalue problem is reduced to a matrix algebra problem, but selection of a basis set not only is costly in terms of variational energy but it also introduces arbitrariness into the calculation. However, much work has been done to categorize and produce standardized basis sets capable of reliable application to molecular calculations. In addition to reliability, the basis functions should also be of a form that allows convienient application to molecular systems. The expoential or Slater type basis function (in spherical coordinates)

$$\phi = c r^{n-1} e^{-\zeta r} Y_{\ell m}(\theta, \phi)$$

has the desired asymptotic behavior for the wavefunction, but is unsuited for the computation of multicenter two-electron integrals resulting from the $1/r_{ij}$ term in the molecular Hamiltonian (3). Slater basis functions have been economical only for atoms and linear molecules. The Gaussian basis function 9 (in cartesian coordinates)

$$\phi = c x^n y^m z^{\ell} e^{-\alpha r^2}$$

does not have the proper asymptotic behavior for a solution to (6a) like Slater functions have, but Gaussian functions can be efficiently 10 incorporated in multicenter two-electron integrals. This efficiency allows an increase in the basis set size, so that a variational calculation performed with Gaussian functions can be made comparable in energy to a particular Slater basis calculation, and still involve much less computation than the corresponding Slater basis calculation. 11

The trial wavefunction to be made into an approximate solution to (6a) via the variational principle must be antisymmetric. The antisymmetric requirement is a result of the Pauli postulate which requires that a many-electron wavefunction be antisymmetric for interchange of the coordinates of any two electrons. In the case where the many-electron wavefunction is to be approximated by the product of one-electron functions, the antisymmetry requirement is satisfied by using a Slater determinant. The best wavefunction in a variational sense consisting of only one determinant is called the Hartree-Fock wavefunction. However, in general, a single determinant will not be a

simultaneous eigenfunction of operators commuting with the Hamiltonian in (6a), and the wavefunction will have to be minimally constructed for several determinants. This minimal multi-determinant wavefunction constructed to be a simultaneous eigenfunction for all the commuting operators (i.e. symmetry and spin operators) is called a single configuration.

One way of constructing a many-electron wavefunction is the self-consistent field (SCF) method where the one-electron functions (called orbitals) are redetermined variationally until the orbitals no longer change. Roothaan has developed the SCF theory for Hartree-Fock wavefunctions using orbitals expanded in finite basis sets. However, even the exact Hartree-Fock wavefunction fails to account for the electron correlation due to instantaneous electron-electron repulsion. The correlation error can, in principle, be accounted for by using a multiconfiguration wavefunction has a multiconfiguration wavefunction.

$$\Psi(\mathbf{r}) = \sum_{\mathbf{i}} C_{\mathbf{i}} \Psi_{\mathbf{i}}(\mathbf{r})$$

also called a configuration interaction (CI) wavefunction, 16 where C_i is the expansion coefficient for the <u>ith</u> configuration Ψ_i (r). The CI wavefunction is calculated by variationally determining the configuration expansion coefficients, a matrix eigenvalue problem; but unless the expansion contains all configurations possible (a full CI) for the basis set used, the choice of orbitals will still affect the calculated energy. Since a full CI calculation is only practical for a

small basis set, the orbitals must be optimized when they are used in an incomplete CI calculation. The selection of configurations and orbitals in this case is another arbitrary element entering the wavefunction, and has been the motivation for several theoretical approaches 17-19 designed to select the most important configurations and orbitals.

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III. APPLICATIONS IN CHEMICAL SYSTEMS

This section deals with the application of quantum mechanics to systems of chemical interest.

A. HO_2 - Geometry Determination of a Radical Intermediate

1. Preliminaries

The hydroperoxyl radical, HO_{2} , is found as an intermediate species generated by several important reaction systems. Direct investigation of HO, in the laboratory is hampered by its short lifetime and consequent small concentrations. It was first observed in a mass spectrometer by Foner and Hudson and confirmed by several later investigations. $^{2-4}$ However, more detailed spectroscopic investigations of HO, waited until Milligan and Jacox and then Ogilvie 6 observed HO, absorption using matrix isolation techniques. Continued experimental investigation has produced much more data on HO2. Paukert and Johnston applied molecular modulation spectroscopy, a technique especially adapted to investigation of intermediates, to HO, and measured kinetic rate constants and the gas phase absorption spectrum. Hochanadel, Gormley, and Ogren 8 reported additional work on the kinetics of ${
m HO}_2$ using flash photolysis. Recently Radford, Evenson, and Howard 9 detected some far infrared rotational transitions using laser magnetic resonance (LMR) on HO_{2} . And lastly, Hunziker and Wendt 10 measured the absorption spectrum in the near infrared while Becker, Fink, Langen, and Schurath 11 measured the same region for emission.

Paukert and Johnston 7 report vibrational frequencies at 1095, 1390, and 3410 cm $^{-1}$ measured from a gas phase sample, and in essential agree-

ment with the matrix isolation experiments. 5,6 This experimental evidence indicates that HO_2 has two inequivalent oxygen atoms, but there is insufficient data to determine the geometry with precision. Paukert and Johnston claim only that their spectrum is consistent with the geometry $\angle\mathrm{HOO}=108^{\circ}$, $\mathrm{R(H-O)}=0.96$ Å, and $\mathrm{R(O-O)}=1.28$ Å, estimated from the known geometry of O_2 , $\mathrm{H_2O}_2$, $\mathrm{H_2O}_2$ and with the use of Walsh's rules 12 to determine the angle. Walsh theorized that ground state HO_2 should be bent with an angle only slightly smaller than HNO (The HNO angle measured by Dalby^{13} is 108.5° for the $\mathrm{^1A^*}$ ground state). Figure 1 shows a Walsh diagram for the HAB system illustrating Walsh's prediction for HO_2 . Hunziker and Wendt 11 report an estimate of 1.41 ± 0.03 Å for the HO_2 ground state O-O distance based on their absorption spectrum and Badger's rule.

2. Basis Set and Wavefunction

This work was designed to provide ab-initio theoretical evidence for the structure of HO₂ with quantitative accuracy. Previous calculations on small molecules have shown the effects basis set size and the treatment of electron correlation on calculated molecular geometry. So a slightly better than "double zeta" basis set of contracted gaussian functions is used for HO₂. For the hydrogen atom Huzinaga's five gaussian basis set is contracted to three functions, and on each oxygen atom Huzinaga's (9s5p) basis is contracted to form a (4s2p) basis by using Dunning's contraction scheme. Table I shows the final basis set and contraction scheme used for HO₂. Table II shows the symmetry grouping into 19a' and 4a" basis functions for the C₂ molecular

symmetry.

Choosing the configurations for describing the electron correlation was done with the first-order wavefunction 18,19 method. This method is designed to select configuration types required to account for most of the valence electron correlation corrections to an SCF or Hartree-Fock wavefunction. For the HO₂ system the Hartree-Fock configuration is

Table III lists the 500 configurations selected for an approximate first order wavefunction calculation for HO2. This configuration list is the result of additional simplifications to reduce the total number of configurations. One simplification is not allowing excitations from the 3a' and 4a' orbitals which, if included, would increase the total to 1086 configurations. The other simplification added to the first order method is to delete the 9a' orbital from the valence orbitals given special treatment in the first order selection scheme. Inclusion of the 9a' orbital with the valence orbitals would make a total of 803 configurations, and without either simplification there would be 1837 configurations. Upon closer inspection, these simplifications needed for economy can be justified since the 3a' and 4a' orbitals correspond to the oxygen 2s atomic orbitals and the 9a' orbital corresponds to a $3\sigma_{11}$ orbital for 0_2 when R(0-0) is near the equilibrium position. This $3\sigma_{11}$ orbital has been found 20 to be unimportant for 0_2 when R(0-0) is in the equilibrium region.

Calculations are performed for both HO_2 and O_2 using the same basis set and configuration selection methods. The calculational procedure in each case starts with an SCF calculation to determine the best one-electron functions or orbitals occupied in the Hartree-Fock configuration. This is done by annihilation of single excitation configurations, and transforming the orbitals to canonical form. The remaining unoccupied orbitals are constructed to describe excited states of HO_2^{\dagger} and O_2^{\dagger}. This exhausts the basis set and provides starting orbitals more suited for use in an incomplete CI calculation because core orbitals are energetically separated from valence and higher orbitals. This separation helps to justify the unequal treatment given to valence orbitals with respect to core and higher orbitals in selection of configurations. Next, these starting orbitals are replaced by the natural orbitals 21 determined from the first order CI wavefunction. Natural orbital are produced iteratively 22 by repeated first order CI stages until the total energy stabilizes or increases slightly.

3. Result and Analysis

Paukert and Johnston's estimate for the geometry of HO₂ was used to choose a grid of geometry points for calculating the three dimensional potential energy surface. The SCF and first order CI energies calculated are given in Table IV. The number of calculation points is small because of the expense of the calculation (15 minutes a point on a CDC 6600 computer). The surfaces were then fit to the quadratic form

$$E = E_{o} + K_{OH}(r(OH) - r_{e}(OH))^{2} + K_{OO}(r(OO, -r_{e}(OO))^{2} + K_{\theta}(\theta - \theta_{e})^{2} r_{e}(OO) r_{e}(OH)$$

by least squares minimization. The quadratic potential parameters are listed in Table V for both the SCF and CI wavefunctions.

estimated from the 0_2 results in comparison with experimental measurements and with other calculations. Experimentally 23 the 0_2 bond is 1.207 Å long and has a force constant of 11.8 mdyn/Å, while the calculations show 1.205 Å and 16.35 mdyn/Å for the SCF wavefunction and 1.270Å and 10.25 mdyn/Å for the first order CI wavefunction. Past first order calculations 20 on 0_2 using a more extended basis set (d functions) yield better agreement with experiment. It is usual for extended basis set calculations to reduce calculated bond lengths, and for 0_2 the Hartree-Fock bond length is 1.152 Å. 24 This indicates that the SCF geometry calculated here is likely to be more accurate than the CI results because of the basis set size used.

The geometry calculated for HO $_2$ should show the same trends as O $_2$ with respect to the chosen basis set. This means that the SCF bond lengths, r(OH) = 0.986 Å and r(OO) = 1.384 Å, should be closer to experiment than the CI results, r(OH) = 0.973 Å and r(OO) = 1.458 Å. In both calculations, the bond angle should be reliable. The SCF angle is 106.8^{O} and the CI angle is 104.6^{O} , both near the angle rationalized by the Walsh method.

The 0-0 bond on HO_2 is not like the bond in O_2 ; it is longer and has a weaker force constant near equilibrium. The 0-0 bond length in HO_2 is nearer to that of $\mathrm{H}_2\mathrm{O}_2$ where r(0 0) = 1.475 Å. The 0-0 force constants calculated for HO_2 are 4.65 mdyn/Å for the SCF potential and 2.51 mdyn/Å

for the CI potential, while the 0-0 force constant in ${\rm H_2O_2}$ is 4.01 mdyn/Å²⁵ and in ${\rm O_2}$ it is 11.8 mdyne/Å. This also indicates that the 0-0 bond is like that in ${\rm H_2O_2}$. The calculated 0-0 bond force constants for ${\rm HO_2}$ show a factor of two difference between the SCF and CI wavefunctions. When using the same basis set a CI wavefunction gives a description of the electronic structure in a form allowing the wavefunction to correctly dissociate to atoms and fragments. This means that force constants should be more reliable for CI than SCF wavefunctions within a given basis set, and the force constant typically has a lower value for the CI wavefunction.

The hydrogen atom dissociation energy for ${\rm HO}_2$ is calculated from the difference of the ${\rm HO}_2$ and ${\rm O}_2$ + H total energies. The SCF calculation yields a value ${\rm D}_{\rm e}$ = 2.36 eV and the CI calculation a value of ${\rm D}_{\rm e}$ = 1.85 eV compared to the experimental value of ${\rm D}_{\rm e}$ = 2 eV found by Foner and Hudson.

