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### 1 Massively parallel *cis*-regulatory analysis in the mammalian central 2 nervous system

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### 16 ABSTRACT

#### 17

Cis-regulatory elements (CREs, e.g., promoters and enhancers) regulate gene expression, 18 19 and variants within CREs can modulate disease risk. Next-generation sequencing has enabled the rapid generation of genomic data that predict the locations of CREs, but a 20 bottleneck lies in functionally interpreting these data. To address this issue, massively 21 22 parallel reporter assays (MPRAs) have emerged, in which barcoded reporter libraries are 23 introduced into cells and the resulting barcoded transcripts are guantified by nextgeneration sequencing. Thus far, MPRAs have been largely restricted to assaving short 24 25 CREs in a limited repertoire of cultured cell types. Here, we present two advances that 26 extend the biological relevance and applicability of MPRAs. First, we adapt exome 27 capture technology to instead capture candidate CREs, thereby tiling across the targeted 28 regions and markedly increasing the length of candidate CREs that can be readily assayed. Second, we package the library into adeno-associated virus (AAV), thereby 29 allowing delivery of candidate CREs to target organs in vivo. As a proof-of-concept, we 30 introduce a capture library of ~46,000 constructs, corresponding to ~3,500 DNase I 31 32 hypersensitive (DHS) sites, into the mouse retina by ex vivo plasmid electroporation and 33 into the mouse cerebral cortex by in vivo AAV injection. We demonstrate tissue-specific cis-regulatory activity of DHSs and provide examples of high-resolution truncation 34 35 mutation analysis for multiplex parsing of CREs. Our approach should enable massively parallel functional analysis of a wide range of CREs in any organ or species that can be 36 37 infected by AAV, such as non-human primates and human stem cell-derived organoids. 38

### 39 INTRODUCTION

40

41 Cis-regulatory elements (CREs, e.g., promoters and enhancers) are DNA regions that 42 regulate gene expression, and variants within CREs can contribute to phenotypic diversity, 43 including disease susceptibility (Wray 2007; Albert and Kruglyak 2015). In the past several years, vast amounts of genomic data have been generated that predict the locations of 44 45 hundreds of thousands of CREs in cell lines and primary tissues (Shen et al. 2012; The ENCODE Project Consortium 2012; Romanoski et al. 2015). As an avenue for the experimental 46 47 validation of these predictions, massively parallel reporter assays (MPRAs, e.g., CRE-seq) have 48 been developed, in which barcoded plasmid reporters are introduced into cells. Next-generation sequencing of the resulting barcoded transcripts provides a quantitative measure of CRE 49 50 activity (Kwasnieski et al. 2012; Melnikov et al. 2012; Patwardhan et al. 2012; Arnold et al. 2013; 51 White et al. 2013; Levo and Segal 2014; Shlyueva et al. 2014). Thus far, MPRAs have been 52 largely restricted to assaying short CRE fragments (<150 bp) synthesized as oligonucleotide libraries on microarrays (Patwardhan et al. 2009: Baker 2011: White et al. 2013) and delivered 53 54 into select mammalian cells accessible by transfection or electroporation. However, CREs are 55 often hundreds of base pairs in length, and CRE activity depends crucially on the assayed cell 56 type and its particular complement of transcription factors (TFs) (Davidson 2001). Therefore, we 57 sought to expand the biological relevance and applicability of MPRAs by increasing the length of 58 assayed CREs and by widening the repertoire of assayable cell types.

59 The retina and cerebral cortex are two parts of the central nervous system (CNS) with a shared forebrain origin, whose gene regulatory networks are topics of intense research interest 60 61 (Swaroop et al. 2010; Wright et al. 2010; Bae et al. 2015; Nord et al. 2015). The genome-wide locations of putative CREs have been mapped in both tissues, using methods such as ChIP-seq 62 63 and DNase-seq (Visel et al. 2009; Corbo et al. 2010; The ENCODE Project Consortium 2012; 64 Wilken 2015). Compared to the cortex, the retina is more experimentally amenable to *cis*-65 regulatory analysis, in part because its cellular composition is more completely understood 66 (Livesey and Cepko 2001; London et al. 2013). Electroporation can be used to efficiently deliver plasmid DNA into rod photoreceptors, which constitute the majority (~80%) of the cells in the 67 retina (Jeon et al. 1998). We previously conducted CRE-seq by electroporating thousands of 68 69 short CREs into the neonatal mouse retina ex vivo (Kwasnieski et al. 2012; White et al. 2013). 70 Although hundreds of putative developmental forebrain enhancers have been assayed with one-71 at-a-time transgenic mouse reporter assays (Nord et al. 2013; Visel et al. 2013), never before 72 has massively parallel cis-regulatory analysis been conducted in the mammalian CNS in vivo.

Here, we sought to overcome current technological hurdles by developing a 'captureand-clone' approach for synthesizing CRE-seq libraries with a selectable range of fragment sizes for targeted *cis*-regulome analysis. As a built-in feature, our approach allows for truncation mutation analyses, which can identify regions within CREs that are critical for activity. We furthermore demonstrate the feasibility of conducting *in vivo* CRE-seq in the adult cerebral cortex by AAV-mediated delivery. Our approach provides a framework for the massively parallel functional analysis of CREs in a broad repertoire of organs and species *in vivo*.

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### 82 **RESULTS**

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### Identification and characterization of candidate CRE regions

The genomic locations of CREs can be predicted by the patterns of phylogenetic conservation, the occurrence of transcription factor binding sites, and the presence of various chromatin features (Levo and Segal 2014; Shlyueva et al. 2014). DNase I hypersensitive (DHS) sites, which demarcate regions of open chromatin, are one of the most informative predictive

features of active CREs (Arvey et al. 2012; Natarajan et al. 2012; Kwasnieski et al. 2014). 90 Moreover, DNase-seq data for a variety of primary mouse tissues are available as part of the 91 92 Mouse ENCODE Project (Yue et al. 2014). To facilitate the direct comparison of a given CRE-93 seg library in retina and cerebral cortex, we generated a list of tissue-specific candidate CREs based on mouse DNase-seg data, corresponding to 1,000 DHS regions from adult retina and 94 1,000 DHS regions from adult whole brain. Additionally, we included DHSs from two adult 95 96 mouse non-neural tissues (1,000 DHSs from heart and 1,000 DHSs from liver) as controls 97 (Supplemental Table S1). Together, this yielded 4,000 target DHS regions.

98 We first examined the genome-wide distributions of the 4,000 target DHS regions using 99 GREAT and HOMER, two computational tools for annotating coding and non-coding regions (Heinz et al. 2010; McLean et al. 2010). The majority (75%) of the DHS regions were distal 100 101 elements located more than 10 kb away from the nearest transcriptional start site (TSS) 102 (Supplemental Fig. S1A). Almost all of the DHS regions fell within introns (46%) or intergenic regions (45%) (Supplemental Fig. S1B), similar to the genome-wide distribution of DHS regions 103 in other cell types (Shu et al. 2011). A small number of DHSs (156/4.000 or 4%) were 'promoter-104 105 proximal', i.e., falling within -1 kb to +100 bp relative to the nearest TSS (Supplemental Fig. S1A). Among these, 77/156 (49%) were retinal DHSs, consistent with the previous observation 106 107 that photoreceptor CREs often cluster around TSS's (Corbo et al. 2010).

108 Tissue-specific CREs are often enriched for the binding of TFs important for cell identity 109 and function (Davidson 2001). Accordingly, we used HOMER (Heinz et al. 2010) to quantify 110 enrichment of TF motifs in the target regions (Supplemental Table S2). For each set of tissuespecific target DHSs, we found strong enrichment of putative binding sites for TFs known to be 111 112 important in that tissue. For example, among the top statistically significant enrichments for the retina, brain, heart, and liver DHSs were putative motifs for CRX (Chen et al. 1997; Freund et al. 113 1997), ASCL1 (Kim et al. 2008), MEF2C (Edmondson et al. 1994), and ONECUT1 (also known 114 115 as HNF6) (Clotman et al. 2005), respectively.

116 Since tissue-specific CREs are often associated with genes specifically expressed in the 117 corresponding tissue (Natarajan et al. 2012; Heinz et al. 2015), we also examined the genes associated with the target DHSs based on the nearest TSS (Supplemental Table S1). Gene 118 Ontology (GO) analysis (Carbon et al. 2009) revealed an enrichment for tissue-specific 119 functions that corresponded to the tissue of DHS origin. For instance, among the top significant 120 hits for the retina, brain, heart, and liver target DHSs were 'sensory perception of light stimulus', 121 122 'nervous system development', 'cardiovascular system development', and 'organic substance 123 metabolic process', respectively (Supplemental Table S3). Thus, the 4,000 target DHS regions were likely enriched for tissue-specific CREs. 124

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### 126 **'Capture-and-clone' allows synthesis of targeted** *cis*-regulome libraries

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128 To overcome the length restrictions imposed by oligonucleotide array synthesis of CRE fragments (Cleary et al. 2004), we took advantage of DNA capture, a technique routinely used 129 for exome sequencing. For exome capture, biotinylated RNA baits are designed to selectively 130 131 hybridize with DNA fragments containing sequences of interest, i.e., exonic regions (Gnirke et al. 2009). Here, we adapted this technology to target our CREs of interest (a subset of the putative 132 'cis-regulome') instead of the exome. This approach offers important advantages. First, the input 133 DNA pool can derive from any genomic DNA source. Hence, the *cis*-regulome of any single 134 individual or groups of individuals can be assessed. Second, the input DNA pool can be size-135 136 selected for a range of fragment lengths, enabling inclusion of long CREs.

Using mouse (C57BL/6J) genomic DNA that was sheared by sonication and then sizeselected to be ~400-500 bp (excluding adapter sequence), we captured with RNA baits tiling the central 300 bp (which is the median size of DHSs (Natarajan et al. 2012)) of the 4,000 target DHS regions. We amplified the captured fragments with primers containing restriction sites for 141 cloning into a barcoded vector library (Fig. 1A). Since the cloning was non-directional, both 142 orientations were roughly equally represented, as expected (49% and 51% of fragments 143 mapped to the plus and minus strands of the mm9 reference genome, respectively). Paired-end 144 sequencing revealed a distribution of CRE fragment sizes with a median length of 464 bp (SD = 145 72 bp) (Fig. 1B). Using two successive rounds of capture, we achieved a very high 'on-target' rate: 98.5% of the captured fragments overlapped a target region. The median overlap for on-146 target fragments was 282 bp out of the 300 bp target, i.e., 94% of the target region length 147 148 (Supplemental Fig. S2). Overall, 3,483 of the 4,000 (87%) targeted regions were represented, 149 with a median coverage of 8 barcodes per represented region, for a total of 45,670 uniquely 150 barcoded constructs (Fig. 1C).

