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Title

Abnormal development of auditory responses in the inferior colliculus of a mouse model of Fragile X Syndrome

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Abstract

- Sensory processing abnormalities are frequently associated with autism spectrum disorders, but the underlying mechanisms are unclear. Here we studied auditory processing in a mouse model of Fragile X Syndrome (FXS), a leading known genetic cause of autism and intellectual disability. Both humans with FXS and the *Fragile X mental retardation gene* (*Fmr1*) knock-out (KO) mouse model show auditory hypersensitivity, with the latter showing a strong propensity for audiogenic seizures (AGS) early in development. Because midbrain abnormalities cause AGS, we investigated whether the inferior colliculus (IC) of the *Fmr1* KO mice shows abnormal auditory processing compared to wild-type (WT) controls at specific developmental time points. Using antibodies against neural activity marker c-Fos, we found increased density of c-Fos+ neurons in the IC, but not auditory cortex, of *Fmr1* KO mice at P21 and P34 following sound presentation. *In vivo* single-unit recordings showed that IC neurons of *Fmr1* KO mice are hyper-responsive to tone bursts and amplitude-modulated tones during development, and show broader frequency tuning curves. There were no differences in rate-level responses or phase locking to amplitude-modulated tones in IC neurons between genotypes. Taken together, these data provide evidence for the development of auditory hyper-responsiveness in the IC of *Fmr1* KO mice. Although most human and mouse work in autism and sensory processing has centered on the forebrain, our new findings, along with recent work on the lower brainstem, suggest that abnormal subcortical responses may underlie auditory hypersensitivity in autism spectrum disorders.
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New and Noteworthy

Autism spectrum disorders (ASD) are commonly associated with sensory sensitivity issues, but the underlying mechanisms are unclear. This study presents novel evidence for neural correlates of auditory hypersensitivity in the developing inferior colliculus (IC) in the *Fmr1* KO mouse, a mouse model of Fragile X Syndrome (FXS), a leading genetic cause of ASD. Responses begin to show genotype differences between postnatal days 14 and 21, suggesting an early developmental treatment window. **Introduction** Fragile X Syndrome (FXS) is a leading genetic cause of intellectual disability and autism that affects approximately 1 in 4000 males and 1 in 8000 females. An expansion of CGG repeats in the 5' un-translated region of the *Fragile X mental retardation 1* (*Fmr1*) *gene* causes its silencing and a loss of Fragile X Mental Retardation Protein (FMRP). FMRP is an RNA binding protein involved in translation regulation. The resulting abnormal protein synthesis in the brain during development leads to symptoms of FXS that include cognitive, anxiety and social deficits, hyperactivity, language impairments, increased susceptibility to seizures, and sensory impairments (Smith et al., 2012). A consistent and debilitating symptom of FXS is abnormal sensory reactivity (Rais et al., 2018), particularly hypersensitivity to sensory stimuli (Hitoglou et al., 2010; Rogers et al., 2003). Hypersensitivity manifests strongly in the auditory domain, but the underlying mechanisms are only begin to be elucidated (Ethridge et al., 2016; Garcia-Pino et al., 2017; Wang et al., 2017).

were obtained from the IC of P14-15 WT (n=9), P21-22 WT (n=11), P34-39 WT (n=12),

P14-15 *Fmr1* KO (n=10), P21-22 *Fmr1* KO (n=10), and P34-39 *Fmr1* KO (n=9) mice.

These groups will be referred to as P14, P21 and P34 mice below. Male mice were used for all experiments.

Sound exposure paradigm

Our goal was to examine the levels of neural activity marker c-Fos in the auditory pathway of *Fmr1* KO mice in response to relatively loud sounds, but without any overt motor behaviors associated with AGS. AGS behaviors include wild running and jumping and tonic seizure episodes that may lead to death (Dansie et al., 2013; Gonzalez et al., 2019). *Fmr1* KO mice, but not WT mice, are prone to AGS. Therefore, if the sound causes seizures, the associated motor responses involved would only be present in the KO mice and render the two groups incomparable in terms of sensory responses. Therefore,

we performed pilot tests to identify the highest sound level that does not cause any motor responses associated with AGSs. These pilot data showed that the AGS threshold for P34 *Fmr1* KO mice was >90 dB SPL so we used 80 or 90 dB for 15 minutes (5-50 kHz bandwidth, 500 ms upward modulated frequency sweep followed by 500 ms downward modulated frequency sweep). However, 90 dB sounds induced AGSs in the P21 group, so we used 85 dB SPL in this age group with 1000 ms of quiet in between each 1000 ms of sound. Based on off-line video analysis, none of the mice used showed any motor behaviors associated with AGSs. Therefore, there was no exclusion of mice to account for motor behaviors. To perform c-Fos immunostaining, up to 4 male mice in the P21 or P34 group were placed in a standard mouse cage with no food or water. Mice used for immunohistochemistry of c-Fos were habituated for 3 h in a sound attenuated booth (Gretch-Ken Inc.) before stimulus presentation. This would facilitate isolation of c-Fos expression to the stimulus and minimize background c-Fos expression. In addition, these mice remained in the sound attenuation booth for 45 min after offset of the sound stimulus and before transcardial perfusion. Control groups underwent the same procedure except no sounds were presented. Auditory stimuli were generated using custom software (BATLAB, Dr. Don Gans, Kent State University or Sparkle, Portfors Lab, Washington State University) and delivered through a programmable attenuator (PA5, TDT) and a speaker (FT17H, Fostex International) placed face down on top of the cage lid. Sound levels were measured with a sound level meter (735, B&K Precision) at a distance from the speaker to the cage bottom. A lamp was used to provide light for a video camera to record behaviors during 5 min of baseline with no sound presentation and 15 min of sound presentation.

