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Geomorphic covariance structure of a confined mountain river reveals landform organization stage threshold

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2 organization stage threshold

3

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15

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21 **Abstract**

22 Significant growth in mountain rivers research since 1990 has promoted the concept
23 that canyon-confined mountain rivers have complex topographic features nested from
24 base- to flood-stages due to canyon structure and abundant large bed elements.
25 Nesting means literally structures inside of structures. Mathematically, nesting means
26 that multiple individual features and repeating patterns exist at different frequency,
27 amplitude, and phasing, and can be added together to obtain the complete structure.
28 Until now, subreach-scale landform structure, including nesting, has not been quantified
29 sufficiently to understand morphodynamic mechanisms that control and respond to such
30 organization. Geomorphic covariance structure analysis offers a systematic framework
31 for evaluating nested topographic patterns. In this study, a threshold stage in mountain
32 river inundation was hypothesized to exist. Above this stage landform structure is
33 organized to be freely self-maintaining via flow convergence routing morphodynamics. A
34 13.2 km segment of the canyon-confined Yuba River, California, was studied using
35 2944 cross-sections. Geomorphic covariance structure analysis was carried out on a
36 meter-resolution topographic model to test the hypothesis. River width and bed
37 elevation had significantly less variability than previously reported for lower slope,
38 partially confined gravel/cobble river reaches. A critical stage threshold governing flow
39 convergence routing morphodynamics was evident in several metrics. Below this
40 threshold, narrow/high “nozzle” and wide/low “oversized” were the dominant landforms
41 (excluding “normal channel”), while above it wide/high “wide bar” and narrow/low
42 “constricted pool” were dominant. Three-stage nesting of base-bankfull-flood landforms

43 was dictated by canyon confinement, with nozzle-nozzle-nozzle nesting as the top
44 permutation, excluding normal channel.

45 **Introduction**

46 In the 21st century geomorphologists have rapidly embraced systemic meter-scale
47 mapping of landscapes (Bishop et al., 2012; Pasternack, 2019). Common procedures
48 using such maps include river classification, spatially explicit hydrodynamic and
49 morphodynamic modeling, and topographic change detection and analysis. These are
50 used for many scientific and management applications (Tonina and Jorde, 2013;
51 Passalacqua et al., 2015; Wheaton et al., 2015). Such procedures inherently make use
52 of the details of topographic variability but generally do not analyze or explain variability
53 in and of itself to contextualize observations of Earth surface processes.

54 Four broad approaches to characterizing variability are available, but differ in their
55 ability to reveal underlying geomorphic mechanisms shaping landscapes – classic
56 statistical description (Scown et al., 2015), classic time series analysis (Kumar and
57 Fofoula-Georgiou, 1997; Furbish, 1998; Parker and Izumi, 2000), geostatistics
58 (Legleiter, 2014), and object-oriented analysis (Hay et al., 2001; Halwas and Church,
59 2002). This study employs geomorphic covariance structure (GCS) analysis (originating
60 in Brown and Pasternack, 2014, 2017), a blending of time series, object-oriented, and
61 geostatistical approaches, to investigate patterns of morphological variability that
62 constitute the topographic regime of a canyon-confined mountain river. GCS analysis
63 also indicates how variability patterns drive fluvial geomorphic processes responsible for
64 nested longitudinal sequencing of fluvial landforms. The introduction summarizes
65 terminology and concepts necessary to understand GCS analysis, including how this
66 approach can help guide interpretations of hydro-morphodynamics.

67

68 Background terminology

69 The terms “scale”, “scale independent”, and “nested” are widely used in
70 geomorphology, but are rarely carefully defined or used consistently. The term “scale” is
71 often used in geomorphic articles to refer to a particular size of something (i.e., its
72 domain), whether in time or space. For example, many studies characterize fluvial
73 landscapes as consisting of spatial domains of decreasing size, such as catchments,
74 reaches, and geomorphic units (e.g., Frissell et al., 1986; Thomson et al., 2001). In this
75 study using GCS analysis, “scale” similarly refers to a particular spatial domain of
76 geomorphic significance. However, most past studies do not pay attention to the
77 centering/positioning of a smaller scale relative to a larger scale. In GCS analysis, scale
78 adheres to the same spatial domain concept, but it differs in that the extent of all scales
79 are centered on the river corridor and are fixed to the same corridor length. The lateral
80 extent of each scale is dictated by the hydro-morphological condition of discharges with
81 different magnitudes, as indicated by water surface elevation (i.e., “stage”). For
82 example, the base flow channel, bankfull channel, floodprone area (i.e., corridor width at
83 double bankfull depth), and onset of valley walls are all individual spatial scales for
84 which the longitudinal domain is held fixed, but each has a different lateral extent
85 corresponding to the width inundated by the water surface elevation that just fills the
86 channel extent given the shape of the topography. Holding the length fixed is key to
87 understanding how these different scales work together to produce the entirety of the
88 (natural) topographic regime, which is done through analysis of nesting (a term to be
89 defined shortly).

90 The term “scale independent” means that the object or variable of interest has no
91 inherent dimensional size. For example, the objects “particle” and “bowl” cannot be said
92 to be absolutely 0.01 or 100 m measured along the longest axis. Their size is
93 unknowable from the term alone. In geomorphology, some objects do have fixed
94 dimensions by convention, such as “gravel” (Wentworth, 1922), but purely geometric
95 objects (e.g., “nose”, “saddle” and “nozzle”) are scale independent. The term scale
96 independent may apply to not only a single object with one definitive shape, but several
97 simple objects connected together (e.g., a hillslope nose connected to a hollow) or a
98 single object with many surficial geometric variations.

99 The term “nested” means that the topographic structure at any smaller scale is
100 literally inside of that at a larger scale (Figure 1a), which necessitates that structures are
101 discernable, separable, and additive (e.g., through signal processing analysis). Building
102 on scale independence, imagine placing a small bowl inside a medium bowl inside a
103 large bowl. The geometric archetype of a bowl is scale independent, and it can be
104 assigned to multiple scales fixed at the same location – all three bowls have the same
105 center, but then extend away from that center to varying distances. This is the same
106 concept as in the traditional geomorphic meaning of nested, but herein applied to the
107 specific set of scale independent fluvial landform archetypes delineated in the GCS
108 framework.

109 Given this terminology, the topographic regime of a mountain river corridor can be
110 interrogated. As these introductory concepts are developed below, the example of a
111 dryland, partially confined alluvial river corridor (Figure 1b) is used to illustrate them.

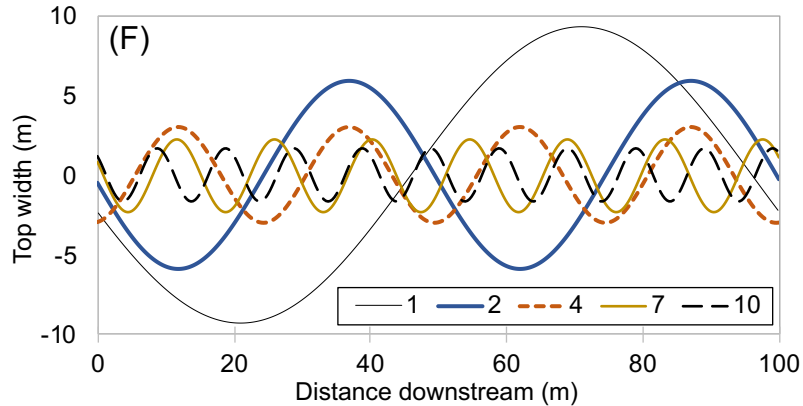
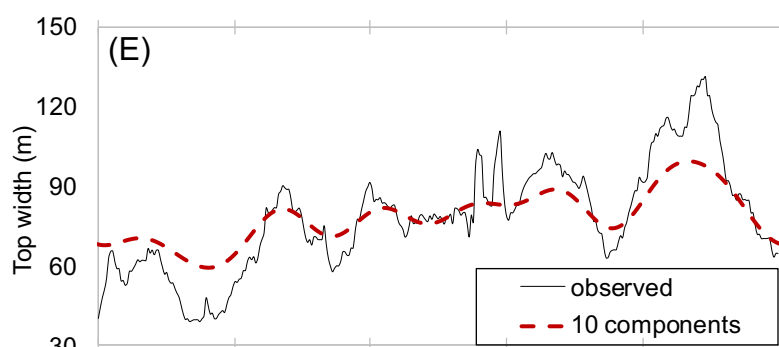
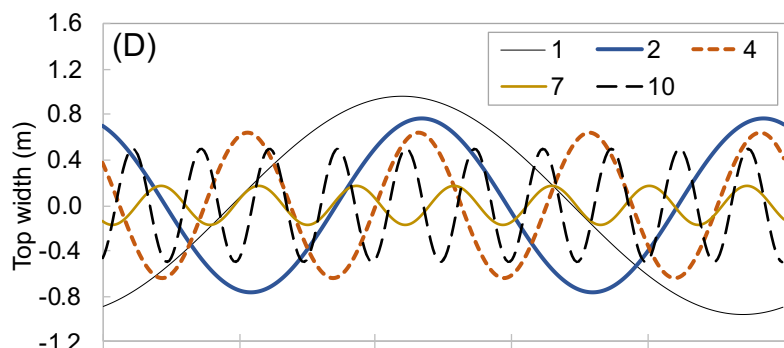
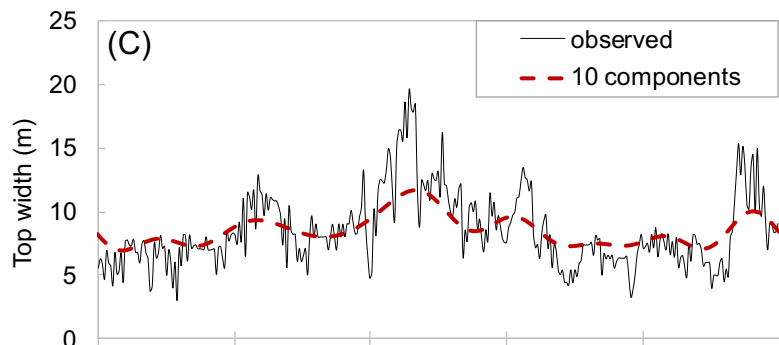
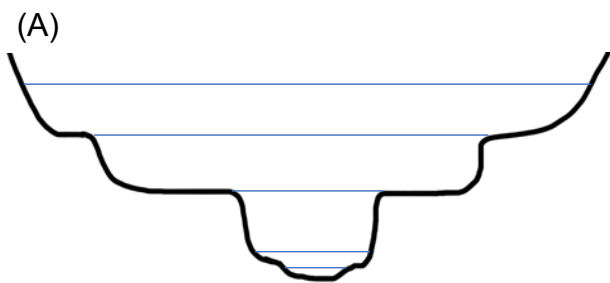


Figure 1

112 This example with no water visually portrays multiple nested spatial scales of channels
113 carved inside a river corridor, such as conceptualized in Figure 1a.

114

115 Fluvial spatial series

116 Many measurable variables in geomorphology and allied sciences vary along a
117 pathway, such as down a river corridor. These variables could include sediment
118 attributes, topographic changes, biotic variables, flow-dependent hydraulics, and flow-
119 independent measures of topography (e.g., Moody and Troutman, 2002; Brown and
120 Pasternack, 2014). Longitudinal variations in river morphology, such as in river width
121 and depth, can contain stochasticity and chaotic nonperiodic fluctuations, but to a large
122 degree are highly organized and interrelated (Brown and Pasternack, 2017; Palucis and
123 Lamb, 2017; Pasternack et al., 2018a,b) owing to their lability and tendency for mutual
124 adjustment to external forcing (Hack, 1960).

125 Mathematically, the longitudinal profile of any variable along a reach, such as
126 channel width, can be extracted at equal increments for any scale fixed on the river
127 corridor (Figure 1c,e) and then decomposed into its constituent additive, continuous
128 elements (Figure 1d,f), each with an absolute amplitude, frequency, and phase – or
129 similar parameter for other methods of series decomposition, such as Fourier or wavelet
130 analysis. Typically, a small-scale geomorphic spatial series will have higher frequency,
131 lower amplitude, statistically significant fluctuations reflecting topographic control of
132 landforms existing at the next few higher spatial scales. A large-scale geomorphic
133 spatial series will have lower frequency, higher amplitude, statistically significant
134 fluctuations, reflecting mountain-valley scale topographic controls. Alternatively, an

135 object-oriented approach to decomposition can be employed (Wyrick et al., 2014), but
136 as of yet this lacks the same amenability to spatially continuous mathematical
137 representation and procedural generation (Brown and Pasternack, 2019).

138 River variations at each of several scales can also be nested, like a bowl inside a
139 bowl inside a bowl. This constitutes multiple spatial scales of nested morphological
140 structure. The entirety of these nested spatial patterns is not only quantifiable, but
141 significant for controlling fluvial morphodynamics (Pasternack et al., 2018 a,b). Lane et
142 al. (2017) reported that for a large region of California, river morphology variability
143 metrics (such as the coefficient of variation of width and depth at baseflow and bankfull
144 discharges) distinguished channel types better than traditional central tendency river
145 attributes (e.g., reach-average values of width, depth, channel slope, width-to-depth
146 ratio, confinement, and dominant substrate size). Both geomorphic processes and
147 ecological functions are more strongly governed by the nested scales of spatial
148 variability in river corridor topography than by the central tendency of a river averaged
149 over scales (Frissell et al., 1986; Kieffer, 1989; Thoms, 2006; Sheldon and Thoms,
150 2006; Warfe et al., 2008). In turn, both geomorphic and ecological processes are vital to
151 maintaining multi-scalar morphological diversity (Gurnell, 1998; Hassan et al., 2008;
152 Wyrick and Pasternack, 2015).

153 Therefore, a key step in understanding rivers lies in not only quantifying the relations
154 among nested spatial series of any one variable but evaluating how series of different
155 variables relate to each other, as this sets the boundary conditions for the partial
156 differential equations that describe morphodynamics. This defines what we refer to as
157 the fluvial “topographic regime”. Returning to Figure 1, one may wonder how the

158 components of the baseflow corridor shown in panel (c) relate to those in the floodway
159 corridor shown in panel (e). Further, how do both of these width series relate to spatial
160 series of bed elevation, deposition/erosion patterns, large-bed elements, in-stream
161 wood, riparian vegetation, and other biota?

162 Traditionally, coherency spectral analysis could be used to analyze these relations
163 mathematically (Jenkins and Watts, 1968; Pasternack and Hinnov, 2003), but that
164 technique over-complicates the physical connection between mathematics and
165 geometry, which is critical for geomorphic understanding. The GCS approach provides
166 a means of resolving this dichotomy. Theory and methods about GCS have developed
167 over the last decade but are still emerging. This study uses GCS analysis to gain novel
168 insights about mountain rivers and the morphodynamics that control their landform
169 patterning compared to past approaches.

170

171 Geomorphic covariance structure background

172 Brown and Pasternack (2014) coined the term "geomorphic covariance structure" to
173 mean the linked bivariate pattern of any two river variables along a pathway. GCS is not
174 the same as the statistical covariance, which is a single number. Instead, GCS refers to
175 a different concept involving the complete bivariate spatial series from which a statistical
176 covariance could be computed if desired. The linkage can be a formal mathematical
177 operator such as the product or it can be rule sets, such as a decision tree. The key is
178 to use a link method that reveals underlying processes. A lecture series explaining and
179 applying this theory is available on YouTube (Pasternack, 2020b). Note that GCS
180 analysis is performed on topographic data, which is inherently a snapshot of the river at

181 a moment in time. It may be repeated for each available topographic survey to enable
182 comparisons and evaluate temporal dynamics explicitly.

183 Geomorphic covariance structures are critical to morphodynamics because they are
184 a significant part of the natural topographic regime that establishes the boundary
185 conditions that dictate how the partial differential equations that govern topographic
186 change dynamics apply to a particular setting. The GCS between detrended
187 standardized bed elevation (Z_s), where Z_s is a surrogate for depth, and standardized
188 width (W_s) characterizes along-channel changes in cross-sectional area and is the
189 basis for the hydro-morphodynamic mechanism of flow convergence routing
190 (MacWilliams et al., 2006; Pasternack et al., 2018a,b). The GCS between channel
191 centerline curvature and width is relevant for the hydro-morphodynamic mechanism of
192 meander migration via cutbank retreat and point bar growth (Ikeda et al., 1981). A GCS
193 between $W_s \cdot Z_s$ and various bed material grain size metrics could be indicative of
194 alluvial step morphodynamics (Curran, 2007) and riffle-pool bed sediment sorting (De
195 Almeida and Rodríguez, 2011). Many other GCSs can be envisioned, opening lines of
196 process-based scientific inquiry that emphasize the role of fluctuating topographic
197 structure.

198 Geomorphic covariance structures are not only useful for assessing nested
199 topographic patterning of real rivers but also for river designs that more closely mimic
200 natural landforms that drive a diversity of physical processes (Brown et al., 2014, 2015).
201 River Builder software (<https://github.com/RiverBuilder/RiverBuilder>) uses GCS theory
202 to enable mindful design of multi-scalar fluvial morphological diversity (Pasternack and
203 Zhang, 2020).

