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# Unsaturated flow processes and the onset of seasonal deformation in slow-moving landslides

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- 13 Key Points:
- Vadose zone properties, especially thickness, modulate the style and timing of landslide
   pore pressure response to seasonal rainfall
- Field monitoring of a large, slow-moving landslide confirms acceleration in response to rainfall depends strongly on saturation state and hence rainfall history
- The onset of landslide motion can be cast as a rainfall intensity-duration threshold using
   knowledge of landslide material and hydraulic properties
- 20
- 21
- 22
- 23

### 24 Abstract

25 Predicting rainfall-induced landslide motion is challenging because shallow groundwater flow is

- extremely sensitive to the preexisting moisture content in the ground. Here, we use groundwaterhydrology theory and numerical modeling combined with five years of field monitoring to
- illustrate how unsaturated groundwater flow processes modulate the seasonal pore water pressure
- 29 rise and therefore the onset of motion for slow-moving landslides. The onset of landslide
- 30 motion at Oak Ridge earthflow in California's Diablo Range occurs after an abrupt water table
- 31 rise to near the landslide surface 52-129 days after seasonal rainfall commences. Model results
- 32 and theory suggest that this abrupt rise occurs from the advection of a nearly saturated wetting
- 33 front, which marks the leading edge of the integrated downward flux of seasonal rainfall, to the
- 34 water table. Prior to this abrupt rise, we observe little measured pore water pressure response
- 35 within the landslide due to rainfall. However, once the wetting front reaches the water table, we 36 observe nearly instantaneous pore water pressure transmission within the landslide body that is
- 37 accompanied by landslide acceleration. We cast the timescale to reach a critical pore water
- 37 accompanied by landshide acceleration. We cast the timescale to reach a critical pole water 38 pressure threshold using a simple mass balance model that considers variable moisture storage
- 39 with depth and explains the onset of seasonal landslide motion with a rainfall intensity-duration
- 40 threshold. Our model shows that the seasonal response time of slow-moving landslides is
- 41 controlled by the dry season vadose zone depth rather than the total landslide thickness.

### 42 Plain Language Summary

43 Landslides are often triggered by rainfall events that increase water pressure within rock and

- 44 soil. A key impediment to predicting landslide motion is that movement of water in the ground
- 45 is extremely sensitive to preexisting moisture content. Hence, rainfall history exerts a strong
- 46 control on water movement into the ground. For large landslides, it is commonly assumed that
- 47 the ground is saturated to the surface, which simplifies modeling of pressure changes. Here we
- 48 show, however, that the dynamics of infiltration through unsaturated ground at the start of the
- 49 wet season fundamentally control both the style and timing of landslide response to rainfall,
- which we verify through field monitoring of a large, slow-moving landslide in the California
  Coast Range. At the start of the wet season, we observe no pressure response at depth for weeks
- 51 Coast Range. At the start of the wet season, we observe no pressure response at depth for weeks 52 to months. However, eventually a sudden pore pressure rise in the landslide body marks the shift
- 52 to a regime where pressure transmission and landslide acceleration from rainfall is nearly
- 54 instantaneous. This bimodal behavior, which we can predict by comparing the seasonal rainfall
- 55 rate to the unsaturated groundwater velocity, is an expected consequence of infiltration into
- 56 initially unsaturated ground with the material properties observed.

### 57 **1.0 Introduction and Background**

- 58 Landslides, whether in rock or soil, occur when slope-parallel shear stresses acting in the
- 59 downhill direction are greater than or equal to the shear strength resisting sliding within a
- 60 hillslope. Assuming Coulomb friction, this condition is met when

$$61 \qquad \frac{\tau}{(\sigma-p)\tan\varphi+c'} \ge 1 \tag{1}$$

- 62 where  $\tau$  is slope-parallel shear stress,  $\sigma$  is the slope-normal stress, p is pore water pressure,  $\varphi$  is
- 63 the friction angle (and  $tan\varphi$  is the static coefficient of friction) and c' is effective cohesion.
- 64 Instability in hillslopes is most commonly triggered either by co-seismic shaking, which can
- 65 cause slope-parallel accelerations and increase pore water pressure (e.g., Jibson, 2007; Newmark,
- 66 1965), or by rainfall or snowmelt events that increase pore water pressure and therefore reduce

- effective normal stresses (defined as  $\sigma p$ ) and hence Coulomb friction (Iverson, 2000; Terzaghi,
- 68 1943). For the latter class of landslides, failure is therefore controlled by the evolution of pore
- 69 water pressure in both space (e.g., Perkins et al., 2017; Reid & Iverson, 1992) and time (e.g.,
- 70 Iverson, 2000; Reid, 1994).

71 In this paper our objective is first to exploit a well-instrumented, large, deep-seated, slow-

72 moving landslide that experiences both seasonal motion and seasonally-unsaturated conditions to

value rainfall infiltration through the values zone controls the pore water pressure and

- 74 deformation response of large landslides, and second, to explore generally how the combination
- of vadose zone thickness and material properties in a landslide govern the timing and magnitude
- of the seasonal piezometric response. Our motivation for this work is that large, deep-seated,
- slow-moving landslides, which are often referred to as earthflows (Hungr et al., 2014; Keefer &
  Johnson, 1983; Lacroix et al., 2020), play a fundamental role in the development of topographic
- relief (Mackey & Roering, 2011), the delivery of sediment to river channels (Finnegan et al.,
- 2019; Mackey & Roering, 2011; Roering et al., 2015; Simoni et al., 2013), and the evolution of
- drainage networks (Bennett et al., 2016a; Shobe et al., 2020). In addition, earthflows represent a
- 82 chronic source of damage to infrastructure such as railroads, utility pipelines, and highways (e.g.,
- Alberti et al., 2020; Merriam, 1960). Thus it is important to develop and apply models that can
- 84 be used to better understand landslide behavior.
- 85 Some slow-moving landslides exhibit an approximate pore pressure threshold for motion that is
- 86 consistent with the model summarized in equation 1 (Corominas et al., 2005; Iverson & Major,
- 87 1987; Macfarlane, 2009; Schulz & Wang, 2014), while others exhibit a clear coupling between
- pore pressure and velocity above a threshold (Coe et al., 2003; Corominas et al., 2005; Malet et
- 89 al., 2002). These mechanical-hydrologic relationships are often characterized by hysteresis in the
- 90 relationship between pore pressure and velocity (van Asch et al., 2007; Carey et al., 2015;
- Massey et al., 2013) or an offset between the pore pressure threshold associated with the onset
- 92 and cessation of motion (e.g., Priest et al., 2011). However, in other cases there is no obviously
- identifiable pressure threshold associated with the onset of motion (Angeli et al., 1996; Matsuura
  et al., 2003; Pyles et al., 1987; Schulz et al., 2018; Shibasaki et al., 2016). For these latter cases,
- 94 et al., 2003, Fyles et al., 1987, Schulz et al., 2018, Sindasaki et al., 2010). For these fatter cas 95 as well as for cases with hysteresis between velocity and pore pressure, explanations for
- 95 as wen as for cases with hysteresis between verocity and pore pressure, explanations for 96 decoupling between pore pressure and deformation include 1) velocity-dependent shear strength
- 97 (van Asch et al., 2007; Angeli et al., 1996); 2) snow loading that changes effective normal
- 98 stresses (Matsuura et al., 2003); 3) Temperature-dependent shear strength (Shibasaki et al.,
- 99 2016); 4) Clay swelling that increases lateral boundary friction during periods of high pore
- pressure (Schulz et al., 2018); and, 5) The superposition of different deformation mechanisms
- 101 with distinct sensitivities to pore pressure (Massey et al., 2013). Indeed, how and why
- 102 apparently stable frictional sliding in landslides occurs due to rising pore water pressures remains
- 103 a fundamental problem in geomorphology and natural hazards research (Agliardi et al., 2020;
- Baum & Johnson, 1993; Carey et al., 2019; Carrière et al., 2018; Handwerger et al., 2016;
- 105 Iverson, 2005; Wang et al., 2010).
- 106 While these effects suggest that predicting the motion of slow-moving landslides is complicated
- 107 by evolving material properties and feedbacks between deformation and pore water pressure,
- 108 among other factors, pore water pressure changes, nevertheless, remain a key trigger for
- 109 landslide failure (Bogaard & Greco, 2016; Iverson, 2000). Consequently, notwithstanding the
- 110 aforementioned complications, predicting pore water pressure changes remains a basic goal of

- landslide modeling and mitigation (e.g., Baum et al., 2008; Berti & Simoni, 2010, 2012; Iverson,2000, 2005).
- 113 Towards that end, the simplest and most commonly used approach to modeling the evolution of
- 114 pore water pressure in response to vertical infiltration of precipitation is via an approximation of
- 115 complete saturation and 1-dimensional (1D) vertical linear diffusion (Iverson, 2000; Reid, 1994)
- 116 such that

117 
$$\frac{\partial p}{\partial t} = D_o \partial^2 p / \partial z^2$$
, (2)

