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### **Publication Date**

1988-06-01

# Lawrence Berkeley Laboratory

UNIVERSITY OF CALIFORNIA

# APPLIED SCIENCE DIVISION

To be presented at the Institute of Electrical and Electronic Engineers/Industry Applications Society Annual Conference, Minneapolis, MN, October 3-6, 1988, and to be published in the Proceedings

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# PERFORMANCE OF ELECTRONIC BALLAST AND CONTROLS WITH 34 AND 40 WATT F40 FLUORESCENT LAMPS

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June 1988

#### ACKNOWLEDGEMENT

This work was also supported by the Assistant Secretary for Conservation and Renewable Energy, Office of Buildings and Community Systems, Building Equipment Division of the U.S. Department of Energy under Contract No. DE-ACO3-76SFOOO98. Flicker can cause discomfort and may reduce productivity in a small portion of the population. Some preliminary evidence suggests that lamp flicker may affect some people working with video display terminals, due to the interference with the display's refresh rate.<sup>3</sup> Reduction of flicker is another benefit of operating fluorescent lamps at high frequencies.

#### F. Light Output

Input power alone does not assess the performance of a lamp-ballast system. The <u>ballast factor</u> is another important system parameter. It is the ratio of the light output of a lamp-ballast system with respect to the light output specified by the lamp manufacturer, i.e., the light output listed in the catalogue. The specified light output is measured under ANSI conditions in open air with a reference ballast. Ballasts approved by the Certified Ballast Manufacturers (CBM) have a ballast factor of at least 95  $\pm$  2.5%. This rating for ballasts is obtained only with ANSI specified lamps.

Ballast factors for each ballast-lamp system should always be specified. For example, the CBM core-coil ballast has a ballast factor of  $95 \pm 2.5\%$  when used with a 40W argon filled lamp, but is only about 87% operating a 34W krypton filled lamp.

#### G. Regulation

Ballasts are designed to properly operate lamps over a voltage range of  $\pm 10\%$  about the center design input voltage. As the voltage changes, there is a change in the light output. Lighting designs, should account for the changes in light output such that the proper illumination is always available. Highly regulated ballasts provide constant light output over the allowable voltage range. Loosely regulated systems require higher average light levels to compensate for input voltages below the center design voltage. However, if the supply voltage can be controlled, loosely regulated ballasts can be used to dim fluorescent lamps over a limited range.

#### H. Starting Characteristics

The maximum starting voltage (360 volts) for the 40W, F40 lamp is specified by ANSI. If lamps are started above this voltage, the lamp life will be decreased. High starting voltages increase the acceleration of the ions striking the cathode too forcefully, removing excessive amounts of the low work function coating off the filament.

The open circuit voltage is a measure of the maximum voltage that can be applied to the lamps by the ballast. Good ballast designs feature heated filaments and limit the open circuit voltage to less than the ANSI standard.

#### I. Power Factor

The phase relationship between the voltage, the current and the wave shape determines the line power factor. ANSI defines that a "high power factor ballast" must have a power factor greater than 90%. Core-coil ballasts achieve a high power factor by employing a suitably sized capacitor to compensate for the inductive chokes that limit the lamp current.

Some ballasts produce non-sinusoidal wave shapes that also reduce the line power factor. The primary concern of utilities for three phase systems is the out-of-phase current-voltage relationship because this factor is reflected back to the generating source. The "shape" power factor generally causes a higher circulating current in some three-phase systems, increasing line losses but does not effect the generating source.

#### J. Filament Power

The heated electrodes (filaments) of a rapid start fluorescent lamp permit starting at a lower voltage, injecting electrons by thermionic emission. The tungsten filaments are coated with a low work function alkali earth material to achieve thermal emission at lower filament temperatures. When there is no longer any low work function material remaining on the filament, the lamps will rapidly fail. Filament heating is one reason why rapid start lamps have a longer life than instant start or preheat start lamps. ANSI specifies that 2.5 to 4.1 volts should be applied to the filaments at all times.

Some ballast designs remove or reduce the filament power after they are started. This will save about 4W for a two-lamp system, but it is achieved at the expense of lamp life. The lamps are then derated to 15,000 hours and in some cases may be a cost effective trade-off. However, removing filament power for lamps operated at high frequency will result in a greater reduction in lamp life than when operated at 60 Hz.<sup>4</sup>

When a lamp is operated in the dimmed mode, removing filament power greatly reduces lamp life. Studies<sup>5</sup> have observed lamp lives as low as 100 to 200 hours when lamps are operated at 30% of full light output without any filament power.

#### III. EXPERIMENTAL PROCEDURE

#### A. Electrical/Photometric Measurements

The measurements of the equipment (ballasts, static and dynamic controllers) were made operating two lamp systems (40W, F40 T-12, rapid start, cool white, and 34W, F40 T-12, rapid start, lite white). Ballasts designed to operate 32W, F40 T-8 or 28W, F40 T-12 type lamps are included in this study.

Standard methods were used to measure the electrical and photometric parameters necessary to characterize these systems and have been described in detail in a previous report.<sup>6</sup>

#### B. Three-Phase Delta-Wye Circuits

Figure 1 shows a schematic of the circuit used to measure the effects of current voltage phase shifts and

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Abstract - The electric and photometric characteristics have been compared for 40 watt (40W) and 34 watt (34W), F40 T-12 fluorescent lamps by operating them with electronic ballasts, static controls and dynamic controls of different designs. The energy savings krypton filled 34W lamp system is, at best, slightly more efficacious than the standard 40W argon filled lamp system by virtue of the use of lite white phosphor. The 34W system has limitations that include higher starting voltages, reduced temperature range of operation, smaller dimming range and poorer color rendering than the standard cool white 40W lamp system.

#### I. INTRODUCTION

During the past several years many new products have been introduced that operate fluorescent lamps in a manner to increasing their efficacy and/or to control their light output. These devices affect the electric and photometric properties of lamps and influence the source of the supplied electrical power. This report presents the electrical and illumination measurements of several new commercially available products operating two 34W or 40W, F40 T-12 rapid start fluorescent lamps. The equipment includes electronic ballasts, static controls and dynamic controls. This report is to provide end users with the important parameters that should be considered in selecting a lighting system. The report will show the range of performances that each type of equipment can provide including the trade-offs between particular parameters. These measurements have been accumulated over the past few years; thus, some devices cited may no longer be on the market or represent early commercial products that have since been modified.

