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1 **Ultrafast X-ray Transient Absorption Spectroscopy of Gas-Phase**
2 **Photochemical Reactions – A New Universal Probe of Photoinduced**
3 **Molecular Dynamics**

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15 **Notes**

16 The authors declare no competing financial interest.

17

18 **Conspectus**

19 Time-resolved spectroscopic investigations of light-induced chemical reactions with universal
20 detection capitalize recently on single-photon molecular probing using laser pulses in the extreme
21 ultraviolet or X-ray regimes. Direct and simultaneous mappings of the time-evolving populations of
22 ground-state reactants, Franck-Condon (FC) and transition state regions, excited-state intermediates
23 and conical intersections (CI), and photoproducts in photochemical reactions utilize probe pulses that
24 are broadband and energy-tunable. The limits on temporal resolution are set by the transit- or dwell-
25 time of the photoexcited molecules at specific locations on the potential energy surface, typically
26 ranging from a few femtoseconds to several hundred picoseconds.

27 Femtosecond high-harmonic generation (HHG) meets the stringent demands for a universal
28 spectroscopic probe of large regions of the intramolecular phase-space in unimolecular photochemical
29 reactions. Extreme-ultraviolet and soft X-ray pulses generated in this manner with few-femtosecond
30 or sub-femtosecond durations have enormous bandwidths, allowing the probing of many elements
31 simultaneously through excitation or ionization of core-electrons, creating molecular movies that shed
32 light on entire photochemical pathways. At free electron lasers (FELs), powerful investigations are
33 also possible, recognizing their higher flux and tunability but more limited bandwidths. Femtosecond
34 time-resolved X-ray transient absorption spectroscopy, in particular, is a valuable universal probe of
35 reaction pathways that maps changes via the fingerprint core-to-valence resonances. The particular
36 power of this method over valence-ionization probes lies in its unmatched element and chemical-site
37 specificities.

38 The elements carbon, nitrogen, and oxygen constitute the fundamental building blocks of life;
39 photochemical reactions involving these elements are ubiquitous, diverse and manifold. However,
40 table-top HHG sources in the ‘water-window’ region (280-550 eV), which encompasses the 1s-
41 absorption edges of carbon (284 eV), nitrogen (410 eV) and oxygen (543 eV), are far from abundant
42 or trivial. Recent breakthroughs in the laboratory have embraced this region by using long driving-
43 wavelength optical parametric amplifiers coupled with differentially-pumped high-pressure gas source
44 cells. This has opened avenues to study a host of photochemical reactions in organic molecules using
45 femtosecond time-resolved transient absorption at the carbon K-edge. In this account, we summarize

46 recent efforts to deploy a table-top carbon K-edge source to obtain crucial chemical insights into
47 ultrafast, ultraviolet-induced chemical reactions involving ring-opening, non-adiabatic excited-state
48 relaxation, bond dissociation and radical formation.

49 The X-ray probe provides a direct spectroscopic viewport into the electronic characters and
50 configurations of the valence electronic states through spectroscopic core-level transitions into the
51 frontier molecular orbitals of the photoexcited molecules, laying fertile ground for the real-time
52 mapping of the evolving valence electronic structure. The profound detail and mechanistic insights
53 emerging from the pioneering experiments at the carbon K-edge are outlined here. Comparisons of the
54 experimental methodology with other techniques employed to study similar reactions are drawn,
55 where applicable and relevant. We show that femtosecond time-resolved X-ray transient absorption
56 spectroscopy blazes a new trail in the study of non-adiabatic molecular dynamics. Despite table-top
57 implementations being largely in their infancy, future chemical applications of the technique will set
58 the stage for widely applicable, universal probes of photoinduced molecular dynamics with
59 unprecedented temporal resolution.

60

61 **1. Introduction**

62 Ultraviolet-induced dynamics of organic molecules are ubiquitous – pervading across
63 atmospheric and combustion chemistry,^{1,2} to biochemical processes such as vision and
64 photosynthesis,^{3,4} to electrochemistry.^{5,6} Electronic energy relaxation in molecules is invariably
65 coupled to internuclear vibrations, often witnessing the breakdown of the Born-Oppenheimer
66 approximation. This makes molecular photochemistry and photophysics a complex interplay of
67 electron and nuclear dynamics. The direct, real-time visualization of the coupled electron-nuclear
68 dynamics in photoexcited molecules is a long-standing central goal in chemistry.

69 The foundations of time-resolved probing of dynamics were laid down by the seminal works
70 of Norrish and Porter, who used microsecond pulses from gas-discharge flash-lamps.⁷ With the
71 advancement of laser technology and mode-locking, it took less than forty years to improve the
72 temporal resolution by many orders of magnitude.⁸ This made it possible to probe molecular
73 dynamics at the intrinsic timescale of molecular vibrations (femtochemistry).^{9,10} Numerous time-
74 resolved spectroscopic techniques - time-resolved electronic spectroscopy (TRES), time-resolved
75 infrared spectroscopy (TRIR), time-resolved photoelectron spectroscopy (TRPES), etc. - have greatly
76 contributed to the understanding of elementary chemical reactions involving bond-breaking, structural
77 isomerizations, and non-adiabatic dynamics.¹¹⁻¹⁴

78 The underlying theme of a time-resolved experiment is to first create a non-equilibrium
79 population in an excited state using a ‘pump’ pulse, which starts the reaction clock with a well-
80 defined zero-of-time.¹⁴ Quantum mechanically, the non-equilibrium population is described as a non-
81 stationary state, i.e., a coherent superposition of states. Its evolution is subsequently monitored using a
82 time-delayed ‘probe’ pulse that projects the population onto a final state. Depending on the nature of
83 the final state accessed, it is feasible to study the entire reaction pathway up until either equilibrium is
84 restored (ground-state repopulation) or an irreversible electronic-nuclear dynamic process generates
85 new photoproducts. The ultimate quest in molecular photochemistry is for universal probes of
86 relaxation mechanisms, reaction products, intermediates, transition states, and branching fractions.