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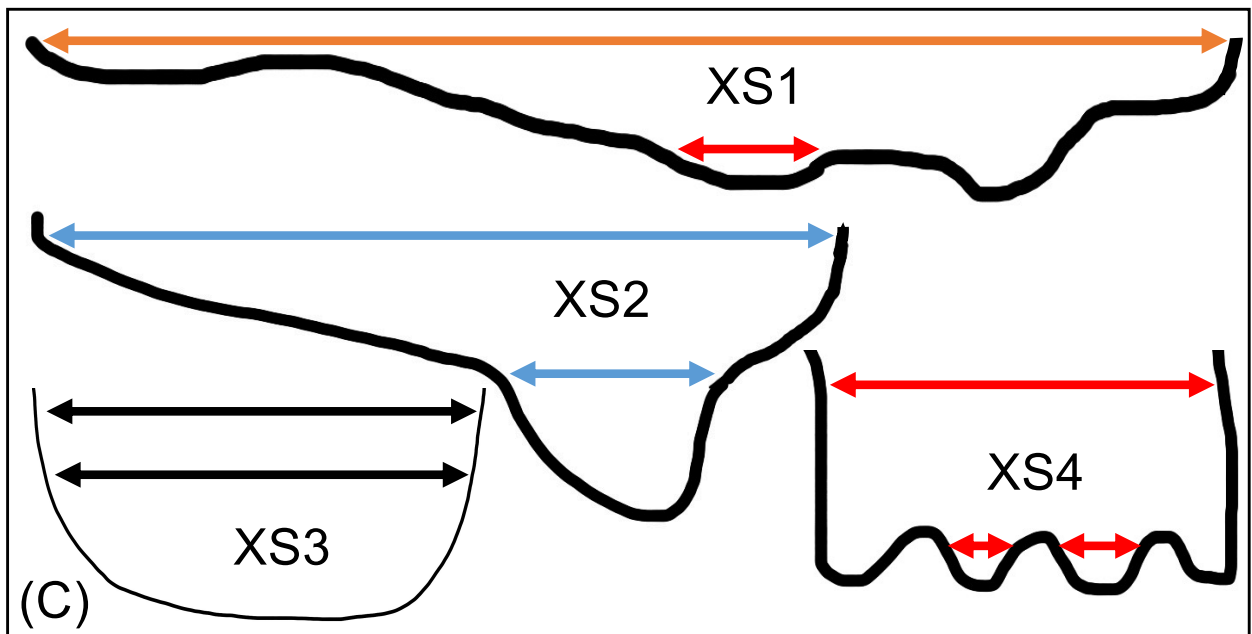
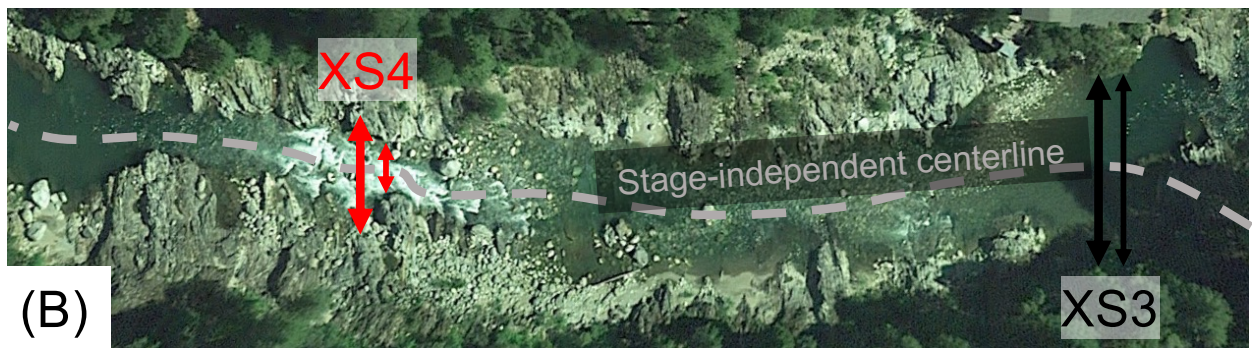
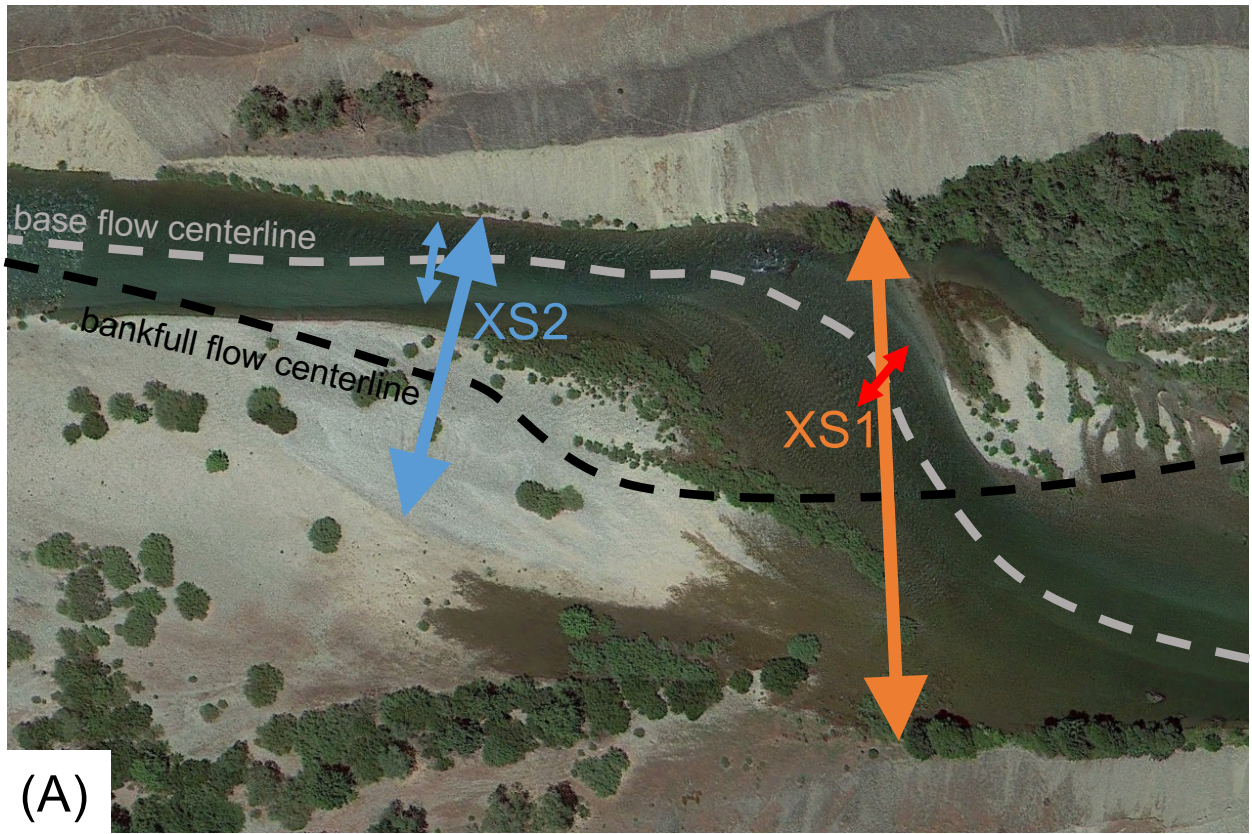
205 Flow convergence routing background

206 Building on GCS theory, Pasternack et al. (2018a) proposed a continuum-based,
207 scale-independent approach to classifying landforms with respect to a single
208 morphodynamic mechanism that can occur at many fluvial scales. The approach is
209 amenable to signal processing analyses that enable the same typology to be employed
210 over the same wide range of scales spanned by the mechanism itself. This capability
211 provides a unified descriptive framework for fluvial process-morphology linkages for any
212 one process. To make the concept substantive, the morphodynamic mechanism of flow
213 convergence routing (FCR) was chosen as the focus of intensive inquiry (see
214 Pasternack et al. (2018a) for background literature, classification scheme, and data
215 analysis methods), and this study continues that effort in a different setting addressing a
216 different scientific question.

217 In essence, FCR involves longitudinally varying spatial funneling of flow (i.e.,
218 'convergence') by nonuniform topography that is inundated to varying degrees by
219 different flow stages. Locations of most concentrated flow (i.e., geometric constrictions)
220 at any discharge have the highest potential to scour and route sediment through them
221 (Clifford, 1993; MacWilliams et al., 2006; Pasternack et al., 2018a). In contrast,
222 locations of least concentrated flow at any discharge (generally oversized cross-
223 sections) have flow divergence and the highest likelihood of sediment deposition at that
224 flow. Flow convergence relates to the hydraulic aspect of the mechanism and routing
225 relates to its sediment transport dynamics. The FCR morphodynamic phenomena is
226 well-documented in free-formed, low-to-moderate gradient ($\leq 1\%$ bed slope), gravel bed

227 rivers (Keller and Florsheim, 1993; Sawyer et al., 2010) as well as in forced-pool
228 channels (Thompson et al., 1999). However, documentation of FCR in canyon-confined
229 mountain rivers is generally lacking (Harrison and Keller, 2007).

230 The most important aspect of FCR is that this process is capable of yielding freely
231 self-maintaining (*sensu* Leopold, 1962) landform sequences if river topography has a
232 particular nested structure of alluvial sediment in which constrictions and expansions
233 shift spatially as a function of discharge (Figure 2), all other things being equal (e.g.,
234 sediment size, boundary roughness, and bed slope). Specifically, small cross-sections
235 (considering depth and width together) that are subject to high sediment transport
236 capacity at low flow (Figure 2a,c XS1 red arrow) must be nested within large cross-
237 sections that have low sediment transport capacity during overbank flows (Figure 2a,c
238 XS1 orange arrow) for FCR to yield freely self-maintaining landform sequences. These
239 locations may become armored during long durations of low flow, but are renewed by a
240 mixture of coarse sediment sizes during floods. Note that cross-section orientation
241 changes with discharge to remain perpendicular to the wetted area centerline.
242 Conversely, locations with large cross-sections at low flow must become small cross
243 sections (considering depth and width together relative to cross sections upstream and
244 downstream) at high flow (Figure 2a,c XS 2 blue arrows), so that any fine sediment
245 deposition under normal conditions is scoured out and pool dimensions maintained
246 during floods. This type of nesting with stage-dependent cross-sectional area
247 “reversals” driving freely self-maintaining landform sequencing is common in free-
248 forming alluvial rivers with riffle-pool morphology (MacWilliams et al., 2006).



249 The opposite nesting scenario that is not freely self-maintaining is bountiful in nature,
250 but it must be forced by virtually unmovable oversized coarse sediment, wood jams,
251 bedrock, or human-built structures to avoid losing topographic diversity. In this scenario,
252 some locations always have the lowest cross-sectional area and are thus always the
253 focus of scour (Figure 2b,c XS4 red arrows). Conversely, fixed locations with the largest
254 cross-sectional areas are always the focus of deposition (Figure 2b,c XS 3 black
255 arrows), yet rarely fill in due to low sediment supply. In alluvial rivers whose flood
256 regime is sufficient to move the bed material when discharge is sufficiently high, this
257 topographic regime cannot persist given adequate sediment supply, because small
258 cross-sections will scour and large cross-sections aggrade until all locations equilibrate
259 at roughly average dimensions. However, mountain ranges have extensive corridors
260 with low sediment supply and fixed forcing elements resistant to erosion that can
261 maintain this nesting structure (Montgomery et al., 1995). Note that it is possible that
262 apparently non-self-maintaining, forced landform sequences (when focusing on the
263 smaller nested scales in a corridor) could actually be freely self-maintaining if sufficiently
264 high flood discharge occurs and is capable of freely re-arranging forcing elements by
265 causing a cross-sectional area reversal per the mechanism described above. The
266 conjecture in the previous sentence is the topic of this study.

267 Prior to GCS analysis, FCR characterization required hydrodynamic modeling (e.g.,
268 Jackson et al., 2015; Strom et al., 2016) and extensive expert-based interpretation.
269 Numerical modeling is highly effective and more spatially precise but requires
270 substantial effort (especially when scaling up to long river networks). Modeling is also
271 far more difficult to automate than GCS analysis of a DEM, because it has many data

272 input and parameter selection requirements, not to mention an expectation of model
273 validation (Pasternack, 2011). GCS can take immediate advantage of the growing
274 availability of topo-bathymetric DEMs for rivers lacking extensive stage and discharge
275 gages, while numerical modeling cannot.

276 According to FCR theory, a diagnostic connection exists between detrended bed
277 elevation and wetted width at each flow stage that can be used to reasonably assess
278 FCR without numerical modeling. Specifically, all other things being equal, at
279 discharges with a sediment transport capacity sufficient to drive erosion and deposition
280 in response to nonuniform topography, FCR dictates that freely self-maintaining
281 landform sequences have cross sections with a positive correlation between Z_s and W_s
282 as well as a positive value for the product $Z_s \cdot W_s$ (Brown and Pasternack, 2014; Brown
283 et al., 2014, 2015; Pasternack et al., 2018a,b). The cited articles explain how these
284 GCS metric values indicate a sequence of wide riffles and constricted pools, whose
285 requirements for self-maintainability have been thoroughly researched for decades (see
286 literature review by MacWilliams et al., 2006). Conversely, a landform sequence with
287 non-self-maintaining FCR forced by immovable elements exhibits an inverse correlation
288 between Z_s and W_s as well as a negative value for the product $Z_s \cdot W_s$.

289 Building on this simple concept, Pasternack et al. (2018b) laid out a thorough,
290 transparent, standardized, analytical framework that guides geomorphologists in their
291 use of GCS methods to assess FCR in any river (Table 1). The framework addresses
292 four high-level study objectives, each having three to five specific, tractable scientific
293 questions (14 total) applicable to all rivers. To be clear, Table 1 is reproduced here as
294 background; the questions in Table 1 were all answered in this study as part of the

Table 1. Geomorphic covariance structure analysis framework applicable to any river.

Objectives (O#) and their questions	Test variables	Analysis
(O1) Analyze stage-dependent structure of fluvial topographic deviation from central tendency using longitudinal series of standardized width (Ws) and detrended, standardized bed elevation (Zs) for multiple flow stages.		
(1a) What percent of the river has topographic variations greater than 0.5 and one standard deviations away from the mean?	Abs(Zs), Abs(Ws)	percent of values > 1 or > 0.5
(1b) Is longitudinal topographic structure random?	series of Zs, Ws	Wald-Wolfowitz* runs tests
(1c) Are width and bed elevation series correlated, as one indicator of coherent organization?	series of Zs, Ws	Pearson's product-moment correlation for Ws and Zs
(O2) Analysis of presence of flow convergence routing using Ws·Zs spatial series for multiple flow stages.		
(2a) At what stage and discharge, if any, does the morphological structure abruptly change from negative to positive covariance?	series of Ws·Zs	mean(Ws·Zs); percent of values > 0
(2b) What stage and discharge ranges, if any, exhibit self-sustainable morphology consistent with a dominant role for flow convergence routing?	series of Ws·Zs	mean(Ws·Zs); percent of values > 0
(O3) Analyze relative abundance and longitudinal sequencing of landforms by reach and discharge.		
(3a) What is the relative abundance of each landform for the whole river for each flow?	series of landform IDs	count and compare
(3b) How do geomorphic reaches compare in landform composition?	series of landform IDs	count and compare
(3c) How does landform abundance change with flow?	series of landform IDs	count and compare
(3d) What is the longitudinal sequencing of landforms?	series of landform IDs	count times each unit followed another
(3e) How does longitudinal sequencing change with flow?	series of landform IDs	count times each unit followed another
(O4) What is the stage-dependent, nested structure of landforms classified by their flow convergence routing potential?		
(4a) What are top five most abundant nested permutations?	nested series of landform IDs	permutation abundance analysis
(4b) For each landform at the floodprone scale, what are the top three most abundance nested permutations?	nested series of landform IDs	permutation abundance analysis
(4c) For each bankfull scale landform, what are the top two most abundant nested permutations of base flow landforms?	nested series of landform IDs	permutation abundance analysis
(4d) For each landform at the bankfull scale, what are the top two most abundant floodprone landform hosts?	nested series of landform IDs	permutation abundance analysis

*Wald and Wolfowitz (1940)

295 steps of working through the GCS procedure, but are not the study purpose for this
296 article, in and of themselves. As explained in detail in the next section, this study asks a
297 specific question about a specific type of river by drawing on the results generated from
298 answering the 14 GCS scientific questions listed in Table 1 from prior research. This
299 study has its own additional experimental design (not Table 1) described in the
300 experimental design subsection of the methods section. Explaining the theory and basis
301 for the GCS framework is beyond the scope of this article and the interested reader is
302 referred to Pasternack et al. (2018a,b).

303

304 Study purpose

305 Prior approaches to studying mountain river morphodynamics rely on sediment
306 mobilization prediction with no capability to explicitly address landform self-organization.
307 Mountain rivers typically have a mixture of coarse sediment, including framework
308 boulders structurally supporting a landform (Zimmerman and Church, 2001; Curran,
309 2007). Consequently, there exist low discharges wherein bed material is predominantly
310 stationary. Traditionally, empirical equations reliant on overly simple hydraulics with
311 consequential, questionable assumptions are employed by geomorphologists to roughly
312 estimate the discharges required to move these framework boulders (e.g., Grant et al.,
313 1990; Zimmerman and Church, 2001). These flows are then often assumed to be the
314 ones initiating and controlling landform patterning and its re-organization. Alternately,
315 1D hydrodynamic modeling has been used to yield improved estimates accounting for
316 backwater effects in gradually varying flows (e.g., Baker and Pickup, 1987), assuming
317 cross-sections are available at all hydraulic controls and ignoring rapidly varying flows.

318 Today, 2D hydrodynamic modeling is used for mountain flood modeling and bed shear
319 stress estimation, and this tool is most effective where digital elevation models are
320 available (e.g., Pasternack and Senter, 2011).

