UC Santa Cruz UC Santa Cruz Previously Published Works

Title

Spontaneous alpha-band amplitude predicts subjective visibility but not discrimination accuracy during high-level perception

Permalink https://escholarship.org/uc/item/0tm1g97m

Authors

Samaha, Jason LaRocque, Joshua J Postle, Bradley R

Publication Date

2022-07-01

DOI

10.1016/j.concog.2022.103337

Peer reviewed



HHS Public Access

Author manuscript

Conscious Cogn. Author manuscript; available in PMC 2022 November 03.

Published in final edited form as: Conscious Cogn. 2022 July ; 102: 103337. doi:10.1016/j.concog.2022.103337.

Spontaneous alpha-band amplitude predicts subjective visibility but not discrimination accuracy during high-level perception

Jason Samaha^{1,*}, Joshua J. LaRocque^{2,*}, Bradley R. Postle^{3,4}

¹Department of Psychology, University of California, Santa Cruz, USA

²Department of Neurology, New York University School of Medicine, USA

³Department of Psychiatry, University of Wisconsin-Madison, USA

⁴Department of Psychology, University of Wisconsin-Madison, USA

Abstract

Near-threshold perception is a paradigm case of awareness diverging from reality – the perception of an unchanging stimulus can vacillate from undetected to clearly perceived. The amplitude of low-frequency brain oscillations - particularly in the alpha-band (8-13 Hz) - has emerged as a reliable predictor of trial-to-trial variability in perceptual decisions based on simple, low-level stimuli. Here, we addressed the question of how spontaneous oscillatory amplitude impacts subjective and objective aspects of perception using high-level visual stimuli. Human observers completed a near-threshold face/house discrimination task with subjective visibility ratings while electroencephalograms (EEG) were recorded. Using single-trial multiple regression analysis, we found that spontaneous fluctuations in prestimulus alpha-band amplitude were negatively related to visibility judgments but did not predict trial-by-trial accuracy. These results extend previous findings that indicate that strong prestimulus alpha diminishes subjective perception without affecting the accuracy or sensitivity (d') of perceptual decisions into the domain of high-level perception.

Keywords

Neural oscillation; Alpha rhythm; Signal Detection Theory; Subjective report; High-level perception

1. Introduction

Conscious perception is determined not only by physical stimulus properties, but also by the state the brain is in when a stimulus is delivered, leading to dissociations between perception and reality. Prior research has found that the amplitude of prestimulus neural

Correspondence: jsamaha@ucsc.edu (JS).

^{*}these authors contributed equally

Author Statement

Jason Samaha: Conceptualization, methodology, software, formal analysis, investigation, writing, visualization. Joshua LaRocque: Conceptualization, methodology, software, formal analysis, investigation, writing. Bradley R Postle: Supervision, Writing, Conceptualization, Resources, Funding Acquisition.

oscillations in and around the alpha frequency band (8-13 Hz) is predictive of whether a near-threshold visual stimulus or magnetic stimulation-induced phosphene is detected (Babiloni et al., 2006; Busch et al., 2009; Chaumon & Busch, 2014; Ergenoglu et al., 2004; Mathewson et al., 2009; Romei et al., 2008; Samaha, Gosseries, et al., 2017; van Dijk et al., 2008). However, changes in detection probability can come about either because an observer's sensory system is better able to distinguish stimulus presence from stimulus absence (sensitivity change), or because an observer is more or less biased to report stimulus presence (criterion change). Recent experiments based in signal detection theory (SDT) have shown that the effect of prestimulus alpha amplitude on detection probability is explainable by a criterion change, such that observers are more likely to report a stimulus when alpha amplitude is low, even when a stimulus is absent (Iemi et al., 2017; Limbach & Corballis, 2016).

However, SDT is ambiguous with respect to how a criterion change might be related to perceptual experience. A criterion shift could come about through a change in conscious perception if, for example, during states of low alpha amplitude, the observer has a weak hallucination of a stimulus when there wasn't one (possibly driven by expectations). Alternatively, a criterion change may come about for post-perceptual reasons if, for instance, an observer deliberately chooses to require less evidence before deciding a stimulus was present when alpha is weak. In support of the former explanation, two recent experiments using two-choice discrimination tasks found that subjective reports of confidence (Samaha, Iemi, et al., 2017) and visibility (Benwell et al., 2017) were higher when prestimulus alpha power was low. In both studies, effects on subjective perceptual reports were accompanied by null effects on discrimination sensitivity, consistent with prior SDT analyses showing no effect of alpha power on detection d'. Moreover, prestimulus alpha power was found not to predict a deliberate decision bias in a two-interval forced choice task, but was consistent with a bias in observer's perceptual experience (Iemi & Busch, 2018).

Moreover, SDT is also ambiguous with respect to how a criterion change relates to underlying neural mechanisms. The same criterion effects could emerge through a change in sensory response distributions while keeping constant the absolute level of evidence needed to commit to a decision, or through a change in the absolute level of evidence, holding constant the sensory response distributions (Denison et al., 2018; Ko & Lau, 2012; Samaha et al., 2020). Growing evidence suggests that alpha amplitude biases subjective perception by acting on sensory responses, rather than on the absolute placement of criterion. For instance, high prestimulus alpha power leads to reduced early (~70ms) visuocortical eventrelated potentials in humans (Iemi et al., 2019), fMRI BOLD responses in primary (Mayhew et al., 2013) and secondary (Becker et al., 2011) visual cortex, and multiunit and/or gammaband activity in the monkey primary visual cortex (Spaak et al., 2012; van Kerkoerle et al., 2014). Thus, because alpha amplitude in primary sensory cortex is inversely related to cortical excitability, stimuli arriving during a state of low power will elicit larger sensory responses, resulting in changes to perceptual experience. However, because such fluctuations in power are spontaneous (as opposed to, for instance, attention-related), the amplification of sensory responses applies to neurons involved in representing both possible stimuli (in a 2-choice task). This account can explain changes in detection rates, confidence, and

visibility, without accompanying changes in discrimination or detection sensitivity (Samaha et al., 2020)