Immunohistochemistry

Cruz, RRID:AB_2106783) in 1% NGS and 0.1% Tween-20 in 0.1 M PBS. This antibody is commonly used in mouse studies (e.g., Howorth et al., 2009; Numa et al., 2019), including in studies of the central auditory system (Fulop et al., 2019). On the next day, the slices were washed 3x5 min with PBS and incubated in secondary antibody (1:500; donkey anti-rabbit Alexa 594) with 1% NGS and 0.1% Tween 20 in PBS for 2 h. Then, the slices were washed in PBS 3x5 min and mounted on a glass slide with a mounting medium (Vectashield H-1200, Vector Laboratories) and the edges were sealed (Cytoseal 202 60, Richard-Allan Scientific). The slides were stored in the dark at 4° C until imaging was done. Stained sections were imaged using a confocal microscope (SP5, Leica Microsystems) with 10x objective and a stack of 20 optical images was collected with 205 1024x1024 resolutions at 2µm z-steps. Image analysis was performed using ImageJ Software (NIH). Because the MGB is composed of multiple divisions with different functions, we evaluated c-Fos positive cell density in each division separately using Allen Brain Atlas. The dimensions of the windows used for the cell counting in the different divisions of the MGB are provided in Table 1. The sizes of these windows were selected based on sufficient coverage of the divisions of interest across all photomicrographs. A $\,$ 400 µm wide window that was at 45 $\,^{\circ}$ angle to the midline of a coronal section was used as the counting window for the IC (Figure 1A, B). Large images were stitched as needed using the 'stitch' plugin (Preibisch et al., 2009) for ImageJ to obtain high resolution images for counting. A rolling ball background subtraction was done for all images 215 (rolling ball radius = $6.6 \mu m$) facilitating a removal of smooth continuous backgrounds. c-Fos+ cell counts were based on intensity auto-thresholding of the pixels (Geometric 217 Triangle Function) and size (greater than $13.2 \mu m^2$) in ImageJ for all images. The

Geometric Triangle Function is an auto-thresholding feature of ImageJ. This allows consistent thresholding parameters to be applied to all images, thus ensuring uniform thresholding across slices. The triangle algorithm draws a line 'b' from the maximum peak to the lowest value in an image's histogram. An orthogonal line 'd' is computed from line 'b' to the maximal distance of the histogram. A bin is formed from line 'd' to the maximum pixel intensity value. Then, all pixels in the image are converted to binary values (pixels within the bin as determined by the triangle algorithm and pixels not within 225 the bin). Clusters of pixels that is greater than 20 px² (13.2 μ m²) are counted as c-Fos+ cells.

In vivo **electrophysiology recordings from IC**

In vivo extracellular single unit recordings were conducted in urethane (1 g/kg) and xylazine (20 mg/kg) (i.p. injection) anesthetized mice. Supplemental doses of anesthesia were given during recording sessions, as needed. A craniotomy was performed using a micro drill (Foredom Electric Co.) with coordinates based on skull landmarks. The IC was identified based on the transverse sinus vein, auditory responses, tonotopy, and post-hoc histology from Fluoro-Ruby dye injected in the recording site. A negative 235 feedback rectal thermometer was used to maintain the temperature of the mice at 38 ± 1 236 °C throughout the recording session. A calibrated speaker was placed contralateral to the recorded IC at a 45° angle and 6 cm away from the ear. A glass electrode (1 M NaCl, 2- 238 10 M Ω impedance) was advanced using a micromanipulator (Kopf 2660) to depths between 200-2000 μm in the IC. Sound stimulation and data acquisition were driven by SPARKLE software (Sparkle Data Acquisition, Portfors Lab). Single units were isolated

and identified based on amplitude and constancy of spikes. Unless otherwise noted, each 242 stimulus was repeated 20 times with a 2 Hz repetition rate. The stimulus duration was 50 ms including a 2 ms rise/fall time. The recording window used was 250 ms from stimulus onset except for the sinusoidal amplitude modulated (SAM) tones, in which the recording window was 1000 ms. Post stimulus time histogram data were analyzed offline. The 246 number of neurons recorded from each group were: $n=78$ from P14-15 WT, $n=77$ from P21-22 WT and n=102 from P34-39 WT; n=81 from P14-15 *Fmr1* KO, n=84 from P21- 22 *Fmr1* KO and n=83 from P34-39 *Fmr1* KO mice. Upon isolation of a neuron, spontaneous activity and response selectivity were quantified as described below. **Spontaneous activity and frequency response area** Spontaneous activity was recorded within the 250 ms recording window in the absence of any stimuli. The number of action potentials in the recording window was sampled over 20 repetitions (with no sound, 2 Hz repetition rate). Frequency tuning curves were constructed by measuring responses to tones with frequencies between 4 and 48 kHz in 4 kHz steps, and sound levels between 10 and 90 dB SPL in 10 dB steps. Each frequency/sound level combination was presented 20 times with a 2 Hz repetition rate. Characteristic frequency (CF) was defined as the frequency to which the neuron responded at the lowest sound level tested. Bandwidth (BW)10, BW20, and BW30 was 260 the range of frequencies to which the neuron responded at 10 dB, 20 dB, and 30 dB above minimum threshold, respectively.

Rate-level functions

Statistical Analysis

For the c-Fos analysis, the average of cell counts from 2 slices per brain region per animal was used with animal number as sample size. Mice were separated into quiet and sound-exposed groups. The P21 mice received one sound level (85 dB) and the P34 groups received one of two sound levels (80 or 90 dB). If the counts of c-Fos+ cells within a brain region showed normal distribution, then a Student's t-test was used for genotype comparisons. If any dataset within a brain region was not normally distributed, then all genotype comparisons within that brain region used the nonparametric Mann-Whitney U test. Genotype differences were analyzed by comparing the means of *Fmr1* KO vs WT separately for P21 and P34 groups. For the electrophysiology data a two-way

significant genotype difference at P21. However, at P34 the density of c-Fos+ cells was significantly lower in the 51-100% region of the IC *Fmr1* KO mice compared to WT 335 mice $(t(12)=3.618, p=0.004)$. Thus, for ambient sound levels, the density of c-Fos+ cells in the IC was not higher in the *Fmr1* KO mice compared to WT mice at either P21 or P34. However, when sounds were presented, the *Fmr1* KO mouse IC showed higher c-