The second section describes some performance parameters and discusses how these parameters affect the lamp or the electrical supply line. The next section references the measuring techniques and describes the setup for examining harmonics through a delta-wye, three phase system. The fourth section presents the results for the three types of equipment followed by a discussion of the results. The final section summarizes the highlights of the report.

#### **II. PERFORMANCE PARAMETERS**

#### A. System Efficacy

Efficacy is the term used for describing how effectively a system converts electrical energy into visible light. It has dimensions of lumens per watt (lm/W) and is determined by measuring the input power to the lampballast system and the light output from the lamps. The lamp-ballast system efficacy is a function of the lamp wall temperature. In practice lamps in luminaires will be found to operate at temperatures between 35°C and 60°C. System efficacy is a key parameter for determining a system's operating cost since it determines how much power is needed to supply a specified light level.

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#### B. Lamp Input Current Wave Shapes

Measuring the current wave shapes into the lamp allows calculation of the lamp current crest factor; peak current divided by the rms current. American National Standards Institute (ANSI) specifications recommend that the current crest factor be equal to or less than 1.7; a sine wave has a crest factor of 1.4. Higher crest factors will adversely affect lamp life.

#### C. Harmonic Content

Non-sinusoidal wave shapes generate higher harmonics of the fundamental 60 Hz line frequency. The neutral line for a pure sinusoidal current in a balanced three-phase circuit carries no current; that is, the fundamental of each phase is 120° out of phase and the phases cancel each other in the neutral line. The third harmonic does not cancel and current will flow in the neutral line, if it is too large the line can be overloaded. Harmonics can also interfere with other electrical equipment on the circuit.

#### D. Conducted/Radiated Electromagnetic Energy

Electronic ballasts convert the 60 Hz input power to direct current, inverting this current to a high frequency (20 to 30 kHz) and suppling it to the lamp. The high frequency power and its higher harmonics can be reflected back into the supply power. This reflected power can interfere with other equipment on the line. Supply lines can also radiate this power into space, where it can interfere with space-radiated communications. The Federal Communication Commission (FCC) is concerned about this potential source of interference from high frequency lighting systems and appointed a subcommittee of the National Electrical Manufacturers Association (NEMA) to recommend electromagnetic interference (EMI) limits for Reports from two on-site lighting equipment. measurements of EMI from electronic ballasts<sup>1,2</sup> detected no adverse effects on office or communication equipment.

#### E. Flicker

The percent flicker is a measure of the modulation of the light output. Fluorescent lamps operated at 60 Hz flicker at a rate of 120 Hz and have about 33% flicker. Lamps operated at high frequency, when unmodulated, eliminate flicker. Some electronic ballasts modulate the high frequency power at 60 Hz; depending on the degree line harmonics on the primary and secondary of a threephase delta-wye connected system. All measurements were made with the secondary circuits balanced while measuring the current in the secondary and neutral line. The measurement of the rms current, rms voltage and power permitted the calculation of the power factor. The third and fifth harmonics were measured with a spectrum analyzer in the primary and secondary circuits. Five sets of measurements were made with different loads; a resistive, a pure inductive circuit, a saturated inductive circuit (producing harmonics), a resistor filter capacitor harmonic content and an inductor-resistor-filter capacitor harmonic content.

These experiments simulated the various electric line distortion effects from lighting systems. A balanced three-phase circuit was used to make certain that the currents measured in the neutral are only caused by wave distortions and phase relationships of the reactive components of the loads. The bridge rectifier and capacitor simulate the operation of electronic ballasts by generating harmonics with the voltage and current fundamental in phase. Measurements made with the inductor and the bridge rectifier with the capacitor, represents a circuit with the voltage and current fundamental out-of-phase with a non-sinusoidal current.

#### IV. RESULTS

#### A. Ballasts

Tables I and II show measured data for nincteen two lamp F40 electronic ballasts operating the same 40W cool white or 34W lite white fluorescent lamps, respectively. The tables include the measurement of a



Figure 1. Three-phase system test circuit

standard CBM core-coil ballast operating the same lamps and the ANSI standard values. Notice that the input voltages 120 or 277 volts are specified. The data was obtained from three units of each ballast design and the average of the results listed. The ballasts measured initially are specified with numbers. The more recently introduced ballasts are specified with letters.

The two tables permit the comparison of different electronic ballast designs, the change of performance operating a standard and energy saving lamp, and the changes associated with ballasts operating lamps at 60 Hz and high frequency. Notice that some early electronic ballast designs (numbered) remove filament voltage after starting while all the newer designs (lettered) maintain filament voltage after ignition. Figure 2 shows the system efficacy with the electronic ballast averaged 78 to 79 lumens per watt compared to the coil-core systems at 64 to 65 lumens per watt. Notice that the system efficacy for the ballasts is at best 1% higher operating the energy saving lamps, although the energy saving lamp with the lite white phosphor is 6 to 7% more efficacious (see Table IX.) than the standard lamp. Figure 3 indicates that some electronic ballast designs result in a power factor less than 90%, the ANSI limit for a high power factor designation. The power factor with the core-coil ballast is reduced when it operates the energy saving lamps.



Figure 2. System efficacy for electronic ballasts operating F40 lamps

The ballast factor ranges shown in Figure 4, for the electronic designs, are lower than the standard ballast. However, recently electronic ballasts have been put on the market with a ballast factor greater than 1.0 (see Table 111, 32W, F40 T-8 lamps). Figure 4 also shows operating the energy saving lamp.

Figure 5 shows the range of flicker obtained with the electronic ballasts. While some units reduce the flicker to zero, there are some units that have flicker as high as the core-coil ballast. Notice the reduction in flicker for the lite white type lamps, this is due to the longer decay time for the lite white phosphor.