The distribution of captured fragments across a representative chromosome is shown in Figure 2A. Notably, many loci exhibited a multiplicity of captured fragments corresponding to a single target region, resulting in a tiling of the DHS peak, as exemplified in Figure 2B-E. Hence, the ability to conduct CRE truncation mutation analysis at a given locus is a key built-in feature of our capture-and-clone approach.

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### 7 AAV packaging and delivery preserves CRE-seq library composition

159 We next considered how to expand the repertoire of cell types accessible by CRE-seq. 160 Whereas efficient plasmid delivery is limited to mitotic cells amenable to chemical transfection or electroporation (Mortimer et al. 1999; Karra and Dahm 2010), the ideal CRE-seq delivery 161 vehicle would permit access to a variety of tissues, including post-mitotic tissues, and in a range 162 163 of species. We reasoned that adeno-associated virus (AAV), a non-pathogenic virus commonly used for gene therapy studies, would be suitable for this purpose. AAV causes long-lasting 164 165 infection in rodents and primates, and its tissue tropism ranges by serotype from promiscuous to 166 cell-type selective (Mingozzi and High 2011). Moreover, unlike DNA delivered by lentivirus, the AAV-delivered DNA remains almost exclusively episomal, thereby permitting *cis*-regulatory 167 168 analysis without the insertion site effects associated with integration into the host genome 169 (McCartv et al. 2004).

After cloning in a TATA box-containing minimal promoter-green fluorescent protein (GFP) cassette (Fig. 1A), we transferred the library into a vector with inverted terminal repeats (ITRs), which are necessary for AAV packaging (Yan et al. 2005)). This yielded the final plasmid library (Fig. 3A). To deliver the library into the retina, we conducted *ex vivo* electroporation of the plasmid library into the neonatal mouse retina, as in our past CRE-seq studies (Kwasnieski et al. 2012; White et al. 2013). We generated three biological replicates, each consisting of multiple electroporated retinas.

To deliver the library into the cerebral cortex, we packaged the plasmid library into AAV9(2YF) and conducted *in vivo* stereotactic injections to infect adult primary motor cortex. AAV9 is a serotype that exhibits broad tissue tropism, and its tyrosine-mutated derivative AAV9(2YF) transduces neurons of the CNS with high efficiency and minimal host-mediated degradation of viral particles (Zhong et al. 2008; Zincarelli et al. 2008; Dalkara et al. 2012; Aschauer et al. 2013). We generated three biological replicates, each consisting of cerebral cortex tissue from a single injected mouse.

184 As evidence that AAV packaging and stereotactic injection did not adversely affect the composition of the library, we observed a strong correlation (Pearson r = 0.95) between the 185 relative abundance of individual barcoded constructs in the retina after delivery of the plasmid 186 187 CRE-seq library and in the cerebral cortex after infection with the AAV-packaged CRE-seq library (Fig. 3B). Furthermore, 76% (34,824/45,670) of the on-target barcodes were 'well-188 189 represented' (i.e., had at least 10 raw DNA reads) in all six biological replicates (three replicates 190 each for retina and cerebral cortex). These 34,824 barcodes covered 97% (3,375/3,483) of the targeted DHS regions that were represented in the initial post-capture library. These results 191

indicated good preservation of barcode abundance and diversity throughout the procedure, fromthe initial post-capture cloning to the delivery of the library.

We then examined the tissues histologically for evidence of library expression, as visualized by fluorescence microscopy. Upon examination of the electroporated retinas, we observed GFP-positive cells in the outer nuclear layer (ONL) of the retina, where the rod photoreceptor cell bodies reside (Fig. 3C). Moreover, the GFP-positive cells co-expressed the rod-specific *Rho*-CBR3-DsRed reporter (Corbo et al. 2010) (Supplemental Fig. S3A). These findings indicated that the GFP-positive cells were rod photoreceptors, which are the predominant cell type assayed by neonatal retinal electroporation.

Upon histological examination of the AAV-injected brains, we observed bilateral GFP-201 positive regions throughout all layers of the cerebral cortex (Fig. 3D), corresponding to GFP-202 203 expressing cells seen under higher magnification (Fig. 3E). Many of the GFP-positive cells were 204 morphologically consistent with pyramidal neurons, with an apically oriented primary dendrite 205 and an axon. Furthermore, GFP expression co-localized with RBFOX3 (also known as NeuN) (Mullen et al. 1992), a widely expressed marker of mature neurons (Supplemental Fig. S3B). 206 207 Interestingly, there were bundles of GFP-positive axons crossing the midline in the corpus 208 callosum (red arrow in Fig. 3D), indicating that interhemispheric projection neurons were among 209 the cells that expressed the CRE-seg library.

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### AAV-mediated CRE-seq demonstrates tissue-specific CRE activity of DHSs *in vivo*

Given the histological evidence for expression of the library in both tissues, we next quantified the *cis*-regulatory activity of individual constructs by next-generation sequencing. As quality control measures, we verified that the samples overall clustered by the assayed tissue type (retina vs. cerebral cortex). We also observed that the RNA read counts for individual barcodes were correlated among the three biological replicates for each tissue, although greater variability was observed among the cerebral cortex samples than the retinal samples (Supplemental Fig. S4 and Supplemental Table S4).

220 Since tissue-specific DHSs are believed to mediate tissue-specific *cis*-regulatory activity (Natarajan et al. 2012; Heinz et al. 2015), we first asked whether this was the case. For this 221 analysis, we assigned the 'overall' cis-regulatory activity of a given DHS by averaging across 222 223 corresponding barcoded constructs (as well as across biological replicates). Here, we included the ~3,000 DHSs with at least two barcoded constructs. When we examined the relationship 224 225 between the DHS type (i.e., the tissue origin of the DHS) and CRE activity as assayed in the retina, we observed strong enrichment of retinal DHSs among highly expressed DHSs, 226 227 especially among the top ~20% most highly expressed DHSs in the retina (Fig. 4A). Since 228 averaging across barcoded constructs may not necessarily be the best metric of *cis*-regulatory 229 activity for a given DHS, we also examined the expression of individual barcoded constructs. 230 This again revealed the strong preference of the retina for expressing retinal DHSs (Fig. 4B).

Similarly, in the cerebral cortex, there was an enrichment of brain DHSs among highly 231 expressed DHSs, especially among the top ~15% most highly expressed DHSs in the cortex 232 233 (Fig. 4A). However, this enrichment was less pronounced than for retina: among the top 15% 234 most highly expressed DHSs in the retina, 79% were retinal DHSs, while among the top 15% 235 most highly expressed DHSs in the cerebral cortex, 42% were brain DHSs (p < 0.0001, Fisher's exact test). As seen from the individual barcoded constructs (Fig. 4B), there was a clear 236 237 preference for brain DHSs among the most active constructs, but there was overall more 238 promiscuous (less selective) activity of constructs in the cortex. The activity profile of non-brain 239 DHSs in the cortex was right-shifted (increased) and overlapped to a greater extent with the 240 activity profile of brain DHSs in the cortex, compared to the activity profile of non-retinal vs. 241 retinal DHSs in the retina. Overall, these findings indicated that there was tissue-specific cisregulatory activity of DHSs in the retina and the cortex, with the retina exhibiting a stronger preference for retinal DHSs than the cortex exhibited for brain DHSs.

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### Parameters that predict *cis*-regulatory activity

247 We next asked whether certain parameters previously found to be associated with cis-248 regulatory activity were predictive of high activity in our assay. For each parameter examined in 249 Figure 5, we considered the top 100 and top 200 most highly expressed DHSs for the tissue-250 appropriate DHS type (i.e., for the retina, we restricted our analysis to retinal DHSs, and for the cerebral cortex, we restricted our analysis to brain DHSs). Corresponding data for the liver and 251 252 heart DHSs are provided in Supplemental Fig. S5. We first surveyed expression as a function of 253 position relative to the center of the DHS target region, within a 1 kb window (Fig. 5A). While 254 DNase-seq signals had a relatively narrow peak (~300 bp width) (Fig. 5B), *cis*-regulatory activity in both the retina and cortex had a much broader peak, plateauing in the central ~500 bp. The 255 256 breadth of the cis-regulatory activity peaks likely reflects the longer length of the captured 257 fragments (median length of 464 bp) and the large extent of overlap with the central 300 bp of 258 the DHS regions (median overlap of 94%). Notably, we did not find a substantial relationship 259 between the length of individual CRE fragments and CRE activity (Supplemental Fig. S6), or 260 between distance from the nearest TSS and CRE activity (Supplemental Fig. S7).

Interestingly, higher DNase-seq scores were significantly associated with higher *cis*regulatory activity in the retina but not in the cortex (Fig. 5B). A possible explanation is that the retinal DNase-seq data primarily reflect the chromatin state of rods, since they constitute the vast majority of cells in the retina (Jeon et al. 1998), and that the most strongly expressed DHSs are rod CREs. By comparison, the brain DNase-seq data reflect the chromatin state of a heterogeneous cell population, and the most strongly expressed DHSs in the cortex may be cell type-specific CREs highly active in only a subset of cells.

