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Title

Simulation of experimental synthetic DNA tracer transport through the vadose zone

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Publication Date

2022-09-01

DOI

10.1016/j.watres.2022.119009

Peer reviewed

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21

22 **Abstract**

23 Although multiple experimental studies have proven the use of free synthetic DNA as tracers in
24 hydrological systems, their quantitative fate and transport, especially through the vadose zone, is
25 still not well understood. Here we simulate the water flow and breakthrough of deuterium (D)
26 and one free synthetic DNA tracer from a 10-day experiment conducted in a transient variably
27 saturated 1m³ 10-degree sloped lysimeter using the HYDRUS-2D software package. Recovery
28 and breakthrough flux of D (97.78%) and the DNA tracer (1.05%) were captured well with the
29 advection-dispersion equation ($R^2 = 0.949$, NSE = 0.937) and the Schijven and Šimůnek two-site
30 kinetic sorption model recommended for virus transport modeling ($R^2 = 0.824$, NSE = 0.823),
31 respectively. The degradation of the DNA tracer was very slow (estimated to be 10% in 10 days),
32 because the “loamy sand” porous media in our lysimeter was freshly crushed basaltic tephra (i.e.,
33 crushed rocks) and the microbes and DNase that could potentially degrade DNA in regular soils
34 were rare in our “loamy sand”. The timing of the concentration peaks and the HYDRUS-2D
35 simulated temporal and spatial distribution of DNA in the lysimeter both revealed the role of the
36 solid-water-air contact lines in mobilizing and carrying DNA tracer under the experimental
37 variably saturated transient flow condition. The free DNA was nearly non-selectively transported
38 through the porous media, and showed a slightly early breakthrough, possibly due to a slight

39 effect of anion exclusion or size exclusion. Our results indicate that free DNA have the potential
40 to trace vadose zone water flow and solute/contaminant transport, and to serve as surrogates to
41 trace viral pathogen pollution in soil-water systems. To our knowledge, this study is the first to
42 simulate transport mechanisms of free synthetic DNA tracers through real soil textured porous
43 media under variably saturated transient flow condition.

44

45 **Key words:** synthetic DNA tracer, soil-water systems, variably saturated, HYDRUS-2D, vadose
46 zone, viral pathogen pollution

47

48 **1. Introduction**

49 The use of synthetic DNA (deoxyribonucleic acid) to trace environmental flow and contaminant
50 transport has become popular in recent years (Liao et al., 2018). This is in large part due to the
51 nearly limitless number of unique nucleotide sequences available, as well as its high specificity,
52 non-toxicity, and high detection sensitivity. The synthetic DNA used in most DNA tracer studies
53 to date is produced by automated standard oligonucleotide synthesis (Sabir et al., 1999) and
54 differs from naturally occurring DNA (single- or double-stranded) mainly in molecule length.
55 Naturally occurring DNA strands are often several orders of magnitude longer (e.g., *Escherichia*
56 *coli* DNA molecule: 4.7×10^6 base pairs) than the strands used in DNA tracer studies (mostly <
57 200 base-pairs or nucleotides). The synthetic DNA can be used either in its free form or can be
58 encapsulated to form a tracer (Dahlke et al., 2015). To date the use of synthetic DNA as tracer

59 has mainly been in the form of “proof of concept” studies that focused on DNA tracer transport
60 in comparison to conservative solutes such as Cl^- , Br^- or fluorescent dyes (Pang et al., 2020).

61 When free DNA is present in soil water, DNA molecules form colloidal particles that interact
62 (e.g. attach, detach) with soil particles. Since synthetic DNA molecules are negatively charged
63 when pH is above 5.0 (Xue and Feng, 2018), the degree of attachment of DNA molecules onto
64 solid surfaces largely depends on the material type and the physico-chemical properties of the
65 water the DNA is transported with (Ptak et al., 2004). DNA attachment to clay minerals has been
66 shown to be several orders of magnitude higher than to sand grains (Lorenz and Wackernagel,
67 1987). Similar to other colloids, the attachment of DNA to soil particles is also directly
68 influenced by pH, ionic strength and cation valence (Lorenz and Wackernagel, 1987; Wang et
69 al., 2020). For example, increased attachment of DNA was observed with increasing presence of
70 bivalent cations such as Ca^{2+} and Mg^{2+} than monovalent cations (e.g., Na^+) due to the cation
71 bridge (Xue and Feng, 2018). Enzymatic degradation of DNA is enhanced in the presence of
72 bacterial activity (Romanowski et al., 1993), but there is evidence that attachment onto minerals
73 or colloids inhibits degradation (Pang et al., 2020). Further, the attachment of DNA molecules
74 onto solid surfaces was found to be faster and temperature dependent compared to desorption
75 (Lorenz and Wackernagel, 1987). Both the length of the DNA and the surface properties of the
76 porous media influence the adsorption/desorption and recovery rate of DNA (Zhang et al., 2021).

77 It has been found that the degradation of the synthetic DNA tracer was proportional to its length,
78 and the degradation reaction follows quasi-first order kinetics (Mikutis et al., 2019). The
79 presence of some divalent or multivalent cations, unsuitable pH, strong microbial activity,

80 elevated temperature and sunlight have all been found to accelerate the degradation rate of
81 synthetic DNA tracer (Mikutis et al., 2019).

82 Most of the studies investigating DNA tracer transport through porous media were under
83 saturated and steady-state conditions, e.g. Zhang et al. (2021). Application of DNA tracers in
84 vadose zone systems, undergoing frequent wetting- and drying-cycles that create unsaturated or
85 variably saturated conditions have been very rare to date. To our knowledge, Wang et al. (2019)
86 were the first who study transport of encapsulated single-stranded DNA tracers in a transient
87 flow (i.e., variably saturated) system. They found that in comparison to a conservative tracer
88 (deuterium), the particulate DNA tracers (~850 nm diameter) traveled at a higher velocity and
89 had a mass recovery rate highly dependent on the availability of preferential pathways, the
90 timing of the tracer injection, the precipitation input and the water table dynamics within the
91 lysimeter.

92 Among the studies published to date, a few studies have provided quantitative understanding of
93 DNA tracer fate and transport through porous media, i.e., compared model simulation with
94 experimental data. Sharma et al. (2012) used a simple 1D advection-dispersion model to capture
95 the breakthrough of the polylactic acid (PLA)-microsphere-encapsulated DNA tracer transport
96 through a saturated very coarse sand column. The advection-dispersion model seemed to suggest
97 that the PLA-microsphere-encapsulated DNA tracer moved through saturated coarse sand
98 column at the same speed as water. Similarly, Aquilanti et al. (2013) used a simple 1D
99 advection-dispersion model to capture the breakthrough of free DNA tracer transport through a
100 column consisted of aquifer material analyte. It was found that the breakthrough of the free DNA
101 tracer was earlier and less dispersed than that of the conservative tracer, KCl. Mikutis et al.

102 (2018) used a 1D advection-dispersion transport equation to capture the breakthrough of the
103 silica encapsulated DNA tracer and a solute tracer, uranine, transport through sand column
104 (particle size range 0.20–0.63 mm). It was found that the breakthrough of the silica encapsulated
105 DNA tracer was faster than the solute tracer, and the larger the tracer size the faster its
106 breakthrough, indicating that the particulate tracers only access larger pores or fractures. Pang et
107 al. (2014) used the colloid filtration theory adopted for pulse injection and a 1D two-site kinetic
108 model, both implemented in HYDRUS-1D, to capture the breakthrough of DNA-labeled protein-
109 coated silica nanoparticles transport through beach sand columns. The two kinetic attachment
110 sites were assumed to be one reversible attachment site and one irreversible attachment site. It
111 was found that the DNA-labeled protein-coated silica nanoparticles could better represent the
112 reduction of the target viruses, rotavirus and adenovirus, than the traditional virus surrogate,
113 MS2 phage. Clemens et al. (2020) used a 1D advection dispersion equilibrium model and
114 incorporated a first-order reduction term to capture the breakthrough of DNA-labelled-
115 glycoprotein-coated silica nanoparticles (DGSnp) transport through intact soil cores. It was
116 found that the DGSnp could better represent the reduction of the target virus, rotavirus, than the
117 traditional virus surrogate, MS2 phage. However, all these simulations of the transport of DNA
118 traces were conducted under saturated and steady-state conditions.