# TABLE I FLUORESCENT BALLASTS SYSTEM (TWO LAMP 40W F40)

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P <u>Characteristic</u>	ercent of Center <u>Voltage</u>	<u>Core-Co</u> ANSI 120	<u>⊔</u> ⊻ 1	2	3	Solid 4	-Stat 5	<u>ε (12</u> Δ	<u>o V</u> e BL	т т	Ð	E	E	G	٤	zs	olid-Si <u>8</u>	tate_(2 H	<u>77 Yo</u> 1	п П	<u>K</u> .	Core Coil (277_Volt)
Power (W)	90 100 110	89 90 10	) 84 5 85 2 86	65 92 80	61 67 75	64 73 80	70 91 116	62 72 81	70 71 71	58 65 72	65 72 78	61 68 75	66 75 82	60 68 75	80 82 83	61 69 77	58 66 74	65 67 68	56 63 69	65 72 79	56 65 73	87 95 103
Power Factor	90 100 110	.9 .9 .9	9 .99 8 .99 7 .91	9 .92 9 .91 8 .90	.90 .89 .89	.91 .91 .90	.96 .96 .97	.96 .95 .95	.90 .89 .88	.96 .95 .93	.93 .92 .91	.92 .91 .90	.96 .95 .94	.98 .98 .97	.95 .94 .93	.95 .95 .94	.81 .80 .78	.90 .90 .88	.95 .94 .93	.86 .85 .84	.97 .97 .96	.99 .99 .98
Harmonics 3rd (%) Harmonics 5th (%)	100 100	12	9 1	33 17	20 11	28 18	16 15	24 14	36 16	35 7	17 20	30 19	26 16	10 8	13 13	28 7	22 14	31 23	34 7	12 11	8 5	7 10
Open Circuit Voltage (V)	90 : 100 110	256 26 to 28 330 30	2 29 5 29 7 29	4 336 4 364 4 380	380 383 380	427 487 536		352 390 431	430 502 638	465 518 568	384 426 470	425 470 520	360 400 445	332 370 410	298 297 295	323 356 375	497 500 500	442 489 537	465 510 558	374 277 272	333 373 412	265 288 310
Open Circuit Crest Factor	90 100 110	20 1.5 1.6		i 1.4 i 1.4 i 1.5	:	1.3 1.3 1.3	:	1.9 1.9 1.9	1.6 1.6 2.3	1.4 1.4 1.4	1.6 1.6 1.6	1.4 1.4 1.4	1.9 1.8 1.7	2.2 2.2 2.2	1.3 1.3 1.3	1.4 1.4 1.4	:	1.6 1.6 1.5	1.4 1.4 1.4	1.6 1.6 1.6	2.1 2.1 2.1	1.5 1.5 1.6
Filament Voltage (V)	90 100 110	2.5 3.1 to 3.5 4.0 3.9	3.3 3.3 3.3	3.2 3.6 4.0	0 0 0		:	2.8 3.1 3.5	2.8 3.1 3.5	1.3 1.4 1.4	2.0 2.0 2.0	1.7 1.6 1.6	3.2 3.2 3.1	1.6 1.5 1.5	2.8 2.8 2.8	3.1 3.5 3.5	0 0 0	2.5 3.3 3.6	1.4 1.5 1.5	2.1 2.1 2.1	1.5 1.5 1.5	3.0 3.4 3.8
Lamp Current Crest Factor	90 100 110	1.5 1.7 1.7 1.8	1.3 1.4 1.2	. I.8 1.8 1.8	1.9 1.9 1.9	1.4 1.5 1.5	1.9 1.8 1.8	2.3 2.2 2.2	1.5 1.6 1.6	1.6 1.6 1.6	2.1 2.0 2.1	1.6 1.6 1.6	1.9 1.9 1.8	2.1 2.1 2.1	1.4 1.4 1.4	1.5 1.6 1.5	1.9 1.9 2.0	1.5 1.5 1.6	1.5 1.5 1.5	1.5 1.5 1.6	2.2 2.1 2.1	1.6 1.6 1.7
Light Output (Im)	90 100 110	5830 578 5830 610 629	0 563 0 572 0 574	0 5050 0 5590 0 6080	) 4760 ) 5330 ) 5920	5130 5830 6420	4740 6190 7600	5100 5870 6510	5280 5290 5220	4780 5360 5850	5150 5780 6350	5100 5100 6250	5240 5940 6401	4320 4850 5240	5740 5800 5841	4790 5320 5820	4630 5210 5810	5170 5210 5180	4590 5120 5590	5140 5850 6400	4580 5210 5740	5570 5980 6300
Ballast Factor		.925 .96	B .90	7 .887	.846	.925	.982	.932	.840	.851	.917	.905	.943	.770	.920	.845	.826	.827	.813	.929	.827	.949
Light Output Regulation (%)	90 110	-25 -5 +25 +3	-1 0	-10 +9	-11 +11	-12 +11	-23 +23	-13 +11	0 -1	-11 +9	-11 +10	-11 +10	-12 +8	-11 +8	-1 +1	-10 +9	-11 +12	-1 -1	-10 +9	-12 +9	-12 +10	-7 +5
Flicker (%)	100	30	0	5	33	4	32	15	1	3	1	3	11	22	0	3	30	0	1	1	30	30
System Efficacy (Im/W)	90 100 110	66 64 64	68 68 67	78 77 77	79 79 79	80 80 80	64 68 66	82 81 80	75 74 73	82 83 82	80 80 82	84 83 83	79 79 78	78 77 76	72 71 70	78 77 76	79 79 79	79 78 76	82 82 81	79 81 81	82 81 79	64 63 61

TABLE II FLUORESCENT BALLASTS SYSTEM (TWO LAMP 34W F40)

