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Authors Krystal, John H State, Matthew W

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Psychiatric Disorders: Diagnosis to Therapy

John H. Krystal1 and **Matthew W. State**²

¹Yale University School of Medicine, Departments of Psychiatry and Neurobiology, New Haven **Connecticut**

²University of California, San Francisco, Department of Psychiatry, San Francisco, CA

Abstract

Recent findings in a range of scientific disciplines are challenging the conventional wisdom regarding the etiology, classification and treatment of psychiatric disorders. This review focuses on the current state of the psychiatric diagnostic nosology and recent progress in three areas: genomics, neuroimaging, and therapeutics development. The accelerating pace of novel and unexpected findings is transforming the understanding of mental illness and represents a hopeful sign that the approaches and models that have sustained the field for the past 40 years are yielding to a flood of new data and presaging the emergence of a new and more powerful scientific paradigm.

> Recent findings in genetics and genomics, neurobiology, cognitive neuroscience, neuroimaging and pharmacology are presenting an accelerating array of challenges to the conventional wisdom regarding the etiology, classification, and treatment of psychiatric conditions, and forcing a reappraisal of research methods and approaches. Psychiatry now finds itself in the midst of considerable intellectual turmoil and facing some striking contradictions. Recent successful efforts at gene discovery are validating the utility of longsuspect categorical diagnoses while simultaneously undermining foundational elements of these same diagnostic schemes. Much of the pharmaceutical industry is withdrawing from psychiatric research while antidepressants and antipsychotics continue to rank among the top selling therapeutic agents in the United States. Increased public awareness has focused national attention on the importance of the treatment of psychiatric disorders and yet the legitimacy of psychiatry as a medical discipline continues to be debated, as it has been for decades (Szasz, 1960), and a shamefully large proportion of seriously and chronically mentally ill individuals are cared for in the justice system, instead of in the healthcare system

Correspondence to matthew.state@ucsf.edu or john.krystal@yale.edu.

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And despite obvious and rapid scientific advances, there is widespread frustration with the overall pace of progress in understanding and treating serious psychiatric illness. The beginning of the transition from an era dominated by psychoanalytic thinking to a "medical/ biological" paradigm, in the 1960s and 1970s, was accompanied by high expectations. Yet, the wave of discovery in psychopharmacology that helped drive this transition was followed by what can only be described as a fallow period. There have been strikingly few novel treatment targets for serious mental illness identified and brought to market since the serendipitous identification of lithium, anti-psychotics and anti-depressants nearly 40 years ago (Hyman, 2013). For many of the most serious and debilitating disorders, this failure has not been the result of a general lack of interest or investment, but rather a consequence of their etiological complexity and the attendant difficulties in characterizing molecular, cellular and circuit level mechanisms that translate into viable treatment targets.

In light of these realities, it might seem folly to argue the case for optimism. But, despite the halting progress, the ongoing challenges and the controversies, the near-term future of psychiatry has, in fact, never been brighter: On the one hand, a growing appreciation of the extraordinary burden, world wide, of psychiatric illness (Vos et al., 2012), coupled with changes in the landscape of health care financing in the US are opening doors to a fuller integration of mental health care with other medical disciplines. Just as importantly, recent dramatic scientific advances, including the ability to systematically and reliably identify genetic risks, efficiently edit the genome, elaborate the anatomical and molecular landscape of the developing human brain, pursue circuit level analyses in both humans and model organisms, and follow-up on therapeutic observations with an unprecedented degree of molecular resolution, are leading the field toward a tipping point. The current generation of psychiatric trainees will practice in an era of profound transformation in the understanding of and ability to treat serious mental illness.

The Ongoing Challenge of Psychiatric Diagnosis

The current intellectual challenges confronting psychiatry are clearly evident in ongoing debates over diagnostic schema. The difficulty in arriving at a widely accepted, biologicallyrelevant nosology reflects the still rudimentary understanding of the neural mechanisms of cognition, behavior and emotion, the even more limited understanding of the intersection of pathophysiological mechanisms with these processes, and the unique character of psychiatry as a medical discipline. The field still lacks objective measures of psychopathology and biomarkers that reliably delineate normal from disease states, and one disease state from another. Moreover, more so than in any other area of medicine, conceptions about mental health and disease remain profoundly influenced by social and cultural norms and stigma.

The field has, from its inception, confronted the difficult task of categorizing, studying and treating manifestly debilitating disorders without being able to consistently and definitively establish the properties of psychiatric illness as opposed to variations in normal human behavior. This challenge, while perhaps particularly nuanced and contentious as a consequence of addressing complex human behavior, is certainly not unique to psychiatry. Every field in medicine has relied to one degree or another on descriptive approaches and confronted the ambiguities at the boundaries of disease.

Not surprisingly, then, as the field began, in the middle of the last century to wrestle with the limitations of diagnoses based on psychoanalytic theory, as well as with the inability to identify relevant neuropathology, it turned to other areas of medicine for inspiration. Beginning in the late 1950s, Eli Robins, Samuel Guze and George Winokur led an influential school of thought focused on developing a nosological system based on the idea diagnostic validity. The authors identified key domains for defining discrete diagnoses, including: clinical description, laboratory studies, exclusion of other disorders, follow-up studies and family history(Robins and Guze, 1970). These concepts served as the basis for the development of the first large-scale efforts at creating valid categorical diagnoses which, in turn, evolved into an widely-used set of Research Diagnostic Criteria (Spitzer et al., 1978) and subsequently, became the conceptual foundation for the Diagnostic and Statistical Manual (DSM) from the 1980s onward, including the most recent version, DSM-V.

This move toward categorical definitions served multiple valuable purposes. It provided, at a critical time, a shared language for clinicians, scientists, courts, and private and public funders of healthcare, set the stage for investigations of increasingly large cohorts, established an important foundation for epidemiological studies, and served as the basis for insurance reimbursement. Nonetheless, over time, it became clear that the limitations of the approach were particularly pronounced, even when compared with syndromic diagnoses in other areas of in medicine: The persistent inability to identify biomarkers continues to dictate a wholesale reliance on the subjective experience of patients and families and their equally subjective interpretation by physicians; clinicians are ultimately forced to make categorical distinctions within domains of cognitive, behavioral and emotional functioning that are continuously distributed in the population or across subgroups of patients; and the creation of new diagnoses or the modification of existing criteria, of necessity, still relies in part on conventional wisdom and consensus as well as data. The end result is a deep and seemingly expanding chasm between clinical diagnostic classification and the advancing understanding of brain and molecular science. Moreover, in practice, the field confronts the vexing situation in which some diagnoses are so obvious that detailed, elaborate criteria are essentially irrelevant to clinical practice. While, at the same time, for many conditions, the boundaries between typical and pathological states can be sufficiently subtle and difficult to codify that even apparently modest changes in diagnostic criteria can prompt intense debate and consternation.