119 Understanding the transport of DNA tracer in porous media under variably saturated transient
120 flow conditions is crucial in exploring its application to soil or the vadose zone where flow
121 conditions vary constantly. Falling in the size range of colloid (1nm-10 μ m), DNA tracer behave
122 like colloids when transported through the vadose zone. Thus, under saturated condition, the
123 retention and remobilization of DNA tracer is dominated by straining, and attachment

124 to/detachment from solid–water interfaces (SWIs). However, under variably saturated transient
125 flow conditions, a lot more complexity emerges, such as attachment to/detachment from air–
126 water interfaces (AWI), air–water–solid (AWS) contact lines scouring, film straining, and
127 entrapment in/release from immobile water zones (Wang et al., 2020). Due to all these
128 challenges, to the best of our knowledge, no study has validated the transport of free synthetic
129 DNA tracers through the vadose zone (i.e. transient flow system characterized by wetting-drying
130 cycles) using a flow and colloid transport model.

131 Therefore, the objective of our study is to provide experimentally validated quantitative
132 understanding of the fate and transport of a free synthetic DNA tracer through the vadose zone of
133 a 1 m³ sloped lysimeter under variably saturated transient flow condition. The breakthrough
134 curve and the spatial and temporal distribution of the free synthetic DNA tracer was simulated
135 using the HYDRUS-2D software package and the two-site kinetic sorption model from Schijven
136 and Šimůnek (2002).

137

138 **2. Materials and methods**

139

140 *2.1 The free DNA tracer and its quantification*

141

142 The T12 DNA sequence was designed by, first, generating a random 200-nucleotide sequence
143 using the freely available GeneDesign Random DNA generator ([http://54.235.254.95/cgi-bin/gd/
gdRandDNA.cgi](http://54.235.254.95/cgi-bin/gd/gdRandDNA.cgi), last accessed September 22, 2020). Next, the DNA sequence was tested with
144 the National Center for Biotechnology Information’s (NCBI) Nucleotide Primer-BLAST Tool
145 (<http://www.ncbi.nlm.nih.gov/tools/primer-blast/>, last accessed September 22, 2020) to identify

147 any potential overlap with known genomes. We used the IDT DNA PrimerQuest Tool
148 (<https://www.idtdna.com/site/account/login?returnurl=/calc/analyzer>) to determine an 88-
149 nucleotide subsequence within the 200-nucleotide for which primers had annealing temperatures
150 between 55 and 60 °C. The annealing temperature resulting in the highest efficiency for the T12
151 DNA sequence was determined by conducting a thermal gradient experiment for optimizing
152 annealing temperature on the Bio-Rad CFX96 Touch. The best annealing temperature for the
153 T12 tracer was 55.7 °C.

154 **Table 1.** The sequence of the synthetic DNA tracer.

	DNA sequence
T12	5'- <u>CCG TAG AGA TCT CCC ATC TGT CCT TTG</u> CTG AAG GTT AAA ACC CCG GAC CGC CTA GAA TAT <i><u>TCT TTC TTT AGC TCC AAA ATG GCC TCT C</u></i> -3'

155 **Bold and underlined:** forward primer

156 *Italic and underlined:* reverse primer

157

158 The concentration of the free DNA (T12 sequence, see Table 1) in the samples was estimated
159 using quantitative real-time polymerase chain reaction (qPCR). qPCR samples were prepared for
160 a 96-well plate by mixing 4 µl of each collected sample, with 5 µl of the SsoAdvanced™
161 Universal SYBR® Green Supermix, 0.4 µL of each forward and reverse primer, and 0.2 µL of
162 nuclease-free water prior to analysis with a Bio-Rad CFX96 Touch (Hercules, CA, USA). Three
163 replicates of each sample as well as 3 blanks and 7 standard triplicates (i.e., samples of known
164 concentration ranging from 100 – 10⁸ copies) were included on each plate and a standard curve
165 was produced to relate the quantification cycle (C_q) value to DNA copy count. Standards were
166 prepared with the native water used in the experiment to accommodate potential inhibition. DNA
167 copy count for each sample was the mean of the three replicates calculated with the Bio-Rad

168 software (Bio-Rad CFX Manager 3.1) using the regression determination mode with baseline
169 subtracted curve fit. If the standard deviation was more than 10% of the mean, another three
170 replicates were run until the standard deviation was no more than 10% of the mean. The upper
171 and lower DNA detection limits were defined by the portion of the standard curve where the C_q
172 and DNA copy count correlate with an $R^2 \geq 0.99$ and the slope of the standard curve was used
173 to estimate the efficiency which was between 85% and 100%. The detection range falls between
174 100 and 10^8 copies per sample, or 25 to 2.5×10^7 copies/ μL , i.e., a sample with free DNA
175 concentration less than 25 copies/ μL was tested to be negative on each plate. In this manner, the
176 DNA copy count was always interpolated from standards included on each plate and never
177 extrapolated. DNA copy counts were estimated based on a sample volume of 4 μL and then
178 scaled to the observed discharge rate.

179 *2.2 A brief summary of the experiment*

180

181 The study presented here uses hydrological observations and deuterium and free DNA transport
182 data from a 10-day controlled experiment conducted at the Landscape Evolution Observatory
183 (LEO) within the Biosphere 2 (Oracle, AZ, USA; see Pangle et al. (2015)). The experiment use a
184 sloped lysimeter, a miniature LEO (the miniLEO), with an inner length of 200 cm, inner width of
185 50 cm, inner depth of 100 cm, and a bed slope of 10 degrees (Figure S1). A 10-cm thick gravel
186 layer (0.05 m^3) of late Pleistocene basaltic tephra with a grain size of ~ 1 cm was placed along the
187 downslope face of the lysimeter, and then the upslope 190 cm of the lysimeter was packed with
188 crushed late Pleistocene basaltic tephra (i.e. crushed rocks) with a grain size of loamy sand (3.2
189 % clay [$< 2 \mu\text{m}$]; 12.2 % silt [$2\text{-}50 \mu\text{m}$]; 84.6 % sand [$50\text{-}2000 \mu\text{m}$](Wang et al., 2019)), with
190 rare microbes and organic matters compared to regular soil (Sengupta et al., 2016). The lysimeter

191 was equipped with a suite of hydrologic sensors consisting of a tipping bucket gauge (Onset
192 RG3) at the outlet of the soil lysimeter to measure discharge and 15 water potential sensors
193 (MPS-2, Decagon), 15 volumetric water content and temperature sensors (5TM, Decagon), 15
194 soil pore water samplers (Prenart Super Quartz sampler) were installed at three slope positions
195 (downslope, midslope and upslope) and five depths (5, 20, 35, 50 and 85 cm), and 3 water level
196 sensors (downslope, midslope and upslope; Campbell Scientific CS451) (Wang et al., 2019). In
197 addition, the weight of the soil box was measured to estimate changes in storage. Data was
198 recorded at 1-minute intervals.