321 However, all of these approaches rely on the same, classic assumption that
322 sediment entrainment (as indicated by estimated bed shear stress) drives landform re-
323 organization (e.g., Baker and Ritter, 1975), with no coherent geomorphic processes at
324 work (e.g., knickpoint migration, flow convergence routing, alluvial step formation, etc.).
325 Threshold discharges for entrainment identified by sediment transport methods are
326 assumed to be the ones initiating and controlling landform patterning and re-
327 organization without strong evidence to support this assumption. The relative roles in
328 landform re-organization of any discharges higher than those initiating sediment
329 transport cannot be investigated by this method, because there are no known linkages
330 between specific Shields stress thresholds and different stages or types of landform re-
331 organization for coarse-bedded mountain rivers. Meanwhile, important migratory
332 channel processes that re-organize mountain river landforms, such as knickpoint
333 migration, step dynamics (Curran, 2007) and sequentially triggered landform failure
334 processes (Pasternack et al., 2008) cannot be inferred by this method and yet play an
335 important role in mountain rivers. How then does one identify and account for such
336 processes?

337 This study goes beyond the questions in Table 1 by introducing a different scientific
338 application of GCS analysis that addresses the problem explained in the preceding
339 paragraph without calculating shear stress. Specifically, it employs GCS analysis and
340 the results from answering the questions in Table 1 as a diagnostic tool to ascertain the

341 flow stage, if any, at which mountain rivers switch from exhibiting forced hydraulics over
342 immovable terrain with little FCR morphodynamics to free hydraulics over adjustable
343 terrain with appreciable FCR morphodynamics. In this context, “free” means that the
344 river’s dynamism yields a self-organized interplay between topography-driven forced
345 hydraulics and hydraulics-driven topographic change.

346 The scientific hypothesis evaluated in this study is that fixed, non-self-maintaining
347 landforms at a smaller scale are nested inside freely self-maintaining landforms at a
348 larger scale. The underlying conceptualization of a stage-dependent morphodynamic
349 mechanism for mountain rivers remains the same as in past literature, but the target of
350 inquiry shifts from looking for the onset of sediment transport with increasing stage to
351 the onset of freely self-maintaining FCR landform structure within increasing stage.

352 Table 1 does not specify a question to find such a threshold, because it was not asked
353 in the prior research, but it does provide the data to answer the question and test the
354 hypothesis in the first sentence of this paragraph, further emphasizing that the
355 questions in Table 1 are not the study purpose.

356 Previous studies used GCS analysis to argue that gravel/cobble river landforms at a
357 spatial scale of 1-2 times bankfull stage had the most coherent longitudinal landform
358 sequencing consistent with FCR morphodynamic control (Brown and Pasternack, 2017;
359 Pasternack et al., 2018b). In those cases, however, rivers had freely self-maintaining
360 FCR landform sequences at all stages due to their smaller grain size, lower valley
361 positioning, and high-amplitude width undulations across nested spatial scales. This
362 study considers more mountainous environments to see if coarser confined rivers with
363 extensive bedrock outcropping and large boulders only moved by very large floods ever

364 reach a flow high enough to transition from non-self-maintaining to self-maintaining FCR
365 landform sequencing. If so, then this study provides a means of estimating the stage
366 and discharge at which this shift occurs. In this approach, it is not necessary to directly
367 observe, estimate, or predict sediment entrainment or initiation of geomorphic
368 processes. Instead, the structure of landform sequencing and nesting is queried for tell-
369 tale indicators of freely self-maintaining FCR landform organization.

370

371 **Study area**

372 Geographic setting

373 The Yuba catchment in California drains ~ 3480 km² of dry summer subtropical
374 mountains to the confluence with the Feather River (Figure 3). In the Sierra Mountains
375 the Yuba River has three major subbasins: North Yuba (1,271 km²), Middle Yuba (544
376 km²), and South Yuba (912 km²). Like many mountain regions, this one underwent
377 cumulative anthropogenic impacts, including hydraulic gold mining (Gilbert, 1917;
378 James, 2005), timber harvesting, land use, and flow regulation. While the Middle Yuba
379 River has a few small reservoirs, the North Yuba River has multi-purpose New Bullards
380 Bar Reservoir, California's 2nd tallest dam (5th tallest in the United States) and 13th
381 largest water storage capacity. This dam is a complete barrier to bedload transport and
382 has a very high trapping efficiency for suspended sediment, with the exception of some
383 fine-grained wash load.

384 The study segment includes the ~ 3.5 km reach of the North Yuba below New
385 Bullards Bar Dam and another ~ 9.7 km portion of the mainstem Yuba River from the

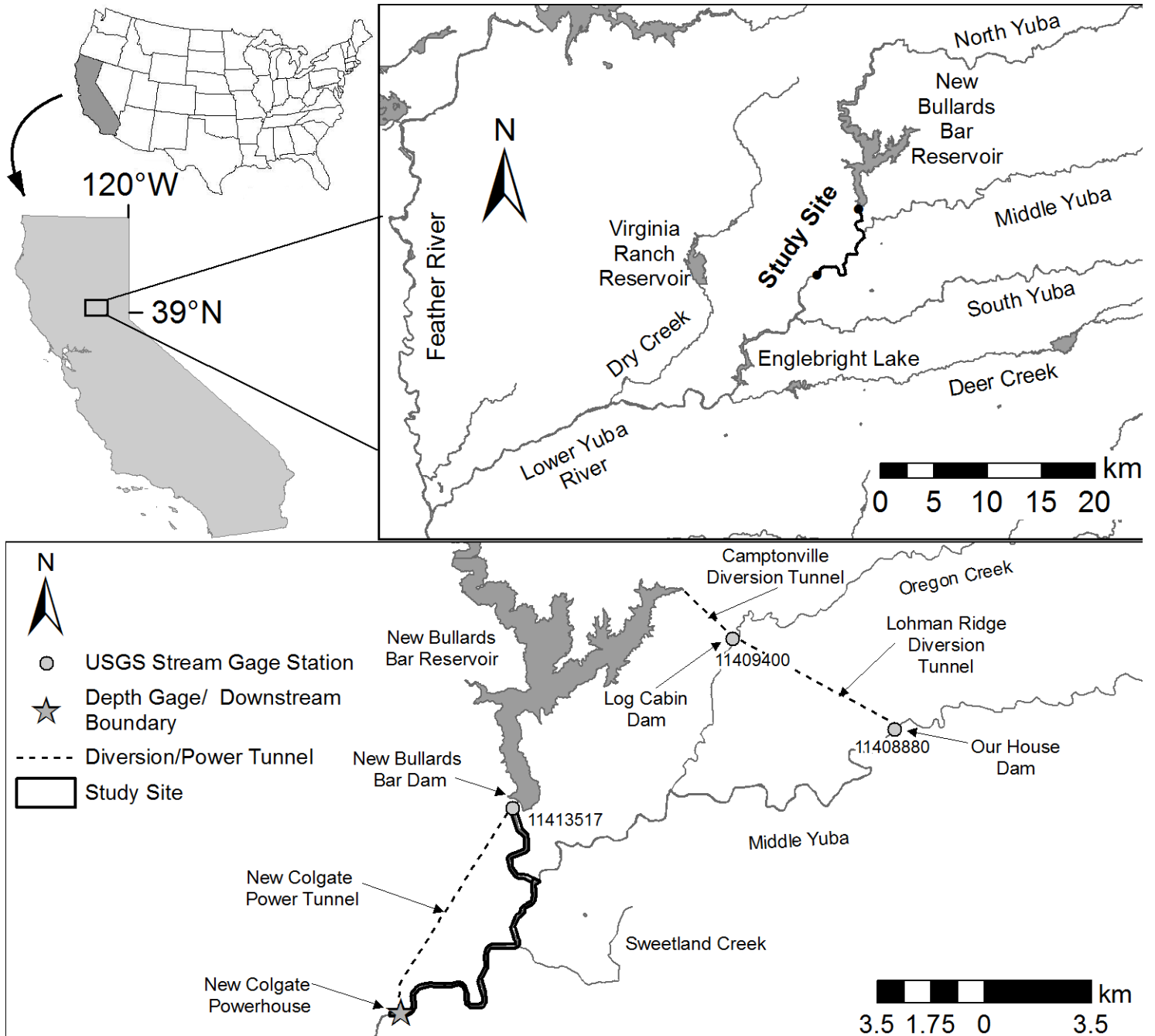


Figure 3

386 confluence of the North Yuba and Middle Yuba to just upstream of New Colgate
387 Powerhouse. The segment is a complex, low sinuosity, boulder-bedded, 5th-order
388 mountain river confined within a steep-walled bedrock and forested hillside canyon. The
389 overall mean bed slope is 2% varies locally with some sites exhibiting slopes >10%.
390 Based on limited sedimentological data (Curtis et al., 2005; James, 2005; YCWA, 2013)
391 bed substrates alternate between bedrock and alluvial sections with estimates of larger
392 boulders (>512mm) or bedrock covering ~ 65% of the study segment. Sediment storage
393 capacity within the study segment contrasts between sections, with bedrock sections
394 lacking large storage capacity and the limited alluvium present commonly being
395 restricted to deep pools or zones of low velocity or recirculating flow in the wake of large
396 boulders and bedrock outcrops (Curtis et al., 2005). Alluvial sections have sediment
397 storage capacity in the channel bed and along intermittent bars (Curtis et al., 2005;
398 James, 2005). Regardless of location, alluvial substrate present is a heterogeneous
399 mixture of materials dominated by coarse fractions (medium gravel/cobbles and larger
400 clasts). The presence of large boulders and the heterogeneity of sizes makes grain size
401 quantification difficult and labor intensive, if attempted at all.

402 The near continuous presence of the valley margin, defined as the contact between
403 the predominantly alluvial valley floor and bedrock hillslope (sometimes with a thin soil
404 mantle), along both banks results in a bedrock confined valley setting (*sensu* Fryirs et
405 al., 2016). The high degree of confinement strongly influences the ability for lateral
406 channel migration, often dictating the character and behavior of a river as well as the
407 suite of geomorphic landforms present (Brierley and Fryirs, 2005; Wheaton et al., 2015).
408 Similar to other bedrock-confined rivers, the study site lacks a contiguous floodplain and

409 includes only localized floodplain pockets at major tributary junctions, meander bends,
410 or other areas of local valley widening (Fryirs et al., 2016).

411

412 Hydrologic Setting

413 Detailed Yuba catchment hydrologic information is readily available (YCWA, 2012;
414 Wiener and Pasternack, 2016a). Presently, water resources in the vicinity of the study
415 segment are heavily regulated for flood protection, power generation, and water
416 management. Flows into the study segment are the combined input of releases from
417 New Bullards Bar dam and Middle Yuba flows as well as flow accretion from
418 groundwater and overland runoff. Flow records below the dam are available from United
419 States Geological Survey gaging stations 11413517 and 11413520. Based on data from
420 these stations for the period August 1966 – February 2016 (18,097 days) the median
421 and 90th percentile mean daily releases below the dam are 0.18 and 0.37 m³/s,
422 respectively. Occasional large storms require larger releases. Over this period mean
423 daily flow was recorded as exceeding the capacity of the dam's low flow release (35.40
424 m³/s) on 713 occasions. Regardless of these large events most of the discharge and
425 sediment input to the study segment is supplied by the Middle Yuba River.

426 The Middle Yuba River has a complex system of small dams and diversions for
427 water resources management. The two downstream channels that supply the study
428 segment are the Middle Yuba River below Our House Dam and Oregon Creek below
429 Log Cabin Dam. Their flow records (stations 11408880 and 11409400, respectively)
430 show that the combined median and 90th percentile mean daily flows for the period

431 October 1968 – February 2016 are 1.30 and 3.52 m³/s, respectively. The peak
432 discharge for the study segment estimated over the period of record was 2161 m³/s.

433

434 **Methods**

435 Experimental design

436 Does a mountain river exhibit a threshold shift in landform structure from fixed non-
437 self-maintaining landforms at low stage to freely self-maintaining landforms at high
438 stage? This specific, new scientific question was answered herein with a transparent
439 experimental design consisting of eight tests extracted from and building on the overall
440 GCS framework (Table 2). Data came from 2944 cross-sections spaced equally (4.572
441 m, 15 ft) along the 13.2-km Yuba River study segment. The first two columns of Table 2
442 list a specific GCS question from Table 1 and the values of the GCS metrics required to
443 corroborate the hypothesis explicitly stated in the study purpose section of this article.
444 The third and fourth columns of Table 2 present study results and conclusions,
445 respectively, so the entire experimental design and outcome is accessible in a single
446 table. Table 2 is different from Table 1 not only in that it uses a subset of Table 1
447 questions and results, but also in that it compares and contrasts GCS metrics for low
448 versus high discharges to seek a possible threshold change. Prior research that
449 developed and applied Table 1 never did that.

450 In general, Ws versus Zs correlations and Ws·Zs metrics indicate the capacity for
451 freely self-maintaining landform sequences with a connection between the magnitude of
452 these metrics and the dominance of FCR as a driving mechanism. Landform

Table 2. Hypothesis testing outcome indicators and results.

Table 1 ID	Values required to corroborate hypothesis*		threshold Z _d **	corroboration?
	low stage	high stage		
1c	negative correlation	positive correlation	4.6-7	Y
2a	negative mean W _s ·Z _s	positive mean W _s ·Z _s	4.6-7	Y
2a	< 50% XS have W _s ·Z _s > 0	> 50% XS have W _s ·Z _s > 0	4.6-7	Y
3c	more O than CP	more CP than O	2-4.6	Y
3c	more NZ than WB	more WB than NZ	9-13	Y
3d	O-NZ sequences	CP-WB sequences		N
landform nesting expectation				
4c	baseflow WB and NZ nested within bankfull WB		n/a	mostly
4c	baseflow O and CP nested within bankfull CP		n/a	mostly

*XS means cross-section, O=oversized, CP=constricted pool, NC=normal channel, WB=wide bar, NZ=nozzle. Geometric shape delineation method presented later in the text.

453 sequencing and nesting metrics reflect the local-scale topographic regime in terms of
454 pairing of adjacent or nested landforms and indicate the degree to which the landforms
455 might be a manifestation of functional FCR at different scales.

456 Six tests have test-metric requirements at both low and high stages for the
457 hypothesis to be corroborated or rejected. For these tests a yes or no outcome exists as
458 to whether a threshold is present or not. If no threshold is present, then two scenarios
459 could be involved: either landform sequences are freely self-maintaining at all stages or
460 none are, or at least not in the range of discharges investigated.

461 The last two tests involve examination of landform nesting, seeking a specific
462 nesting structure (Table 2). While an expected nesting structure for freely self-
463 maintaining landform organization exists (see FCR background presented above), no
464 known percent threshold exists for how many nesting cross-sections along a river
465 corridor must meet this expectation. Other geomorphic processes operate concurrently
466 with FCR and could drive alternative landform structure. Therefore, these two tests are
467 assessed for the relative abundance of the expected nesting structure but are not
468 interpreted strictly as would be required to corroborate the hypothesis. A better
469 understanding of nesting metrics will emerge when more rivers are investigated with this
470 framework.

471 Corroboration of the hypothesis as a whole does not require the same threshold
472 stage value for all metrics, because different reaches and local landform sequences
473 may have different FCR morphodynamics. Some tests might corroborate the hypothesis
474 and some might refute it, which would suggest a complex assemblage of processes
475 governing the river instead of a dominance of FCR morphodynamics. Instead of trying

476 to force an arbitrary quantitative criterion for overall corroboration, test results are
477 transparently presented and discussed.

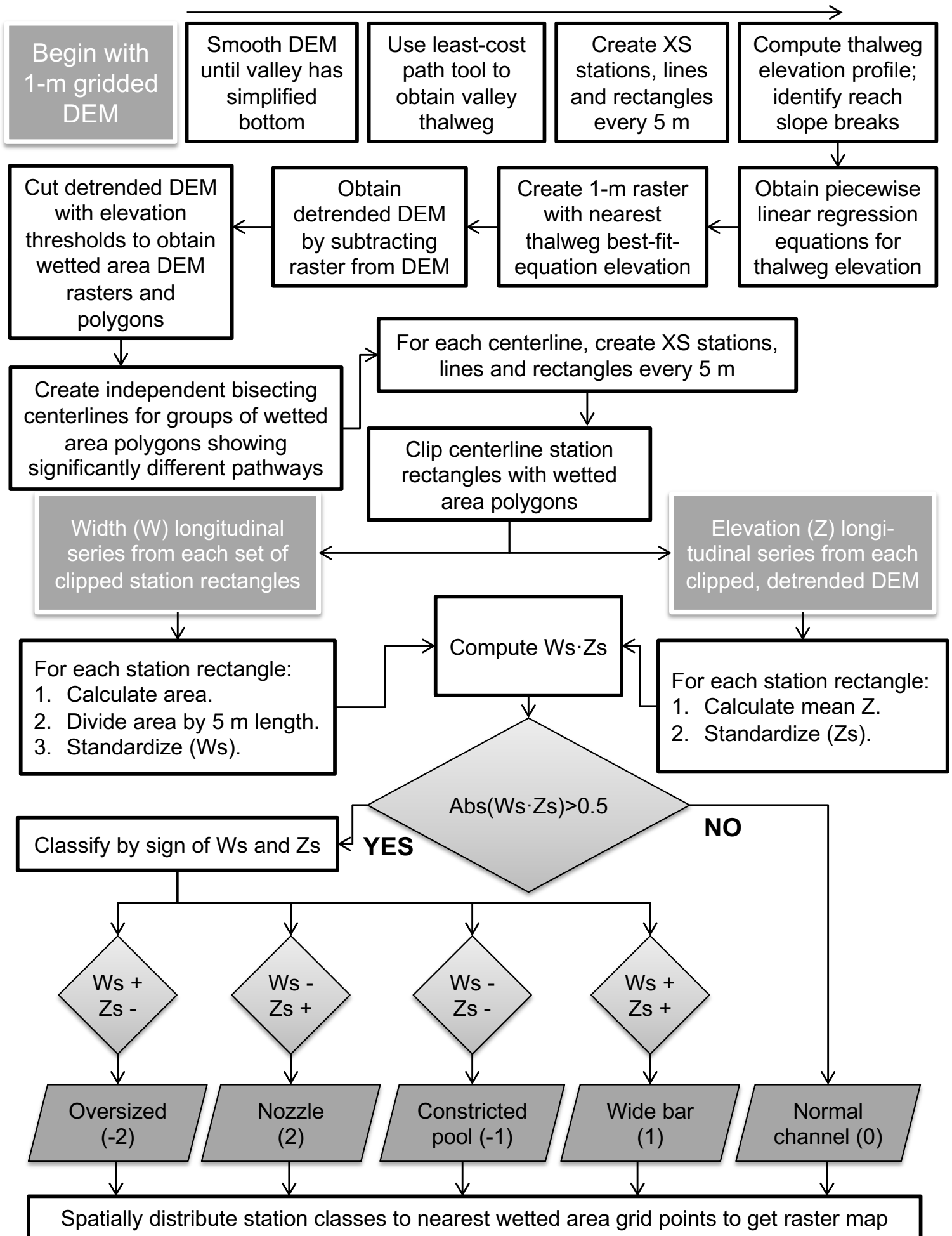
478

479 Data collection and processing

480 This study focused on evaluating four stage-dependent spatial series (Z_s , W_s , the
481 product $W_s \cdot Z_s$, and landform identification codes) at seven stages. To obtain these
482 spatial series, this study introduced a procedure for characterizing and interpreting river
483 morphology with nothing but a meter-scale DEM (Figure 4).