Fos+ cell density than WT group at both P21 and P34. Only a single sound level (85 dB) was tested for the P21 group so the data was analyzed using a Student's *t*-test. At P34,we tested mice at either 80 or 90 dB SPL and used a two-way ANOVA (sound level and genotype as factors) for the c-Fos+ cell density analysis. At P21, there was a significant increase in the sound-evoked c-Fos+ cell density in the 0-50% IC window of *Fmr1* KO mice compared to WT mice (Figure 1F, *t*(16)=-2.907, *p*=0.010). Interestingly, for the P34 group, there was a significant decrease in the density of c-Fos+ cells in the 0-50% IC window of *Fmr1* KO mice compared to the WT mice (*F*(1,27)=5.415, *p*=0.028). In the more ventromedial (51-100%) half of the IC (Figure 1H), there was no significant difference at P21 between WT and *Fmr1* KO mice (*t*(16)=-1.444, *p*=0.168). At P34, c-Fos+ cell density was significantly higher in *Fmr1* KO mice compared to WT mice (*F*(1,27)=5.216, *p*=0.030). There was also a main effect of sound level with significantly higher c-Fos+ cell density at 90 dB compared to 80 dB sound level (*F*(1,27)=4.998, *p*=0.034). There was no genotype x sound interaction (*F*(1,27)=1.734, *p*=0.199) at P34. Thus, when exposed to sound, c-Fos+ cell density was higher in the IC of the *Fmr1* KO than WT mice, suggesting auditory hyper-responsiveness in the IC at both P21 and P34. The region of the IC showing increased c-Fos+ cell density in the

- 356 *Fmr1* KO mice shifts with age ($P21\rightarrow P34$) from more dorsolateral IC to more ventromedial locations.
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Division specific genotype differences in c-Fos+ cell density in the medial geniculate body

The MGB is comprised of multiple divisions, including the medial (MGm), the ventral (MGv), the dorsal (MGd) divisions, and the suprageniculate nucleus (SGN) (Fig. 2). The adjacent peripeduncular nucleus (PP) may also be involved in auditory processing through reciprocal connections with the IC (Arnault and Roger, 1987). For the quiet condition data, a Mann-Whitney U test was used for genotype comparison in each MGB division. At P21, there was a significant increase in c-Fos+ cell density in *Fmr1* KO mice compared to WT mice in the MGm (U=5, *p*=0.014). There was no significant difference 368 in other divisions of the MGB at P21 (PP (U=20, p=0.604), MGv (U=3.5, p=0.543), 369 MGd (U=18, p=0.420), SGN (U=14.5, p=0.217). At P34, there was a significant increase in c-Fos+ cell density in *Fmr1* KO, compared to WT, mice in the MGv (*U*=8, *p*=0.01), but not in the other divisions (PP (*U*=26, *p*=0.574), MGd (*U*=15.5, *p*=0.083), MGm 372 (U=17, p=0.106), SGN (U=28.5, p=0.712)). In the sound-exposure condition, at P21, there was a significant increase in c-Fos+ cell density in the SGN (U=7.5, p=0.003) and PP (U=19, p=0.05) of the *Fmr1* KO mice compared to the WT. All other divisions showed no significant differences (MGv (U=38.5, p=0.858), MGd (U=22, p=0.102), MGm (U=20, p=0.069)). At P34, no genotype differences were present in any MGB division for sounds presented at 80 dB

SPL: PP (U=17.5, p=0.370), MGv (U=17.5, p=0.368), MGd (U=13.5, p=0.158), MGm

379 (U=24, p=0.949) and SGN (U=20, p=0.565). When sound was at 90 dB, only the PP $(U=29, p=0.05)$ showed a genotype difference. There were no differences in the other divisions (MGv (U=33, p=0.083), MGd (U=43, p=0.283), MGm (U=30.5, p=0.061) and SGN (U=54, p=0.760)). Thus, for ambient sound conditions, *Fmr1* KO mice show increased number of c-Fos+ activated cells in the MGm and MGv. When sound was presented, the SGN and PP showed higher number of c-Fos+ cells in *Fmr1* KO mice.

Auditory cortex does not show increased c-Fos+ cell density in *Fmr1* **KO mice**

Single unit recordings from auditory cortex (Wen et al., 2018) showed higher response magnitude in P21 *Fmr1* KO mice compared to WT mice, suggesting hyperactivity of individual neurons in the auditory cortex. Here, to investigate whether more neurons were activated in the auditory cortex of *Fmr1* KO mice, we quantified the density of c-Fos+ cells (Fig. 3). A 400 μm wide rectangular window that spanned the length of cortical layers I-VI was used to quantify c-Fos+ cell density (Fig. 3A, B). In the quiet condition (Figure 3C), there were no genotype differences in the number of c-Fos+ cells in the auditory cortex of WT and *Fmr1* KO mice at P21 (*U*=16, *p*=0.302) or P34 (U=26, p=0.529; Fig. 3C, left). In the sound exposure condition (Fig. 3D), there was no significant genotype difference in c-Fos+ cell density at P21 (*U*=25, *p*=0.321). At P34, Mann-Whitney tests showed a significant genotype difference when mice were exposed to 80 dB (U=6, p=0.018), but the number of c-Fos+ cells was lower in the *Fmr1* KO 399 mouse cortex. There was no difference for the 90 dB sound level (U=33, $p=0.364$).

Electrophysiology

Extracellular single unit recordings were obtained from the IC in both genotypes at three different developmental ages: P14, P21, and P30. Spontaneous activity, rate-level functions, frequency tuning curves, and responses to amplitude-modulated tones were compared across age and genotype.

Spontaneous activity of IC neurons shows CF-specific genotype differences

Spontaneous activity was measured by counting the number of spikes over 20

repetitions of the recording window (250 ms) with no sound stimulus. The average

spontaneous activity across all recorded neurons for each genotype and age was then used

in a two-way ANOVA (age and genotype as factors) to identify statistical differences.

The overall spontaneous activity in the IC was low, likely due to the anesthesia (Fig. 4A).

