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Climate regime shift and forest loss amplify fire in Amazonian forests Running Title: Climate shift and forest loss amplify fire

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1 Abstract

2 Frequent Amazonian fires over the last decade have raised the alarm about the fate of 3 the Earth's most biodiverse forest. The increased fire frequency has been attributed to altered 4 hydrological cycles. However, observations over the past few decades have demonstrated 5 hydrological changes that may have opposing impacts on fire, including higher basin-wide 6 precipitation and increased drought frequency and severity. Here, we use multiple satellite 7 observations and climate reanalysis datasets to demonstrate compelling evidence of increased 8 fire susceptibility in response to climate regime shifts across Amazonia. We show that 9 accumulated forest loss since 2000 warmed and dried the lower atmosphere, which reduced 10 moisture recycling and resulted in increased drought extent and severity, and subsequent fire. 11 Extremely dry and wet events accompanied with hot days have been more frequent in 12 Amazonia due to climate shift and forest loss. Simultaneously, intensified water vapor 13 transport from the tropical Pacific and Atlantic increased high-altitude atmospheric humidity 14 and heavy rainfall events, but those events did not alleviate severe and long-lasting droughts. Amazonia fire risk is most significant in the southeastern region where tropical savannas 15 16 undergo long seasonally dry periods. We also find that fires have been expanding through the 17 wet-dry transition season and northward to savanna-forest transition and tropical seasonal 18 forest regions in response to increased forest loss at the "Arc of Deforestation". Tropical forests, 19 which have adapted to historically moist conditions, are less resilient and easily tip into an 20 alternative state. Our results imply forest conservation and fire protection options to reduce the 21 stress from positive feedback between forest loss, climate change, and fire.

22

23 Keywords: Amazonia; Climate shift; Drought; Forest loss; Savanna; Seasonal forest; Fire

24 **1. Introduction**

25 Amazonian forests have been under serious and increasing threats from extensive 26 climate change, deforestation, and fires. The major concern, primarily based on global climate 27 model simulations, is that Amazonia and its surroundings are anticipated to experience warmer 28 and potentially drier climate (Christensen et al., 2013). The resulting climate-induced plant 29 water stress is likely to cause forest canopy dieback and increase fire susceptibility during this 30 century (Malhi et al., 2009). Two opposing hydrological trends that affect fire susceptibility 31 have been observed within recent decades. First, the hydrological cycle has intensified as 32 evidenced from increased Amazon river discharge and basin-wide precipitation in the past 33 several decades (Gloor et al., 2013; Skansi et al., 2013). Second, in contrast, increased drought 34 frequency and severity has been reported (Fu et al., 2013), which enhances forest flammability 35 and suppresses tree growth (Nepstad et al., 2004).

36 Changes in the Amazonian hydrological cycle have been attributed to large scale 37 climate change, deforestation, or their interactions (Trenberth et al., 2014; Zhang et al., 2017). 38 The intensified wet season precipitation in the last three decades coincided with a warming 39 tropical Atlantic that increased atmospheric water vapor transport to Amazonia (Gloor et al., 40 2013). Strong tropical Atlantic warming and tropical Pacific cooling strengths the Walker 41 circulation, which causes wet seasons to be wetter and dry seasons to be drier (Barichivich et 42 al., 2018). These results, and others (Espinoza et al., 2019), indicate that the combined impact 43 of the tropical Pacific and Atlantic oceans on Amazonian drought is complex and are linked to 44 phases of the El Niño-Southern Oscillation (ENSO) and the North Atlantic Oscillation (NAO) 45 (Yoon & Zeng, 2010).

Changes in hydrological dynamics in Amazonia due to deforestation have been the
focus of many studies (e.g., (Cavalcante et al., 2019; Chambers & Artaxo, 2017; D'Almeida et
al., 2007; Staal et al., 2020)). About one-third of the moisture that forms precipitation over the

49 Amazonia is locally supplied through recycling of evapotranspiration (Davidson et al., 2012). 50 Deforestation causes a decline in both evapotranspiration from deforested regions and downwind transport of water vapor (Ellison et al., 2017). As a result, deforestation reduces 51 52 precipitation and increases the amplitude of droughts in the region (Bagley et al., 2013). 53 Extensive deforestation significantly alters river discharge and flood-pulse magnitude (Coe et 54 al., 2009). The loss of vegetation canopy can lead to increased runoff due to reduction in canopy 55 interception and evapotranspiration (Clark, 1987), which increases surface runoff and 56 amplifies flood risk and severity (Bradshaw et al., 2007). Fragmented forests due to 57 deforestation are prone to drought and flood damage, which exacerbates tree mortality and fire 58 vulnerability (Laurance & Williamson, 2001).

59 Risks of fire increase as forests become stressed by climate change and forest loss. 60 Shifted seasonality (Fu et al., 2013) and extreme weather and climate events (Negrón-Juárez 61 et al., 2018; Nepstad et al., 2007) increase tree mortality. Trees are particularly vulnerable to 62 repeated and prolonged droughts (Phillips et al., 2010; Taufik et al., 2017). The process of 63 reducing live canopy fuels and increasing dead fuels influences the spread and intensity of 64 fires (Stephens et al., 2018). Deforestation leads to increases in downed woody debris and 65 therefore greater fuel mass (Uhl & Kauffman, 1990). Furthermore, forest fragments resulting 66 from deforestation and agricultural expansion are fire-prone because they are often adjacent 67 to cattle pastures and are therefore drier and warmer (Laurance et al., 2018) and more often 68 logged and burned (Laurance & Williamson, 2001). Tree mortality due to climate change or 69 deforestation allows sunlight to heat the forest floor and dry out litter fuel, making it more 70 flammable (Messina & Cochrane, 2007).

