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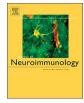


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# Perturbations in neuroinflammatory pathways are associated with paclitaxel-induced peripheral neuropathy in breast cancer survivors

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#### ABSTRACT

Paclitaxel is a common chemotherapy drug associated with the development of chronic paclitaxel-induced peripheral neuropathy (PIPN). PIPN is associated with neuroinflammatory mechanisms in pre-clinical studies. Here, we evaluated for differential gene expression (DGE) in peripheral blood between breast cancer survivors with and without PIPN and for neuroinflammatory (NI) related signaling pathways and whole-transcriptome profiles from other experiments. Pathway impact analysis identified 8 perturbed NI related pathways. Expression profile analysis found 15 experiments having similar whole-transcriptome profiles of DGE related to neuroinflammation and PIPN. These findings suggest that perturbations in pathways associated with neuroinflammation are found in cancer survivors with PIPN.

#### 1. Introduction

Paclitaxel is an extremely effective chemotherapeutic agent for the treatment of breast, ovarian, and lung cancer (Kudlowitz and Muggia, 2013). However, paclitaxel-induced peripheral neuropathy (PIPN), a major dose-limiting toxicity, occurs in 59% to 87% of patients who receive this drug (Jones et al., 2005; Sarosy et al., 1992). Paclitaxel is an anti-tubulin drug that causes microtubule stabilization. In the peripheral nervous system, administration of paclitaxel results in distal axonal degeneration, secondary demyelination, and nerve fiber loss. (Gornstein and Schwarz, 2014; Sahenk et al., 1994).

A growing body of evidence has implicated neuroinflammation in the development of PIPN (Makker et al., 2017; Wang et al., 2012). While most chemotherapy (CTX) drugs do not cross the blood-brainbarrier, they readily penetrate the blood-nerve-barrier and bind to and accumulate in dorsal root ganglia (DRG) and peripheral axons. (Gornstein and Schwarz, 2014; Park et al., 2013) In addition to its direct neurotoxic effects, CTX can induce neuroinflammation through activation of immune and immune-like glial cells. In fact, a growing body of preclinical evidence suggests that immune cells (e.g., macrophages, lymphocytes) and glial cells (e.g., Schwann cells) in the peripheral nervous system (PNS) and astrocytes and microglia in the central nervous system (CNS) play an important role in the induction and maintenance of neuropathic pain.(Krames, 2014; Zhang et al., 2017) This activation of the immune system results in the release of inflammatory mediators, within the DRG and dorsal horn, (Krames, 2014) that enhances neuronal excitability and results in pain hypersensitivity in peripheral neurons (Makker et al., 2017).

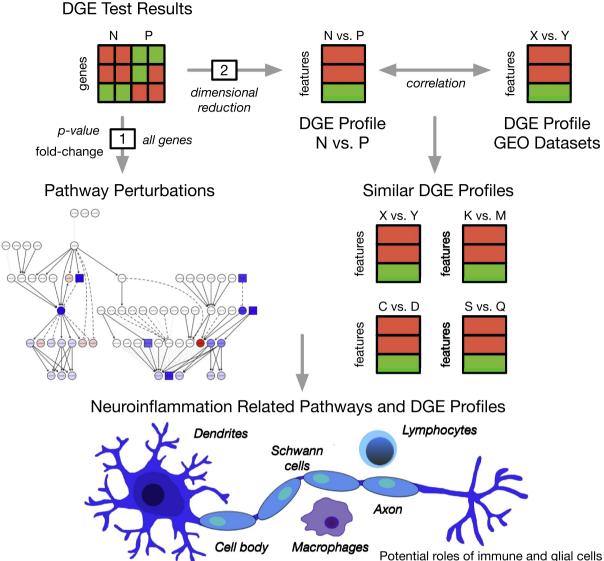
Not all patients develop neurotoxicity, which suggests that molecular factors may play a role in the development of PIPN. In fact, in our recent report, using RNA-seq data from breast cancer patients who did (n = 25) and did not (n = 25) develop PIPN,(Kober et al., 2018b) we identified nine perturbed pathways that were associated with various aspects of mitochondrial dysfunction including oxidative stress, iron homeostasis, mitochondrial fission, apoptosis, and autophagy. In this paper, we extend these findings and describe differentially perturbed pathways, as well as whole transcriptome profiles of differential gene expression (DGE), associated with neuroinflammation, in the same sample of breast cancer survivors with (n = 25) and without (N = 25)chronic PIPN.