However, it was possible to detect a significant main effect of age (*F*(2,499)=11.153,

p=0.000018) with Bonferroni post-hoc comparison showing a reduction in spontaneous

activity with age (P14 vs P21, *p*=0.024; P14 vs P34, *p*=0.000007; and P21 vs P34,

p=0.124). There was no main effect of genotype (*F*(1, 499)=2.333, *p*=0.127) or

significant genotype x age interaction (*F*(2,499)=1.186, *p*=0.306). Thus, when all the

neurons were considered together, spontaneous activity decreased during development in

419 the IC, with no genotype differences.

Because there were regional genotype differences in the c-Fos+ cell density in the 421 IC, we analyzed the electrophysiology data by classifying neurons according to CF, with low and high CF groups separated with a 20 kHz cut-off range. We chose the 20 kHz cut-off frequency because the IC tonotopic map splits approximately into two halves at this CF (Felix II et al., 2007). The full statistics for the CF-classified data are provided in

main effect of age (*p*<0.0001) was also observed for MT with Bonferroni post-hoc

comparison showing a difference between P14 vs P21 (*p*=0.000005) and P14 vs P34

450 $(p=0.007)$, but not P21 vs P34 ($p=0.105$) (Fig. 4D). These data suggest that reduced MT

- in high CF neurons may underlie auditory hyper-responsiveness in *Fmr1* KO mice.
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IC neurons in *Fmr1* **KO mice are hyper-responsive to tones**

Response magnitude to 20 repetitions of the CF tone was measured and compared across age and genotype. For all neurons combined (Fig. 5A), the average response 456 magnitude at MT + 15 dB (15 dB above threshold) showed no significant genotype x age interaction (*F*(2,481)=1.495, *p*=0.225). There was a significant main effect of genotype with more spikes/stimulus in *Fmr1* KO mice compared to WT mice (*F*(1,481)=5.249, *p*=0.022). There was also a main effect of age (*F*(2,481)=6.257, *p*=0.002) with Bonferroni post-hoc comparisons being significant for P21 vs P34 (*p*=0.004) and P14 vs P34 (*p*=0.005), but not for P14 vs P21 (*p*=1.00) (Fig. 5A). Sound-evoked responses were 462 reduced with age in both WT and KO IC. For CF tone responses at $MT + 30$ dB (Fig. 5B), there was a significant genotype x age interaction (*F*(2,477)=4.303, *p*=0.014) and a significant main effect of genotype (*F*(1,477)=4.772, *p*=0.029), with *Fmr1* KO mouse neurons responding with more spikes than WT neurons. There was a significant main effect of age (*F*(2,477)=6.313, *p*=0.002) with Bonferroni post-hoc tests revealing no significant differences in P14 vs P21 (*p*=1.00), but a significant reduction with age in P21 vs P34 (*p*=0.001) and P14 vs P34 (*p*=0.019) comparisons. For neurons with CF<20 kHz (Table 2), responses to tones at MT + 15 dB

showed a significant main effect of genotype with *Fmr1* KO neurons responding more

decreasing latency with age. There was no main effect of genotype (*F*(1,475)=0.904,

p=0.342) or genotype x age interactions (*F*(2, 475)=0.087, *p*=0.917).

When neurons were classified by CF (Table 3), neurons with CF<20 kHz showed a significant main effect of genotype with longer latency in the KO compared to WT for 543 tones with sound level of MT + 15 dB (Fig. 7C). There was also a main effect of age with Bonferroni post-hoc comparison of P14 vs P21 (*p*=0.0042), P14 vs P34 (*p*=0.00018), and P21 vs P34 (*p*=1.00) pairs, showing decreasing latency with age. There was no significant difference in genotype x age interaction. For neurons with CF≥20 kHz, there was no significant main effect of genotype or genotype x age interactions. There was a significant main effect of age with Bonferroni post-hoc analysis showing differences for P14 vs P21 (*p*<0.0001), P14 vs P34 (*p*<0.0001) and P21 vs P34 (*p*=0.0053) pairs, with latency decreasing with age. Similarly, at MT + 30 dB (Fig. 7D), neurons with CF<20 kHz showed a main effect of genotype and a main effect of age (*p*<0.0001) with Bonferroni post-hoc comparisons showing differences for the P14 vs P21 (*p*=0.0023), P14 vs P34 (*p*<0.0001) and P21 vs P34 (*p*=0.000055) pairs, with latency decreasing with age. There was no significant genotype x age interaction. For neurons with CF≥20 kHz, there was a significant main effect of age with Bonferroni post-hoc comparisons showing differences for the P14 vs P21 (*p*<0.0001), P14 vs P34 (*p*<0.0001) and P21 vs P34 (*p*<0.0001) pairs, with latencies decreasing with age. There was no significant main effect of genotype or genotype x age interactions. Thus, the median first spike latency in response to CF tones decreased during development across all CFs, with genotype differences (slower latency in *Fmr1* KO neurons) seen for neurons with CF<20 kHz.

Fmr1 KO neurons showing broader tuning. For BW20 and BW30, we observed a narrowing of tuning curves with developmental age.

Tonotopy

We quantified the development and possible genotype differences in tonotopy in the IC and observed the expected dorsal to ventral increase in CF representation (Felix and Portfors, 2007) at all three developmental ages (Fig. 12) and across genotypes. The CF representation was mostly <30 kHz at P14 and expanded to include more neurons with CF>30 kHz at P21 and P34. There was no significant difference in the distribution of CFs across genotypes at any age (P14: *t*(159)=0.831, *p*=0.406), (P21: *t*(164)=0.788,

p=0.431), and (P34: *t*(188)=0.589, *p*=0.555). Together, these data suggest that CF-specific susceptibilities in *Fmr1* KO mice are not due to abnormal development of tonotopy.

DISCUSSION

Based on c-Fos+ cell density analysis, our results indicate that more IC neurons were activated in the *Fmr1* KO mice compared to WT following sound exposure at both P21 and P34. Genotype differences in the density of c-Fos+ cells are also observed in the PP and SGN divisions of the MGB. *In vivo* single unit recordings show that IC neurons of *Fmr1* KO mice are more responsive to both tone bursts and amplitude-modulated tones, and show broader frequency tuning curves than their WT counterparts. In general, genotype differences emerge between P14 and P21, with a stronger effect on neurons with CF<20 kHz, compared to neurons with higher CF. Together, these data suggest that the IC is a major contributor to early developmental auditory hyper-responsiveness in FXS.

