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### Title

NLR surveillance of essential SEC-9 SNARE proteins induces programmed cell death upon allorecognition in filamentous fungi

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1 ***NLR surveillance of essential SEC-9 SNARE proteins induces programmed cell***  
2 ***death upon allorecognition in filamentous fungi***

3  
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15  
16 **Short title:**

17 **Death induction by a fungal NOD-like receptor**

18  
19 **Classification:**

20 Biological Sciences, Genetics

21  
22 **Keywords**

23 NOD-like receptors, allorecognition, trans-species polymorphism, balancing  
24 selection, SEC-9 SNARE, fungal immunity, Neurospora, Podospora

25 **Abstract**

26 In plants and metazoans, intracellular receptors that belong to the NOD-  
27 like receptor (NLR) family are major contributors to innate immunity.  
28 Filamentous fungal genomes contain large repertoires of genes encoding for  
29 proteins with similar architecture to plant and animal NLRs with mostly  
30 unknown function. Here, we identify and molecularly characterize PLP-1, an  
31 NLR-like protein containing an N-terminal patatin phospholipase domain, a  
32 nucleotide binding domain and a C-terminal tetratricopeptide repeat (TPR)  
33 domain. PLP-1 guards the essential SNARE protein SEC-9; genetic differences at  
34 *plp-1* and *sec-9* function to trigger allorecognition and cell death in two distantly  
35 related fungal species, *Neurospora crassa* and *Podospora anserina*. Analyses of  
36 *Neurospora* population samples revealed that *plp-1* and *sec-9* alleles are highly  
37 polymorphic, segregate into discrete haplotypes and show trans-species  
38 polymorphisms. Upon fusion between cells bearing incompatible *sec-9* and *plp-1*  
39 alleles, allorecognition and cell death are induced, and which is dependent upon  
40 physical interaction of SEC-9 and PLP-1. The central nucleotide binding domain  
41 and patatin phospholipase activity of PLP-1 are essential for allorecognition and  
42 cell death, while the TPR domain and the polymorphic SNARE domain of SEC-9  
43 function in conferring allelic specificity. Our data indicates that fungal NLR-like  
44 proteins function similarly to NLR immune receptors in plants and animals,  
45 showing that NLRs are major contributors to innate immunity in plants and  
46 animals and for allorecognition in fungi.

47

48 **Significance**

49 NOD-like receptors are fundamental components of plant and animal  
50 innate immune systems. Some fungal proteins with NLR-like architecture are  
51 involved in an allorecognition process that results in cell death, termed  
52 heterokaryon incompatibility. A role for fungal NLR-like proteins in pathogen  
53 defense has also been proposed. Here, we show that a fungal NLR-like protein,  
54 PLP-1, monitors the essential SNARE protein SEC-9 in two distantly related  
55 fungal species, *Neurospora crassa* and *Podospora anserina*. Both *plp-1* and *sec-9*  
56 are highly polymorphic in fungal populations and show evidence of balancing  
57 selection. This study provides biochemical evidence that fungal NLRs function

58 similarly to NLRs in plants and animals, indicating that these fundamental  
59 players of innate immunity evolved independently in all three kingdoms.

60

61 **\body**

## 62 **Introduction**

63 STAND-like NTPases are broadly distributed across all domains of life,  
64 potentially facilitated by horizontal gene transfer (1). In plants and metazoans,  
65 intracellular STAND-like NTPases of the nucleotide-binding domain (NBD),  
66 leucine-rich repeat (LRR) superfamily serve as sensors of pathogen derived  
67 effector proteins (plants and metazoans) and pathogen associated molecular  
68 patterns (metazoans), making them major constituents of innate immunity (2, 3).  
69 Upon pathogen recognition, these NOD-like receptors (NLRs) control  
70 programmed cell death (PCD) reactions in plants (hypersensitive response) and  
71 metazoans (apoptosis, pyroptosis, necroptosis) to prevent the spread of the  
72 infection (3-5).

73 NLR proteins have a characteristic tripartite domain organization with a  
74 central NBD, an N-terminal downstream-acting domain and C-terminal ligand-  
75 binding domains composed of superstructure-forming repeats (3). Despite  
76 similar modes of action of plant and metazoan NLRs and the involvement of  
77 related domains, phylogenetic analyses suggest that the typical domain  
78 architecture evolved *de novo* and independently (6). The genomes of filamentous  
79 fungi also encode genes with a core architecture similar to animal and plant  
80 NLRs. Some characterized fungal NLRs have been associated with a conspecific  
81 allorecognition process termed heterokaryon incompatibility (HI) (7, 8). During  
82 HI, heterokaryotic cells that are generated via cell fusion between genetically  
83 incompatible strains are rapidly compartmentalized and undergo PCD (7). HI has  
84 been shown to restrict mycovirus transfer between fungal colonies (9). Because  
85 an additional role for fungal NLR-like proteins during xenorecognition as part of  
86 a fungal innate immune system has been proposed (10, 11), an understanding of  
87 fungal NLR function could serve as a basis to study the general evolutionary  
88 origin of NLR-mediated pathogen defense and innate immunity.

89 Molecular models of NLR proteins are mostly based on studies in plants  
90 and metazoans. Intra-molecular domain interactions are thought to keep NLRs in

91 a suppressed state. Recognition of xenogeneous ligands (*e.g.* PAMPS or pathogen  
92 effectors (12)), but also allogeneous ligands (*e.g.* during hybrid necrosis (13)) via  
93 C-terminal LRRs induces conformational changes that activate the NBD, thus  
94 initiating downstream reactions via functions of the N-terminal domains (14).  
95 Oligomerization can be part of the activation of animal NLRs (15). Several plant  
96 NLRs have been hypothesized to also form oligomers, although the function of  
97 the NBD for plant NLR oligomerization remains unresolved (16). The conserved  
98 domain architecture of NLRs across kingdoms suggests that similar modes of  
99 activation occur even if primary sequences and downstream functions can be  
100 diverse.

101 Here, we molecularly characterize a filamentous fungal NLR controlling  
102 an allorecognition and PCD process that acts at the germling stage (*i.e.* in  
103 germinated asexual spores), a process termed germling regulated death (GRD).  
104 In a *Neurospora crassa* population, the GRD phenotype was associated with  
105 polymorphisms at the *sec-9* and *plp-1* loci. Cells from different GRD haplotypes  
106 (and thus with alternative alleles at *sec-9* and *plp-1*) underwent rapid cell death  
107 following germling fusion. The *sec-9* locus encodes an essential SNARE (Soluble  
108 NSF Attachment Protein Receptor) protein, while *plp-1* encodes a fungal NLR. Co-  
109 immunoprecipitation experiments showed that interactions of incompatible  
110 SEC-9 and PLP-1 encoded by different haplogroups induce PLP-1  
111 oligomerization associated with PCD. Additionally, we show that orthologs of  
112 *sec-9* and *plp-1* in *Podospora anserina*, which diverged from *N. crassa* ~75 mya,  
113 also mediate allorecognition and PCD. These data indicate the importance of this  
114 NLR-based surveillance system of the SEC-9 SNARE in filamentous fungi and  
115 show that common molecular mechanism underlies NLR function in animals,  
116 plants and fungi.

117

## 118 **Results**

### 119 **GRD haplotypes in a *N. crassa* population are associated with genomic** 120 **rearrangements and with loci that encode highly divergent alleles.**

121 Analysis of germling communication phenotypes between wild isolates of  
122 a *N. crassa* population (17) revealed that some fused germling pairs between  
123 genetically different wild isolates die ~20 min post-fusion, indicated by strong

124 vacuolization, cessation of cytoplasmic flow and uptake of the vital dye SYTOX®  
125 Blue (S1 Movie, S2 Movie). We refer to this rapid cell death phenotype following  
126 fusion as germling regulated death (GRD) (Fig. 1).

127         Death rates of fused germlings were quantified using vital dyes and flow  
128 cytometry (see Material and Methods; Fig. S1A, B). Germlings from single strains  
129 (self fusion) showed death frequencies of ~5%. When germlings of different GRD  
130 background were paired, death frequencies significantly increased (~50%)  
131 (when mixed in a 1:1 ratio, ~50% germling death rate corresponds to the  
132 maximal death rate to be expected if all fused germlings of different GRD  
133 background die). Importantly, GRD was not germling specific, but also occurred  
134 after fusion of mature hypha of different GRD background. Analyses of the  
135 segregation of the GRD phenotype in F1 progeny from a cross between a GRD1  
136 strain (FGSC 2489) and a GRD3 strain (JW258) showed that the GRD phenotype  
137 segregated as a Mendelian trait.

138         To identify the GRD locus, we performed a bulk segregant analysis and  
139 whole genome re-sequencing of progeny pools with identical GRD phenotype  
140 from a backcross of F1 progeny with a GRD1 strain (FGSC 2489). Bulk  
141 segregant analysis revealed a ~180 kbp region on the right arm of linkage group  
142 I (LGI) that showed segregation of SNPs between the different GRD phenotype  
143 pools at ~100% frequency (Fig. S2A). A random SNP distribution was observed  
144 for the rest of LGI and for the remaining 6 LGs of *N. crassa*.