In an effort to address the longstanding critiques and clear limitations of categorical approaches to diagnosis, Dr. Thomas Insel, Director of the National Institute of Mental Health (NIMH), has taken the lead in developing a dimensional approach to clinical observation, codified in the new Research Domain Criteria (Insel et al., 2010). This is based on a matrix of major neural systems (specifically: negative valence systems; positive valence systems, cognitive systems, social processing systems, arousal and regulatory systems), which are assessed across multiple units of analysis (including: genes, molecules, cells, circuits, physiology, behavior and patient report). The ultimate aim of this effort is not to serve as a substitute for the DSM at present, but as a first concerted foray into a dimensional approach that has the capacity to provide a stronger foundation for research into pathophysiology, which ultimately may inform future clinical classification schemes and, eventually, help identify new targets for treatment development.

This approach promises to move the field toward a more useful integration of clinical observation and biological understanding. It is also likely that, at least for the foreseeable future, psychiatry will increasingly rely on a hybrid of categorical, dimensional and biological descriptors. In other areas of medicine, one sees a synergistic application of traditional clinical or organ-based diagnoses, molecular characterization of pathology, and dimensional diagnostic and prognostic markers. One can envision for psychiatry a similar integration of categorical diagnoses, rapidly emerging genomic and other forms of biological data, and an anatomically-informed dimensional schema, serving as a viable path forward.

Advances in Psychiatric Genetics and Genomics

Perhaps the most surprising recent observation with regard to psychiatric nosology is the extent to which current approaches have proven adequate to drive the science. In fact, despite a widespread reliance on categorical diagnoses, one of the most productive areas of psychiatric research in the last half decade has been in human genetics. Here findings are reproducibly identifying risk genes and supporting neurobiological studies illuminating molecular, cellular and circuit level pathology. In addition, these advances are prompting a reevaluation of current thinking regarding underlying mechanisms of disease and the research paradigms that will be necessary to move the field forward.

The single most important development in psychiatric genetics has been the very recent emergence of systematic and reliable approaches to gene discovery. This stands in stark contrast to the prior era in which it seemed the search for replicable genetic risks for common psychiatric disorders might prove futile. Interestingly, despite the obvious importance of now being able to establish, definitively, that specific genes carry demonstrable risk, the significance of these developments appears to not yet be uniformly appreciated in the field. The prior era yielded hundreds of reports of putative associations based on hypothesis-driven studies of select common polymorphisms (defined as alleles with a population frequency of 1 percent or greater) mapping in or near a small number of biologically-plausible candidate genes. And despite the now-widely-recognized flaws of this approach (Hirschhorn and Altshuler, 2002) underpowered, poorly-controlled, and nonreplicating common variant candidate-gene studies continue to be published in the psychiatric literature, and a not insignificant share of neurobiological investigations continue to rely on highly questionable genetic data for developing hypotheses and pursuing pathological mechanisms. This despite the manifest success of genome-wide discovery methods, including genome wide association studies (GWAS) of common alleles, genomewide studies of copy number variation (CNV), whole-exome and whole-genome sequencing, for several paradigmatic disorders.

The emergence of a new state-of-the-art in psychiatric genomics has been reviewed elsewhere (Altshuler et al., 2008; Malhotra and Sebat, 2012; Veltman and Brunner, 2012). Briefly, the development of high resolution, high-throughput, and low cost genomic technologies are increasingly allowing for the (largely) unbiased assessment of variation, both common and rare, across the human genome. Simultaneously, it has become clear that the effect sizes of genetic contributions to common psychiatric disorders, in general, are

considerably smaller than expected, leading to the realization that cohort sizes had to be dramatically larger than previously anticipated. In addition, the advent of dense micro-arrays and next generation sequencing allowed for the assessment of the contribution of several classes of germ-line genetic variation that had previously either been unappreciated, for example widespread copy number variation in typical individuals, or over-looked, as is the case with regard to the potential contribution of rare *de novo* mutation to common psychiatric disorders.

In fact, the convergence of new genomic technologies, improved statistical methods, an elaboration of key confounds in case-control genomic studies, dramatically larger cohorts and open-access biomaterials have led to a series of truly remarkable findings of late, particularly with regard to schizophrenia, bipolar disorder, autism spectrum disorders (ASD) and other neurodevelopmental syndromes. Findings in the first two of these areas have largely been in regards to common polymorphisms, while advances in gene discovery in ASD, epilepsy, and intellectual disability have predominantly involved rare and *de novo* variation. This dichotomy, as well as a dearth of results so far from GWAS studies of, for example, major depressive disorder (MDD), ASD, attention deficit hyperactivity disorder (ADHD), obsessive-compulsive disorder (OCD), PTSD (Post Traumatic Stress Disorder) and Tourette disorder, has been the subject of considerable discussion. The most compelling recent evidence suggests both that the proportional contribution of common and rare risk alleles differ for different disorders (as categorically defined) and that difficulty or delay in identifying genome-wide evidence for specific common alleles for many common psychiatric conditions is likely the result of still underpowered study cohorts.

Several other notable observations have emerged from recent genomic studies that point to the challenges ahead. First, in general, the estimated scale of locus heterogeneity (i.e. the number of independent genes that have the potential to increase risk for a disorder) has been found to be exceptionally high. For example, recent exome-sequencing studies of ASD have estimated between several hundred to 1000 genes anticipate carrying risk as a consequence of just *de novo* point mutations (Iossifov et al., 2012; Sanders et al., 2012).

The illumination of extensive genetic heterogeneity has been accompanied by the discovery of remarkable phenotypic variability. For example, a large proportion of the copy number variation has been found to carry risks for a wide range of independent outcomes including schizophrenia, intellectual disability, ASD, specific language impairment and reduced cognitive abilities that fall within the typical range (Malhotra and Sebat, 2012; Stefansson et al., 2013). Similarly, recent findings from whole-exome sequencing in individuals with schizophrenia (Fromer et al., 2014) and epilepsy(Epi et al., 2013) have pointed to a subset of genes that carry risk that cross diagnostic boundaries including ASD and cognitive functioning, in addition to these primary diagnoses.

