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Vapor-Liquid Equilibria for Dendritic-Polymer Solutions

John G. Lieu, Mingjun Liu, Jean M.J. Frechet, and J.M. Prausnitz

Chemical Sciences Division

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Vapor-Liquid Equilibria for Dendritic-Polymer Solutions

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V apor-Liquid Equilibria for Dendritic-Polymer Solutions

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Abstract

Vapor-liquid equilibria for dendritic-polymer solutions were obtained using a classic gravimetric-sorption method; the amount of solvent absorbed by the dendrimer was measured as a function of solvent vapor pressure. Three series of dendrimers, each with the same tertiary amine-core structure, but different surface groups, were investigated in a variety of organic solvents in the range 50-75°C. The dendrimer-surface groups were dodecyl amines, octadecyl amides, and polyisobutylene. Solvent absorption depends strongly on dendrimer composition and generation number.

Introduction

Dendrimers are macromolecules characterized by highly-branched, well-defined structures. They consist of a central core with multiple "arms" radiating outwards to form increasingly packed "shells" or "generations." Each arm divides at the same branch point giving compact three-dimensional patterns.

Precise control of structure, molecular weight, flexibility, and surface chemistry, creates a variety of novel applications for dendrimers. In medicine and biotechnology, dendrimers show promise as gene vectors, drug-delivery vehicles, and magneticresonance imaging agents. For example, Kukowska-Latallo et ai. (1996) demonstrated that dendrimers effectively bind and transfer DNA into a variety of mammalian cells. Jansen et ai. (1994) showed that dendrimers can be synthesized to encapsulate guest molecules in a "dendritic box" and that shape-selective liberation of trapped molecules was then possible (Jansen et aI., 1995). Dendrimers as magnetic-resonance imaging contrast agents for tumor targeting have been developed (Wiener et aI., 1997); they are

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currently under study for use in mammography (Daldrup et aI., 1997). Other biotechnological applications of dendrimers include coupling antibodies to dendrimers for high-performance immunoassays (Singh et al., 1994), oligonucleotide dendrimers for use as polylabelled DNA probes (Shchepinov et aI., 1997), and dendrimers as buffer additives in capillary electrophoresis for separation of aromatic amino acids (Gao et aI., 1998).

Because dendrimer structure can be accurately controlled, it is possible to construct a single macromolecule with two different moieties, e.g. dendrimers with an interior hydrophobic core surrounded by a hydrophilic surface layer. Similar to detergents, these molecules behave as unimolecular micelles capable of solubilizing hydrophobic molecules in aqueous solutions (Hawker et aI., 1993).

Although a variety of new dendrimers has been synthesized in recent years, investigation of their physical and chemical properties has lagged (Hawker et aI., 1995). In this work, we present vapor-liquid-equilibrium data for binary solutions of dendrimers in a variety of organic solvents. This work supplements our earlier experimental studies on vapor-liquid equilibria for solutions of polyamidoamine (PAMAM) dendrimers (Mio et aI., 1998).

Experimental Section

Materials

Solvents were degassed with a standard freeze-thaw procedure described by Panayiotou and Vera (1984) and used, without further purification. Table 1 gives solvent properties.

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Figure 1 shows dendrimers synthesized by surface modification of poly(propylene imine) dendrimers. Three series of dendrimers were studied with the same tertiary amine-core structure but different surface groups. The A-series and C-series dendrimers are amber-colored, viscous liquids at room temperature. They become darker and more viscous with rising generation number. The B-series dendrimers are tan-colored, semicrystalline solids at room temperature; their glass-transition temperatures were determined by differential scanning calorimetry and found to be near 85°C. For subsequent calculations, all dendrimers are assumed to have specific gravity of unity. Table 2 gives dendrimer properties.

Apparatus

Vapor-liquid-equilibrium data were collected using a gravimetric-sorption method previously described by several authors (see, for example, Gupta and Prausnitz (1995)). Figure 2 shows a schematic diagram of the apparatus. The entire system is submerged in an isothermal water or air bath maintained at ± 0.3 °C. A water bath was used for polymers with A-surface groups; an air bath was used for polymers with B or C-surface groups (see Fig. 1).

Each glass chamber contains one aluminum pan suspended from a quartz spring (Ruska Instruments Corp., Houston, TX). The calibrated springs have a sensitivity of about 1 mg/mm and a maximum load of 250 mg. Within this range, the elongation of each spring is linear with respect to change in mass.

A cathetometer (Wild, Heerbrugg, Switzerland) is used to measure the extension of the springs and the mercury levels of the manometer.