145         To further refine the GRD locus within the ~180 kbp region, re-  
146 sequencing data from 23 wild isolates from this same *N. crassa* population was  
147 analyzed (18), revealing a 55 kbp region that showed four different genomic  
148 rearrangements spanning 21 loci (NCU09237 to NCU09253; gene nomenclature  
149 based on the reference genome FGSC 2489 (19)). We refer to these genomic  
150 rearrangements as germling regulated death haplotype 1 through 4 (GRDH1-4)  
151 (Fig. 2A). To determine whether structural differences between GRDHs were  
152 associated with nucleotide differences in particular genes, we used sequence  
153 alignments to characterize the nature and level of variability of genes within and  
154 between the haplogroups. Among the loci in the genetic interval associated with  
155 GRDHs, only NCU09243 and NCU09244 displayed high levels of allelic variability  
156 in the genomes of the 23 isolates (Table S1; Fig. S2B). Both genes were among

157 the most polymorphic genes of the *N. crassa* population, in the top 0.1% for the  
158 number of polymorphic sites  $S$  and nucleotide diversity  $\pi$  (comparison with a set  
159 of 8621 reference genes from (18)). High positive Tajima's  $D$  values indicated the  
160 presence of NCU09243 and NCU09244 alleles at an intermediate frequency in  
161 the population, and gene genealogies revealed four long-diverged haplogroups  
162 (Table S2; Fig. 2A, B; Fig. S2C, E) that correlated with the genomic  
163 rearrangements of the four GRDHs. Alleles at NCU09243 and NCU09244  
164 between members of different GRDHs were highly divergent with nucleotide  
165 divergence per site ranging from 25% to 49%. In contrast, nucleotide diversity of  
166 NCU09243 and NCU09244 alleles within a single GRDH was 2 orders of  
167 magnitude lower than divergence between GRDHs ( $\pi$  ranging from 0 to  
168 0.01105/bp), comparable with the rest of the genome (average  $\pi=0.007416$ /bp;  
169 sd: 0.008449). All other genes in the 55 kbp region were significantly less  
170 polymorphic than NCU09243 and NCU09244 (Fig. S2B, C) and gene genealogies  
171 did not show long-diverged haplotypes (Fig. 2A, B; Fig. S2D). Exceptions were  
172 NCU09247 and NCU16494, which showed high Tajima's  $D$  values ( $D=1.40$ ,  
173  $D=1.42$ , respectively), but haplotype groups in these genes did not track with  
174 GRDHs (Fig. S2C, D). The GRDH2, GRDH3 and GRDH4 strains also contain a  
175 duplication of NCU09244, but are missing NCU09245, which is only present in  
176 GRDH1 strains (Fig. 2A). Both NCU09244 and NCU09245 encode proteins with  
177 predicted patatin-like phospholipase domains suggesting they are paralogs, in  
178 spite of low total amino acid sequence identity ( $\sim 18\%$ ) (Table S1). In addition to  
179 the N-terminal patatin phospholipase domain, NCU09244 also has a nucleotide  
180 binding domain (NB-ARC type) and C-terminal tetratricopeptide repeats (TPR), a  
181 domain structure described for NOD-like receptors (3, 10). We called this gene  
182 *plp-1* (patatin-like phospholipase). NCU09245 has a similar structure to PLP-1  
183 but is missing the NBD domain. NCU09245 was named *plp-2*.

184 NCU09243 has homology to the t-SNARE protein Sec9 of *Saccharomyces*  
185 *cerevisiae*, which is required for secretory vesicle-plasma membrane fusion (20).  
186 We therefore named NCU09243 *sec-9*. The *plp-1* and *sec-9* genes of *N. crassa* are  
187 orthologs of *vic-2* and *vic-2a*, respectively, that confer *vic-2* vegetative  
188 incompatibility in the chestnut blight fungus *Cryphonectria parasitica* (21).

189

190 **Alleles at *sec-9* and *plp-1/plp-2* show signatures of balancing selection.**

191 The finding of four highly divergent GRD haplotypes suggested a  
192 relatively ancient origin of the GRD locus. To test this hypothesis, we performed  
193 phylogenetic analyses of alleles at NCU09242 through NCU09247 from the 23  
194 wild *N. crassa* isolates, as well as alleles at these same loci from a population  
195 sample from the distantly related species *Neurospora discreta*. For NCU09242,  
196 NCU16494, and NCU09247 allelic lines from within species were reciprocally  
197 monophyletic (Fig. 2B; Fig. S2D), as predicted by theory (22), given the estimated  
198 divergence time between *N. crassa* and *N. discreta* (7–10 million years ago (23))  
199 and their effective population size (circa  $10^6$  and  $10^4$  individuals, respectively  
200 (24, 25)). However, for *sec-9* and *plp-1*, no reciprocal monophyly was observed,  
201 indicating that the age of allelic lines exceeds the age of speciation events (Fig.  
202 2B; Fig. S2E). This phenomenon is referred to as trans-species polymorphism  
203 (TSP) and has been observed in *N. crassa* for other loci involved in non-self  
204 recognition (17, 18, 26). The observed pattern of TSP is consistent with  
205 balancing selection and limited recombination among alleles causing the  
206 evolution of highly divergent haplogroups. Inferred genealogical histories of *sec-*  
207 *9*, *plp-1*, and *plp-2* were in fact concordant with differences in patterns of  
208 genomic arrangements among GRD haplotypes, suggesting limited  
209 recombination across the whole region: alleles from GRDH1 to GRDH4 were in  
210 distinct clades for *sec-9*, *plp-1/plp-2* (*plp-2* was in a separate clade, because it is  
211 only present in GRDH1 strains, while *plp-1.2* of GRDH3 and GRDH4 sequences  
212 clustered together (Fig. 2B; Fig. S2E).

213

214 **Non-allelic genetic interactions between *plp-1* and *sec-9* mediate GRD**

215 Based on the evolutionary analyses of genes within the GRDHs, we  
216 hypothesized that genetic interactions between *sec-9* and/or *plp-1/plp-2* confer  
217 GRD. To test this hypothesis, we first examined strains carrying single deletions  
218 of *plp-1* or *plp-2* and strains bearing deletions of both *plp-1* and *plp-2* in a GRD1  
219 background. The  $\Delta plp-1$ ,  $\Delta plp-2$  and  $\Delta plp-1 \Delta plp-2$  strains were macroscopically  
220 indistinguishable from their parental strain and displayed similar death  
221 frequencies in self pairings (Fig. S1C). In allogenic (nonself) pairings, all three  
222 mutants ( $\Delta plp-1$ ,  $\Delta plp-2$  and  $\Delta plp-1 \Delta plp-2$ ) displayed the GRD specificity of their



223 isogenic GRD1 parent; *i.e.* ~50% germling death frequency when paired with a  
224 GRD3 strain (Fig. 3A). These data indicated that GRD is not mediated by allelic  
225 interactions between *plp-1* or *plp-2* alleles, or by non-allelic *plp-1/plp-2*  
226 interactions.

227 Attempts to construct a *sec-9* deletion strain were unsuccessful, indicating  
228 *sec-9* is an essential gene in *N. crassa*, similar to *S. cerevisiae* *SEC9* (27).

229 Therefore, we created swap strains where the *sec-9<sup>GRD1</sup>* allele in a  $\Delta plp-1 \Delta plp-2$   
230 strain (GRD1 background) was replaced with *sec-9* alleles from other  
231 haplogroups (GRDH2, GRDH3 or GRDH4) (Fig. 3B). All of these *sec-9* swap strains  
232 showed increased germling death frequencies when paired with the parental  
233 GRD1 strain (20-40%) (Fig. 3C). These data indicated that either allelic  
234 interactions between *sec-9* or non-allelic interactions between *sec-9* and *plp-1*  
235 and/or *plp-2* were required to induce GRD. To distinguish these possibilities, we  
236 paired germlings containing alternate *sec-9* alleles, but which both lacked *plp-1*  
237 and *plp-2*; death frequencies in these pairings were similar to self-death  
238 frequencies (Fig. 3C; Fig. S1C), indicating that allelic differences at *sec-9* were not  
239 sufficient to induce allorecognition and germling death. To test if non-allelic  
240 interactions between *sec-9* and *plp-1* and/or *plp-2* are important for GRD,  
241 germling death frequencies were assessed when *sec-9* swap strains (*sec-9<sup>GRD2</sup>* or  
242 *sec-9<sup>GRD3</sup>* or *sec-9<sup>GRD4</sup>*, all in a  $\Delta plp-1 \Delta plp-2$  background) were paired with *sec-*  
243 *9<sup>GRD1</sup>* strains bearing either  $\Delta plp-1$  or  $\Delta plp-2$  deletions. Significantly, cell death  
244 rates were reduced in pairings with the *sec-9<sup>GRD1</sup> \Delta plp-1* strain, but not in  
245 pairings with the *sec-9<sup>GRD1</sup> \Delta plp-2* strain (Fig. 3C). These data indicated that non-  
246 allelic interactions between *sec-9* and *plp-1* mediate allorecognition and GRD.

247 The *sec-9/plp-1* non-allelic interactions among the different haplogroups  
248 were confirmed to be essential for GRD by analyzing cell death in pairings of a  
249 GRD3 strain with all *sec-9* swap strains. As expected, pairings between the GRD3  
250 and *sec-9<sup>GRD3</sup> \Delta plp-1 \Delta plp-2* germlings showed low death frequencies (~5%). In  
251 contrast, pairings between the GRD3 strain and the *sec-9<sup>GRD1</sup> \Delta plp-1 \Delta plp-2* strain  
252 showed high death frequencies (~50%), as did pairings between the GRD3 strain  
253 and the *sec-9<sup>GRD2</sup> \Delta plp-1 \Delta plp-2* strain. However, pairings between the GRD3  
254 strain and the *sec-9<sup>GRD4</sup> \Delta plp-1 \Delta plp-2* strain showed intermediate death

255 frequencies (~15%) (Fig. S3A). Notably, the phylogeny of *sec-9/plp-1* showed  
256 that GRDH3 is more similar to GRDH4 than to GRDH1 or GRDH2 (Table S1).

257 Not all non-allelic *sec-9/plp-1* interactions induced GRD (Fig. S3B–E). For  
258 example, an engineered strain carrying the duplicated *plp-1* gene ( $\Delta plp-1 \Delta plp-2$ ,  
259 *sec-9<sup>GRD2</sup> plp-1.1<sup>GRD2</sup>*) showed low death frequencies when paired with all *sec-9*  
260 swap strains (*i.e.* non-allelic interaction of *plp-1.1<sup>GRD2</sup>* and *sec-9* does not mediate  
261 GRD) (Fig. S3C). However, pairings of a *sec-9<sup>GRD2</sup>* strain carrying the second *plp-1*  
262 gene ( $\Delta plp-1 \Delta plp-2$ , *sec-9<sup>GRD2</sup> plp-1.2<sup>GRD2</sup>*) showed high death frequency when  
263 paired with *sec-9<sup>GRD3</sup> Δplp-1 Δplp-2* or *sec-9<sup>GRD4</sup> Δplp-1 Δplp-2* germlings, but  
264 showed low death frequency in pairings with *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* (*i.e.* non-  
265 allelic interactions of *plp-1.2<sup>GRD2</sup>* with *sec-9<sup>GRD3</sup>* and *sec-9<sup>GRD4</sup>* mediates GRD) (Fig.  
266 S3C). These data show that several specific non-allelic *sec-9/plp-1* interactions  
267 induce GRD while other non-allelic *sec-9/plp-1* interactions have no effect.  
268 However, because one incompatible *sec-9/plp-1* pair is sufficient to induce GRD,  
269 no viable heterokaryons will form between wild isolates of different GRDH. A  
270 potential increase of death frequencies in the presence of several paralogous *plp-*  
271 *1* genes cannot be excluded.