- 118 where p is pressure head (m), z is depth (m) below the surface, and  $D_o$  is hydraulic diffusivity
- 119  $(m^2/s)$ . The 1D assumption implicit in equation (2) is justified by the much longer time scale of
- 120 the lateral diffusion of pore water pressure relative to vertical in most landslides (Iverson, 2000).
- 121 The assumption of linearity in equation (2) means that the characteristic response time of a 122 landslide to rainfall infiltration can be estimated for a given landslide depth and hydraulic
- diffusivity, defined as  $\tau_d = z^2 / D_o$  (Iverson, 2000). For this reason, analyses that link temporal
- patterns of rainfall and seasonal landslide deformation commonly adopt the model summarized
- in equation (2) (Cohen-Waeber et al., 2018; Handwerger et al., 2013, 2019; Hu et al., 2019,
- 2020; Iverson & Major, 1987; Schulz et al., 2009, 2017). However, the model described above
- does not allow for the possibility of an unsaturated zone above the water table through which
- rainfall would have to infiltrate. Therefore, while equation (2) is useful for understanding pore water pressure evolution in an already-saturated landslide, it cannot be used for predicting the
- 130 early-season pore water pressure rise that accompanies the onset of motion in a seasonally-
- 131 unsaturated landslide.
- 132 The presence of unsaturated ground above the water table is relevant to landslides because they
- are not saturated year-round, especially in landslide-prone areas with seasonal rainfall. In
- 134 unsaturated ground, capillary forces within pores are able to hold centimeters to meters of
- 135 saturated pore water pressure equivalent against gravity (e.g., Gillham, 1984). Hence, the rate of
- 136 vertical infiltration of rainfall into the vadose zone should depend strongly on the antecedent
- near-surface moisture content (e.g., Bogaard & Greco, 2016), as has been shown for shallow
  landslides that are restricted to the soil mantle (Torres et al., 1998). Under unsaturated conditions
- the rate of change of moisture in the ground is governed by the Richardson-Richards equation
- 140 (Richards, 1931; Richardson, 1922), which in the 1D "mixed water content form" (Ogden et al.,
- 141 (2017) is defined as
- 142

143 
$$\frac{\partial \theta}{\partial t} = \frac{\partial}{\partial z} \left[ K(\theta) \left( \frac{\partial \psi(\theta)}{\partial z} - 1 \right) \right] \quad , \tag{3}$$

144

- 145 where  $K(\theta)$  and  $\psi(\theta)$  are the hydraulic conductivity (*m/s*) and soil hydraulic capillary head (*m*), 146 respectively, both of which vary strongly with dimensionless moisture content ( $\theta$ ). Ogden et al. 147 (2017) recast equation 3 as a Soil Moisture Velocity Equation that describes the rate at which a 148 wetting front propagates vertically through an unsaturated medium:
- 148 wetting front propagates vertically through an unsaturated medium:
- 149

150 
$$\frac{\partial Z_R}{\partial t} = -K'(\theta) \left[ \frac{\partial \psi(\theta)}{\partial z} - 1 \right] - D(\theta) \frac{\partial^2 \psi/\partial z^2}{\partial \psi/\partial z}$$
(4)

151

where  $Z_R$  is the vertical position of the wetting front,  $K'(\theta)$  is the vertical gradient of hydraulic 152 153 conductivity at the wetting front, and D is the soil (or more generally, matrix) water diffusivity. 154 The two terms of this equation represent the advective (left term) and diffusive (right term) 155 modes of water transport in the vadose zone, which provide a convenient framework for 156 considering their relative effects in delivering rainfall to a landslide water table leading to a rise 157 in pore water pressure. Ogden et al. (2017) discuss the conditions under which the diffusive term 158 of the soil moisture velocity equation is negligible. For example, in the case of a sharp wetting 159 front, the vertical gradient of matric pressure  $\partial \psi / \partial z$  is high, causing the denominator to become 160 large and the diffusive term to vanish. Conversely, then, decreasing  $\partial \psi / \partial z$  through progressive 161 wetting of the vadose zone will cause the diffusive term to increase as the advective term decreases. Additionally, they show that if the vertical gradient of moisture content  $\partial \theta / \partial z$  is 162 163 constant in time – in other words, if the wetting front shape remains constant during infiltration – 164 then the numerator of the diffusive term will be zero and the term will also vanish. Both of these 165 instances suggest that infiltration into relatively dry ground, as in the case of early season 166 infiltration into seasonally deforming landslides, should be dominated by the advective transport

167 of pore water.

168 Observations of rainfall and pore water pressure or water table changes at numerous slow-

169 moving landslides suggest that vadose zone processes (Berti & Simoni, 2010; Bogaard & van

Asch, 2002; Malet et al., 2005; Osawa et al., 2018), including fracture flow (Krzeminska et al., 2012)

171 2013; Shao et al., 2016), are a key control on pore water pressure evolution. Additionally, in

172 California, USA, where there is a Mediterranean climate characterized by hot, dry summers and 173 cool, wet winters, the response of slow landslide velocities to the onset of winter rainfall can be

between weeks and months (Cohen-Waeber et al., 2018; Handwerger et al., 2013, 2019; Iverson

475 & Major, 1987), whereas measurements of the vertical propagation of groundwater pressure

pulses under saturated conditions in otherwise similar clay-rich landslides reveal rapid pressure

transmission in days to hours (Berti & Simoni, 2010; Corominas et al., 2005; Reid, 1994).

178 Because early season rainfall would need to infiltrate through unsaturated ground at the landslide

179 surface, it is expected that the early season response of a deep landslide to rainfall following a

180 long dry period would be slow relative to when pore spaces were closer to saturation in the

181 vadose zone. That said, we also acknowledge that for shallow landslides confined to the soil

182 mantle, desiccation cracking may extend to the landslide base, leading to rapid early season

183 piezometric responses to rainfall before cracks anneal in the winter (Collins et al., 2012).

184 The timing and pattern of early season landslide response therefore depends strongly on the

185 antecedent moisture content, the hydraulic characteristics of the slide body, and the rate of water 186 delivery from the surface. For example, a slow-moving bedrock landslide with a high bulk

187 hydraulic conductivity (either through a porous matrix or strong contribution from secondary

188 flow through macropores or fractures) may be able to quickly transmit individual rainfall pulses

down to the water table (e.g., Xu et al., 2020), whereas a landslide with lower hydraulic

190 conductivity may integrate many storm events into a single pulse that quickly drives up pore

191 water pressures upon meeting the water table (e.g., Baum & Reid, 1992). To quantitatively

192 characterize the style of rainfall delivery to the water table, we can compare the rate of rainfall

infiltration into the ground from the ground surface to that of the wetting front propagation into

- 194 unsaturated ground once rainfall has ceased. An approximation of the rainfall wetting front
- 195 propagation rate from the ground surface can be derived from considering the mass balance of 196 the rainfall flux and the fillable pore space in the unsaturated landslide body:

197 
$$\frac{dz_r}{dt} = q_r / (\theta_s - \theta_i)$$
(5),

198 where  $q_r$  is the rainfall flux (*m/s*) that is able to infiltrate into the ground,  $\theta_s$  is the saturated

199 moisture content, and  $\theta_i$  is the initial moisture content (e.g., Baum & Reid, 1992; Bouwer,

1978). While strictly valid only until ponded conditions are reached, equation (5) neverthelessprovides a useful estimate of initial wetting front velocity.

Similarly, the initial velocity of a wetting front into unsaturated ground after rainfall cessation can be approximated from a solution of the Soil Moisture Velocity Equation for what the authors call "falling slugs" (e.g., Ogden et al., 2015):

$$205 \quad \frac{ds}{dt} = (K_s - K_i)/(\theta_s - \theta_i), \tag{6}$$

where  $K_s$  and  $K_i$  are the respective hydraulic conductivities at  $\theta_s$  and  $\theta_i$ . Although in the absence of rainfall, wetting fronts tend to thin in the theta direction which changes their velocity (Ogden et al., 2015), equation (6) provides a general description of the initial advance of a wetting front following rainfall cessation. Hence, we can then define a quantity, that we term the "pulsivity" (*P*) of the moisture delivery, as the ratio of the falling slug velocity relative to the rainfall wetting

210 (r) of the moisture derivery, as the rate

212 
$$P = (K_s - K_i)/q_r$$
 (7)

Pulsivity values >> 1 imply that water in the vadose zone can fall more quickly between rainstorms than the downward propagation rate from the rainfall infiltration front, so rainfall delivery to the water table should occur in discrete events associated with individual rainstorms. Pulsivities closer to 1 imply that the propagation of the wetting front into the ground cannot outpace the downward rainfall flux from the surface, so rainfall will build up behind the wetting front, leading to the formation of a single inverted water table that forms above but eventually

219 meets the groundwater table. Another way of conceptualizing the pulsivity is that for P >> 1220 pore water pressure rise is limited by rainfall delivery, whereas for pulsivity values close to 1, 221 pore water rise is limited by the rate of downward propagation of the moisture front through the 222 vadose zone.