268 Next, we investigated GC content, which has been reported to be elevated within CREs. 269 This elevation in GC content is thought to favor nucleosome occupancy in tissues where the 270 CRE is not active, thereby repressing *cis*-regulatory activity in those tissues (Tillo and Hughes 2009; Tillo et al. 2010; Fenouil et al. 2012; Wang et al. 2012; Hughes and Rando 2014). We 271 272 previously published an enhancer study, in which short (84 bp) synthetic CREs were cloned 273 upstream of a photoreceptor-specific proximal promoter. This study revealed a positive 274 correlation between GC content and enhancer activity in the retina (White et al. 2013). Thus, we 275 were surprised to find that here, the most active retinal DHSs in the retina had significantly lower GC content (Fig. 5C). However, a recent CRE-seq study using a minimal promoter also found 276 277 lower GC content in highly active enhancers (Kwasnieski et al. 2014). Therefore, GC content 278 appears to have distinct roles when the CRE acts as an autonomous element with a minimal 279 promoter or as an enhancer with an active proximal promoter. Brain DHSs had a different pattern, with markedly elevated GC content centrally, and further increased GC content was 280 seen among the most active brain DHSs in the cortex (Fig. 5C). The different effects of GC 281 content in the two tissues may reflect AT-rich vs. GC-rich motifs of tissue-specific TFs, and/or 282 283 the distinct preferences of tissue-specific TFs for AT-rich vs. GC-rich 'environments' surrounding 284 the TF motif (Dror et al. 2015).

An ongoing debate in the field of genomics is the degree to which phylogenetic conservation at the DNA sequence level is an accurate predictor of functional CREs, given that there is rapid turnover of individual TF binding sites in the course of evolution (Dermitzakis and Clark 2002; Vierstra et al. 2014). We observed significantly higher vertebrate conservation (as measured by PhastCons scores (Siepel et al. 2005)) for the most strongly expressed retinal and brain DHSs in the retina and cortex, respectively. This elevated phylogenetic conservation occurred primarily within the central ~100 bp of DHSs (Fig. 5D). This distribution of phylogenetic conservation is consistent with the previous observation that highly local (<100 bp) sequences</li>
 confer substantial CRE activity (White et al. 2013).

We then considered TF motif content, which has been found to be predictive of *cis*regulatory activity (Kwasnieski et al. 2014; Blatti et al. 2015). Here, we examined the enrichment of TF motifs among the DHSs with the highest or lowest activity in the retina and cortex, regardless of the type of DHS (Fig. 5E and Supplemental Table S5). In the retina, highly active DHSs were enriched for homeobox, E-box, nuclear receptor (NR), MADS-box, and CCAAT motifs, while in the cerebral cortex, highly active DHSs were enriched for MADS-box, zinc finger (ZF), and helix-turn-helix (HTH) motifs.

- To assess the predictive power of these features (DNase-seq scores, GC content, 301 PhastCons scores, and TF motifs), we created logistic regression models and visualized their 302 303 performance with receiver operating characteristic (ROC) curves, with five-fold cross-validation 304 to control for over-fitting (Supplemental Table S6). All constructs assayed in each tissue were 305 classified as 'high' (top ~1% of ~36,000 constructs in retina, or top ~5% of ~39,000 constructs in cerebral cortex) vs. (not high). In the retina, DNase-seg was the single most predictive feature 306 (AUC = 0.921), reflecting the strong tendency for highly active constructs to be retinal DHSs. 307 Retinal CRX ChIP-seq peaks (Corbo et al. 2010) performed nearly as well (AUC = 0.892), likely 308 309 reflecting the fact that CRX ChIP-seq peaks are essentially a subset of retinal DHSs (Wilken 310 2015). Interestingly, a model based on 15 TF motifs also performed reasonably well (AUC = 311 0.785). By comparison, in a prior CRE-seg study conducted in cell lines, a model using 50 TF motifs attained an AUC of 0.80 (Kwasnieski et al. 2014). The predictive values of GC content 312 (AUC = 0.521) and PhastCons (AUC = 0.537) were weak. In the cerebral cortex, DNase-seq 313 314 was likewise the single most predictive feature (AUC = 0.778). A model based on 13 TF motifs performed reasonably well (AUC = 0.734), while GC content (AUC = 0.608) and PhastCons 315 (AUC = 0.659) had modest predictive power in the cortex. Notably, in both tissues, the 316 317 combined model performed only slightly better than DNase-seq alone. Overall, these results reflect the degree of preference of the retina and cerebral cortex for expressing retinal DHSs 318 319 and brain DHSs, respectively, while underscoring the importance of TF motifs in specifying CRE activity. Furthermore, these results underscore the power of open chromatin mapping 320 321 techniques such as DNase-seq for identifying functional CREs.
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### **Tiling of captured fragments allows for truncation mutation analysis**

325 The potential for conducting truncation mutation analysis is an attractive and potentially powerful feature of the capture approach. We therefore sought to determine whether the results 326 327 were comparable to those of a previously published 'traditional' one-at-a-time promoter analysis. 328 NRL is a master regulator of rod photoreceptor development, required both for rod fate 329 determination and maintenance (Mears et al. 2001; Swaroop et al. 2010). Past studies of the Nrl 330 promoter region identified a 30 bp 'critical region' that is absolutely required for promoter activity. This critical region contains TF binding sites for CRX and RORB, both of which are required for 331 Nrl expression (Kautzmann et al. 2011; Montana et al. 2011a). Since the Nrl promoter contained 332 333 a retinal DHS that was targeted in our library, we compared the results of CRE-seq and a 334 traditional promoter analysis that used fluorescence as a read-out of cis-regulatory activity 335 (Montana et al. 2011a). Since promoters act directionally (Andersson et al. 2014; Duttke et al. 2015), we compared CRE-seq constructs that were oriented in the same direction as the 336 traditional promoter constructs. We found good agreement between the two assays overall (Fig. 337 338 6A), despite differences in construct design (e.g., the CRE-seq constructs contained a minimal 339 promoter, and the 3' ends of fragments varied). Importantly, both identified the same critical 340 region within a block of phylogenetic conservation (Montana et al. 2011a). Thus, CRE-seq 341 truncation analysis recapitulated the results of a traditional truncation mutation analysis.

Besides the *Nrl* promoter, we found additional instances of novel truncation mutation analyses afforded by the capture approach. As seen in Figure 6B, a retinal DHS in the intron of *Rbm20* showed strong activity in the retina and weak activity in the cortex. Intriguingly, our assay revealed a 12 bp critical region containing a predicted binding motif for CRX. This motif, 'CTAATCCT' (on the negative strand) is a near-perfect match to the consensus motif, 'CTAATCCC' (Lee et al. 2010).

348 Figure 6C depicts another truncation mutation analysis, this time for two brain DHSs 349 (labeled '1' and '2') located <0.5 kb apart within an intron of Bsn (Bassoon). Bassoon is a 350 presynaptic protein that is important for neurotransmitter release from glutamatergic (excitatory) neurons (Altrock et al. 2003). Both of these brain DHSs contained phylogenetically conserved 351 352 regions, as observed by PhastCons (Siepel et al. 2005). Interestingly, while both had low cis-353 regulatory activity in the retina, DHS #1 had low activity in the cerebral cortex, whereas DHS #2 354 had high activity in the cortex. Furthermore, given the extensive tiling of the region, the boundaries of activity could be determined at both the 5' and 3' ends of DHS #2. 355

356 Next, we present a brain DHS region with high *cis*-regulatory activity in the cerebral 357 cortex (Fig. 6D). A critical region of ~150 bp in length was identified that overlapped a block of phylogenetic conservation. Incremental loss of bases in this region resulted in progressive 358 359 decreases in *cis*-regulatory activity. Within this critical region, two TF motifs were identified: a 360 consensus E-box motif (recognized by bHLH TFs) (Massari and Murre 2000), immediately next to a motif recognized by basic region leucine zipper (bZIP) proteins of the AP-1 family (Heinz et 361 362 al. 2010). Like neural bHLH proteins, AP-1 family proteins are known to have important roles in regulating gene expression in the cerebral cortex (Raivich and Behrens 2006; Mongrain et al. 363 364 2011).

Additional examples of truncation mutation analysis are presented in Supplemental Figure S8. Overall, we identified 46 retinal DHSs and 13 brain DHSs with examples of truncation mutation analysis, thus representing 4.6% and 1.3% of the 1000 retinal DHSs and 1000 brain DHSs initially targeted in the library, respectively. We observed that for the loci with truncation mutation analyses, at least 8 barcoded constructs tiled across the DHS. For DHSs with at least 8 assayed barcodes, the fraction of loci with truncation mutation analyses was about 3-fold higher: 46/363 (12.7%) of retinal DHSs and 13/345 (3.8%) of brain DHSs.

372 Truncation mutation analyses rely on assaying long CRE fragments that tile across CRE 373 regions. Previously, we conducted a CRE-seq enhancer study (White et al. 2013) in which short 374 (84 bp) CREs (synthesized by oligonucleotide array) were assayed upstream of a rod 375 photoreceptor-specific proximal promoter. These short CREs corresponded to retinal CRX ChIP-seq peaks, which are essentially a subset of retinal DHSs (Wilken 2015). Thus, we 376 377 wondered whether, for a given CRE, our capture-and-clone approach identified active cis-378 regulatory sequences beyond the central region tested by the short CRE. Overall, there were 379 176 CRE regions in the White et al. library that overlapped with assayed regions in the current 380 library, all of which corresponded to retinal DHSs. Most (141/176 or 80%) regions were more active as short enhancers than as long autonomous elements (Supplemental Fig. S9A). This is 381 not surprising, as it is known that some photoreceptor CREs exhibit strong activity as enhancers 382 383 but minimal activity as autonomous elements (Corbo et al. 2010). Interestingly, in a minority 384 (13/176 or 7%) of cases, the long autonomous elements exhibited substantially more activity, 385 likely because they encompassed functional regions (e.g., critical regions and/or phylogenetically conserved regions) that were not found within the short CREs, as illustrated in 386 Supplemental Figure S9B and S9C. Although the comparison of these two studies is limited by 387 388 the differences in assay platforms and the small number of shared CREs, these results indicate 389 that the capture-and-clone approach can provide additional *cis*-regulatory information beyond 390 that of short CREs.

Together, these examples illustrate that CRE-seq multiplex truncation mutation analysis can identify both known and novel critical regions. In some cases, the spatial resolution is high 393 enough to pinpoint candidate TF motifs required for activity. Thus, our assay has the ability not 394 only to measure the overall activity of a candidate CRE, but also to demarcate the spatial 395 boundaries of *cis*-regulatory activity.