The electron correlation introduced with the CI wavefunction can be examined by observing the natural orbital occupation numbers 21 for the wavefunction. These numbers are listed in Table VI for the geometry with the lowest calculated energy for each of HO_2 and O_2 . The occupation numbers are integers for the single configuration SCF wavefunction, and the difference from integer values found in the CI occupation numbers is a measure of the added correlation.

The 500 configuration first order wavefunction for HO_2 has 200 different orbital occupancies, but only a few of these occupancies produce most of the improvement found with the CI wavefunction. Table VII lists the ten most important occupancies for HO_2 selected by the

energy criterion

$$E = \sum_{i} c_{i}^{2} (H_{ii} - H_{11})$$

where H_{ii} is the diagonal Hamiltonian matrix element for the $i\underline{th}$ configuration associated with the chosen occupancy, C_{i} is the CI expansion coefficient for the \underline{ith} configuration, and H_{11} is the dominant diagonal Hamiltonian matrix element. The 8a' and 2a" orbitals are seen to be involved in most of the important configurations listed in Table VII.

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Table I. Basis Set and Contraction.b

| | | • |
|----------|-------------------|-------------------------|
| | Gaussian exponent | Contraction coefficient |
| Oxygen | | |
| oxygen | | |
| S | 7816.54 | 0.002031 |
| | 1175.82 | 0.015436 |
| | 273.188 | 0.073771 |
| | 81.1696 | 0.247606 |
| | 27.1836 | 0.611832 |
| | 3.4136 | 0.241205 |
| | 9.5322 | 1.0 |
| | 0.9398 | 1.0 |
| | 0.2846 | 1.0 |
| p | 35.1832 | 0.019580 |
| | 7.9040 | 0.124189 |
| | 2.3051 | 0.394727 |
| | 0.7171 | 0.627375 |
| , | 0.2137 | 1.0 |
| Hydrogen | | |
| s | 33.640 | 0.025374 |
| | 5.0580 | 0.189684 |
| | 1.1470 | 0.852933 |
| | | |

| | | Table I (continued | | |
|----------------------|----------------------|--------------------|---------------------------------------|-------------|
| | Gaussian exponent | | Contraction coefficient | |
| Hydrogen | | • , | | |
| s | 0.1013 | | 1.0 | |
| | | | · · · · · · · · · · · · · · · · · · · | |
| a _{Ref. 16} | | | | |
| b _{Ref. 17} | | | | |

Table II. Basis Function Symmetry.

| Atom | Function | C <u>a</u> _' | symmetry <u>a</u> " | |
|-------|------------------------------|------------------|------------------------|--|
| 0(1) | s | 4 | | |
| | $^{ m p}_{ m x}$ | 2 | | |
| | ру | 2 | | |
| | $\mathtt{p}_{\mathbf{z}}$ | • | . 2 | |
| 0(2) | s | 4 | | |
| | $^{ m p}_{ m x}$ | 2 | | |
| | ру | 2 | | |
| | $\mathtt{p}_{_{\mathbf{Z}}}$ | | 2 | |
| н | s | 3 | | |
| Total | | 19 | 4 | |

Table III. Configurations in the Approximate First-Order Wave Functions for the ²A'' State of HO₂^a

| Type excitation | ² A'' config per orbital occupancy | Total config | |
|--|---|-----------------|--|
| la'22a'23a'24a'25a'26a'27a'21a''22a'' | 1 | 1 | |
| 5a', 6a', 7a' -> 8a', 9a',, 19a' | 2 | 72 | |
| 1a'' → 2a'', 3a'', 4a'' | 2 2 | 6 | |
| 2a'' → 3a'', 4a'' | 1 | 2 | |
| 5a'2, 6a'2, 7a'2 -> 8a'2 | 1 | 3 | |
| 5a'6a', 5a'7a', 6a'7a' -> 8a'2 | 2 | 6 | |
| 5a'la'', 6a'la'', 7a'la'' -> | | | |
| 8a'2a'' | 2 | 6 | |
| 1a'' ² → 8a' ² | 1 | 1 | |
| 1a''2a'' → 8a' ² | 1 . | 1 | |
| 5a'2, 6a'2, 7a'2, 1a''2> | | | |
| 8a'9a',, 8a'19a' | 2 | 88 | |
| 5a'3, 6a'2, 7a'2, 1a''2 -> | | | |
| 2a''3a'', 2a''4a'' | 1 | 8 | |
| 5a'6a', 5a'7a', 6a'7a' > | | | |
| 8a'9a',, 8a'19a' | 5 | 165 | |
| 5a'6a', 5a'7a', 6a'7a'> | • | | |
| 2a''3a'', 2a''4a'' | 2 | 12 | |
| 1a''2a'' —> 8a'9a',, 8a'19a' | 2 | 22 | |
| Sa'la'', 6a'la'', 7a'la'' -> | | | |
| 8a'3a'', 8a'4a'' | 5 | 30 | |
| 5a'la'', 6a'la'', 7a'la'' | | | |
| 9a'2a'',, 19a'2a'' | 2 | 66 | |
| 5a'2a'', 6a'2a'', 7a'2a'' -> | _ | | |
| 8a'3a'', 8a'4a'' | 2 | 12 | |
| Total | | 500 | |

[&]quot;Most orbital occupancies give rise to more than one linearly independent doublet (S=1/2) spin eigenfunctions. For a discussion of spin eigenfunctions, see R. Pauncz, "Alternate Molecular Orbital Theory," W. B. Saunders, Philadelphia, Pa., 1967.

Table IV. Calculated HO₂ Energies (Hartrees) and Bond Distances (Bohrs)^a

| r(H-O) | r(O-O) | θ | SCF | First order |
|--------|--------|-----|------------|-------------|
| 1.8 | 3.0 | 110 | -150.13520 | -150.23546 |
| 1.8 | 2.8 | 110 | -150.15090 | -150.24299 |
| 1.8 | 2.4 | 110 | -150.15009 | -150.22473 |
| 2.0 | 2.6 | 110 | -150.14961 | -150.23471 |
| 1.6 | 2.6 | 110 | -150.14331 | -150.22656 |
| 1.8 | 2.6 | 120 | -150.15293 | -150.23641 |
| 1.8 | 2.6 | 100 | -150.15621 | -150.24138 |
| 1.8 | 2.6 | 110 | -150.15834 | -150.24237 |
| 1.8 | 2.8 | 100 | -150.15140 | -150.24445 |
| 1.8 | 2.8 | 90 | -150.14427 | -150.23934 |
| 1.8 | 3.0 | 100 | -150.13744 | -150.23915 |
| 2.0 | 2.8 | 100 | -150.14302 | -150.23701 |
| 1.8 | 2.9 | 100 | -150.14525 | -150.24211 |

[&]quot; 1 hartree = 27.21 eV; 1 bohr = $0.5292 \,\text{Å}$.

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0.7

Table V. Geometries and Force Constants for ${\rm HO}_2$ and ${\rm O}_2$.

| Property | SCF (HO ₂) | SCF(0 ₂) | CI(HO ₂) | CI(0 ₂) | Exp. | Exp. (0 ₂) | Exp. (H ₂ 0 ₂) | Exp. (H ₂ 0) |
|-----------------------------|------------------------|----------------------|----------------------|---------------------|-------------------|------------------------|---------------------------------------|-------------------------|
| Minimum Energy (Hartree) | -150.1579 | -149.5712 | -150.2448 | -149.6768 | | | | |
| O-H Bond Length Å | 0.968 | | 0.973 | | 0.97 ^a | | | · |
| 0-0 Bond Length Å | 1.384 | 1.205 | 1.458 | 1.270 | | 1.207 ^a | 1.475 ^b | |
| Bond Angle (deg.) | 106.8 | ander stepp gapes | 104.6 | with pink type. | | | 94.8 ^b | |
| k(OH)(mdyn/Å) | 8.49 | | 8.56 | | 7.8 ^a | oth whi sum | 7.81 ^b | 8.4 ^C |
| k(00)(mdyn/Å) | 4.65 | 16.35 | 2.51 | 10.25 | - | 11.8 ^a | 4.010 ^b | |
| k(θ)(mydn/Å) | 0.61 | | 0.47 | | Edw (1600 4600) | | 0.8 ^b | 0.76 ^C |

a_{Ref. 23}

b_{Ref. 25}

^CJ. W. Nibler and G. C. Pimentel, J. Mol. Spectrosc. <u>25</u>, 240 (1968).

Table VI. Natural Orbital Occupation Numbers of HO_2 and O_2

| Orbital | HO ₂ | Orbital | 02 |
|---------|-----------------|---------------------|--------|
| la' | 2.0 | lσg | 2.0 |
| 2a' | 2.0 | 1o _u | 2.0 |
| 3a' | 2.0 | 2ơ g | 2.0 |
| 4a' | 2.0 | 2o u | 2.0 |
| 5a' | 1.996 | 3og | 1.971 |
| 6a' | 1.991 | 3o u | 0.0283 |
| 7a' | 1.931 | 4σ g | 0.0003 |
| 8a' | 0.0728 | 4σ u | 0.0001 |
| 9a' | 0.0065 | | |
| .0a' | 0.0032 | $1\pi_{\mathbf{u}}$ | 3.924 |
| la' | 0.0003 | lπ g | 2.060 |
| | | 2π u | 0.0114 |
| la" | 1.974 | 2π g | 0.0028 |
| 2a" | 1.017 | ** | |
| 3a" | 0.0065 | | |
| 4a" | 0.0019 | | |
| | | | |

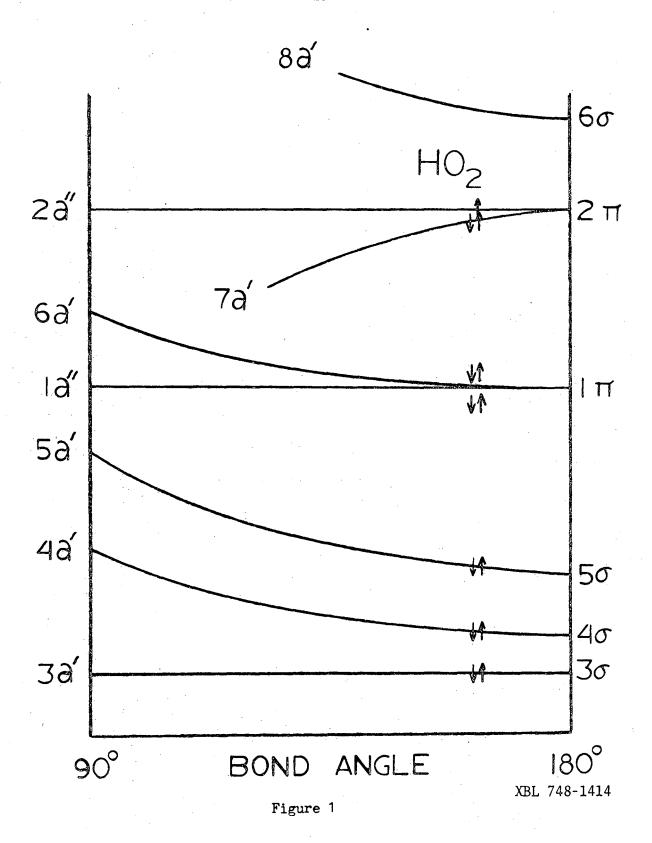
Table VII. Important Configurations in the Approximate First-Order Wave Function for HO₂. The Geometry Is r(H O) = 1.80, r(O-O) = 2.80, $\theta = 100^{\circ}$

| | Excitation | Coefficient | Energy criterion, hartrees |
|-----|---|-------------|----------------------------|
| 1. | 1a'22a'23a'24a'25a'- | | |
| | ² 6a′ ² 7a′ ² 1a′′ ² 2a′′ | 0.9709 | |
| 2. | 7a′² → 8a′² | 0.1419 | 0.0226 |
| 3. | 7a′1a′′ → 8a′2a′′ | 0.1252 | 0.0153 |
| 4. | 6a'7a' → 8a'9a' | 0.0683 | 0.0082 |
| 5. | 5a'7a' → 8a'10a' | 0.0474 | 0.0043 |
| 6. | 1a'' → 3a'' | 0.0516 | 0.0032 |
| 7. | 7a'2a'' → 8 a'3a'' | 0.0313 | 0.0030 |
| 8. | 7a'1a'' → 8a'3a'' | 0.0396 | 0.0027 |
| 9. | 6a'1a'' → 9a'2a'' | 0.0408 | 0.0022 |
| 10. | 7a'la'' > 8a'4a'' | 0.0305 | 0.0021 |

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FIGURE CAPTION

Fig. 1. Walsh diagram for the ${\rm HO}_2$ system. Electron occupancy, depicted by small arrows, indicates that a bent geometry is favored because the 7a' orbital is occupied.