In a similar vein, we can estimate the likelihood that an individual rainfall event will be able to fully connect to a landslide's shallow water table. Considering the total rainfall depth of a given storm,  $r_d$  (multiplying  $q_r$  by the storm duration  $\Delta t$ ), we can solve equation (5) to estimate a coarse infiltration depth as  $Z_i = r_d/(\theta_s - \theta_i)$ . Taking the ratio of the infiltration depth relative to the water table depth  $d_{wt}$ , we define the storm surface-water table connectivity as

228

229 
$$c_s = r_d / (d_{wt}(\theta_s - \theta_i))$$
(8)

230

231 Connectivity values < 1 imply that an infiltration front incorporating water for a given storm

does not fully reach the water table at depth. In the case of early season rainfall, where the water table may be a few meters below the surface and the upper landslide body is dry (i.e.,  $\theta_i$  is

- small), the connectivity is low (< 1). Once the vadose zone is sufficiently wet into the rainy
- season, the storage  $(\theta_s \theta_i)$  is greatly reduced, and therefore the connectivity should greatly
- 236 increase for an individual storm event as wetting fronts will propagate deeper for a given rainfall
- depth. Landslides with low early-season pulsivity and low early-season connectivity should
- therefore respond in a bimodal fashion, where the initial delivery of water comes as an inverted
- water table from the surface, saturating the entire slide body and leading to a large and rapid
- initial spike in pore water pressure. Once the landslide water table is near the surface, the
- connectivity should be near or exceeding a value of 1, and the landslide should respond at depth
- to individual rainfall events until the connectivity drops below 1.
- 243 In order to test the predictions of the conceptual and analytical framework established above, we
- exploit a well instrumented, slow-moving landslide that experiences seasonal unsaturated
- conditions to explore how rainfall infiltration through the vadose zone modulates the pore water
- pressure and landslide deformation. In particular, we choose a location where the vadose zone is
- 247 thin (< 3 m) and developed in fine-grained, weathered rock. This combination of factors should,
- according to the framework established above, likely lead to a delayed, but large and bimodal
- 249 pore pressure response following the onset of winter rainfall.

### 250 **1.1 Oak Ridge Earthflow Study Locale**

- 251 Oak Ridge earthflow is a seasonally-active landslide located in the northern Diablo Range, 40
- 252 km southeast of San Francisco, California (Figures 1a,c). Positioned on the south-facing flank of
- 253 Oak Ridge, it extends 1.35 km in the horizontal direction from ridge top to near the valley
- bottom, spans about 400 m of vertical relief, and has an average slope of 15°. The landslide body
- is composed of Franciscan mélange, an assemblage of variably deformed and metamorphosed
- rock units formed in a subduction zone during the Mesozoic and early Cenozoic eras
- (Wakabayashi, 1992). At Oak Ridge, as is typical of the Franciscan mélange, the matrix is
   dominated by clay and silt (Nereson et al., 2018) but contains blocks of harder lithologies,
- including sandstone, chert and greenstone, that range widely in size. Soil cover is usually very
- thin (~10 cm) on the mélange. For this reason, the unsaturated flow processes described in this
- paper apply not to the soil, but rather to the seasonally unsaturated weathered rock above the
- water table, also referred to as the critical zone, in which there can be a large reservoir of rock
- 263 moisture (Rempe & Dietrich, 2018).
- 264 The vadose zone structure at Oak Ridge is typical of Franciscan mélange (Hahm et al., 2019),
- with a thin (< 3 m) seasonally unsaturated zone of weathered mudstone mélange above
- 266 perennially saturated, unweathered mudstone mélange. The matrix of unweathered Franciscan
- 267 mélange typically exhibits a combination of low shear strength ( $\Phi = 12-14^{\circ}$ ) (Nereson et al.,
- 268 2018; Roadifer et al., 2009) and low field-scale hydraulic conductivity,  $10^{-6}$ - $10^{-10}$  m/s (Iverson 260 and Maior 1087)
- and Major, 1987).
- 270 Precipitation at Oak Ridge earthflow falls almost-exclusively as rain between the months of
- 271 October and May (PRISM Climate Group, 2017). This supports a mix of open oak savanna
- 272 (~70% coverage) with some oak woodland (25%) at lower elevations. Additional details of the
- field location and deformation history for Oak Ridge earthflow are provided in Nereson and
- Finnegan (2019) and Nereson et al. (2018). Despite its name and appearance, Oak Ridge
- earthflow, like most earthflows in California (Keefer & Johnson, 1983; Schulz et al., 2018),
- 276 moves primarily via sliding along a discrete failure surface rather than through internal
- 277 deformation (Nereson & Finnegan, 2019). Shallow electrical resistivity tomography (ERT)



Figure 1. Oak Ridge earthflow, California, USA. a) lidar-generated shaded relief image of Oak Ridge earthflow. X and Y coordinates represent UTM Zone 10N easting and northing. b) Blow up of the region within the black box in a) showing the locations of instruments used in this study as well as the location of the cross-section shown (yellow dashed line) shown in Figure 2d. c) Location map of Oak Ridge earthflow showing the outcrop area of the Franciscan mélange. X and Y coordinates represent longitude and latitude

surveys at Oak Ridge suggest that the depth to the basal detachment at the location of themonitoring infrastructure described below is 8 m (Figure 1, Murphy et al., 2018)

281 **2.0 Methods** 

### 282 2.1 Rainfall

- 283 We recorded rainfall, starting on January 27, 2016, along with temperature, atmospheric
- 284 pressure, and relative humidity in 10-minute intervals using sensors manufactured by Onset
- corporation and stored using their Hobo Micro Station Data Logger. All weather station
- components were mounted <2 m above the ground surface on a stable hillslope adjacent to the



Figure 2. Field instrumentation: a) Vibrating wire extensioneter being installed across lateral shear margin of landslide; b) Continuous GPS station (OREO); c) location of 2.7 m deep vibrating wire piezometer along lateral shear margin; d) Cross-section showing the locations and depths of instrumentation. The cross-section line corresponds to the yellow dashed line in Figure 1b. Dashed black line represents the inferred location of the landslide shear zone. Hydraulic conductivity ( $K_{sat}$ ) values for slide body and shear zone correspond to those used for the variably saturated flow model.

- 288 earthflow (Figure 1a,b and Figure 2c). The rainfall sensor has a tipping bucket mechanism that
- summed rainfall in 2 mm increments over each 10-minute recording interval. For the period
- from January 1, 2015 to January 27, 2016, which we use to spin up our variably saturated flow
- 291 modeling, we use rainfall data from the San Francisco Public Utilities Commission for Poverty
- 292 Ridge, which is ~ 2 km from the earthflow monitoring site and is available via the California
- 293 Department of Water Resources California Data Exchange Center
- 294 (http://cdec.water.ca.gov/dynamicapp/staMeta?station\_id=POV). We compared the two records

where they overlap and found that after multiplying the Poverty Ridge Data by 1.1, we could reproduce within 1% the total cumulative rainfall observed at Oak Ridge between January 2016

and January 2020 (Figure S1). Hence, we combined the adjusted Poverty Ridge record with the

measured Oak Ridge data to yield a continuous daily rainfall record starting on January 1, 2015.

### 299 **2.2 Pore water pressure**

300 We recorded pore water pressure in 10-minute intervals using vibrating-wire piezometers (RST

- 301 Instruments, model VW2100-0.07) installed at two locations (Figure 1a,b). The first location is
- in the western lateral shear zone of the earthflow (Figure 2c), where a piezometer was installed
   to a depth of 2.7 m on February 5, 2016. This instrument operated continuously over the study
- 304 period, with the exception of a hiatus from December 12, 2018 to April 4, 2019 due to a dead
- 305 battery. The other location (Figure 1a,b) contains three piezometers installed at depths of 1.25,
- 306 2.5 and 4.2 m, respectively, within a few decimeters of one another at a location in the central
- 307 portion of the earthflow body, approximately 50 m from the shear zone piezometer. The 2.5 m
- 308 piezometer was installed on January 27, 2016 and operated continuously over the study period,
- 309 with the exception of a hiatus from December 20, 2018 to March 15, 2019 due to a dead
- 310 battery. The 4.2 m piezometer was installed on April 20, 2018 and the 1.25 m piezometer was
- installed on September 25, 2018. Both have recorded continuously since installation.
- 312 To install the piezometers, boreholes were manually excavated into mélange with a hand auger
- and backfilled with a grout slurry composed of water, cement, and bentonite (weight ratio = 2.49
- 314 : 1.00 : 0.41). This method is known as the 'fully-grouted' method of installation and is
- encouraged for vibrating wire piezometers (Contreras et al., 2007). An advantage of the fully
- 316 grouted installation is that the vibrating wire piezometers can measure sub-atmospheric pressures
- 317 under unsaturated conditions. Therefore, although the instruments cannot directly measure
- 318 suction, they can be used to infer relative changes in suction head (down to ~-100 kPa) based on 319 how far below atmospheric pressure the piezometer records (e.g., Mikkelsen & Green,
- how far below atmospheric pressure the piezometer records (e.g., Mikkelsen & Green,
   2003). Piezometers were attached to single-channel data loggers and programmed to record
- pressures at 10-minute intervals. The accuracy of the VW2100-0.07 at  $\leq$  70 kPa is 0.07 kPa with
- 322 a precision of 0.0175 kPa. Piezometer readings were corrected for changes in ground
- temperature and atmospheric pressure using a linear calibration provided for each sensor by the
- manufacturer. Piezometer uncertainty (0.0175 kPa) was propagated into determinations of daily
- 325 pore water pressure, as well as its rate of change.
- 326 Summer pore water pressure signals in some years are very far below atmospheric pressure (~ -
- 327 20 kPa), and during other years are near zero throughout the summer or revert to zero abruptly in
- 328 the midst of the summer. Because the ground surface is heavily fractured due to desiccation
- during the summer months, we assume that piezometers sometimes but not always equilibrate to
- atmospheric pressure during the summer depending on the details of the local fracture
- anetwork. Hence, we interpret very negative pressures as the result of suction under conditions of
- 332 low moisture content when the piezometer is far above the water table. For the reasons outlined
- above, we interpret pressure readings of near zero during the middle of the summer as an
- indication that the pore spaces around the piezometer are equilibrated to atmospheric pressure
- 335 via the fracture network, not as saturation at the water table surface.