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Experimental Procedure

Polymer samples of known mass (15-25 mg) are placed into previously weighed aluminum pans. The system is then vacuum-dried for 12 to 24 hours to remove residual moisture and solvent. After obtaining the mass of dry polymer, solvent is introduced by opening and then closing the valve between the solvent flask and the evacuated glass chambers.

The system is allowed to equilibrate anywhere from 12 hours to a few days after each solvent injection. Equilibrium is assumed when measurements in spring length do not change more than ±0.05 mm over a 12-hour period.

Because the polymer is nonvolatile, the total pressure is equal to the vapor pressure of solvent above the polymer solution. Because experimental pressures are low (less than 1.1 bar), the solvent vapor is considered to be an ideal gas. Solvent activity a_1 is given by the ratio of the measured pressure to the pure-solvent saturation pressure at system temperature.

The vernier scale on the cathetometer allows measurements to be made to the nearest *O.OS* millimeter. Replicate measurements indicate that pressure and mass readings have a precision of 0.1 torr and 0.1 mg, respectively. Uncertainty in solvent activity is $1-2\%$ above $a_1 = 0.1$ and $2-4\%$ below $a_1 = 0.1$. Solvent weight fraction w_1 uncertainty is $2 - 5\%$ above $w_1 = 0.5$ and $5 - 10\%$ below $w_1 = 0.5$.

Reliability of the apparatus and experimental procedure were established by reproducing published experimental vapor-liquid-equilibrium data for polystyrene in chloroform at *SO°C* (Bawn and Wajid, 1956). Reproducibility in solvent activity was within ± *S%* of the previously published data.

Results **and** Discussion

Table 3 shows the binary systems studied and Table 4 presents the vapor-liquidequilibrium data. Figures $3-12$ show solvent activity a_1 as a function of solvent segment fraction Φ_1 .

It is difficult to interpret the data with conventional polymer-solution thermodynamics. At constant solvent activities, our results did not show higher solvent absorption for lower molecular-weight dendrimers, contrary to expectations. Furthermore, the dependence of absorption on dendrimer generation number is sometimes not monotonic. For example, a dendrimer of generation five absorbs more than a dendrimer of generation four, but less than a dendrimer of generation two. It appears that chemical interactions between solvent and dendrimer are significantly influenced by steric factors. At low generation numbers, we expect equal solvent access to dendrimer-core groups and dendrimer-surface groups; therefore, the composition of the entire dendrimer. is important. However, at high generation numbers, surface-group crowding may restrict solvent contact with core groups; therefore, the surface-group composition may dominate solvent-dendrimer compatibility. For our dendrimers, the ratio of core groups to surface groups increases with rising generation number (Table 2), but the corresponding solvent accessibility to core groups probably decreases.

Steric hindrance provides a qualitative interpretation of our data. However, because these dendrimers are copolymers, intramolecular interactions between dissimilar copolymer segments may also be significant. For example, Gupta and Prausnitz (1996) showed that intramolecular repulsion in copolymers can strongly affect solvent absorption.

A-Series Dendrimers

The A-series dendrimers are terminated by dodecyl amine-surface groups. These dendrimers are expected to interact favorably with polar solvents due to amine-surface groups and amine-core groups, but only moderately with nonpolar solvents due to weak solvent interactions with dodecyl chains of the surface groups.

Figure 3 shows that A-series dendrimers interact strongly with chloroform; the observed S-shaped absorption curves indicate hydrogen bonding between chloroform and dendrimers. The data show that A3 absorbs less chloroform than Al and A4-dendrimers, perhaps because chloroform interactions with surface groups are more favorable than chloroform interactions with core groups. As the generation number rises, the surface group-to-core group ratio decreases, suggesting why A3 may absorb less than AI. With A4, surface-group crowding may restrict solvent access to core groups; surface groups may therefore dominate interactions with the solvent, causing slightly increased solvent absorption relative to that in A3. The A5-dendrimer, however, absorbs the least chloroform, perhaps because steric hindrance restricts solvent-dendrimer contact.

Figure 4 shows results for solutions of A4 in C_6 though C_9 n-alkanes. Absorption decreases with rising alkane carbon number, *n.* Alkane solvents have weak but favorable van der Waals interactions with dodecyl chains of the surface groups. These interactions are expected to become more favorable with rising *n,* as the alkanes become chemically similar to dodecyl chains. Solvent absorption decreases \\ith rising *n,* however, perhaps due to steric factors; the A4-dendrimer has 32 surface groups in its outer shell and larger solvent molecules may be hindered from penetrating the dendrimer molecule.