However, prior work showing a relationship between ongoing power and subjective reports have used stimuli that preferentially engage low-level visual areas, such as luminance gratings (Samaha, Iemi, et al., 2017), or Gaussian light patches (Benwell et al., 2017). This approach is sensible given that alpha correlates negatively with low-level visual cortex excitability, but leaves open the possibility that different effects are observed when making judgments about high-level visual properties. Indeed, it has been found that alpha oscillations in macaque inferior temporal (IT) cortex (an area presumably involved in high-level perceptual representations), have a laminar profile that is distinct from V1, V2, and V4 (Bollimunta et al., 2008, 2011). Moreover, in direct opposition to early visual areas, IT alpha power shows a *positive* relationship with stimulus evoked multi-unit and gamma-band activity (Mo et al., 2011). Thus, perceptual decisions that rely on IT cortex representations may bear an opposite relationship with alpha power as compared to tasks that engage low-level visual areas.

The main goal of the present experiment was to see whether the relationship between spontaneous alpha power and visibility judgments (and the lack of an effect on accuracy) extend to a perceptual task with higher-level stimuli. To this end, we recorded electroencephalography (EEG) while observers performed a near-threshold face/house discrimination task while providing visibility judgments. Single-trial analyses of timefrequency power revealed a negative relationship between ongoing alpha-band amplitude and visibility judgments, but prestimulus activity was not predictive of decision accuracy. These results replicate and extend prior work showing that spontaneous alpha selectively impacts subjective, but not objective perceptual processing during high-level perceptual decisions. Moreover, it seems the effects of alpha on high-level perception resemble those associated with low-level perception, despite differences in the physiology of alpha in highand low-level visual areas.

2. Materials and methods

2.1. Participants.

8 observers (4 female; age range 19-27) were recruited from the University of Wisconsin-Madison community and participated for monetary compensation. Based on the effect size from our prior work (Samaha, Iemi, et al., 2017; section 3.2), this sample size achieves 80% power to detect the relationship between prestimulus alpha power and visibility. The University of Wisconsin-Madison Health Sciences Institutional Review Board approved the study protocol. All subjects provided informed consent and self-reported normal or corrected-to-normal vision and no history of neurological disorders. The EEG data reported here were collected inside an MRI scanner in the context of a simultaneous EEG/fMRI experiment.

2.2. Stimuli

Target stimuli were briefly presented (17 ms) grayscale images of faces and houses (Sterzer et al., 2008), which were matched with one another and with the gray background for mean luminance, and which were presented at fixation within a 2D Gaussian aperture extending 13 degrees of visual angle (DVA). We chose four unique face images and four unique house images (this small selection was necessary to estimate contrast thresholds separately for each stimulus in a timely manner; see Procedure). To reduce stimulus awareness, targets were backward-masked using a rapid sequence of eight full-contrast phase-scrambled versions of the face and house stimuli, lasting 200 ms (see Fig. 1A). Masking images were randomly sampled from the set of 8 textures, with the constraint that the first four and second four both contain two house textures and two face textures. We refer to this as a "dynamic mask", which has been previously shown to be effective at masking scene gist (Loschky et al., 2010). The inter-stimulus interval (ISI) between target and mask was 33 ms. A central fixation cross was displayed throughout each trial (0.44 DVA). Stimuli were back-projected onto a screen visible from within the MRI scanner via mirrors situated above the observer's head. The projector had a refresh rate of 60 Hz and a middle gray background (RGB vales each set to 128).

2.3. Procedure

Prior to the application of EEG, observers completed a thresholding procedure, aimed at finding target contrasts that produced approximately 50% subjectively detected targets (see Fig. 1A). Masked targets (80% of trials) were presented at varying contrast levels as determined by a one-up, one-down staircase according to observer's detection responses (i.e., observers were asked if they perceived any image at all and did not provide discrimination judgments at this step). 20% of trials contained no stimulus. Because high-level stimuli vary along many dimensions, a 50% detection contrast-threshold was estimated for each unique face and house stimulus. The timing of experimental events is shown in Fig. 1A. Observers competed between 100 and 200 trials of this initial threshold outside of the scanner. Following the application of the EEG net, observers were situated in the MRI scanner and underwent a second round of 100 trials of the thresholding procedure, seeded with the results of the out-of-scanner threshold, to further adapt contrast levels for the display parameters within the scanner. Threshold contrasts were taken from the last reversal for each image from the in-scanner thresholding and were fixed throughout the main task.

The main task was a face/house discrimination with visibility judgments. On each trial a face (40% of trials), house (40% of trials), or no target (mask only; 20% of trials) was briefly (17ms) presented followed by an ISI (33 ms) and a dynamic mask (200 ms). After a variable delay between 1.25 and 2.5 seconds, the objective judgment was solicited when the word "face" and "house" appeared on the screen above and below fixation. Key responses were mapped to the location of each word, such that observers pressed the "up" key to select whichever choice was printed above fixation and the "down" key to indicate whichever choice was printed below fixation. The appearance of each choice option either above or below fixation was randomized on each trial to avoid a choice-selective motor preparation signal from emerging. After a 500 ms delay, subjective visibility was reported by sliding a cursor (via mouse wheel) along a visual analog scale (13 DVA) with 100 discrete points

ranging from "not visible" to "maximum visibility". The starting point of the cursor was reset to the middle of the scale on each trial. Observers were instructed to think of maximum visibility as the most visible the stimulus could be in the context of this near-threshold experiment (as opposed to the most visible *any* stimulus could ever be). After the visibility response, a fixation-only inter-trial interval (ITI) was displayed for between 3 and 9 seconds.

Observers completed 280 trials of the main task, split across 7 blocks, while EEG and fMRI

signals were acquired. Total experiment time was approximately 3.5 hours.