199 A 10-day periodic transient flow and transport experiment was started on June 14, 2016, 35 days
200 after the lysimeter was packed and 5 days after rainwater was applied periodically to prime the
201 system. For the initiation and duration of the experiment, three rainfall pulses of 2-hr duration
202 with a rainfall intensity of 30 mm hr^{-1} were applied each day: the first pulse was applied from
203 8:00 to 10:00, the second pulse from 12:00 to 14:00, and the third pulse from 16:00 to 18:00. The
204 periodic transient regime was adopted to study the transport of water and two types of DNA
205 tracers in a variably saturated system, which most closely resembles the wetting and drying
206 cycles that occur in natural vadose zone systems. The 10-degree slope, the pre-packing and the
207 periodic rainfall caused some of the loamy sand to enter the bottom part of the gravel, which was
208 witnessed when excavating the lysimeter after the experiment. This fact was considered in the
209 simulation model, and was described in details in the first paragraph of “S4. Inverse modeling
210 details” in the Supporting Information.

211 During the experiment one conservative tracer (deuterium, D) and one free DNA tracer (i.e.
212 unencapsulated, synthetic DNA) were applied to the lysimeter. The D was applied during all

213 three rainfall pulses on the first day of the experiment, while the free DNA tracer was applied on
214 the second day of the experiment. During the experiment, four DNA-labeled particle tracers
215 (synthetic DNA encapsulated in polylactic acid [PLA] polymer microspheres), each with their
216 own unique DNA sequence, were also applied on the lysimeter as analyzed in Wang et al.
217 (2019). In this study, we focus on the D and unpublished free DNA transport data from this
218 transient flow experiment to provide experimentally validated quantitative understanding of the
219 fate and transport of a free synthetic DNA tracer through vadose zone systems.

220 The D abundance in the tracer solution (i.e., applied rainfall) was 765‰ (equivalent to
221 2.8810×10^{-2} mmol/ml of semi-heavy water molecules (H^2HO)), while the D abundance in the
222 other rainfall events and in the background soil water was -57‰ (equivalent to 1.5395×10^{-2}
223 mmol/ml H^2HO). The D concentration in discharge was measured in real time at 1-minute
224 intervals, relative to the Vienna Standard Mean Ocean Water with a Los Gatos Research DLT-
225 100 Laser (Wang et al., 2019), and then converted to mmol/ml H^2HO for easier modeling. The
226 details of the real time sampling and sample analysis were provided by Wang et al. (2019).

227 The synthetic free DNA tracer was applied on the second experimental day as a slug injection by
228 pouring a 50 ml solution with a concentration of 2.64×10^{13} copies/ml along a 1 cm wide line
229 across the soil surface (about 115-116 cm upslope from the seepage face) (Figure S1a). The
230 injection took about 10 seconds to complete (Jun 25 13:00:00 to 13:00:10). The synthetic,
231 HPLC-purified single-stranded DNA used in the experiment consisted of 88 nucleotides (see
232 Table 1) and was purchased from Integrated DNA Technologies (IDT Inc., Coralville, Iowa,
233 USA). Prior to application, the synthetic DNA was stored in 50 ml of 50 × molecular biology
234 grade Tris–EDTA (TE) buffer (75834) (Fisher Scientific TE buffer) (when diluted to 1 × has a

235 pH of 8.0 and consisted of 10 mM Tris and 1 mM EDTA) to keep DNA negatively charged and
236 well suspended and protected from degradation. Each DNA molecule is typically curled up into
237 a rod with an estimated diameter and length of 2.4 nm and 29.4 nm, respectively (calculated
238 according to Mandelkern et al. (1981)). The free DNA molecule therefore falls in the lower size
239 range of colloids (1 nm ~ 10 μ m) and is in many ways comparable to the size of known
240 nanoparticles such as silver, gold or silica nanoparticles. However, the mass (26,857.4 Da) and
241 structure of the single-stranded DNA molecule are more like biocolloids such as viruses and
242 bacteriophages, which often consist of a single-stranded or double-stranded DNA or RNA
243 wrapped by protein (Chu et al., 2001; Jin and Flury, 2002; Jin et al., 1997).

244 A 1 mL sample was taken from the free DNA tracer solution right before application to the
245 lysimeter to determine the initial tracer mass. Discharge samples were collected in the trough at
246 the bottom of the downslope face of the lysimeter (see Figure S1 and section “S1. Experiment
247 details” in the Supporting Information for more information). Discharge was collected in
248 sterilized 5 ml tubes (Eppendorf AG, Hamburg, Germany) every half hour during the first three
249 days and every hour for the remainder of the experiment using a temperature controlled auto-
250 sampler. The discharge samples were stored in a -20°C freezer until free DNA quantification by
251 the qPCR method described above, which was finished within two weeks after the experiment.
252 The discharged free DNA flux was determined by the product of concentration and discharge.
253 And the recovery rate of free DNA was the ratio of discharged free DNA to total injection (50 ml
254 solution with a concentration of 2.64×10^{13} copies/ml).

255

256 *2.3 Water flow and deuterium tracer transport modeling*

257

258 Because previous experiments proved that variation of soil properties, i.e. flow and transport
259 pathways, along the width axis of the soil lysimeter was negligible (Sengupta et al., 2016; Wang
260 et al., 2019), we chose to only consider the flow and transport along the length and depth (2D)
261 axes of the sloped lysimeter. The 2D water flow in our variably saturated system can be
262 described using a modified form of the Richards' equation (Šimůnek et al., 2008) (see section
263 "S2. Water flow modeling" in the Supporting Information for details). And the D transport
264 during transient water flow in the variably saturated system was simulated using an advection-
265 dispersion equation (see section "S3. Deuterium tracer transport modeling" in the Supporting
266 Information for details).

267

268 *2.4 DNA tracer transport modeling*

269

270 As mentioned in section 2.1, the mass and structure of the free DNA tracer is comparable to
271 viruses and bacteriophages (Attinti et al., 2010; Chu et al., 2003; Jin et al., 2000). Hassanizadeh
272 and Schijven (2000) showed that the breakthrough curves of bacteriophages (MS2 and PRD1) in
273 column experiments could be described with a advection–dispersion model that incorporates
274 attachment to two types of kinetic sites. The model assumes that the sorption sites on the solid
275 phase can be divided into two fractions with different properties and various attachment and
276 detachment rate coefficients. Schijven and Šimůnek (2002) were among the first to adopt and
277 modify the two-site kinetic sorption model for transient flow system in HYDRUS. Although
278 several numerical modeling codes are available for the simulation of colloid transport in transient

279 flow systems, the two-site kinetic sorption model developed by Schijven and Šimůnek (2002) for
 280 HYDRUS-2D (Šimůnek et al., 2008) described two different kinetic sorption sites simulated
 281 virus transport through soil better than other models. Therefore, we chose to adopt the two-site
 282 kinetic sorption model by Schijven and Šimůnek (2002) to simulate the transport of the DNA
 283 tracer in the miniLEO lysimeter:

$$284 \quad \frac{\partial \theta C}{\partial t} + \rho_b \frac{\partial S_1}{\partial t} + \rho_b \frac{\partial S_2}{\partial t} = \frac{\partial}{\partial x_i} \left(\theta D_{ij}^w \frac{\partial C}{\partial x_j} \right) - \frac{\partial q_i C}{\partial x_i} - \mu_w \theta C - \mu_s \rho_b (S_1 + S_2) \quad (1)$$

$$285 \quad \rho_b \frac{\partial S_1}{\partial t} = \theta k_{a1} C - k_{d1} \rho_b S_1 - \mu_s \rho_b S_1 \quad (2)$$

$$286 \quad \rho_b \frac{\partial S_2}{\partial t} = \theta k_{a2} C - k_{d2} \rho_b S_2 - \mu_s \rho_b S_2 \quad (3)$$

$$287 \quad \theta D_{ij}^w = \lambda_L |q| \delta_{ij} + (\lambda_L - \lambda_T) \frac{q_i q_j}{|q|} + \theta D_w \tau \delta_{ij} \quad (4)$$