87 A universal probe is used to imply a widely-applicable method that is largely independent of
88 the type of molecule, excited-state lifetimes, electronic energies, vibrational distributions, spin states

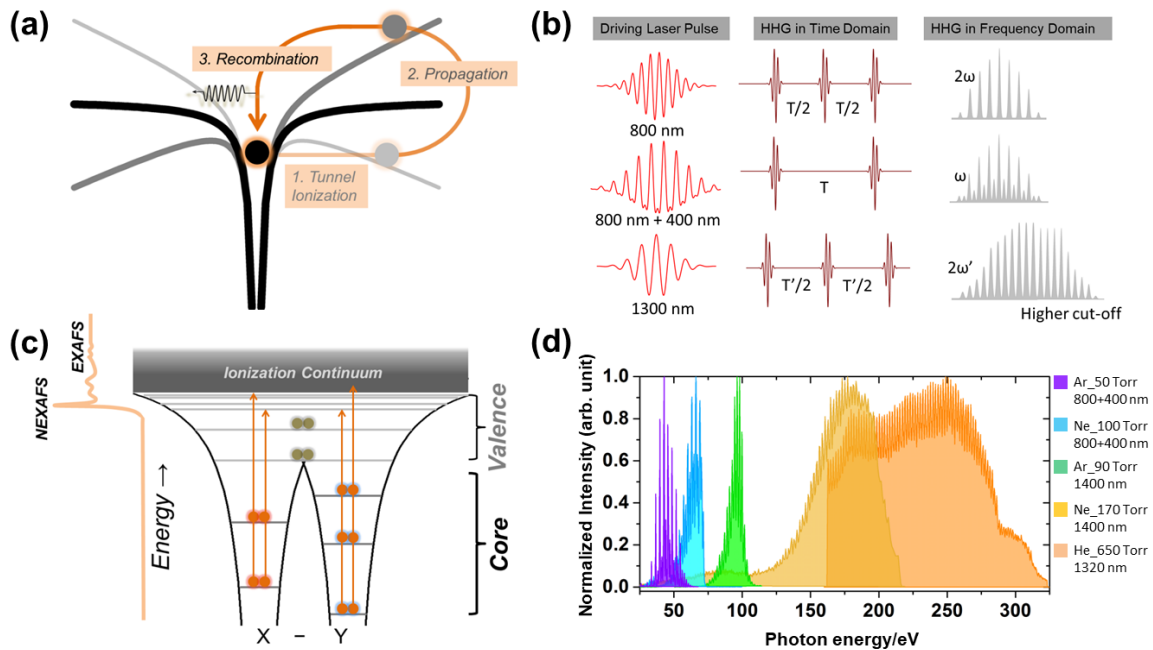
89 etc. Generally, core-level spectroscopy serves as a valuable probe by projecting core-electronic states
90 onto unoccupied valence/Rydberg states.¹⁵ The last few years have witnessed a huge upsurge in
91 ultrafast X-ray science which has led to the commissioning/upgrading of beamlines for higher pulse-
92 energies, shorter pulse-durations, and high repetition rates.¹⁶ Table-top X-ray sources have flourished
93 as well, lured by the promising advantages of the ‘water-window’ region – a region of the soft X-ray
94 spectrum between 280 eV and 550 eV that spans the 1s-absorption edges of carbon, nitrogen, and
95 oxygen. Although transient absorption spectroscopy with optical pulses is very well established, it is
96 only recently that it has been extended to core-level X-ray probes.

97 Generally, transient absorption spectroscopy represents a third-order nonlinear spectroscopic
98 technique, which employs a two-pulse pump-probe scheme – in the perturbative limit, the pump pulse
99 represents a second-order interaction and the weak probe pulse is a first-order interaction.¹⁷ Time-
100 resolved X-ray absorption spectroscopy (TRXAS) experiments described here make use of a 266-nm
101 pump pulse and a broadband, soft X-ray probe pulse. The technique has been applied to the ultrafast
102 ring-opening of cyclohexadiene (CHD) and furfural (FFR), intersystem-crossing in acetylacetone
103 (AcAc), and bond dissociation in dimethyl disulphide (DMDS) and chloriodomethane (CH₂I₂),
104 among numerous other examples currently underway in the laboratory and also performed at FELs.
105 We show that ultrashort, broadband, energy-tunable X-ray pulses, when combined with femtosecond
106 time-resolved transient absorption, can serve as a universal probe of photoinduced molecular
107 dynamics.

108 **2. High Harmonic Generation and X-ray Absorption Spectroscopy: Basic Principles**

109 HHG is a strong-field, non-perturbative interaction of an ultrashort laser pulse with the
110 atoms/molecules of a generation medium, which produces the odd harmonics of the driving laser
111 frequency.^{18,19} These energy-upconverted pulses can span the vacuum-ultraviolet to the hard X-ray
112 regimes, and they have pulse durations ranging from femtoseconds to attoseconds. According to the
113 semi-classical picture (Figure 1a), better known as the three-step model, HHG involves (1) direct or
114 tunnel ionization of a bound, valence electron through the laser-dressed Coulomb potential barrier, (2)
115 propagation of the free electron in the laser field and (3) recombination of the returning electron with
116 the parent ion in the second half of the optical cycle. In the time-domain, HHG occurs every half

117 optical cycle and the resulting pulse train produces a spectral comb structure in the frequency domain
 118 with a peak separation of twice the driving frequency (Figure 1b). The induced polarization vanishes
 119 in centrosymmetric media for even functions of the electric field, producing only odd-order
 120 harmonics. A successful quantum mechanical model within the strong-field approximation, known as
 121 the Lewenstein model, is also used to explain the general features of HHG.¹⁸



122
 123 **Figure 1:** (a) Three-step model of HHG - coulomb potential with no external field (black line);
 124 distortions of the coulomb potential (grey) by the laser-field launches a free electron by tunnel
 125 ionization, which may subsequently recombine with the parent ion, emitting a high-energy photon (b)
 126 Schematic representations of HHG driving-laser pulses and the HHG process in time and frequency
 127 domains. (c) A representative X-ray absorption spectrum of a diatomic molecule (X-Y) shows the
 128 near-edge and extended X-ray absorption fine structures (NEXAFS/EXAFS). (d) Broadband, energy-
 129 tunable extreme-ultraviolet and soft X-ray pulses produced using a table-top HHG source in Berkeley,
 130 employing different phase-matching conditions (HHG target-gas and driving wavelengths). Schematic
 131 representations of the experimental setup may be found in Refs. 20, 38, and 39).