Although climatic and anthropogenic threats facing Amazonian forests have caused
 great concern among scientific communites, current understanding of linkages between climate
 change and deforestation-induced hydrological changes and fire susceptibility are isolated and

qualitative, based mainly on reviews of individual studies (Cochrane & Barber, 2009; Davidson
et al., 2012; Malhi et al., 2008; Nobre et al., 2016). Here we use multiple satellite observations
and climate reanalysis datasets to explore spatial and temporal changes in Amazonia fire
regime in that experiences seasonal dry period and examine responses to climate regime shifts
and forest loss.

79 **2. Data and Methods**

80 2.1 Climate regime analysis

81 The Amazonia extends over three tropical climate zones (Supplementary Figure S1a): 82 tropical rainforest (Af), tropical monsoon (Am), and tropical winter dry (Aw) climates 83 according to Köppen-Geiger climate classification for 1951-2000 period at 0.5-degree (Beck 84 et al., 2018). Tropical rainforest climate is wet and humid year-round with dominant land cover 85 of tropical rainforest. The tropical monsoon and tropical winter dry climates are characterized 86 by contrasting wet and dry seasons. The dry and wet seasons extend over August-October and 87 January-March, respectively, with other months transitioning between wet-dry and dry-wet 88 seasons. The land cover in the winter dry zone is dominated by tropical savannas. Tropical 89 seasonal forests or monsoon forests, which are in the transitional region between rainforests 90 and savannas, dominate the tropical monsoon zone (Wright et al., 2017).

91 We calculated the 30-year (1961-1990) mean climate of the Am and Aw in the south 92 of Af where Am and Aw share a similar seasonal precipitation pattern, despite precipitation 93 gradient from the northwest to southeast across Am and Aw. Monthly total precipitation was 94 calculated from 0.5-degree Global Precipitation Climatology Centre (GPCC) monthly precipitation dataset (Schneider et al., 2011). Monthly mean temperature was calculated from 95 96 0.5-degree GHCN CAMS Gridded 2 m temperature (Fan & van den Dool, 2008). We defined 97 three three-month periods with distinctive precipitation patterns for our analysis: (1) January 98 to March, with the highest precipitation, is the wet season; (2) August to October, with lowest precipitation, is the dry season; and (3) May to July, with gradual increases in precipitation, is
the transition season (Supplementary Figure S1b-e).

101 The 2 m air temperature, precipitation, column water vapor, vertically integrated water 102 vapor transport, meridional and zonal wind at 850 hpa were analyzed with European Centre 103 for Medium-Range Weather Forecasts (ECMWF) ERA5 monthly reanalysis at 0.25-degree 104 (ERA5, 2019). All variables were resampled to 0.5-degree using 2×2 0.25-degree grids to 105 accommodate the resolution of Köppen-Geiger climate classification. The relative changes of 106 all the variables between two periods, 1981-2000 and 2001-2018, were calculated for Am and 107 Aw. Probability density functions (Weibull distribution) of monthly mean air temperature and 108 monthly total precipitation were analyzed for wet, transition, and dry seasons in 1981-2000 109 and 2001-2018 periods. Changes in 1000 hpa to 500 hpa relative humidity between 2001-2018 110 and 1981-2000 were calculated to quantify the vertical profile of moisture change.

111 Drought conditions in Am and Aw were analyzed with the Self-calibrating Palmer 112 Drought Severity Index (scPDSI) (Barichivich et al., 2019; van der Schrier et al., 2013). 113 scPDSI was calculated from precipitation and temperature time series with fixed parameters 114 related to soil and surface characteristics at each gridcell. Dry and wet conditions were 115 classified into 11 categories (Table S1) as defined by Palmer for the PDSI (Palmer, 1965). 116 scPDSI in this study spanning the period 1981-2018 was calculated using CRU monthly 117 surface climate data version CRU TS4.03 at 0.5-degree. Time series of scPDSI for wet, 118 transition and dry seasons in the entire Am and Aw region were calculated. The probability 119 density functions (kernel distribution) of scPDSI were analyzed for wet, transition, and dry 120 seasons in 1981-2000 and 2001-2018 periods.

121 **2.2 Biophysical impact of forest loss**

122 The global forest change (GFC) dataset (Hansen et al., 2013) at 30-meter resolution, 123 between 2000 and 2017 provides forest cover information in 2000 and forest loss and gain for 124 each year during the period. Forest loss and gain are defined as the transition from forest to 125 non-forest, and non-forest to forest, respectively, by taking forest cover in 2000 as a base 126 condition. We resampled forest cover and forest change to 0.05-degree and calculated the 127 percent of forest cover in 2000 and accumulative forest loss since 2000 in each 0.05-degree 128 grid.

