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## EDGE ARTICLE

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## Distinct electronic structures and bonding interactions in inverse-sandwich samarium and ytterbium biphenyl complexes†

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Inverse-sandwich samarium and ytterbium biphenyl complexes were synthesized by the reduction of their trivalent halide precursors with potassium graphite in the presence of biphenyl. While the samarium complex had a similar structure as previously reported rare earth metal biphenyl complexes, with the two samarium ions bound to the same phenyl ring, the ytterbium counterpart adopted a different structure, with the two ytterbium ions bound to different phenyl rings. Upon the addition of crown ether to encapsulate the potassium ions, the inverse-sandwich samarium biphenyl structure remained intact; however, the ytterbium biphenyl structure fell apart with the concomitant formation of a divalent ytterbium crown ether complex and potassium biphenylide. Spectroscopic and computational studies were performed to gain insight into the electronic structures and bonding interactions of these samarium and ytterbium biphenyl complexes. While the ytterbium ions were found to be divalent with a  $4f^{14}$  electron configuration and form a primarily ionic bonding interaction with biphenyl dianion, the samarium ions were in the trivalent state with a  $4f^5$  electron configuration and mainly utilized the 5d orbitals to form a  $\delta$ -type bonding interaction with the  $\pi^*$  orbitals of the biphenyl tetraanion, showing covalent character.

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## Introduction

The chemistry of rare earth elements (Sc, Y, and lanthanides) is still dominated by their trivalent ions, with the few exceptions of Ce(IV), Eu(II), Yb(II), and Sm(II), while the field of unusual redox chemistry is expanding.<sup>1–3</sup> The overwhelming stability of the

trivalent state results from the high electropositivity and the core-like 4f orbitals of the rare earth ions.<sup>4</sup> Unlike the d orbitals of transition metals, the 4f orbitals of lanthanides are strongly shielded by the  $5s^2$  and  $5p^6$  electrons so that they barely interact with the ligand-based orbitals.<sup>4–9</sup> As a result, the bonding interactions are primarily ionic with a negligible covalent character.<sup>10</sup> This is in contrast to actinides, especially light actinides, which have been shown to exhibit distinctive redox chemistry and significant covalent interactions.<sup>10–14</sup> However, a breakthrough in both high and low valent rare earth chemistry has emerged in recent years. For example, Mazzanti and La Pierre independently reported the synthesis and characterization of Tb(IV)<sup>15–17</sup> and Pr(IV) complexes,<sup>18</sup> which were the first examples of tetravalent molecular rare earth metal complexes beyond Ce(IV). In addition, Li and Zhou showed that pentavalent praseodymium oxides or nitrides could be trapped and characterized in a noble gas matrix.<sup>19,20</sup> On the other hand, low valent rare earth chemistry had gradually progressed at the turn of the century from Sm(II)<sup>21</sup> to Tm(II),<sup>22</sup> Dy(II)<sup>23</sup> and Nd(II).<sup>24</sup> Further advance to afford divalent molecular complexes for other rare earth metals was once considered impractical due to the extreme negative  $M^{3+/2+}$  reduction potentials.<sup>25–28</sup> This is in accordance with the solid state rare earth metal diiodides: Eu, Yb, Sm, Tm, Dy, and Nd form a genuine  $M(II)_2$  salt, while other rare earth metal diiodides exist as metallic or semi-conducting  $M(III)_2I_2(e^-)$  with extra electrons delocalized in the band

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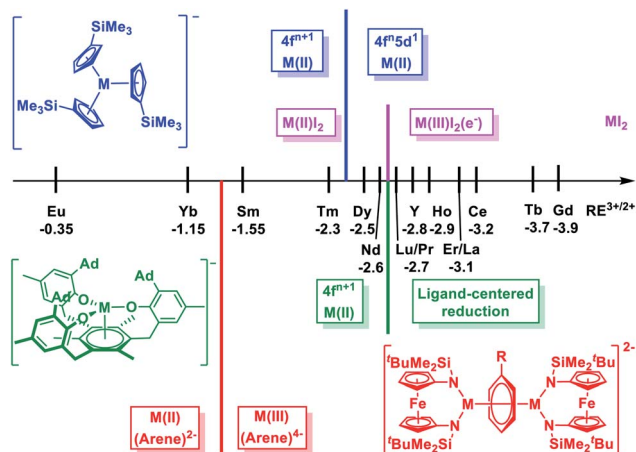