# 336 2.3 Earthflow displacement

To measure displacement of Oak Ridge earthflow, UNAVCO installed a Trimble NetR9 receiver and a Trimble GNSS Zephyr antenna bolted to a large boulder in the upper transport zone near 339 the three piezometers (Figure 1b and Figure 2b). Data were telemetered to and processed by 340 UNAVCO. Post-processing of GPS data was then conducted at the Nevada Geodetic Laboratory 341 (Blewitt et al., 2018) and daily time series of positions were published online 342 (http://geodesy.unr.edu/NGLStationPages/stations/OREO.sta). These positions were calculated 343 in the NA12 terrestrial reference frame, which typically has a precision of 1.0 mm in the north, 344 0.9 mm east, and 3.4 mm in the vertical components (Blewitt et al., 2013). Daily, post-processed 345 GPS positions were converted to daily displacements by subtracting background plate tectonic 346 motion in the NA12 reference frame (~1.7 cm/yr towards the northwest), using data from two 347 nearby permanent GPS stations installed on stable slopes (P253 and P227). Daily position 348 uncertainties from the Nevada Geodetic Laboratory were analytically propagated into 349 uncertainties in calculations of daily displacement. GPS-derived velocities were calculated over 350 11-day windows, which we found provides a good balance between temporal resolution, on the 351 one hand, and uncertainty in velocity, on the other hand, which is larger for smaller time 352 windows. To compute velocity uncertainty, we used a Monte Carlo approach in which we performed a linear fit to the displacement data over the 11-day window. We did this 100 times 353 354 and in each iteration we added to the daily displacement measurements over the 11-day window 355 a number drawn at random from a normal distribution whose standard deviation corresponds to 356 the propagated uncertainty in displacement. We then assigned 11-day velocities and

- 357 uncertainties based on the mean and standard deviation, respectively, of the Monte Carlo
- 358 velocity determinations.
- 359 We also recorded earthflow displacement starting on January 15, 2018 using a vibrating-wire
- 360 extensometer (RST Instruments, model EXSR-1300) that spanned the active earthflow margin,
- 361 approximately two meters away from the shear zone piezometer (Figures 1a,b). The
- extensometer was buried to a depth of 20 cm and the long axis was oriented as close to parallel 362
- 363 to the strike of the slickensided shear zone as possible, with the flanges located diagonally across 364 from one another (Figure 2a). The lateral shear face became exposed in April 2017 when a >250
- 365 m-long (<5 cm wide) fissure opened along its length as the earthflow surface began to desiccate
- 366 and crack (Nereson & Finnegan, 2019). Fresh roots of annual grasses along the shear surface
- 367 were preferentially oriented in the direction of downslope movement, which indicated that this 368
- was the active shear zone in 2017. The extension was set to log data every 10 minutes, with a 369 precision of 0.06 mm and an accuracy of 0.75 mm. Measurements were compensated for the
- 370 geometry of the installation (the extension was oriented  $\sim 13^{\circ}$  off strike of the shear plane)
- 371 and for temperature variability using a linear calibration provided by the manufacturer to yield
- 372 displacement in the downslope direction. Extensometer uncertainty (0.06 mm) was analytically
- 373 propagated into determinations of daily displacement and daily velocity. The extensometer
- 374 failed on April 3, 2019 when it extended beyond its 30 cm range.

#### 375 2.4 Pore Fluid Pressure Diffusion Modeling

- 376 To test the predictions of the commonly used 1D pore pressure diffusion model that assumes full
- 377 saturation, we solve equation (1) using the measured daily rainfall at Oak Ridge as the input for
- 378 the 1D pore pressure diffusion model described in Handwerger et al. (2016; 2019). In addition to
- 379 the precipitation at the surface, this model formulation requires measurements of hydraulic
- 380 diffusivity and an infiltration scaling factor that is empirically calibrated. For the Oak Ridge record, we use a hydraulic diffusivity of  $2 \times 10^{-6} \text{ m}^2/\text{s}$ , which does a good job of matching the
- 381
- 382 seasonal frequency of the observed pore water pressure changes and agrees with values from

other landslides in the Franciscan mélange (Iverson, 2000, 2005), and a scaling factor of 3000,
which does a good job of matching its amplitude.

### 385 2.5 Variably Saturated Groundwater Modeling

- 386 To glean an understanding of how unsaturated zone flow modulates landslide response to
- 387 precipitation, we use the composite rainfall record at Oak Ridge earthflow to forward-model a 388 one-dimensional approximation of the landslide hydrology using the USGS software vs2dt
- 389 (Healy, 1990; Lappala et al., 1987). vs2dt is a numerical model that uses a finite-difference
- 390 approach to solve the head-based ( $\psi$ ) formulation of the Richardson-Richards equation
- 391 (Richards, 1931; Richardson, 1922), which in one dimension can be represented as:

392 
$$\frac{\partial}{\partial z} \left[ K(\psi) \left( \frac{\partial \psi}{\partial z} - 1 \right) \right] = c(\psi) \frac{\partial \psi}{\partial t}$$
(9)

393 where  $c(\psi)$  is referred to as the specific moisture capacity and is equal to the gradient of water 394 content,  $\theta$ , with respect to capillary head,  $\frac{\partial \theta}{\partial \psi}$ . Because both moisture content and hydraulic

395 conductivity, *K*, vary with capillary head above the water table, solving this equation requires a

conductivity, *K*, vary with capitally head above the water table, solving this equation requires a 396 constitutive relationship between *K*,  $\theta$ , and  $\psi$ , often called a characteristic moisture curve. Here

we use the relationships of van Genuchten (1980) and Mualem (1976) to parameterize the sharesteristic moisture surve for Oak Bidge Earthflow (Table S1)

398 characteristic moisture curve for Oak Ridge Earthflow (Table S1).

399 Although vs2dt can solve problems in two dimensions, here we approximate the landslide

400 hydrology with a 1d vertical column (e.g., Iverson, 2000) with a thickness of 7.5 m and a grid 401 size of one cm to represent the main landslide body and a thin, 0.5 m thick basal shear zone (Fig

size of one cm to represent the main landslide body and a thin, 0.5 m thick basal shear zone (Fig.S6). We impose a vertical flux boundary condition at the top of the model domain using the

403 composite rainfall record described in 2.1 and we impose a gravity drain boundary condition at

404 the base of the slide. We do not model evapotranspiration because the invasive grasses that

405 colonize the landslide, which have shallow roots to begin with, are largely dormant during the

406 period when the landslide is active (Nereson et al., 2018). Our assumption of a gravity drain
 407 boundary condition is justified by the fact that the boundary of the landslide at the location of our

instrumentation appears to be defined by a contact between low hydraulic conductivity mudstone

and higher hydraulic conductivity sandstone, which results in a perched water table within thelandslide body overlying a much deeper water table (Murphy et al., 2018). We note that Hahm

- 411 et al. (2019) noted similar conductivity contrasts between Franciscan mudstone and sandstone
- 412 blocks. We use an estimated saturated hydraulic conductivity value of 7.1 x  $10^{-8}$  m/s for the

413 landslide body (Murphy et al. 2018) and an inferred value of  $6 \ge 10^{-9}$  m/s for the landslide base,

414 where we lack direct measurements. These values are generally consistent, if a little lower, than

415 near surface hydraulic conductivity measured elsewhere in Franciscan mélange (Dralle et al.,

2018). Because of the gravity drain lower boundary condition, the flux out of the model at everytime step is equal to the saturated hydraulic conductivity of the landslide base. Notably, without

418 a basal hydraulic conductivity in the model that is lower than the conductivity of the landslide

419 body, we are unable to sustain positive pore water pressures within the landslide body. That

420 said, a lower basal hydraulic conductivity relative to the landslide body is an expected

421 consequence of clay alignment and grain crushing within a landslide's basal shear zone (Baum &

422 Reid, 2000; Wang et al., 2010).

- 423 Our modeling goal here is not to directly replicate the observed pore water pressure record, but
- 424 instead to use the hydrologic modeling to understand the general processes of unsaturated flow
- that ultimately govern the timing of landslide displacement here. Accordingly, we adopt the
- simplest approach possible that will enable us to isolate the role of unsaturated flow dynamics in
- governing pore water pressure evolution. At the same time, we acknowledge that using a moredetailed representation of preferential flow paths (Sidle & Bogaard, 2016), particularly fracture
- flow (Krzeminska et al., 2013; Shao et al., 2016), or material heterogeneity with depth (Malet et
- 430 al., 2005) would likely enable us to fit the pore fluid pressure more exactly.
- 431 We chose to use the 2.5 m deep piezometer as our reference pressure record. For this
- 432 piezometer, the pressure data show that the water table rarely rises above a depth of about 0.5 m
- below the ground surface, even under conditions of ponding water on the landslide surface. We
- 434 suspect that the lack of local saturation to the landslide surface at the location of our piezometers 435 results from hummocky earthflow topography that creates deviations above and below the mean
- 435 results from nummocky earthflow topography that creates deviations above and below in 436 landslide elevation and therefore prevents the water table from perfectly mimicking the
- 437 topographic surface (Iverson and Major, 1987). In addition, slope-parallel channels on either
- 438 side of the piezometer may induce lateral drainage that also prevents the water table from
- 439 reaching the topographic surface at the location of our piezometer (Figure 1b). Because we
- 440 cannot replicate these effects in a one-dimensional model, we make the simplification described
- 441 above to the model domain. Again, our emphasis here is on capturing the general vadose zone
- 442 processes at our site without simulating in detail the 2D and 3D effects that are likely required to
- 443 capture the details of the piezometric response at our site.