Figure 5 shows that absorption of acetone is low; the Al-dendrimer absorbs more acetone than the A4-dendrimer. A possible explanation is that acetone may interact more favorably with surface groups than with core groups. As generation number rises, the surface group-to-core group ratio decreases, suggesting why A4 may absorb less than AI.

Figure 6 shows absorption of triethylamine by the A-series dendrimers. At 50% of the solvent vapor pressure, solvent segment fraction exceeds 50%. Since structurally similar molecules often interact favorably, similarity between triethylamine and tripropyl amine-core groups of the dendrimers may partially explain the unusually high solvent absorption.

B-Series Dendrimers

Although . our experimental temperatures were below glass-transition temperatures, the B-series dendrimers liquefy upon solvent-induced melting as indicated in Figures 7 and 8 where a significant increase in solvent absorption occurs at solvent activity of about 0.4.

The dendrimers are terminated with octadecyl amide-surface groups. Similar to the A-series, these molecules are expected to interact favorably with polar solvents due to amide-surface groups and amine-core groups; but only moderately with nonpolar solvents due to weak solvent interactions with septadecyl chains of the surface groups.

Figure 7 shows that n-heptane is a moderate solvent for the B-series. Absorption of n-heptane probably occurs through favorable van der Waals interactions between nheptane and the septadecyl chains of the surface groups. The oxygen and nitrogen atoms of the amide-surface groups and the amine-core groups probably interact unfavorably

with n-heptane. Raising the generation number from B2 to B4, there is a decrease in solvent absorption because of a decrease in the surface group-to-core group ratio. At B5, however, the increase in absorption may be due to surface-group shielding of unfavorable solvent-core group interactions.

Figure 8 indicates high absorption of chloroform; B3 absorbs less than B5 and slightly more than B4. Similar to the A-series, interactions between chloroform and surface groups are probably more favorable than those with core groups. As the generation number rises, the surface group-to-core group ratio decreases, suggesting that B4 may absorb less than B3. With B5, however, increased solvent absorption may occur because dendrimer surface-groups may dominate interactions with the solvent by crowding the dendrimer surface and limiting solvent access to dendrimer core groups.

Figure 9 shows that the A-series dendrimers absorb more chloroform than the Bseries dendrimers, probably because B-series dendrimers are near their glass-transition temperatures; molecular stiffness hinders solvent-dendrimer contact.

Acetonitrile is a very poor solvent for the B-series dendrimers; it is not absorbed in significant quantities (not shown).

C -Series Dendrimers

The C-series dendrimers are terminated with polyisobutylene. These polymericsurface groups have polydispersity ca. 1.5. Two sets of C-series dendrimers were available, one with 99 and the other with 90 weight% purity. We refer to these as C and C", respectively. Figures 10 and 11, respectively, show results for the C-series dendrimers in n-heptane and toluene and Figure 12 shows results for the C"-series

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dendrimers in tetrahydrofuran.

Figure 10 shows that Cl absorbs the most n-heptane, followed by C4 and then C3. N-heptane probably interacts favorably with the long polymeric chains of the surface groups and unfavorably with the core groups. As the generation number rises, there is a decrease in the surface group-to-core group ratio, suggesting why C3 may absorb less than Cl. With C4, surface-group crowding may shield unfavorable solvent-core group interactions, thereby causing increased solvent absorption.

Figure 11 shows low absorption of toluene; C3 absorbs more than Cl. Because toluene is an aromatic hydrocarbon, it may interact more favorably with amine-core groups than with the nonpolar polymeric-end chains of the surface groups, consistent with the absorption trend.

Figure 12 shows that absorption by C"-dendrimers exhibits no significant change with generation number, as expected, given the low purity of the C" samples.

Acetonitrile is a very poor solvent for the C-series dendrimers and not absorbed in significant quantities (not shown).

Attempts at Data Correlation

Liquid-liquid-equilibrium data for some ordinary polymer solutions have been successfully correlated by a modified version of Freed's lattice-cluster theory by Hu et al. (1991a, 1991b). For hydrogen-bonded systems, a successful lattice model has been proposed by Hino et al. (1993). We therefore attempt to correlate our vapor-liquidequilibrium data with these theories.