This overlap is not exclusive to high-effect rare variants. A recent analysis of single nucleotide polymorphism (SNP)-based heritability across five disorders (Cross-Disorder Group of the Psychiatric Genomics et al., 2013) found shared risk for schizophrenia and bipolar disorder, with less, but still significant, overlap for bipolar disorder and MDD, schizophrenia and MDD, MDD and ADHD, and ASD and schizophrenia. These findings

Overall, the notion that a given SNP, *de novo* mutation, deletion or duplication in the genome can lead to diagnoses that cut across well-accepted boundaries is a thought provoking observation. Some degree of overlap was surely anticipated for closely related conditions such as schizophrenia and schizoaffective disorder. However, the degree to which risks have been found to cross syndromes with distinctive symptomatology, natural history and treatment response, has been a surprise. Moreover, the wide range of findings across multiple studies using research diagnostic criteria, and the consistency of results across disorders and mutation classes, makes the notion that this phenomenon may be explained entirely by cryptic co-morbidity or diagnostic ambiguity, increasingly unlikely. And while there are sure to be a series of specific explanations for the "one to many" relationships now being observed between genotype and phenotype, these findings are increasingly mounting a challenge to the notion of etiological specificity.

As noted above, a foundational element in psychiatric diagnosis, dating back to Robins, Guze and Winokur (Robins and Guze, 1970) has been that a valid category must be able to identify one disorder and exclude another. This has led in some quarters to the expectation that as etiological mechanisms were elaborated, they would be found to be specific for a given phenotype and/or help to better parse current diagnostic categories. With regard to genetics, this translated into some expectation that certain genes would be closely and directly tied to subsets of complex behaviors or phenotypes. For example, that multiple genes underlying autism might parse into subgroups separately involved in coding for cognition, social communication and language functioning.

The observation that the apparently identical mutation in one gene or genomic interval can lead to psychosis or language impairment or social deficits or epilepsy, or some combination of these phenotypes certainly challenges this view. Instead, it suggests that some significant portion of genetic risk impairs fundamental ("non-diagnosis-specific") processes in brain development and functioning, and that the emergence of diverse clinical (or subclinical) phenotypes in these cases, results from inputs other than the identified "etiological" germline genetic variant, even when this is found to carry relatively large risks (State and Levitt, 2011; State and Sestan, 2012). These additional factors plausibly include epigenetic mechanisms, stochastic events, environmental variables, polygenic modifiers, somatic mutation, the microbiome and immune function, among others. Clarifying the nature and relative contribution of these inputs remains a considerable challenge. However, there is little question that success in gene discovery combined with advancing "omics" technologies, an increasingly nuanced understanding of the characteristics of many of these variables, and the development of large-scale and epidemiologically-based study cohorts coupled with the ability to ascertain groups of individuals based on mutation status, irrespective of clinical diagnosis, will help resolve these critically important questions.

Moreover, with the caveat that reliable gene discovery in psychiatry is still in its infancy, the recent finding are not only challenging psychiatric nosology but also the some aspects of the conventional wisdom regarding translational neuroscience. The standard "bottom-up"

paradigm in psychiatry for moving from gene discovery to the identification of treatment target has relied largely on an approach that has historically been successful in leveraging the identification of Mendelian mutations, namely knocking-out a gene of interest and exploring the resulting, and often the most overtly dramatic, phenotype(s) (Figure 1A).

This approach will require some re-evaluation given: 1) The sheer number of risk alleles already identified; 2) the very small effect sizes conferred particularly by common variation; 3) the polygenic nature of risk reflected in studies of both common and rare variants (International Schizophrenia et al., 2009; Purcell et al., 2014); 4) the observation that many common risk alleles are both heterozygous and fall outside of coding regions; 5) the widely varied psychiatric outcomes emerging from the identical or similar mutations; and, 6) particularly for neurodevelopmental disorders, the common observation of biological pleiotropy, in which a single gene may encode the same or multiple proteins isoforms serving multiple functions across development (Figure 1B).

A recent spate of studies focusing on systems biological approaches has emerged in part as a response to these types challenges. The underlying rationale for such studies is that identifying points of convergence among multiple risk genes may be a necessary prelude to dissecting specific disease-related mechanisms. An initial wave of these studies have relied on protein-protein interaction databases, gene ontologies, and expression analyses in normal versus pathological tissue to organize various risk or candidate genes and identify areas of shared biology (O'Roak et al., 2012; Pinto et al., 2010; Voineagu et al., 2011) (Figure 2). More recently, studies focusing on autism and schizophrenia have attempted to incorporate developmental variables by leveraging human brain expression data from the Brainspan project (Gulsuner et al., 2013; Parikshak et al., 2013; Willsey et al., 2013).

All of these approaches have revealed a marked degree of convergence, particularly given the expected scale of locus heterogeneity. This is certainly good new for those concerned that a thousand risk alleles might suggest the need for a thousand different treatments. Moreover, recent studies have broadened the view of the range of possible points of intersection for diverse sets of risk mutations to include both individual pathways and cellular compartments (e.g the synapse) as well as developmental timing and circuit-level anatomy (State and Sestan, 2012)(Figure 2).

A key issue for the future of these types of systems biological investigations is the current state of foundational resources. For example, current protein-protein interaction databases typically have only a tenuous connection with human brain or development, pathological specimens for all neuropsychiatric disorders are terribly limited, and efforts such as Brainspan, which are generating tremendously useful resources with regard to normative brain development, are still in their early stages. In this regard, the recent interim report on the Brain Research Through Advancing Innovative Technologies (BRAIN) initiative highlights priorities that will be critical for understanding the genetic contribution to complex psychiatric disorders, including the elaboration of cell specific data in developing and developed brain ([http://www.nih.gov/science/brain/11252013-Interim-Report-](http://www.nih.gov/science/brain/11252013-Interim-Report-ExecSumm.pdf)[ExecSumm.pdf.](http://www.nih.gov/science/brain/11252013-Interim-Report-ExecSumm.pdf))

Clearly, recent advances in neurobiology and related sciences are already having a profoundly empowering effect on the prospects for illuminating genetic findings and clarifying the etiology of psychiatric disorders. These developments are too protean to review extensively here. Suffice it to say that advances in neuroscience are transforming the capacity to understand genetic variation and its consequence on brain structure and function: for example, the development of vastly improved genome editing technologies are allowing for the creation and study of model systems at an unprecedented scale and pace, and with the possibility of investigating multiple mutations simultaneously; new imaging techniques are offering an ability to visualize living and post-mortem tissue in profoundly more informative ways; the combination of stem cell technology and genomic editing is moving the field closer to being able to test hypothesis in a highly relevant biological context; and the emerging maps of molecular, cellular and circuit level landscape of brain in multiple species are providing a critical foundation for future studies.