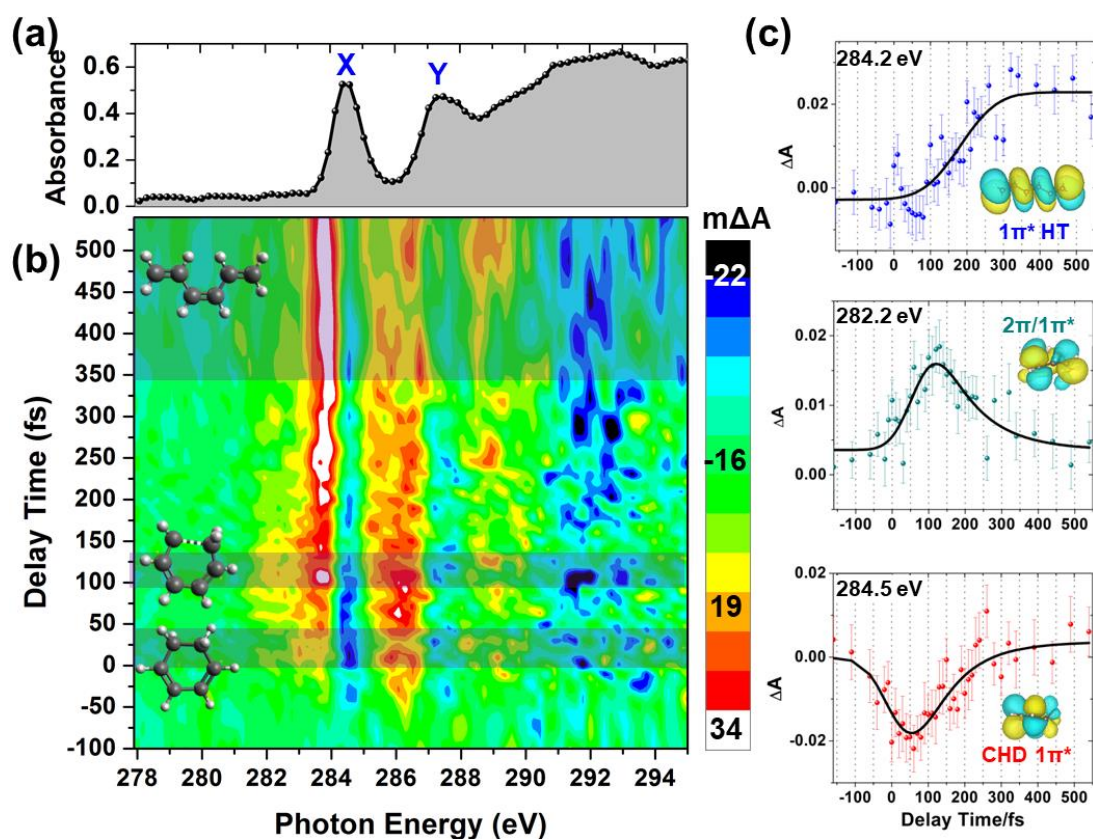
132 The energy cut-off of the emitted harmonic field is $E_{\text{cut-off}} = I_p + 3.17U_p$ (I_p is the ionization
 133 potential and $U_p = \frac{e^2 E^2}{4m\omega^2}$ is the ponderomotive energy of the electron). Thus, higher cut-off energies
 134 can be obtained by using HHG-target gases with higher I_p , light pulses with higher intensity or longer
 135 driving wavelengths. Optical parametric amplifiers are often employed as $E_{\text{cut-off}}$ scales linearly with
 136 the intensity ($\sim E^2$) but quadratically with the wavelength ($\sim 1/\omega^2$). Longer driving wavelengths have
 137 the advantage of less ionization as a low free-electron density helps retain phase matching. A

138 disadvantage is the sharp down-scaling ($\sim\lambda^{-(5.5,6.5)}$) of the single-atom recombination efficiency due
139 to quantum diffusion, which leads to a drastic decrease of the flux for higher-order harmonics.

140 A typical X-ray absorption spectrum (XAS, Figure 1c) contains a rising absorption edge from
141 the ionization of core electrons. The absorption edge is called K, L, M, etc. corresponding to $n=1, 2,$
142 $3,$ etc. principal quantum number. These letters carry subscripts to denote s, p, d ... electrons. (K=1s;
143 $L_{1/2}=2s, L_{2/3}=2p_{1/2}/2p_{3/2}; M_1=3s, M_{2/3}=3p_{1/2}/3p_{3/2}, M_{4/5}=3d_{3/2}/3d_{5/2},$ etc). At the onset of the edge, the so-
144 called ‘near-edge’, a number of core-to-unoccupied bound state resonances dominate the XAS. This
145 region of the spectrum is called near-edge X-ray absorption fine-structure (NEXAFS). It directly
146 reports on the valence electronic structure of the molecule through the nature and occupancy of the
147 frontier molecular orbitals. The extended X-ray absorption fine-structure (EXAFS) contains
148 oscillatory features due to the scattering of the outgoing photoelectrons from nearest neighbouring
149 atoms. It provides molecular structure information such as bond lengths, bond angles, coordination
150 numbers, etc. In this account, we focus on NEXAFS as a universal probe of photoinduced dynamics.

151 **3. Ultrafast Ring-Opening in a Pure Hydrocarbon – 1,3-Cyclohexadiene (CHD)**

152 TRXAS is remarkably sensitive to the evolving valence electronic structure in the
153 electrocyclic ring-opening reaction of CHD to 1,3,5-hexatriene (HT) - a benchmark prototype of
154 pericyclic reactions, one of many that led to the corroboration of the Woodward-Hoffman rules. The
155 key reaction mechanism that controls the product stereochemistry involves conservation of orbital
156 symmetry and a smooth evolution of the valence electronic structure from reactant to product via an
157 intermediate excited-state ‘pericyclic’ minimum of mixed $2\pi(\text{HOMO})/1\pi^*(\text{LUMO})$ character.
158 Pioneering TRXAS studies at the carbon K-edge reported the evolving valence electronic structure in
159 CHD→HT ring opening reaction.²⁰



160

161 **Figure 2:** (a) NEXAFS spectrum of ground-state CHD (b) False-color surface map showing the
 162 changes ($m\Delta A$) in the XAS as a function of photon energy and delay time (positive delay times
 163 indicate that the soft X-ray pulse follows the UV pulse). An increase in absorbance is represented in
 164 yellow/red/white, a decrease in blue/black. Three temporal windows are indicated by the shaded
 165 regions, each representing an important step in the ring-opening reaction. (c) Temporal evolution of
 166 the peaks at 284.2 eV (final $1s1\pi^*$ resonance of HT photoproduct, fit to a delayed rise of 180 ± 20 fs),
 167 282.2 eV (transient $1s-2\pi/1\pi^*$ ‘pericyclic minimum’ resonance, fit to a delayed rise of 60 ± 20 fs and
 168 an exponential decay of 110 ± 60 fs), and 284.5 eV (depletion of the $1s1\pi^*$ CHD resonance shows
 169 recovery due to overlapping $1s1\pi^*$ resonances of both vibrationally-hot CHD and HT). The error
 170 margins in all the fitted parameters represent ± 1 SE (standard error), calculated from the least-squares
 171 fitting routine. (Adapted with permission from ref. 20. Copyright 2018 American Association for the
 172 Advancement of Science)