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in the initiation and maintenance of PIPN

**Fig. 1.** An overview of the analytic approach used to evaluate for neuroinflammation related pathways and gene expression experiments associated with paclitaxelinduced peripheral neuropathy (PIPN). Differential gene expression (DGE) in peripheral blood was found between breast cancer survivors with (P) and without (N) paclitaxel-induced peripheral neuropathy and evaluated for (1) perturbed neuroinflammation related signaling pathways using pathway impact analysis and (2) experiments from the gene expression omnibus (GEO) with similar whole-transcriptome profiles of differential gene expression related to neuroinflammation and PIPN using expression profile analysis. Taken together, our results suggest that perturbations in pro- and anti-inflammatory pathways associated with neuroinflammation are found in cancer survivors with PIPN.

#### 2. Materials and methods

#### 2.1. Study design

In this study, we used two different approaches to evaluate whole transcriptome data for patterns of DGE in biological pathways associated with neuroinflammation and PIPN (Fig. 1). The first approach utilized pathway impact analysis (PIA), where well-defined signaling pathways are evaluated for perturbations using the significance and magnitude of gene-gene interactions from DGE tests. For the second approach (i.e., Expression Profile Analysis (EPA)), we compared our whole transcriptome pattern of DGE between breast cancer survivors with and without CIPN to a database of publicly available and well annotated gene expression experiments to identify other systems and studies with similar patterns. For this analysis, we used demographic, clinical, and gene expression data from our previous study (Kober et al., 2018b).

#### 2.2. Acquisition and processing of gene expression data

The methods for the gene expression analysis are described in detail elsewhere.(Kober et al., 2018b) Gene expression of total RNA isolated from peripheral blood was assayed using RNA-seq. Gene expression was summarized as counts per gene and used as input for the PIA and EPA analyses.

#### 2.3. Pathway impact analysis

Differential gene expression was quantified using general linear models (Kober et al., 2018b). These DGE analyses were adjusted for demographic (i.e., age, employment status) and clinical (i.e., Alcohol Use Disorders Identification Test (AUDIT)(Bohn et al., 1995) score, body mass index (BMI), Karnofsky Performance Score (KPS)(Karnofsky, 1977; Karnofsky et al., 1948; Schnadig et al., 2008) score) characteristics that differed between the PIPN groups, as well as for technical

#### Table 1

Significantly perturbed neuroinflammatory related KEGG pathways between breast cancer survivors with and without paclitaxel-induced peripheral neuropathy.

Pathway ID	Pathway name	Total perturbation	Adjusted pPert <sup>a</sup>	
hsa04060	Cytokine-cytokine receptor interaction	6.37		
hsa04064	NF-kappa B signaling pathway	4.50	0.005	
hsa04727	GABAergic synapse	4.63	0.007	
hsa04920	Adipocytokine signaling pathway	9.13	0.008	
hsa04657	IL-17 signaling pathway	3.15	0.010	
hsa04621	NOD-like receptor signaling pathway	11.32	0.004	
hsa04152	AMPK signaling pathway	15.14	0.004	
hsa04350	TGF-beta signaling pathway	10.30	0.010	

Abbreviations: AMPK = adenosine monophosphate-activated protein kinase, GABA = gamma amino butyric acid, IL = interleukin, KEGG = Kyoto Encyclopedia of Genes and Genomes, NF = nuclear factor, NOD = nucleotide-binding and oligomerization domain, TGF = transforming growth factor.

<sup>b</sup> pPert: Perturbation p-value adjusted using the Benjamini-Hochberg method.

variability (e.g., potential batch effects). The DGE results were summarized as the log fold change and *p*-value for each gene. Then, PIA was used to evaluate for perturbations in well-defined signaling pathways. PIA includes potentially important biological factors (e.g., gene-gene interactions, flow signals in a pathway, pathway topologies), the magnitude (i.e., log fold-change), and *p*-values from the differential expression (DE) analysis (reviewed in (Mitrea et al., 2013)). The PIA included the results of the DE analysis for all genes (i.e., cutoff free) to determine probability of pathway perturbations (pPERT) using Pathway Express.(Draghici et al., 2007).