B. C₃ Intramolecular Bending Potential

1. Preliminaries

Molecular carbon in the form of C_3 was known to exist in comets since the 4050 $\hbox{\normalfont\AA}$ band was assigned to that molecule by Douglas. 1 Laboratory analysis of the 4050 Å band was accomplished using flash photolysis of diazomethane by Gausset, Herzberg, Lagerqvist, and Rosen and their analysis indicated that the bending vibrational frequency, v_2 , was near 64 cm^{-1} , an unusually low value. Earlier work³ on C₃ lead Pitzer and Clementi 4 to use the more normal value of 550 cm $^{-1}$ for v_2 to derive the thermodynamic functions from a calculated partition function. The entropy value, $S_{25000K}^{0} = 77.25$ eu, that they derived was in very good agreement with the experimental measurements 5,6 made from carbon vapor diffusion with the values $S_{24000K}^{0} = 77.4$ and 76.1 eu respectively. The low value reported for ν_2 means that the calculated entropy should be several entropy units higher. The contribution to the entropy due to the bending vibrational mode can be reduced if the vibration is treated as very anharmonic. In this way Strauss and Theile 7 found a theoretical lower limit entropy of S_{2400}^{0} o = 79.8 eu by evaluating the classical partition function integral. Hanson and Pearson 8 also calculate $s_{24000\text{K}}^0$ 79.7 eu as a theoretical lower limit using a quantum mechanical model to evaluate the partition function. The remaining discrepancy of more than two entropy units forces a controversy between the accuracy of the early entropy measurements 5,6 and the low vibrational assignment of ν_2 = 64 cm⁻¹. Palmer and Shelef⁹ have given a review of this problem. More

entropy measurements made recently 10,11 are in accord with the theoretical and spectroscopic entropy determinations, thus supporting the low bending frequency assignment allowing that the potential is anharmonic enough to have a small entropy contribution.

This work 12 was a purely theoretical approach to investigate the bending vibrational potential with the use of <u>ab-initio</u> quantum mechanical electronic structure calculations on the C_3 system. In addition, a detailed description of the electronic structure is presented.

2. Basis Sets and Wavefunctions

Three somewhat different basis sets based on Huzinaga's (9s5p) gaussian basis set for the carbon atom are used in calculations on C_3 . The first set is made by using Dunning's (4s2p) "Double-Zeta" contraction. To improve this basis and test its accuracy, a set of \underline{d} functions $(d_{xx}, d_{yy}, d_{zz}, d_{xy}, d_{xz}, d_{yz})$ is added, making the basis (4s2pld). The added \underline{d} function is a two gaussian contraction approximating a 3d slater type function with 2.0 for the exponent. The SCF energies computed with the (4s2pld) basis indicated that still more improvement is needed, so the final basis was (4s3pld) produced by decontraction of the \underline{p} function to make three independent elements. The three basis sets are presented in Table I.

All three basis sets were used to calculate SCF wavefunctions for the ground state of ${\rm C}_3$ using ${\rm C}_{2{\bf v}}$ symmetry. The ground state configuration is

$$1a_1^2 1b_2^2 2a_1^2 3a_1^2 2b_2^2 4a_1^2 3b_2^2 1b_1^2 5a_1^2 (^1A_1)$$

written in $C_{2\mathbf{v}}$ symmetry. However, the C_3 molecule is known to be linear 1 in the ground state, so in $D_{\infty h}$ symmetry the ground state is

$$1\sigma_{g}^{2} 1\sigma_{u}^{2} 2\sigma_{g}^{2} 3\sigma_{g}^{2} 2\sigma_{u}^{2} 4\sigma_{g}^{2} 3\sigma_{u}^{2} 1\pi_{u}^{4} (\Sigma_{g}^{+})$$

The ground state configuration can be described by a single determinant in both C_{2v} and $D_{\infty h}$ symmetries, so the nonlinear C_{2v} calculation will change smoothly to the $D_{\infty h}$ values, with the lb_1 and $5a_1$ orbitals becoming the $1\pi_1$ degenerate orbital.

Electron correlation in C_3 is investigated by a 656 configuration CI wavefunction calculation using the (4s2p) basis set. This CI calculation is preceded by two other stages required to determine an orbital basis appropriate for describing correlation in the valence shell of C_3 . The first stage is an ordinary single configuration SCF calculation. The second stage is a CI calculation designed to separate the higher virtual orbitals not needed for the final CI calculation. For this second stage, configurations of the type

$$1a_1^2 1b_2^2 2a_1^2 3a_1^2 2b_2^2 4a_1^2 xy 1b_1^2 5a_1^2$$

$$1a_1^2 1b_2^2 2a_1^2 3a_1^2 2b_2^2 4a_1^2 3b_2^2 xy 5a_1^2$$

$$1a_1^2 1b_2^2 2a_1^2 3a_1^2 2b_2^2 4a_1^2 3b_2^2 1b_1^2 xy$$

where x and y represent the unoccupied orbital basis functions $6a_1 - 14a_1$, $1a_2$, $2a_2$, $2b_1 - 4b_1$, and $4b_2$ $10b_2$. These configurations and the ground state configuration are combined for a total of 247 configurations. The final orbitals are obtained by transforming the orbitals in such a way as to diagonalize the reduced first-order density matrix. 16 This produces the natural orbitals for the 247 configuration wavefunction. The natural orbital occupation numbers for linear C_{3} with R(C-C) = 2.51 bohrs are given in Table II and the $lla_1 \rightarrow 14a_1$, $2a_2$, $4b_1$, and $7b_2 \rightarrow 10b_2$ orbitals are seen to be negligible compared to the rest of the natural orbital basis set. Finally the 656 configuration CI calculation produced by taking all single and double excitations from the ground state configuration except from the carbon ls atomic orbitals, la_1 , lb_2 , and $2a_1$, is compared using the smaller basis set. This may be thought of as an extension of the Edmiston and Krauss 17 pseudonatural orbital method. In the pseudonatural orbital method, the pair excitations from the 3b2, 1b1, and 5a1 orbitals would be separate calculations instead of the one combined calculation used for the second stage here.

3. Bending Potential

The single configuration SCF calculations computed for each basis set as well as the CI results for the (4s2p) basis are summarized in Table III. The distance R(C-C) was optimized only for the (4s2p) CI and (4s3pld) SCF calculations which give 2.492 bohrs and 2.404 bohrs

respectively for the linear geometry. The experimental bond length² is 2.413 bohrs, slightly longer than the SCF result.

One surprising result is that the (4s2pld) SCF calculation indicates ${\rm C_3}$ to be nonlinear. The distance R(C-C) was optimized for the angles 180° and 120° to test the effect that the bond length has on the potential calculated in this basis, and the optimum linear energy of -113.36882 au. is still higher than the optimum energy for 120° of -113.37013 au. The nonlinearity introduced with the addition of d functions goes away again when the p basis functions are decontracted to give the (4s3pld) basis. A similar result has been pointed out by Stevens that a 50% improvement in the ammonia inversion barrier calculated with an N(4s2pld) basis is found with an N(4s3pld) basis.

The inclusion of electron correlation in the ${\rm C_3}$ wavefunction using the (4s2p) basis does not significantly change the bending potential energy, as seen in Table III. Thus, the electron correlation appears nearly constant for large changes in the bond angle, and an SCF single configuration wavefunction should be capable of accurately describing the bending potential. This also means that the most serious deficiency left in the energy is due to the limited basis set size.

The (4s3pld) SCF bending curve is much flatter than the others and correctly predicts C_3 to be linear. Experimental evidence does not rule out a bending potential with a maximum at 180° , however, the maximum must not exceed the first vibrational levels, as would happen for the (4s2pld) case.

The calculated potential energy functions for the (4s2p) CI and (4s3pld) SCF wavefunctions are smoothed with a cubic spline fit and used to calculate bending vibrational energy levels. In considering the bending mode, v_2 , for an AB_2 or A_3 , triatomic molecule the vibrational Hamiltonian can be simplified by requiring that the bond length be a constant. The two dimensional Hamiltonian then becomes one dimensional in the angle of the molecule. The reduced masses for the AB_2 molecule are found in the two dimensional cartesian coordinate Hamiltonian which takes the quantum mechanical form

$$H = -\frac{1}{2} \left[\frac{2M_B + M_A}{2M_B M_A} - \frac{\partial^2}{\partial y^2} + \frac{1}{2M_B} - \frac{\partial^2}{\partial x^2} \right] + V(x,y)$$
 (4)

$$\mu_{\mathbf{y}} = \frac{2M_{\mathbf{B}}M_{\mathbf{A}}}{2M_{\mathbf{B}}+M_{\mathbf{A}}} \qquad \mu_{\mathbf{x}} = 2M_{\mathbf{B}}$$

where the coordinates are defined in Figure 1. Upon substitution of the generalized coordinated R and θ , this cartesian coordinate Hamiltonian changes into the following form

$$H = -\frac{1}{2} \left[\frac{1}{R} \frac{\partial}{\partial R} R \left(\frac{\sin^2 \theta}{\mu_{y}} + \frac{\cos^2 \theta}{\mu_{x}} \right) \frac{\partial}{\partial R} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right] + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial R} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) \cos\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{x}} - \frac{1}{\mu_{x}} \right) \sin\theta \cos\theta \frac{\partial}{\partial \theta} \right) \cos\theta \cos\theta \frac{\partial}{\partial \theta} \cos\theta \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial \theta} \cos\theta \frac{\partial}{\partial \theta} + \frac{1}{R} \frac{\partial}{\partial$$

$$\frac{1}{R} \frac{\partial}{\partial \theta} \left(\frac{1}{\mu_{y}} - \frac{1}{\mu_{x}} \right) \sin \theta \cos \theta \frac{\partial}{\partial R} + \frac{1}{R^{2}} \frac{\partial}{\partial \theta} \left(\frac{\cos^{2} \theta}{\mu_{y}} + \frac{\sin^{2} \theta}{\mu_{x}} \right) \frac{\partial}{\partial \theta} \right] + V(R, \theta).$$

The one dimensional Hamiltonian for the θ coordinate results when the terms in Eq. (5) involving $\frac{\partial}{\partial R}$ are dropped and R is fixed. For A and B atoms the same, the final form for the bending Hamiltonian is reduced to the form

$$H = -\frac{\partial}{\partial \theta} \quad \frac{2 \cos^2 \theta + 1}{4m} \quad \frac{\partial}{\partial \theta} + V(R, \theta)$$

where \underline{m} is the mass of one carbon atom of C_3 . This formula is used in a computer program to solve the one dimensional differential equation numerically. The resulting eigenvalues are listed in Table IV, along with the level separations. The separations calculated for the (4s2p) CI potential indicate a steeper more harmonic potential than for the (4s3pld) SCF potential, which is shown in Figure 2. This potential is obviously very anharmonic, and the unusual "dimple" found in the middle of the potential is responsible for the uneven spacings found between the initial vibrational levels. This analysis is not rigorous since the stretching vibrations in the R coordinate cannot be trivially decoupled from bending as assumed here by fixing R. However, this analysis does indicate that C_3 does indeed have a smaller than usual $v_2 = 64 \text{ cm}^{-1}$ measured by Gausset et al. v_2

4. Analysis

A Walsh-like diagram can be made by using the occupied SCF orbital energies for C₃. Walsh¹⁹ originally estimated general trends expected for orbital energies of deformed molecules based on correlation diagrams for hybridized atomic orbitals. However, the (4s3pld) SCF

orbital energies listed in Table V can be used to make a Walsh diagram specific for C_3 , as seen in Figure 3. This illustration shows that the valence orbitals $5a_1$, $1b_1$, and $3b_2$ contribute no significant restoring force until the bond angle has reached nearly 100° , where the $3b_2$ orbital begins to rise above the others. The lowest unoccupied orbital for C_3 , the $1\pi_g$, is expected to have a much larger restoring force as shown in calculations on 0_3 and 10° made by Peyerimhoff and Buenker, 10° and predicted by Walsh.

