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Systematics of Nuclear Matter Properties
in a Non-Linear Relativistic Field Theory

J. Boguta and H. Stöcker

Abstract

The properties of symmetric nuclear matter are investigated in a renormalizable non-linear relativistic field theory of nuclear matter. A mean field approximation is made. We find that the equation of state over a considerable density range is determined by the nuclear matter compressibility modulus. A family of equations of state is considered that fit all known bulk properties of nuclear matter, including the energy dependence of the optical potential. The importance of non-Yukawa type nuclear interactions is discussed.

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One of the central aims of nuclear physics is to determine the equation of state of nuclear matter at all physically interesting densities. Thus far the equation of state is known at only one density value. This can be inferred from the structure of finite nuclei. It reveals that nuclear matter saturates at a density of about $\rho_0 \approx 0.145/\text{fm}^3$ with a binding energy per particle of $B_0 \approx -16 \text{ MeV}$.¹ Analysis of the nuclear monopole vibration allows one to infer the compressibility modulus to be $K = 210 \pm 30 \text{ MeV}$.² Another known property of nuclear matter in its ground state is the energy dependence of the nuclear optical potential. Any reasonable theory of nuclear matter must either predict these four established properties of nuclear matter from first principles or else incorporate them in a self-consistent phenomenological approach.³ The question whether the equation of state is well determined by fixing a few parameters has not been investigated. Indeed, it is still not known what are the necessary and sufficient physical ingredients of a many-body theory that will account for the known properties of the equation of state.

A large number of theoretical attempts have been made to calculate the equation of state at low and high densities using two-body potentials adjusted to fit the experimental nucleon-nucleon scattering data.⁴ These calculations are very difficult, involving a certain amount of uncertainty as to the type and origin of interaction to be used. For example, the importance of the three-body interaction is receiving new attention.⁵ These calculations make it difficult to see possible simple interrelations between physically interesting quantities. For example, one of the major results of the present work is the discovery that in self-consistent relativistic mean field models, the low density equation

of state is almost completely determined by the compressibility modulus K . The aim of the present work is to explore these interconnections in a self-consistent relativistic field theory proposed by Boguta and Bodmer.⁶ This theory allows for nuclear interactions that are not strictly two-body Yukawa type.

The nucleon ψ is assumed to interact with a scalar field σ and a vector field $\omega_\mu = (\omega, i\omega_0)$ through the following Lagrangian

$$\begin{aligned} \mathcal{L} = & -\bar{\psi}(\gamma_\mu \frac{\partial}{\partial x_\mu} + m_N)\psi - \frac{1}{2}\left(\frac{\partial\sigma}{\partial x_\mu}\right)^2 - U(\sigma) - \frac{1}{4}F_{\mu\nu}F_{\mu\nu} \\ & - \frac{1}{2}m_V^2\omega_\mu\omega_\mu + ig_V\bar{\psi}\gamma_\mu\psi\omega_\mu - g_S\bar{\psi}\psi\sigma \end{aligned} \quad (1)$$

where

$$F_{\mu\nu} = \frac{\partial}{\partial x_\nu}\omega_\mu - \frac{\partial}{\partial x_\mu}\omega_\nu \quad (2)$$

the potential functional $U(\sigma)$ is taken to be quartic polynomial in the field σ .⁶ The theory is perturbatively renormalizable.

$$U(\sigma) = \frac{m_S^2}{2}\sigma^2 + \frac{b}{3}\sigma^3 + \frac{c}{4}\sigma^4 \quad (3)$$

For translationally and rotationally invariant infinite nuclear matter, the field equations in the mean field approximation, $\sigma \rightarrow \langle\sigma\rangle =$

σ_0 , $\omega_\mu \rightarrow \langle\omega_\mu\rangle = i\delta_{\mu 0}\omega_0$, are

$$m_S^2\sigma_0 + b\sigma_0^2 + c\sigma_0^3 = -g_S\rho_S \quad (4a)$$

$$m_V^2\omega_0 = g_V\rho_V \quad (4b)$$

$$\vec{\omega} = 0 \quad (4c)$$

where

$$\rho_V = \frac{2}{3\pi^2} k_F^3 \quad (5a)$$

$$\rho_S = \frac{4}{(2\pi)^3} \int^{k_F} d^3k \frac{m^*}{\sqrt{k^2 + m^{*2}}} \quad (5b)$$