173 The NEXAFS spectrum of ground-state CHD shows peaks X ($1s\rightarrow 1\pi^*_{LUMO}$ transition at
 174 284.5 eV, Figure 2a) and Y (287.3 eV from overlapping $1s\rightarrow 2\pi^*_{LUMO+1}$, $1s\rightarrow \sigma^*_{C-C}$, and $1s\rightarrow \sigma^*_{C-H}$
 175 transitions). The 266-nm-induced $S_0\rightarrow S_1(\pi\pi^*)$ excitation in CHD leaves a singly-occupied 2π -HOMO
 176 and $1\pi^*$ -LUMO, immediately modifying the NEXAFS spectrum in the FC-region of excitation.
 177 Depletion of peak X and a low-energy absorbance wing (between 280.5 and 284 eV) are clearly noted
 178 in the 0-40 fs time-window (Figure 2b). The instrument response function (IRF, sub-100 fs) is not
 179 adequate to capture the fast departure from the FC region; experimental observations of the
 180 continuous shifts in the $1s\rightarrow 2\pi$ and $1s\rightarrow 1\pi^*$ resonances and passage through CIs entail higher

181 temporal resolution. Nonetheless, in the TRXAS measured between 90 and 130 fs, an intermediate
182 resonance is clearly identified at 282.2 eV. Non-adiabatic molecular dynamics (NAMD) simulations
183 for this time-window explain this feature as the merging of the $1s2\pi$ and $1s1\pi^*$ resonances into near-
184 degeneracy in the vicinity of the pericyclic-minimum. It constitutes a direct experimental signature of
185 the elusive 2A-state pericyclic-minimum and represents a strong degree of mixing of the 2π and $1\pi^*$
186 frontier molecular orbitals in this region. A measured delay of 60 ± 20 fs between the rise of the
187 $1s(2\pi/1\pi^*)$ resonance (282.2 eV) and the $1s1\pi^*$ ground-state depletion (284.5 eV), corresponds to the
188 clocked-arrival time of the wavepacket from the initially excited 1B-state (S_1) to the 2A-state (S_2)
189 minimum. This intermediate state resonance is found to decay with an exponential time-constant of
190 110 ± 60 fs, representing the lifetime of the wavepacket in the 2A state before it undergoes non-
191 adiabatic relaxation to the ground state.

192 At long time-delays, an increase in the width and amplitude of peak X are observed. The
193 broadening results from the vibrational energy whereas the increase in amplitude is specific to HT
194 because it has a higher oscillator strength for the $1s1\pi^*$ resonance compared to CHD. Therefore, the
195 observed amplitude enhancement is a clear indication of the formation of HT in substantial yield. A
196 search for the lower limit of the HT:CHD branching ratio that reproduces the observed peak-X
197 amplitude enhancement relative to the NEXAFS at 300 K is performed by combining the calculated
198 CHD/HT spectra in various ratios. It gives an approximate branching ratio of 60(HT):40(CHD), in
199 remarkable agreement with NAMD simulations (64:36). A temporal lineout of the differential
200 absorbance at 284.2 eV is fitted to a step function with a delayed rise of 180 ± 20 fs, which yields the
201 ultrafast ring-opening timescale.

202 Several complementary time-resolved measurements used to explore CHD ring-opening
203 dynamics are reported in the literature. Femtosecond hard X-ray scattering constitutes a direct probe
204 of the evolving molecular structures, and it clearly reveals the evolving dynamics in real-space
205 through mapping the nuclear motions.²¹ However, scattering signals are generally broad and not able
206 to retrieve the specific photochemical branching fractions. TRPES with various ultraviolet probe
207 pulses have informed a detailed understanding of the complete reaction pathway in CHD with very
208 high temporal resolution.²² This comprehensive experiment made use of a time-sequenced progression

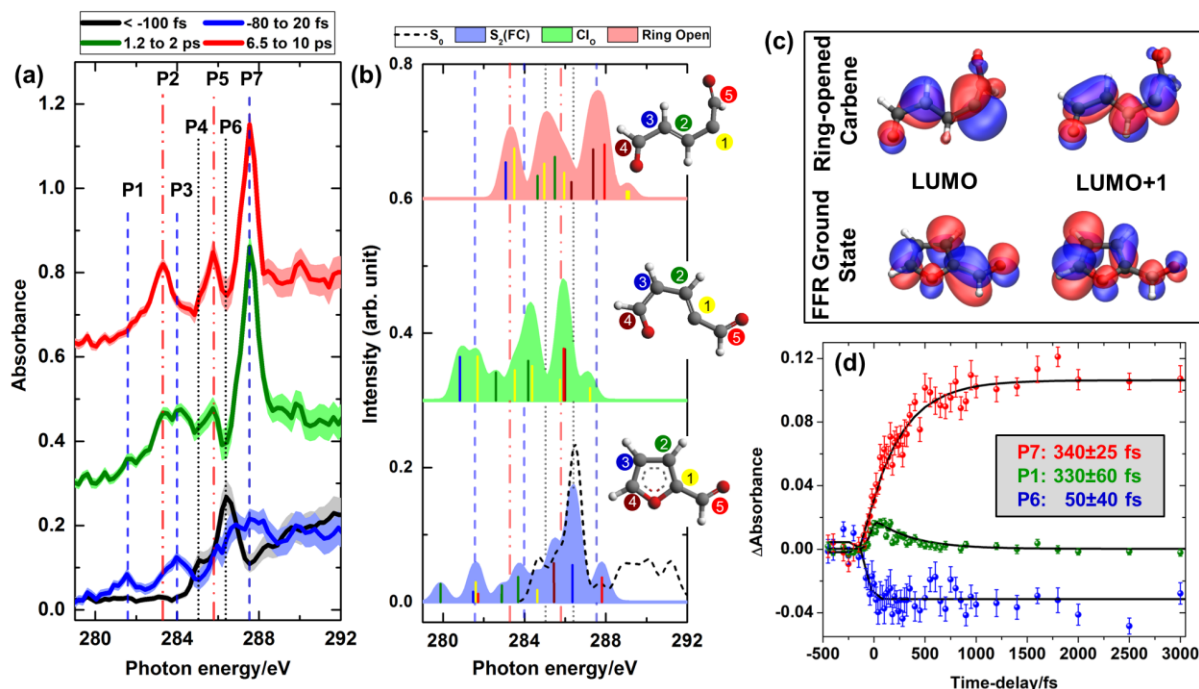
209 of Rydberg states to ionize the evolving excited-state wavepacket but this scheme is likely not easily
210 extended to other reactions for universal applicability. TRPES of CHD with vacuum-ultraviolet
211 pulses²³ overcomes most limitations because it constitutes a universal probe and unravels useful
212 information about excited state (1B and 2A) lifetimes in CHD as well as HT:CHD branching fractions
213 (70:30).