# 444 **3.0 Results**

# 445 **3.1 Rainfall**

446 Rainfall, as is typical in California, occurs almost exclusively between the months of October 447 and Max, with individual storm events delivering as much as 60 mm of rain during a day (Figure

- 447 and May, with individual storm events delivering as much as 60 mm of rain during a day (Figure 448  $2^{\circ}$ ). To the formula of the formu
- 448 3a). Total water year (Oct 1 Sept 30) rainfall measured at Oak Ridge was 666 mm in water
- 449 year 2016, 845 mm in water year 2017, 520 mm in water year 2018, 743 mm in water year 2019,
- 450 and 427 mm in water year 2020, which reflects an average annual precipitation depth of 640 mm
- 451 during our study period. We also note that water year 2016 marked the end of one of the driest 452 periods (2012-2016) ever recorded in the state of California (Lund et al., 2018). Water year
- 452 periods (2012-2016) ever recorded in the state of California (Lund et al., 2018). water year 453 2017, in contrast, was the second wettest year recorded in the state of California (Singh et al.,
- 454 2018)

# 455 **3.2 Pore water Pressure**

- 456 The early season rise of the water table above our piezometers is typically very abrupt (Figure
- 457 3b), but occurs weeks to months after the onset of seasonal rainfall (Figure 4). For example, in
- 458 2016 the onset of the rainy season was marked by 12 rainfall events in 60 days and 179 mm of 459 rainfall during which pressures in the two shallow piezometers (2.5 and 2.7 m deep) were
- 459 rainfail during which pressures in the two shahow prezoneters (2.5 and 2.7 in deep) were
   460 negative, indicating unsaturated conditions, and declining (Figure 3a,b). However, following the
- 460 13th rainfall event of that season (December 15, 2016), pore water pressures increased rapidly to
- 462 positive values. On December 16, 2016, approximately 50% of the total pore water pressure
- 462 positive values. On December 10, 2010, approximately 50% of the total pole water pressure 463 change over the wet season occurred in one day, when the water pressure rose ~ 8 kPa (Figure
- 464 4), or an equivalent of  $\sim 0.8$  m of head change. In each year of our record, the onset of saturation
- in our piezometers is accompanied by a rapid pressure rise, although not as dramatic as in 2016
- 466 (Figure 4). Once the water table rises above the level of our piezometers, the pore water pressure



Figure 3. Time series monitoring data at Oak Ridge earthflow. a) Daily rainfall record from Oak Ridge earthflow for the study period. b) Pore fluid pressure record from the four piezometers shown in 1b for the study period. c) Velocity computed over an 11-day window from the GPS station shown in 2b. Error bars reflect the velocity uncertainty over the 11-day window, as described in section 2.3 d) Velocity computed over a 1-day window from the 2.3 d) Velocity computed over a 1-day window, as described in section 2.3 d) velocity uncertainty over the 11-day window, as described in 2.3

- 468 signal is characterized by much more temporal variability (Figure 4), with 1-2 kPa increases of
- 469 pressure that occur in association with individual rainfall events before quickly dissipating.
- 470 When the water table was near the ground surface, comparison of pressure records for our
- 471 deepest and shallowest piezometers during the winter of 2018-2019 shows that pore water
- 472 pressure transmission occurs essentially instantaneously in response to rainfall events, with no
- 473 observable lag or attenuation with depth (Figure 5a-b).

### 474 **3.3 Landslide Displacement**

- 475 We never observe landslide motion prior to the water table rising above the level of our
- 476 piezometers (Figure 3b-d), suggesting that the conditions when equation 1 is satisfied (i.e.,
- 477 Coulomb failure) occur only when the water table is close to the ground surface, as also observed





Figure 4. For the four complete winter rainfall seasons examined in this study, the top panel compares velocity, as measured by GPS (blue), and the time derivative of pore water pressure (orange). Error bars for velocity (blue) reflect the velocity uncertainty over the 11-day window, as described in section 2.3 Error bars on the derivative of pore pressure (orange) show the propagated piezometer precision, as described in section 2.2. The bottom panel shows cumulative rainfall over the same period (black). For 2016-2017 and 2017-2018, we use the pressure record from the 2.5 m piezometer; for 2018-2019, we use the 4.2 m piezometer; and, for 2019-2020, we use the 2.7 m piezometer.

- 479 at another slow landslide in the Franciscan mélange (Iverson and Major, 1987). For the four
- 480 years examined here, the pore water pressure associated with landslide motion was exceeded
- 481 when or shortly after the rapid rise in pressure described in section 3.2 (Figure 4). When pore
- 482 water pressures were sustained at high levels in the landslide, as occurred in the winter of 2018-
- 483 2019, we were able to clearly observe landslide acceleration measured by the extensioneter due
- to pulses of pressure at depth triggered by individual rain events (Figure 6a-b). This observation,
- taken together with Figure 5, shows that once the landslide is near saturation, acceleration occurs



Figure 5. Comparison of pore water pressure measured at two depths. a) Detrended pore fluid pressure records from the deepest (4.2 m) and shallowest (1.25 m) piezometers during January, 2019. b) Daily rainfall during the same period. Uncertainties on pressure reflect the piezometer precision.

487



Figure 6. Velocity, pore pressure and rainfall measurements during winter 2019. a) Daily average pore fluid pressure from the 4.2 m piezometer compared to daily velocity from the extensometer during January and February 2019. Error bars for pressure (orange) reflect the piezometer precision. Error bars for velocity (blue) reflect the velocity uncertainty over the 11-day window, as described in section 2.3. b) Daily rainfall for the same period.



Figure 7. Plots of the 11-day average GPS-derived velocity for Oak Ridge Earthflow for each water year (Oct. 1 -Sept. 30) of the study compared to the median pore fluid pressure from the 2.5 m deep piezometer record for the same 11-day period over which velocity was computed. Note that in 2019-2020 the piezometer was offline during the seasonal rise of the water table and during the peak in pore pressure, so it only captures the falling limb of the seasonal water table cycle. In addition, during 2015-2016 we did not record the entire rise of the water table. Uncertainties in 11-day velocity, as described in the Methods, are shown with red error bars.

489

490 within ~ 1 day of rainfall events, implying a hydraulic diffusivity of ~  $10^{-4}$  m<sup>2</sup>/s assuming an ~8 491 m deep failure surface (based on the characteristic diffusion time scale).

- 492 Figure 7 summarizes the annual relationship between pore water pressure, in this case measured
- 493 by the 2.5 m deep piezometer, and landslide displacement rates. The figure shows a coherent
- relationship between pore water pressure and sliding velocity from year to year. The observed
- relationship between sliding velocity and pore fluid pressure also generally supports the
- 496 existence of a pore pressure threshold that governs the onset of landslide motion (Figure 7, S2
- 497 and S3). Depending on the piezometer used and the year in question, we also observe up to a  $\sim$  5 498 kPa difference in the pore water pressure marking the onset of motion and cessation of motion
- 498 kPa difference in the pore water pressure marking the onset of motion and cessation of motion499 (Figure 7, 2016-2017). However, neither the magnitude nor sense of this hysteresis is consistent
- 500 between our different piezometers (Figures S2, S3).
- 500 between our different piezometers (Figures S2, S3).
- 501 Figure 7 also demonstrates that landslide displacement rates are sensitive to small changes in
- 502 pore water pressure, as also observed in other slow-moving landslides (Corominas et al., 2005;
- 503 Malet et al., 2002; Schulz et al., 2009) as well as in experiments of landslide materials that are
- brought to failure by increasing pore water pressure (Agliardi et al., 2020; Carey et al.,
- 505 2019). Indeed, the entire range of sliding velocities observed occur within a < 5 kPa range of
- 506 pore water pressure variation.