Characteristic	Percent of Center <u>Vultage</u>	<u>Core</u> ANSI	<u>-Coll</u> 120_V	. 1	2	1	1	۲ کا	olld-S A	inte B	(120 C	Vol D	ц Е	E	æ		6	2	Solid-: B	State_( LL	277_V	1 1	ĸ	Core Coil (277 Volt)
Power (W)	90 100 110		75 79 84	70 72 73	54 60 66	51 58 69	55 61 68	99	56 63 70	61 62 62	51 57 62	56 62 68	54 60 66	59 66 73	52 58 64		58 59 71	53 59 65	50 58 68	57 58 60	50 56 61	57 63 69	50 57 64	75 82 88
Power Factor	90 100 110		.93 .92 .92	.99 .99 .99	.92 .92 .91	.88 .88 .88	.92 .90 .90	.90	.96 .93 .95	.89 ,88 ,87	.95 .94 .92	.93 .91 .90	.91 .90 .88	.96 .95 .94	.97 .97 .96		94 92 91	.95 .94 .92	.78 .78 .78	.88 .86 .84	.95 .93 .91	.94 .94 .94	.97 .96 .95	.95 .95 .94
Harmonics 3rd (%) Harmonics 5th (%)	100 100		20 14	6 1	31 18	19 10	14 13	5 13	24 13	37 17	38 8	18 22	34 21	27 15	15 4	1	14 15	32 8	18 15	32 25	37 8	12 13	9 6	16 13
Open Circuit Voltage (V)	90 100 110	256 10 330	262 285 306	378 378 378	328 360 398	:	418 465 505	:	352 390 431	430 502 638	465 518 568	384 426 470	425 470 520	360 400 445	332 370 410	2 2 2	73 77 72	311 346 376	:	442 489 537	465 510 558	374 415 459	333 373 412	265 258 310
Open Circuit Crest Factor	90 100 110	2.0	1.5 1.5 1.6	1.6 1.6 1.6	1.5 1.4 1.5	:	1.4 1.4 1.4	:	1.9 1.9 1.9	1.6 1.6 2.3	1.4 1.4 1.4	1.6 1.6 1.6	1.4 1.4 1.4	1.9 1.8 1.7	2.2 2.2 2.2	1	.6 .6 .6	1.4 1.4 1.4		1.6 1.6 1.5	1.4 1.4 1.4	1.5 1.5 1.5	2.1 2.1 2.1	1.5 1.5 1.6
Filament Voltage (V)	90 100 110	2.5 ما 4.0	3.2 3.6 4.0	2.7 2.8 2.7	3.2 3.6 4.0	0 0 0	1.4 1.4 1.4	3.4 3.0 4.3	2.8 3.1 3.4	2.8 3.2 3.4	1.3 1.4 1.8	1.9 1.9 1.9	1.5 1.4 1.4	2.7 2.7 2.7	1.3 1.3 1.3	2 2 2	.8 .8 .8	3.5 3.5 3.9	0 0 0	2.9 3.3 3.6	1.4 1.5 1.5	1.9 1.9 1.9	1.4 1.4 1.3	3.0 3.4 3.8
Lamp Current Crest Factor	90 100 110	1.7 max	1.8 1.9 2.0	1.3 1.4 1.4	1.4 1.4 1.6	1.9 1.8 2.1	1.4 1.4 1.4	:	2.2 2.2 2.2	1.5 1.6 1.6	1.5 1.5 1.6	1.3 1.3 1.3	1.4 1.4 1.4	1.9 1.9 1.8	1.5 1.6 1.7	1 1. 1.	.5 .5 .5	1.6 1.6 1.6	1.9 1.9 2.0	1.6 1.6 1.6	1.5 1.5 1.5	1.3 1.3 1.3	2.0 2.0 2.0	1.8 1.8 1.8
Light Output (Im)	90 100 110		5020 5060 5290	5040 5060 5090	4290 4750 5180	4220 4870 5680	4570 5140 5650	6623	4520 5060 5600	4490 4480 4490	4120 4640 5100	4580 5180 5600	4480 5040 5530	4650 5270 5800	4330 4860 5320	50 51 51	80 00 20	4150 4610 5030	4160 4830 5580	4390 4420 4420	4000 4500 4960	4730 5310 5850	4000 4580 5100	4900 4970 5290
Ballast Factor		.925	.880	.865	.812	.832	.878	1.132	.865	.765	.793	.886	.862	.900	.830	.8	72	.788	.826	.756	.769	.908	.783	.864
Light Output Regulation (%)	90 110	-25 +25	-2 +3	0 +1	-10 +9	-13 +17	-11 +10	:	-11 +11	0 0	-11 +10	-12 +°	-11 +10	-12 +10	-11 +9	Ċ	)	-10 +9	-14 +16	-1 0	-11 +10	-11 +10	-13 -11	-2 +4
Flicker (%)	100		21	2	1	18	1	-	9	0	1	1	1	6	20	2	2	1	18	0	1	0	20	30
System Efficacy (Im/W)	90 100 110		67 64 63	72 71 70	80 79 78	83 84 83	83 84 64	67	81 81 80	74 73 72	81 82 83	82 83 82	83 84 84	78 79 80	84 84 83	7: 7: 7:	5 4 3	78 78 77	83 83 83	77 76 74	81 81 81	83 84 85	80 80 79	65 61 60

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In addition to the two lamp systems, electronic ballasts are available that operate three and four F40 lamps. The results of the measurements for these systems are listed in Table III. This table also lists measurements for ballasts systems operating two 32W, F40 T-8 lamps and a magnetic ballast designed to operate two 28W, F40 T-12



Figure 4. Ballast factor for electronic ballasts operating F40 lamps

lamps. The more efficacious system uses an electronic ballast; notice the high ballast factor (1.003). The threelamp system measured at 60 Hz used a standard two lamp and a single-lamp ballast for comparison. The four-lamp core-coil system used two, two-lamp ballasts to compare with the four lamp electronic system.