214 An advantage of TRPES is that there are no ‘dark’ states in photoionization as a range of
215 angular momenta can be accommodated by the outgoing electron (an additional bonus is that it leaves
216 a parent ion and charged particle detection is extremely sensitive). It must be noted that even though
217 core-to-valence transitions in the near-edge are bound by dipole selection rules (that is, they may be
218 allowed or forbidden), TRXAS is potentially a universal probe of photoinduced dynamics. This is
219 because utilizing the broadband and energy-tunable HHG-based X-ray probes, it is possible to ‘tune’
220 to a favourable absorption edge of a reporter atom where a dipole-allowed core-to-valence transition
221 is accessible (*vide infra*, Section 6). Also, at high X-ray photon energies (particularly in the hard X-
222 ray regime), NEXAFS transitions may not be governed by dipole selection rules due to the breakdown
223 of the electric dipole approximation at such short wavelengths.²⁴ Due to the relative infancy of
224 TRXAS, there are very few photochemical problems for which both TRPES and TRXAS data exist. A
225 direct comparison of the two techniques has been afforded by complementary theoretical simulations
226 of non-adiabatic dynamics in ethylene.²⁵ It reports a high degree of simplicity (fewer core-valence
227 transitions), sensitivity (wavepacket dynamics at CIs), and easy spectral elucidation (discrete peaks
228 separated by few electron-volts) of TRXAS in comparison to TRPES.

229 **4. Ultrafast Ring-Opening in an Organic Heterocycle - Furfural (FFR)**

230 Ring-opening in CHD involves a concerted electronic rearrangement to produce an altered
231 sequence of single and double-bonds in the product. In contrast, ring opening in many heterocyclic
232 organic molecules proceeds via a bond fission which gives rise to an open-shell photoproduct that can
233 subsequently isomerize (e.g. H-atom migrations) to generate a stable closed-shell species. Most
234 spectroscopic methods are not exclusively sensitive to photochemical ring opening through the
235 identification of the unique electronic structures of the closed-chain reactant and open-chain radical
236 photoproduct. TRXAS offers crucial insights into the electronic structure of open-shell radicals via

237 fingerprint core-to-LUMO/SOMO resonances. This is evidenced in the photochemistry of FFR at 266
 238 nm, where a unique spectrum is observed in the ring-opened carbene because the electronic structure
 239 of carbon atoms bound to the heteroatoms change significantly upon ring opening.²⁶



240

241 **Figure 3:** (a) NEXAFS spectra of photoexcited furfural derived from transient X-ray spectra
 242 measured at negative (<math>< -100\text{ fs}</math>, solid black line), short ($-80\text{ to }20\text{ fs}$, solid blue), intermediate (1.2 to
 243 2 ps, solid green), and long (6.5 to 10 ps, solid red) time delays. The peaks are annotated P1 through
 244 P7 in increasing order of energy. Shaded areas accompanying the solid lines represent 95%
 245 confidence interval limits. (b) Theoretical NEXAFS spectra of ground-state furfural (S_0 , dashed
 246 black), FC-excited region (S_2 , filled-blue), ring-opened CI (filled-green) and the ring-open carbene
 247 photoproduct (filled-red), obtained by a convolution of the underlying, color-coded stick spectra. (c)
 248 LUMO and LUMO+1 orbitals of ground-state FFR and the ring-opened carbene (d) Temporal
 249 evolutions of P1, P6, and P7 are characterized by exponential time-constants indicated in the inset.
 250 (Adapted with permission from ref. 26. Copyright 2018 American Chemical Society)

251 The ground-state NEXAFS spectrum of FFR (equivalent to black trace in Figure 3a) shows a
 252 double-peak structure (P6, 286.4 eV, and a low-energy shoulder P4, 285.1 eV), corresponding to
 253 chemically-shifted $1s \rightarrow \pi^*$ (LUMO) resonances. The TRXAS of FFR over representative time-
 254 windows shows distinct spectral changes from which the photochemical pathway is inferred. A
 255 $S_0 \rightarrow S_2(\pi\pi^*)$ optical excitation at 266-nm induces depletions of the ground-state $1s\pi^*$ (LUMO) core-
 256 excited resonances (P4, P6) at early times (blue, Figure 3a). These depletions occur immediately after
 257 photoexcitation (exponential rise-time of $50 \pm 40\text{ fs}$, Figure 3d), and show constant amplitude up to 10
 258 ps. New peaks identified in the blue trace at 281.6 eV (P1) and 284.0 eV (P3) are assigned to

259 transitions of a 1s-core electron into the hole left in the singly-occupied π -orbital (HOMO) resulting
260 from the valence excitation of FFR. As the photochemical reaction proceeds to intermediate time-
261 delays (> 1 ps, green), the amplitudes of P1 and P3 gradually decrease with the concomitant
262 appearance of two new peaks, P2 (283.3 eV) and P5 (285.8 eV). At the longest measured time-delays
263 (7-10 ps, red), only three new peaks remain at 283.3 eV (P2), 285.8 eV (P5), and 287.5 eV (P7).

264 Insights into the reaction pathway of photoexcited FFR are derived from NEVPT2-simulated
265 NEXAFS spectra for representative points on the potential energy surface. The computed NEXAFS
266 spectrum for the FC-region in the optically bright S_2 state agrees well with the observed early time-
267 delay spectrum, in regard to the positions of P1 and P2. The positions of P2, P5, and P7 observed at
268 the longest time delays are in excellent agreement with the computed NEXAFS (red curve, Figure 3b)
269 of an open-chain carbene. P2 and P5 represent the chemically-shifted, core-LUMO resonances of the
270 ring-open form, whereas P7 is a core-(LUMO+1) resonance. The position of P2 represents a
271 fingerprint core-1s transition into a non-bonding orbital on the carbon atom (Figure 3c).

272 Here, TRXAS is able to directly monitor a heterocyclic ring-opening reaction and extract the
273 relative propensities for reactive ring-opening versus non-reactive ring-closure. Kinetics of P1 (Figure
274 3d) reveals an exponential decay constant of 330 ± 60 fs, which represents the excited-state lifetime.
275 The ring opening is characterized by the exponential rise of P7, found to be 340 ± 25 fs. Absence of
276 recovery in the ground-state depletion up to the longest timescales measured (~ 10 ps) indicates that
277 non-reactive internal conversion to the ground-state does not occur or is a minor channel ($< 10\%$). In
278 contrast, mass-gated photoionization probes are not sensitive to differentiating between structural
279 isomers. TRXAS of FFR lays an initial groundwork for the application of X-ray core-level
280 spectroscopy to a broad, general class of ring-opening photochemical reactions in organic
281 heterocycles.