2.4. EEG acquisition and preprocessing

EEGs were collected at 5000 Hz from an MRI-compatible system (BrainAmp MR EEG amplifier). We used an MR-compatible electrode cap, also from Brain Vision, with 31 scalp channels plus an EKG electrode positioned on the middle of the back. Signals were referenced online to electrode AFZ. Pre-processing was done using a combination of EEGLAB13 (Delorme & Makeig, 2004) and custom MATLAB code. Several steps were then taken to clean the EEG data of MR artifacts. First, the FMRIB plug-in for EEGLAB, provided by the University of Oxford Centre for Functional MRI of the Brain (FMRIB) (Niazy et al., 2005), was used to remove artifacts caused by the MRI scanner. The gradient artifact was removed using the FASTR tool, which calculates a moving average template gradient artifact, subtracts this template from the data, then uses principal components analysis to remove up to 90% of the residual artifact left after subtraction of the template. We next downsampled the EEG data to 500 Hz in order to minimize computational demands of the next steps. The ballistocardiogram artifact was cleaned by a similar method, after a preliminary step to detect the timing of QRS complexes in the electrocardiogram. Then an initial estimation and subtraction of a template artifact was followed by reduction of residual artifact by calculation and subtraction of an optimal basis set of PCA components. A high-pass Hamming windowed-sinc FIR filter (pop eegfiltnew.m) was applied at 0.1 Hz to remove slow drifts in the data and a notch-filter between 55-65 Hz removed line noise. Independent components analysis was used to remove residual MRI artifact, artifacts due to eye movements and blinks, and muscular artifact - an average of 14 components were removed from each subject's data. After cleaning, signals were downsampled to 250 Hz, epoched from -1.2 to 1.2 seconds surrounding stimulus onset, re-referenced to the average of all channels, and baseline-corrected using the mean voltage from 200 ms prior to stimulus onset.

2.4. Analysis of prestimulus EEG power

Our analysis followed closely that of a prior experiment where we analyzed prestimulus power in relation to the accuracy and confidence of orientation discriminations (Samaha, Iemi, et al., 2017). We first undertook a non-parametric single-trial multiple regression analysis of time-frequency power that used single-trial accuracy and visibility judgments (normalized to each observer's max visibility rating) to predict single-trial power across a range of prestimulus times (–500 to 0 ms) and frequencies (3 to 40 Hz). To estimate power across time and frequencies, data from each channel and trial were convolved with a family of complex Morlet wavelets spanning 3–40 Hz in 1 Hz steps with wavelet cycles increasing linearly between 3 and 8 cycles as a function of frequency. Power was obtained by squaring

the absolute value of the resulting complex time series, and an analysis epoch between -500 and 0 ms relative to stimulus onset was extracted.

For each time-point, frequency, channel, and subject, beta coefficients describing the monotonic relationship between prestimulus power and accuracy (controlling for visibility) and between prestimulus power and visibility (controlling for accuracy) were estimated according the linear model:

$$\beta = (X^T X)^{-1} X^T D$$

where X is the design matrix containing one column for the intercept (all 1s), one column of accuracy scores (dummy coded as 1 or 0), and one column of max-normalized visibility ratings across all trials. Superscripts T and -1 indicate the matrix transpose and inverse, and D is the vector of power data from all trials at a given time-frequency point and channel. Power values were first rank scored to mitigate the influence of outlying trials (this is equivalent to a Spearman correlation), and β was estimated using a least-squares solution. The resulting beta coefficients describe the independent contributions of accuracy and visibility to explaining prestimulus power (although note that these variables are expected to be colinear and so the variance of the estimates will likely be inflated – we deal with this issue in a subsequent analysis described below). Beta coefficients were then converted into a z-statistic (β_z) relative to a subject-specific null hypothesis distribution obtained by shuffling the mapping between the data and the design matrix 2000 times and computing permuted betas (β_{perm}) according to the formula described in (Cohen, 2014):

$$\beta_Z = (\beta - mean(\beta_{perm})) / stde v(\beta_{perm})$$

where *stdev* is the standard deviation function. β_z values were then averaged over a cluster of posterior electrodes shown in Fig. 2A, which showed the highest signal amplitude in the 8-12 Hz range from between -500 and 0 ms relative to stimulus onset. These electrodes were chosen for analysis on the basis of visual inspection of group-average topography of alpha power across all trial types.

Group-level significance testing was conducted using non-parametric statistics with cluster correction to control for multiple comparisons across times and frequencies. To estimate group-level null hypothesis distributions, on each of 20,000 permutations, β_z values from a random subset of subjects for both the visibility and accuracy effect were multiplied by -1 and a two-tailed *t*-test against zero was computed (this is equivalent to randomly swapping the order of the condition subtraction, e.g., A-B vs. B-A; (Maris & Oostenveld, 2007)). On each permutation, the size of the largest contiguous cluster of significant ($\alpha = 0.05$) time-frequency points was saved, forming a distribution of cluster sizes expected under the null hypothesis. The *t*-statistics associated with the true group-level tests were converted to *z*-statistics relative to the mean and standard deviation of the empirical null hypothesis distributions and only clusters of significant *z* values ($z_{crit} = \pm 1.96$, $\alpha = 0.05$) larger than the 95% percentile of the distribution of cluster sizes expected under the null hypothesis were considered significant (Cohen, 2014). Note that cluster correction using the sum of

t-statistics in each cluster (rather than the size of the cluster) yielded the same selection of significant clusters.

To complement our single-trial regression approach, we also binned visibility and accuracy into quartiles according to prestimulus alpha power levels obtained from a fast Fourier transform (FFT) of prestimulus data. This analysis was motivated by three considerations. (1) Time-frequency analysis necessarily results is some temporal smoothing making it is possible for poststimulus effects to smear in time and appear in the prestimulus window (Zoefel & Heil, 2013). We therefore extracted epochs between 500 and 0 ms from each trial and estimated power with a zero-padded (resolution: 0.66 Hz), Hamming window-tapered, FFT, which ensured that only prestimulus data was included in the analysis. (2) Substantial variability in peak alpha frequency exists across individuals (Haegens et al., 2014; Samaha & Postle, 2015) that is not taken into account in the time- frequency analysis. For this reason, we binned visibility and accuracy according to alpha power defined as the average over a ± 2 Hz window centered on each individual's alpha peak (identified from the power spectrum averaged across channels in our posterior region of interest). (3) Lastly, this binning analysis served as a more traditional approach by which to verify the single-trial regression results.

