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### Authors

Gao, Shu-Shan  
Zhang, Tao  
Garcia-Borràs, Marc  
[et al.](#)

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## Biosynthesis of Heptacyclic Duclauxins Requires Extensive Redox Modifications of the Phenalenone Aromatic Polyketide

Shu-Shan Gao<sup>#§</sup>, Tao Zhang<sup>#†</sup>, Marc Garcia-Borràs<sup>‡</sup>, Yiu-Sun Hung<sup>§</sup>, John M Billingsley<sup>§</sup>, K. N. Houk<sup>‡,\*</sup>, Youcai Hu<sup>†,\*</sup>, and Yi Tang<sup>§,‡,\*</sup>

<sup>§</sup>Department of Chemical and Biomolecular Engineering, University of California, Los Angeles, California 90095, United States

<sup>‡</sup>Department of Chemistry and Biochemistry, University of California, Los Angeles, California 90095, United States

<sup>†</sup>State Key Laboratory of Bioactive Substance and Function of Natural Medicines, Institute of Materia Medica, Chinese Academy of Medical Sciences & Peking Union Medical College, Beijing 100050, China

<sup>#</sup> These authors contributed equally to this work.

### Abstract

Duclauxins are dimeric and heptacyclic fungal polyketides significant bioactivities. We characterized the cascade of redox transformations in the biosynthesis of duclauxin pathway from *Talaromyces stipitatus*. The redox reaction sequence is initiated by a cupin family dioxygenase DuxM that performs an oxidative cleavage of the peri-fused tricyclic phenalenone and affords a transient hemiketal-oxaphenalenone intermediate. Additional redox enzymes then morph the oxaphenalenone into either an anhydride or a dihydrocoumarin-containing monomeric building block that are found in dimeric duxlauxins. Oxidative coupling between the monomers to form the initial C-C bond was shown to be catalyzed a P450 monooxygenase, although the enzyme responsible for the second C-C bond formation was not found in the pathway. Collectively, the number and variety of redox enzymes used in the duclauxin pathway showcases Nature's strategy to generate structural complexity.

### INTRODUCTION

Fungal aromatic polyketides comprise a large group of natural products with diverse biological activities, ranging from the beneficial antifungal griseofulvin to the carcinogenic aflatoxin.<sup>1</sup> The core of aromatic polyketides are biosynthesized by nonreducing polyketide synthases (NR-PKSs).<sup>2</sup> Significant structural diversity and complexity in these polyketides

\*Corresponding Authors: yitang@ucla.edu, houk@chem.ucla.edu, huyoucai@imm.ac.cn.

Supporting Information

Experiment details and spectroscopic data. This material is available free of charge via the Internet at <http://pubs.acs.org>.

‡Present Address

State Key Laboratory of Microbial Resources, Institute of Microbiology, Chinese Academy of Sciences, 100101 Beijing, China.

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are then introduced by an assortment of enzymes that catalyze redox reactions on the aromatic precursors,<sup>3,4</sup> some of which have been used as useful biocatalysts.<sup>5</sup> A spectacular example of complexity generation from a relatively simple precursor can be found in the duclauxins family of natural products, which are dimeric oxaphenalenones containing the 6/6/6/5/6/6/6 ring system (Figures 1 and S1).<sup>6,7</sup> Duclauxins have diverse biological activities.<sup>7-9</sup> For example, duclauxin (**1**) and cryptoclauxin (**2**) exhibit tumor suppression by inhibiting RNA synthesis or mitochondrial ATP synthesis,<sup>10, 11</sup> and talaromycesone B (**3**) is an inhibitor of acetylcholinesterase.<sup>12</sup> Structural variations among duclauxins are attributed to different oxaphenalenone monomers and connectivities between them (Figures 1 and S1). All duclauxins contain at least one unit of the dihydrocoumarin benzo[de]isochromen-1(3*H*)-one. Homodimerization of two benzo[de]isochromen-1(3*H*)-one molecules can afford **1** or bacillisporin (**4**), while heterodimerization between different oxaphenalenones can lead to **2**, **3** and bacillisporin F (**5**). Dimerization regioselectivities can also be different, as **1-3** are dimerized through C<sub>8</sub>-C<sub>7'</sub> and C<sub>8</sub>'-C<sub>9a</sub> bonds to form a bicyclo[3.2.1] octane ring, while dechlorogilmaniellin (**6**) are dimerized between C<sub>8</sub>-C<sub>9'</sub> and C<sub>9a</sub>-C<sub>9a'</sub> bonds (Figure 1).

To date, no total synthesis of any of the duclauxins has been reported, while the biosynthetic pathways of these molecules have also remained unknown. The oxaphenalenone monomers in duclauxins, including benzo[de]isochromen-1(3*H*)-one, were proposed to be derived from extensive redox morphing of the aromatic polyketide phenalenone **7** (Figures S2).<sup>6,7</sup> Our recent work showed that **7** is synthesized by an NR-PKS PhnA and a flavin-dependent monooxygenase (FMO) PhnB from *Penicillium herquei* (Figure 2A).<sup>13</sup> From **7**, the proposed oxidative modification steps include extrusion of the oxygen-substituted carbon C<sub>2</sub> to yield the di-ketone **9**, followed by oxygen insertion to generate lamellicolic anhydride (**10**) (Figure 2A),<sup>6,7</sup> which is a natural product isolated from *Verticillium lamellicola*.<sup>14</sup> **10** is then proposed to be asymmetrically reduced to the dihydrocoumarin-containing SF226 (**11**)<sup>15</sup>, a compound reported from *Penicillium purpurogenum*, followed by dimerization, methylation and acylation to yield **12**. An additional dehydrogenation across C<sub>1</sub>'-C<sub>9a</sub>' of **12** affords **1** (Figure 2A)<sup>6</sup>. However, the exact sequence of redox modifications and enzymatic basis of these proposed reactions have not been resolved. Here we identified the *dux* biosynthetic pathway of **1** from *Talaromyces stipitatus* ATCC 10500. We reconstituted the enzymes that catalyze the cascade of redox reactions transforming **7** into **11**, and demonstrated **10** is in fact a branched pathway product. A P450 enzyme catalyzing the first dimerization C-C bond formation is also identified.