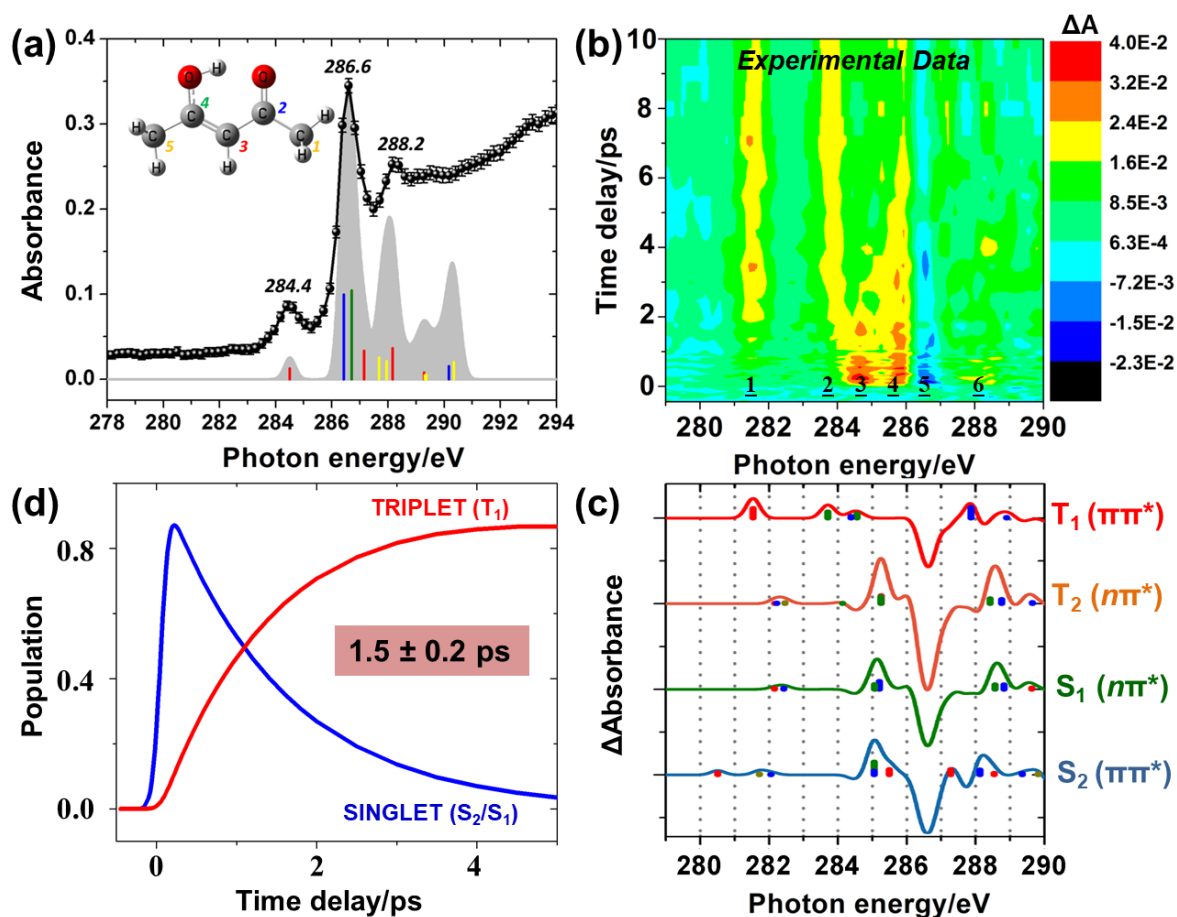
282 In the future, EXAFS studies will also be valuable for determining changes in the nuclear
283 structure and will significantly advance the capabilities of table-top sources at the carbon K-edge.
284 Time-resolved X-ray scattering^{21,27} and Coulomb explosion imaging^{28,29} are also powerful
285 complementary methods of imaging the evolving molecular structure in photochemical reactions. A
286 combination of these methods can potentially unravel the relative contributions of the ring-puckering

287 and ring-opening pathways in the photochemistry of five-membered heterocyclic rings. An increase in
288 the temporal resolution via pump (266 nm) pulse compression and using isolated attosecond pulses at
289 the carbon K-edge^{30,31} will open exciting new possibilities for probing wavepacket dynamics at CIs.³²
290 With improvement in the flux of the carbon K-edge probe and the realization of a broadband, energy-
291 tunable ‘water-window’ high-harmonic source, complementary studies at multiple absorption edges
292 will enable the quantitative estimation of branching ratios and multi-channel quantum yields in
293 photoinduced chemical reactions.

294 **5. Ultrafast Non-adiabatic Dynamics - Internal Conversion (IC) in Thymine and Intersystem** 295 **Crossing (ISC) in Acetylacetone, AcAc**

296 IC and ISC are two prominent electronic relaxation pathways that critically govern the final
297 outcomes of photoinduced reactions. If the non-adiabatic population transfer involves states of distinct
298 electronic character, TRXAS can be a very valuable probe. For example, 266-nm photoinduced
299 dynamics in the nucleobase thymine directly populates an $S_2(\pi\pi^*)$ state from which IC to $S_1(n\pi^*)$
300 occurs. This leads to a build-up of electron density from the delocalized π -bonding orbital to the
301 localized non-bonding orbital of the heteroatom, evidenced by a new $1s(O)\rightarrow n(O)$ transition at the
302 oxygen K-edge (TRXAS experiment, LINAC light source).³³ Specifically, a time-delayed peak
303 appears at 526.4 eV, which is red-shifted from the $1s(O)\pi^*$ ground-state thymine resonances
304 (531.4/532.2 eV). This peak arises from a $1s(O)\rightarrow n(O)$ transition in the $n\pi^*$ state. Since the optical
305 excitation is of $\pi\pi^*$ nature, the delayed rise (60 ± 30 fs) of this peak is a direct measure of the IC
306 ($\pi\pi^*\rightarrow n\pi^*$) timescale.