Chart 1 Scale of  $M^{3+/2+}$  ( $M$  = rare earth metal) reduction potentials<sup>28</sup> and electron configurations of divalent rare earth metal complexes in different coordination environment: pink,  $M(II)_2$ ;<sup>29</sup> blue,  $[K(crypt)](Cp'_3M)$ ;<sup>39</sup> green,  $[K(crypt)]((^{Ad,Me}ArO)_3mes)M$ ;<sup>42</sup> red,  $[(NN^{TBS})M]_2(\mu-biphenyl)[K(solvent)]_2$  (this work).

composed of the metal d orbitals (Chart 1).<sup>29–31</sup> The seminal example of  $[Cp''_3La]^-$  ( $Cp'' = 1,3-C_5H_3(SiMe_3)_2$ ) reported by Lappert *et al.* demonstrated that divalent molecular rare earth metal complexes beyond Nd(II) were accessible.<sup>32</sup> Later, Evans *et al.* expanded this chemistry and completed the series of divalent complexes for all rare earth metals, except the radioactive Pm.<sup>33–37</sup> Recently, the syntheses of the neutral sandwich complexes  $Ln(II)$  ( $Cp^{iPr}_2$ ) ( $Ln = Tb$  and  $Dy$ ,  $Cp^{iPr}_2 = C_5(iPr)_5$ ) were reported by Long *et al.*<sup>38</sup>

Notably, in these compounds, rare earth(II) ions adopt a non-traditional  $4f^n 5d^1$  configuration with the additional electron occupying on the  $5d_{z^2}$  orbital.<sup>28,39–41</sup> Different from the core-like 4f orbitals, the 5d orbitals are diffused and greatly influenced by the ligand field.<sup>10</sup> Systematic studies of the  $[K(crypt)][Cp'_3M]$  ( $Cp' = C_5H_4(SiMe_3)$ ,  $M = Y$  and lanthanides)<sup>39,40</sup> and  $[K(crypt)][(^{Ad,Me}ArO)_3mes]M$  ( $M = Y$  and lanthanides) ( $(^{Ad,Me}ArO)_3mes = tris(aryloxide)arene$ )<sup>42,43</sup> series reveal that the electron configuration of rare earth(II) ions depend on the coordination environment: in the former series, the switch from a  $4f^{n+1}$  to  $4f^n 5d^1$  ground state takes place at Dy(II) (−2.5 V for  $Dy^{3+/2+}$  vs. standard hydrogen electrode, SHE),<sup>39,40</sup> while in the latter series, this switch does not happen even for Nd(II) (−2.6 V for  $Nd^{3+/2+}$  vs. SHE),<sup>42</sup> and a ligand-centered instead of a metal-centered reduction occurred for rare earth ions that are more difficult to reduce than Nd (Chart 1).<sup>43</sup> This dependence of ground state electronic configuration for divalent rare earth ions suggests that it is possible to facilitate the transition from  $4f^{n+1}$  to  $4f^n 5d^1$  ground states through ligand manipulation.<sup>31,44,45</sup> Therefore, we were wondering how far this approach can push the limit for  $4f \rightarrow 5d$  transitions, especially for traditional divalent rare earth metals with a  $4f^{n+1}$  electron configuration, such as Sm(II) and Yb(II).

Previously, we reported the synthesis and characterization of a series of inverse-sandwich rare earth metal biphenyl complexes  $[(NN^{TBS})M]_2(\mu-biphenyl)[K(solvent)]_2$  (**M<sub>2</sub>-biph-K<sub>2</sub>**,

$NN^{TBS} = fc(NSi^tBuMe_2)_2$ ,  $fc = 1,1'$ -ferrocenediyl;  $M = Sc, Y, La, Lu, Gd, Dy$ , and  $Er$ ) with an unprecedented tetranionic phenyl ring bridging two rare earth(III) ions.<sup>46,47</sup> Structural, spectroscopic, and computational studies of the representative yttrium complex supported the assignment that the tetranionic phenyl ring is a  $10\pi$ -electron aromatic system stabilized by a  $\delta$ -type bonding interaction between the 4d orbitals of Y(III) ions and the antibonding  $\pi^*$  orbitals of the phenyl ring. This  $\delta$  bonding interaction has a moderate covalent character since the contribution of yttrium orbitals is over 20% in HOMO and HOMO−1 (HOMO = highest occupied molecular orbital).<sup>46</sup> In the case of **Dy<sub>2</sub>-biph-K<sub>2</sub>**, instead of a reduction to  $4f^{10}$  Dy(II), structural and magnetic data suggested the presence of  $4f^9$  Dy(III) ions.<sup>47</sup> We have then become interested in the cases of other traditional divalent rare earth metals, in particular, Sm and Yb, because their  $M^{3+/2+}$  reduction potentials are different from those of other rare earth metals and also apart from each other (Chart 1).