Thus, despite the increasing complexity suggested by recent genetic findings, the challenges these pose to the conventional wisdom regarding diagnostic classification and research paradigms, and uneven progress across currently-defined disorders, the prospects for a transformation of our understanding of genes, brain and behavior and their roles in psychopathology have never been greater or closer at hand. This "best of times, worst of times" quality is likewise reflected in the areas of neuroimaging and therapeutics development, as discussed below.

Advances in Psychiatric Imaging

During the remarkable epoch when Kraepelin, Alzheimer, and their colleagues described features of dementia, schizophrenia, and bipolar disorder that persist in current diagnostic schema, both the opportunities and challenges associated with understanding their etiology were already apparent. The identification of profound brain atrophy combined with neural plaques and tangles in post-mortem tissue associated with the cognitive, emotional, and behavioral changes of dementia hinted that many neuropsychiatric disorders might be linked to identifiable neuropathophysiologic substrates. However, the failure of the same group of scientists using the same methods to uncover a compelling neurobiology for *dementia praecox* (schizophrenia) or manic-depressive disorder quickly highlighted the relatively greater complexity of the underlying neurobiology of these disorders.

Now, despite the more than 15,000 papers listed in PubMed for "magnetic resonance imaging and psychiatry" and the nearly 3000 papers listed for "positron emission tomography and psychiatry" there has been no neuroimaging biomarker for psychiatric disorders established sufficiently to guide psychiatric diagnosis or treatment. There are many factors that are likely to have contributed to this lack of progress ranging from the absence, until quite recently, of sufficiently large-scale studies designed expressly for this purpose, to the lack of a sufficiently clear understanding of the underlying biology of these disorders to guide the development of informative biomarkers.

Nonetheless, neuroimaging has emerged over the past 30 years as a critically important research strategy, leveraging an expanding array of available tools, including radiographic

(i.e., x-ray based), magnetic resonance-based (i.e., MRI, DTI, fMRI, ASL, MRA, MRS), emission tomographic (i.e., PET, SPECT), near infrared spectroscopic, and electrophysiologic (electroencephalography, magnetoencephelography) approaches to evaluating human brain structure, function, and chemistry. These current technologies have proven to be useful for validating long-standing pathophysiologic hypotheses, empowering advances in cognitive neuroscience, implicating novel mechanisms in pathophysiology, and beginning to characterize macro-circuit level contributions to behavior and psychiatric disorders.

The contribution of imaging techniques to testing pathophysiological hypotheses is perhaps best exemplified in work on the role of dopamine in pathology of schizophrenia. By the mid-1970's it was hypothesized that dopamine played a central role based on three cardinal observations: 1) amphetamine appeared to produce psychotic symptoms in humans not previously diagnosed with a psychotic disorder and to worsen symptoms in patients diagnosed with schizophrenia; 2) animal models suggested that amphetamine's effects were mediated, at least in part, by dopamine; and 3) psychotic symptoms could be treated by depleting brain dopamine or by blocking dopamine receptors (Snyder, 1972).

While it took nearly 25 years, the advent of SPECT and PET imaging, the development of low-affinity radiotracers for the D2 dopamine receptor, and the validation of amphetamineinduced displacement of these D2 radiotracers from their receptors as an indirect measure of dopamine release, this research ultimately demonstrated: 1) increased striatal dopamine release in schizophrenia, including in medication-naïve patients; 2) that increased dopamine release was a feature of the dorsal associative striatum rather than the ventral limbic striatum or cortex; 3) that dopamine increases were associated with the level of psychotic symptoms, and were less markedly elevated in non-psychotic patients with schizophrenia; and, 4) the extent of the increased occupancy of D2 receptors by dopamine in patients correlated with the predicted optimal occupancy of these receptors by D2 receptor antagonist medications, i.e., that treatment worked by reducing dorsal striatal D2 signaling to a level similar to or even below that of healthy subjects. Further progress placed disturbances in dopamine signaling in a broader neurobiological context, particularly emphasizing primary abnormalities in glutamate signaling that might give rise to hyperactivity of dopamine inputs into the striatum(Laruelle et al., 2005).

Although functional MRI (fMRI) does not yet guide psychiatric diagnosis or treatment, it has played a key role in translational cognitive neuroscience. For example, fMRI studies have implicated amygdala-related circuits (i.e.: amgydala, ventral striatum, orbital frontal cortex, anterior cingulate, insula, anterior hippocampus) in the acquisition (Buchel and Dolan, 2000), reconsolidation (Schiller and Phelps, 2011), and extinction (LaBar et al., 1998) of human fear. This work also supported learning theory-related models involving these same circuits in anxiety disorders and provided evidence that cognitive-behavioral (Barsaglini et al., 2013) and pharmacologic (Paulus et al., 2005) treatments normalized circuit activity. Closely related circuitry were linked to addictions, to rewarding behaviors (e.g, gambling, sex) and to abused substances (e,g, alcohol, cocaine, food, opiates). Further, fMRI provided human circuit-based insights into the addiction process, i.e. intoxication, the acquisition and extinction of Pavlovian conditioned responses, stress- and cue-induced

reinstatement of craving, reward-related instrumental learning, and habit formation (Fryer et al., 2013; Goldstein et al., 2009; O'Doherty et al., 2004; Potenza et al., 2012; Prevost et al., 2012; Sjoerds et al., 2013). Elements of these same circuits are involved broadly in psychopathology, such as depression-related anhedonia, the negative symptoms of schizophrenia, psychotic delusions and obsessions. In short, these studies implicated common macrocircuits across a wide range of psychiatric diagnoses without shedding light on pathology at the microcircuit, synaptic, or molecular level. As a result, the involvement of similar circuits across clinical conditions does not imply that they share a common etiology or respond to similar treatments. However, these molecular insights may emerge from studies that combine fMRI with pharmacology, genetics, and neurochemical brain imaging (MRS, PET, SPECT)

Neuroimaging has also implicated disturbances in cortical connectivity in psychiatric disability. In schizophrenia, findings include progressive reductions in cortical gray and white matter volume on MRI (Olabi et al., 2011), decreases in the integrity of white matter tracks as measured by DTI (Fitzsimmons et al., 2013), abnormalities in covariant brain activity (resting functional connectivity) (Yu et al., 2012), and deficits in signal over background activity of high frequency cortical oscillations on EEG (Uhlhaas, 2013). While some studies highlight regional changes, particularly involving prefrontal or temporal cortices, other studies highlight the existence of global changes or large-scale shifts in the pattern (Anticevic et al., 2013) or organizational structure of cortical functional connectivity. Studies of functional connectivity are paralleled by fMRI studies describing reduced activation of neural circuits sub-serving a wide range of cognitive functions and reductions of the functional connectivity in these circuits as they are activated during task performance.