A total of 208 signaling pathways were defined using the Kyoto Encyclopedia of Genes and Genomes (KEGG) database.(Aoki-Kinoshita and Kanehisa, 2007) Sequence loci data were annotated with Entrez gene IDs. The gene symbols were annotated using the HUGO Gene Nomenclature Committee resource database.(Gray et al., 2013) We assessed for significance of the PIA using a strict false discovery rate (FDR) of < 1 under the Benjamini-Hochberg (BH) procedure. (Benjamini and Hochberg, 1995; Hochberg and Benjamini, 1990) Finally, we evaluated these results specifically for pathways related to neuroinflammation.

#### 2.4. Expression profile analysis

To evaluate the whole transcriptome pattern of DGE in relationship to neuroinflammation and PIPN, we compared these findings with independent and well annotated publicly available data sets of gene expression. We utilized ProfileChaser(Engreitz et al., 2010) to develop a transcriptome wide 'profile' of the DGE pattern between the PIPN and no PIPN groups and compared this profile to all of the NCBI Gene Expression Omnibus (GEO) curated GEO DataSets (GDS) available. These GDS include gene expression data from a wide variety of tissues, species, and study designs. Each study is annotated with a number of characteristics termed as 'factors' (e.g., sample ID, age, cell line, treatment group).

ProfileChaser generates reference profiles from all of the pairwise comparisons of all of the factors defined in a GDS. Then, it compares the query dataset to identify similar reference profiles. In this way, ProfileChaser identifies experiments with similar biological conditions to the query experiment. By performing this evaluation of all available GDS and their annotated factors, we limit any bias from a priori filtering. Gene level summaries of RNA abundance were annotated with ENTREZ ID and estimated as the log transformed reads per mean thousand (i.e., log<sub>2</sub>(RPMK + 1)). We assessed for significance of the EPA using a strict FDR of 5% under the BH procedure.(Benjamini and Hochberg, 1995; Hochberg and Benjamini, 1990) Using our previous method,(Kober et al., 2016) we evaluated the significant findings for interpretability based on the experimental design of the reference profile.

#### 3. Results

3.1. Differences in demographic, clinical, pain, sensation, and balance characteristics

As previously reported (Kober et al., 2018b), survivors with PIPN were significantly older (p = .006) and were more likely to be unemployed (p = .022) In terms of clinical characteristics, survivors with PIPN had: a lower AUDIT score (p = .012), a higher body mass index (BMI; p = .017), and a lower KPS score (p < .001). Of note, no between group differences were found in the total cumulative dose of paclitaxel received or in the percentage of patients who had a dose reduction or delay due to PIPN (see Supplementary Tables 1 and 2).

Supplementary Table 3 summarizes the self-reported pain characteristics of the survivors with PIPN. Worst pain severity was reported as 6.3 ( $\pm$  2.1) out of ten and the duration of PIPN was 3.8 ( $\pm$  3.9) years.

Survivors with PIPN had a higher number of lower extremity sites with loss of light touch, cold, and pain sensations (all, p < .05). Vibration thresholds were significantly higher in the PIPN group (p = .009, Supplementary Table 4).

Survivors with PIPN were more likely to report trouble with balance (p < .001) as well as higher severity and distress (both p < .05) scores associated with balance problems. In addition, these survivors reported worse Timed Get Up and Go (p = .001) and worse Fullerton Advanced Balance (p = .004) scores (Supplementary Table 4).

#### 3.2. PIA of the whole transcriptome

Successful annotation with ENTREZ IDs was performed for 11,174 unique genes. Fold changes and *p*-values from the DE analysis for these genes were included in the pathway perturbation analysis of the 208 KEGG signaling pathways. PIA identified 53 KEGG signaling pathways that were significantly perturbed between the PIPN groups after correction for multiple hypothesis testing at a conservative FDR of 1% (adjusted perturbation *p*-value < .01). Of these, 8 KEGG signaling pathways were related to neuroinflammation (Table 1).

#### 3.3. EPA of the whole transcriptome

Whole transcriptome profiles were evaluated for similarity in DGE between our sample of survivors with and without PIPN and the 280 profiles across 84 unique GDS that were identified using EPA (Supplementary File 1). After an evaluation of the study designs of these 280 profiles, 27 profiles from 15 unique GDS and 13 published studies were identified to be associated with neuroinflammation and PIPN. These findings were organized into six categories based on their similar biological characteristics (Table 2).