Increased density of c-Fos+ cells in the IC of Fmr1 KO mice at both P21 and P34

The genotype difference in c-Fos+ cell density was apparent in the dorsolateral half of the IC at P21, and shifted to the ventromedial half of the IC (adjacent to the

periaqueductal gray) at P34. Chen and Toth (2001) previously examined c-Fos

expression in response to sounds in *Fmr1* KO mice using sound levels that caused AGS

in some of the mice. When AGS was induced in *Fmr1* KO mice, there was an increase in

c-Fos expression in KO compared to WT mice in the dorsal nucleus of the lateral

lemniscus, posterior intralaminar nucleus, periaqueductal grey, and MGm. However, because the *Fmr1* KO mice showed seizures and the WT mice did not, motor responses associated with increased AGS-related movement likely contributed to the genotype differences in c-Fos+ cell density (Yang et al., 2020). To overcome this confound, the sound stimulus in our study was in the 80-90 dB range, which did not induce AGS in any of the mice used for c-Fos analysis. Under these conditions, we found a genotype difference in the density of c-Fos+ cells in the IC suggesting that the extent of activity in the IC may be a correlate of sensory hypersensitivity in early development. Dorsomedial IC represents low CFs while ventromedial IC represents higher CFs. Hyper-responsiveness is seen in *Fmr1* KO IC in response to both tone bursts and AM tones for neurons with CF<20 kHz at both P21 and P34. Neurons with CF≥20 kHz do not show considerable differences in response magnitude at either P21or P34. This lack of spatiotemporal correlation between the c-Fos data and single unit data suggests that the increased density of c-Fos+ cells and increased response magnitudes may contribute independently to hypersensitivity.

Analysis of the density of c-Fos+ cells in the MGB suggests a point of interaction between abnormal sensory processing and anxiety in FXS during early development (Cho et al., 2012). The MGm is a region projecting to all cortical layers and to the amygdala. The SGN is a region that receives multisensory inputs and also projects to the amygdala (LeDoux et al., 1991). The peripeduncular (PP) nucleus integrates auditory, motor and hypothalamic signals (Arnault and Roger, 1987). The recruitment of more cells may underlie a stronger activation of auditory-limbic-motor pathways which may lead to

behavioral anxiety phenotypes in response to daily environmental sounds in FXS (Miller et al., 1999; Reinhard et al., 2019).

We did not observe an increase in sound-evoked c-Fos+ cell density in the auditory cortex at P21 or P34. While the IC showed both higher density of activated c-Fos+ cells and increased response magnitude in the *Fmr1* KO mice at this age, the auditory cortex only shows the increased response magnitude (Wen et al., 2018). When *Fmr1* KO mice are most sensitive to AGS (~P21), our data suggests that the IC plays a stronger role than the cortex. This is consistent with the role of IC in AGS generation in rats genetically prone to epilepsy (Faingold 2002) and in the *Fmr1* KO mice (Gonzalez et al., 2019).

Single unit recordings reveal hypersensitivity to sounds in the IC of Fmr1 KO mice

In vivo electrophysiological recordings in the IC at P14, P21, and P34 showed that the *Fmr1* KO neurons produce increased responses to tone bursts and amplitude-modulated tones and had broader frequency tuning curves compared to age-matched WT neurons. Low frequency tuned neurons (CF<20 kHz) show greater genotype differences compared to neurons with CF≥20 kHz. Rotschafer and Razak (2013) suggested that a possible mechanism of increased synchrony and hypersensitivity to sounds may be linked to broader frequency tuning curves of individual neurons in *Fmr1* KO mice. This is because more neurons would be activated in response to a single tone frequency if tuning curves were broader, and overlapped more. IC neurons show broader tuning curves in *Fmr1* KO mice than in WT, suggesting that more IC neurons will respond synchronously to sounds. These data suggest that the IC is a major source of auditory hyperresponsiveness in FXS during development through increased response magnitudes and a greater number of synchronously activated neurons.

Faingold and Anderson (1991) suggested that abnormal inhibition with increasing sound level in IC neuronal response may lead to AGS in rats genetically susceptible to epilepsy. Therefore, we quantified rate-level responses across age and genotype. In the *Fmr1* KO mice, there were no genotype differences in either the non-monotonicity of responses or the dynamic range. These data do not support the hypothesis that AGS susceptibility of *Fmr1* KO mice is due to abnormal rate-level relationships in the IC. Given the CF-specific genotype effects observed and because of a previous study that showed tonotopic gradients of ion channel expression may be affected in the lower auditory brainstem, we hypothesized that development of tonotopy may show genotype differences (Ruby et al., 2015; Strumbos et al., 2010). However, there were no genotype differences in tonotopy indicating that map formation, and the underlying guidance cues (Cramer and Gabriele, 2014) are relatively normal in the IC of *Fmr1* KO mice. Therefore, increased response to un-modulated and amplitude-modulated tones, broader frequency tuning curves, and increased recruitment of active cells seem to be the major IC phenotypes associated with auditory hyper-responsiveness at P21.

Development of IC responses in WT and Fmr1 KO mice

Although the major focus of our study was on the *Fmr1* KO mice, the WT data are useful to compare with previous studies of IC development. Our data are consistent with previous findings of shortening latencies, decreasing thresholds, sharpening frequency tuning and increasing high frequency representation in the developing IC