### 507 **3.3 Pore Pressure Diffusion Modeling**

- 508 The 1D diffusion modeling captures the general seasonal rise and fall of the water table that is
- 509 observed at Oak Ridge earthflow (Figure 8a,b). However, detailed comparison of the modeled
- 510 pressure from assuming linear diffusion and the observed pore pressure shows that the diffusion
- 511 model overestimates early season pore pressures (Figure 8b). For example during the winters of
- 512 2016-2017 and 2017-2018, the linear diffusion model predicts positive pressures of up to  $\sim$  5 kPa
- at 2.5 m depth for many weeks when an unsaturated state (and therefore negative pore pressure)
- 514 was actually observed. At the same time, when the water table was close to the surface, the
- 515 linear diffusion model was unable to reproduce the observed high frequency pressure spikes that 516 occur in association with individual rainfall events (Figure 8b). Hence, during these periods the
- 517 model commonly predicts pore water pressures at 2.5 m depth that are up to ~ 5 kPa lower than
- 518 observed pore water pressures.

# 519 **3.4 Groundwater Modeling**

- 520 The one-dimensional Richards equation modeling, in contrast, better captures the seasonal
- 521 timing of the rising water table, as well as the magnitude of fluctuations of the 2.5 m depth
- 522 piezometer (Figure 8b) due to the observed rainfall. For example, the model reproduces both the
- 523 subdued initial peak and subsequent late-season water table rise in Water Year 2018 as well as
- the rapid early season water table rise in the fall of 2016 (Figure 9).
- 525 In general, the model results show that the abrupt annual rise of the water table during the rainy
- 526 season is dictated by the arrival of a single seasonal infiltration front that integrates a number of
- 527 rainfall events through the vadose zone (Figures 9-11). When the infiltration front meets the 528 draining water table surface, the water table begins to rise at a rate that is dependent upon the
- 528 draining water table surface, the water table begins to rise at a rate that is dependent upon the 529 flux of water through the vadose zone, which is large when conditions are near saturation and
- 529 flux of water through the vadose zone, which is large when conditions are near saturation and 530 moisture storage is limited.
- 531 To examine the propagation of seasonal wetting fronts more closely, in Figure 10 we show bi-
- weekly plots of modeled subsurface saturation (S<sub>e</sub>), where  $S_e = (\theta \theta_r)(\theta_s \theta_r)^{-1}$ , from



Figure 8. Modeled and measured pore water pressure for Oak Ridge earthflow. a) Comparison of measured pore water pressure at 2.5 m depth and modeled pore water pressure at 2.5 m depth from the 1D saturated linear diffusion model and from numerical solution of the Richards-Richardson equation. b.) Close-up of one year highlighting the differences between the different modeling approaches.

- 534 October 1<sup>st</sup>-February 1<sup>st</sup> for water years 2017-2020. Early rainy season moisture profiles reflect
- the groundwater capillary profile, and as storms begin to arrive wetting fronts can be seen
- 536 propagating down from the surface as a high-saturation kink in the upper profile. For each water
- 537 year we also show the calculated moisture pulsivity P from the arrival of the first storm,
- 538 subjectively defined as the first daily rain to exceed 10 mm, to the first modeled piezometer
- 539 exceedance of 10 kPa (e.g., Fig. 9b). We choose this time window as it best reflects the period
- 540 over which significant infiltration occurs in the lead-up to motion onset.
- 541 Water year 2020 provides the most straightforward picture of modeled infiltration dynamics,
- 542 where the relatively shallow water table depth at the beginning of the rainy season leads to high
- 543 surface saturation values of ~0.9, and rapid rainfall beginning in late November 2019 results in a





Figure 9. Modeled and measured pore water pressure for Oak Ridge earthflow. a) vs2dt model results shown in contours of tension pressure head (-5 cm contours). The white region indicates the water table depth, and filled contours therefore represent the depth of the vadose zone over time. b) Comparison of 2.5 m depth piezometer data (red line) and 2.7 m piezometer at the slide margin (blue) with modeled piezometer 2.6 m-equivalent results (grey). c) Rainfall record used as the input for the 1D model, shown as daily totals (blue, left axis) and seasonal cumulative totals (orange, right axis).

- 545 near-fully saturated wetting front that rapidly propagates to the water table (Fig. 10). Here the
- 546 relatively high cadence of rainfall delivery after the first significant storm, and the limited
- 547 fillable pore space controlled by the high initial water table position, lead to a low pulsivity value
- that is reflected in the uniform slug of water delivered to the water table. Water Year 2019 shows
- a similar time series of saturation profiles, although a dryer vadose zone controlled by the lower
- 550 initial water table position (Fig. 9) results in a slower wetting front propagation (Fig. 10) and
- 551 pore water pressure response. While the style of moisture delivery is similar, reflected in similar 552 pulsivity values, the delayed water table response in WY2019 relative to WY2020 highlights the
- strong control nonlinear capillary moisture storage exerts on the downward propagation rate of
- 554 infiltrating water and therefore the timing of initial seasonal porewater pressure rise.
- 555 Conversely, model results for Water Year 2018 show a more complicated picture of early season
- surface water delivery, with longer hiatuses between storms. The slower pace of rainfall results
- 557 in a pulsivity value of >2, and the moisture profiles indeed show an initial wetting front
- 558 propagation, followed by drying of the vadose zone and a second wetting front propagation that
- 559 ultimately drives the water table to the surface (Fig. 10). The consequent pore water pressure





Figure 10. Bi-weekly profiles of subsurface saturation from October 1<sup>st</sup> (yellow) to February 1<sup>st</sup> (dark blue) for Water Years 2017-2020. Early season profiles show the moisture content set by the groundwater table, and kinks in the profiles show the downward propagation of wetting fronts. Moisture pulsivity (P) values calculated from the onset of the first storm to the exceedance of 10 kPa for the modeled piezometer data (Fig. 9b) are shown at the bottom-left of for each Water Year.

response reflects this in a series of defined pore water pressure peaks in the lead-up to landslide motion (Figs. 9 and 4).

563 In Figure 11, we show the storm surface connectivity  $C_s$  (equation 8) for WY 2019 alongside a 564 time series of modeled pressure head above the water table in the upper 3 m of the landslide. Because the surface often fully saturates during a rainfall event,  $C_s$  is calculated by taking the 565 average fillable porosity above the water table at each time step, and a conservative reference 566 567 rainfall depth of 20 mm is used, which approximates a typical large storm that Oak Ridge experiences (Fig. 3a). As storms begin arriving in late November 2018 and water begins 568 569 infiltrating from the landslide surface (white dashed line in Fig. 11a), vadose zone storage 570 declines and  $C_{\rm s}$  begins to increase. In mid-January, the leading edge of the seasonal wetting front connects to the water table, adding enough groundwater recharge to cause the water table to 571 572 begin rising. At this point, full surface connectivity is reached and  $C_s$  goes from 0.8 to 1. The 573 next storm then arrives, fully connecting to the water table and causing it (and hence the pore 574 water pressure at depth) to rapidly rise. Connectivity then stays at a value of 1 through the winter 575 and early spring, and individual storm events cause the landslide to accelerate, shown by 576 increases in the slope of GPS cumulative displacement (Fig. 11b). As the rainy season subsides 577 through Spring and Summer 2019, the reference connectivity remains at 1 until the water table is 578 sufficiently low and vadose zone storage exceeds the reference rainfall depth. Here it is worth 579 noting that while the late-Spring storm events drive the water table to the surface in the vs2dt 580 Richards equation model, here the linear diffusion model better captures the relatively low magnitude of pore water pressure increase recorded by the piezometers (Fig. 8a). 581 582 **4.0 Discussion** 



Figure 11. a) Zoomed in vs2dt model results for water year 2019 showing the infiltration of rainwater through the unsaturated zone and subsequent water table response (lowest contour is indicated with  $\nabla$  symbol). Bold white dashed line shows the general trajectory of the seasonal wetting front that originates at the beginning of the rainy season. Pressures heads  $\geq$  0 are shown in white, and pressure pulses associated with individual storms can be seen projecting down from the ground surface. Panel b) shows the daily rainfall on the right axis, and the cumulative GPS displacement (green) as well as the storm surface connectivity  $C_s$  (black dashed line). Cs is calculated using the average fillable pore space in the vadose zone at each time step in the model run, and a reference rainfall depth of 20 mm. When the connectivity reaches a value of one, that implies that entirety of infiltrating water from a reference storm will connect to the water table directly from the surface. Connectivity values stay elevated past the rainy season until the water table is sufficiently low and the vadose zone is sufficiently dry. Here Cs reaches 1 approximately one week before the next storm arrives and connects to the water table, driving up pore water pressures in the landslide and initiating motion.

- 584 Our objective in this paper is to exploit a well instrumented, slow-moving landslide that
- 585 experiences seasonal unsaturated conditions to understand how the seasonal pore water pressure
- and deformation response of a large landslide to rainfall infiltration is modulated by infiltration
- 587 of water through the vadose zone. Below we discuss the relationship between pore water
- 588 pressure and deformation observed at Oak Ridge earthflow, and then the seasonal relationship
- 589 between rainfall and pore pressure response both at Oak Ridge and more generally for landslides
- 590 that are also controlled by vadose zone hydrology.

591



Figure 12. Rainfall and modeled water table data plotted with seasonal rainfall intensity ( $q_{srf}$ ) - duration (*T*) thresholds for the onset of motion at Oak Ridge earthflow from equation (9) assuming initial water table elevations ( $z_i$ ) at 2.2 and 2.5 m depth (gray envelope).  $z_{iRE}$  is color-coded to show the initial water table elevation at the beginning of rainfall in the Richardson/Richards equation model. *T* is calculated as time from the onset of seasonal rainfall to when the modeled landslide water table reaches a 10 kPa threshold (~0.5 m depth), and  $q_{srf}$  is calculated by dividing the total rainfall by *T*.