		Two I	Lamp	Two Lamp	The	e Lan	<u>np F40</u>	Ballast	For	r Lag	10 E40	120V	Eo	ur Lar	n <u>p E40</u>	<u>2779</u>
CHARACTERISTIC	Percent of Center Volts	<u>T-8 32</u> Core-Coil	<u>W Lamp</u> Solid-State	Filament Ballast 28W Lamo	<u>Cor</u> 40	<u>-Coil</u> 34	<u>Solid</u> 40	<u>-State</u> <u>34</u>	<u>Cor</u> 40	- <u>Coil</u> 34	Solid 40	-State 34	<u>Cor</u> 40	<u>-Coll</u> 34	Selic 40	L-State 34
SYSTEM INPUT																
Dennes (ND	90	63	60	56	136	120	118	101	171	148	122	106	169	151	126	108
Power (W)	110	#	72	64	160	139	124	105	196	168	135	109	203	176	138	114
	90	88	93	.99	99	97	.96	96	98	93	95	96	100	97	93	93
Power Factor	100	86 80	-89	.97	98 96	97	95	95	97 96	93 03	94	94	100	96 96	92 01	92 90
_	110			.76	~	,,	,,	34	~	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	~	74				
Harmonics - 3rd (%)	100	7	43	15	4	13	10	10	12	17	17	17	7	15	12 20	12
- 501 (76)	100	•	v		•	10	•4	14	,	14	14		,		10	~
LAMP INPUT	90	300	408	225	265	265	461	478	262	262	606	606	266	766	776	776
Open Circuit Voltage (V)	100	322	548	242	287	287	461	425	284	284	768	768	288	288	867	867
	110	344	600	258	310	310	462	428	306	306	845	845	310	310	957	957
	90	1.6	1.4	1.6	1:3	1.5	1.3	1.5	1.5	1.5	1.3	1.3	1.5	1.5	1.5	1.5
Open Circuit Crest	100	1.7	1.4	1.5	1.3	1.5	1.3	1.5	1.5	1.5	1.4	1.4	1.5	1.5	1.5	1.5
Factor	110	1.7	1.4	1.0	1.3	1.6	1.3	1.5	1.0	1.0	1.3	1.3	1.0	1.0	1.5	1.5
	90	3.5	0	0	3.1	3.1	2.0	2.0	3.2	3.2	2.1	1.7	3.0	3.0	2.0	1.8
Filament Voltage (V)	100	3.9	0	0	3.5	3.5	2.1	2.1	3.6	3.6	2.0	1.6	3.4	3.4	2.0	1.7
			v	v	3.7	3.9	2.0	2.0			2.0	1.0	5.5	5.0		
	90	1.4	1.6	1.5	1.8	1.8	1.5	1.5	1.5	1.8	1.6	1.6	1.6	1.7	1.7	1.7
Earnp Current Crest	100	1.5	1.5	1.0	1.8	1.8	1.5	1.4	1.0	1.9	1.7	1.0	1.0	1.8	1.7	1.8
Links Output (her)	90	4840	5330	4570	8470	7470	9080	8030	11540	9990	9780	8840	11160	9880	10140	8910
Lagin Compon (an)	110	5700	6280	5020	9350	8050	9340	8160	12620	10560	11140	9200	12560	10750	11170	9380
Ballast Factor	100	.924	1.003	.824	.949	.887	.978	.922	.968	880	.882	791	.947	882	889	799
Light Desulation (%)	90	.10		.4				-1	.5	.1	-12	4	-6	4	.10	-5
Light regulation ( 10)	110	+6	+8	+4	+4	+4	+1	+1	+4	+3	Ö	-1	+5	+4	0	õ
Percent Flicker (%)	100	25	1	18	28	20	4	2	30	22	ł	0	28	20	0	0
	90	76	89	82	62	62	77	80	67	67	80	83	66	65	80	82
System Efficacy	100	76	90	81	61	60	76	78	66	65	82	84	64	64	81	63
	110	74	8/	78	28	28	15	78	03	63	84	85	04	01	91	64
	90	36	36	35	36	36	36	35	40	38	39	37	42	37	40	37
Lamp Wall	100	37	39	35	36	36	36	35	40 40	38	39 10	37	42	37	40 40	37
temperature ( C)		30	39	20		50	20			20			74	2.		

TABLE III OTHER FLUORESCENT BALLAST SYSTEMS



Figure 5. Flicker for electronic ballasts operating F40 lamps

Since fluorescent lamp systems, in practice, operate at different minimum lamp wall temperatures (MLWT), their thermal characteristics should be known to determine the light output in a particular luminaire. Figure 6 plots the change in light output for the standard system and an electronic ballasted system, operating 40W and 34W lamps. At a MLWT of 60°C, typical in a four lamp wrap around fixture, the light output is decreased by 25% and the efficacy is reduced by 14%. The change in light output for an electronically ballasted system is less sensitive to lamp temperature.



B) ELECTRONIC BALLAST #1

Figure 6. Effect of lamp wall temperature on the light output of 40W and 34W lamps

#### **B.** Static Controllers

The static controllers are used in conjunction with corecoil ballasts to reduce the light output of fluorescent lamp

systems. They provide the proper voltage but limit the current to reduce the power to the lamps; thus, they are also called current limiters. Tables IV and V list the results of the electrical and light output measurements for the two lamp 40W and the two lamp 34W systems. Devices 1, 2, 3 and 7 reduce the light output by 30% and 4, 5 and 6 reduce light output by 50%. The design of device numbers 1 and 4 differ from the rest in that they are installed on the line side of the ballast while the other devices are connected between the ballast and the lamp. They are the only type to show a slight increase in system efficacy. However, devices 1 and 4 achieve this at the expense of power factor and a reduction in the filament voltage. As discussed earlier, reducing filament voltage at lower lamp currents will generally reduce lamp The third harmonic is also generally increased. life. Operating energy saving lamps with these devices results in a large decrease in system efficacy, an increase in flicker by a factor of three and a large increase in the second harmonic. Some of these units increase the current crest factor when operating the 34W lamp which

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TABLE IV STATIC CONTROLS FOR 40 WATT F40 LAMPS

				Co	ntrol	ler	_	
Characteristic	<u>Core-Coll</u>	1	2	3	4	5	6	2
Power (W)	94	62	62	59	46	41	45	62
Power Factor (%)	98	66	94	94	60	80	85	94
Light Output (lm)	6100	4090	4050	3820	2990	2300	2660	4000
Filament Voltage (V	/) 3.6	2.3	3.6	3.5	2.4	3.6	3.5	3.6
Flicker (%)	30	31	29	28	38	33	29	28
Current Crest Facto	or 1.6	1.7	1.7	1.7	1.9	1.8	1.8	1.7
Harmonics (%) 2nd 3rd 5th	2 11 10	3 30 4	1 25 12	4 28 12	3 38 3	1 42 11	3 37 12	2 26 12
System Efficacy (lm/W)	65	66	65	65	65	56	59	65
Relative Change (9 Power Light Output Efficacy	6) 0 0	-34 -33 +2	-34 -34 0	-37 -37 0	-51 -51 0	-56 -62 -14	-52 -56 -9	-34 -34 0
Lamp Wall Temperature (*0	:) 40	37	37	37	36	35	35	37

TABLE V STATIC CONTROLS FOR 34 WATT F40 LAMPS

				Co	ontrol	ler		
Characteristic	Core-Coll	1	2	1	4	2	é	1
Power (W)	7 <b>7</b>	50	53	52	37	36	38	53
Power Factor (%)	90	53	72	69	48	74	75	70
Light Output (Im)	5150	3400	3100	2900	2400	1830	2130	3040
Filament Volt (V)	3.5	1.8	3.5	3.5	2.0	3.6	3.5	3.5
Flicker (%)	13	20	46	46	29	33	36	48
Current Crest Facto	or 2.0	1.7	2.5	2.5	1.8	2.5	2.3	2.6
Harmonics (%)								
2nd	4	1	94	92	14	50	62	96
3rd	24	32	23	24	39	34	30	26
5th	16	2	11	10	3	8	6	12
System Efficacy								
(lm/V)	67	68	58	56	65	51	56	57
Relative Change (%	6)						_	
Power	· 0	-35	-31	-32	-52	-53	-51	-31
Light Output	0	-34	-40	-44	-53	-64	-59	-41
Efficacy	0	+1	-13	-16	-3	-24	-16	-15
Lamp Wall								
Temperature (*C	:) 34	30	29	29	28	26	26	30

will adversely affect lamp life. These effects with the energy saving lamps are due to the low lamp wall temperature (less than 35°C) obtained in a room ambient temperature of 25°C.