## RESULTS

### Identification of Two Separate Gene Clusters *dux1* and *dux2*.

We grew *T. stipitatus* in MEPA medium and observed the production of three known phenalenone derived compounds **1**<sup>16</sup>, **7**<sup>13</sup>, and **4**<sup>17</sup>, as well as a new duclauxin derivative **12** (Figure 2B, trace i). Subsequent isolation and NMR characterization confirmed the structure of **12** to match the proposed dimerization product as shown in Figure 2A (Table S4 and Figures S25-S28). Based on the hypothesis that the monomeric units, such as **11**, are synthesized from **7** (Figure 2A), we searched the genome sequence of *T. stipitatus* for

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biosynthetic gene clusters containing homologs to PhnA (NR-PKS) and PhnB (FMO).<sup>13</sup> BLAST search led to a candidate cluster (*duxI*), which consisted of 13 open reading frames containing a NR-PKS encoding gene (*duxI*, Figure 2C). DuxI (237 kDa) has an overall amino acid sequence identity of 49% compared to PhnA in *P. herquei*. A gene encoding an FMO (*duxE*) with 68% amino acid sequence identity to PhnB (Figure 2C) was also found in the cluster. Deletion of *duxI* completely abolished the production of **1**, **4**, **7**, and **12**, thereby establishing the link between the *duxI* cluster and the biosynthesis of **1** (Figure 2B, trace ii, Figure S3). We also expressed *duxI* in *Saccharomyces cerevisiae*, which produced **8** after 72 h of culture (Figure S4), further confirming DuxI shares the same function with PhnA from the herqueinone pathway. We then supplemented **4**, **7** and **12** to the *duxI* strain to determine if these are on pathway products (Figures S5). Whereas feeding either **7** or **12** could restore the biosynthesis of **1**, feeding **4** did not. Therefore, **4** represents a shunt product, while **7** and **12** are on pathway as proposed in Figure 2A.

To map the steps between **7** and **12**, we turned our attention to the assortment of redox enzymes present in the gene cluster. These include predicted P450 monooxygenases (DuxD and DuxL), oxidoreductase (DuxB), NAD(P)H-dependent reductases (DuxA, DuxG and DuxJ) and a cupin-family oxygenase (DuxM). Other genes in the cluster include transcription factor (DuxC), hydrolase (DuxH) and *O*-methyltransferase (DuxK), etc (Figure 2C and Table S2). We generated individual knockout mutants of *duxI* genes and characterized the products (Figures S6–S7). Deletions of *duxH* (hydrolase), *duxJ* (reductase) and *duxM* (cupin) resulted in the complete abolishment of **1**, without accumulation of any new products (Figure S7). In contrast, deletions of *duxA* (reductase) and *duxL* (P450) had no effects on the production of **1** (Figure S7). New peaks were only identified in the deletion of *duxD* (P450) and *duxG* (reductase), while small amount of **1** remained (Figures 2B and S7). This raised the possibility of enzymes encoding redundant genes in the genome. Further BLAST search for the homologs of proteins in the *duxI* cluster resulted in the discovery of a separate cluster encoding DuxA', DuxB', DuxC', DuxD', DuxG', DuxH', DuxL' and DuxM' all of which show over 70% sequence identity to the corresponding proteins in the first cluster. Accordingly, we named this cluster *dux2* (Figure 2B and Table S2), which might account for the residue biosynthesis of **1** in *duxA*, *duxD*, *duxG*, and *duxL*. A more detailed bioinformatic analysis of *dux2* revealed the presence of two more adjacent genes that might be involved in duclauxin **1** biosynthesis: *duxN* encoding a transporter and *duxO* encoding an acetyltransferase. RT-PCR analysis showed transcriptions of the *dux1* and *dux2* genes are synchronized with the production of **1** in *T. stipitatus* cultured in MEPA medium (Figure S8).

### Modification of Phenalenone **7** by DuxM and DuxJ.

Because of the partial duplication of the *dux* genes in the native host, we decided to reconstitute the pathway using *Saccharomyces cerevisiae*. Starting with a *S. cerevisiae* strain expressing PhnA and PhnB, which produces **7** at a moderate level, additional *dux* genes were introduced. When the cupin-family homolog DuxM was coexpressed, the emergence of a new compound **10** with *m/z* 259 [M-H]<sup>-</sup> was observed (Figure 3A, trace ii). The product was purified and characterized as the known lamellicolic anhydride (**10**) by MS and NMR (Table S5 and Figures S18, and S29–S32). DuxM is therefore involved in removing the C<sub>2</sub>

carbon atom from **7**. However, feeding of **10** to the *duxI* mutant *T. stipitatus* strain did not restore biosynthesis of **1** (Figure S5), suggesting **10** is a shunt product rather than an on-pathway intermediate of **1**.