#### Table 2

Whole-transcriptome gene expression GEO datasets with similar profiles to breast cancer survivors with CIPN vs. without CIPN.

GEO ID	Reference <sup>a</sup>	Organism	Primary comparison	Primary factor	Score	q-value	Tissue
Pre-clinical n	nodels of neuropath	nic pain					
GDS2159		<i>M.m.</i>	Sham SCI vs. naïve	Protocol	0.428	0.025	SC
GDS2159		<i>M.m.</i>	28d vs 0 h post SCI	Time	0.383	0.037	SC
GDS2159		<i>M.m.</i>	7d vs 0 h post SCI	Time	0.379	0.037	SC
GDS2159		<i>M.m.</i>	Moderate SCI vs. naïve	Protocol	0.374	0.037	SC
GDS2439		R.n.	28d vs 50d after L5 SNL	Time	0.352	0.046	DRG
GDS259	12,666,113	R.n.	3d vs 2d after SCI at T9	Time	0.352	0.056	SC
GDS339	12,666,113	<i>R.n.</i>	3d vs 2d after SCI at T9	Time	0.348	0.047	SC
Response to i	infection						
GDS1028	15,655,079	H.s.	SARS vs control	Disease state	0.399	0.031	PBMC
GDS1499	15,897,992	H.s.	Control vs. HIV-1, drug regimen not indicated	Protocol	0.401	0.031	PBMC
GDS1499	15,897,992	H.s.	HIV-1 seronegative vs HIV-1 seropositive	Disease state	0.400	0.033	PBMC
GDS1499	15,897,992	H.s.	Control vs. HIV-1, drug naïve	Protocol	0.353	0.045	PBMC
GDS1971	30,638,864	H.s.	Complicated malaria vs healthy	Disease state	0.569	0.007	PWB
GDS1971	30,638,864	H.s.	Uncomplicated malaria vs healthy	Disease state	0.453	0.020	PWB
GDS2362	16,988,231	<i>H.s.</i>	Presymptomatic vs experimentally acquired malaria	Uninfected	0.469	0.017	PBMC
Neurological	condition						
GDS1311	16,043,692	H. s.	HD symptomatic vs normal	Disease state	0.463	0.018	PWB
GDS1311	16,043,692	H. s.	HD presymptomatic vs. normal	Disease state	0.464	0.025	PWB
Exercise-indu	ced effects						
GDS2310	16,990,507	H.s.	After exhaustive vs. before moderate exercise	Time	0.680	0.003	WBC
GDS2310	16,990,507	H.s.	After exhaustive vs. before exhaustive exercise	Time	0.654	0.003	WBC
GDS2310	16,990,507	H.s.	After exhaustive vs. after moderate exercise	Time	0.557	0.008	WBC
GDS2310	16,990,507	H.s.	After moderate vs. before moderate exercise	Time	0.517	0.011	WBC
GDS2417		H.s.	Post-exercise vs. pre-exercise	Protocol	0.383	0.036	WBC
GDS962	15,194,674	<i>H.s.</i>	60 min after vs before exercise	Time	0.501	0.012	PBMC
Inflammatory	v bowel disease						
GDS1615	16,436,634	H.s.	Crohn's disease vs normal	Disease state	0.487	0.015	PBMC
GDS1615	16,436,634	H.s.	Ulcerative colitis vs. normal	Disease state	0.419	0.027	PBMC
Hematopoies	is						
GDS2321	18,268,278	H.s.	G-CSF vs pegylated G-CSF	Agent	0.412	0.028	CD34(+) cells
GDS2959		<i>H.s.</i>	G-CSF vs untreated	Agent	0.536	0.010	PWB
GDS781		H.s.	G-CSF treated vs untreated	Agent	0.429	0.025	PBMC

DRG = dorsal root ganglia, G-CSF = granulocyte-colony stimulating factor, GDS = Geo dataset, GEO = gene expression omnibus, H.s. = Homo sapiens, HD = Huntington's disease, HIV = Human Immunodeficiency Virus, M.m. = Mus musculus, PBMC = peripheral blood mononuclear cells, PWB = peripheral whole blood, R.n. = Rattus norvegicus, SARS = severe acute respiratory syndrome, SC = spinal cord, SCI = spinal cord injury, SNL = spinal nerve ligation, WBC = white blood cells.

<sup>a</sup> PubMed ID (if known).