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#### Traditional reporter assays confirm that critical bases identified by CRE-seq truncation 398 mutation analysis are required for activity

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400 To validate the ability of CRE-seq truncation mutation analysis to identify critical regions 401 de novo, we utilized traditional reporter assays. We previously developed a quantitative fluorescence reporter assay in retinal explants that accurately measures CRE activity (Montana 402 et al. 2011b; Kwasnieski et al. 2012). Thus, we selected three retinal DHS loci (including R64, 403 404 which is the locus depicted in Figure 6B) with critical regions identified by CRE-seg truncation 405 mutation analysis to test with the traditional approach (Fig. 7A). These critical regions contained 406 bioinformatically predicted CRX sites, thus allowing us to test whether these CRX sites were 407 required for *cis*-regulatory activity.

408 For each locus, we created a 'long' construct, a 'short' construct missing the critical 409 region, and a 'mutant' construct identical to the 'long' construct except that a single point 410 mutation was introduced in the predicted CRX site (Fig. 7A). The point mutation was an 411 adenine-to-cytosine substitution at the fourth position of the CRX motif (thymine-to-guanine in 412 the reverse orientation), which is predicted to inactivate the CRX site (Supplemental Table S7) 413 (Lee et al. 2010; White et al. 2013). The constructs were directionally cloned upstream of the minimal promoter-GFP cassette in a non-AAV vector without barcodes in the 3' UTR, thus 414 415 controlling for any effects of orientation, AAV vector sequence, or barcode sequence.

Each construct was individually electroporated into multiple retinas and quantified 416 relative to a loading control, Rho-CBR3-DsRed (Fig. 7B). We observed that in each case, the 417 418 long construct showed high activity, while the short construct showed extremely low activity. 419 Notably, the mutant construct exhibited a low level of activity comparable to the activity of the 420 short construct (Fig. 7C). Thus, for all three loci, we not only verified that the critical regions are required for activity, but also that these specific CRX sites are required. These experiments 421 demonstrate that our approach identifies bona fide TF binding sites required for activity. 422

423 424

#### DISCUSSION 425

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427 Here, we described an innovative 'capture-and-clone' approach for synthesizing CRE-428 seg libraries. We furthermore demonstrated the feasibility of using AAV-mediated CRE-seg to 429 conduct massively parallel *cis*-regulatory analysis in the cerebral cortex *in vivo*. By comparing 430 retina and cerebral cortex, we showed tissue-specific *cis*-regulatory activity of DHSs. By taking advantage of the truncation mutation analysis afforded by the tiling of captured fragments 431 across targeted loci, we illustrated high-resolution, multiplex functional parsing of CREs. 432

Previously, high-throughput functional assays of CRE activity had been technologically 433 limited with regards to the length of CREs that could be readily assayed (Levo and Segal 2014; 434 435 Shlyueva et al. 2014). Our capture-and-clone approach provides a strategy for assaying candidate CREs with lengths of a desired range. Moreover, the capture approach can be used 436 437 in conjunction with any existing MPRA-like approach, including those that already rely on DNA fragmentation (Dickel et al. 2014; Murtha et al. 2014). For example, STARR-seq (Arnold et al. 438 439 2013) has been used to assess long DNA fragments obtained by whole-genome shotgun 440 cloning of the Drosophila genome. However, the mouse and human genomes are ~25 times 441 larger than the fly genome. Moreover, only ~5-10% of the mammalian genome is thought to be 442 functionally constrained (Graur et al. 2013; Kellis et al. 2014; Rands et al. 2014). Therefore,

whole-genome shotgun cloning of mammalian genomes for *cis*-regulatory analysis is impractical.
Instead, capture-and-clone permits targeted *cis*-regulome analysis.

We note that another group has recently coupled capture technology to STARR-seq (i.e., CapSTARR-seq) (Vanhille et al. 2015). Our approach differs from CapSTARR-seq in two key ways (Supplemental Table S8). First, we achieved higher on-target rates of capture (98.5% vs. 14%) due to a rigorous capture protocol to avoid non-specific pull-down of off-target DNA (Gnirke et al. 2009; Lee et al. 2009). Second, we conducted paired-end sequencing of the input library, whereas CapSTARR-seq mapped only one end of the fragments. Thus, we were able to harness the potential of capture-and-clone for truncation mutation analysis.

452 Capture-and-clone allows the testing of longer CREs, which presumably harbor more 453 *cis*-regulatory information. However, there was essentially no correlation between fragment 454 length and CRE activity. What accounts for this observation? One consideration is that the size 455 range of assayed CRE fragments was relatively narrow. Another explanation, based on the 456 truncation mutation analyses, is that some long fragments exhibited low activity due to the 457 omission of critical regions. A third possibility is that some long CRE fragments included 458 repressive sequences that decreased activity (Reynolds et al. 2013).

459 The capture-and-clone approach is particularly well suited for screening thousands of 460 candidate CREs and identifying the most active CREs in a particular tissue of interest, thereby narrowing the list of CREs that may be relevant to a particular phenotype. For instance, 461 462 genome-wide association studies (GWAS) and whole-genome sequencing studies have generated lists of thousands of disease-associated non-coding variants (Ward and Kellis 2012; 463 Albert and Kruglyak 2015). To prioritize these lists and thereby accelerate the identification of 464 465 causal variants, the locations of the candidate variants can be intersected with the locations of putative CREs. The cis-regulomes of unaffected and affected individuals can then be screened 466 by capture-and-clone CRE-seq to identify CREs that exhibit the greatest differential activity 467 468 between the unaffected and affected groups. Capture-and-clone is thus complementary to CRE-469 by-synthesis, which is better suited to precisely measuring the effects of specific variants (Levo 470 and Segal 2014). Capture-and-clone can be used to assess a broad range of regions in any organism whose DNA and reference genome are available, although certain types of sequences 471 are not amenable to targeted capture, namely repetitive regions (due to non-specific pull-down) 472 473 and sequences with very high (>65%) or low (<25%) GC content (Mertes et al. 2011).

Prior to our study, the implementation of MPRAs in mammalian cells had been almost exclusively restricted to immortalized cell lines and cultured tissues (Shlyueva et al. 2014). The only mammalian tissue that had been assayed *in vivo* was the mouse liver, due to its ability to take up limited amounts of plasmid DNA via a hydrodynamic tail vein assay (Herweijer and Wolff 2007; Patwardhan et al. 2012). Here, we take a step forward by using AAV to conduct CRE-seq *in vivo* in the mammalian CNS.

480 One potential drawback of AAV is that packing constraints limit the size of the insert to less than 4.7 kb (Wu et al. 2010). Lentiviruses have greater carrying capacity (Kumar et al. 481 2001), but their integration into the host genome poses the risk of integration site *cis*-regulatory 482 effects (Clark et al. 1994). By contrast, AAV-mediated CRE-seq measures the *cis*-regulatory 483 484 potential of elements independent of chromosomal context, thereby interrogating the function of 485 the DNA sequences themselves. Interestingly, there is evidence that despite being episomal, 486 the AAV vector is organized into nucleosomes (Penaud-Budloo et al. 2008). Another limitation of AAV is that the onset of expression is relatively slow, with maximal expression requiring up to 487 several weeks (Day et al. 2014). This delay is due to the required conversion of the genome 488 489 from single-stranded into double-stranded DNA. Recently, self-complementary AAV (scAAV) 490 serotypes have been developed that exhibit more rapid transgene expression (McCarty 2008). 491 As novel AAV serotypes for gene therapy continue to emerge (Wu et al. 2006; Daya and Berns 492 2008), AAV-mediated CRE-seq will become increasingly powerful.

493 Why are some tissue-specific DHSs active and others inactive, even when assayed in 494 the appropriate tissue? One reason is that DHSs demarcate not only active enhancers but also 495 other types of regulatory elements (e.g., silencers and insulators) (Gross and Garrard 1988; 496 Thurman et al. 2012). Here, we used a TATA-box containing minimal promoter to assay the 497 autonomous *cis*-regulatory activity of the tested elements, rather than a tissue-specific proximal promoter to assay for enhancer/silencer activity (Butler and Kadonaga 2002). Only a minority 498 499 (~10-20%) of mammalian promoters contain TATA boxes (Sandelin et al. 2007). Future use of 500 tissue-specific proximal promoters may allow for more sensitive assays, especially as enhancer-501 promoter compatibility and TATA-box vs. DPE-containing promoters become better understood (Sandelin et al. 2007; van Arensbergen et al. 2014; Zabidi et al. 2015). Additionally, since some 502 enhancers become active only in response to particular stimuli (Ostuni et al. 2013; Shlyueva et 503 504 al. 2014), environmental perturbations may be necessary to unmask their *cis*-regulatory 505 potential. Furthermore, the cis-regulatory landscape of a given tissue is dynamic across 506 development, as illustrated by DNase-seq in the developing mouse retina and brain (Wilken 2015). Future CRE-seg experiments at multiple developmental stages will help elucidate the 507 508 temporal dynamics of CREs. Nonetheless, even with the TATA-box containing minimal 509 promoter assayed in steady-state conditions, we demonstrated tissue-specific CRE activity.