272

### 273 **SNARE domains of SEC-9 mediate allelic specificity**

274 SNARE proteins like SEC-9 are involved in fusion of vesicles with their  
275 target membranes; the SNARE domains are essential for formation of the coiled-  
276 coil structure with interaction partners Sso1p and Snc1p (28). Consistent with  
277 its potential function as a SNARE protein, GFP-tagged SEC-9 localized as a  
278 crescent at the germling tip (Fig. 2C; Fig. S2F). The N-terminus of SEC-9 (first 174  
279 aa) was fairly conserved within the different GRD specificity groups and  
280 contained only a few amino acid substitutions that track with GRD haplotype. In  
281 contrast, the C-terminal region of SEC-9, which includes the SNARE domains  
282 essential for protein function, was highly diverse between the different GRD  
283 groups (Fig. 4A, B). A sliding window analysis of divergence between *sec-9*  
284 sequences from distinct haplogroups confirmed that divergence was higher  
285 around the coiled-coil domains (aa positions ~200-300) (Fig. S3F). To delineate  
286 the region of SEC-9 that confers allelic specificity, SEC-9 chimeras were  
287 constructed that consisted of the N-terminus of SEC-9 from a GRD3 strain fused

288 to the C-terminus of SEC-9 from a GRD1 strain or vice versa; chimeras replaced  
289 the *sec-9<sup>GRD1</sup>* allele in a  $\Delta plp-1 \Delta plp-2$  strain (Fig. 3B). A strain expressing the SEC-  
290 9 chimera with the GRD3 N-terminus and a GRD1 C-terminus showed high death  
291 frequencies (~45%) when paired with a GRD3 strain. A strain expressing a SEC-  
292 9 chimera with the GRD3 C-terminus showed high death frequencies (~35%)  
293 when paired with a GRD1 strain (Fig. 4C). These data indicated that the C-  
294 terminus, which includes the SNARE domains of SEC-9, mediates GRD allelic  
295 specificity.

296 To determine whether the SNARE domains alone were sufficient for  
297 recognition, we expressed isolated SNARE domains of SEC-9<sup>GRD3</sup> (SNARE1: aa  
298 191 to aa 257 or SNARE2: aa 360 to aa 422) in a *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* strain.  
299 We then assessed cell death frequencies when these strains were paired with a  
300 GRD1 strain (Fig. 4D). The vacuolization phenotype of GRD in fused germlings  
301 was assessed, as other GRD related phenotypes were weaker than with full  
302 length SEC-9. Vacuolization rates were low (~3%) in self-pairings between *sec-  
303 9<sup>GRD1</sup> Δplp-1 Δplp-2* (SNARE1<sup>*sec-9 GRD3*</sup>) or between *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2*  
304 (SNARE2<sup>*sec-9 GRD3*</sup>) germlings (absence of PLP-1) (Fig. 4D). However, in pairings  
305 between *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* (SNARE1<sup>*sec-9 GRD3*</sup>) or *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2*  
306 (SNARE2<sup>*sec-9 GRD3*</sup>) and a GRD1 strain (PLP-1 present), high vacuolization rates  
307 were observed in fused germling pairs (~30%) (Fig. 4D). These data indicated  
308 that either of the highly polymorphic SNARE1 and SNARE2 domains of SEC-9  
309 mediate allelic specificity and were sufficient to induce GRD.

310 In *S. cerevisiae*, Sec9p physically interacts with syntaxin (Sso1/2p) and  
311 synaptobrevin (Snc1/2p) (28). Surprisingly, unlike *sec-9*, no polymorphisms that  
312 result in GRDH specific amino acid substitutions were present in *sso-1*  
313 (NCU02460) or *snc-2* (NCU00566) alleles in the *N. crassa* population (Table S1).  
314 Thus, SEC-9 proteins from the four different haplogroups function with identical  
315 SSO-1 and SNC-2 proteins for SNARE assembly and vesicle trafficking, a  
316 hypothesis supported by the fact that the *sec-9* swap mutants were viable.

317 To assess the correlation of diverse SEC-9 protein interactions with  
318 conserved SSO-1 and SNC-1 homologs, the level of amino-acid polymorphism  
319 and divergence at SNARE domains of SEC-9 across three fungal species (*N.  
320 crassa*, *P. anserina* and *C. parasitica*) was determined. Amino-acid variants were

321 mapped onto a predicted structure of the SNARE domains obtained by homology  
322 modeling onto Sec9p. Nearly the entire exposed surface of the SNARE domains  
323 showed extreme levels of variation. A reduced level of variation was only  
324 detected in a few positions predicted to form the interface with SSO-1 and SNC-1  
325 homologs (Fig. 4E, F). This conservation of essential amino acids in SEC-9 that  
326 are associated with interactions with SSO-1 and SNC-1 homologs is consistent  
327 with the conserved essential function of SEC-9 despite general sequence  
328 diversity in filamentous fungal species.

329

### 330 **PLP-1 is a fungal NOD-like receptor**

331 The data presented above shows that GRD is a regulated process that  
332 depends on non-allelic interactions between *sec-9* and *plp-1*. PLP-1 has a  
333 tripartite domain architecture of an NLR (Fig. 5A). In contrast, PLP-2 is missing  
334 an NBD. Cellular localization studies using functional C-terminal tagged proteins  
335 (GFP and mCherry) showed that both PLP-1 and PLP-2 localize to the periphery  
336 of the cell (Fig. 2C; Fig. S2G). Protein and nucleotide alignments of PLP-1 from  
337 the 23 sequenced wild isolates indicated that the patatin-like domain and the  
338 NBD domain showed some conserved motifs, both within and between GRDHs  
339 (Fig. S3G-I). Sequence divergence was more heterogeneous and diversity was  
340 higher along the TPR helical domain (Fig. S3H, I).

341 Patatin-like domains have a conserved GGxR/K motif and a catalytic dyad  
342 formed by a serine and aspartic acid residue (29). The GGxR/K motif is  
343 conserved in PLP-1 and the predicted catalytic dyad is formed by serine 64 and  
344 aspartic acid 204 (Fig. 5B). In the NBD domain of PLP-1, the Walker A motif  
345 (GxxGxGKS/T), which is required for nucleotide binding, and the Walker B motif  
346 (xLhhd), which is required for nucleotide hydrolysis, are conserved (Fig. 5C).

347 To test the hypothesis that the phospholipase catalytic activity and NBD  
348 motifs identified in PLP-1 are essential for GRD function, we generated four point  
349 mutations affecting either of the two residues of the catalytic dyad of the patatin-  
350 like domain (S64A or D204A) and the P-loop motif (Walker A; K414A), or the  
351 Walker B motif (D484A) of the NBD domain (Fig. 5B, C). These constructs were  
352 introduced into the *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* strain. The mutated proteins retained  
353 their native localization to the periphery of the cell (Fig. 5D). However, the

354 activity of these proteins in mediating GRD was strongly affected (Fig. 5E). The  
355 *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* strain expressing GFP-tagged wild type PLP-1 showed  
356 high death rates (~30%) when paired with a *sec-9<sup>GRD3</sup> Δplp-1 Δplp-2* strain, but  
357 the *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* strains expressing PLP-1<sup>S64A</sup>, PLP-1<sup>D204A</sup>, or PLP-1<sup>K414A</sup>  
358 mutations showed low death rates (~5%). The *sec-9<sup>GRD1</sup> Δplp-1 Δplp-2* strains  
359 expressing PLP-1<sup>D484A</sup> (Walker B motif mutation) still induced some death  
360 (~15%) when paired with a *sec-9<sup>GRD3</sup> Δplp-1 Δplp-2* strain. These data indicated  
361 that PLP-1 requires functional patatin phospholipase activity and a functional  
362 nucleotide-binding domain for full GRD function.

363

### 364 **Physical interaction of incompatible SEC-9 and PLP-1 induces PLP-1/PLP-2** 365 **complex formation**

366 Studies of NLRs in other organisms have suggested a model where ligand  
367 recognition results in a conformational change that relieves autoinhibitory  
368 intramolecular interactions, allowing NOD domain-dependent nucleotide  
369 binding and oligomerization, which in turn activates downstream reactions (30).  
370 To examine if PLP-1 functions similarly to other NLRs, we performed co-  
371 immunoprecipitation (co-IP) experiments to test physical interactions between  
372 SEC-9, PLP-1 and/or PLP-2 by creating strains that expressed differentially  
373 tagged PLP-1, PLP-2 and SEC-9 proteins (GFP/mCherry). We first assessed self-  
374 assembly of PLP-1<sup>GRD1</sup>, self-assembly of PLP-2<sup>GRD1</sup> and interaction between PLP-  
375 1<sup>GRD1</sup> and PLP-2<sup>GRD1</sup> in the absence of GRD; no interaction was detected (Fig. 6A).  
376 To assess interactions between PLP-1<sup>GRD1</sup> and PLP-2<sup>GRD1</sup> after GRD induction,  
377 GRD1 germlings expressing the tagged proteins were paired with a GRD3 strain.  
378 Self-assembly of PLP-1<sup>GRD1</sup> and interaction of PLP-1<sup>GRD1</sup> with PLP-2<sup>GRD1</sup> was  
379 detected (Fig. 6B; Fig. S4A). However, interactions between SEC-9<sup>GRD1</sup> with either  
380 PLP-1<sup>GRD1</sup> or PLP-2<sup>GRD1</sup> were not detectable independently of GRD induction (Fig.  
381 6C; Fig. S4B). These data indicated that physical interaction/oligomerization of  
382 PLP-1 and PLP-2 of identical GRD specificity occurred under conditions of GRD,  
383 but not between SEC-9 and PLP-1/PLP-2 of identical GRD specificity.

384 Since non-allelic genetic interactions between *sec-9* and *plp-1* induced  
385 GRD (Fig. 3), we hypothesized that molecular recognition occurs via a physical  
386 interaction when SEC-9 and PLP-1 are of different GRD specificity. To test this

387 hypothesis, we created GFP labeled SEC-9<sup>GRD3</sup> constructs driven by the *tef-1*  
388 promoter (high constitutive expression) or driven by its native *sec-9* promoter.  
389 Co-IP experiments were performed on strains expressing GFP labeled SEC-9<sup>GRD3</sup>  
390 paired with strains expressing mCherry labeled PLP-1<sup>GRD1</sup> or PLP-2<sup>GRD1</sup>.  
391 Importantly, PLP-1<sup>GRD1</sup> and SEC-9<sup>GRD3</sup> co-immunoprecipitated, while an  
392 interaction between PLP-2<sup>GRD1</sup> with SEC-9<sup>GRD3</sup> was not detectable (Fig. 6C; Fig.  
393 S4B). These data indicated that SEC-9 and PLP-1 of different GRD specificity  
394 physically interact during the GRD reaction while SEC-9 and PLP-2 do not.