288 where C is DNA concentration in the aqueous phase (copies/ml), S is the concentration on
 289 kinetic sorption sites (copies/g), θ is the volumetric water content, ρ_b is the try bulk density
 290 (g/cm^3), x_i and x_j ($i=1,2; j=1,2$) are the spatial coordinates (cm) ($x_1=x$ is the horizontal coordinate
 291 and $x_2=z$ is the vertical coordinate (positive upward)), t is time (min), q_i is the i -th component of
 292 the volumetric flux density (cm/min), D_{ij}^w is the dispersion coefficient tensor (cm^2/min) for the
 293 liquid phase defined in Eq. 4 (Bear, 1972), μ_w and μ_s are the first-order degradation rate constants
 294 of the free and attached DNA tracers, respectively, D_w is the molecular diffusion coefficient in
 295 free water (cm^2/min), τ is a tortuosity factor, $|q|$ is the absolute value of the Darcy's fluid flux
 296 density (cm/min), δ_{ij} is the Kronecker delta function, and λ_L and λ_T are the longitudinal and
 297 transverse dispersion coefficients (cm), respectively, k_a and k_d are the first-order attachment and

298 detachment rate coefficients, respectively (min^{-1}), and subscripts 1 and 2 refer to the two different
299 kinetic sites, respectively.

300

301 *2.5 Inverse modeling*

302 The above physically-based equations were numerically solved for the miniLEO lysimeter using
303 the HYDRUS-2D, a main-stream software package solving water flow and solute/contaminant
304 transport problems in the vadose zone (Šimůnek et al., 2013; Šimůnek et al., 1998; Šimůnek et
305 al., 2008; Šimůnek et al., 2016). Firstly, water flow was simulated by inversely solving the soil
306 hydraulic properties (residual water content, the inverse of the air-entry value, pore-size
307 distribution index, and saturated hydraulic conductivity) of the loamy sand by fitting to the
308 measured discharge, the measured pressure head (i.e. water table) at upslope, midslope and
309 downslope locations (Figure S2b, Observation Nodes 3, 2, 1, respectively), and volumetric soil
310 content at three locations (Figure S2b, Observation Nodes 4, 5, 6, respectively). Secondly, in
311 order to keep the widely accepted relationship that the longitudinal dispersivity (λ_L) is 5-10 times
312 larger than the transverse dispersivity (λ_T) (Abbasi et al., 2003), based on the well captured water
313 flow, the D flux was simulated via inversely solving the longitudinal and transverse dispersivities
314 by fitting to the measured D flux via trial and error. Each trialed pair of λ_L and λ_T met the
315 relationship that λ_L is 5-10 times larger than λ_T . Finally, to guarantee the solution uniqueness of
316 the DNA transport model, based on the well captured water flow and inherited the longitudinal
317 and transverse dispersivities obtained by the D flux, the DNA flux was simulated via 1)
318 estimating the degradation rates of the free and attached DNA tracers by trial and error, 2)
319 inversely solving the attachment and detachment rates of the two kinetic sorption sites of the

320 loamy sand by fitting to the measured DNA flux, and 3) assigning the best fit attachment and
321 detachment rates obtained for loamy sand to the corresponding parameters of gravel. Then, a
322 simple local sensitivity analysis was conducted to see if the obtained parameters are the best fit
323 and if the model is insensitive to some of the parameters. The domain setup and initial and
324 boundary conditions as well as details of the model calibration and validation are described in
325 Supporting Information “S4. Inverse modeling details” and “S6. Sensitivity analysis”.

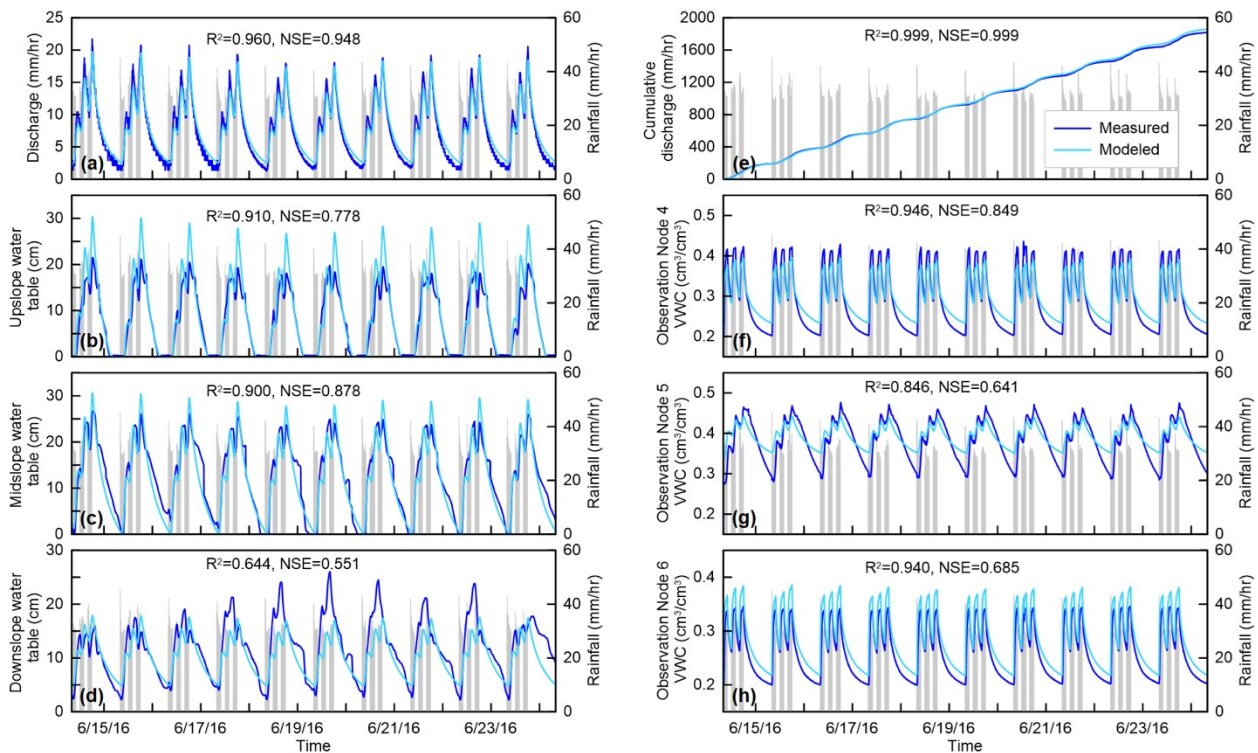
326

327 3. Results and Discussion

328 3.1 Water flow

329

330



331

332 **Figure 1.** Comparison of the measured and modeled discharge (a), cumulative discharge (e),
 333 water table at three slope positions (upslope (b), midslope (c) and downslope (d)), and
 334 volumetric water content at three locations (Observation Node 4 (f), Observation Node 5 (g) and
 335 Observation Node 6 (h)). The grey bars indicate the rainfall condition for each plot.

336

337 **Table 2.** HYDRUS inversely solved best fit soil hydraulic parameters, and D and DNA transport
 338 parameters.