The $2b_2$ and $4a_1$ orbitals in the 60° bond angle calculation do not become degenerate as required by symmetry because the single determinant wavefunction sufficient for C_{2v} geometry does not describe a pure symmetry state in D_{3h} geometry. In D_{3h} symmetry the ground state configuration is

$$la_1^2 le^4 2a_1^2 2e^4 la_2^2 3a_1^2 3e^2 (^1E')$$
 (7)

which is constructed by a minimum of two determinants

$$\Psi(^{1}E') = \frac{1}{\sqrt{2}} (3e'_{x} \alpha 3e'_{x} \beta - 3e'_{y} \alpha 3e'_{y} \beta)$$
 (8)

or in C symmetry

$$\Psi(^{1}A_{1}) = \frac{1}{\sqrt{2}} (3b_{2} \alpha 3b_{2} \beta - 6a_{1} \alpha 6a_{1} \beta) . \qquad (9)$$

The single determinant calculation results in a mixture of $^{1}A_{1}^{'}$ and $^{1}E^{'}$ states at 60° and fails to make the required orbitals degenerate. An

SCF calculation using the (4s2p) basis and the two configuration wavefunction, Eq. (9), yields an energy of -113.1851 Hartrees compared to -113.1721 for the single configuration with the same basis at 60° . The second determinant needed for the D_{3h} symmetry should combine smoothly in the CI calculation, but in the linear geometry it has a small coefficient of 0.0103. Table VI shows the most important configurations in the linear C₃ wavefunction near the equilibrium geometry.

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Table I. Gaussian Basis Sets Used for ${\bf C}_3$ Calculations.

| Primitive Ga Exponents | | Basis I | Basis II action coefficients | Basis II |
|---------------------------|-------------------|---------------------|---------------------------------|----------|
| (9s5p) ^a | (2d) ^b | (4s2p) ^c | (4s2pld) | (4s3pld) |
| s | 4232.61 | 0.002029 |) · | |
| | 634.882 | 0.015535 | | |
| | 146.097 | 0.075411 | | |
| | 42.4974 | 0.257121 | Same | Same |
| • | 14.1892 | 0.596555 | | · · |
| | 1.9666 | 0.242517 | | |
| | 5.1477 | 1.0 | 1.0 | 1.0 |
| | 0.4962 | 1.0 | 1.0 | 1.0 |
| | 0.1533 | 1.0 | 1.0 | 1.0 |
| p | 18.1557 | 0.018534 | | 0.039196 |
| | 3.9864 | 0.115442 | | 0.244144 |
| | 1.1429 | 0.386206 | Same | 0.816775 |
| | 0.3594 | 0.640089 | | 1.0 |
| | 0.1146 | 1.0 | 1.0 | 1.0 |
| đ | 1.3089 | ·· · | 0.357851 | Same |
| | 0.3877 | ands state made | 0.759561 | |
| | | | | |

a_{Ref. 13}

b_{Ref. 15}

c_{Ref. 14}

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TABLE II. Natural orbital occupation numbers for the 247-configuration wavefunction.

The bond angle was 180° and the C-C bond distance 2.51 bohr.

| $1a_i$ | 2.0 | $1a_2$ | 0.04447 | $1b_1$ | 1.94480 | $1b_2$ | 2.0 |
|-----------------|---------|--|---------|---------------------------------------|---------|-----------|---------|
| $2a_1$ | 2.0 | $2a_2$ | 0.00003 | $2b_1$ | 0.01384 | $2b_2$ | 2.0 |
| $3a_1$ | 2.0 | | | $3b_1$ | 0.00056 | $3b_2$ | 1.98650 |
| $4a_1$ | 2.0 | | | $4b_1$ | 0.00004 | $4b_2$ | 0.01116 |
| $5a_1$ | 1.94481 | . * | · . | 44 | | $5b_2$ | 0.00122 |
| 6a ₁ | 0.01384 | | | | | $6b_2$ | 0.00051 |
| 7 11 | 0.00275 | | | | · | $7b_2$ | 0.00004 |
| $8a_1$ | 0.00116 | | | • | | $8b_2$ | 0.00003 |
| $9a_1$ | 0.00112 | | | | | $9b_2$ | 0.00001 |
| $10a_{1}$ | 0.00056 | | | | | $10b_{2}$ | 0.00000 |
| $11a_{1}$ | 0.00037 | ture for the second sec | | | | | |
| $12a_1$ | 0.00004 | | | | | | |
| $13a_1$ | 0.00001 | | | 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 | • | | • |
| $14a_1$ | 0.00000 | • | | | · | | |

TABLE III. Summary of calculated C₃ electronic energies as a function of bond angle. In the second and fourth series of calculations, the total energy was minimized with respect to bond distance for each bond angle. The experimental bond distance is 2.413 bohrs.^a

| | θ | R(bohr) | E(hartree) | |
|-----|-------|-------------------|----------------------|-----|
| | | (4s2p) basis | , SCF | |
| | 180° | 2.4 | -113.32135 | |
| | 160° | 2.4 | -113.31817 | |
| • | 140° | 2.4 | -113.30955 | |
| | 120° | 2.4 | -113.29718° | |
| | 100° | 2.4 | -113.27989 | |
| | (4s2p | b) basis, 656 coi | nfigurations | |
| | 180° | 2.492 | -113.52215 | |
| | 160° | 2.493 | -113.51932 | • |
| | 140° | 2.504 | -113.51277 | |
| | 120° | 2.511 | -113.50561 | • |
| | 60° | 2.724 | -113.40815 | |
| | . (| 4s2p1d) basis, | SCF | ٠ |
| | 180° | 2.41 | -113.36851 | |
| | 160° | 2.41 | -113.36862 | . 4 |
| | 140°. | 2.41 | -113.36912 | |
| | 120° | 2.41 | -113.36979 | |
| , | 100° | 2.41 | -113.36776 | |
| • | 80° | 2.41 | -113.35020 | |
| • | • | | | |
| | | (4s3p1d) basis, | SCF | |
| | 180° | 2.404 | -113.380851 | |
| | 160° | 2.404 | -113.380577 | |
| • | 140° | 2.405 | -113.380358 | |
| | 120° | 2.409 | -113.380286 | |
| | 110° | 2.412 | -113.379695 | |
| · · | 100° | 2.418 | -113.377748 | |

^{*} Reference 2

TABLE IV Vibrational energy levels in cm⁻¹ for the bending of C_3 . ΔE indicates the spacing between adjacent vibration levels.

| | (4s2p)CI | | (| (4s3p1d) | | |
|---|----------|------------|-----|----------|------------|--|
| | E_n | ΔE | | E_n | ΔE | |
| 0 | 161 | | 0 | 47 | | |
| 1 | 478 | 317 | 1 | 116 | 69 | |
| 2 | 783 | 305 | 2 | 155 | 38 | |
| 3 | 1080 | 297 | 3 | 199 | 44 | |
| 4 | 1369 | 289 | 4 | 257 | 58 | |
| 5 | 1649 | 280 | , 5 | 325 | 68 | |
| | | | 6 | 401 | 76 | |
| | | | 7 | 484 | 83 | |
| | | | 8 | 574 | 90 | |
| | | | . 9 | 672 | 98 | |
| | | • | 10 | 781 | 108 | |
| | , | | 11 | 900 | 119 | |
| | | | 12 | 1028 | 128 | |
| ٠ | | • | 13 | 1164 | 136 | |
| • | | | 14 | 1307 | 143 | |
| | | | 15 | 1456 | 150 | |

.

Table V. Total and orbital energies (in hartrees) for C₃ as a function of bond angle. The C-C bond distance in all calculations was 2.41 bohr. The C(4s3p1d) basis set was used.

| | 60° | 80° | 100° | 120° | 140° | 160° | 180° |
|-------------------------|------------|------------|------------|------------|------------|------------|------------|
| E(total) | -113.26216 | -113.36055 | -113.37770 | -113.38028 | -113.38034 | -113.38055 | -113.38082 |
| 1 <i>a</i> ₁ | -11.3316 | -11.3535 | -11.3641 | -11.3689 | -11.3714 | -11.3728 | -11.3734 |
| $2a_1$ | -11.3276 | -11.2947 | -11.2648 | -11.2493 | -11.2428 | -11.2404 | -11.2398 |
| $1b_2$ | -11.3269 | -11.3531 | -11.3639 | -11.3688 | -11.3713 | -11.3728 | -11.3733 |
| $3a_1$ | -1.3658 | -1.2706 | -1.2046 | -1.1641 | -1.1409 | -1.1289 | -1.1253 |
| $2b_2$ | -0.7256 | -0.7966 | -0.8563 | -0.9016 | -0.9329 | -0.9515 | -0.9576 |
| $4a_1$ | -0.7098 | -0.6168 | -0.5697 | -0.5500 | -0.5429 | -0.5406 | -0.5401 |
| $1b_1$ | -0.5817 | -0.5324 | -0.5030 | -0.4870 | -0.4793 | -0.4760 | -0.4752 |
| $5a_1$ | -0.5188 | -0.5035 | -0.4930 | -0.4851 | -0.4794 | -0.4762 | -0.4752 |
| $3b_2$ | -0.3811 | -0.4525 | -0.4832 | -0.4958 | -0.5016 | -0.5042 | -0.5050 |

TABLE VI. Most important configurations for C_3 , $\theta = 180^{\circ}$, R(C-C) = 2.51 bohr.

| Spatial configuration | Coefficient | Energy criterion |
|--|-------------|------------------|
| $(1) 1a_1^21b_2^22a_1^23a_1^22b_2^24a_1^23b_2^25a_1^21b_1^2$ | 0.94745 | • • • |
| $(2) 5a_11b_1 \longrightarrow 1a_24b_2$ | 0.10942 | -0.00902 |
| $(3) 5a_11b_1 \longrightarrow 6a_12b_1$ | 0.06849 | -0.00584 |
| $(4) 5a_1^2 \longrightarrow 6a_1^2$ | 0.05573 | -0.00348 |
| $(5) 1b_1^2 \longrightarrow 2b_1^2$ | 0.05573 | -0.00348 |
| $(6) 1b_1^2 \to 1a_2^2$ | 0.07444 | -0.00327 |
| $(7) 5a_1^2 \longrightarrow 4b_2^2$ | 0.07444 | -0.00327 |
| $(8) 4a_13b_2 \longrightarrow 7a_15b_2$ | 0.03554 | -0.00277 |

FIGURE CAPTIONS

- Figure 1. Coordinate system for bending an ${\rm AB}_2$ symmetric molecule.
- Figure 2. Bending potential energy for C_3 calculated with the (4s3pld) SCF wavefunction. The C-C bond length is optimized at each angle on the curve.
- Figure 3. Orbital energies of C_3 as a function of bond angle. The C-C bond distance is 2.41 bohrs at all angles.