395 While the patatin-like domain as well as the NB-ARC domain of PLP-1 is  
396 necessary for GRD (Fig. 5E), it was unclear if the oligomerization of PLP-1/PLP-2  
397 proteins is necessary and sufficient for GRD. We reasoned that mutations  
398 introduced in these domains that prevented GRD may also abolish protein-  
399 protein interactions. To test this hypothesis, strains expressing mutated versions  
400 of GFP and mCherry labeled PLP-1 (mutations in the catalytic dyad of the  
401 patatin-like domain, S64A or D204A, or NBD mutations in the P-loop motif,  
402 K414A or the Walker B motif, D484A) were paired with a *sec-9<sup>GRD3</sup> Δplp-1 Δplp-2*  
403 strain. Co-IP experiments showed that mutations in the NBD domain (K414A,  
404 D484A) prevented the self-association of PLP-1 proteins (Fig. 6D; Fig. S4C). In  
405 contrast, mutations in the patatin-like domain (S64A, D204A) did not affect self-  
406 association of PLP-1 (Fig. 6D; Fig. S4C), even though these mutations completely  
407 eliminated GRD (Fig. 5E). These data indicated that self-association of PLP-1 via  
408 the NB-ARC domain is not sufficient for GRD. Instead, a functional patatin-like  
409 domain is essential to transmit the GRD signal.

410 We reasoned that SEC-9<sup>GRD3</sup> might interact with PLP-1<sup>GRD1</sup> containing  
411 mutations in the NB-ARC domain or in the patatin-like domain, despite the lack  
412 of GRD. To test this hypothesis, we co-cultivated strains expressing mutated  
413 versions of mCherry labeled PLP-1<sup>GRD1</sup> (S64A or K414A) with a *Δplp-1 Δplp-2*  
414 strain expressing SEC-9<sup>GRD3</sup>-GFP. In co-IP experiments, a strong interaction  
415 between SEC-9<sup>GRD3</sup> with PLP-1<sup>S64A</sup> (catalytic dyad mutation) was observed, while  
416 a weak interaction between SEC-9<sup>GRD3</sup> with PLP-1<sup>K414A</sup> (Walker A motif mutation)  
417 was detected (Fig. 6C). These data support the hypothesis that recognition of  
418 SEC-9 by PLP-1 is not affected by mutations in the NBD or the patatin-like  
419 domains. A weak interaction of SEC-9<sup>GRD3</sup> with PLP-1<sup>K414A</sup> could be explained by

420 the lack of signal enhancement due to the lack of PLP-1<sup>K414A</sup> self-association.  
421 Together, these data indicated that the interaction between SEC-9 and PLP-1 of  
422 different GRD specificity is independent of NBD function and patatin-like activity  
423 and occurs even in the absence of GRD.

424

425 ***sec-9* and *plp-1* homologs determine *het-z* heterokaryon incompatibility in**  
426 ***Podospira anserina***

427 In *C. parasitica*, orthologs of *plp-1* and *sec-9* are associated with  
428 heterokaryon incompatibility mediated by allelic differences at the *vic2* locus  
429 (21). In a systematic search of molecular components of *het* loci in other fungi,  
430 we determined that *sec-9/plp-1* orthologs mediate *het-z*-incompatibility in *P.*  
431 *anserina* (Fig. S5A). *Het-z* is one of nine heterokaryon incompatibility loci of *P.*  
432 *anserina*, with two allelic specificities, *het-z1* and *het-z2*, defining the  
433 incompatibility system. If strains of different *het-z* specificity fuse, they show  
434 characteristic cell death reactions and barrage formation at the contact point  
435 between incompatible colonies (Fig. S5A). *het-z* incompatibility was assessed via  
436 transformation efficiency tests; *i.e.* transformation efficiency was reduced when  
437 *PaSec9<sup>het-z1</sup>* (*PaSec9-1*; *Pa\_1\_11410*) was transformed into a *het-z2* background  
438 strain or when *PaSec9<sup>het-z2</sup>* (*PaSec9-2*) was transformed into a *het-z1* background  
439 strain (Fig. S5B). Likewise, the introduction of *PaPlp1* (*plp-1* ortholog:  
440 *Pa\_1\_11380*) from *het-z1* strains (*PaPlp1-1*) reduced transformation efficiencies  
441 in *het-z2* strains, while the introduction of *PaPlp1-2* reduced transformation  
442 efficiencies in *het-z1* strains (Fig. S5B). As in *N. crassa*, high levels of allelic  
443 diversity were detected for *PaSec9* and *PaPlp1* in *het-z1* and *het-z2* strains (Fig.  
444 S5D; Fig. S6).

445 *PaSec9* is also an essential gene in *P. anserina*, as homokaryotic  $\Delta PaSec9$   
446 strains could not be recovered. To test the role of *PaPlp1* in defining *het-z*  
447 incompatibility, *PaPlp1* was inactivated in both *het-z1* and *het-z2* backgrounds.  
448 The  $\Delta PaPlp1-1$  and  $\Delta PaPlp1-2$  strains retained incompatibility to *het-z2* and *het-*  
449 *z1* strains, respectively, but were fully compatible with each other (Fig. S5A;  
450 Table S3).  $\Delta PaPlp1-1$  strains transformed with *PaSec9-2* acquired incompatibility  
451 to *het-z1* and  $\Delta PaPlp1-2$  strains transformed with *PaSec9-1* acquired  
452 incompatibility to *het-z2* (Fig. S5A; Table S3). *PaSec9-2* did not lead to a

453 reduction in transformation efficiency when introduced into  $\Delta PaPlp1-1$  (Fig.  
454 S5B). Collectively, these data confirmed that *PaPlp1* and *PaSec9* determine *het-z*  
455 incompatibility through non-allelic interaction between incompatible *PaPlp1* and  
456 *PaSec9* alleles (Fig. S5C). Hence, the *het-z* locus of *P. anserina* acts analogously to  
457 the *sec-9/plp-1* locus of *N. crassa*.

458 As shown for *N. crassa*, amino-acid differences between PaPLP1-1 and  
459 PaPLP1-2 were mainly located in the TPR region (Fig. S6B, C). Chimeric  
460 constructs in which the TPR domains were exchanged between *PaPlp1-1* and  
461 *PaPlp1-2* were introduced into  $\Delta PaPlp1-1$  strains; the TPR domains mediated  
462 *het-z1* and *het-z2* allelic specificity (Tables S3, S4). To analyze if the patatin-like  
463 domain and the NB-ARC domain have the same essential function in PaPLP1 as  
464 in *N. crassa* PLP-1, point mutations were introduced in both domains. The two  
465 amino acids forming the catalytic dyad of the predicted patatin-like domain  
466 (S57A and D202A) and the P-loop of the NBD (K415R) of *PaPlp1-2* were  
467 mutated. Neither mutant allele reduced transformation efficiency when  
468 introduced into *het-z1* strains or conferred incompatibility to a  $\Delta PaPlp1-1$  strain  
469 or when introduced into  $\Delta PaPlp1-2$  strains (Fig. S5E; Table S3). These data  
470 indicated that both the phospholipase activity and nucleotide-binding domain of  
471 PaPLP1 are essential for conferring HI in *P. anserina*.

472 As reported above for SEC-9 of *N. crassa*, amino-acid differences between  
473 *PaSec9* allele products were mainly located in the region encoding the SNARE  
474 domains (Fig. S6A). Chimeric constructs between *PaSec9-1* and *PaSec9-2*  
475 confirmed that the SNARE domains mediate *het-z1* and *het-z2* specificity (Table  
476 S3). These data indicate that the regions of elevated variability (SNARE domain  
477 of SEC-9 and TPR domain of PLP-1) determine allelic specificity.

478

479 **Convergent evolution is the most strongly supported scenario for the**  
480 **common use of the *plp-1/sec-9* system in allorecognition in three fungal**  
481 **genera.**

482 The finding that three different fungal genera use the *sec-9/plp-1* system  
483 in allorecognition can be explained by either an independent, *de novo*,  
484 recruitment of the same genetic system for functioning in allorecognition (*i.e.*  
485 convergent evolution) or that this allorecognition genetic system was already in



486 use in the common ancestor of these three species, and ancient allelic lineages  
487 have been maintained by balancing selection over long evolutionary time scales  
488 (31) (*i.e.* TSP, the retention of ancient allelic lineages through speciation events).  
489 To distinguish between these two hypotheses, we aligned *sec-9* and *plp-1*  
490 sequences from the three genera *Neurospora*, *Podospora* and *Cryphonectria* and  
491 carried out phylogenetic inference using maximum likelihood approaches. Gene  
492 genealogies revealed that the TSP detected in *N. crassa* and *Neurospora discreta*  
493 does not extend beyond this genus. Instead, when comparing *Neurospora*,  
494 *Podospora* and *Cryphonectria*, different allele types of *sec-9* and *plp-1* homologs  
495 grouped by species (Fig. S6C). These data suggest that the common use of the  
496 *plp-1/sec-9* system in allorecognition in three divergent genera of filamentous  
497 ascomycete species is the result of convergent evolution.

498

## 499 **Discussion**

500 Recognition of non-self is typically dichotomized into allo- and  
501 xenorecognition, depending on whether conspecific or heterospecific (typically  
502 pathogenic) non-self is being detected (32). Allorecognition mechanisms play an  
503 important role in various aspects of multicellular life. For example, in flowering  
504 plants, self-incompatibility is a pollen recognition system that avoids inbreeding  
505 caused by self-pollination and requires co-evolution among several interacting  
506 components within the S-locus (33, 34). In basal metazoans, allorecognition  
507 responses mediate rejection between unrelated colonies, and similar to fungal  
508 HI, are controlled by highly variable genetic systems (35). Xenorecognition  
509 processes in turn are typically exemplified by responses to pathogen attack or  
510 establishment of mutualistic symbiotic interactions. Our data indicates that NLRs  
511 can be involved in both aspects of non-self recognition.