#### 4. Discussion

#### 4.1. Perturbed neuroinflammation-related pathways associated with PIPN

This study is the first to provide molecular evidence that a number of neuroinflammatory mechanisms identified in preclinical models of neuropathic pain and/or PIPN(Flatters et al., 2017; Ma et al., 2018) are perturbed in cancer survivors with PIPN. As noted in a review on the role of cytokines in chemotherapy-induced peripheral neuropathy (CIPN), (Wang et al., 2012) pro-inflammatory cytokines and chemokines play a critical role in the development and maintenance of painful peripheral neuropathy. Consistent with our findings of a differential perturbation in the cytokine-cytokine receptor interaction pathway, several lines of pre-clinical evidence suggest that the administration of paclitaxel is associated with the activation of immune and immune-like cells in both the PNS and CNS. For example, following the administration of intravenous paclitaxel in rats, the number of activated macrophages in the DRG, peripheral nerves, and Schwann cells increased and was associated with allodynia and hyperalgesia.(Peters et al., 2007) Macrophage infiltration results in the production and release of a number of cytokines (e.g., tumor necrosis factor alpha (TNF-a), interleukin 6 (IL6)) and chemokines. In addition, Schwann cells release TNF- $\alpha$ , IL6, prostaglandin E2 (PGE2), and adenosine triphosphate (ATP). (Ozturk et al., 2005) All of these molecules contribute to neuroinflammation.(Sommer and Kress, 2004; Tofaris et al., 2002).

Additional evidence from preclinical models of peripheral

neuropathy supports our finding of perturbations in the nuclear factor (NF)-κβ signaling pathway. For example, building on the observation that NF-κβ immunoreactive neurons are increased on the ipsilateral side of a partial sciatic nerve injury,(Ma and Bisby, 1998) the effects of NF-κβ in PIPN were evaluated in a rodent model.(Kamei et al., 2017) In this study, paclitaxel increased the protein levels of spinal phosphorylated NF-κβ and an NF-κβ inhibitor attenuated mechanical hyperalgesia. The authors concluded that the activation of NF-κβ mediates paclitaxel-induced hyperalgesia. In another preclinical study,(Gui et al., 2018) the intraperitoneal administration of paclitaxel induced the activation of NF-κβ; the upregulation of TNF-α, IL1β, and IL6; and astrocyte activation in the spinal cord.

Another perturbed pathway in our study was the IL17 signaling pathway. The IL17 family is a subset of cytokines that play crucial roles in both acute and chronic inflammatory responses.(Isailovic et al., 2015) IL17 is produced by CD4<sup>+</sup> T cells and appears to play a role in inflammatory(Lubberts, 2008) and neuropathic(Day et al., 2014; Kim and Moalem-Taylor, 2011) pain. In the most recent preclinical study, (Sun et al., 2017) expression of IL17 in the spinal cord was evaluated following spinal nerve ligation. During the maintenance phase of neuropathic pain, mRNA expression levels of IL17, IL1 $\beta$ , and IL6 were significantly increased in the dorsal horn of the spinal cord of animals with the nerve injury. The authors concluded that IL17 may contribute to neuropathic pain by promoting the proliferation of astrocytes and the secretion of pro-inflammatory cytokines. While no studies were found on the role of IL17 in CIPN, astrocytes appear to play a role in the development of this chronic pain condition.(Robinson and Dougherty, 2015; Robinson et al., 2014).

In terms of perturbations in the adipocytokine signaling pathway, leptin is an important regulator of energy intake and metabolic rate. In addition, leptin influences the normal functioning of the immune system through the stimulation of cytokine production and chemotaxis. In addition, leptin expression can be regulated by cytokines.(Carniglia et al., 2017) While no studies were found on associations between leptin and CIPN, in a rat model of chronic constriction injury, administration of a leptin antagonist prevented the development of injuryinduced mechanical allodynia and thermal hyperalgesia.(Lim et al., 2009) In a mouse model of partial sciatic nerve ligation. (Maeda et al., 2009) leptin production was induced in the adipocytes that were present in the epineurium of the injured nerve and leptin was necessary for tactile allodynia associated with the ligation. In addition, leptin enhanced the production of cyclo-oxygenase (COX)-2, inducible nitric oxide synthase (iNOS), and matrix metalloprotease-9 from macrophages that suggests that adipokines activate macrophages that contribute to the development of neuropathic pain. However, in another preclinical study, leptin exhibited protective effects in a model of sciatic nerve injury.(Fernandez-Martos et al., 2012).