Neuroimaging techniques also provide insights into links between neuro-inflammation, glial dysfunction, and glutamate synaptic dysfunction in depression (Krystal et al., 2013; Miller et al., 2009). Glia are particularly vulnerable to injury by these inflammatory processes, consistent with post-mortem data of reductions in glial populations associated with depression (Sanacora and Banasr, 2013). These glial deficits would be predicted to reduce their capacity to take up and activate glutamate, raising extra-synaptic glutamate levels. Presynaptically, this is hypothesized to suppress synaptic glutamate release via metabotropic glutamate receptors (mGluR2) and postsynaptically to cause reductions in synaptic connectivity by over-stimulating extra-synaptic NMDA receptors, especially receptors bearing the NR2B subunit (Krystal et al., 2013).

Recently, magnetic resonance spectroscopy (MRS) has emerged as a powerful tool for characterizing disturbances in the functional integrity of neuronal and glial mechanisms in energy metabolism and amino acid neurotransmission related to psychiatric disorders. 13C-MRS using 13C-labled glucose and acetate as metabolic tracers is a powerful tool for characterizing the impact of glial dysfunction and loss on brain energy metabolism and glutamate neurotransmission in animal models (Banasr et al., 2010) and for characterizing glutamatergic transmission and cellular energetics in animals (Chowdhury et al., 2012). 1H-MRS spectroscopy studies have characterized reductions in glutamatergic and GABAergic neurotransmission in depressed patients (Sanacora et al., 2000; Yuksel and Ongur, 2010). However, the interpretation of the large number of 1H-MRS studies measuring voxel

glutamate and glutamine levels are less clear as these measures are not simply or directly related to the rate of glutamate neurotransmission. Thus it is not clear why ketamine, a drug known to increase glutamate release in some circuits increased glutamate but not the total of glutamate and glutamine levels in one study (Stone et al., 2012), but raised glutamine but not glutamate in another (Rowland et al., 2005). As a result, 13C-MRS combined with the use of isotopic tracers remains the only definitive spectroscopic approach to characterize neuronal and glial energy metabolism.

Finally, neuroimaging has made unique contributions to the current understanding of brain macrocircuits (Buckner et al., 2013; Craddock et al., 2013). This progress is both identifying novel features of human cortical functional organization and shedding light on links between circuitry, normal behavior, and psychiatric disorders. New insights into macrocircuits involvement in cognition, behavior, emotion, and psychiatric symptoms has played an important role in inspiring the RDoC initiative as an alternative to categorical diagnostic approaches to psychiatry.

However, neuroimaging has yet to emerge as a psychiatric diagnostic tool. Support vector machine learning has been applied to structural MRI, DTI and fMRI data with the aim of identifying patterns of results that could substitute for symptoms as a basis for psychiatric diagnoses. This is an a-theoretical approach through which a computer identifies patterns in a dependent measure that maximizes subgroup differences within any data set, i.e., categorizes subjects (Orru et al., 2012). Thus if diagnoses were based simply on discrete patterns of changes in a set of measures, for example the data points in an MRI scan, this approach could prove to be a powerful means to define diagnoses. The approach has been applied to a range of psychiatric diagnoses, including schizophrenia, bipolar disorder, and major depression (Lord et al., 2012; Mwangi et al., 2012; Schnack et al., 2014). To date, studies appear to be able to separate healthy subjects from patients diagnosed with schizophrenia with 70–90% accuracy and to separate patients with bipolar disorder from both groups with lower levels of accuracy. It does not appear, however, that these imaging techniques have the reliability or discriminative validity to improve the diagnostic process beyond clinical interviewing. However, these approaches have been applied to relatively small samples (<100/group) and much larger cohorts might yield greater sensitivity and specificity if current diagnostic categories are roughly representative of discrete patterns of changes in brain structure and function.

Of course, it is not a foregone conclusion that available neuroimaging approaches have the capacity to inform current diagnostic schemes. As described earlier, overlap in the genes contributing to a wide range of psychiatric disorders suggests at least one model in which a more general risk imparted by variations in the human genome are conferred greater symptomatic/diagnostic specificity by other factors or at other levels of analysis. What these are remain uncertain, but one possibility is that in addition to genetic overlap, there is also substantial macro-circuit level overlap underlying these conditions as well. And, it would follow that the greater the overlap in neuroimaging findings across diagnoses, the more challenging it will be to use these types of approaches for diagnostic purposes.

Current imaging techniques are also limited because they describe brain structure, function, and chemistry with far lower spatial and temporal resolution or reduced sensitivity compared to the more invasive and informative techniques that can be applied to the study of animals. The result is that human studies employing functional neuroimaging, for example, are not simply a foggy version of single unit recordings made in the animal brain. Rather these clinical research studies query the brain in ways that differ fundamentally from studies conducted in animals.

This observation points to continuing critical technical gaps in the ability of neuroimaging to characterize the structure, function, and chemistry of microcircuits, which constitutes a fundamental obstacle to the translation of knowledge across the levels of genes, proteins, cells, local networks, distributed networks, and behavior in the human brain. Failure to capture the diversity of molecular, synaptic, cellular, and microcircuit mechanisms that are currently beyond the resolution of neuroimaging and which might give rise to common disturbances in macro-circuit function is a potentially fundamental challenge to the application of neuroimaging to diagnosis and medication development.