		θ_r^b	θ_s^a	a (1/cm) ^b	n^b	K_s (cm/min) ^b	l^a	ρ_b (g/cm ³) ^a
Water flow	Loamy sand	2.63×10^{-3}	0.469	0.0191	2.20	0.294	0.5	1.48
	Gravel	2.19×10^{-5}	0.333	0.145	2.68	30	0.5	1.73
		λ_L (cm) ^c	λ_T (cm) ^c	D_d (cm ² /min) ^a				
D	Loamy sand	0.15	0.03	1.33×10^{-3}				
	Gravel	0.01	0.002	1.33×10^{-3}				
		λ_L (cm) ^d	λ_T (cm) ^d	D_d (cm ² /min) ^a	μ_w (1/min) ^e	μ_s (1/min) ^e		
DNA	Loamy sand	0.15	0.03	2.70×10^{-5}	7.32×10^{-6}	7.32×10^{-7}		
	Gravel	0.01	0.002	2.70×10^{-5}	7.32×10^{-6}	7.32×10^{-7}		
		k_{a1} (1/min) ^f	k_{d1} (1/min) ^f	k_{a2} (1/min) ^f	k_{d2} (1/min) ^f			
DNA	Loamy sand	1.58×10^{-3}	1.24×10^{-3}	1.96×10^{-3}	5.72×10^{-6}			
	Gravel	1.58×10^{-3}	1.24×10^{-3}	1.96×10^{-3}	5.72×10^{-6}			

339 θ_r and θ_s are the residual and saturated volumetric water contents, a is the inverse of the air-entry value, n is the
 340 pore-size distribution index, K_s is the saturated hydraulic conductivity, l is the pore-connectivity parameter, ρ_b is the
 341 bulk density. λ_L and λ_T are the longitudinal and transverse dispersivities, D_d is the molecular diffusion coefficient, μ_w
 342 and μ_s are the first-order degradation rate constants of the free and attached DNA tracers, respectively, k_a and k_d
 343 are attachment and detachment rate coefficients, respectively, and subscripts 1 and 2 referring to the two different
 344 kinetic sites.

345 ^a Prescribed parameters.

346 ^b Inversely solved parameters.

347 ^c Parameters inversely solved by trial-and-error.

348 ^d DNA transport parameters inherited from D transport parameters.

349 ^e Parameters estimated by trial-and-error.
 350 ^f Parameters inversely solved for loamy sand and assigned to gravel.
 351

352 **Table 3.** Mass balance of water, D and DNA.

Water		D		DNA	
Total Rainfall (mm)	1,759	Total Injection (mmol)	2,443	Total Injection ($\times 10^{13}$ copies)	132
Total Evaporation (mm)	36	Total Discharged (mmol)	2,433	Total Discharged ($\times 10^{13}$ copies)	1.42
Total Discharge (mm)	1,721	Total Retention (mmol)	10	Total Retention ($\times 10^{13}$ copies)	117.38
Change of Storage (mm)	2			Total Degradation ($\times 10^{13}$ copies)	13.2

353 *The mass balance data for water and D is from Wang et al. (2019)*

354

355 The discharge ($R^2 = 0.961$ and Nash-Sutcliffe Efficiency (NSE) = 0.948) and cumulative
 356 discharge ($R^2 = 0.999$ and NSE = 0.999) from the miniLEO lysimeter (Figures 1a and 1e) were
 357 captured very well by the Richards' equation. Because of the high precipitation input in the
 358 system (180 mm/day) evaporation losses from the lysimeter were omitted in the HYDRUS
 359 simulation (Table 3). The goodness of fit measures decreased for the simulated water table at the
 360 midslope ($R^2 = 0.900$ and NSE = 0.878, Figure 1c), upslope ($R^2 = 0.909$ and NSE = 0.778,
 361 Figure 1b), and downslope position ($R^2 = 0.644$ and NSE=0.551, Figure 1d). And the goodness
 362 of fit measures were satisfactory for the simulated volumetric water content at the Observation
 363 Node 4 ($R^2 = 0.946$ and NSE=0.849, Figure 1f), 5 ($R^2 = 0.845$ and NSE=0.641, Figure 1g) and 6
 364 ($R^2 = 0.940$ and NSE=0.685, Figure 1h). The small discrepancies between the observed and the
 365 simulated water tables and volumetric water contents over the duration of the experiment might
 366 be attributed to the gradual settling of the porous media in the experimental lysimeter, making

367 the porous media not static. In addition, air entrapment and hysteresis effects of the cyclical
368 rainfall events could also have caused some discrepancies in the discharge behavior. Overall, the
369 satisfactory simulation of discharge, water table and volumetric water content dynamics suggests
370 that the simulated water flow field in the system was very similar to the experimental
371 observation, which provided a solid foundation for the simulation of the D and DNA transport in
372 the system.

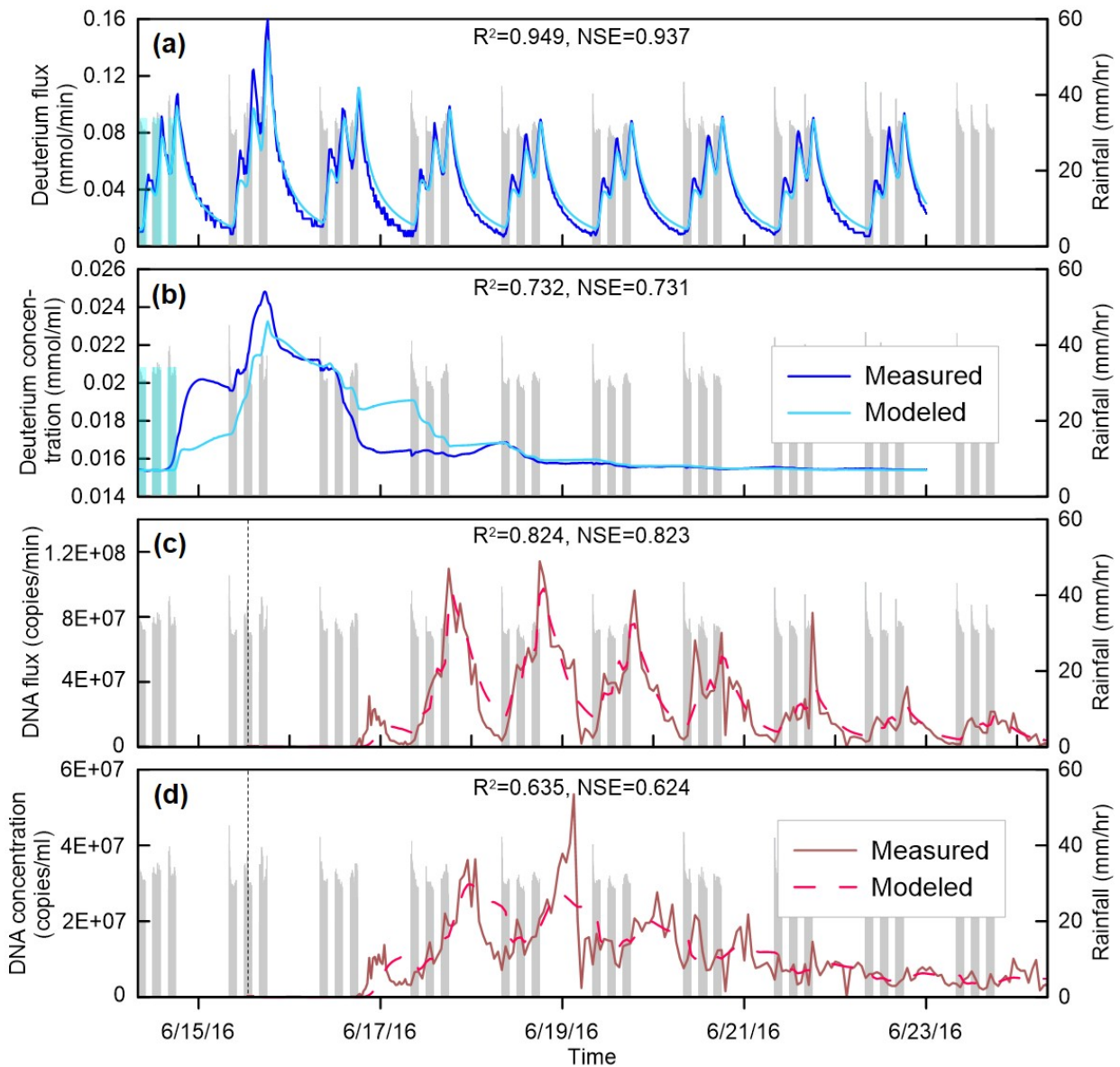
373

374 *3.2 Transport of D tracer*

375

376 The D tracer concentration started to rise during the third rainfall event on Day 1 of the
377 experiment and peaked at the end of the third rainfall event on Day 2 (Figure 2b). This indicated
378 that the D tracer applied during the first rainfall event on Day 1 started to reach the outlet during
379 the third rainfall event on the same day, and that the regular rainwater applied on Day 2 started to
380 dilute the D in the discharge at the end of the third rainfall event on Day 2.