Additional genes were then coexpressed with *phnA*, *phnB*, and *duxM* in yeast, followed by metabolite analysis to identify possible functions. With the addition of *duxJ*, encoding a maleylacetate reductase homolog, we observed the production of a new compound **13** ( $m/z$  289 [M-H]<sup>-</sup>, Figures 3A, trace iii, and S22) together with **10**. **13** was purified and characterized (Table S6 and Figures S30–S33) to be the C<sub>1</sub>-carboxylated version of the anticipated monomer **11**. Unlike **10**, **13** can readily restore the biosynthesis of **12** and **1** when supplied to the *duxI* mutant (Figure S5), establishing its identity as an on-pathway intermediate to duclauxins. Coexpressing PhnA, PhnB and DuxJ (without DuxM) in yeast, however, did not lead to formation of **13**, suggesting DuxJ must function downstream of DuxM. Furthermore, feeding of **10** to yeast expressing DuxJ alone also did not lead to transformation to **13**, which points to the possibility that an intermediate generated by DuxM prior to **10** may be the substrate of DuxJ (Figure 3D).

To identify the proposed intermediate of DuxM reaction, we expressed and purified DuxM and DuxJ from *Escherichia coli* BL21 (DE3) and *S. cerevisiae* BJ5456 (Figure S9), respectively. The substrate **7** was added to the enzymes together with the required cofactors. Incubation of **7** with DuxM alone led to the full conversion to **10** in 3 hrs (Figure 3C, trace iv). At earlier time points a new peak **14** ( $m/z$  305 [M-H]<sup>-</sup>) that gradually converted to **10** (Figure 3C, traces ii-iv) was detected. **14** was purified from a 300-mL *in vitro* reaction using a low-temperature isolation procedure (see the methods for details). **14** was characterized to contain a carboxylic acid group at C<sub>1</sub>, which was confirmed by a long-range *J*<sub>4</sub> HMBC correlations from H<sub>8</sub> ( $\delta_{\text{H}}$  6.45) to C<sub>1</sub> ( $\delta_{\text{C}}$  100.3) (Table S7 and Figures S37–S40). Upon dissolving **14** in methanol for 30 min, a new product (**15**) (Figures 3D and S10), with molecular weight of the methoxy derivative of **14** was formed. A key HMBC correlation from the 1-*O*-methyl group ( $\delta_{\text{H}}$  3.08) to C<sub>1</sub> ( $\delta_{\text{C}}$  104.3) in **15** was observed (Figure S24), confirming the hemiketal structure at C<sub>1</sub> of **14** (referred to as the hemiketal-oxaphenalenone).

The production of **1** and **12** were restored when **14** was fed to the *duxI* mutant (Figure S5). Incubation of **14** directly with DuxM lead to complete conversion to **10** as expected (Figure 3C, trace vii). Adding **14** to DuxJ in the presence of NADPH led to the complete conversion to **13** (Figure 3C, trace vi), thereby confirming **14** is the proposed intermediate between DuxM and DuxJ. DuxJ therefore catalyzes both the dehydration of **14** and the reduction of the resulting oxonium **16** to yield **13** (Figure 3D). Similar cascaded dehydration and reduction was reported in the penigequinolone pathway and was shown to be catalyzed by a short-chain dehydrogenase/reductase (SDR)-like reductase, PenD.<sup>18</sup> Based on these results, the functions of DuxM and DuxJ are shown in Figure 3D: i) DuxM can oxidatively rearrange the northern ring in **7** to yield the hemiketal lactone **14**; ii) in the absence of the reductase DuxJ, **14** can be oxidatively decarboxylated, losing C<sub>2</sub> as CO<sub>2</sub> to yield **10**. **14** therefore represents a bifurcating point for the redox reactions in this pathway. While **10** is not an on-pathway intermediate to **1** in *T. stipitatus*, it is a building block for other

duclauxins such as **2**; and iii) DuxJ is a bifunctional reductase that catalyzes the reduction of **14** to **16**, followed by reduction of **16** to **13**.

### Proposed Mechanism of DuxM:

DuxM is annotated as a cupin family protein (Figures S11 and S12), which is characterized by a conserved six stranded  $\beta$ -barrel fold (or jelly roll motif).<sup>19,20</sup> At the center of the conserved  $\beta$ -barrel domain are conserved residues (His, Glu) that can coordinate to different metal ions.<sup>19</sup> ICP-MS measurement of purified, FLAG-tagged DuxM indicates coordination of iron (70%) and zinc (30%, Table S9). Structural analysis by Phyre2 showed that DuxM resembles quercetinase from *Aspergillus japonicus* (Accession no. Q7SIC2, Figure S12A), which catalyzes the oxidative cleavage of quercetin.<sup>21,22</sup> Sequence alignment of DuxM with fungal quercetinases indicates conserved residues at ligand-binding sites, including three histidines and one glutamic acid residue (Figure S12B). Quercetinase was also characterized as a cambialistic enzyme, capable of using  $\text{Fe}^{2+}$ ,  $\text{Ni}^{2+}$ ,  $\text{Cu}^{2+}$ , or  $\text{Co}^{2+}$  as metal cofactors. It was concluded that identity of the metal ion is not essential for the catalytic activity of quercetinase; and catalysis may involve direct electron transfer from the metal-bound flavonolate anion to  $\text{O}_2$  without a valence change of the metal to activate  $\text{O}_2$ .<sup>19,23–25</sup>

Based on these observations, we proposed a possible mechanism for DuxM-catalyzed reactions (Figure 4). The metal ion can serve as the coordinating counterion to stabilize developed negative charges during the reaction. The active site  $\text{M}^{\text{II}}$ -binding glutamate, where M represents the metal ion, is proposed to act as a general base to generate the substrate anion (**I**) at  $\text{C}_2$  that coordinates to metal. Then, activation of  $\text{O}_2$  occurs when dioxygen coordinates to  $\text{M}^{\text{II}}$  (M represents the metal ion) and substrate dissociation promotes an electron transfer to yield the superoxide anion radical (**II**). The superoxide anion can then perform a nucleophilic attack at the  $\text{C}_3$  position, leading to the formation of an end-on  $\eta^1$  alkylperoxo intermediate (**III**), followed by the subsequent nucleophilic attack to form the  $\text{C}_2$ - $\text{C}_3$  peroxide complex (**IV**). A final step involving the simultaneous cleavage of O-O and  $\text{C}_2$ - $\text{C}_3$  bonds results in the formation of the dicarboxylate **17**. This compound can then undergo general acid catalyzed lactone formation to arrive at the hemiketal **14**. **14** can diffuse out and reenter the DuxM active site as shown in the *in vitro* assays. In the absence of DuxJ catalyzed reduction, **14** can be decarboxylated to **10**, via either a concerted or step-wise mechanism, aided by coordination of the carboxylate group to the metal ligand, to generate formate during the process (Figure S13). Density functional theory (DFT) calculations based on a model system showed the plausibility of these processes (Figure S14).