As noted above, there may be ways to create heuristic and computational models that enable neuroscience research to traverse the knowledge gap between basic and clinical neuroscience research, perhaps through uniting neuroimaging with data from genetics, pharmacology, data from animal models, human post-mortem tissue, induced human pluripotent stem cells, cultured nasal neuroepithelial cells, and clinical data (Brennand et al., 2013; Schadt and Bjorkegren, 2012). However, these integrative strategies currently are largely exploratory in nature. Moreover, important initiatives such as the Human Connectome Project and, more recently, the BRAIN Project collectively are likely to lead to the development of new tools that will further increase study resolution and yield a more informed understanding of the relationship between neuroimaging and electrophysiological findings, and neural processing (Leopold and Maier, 2012; Logothetis, 2012). Recent, very elegant studies serve as a harbinger of these possibilities, using electrophysiological approaches directly on the surface of human brain in patients undergoing epilepsy surgery, to dissect complex human behaviors, including speech and language(Bouchard et al., 2013).

Still, it remains to be seen whether current approaches, as powerful as they are, will be adequate to inform a diagnostic system that builds from pathology at the level of the synapse or local circuit. Similarly, the exploratory nature of neuroimaging findings combined with their limitations with regards to characterization of microcircuit mechanism seem to constitute fundamental limitations in the ability to minimize or "de-risk" the exploratory nature of psychiatry drug development. Thus, it may not be surprising that neuroimaging approaches have yet to identify a new treatment mechanism for psychiatric disorders.

Psychiatric Therapeutics

The field of psychiatry and individuals with psychiatric disorders are in desperate need of treatment breakthroughs. Most classes of medications employed in psychiatry are at least 40 years old and show little or no diagnostic specificity. Moreover, patients are treated increasingly with combinations of medications from several treatment classes. It has been

seven years since a drug with an arguably novel mechanism of action, the nicotine receptor partial agonist verenicline, has been approved for a psychiatric indication, namely for tobacco addiction. And, apart from the identification of clozapine pharmacotherapy for treatment-resistant symptoms schizophrenia in mid-1980s, it is difficult to recall another example of a truly novel mechanism driving the marketing of a psychiatric medication since the very early days of psychopharmacology.

Moreover, there is very limited depth of US FDA-approved treatment options for most disorders. A large number of novel treatment mechanisms have been explored by the pharmaceutical industry for the treatment of some conditions, such as schizophrenia, depression, and generalized anxiety disorder, while most other syndromes receive little industry investment. For example, cocaine or cannabis addiction have no FDA approved pharmacotherapy. There is a particular dearth of FDA approved agents for childhood disorders, in part as a consequence of knowledge gaps and in part a practical consequence of the challenges associated with studying drugs in the pediatric population.

The limited armamentarium is up against a truly enormous world-wide burden of psychiatric morbidity: for example: seven of the top 20 most disabling medical conditions in the world are illnesses treated by psychiatrists, with depression number two on this list (Vos et al., 2012). Patients diagnosed with one of these chronic disabling disorders die, on average, 25 years earlier than the general population (Lutterman et al., 2003). The top three causes of death in young adults are frequently complications of psychiatric disorders including suicide, homicide, and accidents ([http://www.cdc.gov/injury/overview/data.html\)](http://www.cdc.gov/injury/overview/data.html). In fact, it is estimated that over 20% of hospitalized patients diagnosed with bipolar disorder may eventually die by suicide. Consequently, there is tremendous urgency in identifying novel treatment targets and mechanisms in order to respond to the need for more and more effective treatments.

In the current era, where scientific discovery and innovation is occurring across a range of relevant disciplines, new treatments seem to be emerging from many levels of investigation, including clinical observations, neuroimaging findings, and animal models (Figure 3). Interestingly, despite the recent wave of discovery in genetics and genomics, one strategy that has yet to definitively succeed in psychiatry is the direct translation from genetic variation to biology to treatment, despite efforts to apply this strategy to Alzheimer disease (antibodies to Abeta protein, gamma secretase inhibitors, beta secretase inhibitors) autism (metabotropic glutamate receptor-5 antagonists). To illustrate paths that are leading to recent exciting therapeutic developments, this review will focus on the development of new rapidacting antidepressants, which emerged from clinical observation; the development of drugs to enhance synaptic neuroplasticity to enhance the efficacy of pharmacotherapy, based on animal models; and the development of neuro-stimulation treatments that developed as a consequence of neuroimaging studies of depression.

Rapid-acting antidepressant medications may become the first fundamentally new class of pharmacotherapies for psychiatry in several decades (Krystal et al., 2013). The prototype for this class of medications, ketamine, was identified in academia through basic scienceinformed human experimentation (Berman et al., 2000). Ketamine is an uncompetitive

NMDA glutamate receptor antagonist that had been first studied in humans as a probe for the neurobiology of schizophrenia (Krystal et al., 2003). In the attempt to extend this work to the study of depression, and informed by preclinical descriptions of antidepressant effects of these drugs (Skolnick et al., 2009; Trullas and Skolnick, 1990), a pilot study was conducted in patients with treatment-resistant symptoms, published in 2000, that reported: clinical improvement in patients within 4 hours of a single dose, 50% of patients meeting criteria for clinical response within 24 hours, and response lasting for up to two weeks (Berman et al., 2000). This pattern stands in marked contrast to the characteristics of standard treatments for depression, which have been comprehensively described in the NIMH STAR*D study: including an seven week interval, on average, to remission in treatment-responsive patients, and a 10–15% response rate for treatment-resistant patients following medication changes in those who initially failed a trail of a serotonin uptake inhibitor (Gaynes et al., 2009).

Within a decade, there were more than a dozen replications of the therapeutic effects of ketamine without a single negative study. These documented prominent reductions in suicidal ideation, response rates in the 50%–80% range (including in electroconvulsive therapy non-responders), a third of patients sustaining response from a single dose for two weeks, and preliminary evidence that the antidepressant responses of a single dose could be sustained by repeated ketamine dosing (Aan Het Rot et al., 2012). Further, a growing list of studies of NMDA receptor antagonists or NMDA receptor negative allosteric modulators, including S-ketamine, CP101,606 (NR2B-selective), lanicemine (AZD6765, NR2Bselective), and GlyX13 (NR2B partial agonist) provided further evidence that ketamine's effects were mediated primarily by its actions at NMDA receptors.