The various members of the nucleotide-binding and oligomerization domain (NOD)-like receptor signaling pathway play pivotal roles in the recognition of intracellular ligands. Of particular interest is the growing body of evidence that suggests that one member of this pathway (i.e., NOD-like receptor protein 3 (NLRP3)) is involved in various types of neuropathic pain.(Khan et al., 2018; Li et al., 2018; Pan et al., 2018; Pu et al., 2018; Tonkin et al., 2018; Xu et al., 2019) Of note, in a recent preclinical study of PIPN in rats,(Jia et al., 2017) paclitaxel increased the expression of and activated fragments of caspase-1 and IL1 $\beta$  which suggests activation of the NLRP3 inflammasome. The expression of NLRP3 was located in CD68 macrophages that infiltrated the L4-5 DRG and sciatic nerve. The authors concluded that their findings suggest that the administration of paclitaxel induced mitochondrial damage and reactive oxygen species production that resulted in the activation of the NLRP3 inflammasome in peripheral nerves and contributes to PIPN (Jia et al., 2017).

While no preclinical studies were identified that implicate the transforming growth factor (TGF)- $\beta$  signaling pathway in the development of PIPN, this pathway includes a number of structurally related cytokines that have a wide spectrum of cellular functions. Within the nervous system, TGF- $\beta$ 1 controls the proliferation of neurons and regulates neuronal survival.(Krieglstein et al., 1998) In astroglia, TGF- $\beta$ 1 exerts anti-inflammatory and immunosuppressive effects.(Bottner et al., 2000) In preclinical studies, TGF- $\beta$ 1 prevented the development of neuropathic pain associated with traumatic nerve injury and reversed previously established neuropathic pain.(Chen et al., 2016; Chen et al., 2013; Echeverry et al., 2009).

Gamma amino butyric acid (GABA) is the most abundant inhibitory neurotransmitter within the CNS. In various models of neuropathic pain, decreases in GABA receptor-mediated inhibitory synaptic transmission in dorsal horn neurons occurs following nerve injury.(Gwak and Hulsebosch, 2011; Yin et al., 2018) In addition, in a mouse model of PIPN,(Braz et al., 2015) the intraspinal transplantation of cortical precursors of GABAergic interneurons from the embryonic medial ganglionic eminence reversed both the mechanical allodynia and heat hyperalgesia associated with the administration of paclitaxel.

As noted in a recent review, (Asiedu et al., 2016) the adenosine monophosphate-activated protein kinase (AMPK) signaling pathway is a novel target for the alleviation of neuropathic pain. AMPK activators inhibit signaling pathways that are known to promote changes peripheral nociceptors that result in chronic pain. In the only study that evaluated the role of AMPK in CIPN, (Mao-Ying et al., 2014) the coadministration of platinum with metformin, a drug that activates AMPK, prevented the increased latency associated with detection of an adhesive patch, as well as the decrease in the density of intra-dermal nerve fibers following the administration of CTX in a mouse model.

#### 4.2. Whole-transcriptome profiles of DGE

Using EPA, we identified similar profiles in experimental systems of inflammatory responses, exercise-induced effects, and pre-clinical models of pain (see Table 2). In terms of inflammatory responses, similar profiles of DGE were found between our survivors with and without PIPN and patients with SARS,(Reghunathan et al., 2005) HIV-1,(Ockenhouse et al., 2005) and malaria(Boldt et al., 2019; Ockenhouse et al., 2006) who were compared to healthy or pre-symptomatic individuals. As noted above, neuroinflammation is thought to play a major role in the development and maintenance of PIPN (Makker, Duffy, 2017, Wang et al., 2012). The similarities between our chronic PIPN profile and these pathogen-mediated inflammatory profiles suggests that systemic inflammatory processes may play a role in the development and maintenance of PIPN.

In terms of the findings related to exercise-mediated effects, limited evidence exists that exercise benefits some patients with various types of neuropathic pain,(Dobson et al., 2014) including CIPN.(Kleckner et al., 2018) In our study, compared to survivors without PIPN (87.5%), only 60.0% of the survivors with PIPN reported that they exercised on a regular basis (p = .051). In the EPA, the DGE profiles in the blood from healthy volunteers that was taken before and after increasing levels of exercise (Buttner et al., 2007; Connolly et al., 2004) were similar to the profile in our survivors with and without PIPN. Additional research is warranted on this association because in our two previous analyses of CIPN(Miaskowski et al., 2017) and PIPN(Kober et al., 2018a) in larger samples of survivors who were heterogenous in terms of their cancer diagnoses and/or CTX regimens, no associations were found between the use of regular exercise and the occurrence of neuropathic pain.