512 Although recent research has revealed that the repertoire of NLR-like  
513 genes in fungal genomes is quite large and variable (10), functional studies of  
514 these NLR-like genes are limited. One reason is the lack of tractable fungal  
515 pathogen systems to dissect fungal NLR-dependent immunity. However, fungal  
516 allorecognition systems, which may have evolved through exaptation similar to  
517 hybrid necrosis in plants (13), can be used to study the origin and functioning of  
518 fungal innate immunity. The fungal NLR-like protein PLP-1 induces

519 allorecognition and PCD in the three distinct filamentous fungal species, *N.*  
520 *crassa*, *P. anserina* and *C. parasitica* (this study and (21)). PLP-1 has a tripartite  
521 architecture characteristic for NLRs with a central nucleotide binding domain, an  
522 N-terminal patatin-like domain and C-terminal tetratricopeptide repeats. Cell  
523 death induction was dependent on both the patatin and nucleotide binding  
524 domains. PLP-1 interacts with the SNARE protein SEC-9; the SNARE domains  
525 were necessary and sufficient to confer allorecognition and cell death.

526 Our data supports a model whereby PCD is induced if TPR domains of  
527 PLP-1 detect SNARE domains of incompatible SEC-9 proteins (Fig. 7). Upon  
528 physical interaction with incompatible SEC-9 proteins, PLP-1 is activated and  
529 oligomerizes into a complex that involves other proteins (shown here for PLP-2).  
530 PLP-1/SEC-9 allorecognition-related cell death is dependent on the lipase  
531 activity of the patatin-like domain. The finding that the N-terminal domain of  
532 PLP-1 has an enzymatic activity essential for HI constitutes a novel role of NLRs,  
533 as other characterized N-terminal domains of animal and plant NLRs act as  
534 adaptors that perform signaling functions (36). Interestingly, patatin domains  
535 have been reported to play a role in host-defense related PCD in plants (37, 38).  
536 PLP-1-induced cell death could be a direct consequence of alteration of  
537 membrane phospholipids via the patatin-like phospholipase domain. In this case  
538 the PLP-1 complex might act as a membrane toxin itself. Alternatively, PLP-1  
539 activity might rely on production of a secondary messenger and downstream  
540 signaling that activates executioners of cell death. Oligomerization has been  
541 shown for metazoan NLRs (15) and in plants it has recently been shown that the  
542 NLR RPM1 requires self-association to be functional (39). PLP-1 also self-  
543 associates and oligomerization of PLP-1 was NBD dependent. However, because  
544 proteins without functional NBD (PLP-2) interact with PLP-1 during GRD, other  
545 domains must contribute to protein oligomerization once PLP-1 is activated.

546 It has been proposed that the allorecognition function of fungal NLRs is  
547 derived by exaptation from a function in pathogen defense (11). Based on the  
548 guard-model for NLR function in plants (3), fungal NLRs would survey integrity  
549 of key cellular components and trigger an immune response if pathogen effectors  
550 compromise the structure of these components. The guard model for plants  
551 assumes that a response is elicited when a pathogen effector disrupts a complex

552 between the guardee (a host protein) and the guard (an NLR) (12). In our model,  
553 the guarding occurs indirectly, as an interaction between the compatible guardee  
554 (SEC-9) and its guard (PLP-1) was not detected. Therefore, instead of disrupting  
555 a complex between the guardee and the guard, in this system, a complex is  
556 formed between the guard and the modified/non-self guardee, which initiates  
557 downstream reactions resulting in cell death. Considering the essential role of  
558 SEC-9 in exocytosis and autophagy (40), the SNARE complex might also  
559 constitute a relevant cellular target for pathogen effectors. In fact, pathogenic  
560 microorganisms use the SNARE motif to manipulate host membrane fusion (41).  
561 For example, *Legionella* and *Chlamydia* effectors directly target membrane fusion  
562 by SNARE mimicry and interactions with host SNARE proteins to create  
563 intracellular compartments (42-44). In plants, the exocyst, which includes  
564 SNARE proteins, was found to be targeted by effectors of fungal or oomycete  
565 pathogens (45-47).

566         The level of intraspecific polymorphism in SEC-9 proteins from *N. crassa*,  
567 *P. anserina* and *C. parasitica* is extreme, especially in the SNARE domains  
568 essential for function. This functionally critical region of the protein also defines  
569 allorecognition specificity. We hypothesize that the coiled-coil SNARE domains,  
570 which are essential for vesicle fusion, have been targeted by selection in an arms  
571 race with effectors aimed at inactivating exocytosis/autophagy, resulting in  
572 rapid diversification of *sec-9*. The guard-model for NLR function implies that  
573 protection for SEC-9 could be under surveillance of PLP-1-like NLRs. If a  
574 pathogen targets or mimics the SEC-9 SNARE complex, the PLP-1 NLR is  
575 activated and cell death is induced. Once established, such a two-component  
576 guard/guardee system could be co-opted by exaptation into an allorecognition  
577 system as genetic variants of the guardee are specifically recognized by the NLR  
578 guard.

579         This report shows that the same gene pair behaves as an allorecognition  
580 locus in three distantly related fungal genera (*Neurospora*, *Podospora*,  
581 *Cryphonectria*). Other *het* genes identified in these species either showed no  
582 clear orthologs or were not polymorphic. The common role for the PLP-1  
583 (*vic2*)/SEC-9 pair in allorecognition suggests long-term conservation of the  
584 derived allorecognition function. The lack of trans-species retention of ancient

585 allelic lineages suggests that this gene pair has been repeatedly recruited as an  
586 allorecognition system in filamentous fungi. This allorecognition system stands  
587 out among fungal recognition systems by its common role in multiple divergent  
588 species and stresses the important role of the surveillance of the essential SEC-9  
589 SNARE in fungi. The involvement of PLP-1 in allorecognition makes this system  
590 especially tractable to study general NLR functions at the molecular level. In  
591 future studies it will be important to elucidate the exact mechanism by which  
592 PLP-1 activation induces cell death and to identify downstream contributors of  
593 the death-inducing complex. SEC-9/PLP-1 provides a powerful system for  
594 comparative analyses across biological kingdoms on the structural and  
595 molecular function of allorecognition, NLR function and downstream processes  
596 that can induce different cellular outcomes, including death.

597

## 598 **Materials and Methods**

### 599 **Strain construction and growth conditions**

600 Standard protocols for *N. crassa* can be found on the *Neurospora*  
601 homepage at the Fungal Genetics Stock Center (FGSC,  
602 <http://www.fgsc.net/Neurospora/NeurosporaProtocolGuide.htm>). Strains were  
603 grown on Vogel's minimal medium (VMM (48) (with supplements as required)  
604 or on Westergaard's synthetic cross medium for mating (49).

605 The wild *N. crassa* strains used in this study were isolated from Louisiana,  
606 USA and are available at the FGSC (17, 18, 24). FGSC 2489 served as parental  
607 strain for gene deletions and as a WT-control for all experiments, unless stated  
608 otherwise. Single deletion mutants are available at the FGSC (50, 51). Genotyping  
609 of the  $\Delta sec-9$  mutants deposited at the *Neurospora* knockout collection using *sec-*  
610 *9* specific primers showed that *sec-9* was still present in both mating types. When  
611 we recapitulated the knockout by homologous recombination, we were unable to  
612 purify homokaryotic hygromycin resistant ascospores from primary  
613 transformants. Therefore, *sec-9* seems to be essential in *N. crassa*. To create the  
614  $\Delta plp-1 \Delta plp-2$  mutant, a deletion construct was created using the method of  
615 fusion PCR (52). Fusion PCR was also used to create constructs for swapping *sec-*  
616 *9<sup>GRD1</sup>* with *sec-9* of different GRD specificity.

617 The plasmid pMF272 (AY598428) (53) was modified as described in (17)  
618 to create *gfp*-fusions to *sec-9*, *plp-1* and *plp-2* and to express SNARE1 or SNARE2  
619 of *sec-9<sup>GRD3</sup>* targeted to the *his-3* locus. Site directed mutagenesis was used to  
620 introduce point mutations in the NBA-ARC and the patatin-like domains of *plp-1*.  
621 The plasmid pTSL88F (includes 432 bp of the terminator region of NCU00762) is  
622 a derivative of the plasmid pTSL84C (54) and was used to create *mCherry*-  
623 fusions to *plp-1* and *plp-2*. For expressing *plp-1* of different GRDH in the  $\Delta$ *plp-1*  
624  $\Delta$ *plp-2*; *sec-9* swap strains *plp-1.1* and *plp-1.2* including their native promoter  
625 region were amplified from genomic DNA of various wild isolates (JW199,  
626 JW258, JW228) and cloned into the vector pCSR1 (55) using the enzymes *Pst*I,  
627 *Age*I, or *Eco*RI (5') and *Pac*I (3'). All constructs were transformed into the  
628 respective  $\Delta$ *plp-1*  $\Delta$ *plp-2*; *sec-9* swap strains with selection for cyclosporin  
629 resistant transformants and then backcrossed for purification with the  $\Delta$ *plp-1*  
630  $\Delta$ *plp-2*, *his<sup>-</sup>* mutant.

631 Standard methods for growth and manipulation of *Podospora anserina*  
632 were used as described on the *Podospora* Genome project homepage  
633 (<http://podospora.igmors.u-psud.fr/methods.php>). Barrage assays for defining  
634 incompatibility phenotypes were performed on standard DO medium. The  
635 deletion cassettes were then used to transform *PaKu70::ble het-z1* or *het-z2*  
636 strains (56). For cloning of the *het-z* locus six plasmids covering 57 kb of the  
637 region of Pa\_1\_11420 (spanning from Pa\_1\_11380 to Pa\_1\_1540) were recovered  
638 from a *het-z1* genomic library (generous gift of Robert Debuchy) and introduced  
639 by transformation into *het-z2* strain. A single plasmid led to reduction in  
640 transformation efficiency (GA0AB122CC07) and contained four genes  
641 (Pa\_1\_11410 to 440). Deletion constructs were obtained using *Nde*I, *Sma*I, *Sph*I ,  
642 *Xba*I and *Xho*I leading to deletion of one or several of the four genes. Only  
643 constructs bearing Pa\_1\_11410 and a construct containing only Pa\_1\_11410 led  
644 to reduction in transformation efficiency. Mutants of the catalytic site residues of  
645 the Patatin domain (S57A and D202A) and P-loop (K415R) of the *PaPlp1-2*  
646 product were obtained by site directed mutagenesis. The chimeric alleles of  
647 *PaPlp1* with swapped TPR repeats and the chimeric alleles of *PaSec9* with  
648 swapped SNARE domains were obtained by fusion PCR. Exchanged fragments  
649 spanned position 1 to 660 for *PaPlp-1* and 1 to 194 for *PaSec9* (Fig. S6).