Preclinical insights into the antidepressant effects of ketamine have helped to identify a broader class of antidepressants that may converge on common signaling mechanisms (Krystal et al., 2013). The antidepressant effects of ketamine appear to be mediated, at least in part, by the ability to increase glutamate release, perhaps overcoming suppression of mGluR2 receptors by elevations in extrasynaptic glutamate noted earlier, and to stimulate AMPA receptors, raising BDNF levels, increasing insertion of AMPA receptor subunits into cell members, enhance signaling via Akt/mTOR, and enhancing the rapid growth of functional dendritic spines (Li et al., 2010). This process appears to be enhanced by the blockade of extrasynaptic NMDA receptors, which reduces the phosphorylation of ELF2, and disinhibits elevations in BDNF (Autry et al., 2011; Hardingham and Bading, 2010).

The articulation of these mechanisms through which ketamine may function has helped to show that other putative rapid-acting antidepressant may similarly work through a common set of mechanisms. Thus the muscarinic receptor antagonist, scopolamine, shows rapid antidepressants in human pilot studies (Drevets et al., 2013). It also enhances cortical signaling via Akt/mTOR in animals (Voleti et al., 2013). Similarly, the putative antidepressant effects of mGluR2 antagonists and AMPAkines (Lindholm et al., 2012) are dependent on enhancing AMPA receptor and perhaps also Akt/mTOR signaling (Koike et al., 2013; Yoshimizu et al., 2006). If this new class of medications reduces depression symptoms within hours instead of months and makes its possible to effectively treatment

many patients who could not be reached with current treatments, it could fundamentally change the functional impact of depression in society.

Another new direction is to develop medications that enhance neuroplasticity in the service of promoting a learning-based cognitive or rehabilitative therapy rather than by directly normalizing circuit activity (Krystal et al., 2009). One might argue that drugs are poor choices to optimize circuit activity as a particular receptor might be widely distributed in the brain and represented on multiple cellular elements within a local circuit and thus produce opposing effects within local or distributed circuits. Further, drug would not be expected to approximate the kinetic binding properties of natural ligands for those receptors. Thus, drugs might be expected to introduce abnormalities in the temporal features of normal circuit function. From this perspective, it might be preferable to treat psychiatric disorders by inducing a state of increased plasticity in a circuit that one wished to modify and then behaviorally engaging that circuit in a manner to induce a desired change in its function, i.e., to extinguish a fear or enhance a cognitive function. Once the desired change in circuit function has occurred, the medication might become superfluous. For example, a growing number of studies have used low doses of a partial agonist of the glycine site of NMDA receptors, D-cycloserine, to enhance the impact of fear extinction or cognitive therapy for anxiety disorders and addictions (Norberg et al., 2008; Prisciandaro et al., 2013; Ressler et al., 2004). While D-cycloserine at these doses does not appear to be anxiolytic by itself, there is evidence that enhancing NMDA receptor-related neuroplasticity in this way both hastens clinical response in phobia, potentially increasing the efficiency and cost effectiveness of behavioral therapy, and protects against the reinstatement of fear.

An alternative approach to achieving circuit-specific functional alterations is to manipulate these circuits directly. The first circuit-based treatments in psychiatry were ablative treatments, orbital frontal lobotomy and leucotomy for refractory mood and psychotic disorders (Moniz, 1937) and, more recently, surgical and radiotherapeutic ("Gamma knife") ablations of the anterior cingulate cortex or anterior interior capsule for medication-resistant obsessions and compulsions (Baer et al., 1995; D'Astous et al., 2013). These treatments have their roots in a rather superficial understanding of how the circuits that are disrupted by these treatments contribute to symptoms. Thus it is not surprising that lobotomy was infrequently a definitive treatment for treatment-resistant symptoms of schizophrenia or bipolar disorder and may have further compromised the capacity for self-care (Harvey et al., 1993). In contrast, ablative treatments for medication-resistant symptoms of obsessivecompulsive disorder continue to be performed in highly selected extremely disabled patients in parallel with detailed ongoing scrutiny of these procedures.

However, neurostimulation treatments, which have the advantage over neuroablative therapies of reversibility, seem the most likely to yield important new circuit-based treatments for psychiatry disorders. Current neurostimulation treatments evolved from the current "gold standard" for the treatment of depression, electroconvulsive therapy (ECT) (Cerletti, 1940; Lisanby, 2007). The neurostimulation field has developed treatment strategies that produce seizures in the target circuits and spare others that seem more closely related to side effects.

Deep brain stimulation and repeated transcranial magnetic stimulation (rTMS) may be strategies to produce this type of targeted therapeutic change in brain activity. Neuroimaging studies conducted by Mayberg and her colleagues identified a potential target for neurostimulation: the subgenual prefrontal cortex (infralimbic cortex). This area is hyperactive in depressed patients and in euthymic individuals with transiently induced sadness (Mayberg et al., 1999) and the group was aware that chronic high frequency stimulation (130 Hz) reduced network hyperactivity in Parkinson's disease (Benabid, 2003), although the neurobiology underlying this intervention was not known.

Fortunately, high frequency stimulation to subgenual PFC showed compelling promise in clinical trials (Holtzheimer et al., 2012; Mayberg et al., 2005), offering new hope for patients suffering medication- and ECT-resistant symptoms of depression. Subsequently, the delivery of this type of stimulation to other sites in reward network, including the internal capsule, the ventral striatum, the habenula, and the median forebrain bundle, have also produced encouraging results in preliminary trials (Malone, 2010). Deep brain stimulation of the internal capsule and ventral striatum also show promise for the treatment of medicationrefractory obsessive compulsive disorder (Goodman et al., 2010).

Ongoing research into deep brain stimulation constitutes an important response to the needs of patients who are refractory to currently available treatments. It nonetheless represents a considerable leap of faith in the face of the currently limited understanding of the underlying neuroscience. While neural dysfunction in depression and OCD have been characterized at very gross macrocircuit level, the precise alteration in activity in particular cell types that give rise to the symptoms of depression and to its treatment remain obscure. It is striking, for example, that small anatomical differences in electrode placement in subgenual PFC, result in substantial differences in the circuits effected by DBS (Lujan et al., 2013). Even with precise anatomical localization, neurostimulation does not yield uniform activation of brain circuits. Rather, activation of some cortical inputs would be expected to activate a given target region, but also to result in suppression of neighboring areas (Logothetis et al., 2010). Further, relatively little is known about the synaptic neurobiology of the high frequency stimulation (>100 Hz) used in deep brain stimulation studies, compared to low frequency stimulation $(\sim 1$ Hz) or "theta" $(\sim 10$ Hz) stimulation parameters that have been used to implicate NMDA receptors and other mechanisms in long-term depression and potentiation, respectively (Malenka and Bear, 2004). In contrast, high frequency stimulation (>100 Hz) appears to induce an NMDA receptor-independent form of LTP mediated by Ltype voltage-gated calcium channels (Cavus and Teyler, 1996). Given these open questions, this "high-risk, high reward" research is accompanied by scrupulous efforts to protect patients and research subjects and extremely close follow-up of clinical outcomes.