129 We distinguished disturbed forests from pristine forests and compared observationally-130 derived evapotranspiration and land surface temperatures between them for Am and Aw to 131 explore the impact of forest loss on local temperature and water conditions. Disturbed forest 132 and pristine forests were screened with 0.05-degree grids by a window searching method (Li 133 et al., 2015). Disturbed forests are identified according to the criteria: (a) forest cover (F_{cover}) 134 in 2000 was greater than 70% and (b) the accumulated loss (F_{loss}) during 2001-2017 was greater than 65%. Pristine forest is identified according to the criteria (a) F_{cover} in 2000 was greater 135 136 than 70% and (b) F_{loss} during 2000-2017 was less than 5%. We then searched 10×10 0.05-137 degree grids within each 0.5-degree gridcell with the assumption that the background climate 138 is similar in each 0.5-degree gridcell. If disturbed and pristine forests were both present in the 139 same 0.5-degree gridcell, this 0.5-degree gridcell is valid for comparison of the land surface 140 temperature, water, and energy fluxes between disturbed and pristine forests to analyze 141 biophysical impacts of forest loss. As a result, 33 gridcells for Am and 183 grids for Aw were 142 used to calculate the biophysical impacts of forest loss.

We used Moderate Resolution Imaging Spectroradiometer (MODIS) Aqua 8-day Land
Surface Temperature and Emissivity (LST&E) L3 Global products (MYD11C2 Version 6)
(Wan, Hook, & Hulley, 2015) to quantify the land surface temperature changes due to forest
loss. MYD11C2 is configured on a 0.05-degree latitude/longitude climate modeling grid.
MODIS Bidirectional Reflectance Distribution Function and Albedo (BRDF/Albedo)

148 dataset (MCD43C3 Version 6) (Schaaf & Wang, 2015) were used to quantify albedo changes

due to forest loss. MCD43C3 is produced daily using 16-day of Terra and Aqua MODIS data in a 0.05-degree Climate Modeling grid. MCD43C3 provides black-sky albedo (directional hemispherical reflectance) and white-sky albedo (bihemispherical reflectance) at local solar noon. Actual clear sky albedo (blue-sky albedo) is calculated as the mean of black-sky and white-sky albedo because of their small differences and high correlation (Li et al., 2015).

154 We used the MODIS evapotranspiration and latent heat flux product (MOD16A2 Version 155 6) (Running et al., 2017) of 8 day temporal resolution and 500 m spatial resolution to quantify 156 evapotranspiration changes due to forest loss. MOD16A2 collection is derived based on the 157 logic of the Penman-Monteith equation by using daily meteorological reanalysis data with 158 MODIS vegetation property dynamics, albedo, and land cover. We resampled MOD16A2 from 159 500 m to 0.05-degree using bilinear interpolation provided by MODIS reprojection tool (MRT). 160 We assume the background climate is similar in each 0.5-degree grid, so that the 161 temperature and energy fluxes difference in disturbed (D) and pristine (P) 0.05-degree grids in 162 the same 0.5-degree grid is caused by the forest loss. The land surface temperature change 163 $(\triangle LST)$ due to accumulated forest loss was calculated as the difference of LST in disturbed 164 forest grids (LST $_{\rm D}$) and LST in pristine forest grids (LST $_{\rm P}$) in 2017,

$$\triangle LST = LST_{\rm D} - LST_{\rm P} \tag{1}$$

165 $\triangle LST$ was calculated with MODIS Aqua 8-day Land Surface Temperature and 166 Emissivity (LST&E) L3 Global products (MYD11C2 Version 6).

167 The evapotranspiration change ($\triangle ET$), latent heat change ($\triangle LE$) and albedo change (\triangle 168 *Albedo*) due to accumulated forest change were calculated following the same way as LST,

$$\triangle ET = ET_{\rm D} - ET_{\rm P} \tag{2}$$

$$\triangle LE = LE_{\rm D} - LE_{\rm P} \tag{3}$$

$$\triangle Albedo = Albedo p - Albedo p \tag{4}$$

169 The subscripts D and P denote the disturbed and pristine forest grids, respectively. $\triangle ET$ and 170 $\triangle LE$ were calculated with MODIS evapotranspiration and latent heat flux product (MOD16A2 171 V6). $\triangle Albedo$ was calculated from Equation (4) with MODIS Bidirectional Reflectance 172 Distribution Function and Albedo (BRDF/Albedo) dataset (MCD43C3 V6).

173 The surface shortwave net radiation change (\triangle SSNR) between disturbed and pristine 174 forest grids was calculated as,

$$\triangle SSNR = (1 - Albedo_{\rm D}) \times S_{\rm in} - (1 - Albedo_{\rm P}) \times S_{\rm in}$$
(5)
= -\(\triangle Albedo \times S_{\rm in}\)

where S_{in} is the downward shortwave radiation from CERES EBAF Surface Product. Under
clear sky conditions, S_{in} is assumed homogeneously distributed in the 0.5-degree grid.