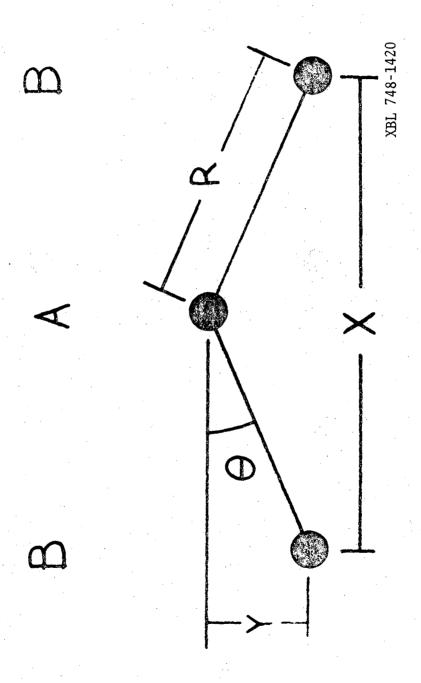


Figure 1

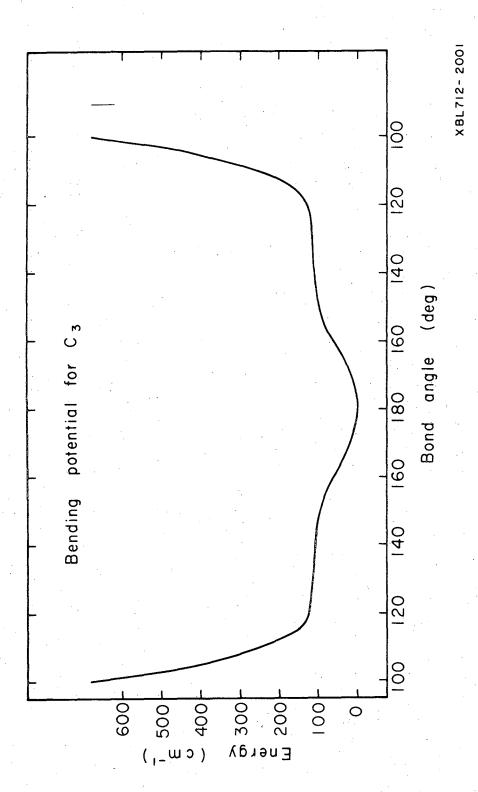
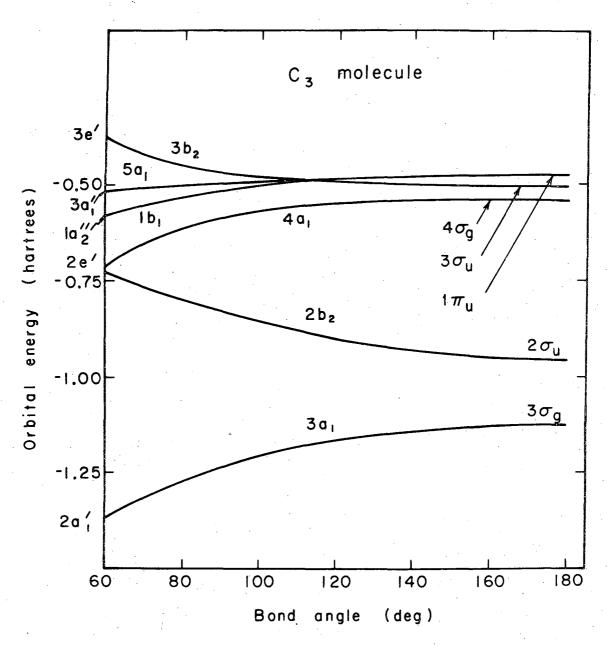


Figure 2



X B L 712 - 2000

Figure 3

C. $CH_3NC \rightarrow CH_3CN$ A Unimolecular Isomerization

1. Preliminaries

The ${\rm CH_3NC} \rightarrow {\rm CH_3CN}$ reaction is a well known thermal unimolecular isomerization. The kinetics of this reaction have been studied experimentally by Rabinovitch and coworkers for several years, providing a wealth of information with which to compare theoretical investigations of the isomerization reaction. Several theoretical electronic structure calculations dealing with the methyl isocyanide isomerization transition have been made that invites calculations each involve at least one severe approximation that invites continued effort on this reaction. This work is a new step toward an accurate potential energy surface based on ab-initio electronic structure calculations. The object of the present work is to characterize the qualitative features of the potential energy surface such as the saddle point for the isomerization and the minimum energy reaction path.

2. Basis Set and Wavefunction

The basis set used is the usual "double zeta" basis formed with Huzinaga's (9s5p) gaussian basis for carbon and nitrogen, and the (4s) set for hydrogen, by using Dunning's contraction scheme. The contraction produces a (4s2p) basis for both carbons and the nitrogen atom, and a (2s) set for each hydrogen atom, making a total of 36 contracted Gaussian functions. Based on previous experience, the quality of this basis set in conjunction with a single configuration SCF wavefunction should be good enough for obtaining reliable molecular geometries.

The isomerization of CH₃NC to form CH₃CN can be minimally described with a single configuration wavefunction. The reactant and product molecules both have the same closed shell orbital occupancy, and should have nearly the same electron correlation error when described by a single configuration. However, the intermediate conformations along the reaction path should have a significantly greater correlation error resulting from the use of a single configuration wavefunction. Thus, the predicted barrier height may be too high, but the qualitative features of the surface will remain because the ground state configuration is the same for both the reactant and the product molecules.

3. Geometry Optimization and Reaction Path

There are $3 \cdot 6 - 6 = 12$ internal coordinates required to uniquely characterize the conformation of the six atoms in the CH₃NC system. To minimize computational effort, the number of coordinates is reduced by requiring the molecule to retain certain standard coordinates not

directly involved in the isomerization. The coordinates basic to the isomerization are diagrammed in Figure 1, where R is the distance from the methyl carbon to the CN center of mass, and θ is the polar angle for rotation of the CN bond around its center of mass which is located on the C_3 symmetry axis of the methyl group. The angle θ is 0° for CH_3CN and is 180° for CH_3NC . This standardized geometry also requires the CH_3 group to have C_{3v} local symmetry, a CH bond distance of 1.10 Å, a CN bond distance of 1.16 Å, the HCX angle to be 110° (X is the CN center of mass), and the carbon atom in the CN group eclipsing a CH_3 hydrogen atom in the conformations with non-linear CCN. Experimentally the CH bond length is 1.103 Å in CH_3CN and 1.101 Å in CH_3NC , and the HCX angle is 109° 30' in CH_3CN and 109° 7' in CH_3NC . Finally, a coordinate ϕ is used to measure the CH_3 rotation with respect to the CN group around the C_3 symmetry axis in the transitional conformations. By definition $\phi = 0^\circ$ for N eclipsed and $\phi = 60^\circ$ for C eclipsed.

The reaction profile is calculated for standard geometry and optimum R for each $\theta=0^{\circ}$, 45° , 90° , 135° , and 180° , except at 90° where the CN distance and the HCX angle were also optimized. The geometry optimization for $\theta=90^{\circ}$ was performed in cycles by optimizing one coordinate at a time in the order R, R(CN), and HCX angle. The reaction profile created this way is shown in Table I. The second calculation at 90° for $\phi=0^{\circ}$ uses the geometry optimized for $\phi=60^{\circ}$ (C atom eclipsed).

The activation energy for a reaction can be compared to the energy needed to reach the saddle point energy from the reactant ground state. The saddle point is the top of the lowest energy path leading to the product of the reaction, and for CH_NC the saddle point is found for $\theta = 100.8^{\circ}$ as shown in Table II. Table II is the result of further geometry optimization for the reactant CH, NC, and product CH3CN, and the saddle point conformations. This table indicates a reaction exothermicity of 17.3 kcal/mole and a barrier for the reaction of 60.4 kcal/mole. As expected the barrier is higher than the experimental activation energy la of 38.4 kcal/mole, however, the exothermicity is very close to estimates 3,10 based on heats of formation ranging from 14.7 to 16.8 kcal/mole. More significant is the predicted geometry of the saddle point. The transition state geometry predicted by Van Dine and Hoffman using extended Hückel calculations has a planar CH_3 group and is very ionic, $[CH_2^{+.59}]$ $[CN^{-.59}]$, while Dewar and Kohn 3 using MINDO/2 and Moffat and Tang 4 using CNDO/2 find a metastable intermediate (local minimum) near the saddle point. To check for the metastable intermediate discovered using semiempirical methods, Table III shows a more detailed reaction profile near the calculated saddle point. Since the geometry is optimized for only the saddle point, this table indicates that there is no metastable intermediate, and that the observation of one appears to be an artifact of the semiempirical methods used to discover it. By forcing the CH group to be planar near the transition with θ = 90° , the energy with optimum R and R(CN) is raised by 14.1 kcal/mole. This means the CH,

remains pyramidal at the saddle point contrary to extended Hückel prediction.

A more detailed look at the potential energy surface along the reaction path is provided in Table IV. This table shows the internal barrier to rotation of the CH₃ group around its C₃ axis for $\theta = 45^{\circ}$, 90° , and 135° . The change in sign of the barrier for $\theta = 135^{\circ}$ can be explained by steric arguments since the N atom is closer to the H₃ plane for $\theta = 135^{\circ}$ and the C atom is closer for $\theta = 45^{\circ}$. Harris and Bunker¹¹ predicted that CH₃NC was a non-RRKM molecule, but further consideration of rotational effects, ¹² and the internal rotation in particular, weakens their initial prediction.

4. Electron Distribution and Observable Properties

Casanova, Werner, and Schuster 13 describe the isomerization reaction as a synchronous process going smoothly from the reactant to the transition state and to the product molecules. These calculations support that description in that the transition state geometry remains pyramidal and does not alter significantly from the starting methyl geometry. Another way to look at this is with the Mulliken population analysis 14 for the wavefunction during the rearrangement. This kind of analysis, albeit arbitrary, allows comparison with other calculations like that of Van Dine and Hoffman. Populations are given in Table V and comparison shows that the planar transition state is very ionic, in agreement with Van Dine and Hoffman. However, at a lower energy the non-planar CH₃ transition state populations are between the methyl populations for CH₃NC and CH₃CN. Again a synchronous change is

indicated by the smooth change in CH_3 charge presented with Mulliken population analysis.

A more useful approach to indicate atomic charges is to look at the electric potential at the nuclei and the inner shell ionization potentials. These observable properties can be correlated to the concept of an atomic charge. 15-17 The potential calculated at the CH₃NC nuclei during the isomerization are also presented in Table V, and the SCF orbital energies which correspond to calculated ionization energies in the sense of Koopmann's theorem are given in Table VI. The electric potential at a nucleus shows a shift to lower values when the charge becomes more negative at that atom, and the inner shell ionization decreases when the atomic charge is more negative. The trends for both of these properties agree in each case during the isomerization. However, there is some disagreement with the Mulliken population at the transition state, where the methyl carbon becomes more positive than for either CH₃NC or CH₃CN, but not as positive as it would be in the planar methyl transition state.

Finally, Table VII shows the effect of the isomerization reaction on several other molecular properties. Previous experience indicates that larger than double zeta basis sets are needed to guarantee accurate dipole moments, but the highly polar nature of the CH₃NC and CH₃CN molecules makes the good agreement not so surprising.