307 We now turn to a general class of unsaturated carbonyl compounds (α,β -enones), of which
308 AcAc is a prominent example. The high spin-orbit couplings in this class of molecules make them
309 particularly prone to ISC from the singlet to the triplet manifold. At 266 nm, AcAc is known to
310 eventually undergo a hydroxyl radical elimination reaction (~ 250 ps). The reaction pathway is
311 theoretically shown to involve up to four excited-states - two singlets ($S_2, \pi\pi^*$ and $S_1, n\pi^*$) and two
312 triplets ($T_2, n\pi^*$ and $T_1, \pi\pi^*$). The role of the triplet state in AcAc photochemistry has evaded
313 experimental detection. TRXAS of AcAc show remarkable sensitivity to ISC and reveal its timescale
314 to be much faster than previously thought.³⁴



315

316 **Figure 4:** (a) NEXAFS spectrum of AcAc in the S_0 state (solid black line, error bars denote 95%
 317 confidence interval limits). A convolution (filled-gray) of the color-coded TDDFT stick spectrum of
 318 AcAc is shown for comparison (b) Two-dimensional contour map of the experimentally measured
 319 266 nm-induced excited-state dynamics in AcAc shows six peaks (labelled 1–6). The color key on the
 320 right provides the scale for the measured differential absorption (ΔA). (c) Computed (TDDFT) soft X-
 321 ray differential absorption spectra of the excited electronic states. (d) A global fit of the experimental
 322 data provides an ISC time-constant of 1.5 ± 0.2 ps. (Adapted with permission from ref. 34. Copyright
 323 2018 American Chemical Society)

324 The ground-state NEXAFS of AcAc (Figure 4a) shows two chemically-shifted
 325 $1s \rightarrow \pi^*$ (LUMO) resonances at 284.4 eV and 286.6 eV (a third peak at 288.2 eV consists of
 326 overlapping $1s$ -core electronic transitions into higher-lying valence orbitals). TRXAS at the carbon K-
 327 edge (Figure 4b) maps the evolution in the peaks observed at the near-edge and offers a means to
 328 elucidate the photochemical reaction pathway. Immediately upon photoexcitation ($S_0 \rightarrow S_2$ at 266 nm),
 329 a depletion of the $1s\pi^*$ ground-state resonance (286.6 eV, peak 5) is noted alongside the appearance
 330 of three new peaks at 284.7 eV (peak 3), 285.9 eV (peak 4), and 288.4 eV (peak 6) due to excited-
 331 state absorption. All four peaks (3-6) are observed to gradually decay as new peaks appear at 281.4
 332 eV (peak 1) and 283.8 eV (peak 2). The decay of peak 3 and the concomitant appearance of a lower-

333 energy peak 2 manifests as a red-shift in the spectrum in the 1-2 ps time-window. This timeframe also
334 marks the onset of peak 1, and these two features together constitute real-time observation of ISC in
335 AcAc, corroborated by time-dependent DFT calculations (Figure 4c). A global fit using a two-state
336 sequential model retrieves an ultrafast ISC timescale of 1.5 ± 0.2 ps (Figure 4d) in AcAc. It must be
337 noted that an $S_1(n\pi^*)\rightarrow T_1(\pi\pi^*)$ ISC pathway is allowed by El-Sayed's rules but forbidden to $T_2(n\pi^*)$.
338 The initial IC step is better suited to experimental detection at the oxygen K-edge as the S_1 state has
339 $n\pi^*$ character. Nonetheless, the experiments suggest that the $S_2\rightarrow S_1$ IC likely occurs within the IRF
340 (sub-100 fs).

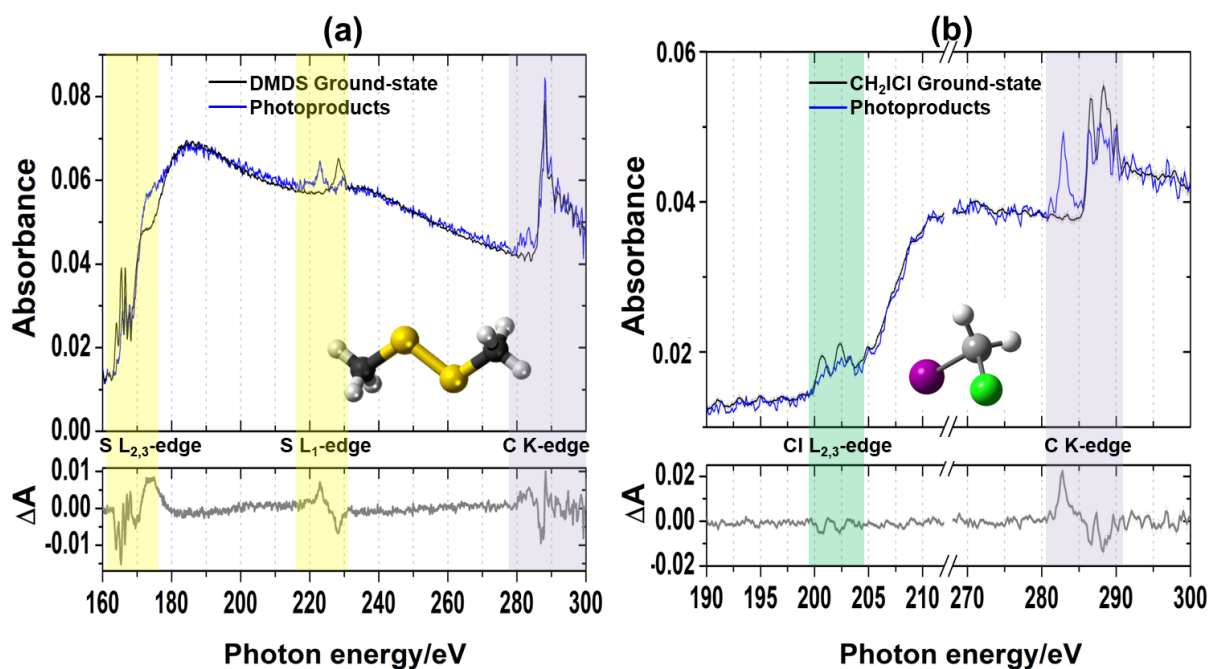
341 The photoinduced dynamics of AcAc is also recently reported using TRPES with a universal
342 ionization source at a seeded FEL (FERMI).³⁵ A recent breakthrough in FERMI, implementing
343 control over the pulse energy jitter, enabled this study. Using pulses with sub-50 fs time resolution,
344 the crucial steps in the evolution of the wavepacket were revealed and found to be consistent with the
345 TRXAS experiments; namely an ultrafast IC to S_1 (~50 fs), complete transfer to S_1 (~ 500 fs) and ISC
346 to T_1 (~3 ps). Ongoing developments and advancements in the generation of bright X-ray pulses at
347 higher repetition rates and shorter pulse durations in FELs will enable routine investigations of
348 complex photochemical reactions. Nonetheless, a table-top HHG source presents an attractive route
349 for laboratory-based measurements of diverse photochemical reactions, with a large degree of
350 tunability and control over the experimental conditions for systematic characterizations.