592 Our monitoring results show that at Oak Ridge earthflow landslide motion is strongly seasonal, 593 ceasing from late summer to early winter, and only resuming again well after the first winter 594 rains begin in the Fall (Figure 3b-d). The relationship between sliding velocity and pore water 595 pressure revealed by our deformation monitoring (Figures 7, S2 and S3), as noted in 3.3, is 596 consistent with observations at other slow-moving landslides that reveal a non-linear relationship 597 between sliding velocity and pore water pressure (e.g., Malet et al., 2002). In addition, the data 598 support the presence of a pore water pressure threshold that governs the seasonal onset of landslide motion, as expected from equation 1. However we note up to a ~ 5 kPa difference 599 600 between the pore water pressure at the onset of motion compared to when motion ceases over a 601 given wet season. Although this is still relatively modest hysteresis compared to observations in 602 other landslides (van Asch, 2005; Massey et al., 2013) as well as experiments (Carey et al., 2019), we acknowledge that at Oak Ridge there may not be a simple mapping of pore fluid 603 604 pressure variation onto velocity (e.g., Schulz et al., 2018), even if the seasonal onset of motion 605 appears to be governed by an identifiable pressure threshold. That said, neither the amount nor 606 sense of hysteresis is consistent between piezometers, suggesting that some caution should be 607 used in interpreting the details of the relationship between pressure and velocity for a given

608 piezometer. Hysteresis aside, we do observe a robust relationship of seasonal landslide

- acceleration during periods of rising pore fluid pressure and landslide deceleration during periods
- of dropping pore pressure (Figure S4). This observation is suggestive of an apparently quasi-
- 611 stable relationship between sliding velocity and pore water pressure. However what the process
- 612 is that governs this relationship and how we might use the data in Figures 7, S2 and S3 to test
- 613 this remains beyond the aims of this paper.

614 Our numerical modeling suggests that the primary hydrologic dynamics that drive seasonal pore

- 615 water pressure changes over time in the landslide body (Figure 9) can be captured only through
- 616 consideration of vertical unsaturated flow with relatively few tunable parameters. Hence, these
- 617 results are likely generalizable to many settings. We also recognize that a similar temporal
- evolution of the water table observed at Super-Sauze earthflow in the French Alps (Malet et al.,
- 619 2005) is well described by a dual permeability model that explicitly models flow along fissures
- 620 (Krzeminska et al., 2013). These more complex models may better describe complex landslide
- 621 hydrology, but at Oak Ridge, our relatively simple model reproduces the salient features of the
- 622 seasonal pore water pressure record with minimal parameterization.
- 623 In contrast, the more commonly used linear pore pressure diffusion model, which was explicitly
- 624 intended only for use under saturated conditions (Reid, 1994; Iverson, 2000), systematically
- over predicts early season pore water pressures and systematically underpredicts pore water
- 626 pressures as saturation is approached (Figure 8a). This is an expected consequence of assuming
- 627 hydraulic conductivity (and hence hydraulic diffusivity) that is fixed throughout the year, instead
- 628 of allowing it to evolve with moisture content. The  $\sim$  5 kPa misfit between the diffusion model
- and the measured pore water pressures is significant because most of the annual velocity
   variation occurs due to changes in pore water pressure that are of a similar ~ 5 kPa magnitude
- 631 (e.g., Figure 7). Consequently, for predicting the onset of seasonal slow landslide motion, there
- is premium on modeling the details of the pore water pressure evolution. For this application a
- variably saturated model does a better job of simulating the abrupt early season rise of the water
- table as well as its high frequency variability as saturation is approached (Figure 8b).
- 635 The key insight garnered from the 1D hydrological model results is that the rapid seasonal rise of
- 636 the water table at Oak Ridge earthflow occurs only once the majority of the seasonal infiltration
- 637 front, which marks the leading edge of the integrated downward flux of rainfall through the
- vadose zone, reaches the water table. After this point, rainfall is transmitted rapidly to the water
- table, instead of being stored within the vadose zone (e.g., Fig. 10c), and pore water pressures
- rise, leading to landslide acceleration once a pore water pressure threshold is crossed.
- 641 Furthermore, the soil moisture pulsivity (P) and storm surface connectivity ( $C_s$ ) parameters,
- 642 developed in Section 1 from consideration of simplified infiltration dynamics, reasonably
- 643 describe the hydrologic dynamics when applied to the vs2dt model results. Years with lower
- seasonal rainfall rates and high initial fillable pore space, like WY2018, have higher pulsivities
- 645 and show multiple pulses of wetting fronts and pore water pressure response (Fig. 10). *P* may
- 646 therefore be a useful construct for differentiating and predicting the varying patterns of landslide
- 647 hydrologic response. For the case of WY2019, we show that reference storm surface
- 648 connectivity approaches 1 directly before a subsequent storm drives the rapid seasonal spike in
- be pore water pressures. This suggests that estimates of  $C_s$ , perhaps with instrumental knowledge of
- 650 material and soil moisture characteristics, might be a useful metric for forecasting pore water
- 651 pressure transience in shallow unconfined aquifers like landslides.

- In Section 3.4 we compare the timing of modeled landslide response for Water Years 2019 and
- 653 2020, which experience a similar early season rainfall forcing but start the rainy season with
- different water table elevations (Fig. 9). The faster wetting front propagation (Fig. 10) and initial
- pore water pressure rise in WY2020 illustrates the importance of antecedent moisture in
- 656 governing the filling time and downward propagation rate of wetting fronts (e.g., Equation 4).
- 657 Below we attempt to incorporate the variable moisture storage effect in a simplified mass
- balance framework to develop a rainfall intensity-duration (ID) equation for predicting the onset
- 659 of seasonal landslide motion. We then use this equation to interpret the timing of the onset of
- landslide motion revealed by the field data and modeling results.
- The water table (and hence pore water pressure) rise should reflect, in 1D, a mass-balance
- between the flux of rainfall into the slide and the flux of water out due to drainage through the
- bottom of the landslide, with seasonal growth of the water table occurring as the former flux  $(4 1)^{1/2}$
- 664 exceeds the latter (e.g., Bogaard & Greco, 2016). In the event of slow rainfall delivery, 665 comparatively more rainfall is required to raise the water table than if the same rainfall is
- 666 delivered more rapidly. This is because shallow groundwater is always draining. At Oak Ridge
- 667 earthflow, the rate of decline of pore water pressure is 1-2 kPa or 10-20 cm of pressure head per
- 668 month during the summer when there is no recharge from rainfall (Figure 3b).
- In shallow, unconfined aquifers, the volume of water released per unit decline in water table
- head, per unit cross sectional area, is called the apparent specific yield,  $S_{va}$ . This quantity reflects
- the volume of fillable pore space above the water table (Freeze & Cherry, 1979), which is
- 672 controlled by grain size and porosity distribution. For a volume of recharge R added to the water
- table, the water table will rise proportionally to the apparent specific yield:

674 
$$R = \Delta h S_{ya}$$

(10)

- 675 where  $\Delta h$  is equal to the change in water table height. In the vadose zone, capillary water storage
- 676 increases from the ground surface toward the water table, which results in a nonlinear depth
- 677 dependence of  $S_{ya}$  that varies greatly between material types. For example, silt and clay-rich
- 678 materials often hold much more water in tension above the water table, resulting in a larger
- 679 capillary fringe (smaller  $S_{ya}$ ) and hence large swings in water table height for a given volume of 680 recharge added to the system. Crosbie et al. (2005) define  $S_{ya}$  for a change in water table
- recharge added to the system. Crosbie et al. (2005) define  $S_{ya}$  for a change in water table elevation based on the Mualem (1976) and van Genuchten (1980) capillarity model:

682 
$$S_{ya} = S_{yu} - \left[\frac{S_{yu}}{1 + \left(\alpha \left(\frac{z_i + z_f}{2}\right)^n\right)^{1 - \frac{1}{n}}}\right]$$
, (11)

683 where  $z_i$  and  $z_f$  are the initial and final positions of the water table, *n* and  $\alpha$  are material specific 684 parameters, and  $S_{yu}$  corresponds to the ultimate specific yield, defined as the saturated volumetric 685 moisture content  $\theta_s$  (equal to material porosity) minus the residual moisture content  $\theta_r$  (Freeze 686 and Cherry, 1979). Residual moisture is defined as the remaining moisture content at infinitely 687 high suction. The mass balance of equation (5) can be recast to include the effects of a draining 688 water table (Crosbie et al., 2005):

$$689 \qquad R = \left(\Delta h_r + \dot{D_R} \Delta t\right) S_{ya} \tag{12}$$

690 where  $\Delta h_r$  is the change in water table height from recharge, and  $\dot{D}_R$  is the water table lowering 691 rate in the absence of recharge (Crosbie et al., 2005), which again is roughly 10-20 cm per month 692 at Oak Ridge earthflow (Figure 3b). Equating *R* with the seasonal rainfall flux  $q_{\rm srf}$  multiplied by 693 the duration of rainfall ( $\Delta t$ ) allows us to solve for the seasonal landslide response time scale,  $T_s$ , 694 that is required to grow the water table to a specific depth,  $\Delta h_r$ 