Of note, seven profiles from two pre-clinical models of neuropathic pain (i.e., spinal cord injury (SCI)(Shiao and Lee-Kubli, 2018) and L5 spinal nerve ligation (SNL)(Chung et al., 2004; Kim and Chung, 1992)) share a similar whole transcriptome profile of DGE with our cohort. It is important to note that while our survivors' DGE profile was derived using blood samples, the preclinical findings came from a different species (i.e., rodents) and different tissues (i.e., spinal cord, DRG). Gene expression differences across tissues are mainly driven by a small number of genes, (Mele et al., 2015) suggesting that gene expression changes in one tissue may provide insights into gene expression patterns in another tissue. Changes in gene expression in whole blood have been used as a marker for neuronal injury.(Tang et al., 2003) In addition, gene expression patterns in blood, hippocampus, and pre-frontal cortex show broad levels of co-expression.(Witt et al., 2013) Given the recent interest in evaluating for concordance and discordance in the expression of genes that represent known biomarkers and therapies across tissues(Kosti et al., 2016), the controversy about whether changes in GE in peripheral blood reflects changes within the PNS and CNS (Colleoni and Sacerdote, 2010; Seok et al., 2013; Jaggi et al., 2011), and our findings of similarities between human whole blood and pre-clinical models of neuropathic pain in neuronal tissue (i.e., rat DRG (GDS2439) and mouse SC (GDS2159)), future research should evaluate for genes that are similar and unique to these tissue types in relation to PIPN. Another point worth noting is that in some of the preclinical studies that were identified using EPA, differences in GE were evaluated days to months after the nerve injury. This evaluation time frame is consistent with a more chronic pain phenotype and perhaps is more relevant to our cohort of survivors who had PIPN for 3.8 (  $\pm$  3.9) years. The EPA analysis did not evaluate for any specific preclinical or human studies of CIPN or PIPN.

#### 4.3. Conclusions

Taken together, these findings suggest that in addition to perturbed pathways associated with mitochondrial dysfunction (Kober et al., 2018b), perturbations in pro- and anti-inflammatory pathways associated with neuroinflammation are found in cancer survivors with PIPN. Furthermore, whole transcriptome profiles of DGE patterns in preclinical and clinical studies provide additional support for our findings.

#### 4.4. Limitations

Several limitations need to be considered. While our sample size was relatively small, we had an extremely well characterized sample of breast cancer survivors with and without PIPN. Of note, no differences were found in the total cumulative dose of paclitaxel that these survivors received. Although not significantly different between groups in this study, exercise regularity may contribute to differences in differential gene expression between breast cancer survivors with and without PIPN. Given that an evaluation of differences in gene expression from DRG neurons cannot be done in living individuals, like others, (Langjahr et al., 2018; Uceyler et al., 2007) we evaluated for differences in RNA expression using peripheral blood. Some limitations of using whole blood to evaluate for patterns of expression in other tissues include: blood shows the largest number of uniquely expressed genes (Mele et al., 2015); blood has the smallest number of expressed transcripts across tissues;(Consortium, 2015) and baseline blood does not share similar whole-transcriptome pattern with DRG, as well as other CNS or PNS tissue.(Ray et al., 2018).

#### 4.5. Future research

This study is the first to provide molecular evidence that neuroinflammatory mechanisms identified in preclinical models of various types of neuropathic pain including CIPN (Makker, Duffy, 2017; Wang et al., 2012), are found in peripheral blood leukocytes of cancer survivors with persistent PIPN. Despite the limitations of the analyses of RNA from blood, we did observe profiles of DGE and perturbations in these processes, which suggest persistent damage and/or changes in the PNS. Given that these survivors had PIPN for approximately four years, our findings suggest that chronic neuroinflammation is involved in the maintenance of this neuropathic pain condition. Future studies need to evaluate for differences in epigenetic changes (i.e., methylation, microRNA) between survivors with and without PIPN, which may reflect changes in regulation patterns.

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#### **Declaration of Competing Interest**

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