484 A DEM of the study segment was produced from four data inputs collected during a
485 drought-enhanced base flow in autumn 2014: near-infrared airborne LiDAR, green
486 airborne LiDAR, kayak-based single-beam echo-sounding with real-time kinematic
487 GPS, and color aerial imagery. The last was used with a locally derived depth-versus-
488 color calibration equation to map remote pools deeper than green LiDAR could
489 penetrate and inaccessible for echo-sounding (Legleiter et al., 2004, 2009). A point-
490 cloud-processing procedure was developed and applied that effectively retained
491 extensive natural bedrock and boulder topographic variability. Wiener and Pasternack
492 (2016b) provide details about this procedure and depth-versus-color calibration
493 approaches/limitations to resolving deep pools. The final point cloud with ~ 70 million
494 points (13.9 pts/m²) was converted to a 1-m gridded DEM, as sub-meter horizontal
495 variability was not relevant for this study.

496 Pasternack et al. (2018a) introduced a procedure for stage-dependent Z_s and W_s
497 GCS analysis. The procedure not only evaluates longitudinal topographic structure but
498 employs a decision tree to produce a scale-independent landform classification



499 indicative of FCR morphodynamics applied to each scale. The five landforms are nozzle
500 (NZ), wide bar (WB), normal channel (NC), constricted pool (CP), and oversized (O).
501 That procedure made limited use of 2D hydrodynamic modeling to obtain wetted area
502 polygons (aka inundation zones) and the unique inundation centerline for each
503 discharge, though it mentioned the possibility of obtaining such polygons with no
504 modeling.

505 This study presents a procedure applicable to all rivers to achieve the envisioned
506 hydraulic-model-free analysis (Figure 4), which saves time and reduces input data
507 needs, though at the cost of some reduction in accuracy. The first part of the procedure
508 (i.e., first two rows of Figure 4) is the same as outlined by Pasternack et al. (2018a),
509 which is to obtain a detrended river corridor DEM. Next, the detrended DEM is now
510 conceptually inundated with water using horizontal planes of incrementally higher
511 detrended elevation to obtain wetted area polygons delineating where a horizontal plane
512 intersects the detrended DEM.

513 In this study, wetted area polygons for seven discharges were made by specifying a
514 detrended elevation (Z_d) value as a water surface elevation (referred to as a " Z_d stage")
515 and subtracting the detrended DEM from a raster containing the specified Z_d stage
516 value in every cell. Negative values are deleted from the resulting raster as they
517 represent dry areas. Remaining positive values represent depths. The positive-value
518 raster is converted into a single wetted area polygon used to clip rectangles stationed 5
519 m (in this case) along either a centerline bisecting a wetted area polygon or the least-
520 cost path (i.e., thalweg) down the river to obtain a series of wetted area rectangles (aka
521 stations) for each Z_d stage investigated. Wyrick and Pasternack (2014) introduced and

522 explained the cross-section rectangle analysis method. Because this study investigated
523 a confined mountain river (Figure 2b), wetted area polygon centerlines did not vary
524 enough as a function of stage to warrant using a separate centerline for each stage, so
525 the procedure was simplified to use a single centerline for all stages. For partially
526 confined and unconfined river corridors, there tends to be discrete ranges of discharges
527 (e.g., below bankfull, above bankfull but below floodway filling, higher than floodway
528 filling, etc.) over which a single centerline may be used, reducing the need to make a
529 centerline for every flow analyzed. When in doubt, use a unique centerline for each
530 discharge.

531 The one drawback with this approach to obtaining a wetted area polygon compared
532 to a 2D hydrodynamic model simulation is that it does not account for momentum
533 effects, such as natural backwatering upstream of shallow topographic highs. The
534 consequence is that for low discharges (i.e., low Z_d stages) it will cut off those
535 topographic highs and exclude them from the wetted area polygons. This does not
536 occur for flows approaching bankfull and higher, but it does have an impact on base
537 flows. Specifically, where topographic highs are cut off by the water plane, there are no
538 bed elevations or widths available to study, which yields data gaps. This study did
539 analyze two baseflows, but the gaps represent a tiny fraction of the river segment's
540 length.

541

542 Inundation zones

543 No *a priori* set of key Z_d stages has been settled on for use in GCS analysis. As
544 GCS becomes further coded as an algorithm in Python, Z_d stages could be analyzed for

545 fine increments, enabling careful evaluation of spatial autocorrelation and thresholds.
546 Even then, it is likely that differences in GCS metrics as a function of Zd stage can be
547 captured with just a few stages (Pasternack et al., 2018a), possibly a representative
548 baseflow stage, a bankfull stage (if such a stage is clearly identifiable and scientifically
549 appropriate for a given reach), and a floodway filling stage that might match the
550 definition of the "two times bankfull depth" used in computing a river's entrenchment
551 ratio (Rosgen, 1996). For studies concerned with more extreme floods, a few higher
552 flood stages capable of moving boulders in a confined mountain river would be worth
553 including.

554 In this study, an expert visual assessment of the detrended DEM was made to
555 identify longitudinally persistent slope breaks indicative of geomorphically carved
556 elevation thresholds that were interpreted to describe different geomorphically relevant
557 inundation zones. Seven different Zd stages were chosen to represent a summer base
558 flow stage, a previously estimated bankfull stage from YCWA (2013), the stage just
559 inundating active gravel bars and approaching the toe of more established bank
560 vegetation (often considered field indicators of bankfull stage), the alluvial bar-to-canyon
561 wall slope break, and three higher flood stages at different slope breaks up the canyon
562 walls. For landform nesting analysis, only three key Zd stages were evaluated, as
563 detailed in the next section.

564 Because they were not needed for this study, the exact discharge values for these
565 seven Zs water surface elevation values were not investigated thoroughly, but rough
566 flow estimates were made to help interpret results. A limited number of 2D
567 hydrodynamic models were run up to a flow of 343.6 m³/s on an exploratory basis, with

568 some validation of baseflow depths and velocities (details beyond the scope of this
569 article). Comparison between Zs and 2D model wetted area polygons suggested the
570 best matching discharge. For flows $> 343.6 \text{ m}^3/\text{s}$, a second-order polynomial was fit
571 through the data points established for the flow range covered by the 2D model and
572 extrapolated to the higher Zd stages. For each estimated discharge, a flood frequency
573 recurrence interval was estimated using United States Geological Survey PeakFQ
574 software (Veilleux et al., 2014). The important point is that the selected Zs range
575 includes floods strong enough to mobilize boulders, destabilize step units, and/or break
576 up armor layers (Grant et al., 1990; Lenzi et al., 2006; Molnar et al., 2010). For
577 example, the largest Zd stage of 17.6 m corresponds to a flow with a 35.9-year
578 recurrence interval, which should yield significant morphodynamics based on videos
579 and field observations of smaller Yuba River floods. Whether such flows would be
580 capable of yielding substantially different landform structure was not known *a priori*.

581 Upon analysis, wetted area polygons for seven Zd stage values (Figure 5) and their
582 corresponding discharges and recurrence intervals (Table 3) captured geomorphically
583 significant conditions. The Zd stage of 1 m represented baseflow, as it was the lowest
584 stage available and its associated discharge is in the base flow range. A Zd stage value
585 of 2 m is very close to the YCWA (2013) estimated bankfull discharge ($10.8 \text{ m}^3/\text{s}$).
586 Notably the wetted area polygon for that Zd stage does not inundate the active gravel
587 bar at the confluence with the Middle Yuba River, so it seems low compared to
588 academic bankfull channel delineation expectations. The next higher Zd stage of 4.6 m
589 does achieve that geomorphically significant outcome, and might be a better estimate of
590 bankfull discharge, though it is not important to this study whether it strictly meets that

Table 3. Estimated discharge and flood recurrence interval values for each Zd stage.

Zd (m)	Discharge (m ³ /s)	Recurrence interval
1.0	2.7	1
2.0	10.8	1.06
4.6	161	2.4
7.0	350	3.5
9.0	574	6.4
13.0	1171	16.4
17.6	2109	35.9

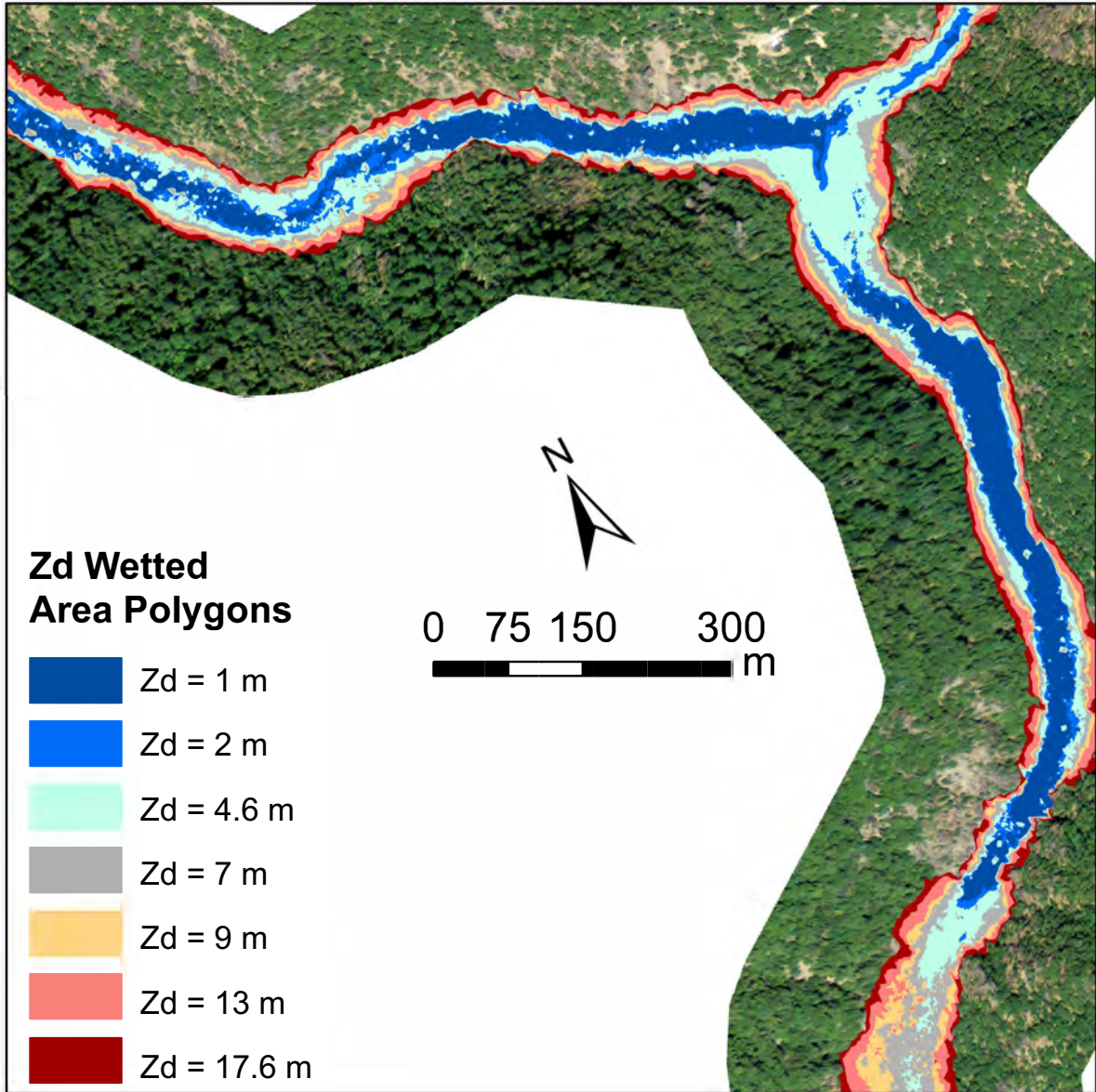


Figure 5

591 definition or not. The stage of 4.6 m was also the Zd stage that initiated many stage-
592 dependent transitions in GCS metrics in this study.

593 By definition (Rosgen, 1996), the floodprone area is the river corridor inundated by a
594 floodprone water stage that yields a riffle thalweg depth that is double reach-average
595 bankfull riffle thalweg depth (assuming riffle-pool channel morphology is present). In
596 GCS analysis using bed elevation detrending, there is no assumption of a riffle-pool or
597 other channel morphology, and thus no pre-delineation of riffles as such to guide
598 determination of a Zd stage strictly following the Rosgen (1996) floodprone stage
599 definition. Instead of referencing to the shallowest landform, Zd stage values are
600 referenced to lateral and longitudinal mean bed elevation. Therefore, a simple,
601 analogous definition of floodprone stage involves doubling the geomorphically identified
602 Zd stage that inundates the active gravel bar. Doubling 4.6 yields 9.2, a value close to
603 the Zd stage of 9.0 m that had been selected independently of bankfull and floodprone
604 flow considerations on the basis of visible lateral slope breaks evident upon inspection
605 of the detrended DEM, so a value of 9.0 was used to represent floodprone flooding.

606

607 Data analysis

608 Data analysis methods to obtain GCS metrics (Table 1) were explained in
609 Pasternack et al. (2018a) to characterize individual variable longitudinal variations, the
610 joint variation of W_s and Z_s using the $W_s \cdot Z_s$ product function, FCR landform
611 classification, and the sequencing and nesting patterns of FCR landforms. Analyses for
612 objectives 1-3 in Table 1 were implemented for all seven Zd stages, while those for
613 objective four only used three key Zd stages. All analyses were done using ArcGIS®

614 10.3 for geospatial processing and Microsoft Excel® for statistical analysis. Tests for
615 deviations of standardized values from “normal” (i.e., average) used a threshold value of
616 1 as a very strict criterion. Once all results were in hand from the methods in Table 1,
617 then the tests specific to this study that are listed in Table 2 were conducted. This
618 involved comparing low and high stage results among seven Zd stages using Microsoft
619 Excel®.

620 The downstream sequencing of landforms was analyzed to ascertain whether nozzle
621 and oversized units alternate at low stage, while wide bar and constricted pool units
622 alternate at high stage per the ideal sequencing conceptualization for freely self-
623 maintaining FCR morphodynamics (Table 2, test 3d). Across all flows, all units must
624 predominantly transition to normal channel because any time there is a zero-crossing
625 for Zs·Ws, the presence of normal channel is implied by definition. Excluding normal
626 channel from further consideration, the expectation of random organization would be an
627 equal 33% chance of a landform type transitioning to any of the other 3 landform types.
628 To be considered significant for this study, a high threshold of plus or minus 10% was
629 set, meaning that the transition (e.g., nozzle-to-oversized transition) had to occur for >
630 43% of transition instances or < 23% of transition instances. The proportion of all
631 transitions (as percent occurrences) were tabulated and then visually represented in
632 three ways- a simplified schematic that quickly contrasts results with hypothesis across
633 all stages, color-coded longitudinal profiles of landform types for each stage, and
634 Sankey diagrams for three key Zd stages.

635 Hierarchical landform nesting (objective 4 in Table 1) was investigated using three
636 out of the seven available Zd stages conceptually representing base flow, the stage just

637 inundating active gravel bars and approaching the toe of more established bank
638 vegetation (often considered field indicators of bankfull stage), and floodprone-area flow
639 for the complexity and permutation reasoning discussed in Pasternack et al., (2018a).
640 With three Zd stages and five landforms, there are 125 available nesting permutations
641 to evaluate how FCR is functioning.

642 The problem of widely different landform abundances in comparative analysis is
643 usually addressed by normalizing variables with a metric of the relative abundance of
644 each landform (e.g., Wyrick and Pasternack, 2014). For example, if a river has few
645 nozzles, then the rarity of features associated with nozzles is likely just a reflection of
646 nozzle rarity. However, normalization is not possible for permutation analysis of
647 landform nesting. Instead, nesting question 4c from Table 1 was posed to ask
648 specifically what each bankfull landform type was preferentially nested in and what
649 landform type was preferentially nested within it? The top two permutations were tallied
650 out of the five possible in each case.

651

652 **Results**

653 **Bed and width variability and covariance**

654 Analyses in this section characterize the stage-dependent structure of fluvial
655 topographic deviation from central tendency. Overall, the study segment had about a
656 quarter of its stations with extremely high and low Zs values, and this increased slightly
657 with Zd stage (Table 4a). The lowest stage had the most Ws variability and the highest

Table 4. Topographic variability and GCS metrics.