#### C. Dynamic Controllers

This section provides results of several systems that can dim fluorescent lamps. In Tables VI and VII the first five devices (1 to 5) can dim standard core-coil ballasts; 1, 2, 3, and 5 dim the lamps by reducing the duty cycle, device 4 dims lamps by reducing the input voltage to the ballast. The remaining devices are electronic ballasts designed to reduce lamp current when the ballast receives the proper low voltage signal. The characteristics of all the systems were measured at three light levels: at maximum, at the minimum and approximately half way between the light level of the two extremes.

Dimming the 40W lamps with the controllers generally reduced the input voltage to the ballast, lowered the system efficacy, reduced power factor, reduced the filament voltage and increased the third harmonic. The changes with respect to power factor and the harmonics are less with the electronic ballasts. The filament voltage, with some electronic designs, can be maintained or even increased when the light level is reduced. On the average, the dimming range with the electronic ballast is greater than the core-coil ballast system. The most notable difference with most of the dimming devices operating the energy saving lamps is the reduction in the range of light output.

#### D. Power Factor with Three Phase Circuits

Table VIII shows the measurements for the delta-wye system that result from high resistive, inductive and component-generating harmonics. The pure resistive load results in a unity power factor in the secondary circuit and a near unity in the primary circuit. Shown in Figure 7. the leg currents in the balanced three-phase circuit are out of phase by 120° which results in a near zero current in the neutral. The inductive loads (columns 2 and 3 of Table VIII) show an out-of-phase relationship of about 84° in the secondary. The 80 volt inductor circuit shows virtually no wave distortion, as evidenced by the low third and fifth harmonics. Increasing the voltage to 120 volts across the inductor causes saturation, resulting in some wave distortion, as evidenced by the increase in the third harmonic. The neutral current increases to about 10% of the leg current.

The bridge-rectifier capacitor circuit distorts the wave shapes and generates large third and fifth harmonics, well above those generated by electronic ballasts (Tables I and II). The current in the neutral exceeds the current in any one leg and will add to the  $I^2R$  loss.

The current components reflected through the delta-wye system to the primary are the phase shifts and the fifth harmonics. The odd triple in harmonics (third, ninth, fifteenth, etc.), are contained and circulated in the transformer primary. Therefore, they will not affect the generating source but will heat the transformer.

	TA	BLE	VI				
DYNAMIC	CONTROLS	FOR	40	WATT	F40	LAMPS	

	Light	Core-Coil		c	Iontroi	ler				So	lid-Sta	te Ball	asts		
Characteristic	Level	Ballast	ī	2	3	4	5	6	2	8	2	10	11	12	13
SYSTEM INPUT															
Power (W)	max mid min	94	94 73 38	100 80 48	100 50 17		105 73 47	83 50 22	82 47 19	90 48 16	121 59 41	71 47 29	136 93 53	67 46 28	138 100 62
Power Factor (%)	max mid min	97	98 86 71	91 52 66	94 61 63		96 89 88	99 98 97	93 89 75	96 97 87	96 93 88	89 87 82	94 94 88	90 86 79	92 90 86
Harmonics 3rd (%)	max mid min	12	10 35 42	35 52 53	33 54 56	12 19 24	13 31 27	9 3 9	13 24 50	16 11 24	9 21 28	36 42 51	17 17 22	31 36 44	12 12 12
BALLAST INPUT															
Voltage (V)	max mid min	120	117 95 91	119 92 87	119 87 84	120 90 84	277 234 222								
Power (W)	max mid min	94	91 59 31	100 63 48	98 47 15	94 73 53	104 65 38								
Light Output (Im)	max mid min	6100	5910 3960 2010	6410 4740 3070	6180 3390 602	6100 4900 3560	5880 3990 1830	5690 2660 360	5950 2780 390	6210 2890 430	9048 3500 2060	5290 3530 1820	11120 7270 3300	5210 3570 1980	11200 7620 4000
Filament Voltage (V)	max mid min	3.5	3.4 2.7 2.7	3.4 3.0 2.4	3.4 2.5 2.5	3.5 2.4 2.5	3.3 2.8 2.8	3.3 4.1 3.0	3.3 3.8 3.1	4.6 3.1 4.5	2.5 2.8 2.8	3.5 3.1 2.7	2.0 2.1 2.2	3.2 3.2 3.0	2.0 2.0 2.1
System Efficacy (Im/W)	max mid min	65	63 55 54	64 59 65	62 68 37	65 67 66	56 53 39	69 53 16	73 59 21	69 61 27	75 59 50	75 75 63	82 78 62	78 78 71	81 76 65
Dimming Range (%)	max mid min		100 67 34	100 74 48	100 55 10	100 80 59	100 68 31	100 47 6	100 47 7	100 47 7	100 39 23	100 67 34	100 65 30	100 69 38	100 68 36