### Formation of the duclauxin monomeric building block 11.

Having identified **13** is an on pathway intermediate in the biosynthesis of **1**, we reexamined the genetic knock out strains to see if any strains accumulated **13**, which can provide clues to the next enzyme in the pathway en route to the building block **11**. Inactivation of the P450 oxygenase DuxD indeed accumulated **13** (Figure 2B, trace iii). Expression of DuxD in yeast failed to show any activity, which led us to reconstitute the enzyme in an engineered *A. nidulans* A1145 *ST EM* heterologous host.<sup>26</sup> When **13** was fed to *A. nidulans* expressing *duxD*, two new peaks (**18** and **18'**) with  $\text{MW} = 244$  were observed (Figure 3B, traces i and

ii). We also transformed all five genes *duxD*, *duxE*, *duxI*, *duxJ*, and *duxM* into the *A. nidulans* host, which led to the de novo production of **10**, **13**, **18**, and **18'** (Figure 3B, trace iii). Attempts to purify this pair of interchangeable compounds failed. Based on the molecular weight difference between **18/18'** and **13**, we propose they are keto-enol tautomers of the C<sub>1</sub> decarboxylated product (Figure 3D). The mechanism of this oxidative decarboxylation by P450 resembles the reactions catalyzed by OleT in terminal alkene synthesis<sup>27</sup> and HemQ in heme *b* maturation.<sup>28</sup> In both cases, decarboxylation generates an olefin between the  $\alpha$ , and  $\beta$  carbons. We propose the mechanism as shown in Figures 3D and S15, during which the first electron in **13** is transferred from the C<sub>9</sub>-phenol to Compound I and generate a radical that can be resonance delocalized at C<sub>9a</sub>. As demonstrated in the OleT mechanistic study<sup>27</sup>, two routes may be proposed to result in loss of CO<sub>2</sub>: i) decarboxylation is coupled to the transfer of the C<sub>9a</sub> radical to Compound II; or ii) Compound II abstracts an electron from the carboxylic acid to generate an unstable substrate diradical followed by olefin formation (Figure S15).

Since **18** is an  $\alpha$ - $\beta$  enoylreduction away from the monomer **11**, we further extended the pathway through coexpression of reductase candidates in the *dux* pathway. A likely candidate enzyme is DuxG, which is a 42 kDa protein that shows 47% amino acid sequence identity to isoflavone reductase that reduces 4-keto-pyran ring to 4-keto-dihydropyran ring in polyaromatic plant metabolite 2'-hydroxyformononetin.<sup>29</sup> *A. nidulans* expressing DuxI, DuxE, DuxM, DuxJ, and DuxD, indeed led to the emergence of a new compound in the extract (Figure 3B, trace iv) (*m/z* 245 [M-H]<sup>-</sup>). The new product **11** was purified and characterized to be identical to SF226 (Figure 2A) based on NMR analysis (Table S8 and Figures S41–S44). The key HMBC correlations from 1-CH<sub>2</sub> to the C<sub>3</sub>, C<sub>9</sub> and C<sub>9a</sub> established that **11** was saturated at C<sub>3</sub>. With **11** now in hand, we fed **11** to the *duxI* blocked mutant and restored biosynthesis of **1** and **12** (Figure S5), confirming the original proposal that it is a monomeric building block of duclauxins.

Collectively, we showed that four redox enzymes belonging to different superfamilies (DuxM: cupin; DuxJ: maleylacetate reductase; DuxD: P450; and DuxG: isoflavone reductase) transform the known precursor **7** into one of the duclauxin building block **11**, consuming two molecules of molecular oxygen and two molecules of NADPH (three if counting regeneration of the DuxD Fe(II)-heme). The net result is the conversion of a perinaphthenone structure into a benzo[de]isochromen, extruding one of the carbon as CO<sub>2</sub> along the way.

### DuxL Mediated Homodimeric Coupling and Late Stage Modifications.

To examine the dimerization steps starting with **11**, the remaining uncharacterized *dux* genes were individually cloned for expression in yeast, accompanied by feeding of **11**. In the yeast strain expressing the remaining P450 monooxygenase DuxL, 90% of **11** was converted to a new product with a MW of 490 (*m/z* 489 [M-H]<sup>-</sup>; Figure 3A, trace v). The product was purified and confirmed to be bacillisporin C (**4**), a known product isolated from *T. stipitatus* (Figure 2B, trace i).<sup>17</sup> Microsomal fractions containing the overexpressed DuxL were purified from a 3-day culture of the yeast expression strain. In the *in vitro* assay where 0.5 mM **11** and 2 mM NADPH were incubated with microsomes (20 mg/mL total protein