$$m^* = m_N + g_0 \sigma_0 \quad (5c)$$

The energy density ϵ , pressure P , and compressibility modulus K at zero absolute temperature are

$$\epsilon = \frac{1}{2} \left(\frac{g_V}{m_V} \right)^2 \rho_V^2 + \frac{4}{(2\pi)^3} \int^{k_F} d^3k \sqrt{k^2 + m^{*2}} + U(\sigma) \quad (6a)$$

$$P = \rho_V^2 \frac{d}{d\rho_V} \left(\frac{\epsilon}{\rho_V} \right) \quad (6b)$$

$$K = \rho_V^2 \frac{d^2}{d\rho_V^2} \left(\frac{\epsilon}{\rho_V} \right) \quad (6c)$$

The energy of a particle moving through matter with momentum k is given by

$$E_F = g_V \omega_0 + \sqrt{k^2 + m^{*2}} \quad (7a)$$

$$= \sqrt{k^2 + m_N^2} + U_{\text{eff}} \quad (7b)$$

where U_{eff} is the nuclear optical potential. At saturation density

$$E_F = \frac{\epsilon}{\rho_V} = -15.96 \text{ MeV} \quad (7c)$$

From the field equation Eq. (4a) one sees that the density dependence of the equation of state will be determined not only by the Lorentz scalar source term $\rho_S = \bar{\psi}\psi$, which leads to a Yukawa type two-body interaction, but also by the non-linear terms $b\sigma^2$ and $c\sigma^3$, which can be interpreted as many body interactions.⁷

The necessity for these non-linear interactions is phenomenological.³ The relativistic field theory of matter that contains only Yukawa type interactions predicts an incorrect energy dependence of the optical potential and a compressibility modulus that is much too large ($K = 550$ MeV).⁸

In the non-linear relativistic field theory discussed here, the properties of infinite nuclear matter will depend on two dimensionless constants $C_S = \left(\frac{g_S}{m_S}\right)m_N$, $C_V = \left(\frac{g_V}{m_V}\right)m_N$ and on $\bar{b} = b/g_S^3$ and $\bar{c} = c/g_S^4$. For every choice of C_S and C_V , the values of c and b can be determined so that symmetric nuclear matter saturates at a density of $\rho_0 = 0.145/\text{fm}^3$ with the binding energy of -15.95 MeV/particle. An important quantity to constrain is the compressibility modulus K . As mentioned before, the Yukawa type field theory predicts $K = 550$ MeV. In Fig. 1a we show constant compressibility contours of $K = 180$ MeV, 210 MeV, 240 MeV in the C_S and C_V plane. This covers the limits $K = 210 \pm 30$ MeV of the compressibility modulus deduced from nuclear monopole vibration. An interesting question is how does the equation of state vary as a function of C_S and C_V but constrained to give a fixed compressibility, say $K = 210$ MeV. In Fig. 2 we show these equations of state for quite different values for the (C_S, C_V) pair and for physically interesting values of m^* . We varied the effective mass m^*/m from 0.85 to 0.6 and the equations of state are almost identical for densities below the saturating density and slightly above it. The high density behavior is not determined by the compressibility modulus.

Another important quantity to determine is the energy dependence of the optical potential. In the relativistic mean field theory the Hugenholtz-Van Hove Theorem implies that the effective mass m^* completely

determines the energy dependence of the optical potential for nuclear matter at fixed saturation density and binding energy.³ From Eq. (7a-7c) we see that the energy dependence of the optical potential in this theory is completely determined by the value of C_V and independent of C_S . This means that the energy dependence of the optical potential is not uniquely related to the equation of state. A theoretically reasonable range of the effective mass is $0.65 \leq m^*/m_N \leq 0.75$, a value of 0.7 or slightly less being most consistent with the known energy dependence of the optical potential.^{3,9} In Fig. 1a we show the value of m^* as a function of C_V and in Fig. 1b we have the constant compressibility contours. We are now in the position to choose judiciously the values of C_S and C_V that are consistent with the known bulk properties of matter. This range we show in the shaded area of Fig. 1b.