650 **TEM analyses**

651 Transmission electron microscopy preparations (Electron Microscopy  
652 Lab, UC Berkeley) were modified from (57). Briefly, 100 ml liquid VMM was  
653 inoculated with conidia of one or two strains at a concentration of  $1 \times 10^6$   
654 cells ml<sup>-1</sup> and incubated at 30°C for 5 h (2.5h shaking at 220rpm; 2.5h standing).  
655 After harvesting by centrifugation cells were fixed with EM fix (2%  
656 glutaraldehyde, 4% paraformaldehyde, 0.04M phosphate buffer, pH 7.0)  
657 followed by 2% KMnO<sub>4</sub> treatment. Dehydration was achieved through a graded  
658 ethanol series before embedding the samples in resin.

659 **Flow Cytometry**

660 Cultivation for flow cytometry experiments was identical to cultivations  
661 for microscopic vacuolization assays, but agar was substituted with 20%  
662 Pluronic® F-127 (Sigma-Aldrich, USA) in VMM plates. After 4.5h – 6h cultivation  
663 at 30°C plates were put to -20°C for 10 min to liquefy the medium. Germlings  
664 were harvested by centrifugation and washed twice in cold PBS. Germlings were  
665 suspended in 1 ml PBS containing 0.1 μM SYTOX® Blue (Life Technologies, USA)  
666 and 0.15 μM propidium iodide (Sigma-Aldrich, USA) prior to analyses at a BD  
667 LSR Fortessa X20 (BD Biosciences). Two vital dyes were used as a technical  
668 control. SYTOX® Blue fluorescence was detected with a no dichroic 450/50 filter  
669 after excitation using a 405nm laser. Propidium iodide fluorescence was  
670 detected with a 685 LP 710/50 filter after excitation using a 488 nm laser. In  
671 each run 20,000 events were recorded. Each experiment was performed at least  
672 three times. Ungerminated conidia were used as a negative control in each  
673 experiment and gates were set to exclude conidia from the analysis (Fig. S1).  
674 Data were analyzed using the Cytobank Community software  
675 (community.cytobank.org). The germling death rates shown correspond to the  
676 average rate of fluorescent cells from all experiments. Because results for  
677 SYTOX® blue and propidium iodide were not significantly different (Fig. S1),  
678 results for propidium iodide are shown throughout the paper.

679 **Microscopic vacuolization assays**

680 Vacuolization and cell death occurred later in the strains  $\Delta plp1\Delta plp2$   
681 SNARE1<sup>sec-9 GRD3</sup> and  $\Delta plp1\Delta plp2$  SNARE2<sup>sec-9 GRD3</sup>. Flow cytometry could not be  
682 used to measure GRD for those strains. Instead vacuolization was quantified

683 microscopically. Conidial suspensions were prepared as described in (17). An  
684 aliquot of 45  $\mu$ l of conidial suspension from two strains was mixed and 80  $\mu$ l of  
685 this final mixture was spread on VMM agar plates (60 x 15 mm). Plates were  
686 incubated for 6  $\frac{1}{2}$  h -7 h at 30°C prior to vacuolization assessment. At least six  
687 pictures were taken for each experiments and the percentage of vacuolized  
688 germlings in the mixture was determined.

### 689 **Confocal microscopy**

690 Cellular localization studies were performed with a Leica SD6000  
691 microscope with a 100 $\times$ 1.4 NA oil-immersion objective equipped with a  
692 Yokogawa CSU-X1 spinning disk head and a 488 nm laser for GFP fluorescence  
693 and a 563 nm laser for mCherry fluorescence controlled by the Metamorph  
694 software (Molecular Devices, Sunnyvale, CA).

### 695 **Immunoprecipitations and Western blotting**

696 Immunoprecipitation preparations were modified from (54). 100 ml  
697 liquid VMM was inoculated with conidia of one or two strains at a concentration  
698 of  $1 \times 10^6$  cells ml<sup>-1</sup> and incubated at 30°C for 6 h (3 h shaking at 220rpm; 3 h  
699 standing). Protein extraction was performed using 250 $\mu$ l lysis buffer as  
700 described in (58) without the addition of phosphatase inhibitors.

701 Immunoprecipitation from supernatants was performed using Protein G  
702 Dynabeads (Life Technologies, USA), according to the manufacturer's  
703 instructions. DMP (dimethylpimelimidate) was used to covalently bind mouse  
704 anti-GFP antibody (Life Technologies, USA) or rabbit anti-mCherry antibody  
705 (Bio-vision, USA) to the beads.

### 706 **Bulked segregant analyses and genome re-sequencing**

707 Bulked segregant analysis was performed as described in (17) with minor  
708 modifications. Genomic DNA was isolated from 50 progeny that underwent  
709 viable fusions with FGSC 2489, but not with Segregant 2 and from 50 progeny  
710 that showed GRD in fusions with FGSC 2489, but viable fusions with Segregant 2.  
711 Equal amounts of DNA from 50 segregants (60 ng/segregant) were combined  
712 and used for library preparations. All paired end libraries were sequenced on a  
713 HiSeq2000 sequencing platform using standard Illumina operating procedures  
714 (Vincent J. Coates Genomics Sequencing Laboratory, Berkeley). The mapped

715 reads for each group of 50 pooled segregants are available at the Sequence Read  
716 Archive (SRA) (<http://www.ncbi.nlm.nih.gov/sra>) (SRP121656).

### 717 **Sequence analysis**

718 The *sec-9*, *plp-1* and *plp-2* sequences of *N. crassa* and *N. discreta* wild  
719 isolates were obtained by a BLAST search (59) using NCU09243, NCU09244 and  
720 NCU09245 from FGSC 2489 as a query against *de novo* sequence assemblies from  
721 26 wild isolates (18, 25). Introns in sequences that had no ortholog in the  
722 reference genome (i.e. *plp1.1*, *plp1.2*) were identified independently for each  
723 GRDH using AUGUSTUS (60). For phylogenetic analyses, codon alignments were  
724 carried out using MACSE (61) and visualized and processed using JALVIEW  
725 (<http://www.jalview.org/>). Modified multiple alignments were trimmed using  
726 TRIMAL (62). Phylogenetic trees were inferred from trimmed alignments using  
727 the default pipeline from PHYLOGENY.FR (MUSCLE, GBLOCKS, PHYML (100 bootstraps))  
728 (63) and visualized using FIGTREE1.4  
729 (<http://tree.bio.ed.ac.uk/software/figtree/>). For population genetics analyses,  
730 sequences were manually assembled and aligned, independently for each locus  
731 and each GRDH in Codoncode Aligner V. 5.1.4  
732 (<http://www.codoncode.com/aboutus.htm>). Sequences were then combined by  
733 pairs of haplogroups, by pairs of paralogs or for each locus, and re-aligned using  
734 MAFFT with the E-INS-I option recommended for sequences with multiple  
735 conserved domains and long gaps (64). Summary statistics of polymorphism and  
736 divergence were computed using EGGLIB V3 (65).

737

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753

#### 754 **Author Contributions**

755 J.H., C.C., and N.L.G. designed research; J.H., and C.C. performed research; J.H.,  
756 S.J.S., C.C. and P.G. analyzed data; and J.H., S.J.S., P.G. and N.L.G. wrote the paper.  
757

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922

### 923 **Figure Legends**

924 **Fig. 1: Germling regulated death is induced if genetically incompatible**  
925 **germlings undergo cell fusion. A:** When GRD1 germlings (FGSC 2489) marked  
926 with cytoplasmic GFP (green, top right) undergo cell fusion with FM4-64 stained  
927 GRD1 germlings of Segregant 18 (red, bottom left) no death occurred as  
928 indicated by the absence of SYTOX® Blue fluorescence (bottom right). Note that  
929 fusion has occurred because GFP has entered Segregant 18. **B:** When GRD1  
930 germlings (FGSC 2489) marked with cytoplasmic GFP (green, top right) fused  
931 with FM4-64 stained GRD2 germlings of Segregant 2 (red), vacuolization and  
932 death occurred as indicated by strong SYTOX® Blue fluorescence (bottom right).  
933 **C-D:** Transmission electron micrograph of GRD1 germlings (FGSC 2489)  
934 undergoing self fusion (**C**) or Segregant 2 germlings undergoing self fusion (**D**)  
935 showed healthy cells with no signs of death. **E:** In a mixture of GRD 1 germlings  
936 (FGSC 2489) and GRD3 germlings (Segregant 2), fused cells showed  
937 vacuolization, plasma membrane detachment from the cell wall, and organelle  
938 degradation. Arrows indicate fusion points. Scale bars: 10 µm.

939

940 **Fig. 2: *sec-9*, *plp-1* and *plp-2* within the Germling Regulated Death**  
941 **Haplotype (GRDH) region show trans-species polymorphism. A:** Genomic  
942 organization of germling regulated death haplotype (GRDH) regions in  
943 *Neurospora crassa* wild isolates. Genomic rearrangements within the GRDH  
944 spanned the genetic interval between NCU09237 and NCU09253 (shown are the  
945 last two digits of NCU numbers) and included duplications of NCU09244, a  
946 deletion of NCU09245 and inversions. Alleles at NCU09243 (*sec-9*) and  
947 NCU09244 (*plp-1*) within a GRDH show high DNA sequence identity, but are  
948 diverse between GRDHs. The percent DNA identity between alleles in members  
949 of the different GRDH groups of selected genes across the genetic interval in  
950 comparison to FGSC 2489 (a member of GRDH1) are shown. **B:** Coding sequences  
951 from 23 *N. crassa* wild Louisiana isolates and eight *N. discreta* wild isolates were  
952 used to build maximum likelihood phylogenetic trees for NCU09242 and

953 NCU09243 (*sec-9*) using the default pipeline from PHYLOGENY.FR (63). Bootstrap  
954 values are given for each node. Black bars indicate substitution rates. GRDH1  
955 isolates are shown in yellow, GRDH2 isolates are shown in green, GRDH3 isolates  
956 are shown in blue, and GRDH4 isolates are shown in purple. *N. discreta* isolates  
957 are shown in red. Note nesting of *N. discreta* isolates within *N. crassa* lineages for  
958 NCU09243 (*sec-9*) but not for NCU09242. See Figure S2D, E for phylogenetic  
959 trees of NCU09244/NCU09245, NCU16494, and NCU09247. **C:** SEC-9-GFP (left)  
960 localizes to the cytoplasm and concentrates at a crescent at the tips of germ  
961 tubes (arrowheads). Cytosolic localization might reflect cleaved GFP as apparent  
962 from Western blot analyses (see Fig. 6). PLP-1-GFP and PLP-1-mCherry (middle)  
963 predominantly localize to the periphery of the cell. PLP-2-GFP and PLP-2-  
964 mCherry (right) also predominantly localize to the periphery of the cell;  
965 localization to the vacuoles is common in mCherry-tagged proteins in *N. crassa*.  
966 Scale bars: 10  $\mu$ m.