The Modified Lattice-Cluster Theory

Freed and co-workers (Bawendi and Freed, 1988; Freed and Bawendi, 1989) developed a lattice-cluster theory that avoids the mean-field approximation; it provides an improved description of the Flory-Huggins lattice. In Freed's theory, there are three contributions to the Helmholtz energy of mixing: the mean-field contribution plus two correction terms for energetic and entropic deviations from mean-field behavior. The Helmholtz energy of mixing ΔA is expressed by

$$
\frac{\Delta A}{N_r kT} = \left(\frac{\Phi_1}{r_1}\right) \ln \Phi_1 + \left(\frac{\Phi_2}{r_2}\right) \ln \Phi_2 + \sum_{m} \sum_{n} a_{mn} \Phi_1^m \Phi_2^n \tag{1}
$$

where N_r is the total number of lattice sites and k is the Boltzmann constant. Following Hu et al. (1991a), we revise Freed's theory by retaining only the first-order term of the entropic correction and multiply it by a coefficient c_s . The solvent activity is then given by

$$
\ln a_1 = \ln \Phi_1 + \left(1 - \frac{r_1}{r_2}\right) \Phi_2 + r_1 \left[a_{11}\Phi_2^2 + a_{12}\left(-\Phi_1\Phi_2^2 + \Phi_2^3\right) + a_{21}\left(2\Phi_1\Phi_2^2\right) + a_{22}\left(-\Phi_1^2\Phi_2^2 + 2\Phi_1\Phi_2^3\right)\right]
$$
\n(2)

where

$$
a_{11} = \frac{4}{9} c_s \left(\frac{1}{r_1} - \frac{1}{r_2} \right)^2 + 2\tilde{e} ; \qquad a_{22} = -\frac{3}{2} c_2 \tilde{e}^2
$$

$$
a_{21} = \frac{\tilde{e}}{r_2} ; \qquad a_{12} = \frac{\tilde{e}}{r_1} .
$$

As shown by Hu et al. (1991a), c_2 and c_s are found by fitting molecular-simulation results for coexistence curves, giving 0.3 and 1.074, respectively. Solvent and polymer segment fractions, Φ_1 and Φ_2 , are defined by

$$
\Phi_2 = 1 - \Phi_1 = \frac{r_2 N_2}{r_1 N_1 + r_2 N_2}
$$

where N_1 and N_2 are the number of molecules and r_1 and r_2 are the number of segments per molecule of solvent and polymer, respectively. We set r_1 equal to unity and calculate $r₂$ by

$$
r_2 = \frac{M_2}{M_1} \frac{\rho_1}{\rho_2} = \frac{\text{molar volume of polymer}}{\text{molar volume of solvent}}
$$
 (3)

where M_1 and M_2 are molecular weights and ρ_1 and ρ_2 are mass densities of solvent and polymer, respectively. The dimensionless energy parameter \tilde{e} characterizes the solvent-polymer interaction energy; the lower this parameter, the stronger the attraction between solvent and polymer. Table 5 gives values of \tilde{e} and r_2 .

Figures 10-12 show that the modified-lattice-cluster theory gives a reasonably good fit for C-series dendrimers. Figures 4 and 5, however, indicate that the theory does not reproduce with the same accuracy VLE curves for A-series dendrimers. Because Bseries dendrimers are semi-crystalline at lower solvent activities, we only fit data above $a_1 = 0.4$, when it appears that the dendrimers have melted. Figure 8 shows that B-series dendrimers in chloroform are reasonably fit for $a_1 > 0.4$. Figure 7 shows calculated curves for B-series dendrimers in n-heptane for $a_1 > 0.4$. VLE data for the B2 and B5dendrimers are fit reasonably, but data for the B4-dendrimer are fit poorly. Figure 6 shows solvent-activity data for the A-series dendrimers in triethylamine; the data cannot be reasonably represented by the modified lattice-cluster theory.

Hydrogen-Bonded Systems

Solvent-activity data for the A-series dendrimers in chloroform exhibit S-shaped absorption patterns that are not reproduced by the modified lattice-cluster theory. Following Hino et al. (1993), we adopt an incompressible lattice model by ten Brinke and Karasz (1984) to introduce the effect of specific-interactions into the Helmholtz energy of non-random mixing (Lambert et al., 1993). In Hino's theory, each contact point of a molecule is assumed to interact in a nonspecific manner with interaction energy ε_{ij} or in a specific manner with interaction energy $\varepsilon_{ii} + \delta \varepsilon_{ii}$, where $i = 1$ or 2 and $j = 1$ or 2. Assuming hydrogen bonding between solvent and polymer, the two necessary energy parameters are $\delta \epsilon_{12}$ and the interchange energy ϵ . Table 6 gives values for $\delta \epsilon_{12}$ and ϵ . Figure 3 shows calculated solvent-activity curves for the A-series dendrimers in chloroform.