5. Conclusion

nonplanar CH_3 structure at a saddle point with $\theta=100.8^{\circ}$ and an energy 60.4 kcal/mole above the reactant molecule, CH_3NC . The experimental activation energy 1a of 38.4 kcal/mole is significantly lower than the calculated saddle point energy because electron correlation effects are neglected in the SCF wavefunction. 18,19 However, the other features of the surface can be calculated with a minimum amount of effort, using a single determinant wavefunction which neglects correlation. Features specifically investigated are the geometry of the transition state and the barrier to internal rotation of the methyl group during isomerization.

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Table I. Summary of Self-Consistent-Field Energies for the Methyl Isocyanide Rearrangement^a

| Description | θ , deg | R | Other geometrical parameters | E, hartrees | E. kcal |
|---------------------|----------------|-------|---|------------------|---------|
| CH ₂ NC | 180 | 1.971 | Standard | -131.8507 | . 0.0 |
| | 135 | 1.864 | Standard | -131.8034 | 29.7 |
| $\phi = 0^{\circ}$ | 90 | 1.802 | R(CN) = 1.203, $\theta(HCX) = 106^{\circ}$ | -131.7570 | 58.8 |
| $\phi = 60^{\circ}$ | 90 | 1.802 | R(CN) = 1.203, $\theta(HCX) = 106^{\circ}$ | -131.7557 | 59.6 |
| Planar CH; | 90 | 2.013 | R(CN) = 1.2, $\theta(HCX) = 90^{\circ}$ | -131.7346 | 72.9 |
| | 45 | 1.990 | Standard | -131.7979 | 33.1 |
| CH ₂ CN | . 0 | 2.097 | Standard | -131.8785 | -17.4 |

Distances are given in Ångströms; θ and R are defined in Figure 1.

Table II. Geometries and energies of three points on the minimum energy path for CH₃NC→CH₃CN. Unless indicated experimental values, given in parentheses, are from C. C. Costain, J. Chem. Phys. 29, 864 (1958).

| • | Parameter | CH.NC | Saddle point | CH₃CN |
|---|---------------|-----------------|--------------|------------------------------------|
| | θ | 180° (180°) | 100.8° | 0° (0°) |
| | · • | ••• | 0° | ••• |
| | HCX Angle | 110.0° (109.1) | 106.2° | 110.0° (109.5°) |
| | R(CH) | 1.081 Å (1.101) | 1.074 Å | 1.082 Å (1.102) |
| | R(CX) | 1.967 Å (1.962) | 1.822 Å | 2.086 Å (2.081) |
| | R(CN) | 1.167 Å (1.166) | 1.198 Å | 1.146 Å (1.157) |
| | E (hartrees) | -131.85166 | -131.75546 | -131.87927 |
| | E (kcal/mole) | 0.0 | 60.4 (38.4°) | -17.3 (between -14.7 and -16.8 |

a Experimental activation energy of Ref. 1a

b See heat of formation data given in Ref. 3

TABLE III. Some points near the saddle point on the $CH_3NC \rightarrow CH_3CN$ potential surface. All geometrical parameters except θ (see Fig. 1) are those predicted for the saddle point (middle column, Table II).

| θ | E (hartrees) | E (kcal/mole) |
|-------|--------------|---------------|
| 130.8 | -131.77609 | 47.42 |
| 120.8 | -131.76518 | 54.27 |
| 110.8 | -131.75971 | 57.70 |
| 105.8 | -131.75593 | 60.07 |
| 100.8 | -131.75546 | 60.37 |
| 95.8 | -131.75614 | 59.94 |
| 90.8 | -131.75782 | 58.88 |
| 80.8 | -131.76353 | 55.30 |
| 70.8 | -131.77171 | 50.17 |
| 60.8 | -131.78183 | 43.82 |

Table IV. Methyl rotational barrier accompanying the isocyanide isomerization. θ is defined by Fig. 1, and ϕ in the text. The energy in hartrees is given above the relative energy in kilocalories per mole.

| | $oldsymbol{	heta}$ | | | | | |
|-----|--------------------|------------|-------------------|--|--|--|
| φ | 135° | 90° | 45° | | | |
| 0° | -131.80106 | -131.75698 | -131.80074 | | | |
| | 1.48 | 0.00 | 0.00 | | | |
| 20° | —131.80167 | -131.75664 | -131.79999 | | | |
| | 1.10 | 0.21 | 0.47 | | | |
| 40° | -131.80284 | -131.75599 | -131.79847 | | | |
| | 0.36 | 0.62 | 1.42 | | | |
| 60° | -131.80342 | -131.75568 | -131.79770 | | | |
| | 0.00 | 0.82 | 1.91 | | | |

Table V. Population Analyses and Potential Calculated at Each Nucleus in CH₃CN

| · | CH₃NC | $\theta = 90^{\circ}$ $\theta(HCX) = 106^{\circ}$ | Planar $\theta(HCX) = 90^{\circ}$ | CH ₃ CN |
|---------|----------|---|-----------------------------------|--------------------|
| | | Atomic Cha | arges | |
| H | 0.22 | 0.26 | 0.28 | 0.23 |
| Cmethyl | -0.41 | -0.52 | -0.43 | -0.58 |
| N | -0.20 | -0.21 | -0.28 | -0.10 |
| C | -0.07 | -0.01 | -0.12 | -0.02 |
| | | Potentia | ls | • |
| H | -1.062 | -1.032 | -0.999 | -1.048 |
| Cmethyl | -14.6463 | -14.6277 | -14.6071 | -14.6661 |
| N | -18.3329 | -18.3274 | -18.3526 | -18.3408 |
| С | -14.6965 | -14.6913 | -14.7163 | <u>-14.6783</u> |

Table VI. Orbital Energies (in Hartrees) for Four CH₃CN Geometries

| | <u> </u> | | | |
|----------|-----------|--------------------------------------|-----------------------------------|-----------|
| | CH₃NC | Lowest energy θ(HCX) = 106° | Planar $\theta(HCX) = 90^{\circ}$ | CH₃CN |
| E(total) | -131.8507 | -131.7570 | -131.7346 | -131.8785 |
| 1a' | -15.5993 | -15.6235 | -15.5963 | -15.6035 |
| 2a' | -11.3136 | -11.3371 | -11.3675 | -11.3061 |
| 3a' | -11.3006 | -11.3102 | -11.2835 | -11.2946 |
| 4a' | -1.2874 | -1.2937 | -1.2551 | -1.2517 |
| 5a' | -1.0341 | -0.9772 | -0.9927 | -1.0400 |
| ба′ | -0.7376 | -0.6899 | -0.6900 | -0.6948 |
| 7a′ | -0.6414 | -0.5737 | -0.5819 | -0.6281 |
| 8a' | -0.4780 | -0.5336 | -0.4780 | -0.5517 |
| 9a' | -0.4643 | -0.4610 | -0.4426 | -0.4682 |
| 1a'' | -0.6414 | -0.6413 | -0.6689 | -0.6281 |
| 2a'' | -0.4780 | -0.4814 | -0.4649 | -0.4682 |

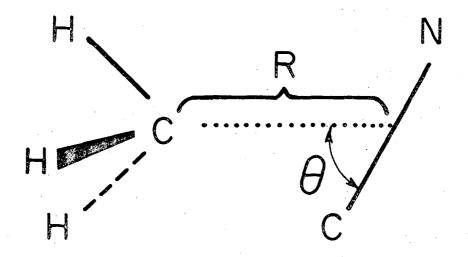
| | | Saddle point | | |
|-----------------------|-------------------------------|-----------------------------|----------------------------|-------------------------------|
| | CH₃NC | $\theta(HCX) = 106^{\circ}$ | $\theta(HCX) = 90^{\circ}$ | CH ₃ CN |
| | | Dipole Moment | | |
| μ_{y} | $-1.46(-1.51 \pm 0.02^{b})$ | -1.135 | -1 -76 | $-1.66(-1.54 \pm 0.02^{b})$ |
| μ. | 0.0 | -0.013 | -0.009 | 0.0 |
| • . | | Quadrupole Moment T | Tensor | |
| θ_{xx} | 1.19 | 0.92 | 1.31 | 1.12 |
| θ_{yy} | $-2.39(-2.0 \pm 1.2^{\circ})$ | 2.34 | 1.89 | $-2.24(-1.3 \pm 0.9^{\circ})$ |
| θ_{sz} | 1.19 | -3.25 | -3.21 | 1.12 |
| θ _{y2} | 0.00 | 0.01 | -0.01 | 0.00 |
| - - | Second 1 | Moments of the Electro | n Distribution | |
| $\langle x^2 \rangle$ | $-19.05(-19 \pm 2^{\circ})$ | -19.52 | -19.82 | $-19.05(-19 \pm 1^{\circ})$ |
| $\langle y^2 \rangle$ | $-116.64(-116 \pm 2^{\circ})$ | -82.75 | -90.49 | $-126.91(-124 \pm 1^{\circ})$ |
| $\langle z^2 \rangle$ | $-19.05(-19 \pm 2^{\circ})$ | -39.00 | -39.45 | $-19.05(-19 \pm 1^{\circ})$ |
| $\langle yz \rangle$ | 0.00 | -0.02 | -0.02 | 0.00 |
| | Electric Fi | ield Gradient Tensor at | Methyl Carbon | |
| qzz | 0.23 | 0.32 | 0.46 | 0.14 |
| q_{yy} | -0.46 | -0.66 | -0.94 | -0.28 |
| q_{zz} | 0.23 | 0.34 | 0.48 | 0.14 |
| que | 0.00 | -0.03 | -0.03 | 0.00 |

TABLE VII. Some Molecular Properties (in Atomic Units) for CH₂CN^a

^a For CH₃NC and CH₃CN at equilibrium, the y axis contains the CN group. At the saddle point the z axis includes the CN group. Experimental values are in parentheses. ^b S. N. Ghosh, R. Trambarulo, and W. Cordy, J. Chem. Phys., 21, 308 (1953). ^c J. M. Pochan, R. L. Schoemaker, R. G. Stone, and W. H. Flygare, J. Chem. Phys., 52, 2478 (1970).

FIGURE CAPTION

Figure 1. Coordinate system for treating ${\rm CH_3NC}$ isomerization to ${\rm CH_3CN}$. The CN group turns on its center of mass which is located on the ${\rm CH_3}$ group ${\rm C_3}$ axis.



XBL727-3556

Figure 1

D.
$$C^+ + H_2 \rightarrow CH_2^+ \rightarrow CH^+ + H$$
 An Ion-Molecule Reaction

1. Preliminaries

The C^{\dagger} and H_{2} reaction has been under experimental investigation for several years now, and recent evidence has begun serious discussions of the reaction mechanism. The first experiments by Maier consisted of tandem mass spectrometer detection of CH and determined the threshold energy to be 0.4 eV from the total cross section. Subsequently Iden, Liardon, and Koski^{2,3} made more detailed experiments which measured reaction product velocity distributions, and indicated nearly isotropic product scattering at low relative energies (3.5 eV), and forward peaked distributions for higher energies. Their conclusion was that a long lived complex, CH2+, was an intermediate present at low energies and gives rise to the symmetric forward-backward scattering. The long lifetime of possibly several rotation periods needed for an intermediate to produce symmetric scattering lead Mahan and Sloan to reconsider the C^{\dagger} and H_{γ} reaction. Their experiments confirmed that a triatomic complex is found at low reaction energy. Evidence for this mechanism comes from the lack of large isotope effects 5 expected for direct collision reaction with HD or D_{O} , and from the large distribution of inelastically scattered C⁺ typical of reactions involving imtermediates.