Metric	Zd stage						
	1	2	4.6	7	9	13	17.6
(A) Topographic variability metrics							
% Abs(Zs)>1	23	26	26	26	26	27	27
% Abs(Ws)>1	30	29	23	20	21	19	16
r*	-0.62	-0.50	-0.16	0.06	0.10	0.13	0.18
(B) Geomorphic covariance metrics**							
Mean Zs·Ws	-0.62	-0.50	-0.16	0.06	0.10	0.13	0.18
% Zs·Ws > 0	30	34	47	52	55	53	55

*Pearson's product-moment correlation (r) values for Ws and Zs. Blue and red shading indicate the highest and lowest values in each column. Grey shading indicates negative r-values that are not the lowest.

**Dark shading indicates values below hypothesized detrended elevation (Zd) threshold.

658 stage the lowest W_s variability (Figure 6). W_s variability dropped abruptly when Z_d
659 stage increased from 2 to 4.6.

660 The study segment had significant Z_s and W_s variability, but the question remained
661 as to whether the sequencing of variability was random. The expectation is that fluvial
662 landforms are identifiable because topography is not randomly ordered, but testing this
663 idea is important. Wald-Wolfowitz runs tests indicated that all segment and reach Z_s
664 and W_s longitudinal series were nonrandom above the 99.99% confidence level.

665 The final test of topographic variability involved ascertaining whether width and bed
666 elevation series are correlated (Table 4). This is the first key test of the study
667 hypothesis. The lowest three stages had negative correlations that were increasingly
668 negative at lower stages. The four highest stages had positive correlations, with
669 correlation strength increasing with stage.

670 Geomorphic covariance metrics yielded results consistent with those obtained by
671 examining each variable alone. Mean $Z_s \cdot W_s$ values were relatively small, but they
672 monotonically increased with stage and switched from negative to positive between Z_d
673 stages of 4.6 and 7 m (Table 4). This is also the stage transition at which the proportion
674 of stations with $Z_s \cdot W_s > 0$ exceeded 50%. The segment-scale peak of these two
675 metrics occurred at 17.6 and 9 m, respectively.

676

677 Landform abundance

678 Landform abundance analysis found that topography is simpler and more organized
679 than expected for a confined mountain river (Table 5). For the two lowest Z_d stages
680 analyzed, 62 and 65 % of stations were classified as "normal channel" based on their

Table 5. Analysis of landform composition of river as a function of flow. Light grey indicates higher abundance of each type of deep landform. Dark grey indicates higher abundance of each type of shallow landform.

Zd	% of XS locations				
	O	CP	NC	WB	NZ
1	12	1.4	62	3.3	21
2	11	2.5	65	3.8	18
4.6	5.4	8	67	7	13
7	3.7	9.6	71	6.2	10
9	4.6	10	70	7.3	7.5
13	5.4	11	70	7.1	6.0
17.6	5.3	11	71	7.1	5.7

*O=oversized,
 CP=constricted pool,
 NC=normal channel,
 WB=wide bar, NZ=nozzle.

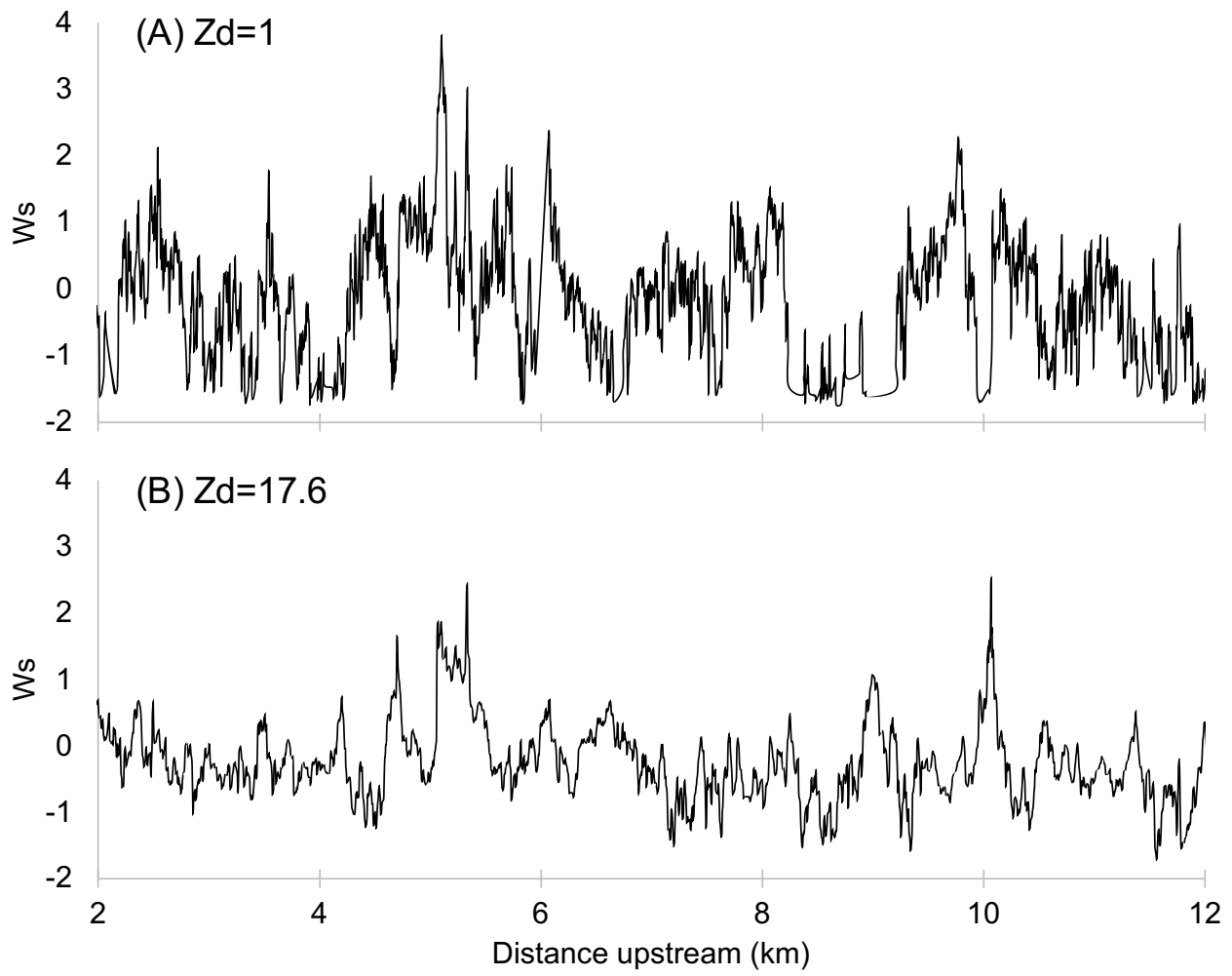


Figure 6

681 Zs·Ws occurring within the range of -0.5 to 0.5. The majority of the river's cross-
682 sectional geometry did not deviate strongly from average conditions. As Zd stage
683 increased, the percent normal channel increased and leveled off at 70-71%.

684 Among landforms representing variable topography, nozzle had the highest
685 abundance at the lowest Zd stage, followed by oversized (Table 5). Their percentages
686 generally declined with increasing Zd stage but not at the same rate. Wide bar and
687 constricted pool had extremely low abundances at low Zd stage, and these values
688 increased with Zd stage, also not at the same rate. Wide bar never exceeded an
689 abundance of 7.3% of the river segment. Constricted pool reached a maximum
690 abundance of just 11%. Overall, these two metrics both showed a threshold change
691 consistent with the study hypothesis (i.e., abundance of CP>O and WB>NZ), but the Zd
692 stage of the thresholds are different from each other and different from that found in the
693 previous three metrics (Table 2).

694

695 Landform sequencing

696 When considering the percent occurrences of transitions > 43% or < 23%, the study
697 found no investigated Zd stage at which the river showed a dominance of specifically
698 nozzle-to-oversized sequencing at low flow and wide bar-to-constricted pool sequencing
699 at high flow (Table 6). Constricted pool was rarely followed by wide bar, though that
700 transition did occur more frequently at higher flows. Instead, constricted pool was
701 predominantly followed by nozzle. In turn, nozzle was most commonly followed by
702 constricted pool, though secondarily it was followed by wide bar. Finally, oversized

Table 6. Longitudinal sequencing of landforms, excluding normal channel units. Shading indicates values > 10% above random expectation.

Starting unit	% of times unit				Starting unit	% of times unit			
	O	CP	WB	NZ		O	CP	WB	NZ
(A) Zd = 1 m					(E) Zd = 9 m				
O		40	30	30	O		50	50	0
CP	44		6	50	CP	18		29	53
WB	53	13		33	WB	41	35		24
NZ	30	30	40		NZ	8	46	46	
(B) Zd = 2 m					(F) Zd = 13 m				
O		39	44	17	O		29	71	0
CP	33		5	62	CP	15		25	60
WB	62	8		31	WB	57	19		24
NZ	20	65	15		NZ	0	71	29	
(C) Zd = 4.6 m					(G) Zd = 17.6 m				
O		69	31	0	O		21	79	0
CP	26		19	56	CP	4		23	73
WB	40	33		27	WB	69	27		4
NZ	5	63	32		NZ	5	75	20	
(D) Zd = 7 m									
O		56	44	0					
CP	16		21	63					
WB	33	39		28					
NZ	6	41	53						

703 preferentially transitioned to constricted pool at low Zd stage and to wide bar at high Zd
704 stage.

705 To visualize landform sequencing in a simplified schematic for both hypothesis and
706 observed data among all stages, Figure 7 compares them using a box for each
707 landform type and a directed arrow leaving each box that indicates what that landform
708 transitions to downstream. When two landforms alternate sequentially downstream,
709 then the arrow must be bidirectional, as they transition to each other. Thick versus thin
710 arrows in Figure 7b differentiate quantitative results such that transitions with high
711 percent occurrences reveal primary sequencing (thick arrows) and those present but
712 with low percent occurrences reveal secondary sequencing (thin arrows). Figure 7b
713 integrates results across all stages as a first, simplified evaluation. Table 2 calls out a
714 predominance in O-NZ sequencing for low stages and WB-CP sequencing for high
715 stages. That is specifically tested on a stage-basis in subsequent results. Even though
716 O and NZ ought to be rare at high stages (and conversely WB and CP rare at low
717 stages), they should still occur. In such instances, their pairing is assumed as a null
718 hypothesis. Hence, the first test evaluates the status of results across all stages. The
719 schematic clearly and simply differentiates the hypothesis from the observational
720 outcome. In fact, the two pairings were not found to predominate across all stages,
721 necessitating a stage-based inquiry next.

722 While the simple schematic addresses the test of this study's scientific hypothesis,
723 other visual representations of landform sequencing help geomorphologists understand
724 how landforms are longitudinally organized as a function of stage. Longitudinal profiles
725 of Zs·Ws colored by landform type show the predominance of nozzle and oversized at

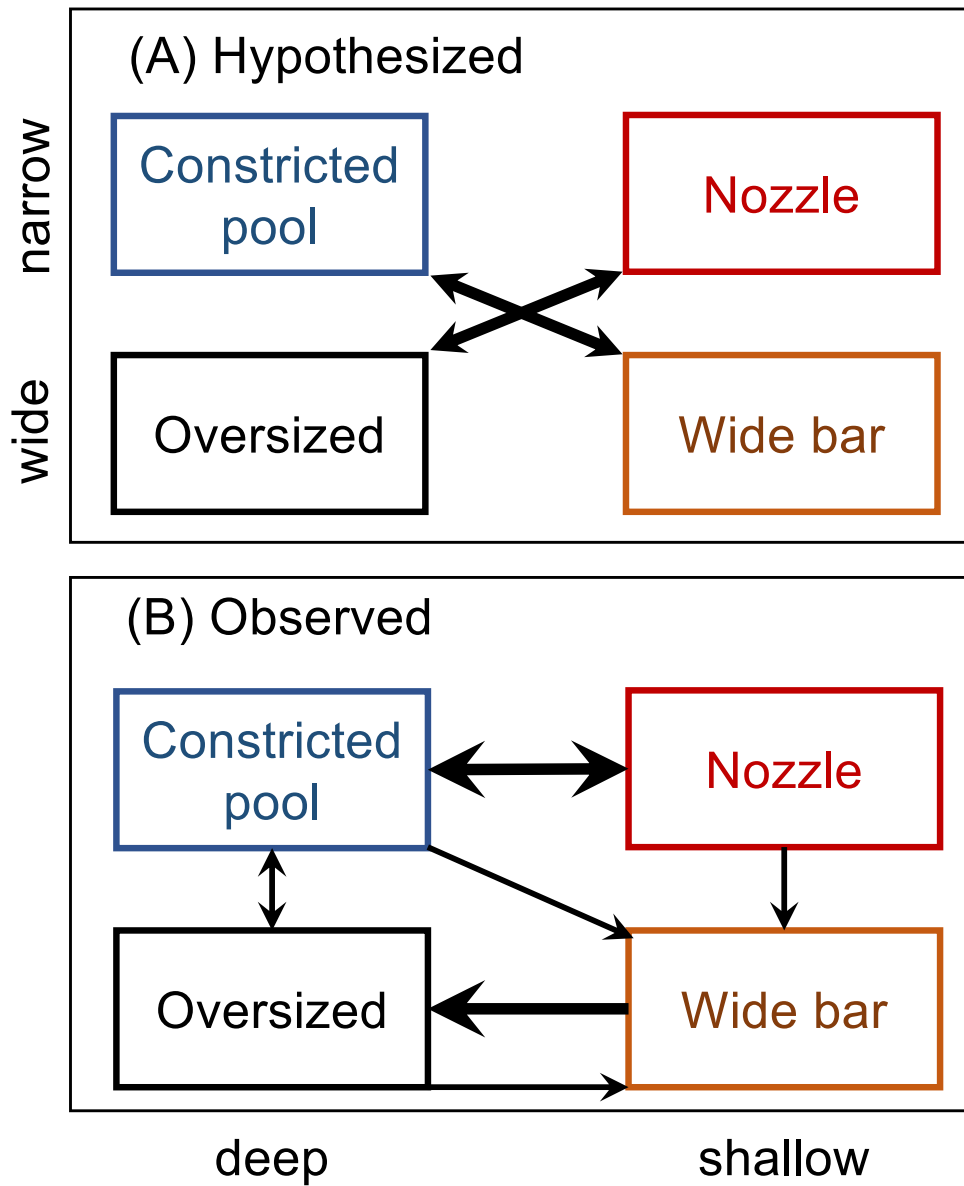


Figure 7

726 the two lowest Zd stages (Figure 8) as well as the increased role of wide bar and
727 constricted pool at high Zd stages (Figure 9). Visually, these plots capture many of the
728 hypothesis test metrics and appear to corroborate the study hypothesis as a whole,
729 even though the sequencing test failed to corroborate the hypothesis quantitatively. For
730 example, Figure 8a visually shows a scattering of constricted pool and wide bar units in
731 what is otherwise a river segment dominated by nozzle and oversized units. Perhaps
732 there is just enough of the former units to spoil quantitative transition statistics.
733 However, a visual comparison of all landform profiles (Figures 8-9) going from lowest to
734 highest stage provides a strong impression of the switch from nozzle-oversized
735 dominance to wide bar-constricted pool dominance, which is also indicative of landform
736 nesting, because each stage's landforms occur within the next higher stage's landforms.

737 The third representation of landform sequencing is provided by Sankey diagrams to
738 evaluate differences among base, bankfull, and flood stages (Figure 10). For each
739 landform, on the left, the relative thickness of the connections with the landforms on the
740 right indicates relative abundance of that transition. As stage increases, more
741 constricted pools transition to wide bars (and the same for the converse), matching the
742 hypothesis, but that is not the primary connection. Further, only at base flow do
743 oversized units transition to nozzle. Nozzle transitions to oversized for all three stages,
744 but those transitions are abundant only at base flow. Again, these results match the
745 study hypothesis, but numerically come out secondary to other sequencing.