177 **2.3 Fire regime analysis**

178 We used two burn area (BA) datasets to analyze the spatial and temporal variation of 179 fire burn area within and across the south boundary of Amazon basin. The ESA Fire Climate Change Initiative (Fire CCI) Dataset Collection (Otón et al., 2019) is generated from Advanced 180 Very High Resolution Radiometer (AVHRR) images under the land long term data record 181 182 (LTDR) project. Fire CCI spans from January, 1982 to December, 2017 with monthly 183 resolution. BA in 1994 was excluded due to data quality issues. The spatial resolution of Fire 184 CCI is 0.05-degree. Global Fire Emissions Database, Version 4.1 (GFEDv4) (Randerson et al., 185 2018) provides monthly burned area over June, 1995 - December, 2016 of 0.25-degree spatial resolution. We integrated the total burn area in each 0.5-degree for Fire CCI (10×10 0.05-186 187 degree) and GFEDv4 (2×2 0.25-degree) to accommodate the spatial resolution of Köppen-Geiger climate classification and other climate reanalysis data. The 1982-2017 annual mean 188 fraction of burned area and monthly mean burned area were plotted with Fire CCI. We used 189 190 GFEDv4 from January 2001 to December 2016, to compare burned fraction and area with that by Fire CCI. Fire CCI and GFEDv4 showed comparative spatial pattern and burned area during
2001-2016 (Table S2).

The annual mean burned area (Ba) in 2001-2017 is compared with that in 1982-2001 to examine the spatial pattern of burned area change in dry and transition season. The number of gridcell with increased burned area (\triangle Ba>0) and disturbed forest is counted to evaluate the tendency of burning with forest loss. We further classify the forest loss fraction to four levels, i.e., 0-10%, 10-20%, 20-30%, 30-40% and >40% to identify the probability of increased burn area.

199 **3. Results**

200 **3.1 Decadal climate regime shift to intensified climate extremes**

201 In the past four decades, Amazonian climate has experienced abrupt changes. Mean 202 monthly precipitation after 2001 increased by 3.3-14.5% in different seasons and climate zones 203 compared to that during 1981-2000 (Figure 1a-b). The increased precipitation coincided with 204 higher total column moisture content, which is consistent with enhanced water vapor transport 205 from the tropical Pacific to the whole basin in the wet season and from the tropical Atlantic to 206 southeastern Amazonia in the transition and dry seasons (Figure 2) between 2001and 2018. In 207 both Am and Aw, enhanced precipitation was mainly in the form of intensified precipitation 208 (Figure 1c-d). In Am, low precipitation frequency and rain-free days also increased, 209 particularly in the dry season.

The increased frequency of extremely low and high precipitation was accompanied by warming in Am and Aw, with near-surface air temperatures (2-m temperature) rising at about ~0.10°C decade⁻¹ and ~0.12°C decade⁻¹, respectively. As a result, annual mean air temperature in the recent two decades (2001-2018) was about 0.20 °C higher in Aw and 0.24 °C higher (increased by 0.90%) in Am, compared to 1981-2000. The warming in both Am and Aw was 215 mainly contributed by increased occurrence of high temperatures in the wet and transition 216 seasons, while in the dry season the warming was mainly due to decreased occurrence of low 217 temperatures (Figure 1e-f).

218 The shifted seasonal temperature and precipitation distributions coincided with more 219 extremely dry and wet conditions in Am and Aw despite the increased total precipitation and 220 column water vapor content. The drought severity and extent both increased according to the 221 self-calibrating Palmer Drought Severity Index (scPDSI) (Barichivich et al., 2019; van der 222 Schrier et al., 2013) (Figure 3). The regional mean scPDSI over the periods 1999-2004 and 223 2009-2015 indicated sustained drought conditions (scPDSI < -0.5) (Figure 3a-c). On average, 224 the areal extent of (1) normal and near-normal drought conditions $(-1 \le \text{scPDSI} \le 1)$ have been 225 decreasing at 2.0-4.8% decade⁻¹ (p < 0.001); (2) slight to extremely dry conditions (scPDSI < -1) have been increasing at 1.9-4.9% decade⁻¹ ($p \le 0.01$); and (3) slightly to extremely wet 226 conditions (scPDSI > 1) have been increasing at 0.7-1.7% decade⁻¹ (insignificant, 0.4)227 228 (Supplementary Figure. S2). Over the four decades, drought conditions became more severe 229 in dry and transition seasons and extended into wet and transition seasons.

230 Between 1981-2000, the seasonal scPDSI probability distribution function had regular 231 unimodal patterns, i.e., mean water availability in all seasons were near normally distributed 232 with a peak toward being slightly wet (0.3 < scPDSI < 0.7). However, the scPDSI pattern 233 evolved to be more bimodal after 2000, mainly due to increased occurrence of drought. The 234 near-normal condition (-0.5 \leq scPDSI \leq 0.5) shifted to more dry conditions (-2 \leq scPDSI \leq -235 0.5) in Am, and moderately dry and extremely dry conditions in Aw (scPDSI < -2) (Figure 236 3d-i). In Aw, droughts in transition and dry seasons expanded to a larger area. Even in the wet 237 season, moderate and extreme droughts increased significantly.

238 **3.2** Warmer and drier lower atmosphere due to forest loss

The Amazonia has experienced intensive forest loss due to deforestation, climate change, and fire. Since the 20th century, reduction in forest cover mainly occurred at "Arc of Deforestation" (Malhi et al., 2008) in eastern and southern Amazonia (**Figure 4a**). The forest loss rates across Af, Am, and Aw have been estimated to be $47.8\pm14.9 \ 10^3 \ \text{km}^2 \ \text{yr}^{-1}$ during 2001-2017, in which $11.9\pm3.5\%$ of the losses were in tropical rainforest, $23.5\pm3.2\%$ in tropical seasonal forest, and $64.6\pm5.3\%$ in tropical savannas according to the global forest change dataset.