(Aitkin and Moore, 1975; Schnerson and Willott, 1979; Romand and Ehret, 1990; Ehret and Romand, 1992). In addition, we show that both spontaneous and tone-driven response magnitudes of IC neurons decline with age. This was also true for responses to amplitude modulated tones. When IC neurons with CF<20 kHz are considered, there was no main effect of age on the rMTF to AM tones (Table 5). However, IC neurons with CF≥20 kHz show reduced spike counts with age at multiple modulation rates. This suggests that AM responses mature more slowly in the high frequency IC neurons. Taken together, these data are in alignment with both the development of the cochlea, and the maturation of inhibitory circuitry that shape frequency tuning and response magnitudes of IC neurons (Fuzessery and Hall, 1996; Le Beau et al., 1996; Zhang and Kelly 2003; Hurley et al., 2008). A number of age x genotype interactions were observed in this study suggesting that some of the differences may be present only at certain ages. A notably important

pattern in the data was that the IC properties are comparable between *Fmr1* KO and WT mice at P14, but begin to diverge at P21. Sound driven responses begin to occur ~P10-11 in the IC. In the first 2 weeks of life, refinement of both extrinsic ascending and intrinsic local connectivity patterns depend mostly on spontaneous activity driven by the cochlea (Gabriele et al., 2000a). Patterns of extrinsic inputs to the IC appear mature at or before hearing onset (Gabriele et al., 2000b, Henkel et al., 2007; Fathke and Gabriele, 2009). Our data suggest that this developmental process is relatively normal in *Fmr1* KO mice. Between P14 and P21, sound-driven refinements of excitatory local inputs dominate such that inhibition becomes relatively stronger (E:I ratio declines from P14-21 in WT mice, Sturm et al., 2014). This will sharpen frequency tuning and reduce response magnitudes

during development. This process seems to be affected in *Fmr1* KO mice. Abnormal sound-driven refinement may result in elevated response magnitude, broader frequency tuning and consequently hypersensitivity. Grimsley et al., (2013) suggested that the local circuit connectivity may shape responses of IC neurons to increasing sound levels. Therefore, abnormal local IC circuit refinement may also be implicated in AGS. Whether this is due to abnormal refinement of excitatory connections and/or abnormal development of inhibition remains to be investigated. Another main observation is that neurons with CF<20 kHz appear to be more hypersensitive than neurons with CF≥20 kHz. The underlying mechanisms for this frequency dependence are unclear, but suggest abnormal GABA responses in the IC of *Fmr1* KO mice. Based on the IC tonotopic gradient, neurons with increasing CF are found more ventrally in the IC and most neurons with CF<20 kHz are likely to be within 757 1,000 μ m from the dorsal surface (~50% of total dorso-ventral depth (Felix and Portfors, 2007). IC neurons receive both GABA and glycine inhibitory inputs. Glycine may be more dominant in shaping inhibition in ventral, high-CF regions of the IC (Choy Buentello et al., 2015; Merchán et al., 2005; Sanes et al., 1987). GAD67-labeled inputs appear to dominate more in the dorso-medial regions of the IC (Choy Buentello et al., 2015), suggesting a more prominent role for GABA in the dorsal half of the IC. This may suggest a deficit in GABAergic inhibition, because most deficits were observed in low CF neurons in the dorsolateral region of the IC. GABAa receptor deficits in the IC are related to AGS in rats that are genetically susceptible to epilepsy (Faingold, 2002). In addition, down-regulated tonic GABAa currents and a decrease in GABAa receptors were reported in *Fmr1* KO mice (Curia et al., 2009; D'Hulst et al., 2006), suggesting

impaired GABA-mediated inhibition in FXS. GABAergic inputs shape firing rates of IC neurons (Palombi and Caspary, 1996). Whether glycinergic inhibition is affected in the IC is unclear, but Garcia-Pino et al. (2017) showed no impact on such inhibition in the lower brainstem of *Fmr1* KO mice. Together, these studies suggest the CF-dependent susceptibility of IC to hyper-responsiveness in early development may be related to GABA dysfunction in *Fmr1* KO mice.

System-wide deficits in auditory processing in FXS

Given the consistent and debilitating auditory hypersensitivity in individuals with FXS, there is an increasing interest in understanding the underlying the circuit and cellular pathophysiology across the auditory system and across development (McCullagh et al., *In Press*). FMRP is expressed at multiple levels of the auditory system from the cochlear nucleus to the auditory cortex. Global deletion of *Fmr1* would affect the development and function of each of these auditory processing stages. Neurons in the lateral superior olive (LSO) of the brainstem show enhanced excitatory synaptic input strength through increased convergence of cochlear nucleus input early in development (Garcia-Pino et al., 2017). LSO neurons showed increased firing rates and broader frequency tuning curves. The abnormal IC responses may, therefore, originate in the lower brainstem. However, this needs to be verified by comparing lower brainstem and IC recordings conducted at similar ages. In the medial nucleus of the trapezoid body (MNTB), one of the major sources of inhibition to the LSO, the tonotopic gradient of Kv3.1b potassium ion channel is significantly flatter in *Fmr1* KO, compared to WT mice (Strumbos et al., 2010). Modeling (Strumbos et al., 2010) and electrophysiological

(Brown et al., 2010) data suggest an impact on temporal precision in MNTB of *Fmr1* KO mice. This may be limited to the MNTB, as our IC data do not reveal any genotype differences in tMTF in response to amplitude modulated tones, suggesting that single neuron phase locking is not affected in the IC. Cell sizes were also reduced and VGAT expression is elevated in the MNTB of the *Fmr1* KO mice suggesting increased inhibitory input and disinhibition of the LSO (Rotschafer et al., 2015). The auditory cortex has received considerable attention in FXS. Rotschafer and Razak (2013) showed increased response magnitude and broader frequency tuning in the auditory cortex of *Fmr1* KO mice. Wen et al., (2018) showed that such responses are seen at P21, but not at P14, suggesting the origin of hyper-responsivity in this developmental time frame when cortical properties mature (Oswald and Reyes, 2008, 2011). The IC also shows greater genotype difference in response magnitudes at P21, but not P14, suggesting that the cortical hyper-responsivity may be inherited from subcortical sites, including the IC. Similar developmental studies have not been performed in the medial geniculate body.

Consistent with abnormal inhibition, Wen et al. (2018) showed that increased matrix metalloproteinase-9 (MMP-9) in the auditory cortex may lead to abnormal development of perineuronal nets (PNN) and parvalbumin (PV) positive inhibitory interneurons. Loss of PNNs will reduce excitability of PV cells resulting in reduced network inhibition. This suggests that at least part of the cortical deficit arises due to PV/PNN deficits that are local to cortex, and not inherited from the IC. This notion was further supported by a recent study that showed that removal of *Fmr1* only from forebrain excitatory neurons using the *Nex1* promoter results in enhanced low gamma oscillations

in the cortex indicating local cortical circuit deficits (Lovelace et al., 2019). Interestingly, however, enhanced high gamma seen in previous cortical recordings from global *Fmr1* KO mice was not present when *Fmr1* was removed only from forebrain neurons. This 817 suggests a combination of cortical and subcortical contributions give rise to the various auditory processing phenotypes studied in the *Fmr1* KO mice. The present study identifies the IC as a potentially strong hub of hypersensitive responses, at least in early development.