Mahan and Sloan also constructed correlation diagram (shown in Figure 1) from collected data on the states of the separated molecules, atoms, and ions, and the use of elementary molecular orbital theory 6 in

order to qualitatively explain their experimental results. As Wolfgang points out, to have an intermediate complex with sufficient lifetime, there must be a deep potential energy well accessible to the reactants and leading to the products. The CH₂ + species does have a stable ²A₁ ground state and a low lying ²B, excited state, ⁸ both of which might provide the energy well needed allowing that these states are accessible to the reactants without large energy barriers. The observed threshold energy (0.4 eV) for the $C^+ + H_2 \rightarrow CH^+ + H$ reaction is the same as the expected exothermicity for the reaction, thus ruling out any barrier higher than the ground state products CH and H. Figure 1, represents a best attempt based on experimental observations and elementary molecular orbital theory to explain the correlation of states allowing C and H, to reach the low lying CH2 states. In order to make Figure 1 more quantitative, ab-initio electronic structure calculations can be used to calculate the relative positions for the states of CH₂ that appear in Figure 1, since surface features like avoided crossings, potential energy barriers, and saddle points are crucial to the discussion of the reaction mechanism.

2. Basis Set and Wavefunctions

The basis set chosen for these calculations is the same "double zeta" contracted gaussian basis used for the CH $_2^+$ ground and first excited state calculation of Bender and Schaefer. This basis is constructed from Huzinaga's (9s5p) gaussian basis on carbon and his (4s) basis scaled to fit a Slater exponential function with $\zeta=1.2$ on the hydrogen. Dunning's contractions are used to reduce the atom

basis sets to (4s2p) on carbon and (2s) on hydrogen.

Electron correlation is of utmost importance in describing a chemical reaction, 11 because it is necessary to be able to calculate the wavefunction at geometries removed from equilibrium positions. At such geometries the electronic structure is in general changing due to the breaking and forming of chemical bonds. This can be seen for 1 When the molecule is separated maintaining 1 cy symmetry to form 1 and 1 The ground state electronic structure of 1 is minimally described by the single determinant wavefunction

$$\Psi(CH_2^+, {}^2A_1) = |1a_1^2 2a_1^2 1b_2^2 3a_1| , \qquad (1)$$

which must change when the carbon ion is separated to become

$$\Psi(C^{+}(^{2}P_{u}) + H_{2}(^{1}\Sigma_{q}^{+}), ^{2}A_{1}) = |1a_{1}^{2} 2a_{1}^{2} 3a_{1}^{2} 4a_{1}|.$$
 (2)

For the $^2\mathrm{A}_1$ reaction surface, configurations (1) and (2) plus the configuration

$$la_1^2 2a_1^2 lb_1^2 3a_1$$
 (3)

were combined with all other configurations made from single and double excitations from the occupied orbitals in the configurations (1), (2), and (3) except from the la orbital which was always doubly occupied because it represents the 1s atomic orbital on carbon. The

resulting set of 570 configurations was used to calculate the lowest 2A_1 potential energy surface for CH_2^+ with C_{2v} symmetry. Similarly the 2B_1 and 2B_2 potential energy surfaces also originating from $C^+(^2P_u)$ and $H_2^{(1)}\Sigma_g^{(+)}$ constrained to have C_{2v} symmetry are calculated using the 2B_1 configurations

$$1a_1^2 2a_1^2 3a_1^2 1b_1$$
 and $1a_1^2 2a_1^2 1b_2^2 1b_1$, (4)

representing the $C^+ + H_2$ and CH_2^+ orientations respectively, and the 2B_2 configuration

$$1a_1^2 2a_1^2 3a_1^2 1b_2$$
 (5)

which describes the region of interest by itself. The 2B_1 calculation has 380 configurations and the 2B_2 has 262 configurations when all single and double excitations (except from la_1) are included.

To complete the C_{∞_V} side of the correlation diagram in Figure 1, where the atoms are constrained to be arranged linear and non-symmetric, the potential energy surfaces for the lowest ${}^2\Pi$ and ${}^2\Sigma$ $^+$ states are calculated. The ${}^2\Pi$ calculation involves the two reference configurations

$$1\sigma^2 2\sigma^2 3\sigma^2 1\pi$$
 and $1\sigma^2 2\sigma^2 3\sigma 1\pi 4\sigma$, (6)

representing the $C^+(^2P_u)$ + $H_2(^1\Sigma_g^+)$ and $CH(^3\Pi)$ + H orientations respectively, which with their single and double excitations produce 569

configurations. The 2 Σ $^+$ surface needs only one reference configuration

$$1\sigma^2 2\sigma^2 3\sigma^2 4\sigma$$
 (7)

to minimally describe both $C^+(^2P_u)$ + $H_2(^1\Sigma_g^+)$ and $CH^+(^1\Sigma_g^+)$ + H orientations, and the single and double excitations combine to make a total of 338 configurations.

A completely general non-symmetric approach of C^{\dagger} to H_2 has only C_s symmetry, and the lowest potential energy surface in that case is $^2A'$ symmetry. The $^2A'$ state is minimally described by the configurations

because the 3a' and 4a' orbitals correlate to the $3a_1$ and $1b_2$ orbitals in C_{2v} symmetry and the $^2A'$ potential energy surface should connect the 2B_2 and 2A_1 surfaces as shown by a dotted line in Figure 1. The reference configurations (8) and their single and double excitations make 648 configurations for the $^2A'$ surface calculation.

The geometry of the CH_2^+ system is specified by the parameters defined in Figures 2-4 depending on the symmetry to which the system is constrained, $\operatorname{C}_{2\mathbf{v}}$, $\operatorname{C}_{\infty\mathbf{v}}$, or $\operatorname{C}_{\mathbf{s}}$. In all cases, $\underline{\mathbf{r}}$ is the H-H distance, and for $\operatorname{C}_{\infty\mathbf{v}}$ and $\operatorname{C}_{\mathbf{s}}$ symmetry $\underline{\mathbf{R}}$ is the length from C to the nearest H, and for $\operatorname{C}_{2\mathbf{v}}$ symmetry $\underline{\mathbf{R}}$ is the length from C to the H₂ midpoint.

Each point on a potential energy surface represents two calculations. The first is an SCF calculation for the best single configuration wavefunction at that geometry and the orbitals from this calculation are used to start the CI calculation. The natural orbitals 12 from this CI wavefunction are used again as starting orbitals for the CI wavefunction and this is repeated until the energy stabilizes or increases slightly, usually on the second iteration. In the regions where the electronic structure is changing dominant configurations each dominant configuration is used in an SCF calculation to start the CI calculation, and the lowest energy solution is used. In most cases, the SCF configuration with the lowest energy leads to the lowest CI energy.

3. Results

Points on the calculated potential energy surfaces are reported in hartrees for the total energy and in kcal/mole relative to the ground state reactants, C^{\dagger} and H_2 . Table I shows the total and the relative energies for the stable species represented on the potential energy surfaces. The calculated endothermicity for the reaction C^{\dagger} + H_2 \rightarrow CH^{\dagger} + H is seen to be 20.8 kcal/mole. Experimentally this endothermicity is the difference of the dissociation energies 13 for CH^{\dagger} and H_2 , which gives the values 0.44 eV = 10.1 kcal/mole. However, this includes the zero point vibrational energy not included in Table I, so the corrected classical endothermicity becomes 12.5 kcal/mole, and the theoretical result is found to be 8.3 kcal/mole too large.

The product of CH $^+$ has a low-lying $^3\mathbb{I}$ excited state calculated by Green, Bagus, Liu, McLean, and Yoshimine 14 to be 26.3 kcal/mole above

the $^1\Sigma$ + ground state. This calculation has a CH+ $^1\Sigma$ + $^3\Pi$ separation of 18.3 kcal/mole, that is 8 kcal/mole below their more accurate calculation.

The important features of the 2A_1 , 2B_1 , and 2B_2 potential energy surfaces for the C_{2v} symmetric approach of c^+ of H_2 can be seen in Figure 5, and the stationary points are listed in Table II. Figure 5 is an energy profile illustration of the C_{2v} potential energy surfaces where the curves represent minimum energy paths from $c^+ + H_2$ to CH_2^+ for each of the states 2A_1 , 2B_1 , and 2B_2 . This diagram shows several changes from the correlation diagram in Figure 1. The 2A_1 surface is still much like Figure 1, but the 2B_1 and 2B_2 surfaces are very different. For 2B_2 symmetry there is no CH_2^+ state lower than C^+ and H_2 so the surface is repulsive, and the 2B_1 surface shows a barrier separating C^+ + H_2 from CH_2^+ due to a Woodward and Hoffman 15 avoided crossing. Both the 2B_1 and 2B_2 surfaces also have long range minima for large C^+ and H_2 separations (see Table II), and the 2A_1 surface is initially repulsive.

The saddle points for the 2A_1 and 2B_1 surfaces were determined by fitting the surface with a bicubic spline function and finding the points on the surface where the partial derivatives in both coordinates are simultaneously zero. For the 2A_1 surface this occurs for R = 2.94 bohrs and r = 2.35 bohrs with an energy 85.7 kcal/mole above the reactants. Likewise, the 2B_1 saddle point is at R = 2.33 bohrs and r = 3.00 bohrs with an energy 62.8 kcal/mole above the reactants. Minimum energy paths near these saddle points are calculated by moving along the steepest gradient direction using reduced mass weighted coordinates.

These pathways are listed in Table III for the ${}^{2}A_{1}$ and ${}^{2}B_{1}$ cases.

Linear non-symmetric C_{∞_V} appraoch of C^+ on H_2 is shown in Figure 6, where the curves are potential energy profiles of minimum energy paths joining $C^+ + H_2$ and $CH^+ + H$. Only the $^2\Pi$ surface shows a Van der Waals like initial attraction, with a minimum at R = 2.93 bohrs and r = 1.50 bohrs with a well depth of 8.2 kcal/mole. There is no barrier found for the 2 surface which forms excited 3 CH as the product. However, the $^2\Sigma$ + surface has a saddle point with a barrier height of 28.4 kcal/mole above the reactants and 7.6 kcal/mole above the products at the geometry R = 2.51 bohrs and r = 2.11 bohrs. From Hammond's postulate that the barrier in an endothermic reaction is nearer the products, the 8.3 kcal/mole error in the endothermicity is incorporated into the reactant barrier and the corrected barrier is only 20.1 kcal/mole. It is an interesting result that this barrier occurs where no orbital symmetry is changing, and it would not be predicted by Woodward and Hoffmann's rule. 15 Table IV gives the minimum energy path calculated at the $^2\Sigma$ + CH₂ + barrier.

So far, none of the potential energy surfaces examined have explained how the reaction can proceed \underline{via} the CH_2^+ intermediate, or how the reactants can reach the products without passing a barrier in excess of the endothermicity. To examine these points, the general approach in C_{S} symmetry is considered for the cases where the C^+ approaches H_2 along the 45° and 90° paths depicted in Figure 4. In both cases, a saddle point geometry is found for the $^2\mathrm{A}^+$ ground state surface. The 45° angle approach has a saddle point at the geometry $\mathrm{R}=2.45$ bohrs and

r = 2.62 bohrs with a barrier height of 22.9 kcal/mole above the reactants, while the 90° approach has a saddle point at the geometry R = 2.18 bohrs and r = 3.18 bohrs with a barrier energy of 17.8 kcal/mole above the reactants. The 90° approach confirms the expected pathway without a barrier higher than the endothermicity. The 2 A' surface in C_s symmetry correlates with both the 2 A₁ and 2 B₂ surfaces in C_{2v} symmetry as well as the 2 E $^+$ and 2 H surfaces in C_{ov} symmetry, (the 2 B₁ C_{2v} surface becomes 2 A" in C_s symmetry and also correlates to 2 H in C_{ov} symmetry) which means that the 2 A' surface can access the deep 2 A₁ energy well and the shallow 2 B₂ entry way leading to a crossing with the 2 A₁ surface as seen in Figure 5. The crossing of the 2 B₂ and 2 A₁ surfaces occurs at low relative energies and a segment of this crossing near its minimum energy of 10.3 kcal/mole above the reactants is listed in Table V.