concentration), a clear product that corresponds to **4** emerged, with 60% conversion (Figure 3C, trace ix). The structure of **4** contains the C<sub>9a</sub>-C<sub>8'</sub> bond present in most duclauxins (Figures 1 and S1), implying that DuxL catalyzes this homodimerization step. However, the second C<sub>8</sub>-C<sub>7'</sub> found in **1** is not formed in **4**, thereby suggesting that DuxL is not involved. A proposed pathway involving radical-radical coupling between two monomers is shown in Figure 5. We propose that DuxL catalyzes the hydrogen abstraction of **11** at the C<sub>9</sub>-phenol to generate the radical **19**. Delocalization of the radical via resonance can yield the two carbon radicals **20** and **21**, which can undergo coupling in the active site of DuxL to form the C<sub>9a</sub>-C<sub>8'</sub> carbon bond in **22**, generating two vicinal stereocenters. In the absence of the second C-C bond forming enzyme, tautomerization and aromatization of the northern fragment of **22** can take place readily to give **22'**. This can then result in nonenzymatic conversion to **4** through nucleophilic addition of the C<sub>7'</sub>-phenol to the C<sub>9</sub>-carbonyl. As confirmed previously in this work, **4** cannot be converted to **1** and represents a shunt product (Figure S5).

To go from **22** to **1**, we propose that the intermediate **23** can be synthesized from **22** via an aldol-like addition with C<sub>8</sub> serving as the nucleophile to attack C<sub>7'</sub> prior to aromatization (Figure 5). Unfortunately, further efforts to identify an enzyme that can catalyze this reaction have not been successful. We have performed bioconversion of **11** in yeast strains that co-expressed DuxL with the rest of the uncharacterized enzymes in the cluster (DuxA, B, G, H, and K) individually. However, no new product could be generated other than **4** (Figure S20). Once **23** is formed, we anticipate sequential tailoring reactions, including 7'-*O*-methylation, 9-keto reduction and 9-*O*-acetylation would generate **12** (Figure 5). The final step in the biosynthesis of **1** is dehydrogenation of C<sub>1'</sub>-C<sub>9a'</sub> in **12**. Our yeast expression and bioconversion experiment showed that DuxB' from the *dux2* cluster could convert **12** to **1**, albeit with low conversion (2%, Figure S16). In contrast, no modification of **12** by DuxB was observed despite ~85% sequence identity between the two enzymes.

## DISCUSSION

In this study, we characterized the redox steps required for the biosynthesis of oxaphenalenone **11**, which includes oxidative ring cleavage, deoxygenation, oxidative decarboxylation, and enoylreduction. DuxM is the key enzyme during the conversion of the single substrate **7** into different oxaphenalenone building blocks, which can then oxidatively dimerized to duclauxins. Among these transformations, the DuxM catalyzed formation of lamellicolic anhydride (**10**) is particularly interesting. It was thought to be synthesized from a di-keto precursor **9**, via an unprecedented “Baeyer-Villiger”-like oxygen insertion, which can disrupt two adjacent keto groups by addition of an oxygen atom (Figures 2A and S2)<sup>6,7,30</sup>. This study, using a combination of genetic knockout, heterologous reconstitution and biochemical assays, demonstrated that the formation of a hemiketal-oxaphenalenone **14**, rather than a di-keto product, is the key step *en route* from **7** to **10**. Therefore, DuxM not only provides a starting intermediate **14** for structural diversification of duclauxins, but also plays a second role and converts **14** to **10**, a building block for **2** and other duclauxin.<sup>7</sup>

Oxidative morphing of aromatic scaffolds is common in natural products<sup>3,4</sup> with one notable example being post-PKS tailoring modification in chartreusin biosynthesis. Chartreusin is a DNA-binding antitumor agent produced by *Streptomyces chartreusis*<sup>31</sup> and a cupin-like



dioxygenase ChaP is proposed to mediate the last step, an oxygenative removal of one of the carbonyl carbons in the cyclohexadienone by successive reactions including hydroxylation, ring opening and lactonization as CO<sub>2</sub> is released to yield an isocoumarin moiety. However, the real function of ChaP was not characterized and according to the DuxM logic illustrated in this study, ChaP might only catalyze the formation of a hemiketal intermediate, while additional enzymes are required to finish the remaining biosynthetic steps, including reduction and decarboxylation.

Cytochrome P450 oxygenases that catalyze regio- and stereoselective intermolecular couplings have been reported, exemplified by KtnC,<sup>32</sup> DtpC,<sup>33</sup> CnsC,<sup>34</sup> ClaM,<sup>35</sup> Bmp7,<sup>36</sup> and CYP158,<sup>37</sup> which play key roles in dimerization of naphthoquinones, diketopiperazine alkaloids, indole alkaloids, nataloe-emodins, bromophenols, bromopyrrole, and flaviolins, respectively, whereas CYP121 catalyzed the intramolecular C-C bond couplings between the tyrosyl side chains of the cyclodipeptide cyclo(L-Tyr-L-Tyr).<sup>38</sup> CnsC was demonstrated to show promiscuous substrate recognition and incorporates  $\omega$ -*N*-methyltryptamine and tryptophol in place of tryptamine to synthesize isocommunesin compounds.<sup>34</sup> Similarly, we proposed that DuxL also possesses some flexible substrate selectivity and is capable of catalyzing heterodimerization by using both of **10** and **11** to generate the intermediate **24** for the biosynthesis of **2** (Figure 5). However, the LC-MS trace of the *in vitro* reaction containing **10** and **11** using DuxL microsomal fractions is identical to that containing only **11**, which suggests that the formation of C<sub>8</sub>-C<sub>9a'</sub> linkage in the biosynthesis of **2** might be mediated by a different P450 other than DuxL in the producing host.