#### TABLE VII DYNAMIC CONTROLS FOR 34 WATT F40 LAMPS

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Light Core-Coil <u>Con</u>			Controller				Solid-State Ballasts							
Characteristic	Level	Ballast	1	2	3	4	2	6	1	2	10	ш	12	13
SYSTEM INPUT														
Power (W)	max mid . min	80	81 84 78	92 69 37	93 70 37		94 82 68	72 48 23	69 46 21	103 80 58	62 42 27	110 76 45	58 42 25	113 81 51
Power Factor (%)	max mid min	93	94 96 93	83 55 45	87 58 50		92 88 85	99 99 96	92 89 76	95 94 92	88 85 79	94 90 86	86 82 76	92 88 85
Harmonics 3rd (%)	max mid min	20	15 24 32	47 54 57	50 58 62	15 18 22	10 26 30	6 2 8	14 26 40	10 14 14	37 44 52	17 19 24	32 37 44	12 12 12
BALLAST INPUT														
Voltage (V)	max mid min	120	118 97 88	120 90 74	120 92 76	120 70 66	271 242 222							
Power (W)	max mid min	80	80 65 55	92 66 36	90 62 33	79 50 38	93 73 58							
Light Output (Im)	max mid min	5150	5110 4470 3860	5250 3120 1950	5410 3690 2050	5150 3530 2690	5110 4350 3520	5060 2780 420	5100 2830 480	8090 5830 3570	4480 2960 1420	9250 6070 2880	4420 3000 1560	9350 6350 3220
Filament Volt (V)	max mid min	3.6	3.5 2.8 2.5	3.5 2.6 2.1	3.5 2.6 2.2	3.6 1.9 1.9	3.3 2.9 2.7	2.8 3.1 3.0	2.8 3.1 3.1	2.1 2.2 2.3	3.2 3.1 2.7	1.6 1.7 1.7	3.3 3.3 3.0	1.7 1.6 1.7
System Efficacy (Im/W)	max mid min	64	63 53 49	57 52 53	58 53 55	65 71 71	54 53 52	70 58 18	74 62 23	79 73 62	72 70 53	84 80 69	76 71 62	83 78 63
Dimming Range (%)	max mid min		100 87 76	100 69 37	100 68 38	100 69 52	100 85 69	100 55 8	100 55 9	100 72 44	100 66 32	100 66 31	100 68 35	100 68 34

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#### TABLE VIII WAVESHAPES AND VOLTAGE-CURRENT RELATIONSHIPS FOR INDUCTIVE (NONSATURATING) LOAD

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		1	vpes of Loa	d	
	R	R.C	L(80-V)	L(120-V)	R.L.C
Balanced Secondary					
Primary Power Factor	0.980	0.870	0.208	0.213	0.669
Secondary Power Factor	1.0	0.667	0.102	0.107	0.562
Secondary Leg Current (A)	0.150	0.344	0.273	0.438	0.445
Secondary Neutral Current (A)	0.0079	0.61	0.007	0.044	0.60
Primary 3rd Harmonic Current (%)	0.5	2.0	0.4	1.9	2.5
Secondary 3rd Harmonic Current (%)	0.6	82.9	0.5	3.4	50.5
Primary 5th Harmonic Current (%)	2.6	47.9	1.6	3.7	28.9
Secondary 5th Harmonic Current (%)	0.4	54.7	0.3	1.7	33.0
Unbalanced Secondary					
Primary 3rd Harmonic Current (%)	1.8	77.2	1.1	4.7	43.7
Primary 5th Harmonic Current (%)	5.4	52.3	2.5	5.4	29.8

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#### V. DISCUSSION

#### A. History

The 34W, F40 rapid start lamp was introduced as a retrofit lamp that would lower light levels and could be operated with standard ballasts. The differences between the 34W and the 40W, F40 lamps are: 1) the 34W lamp contains krypton instead of argon, 2) the 34W has a transparent conductive coating on the bulb wall and 3) the 34W lamp uses a more efficient phosphor mix (lite white) than the 40W lamp (cool white).

The new lamp presented a different electrical load to the ballast and draws less power; 80W, compared to 95W for the 40W system, a reduction of 16%. These lamps were designated as energy-saving lamps because of the power reduction. The first energy saving lamps used the cool white phosphor and reduced the efficacy and the light output substantially. A new phosphor mix, lite white was used to increase the rated light output from 2750 to 2925 lumens but achieved it at the expense of the color rendering index (CRI) which was reduced from 65 to 55. The lite white phosphor added some yellow-emitting phosphor to the mix providing more light in the part of the spectrum where the human eye's response is most sensitive.

The krypton-filled lamps have different starting characteristics from argon-filled lamps. Specifically, the krypton lamps have a peak starting voltage of nearly 400 volts compared to the 200 volts for argon filled lamps.<sup>7,8</sup> To reduce this voltage, the lamps are coated with a conductive layer that reduce the peak starting voltage at 60 Hz to 150 volts. However, the rms starting voltage was increased from 130 volts to 200 volts but was considered acceptable because of the large reduction in the peak starting voltage. These voltages still exceed the starting voltages of the argon-filled lamps (125 volts rms and 140 peak volts). The difficulty of starting the 34W lamps is evident by its specifications which state the minimum operation ambient temperature is 60°F compared to 50°F for the standard F40 lamp. Both the higher peak and rms starting voltages will tend to accelerate the erosion of the electrodes, hence reduce lamp life.

At high frequency the krypton filled lamps with the conductive coating have a peak starting voltage of about 175 volts, but a high rms starting voltage of about 290 volts.<sup>7,8</sup> Without the conductive coating, the starting voltages will be lower (240 peak volts and 110 rms volts) which is within the ANSI specifications.

When the 34W lamp was first introduced as a retrofit, there were numerous reports of an abnormally large number of ballast failures. This was found to be caused by a failed capacitor for ballasts that were approaching the end of their life. Table IX lists the detailed characteristics of a standard ballast operating 40W and 34W lamps. The 34W lamps operates at a higher current and lower voltage. Thus, there is a higher voltage across the ballast and the capacitor that was found to fail. When the capacitor was new it could withstand the additional voltage stress; but after a long operating time the capacitor would experience some deterioration and the extra stress would cause premature failure. All new ballasts upgraded this capacitor that these ballasts could operate the energy saving lamps and the standard lamps.

#### TABLE IX DETAILED CHARACTERISTICS OF CORE-COIL BALLASTS OPERATING F40 LAMPS

	40 Watt	34 Watt
	F40 Lamp	F40 Lamp
Ballast Input		
Voltage (V)	120	120
Current (A)	0.798	0.715
Power (W)	93.4	78.9
Power Factor	0.975	0.920
Lamp Input		
Voltage (V)	9.6	81.6
Current (A)	0.416	0.460
Cathode Voltage (V)	3.5	3.5
Ballast Factor	0.97	0.88
System Output		
Light Output (lm)	5970	5160
Flicker (%)	30	21.2
Harmonics - 3rd	12.3	19.7
Harmonics - 5th	9.6	14.3
Ballast Efficiency (%)	0.804	0.762
Lamp Efficacy (lm/W)	81.2	87.4
System Efficacy (lm/W)	64	65

#### B. 40 Watt and 34 Watt System Efficacy

Table IX also lists the ballast efficiency, lamp efficacy and the system efficacy. The lamp efficacy of the 34W lamp is about 7% higher than the 40W lamp primarily due to the more efficient lite white phosphor, yet the efficacies of the two systems are virtually the same. Because the 34W lamp operates at a higher current, the

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ballast  $I^2R$  losses increase reducing ballast efficiency (76.2% compared to 80.4%). Electrically, the 34W system is about 7% less efficacious than the 40W system, if the same two lamps employed the same phosphor.