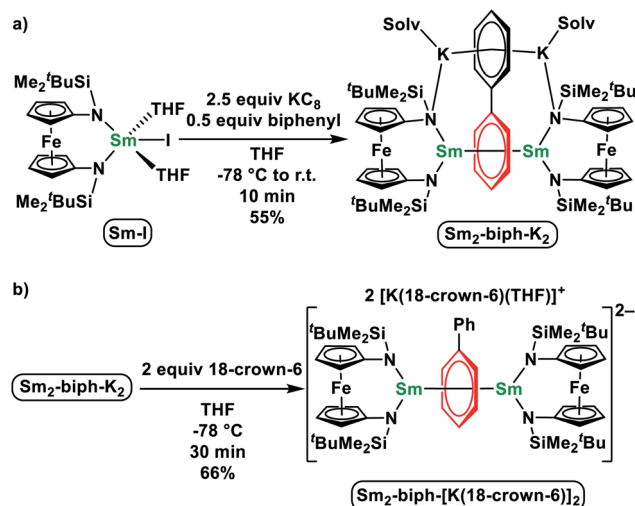
Herein, we report the synthesis of inverse-sandwich samarium and ytterbium biphenyl complexes, which are characterized by X-ray crystallography, NMR spectroscopy, elemental analysis, and UV/Vis/NIR spectroscopy. The structural and spectroscopic characterization supports the formulation of Sm(III) ions in the samarium biphenyl complexes and Yb(II) ions in the ytterbium biphenyl complexes. Upon encapsulation of the potassium ions, the coordination between rare earth ions with biphenyl remained intact for Sm but collapsed for Yb. Density functional theory (DFT) calculations show that the samarium and ytterbium biphenyl complexes have distinct electronic structures and bonding interactions: in the samarium biphenyl complex, Sm(III) ions have a  $4f^5$  electron configuration and the 5d orbitals form a  $\delta$ -type bonding interaction with the  $\pi^*$  orbitals of the biphenyl tetraanion, showing a covalent character, while in the ytterbium biphenyl complex, Yb(II) ions have a  $4f^{14}$  electron configuration and are bound to the biphenyl dianion *via* a primary ionic interaction.

## Results and discussion

It has been shown that the ferrocene diamide ligand  $NN^{TBS}$  is well suited to stabilize rare earth metal complexes with a variety of reduced arenes, including biphenyl,<sup>46,47</sup> naphthalene,<sup>48–50</sup> and (*E*)-stilbene.<sup>51</sup> Therefore, we prepared  $(NN^{TBS})SmI(THF)_2$  (**Sm-I**) and  $(NN^{TBS})YbCl(THF)_2$  (**Yb-Cl**) according to literature procedures,<sup>52</sup> and employed them as trivalent metal precursors. Analogous to the synthesis of yttrium biphenyl complexes,<sup>46</sup> we attempted the reduction of **Sm-I** or **Yb-Cl** with potassium graphite ( $KC_8$ ) in the presence of biphenyl.

### Samarium biphenyl reduction

Upon the addition of 2.5 equivalents of  $KC_8$  into a pre-cooled THF solution of an equivalent of **Sm-I** and 0.5 equivalents of biphenyl at −78 °C, the solution color turned immediately to dark (Scheme 1a). The reaction mixture was warmed up to room temperature and stirred for 10 min. After quickly passing it through a Celite column to remove insoluble solids, the filtrate



Scheme 1 Synthesis of  $\text{Sm}_2\text{-biph-K}_2$  (a) and  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$  (b).

was dried under a reduced pressure to yield a crude product. The NMR spectrum of the crude product showed only paramagnetic signals with the absence of starting materials. X-ray crystallography confirmed the product was  $[(\text{NN}^{\text{TBS}})\text{Sm}]_2(\mu\text{-biphenyl})[\text{K}(\text{toluene})]_2$  ( $\text{Sm}_2\text{-biph-K}_2$ ). An analytically pure material was obtained in a moderate yield of 55% after washing the crude product with  $\text{Et}_2\text{O}$ .