To statistically assess the relationship between FFT-based alpha power and visibility and accuracy, we fit linear regression slopes to each subject's rank-scored visibility and accuracy by alpha quartiles and compared these slopes against zero at the group level with a two-tailed *t*-test.

Because visibility and accuracy are themselves correlated (i.e., they are colinear predictors) the unique contribution of the two predictors is potentially misestimated in the models described above. To get a better sense of the independent effects of accuracy and visibility in predicting power, we ran a series of linear and logistic regression models using single-trial data and used residuals from those models to predict the remaining variables. Specifically, we used logistic regression to predict single-trial accuracy from pre-stimulus alpha power (defined from the FFT, as described above). This allowed us to see if alpha and accuracy were related without any other predictors in the model (in Fig. 4, this model is abbreviated "M1"). Next, we tested whether accuracy on its own could predict power in a linear regression model, which also allowed us to save the residual variance in alpha power not explained by accuracy ("M2"). Then, we predicted power from visibility in a linear model ("M3") and saved those residuals. We then used single-trial visibility to predict the alpha power residuals from M2 to see if visibility predicted fluctuations in alpha not explained by accuracy ("M4"). Lastly, we used the residual alpha power from M3 to predict accuracy using logisitc regression ("M5") to estimate whether alpha variability not explained by visibility predicted accuracy. All of these models were fit to single-trial data (after rank-scoring power values) separately for each subject (using the matlab routine *fitglm.m*) and then t-statistics from each model were tested against zero at the group level with a two-tailed, dependent-samples t-test. All analyses above excluded the subset of trials where no target stimulus was presented.

To more formally quantify evidence in favor and against the null hypothesis of no alphabehavior relationship, *t*-values form each of the FFT-based analyses described above were submitted to the online Bayes factor (BF) calculator (http://pcl.missouri.edu/bf-one-sample) which returned a BF in favor of the the null hypothesis (BF_{null}) or the alternative (BF_{alt}) depending on which was most likely. BF were computed using a Jeffrey-Zellner-Siow Prior (JZS), meaning a Cauchy distribution on the effect size with a scaling factor r = 0.707.

3. Results

3.1. Behavioral performance

We first checked whether discrimination performance and visibility judgments were sensible. As shown in Fig. 1B, face/house discrimination accuracy (proportion correct) increased monotonically with subjective visibility judgments (binned into deciles) with accuracy near chance levels (50% correct) at the lowest visibility, and up to ~80% correct at the highest visibility. Slopes fit to individual observer's accuracy by visibility bin data were significantly different from zero (mean slope \pm SEM = 0.026 \pm 0.008; t(1,7) = 3.012, p = 0.019), indicating that accuracy increased by 2.6%, on average, for each decile increment in (max-normalized) subjective visibility. We also compared mean visibility judgments for the 20% of trials without a target stimulus to that of the remaining 80% of target trials. Visibility judgments were significantly higher for stimulus present trials (mean visibility \pm SEM = 0.489 \pm 0.037), as compared to stimulus absent trials (0.383 \pm 0.051; t(1,7) = 2.689, p = 0.031), indicating that observer's used the visibility scale appropriately.

3.2. Prestimulus power significantly predicts visibility, but not accuracy

Inspection of the prestimulus power spectrum (Fig. 2B) confirmed the presence of alpha activity (peak in the power spectrum at 9.3 Hz) with a clear distribution over posterior electrodes (Fig. 2A), which we aggregated over for further analyses. Fig. 2C shows the contributions of visibility and accuracy in predicting time-frequency power on a singletrial basis. The pseudocolor plots show β_z values averaged over observers with warm (cool) colors indicating a positive (negative) monotonic relationship between the behavioral variable and power at each time-frequency point. Contours outlined in black denote timefrequency points with significant (cluster-corrected) relationships between EEG power and behavior. As can be seen in Fig. 2C (left panel), a significant negative relationship emerged between visibility judgments and prestimulus power between 8-14 Hz and -450 and -220ms, indicating that lower prestimulus power in this time-frequency window was related to higher subsequent visibility ratings. No significant (cluster-corrected) relationships were found between accuracy and prestimulus power in any time-frequency window (Fig 2C, right panel). Inspecting the time-frequency maps without cluster correction (not shown) did reveal a transient prestimulus relationship that was positive around 20-25 Hz and -100ms prestimulus and then negative from 25-30 Hz around -30ms prestimulus. However, the proximity to stimulus onset and the rapid sign change of the effect make it physiologically difficult to interpret and likely reflecting noise. Even without cluster-correction, though, no accuracy effects were found in the alpha band. Lastly, we re-ran the analysis on a larger range of frequencies up to 100 Hz (not shown) and did not find any significant (cluster-corrected) effects in that range either.