In summary, this study characterized oxaphenalenone construction and duclauxin biosynthesis. The study was initiated by the identification of two separate gene clusters *dux1* and *dux2* for the biosynthesis of **1**. Seven genes are present in both clusters as two copies, which might provide additional transcripts to complete the biosynthesis of **1**. We clarified the enzymes and reactions involved in the oxidative steps during the synthesis and the relationships between oxaphenalenone **10**, **11**, **13** and **14**. The catalytic mechanism for the key enzyme DuxM was studied based on the ICP-MS data and DFT calculations. Further downstream tailoring modifications, including C<sub>9a</sub>-C<sub>8'</sub> homodimerization and C<sub>1'</sub>-C<sub>9a'</sub> desaturation were also characterized. Based on this study, the divergent nature of the biosynthesis of **1**, **2** and **4** was proposed (Figures 3D, 4, and 5).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

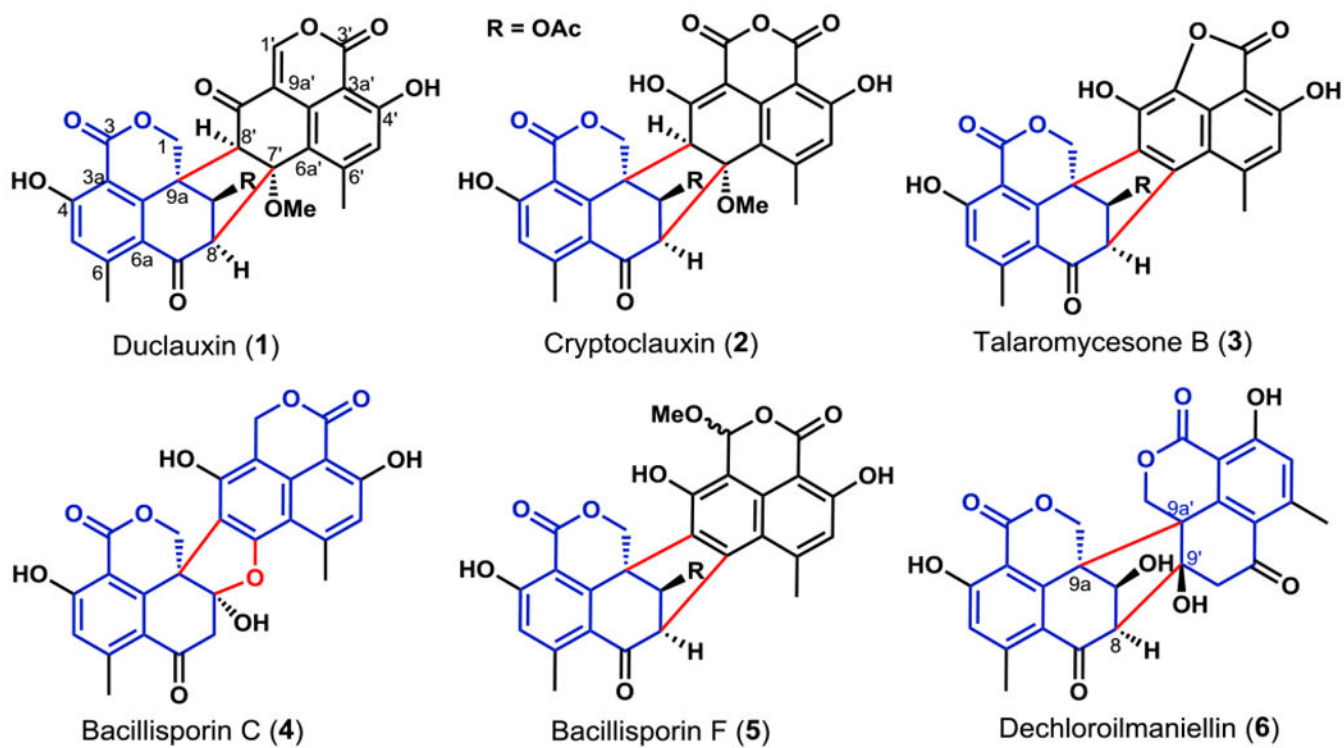
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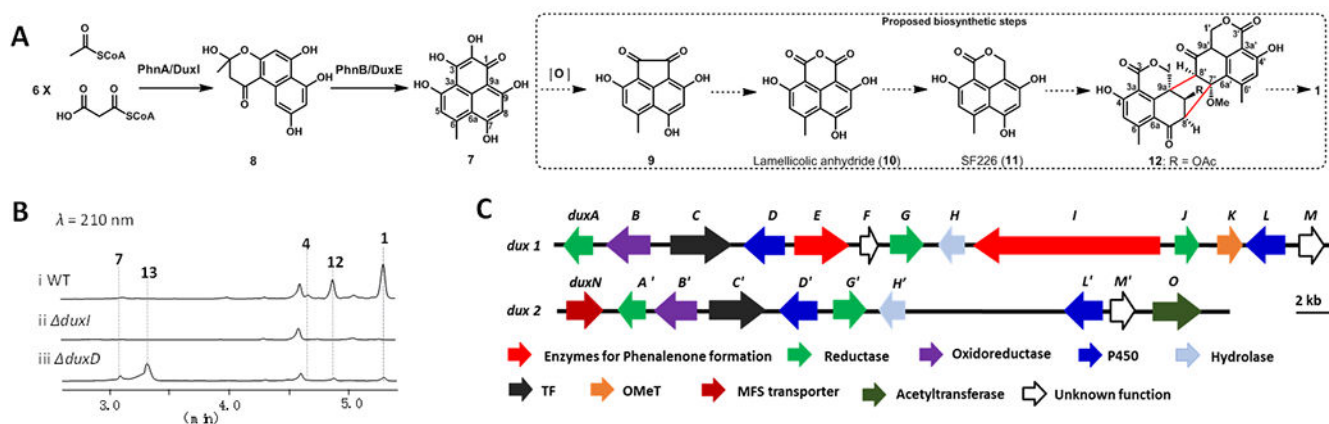
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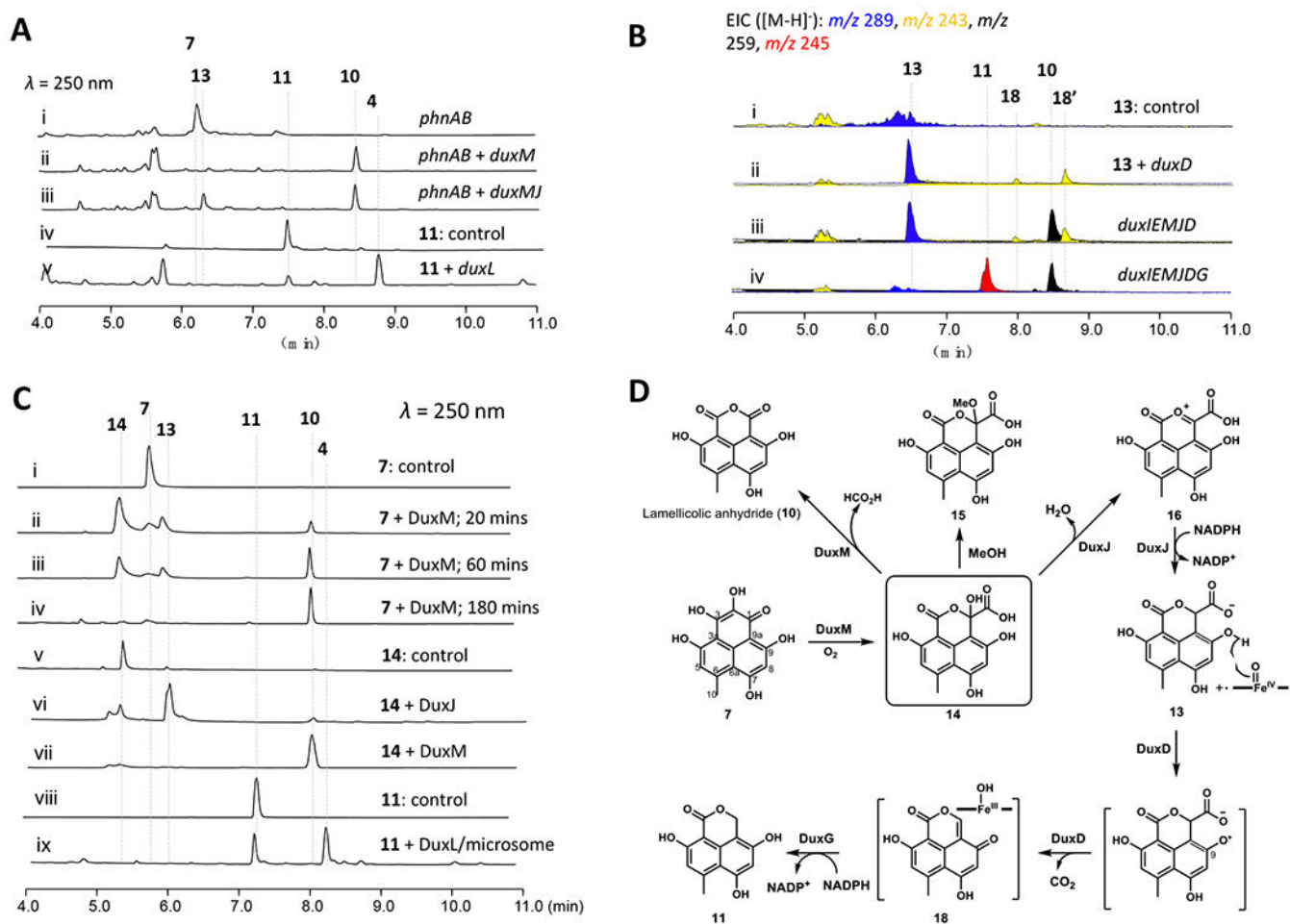
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**Figure 1.** Duclauxins are dimeric oxaphenalenones. The common monomer benzo[de]isochromen-1(3*H*)-one core is in blue and the C-C bonds between the monomers are in red.