Data for the B-series dendrimers in chloroform do not show S-shaped absorption curves perhaps because there is significant polymer crystallinity at the low solvent activities studied here.

Conclusions

Vapor-liquid-equilibrium data for several binary dendrimer-solvent systems were obtained. The generation number and chemical composition of the dendrimer have a significant effect on solvent-dendrimer compatibility. Based on steric factors, a qualitative explanation was proposed to account for the dependence of solvent absorption on generation number.

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Table 1. Solvent Properties

Table 2. Dendrimer Properties as Obtained from Molecular Structures Shown in Figure 1.

^a The number after the letter represents the generation number

^b Each tertiary amine group defines one core group

Polymer	Solvent	$t(^{\circ}C)$
A1, A3, A4, A5	Chloroform	50
A1, A4	acetone	50
A2, A3, A5	triethylamine	65
A4.	n-hexane.	.65
A ₄	n-heptane	65
A ₄	n-octane	65
A ₄	n-nonane	65
B2, B4, B5	n-heptane	75
B3, B4, B5	chloroform	70
B2, B4, B5	acetonitrile	75
C1, C3, C4	n-heptane	70
C1, C3	toluene	70
C ₂ , C ₃ , C ₄	tetrahydrofuran	50
C1, C3, C4	acetonitrile	70

Table 3. Binary Systems Studied

Table 4. Vapor-Liquid-Equilibrium Data

Solvent: Acetone; $t = 50^{\circ}C$; $P_1^{sat} = 613$ k Pa

 w_1 (Xi) = solvent weight fraction in the liquid phase with the "X"-series dendrimer of generation "i"

 $a_1 = P / P_1^{\text{sat}} =$ solvent activity

 $P =$ vapor pressure in Torr

 P_1^{sat} = pure-solvent saturation pressure (calculated from equations suggested by Daubert and Danner (1989)) in Torr

 $\frac{1}{2}$

 $\frac{22}{1}$

Table 5. Parameters Used with the Modified Lattice-Cluster Theory

Table 6. Parameters used with Hino's theory at 50°C; the solvent is chloroform

æ,

Figure 1. Dendrimer structure (shown here is a generation 3 dendrimer with surface groups "SG").

Figure 2. Experimental apparatus for vapor-liquid equilibrium measurements.

Figure 3. Calculated solvent activities (solid lines) for binary solutions of A-series dendrimers in chloroform at 50°C; data poorly fitted with the theory by Hino et al.

Figure 4. Calculated solvent activities (solid lines) for binary solutions of the A4 dendrimer in n-alkanes at 65°C; data are poorly fitted with the modified lattice-cluster theory.

Figure 5. Calculated solvent activities (solid lines) for binary solutions of A-series dendrimers in acetone at 50°C; data are poorly fitted with the modified lattice-cluster theory.

Figure 6. Solvent-activity data for binary solutions of A-series dendrimers in triethylamine at 65°C.

Figure 7. Calculated solvent activities (solid lines) for binary solutions of B-series dendrimers in n-heptane at 75°C; data are fitted with the modified lattice-cluster theory.

Figure 8. Calculated solvent activities (solid lines) for binary solutions of B-series dendrimers in chloroform at 70°C; data are fitted with the modified lattice-cluster theory.

Figure 9. Comparison of solvent activities for the A-series $(50^{\circ}C)$ and the B-series (70°C) in chloroform.

Figure 10. Calculated solvent activities (solid lines) for binary solutions of C-series dendrimers in n-heptane at 70°C (the C-series dendrimers are 99 wt.% pure); data fitted with the modified lattice-cluster theory.

Figure 11. Calculated solvent activities (solid lines) for binary solutions of C-series dendrimers in toluene at 70°C (the C-series dendrimers are 99 wt.% pure); data fitted with the modified lattice-cluster theory.

Figure 12. Calculated solvent activities (solid lines) for binary solutions of C"-series dendrimers in tetrahydrofuran at 50°C (the C"-series dendrimers are 90 wt.% pure); data fitted with the modified lattice-cluster theory.

C-series surface group

Figure 1. Dendrimer structure (shown here is a generation 3 dendrimer with Surface groups "SG"). N stands for nitrogen.

Figure 2. Experimental apparatus for vapor-liquid-equilibrium measurements.

Figure 3.

Figure 4.

Figure 5.

 $\frac{1}{\sqrt{2}}$

Figure 6.

Figure 7.

Figure 8.

Figure 9.

Figure 10.

Figure 11.

Figure 12.

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