246 Accumulated forest loss during 2000-2017 resulted in a decline in ET (Figure 4b-c). Annual ET decreased by -76.1±80.5 mm y⁻¹ and -213.3±88.1 mm y⁻¹ for Am and Aw, 247 248 respectively. The decreased ET occurred across the transition and dry seasons, with maximum decreases in August for Am (-34.9±20.1 mm month⁻¹) and Aw (-36.8±20.1 mm month⁻¹), 249 respectively. A direct impact of declining ET is reduced atmospheric specific humidity. The 250 251 observational reanalysis record is consistent with this effect, with the atmosphere drying to an 252 altitude corresponding to 875-850 hpa in transition and dry seasons (Figure 2d-e). The near-253 surface (i.e., below 850 hpa) mean humidity in the transition and dry seasons over 2001-2018 deceased by 0.91% and 1.15% for Am and Aw, respectively, relative to 1981-2000. 254

255 ET requires a substantial amount of energy to vaporize water. ET reductions not only 256 limit water vapor contributions to the lower atmosphere, thereby reducing moisture buffering 257 of temperature changes, but also substantially diminishing surface latent heating. The forest loss caused reductions in annual mean latent heat between 2001-2017 of -5.9 ± 6.3 Wm⁻² and -258 16.6±6.8 Wm⁻² in Am and Aw, respectively. The seasonal pattern of latent heat changes due 259 260 to forest loss were similar to that of ET, with major reductions in the transition and dry seasons, and maximum reductions in August of -31.8±18.4Wm⁻² and -33.7±18.4Wm⁻² for Am and Aw, 261 respectively (Supplementary Figure S3a-b). 262

263 The surface warming impacts of reduced latent heating can be partially offset by the 264 cooling impacts of forest loss induced surface albedo change. Accumulated forest loss 265 increased surface albedo in 2001-2017, thereby decreasing annual mean SSNR by -5.8±1.7 W m⁻² and -7.2±1.6 W m⁻² for Am and Aw, respectively. This cooling impact is 266 267 lower in the dry season when the forest canopy is sparser than in the wet season (Supplementary 268 Figure S3c-d) and can also be reduced by decreasing cloud cover (and thereby reduced ET 269 (Bala et al., 2007)). The tropical forest loss induced ET and albedo changes and their 270 subsequent cloud and greenhouse gas feedbacks have a net warming impact at the regional 271 scale (Figure 4d-e). The accumulated forest loss between 2001-2017 was coincident with an 272 annual mean LST change of 0.9±0.5°C and 1.2±0.7°C for Am and Aw, respectively. The warming is most significant in the dry season from August to November. 273

274 **3.3** Fire expansion due to climate shift and forest loss

275 The fire burned area across Amazonia is mainly distributed across the southern boundary 276 of the Amazon basin in Am and Aw (Figure 5a). Between 1982 and 2017, ~93% of the mean 277 annual burned area occurred in Aw and ~94% of fires occurred in the dry season with peak 278 burn from August to October (Figure 5b). Despite interannual variation, the annual total 279 burned area $(178.5\pm65.4\ 10^3\ \text{km}^2)$ in Am and Aw was relatively stable between 1982 and 2017. 280 The burned area in Am expanded between 2001 and 2017 relative to between 1982 and 2000. 281 The expanded burn occurred mainly in the transition season between May and August, when 282 the mean fraction of burned area in Am increased from $3.7\pm3.7\%$ (1982-2000) to $5.4\pm3.4\%$ 283 (2001-2017) with a maximum increase in May (about doubling; Figure 5c).

The disturbed forests in the transition season are more prone to burning than in wet and dry seasons. In the transition season, 72% of Aw and 68% of Am (compared to 53% of Aw and 47% of Am in dry season) disturbed forests showed fire increases during 2001 - 2017,

287 compared to during 1982 - 2000. The burned area increased mainly across the southern 288 boundary of the Amazon basin at "Arc of Deforestation" (Supplementary Figure S4). However, 289 the burned area decreased in the southern Aw where the climate is much drier and experienced 290 a more intensive drying trend than in Am and northern Aw. Compared to the dry season, 291 transition season fires clearly expanded within and across the southern boundary of the basin 292 where forests are highly disturbed. The fraction of increased burned area is largely dependent 293 on the fraction of forest loss (Figure 6). The increased burn rates are higher in the transition 294 seasons than in the dry seasons, particularly in the seasonal forests. Furthermore, the seasonal 295 forests had obvious increases in fires in response to forest loss, while responses to increased 296 tree losses in savannas were relatively stable.

4. Discussion

298 The Atlantic Ocean supplies two-thirds of Amazonia precipitation (Davidson et al., 2012). Amazonia wetting in recent decades has been attributed to increasing atmospheric water 299 300 vapor transport from the warming tropical Atlantic (Gloor et al., 2013). Water vapor transport 301 from the tropical Atlantic to southeastern Amazonia in the transition and dry seasons were 302 enhanced between 2001-2018, which can explain the increased precipitation and moisture in 303 savannas. While in seasonal forests, the increased precipitation and moisture were contributed 304 by enhanced water vapor transport from the tropical Pacific. Enhanced water vapor transport 305 from the tropical Pacific in the wet season is consistent with the basin-wide wetting.