695 
$$T_s = \Delta t = \frac{\Delta h_r S_{ya}}{q_{srf} - \dot{D}_R S_{ya}}$$
(13)

696 If a threshold water table height  $\Delta h_r$  can be estimated for Coulomb failure, as appears to be the 697 case at Oak Ridge earthflow (Figures 7, S2, and S3) and other slow landslides (e.g., Iverson and 698 Major, 1987), then equation (11) can serve as a physically based rainfall intensity-duration 699 threshold for slow-moving landslide failure. This approach is akin to the "leaky barrel" approach 690 by Wilson & Wieczorek (1995), but here we incorporate the effect of material capillarity on

transient moisture storage. We use a ~10 kPa threshold for the onset of motion for the 2.5 m
 piezometer (Figure 7), equivalent to a water table depth of 0.5 m below the top of the model

702 piezometer (Figure 7), equivalent to a water table depth of 0.5 m below the top of the model 703 domain, as our upper bound for  $\Delta h_r$ . We then plot the expected rainfall intensity-duration curves

- required to reach the 10 kPa threshold starting from initial water table depths between 2.2 and
- 705 2.5 m, which reflect the range of modeled water table depths at the onset of rainfall for each
- season (Figure 12). We use our vs2dt model results, together with rainfall observations, to plot

707 the estimated response timescale and average rainfall flux for each water year at Oak Ridge 708 earthflow. For each year of the record, the combination of rainfall flux and response timescale

earthflow. For each year of the record, the combination of rainfall flux and response timescalefalls near or above the threshold prediction from equation (13). This suggests that the timescale

710  $(T_s)$  required to elevate the water table within the vadose zone to a specific threshold height

711 provides a physically-based means of predicting the timing of the onset of landslide motion

based on available rainfall data and knowledge of cursory material and hydraulic properties.

713 Equation (13) does not consider the storage time of infiltrating water before it reaches the water

table and therefore cannot be used to determine the style of water delivery. Because of this, it is

best suited to landslides where the vadose zone transit time for a wetting front to reach the water

table is short relative to the total recharge accumulation time,  $T_{\rm s}$ . That said, our new intensity-

duration timescale has clear advantages over the more commonly used characteristic pore water

718 pressure diffusion timescale (e.g., Coe, 2012; Handwerger et al., 2013), which implies that each

719 landslide should have a single timescalee to describe its response to rainfall, which directly

720 contradicts our monitoring data.

721 Comparisons between seasonally deforming landslides within mélange along the US west coast 722 reveal that the style of moisture delivery may be important for dictating seasonal motion. A 723 coarse estimate of the early season pulsivity at Oak Ridge earthflow can be derived from 724 estimates of the annual average Fall rainfall fluxes, (PRISM Climate Group, 2017; viewable at 725 https://swclimatehub.info/data/county-temp-precip-maps/precipitation) and a saturated hydraulic

conductivity of  $7.1 \times 10^{-8}$  m/s. From these data, and assuming a seven month rainy season and a  $\theta_i$ value of 0.2, the mean annual Oak Ridge pulsivity is approximately 1.2. The Hooskanaden

128 landslide in coastal southern Oregon is another deep landslide in a mélange-type rock unit, but

has higher proportions of sandstone and siltstone units that have a much higher inferred effective

hydraulic conductivity of 6.6 x  $10^{-6}$  m/s (Xu et al., 2020). Even with a higher mean annual

rainfall during the fall and winter months, the estimated mean annual pulsivity is ~17, an order of

magnitude higher than at the Oak Ridge earthflow. This suggests that early season pore-pressure

response might be strongly dictated by vadose zone material differences, and indeed, recent

geodetic measurements show that motion of the Hooskanaden slide responds strongly to

- individual storm events during the early rainy season (Xu et al., 2020), which stands in contrast
- to Oak Ridge earthflow where multiple rainfall events can be integrated into large pressure
- 737 spikes.

A consequence of the low pulsivity of the Oak Ridge earthflow is that because the slide 738 739 effectively fully saturates near the threshold pore pressure for motion, there is limited dynamic 740 range for increasingly large pore water pressure generation from rainfall alone that could lead the 741 earthflow to fail catastrophically or surge. However, the non-linear relationship between pore 742 pressure and velocity suggests that additional pore pressure rise from landslide deformation (for 743 example due to compression) may lead to rapid motions (Agliardi et al., 2020; Booth et al., 2018; 744 Iverson et al., 2000; Iverson, 2005). Deeper bedrock slides with deeper water tables and high 745 unsaturated pulsivity may therefore experience a greater range of slide motion, and this is also observed from the geodetic record of the Hooskanaden slide (Xu et al., 2020). Hence, the critical 746 747 zone processes that ultimately determine the depth of the vadose zone (e.g., Rempe & Dietrich, 748 2014) appear to exert a strong control on the dynamics of landslides. As noted above, one of the 749 unique aspects of the Franciscan mélange is that it has a thin (< 3 m) seasonal vadose zone 750 (Hahm et al., 2019; Iverson & Major, 1987; Schulz et al., 2018; Figure 9b), which likely arises 751 within the framework of Rempe and Dietrich (2014) from low saturated hydraulic conductivity 752 that inhibits lateral drainage and summer drawdown of the water table (Hahm et al., 753 2019). Thus, in the Franciscan mélange, pore water pressures are generally high at depth 754 because the water table is always near the surface. This means that for a given gradient, 755 hillslopes developed in mélange are always closer to Coulomb failure than in a setting with a 756 deeper vadose zone where the water lowers more significantly during the summer (e.g., Hahm et 757 al., 2019). This fact may explain the high density of currently active landslides in the Franciscan 758 mélange (e.g., Mackey & Roering, 2011), the "melting ice cream" quality to the topography of 759 the Franciscan mélange (Kelsey, 1978), as well the sensitivity of landslides in Franciscan 760 mélange to base-level forcing (Bennett et al., 2016a) and year to year changes in rainfall 761 (Bennett et al., 2016b; Handwerger et al., 2019). Because landscapes underlain by Franciscan 762 mélange exist so close to the threshold for slow landslide failure, they are also likely to be 763 acutely sensitive to future changes in precipitation in California, which will likely be 764 characterized by increasing variability (Swain et al., 2018; Berg and Hall, 2015).

### 765 **5.0 Conclusions**

766 Linking temporal patterns of precipitation and landslide failure remains a basic goal of 767 geomorphology and natural hazards research. In this contribution, we outline theoretically how 768 vadose zone processes can control the style and timing of the piezometric response of slow 769 landslides to seasonal rainfall. Whereas a slow-moving bedrock landslide with a high bulk 770 hydraulic conductivity can quickly transmit individual rainfall pulses down to the water table, a 771 landslide with lower hydraulic conductivity relative to the seasonal rainfall flux tends to integrate 772 many storm events into a single pulse that quickly drives up pore water pressures upon meeting 773 the water table weeks to months after seasonal rainfall has commenced. To test our theoretical 774 expectations of the role of vadose zone processes in governing the onset of seasonal landslide 775 motion, we combine variably saturated groundwater flow modeling with five years of monitoring 776 at a well-instrumented, slow-moving landslide, Oak Ridge earthflow, that experiences seasonal 777 unsaturated conditions. The onset of landslide motion at Oak Ridge earthflow occurs only after 778 an abrupt rise in the water table to elevations near the landslide surface 52-129 days after winter

- rainfall commences. Model results confirm theoretical expectations and suggest that this abrupt
- rise in the water table occurs as the wetting front, which marks the leading edge of the integrated
- downward flux of seasonal rainfall, reaches the water table. We show that this non-linear
- 782 response of the water table is an expected consequence of rainfall infiltration into unsaturated 783 ground with the material properties observed at Oak Ridge. Prior to this abrupt rise, we see little
- measured pore water pressure response within the landslide due to rainfall. However, once the
- 785 wetting front reaches the water table, we observe nearly instantaneous pore water pressure
- transmission to within the landslide body that is accompanied by landslide acceleration. We cast
- the timescale to reach a critical pore water pressure threshold using a simple mass balance model
- that considers unsaturated moisture storage with depth and explains the onset of seasonal
- 189 landslide motion with a rainfall intensity-duration threshold. Our analysis shows that the
- combination of landslide hydraulic properties and vadose zone thickness, together, exert a strong
- but predictable control on both the style and timing of piezometric response to seasonal rainfall.

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- 805 (https://www.unavco.org/instrumentation/networks/status/nota/overview/OREO) and post-
- 806 processed GPS data are archived at University of Nevada Reno Geodesy Laboratory
- 807 (<u>http://geodesy.unr.edu/NGLStationPages/stations/OREO.sta</u>). Extensometer, Pore water
- 808 Pressure and Meteorological Data are archived by Hydroshare on the Oak Ridge Earthflow
- 809 Observatory Data page
- 810 (https://www.hydroshare.org/resource/8e024d2aeb22489c92dbf0c2a1db4608/)
- 811

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Figure 1.



Figure 2.





Figure 3.



Figure 4.



Figure 5.



Figure 6.



Figure 7.



Figure 8.





Figure 9.



Figure 10.



Figure 11.



Figure 12.