967

968 **Fig. 3: Genetic interaction of *sec-9* and *plp-1* mediate GRD.** **A:** Germling death  
969 frequencies of  $\Delta plp-1$ ,  $\Delta plp-2$  and  $\Delta plp-1 \Delta plp-2$  cells after fusion with their GRD1  
970 (FGSC 2489) parental strain or with a GRD3 (Segregant 2) strain. Germling death  
971 rates were determined using flow cytometry. Experiments were performed at  
972 least in triplicates with 20,000 events counted per experiment. Student's *t* test,  
973 \*\*\*:  $p < 0.001$  **B:** Strategy for creating  $\Delta plp-1 \Delta plp-2$  and  $\Delta plp-1 \Delta plp-2$ , *sec-9<sup>swap</sup>*  
974 strains by homologous recombination. **C:** Germling death frequencies of  $\Delta plp-1$   
975  $\Delta plp-2$ , *sec-9<sup>swap</sup>* strains (colors correspond to GRDH of the *sec-9* swap) with FGSC  
976 2489,  $\Delta plp-1$ ,  $\Delta plp-2$  and  $\Delta plp-1 \Delta plp-2$  strains (all GRD1 background). Germling  
977 death frequencies were determined using flow cytometry. Experiments were  
978 performed at least in triplicates with 20,000 events counted per experiment.  
979 Student's *t* test, \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ .

980

981 **Fig. 4: Polymorphic SNARE motifs of SEC-9 are recognized by PLP-1 during**  
982 **GRD.** **A:** Schematic presentation of SEC-9 of *N. crassa*. SEC-9 has an N-terminal  
983 extension and two C-terminal SNARE-motifs. **B:** Consensus sequence  
984 conservation of SEC-9 within 23 wild isolates of a *N. crassa* population along  
985 every amino acid position. Note the higher conservation within the N-terminal

986 region. **C:** Germling death frequencies of  $\Delta plp-1 \Delta plp-2, sec-9^{chimera1}$  (left two  
987 columns; chimera pictured below) and  $\Delta plp-1 \Delta plp-2, sec-9^{chimera2}$  (right two  
988 columns; chimera pictured below) in pairings with GRD1 (FGSC 2489) or GRD 3  
989 (Segregant 2) germlings. Colors correspond to the respective GRDH (yellow =  
990 GRDH1; blue = GRDH3). Death rates were measured by flow cytometry.  
991 Experiments were performed at least in triplicates with 20,000 events counted  
992 per experiment. Student's *t* test, \*\*:  $p < 0.01$ , \*\*\*:  $p < 0.001$ . **D:** Vacuolization  
993 frequency of  $\Delta plp-1 \Delta plp-2, SNARE1^{GRD3}$  or  $\Delta plp-1 \Delta plp-2, SNARE2^{GRD3}$  germlings  
994 undergoing self-fusion (columns 2, 4), or when paired with GRD1 germlings  
995 (FGSC 2489).  $\Delta plp-1 \Delta plp-2, sec-9^{GRD3}$  served as a positive control. Vacuolization  
996 rates were determined by microscopy. Experiments were performed in  
997 triplicates with at least 350 germlings evaluated per experiment. Student's *t* test  
998 \*\*\*:  $p < 0.001$ . **E:** Alignment of the SN1 and SN2 SNARE regions of the SEC-9  
999 homologs from *N. crassa* wild isolates (N.c), *Podospora anserina* (P.a),  
1000 *Cryphonectria parasitica* (C.a) with SNARE domains of yeast SEC9 (S. c.) and  
1001 human SNAP25 (H.s), using ClustalOmega. For the *N. crassa*, *P. anserina* and *C.*  
1002 *parasitica* sequences, for each site, the average of all intraspecific pairwise  
1003 alignment scores (extracted from Jalview) were calculated and then normalized  
1004 to set the most divergent site to 100 (red) and the most conserved site (no  
1005 intraspecific polymorphism) to 0 (blue). For *N. crassa* in SN2, only three of the  
1006 four allelic types were used, as the JW22 sequence could not unambiguously be  
1007 aligned without gaps. Black dots indicate interfaces with SS0-1/SNC-2, empty  
1008 dots indicate self-interface (SEC-9 SNARE1 with SNARE2).

1009

1010 **Fig. 5: The patatin-like domain and nucleotide binding domain of PLP-1 are**  
1011 **essential for GRD.** **A:** Schematic presentation of PLP-1. PLP-1 has a tripartite  
1012 domain architectures with an N-terminal domain (patatin-like), a central  
1013 nucleotide-binding domain (NBD) and C-terminal tetratricopeptide repeats  
1014 (TPR). **B:** Alignment of patatin phospholipase domains of PLP-1<sup>GRD1</sup> and PLP-  
1015 1<sup>GRD3</sup> of *N. crassa* (N.c), PaPLP1-1 of *P. anserina* (P.a), patatin from potato (S.t),  
1016 and human iPLA2 (H.s). The GGxR/K motif (\*) and the catalytic dyad formed by a  
1017 serine and aspartic acid (▼) are conserved. Essential amino acids that have been  
1018 mutated to alanine in PLP-1 are encircled. **C:** Alignment of NBD domains of PLP-



1019 1<sup>GRD1</sup> and PLP-1<sup>GRD3</sup> of *N. crassa* (N.c), PaPLP1-1 of *P. anserina* (P.a), DRL24 of  
1020 *Arabidopsis thaliana* (A.t), tomato K4BY49 (S.l), and human APAF1 (H.s). The  
1021 Walker A motif (\*), the Walker B motif (+) and the nucleotide sensor 1 (Λ)  
1022 residues are conserved. Essential amino acids that have been mutated to alanine  
1023 in PLP-1 are encircled. **D:** Localization of PLP-1 is not affected by mutations  
1024 introduced in the patatin-like domain (S63A, D204A: green) or in the NB-ARC  
1025 domain (K414A, D484A: purple). Scale bars: 10 μm **E:** Germling death  
1026 frequencies are reduced when GRD1 strains expressing PLP-1 with mutations in  
1027 the patatin-like domain and in the NB-ARC domain are paired with a strain  
1028 expressing SEC-9<sup>GRD3</sup>. Colors correspond to the domain affected by the mutations  
1029 (red = control strains, green = patatin-like, purple = NB-ARC). Germling death  
1030 frequencies were determined using flow cytometry. Experiments were  
1031 performed at least in triplicates with 20,000 events counted per experiment.  
1032 One-way ANOVA with post-hoc Tukey HSD Test p<0.05.

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1034 **Fig. 6: Interaction studies by co-immunoprecipitation experiments.** Input  
1035 panels show Western blots of total protein extracts isolated from 6 hr-old  
1036 germlings probed with either anti-GFP antibodies (A, B) or anti-mCherry  
1037 antibodies (C, D). IP panels show anti-mCherry (A, B) or anti-GFP (C, D)  
1038 immunoprecipitated proteins probed with anti-mCherry (A, B) or anti-GFP  
1039 antibodies (C, D). Co-IP panels show anti-mCherry (A, B) or anti-GFP (C, D)  
1040 immunoprecipitated proteins probed with anti-GFP (A, B) or anti-mCherry (C, D)  
1041 antibodies. Parentheses indicate tagged proteins that are expressed in one  
1042 heterokaryotic strain. **A:** Heterokaryotic germlings expressing various  
1043 combinations of tagged GRD1 proteins were cultivated. GRD was triggered by co-  
1044 cultivation of a heterokaryotic strain (PLP-1-GFP / PLP-1-mCh) with a GRD3  
1045 strain (lane 2). **B:** Heterokaryotic germlings expressing various combinations of  
1046 tagged GRD1 proteins were co-cultivated with germlings of GRD3 specificity to  
1047 induce GRD. **C:** Germlings expressing tagged proteins as indicated were co-  
1048 cultivated to induce germling fusion between the strains. During cultivation, GRD  
1049 occurred if wild type PLP-1 interacted with SEC-9<sup>GRD3</sup> (lane 2, 4, 5). Tagged SEC-9  
1050 was expressed in addition to endogenous SEC-9<sup>GRD1</sup> and was either  
1051 overexpressed by the *tef-1* promoter (OE) or controlled by the native *sec-9*

1052 promoter (lane 5, 6, 7). Note that there is a size difference between SEC-9<sup>GRD1</sup>  
1053 (43.5kDa) and SEC-9<sup>GRD3</sup> (47 kDa). **D:** Heterokaryotic germlings expressing  
1054 various combinations of tagged GRD1 proteins were co-cultivated with  
1055 germlings of a *Δplp-1 Δplp-2, sec-9<sup>GRD3</sup>* strain. During cultivation, GRD occurred if  
1056 wild type PLP-1 interacted with SEC-9<sup>GRD3</sup> (lanes 1, 2, 3) while in combinations  
1057 with mutated PLP-1 no GRD occurred (S64A, D204A, K414A) or GRD was  
1058 reduced (D484A) (compare Fig. 5E). PLP-1-GFP: ~175 kDa, PLP-2-GFP: ~90 kDa,  
1059 SEC-9-GFP: ~70 kDa, GFP: ~25 kDa, mCherry: ~30 kDa. For reciprocal co-  
1060 immunoprecipitations see Figure S4.

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1062 **Fig.7: Model for NLR function of PLP-1.** Our data suggest that PLP-1 functions  
1063 as a fungal NOD-like receptor that seems to act in a similar way to plant and  
1064 animal NLRs. Our model states that PLP-1 is kept in an inactive conformation  
1065 when not induced. Interaction with SEC-9 of different GRD specificity activates  
1066 PLP-1. Recognition is predicted to occur via the TPR domain and the SEC-9  
1067 SNARE domains, as these regions confer allelic specificity. The involvement of  
1068 other proteins cannot be excluded. Activation of PLP-1 induces oligomerization  
1069 of PLP-1, which requires a functional NB-ARC domain. Once initiated, proteins  
1070 without functional NB-ARC domain can participate in the GRD complex (PLP-2).  
1071 The GRD signal for cell death is transmitted via the N-terminal patatin-like  
1072 phospholipase activity.