Increased precipitation occurred mostly in intensified heavy rainfall events. This shifted precipitation pattern of intensified heavy rainfall events and extremely wet days has been observed since the mid-20th century (Skansi et al., 2013). Our results showed increased low precipitation frequency and rain-free days, and enhanced drought severity and extent, particularly in seasonal forests, indicating polarization between extreme precipitation and water stress. The increased wet and dry extremes exacerbate vulnerability and mortality of

312 vegetation (Hirota et al., 2011). The intensified heavy rainfall events cannot effectively ease or 313 alleviate long lasting drought because most of that rainfall runs off into drainage channels and 314 streams rather than being absorbed into the ground (Trenberth et al., 2014). Further, runoff 315 from heavy rainfall events is enhanced in regions of vegetation loss because of reduced rainfall 316 interception, canopy evapotranspiration, and soil infiltration, amplifying flood risk (Bradshaw 317 et al., 2007; Gentry & Lopez-Parodi, 1980; Lawrence & Vandecar, 2015).

318 In Amazonia, forest moisture and thermal conditions are largely driven by tropical 319 Pacific and Atlantic sea surface temperatures (SST) that fluctuate with the ENSO and NAO 320 phases (Jiménez-Muñoz et al., 2016; Zeng et al., 2008). However, some drought events cannot 321 be fully explained by SST anomalies, e.g., the 2015-2016 unprecedented drought (Erfanian et 322 al., 2017). Our results showed that the decreased ET due to forest loss dries the lower 323 atmosphere and likely amplifies drought severity, particularly in tropical savannas. Savanna 324 soils tend to be porous with more rapid drainage than in tropical seasonal forests (Lloyd et al., 325 2009). Therefore, even in the wet season, tree loss in savannas reduces ET due to low soil 326 water-holding ability. The large decline in ET implies a substantial reduction in water supply to the lower atmosphere since ET accounts for $\sim 1/3^{rd}$ of Amazonia rainfall (Staal et al., 2018) 327 328 and ~90% vapor content in near-surface subcanopy layer (Cochrane & Barber, 2009).

329 The contrasting change of specific humidity in the low- and high-level atmosphere 330 implies differences in water supply mechanisms. Increased humidity at all levels in the wet 331 season and upper atmosphere in the transition and dry seasons are consistent with enhanced 332 precipitation and column water vapor content and are more likely explained by increased 333 water vapor transport from the tropical oceans as we found. The level of decreased specific 334 humidity occurs right below 850 hpa, the level at which a large proportion of moisture is 335 conveyed from oceans (Gimeno et al., 2016). However, the drying lower-level atmosphere is 336 consistent with reduced ET, because ET is the major moisture source in the Amazonian low

atmosphere (Cochrane & Barber, 2009), which implies a substantial weakening of
atmospheric moisture recycling due to forest loss. The decreased moisture recycling due to
forest cover loss can substantially delay the initiation of dry-to-wet season transitions (Wright
et al., 2017) and extend the dry season (Agudelo et al., 2019).

341 The spatial gradient of fire from northwest to southeast is related to climate patterns 342 and forest distribution. The high humidity and canopy water content make the northwest Amazonian rainforest extremely resistant to fire spread (Cochrane & Barber, 2009). 343 344 However, savannas in the southern and eastern Amazonia, which experience seasonal 345 rainfall, are not effectively buffered from fire (Uhl, 1998). Savannas are naturally fire-prone 346 and adapted to frequent fire. Therefore, we found that as the forest loss rate increases, the fire 347 burn area stays relatively stable. Fire risk in seasonal forests is generally much lower than 348 surrounding savannas because of less-open canopy, lower mass of fuel, and higher litter 349 moisture (Bowman & Wilson, 1988).

350 Our results indicated that seasonal forests and the forests across the seasonal forest-351 savanna boundary experienced much higher losses than northern rainforests and southern 352 savannas and are more susceptible to fire. Fires increased in more than half of the regions 353 which experienced forest cover losses larger than 10%. More than 80% of the regions where 354 forest cover losses greater than 40% experienced increases in fire. Forest canopy loss reduces 355 forest fuel moisture in the subcanopy and allows solar radiation to heat understory vegetation 356 and dry litter fuel (Messina & Cochrane, 2007). Forest loss due to either natural or 357 anthropogenic activities causes fragmentation, leading to ignition increases and fuel moisture 358 decreases (Alencar et al., 2015). Forest fragments are particularly vulnerable and fire-prone 359 because they are often adjacent to cattle pastures which are often logged and burned 360 (Laurance & Williamson, 2001).

361 We showed that forest loss modifies regional climate, and when superimposed on 362 climate change, can increase fire susceptibility and exacerbate regional drought. Intensive drought interactions with fire causes large scale changes to canopy structure and composition, 363 364 and shifts forest to savanna-like or scrub vegetation (Balch et al., 2008; Hutyra et al., 2005). The savanna-like vegetation is more flammable yet better fire adapted. Repeated 365 droughts that persist for years can cause vegetation mortality and amplify forest losses (Brando 366 et al., 2014; Saatchi et al., 2013; Zemp et al., 2017). The rising deforestation rate in recent years 367 (Qin et al., 2019) potentially increases droughts, forest fragments, and fire loads, and therefore 368 369 expands fire risk northward to the tropical seasonal forest. Efforts to promote forest 370 conservation and fire protection is a critical priority to prevent Amazonia from further climate 371 and fire regime shift that compromises regional sustainability.