Methodological issues

For the c-Fos analysis, up to 4 mice were exposed together in a cage. Importantly, they were always of the same genotype and age range when tested together. The control (WT) group and the *Fmr1* KO group were tested with identical methods. Therefore, any group testing effects must affect each genotype similarly. However, any differences in social vocalizations or movement related sounds (walking, running, etc) across genotypes may potentially affect c-Fos expression in auditory nuclei. Future studies will test one mouse at a time, and quantify movement related sounds to address these caveats. No counterstaining was used to distinguish layers of the cortex, divisions of the MGB or the nuclei of the IC. The distinct areas within each auditory region were identified based on mouse brain atlas. Future studies with cytoarchitecture counterstains

are needed to identify specific sub-nuclei with more precision. Electrophysiological

responses were recorded under anesthesia, and this may have reduced the spiking activity

of individual neurons. Whether the reported hyperactivity of IC neurons in *Fmr1* KO

mice may actually be an under-estimate remains to be identified with recordings conducted in awake mice.

We did not perform analysis of age-related changes in the density of c-Fos+ cells to identify any differences in developmental trajectories across the genotypes. The 840 reason for this is the use of different sound levels at the two ages studied. We used the 841 loudest sound levels that did not cause AGS at each age tested. The 85 and 90 dB levels 842 used were ~5 dB below AGS threshold at P21 and P34, respectively. But they were not 843 matched in absolute sound levels.

Conclusions

846 We found region-specific deficits in both the density of c-Fos+ cells and response properties in the IC of developing *Fmr1* KO mouse. Most of the deficits were seen at P21, the time of high AGS susceptibility. In addition, the main differences were in dorsolateral IC and in neurons tuned to lower frequencies in the IC. This implies that FMRP affects differently low and high frequency regions of IC. Future studies should 851 examine AGS with stimuli that are low-pass or high-pass filtered at \sim 20 kHz to determine if the *Fmr1* KO mice are more sensitive to low-frequency sounds during development. The lack of electrophysiological deficits at P14 indicates abnormal experience dependent 854 plasticity between P14-P21, similar to that seen in the auditory cortex (Wen et al., 2018). In addition, studies on the cognitive and social impacts of early life IC dysfunction are needed to address development of autism related behaviors in *Fmr1* KO mice. It is important to consider that in neurodevelopmental disorders, it is difficult to disambiguate direct effects of genetic changes, the effects of altered experiences of the

Figure 1: c-Fos+ cell density is increased in the inferior colliculus (IC) of *Fmr1* **KO**

mice. (A, B) Example photomicrographs of c-Fos immunoreactivity in the IC obtained

from P21 WT (A) and P21 *Fmr1* KO mice (B) following sound exposure (85 dB). (C, D)

Example photomicrographs of c-Fos immunoreactivity in the IC at P34 following 90 dB

sound exposure of WT (C) and *Fmr1* KO mice (D). Rectangular boxes in panels A-D

show counting windows (400 μm width) that span the IC in a dorsolateral to

876 medioventral direction. (E) For the 0-50% counting window (dorsolateral half), there

877 were no significant genotype differences in the density of c-Fos+ cells at both ages in the

878 quiet group. (F) In the sound-exposed group, for the 0-50% window, there was a

significant increase in c-Fos+ cell density at P21 in *Fmr1* KO mice (*, *p*=0.010) and a

880 genotype effect at P34 ($p=0.028$). (G) In the 51-100% window (medioventral half), there

was a significant decrease in c-Fos+ cell density in the *Fmr1* KO mice at P34 under the

905 kHz, a two-way ANOVA revealed significant interaction between genotype x age

906 (*p*=0.008) and significant main effects of genotype, but no significant main effect of age

907 (Genotype: *p*=0.04, Age: *p*=0.08). For neurons with CF≥20 kHz, there was no significant

- 908 genotype x age interaction or main effects of genotype (*p*=0.949, *p*=0.335, respectively).
- 909 There was a significant main effect of age ($p=0.000004$). (C) The average minimum

910 threshold at CF for neurons in IC showed no main effects of genotype ($p=0.176$). There

911 was a significant interaction between genotype x age and a main effect of age (*p*=0.023,

912 *p*=0.000012, respectively). (D) For neurons with CF<20 kHz, there was no significant

913 genotype x age interaction or main effect of genotype (*p*=0.282, *p*=0.09, respectively).

914 There was a significant main effect of age ($p=0.000004$). For neurons CF≥20 kHz, there

915 was no significant interaction between genotype x age $(p=0.299)$. There was a significant

916 main effect for both genotype and age (*p*=0.000005). Error bars show s.e.m.

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918 **Figure 5:** *Fmr1* **KO IC neurons show increased response magnitude to CF tones**

919 **than WT neurons.** (A) Average response magnitude when tested with CF tones at 15 dB

920 above minimum threshold $(MT + 15 dB)$. There was a significant main effect of genotype

921 and age ($p=0.022$, $p=0.002$, respectively), but no significant interaction between genotype

922 x age (*p*=0.225). (B) Average response magnitude when tested with CF tones at 30 dB

923 above minimum threshold $(MT + 30$ dB). There was a significant interaction between

924 genotype x age $(p=0.014)$, main effect of genotype $(p=0.029)$ and main effect of age

925 ($p=0.002$). (C) Response magnitude at MT + 15 dB for neurons separated by CF (CF<20)

926 kHz vs. CF \geq 20 kHz). For neurons with CF<20 kHz, there was no significant genotype x

927 age interaction (*p*=0.759) or main effect of age (*p*=0.288). A significant main effect of

all ages tested. (A) An example rate-level response function in a WT IC neuron (P21).