510 Assaying autonomous activity and assaying enhancer activity are complementary 511 approaches, as they appear to reflect different biological activities and properties of a given 512 CRE. In the current study, we observed that GC content was associated with decreased 513 autonomous CRE activity in the retina. Given the differences in the assays, this finding does not contradict our earlier retinal CRE-seg study (White et al. 2013), in which we observed a positive 514 515 association between GC content and enhancer activity. In fact, the current result is consistent 516 with a recent CRE-seg study in which GC content was associated with decreased autonomous activity of predicted enhancers in cell culture (Kwasnieski et al. 2014).. 517

518 In our study, the retina exhibited a stronger preference for retinal DHSs than the 519 cerebral cortex exhibited for brain DHSs. Several explanations are possible. First, the cellular 520 complexity of the brain is likely a major factor (Wurmbach et al. 2002). A recent DNase-seq 521 study in the mouse brain observed that DHSs could be found around genes expressed in only a small percentage of neurons, such as cortical laminar-specific genes (Wilken 2015). Thus, a 522 523 given 'brain DHS' may actually be a cell type-specific DHS that is active in a small population of 524 cells. When averaged over the entire population of assayed cells, the cell type-specific activity 525 of the DHS may be obscured. For tissues with highly heterogeneous cell populations such as 526 the cerebral cortex, it should be possible to target specific subpopulations by combining AAVmediated CRE-seq with fluorescence-activated cell sorting (FACS) of defined cell types (Okaty 527 528 et al. 2011; Gisselbrecht et al. 2013; Dickel et al. 2014). Second, the minimal promoter used in 529 this study contains a possible weak CRX site, whose affinity is predicted to be ~10% that of the CRX consensus motif (Chen and Zack 1996; Lee et al. 2010). Lastly, although DNA barcode 530 531 representation was similar in the retina and cerebral cortex, the difference in delivery methods for the two tissues may have been a contributing factor. 532

In summary, we have developed a powerful and efficient strategy for constructing CRE-533 seq libraries that extends the size range of the CREs that can readily be assayed, using 534 535 targeted cis-regulome capture. At the same time, we have demonstrated the feasibility of conducting CRE-seq in vivo in a mammalian tissue using AAV. As new assays for rapidly 536 identifying the locations of putative cell type-specific CREs are developed, e.g., ATAC-seq 537 (Buenrostro et al. 2013), our study sets the stage for the high-throughput functional screening of 538 539 thousands of candidate CREs in a range of cell types and in a variety of model systems, 540 including non-human primates and human induced pluripotent stem cell (iPSC)-derived 541 organoids (Lancaster et al. 2013).

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### 544 **METHODS**

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Animals. Mice were maintained on a 12-hour light/dark cycle at ~20-22 °C with free access to food and water. Neonatal mice were euthanized by decapitation, and adult animals were euthanized with  $CO_2$  anesthesia followed by cervical dislocation, unless otherwise stated. All experiments were conducted in accordance with the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health, and were approved by the Washington University in St. Louis Institutional Animal Care and Use Committee.

552

553 **Reference genome.** The mouse reference genome used throughout was mm9.

554 Identification of target tissue-specific DHS peaks. We downloaded DHS data in narrowPeak 555 556 format from the Mouse ENCODE Project (Yue et al. 2014) for the following tissues (GEO 557 sample accessions are listed): whole brain age E14.5 (GSM1014197, replicate 1), whole brain 558 age E18.5 (GSM1014184, replicate 1), whole brain age 8 weeks (GSM1014151, replicate 1), 559 retina age P1 (GSM1014188), retina age P7 (GSM1014198), retina age 8 weeks 560 (GSM1014175), liver age E14.5 (GSM1014183, replicate 1), liver age 8 weeks (GSM1014195, 561 replicate 1), lung age 8 weeks (GSM1014194, replicate 1), kidney age 8 weeks (GSM1014193, 562 replicate 1), thymus age 8 weeks (GSM1014185, replicate 1), and heart age 8 weeks 563 (GSM1014166, replicate 1). We parsed these data using custom Perl scripts, tallying the 564 number of reads per 150 bp block across the mouse genome to give a DHS 'score'. We then 565 examined the top ~4,000 tissue-specific peaks each for brain age 8 weeks, retina age 8 weeks, 566 heart age 8 weeks, and liver age 8 weeks. For a peak to be identified as 'tissue-specific', it was 567 required to have a DHS score of >25 in the 8 week tissue of interest and <25 in samples derived 568 from other tissues (but the peak score for samples deriving from different developmental stages of the same tissue type were not required to be <25). For instance, if the score for a retina age 8 569 weeks peak was >25 and the score for the corresponding retina age P7 peak was >25, but all 570 non-retinal peaks were <25, then that peak was called 'retina-specific'. After removing any 571 tissue-specific peaks that overlapped repetitive genomic sequences (~10% of peaks), we 572 573 selected the 1,000 peaks with the highest tissue-specific peak scores from each of adult brain, 574 retina, heart, and liver for inclusion as capture targets.

575

**Capture bait library design and synthesis.** For each of the 4,000 target regions, seven 80 bp baits were designed to tile across the 300 bp region (sliding 37 bp at a time), for a total of 1.2 Mb and 28,000 baits. To check for potential off-target bait hybridization, bait candidates were blasted against the mm9 genome, which was masked for the regions from which baits were designed. By definition,  $T_m$  is the temperature at which 50% of the molecules are hybridized. Bait candidates were accepted only if no BLAST hits (Altschul et al. 1990) with a predicted  $T_m >$ 40.0 °C were found.

583

584 GREAT analysis and Gene Ontology. GREAT v2.0.2 analysis with mm9 as the reference 585 genome was implemented, using the 'single nearest gene' within 1000 kb as the algorithm for 586 associating genomic regions to genes, and using the whole genome as background and excluding the 'include curated regulatory domains' option (McLean et al. 2010). The input to the 587 588 GREAT analysis was the list of 4,000 target DHS regions. Gene Ontology (GO) (Ashburner et al. 589 2000) enrichment analysis for 'biological process' in Mus musculus was implemented using PANTHER (Mi et al. 2005) with AmiGO 2 v2.1.4 (Carbon et al. 2009). The input to the GO 590 591 analysis was the GREAT-generated list of genes associated with target DHSs ('region-to-gene' 592 associations).

593

Restriction enzymes and PCR reagents. Unless otherwise indicated, restriction enzymes
 were from New England Biolabs, and Phusion Hot Start Flex 2X Master Mix (New England
 Biolabs) was used for PCR. Primer sequences are listed in Supplemental Table S9.

Preparation of gDNA for capture. Genomic DNA was purified from liver tissue of C57BL/6J 598 599 mice and sonicated with Covaris E210 (duty 10%, intensity 4, cycles/burst 200, time 100 s). The 600 freshly sonicated DNA was end repaired, 3' adenylated, ligated to commercial adapters, and 601 enriched by PCR, using the TruSeq LT or TruSeq Nano Kit (Illumina) according to 602 manufacturer's instructions (1 ug or 200 ng input gDNA, and 10 or 8 cycles of PCR, respectively). For final size selection and purification prior to capture, the samples were gel 603 electrophoresed on 2% low melting point agarose and gel extracted with MinElute (Qiagen). To 604 605 concentrate the samples in preparation for capture, the samples were speed vacuumed in 606 LoBind tubes (Eppendorf).

607

**Cis-regulome capture and preparation for cloning.** Capture was conducted in a similar 608 manner as previously described (Gnirke et al. 2009). Two rounds of sequential capture were 609 conducted to achieve high on-target rates (Lee et al. 2009). Briefly, for the first round of capture, 610 611 a 9 µL library mix was prepared, consisting of ~300 ng input (TruSeq LT or TruSeq Nano gDNA library), 2.5 µg human Cot-1 DNA, 2.5 µg salmon sperm DNA, and 0.6 µL adapter blocking 612 613 agent (MYcroarray). This solution was denatured at 95 °C for 5 min. Meanwhile, a 36.8 µL hybridization mix was prepared, consisting of 5 µL 20X SSPE (instead of the standard 20 uL), 614 615 0.8 µL 0.5 M EDTA, 8 µL 50X Denhardt's, 8 µL 1% SDS, and 15 µL RNase-free water. This solution was prewarmed at 65 °C for 3 min. A 6 µL capture bait mix was prepared, consisting of 616 50 ng (instead of the standard 500 ng) biotinylated baits and 1 µL of SUPERase-In (Ambion). 617 This solution was prewarmed at 65 °C for 2 min. Finally, 7 µL of the library mix, 13 µL of the 618 619 hybridization mix, and all 6 µL of the capture bait mix were incubated at 65 °C for ~24 hr. The reaction was then applied to Dynabeads MyOne Streptavidin C1 (Invitrogen) with washing and 620 elution as described (Gnirke et al. 2009). Each capture reaction was purified with MinElute 621 622 (Qiagen), with an elution volume of 30 uL. Each eluate was speed vacuumed in a LoBind tube (Eppendorf) down to a volume of 3-4 µL and used as the library 'input' for a single reaction in 623 624 the second round of capture. The second round of capture was otherwise identical to the first. 625 No PCR was conducted between the first and second rounds of capture. After the second round 626 of capture, PCR was conducted using III\_NotI\_1XL and III\_NotI\_2XL primers (98 °C for 1 min, 14-16 cycles: 98 °C for 10 sec, 58 °C for 30 sec, 72 °C for 1 min, followed by 72 °C for 5 min). 627 The samples were PCR purified with MinElute (Qiagen), digested with Notl-high fidelity (HF). 628 629 and gel extracted with MinElute (Qiagen). Two independent pools of capture products were generated, with each pool deriving from multiple capture reactions. 630

631

632 **CRE-seq library construction.** To minimize the likelihood of cleaving captured fragments, the 633 8-bp cutters Notl, Fsel, and Ascl were employed. To create the barcoded vector library for 634 insertion of Notl-ended captured fragments, the *Rho* basal-DsRed construct (Hsiau et al. 2007) 635 was modified with linkers on the 3' end of DsRed to replace a former Notl site with an Eagl site 636 and to add Nsil, Fsel and Ascl sites, and on the 5' end of the *Rho* basal promoter to add Xbal, 637 Notl, and Kpnl sites.

To add 15-mer barcodes, two pools of 30 nmol oligos were synthesized with random 15 bp sequences (Integrated DNA Technologies) as BC\_F and BC\_R. The two pools were annealed and ligated into the AscI and Nsil sites of the vector. After transformation of 5-alpha chemically competent *E. coli* (New England Biolabs) and overnight growth in liquid culture, a total of ~9.5 x  $10^6$  colonies were harvested (as estimated from plating a small aliquot) and purified with the PureLink HiPure Plasmid Maxiprep Kit (Invitrogen). The barcoded vector library was then digested with Eagl-HF and dephosphorylated with alkaline phosphatase (Roche). The
captured fragments were digested with Notl-HF and cloned into the Eagl site of the vector
library with 5-alpha chemically competent *E. coli* (New England Biolabs). A total of ~80,000
colonies were scraped from LB/ampicillin agar plates, grown for ~2 hours in liquid LB/ampicillin
culture, and purified with the PureLink HiPure Plasmid Maxiprep Kit (Invitrogen).