Peak alpha frequencies ranged from 7.9 to 10.6 Hz, motivating an individually-tailored analysis of prestimulus power centered on each observer's alpha peak. Sorting accuracy and visibility according to quartiles of FFT-based prestimulus peak alpha power (Fig. 3A) revealed a negative monotonic relation between spontaneous alpha power and visibility ratings (mean slope \pm SEM = -0.425 ± 0.138 ; t-test against zero; t(1,7) = -3.067, p = 0.018, $BF_{alt} = 4.12$), replicating the negative relationship found in the single-trial regression analysis. This replication suggests that the effect in the time-frequency analysis was not due to temporal smearing of post-stimulus responses because the FFT analysis considered only prestimulus data (although the high-pass filter of 0.1 Hz used in pre-processing may have introduced some spread). In contrast, the monotonic relationship between prestimulus peak alpha power and accuracy was not significant (mean slope \pm SEM = $-1.3 \times 10^{-17} \pm 0.192$; t-test against zero; $t(1,7) = -7.2 \times 10^{-17}$, p = 0.999, $BF_{null} = 2.97$), although the BF for this null effect represents anecdotal to moderate evidence for the null. Accuracy-power and visibility-power slopes were also significantly different from each other (t(1, 7) = 3.709,p = 0.007, $BF_{alt} = 8.13$), indicating that prestimulus alpha power predicts visibility to a significantly greater extent than it predicts accuracy. Notably, the negative relationship between prestimulus alpha power and visibility was apparent across a wide swath of electrodes with 12/31 showing a significant negative relationship and all 31/31 electrodes having a negative sign (Fig. 3B).

To better understand the independent contributions of accuracy and visibility in predicting prestimulus alpha power, we conducted a series of regression models on single-trial (FFT-based) alpha estimates and behavior (see Fig. 4). First, we tried to predict single-trial (binary) accuracy using prestimulus alpha in a logistic regression model (M1), which did not result in a significant effect (t(1,7) = -0.62, p = 0.55, BF_{null} = 2.54), indicating that even though accuracy was correlated with visibility, it did not relate to alpha when it was the sole variable in the model. Next, we predicted prestimulus alpha power from accuracy in a linear model (as opposed to logistic) so that we could obtain alpha power estimates residualized for the effect of accuracy. This model (M2), also yielded no effect of accuracy on alpha, with a t-statistic and BF nearly identical to the logistic model M1(t(1,7) = -0.616, p = 0.55, BF_{null} = 2.54). Next we predicted alpha power from single-trial visibility judgments (M3) and found a significant negative effect, replicating the binning and time-frequency results (t(1,7) = -3.08, p=0.017, BF_{alt} = 4.20). Together, these results indicate that even when accuracy and visibility are sole predictors, only visibility showed a significant effect.

We next used the alpha residuals from M2 to test whether visibility predicted pre-stimulus alpha fluctuations that were independent of accuracy (M4). This yielded a significant effect, showing an independent contribution of visibility to predicting accuracy (t(1,7) = -2.94, p = 0.021, BF_{alt} = 3.56). On the other hand, when power residualized for the effect of visibility from M3 was used to predict accuracy in a logistic another model (M5), we found no effect (t(1,7) = 0.122, p=0.91, BF_{null} = 2.95), suggesting that alpha fluctuations that are independent of visibility still do not predict accuracy. Taken together, these results provide stronger evidence that alpha and visibility are related, independently of accuracy.

4. Discussion

Visual perception often deviates from reality, with visual illusions and hallucinations being prime examples. Here, we show that subjective perceptual reports of complete objects are also modulated independently of stimulus factors by the amplitude of ongoing neural oscillations in the alpha range. Not only does ongoing alpha produce a dissociation between subjective perception and the stimulus, it also selectively impacts subjective visibility reports but seemingly not the veridicality of observer's decisions. Although we cannot conclude with high confidence that there is no relationship between accuracy and alpha (our BF analyses indicate that the null is somewhere between 2.5 and 3 times more likely than the alternative), our data do indicate that alpha predicts visibility significantly more so than alpha predicts accuracy (Fig. 3). These results buttress a growing body of literature using low-level stimuli which suggests that spontaneous fluctuations in alpha-band amplitude bias the criterion for stimulus detection (Craddock et al., 2017; Iemi et al., 2017; Iemi & Busch, 2018; Limbach & Corballis, 2016) and subjective confidence/visibility (Benwell et al., 2017; Samaha, Iemi, et al., 2017; Wöstmann et al., 2019), without changing detection sensitivity (d') or discrimination accuracy. We show that this effect extends into a high-level perceptual discrimination task as well.

The recent "baseline sensory excitability model" (BSEM; Samaha et al., 2020) proposes that ongoing alpha power is inversely related to the excitability of early sensory brain areas and that, as a consequence, stimuli occurring during a period of weak alpha (high excitability) produce larger sensory responses. Mechanisms responsible for setting detection and visibility criteria, however, do not adapt to the increase in sensory responses and are surpassed more readily when alpha power is low. Additionally, because spontaneous fluctuations in sensory excitability as measured through EEG will, on average, equally impact populations of neurons representing both stimulus alternatives (e.g., face/house, or stimulus present/absent), the boost in sensory responses is non-specific and does not improve one's ability to discriminate between alternative stimuli (Samaha et al., 2020). This model is premised on the observation that increased alpha power has an inhibitory influence in primary sensory cortex (Haegens et al., 2011; Iemi et al., 2019; Spaak et al., 2012; van Kerkoerle et al., 2014). In contrast, spiking activity of neurons in the high-order visual area IT in macaques have been shown to be *positively* related to alpha-band amplitude (Mo et al., 2011). Our results suggest that the inhibitory influence of alpha band activity in early sensory areas, rather than any excitatory IT influence, biases perceptual reports during a face/house discrimination task. This finding expands support for BSEM to higher-level visual perception. An important caveat, however, is that we could not isolate alpha in temporal cortex directly, so it remains possible that there are specific effects of alpha in temporal areas on behavior that we are missing and which could go in the opposite direction to the effects found here. However, the topography of our effects (Figure 3B) indicates that there were no electrodes that showed any positive association between alpha power and visibility. Thus, our results do suggest that alpha measured across most of the scalp impacts high-level perceptual judgments in a similar way as low-level judgments.