351 **6. Ultrafast bond dissociation and radical formation – Dimethyl disulfide (DMDS) and CH_2ICl**

352 Broadband X-ray pulses produced by an HHG source are particularly well-suited for TRXAS
353 exploiting multiple functional groups or chemical sites. This is demonstrated in the 266 nm-
354 photodissociation of DMDS, studied simultaneously at the sulfur $L_{1,2,3}$ edges and the carbon K-edge
355 on our table-top source.³⁶ An $S_0\rightarrow S_1(n\sigma^*)$ excitation in DMDS at 266 nm can lead to a C-S or an S-S
356 bond dissociation to produce methyl ($\text{CH}_3\cdot+\text{CH}_3\text{SS}$) or methylthiyl ($\text{CH}_3\text{S}\cdot$) radicals. TRXAS of
357 photoexcited DMDS reveals an ultrafast S-S bond fission to form methylthiyl radicals.³⁶ Similarly, a
358 C-I bond photodissociation of CH_2ICl at 266 nm ($S_0\rightarrow S_1$) allows the characterization of the CH_2Cl
359 radical at both the chlorine $L_{2,3}$ - and carbon K-edges.³⁷

360 The NEXAFS of DMDS (Figure 5a) shows a very rich spectrum at the sulfur $L_{2,3}$ edge and
361 somewhat simpler spectra at the sulfur- L_1 and carbon-K edges. This is because of the spin-orbit
362 splitting at the sulfur $L_{2,3}$ edge; the peaks in this region, which correspond to the excitation of 2p
363 electrons into $\sigma^*(S-S)$ or $\sigma^*(C-S)$ orbitals, are split by the sulfur $2p_{1/2}/2p_{3/2}$ energy difference (1.6 eV).
364 TRXAS shows the measured $2p^{-1}\sigma^*(S-S)$ resonances that deplete immediately after photoexcitation,
365 indicating a fast S-S bond dissociation. A core(2s)-3p(SOMO) resonance of methylthiyl radical is
366 clearly identified at the sulfur L_1 -edge at 223.0 eV and rises on a 120 ± 30 fs timescale. The spectra
367 measured at the carbon K-edge are also consistent with the formation of $CH_3S\cdot$ and provide a limit
368 ($\sim 30\%$) for a secondary channel involving the methyl radical.

369 In a similar experiment on CH_2ICl photodissociation (Figure 5b), the electronic structure of
370 the $\cdot CH_2Cl$ radical is characterized both at the carbon and chlorine edges and shows a sub-100 fs rise.
371 An improved temporal resolution will provide access to the transition-state region and conical
372 intersections in fast dissociation reactions. For example, femtosecond extreme-ultraviolet transient
373 absorption spectroscopy with the same HHG set-up has been used to identify transients in the A-band
374 photodissociation of methyl iodide and allyl iodide at the Iodine $N_{4/5}$ edge (45-50 eV), representing
375 $4d \rightarrow n$ and $4d \rightarrow \sigma^*$ core-to-valence transitions arising from the valence-excited states.^{38,39} The ability
376 of TRXAS to probe a photochemical reaction at the absorption edges of two or more constituent
377 atoms illustrates the universality and general applicability of the method.



378

379 **Figure 5:** (a, top) XAS of ground-state DMDS (black) and photoexcitation products (blue); (bottom)
 380 differential XAS (pump-on minus pump-off) of DMDS at long time-delays (300-2000 fs). Shaded
 381 areas from left to right indicate the sulfur $L_{2,3}$ edge (yellow), sulfur L_1 -edge (yellow), and the carbon
 382 K-edge (gray). (Adapted from ref. 36) (b, top) XAS of ground-state CH_2ICl (black) and $\cdot\text{CH}_2\text{Cl}$ (blue)
 383 at long delays; (bottom) differential XAS of CH_2ICl at long delays (400-1000 fs). Shaded areas
 384 denote the chlorine $L_{2,3}$ edge (green) and the carbon K-edge (gray). (Adapted with permission from
 385 ref. 37. Copyright 2018 American Chemical Society)

386 7. Conclusion

387 Recent chemical applications of femtosecond transient absorption spectroscopy at the carbon
 388 K-edge break new ground in the study of organic molecular photochemistry using a table-top HHG
 389 source. Direct probing of the constituent atoms makes the technique universally applicable to organic
 390 molecules, in pure hydrocarbons and heteronuclear compounds. The unique sensitivity of the
 391 technique to the evolving valence-electronic structure is revealed through ultrafast ring-opening
 392 reactions, non-adiabatic reaction pathways, and bond dissociations. The localized nature of the probe,
 393 coupled with the femtosecond-to-attosecond pulse durations, means that complex photoinduced
 394 dynamics will become viable in the near future. The implications are profound, impactful, and far-
 395 reaching – from direct visualizations of the ultrafast dynamics at conical intersections to ultrafast
 396 charge migrations and orbital renormalizations following electronic excitation or ionization. Access to
 397 multiple absorption edges, encompassing but not necessarily restricted to the water-window region,

398 will inform our understanding of the elementary steps in chemical reactions, bringing significant
399 rewards to the fields of experimental photochemistry and photobiology.

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416 Riedle.

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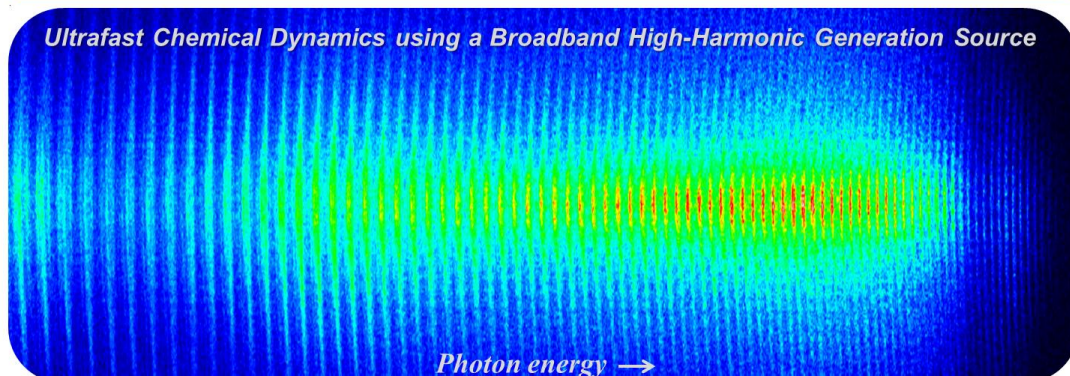
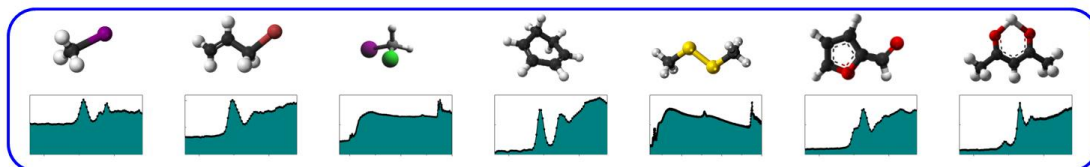
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