#### C. Design

Designers and facility managers must realize there will be a difference in the ballast factor for the same ballast operating an argon or a krypton filled lamp. The ballast factor has no bearing on efficacy or reliability but alters the initial light output from a lamp as rated by the manufacturer. For example, two 40W lamps with electronic ballast A will provide 5870 initial lumens while 34W lamps will provide 5060 initial lumens (see Tables I and II) which is a decrease of 14%. The manufacturers rated difference (6300 lumens and 5850 lumens) is only 7%. In addition, the tables show a large variation in the ballast factors for the different ballast designs. Thus, the designer must know the ballast factor for each lamp-ballast combination to properly calculate the illumination level.

#### D. Controls

The static and dynamic controls with both the core\_coil ballasts and the dimmable electronic ballasts can function suitably with the 40W or 34W lamps. However, at lower ambient temperatures and lower light output, when the MLWT falls below 35°C, the 34W lamps may flicker excessively and generate a large second harmonic component.

The dynamic controllers of the core-coil ballasts, including the dimming electronic ballasts, have a more restricted dimming range operating the 34W lamps when compared to 40W lamp operation. The dynamic controllers decrease the filament voltage to the lamps when they are dimmed; as the arc current is lowered below 50% of full light output, lamp life could be significantly reduced. When dimming these lamps below 50% of full light output, it is best to provide full filament voltage (2.5 volts to 4.1 volts) to maintain lamp life.

#### E. Specification

This report has measured the major parameters that affect the generating supply, the system reliability, the lamp life and the lighting design. The large range of values for the different ballasts make it essential that the engineer, end user and designer understand his/her needs to properly specify the equipment.

The parameters that affect the electrical supply are of concern not only to the end user but to the utility companies. A recent utility study<sup>9</sup> has estimated that fluorescent lighting constitutes 25% of the total consumer load and often exceeds 30%. The utility was concerned with the impact on its system that would result by voltage reductions to load shed during emergencies. The test involved a core-coil ballast 34W lamp system. The line volt-amperes (VAR's) increased when the supply voltage was reduced and higher line currents were measured, although the power was reduced. The lamp-ballast parameters affecting reliability and lamp life are open circuit crest factor, lamp current crest factor and filament voltage. Unique to the operation of lamps at high frequency is the need to supply filament voltage during operation. At 60 Hz, electrons bombard the anode providing most of the energy to heat the filaments. At high frequency, the anode fall (potential) is reduced and the externally applied filament voltage is required to maintain a suitably high filament temperature. In the dimmed mode (low arc currents), full filament voltage is required to maintain a suitable temperature at all operating frequencies.

Finally, to better meet the lighting design targets and costs demanded today, the lighting designer must know the performance parameters of the lighting system. The factors affecting the lighting design are the system efficacy, system power, ballast factor, light regulation, and percent flicker. The input power and system efficacy permit the designer to evaluate the economics of the system where the operating cost for the providing light is: 2

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#### Cost (\$/Im h ) = Energy Cost / System Efficacy.

The above relationship indicates that the light provided by a 40W system has the same cost effectiveness as a 34W system because their system efficacies are the same. For new construction the 40W system will generally prevail since each two-lamp unit provides more illumination, reducing the number of fixtures (lamps and ballasts) needed to supply the specified light levels in a space. Additional gains could be obtained with 40W, F40 lamps with the lite white phosphor.

The results of this study show that the above factors vary between different electronic ballast manufacturers. There are also new F40 lamp ballast 60 Hz systems that have unique operating parameters. Thus, if the designers are to obtain the proper illumination features and levels, they must understand these factors to properly specify the equipment.

#### VI. SUMMARY

#### A. Electronic Ballast Status

The development and manufacture of electronic ballasts have evolved over the past eight years to a point where product reliability has been established. This is evidenced by their availability from the major ballast manufacturers and by the smaller companies that spearheaded their advance. The major lamp companies now offer energy saving lamps (krypton filled) designed for high frequency operation. The fundamental change is the removal of the conductive coating that resulted in higher peak starting voltages at high frequency operation, but necessary for 60 Hz operation.

The different design approaches result in the large variation in performance of electronic ballasts, as well as the lack of standards to guide the developers. The establishment of standards is a lengthy procedure and they should be available in the near future.

#### B. F40 Lamps

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The 34W, F40 energy saving lamp has been a suitable retrofit for the reduction of illumination levels in overlit spaces. However, as an option for new lighting layouts or renovations, this study indicates its overall performance may not warrant its premium cost. The energy saving lamp requires a higher rms starting voltage, results in a lower power factor, a higher harmonic content and a greater current crest factor. These factors have a negative impact upon the electrical supply and lamp life. When the lamps light output is controlled, statically or dynamically, the range of dimmability with the 34W lamps is limited relative to the 40W lamps; in a slightly cool environment a large second harmonic will occur and will be accompanied by severe flickering.

Designers must consider all the factors when comparing the 40W (argon filled) lamp system with the 34W krypton (filled) lamp system (system efficacy, light output, lamp life, dimmability, color rendering, power factor, harmonics, and initial cost). For new construction and renovation applications, the 40W, F40 argon filled lamp should be preferred.

#### VII. ACKNOWLEDGEMENT

We appreciate the support of the Electric Power Research Institute for this work, especially the contributions of Mr. Karl Johnson, Mr. Steve Pertusiello, Mr. Gary Purcell and Dr. Arvo Lannus. Contract RP 2285-4.

This work was also supported by the Assistant Secretary for Conservation and Renewable Energy, Office of Buildings and Community Systems, Building Equipment Division of the U.S. Department of Energy under Contract No. DE-ACO3-76SFOOO98.

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