4. Conclusion

The potential energy surface for the reaction $C^+ + H_2 \rightarrow CH^+ + H$ has been investigated by <u>ab-initio</u> electronic structure calculations that include most logical of the valence electron correlation in order to construct a quantitative correlation diagram that can be used to discuss the ion-molecule reaction mechanism. The entire potential energy surface was not calculated here since it would require much more computational effort. Only the regions near features likely to play a part in the correlation diagram in Figure 1 were considered. This work can be used to guide the calculation of a more accurate potential energy surface (using a larger basis set) to the important regions involved in the

reaction. However, unless the surface is to be used for dynamics calculations, such an accurate surface is probably not necessary.

The most important feature of the potential energy surface for the $C^+ + H_2 \rightarrow CH^+ + H$ reaction is the 2B_2 and 2A_1 surface intersection which becomes an avoided crossing in C_s symmetry allowing the reactants access to the 2A_1 energy well at the CH_2^+ ground state. Of additional interest is the $^2\Sigma^+$ energy barrier which does not result from a change in orbital symmetry.

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Table I. Energies of Stationary Species in the Reaction

| c ⁺ + H ₂ - | | |
|---|-------------------------|------------------------------------|
| Species | Total Energy (hartrees) | Energy above Reactants (kcal/mole) |
| $C(^{2}P_{u}) + H_{2}(^{2}\Sigma_{g}^{+})$ | -38.4843 | 0.0 |
| CH ₂ (² A ₁) | -38.6152 | -82.1 |
| CH ₂ (² B ₁) | -38.6104 | -79.1 |
| $CH(^1 \Sigma^+) + H$ | -38.4514 | 20.8 |
| CH(³ II) + H | -38.4221 | 39.0 |

Table II. Stationary points on the CH, potential energy surfaces. Energies are in kcal/mole relative to the reactants C^+ and H_2 and also in hartrees. Bond distances are in bohr (1 bohr = 0.529177Å).

| Surface | Geome R | try r | Nature | Energy |
|------------------------------------|------------|----------|-----------------------|-----------------|
| ² A ₁ | 2.94 | 2.34 | Saddle Point | 85.7 (-38.3479) |
| ² B ₁ | 3.66 | 1.46 | Long Range Attraction | -7.3 (-38.4959) |
| ² B ₁ | 2.33 | 3.00 | Saddle Point | 62.8 (-38.3842) |
| ² B ₂ | 3.34 | 1.49 | Long Range Attraction | -8.3 (-38.4975) |
| 2 Σ + | 2.51 | 2.11 | Saddle Point | 28.4 (-38.4390) |
| 2 ∏ | 2.93 | 1.50 | Long Range Attraction | -8.2 (-38.4974) |
| ² A' (45 ⁰) | 2.45 | 2.62 | Saddle Point | 22.9 (-38.4478) |
| ² A'(90 ⁰) | 2.18 | 3.18 | Saddle Point | 17.8 (-38.4628) |

Table III. C_{2v} minimum energy paths near the saddle point for $C^+ + H_2 \rightarrow CH_2^+$. Bond distances are given in bohrs and energies in kcal/mole relative to separated $C^+ + H_2$.

| $\frac{C^{+} + H_2 \rightarrow {}^{2}A}{}$ | CH ₂ + | | |
|--|-------------------|--|--------------|
| R(C - X) | <u>r(H - H)</u> | Energy | Comments |
| ∞ | 1.40 | 0.0 | Reactants |
| 2.96 | 2.07 | 76.8 | |
| 2.95 | 2.21 | 82.1 | |
| 2.95 | 2.30 | 84.5 | |
| 2.94 | 2.34 | 85.6 | Saddle Point |
| 2.89 | 2.34 | 85.4 | |
| 2.87 | 2.39 | 84.0 | |
| 2.85 | 2.52 | 77.6 | |
| 2.83 | 2.57 | 74.5 | |
| 0.71 | 3.93 | -82.1 | |
| | | the second secon | Product |

 $C^{+} + H_{2} \rightarrow {}^{2}B_{1} CH_{2}^{+}$

| R(C-X) | <u>r(H - H)</u> | Energy | Comments |
|----------|-----------------|--------|--------------|
| ∞ | 1.40 | 0.0 | Reactants |
| 2.51 | 2.54 | 47.9 | |
| 2.43 | 2.74 | 56.7 | |
| 2.38 | 2.87 | 61.1 | |
| 2.33 | 3.00 | 62.8 | Saddle Point |
| 2.26 | 3.05 | 61.6 | |
| 2.20 | 3.13 | 58.4 | |
| 2.11 | 3.20 | 51.9 | |
| 0.00 | 4.16 | -79.1 | |
| | • | | Product |

Table IV. $^2\Sigma$ ⁺ minimum energy path for $^+$ + $^+$ + $^+$ CH $^+$ ($^1\Sigma$ ⁺) + H near the saddle point. Bond distances are in bohrs and energies in kcal/mole relative to separated $^+$ + $^+$ H $_2$.

| R(C - H) | r(H - H) | Energy | Comments |
|----------|----------|--------|--------------|
| o | 1.40 | 0.0 | Reactants |
| 2.87 | 1.42 | 20.4 | |
| 2.71 | 1.64 | 24.1 | |
| 2.57 | 1.95 | 27.9 | |
| 2.51 | 2.11 | 28.4 | Saddle point |
| 2.46 | 2.27 | 27.9 | |
| 2.41 | 2.41 | 27.1 | |
| 2.17 | ∞ | 20.8 | Products |

Table V. $^2_+$ A and 2_B_2 surface intersection near its minimum for the process C $^+_+$ H $_2$ $^+_2$ CH $_2$ +. Energies are given in kcal/mole above the reactants C+ and H $_2$, lengths are in bohrs.

| R | r | Energy |
|------|------|--------|
| 2.00 | 2.18 | 12.4 |
| 2.03 | 2.24 | 11.2 |
| 2.06 | 2.32 | 10.4 |
| 2.09 | 2.39 | 10.3 |
| 2.12 | 2.46 | 10.4 |
| 2.15 | 2.53 | 10.8 |
| 2.18 | 2.60 | 11.6 |
| 2.21 | 2.86 | 12.6 |
| 2.24 | 2.76 | 13.8 |
| | • | |

FIGURE CAPTIONS

- Figure 1. Molecular orbital theory state correlation diagram devised by Mahan and Sloan, Ref. 4. States for C $_{2v}$ symmetry are on the left side and C $_{\infty v}$ on the right side.
- Figure 2. C geometry parameters.
- Figure 3. C_{∞_V} geometry parameters.
- Figure 4. C_s geometry parameters.
- Figure 5. C_{2v} energy profiles for minimum energy paths viewed along the r coordinate.
- Figure 6. $C_{\infty_{\mathbf{V}}}$ energy profiles for minimum energy paths viewed along the r coordinate.

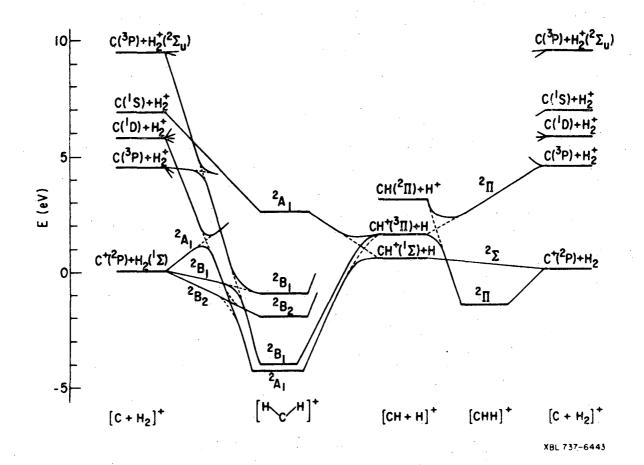
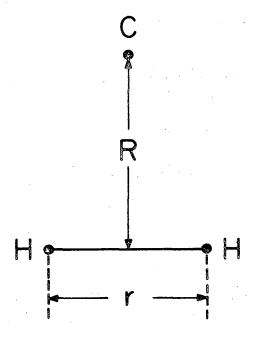
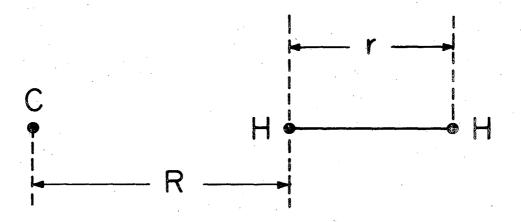


Figure 1



C_{2v} Geometries

XBL739-4046



 $C_{\infty v}$ Geometries

XBL739-4045

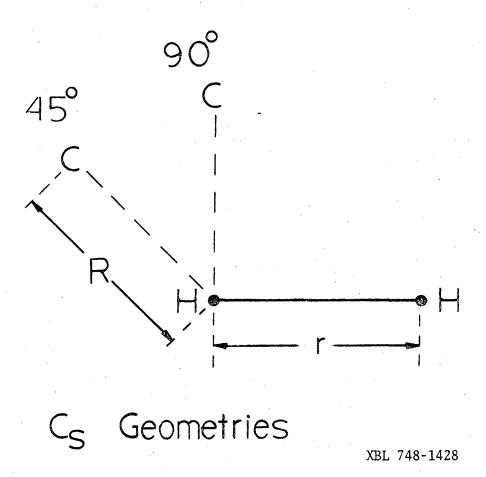


Figure 4

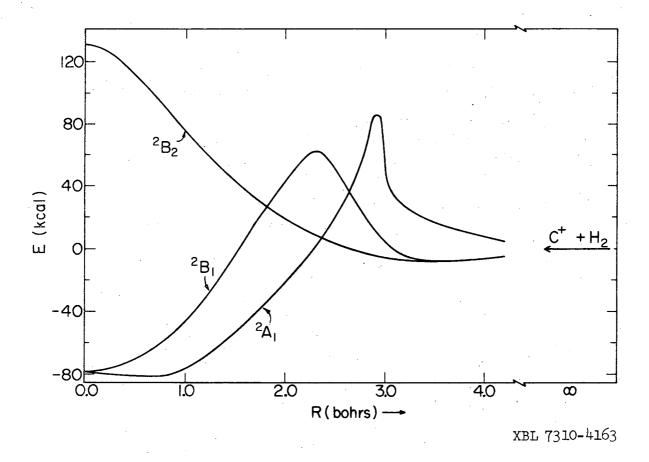


Figure 5

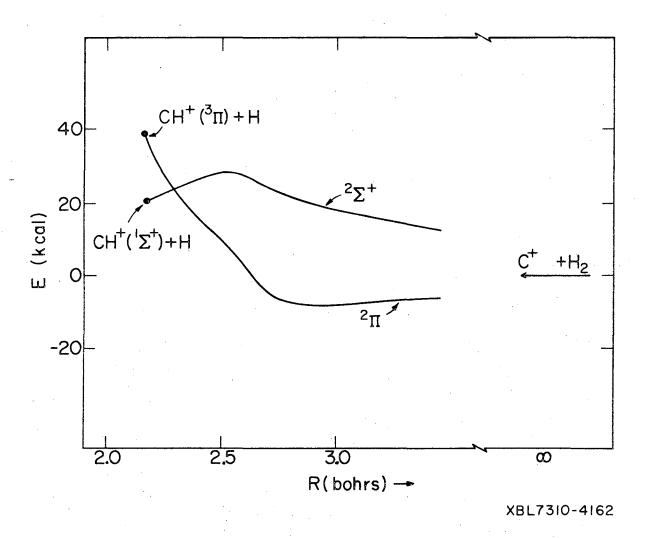


Figure 6

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