After paired-end sequencing to determine the CRE-barcode correspondence (described 649 650 below), the minimal promoter-eGFP cassette was cloned into the Fsel and Ascl sites. The 651 minimal promoter is the previously described 'Rho basal' minimal promoter, which contains a 652 TATA box ('CATAA'), and which by itself does not have detectable activity in electroporated retina (Hsiau et al. 2007). The minimal promoter-eGFP cassette was created by replacing 653 654 DsRed with eGFP (Zhang et al. 1996) in the Rho basal-DsRed construct (Hsiau et al. 2007). 655 After transformation with 5-alpha chemically competent E. coli (New England Biolabs) and 656 overnight growth in liquid culture, a total of  $\sim 2.7 \times 10^6$  colonies were harvested (as estimated by plating a small aliquot) and purified with the PureLink HiPure Plasmid Maxiprep Kit (Invitrogen). 657

The AAV-ITR vector was prepared by digesting the pAAV2.1-RHO-eGFP vector (Allocca 658 659 et al. 2007) with Nhel and Xhol, and replacing the RHO-eGFP cassette with a linker containing an Eagl site. To transfer the library into the AAV-ITR vector, the entire CRE-minimal promoter-660 eGFP-polyA cassette was subjected to PCR using 5' Tak and NotI polyA R1 primers (98 °C for 661 662 1 min, 10 cycles: 98 °C for 10 sec, 64 °C for 30 sec, 72 °C for 1 min 30 sec, followed by 72 °C for 5 min). The PCR product was digested with NotI-HF (New England Biolabs) and cloned into 663 the Eagl site of the AAV-ITR vector. After transformation of 5-alpha chemically competent E. coli 664 (New England Biolabs) and overnight growth in liquid culture, a total of  $\sim 2.5 \times 10^6$  colonies (as 665 estimated by plating a small aliquot) were harvested and purified with the PureLink HiPure 666 667 Plasmid Maxiprep Kit (Invitrogen). ITR integrity was verified by restriction digest. Note that the final Notl digestion removes any captured fragments initially cloned in as Notl multimers, leaving 668 669 only the 3'-most captured fragment.

ьь9 670

Paired-end sequencing for CRE-barcode correspondence. Prior to insertion of the promoter-671 672 reporter cassette, the library was prepared for paired-end sequencing as follows. PCR amplification was conducted using primers LibPCR\_F and LibPCR\_R (98 °C for 1 min, 8 cycles: 673 98 °C for 10 sec, 64 °C for 30 sec, 72 °C for 1 min, followed by 72 °C for 5 min). The product 674 was digested with NotI-HF and SacII, gel purified with MinElute (Qiagen), and ligated to P1 NotI 675 and PE2 SacII adapters with T4 DNA ligase (New England Biolabs), using an equimolar mix of 676 P1\_Notl indexed adapters to facilitate nucleotide balance. The ligation products were PCR 677 amplified to enrich for molecules that had both P1 and PE2 adapters, using primers JKP4F and 678 679 JKP4R (98 °C for 1 min, 14 cycles: 98 °C for 10 sec, 65 °C for 30 sec, 72 °C for 1 min, followed 680 by 72 °C for 5 min). The final product was gel-extracted on 2% low melting point agarose and 681 verified on an Agilent Bioanalyzer. Two lanes of MiSeg 2x250 bp sequencing were run at a loading concentration of 1.6-2 pM and 12-15% spiked-in Phi-X DNA (Illumina). 682

683

684 Analysis of paired-end sequencing for CRE-barcode correspondence. Barcodes and captured fragment sequences were extracted based on flanking bases. Captured fragment 685 686 sequences were aligned as paired reads to mm9 using Bowtie 2 v2.1.0 (Langmead and Salzberg 2012) with an allowed maximum insert size of 1000 bp ('-X 1000' setting). SAM files 687 were converted to BAM files using SAMtools v0.1.19 (Li et al. 2009) and then to BED files using 688 BEDTools v2.22.1 (Quinlan and Hall 2010). Only paired reads that mapped concordantly were 689 690 used. Fragments were examined for overlap with the 4,000 target DHS regions (which were each 300 bp). If a fragment overlapped two adjacent target regions, it was assigned to the target 691 region with the most bases of overlap. Barcodes were required to be 14-16 bp in length. 692 693 Barcodes with multiple CRE fragment associations, and PCR-duplicate CRE fragments

associated with multiple barcodes (~1.6% of fragments), were filtered. A list of 'on-target' CRE
correspondences for 45,670 barcoded constructs (minimum 10 reads) resulted. To determine
the 'off-target' rate, the number of barcoded constructs that did not overlap a target DHS was
found to be 712. Hence, ~98.5% of fragments were on-target.

698

**Retinal explant electroporation and culture for CRE-seq.** Electroporation and explant culture of mouse retinas were performed as described previously (Montana et al. 2011b). In brief, retinas were dissected from newborn (P0) CD-1 mouse pups and coelectroporated with  $0.5 \mu g/\mu L$  AAV-ITR plasmid CRE-seq library and  $0.5 \mu g/\mu L$  *Rho*-CBR3-DsRed, a rod-specific construct for visualizing electroporation efficiency (Corbo et al. 2010). Retinas were grown in explant culture and harvested 8 days later. Five retinas were pooled for each CRE-seq biological replicate.

706

**Viral production.** Recombinant AAV9(2YF) was produced and purified as previously described (Grieger et al. 2006). To summarize, HEK293 cells at ~80% confluency were cotransfected with the AAV-ITR plasmid CRE-seq library, p-Helper plasmid, and AAV9(2YF) rep/cap plasmid (Dalkara et al. 2012). Cells were harvested 72 hours after transfection, and the virus was purified by lodixanol gradient ultracentrifugation, followed by buffer exchange. The viral titer, as determined by dot blot or quantitative PCR, ranged from 5 x 10<sup>12</sup> to 1 x 10<sup>14</sup> vg/mL (Zolotukhin et al. 2002; Aurnhammer et al. 2012).

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715 Stereotactic cortical injection. Stereotactic cortical injections were performed in a manner similar to that described (Cetin et al. 2006). Briefly, female CD-1 mice (age 4-6 weeks) were 716 717 anesthesized with isoflurane. Each mouse received bilateral injections. For each injection, a 718 small craniotomy was performed and 1 µL of AAV9(2YF) CRE-seq library was delivered into the 719 primary motor cortex (stereotactic coordinates: dorsal/ventral axis 0.52 mm, anterior/posterior 720 axis 1 mm, medial/lateral axis 1.5 mm). Animals were harvested 4-5 weeks after injection. The 721 brain was sliced coronally and a fluorescent dissecting scope (Leica MZ16 F) was used to 722 visualize GFP-positive regions, which were isolated by microdissection. Each CRE-seq 723 biological replicate consisted of GFP-positive cortical tissue from a single animal.

724

725 Isolation of RNA and DNA and preparation for sequencing. Tissues were rapidly harvested 726 and rinsed in cold sterile HBSS with calcium and magnesium (Gibco) and stored at -80 °C in 727 TRIzol (Invitrogen). Samples were homogenized in TRIzol, and RNA and DNA were isolated 728 according to the manufacturer's instructions. RNA samples were treated with TURBO DNase 729 (Ambion) to remove potential DNA contamination. RNA and DNA were prepared for sequencing 730 essentially as previously described (Kwasnieski et al. 2012). RNA was reverse-transcribed with 731 SuperScript III (Invitrogen) using oligo-dT primers. The resulting first-strand cDNA was treated with RNaseH. Both the cDNA and DNA samples were subjected to PCR to amplify the barcode 732 733 sequence in the 3' UTR of GFP using the forward primer SSP1F and the reverse primer JKP3R 734 (98 °C for 1 min, 22 cycles for DNA or 26 cycles for cDNA: 98 °C for 10 s, 60 °C for 30 s, 72 °C 735 for 30 s, followed by 72 °C for 5 min). This resulted in PCR products flanked by Eagl and EcoRI restriction enzyme sites. The products were purified with PureLink PCR Purification Kit 736 737 (Invitrogen) and digested with EagI-HF and EcoRI. After digestion, the samples were gel purified with Qiagen Gel Extraction Kit and ligated to P1\_Eagl and PE2\_EcoRI adapters using 738 739 T4 DNA ligase (New England Biolabs). To enrich for molecules that had both P1 and PE2 740 adapters, the ligation products were PCR amplified with primers JKP4F and JKP4R (98 °C for 1 min, 20 cycles: 98 °C for 30 sec, 65 °C for 30 sec, 72 °C for 30 sec, followed by 72 °C for 5 min). 741 The final product was gel purified from 2% low melting point agarose and verified on an Agilent 742 743 Bioanalyzer.

### 744

Illumina sequencing for CRE-seq barcode abundance. For each tissue, the three cDNA
 samples and three corresponding DNA samples were multiplexed and run on a single lane of
 Illumina HiSeq 2000 (1x50 bp) at a loading concentration of 8 pM with 10% spiked-in Phi-X DNA.

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749 CRE-seq data analysis. Samples were demultiplexed and the barcode was extracted based on 750 flanking sequences. Reads were tabulated to obtain the raw RNA and DNA counts for each 751 barcode. Only barcodes with at least 10 raw DNA reads in all 3 biological replicates of a tissue 752 were included (36,005 barcodes for retina and 38,826 barcodes for cerebral cortex). For each 753 barcode, the RNA count was normalized to the total RNA counts in the sample, and the DNA 754 count was normalized to the total DNA counts in the sample. The normalized expression was 755 the ratio of the normalized RNA count to the normalized DNA count. A pseudocount of 0.001 756 was added to the normalized expression, and the  $\log_2$  was taken. The average of the  $\log_2$ 757 values across biological replicates was the 'mean expression (log<sub>2</sub> units)'.