Data availability

- 373 All reanalyses and satellite data used in this study are publicly available under the following URLs.
- Global Fire Emissions Database, Version 4.1 (GFEDv4):
- 375 <u>https://daac.ornl.gov/VEGETATION/guides/fire_emissions_v4_R1.html</u>
- ESA Fire Climate Change Initiative (Fire CCI) Dataset Collection:
- 377 <u>https://catalogue.ceda.ac.uk/uuid/4f377defc2454db9b2a6d032abfd0cbd</u>
- Global forest change (GFC v1.5):
- 379 <u>https://earthenginepartners.appspot.com/science-2013-global-forest/download_v1.5.html</u>
- MODIS Aqua 8-day Land Surface Temperature and Emissivity (LST&E) L3 Global products:
- 381 <u>https://ladsweb.modaps.eosdis.nasa.gov/missions-and-measurements/products/MYD11C2/</u>
- MODIS Bidirectional Reflectance Distribution Function and Albedo (BRDF/Albedo) dataset (MCD43C3
 Version 6):
- 384 <u>https://lpdaac.usgs.gov/products/mcd43c3v006/</u>
- MODIS evapotranspiration and latent heat flux product (MOD16A2 Version 6):
- 386 <u>https://lpdaac.usgs.gov/products/mod16a2v006/</u>
- Clouds and the Earth's Radiant Energy System (CERES) Energy Balanced And Filled (EBAF)-Surface
 Product:
- 389 https://ceres.larc.nasa.gov/products-info.php?product=EBAF
- MODIS Aqua 8-day Land Surface Temperature and Emissivity (LST&E) L3 Global products (MYD11C2
 Version 6):
- 392 <u>https://lpdaac.usgs.gov/products/myd11c2v006/</u>
- **393** Köeppen-geiger climate classification at half-degree:
- 394 http://koeppen-geiger.vu-wien.ac.at/present.htm
- 0.5-degree Global Precipitation Climatology Centre (GPCC) monthly precipitation dataset:
- 396 <u>https://psl.noaa.gov/data/gridded/data.gpcc.html</u>
- 0.5-degree GHCN_CAMS Gridded 2m temperature:
- 398 <u>https://www.esrl.noaa.gov/psd/data/gridded/data.ghcncams.html</u>
- **Solution ECMWF ERA5** monthly reanalysis at 0.25-degree:
- 400 <u>https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5</u>
- 401 half-degree Self-calibrating Palmer Drought Severity Index:
- 402 <u>https://crudata.uea.ac.uk/cru/data/drought/</u>
- 403

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413 Competing interests

414 The authors declare no conflicts of interest.

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643Monthly mean temperature (°C)Monthly mean temperature (°C)644Figure 1: Climate regime shift of in Amazon monsoon (Am) and winter dry (Aw)

645 **climates**. The relative change (%) of precipitation, temperature, and total column water vapor

- during 2001-2018 relative to that in 1981-2000 for Am (a) and Aw (b), probability
 distribution functions of precipitation for Am (c) and Aw (d), and probability distribution
- 648 functions of temperature for Am (e) and Aw (f) for wet season, transition season and dry
- season. The solid and dotted lines in (c-f) denote probability distribution functions for
- 650 precipitation (c and d) and temperature (e and f) in 1981-2000 and 2001-2018, respectively.





-50 -25 0 25 50 Relative change of humidity (%) Figure 2: Changes in vertically integrated eastward water vapor fluxes and vertical

profiles of water vapor change. Vertically integrated eastward water vapor fluxes (kg ms⁻¹) 653 654 change in 2000-2018 in relative to 1981-2000 for (a) dry season, (b) transition season and (c) wet season. The vertical profiles of relative change (%) in specific humidity between 2001-655 2018 and 1981-2000 for wet, transition, and dry season in tropical monsoon climate region 656 (d) and tropical winter dry climate region (e). The vectors in a-c denote the horizontal wind 657 658 change between 1981-2000 and 2001-2018 at 850 hpa. The height of 850 hpa is shaded in d 659 and e. The water vapor fluxes and vertical profiles were plotted with ERA5 monthly 660 reanalysis.



662 Figure 3: Drought conditions between 1980 and 2018 across the tropical monsoon

climate and winter dry climate regions. Time series of self-calibrating Palmer Drought 663 664 Severity Index (scPDSI) in wet (a), transition (b), and dry (c) seasons and the probability distribution functions of scPDSI in the wet, transition, and dry season for tropical monsoon 665 climate region (d, e and f) and tropical winter dry climate region (g, h and i). The shaded 666 667 pattern surrounding the time series (a-c) denote the standard deviation of scPDSI in each season. The solid and dashed lines in d-i denote the period 1981-2000 and 2001-2018, 668 669 respectively. The grey shaded regions in a-i denote near-normal dryness condition (-0.5 <670 scPDSI < 0.5).