Vertical dashed lines from left to right indicate sound level for 10% of maximum

response and 90% of maximum response. Dynamic range (DR) was the range of sound

levels over which responses increased from 10% to 90% of the maximum response.

943 Percent turnover (%TO) is the degree of non-monotonicity of the rate-level function and

measures the extent to which response at the highest sound level tested is reduced

945 compared to the maximum response. In this example, the %TO was ~50%. (B) For %TO,

946 there was no significant genotype x age interaction $(p=0.489)$ or main effect of genotype

(*p*=0.455). There was a main effect of age (*p*=0.002), with neurons showing reduced

%TO (less non-monotonic) with age. (C) Dynamic range of neurons showed a main

949 effect of age ($p=0.031$), but no significant genotype x age interaction ($p=0.146$) or main

effect of genotype (*p*=0.439). Error bars show s.e.m.

Figure 8: Frequency tuning was broader in the IC of *Fmr1* **KO mice compared to**

WT mice, mainly for neurons with CF<20 kHz. (A) An example frequency response

| 974 | area with tone frequency on the abscissa and sound intensity on the ordinate. The color |
|-----|---|
| 975 | scale indicates normalized response magnitude for specific frequency-intensity |
| 976 | combinations. The bandwidth of frequency selectivity was quantified at 10 (BW10), 20 |
| 977 | (BW20) and 30 (BW30) dB above the minimum threshold of neurons. (B) When all |
| 978 | neurons within each genotype were pooled together, there was no significant main effect |
| 979 | of genotype for BW10 ($p=0.410$) or BW20 ($p=0.354$). A significant main effect of |
| 980 | genotype was present only for BW20 ($p=0.048$). A significant main effect of age was |
| 981 | seen for BW20 (p=0.003) and BW30 (p<0.0001), but not for BW10 (0.079). (C) For |
| 982 | neurons with CF<20 kHz, there was a significant main effect of genotype (BW10) |
| 983 | $(p=0.039)$, BW20 ($p=0.017$), BW30 ($p=0.018$)). There was no genotype x age interaction |
| 984 | (BW10 ($p=0.175$), BW20 ($p=0.616$), BW30 ($p=0.68$)) or main effect of age (BW10 |
| 985 | $(p=0.944)$, BW20 ($p=0.515$), BW30 ($p=0.288$)). (D) For neurons with CF \geq 20 kHz, there |
| 986 | was no significant main effect of genotype ($p=0.726$) or age ($p=0.499$). There was a |
| 987 | significant genotype x age interaction only for BW10 ($p=0.04$). Error bars show s.e.m. |
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Figure 9: IC neurons show hyper-responsiveness to amplitude modulated tones in

Fmr1 **KO mice, but phase-locking is normal.** (A) Example of IC neuron response to

sinusoidal amplitude modulated CF tone with 20 Hz modulation in a P14 WT mouse. (B)

Polar plot example of action potentials for the same neuron along the period of the

stimulus. (C1-C3) Rate modulation transfer function (rMTF) in the P14, P21 and P34

groups, respectively. (D1-D3) Temporal modulation transfer function (tMTF) in the P14,

P21 and P34 groups, respectively. Dashed lines indicate Fmr1 KO mice and solid lines

996 indicate WT mice. Error bars show s.e.m. $(*p<0.05)$.

- **Figure 10:** Rate modulation transfer function (rMTF) subdivided into neurons with
- 999 CF<20 kHz (A1, B1, C1) and CF≥20 kHz (A2, B2, C2). The rows are arranged by
- 1000 postnatal age (P14, P21 and P34). Error bars show s.e.m. $*$ p < 0.05).

- **Figure 11:** Temporal modulation transfer function (tMTF) subdivided into neurons with
- CF<20 kHz (A1, B1, C1) and CF≥20 kHz (A2, B2, C2). The rows are arranged by
- postnatal age (P14, P21 and P34). Error bars show s.e.m.

- **Figure 12: No genotype differences were observed in IC tonotopy at any age.**
- Distribution of CF along recording depth in the IC. There were no significant differences
- between WT and *Fmr1* KO mice at each age group P14 (A), P21 (B), and P34 (C).

Table 1: Window sizes for c-Fos+ cell analysis in the MGB subdivisions.

1014 **Table 2:** Statistical analysis of data classified according to CF (<20 kHz vs. ≥20 kHz) are

1015 shown for spontaneous activity, minimum threshold (MT) and sound driven activity at

1016 MT+15 dB and MT+30 dB.

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1028 **Table 3:** Statistical analysis of data classified according to CF (<20 kHz vs. ≥20 kHz) are

1029 shown for percent turnover, dynamic range and response latency to CF tones presented at 1030 MT+15 dB and MT+30 dB. $MT+15$ dB and $MT+30$ dB.

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- 1046 **Table 4:** Statistical analysis of data classified according to CF (<20 kHz vs. ≥20 kHz).
- 1047 BW10, BW20 and BW30 refer to tuning bandwidth at MT+15 dB, MT+20 dB and
- MT+30 dB, respectively. 1049
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1053 Table 5: Statistical analysis of rate modulation transfer functions (rMTF) obtained from
1054 WT and *Fmr1* KO mice at the three developmental time points. The data are organized

1054 WT and *Fmr1* KO mice at the three developmental time points. The data are organized according to the modulation frequency. 'All' indicates all the neurons combined. CF<2 according to the modulation frequency. 'All' indicates all the neurons combined. $CF < 20$

1056 kHz and CF $> = 20$ kHz indicates when data were split according to CF. $* = p < 0.05$

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1062 Table 6: Statistical analysis of the temporal modulation transfer functions (tMTF)
1063 obtained from WT and *Fmr1* KO mice at the three developmental time points. The

1063 obtained from WT and *Fmr1* KO mice at the three developmental time points. The data
1064 are organized according to the modulation frequency. 'All' indicates all the neurons

are organized according to the modulation frequency. 'All' indicates all the neurons

1065 combined. CF<20 kHz and CF>=20 kHz indicates when data were split according to CF.
1066 $* = p < 0.05$ $* = p < 0.05$

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Sound Exposed 51-100% IC

FIGURE 10