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759 Histology. Retinal explants were rinsed twice with PBS and fixed in 4% paraformaldehyde/PBS for 30-60 min at room temperature, equilibrated in 30% sucrose/PBS, and embedded in Tissue-760 Tek O.C.T. (Sakura). Retinal cryosections (12-14 μm) were prepared and stored at -20 °C until 761 imaging. For stereotactically injected brains, animals were deeply anesthesized with 762 ketamine/xylazine and then transcardially perfused with heparin/PBS followed by 4% 763 paraformaldehyde/PBS. Animals were decapitated and the brains were dissected in PBS and 764 765 post-fixed in 4% paraformaldehyde/PBS at 4 °C for at least a day. Vibratome sections (200 µm) were prepared from agarose-embedded brain slices and then optically cleared with 766 glycerol/PBS (Selever et al. 2011). Brain slices were treated with sodium borohydride to 767 minimize autofluorescence (Clancy and Cauller 1998). For anti-RBFOX3 (also known as anti-768 NeuN) staining of free-floating vibratome sections, the sections were blocked with 4% normal 769 donkey serum (NDS)/0.25% Triton X-100/PBS for at least 1 hr at room temperature with gentle 770 771 agitation, incubated with rabbit anti-RBFOX3 antibody (ABN78; EMD Millipore) (1:50, diluted in 4% NDS/0.1% Triton X-100/PBS) overnight at 4 °C with gentle agitation, washed with 0.1% 772 773 Triton X-100/PBS, incubated with Alexa Fluor 555 donkey anti-rabbit (A-31572; Molecular Probes) (1:800, diluted in 4% NDS/0.1% Triton X-100/PBS) for 1 hr at room temperature with 774 775 gentle agitation, and washed with 0.1% Triton X-100/PBS. All brain slices were stored in PBS at 4 °C until imaging. For imaging, tissue was mounted with Vectashield (Vectorlabs) and 776 coverslipped. Confocal imaging was conducted with a laser confocal microscope (Zeiss LSM 777 778 700) and ZEN 2009 software (Zeiss). Flat-mount imaging of an untreated brain slice (Fig. 3D) was conducted with an inverted fluorescent microscope (Nikon Eclipse TE300) and MetaMorph 779 780 software (Molecular Devices). Images were processed with Adobe Photoshop.

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**Cluster analysis of biological replicates.** Hierarchical clustering and principal component analysis (PCA) were used to assess the underlying structure of CRE expression across retina and brain replicates. For hierarchical clustering, the sample distance was defined as one minus the Pearson correlation coefficient (calculated across the normalized expression of the ~35,000 barcodes with at least 10 DNA reads in all six samples), and clustering was implemented using average linkage. PCA was performed via singular value decomposition on scaled, centered expression data (i.e., zero-centered values with unit variance).

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Analysis of TF motif enrichment in low vs. high-expressing DHSs. To compare the motif content of low- and high-expressing constructs (Fig. 5E), a list of brain and retina TF motifs were obtained as follows. DNase-seq reads for adult brain (GSM1014151, replicate 1) and adult retina (GSM1014175) were downloaded and aligned to mm9 with Bowtie 2 v2.2.3 (Langmead

and Salzberg 2012). DNase-seg peaks were then called using MACS2 v2.1.0 (Zhang et al. 794 795 2008). For de novo motif discovery, peaks were first partitioned by HOMER v4.7 annotations 796 ('promoter,' 'intronic,' and 'intergenic') (Heinz et al. 2010). De novo motif discovery was then 797 performed independently for each of these classes of peaks from brain and retina, with the final motif list consisting of all motifs identified at a threshold of  $p < 1 \times 10^{50}$ . To compare similar 798 799 numbers of DHSs in the 'high' and 'low' categories, individual barcoded constructs were ranked 800 by average expression in each tissue. The highest-expressing constructs that constituted 100 801 distinct DHS target regions (regardless of DHS tissue origin) were classified as 'high' in that 802 tissue, and the lowest-expressing constructs that constituted 100 distinct DHS target regions (regardless of DHS tissue origin) were classified as 'low' in that tissue (DNA read count was 803 used to break ties). Finally, overlapping intervals were merged, and the resulting regions were 804 805 scored for motif enrichment (binomial test, via HOMER) relative to a background of ~50,000 806 random mm9 sequences matched for size and dinucleotide content.

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808 **Receiver operating characteristic (ROC) curves.** To quantify the extent to which sequence 809 features and epigenomic data could predict expression (Fig. 5F), we implemented multiple logistic regression as a means of classifying whether or not individual constructs were among 810 811 those with the highest expression (similar to the approach described by (Kwasnieski et al. 2014)). Briefly, all assayed constructs (~36,000 constructs for retina and ~39,000 constructs for 812 813 cerebral cortex) were partitioned by expression into 'high' and 'not high' expression groups. 814 'High' was defined here as mean expression across replicates ( $log_2$  units) of >-2 for constructs assayed in retina (~95<sup>th</sup> percentile), and >2 for constructs assayed in the cerebral cortex (~99<sup>th</sup> 815 816 percentile) (see Figure 4B). Our model included terms for GC content (averaged across the 817 CRE fragment), phylogenetic conservation (30-way vertebrate PhastCons, averaged across the CRE fragment) (Siepel et al. 2005), brain or retina DNase-seg data (log<sub>2</sub>((read depth+1)/CRE 818 size)), retina CRX ChIP-seq data (log<sub>2</sub>((1/2)\*(read depth of two WT CRX ChIP-seq replicates + 819 820 1)/CRE size))) (Corbo et al. 2010), and individual TF motifs (the number of each motif in each 821 CRE fragment, as identified by HOMER). CRX ChIP-seq data were only included in the retina model, and distinct TFs were considered for retina and cerebral cortex models. TF motifs for 822 823 each tissue were identified as described above (17 motifs for retina, and 13 motifs for cerebral 824 cortex; see Supplemental Table S5). Two retinal motifs (YY1 and ZBTB33) were omitted from 825 the model, as they were observed fewer than 100 times across the ~36,000 constructs, and hence 15 motifs were in the retina TF motif model. The performance (AUC) of models was 826 827 quantified using the ROCR package in R (Sing et al. 2005). Five-fold cross-validation was used to control for over-fitting. 828

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830 Expression scores for browser screenshots. For Figure 6A, the scales for the heat maps are indicated. Elsewhere, heat maps were generated according to the default grayscale on the 831 UCSC Genome Browser (Karolchik et al. 2014), using custom bed tracks that were generated 832 as follows. For each biological replicate, a bed track was created using the useScore=1 attribute 833 for intensity shading of individual barcoded constructs using a 'bed score'. The 'bed score' was 834 835 obtained by adding 10 to the log<sub>2</sub> expression and multiplying by 75. For each tissue, an 836 'average signal' bedGraph track was created by segmenting the tiled regions and averaging the 837 bed scores across replicates and barcodes. A segment was required to be encompassed by at least 2 barcoded constructs to be included in the 'average signal' track. The windowing function 838 was set to 'mean'. A smoothing window function (10 pixels) was applied to the average signal 839 840 tracks, which were displayed on the following scales: 0 to 1400 for retina, and 300 to 1200 for 841 cortex.

842

843 **Synthesis of individual constructs for validation.** The R28 constructs were cloned as 844 EcoRV/KpnI fragments. To create the long and short R28 constructs, the R28\_L/R28\_R and

R28 S/R28 R primer pairs were used, respectively. To create the mutant R28 construct, 845 846 R28\_MT was ordered as a double-stranded gene block (Integrated DNA Technologies). The 847 R62 constructs were cloned as EcoRI/Xbal fragments. To create the long and short R62 848 constructs, the R62\_L/R62\_R and R62\_S/R62\_R primer pairs were used, respectively. To create the mutant R62 construct, R62 MT was ordered as a double-stranded gene block 849 (Integrated DNA Technologies). The R64 constructs were cloned as EcoRV/KpnI fragments. To 850 create the long, short, and mutant R64 constructs, the R64\_L/R64\_R, R64\_S/R64\_R, and 851 R64 MT/R64 R primer pairs were used, respectively. For the PCR reactions, C57BL/6J gDNA 852 853 was the template. The CREs were digested and cloned upstream of the minimal promoter-854 eGFP cassette in the Rho basal-eGFP vector, which was created from Rho basal-DsRed (Hsiau et al. 2007) by replacing DsRed with eGFP at Xmal and Notl sites. Test constructs were 855 856 confirmed with Sanger sequencing that encompassed the entire CRE.

857

858 Validation of individual constructs by fluorescent reporter assays. Electroporation, explant culture, and quantification of fluorescence were performed essentially as previously described 859 (Montana et al. 2011b). In brief, as for CRE-seq, retinas were dissected from newborn (P0) CD-860 1 mouse pups. Here, they were coelectroporated with 0.5 µg/uL of the test construct and 0.5 861 862 µg/uL Rho-CBR3-DsRed (Corbo et al. 2010). Retinas were cultured for 8 days, fixed, and then whole mounted for quantitative imaging of fluorescent intensity (GFP intensity normalized to 863 864 DsRed intensity), using a monochromatic camera (Hamamatsu ORCA-AG) and MetaMorph software (Molecular Devices). For each retina, five regions were quantified in ImageJ and 865 averaged. SEM was calculated based on normalized fluorescence measurements across 866 867 retinas (n = 10-12 retinas per test construct). Representative whole mount images using a color 868 camera (Olympus DP70) were also taken.

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Comparison with CapSTARR-seq. The raw sequence data for the CapSTARR-seq (Vanhille
 et al. 2015) input library (GEO accession number GSM1463994) were downloaded and mapped
 to mm9 with Bowtie 2 v2.1.0 (Langmead and Salzberg 2012).

- 874 DATA ACCESS
- 875

The sequence data from this study have been submitted to the NCBI Gene Expression Omnibus (GEO; <u>http://www.ncbi.nlm.nih.gov/geo/</u>) under accession number GSE68247. Custom tracks for the UCSC Genome Browser (Karolchik et al. 2014) are provided in Supplemental Table S10.

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- 882

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### 896 897 **DISCLOSURE DECLARATION**

The authors have no disclosures to declare. 898

### 899 **FIGURE LEGENDS**

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901 Figure 1. 'Capture-and-clone' allows synthesis of CRE-seq libraries with long CREs. (A) 902 Schematic of the capture-and-clone approach. Size-selected, adapter-ligated genomic DNA was hybridized to biotinylated RNA baits that tiled across candidate CRE regions of interest. 903 Captured fragments were cloned into a barcoded vector library with unique 15-mer barcodes. 904 905 Paired-end sequencing revealed the CRE-barcode correspondence. A minimal promoter-GFP reporter cassette was subsequently cloned into the library. (B) Histogram showing the 906 907 distribution of the lengths of captured fragments that were cloned into the barcoded vector library, based on paired-end sequencing. The median length was 464 bp. (C) Histogram 908 showing the distribution of target coverage, i.e., the number of captured fragments that 909 910 overlapped a 300 bp target region. Of the 4,000 targeted regions, 3,483 regions were 911 represented by at least one construct. The median coverage among represented regions was 8. 912 Not shown in graph: 517 non-represented regions and 114 target regions with a coverage 913 of >50.