746

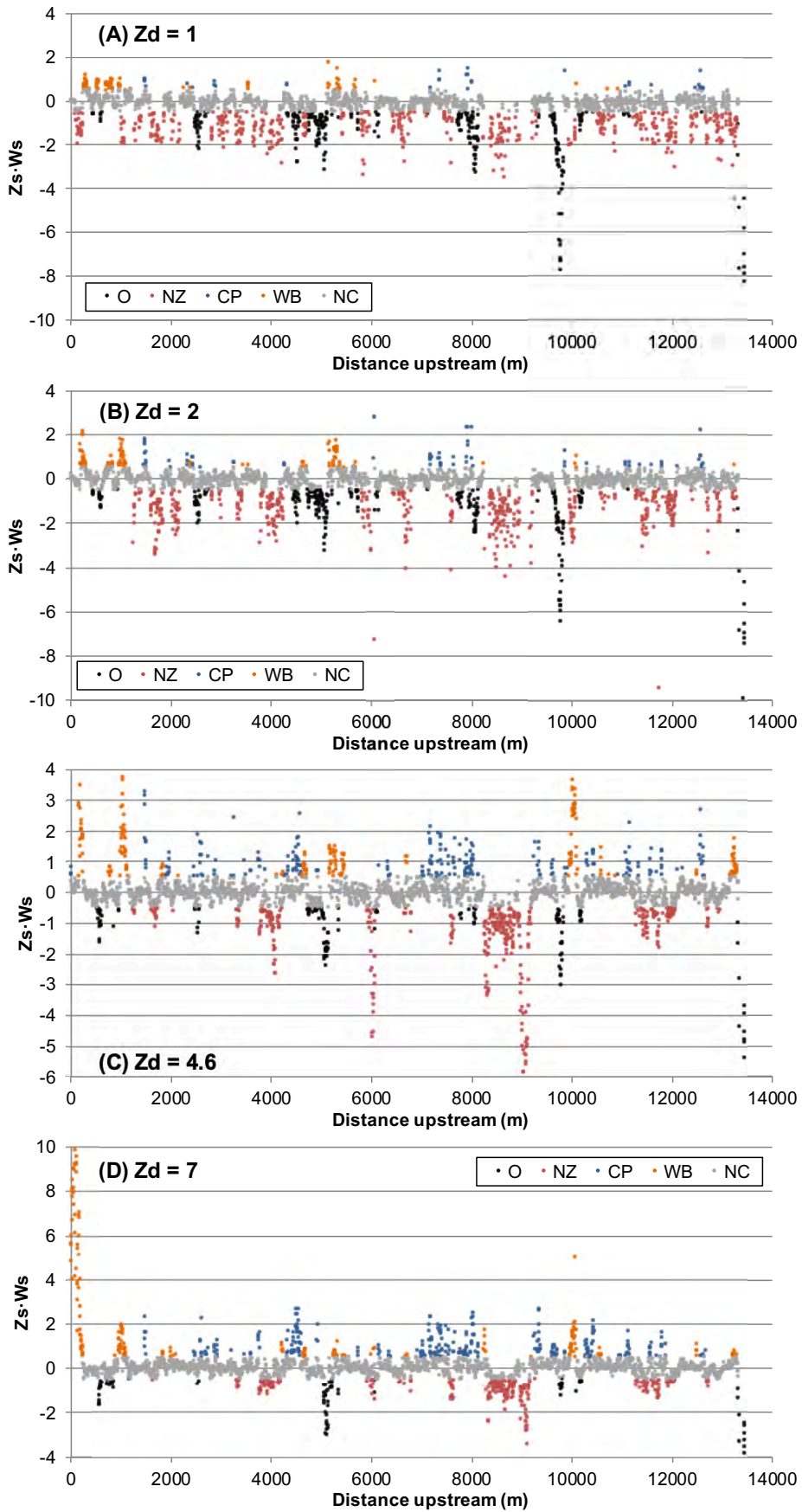


Figure 8

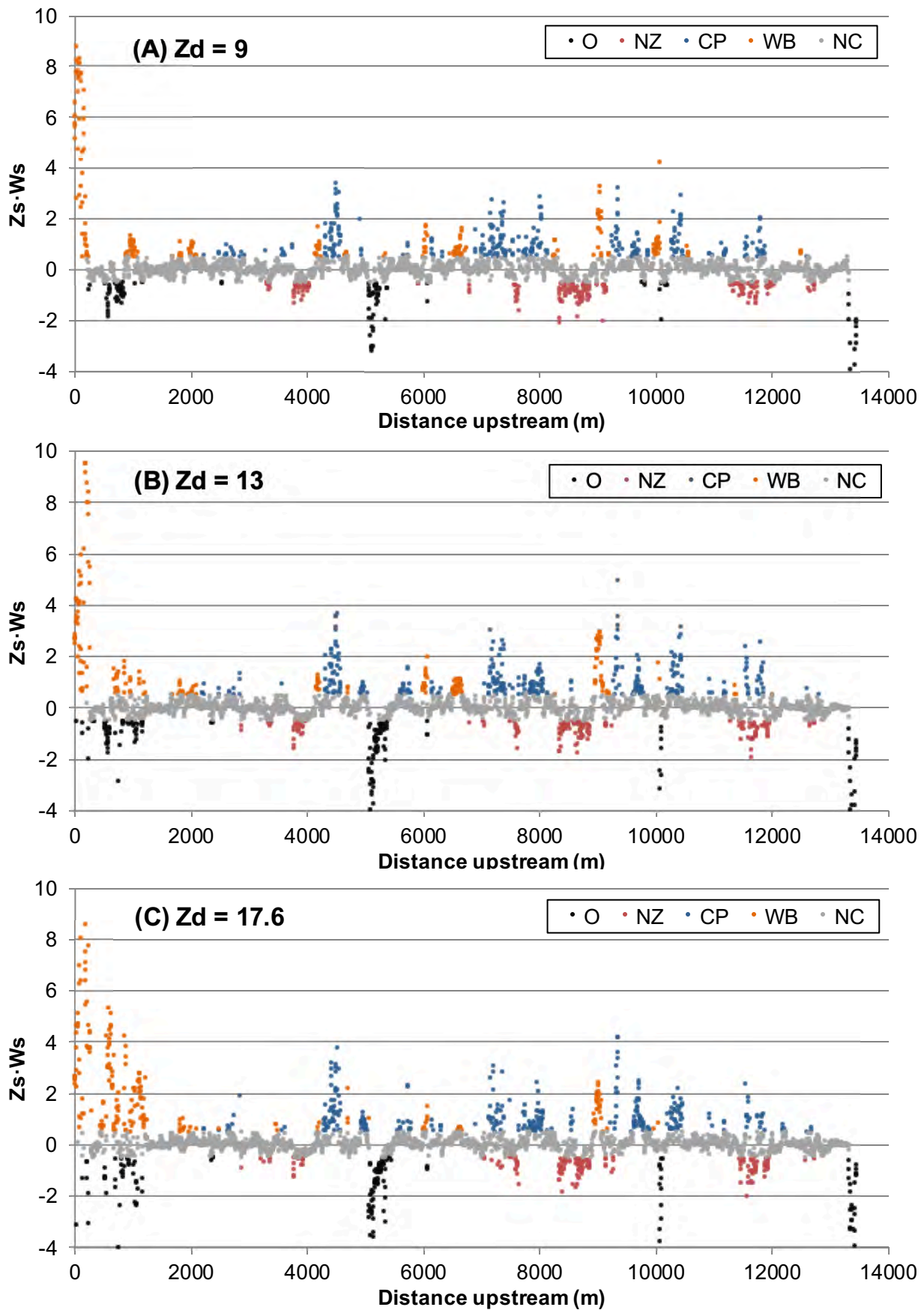


Figure 9

747 Landform nesting

748 Of 125 possible permutations of landform nesting, 51 permutations had at least 1
749 occurrence, while 74 did not occur. Four examples are illustrated in Figure 11. The most
750 common permutation by far was the strictly defined normal channel across all flows,
751 which occurred for 39% of stations. The second most common occurrence (11%) was a
752 baseflow nozzle nested in normal bankfull and floodprone channels. The third most
753 common (5.4%) was nozzle at all flows (Figure 10a). Nozzle-nozzle-nozzle nesting was
754 the top permutation with topographic nonuniformity across all flows. Two nesting
755 patterns are tied in fourth place (4.0%); they are nozzle at baseflow and bankfull flow
756 nested in normal channel at floodprone flow and normal channel at baseflow and
757 bankfull flow nested within constricted pool at floodprone flow.

758 The next step of the landform nesting analysis evaluated the top three permutations
759 of bankfull and baseflow landforms nested in each of the floodprone landform types
760 (Table 7). Nozzle, normal channel, and oversized had the nesting of persistently
761 identical landform types (e.g., nozzle within nozzle within nozzle) as the top nesting
762 permutation at the floodprone scale. The second most abundant permutation for nozzle
763 and normal channel again had the same type at the bankfull stage as at the floodprone
764 stage, indicative of their persistence with stage in many locations. For its top
765 permutation, floodprone wide bar had bankfull wide bar nested within it, and
766 interestingly baseflow nozzle was nested within that. Figure 11c shows a similar case
767 with nozzle in nozzle in wide bar, driven by large boulders dividing flow into separate
768 chutes and limiting bankfull width.

Table 7. Top three permutations of hierarchical nesting of flow convergence routing landforms within the five floodprone landform types.

Zd = 9	Zd = 4.6	Zd = 1	Count	% of river
(A) Nested within floodprone nozzle				
NZ	NZ	NZ	160	5.4
NZ	NZ	NC	33	1.1
NZ	NC	NC	18	0.6
(B) Nested within floodprone wide bar				
WB	WB	NZ	52	1.8
WB	NC	NC	48	1.6
WB	NC	NZ	44	1.5
(C) Nested within floodprone normal channel				
NC	NC	NC	1161	39
NC	NC	NZ	338	11
NC	NZ	NZ	119	4.0
(D) Nested within floodprone constricted pool				
CP	NC	NC	118	4.0
CP	CP	NC	80	2.7
CP	NC	O	56	1.9
(E) Nested within floodprone oversized				
O	O	O	59	2.0
O	NC	WB	21	0.7
O	NC	NC	20	0.7

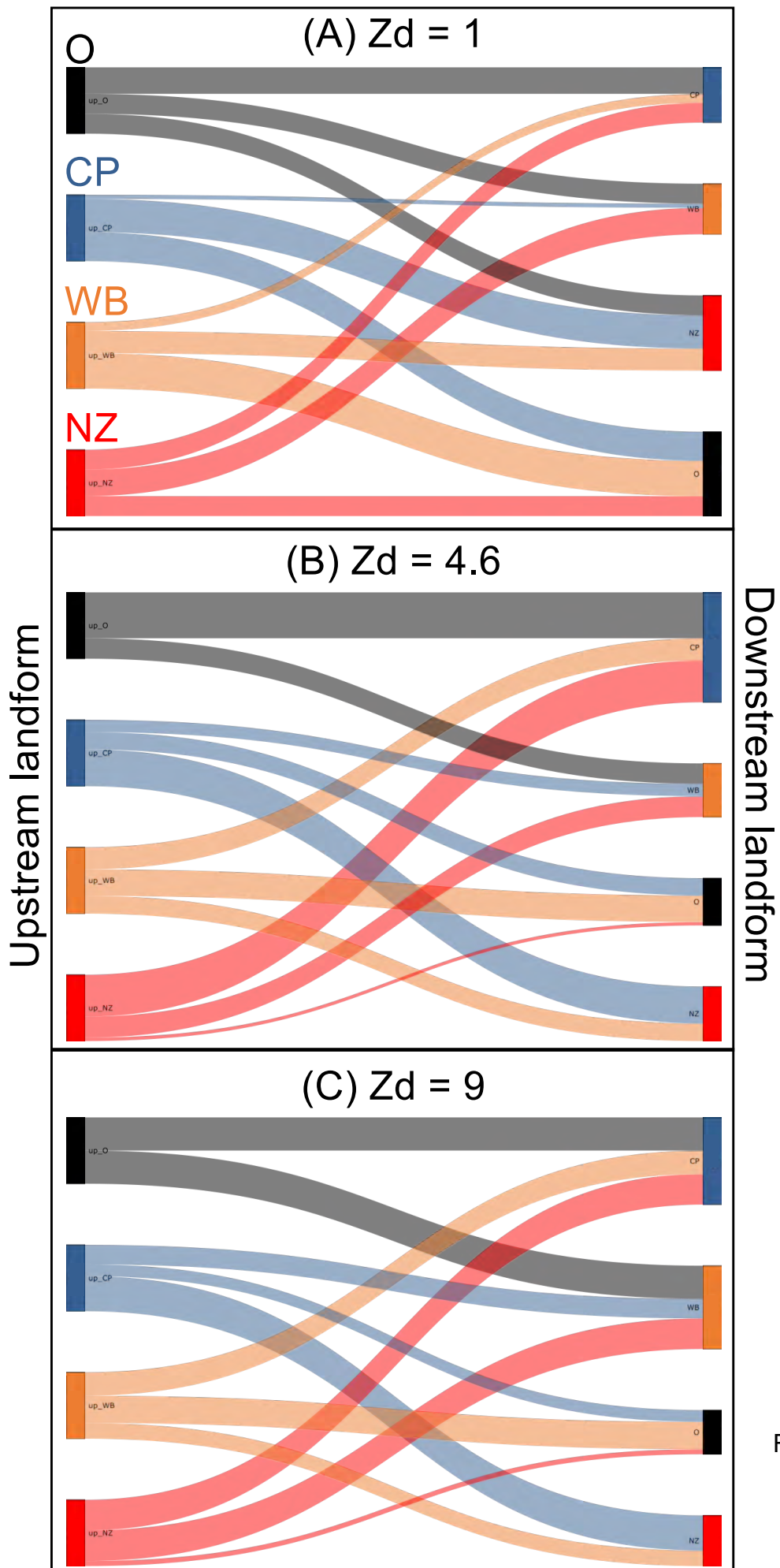


Figure 10

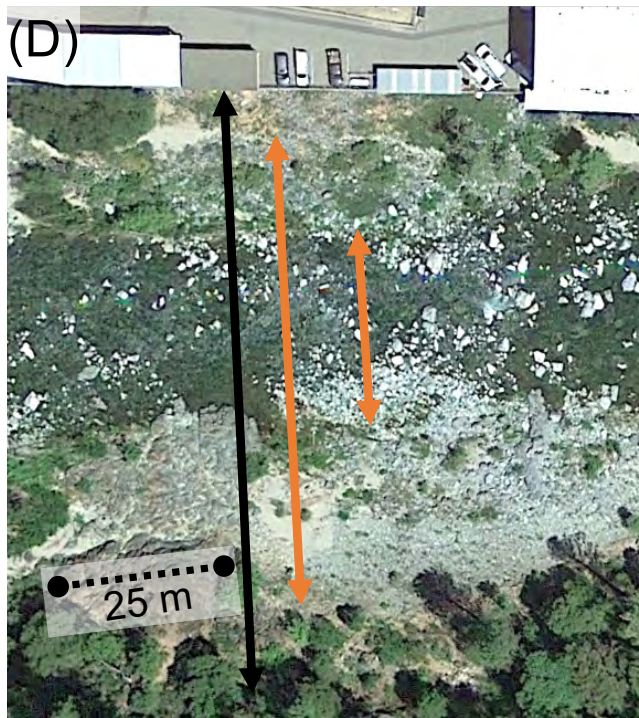
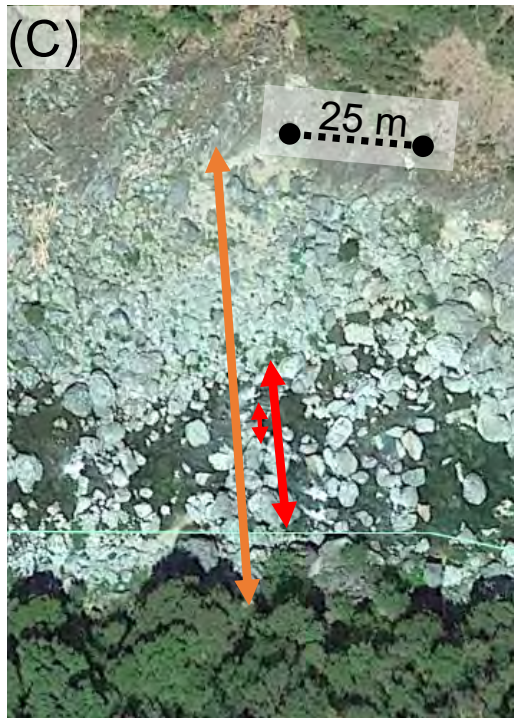
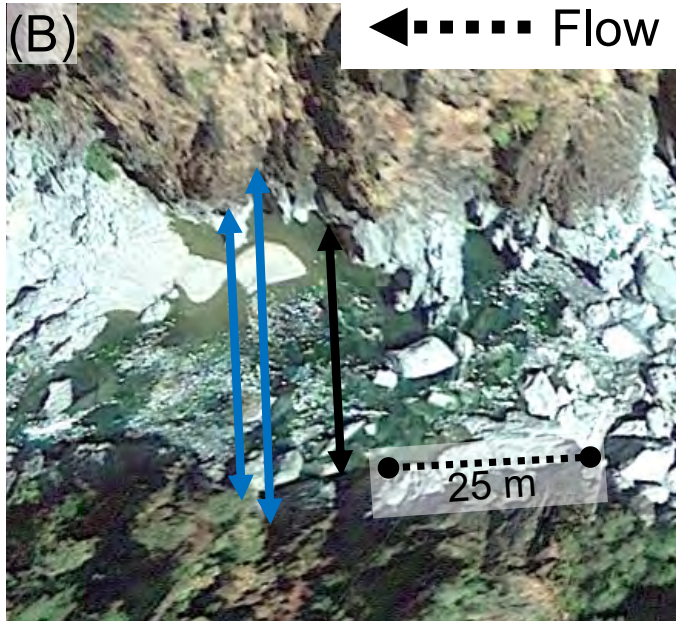
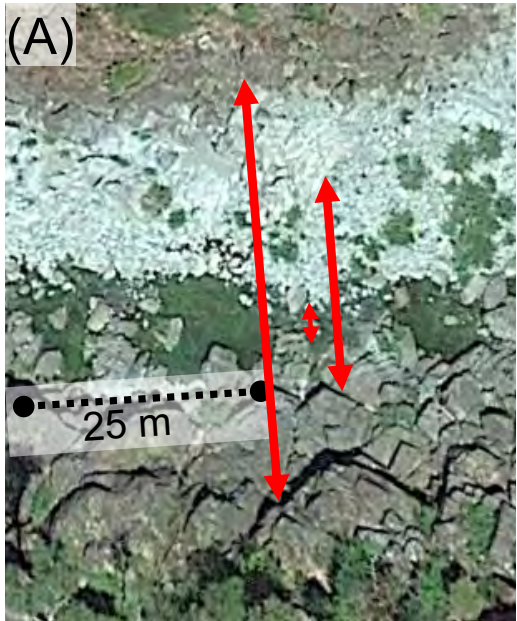


Figure 11

769 Because classic cross-sectional area and velocity reversal theory anticipates a two-
770 stage FCR mechanism, the expectation follows that wide bar and nozzle landforms
771 acting as riffles at base flow should be nested within wide bar bankfull landforms (e.g.
772 Figure 11d). In fact, nozzle nested within wide bar was the top permutation but wide bar
773 nested within wide bar was only ranked third after normal channel within wide bar.
774 Further, oversized and constricted pool baseflow landforms should be nested within
775 constricted pool bankfull landforms (e.g., Figure 11b). This time, normal channel nested
776 within constricted pool was the top permutation and oversized in constricted pool ranked
777 second. Thus, hypothesis expectations were mostly met but it is difficult to interpret the
778 higher presence of normal channel than expected. Meanwhile bankfull nozzle and
779 oversized tended to have their own type nested within them preferentially, followed by
780 having normal channel nested within them (Table 8).