The structure of  $\text{Sm}_2\text{-biph-K}_2$  is similar to that of  $[(\text{NN}^{\text{TBS}})\text{Y}]_2(\mu\text{-biphenyl})[\text{K}(\text{toluene})]_2$  ( $\text{Y}_2\text{-biph-K}_2$ ), with two Sm ions coordinated to the same phenyl ring and two potassium ions coordinated to the other phenyl ring (Fig. 1a). The phenyl ring bridging the two Sm ions adopted a  $\mu\text{-}\eta^6\text{:}\eta^6\text{-}$ coordination mode with Sm–C distances ranging from 2.549(4) to 2.639(4) Å (average Sm–C distance of 2.60 Å) and a Sm–Sm distance of 4.336(1) Å, comparable to the corresponding values in  $\text{Gd}_2\text{-biph-K}_2$ , when the difference between their ionic radii (average Gd–C distance of 2.58 Å, Gd–Gd distance of 4.27 Å,  $R(\text{Sm}) - R(\text{Gd}) = 0.03$  Å)<sup>53</sup> is taken into account.<sup>47</sup> The C–C distances of the Sm-bound phenyl ring range from 1.421(5) to 1.476(1) Å, with an average value of 1.45 Å and a  $C_{\text{ipso}}\text{--}C_{\text{ipso}}$  distance of 1.413(4) Å, while the average C–C distance of the other phenyl ring is 1.41 Å. These values are close to those of  $\text{Y}_2\text{-biph-K}_2$  (the average C–C distance of the Y-bound phenyl ring: 1.46 Å;  $C_{\text{ipso}}\text{--}C_{\text{ipso}}$ : 1.414(4) Å; the average C–C distance of the other phenyl ring: 1.41 Å).<sup>46</sup> The Sm1–N1 distance of 2.341(6) Å is 0.08 Å longer than the Sm–N distance of 2.263(3) Å in  $\text{Sm-I}$ .<sup>52</sup> However, this elongation is likely due to the weakening of the Sm–N bond by the strong bonding between Sm and the phenyl ring, since a similar elongation of 0.07 Å was observed in the case of  $\text{Y}_2\text{-biph-K}_2$  and  $(\text{NN}^{\text{TBS}})\text{YI}(\text{THF})_2$  (2.292(2) Å vs. 2.222(3) Å).<sup>46,49</sup> The Sm– $C_{\text{centroid}}$  distance is 2.196(7) Å, much shorter than the Sm– $C_{\text{centroid}}$  distances found in  $\text{Sm}(\text{III})$  neutral arene complexes 2.521(5) Å in  $(\text{Sm}(\text{C}_6\text{Me}_6)(\text{AlCl}_4)_3$  (ref. 54) and 2.638(4) Å in  $(\text{trans-calix}[2]\text{benzene}[2]\text{pyrrole})\text{SmCl}$ .<sup>55</sup> The angle Sm1– $C_{\text{centroid}}$ –Sm2 is 164.3(9)°, indicating a nearly linear arrangement of the three atoms. The Sm-bound phenyl ring is slightly distorted from

planarity with a torsion angle of 6.3(9)°, while the two phenyl rings have a dihedral angle of 5.9(2)°. Overall, the structural parameters of  $\text{Sm}_2\text{-biph-K}_2$  are consistent with the assignment of two  $\text{Sm}(\text{III})$  ions and a charge-localized biphenyl tetraanion, as in the analogous  $\text{Y}_2\text{-biph-K}_2$ .

It was previously found that the K ions are not required to maintain the inverse-sandwich structure in  $\text{Y}_2\text{-biph-K}_2$ .<sup>46</sup> Therefore, we probed whether this is also the case for  $\text{Sm}_2\text{-biph-K}_2$ . The addition of two equivalents of 18-crown-6 to a THF solution of  $\text{Sm}_2\text{-biph-K}_2$  at  $-78^\circ\text{C}$  (Scheme 1b) led to no obvious change. Crystallization of the reaction mixture resulted in the isolation of an ion pair complex,  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$ , in a 66% yield. X-ray crystallography established the molecular structure of  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$  (Fig. 1b), which is analogous to  $\text{Y}_2\text{-biph-[K(18-crown-6)]}_2$ .<sup>46</sup> The charge localization is more prominent in  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$  than in  $\text{Sm}_2\text{-biph-K}_2$  after the encapsulation of the potassium ions: average C–C distance of the Sm-bound phenyl ring increased to 1.46 Å and  $C_{\text{ipso}}\text{--}C_{\text{ipso}}$  distance increased to 1.440(6) Å, while the average C–C distance of the other phenyl ring decreased to 1.39 Å. The bonding between Sm and phenyl ring also strengthened as evidenced by the slightly shorter average Sm–C distance of 2.59 Å and shorter Sm–Sm distance of 4.301(1) Å compared to 2.60 Å and 4.336(1) Å in  $\text{Sm}_2\text{-biph-K}_2$ , respectively. The Sm– $C_{\text{centroid}}$  distance also decreased from 2.196(7) Å to 2.146(8) Å with an essentially linear arrangement of Sm1– $C_{\text{centroid}}$ –Sm2 (178.8(5)°). The torsion angle of the Sm-bound phenyl ring also increased slightly to 10.7(9)°, as did the dihedral angle between the two phenyl rings, to 9.0(7)°. These structural changes observed upon the removal of the potassium ions was also observed in the case of  $\text{Y}_2\text{-biph-K}_2$  and  $\text{Y}_2\text{-biph-[K(18-crown-6)]}_2$ , interpreted as the strengthening of the bonding interaction between yttrium and the bound phenyl ring.<sup>46</sup>