381



382

383 **Figure 2.** Deuterium flux (a) and concentration (b, breakthrough curve, i.e., transit time
 384 distribution), and DNA flux (c) and concentration (d, breakthrough curve, i.e., transit time
 385 distribution). The grey bars indicate the rainfall condition for each plot. Light blue bars in (a) and
 386 (b) show the injection of the Deuterium tracer. The black dotted lines in (c) and (d) indicate the
 387 input of DNA tracer solution.

388

389 One potential difficulty when simulating D transport is the occurrence of isotopic fractionation
390 due to evaporation (Leibundgut et al., 2011). Hence, D behaves differently compared to
391 conservative solutes that remain in the system when water evaporates (Stumpp et al., 2012).
392 However, when simulating the water flow of the miniLEO experiment, we assumed that
393 evaporation was negligible in the system. The mass balance of water (Table 3) and the accurate
394 simulation of cumulative discharge (Figure 1e) confirmed this assumption. Therefore, we could
395 treat D as a conservative solute in our simulation.

396 The D flux was simulated by adopting the best fit soil hydraulic parameters and using a mutual
397 heavy water diffusion coefficient of D in water from the literature (Dahal and Adhikari, 2012;
398 Meng et al., 2018) ($D_d = 1.33 \times 10^{-3} \text{ cm}^2/\text{min}$) and inversely solve the longitudinal and transverse
399 dispersivities. Under variably saturated conditions, the dispersivities depended not only on the
400 dimensions of the lysimeter, the median diameter of the porous media particle, free-water
401 diffusion coefficient of the solute, the pore flow velocity (Carey et al., 2018; Chrysikopoulos and
402 Katzourakis, 2015; Cupola et al., 2015; Mahmoodlu et al., 2020), but also on the water content in
403 the unsaturated soil (Mortensen et al., 2006; Raoof and Hassanizadeh, 2013). The trial-and-error
404 obtained best fit values were $\lambda_L = 0.15 \text{ cm}$ and $\lambda_T = 0.03 \text{ cm}$ for the loamy sand and $\lambda_L = 0.01 \text{ cm}$
405 and $\lambda_T = 0.002 \text{ cm}$ for the gravel (Table 2). The D flux and concentration were well captured by
406 the advection-dispersion equation (Bear, 1972; Schijven and Šimůnek, 2002) ($R^2 = 0.949$ and
407 $\text{NSE} = 0.937$ for flux, $R^2 = 0.732$ and $\text{NSE} = 0.731$ for concentration, Figures 2a and 2b).
408 Sensitivity analysis results showed that a small change (5%) of any one of these four
409 dispersivities would lead to the decrease of the NSE of the simulated D concentration (Figure
410 S5). Therefore, the obtained combination was accepted as the best fit dispersivities. However, the

411 concentration peak was underestimated, and the predicted concentration decreased slower than
412 the measured one (Figure 2b). We expect that this might be due to some errors in the real-time
413 sampling and measurement of D , as well as the gradually changing experimental porous media,
414 not represented by the static porous media used in the simulation.

415 *3.3 Transport of free DNA tracer*

416

417 The DNA tracer concentration started to rise at the end of the third rainfall event on Day 3 and
418 exhibited clear successive peaks every day for the remainder of the experiment (Figure 2d).
419 DNA concentration peaked every day during the night, when there was no rainfall input (Figure
420 2d), when the system was draining, and discharge was low (Figure 1a). This appearance of DNA
421 tracer concentration peaks opposite to the discharge peaks is consistent with findings by
422 Powelson and Mills (2001), who observed effluent colloid concentration peaks that were out of
423 phase with the discharge and water content peaks in a variably saturated flow system. Crist et al.
424 (2004) demonstrated that under partly saturated condition (like our experiment) negatively
425 charged hydrophilic colloids (i.e., our free DNA under the pH of 8.45 ± 0.60 , the electrical
426 conductivity of $271.7 \pm 63.2 \mu\text{S}/\text{cm}$, and the ionic strength of $3.53 \pm 0.82 \text{ mmol}/\text{L}$, at which the
427 free DNA suspension is very stable) were trapped by and moved with air-water-solid (AWS)
428 contact lines. According to Wang et al. (2020), the movement of the drying front AWS contact
429 lines could mobilize DNA attached to SWIs; whereas the movement of the wetting front AWS
430 contact lines could attach DNA to SWIs. Under our experimental condition, the movement of
431 drying front AWS contact lines was initiated by ceasing of rainfall. And the longest continuous
432 movement of the drying front AWS contact lines mobilizing and carrying DNA was after the

433 ceasing of the last rainfall on each day, i.e. during the night. This explains why our DNA
434 concentration peaks were during the night.

435 Total recovery of free DNA at the end of the 10-day experiment was 1.08% (Table 3, 1.42×10^{13}
436 copies by observation and 1.46×10^{13} copies by modeling) and, as indicated in Figure 2d, the
437 breakthrough of the free DNA did not stop before the end of the experiment was reached,
438 indicating that if the experiment would have been conducted for several more days, the recovery
439 rate could have been higher, though still far from the recovery rate of the D tracer (97.78%,
440 Table 3). To eliminate the time limit of the experiment and find the total recovery rate of free
441 DNA, the model was run for 50 days, and the recovery rate was 1.20 % (1.59×10^{13} copies by
442 modeling, Figure S7). The low recovery rate of DNA was mainly due to the irreversible
443 attachment of the free DNA at solid-water interfaces, i.e., the surface of the soil particles, which
444 will be discussed below. Our recovery rate of the free DNA tracer was comparable to the double-
445 stranded free DNA tracers of high recovery tested by Pang et al. (2020), and one order of
446 magnitude higher than their double-stranded free DNA tracers of low recovery (Figure 8b of
447 Pang et al. (2020)).