Our findings provide further evidence that subjective and objective measures of visual perception are readily dissociable. The phenomenon of blindsight is a prime example of a

much more profound dissociation brought about through lesions of visual cortex (Cowey & Stoerig, 1991), however our results suggest that even in neurologically intact individuals, an observer's subjective visibility of a stimulus can be modulated independently of their ability to accurately discriminate its identity. Indeed, there is a growing body of psychophysical work pointing to the separability of subjective and objective visual reports (Koizumi et al., 2015; Lau & Passingham, 2006; Maniscalco et al., 2016; Odegaard et al., 2018; Rahnev et al., 2011; Samaha et al., 2016, 2019; Samaha & Denison, 2020; Zylberberg et al., 2012, 2014). In all of these cases (though perhaps less so in neurological blindsight), there is a question as to which metric, the objective or subjective, better tracks observers' conscious experience. At least in the case of prestimulus alpha oscillations, the sensory origins of the bias (reviewed in Samaha et al., 2020) combined with the results of clever experimental designs aimed at ruling out decision biases (Iemi & Busch, 2018) suggest an effect of alpha on conscious perception, per se. That is, stimuli subjectively appear more visible when alpha power is low, despite that fact this appearance does not come with more accurate decisions. If correct, dissociations such as this - between conscious perception and performance - may serve as a useful tool for further examining the neural correlates and behavioral functions of conscious perception while controlling for performance confounds (Lau & Passingham, 2006; Morales et al., 2019; Samaha, 2015)

Acknowledgements:

This work was supported by MH095984 to B.R.P

References

- Babiloni C, Vecchio F, Bultrini A, Romani GL, & Rossini PM (2006). Pre- and Poststimulus Alpha Rhythms Are Related to Conscious Visual Perception: A High-Resolution EEG Study. Cerebral Cortex, 16(12), 1690–1700. 10.1093/cercor/bhj104 [PubMed: 16400156]
- Becker R, Reinacher M, Freyer F, Villringer A, & Ritter P (2011). How Ongoing Neuronal Oscillations Account for Evoked fMRI Variability. Journal of Neuroscience, 31(30), 11016–11027. 10.1523/ JNEUROSCI.0210-11.2011 [PubMed: 21795550]
- Benwell CSY, Tagliabue CF, Veniero D, Cecere R, Savazzi S, & Thut G (2017). Prestimulus EEG power predicts conscious awareness but not objective visual performance. ENeuro, ENEURO.0182– 17.2017. 10.1523/ENEURO.0182-17.2017
- Bollimunta A, Chen Y, Schroeder CE, & Ding M (2008). Neuronal Mechanisms of Cortical Alpha Oscillations in Awake-Behaving Macaques. The Journal of Neuroscience, 28(40), 9976–9988. 10.1523/JNEUROSCI.2699-08.2008 [PubMed: 18829955]
- Bollimunta A, Mo J, Schroeder CE, & Ding M (2011). Neuronal Mechanisms and Attentional Modulation of Corticothalamic Alpha Oscillations. The Journal of Neuroscience, 31(13), 4935– 4943. 10.1523/JNEUROSCI.5580-10.2011 [PubMed: 21451032]
- Busch NA, Dubois J, & VanRullen R (2009). The Phase of Ongoing EEG Oscillations Predicts Visual Perception. The Journal of Neuroscience, 29(24), 7869–7876. 10.1523/JNEUROSCI.0113-09.2009 [PubMed: 19535598]
- Chaumon M, & Busch NA (2014). Prestimulus Neural Oscillations Inhibit Visual Perception via Modulation of Response Gain. Journal of Cognitive Neuroscience, 26(11), 2514–2529. 10.1162/ jocn_a_00653 [PubMed: 24742156]
- Cohen MX (2014). Analyzing Neural Time Series Data: Theory and Practice. MIT Press.
- Cowey A, & Stoerig P (1991). The neurobiology of blindsight. Trends in Neurosciences, 14(4), 140–145. [PubMed: 1710851]