672 Figure 4: Forest loss and affected regional evapotranspiration and land surface

673 **temperature.** Accumulative forest loss (F_{loss} , %) during 2000-2017 in each 0.5x0.5° gridcell 674 according to global forest change dataset (**a**), the blue dots denote the gridcells with both

large forest loss ($F_{loss} > 65\%$) and pristine forest ($F_{loss} < 5\%$) between 2001 and 2017, monthly

- evapotranspiration change (Δ ET, mm month⁻¹) due to accumulated forest loss in Am (**b**) and
- 677 Aw (c), and monthly mean land surface temperature change (Δ LST, °C) due to accumulated
- forest loss in Am (d) and Aw (e).. The shaded patterns in b-e are standard deviation of ΔET
- and Δ LST in gridcells with both large forest loss ($F_{loss} > 65\%$) and pristine forest ($F_{loss} < 5\%$)
- 680 between 2000 and 2017.



681 Figure 5: The spatial and seasonal distribution of fire in Amazonia. The annual mean 682

fraction of fire burn in Amazon region between 1982 and 2017 based on ESA Fire Climate 683

684 Change Initiative (Fire CCI) Dataset Collection (a). The dotted lines denote the major

boundaries of Köppen-Geiger climate zones of tropical rainforest (Af), tropical monsoon 685 climate (Am), and tropical winter dry climate (Aw). The mean seasonal distribution of 686

687 burned area in tropical monsoon region (Am) and tropical winter dry region (Aw) during

- 1982-2017 (b) and the fraction of burned area in Am and Aw that occurred in Am between 688
- May and October during 1982-2000 and 2001-2017 periods (c). The black + symbols in (c) 689
- 690 denote the fraction of burned area in Am over the entire Am and Aw regions between May
- 691 and October during 2001-2016 calculated from Global Fire Emissions Database, Version 4.1
- 692 (GFEDv4).



693

Figure 6: Fraction of burned area increase is largely dependent on the fraction of forest

695 loss. a. fraction of increased burned area against fraction of forest loss in tropical monsoon
 696 region (Am) for transitional and dry seasons and (b) fraction of burned area increase against

697 fraction of forest loss in tropical winter dry region (Aw) for transitional and dry seasons. n is

698 the total number of gridcells for each category of forest loss fraction.

699

Supplementary Information for Climate regime shift and forest loss amplify fire in Amazonian forests

700

Table S1-S2

Figure S1-S4

701

Table S1: scPDSI defined dry and wet conditions

scPDSI range	Dry and wet condition	
>=4.0	extremely wet	
[3.0 4.0]	severely wet	
[2.0 3.0]	moderately wet	
[1.0 2.0]	slightly wet	
[0.5 1.0]	incipient wet spell	
[-0.5 0.5]	near normal	
[-1.0 -0.5]	incipient dry spell	
[-2.0 -1.0]	slightly dry	
[-3.0 -2.0]	moderately dry	
[-4.0 -3.0]	severely dry	
<=4.0	extremely dry	

Table S2: The total burned area in Am and Af and burned fraction of Am fortwo datasets: ESA CCI and GFED.

Data collection	ESA_cci		GFED
Period	1982-2017	1996-2016	1996-2016
Annual burned area in Am and Aw ($\times 10^3$ km ²)	178.5±65.4	182.4±66.0	148.5±72.0
Annual burned fraction in Am (%)	7.09±5.07	6.88±3.06	7.07±2.72



706

707 Figure S1: Amazonia climates and normal climate in tropical monsoon and tropical winter dry climate regions. The distribution of tropical rainforest (Af), tropical monsoon 708 709 (Am) and tropical winter dry (Aw) climates (a), monthly total precipitation averaged over 710 1961-1990 in Am and Aw (b), monthly mean temperature averaged over 1961-1990 in Am

711 and Aw (c), the monthly total precipitation in wet season (January-March), transition season 712 (May-July) and dry season (August-October) for both Am and Aw (d), and monthly mean

713 temperature in wet, transition and dry season for both Am and Aw (e). The normal climate of

714 precipitation and temperature is only calculated for Am and Aw in the south of Af.



Figure S2: Evolution of drought fraction in entire Am and Aw region. Areal fraction of

- normal and near-normal drought condition $(-1 \le scPDSI \le 1)$ (**a**) and areal fraction of slightly to extremely dry drought condition $(scPDSI \le -1)$ (**b**) in wet, transition and dry seasons. **
- and * denote the significant level of p < 0.001 and p < 0.01, respectively.



721MonthMonth722Figure S3: Impact of forest loss on latent heat (LE) and surface short-wave net

723 radiation (SSNR). Monthly mean LE change (Δ LE, wm⁻²) due to accumulated forest loss in

- 724 Am (a) and Aw (b), and monthly mean SSNR change (Δ SSNR, wm⁻²) due to accumulated
- forest loss in Am (c) and Aw (d). The shaded patterns in (a-d) are standard deviation of ΔLE
- and Δ SSNR in gridcells with both large forest loss ($F_{\text{loss}} > 65\%$) and pristine forest ($F_{\text{loss}} <$
- 727 5%) between 2001 and 2017.



Figure S4: Monthly mean burned area change (km²) during 2001-2017 in relative to
 1982-2000. (a) dry season and (b) transition season.