781 The final hierarchical nesting analysis assessed what floodprone landform type each
782 bankfull landform type was nested within. The study hypothesis has no specific
783 expectation for this analysis. Again, the top two permutations were tallied (Table 8).
784 Nozzle, normal channel, and constricted pool bankfull landforms were preferentially
785 nested within themselves at the floodprone scale. The rest were nested within normal
786 channel.
787

Table 8. Top two permutations of hierarchical nesting of bankfull landforms, either within (A-E) or beyond (F-J) them.

Test 1: within bankfull landform				Test 2: what each bankfull landform is nested within			
Zd = 4.6	Zd = 1	Count	% of river	Zd = 9	Zd = 4.6	Count	% of river
(A) within bankfull nozzle				(F) hosting bankfull nozzle			
NZ	NZ	293	10	NZ	NZ	193	6.6
NZ	NC	81	2.8	NC	NZ	152	5.2
(B) within bankfull wide bar				(G) hosting bankfull wide bar			
WB	NZ	109	3.7	NC	WB	111	3.8
WB	NC	78	2.7	WB	WB	69	2.3
(C) within bankfull normal channel				(H) hosting bankfull normal channel			
NC	NC	1365	46	NC	NC	1623	55.1
NC	NZ	392	13	CP	NC	182	6.2
(D) within bankfull constricted pool				(I) hosting bankfull constricted pool			
CP	NC	163	5.5	CP	CP	117	4.0
CP	O	47	1.6	NC	CP	108	3.7
(E) within bankfull oversized				(J) hosting bankfull oversized			
O	O	132	4.5	NC	O	80	2.7
O	NC	19	0.6	O	O	76	2.6

788 **Discussion**

789 Threshold stage found?

790 Mountain rivers require significantly higher discharges at longer recurrence intervals
791 than lowland rivers for maintenance of landform sequences (Grant et al., 1990). This
792 observation is ascribed to the presence of macro-roughness features, such as coarse
793 sediment and large woody materials that extract energy from the flow and are only
794 mobilized or destabilized at these high discharges, as well as exposed bedrock surfaces
795 that are resistant to erosion (Bathurst, 1978). This study presents a different way of
796 thinking about and querying the controls on stage-dependent morphodynamics, bringing
797 the topographic regime into the foreground.

798 Whether or not a river has a bankfull discharge and whether such a flow controls
799 anything are not the relevant questions within the GCS framework. Nor is it relevant to
800 understanding landform structure to ask what discharge is associated with incipient
801 entrainment of bed sediment. Instead, the approach begins with a single
802 morphodynamic mechanism and tests whether or not the observed spatial pattern of
803 landforms is consistent with a dominant role of that mechanism.

804 This study posed a specific question about the range of discharges for which a
805 mountain river's landform assemblage is freely self-maintaining. It stated a specific
806 hypothesis as to how the question would be answered, given a specific morphodynamic
807 mechanism. Eight specific metrics from GCS analysis were used to test aspects of the
808 hypothesis (Table 2). Five of the six metrics specifically designed to test for the
809 presence of a threshold change in mountain river topography as a function of spatial

810 scale did find a threshold and the directionality of change was as expected. The three
811 broadest metrics indicated the threshold occurs between a Zd stage of 4.6 and 7 m. Of
812 these, the two Ws·Zs metrics further indicate that landform organization continues to re-
813 organize toward a more freely self-maintaining structure up to a Zd stage of 9. Above
814 that stage results remain stable. The landform abundance metric focusing on
815 topographic troughs found the threshold change from wide (O) to narrow (CP)
816 landforms to occur at a lower Zd stage between 2 and 4.6 m. The metric focusing on
817 topographic ridges found the threshold change from narrow (NZ) to wide (WB)
818 landforms at a much higher Zd stage between 9 and 13 m. Inevitably there are nuances
819 between metrics given that rivers typically experience multiple processes concurrently
820 and the topographic regime varies by reach.

821 To a large degree (but not entirely), study results corroborate the hypothesis that
822 there exists a threshold stage in topographic structure consistent with FCR
823 morphodynamics, thereby affirmatively answering the study question. Flow
824 convergence routing seems to not act alone in the confined Yuba River, but this
825 mechanism has definitely left its signature. More studies are needed across diverse
826 confined mountain rivers to ascertain how broadly study conclusions apply and to better
827 understand landform sequencing and nesting.

828 Nevertheless, GCS analysis can be used to detect a threshold change in wholesale
829 landform organization in a mountain river in relation to an important morphodynamic
830 mechanism playing a role in shaping that organization. Further, GCS analysis shows
831 that as a valley fills with water, the topographic regime (and its control on hydro-
832 morphodynamics) is not static but dynamic due to the multiple scales of topographic

833 variability present. Only at discharges above the diagnostic threshold is the landscape
834 structured in a way where depth and width undulations are in sync. The magnitude of
835 this threshold is expected to vary with channel type.

836 Ultimately, the main point is that a person looking at a confined mountain river may
837 be drawn to charismatic large bed elements in the baseflow domain and wonder about
838 their importance. Instead, this study suggests that what is remarkable about mountain
839 rivers is that above a threshold stage a whole new terrain comes into focus, and with it a
840 completely different set of associated fluvial dynamics. This is nested on top of the
841 baseflow structure. Understanding the threshold and nesting between these regimes
842 should be an important goal of fluvial geomorphology in the 21st century.

843

844 Reduced role of bankfull discharge

845 Study results have implications for the concept of bankfull discharge applied to
846 mountain rivers, because the transition to freely self-maintaining landform organization
847 is never as low as the Zd stage of 2 m estimated as bankfull stage by YCWA (2013). It
848 may be that a bankfull channel dimension exists, either identified by the statistical
849 definition of bankfull flow or geometric indicators of flow just filling a U-shaped channel
850 up to a lateral slope break. It is commonly recommended that bankfull discharge in
851 mountain rivers be estimated using a range of recurring discharges based on several
852 field indicators (Radecki-Pawlik 2002). However, whether such stages have anything to
853 do with a single, special “channel-forming” flow that controls the topographic structure of
854 the river is highly suspect.

855 Similar to the findings of this study, the GCS analysis of the partially confined,
856 gravel-cobble lower Yuba River by Pasternack et al. (2018b) concluded that topographic
857 structure had to be controlled by a discharge significantly higher than bankfull flow.
858 Those results were backed up by 2D bed shear stress predictions for a wide range of
859 discharges, showing that wholesale organization of riffles and pools could not be
860 achieved by flows of 1-2 times bankfull discharge. Similarly, Sawyer et al. (2010)
861 showed that it took a discharge of ~ 7.6 times bankfull to scour pools and deposit
862 sediment on riffles in one reach of the lower Yuba River. Thus, even though a threshold
863 change in river topography as a function of spatial scale may occur at or close to
864 bankfull discharge, the channel-forming flow causing that change appears to be
865 significantly higher. This requires more process-based research using numerical
866 modeling and physical experiments.

867

868 Mountain river “complexity”

869 Mountain rivers are often thought of as "highly complex", but that impression comes
870 from the visual charisma of large bed elements, tumbling and turbulent flows, and multi-
871 threaded flow paths; whether the underlying landform structure is complex or not has
872 not been well studied. This study illustrates that it is possible to turn the poorly
873 conceptualized idea of “complexity” into specific, quantifiable metrics. For example,
874 complexity can be quantified in terms of the number of standard deviations away from
875 average values variables are at points along spatial series. It can also be quantified in
876 terms of the abundance, sequencing, and nesting of scale-independent landform types.
877 In this study, the mountain river was found to have the normal channel landform type at

878 62-71% of 2944 cross-sections across base flow to a flood with a 36-year recurrence
879 interval. By comparison, the abundance of normal channel for the lower Yuba River
880 segment in 2008 was 36-62% considering similar baseflow to moderate flood stages
881 (Pasternack et al., 2018b). Constricted pool abundance was quite low compared to the
882 partially confined gravel-cobble lower Yuba River and literature addressing the
883 importance of pool constrictions in mountain rivers (Thompson et al., 1999). These
884 landform abundance values suggest that many of the positive values of $Z_s \cdot W_s$ that
885 occur are < 0.5 , and therefore classified as normal channel. By comparison, the
886 abundances of wide bar and constricted pool for the 2008 lower Yuba River are ~ 16 -
887 20% and ~ 16 - 25% , respectively. As a whole, the mountain river was relatively uniform
888 in terms of its underlying landforms, and where it was not uniform it had an abundance
889 of nozzle and oversized units. The primary explanation for the overall lack of complexity
890 is that mountain rivers are confined by canyon walls and therefore lack the width
891 variability necessary to exhibit high complexity relative to partially confined rivers that
892 can have landform types spanning unconfined to confined corridor settings.

893

894 Challenges posed by sequence and nesting analyses

895 While landform sequencing studies have been done (e.g., Grant et al., 1990; Wyrick
896 and Pasternack, 2014), the approach is underutilized and therefore can prove difficult to
897 conceptualize. In this study, the hypothesis offered a relatively simple alternation
898 between two landforms types at low stage (NZ-O) and two at high stage (WB-CP).
899 Visually, a simple alternation seems present in Figures 8 and 9. However, landform
900 sequencing in the Yuba River was often dictated by canyon confinement. Narrow

901 canyon sections had alternating sequences of constricted pool and nozzle. In that
902 setting, sediment scoured out of a constricted pool likely would not be routed to and
903 deposited on the next downstream unit, but instead would move quite a way
904 downstream before the canyon finally widens enough to allow deposition. The fact that
905 nozzle was followed by wide bar preferentially at 2 stages suggests that in those cases
906 that nozzle-to-wide-bar marks the transition from a narrow to wide canyon or a tributary
907 junction. This sequencing is unexpected, because width transitions often have hydraulic
908 jets that cause deep scour, and that ought to yield a constricted pool or oversized unit.
909 Perhaps the jet can be short and localized enough at the entrance of an expansion to
910 not affect the entire cross-section. The implication is that sediment moving down the
911 river is accumulating farther downstream and when the valley does eventually widen
912 this materials is deposited suddenly, regardless of any jet, to form wide bar units with
913 almost no channel-wide scour hole.

914 A unique and important feature of GCS analysis is that it enables evaluation of the
915 spatial nesting of the same set of landform types within themselves. Classically, one
916 would never say that a riffle was nested in a pool or even nested within a riffle. The
917 classic terms of riffle, pool, run, and glide are inherently scale dependent (Frissell et al.,
918 1986), are descriptive based on local conditions, and therefore are not definitive of a
919 hydraulic or geomorphic process. Geomorphic understanding of these terms primarily
920 arises through statistical correlations between expert-identified units and whatever other
921 ecologic, hydraulic, or geoscientific attribute is of interest. As a result, the ability to
922 evaluate how process-relevant landforms nest within themselves contributes to
923 understanding spatial scaling in fluvial geomorphology.

924 The results of three-stage nesting analysis using all five landforms in the
925 mountainous Yuba River found that nesting permutation frequencies mimic landform
926 abundance. Because normal channel is the most abundant landform at all stages and
927 nozzle is the second most abundant landform at four stages (Figures 8-9), a higher
928 probability exists that normal channel and nozzle nesting permutations are most
929 abundant. That means that it is plausible that stochasticity governs three-stage nesting
930 when normal channel landforms are included in consideration. In other words, the sheer
931 abundance of normal channel units in the confined canyon river segment is
932 overwhelming local FCR signals when related to the other landforms, when all data from
933 a long segment is analyzed together. In the absence of the same kind of large width
934 undulations as present further down a mountain where canyons give way to partially
935 confined valleys (Pasternack et al., 2018b), the river corridor has many sub-reach scale
936 intervals that are relatively monotonous normal channel, and these will not experience
937 FCR morphodynamics. As stated throughout this article, FCR is one of many processes
938 in a river. Even at the discharges where FCR drives freely self-maintaining landform
939 organization of wide bar and constricted pool units, there are still long intervals of
940 normal channel where FCR is not active. This study now quantifies and clarifies the
941 limited extent of FCR for a confined mountain river.

942 The results of two-stage nesting analysis of bankfull and baseflow landforms nested
943 in each of the floodprone landform types found that at base flow the wide bar floodprone
944 landform is dissected with narrow, shallow chutes making a bar-chute complex. This
945 complex structure can drive stage-dependent convergence and divergence of flow
946 consistent with the study hypothesis. Meanwhile, the floodprone constricted pool

947 landform tended to have a lot of normal channel nested within it, which is sensible
948 because the canyon is too narrow to support nesting of oversized and wide bar base
949 flow units.

950 In partially confined and unconfined reaches of the lower Yuba River, Pasternack et
951 al. (2018b) found a diversity of landform nesting, but especially that baseflow and
952 bankfull landforms appear controlled by what landform they are nested in at the
953 floodprone area spatial scale. That is not the case in the mountains. Instead, the
954 dominant nesting structures involved the same unit type occurring at all three spatial
955 scales due to canyon confinement (e.g., nozzles within nozzles within nozzles). Where
956 floodprone wide bar units existed, they tended to have normal channel and nozzle units
957 within them, often involving a bar-chute structure. This is not especially profound, but it
958 does define the fundamental hierarchical nesting signature of a canyon-confined
959 mountain river. The finding that the same landform type tended to nest within itself down
960 the three scales indicates that at the high stages no new forms of topographic variability
961 are being encountered up the canyon walls; the canyon setting of wall undulations or
962 lack thereof is essentially set.

963

964 Other processes are important

965 It is important to take note that even though FCR enables freely self-maintaining
966 landform organization for stages > 4.6 m in this river, other important processes likely
967 play a secondary role. For example, the stage-independent presence of oversized
968 landforms at a few locations is likely diagnostic of a positive-feedback morphodynamic
969 mechanism in which sediment “tools” and plunging flows carve deeper and deeper over

970 time, with no resetting mechanism (Sklar and Dietrich, 2006). Tributary junctions and
971 hillside-channel connectivity also exert significant controls on river corridor
972 geomorphology (Benda et al., 2004; Korup and Schlunegger, 2007). In this way, GCS
973 analysis can be meaningful not only for affirming the presence of a process, but for
974 identifying key locations where that process is not relevant and directing alternative
975 analysis to focus there. It can also spur conceptualization of new processes that reflect
976 or can mechanistically explain the observed landform patterns.

977

978 Broader significance

979 Fluvial geomorphology in the 20th century focused on ascertaining the central
980 tendency of morphological attributes and empirically linking mean values to hydrologic,
981 hydraulic, and sediment transport variables. Empirical river morphology data is fraught
982 with large variability (Knighton, 1998) – sometimes orders of magnitude – yet it is often
983 ignored, even though two or more patterns of variability can work in concert to produce
984 important morphodynamics and ecohydraulics. At best, spatial variability has been
985 described in geological and landscape contexts (e.g., Keiffer, 1989; Grant et al., 1990).
986 Secondly, extensive quantitative analysis has focused on descriptive characterization
987 of bed undulation to form riffle-pool or step-pool sequences (e.g., Chin, 1999; Parker
988 and Izumi, 2000; Thompson, 2001).

989 Today, fluvial geomorphology is rapidly outgrowing the paradigm of statistical
990 sampling with cross-sections in favor of comprehensive mapping and analysis of three-
991 dimensional 'riverscapes' using near-census, meter-resolution remote sensing data
992 (Fausch et al., 2002; Carbonneau et al., 2012; Gonzalez and Pasternack, 2015). This

993 transformation brings the characterization of variability and mechanistic understanding
994 of its role in fluvial processes to the forefront of scientific research. Whether variability in
995 multiple metrics might be coherently structured and how that would influence river
996 classifications could not be assessed with traditional cross-sectional sampling data,
997 because such data are too sparse (Gonzalez and Pasternack, 2015). With modern
998 digital terrain models, the time has arrived to thoroughly assess nested scales of
999 patterns in variability for real river datasets.

1000 As always, artificial rivers constructed in physical experiments play a critical role in
1001 understanding morphodynamics and addressing process-form linkages. They offer the
1002 best opportunity to directly observe change and infer processes under known conditions
1003 (Kleinhans, 2010; Chartrand et al., 2018). However, due to scaling constraints and
1004 design limitations their results can be difficult to translate to the environments they
1005 mimic. Studies of the complexity of real rivers must go hand-in-hand with those of
1006 simplified flume channels. At the very least, GCS analysis of real rivers can help check
1007 and elucidate findings from flume studies by providing a well-defined framework for
1008 examining organized variability in natural rivers.

1009 One path forward may be to build upon classic statistics by advancing new
1010 descriptive metrics using geostatistics and artificial intelligence (e.g., Beechie and Imaki,
1011 2014; Bugnicourt et al., 2018; Clubb et al., 2019). These metrics have mathematical
1012 meaning, but often they have no immediate geomorphic meaning, eventually
1013 necessitating more statistics to correlate new statistical metrics to geomorphic metrics.
1014 The risk is that through overfitting using massive datasets, seemingly predictive models
1015 will arise and be published in multitude as a new variation on the p-hacking controversy

1016 (Head et al., 2015), such as when a few positive results are cherry picked out of many
1017 negative ones or when very low explanatory power is present as a statistical fluke but
1018 results are published for technically reaching 95% statistical confidence. Yet all these
1019 statistics upon statistics will not yield a mechanistic understanding of how landforms
1020 respond to and control fluvial morphodynamics and other essential environmental
1021 dynamics. Statistics work best when they used to test specific links in a mechanistic
1022 chain one at a time, such as in each small test in Table 1.