- Craddock M, Poliakoff E, El-deredy W, Klepousniotou E, & Lloyd DM (2017). Prestimulus alpha oscillations over somatosensory cortex predict tactile misperceptions. Neuropsychologia, 96, 9–18. 10.1016/j.neuropsychologia.2016.12.030 [PubMed: 28041948]
- Delorme A, & Makeig S (2004). EEGLAB: an open source toolbox for analysis of single-trial EEG dynamics including independent component analysis. Journal of Neuroscience Methods, 134(1), 9–21. 10.1016/j.jneumeth.2003.10.009 [PubMed: 15102499]
- Denison RN, Adler WT, Carrasco M, & Ma WJ (2018). Humans incorporate attention-dependent uncertainty into perceptual decisions and confidence. Proceedings of the National Academy of Sciences, 115(43), 11090–11095. 10.1073/pnas.1717720115
- Ergenoglu T, Demiralp T, Bayraktaroglu Z, Ergen M, Beydagi H, & Uresin Y (2004). Alpha rhythm of the EEG modulates visual detection performance in humans. Cognitive Brain Research, 20(3), 376–383. 10.1016/j.cogbrainres.2004.03.009 [PubMed: 15268915]
- Haegens S, Cousijn H, Wallis G, Harrison PJ, & Nobre AC (2014). Inter- and intraindividual variability in alpha peak frequency. Neuroimage, 92(100), 46–55. 10.1016/ j.neuroimage.2014.01.049 [PubMed: 24508648]
- Haegens S, Nácher V, Luna R, Romo R, & Jensen O (2011). α-Oscillations in the monkey sensorimotor network influence discrimination performance by rhythmical inhibition of neuronal spiking. Proceedings of the National Academy of Sciences, 108(48), 19377–19382. 10.1073/ pnas.1117190108
- Iemi L, & Busch NA (2018). Moment-to-Moment Fluctuations in Neuronal Excitability Bias Subjective Perception Rather than Strategic Decision-Making. ENeuro, 5(3), ENEURO.0430-17.2018. 10.1523/ENEURO.0430-17.2018
- Iemi L, Busch NA, Laudini A, Haegens S, Samaha J, Villringer A, & Nikulin VV (2019). Multiple mechanisms link prestimulus neural oscillations to sensory responses. ELife, 8, e43620. 10.7554/ eLife.43620 [PubMed: 31188126]
- Iemi L, Chaumon M, Crouzet SM, & Busch NA (2017). Spontaneous Neural Oscillations Bias Perception by Modulating Baseline Excitability. Journal of Neuroscience, 37(4), 807–819. 10.1523/JNEUROSCI.1432-16.2016 [PubMed: 28123017]
- Ko Y, & Lau H (2012). A detection theoretic explanation of blindsight suggests a link between conscious perception and metacognition. Philosophical Transactions of the Royal Society of London B: Biological Sciences, 367(1594), 1401–1411. 10.1098/rstb.2011.0380 [PubMed: 22492756]
- Koizumi A, Maniscalco B, & Lau H (2015). Does perceptual confidence facilitate cognitive control? Attention, Perception & Psychophysics, 77(4), 1295–1306. 10.3758/s13414-015-0843-3
- Lau H, & Passingham RE (2006). Relative blindsight in normal observers and the neural correlate of visual consciousness. Proceedings of the National Academy of Sciences, 103(49), 18763–18768. 10.1073/pnas.0607716103
- Limbach K, & Corballis PM (2016). Prestimulus alpha power influences response criterion in a detection task. Psychophysiology. 10.1111/psyp.12666
- Loschky LC, Hansen BC, Sethi A, & Pydimarri TN (2010). The role of higher order image statistics in masking scene gist recognition. Attention, Perception & Psychophysics, 72(2), 427–444. 10.3758/ APP.72.2.427
- Maniscalco B, Peters MAK, & Lau H (2016). Heuristic use of perceptual evidence leads to dissociation between performance and metacognitive sensitivity. Attention, Perception, & Psychophysics, 1–15. 10.3758/s13414-016-1059-x
- Maris E, & Oostenveld R (2007). Nonparametric statistical testing of EEG- and MEG-data. Journal of Neuroscience Methods, 164(1), 177–190. 10.1016/j.jneumeth.2007.03.024 [PubMed: 17517438]
- Mathewson KE, Gratton G, Fabiani M, Beck DM, & Ro T (2009). To See or Not to See: Pre-stimulus Alpha Phase Predicts Visual Awareness. The Journal of Neuroscience, 29(9), 2725–2732. 10.1523/ JNEUROSCI.3963-08.2009 [PubMed: 19261866]
- Mayhew SD, Ostwald D, Porcaro C, & Bagshaw AP (2013). Spontaneous EEG alpha oscillation interacts with positive and negative BOLD responses in the visual–auditory cortices and default-mode network. Neuroimage, 76, 362–372. 10.1016/j.neuroimage.2013.02.070 [PubMed: 23507378]

- Mo J, Schroeder CE, & Ding M (2011). Attentional Modulation of Alpha Oscillations in Macaque Inferotemporal Cortex. The Journal of Neuroscience, 31(3), 878–882. 10.1523/ JNEUROSCI.5295-10.2011 [PubMed: 21248111]
- Morales J, Odegaard B, & Maniscalco B (2019). The Neural Substrates of Conscious Perception without Performance Confounds [Preprint]. PsyArXiv. 10.31234/osf.io/8zhy3
- Morey RD (2008). Confidence Intervals from Normalized Data: A correction to Cousineau (2005). Tutorials in Quantitative Methods for Psychology, 4, 61–64.
- Niazy RK, Beckmann CF, Iannetti GD, Brady JM, & Smith SM (2005). Removal of FMRI environment artifacts from EEG data using optimal basis sets. Neuroimage, 28(3), 720–737. 10.1016/j.neuroimage.2005.06.067 [PubMed: 16150610]
- Odegaard B, Grimaldi P, Cho SH, Peters MAK, Lau H, & Basso MA (2018). Superior colliculus neuronal ensemble activity signals optimal rather than subjective confidence. Proceedings of the National Academy of Sciences, 201711628. 10.1073/pnas.1711628115
- Rahnev D, Maniscalco B, Graves T, Huang E, de Lange FP, & Lau H (2011). Attention induces conservative subjective biases in visual perception. Nature Neuroscience, 14(12), 1513–1515. 10.1038/nn.2948 [PubMed: 22019729]
- Romei V, Brodbeck V, Michel C, Amedi A, Pascual-Leone A, & Thut G (2008). Spontaneous Fluctuations in Posterior α-Band EEG Activity Reflect Variability in Excitability of Human Visual Areas. Cerebral Cortex, 13(9), 2010–2018. 10.1093/cercor/bhm229
- Samaha J (2015). How best to study the function of consciousness? Frontiers in Psychology, 6. 10.3389/fpsyg.2015.00604
- Samaha J, Barrett JJ, Sheldon AD, LaRocque JJ, & Postle BR (2016). Dissociating Perceptual Confidence from Discrimination Accuracy Reveals No Influence of Metacognitive Awareness on Working Memory. Frontiers in Psychology, 7. 10.3389/fpsyg.2016.00851
- Samaha J, & Denison R (2020). The positive evidence bias in perceptual confidence is not postdecisional. BioRxiv, 2020.03.15.991513. 10.1101/2020.03.15.991513
- Samaha J, Gosseries O, & Postle BR (2017). Distinct Oscillatory Frequencies Underlie Excitability of Human Occipital and Parietal Cortex. Journal of Neuroscience, 37(11), 2824–2833. 10.1523/ JNEUROSCI.3413-16.2017 [PubMed: 28179556]
- Samaha J, Iemi L, Haegens S, & Busch NA (2020). Spontaneous Brain Oscillations and Perceptual Decision-Making. Trends in Cognitive Sciences, 24(8). 10.1016/j.tics.2020.05.004
- Samaha J, Iemi L, & Postle BR (2017). Prestimulus alpha-band power biases visual discrimination confidence, but not accuracy. Consciousness and Cognition, 54, 47–55. 10.1016/ j.concog.2017.02.005 [PubMed: 28222937]
- Samaha J, & Postle BR (2015). The Speed of Alpha-Band Oscillations Predicts the Temporal Resolution of Visual Perception. Current Biology, 25(22), 2985–2990. 10.1016/j.cub.2015.10.007 [PubMed: 26526370]
- Samaha J, Switzky M, & Postle BR (2019). Confidence boosts serial dependence in orientation estimation. Journal of Vision, 19(4), 25–25. 10.1167/19.4.25
- Spaak E, Bonnefond M, Maier A, Leopold DA, & Jensen O (2012). Layer-Specific Entrainment of Gamma-Band Neural Activity by the Alpha Rhythm in Monkey Visual Cortex. Current Biology, 22(24), 2313–2318. 10.1016/j.cub.2012.10.020 [PubMed: 23159599]
- Sterzer P, Haynes J-D, & Rees G (2008). Fine-scale activity patterns in high-level visual areas encode the category of invisible objects. Journal of Vision, 8(15), 10.1–12. 10.1167/8.15.10
- van Dijk H, Schoffelen J-M, Oostenveld R, & Jensen O (2008). Prestimulus Oscillatory Activity in the Alpha Band Predicts Visual Discrimination Ability. The Journal of Neuroscience, 28(8), 1816– 1823. 10.1523/JNEUROSCI.1853-07.2008 [PubMed: 18287498]
- van Kerkoerle T, Self MW, Dagnino B, Gariel-Mathis M-A, Poort J, van der Togt C, & Roelfsema PR (2014). Alpha and gamma oscillations characterize feedback and feedforward processing in monkey visual cortex. Proceedings of the National Academy of Sciences of the United States of America, 111(40), 14332–14341. 10.1073/pnas.1402773111 [PubMed: 25205811]
- Wöstmann M, Waschke L, & Obleser J (2019). Prestimulus neural alpha power predicts confidence in discriminating identical auditory stimuli. European Journal of Neuroscience, 49(1), 94–105. 10.1111/ejn.14226 [PubMed: 30375069]