448 The simulation of the DNA flux and concentration with the two-site kinetic sorption model ($R^2 =$
449 0.824 and $NSE = 0.823$ for flux, $R^2 = 0.635$ and $NSE = 0.624$ for concentration, Figures 2c and
450 2d) was reasonably good. The diffusion coefficient of the 88 nucleotides single-stranded DNA
451 molecule was adopted from literature (Nkodo et al., 2001) ($D_d = 2.70 \times 10^{-5}$ cm²/min). The
452 longitudinal and transverse dispersivities were inherited from the best fit values of the D
453 transport: $\lambda_L = 0.15$ cm and $\lambda_T = 0.03$ cm for loamy sand, and $\lambda_L = 0.001$ cm and $\lambda_T = 0.002$ cm
454 for gravel (Table 2). The first-order degradation rate constants of the free DNA tracer (μ_w) and

455 attached DNA tracer (μ_s) were found to be $7.32 \times 10^{-6} \text{ min}^{-1}$ and $7.32 \times 10^{-7} \text{ min}^{-1}$, respectively, by
456 trial and error. The $7.32 \times 10^{-6} \text{ min}^{-1}$ first-order degradation rate constant was equivalent to a
457 degradation of only 10% free DNA tracers in the 10-day experiment, which was much lower
458 than the free DNA degradation rate in other soils (Sirois and Buckley, 2019). It was reasonable
459 considering that the “loamy sand” porous media in the miniLEO was freshly crushed basaltic
460 tephra (i.e., crushed rocks) and the microbes and DNase that could potentially degrade DNA in
461 regular soils were rare in the miniLEO soil (Sengupta et al., 2016). A previous experiment
462 showed that after the freshly crushed “loamy sand” basaltic tephra was irrigated for 18 months,
463 there emerged some microbes (Sengupta et al., 2016); whereas, our experiment only lasted for
464 only 10 days. The $7.32 \times 10^{-7} \text{ min}^{-1}$ first-order degradation rate constant of attached DNA was one
465 order of magnitude less than that of free DNA, which was consistent with previous findings that
466 attachment of free DNA onto minerals or colloids inhibits its degradation (Pang et al., 2020). The
467 sensitivity analysis showed that the model was insensitive to μ_s as long as it was much less than
468 μ_w (Figure S6a and S6c), which means that the attachment to porous media could indeed largely
469 inhibit the degradation of DNA.

470 The initial values of the attachment and detachment rates for both sites of the two-site kinetic
471 sorption model were set as $k_{a1} = k_{d1} = k_{a2} = k_{d2} = 1.0 \times 10^{-4} \text{ min}^{-1}$ according to the adsorption rate
472 constant measured for a 90 bp double-stranded DNA transport through a sand column by (Zhang
473 et al., 2021). Since it was found that the water flow, and, therefore, the DNA transport rarely pass
474 the gravel layer (Figure S4), only the four attachment and detachment rates of the loamy sand
475 were inversely solved, to avoid simultaneously fitting too many parameters and to guarantee the
476 uniqueness of the solution. And then it was assumed that the four attachment and detachment

477 rates of the gravel were the same as those of the loamy sand. This assumption was supported by
478 the sensitivity analysis showing that the model is sensitive to the four attachment and detachment
479 rates of the loamy sand (except for k_{d2}), but insensitive to the four attachment and detachment
480 rates of the gravel (Figures S6b and S6d). For the kinetic sorption site 1, the best fit detachment
481 rates were close to the attachment rates (Table 2, $k_{d1} = 1.24 \times 10^{-3} \text{ min}^{-1}$ and $k_{a1} = 1.58 \times 10^{-3} \text{ min}^{-1}$
482 for both loamy sand and gravel), which suggests that the attachment of DNA molecules to this
483 site was reversible. In contrast, for the kinetic sorption site 2, the best fit detachment rates were
484 three orders of magnitude less than the attachment rates (Table 2, $k_{d2} = 5.72 \times 10^{-6} \text{ min}^{-1} \ll k_{a2} =$
485 $1.96 \times 10^{-3} \text{ min}^{-1}$ for both loamy sand and gravel), which suggests that for kinetic sorption site 2
486 the attachment process was almost irreversible. And sensitivity analysis showed that model
487 worked well as long as k_{d2} was much less than k_{a2} (Figures S6b and S6d).

488 The overall fitness of the 2D two-site kinetic sorption model to the free synthetic DNA
489 breakthrough was reasonably good (Figure 2d). The overall trends were very similar, but the
490 estimated concentration was smoother than the measured concentration, i.e. the peaks were
491 underestimated, and the valleys were overestimated (Figure 2d). Similar to the findings of
492 Schijven and Šimůnek (2002), the two-site kinetic sorption model does an excellent job in
493 capturing the gradual decrease in DNA tracer concentrations over the course of the experiment.
494 However, as can be seen from Figure 2d, the two-site kinetic sorption model does not completely
495 describe the peaks of the measured DNA tracer breakthrough curves, indicating that other types
496 of kinetic sites might have been present in the system influencing the attachment/detachment of
497 DNA molecules or that DNA transport is additionally complicated by effects of soil
498 heterogeneity or dimensionality of the problem. Also, the way the DNA concentration was

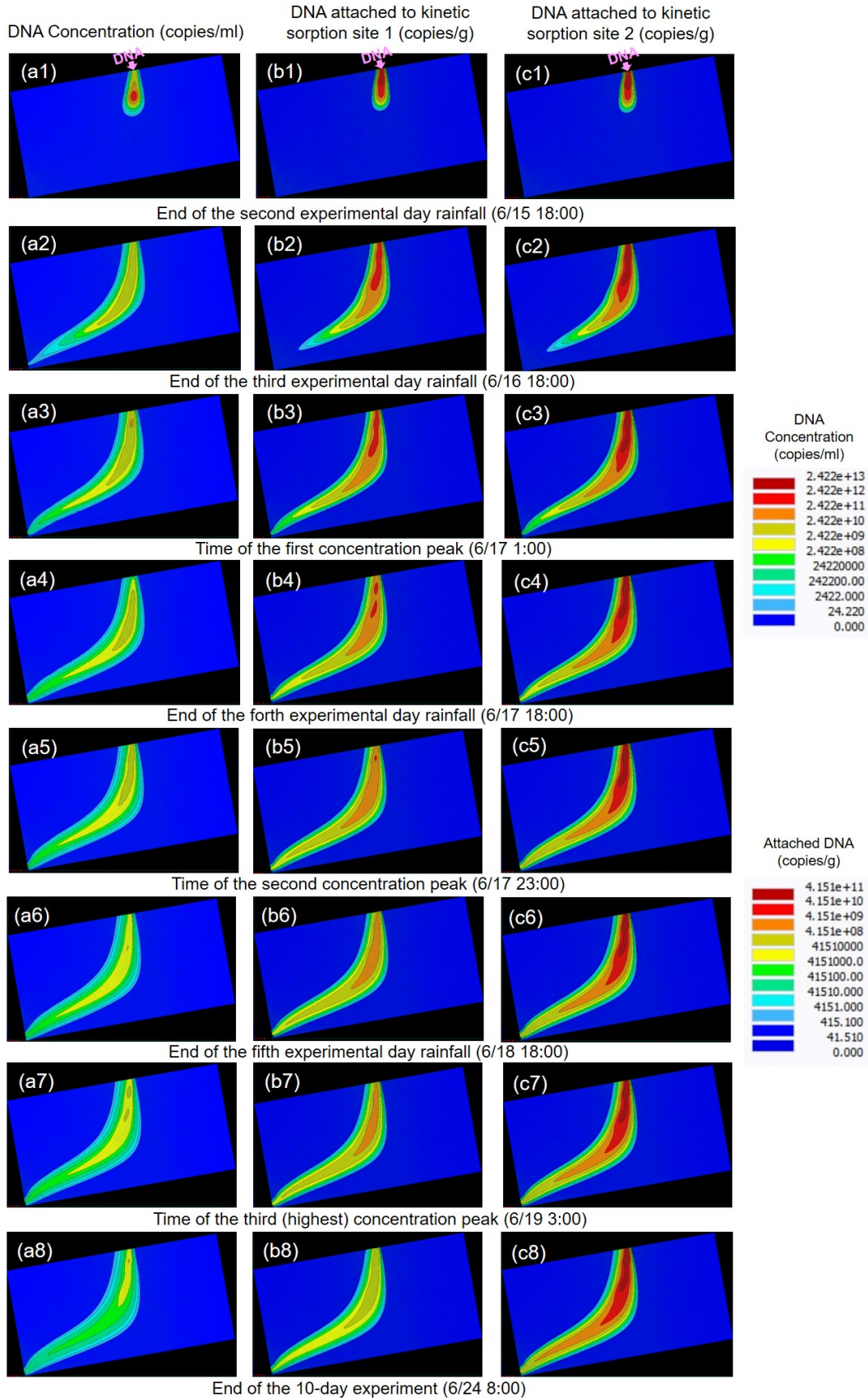
499 measured caused the data to scatter. A 4 μ l subsample was taken from each discharge sample for
500 DNA copy analysis and then the concentration (e.g. measured copies/ml) was multiplied by 250
501 to estimate a total concentration for the sample. This means that a small error in mixing the
502 sample and taking the subsample for qPCR analysis would be amplified by a factor of 250 in the
503 measured DNA concentration. Finally, the first three simulated peaks were slightly later than the
504 measured ones, which could be attributed to the negatively charged DNA and the like-charged
505 soil particles, or the colloidal-sized DNA tracer, possibly leading to a slight anion exclusion or
506 size exclusion effect, resulting in slightly earlier breakthrough than simulated.