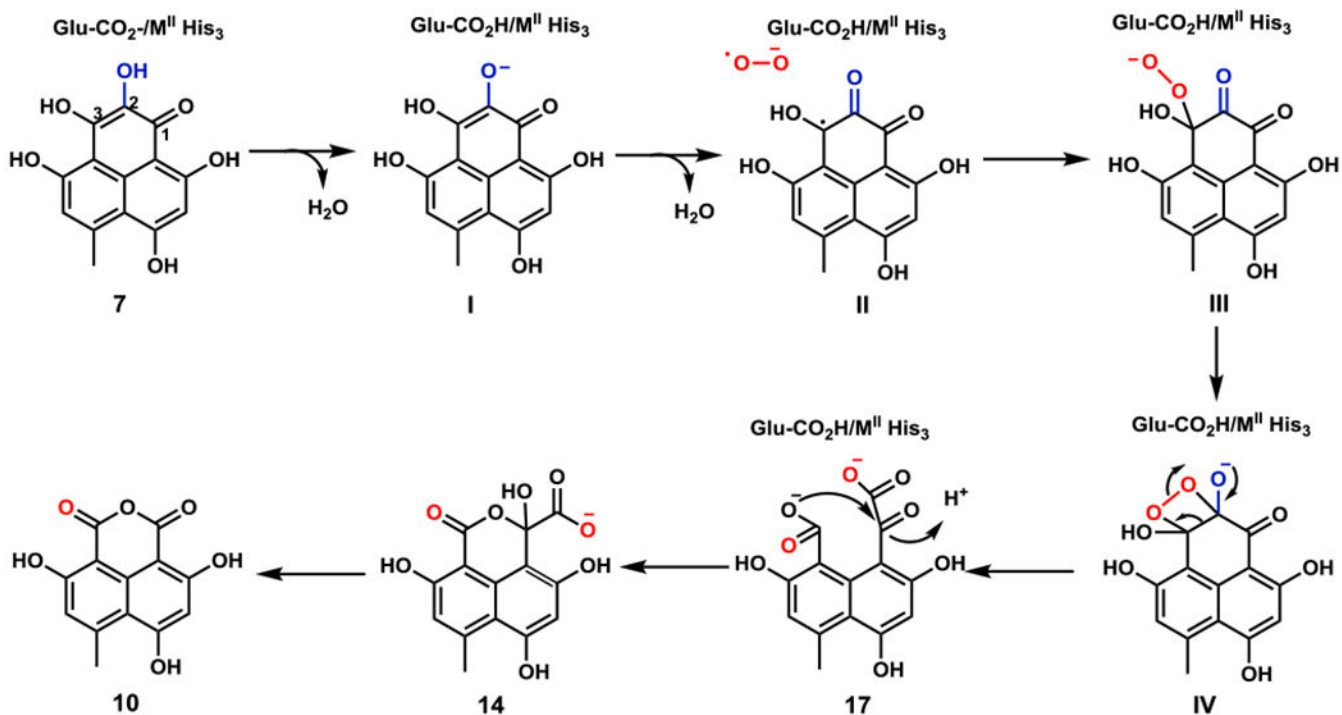


**Figure 2.** Gene clusters and proposed biosynthetic pathways of duclauxins. **A)** Proposed biosynthetic pathways of oxaphenalenone **11** and dimerization to **1**; **B)** LC-MS analysis of organic extracts obtained from the wild type strain and mutants (*duxI* and *duxD*) of *T. stipitatus*; **C)** Schematic representation of the two separate gene clusters (*dux1* and *dux2*) from *T. stipitatus*.

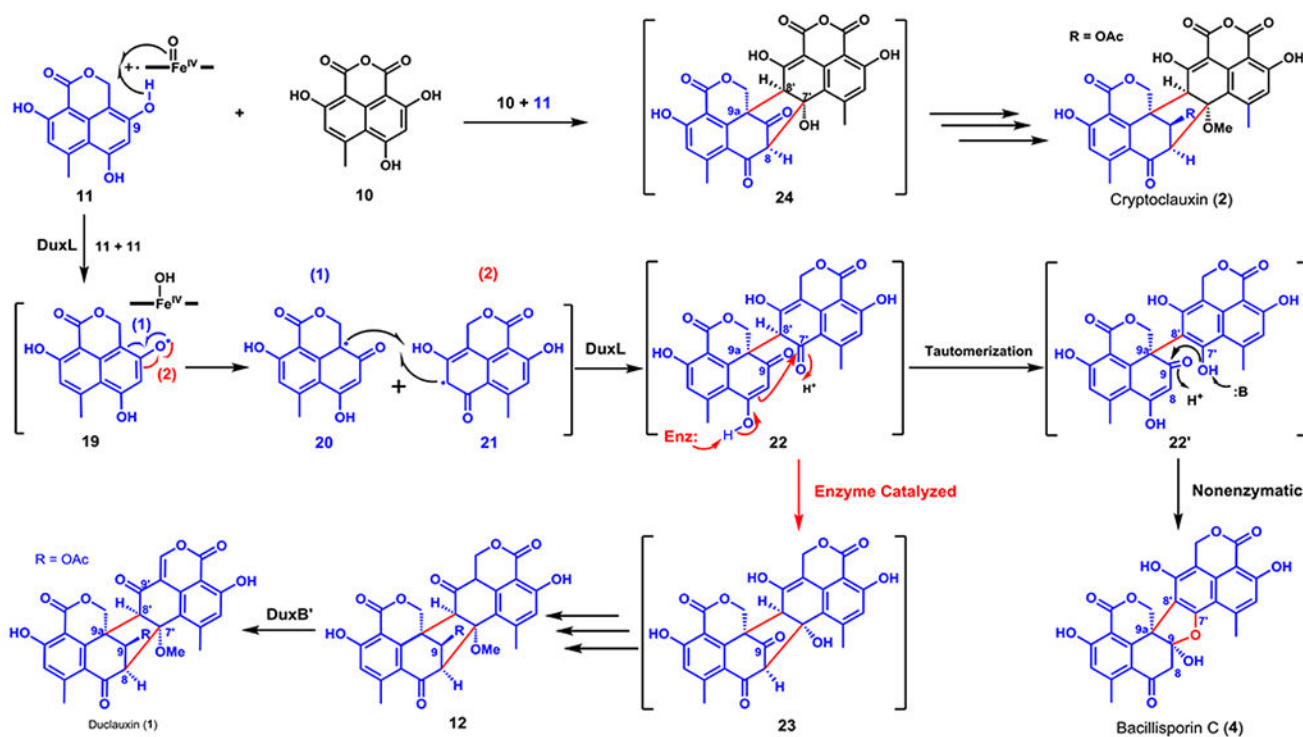


**Figure 3.** Biosynthesis of oxaphenalenone **11**. **A**) Product profiles of *S. cerevisiae* transformed with the combinations of *phnA*, *phnB* and *dux* genes and chemical complementation studies; **B**) Product profiles of *A. nidulans* transformed with combinations of *dux* genes and chemical complementation studies; **C**) *In vitro* reactions of *dux* enzymes; **D**) Biosynthetic pathways of **10** and **11** via a common precursor **7**.





**Figure 4.** Proposed catalytic mechanism for the cupin family protein DuxM. Substrate and  $O_2$  binding modes to the metal ion ( $M^{II}$ ) are omitted due to the lack of available structural data.



**Figure 5.** Proposed divergent biosynthetic pathways of duclauxin (1), cryptoclauxin (2), and bacillisporin C (4).