- Zoefel B, & Heil P (2013). Detection of Near-Threshold Sounds is Independent of EEG Phase in Common Frequency Bands. Frontiers in Psychology, 4. 10.3389/fpsyg.2013.00262
- Zylberberg A, Barttfeld P, & Sigman M (2012). The construction of confidence in a perceptual decision. Frontiers in Integrative Neuroscience, 6. 10.3389/fnint.2012.00079
- Zylberberg A, Roelfsema PR, & Sigman M (2014). Variance misperception explains illusions of confidence in simple perceptual decisions. Consciousness and Cognition, 27, 246–253. 10.1016/ j.concog.2014.05.012 [PubMed: 24951943]

Highlights

- Weak prestimulus alpha amplitude increases subjective visibility ratings during high-level perceptual decisions
- Weak prestimulus alpha does not alter the accuracy of high-level perceptual decisions
- Subjective and objective measures of high-level perception are dissociated by the amplitude of ongoing oscillations
- The effects of ongoing alpha on high-level perceptual decisions is similar to those found for low-level stimuli.

Samaha et al.



Fig. 1.

Task schematics and behavior. **A.** The pre-task thresholding procedure (top) estimated the luminance contrasts for each target stimulus that produced ~50% subjective detections. These contrast values were then fixed throughout the main task (bottom), which consisted of a face/house discrimination with continuous visibility judgments and EEG recording. **B.** The left panel depicts mean discrimination accuracy at each of 10 visibility deciles. A clear positive monotonic relationship suggests that subjectively seen stimuli were associated with higher discrimination accuracy. The right panel shows that subjective visibility ratings were higher when an actual target stimulus was shown (80% of trials), as compared to the 20% of trials on which a target was absent. All error bars represent ± 1 within-subjects SEM (Morey, 2008). ISI = inter-stimulus interval; ITI = inter-trial interval.



Fig. 2.

Group-averaged, single-trial multiple regression of time-frequency power. A. The topography of group-averaged prestimulus 8-13 Hz power revealed a posterior scalp topography. Electrodes used in subsequent analyses are shown in white. B. The prestimulus power spectrum of the electrode cluster shown in A confirms the presence of oscillatory activity with a peak at 9.3 Hz. C. Pseudocolor plots depicting normalized beta coefficients (β_z) for the effect of visibility (left) and accuracy (right) on prestimulus power across times and frequencies. Black contour lines enclose time-frequency points where β_z is statistically different from zero (cluster-corrected, $\alpha = 0.05$). A negative relationship was apparent between prestimulus alpha power and visibility, but not accuracy.



Fig. 3.

Visibility and accuracy as a function of prestimulus alpha power. **A.** FFT analysis of the prestimulus window (-500 to 0 ms) using individual peak alpha frequencies and averaged over the data-driven electrodes of interest (see Figure 2A revealed the same pattern of effects: prestimulus alpha power was negatively correlated with visibility (left) but not accuracy (right). **B.** The binning analysis was repeated for each electrode separately to visualize the topography of each effect. White dots signify electrodes with a significant effect (cluster-corrected across space). An effect of prestimulus alpha power on visibility emerged over a broad swath of central and posterior electrodes (left), but no cluster-corrected effects were found regarding accuracy (right). Error bars indicate ± 1 within-subject SEM (Morey, 2008)



Fig. 4.

Boxplots showing the distribution of t-statistics across subjects from different single-trial regression models (M1-M5) relating pre-stimulus (FFT) alpha-band power and behavior. Green lines denote the median t-stat across subjects for each model. The box boundaries denote the 25th and 75th percentiles of the sample and the whiskers denote the min and max value of the sample not considered an outlier (1.5 times the interquartile range; outliers marked with a "+"). Arrows point from the model from which the residualized for visibility effects did not predict accuracy (M5), but power residualized for accuracy effects still predicted visibility (M4). As a sole predictor, accuracy did not predict power in a linear model (M2), nor did power predict accuracy in a logistic model (M1). Visibility, as a sole predictor, did predict power (M3).