914

915 Figure 2. Tiling of captured fragments across target regions. Capture baits were designed 916 based on adult (8 week old C57BL/6J) DNase-seg data from Mouse ENCODE (Yue et al. 2014). 917 Paired-end sequencing revealed the locations of individual barcoded, captured-and-cloned 918 fragments. The UCSC Genome Browser (mm9) (Karolchik et al. 2014) screenshots depict: (A) 919 Captured fragments for an entire representative chromosome (chr7). 'Off-target' fragments, i.e., those that did not overlap a 300 bp target bait region, are also shown. Examples of captured 920 921 fragments: (B) around a retina-specific locus, Rho (rhodopsin), (C) in an intron of a brainspecific locus, Grin2a (glutamate receptor, ionotropic, NMDA2a [epsilon 1]), (D) in the 5' 922 UTR/promoter region of a heart-specific locus, Tnni3 (troponin I, cardiac 3), and (E) downstream 923 924 of a liver-specific locus, Alb (albumin). Note that some DNase-seq peaks visible in the 925 screenshots were not included as targets for capture. PhastCons depict 30-way vertebrate 926 phylogenetic conservation (Siepel et al. 2005).

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Figure 3. Delivery of capture CRE-seq library into mouse retina ex vivo and cerebral 928 929 cortex in vivo. (A) Schematic of the CRE-seq library delivery approach. The plasmid library can be directly electroporated into the retina ex vivo. Alternatively, the library can be packaged into 930 931 AAV and delivered via stereotactic injection into the cerebral cortex in vivo. (B) Scatterplot 932 comparing the relative abundance of ~45,000 individual barcoded constructs in the plasmid 933 library delivered into the retina, and in the AAV-packaged library delivered into cortex, as 934 measured by barcode DNA reads summed across the three biological replicates for each tissue 935 and then normalized to the total number of barcode DNA reads. Each data point represents a 936 unique barcoded construct. DNA reads were well-correlated (Pearson r = 0.95), indicating 937 fidelity of barcode representation after AAV packaging and delivery. Off-target constructs and constructs with 0 reads in all samples were excluded. Not shown: 4 points falling outside the 938 depicted plot range (included in the calculation of Pearson r). Red line, linear regression. (C) 939 940 Confocal image of a retina that was electroporated with the plasmid library and cryosectioned 941 after 8 days in culture. ONL, outer nuclear layer. INL, inner nuclear layer. (D) Flat-mount image of a coronal slice from a brain injected with the AAV-packaged library bilaterally into the primary 942 943 motor cortex and harvested ~4 weeks later. (D') Schematic corresponding to the flat-mount image. Note the bilateral GFP-positive regions in the cortex, as well as bundles of GFP-positive 944 945 axons in the corpus callosum (red arrow). (E) Confocal image of a cortical region infected with 946 the AAV-packaged library.

947

Figure 4. Tissue-specific *cis*-regulatory activity of DHSs. (A) Frequency distribution of DHSs ranked by *cis*-regulatory activity (bin size: 5 percentile) as measured in the retina (top) or 950 cerebral cortex (bottom). In the retina, ~15% DHSs had undetectable activity and hence were 951 binned together. Averages were taken across biological replicates and barcodes for a given 952 target DHS. Only DHSs with at least 2 barcoded constructs were included in this analysis 953 (~3,000 DHSs). Frequencies were normalized to the total number of DHSs in each category. To test for enrichment, chi-squared test was performed (one-tailed): \*\*\*p<10<sup>-4</sup>, \*\*p<0.01, \*p<0.05. 954 (B) Scatterplot showing the expression of individual barcoded constructs as assayed in the 955 956 cerebral cortex (x-axis) vs. retina (y-axis). Each dot represents an individual construct. For each 957 construct, the average measurement across the three biological replicates for each tissue was 958 taken. The ~35,000 barcodes that were well-represented (at least 10 DNA reads) in all six 959 samples were included in the analysis. Gray, blue, red, and orange dots denote constructs with CRE fragments that overlap retina, brain, heart, and liver DHSs, respectively. The dotted gray 960 box encompasses constructs that are strongly active in the retina, and the dotted blue box 961 962 encompasses constructs that are strongly active in the cortex.

963

964 Figure 5. Parameters that predict CRE activity. (A) to (D) Retinal DHSs as assayed in the 965 retina (left) and brain DHSs as assayed in the cerebral cortex (right). Each panel shows a 1 kb centered window. Only DHSs with at least 2 barcodes were included in this analysis, i.e., 710 966 967 retinal DHSs in retina (black lines, left) and 696 brain DHSs in cortex (black lines, right). The top 100 (red lines, left) and top 200 (orange lines, left) retinal DHSs expressed in the retina and the 968 969 top 100 (red lines, right) and top 200 (orange lines, right) brain DHSs expressed in the cortex 970 are shown. To compare the top 100 DHSs vs. the rest of the DHSs in each group, two-tailed student's t-test was calculated for the means within the 1 kb window, except for PhastCons 971 972 scores, which was calculated within the central 100 bp. \*\*\*p<0.001, \*\*p<0.01, \*p<0.05, N.S., not 973 significant. (A) Cis-regulatory activity, as measured by mean expression in log<sub>2</sub> units. For each assaved DHS, at each base position across the 1 kb window, the expression values of the 974 975 individual barcoded constructs whose CREs overlapped the position were averaged across 976 biological replicates. (B) DNase-seq score (Yue et al. 2014). (C) GC content, calculated in 50 bp windows, sliding 25 bp at a time. The fractions denote the proportion of DHSs that were 977 978 promoter-proximal (i.e., located within -1 kb to +100 bp relative to the nearest TSS) based on 979 GREAT annotations (McLean et al. 2010). (D) Phylogenetic conservation as measured by 30-980 way vertebrate PhastCons (Siepel et al. 2005)). (E) Enrichment for TF motifs among low vs. 981 high-expressing DHSs in each tissue, without restriction on the type of DHS (see Methods). Only significant motifs are shown (p < 0.05 in at least one category). For motifs enriched in both 982 983 tissues, the logo from the tissue with the more significant enrichment is shown. Abbreviations: 984 HD, homeodomain; NR, nuclear receptor; ZF, zinc finger; HTH, helix-turn-helix. (F) Receiver operator characteristic (ROC) curves show the performance of logistic regression models for GC 985 986 content, PhastCons, TF motifs, retina or brain DNase-seq, or a combined model. A model 987 based on CRX ChIP-seg (Corbo et al. 2010) was included for the retina only. The area under 988 the curve (AUC) for each model is indicated. For cross-validation results, see Supplemental 989 Table S6. 990

Figure 6. Truncation mutation analysis by CRE-seq. (A) Example of a truncation mutation 991 992 analysis at the Nrl promoter via a traditional one-at-a-time reporter assay (Montana et al. 2011b) vs. capture-and-clone CRE-seq. For the traditional reporter constructs, the 3' end extends 993 994 beyond the window depicted in the figure. For the CRE-seq data, only barcoded constructs in the same orientation as the Nrl promoter are shown. The yellow highlighted region corresponds 995 996 to a known critical region with CRX and RORB motifs (Andre et al. 1998; Montana et al. 2011b). The minus strand of DNA is displayed. In (A) and (B), the CRX motif (from HOMER (Heinz et al. 997 998 2010)) is based on CRX ChIP-seq data (Corbo et al. 2010). The reverse orientation of the CRX 999 motif is displayed. Additional examples of CRE-seq truncation mutation analysis: (B) Retinal 1000 DHS with retina-specific expression. The critical region identified by CRE-seq (pink) contains a 1001 putative CRX motif. (C) Two adjacent brain DHSs in the same intron of Bsn exhibit low (DHS #1, 1002 green) vs. high (DHS #2, pink) activity in the cortex. (D) Truncation mutation analysis of a brain 1003 DHS. A gradual decrease in activity was observed within the ~150 bp critical region (pink), 1004 corresponding to a phylogenetically conserved peak. Within this critical region, a smaller region 1005 (vertical blue stripe) was identified that contained an E-box consensus motif ('CANNTG') and a 1006 motif for a bZIP protein, based on AP-1 ChIP-seq data (Heinz et al. 2010). All browser images 1007 are from the UCSC Genome Browser (mm9) (Karolchik et al. 2014). DNase-seq data are from 1008 Mouse ENCODE (Yue et al. 2014). PhastCons depict 30-way vertebrate phylogenetic 1009 conservation (Siepel et al. 2005). The heat map scale shown in (B) is the same as that used in 1010 (C) and (D). 1011

1012 Figure 7. Validation of individual loci by fluorescence reporter assays. (A) Critical regions 1013 (pink areas) identified by CRE-seg truncation mutation analysis at three retinal DHSs (R64, R28, 1014 and R62) were validated by testing of individual constructs with fluorescence reporter assays. 1015 Depicted CRE-seq data are based on expression scores averaged across retinal replicates. 1016 Note that R64 is the same locus as in Figure 6B. For each locus, a 'long' construct containing 1017 the critical region (CR), a 'short' construct without the critical region, and a 'mutant' construct 1018 with point mutations (red font) in predicted CRX sites (blue font) were synthesized. Sequences 1019 are shown for the plus strand of DNA in all cases. For R62, one CRX site fell within the critical 1020 region, and a second CRX site was immediately adjacent (yellow area). Individual test 1021 constructs were directionally cloned upstream of the minimal promoter-GFP cassette in a non-1022 AAV vector. The test constructs were coelectroporated into explant retinas with Rho-CBR3-1023 DsRed (Corbo et al. 2010) as a loading control. (B) Representative whole mount images of electroporated retinas are shown (exposure times are the same for all images). (C) 1024 Quantification of the GFP levels normalized to DsRed levels. Error bar represents SEM (n = 10-1025 12 retinas per test construct). \*\*\*P-value  $< 10^{-6}$  (two-tailed student's t test). 1026

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