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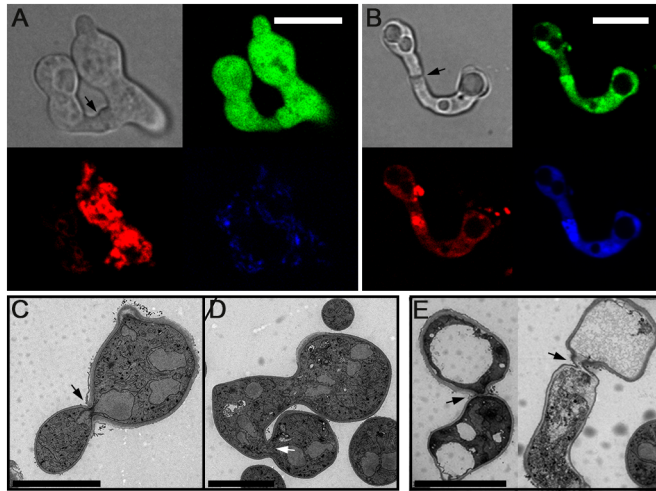
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1085 **Figures**

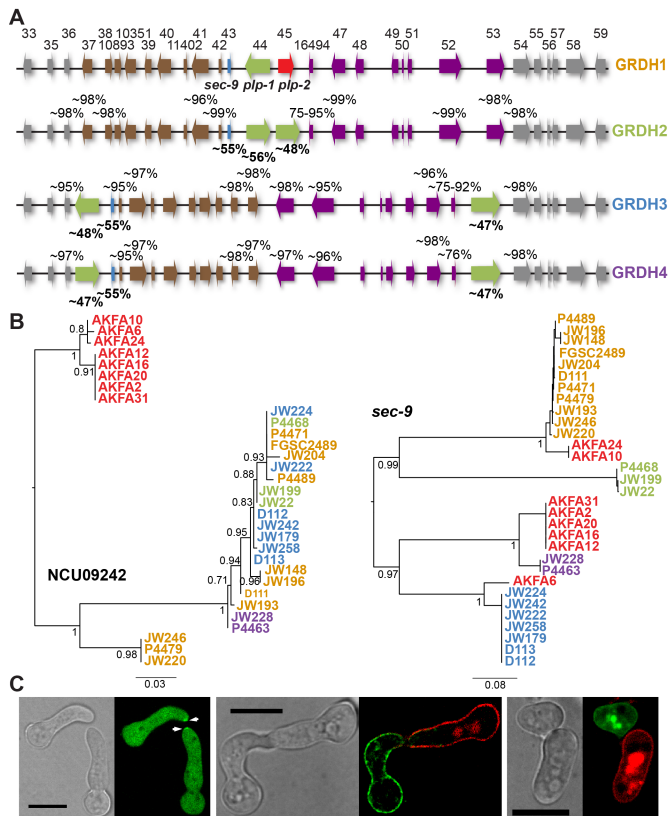
1086 **Figure 1**



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1089 **Figure 2**



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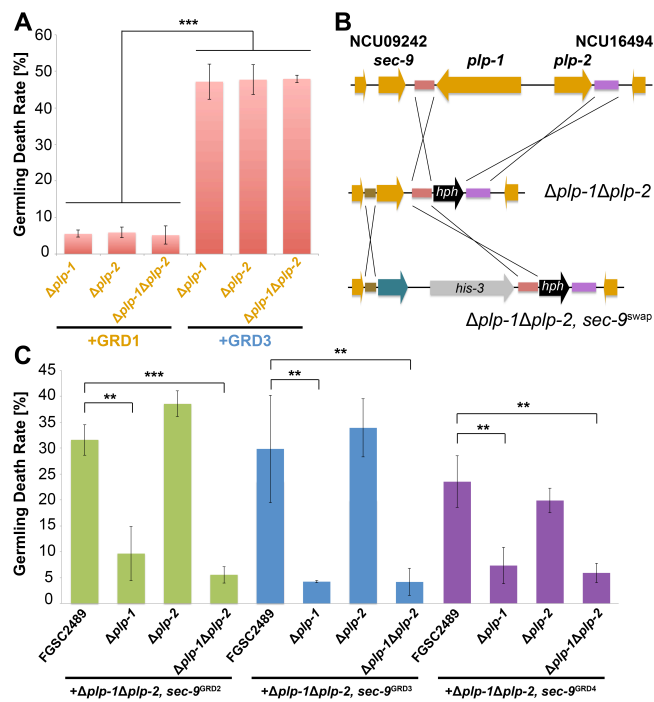
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1096 **Figure 3**



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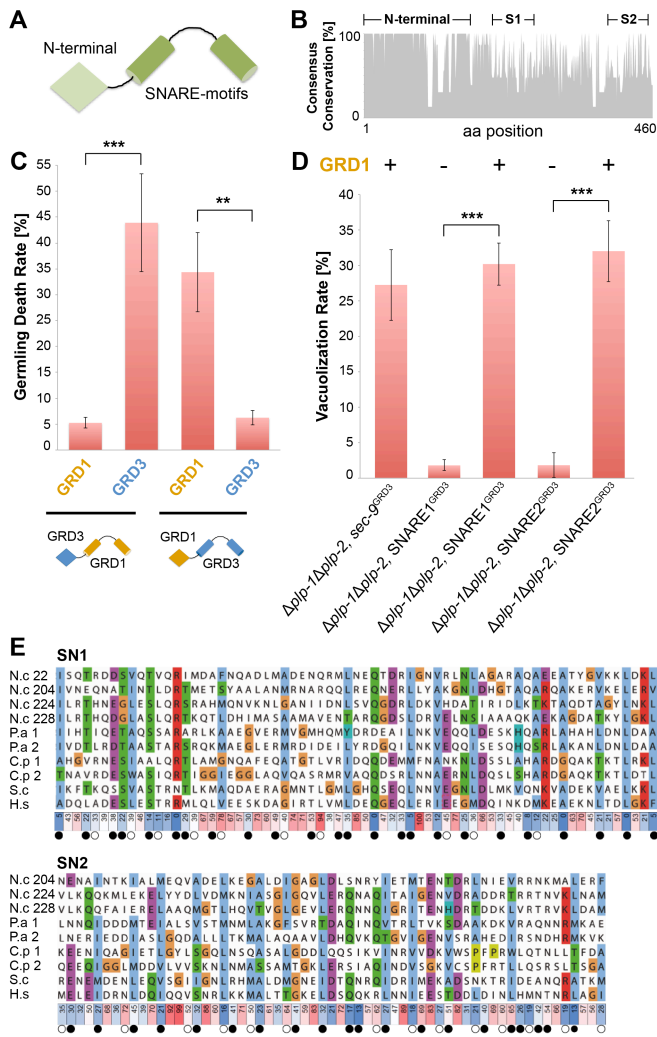
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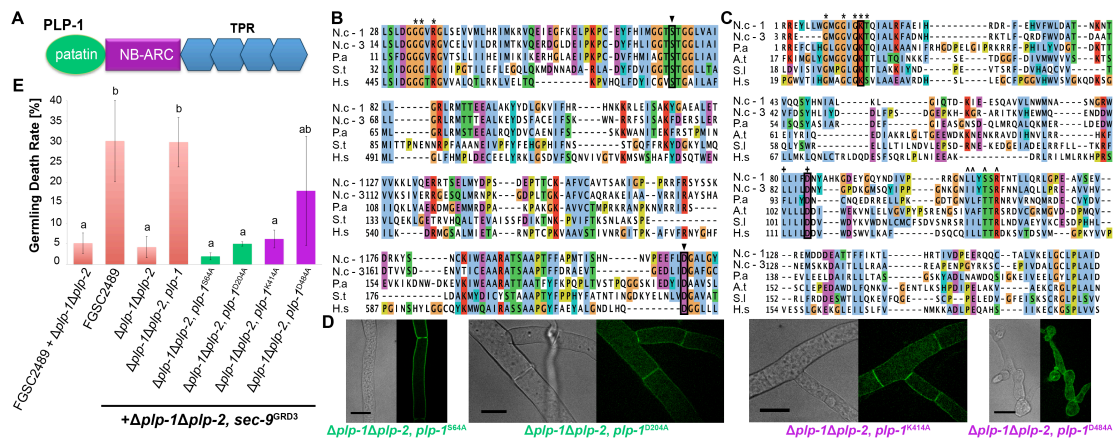
1117 **Figure 4**



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1120 **Figure 5**



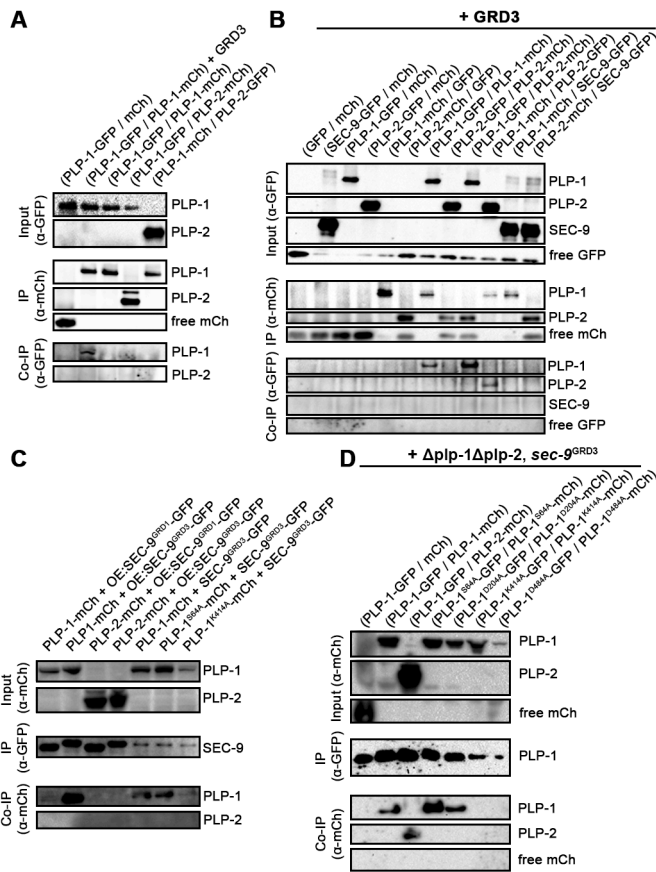
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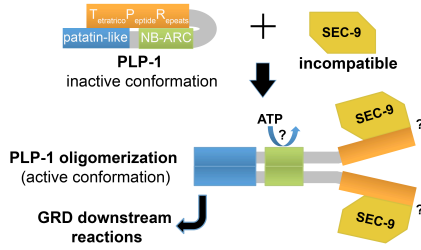
1125 **Figure 6**



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1128 **Figure 7**



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