507 Validated by DNA flux and concentration, the model was used to reveal the temporal and spatial
508 distribution of DNA in the lysimeter (Figure 3), which would be difficult to experimentally
509 determine. After injection, the DNA tracer kept moving downward (Figures a1, b1 and c1), and
510 started to move toward the outlet after reaching the variably saturated zone (Figures a2, b2, c2
511 and S1a, S4). However, after reaching the outlet, the spatial distribution of the DNA
512 concentration in the lysimeter showed different transport patterns during day and night time
513 (Figures a2-a7), which agreed with the DNA breakthrough curve (Figure 2d). When there was
514 rainfall input during day time (every day from 8:00 to 18:00), the discharge was increasing in
515 response (Figure 1a), and the DNA tracers moved downward (e.g., from Figure a3 to a4).
516 However, when there was no rainfall input during night (from every day 18:00 to next day 8:00),
517 the discharge started to decrease after reaching the peak at 18:00 (Figure 1a). The drying front,
518 consisting of solid-water-air contact lines, kept moving downward dislodging DNA strands
519 attached to solid surface adsorption sites along their way (e.g., from Figure b4 to b5). As a result,
520 at many locations in the system, the liquid-phase DNA tracer concentration increased, and it

521 looked like DNA moved upward in the spatial distribution plots during night (e.g., from Figure
522 a4 to a5). On the next day during day time (from 8:00 to 18:00), the cleaned solid surface
523 adsorption sites were available for DNA strands when the wetting front, consisting of solid-
524 water-air contact lines of the reversed direction, passing the solid surface (e.g., from Figure c5 to
525 c6); the new incoming water also diluted the soil pore water. As a result, at many locations in the
526 system, the liquid-phase DNA tracer concentration decreased, and DNA moved downward again
527 in the spatial distribution plots (e.g., from Figure a5 to a6). This diurnal cycle was repeated until
528 the end of the experiment (from Figure a2 to a8). It is clear from the spatial and temporal
529 distribution of attached DNA that the kinetic sorption site 1 was reversible, as the attachment
530 decreased (from Figure b3 to b8) after reached a peak (Figure b3); whereas the kinetic sorption
531 site 2 was irreversible, as the attachment kept increasing (from Figure c1 to c8). Also, except for
532 the initial stage (Figures b1 and c1), the attachment to the kinetic sorption site 1 was one to three
533 magnitude less than the attachment to the kinetic sorption site 2 (comparing Figures b2-b8 to
534 Figures c2-c8). Therefore, the retention of the DNA was dominated by the irreversible kinetic
535 sorption site 2. And this explained why extending the simulation up to 50 days could not
536 improve recovery rate much (Figure S7). More snapshots of DNA concentration, total attached
537 DNA, and DNA attached to the two kinetic sorption sites are available in Figures S8-S11 in the
538 Supporting Information.

539

540



542 Simulated spatial distribution of the DNA tracer in the lysimeter: column (a) is DNA
543 concentration in water, column (b) is DNA attached to the kinetic sorption site 1, and column (c)
544 is DNA attached to the kinetic sorption site 2. Note that the legend of the column (a) is copies of
545 DNA per milliliter of water; while the legend of the columns (b) and (c) is copies of DNA per
546 gram of loamy sand. The selected times were either corresponding to the concentration peaks or
547 were important stages of the experiment.

548

549 **4. Conclusions**

550 As highlighted by the numerous field and laboratory studies conducted in the past 10 years,
551 synthetic DNA tracers have the potential to realistically mimic microbial and solute tracer (e.g.
552 dyes, bromide, chloride) transport in saturated and unsaturated porous media systems while
553 requiring lower detection limits and lower tracer mass. Yet, many of these studies have purely
554 focused on comparing the transport of synthetic DNA to other conservative tracers in fast-flow
555 systems or in porous media under saturated steady-state conditions.

556 ● In the present work, we have for the first time simulated the transport mechanisms of free
557 synthetic DNA tracers through the vadose zone under variably saturated transient flow
558 condition using the Richards' equation and the Schijven and Šimůnek two-site kinetic
559 sorption model recommended for virus transport modeling in HYDRUS-2D.

560 ● The degradation of the DNA tracer was very slow (estimated to be 10% in 10 days), because
561 the “loamy sand” porous media in our lysimeter was freshly crushed basaltic tephra (i.e.,

562 crushed rocks) and the microbes and DNase that could potentially degrade DNA in regular
563 soils were rare in our “loamy sand”.

564 ● The timing of the concentration peaks and the HYDRUS-2D simulated temporal and spatial
565 distribution of DNA in the lysimeter both revealed the role of the solid-water-air contact
566 lines in mobilizing and carrying DNA under the experimental variably saturated transient
567 flow condition. The free DNA was nearly non-selectively transported through the porous
568 media, and showed a slightly early breakthrough, possibly due to a slight effect of anion
569 exclusion or size exclusion.

570 ● Overall, the observed and modeled DNA transport presented here suggests that the synthetic
571 DNA tracer share similar transport properties as viruses, as the transport could be captured
572 well by the two-site kinetic sorption model recommended for virus transport modeling.

573 ● Given its transport dynamics, synthetic DNA tracers have the potential to be used to trace
574 the transport of viruses and other biocolloids in soil-water systems.

575 ● Conducting controlled experiments comparing transport of synthetic DNA tracers and
576 viruses of interest through vadose zone systems and simulating their transport using the two-
577 site kinetic sorption model could improve understanding of the use of synthetic DNA tracers
578 as tracer surrogates to study virus transport in soil-water systems.

579 ● The use of synthetic DNA tracers would allow identifying sources and pathways of viruses
580 in the watershed to devise targeted measures to regulate viral source areas such as septic
581 systems or sewage sludge disposal areas within a watershed.

582

583 **Supporting Information**

584 Experimental details, water flow modeling, deuterium tracer transport modeling, inverse
585 modeling details, water flow and hydraulic information, sensitivity analysis, the simulated fate
586 and transport of DNA tracer in the lysimeter.

587

588 **Notes**

589 The authors declare no competing financial interest.

590

591 **Acknowledgements**

592 This work was supported by the National Natural Science Foundation of China (grant numbers:
593 51909264, 52130902); the Heising-Simons Foundation (grant #2014-59); an Axel Wenner-Grens
594 Stiftelse sabbatsstipendium and the Baltic Sea Center and Baltic Nest Institute at Stockholm
595 University. The authors would like to thank Ciaran Harman, Aditi Sengupta, Nathan Abramson,
596 Antônio Meira, Yadi Wang, and the STAR students for their support and help at Biosphere2.
597 And the authors would like to thank the anonymous reviewers' valuable comments helping us
598 improve the modeling and the manuscript.

599

600 **Author Contributions**

601 The manuscript was written with contributions of all authors. All authors have given approval to
602 the final version of the manuscript.

603

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