Transcranial magnetic stimulation (TMS), a non-invasive localized strategy for cortical stimulation, also shows promise as a treatment for depression and other conditions. The relative safety and tolerability of this procedure has enabled a broad range of studies of repeated TMS (rTMS) for the treatment of medication-resistant psychiatric symptoms; including the application to auditory hallucinations. Prior research indicated that auditory hallucinations associated with schizophrenia were accompanied by hyperactivity of circuits involved in auditory perception and language processing cortical regions (Silbersweig et al.,

1995). Hoffman and Cavus hypothesized that activity within these circuits could be reduced by administering low frequency (1 Hz) stimulation to these regions with rTMS, modeled after long-term depression in animals (Hoffman and Cavus, 2002). Applying this strategy to treatment patients, Hoffman and colleagues produced positive findings (Hoffman et al., 2005), particularly when rTMS was delivered stereotaxically over regions that had shown hallucination-related activation on functional magnetic resonance imaging (Hoffman et al., 2007).

There are significant knowledge and technology gaps representing unaddressed grand challenges for psychiatric therapeutics research. For example, the identification of gene variants that have large effects on the risk for psychiatric disorders hold enormous promise to guide target development, but, as described above, this is not likely to be realized until the complex biology through which these variants act to alter microcircuits is elucidated. Similarly, the development of cell-based strategies for regulating brain circuit activity, such as optogenetics (Williams and Deisseroth, 2013), seems to hold significant promise for neurostimulation treatments. However, if and when the technologic hurdles are surmounted to enable the application of this technique to humans, our limited understanding of microcircuit dysregulation in psychiatric disorders may still constrain the impact of these treatments.

Conclusion

This review has of necessity sacrificed comprehensiveness for a highly selective consideration of historical trends and recent advances in psychiatry. It gave short shrift to a host of truly astounding findings in basic neuroscience, and to a range of profoundly interesting advances in areas such as epigenomics, metabalomics, proteomics, and geneenvironment interactions all of which promise to further enrich and perhaps transform our understanding of psychopathology. It avoided the potentially tectonic shifts in mental health care delivery attending changes in the health care environment in the US and has neglected the critically important topics of dissemination science and the implementation of proven therapies.

Instead, we focused on current controversies over psychiatric diagnosis and on three areas of recent scientific advance that are having an immediate and profound impact on the field. Overall, while it is impossible to discount the scale of the short-term challenges present in these three areas, the longer-term prospects for the science of psychiatry are thrilling. These advances are providing a rapidly deepening understanding of complex psychiatric disorders and the accelerating pace of surprising, sometimes confusing and often contradictory findings is a hopeful sign that current scientific paradigms that have sustained the field over the past 40 to 50 years are no longer adequate to incorporate and integrate a flood of new data. As Thomas Kuhn described so elegantly(Kuhn, 1962), these anomalous findings are the harbinger of true scientific revolution. In this case of psychiatry, this cannot come too soon for patients, their families and society.

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Figure 1.

A) A standard model for the translation of genetic findings into therapeutics has been successful in Mendelian disorders and rare examples of common disorders showing Mendelian inheritance: A disease-related mutation is identified and modeled, typically via a constitutive or conditional knock-out; the molecular function and relevant pathways are identified and manipulated; a potential therapeutic target is identified. B) For many common psychiatric conditions, recent findings suggest additional complexities that confound this application of this approach: gene discovery has led to the identification of extreme locus heterogeneity; genes are often affected by heterozygous mutations and in non-coding regions; high-effect coding mutations may demonstrate biological pleiotropy with multiple roles that vary across cell types, brain region and developmental periods. C. An alternative emerging model for "bottom-up" translational work in psychiatric disorders. Multiple confirmed genes/mutations can be evaluated simultaneously, currently, for example, using protein-protein interaction and/or gene expression databases; the identification of points of overlap or intersection among genes and the identification of putative networks may be used to constrain key variables in the study of model systems, and convergent molecular, cellular and/or circuit level phenotype are sought as a prelude to target and assay development.

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Figure 2.

Recent examples of systems-biological approaches to interpreting genetic findings in common psychiatric disorders. A) (Adapted from Fromer et al. 2014) A recent exome sequencing study of schizophrenia used curated protein-protein databases to identify molecular pathways implicated by genes carrying non-synonymous rare *de novo* mutations. The proteins shown are present in the synaptic compartment of excitatory neurons and correspond in to NMDA (N-methyl-D-aspartate) and AMPA (α-Amino-3- hydroxy-5 methyl-4-isoxazolepropionic acid) receptor complexes and signaling pathways. B. (Adapted from Willsey et al 2013). An alternative recent approach restricted an initial analysis of exome data in ASD to only to those genes showing multiple *de novo* loss-of-function

mutations in the same gene, consequently having the highest probability of being true ASD risk alleles. Expression data from the Brainspan project, capturing both anatomical and temporal dimensions of human brain development, were used to evaluate these nine seed genes. Co-expression networks representing discrete spatio-temporal windows were constructed for each of the seed genes and then evaluated for additional ASD mutations in order to identify pathology-associated networks. These in turn were found to correspond to human mid fetal prefrontal cortex and to implicate cortical excitatory neurons in deep layers (Layers 5–6). The inset illustrates that the proteins constructing these networks include genes in multiple cellular compartments and with varying functions. In this analysis, the temporal-spatial convergence was prioritized over identifying overlap in a specific cellular compartment or mapping to specific signaling pathways.

Figure 3.

Examples of multiple paths through which new treatments may emerge in psychiatry. The archetypal rapid-acting antidepressant, the NMDA glutamate receptor (NMDA-R) antagonist ketamine, was first identified as a treatment for depression as a consequence of a clinical observation. The ability of D-cycloserine (DCS) to augment the efficacy of behavioral therapy for anxiety disorders developed from an animal model where this drug promoted fear extinction. Deep brain stimulation treatment for depression was inspired by neuroimaging studies describing dysfunction of the subgenual prefrontal cortex in depressed patients.