1023 The concept of a geomorphic covariance structure offers just such a compromise
1024 between staying true to mechanistic science while still receiving the benefits of
1025 statistical methods. Variations found in nature are often not stochastic but include strong
1026 deterministic patterning. The GCS framework offers a way to capture patterning down a
1027 river, relying solely on statistics for the purposes of determining presence/absence and
1028 describing the degree of explanatory power explained via straightforward physical
1029 understanding of morphodynamics.

1030 The way the GCS framework achieves a mechanistic focus is by casting the results
1031 in terms of a set of five scale-independent, nestable landforms associated with a
1032 specific mechanism. In the case of this study, the GCS involves spatial series relevant
1033 to FCR morphodynamics. This is not the only process that can be assessed with the
1034 GCS framework, but it is the one selected for study in the mountainous Yuba River.

1035 River restoration based on classic empirical geomorphology emphasizing reach-
1036 average central tendencies (e.g., Rosgen, 2006) is widely regarded as a failure by
1037 academics who have thoroughly investigated restoration outcomes (Palmer et al., 2005,
1038 2010; Roni et al., 2008; Simon et al., 2008). Academic geomorphologists have reached

1039 a consensus that restoration should be focused on re-initiating natural processes
1040 (Beechie et al., 2010; Wohl et al., 2015). How can restoration practitioners literally
1041 design a process? The key is recognizing that the mechanistic chain of events we term
1042 a “process” (Wheaton et al., 2004; Pasternack, 2020a) is fundamentally controlled by
1043 synergistic hydrologic, topographic, and sedimentary variability. For example, imagine a
1044 channel designed exactly to empirical specification using reach-average metrics with no
1045 bed, width, or centerline curvature undulations. Often the intention is to have no change
1046 at all such that the channel exactly passes the sediment it receives. However, when the
1047 flow rises in that channel, the only processes that can occur given a sediment
1048 imbalance are bed incision and bank collapse; hardly the scope of what is needed for a
1049 natural channel. Over time, enough bed and bank failure may transform the channel to
1050 have GCSs that can then begin to instate meaningful morphodynamics, but this is
1051 environmental stewardship by blindfolded ignorance and prayerful hope (Pasternack,
1052 2020a).

1053 In contrast, when a channel is designed with a suite of GCSs, one can mindfully
1054 institute a wide range of potential morphodynamic mechanisms and have confidence
1055 they will be self-maintaining. To help practitioners use GCSs in river design, Pasternack
1056 and Zhang (2020) presented the free, open-source Python3 software called River
1057 Builder, available at GitHub. The latest version has a multitude of types of variability
1058 functions that can be applied in as detailed of a nested spatial hierarchy from shallowest
1059 inner channel to edge of the valley as one wants. Consequently, GCS theory stands
1060 apart from classic statistical geomorphic analysis in that it not only helps comprehend
1061 how rivers are structured in response to morphodynamic processes, but it is

1062 immediately useful as a practical aid in river stewardship. The key next step is to
1063 undertake GCS investigations of a wide range of river types.

1064

1065 **Conclusions**

1066 At the highest level this study used the GCS analyses from Table 1 to test a specific
1067 scientific hypothesis using transparent performance indicators identified in Table 2. This
1068 experimental design was used to identify a stage threshold in morphodynamic control
1069 over fluvial landform structure in a canyon-confined mountain river. It also revealed the
1070 self-affine hierarchical nesting structure of canyon-confined fluvial landforms in contrast
1071 with previous non-affine nesting in partially confined and unconfined lowland reaches.
1072 Geomorphic covariance structure theory and methods have important implications for
1073 professional practices in river management and engineering. Practitioners can now
1074 mindfully design requisite, linked patterns in depth and width variability across spatial
1075 scales to instill morphodynamic processes that are self-maintaining over a wide range of
1076 flows.

1077

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1084

1085 **Data Availability Statement**

1086 The data presented in tables and figures that support the findings of this study are
1087 available from the first author (<http://pasternack.ucdavis.edu>) upon request with no
1088 restrictions. Restrictions apply to the availability of the underlying digital elevation
1089 model, which was used under contractual agreement from the project sponsor for this
1090 study. The digital elevation model is available from the first author with the permission of
1091 Yuba Water Agency.

1092

1093 **Conflicts of Interest**

1094 None.

1095

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1376
1377

1378 Tables

1379 Table 1. Pasternack et al. (2018a) geomorphic covariance analysis framework.

Objectives (O#) and their questions	Test variables	Analysis
(O1) Analyze stage-dependent structure of fluvial topographic deviation from central tendency using longitudinal series of standardized width (Ws) and detrended, standardized bed elevation (Zs) for multiple flow stages.		
(1a) What percent of the river has topographic variations greater than 0.5 and one standard deviations away from the mean?	Abs(Zs), Abs(Ws)	percent of values > 1 or > 0.5
(1b) Is longitudinal topographic structure random?	series of Zs, Ws	Wald-Wolfowitz* runs tests
(1c) Are width and bed elevation series correlated, as one indicator of coherent organization?	series of Zs, Ws	Pearson's product-moment correlation for Ws and Zs
(O2) Analysis of presence of flow convergence routing using Ws·Zs spatial series for multiple flow stages.		
(2a) At what stage and discharge, if any, does the morphological structure abruptly change from negative to positive covariance?	series of Ws·Zs	mean(Ws·Zs); percent of values > 0
(2b) What stage and discharge ranges, if any, exhibit self-sustainable morphology consistent with a dominant role for flow convergence routing?	series of Ws·Zs	mean(Ws·Zs); percent of values > 0
(O3) Analyze relative abundance and longitudinal sequencing of landforms by reach and discharge.		
(3a) What is the relative abundance of each landform for the whole river for each flow?	series of landform IDs	count and compare
(3b) How do geomorphic reaches compare in landform composition?	series of landform IDs	count and compare
(3c) How does landform abundance change with flow?	series of landform IDs	count and compare
(3d) What is the longitudinal sequencing of landforms?	series of landform IDs	count times each unit followed another
(3e) How does longitudinal sequencing change with flow?	series of landform IDs	count times each unit followed another
(O4) What is the stage-dependent, nested structure of landforms classified by their flow convergence routing potential?		
(4a) What are top five most abundant nested permutations?	nested series of landform IDs	permutation abundance analysis
(4b) For each landform at the floodprone scale, what are the top three most abundance nested permutations?	nested series of landform IDs	permutation abundance analysis
(4c) For each bankfull scale landform, what are the top two most abundant nested permutations of base flow landforms?	nested series of landform IDs	permutation abundance analysis
(4d) For each landform at the bankfull scale, what are the top two most abundant floodprone landform hosts?	nested series of landform IDs	permutation abundance analysis

1380 *Wald and Wolfowitz (1940)

1381

1382

1383 Table 2. Experimental design showing questions used from Table 1, required outcomes
 1384 to corroborate study hypothesis, stage at which threshold was found (if any), and
 1385 conclusion about each test's outcome.
 1386

Table 1 ID	Values required to corroborate hypothesis*		threshold Zs**	corroboration?
	low stage	high stage		
1c	negative correlation	positive correlation	4.6-7	Y
2a	negative mean Ws·Zs	positive mean Ws·Zs	4.6-7	Y
2a	< 50% XS have Ws·Zs > 0	> 50% XS have Ws·Zs > 0	4.6-7	Y
3c	more O than CP	more CP than O	2-4.6	Y
3c	more NZ than WB	more WB than NZ	9-13	Y
3d	O-NZ sequences	CP-WB sequences		N
landform nesting expectation				
4c	baseflow WB and NZ nested within bankfull WB		n/a	mostly
4c	baseflow O and CP nested within bankfull CP		n/a	mostly

*XS means cross-section, O=oversized, CP=constricted pool, NC=normal channel, WB=wide bar, NZ=nozzle. Geometric shape delineation method presented later in the text.

**Stage below which each metric matches "low stage" criterion and above which it matches "high stage" criterion.

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1390 Table 3. Estimated discharge and flood recurrence interval values for each Zd stage.
1391

Zs (m)	Discharge (m ³ /s)	Recurrence interval
1.0	2.7	1
2.0	10.8	1.06
4.6	161	2.4
7.0	350	3.5
9.0	574	6.4
13.0	1171	16.4
17.6	2109	35.9

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1395 Table 4. Topographic variability and GCS Topographic variability and GCS metrics.
 1396

Metric	Zd stage						
	1	2	4.6	7	9	13	17.6
(A) Topographic variability metrics							
% Abs(Zs)>1	23	26	26	26	26	27	27
% Abs(Ws)>1	30	29	23	20	21	19	16
r*	-0.62	-0.50	-0.16	0.06	0.10	0.13	0.18
(B) Geomorphic covariance metrics**							
Mean Zs·Ws	-0.62	-0.50	-0.16	0.06	0.10	0.13	0.18
% Zs·Ws > 0	30	34	47	52	55	53	55

*Pearson's product-moment correlation (r) values for Ws and Zs. Blue and red shading indicate the highest and lowest values in each column. Grey shading indicates negative r-values that are not the lowest.

**Dark shading indicates values below hypothesized threshold.

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1399 Table 5. Analysis of landform composition of river as a function of flow. Light grey
 1400 indicates higher abundance of each type of deep landform. Dark grey indicates higher
 1401 abundance of each type of shallow landform.
 1402

Zs	% of XS locations				
	O	CP	NC	WB	NZ
1	12	1.4	62	3.3	21
2	11	2.5	65	3.8	18
4.6	5.4	8	67	7	13
7	3.7	9.6	71	6.2	10
9	4.6	10	70	7.3	7.5
13	5.4	11	70	7.1	6.0
17.6	5.3	11	71	7.1	5.7

*O=oversized, CP=constricted
 pool, NC=normal channel,
 WB=wide bar, NZ=nozzle.

1403
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1406 Table 6. Longitudinal sequencing of landforms for the whole river, excluding normal
 1407 channel units. Shading indicates values more than 10 percentage points higher than
 1408 radon expectation.
 1409

Starting unit	% of times unit followed the starting unit				Starting unit	% of times unit followed the starting unit			
	O	CP	WB	NZ		O	CP	WB	NZ
(A) Zs = 1 m					(E) Zs = 9 m				
O		40	30	30	O		50	50	0
CP	44		6	50	CP	18		29	53
WB	53	13		33	WB	41	35		24
NZ	30	30	40		NZ	8	46	46	
(B) Zs = 2 m					(F) Zs = 13 m				
O		39	44	17	O		29	71	0
CP	33		5	62	CP	15		25	60
WB	62	8		31	WB	57	19		24
NZ	20	65	15		NZ	0	71	29	
(C) Zs = 4.6 m					(G) Zs = 17.6 m				
O		69	31	0	O		21	79	0
CP	26		19	56	CP	4		23	73
WB	40	33		27	WB	69	27		4
NZ	5	63	32		NZ	5	75	20	
(D) Zs = 7 m									
O		56	44	0					
CP	16		21	63					
WB	33	39		28					
NZ	6	41	53						

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1413 Table 7. Top three permutations of hierarchical nesting of flow convergence routing
 1414 landforms within the five floodprone landform types.
 1415

Zs = 9	Zs = 4.6	Zs = 1	Count	% of river
(A) Nested within floodprone nozzle				
NZ	NZ	NZ	160	5.4
NZ	NZ	NC	33	1.1
NZ	NC	NC	18	0.6
(B) Nested within floodprone wide bar				
WB	WB	NZ	52	1.8
WB	NC	NC	48	1.6
WB	NC	NZ	44	1.5
(C) Nested within floodprone normal channel				
NC	NC	NC	1161	39
NC	NC	NZ	338	11
NC	NZ	NZ	119	4.0
(D) Nested within floodprone constricted pool				
CP	NC	NC	118	4.0
CP	CP	NC	80	2.7
CP	NC	O	56	1.9
(E) Nested within floodprone oversized				
O	O	O	59	2.0
O	NC	WB	21	0.7
O	NC	NC	20	0.7

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1420 Table 8. Top two permutations of hierarchical nesting of bankfull landforms, either within
 1421 (A-E) or beyond (F-J) them.
 1422

Test 1: within bankfull landform				Test 2: what each bankfull landform is nested within			
Zs = 4.6	Zs = 1	Count	% of river	Zs = 9	Zs = 4.6	Count	% of river
(A) within bankfull nozzle				(F) hosting bankfull nozzle			
NZ	NZ	293	10	NZ	NZ	193	6.6
NZ	NC	81	2.8	NC	NZ	152	5.2
(B) within bankfull wide bar				(G) hosting bankfull wide bar			
WB	NZ	109	3.7	NC	WB	111	3.8
WB	NC	78	2.7	WB	WB	69	2.3
(C) within bankfull normal channel				(H) hosting bankfull normal channel			
NC	NC	1365	46	NC	NC	1623	55.1
NC	NZ	392	13	CP	NC	182	6.2
(D) within bankfull constricted pool				(I) hosting bankfull constricted pool			
CP	NC	163	5.5	CP	CP	117	4.0
CP	O	47	1.6	NC	CP	108	3.7
(E) within bankfull oversized				(J) hosting bankfull oversized			
O	O	132	4.5	NC	O	80	2.7
O	NC	19	0.6	O	O	76	2.6

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1426 Table Captions

1427 Table 1. Pasternack et al. (2018a) geomorphic covariance analysis framework.

1428 Table 2. Experimental design showing questions used from Table 1, required outcomes
1429 to corroborate study hypothesis, stage at which threshold was found (if any), and
1430 conclusion about each test's outcome.

1431 Table 3. Estimated discharge and flood recurrence interval values for each Zd stage.

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1442 (A-E) or beyond (F-J) them.

1443

1444 Figure Captions

1445

1446 Figure 1. Conceptual illustration and real example of spatial series nesting and
1447 decomposition. (a) A river cross-section with five water stages (blue lines) along
1448 with the corresponding nested topography under those stages. (b) Dry alluvial
1449 stream along Happy Canyon Road, Santa Ynez, California. Nested base flow (c)
1450 and valley-wide (e) width series can be deconstructed into sets of dozens to
1451 hundreds of periodic components (sum of top ten shown as red dashed line).
1452 (d,f) show five of the top ten individual components for each width series.

1453 Figure 2. Approximate illustrations of contrasting flow convergence routing: (a) an
1454 alluvial river with freely self-maintaining alluvial landform diversity due to its
1455 landform nesting alone (low-flow (short arrows) nozzle (red) nested within

1456 bankfull-flow (long arrows) wide bar (orange); low-flow constricted pool (blue)
1457 nested within bankfull-flow constricted pool) in which the locations of scour and
1458 deposition shift from low flow to high flow to remain at the locations of smallest
1459 cross-sectional area as these move around; and (b) a bedrock river whose
1460 landform diversity is not freely self-maintaining because its nesting (low-flow
1461 nozzle within bankfull-flow nozzle; low-flow oversized cross-section (black) within
1462 bankfull-flow oversized cross-section) maintains the same locations of scour and
1463 deposition across a wide range of flows, which would tend to homogenize
1464 topography. In (b) landform diversity is only maintained due to oversized coarse
1465 sediment and bedrock forcing, as the canyon walls are always narrow at the
1466 nozzle and wide at the oversized section. (c) conceptual cross-sections profiles
1467 (not exactly to scale) of all four sections in (a) and (b), including low-flow and
1468 high-flow stage lines, colored by landform type.

1469 Figure 3. Location map of Yuba River watershed and study segment.

1470 Figure 4. Data processing workflow and flow convergence routing landform decision

1471 tree. “Abs” is an abbreviation for absolute value. Standardization is computed as
1472 individual rectangle value minus reach-average mean value, and then this
1473 difference is divided by reach-average standard deviation value. For full details of
1474 previously published workflow steps, see Pasternack et al. (2018a).

1475 Figure 5. Map illustrating wetted area polygons created and used in the GCS analysis.

1476 Flow is from upper left to lower right. The confluence with the Middle Yuba River
1477 is shown in the upper right.

1478 Figure 6. Longitudinal W_s series for middle 10 km contrasting (a) lowest and (b) highest
1479 discharge.

1480 Figure 7. Schematic illustrating the primary (thick arrows) and secondary (thin arrows)
1481 transitions between the landform types regardless of discharge contrasting (a)
1482 hypothesized and (b) observed. Bidirectional arrows indicate that this pair of
1483 landforms forms a repeating couplet.

1484 Figure 8. Series of $W_s \cdot Z_s$ for the lowest four stages with colors representing landform
1485 type.

1486 Figure 9. Series of Ws-Zs for the highest three stages with colors representing landform
1487 type.

1488 Figure 10. Sankey diagrams showing landform sequencing for Zd stages of (a) 1 m, (b)
1489 4.6 m, and (c) 9 m. Landform types indicated by same colors as in previous
1490 figures. Left side shows upstream landform. Right side shows downstream
1491 landform.

1492 Figure 11. Aerial images illustrating four different 3-scale nesting structures. (a) Nozzle
1493 in nozzle in nozzle (39°22'40.60"N, 121° 8'22.37"W), (b) oversized in constricted
1494 pool in constricted pool (39°19'55.64"N, 121° 9'34.89"W), (c) nozzle in nozzle in
1495 wide bar (39°21'38.33"N, 121° 8'26.74"W), (d) wide bar in wide bar in oversized
1496 (39°19'49.27"N, 121°11'22.04"W). Images are shown at different scales, so
1497 widths are not directly comparable. Flow is right to left for all images. Landform-
1498 indicating colors are the same as in all previous figures.

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