There are two interesting questions to answer. First, how does the equation of state at low densities vary as one varies the interaction parameters inside the shaded region of Fig. 1b. The second question is how does the equation of state vary at high densities. The answer is shown in Fig. 3a and Fig. 3b. The equation of state at low density is quite insensitive to the variation of compressibility, while the equation of state at high densities can vary substantially. We can conclude that the extrapolation of the equation of state from known nuclear properties at normal densities cannot be reliable. The dots in Fig. 3a and Fig. 3b represent the results of many body variational calculation of Friedman and Pandharipande.¹⁰ At low densities the agreement is very good. At high densities the best agreement is obtained for $m^*/m_N = 0.75$. This effective mass, we believe, is too large for the mean field theory. As already noted, a value of $m^*/m_N \approx 0.7$ is in best agreement with the energy dependence of the

nuclear optical potential. There is no reason to believe that the nonrelativistic variational calculations at high nuclear density should be reliable. In Fig. 3b we show the corresponding pressures as a function of density.

The above results demonstrate that even a precise determination of the nuclear equation of state at densities $\rho < 1.2 \rho_0$ does not enable us to predict the high density behavior with reasonable accuracy. A theoretical determination is also very difficult in view of the fact that many body forces can play an essential role.^{5,10} Experiments must reinvigorate the quest for the high density equation of state.

We have shown that the equation of state at low densities in non-linear relativistic mean field theory is determined by the compressibility modulus for a fixed saturation density and binding energy. The precise mechanism (i.e. the magnitude of b and c) for saturation is unimportant. Furthermore, the value of the effective mass is not uniquely related to the equation of state but is an additional quantity that has to be determined. Its value can be extracted from the energy dependence of the optical potential. A significant shortcoming of the Yukawa type field theory proposed by Walecka⁸ is its incorrect energy dependence of the optical potential at low energies.

One would have expected that a theory of nuclear matter that does not contain many well-accepted aspects of many body theory cannot be a reasonable starting point in the study of nuclear properties. By explicit calculation and comparison we showed that this expectation is indeed false.³ In fact, the agreement between the non-linear relativistic mean field theory and many body calculations is easily understood. We have shown that once one has predicted, or fitted, a few known nuclear matter

properties, the equation of state at low densities as well as the energy dependence of the optical potential are determined. As far as the equation of state is concerned, its low density behavior is determined by the value of nuclear compressibility modulus at saturation and independent of the precise way nuclear saturation is achieved. This suggests that nucleon-nucleon interaction in matter cannot be uniquely extracted from the knowledge of the equation of state at low ($\rho < 1.2 \rho_0$) densities. The converse is also true, because of the freedom to choose the strength of the non-linearities. This, we believe, is a strong motivation for an experimental effort to study high density phenomena achievable in intermediate and high energy heavy ion collisions. In this way, much more will be known about the equation of state and this knowledge will shed light on the essential properties a many body theory must have.

This work was supported by the Director, Office of Energy Research, Division of Nuclear Physics of the Office of High Energy and Nuclear Physics of the U.S. Department of Energy under Contract W-7405-ENG-48.

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Figure Captions

Fig. 1a shows the contours of constant compressibility for $K = 180, 210$ and 240 MeV in the C_s, C_v plane for nuclear matter saturating at a density $\rho_0 = 0.145 \text{ fm}^{-3}$ with a binding energy $B_0 = -15.96$ MeV. The shaded area indicates the narrow range of C_s, C_v values consistent with the known bulk properties of nuclear matter and with an effective mass $m^*/m_N = 0.7 \pm 0.05$.

Fig. 1b shows the effective mass m^* at saturation vs. C_v . The effective mass at saturation is independent of C_s for fixed saturation density and binding energy.

Fig. 2. The energy per particle is shown as a function of the baryon density ρ_v for a fixed compressibility modulus $K = 210$ MeV, but for three different values of C_s, C_v pair corresponding to effective masses $m^*/m_N = 0.65, 0.70, 0.75$ respectively. For densities below ρ_0 the three different equations of state differ by less than one percent, while the high density behavior is not uniquely determined by K .

Fig. 3a. The energy per particle is shown as a function of baryon density for the corner points of the shaded area of Fig. 1a. The dots represent the results of Friedman and Pandharipande calculation using the variational method.

Fig. 3b shows the density dependence of the pressure for the same models as in Fig. 3a. Also the pressure for the best choice of parameters, $K = 210$ MeV, $m^*/m_N = 0.7$ is shown. The dots indicate the results of Friedman and Pandharipande.

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Fig. 1

Fig. 2

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Fig. 3

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Fig. 4

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