### Ytterbium biphenyl reduction

Initially, we attempted the synthesis of Yb biphenyl complexes through the one-pot reduction of  $\text{Yb-Cl}$  and biphenyl by  $\text{KC}_8$ , similarly to the synthesis of  $\text{Sm}_2\text{-biph-K}_2$  (Scheme 2a). The reaction proceeded with the formation of multiple diamagnetic products corresponding to various Yb(II) products. Crystallization of the crude products from  $\text{Et}_2\text{O}$  yielded some dark single crystals that were determined by X-ray crystallography to be an ytterbium biphenyl complex  $[(\text{NN}^{\text{TBS}})\text{Yb}]_2(\mu\text{-biphenyl})[\text{K}(\text{Et}_2\text{O})]_2$  ( $\text{Yb}_2\text{-biph-K}_2$ ). However, the corresponding  $^1\text{H}$  NMR spectrum showed a persistent contamination from other Yb(II) side products, even after a number of purification attempts by multiple fractional crystallization. In addition, we found that the relative amount of Yb(II) side products was sensitive to the reaction conditions. Since the  $\text{Yb}^{3+/2+}$  reduction potential is  $-1.15$  V vs. SHE,<sup>26</sup> which is far less negative than the reduction potential of  $-2.45$  V vs. SHE for  $(\text{biphenyl})^{0/1-}$ ,<sup>56</sup> we rationalized that a simultaneous reduction of  $\text{Yb-Cl}$  and biphenyl resulted in the formation of multiple products. Therefore, we tried pre-mixing an excess biphenyl and  $\text{KC}_8$  in order to generate a reduced biphenyl species (to simplify, we use  $[\text{K}_2(\text{biphenyl})]$



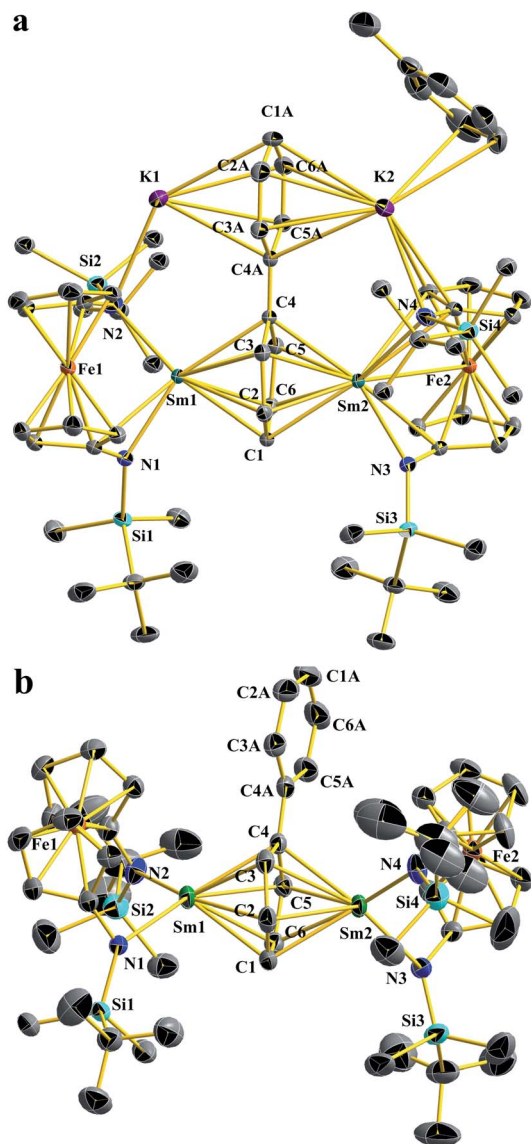


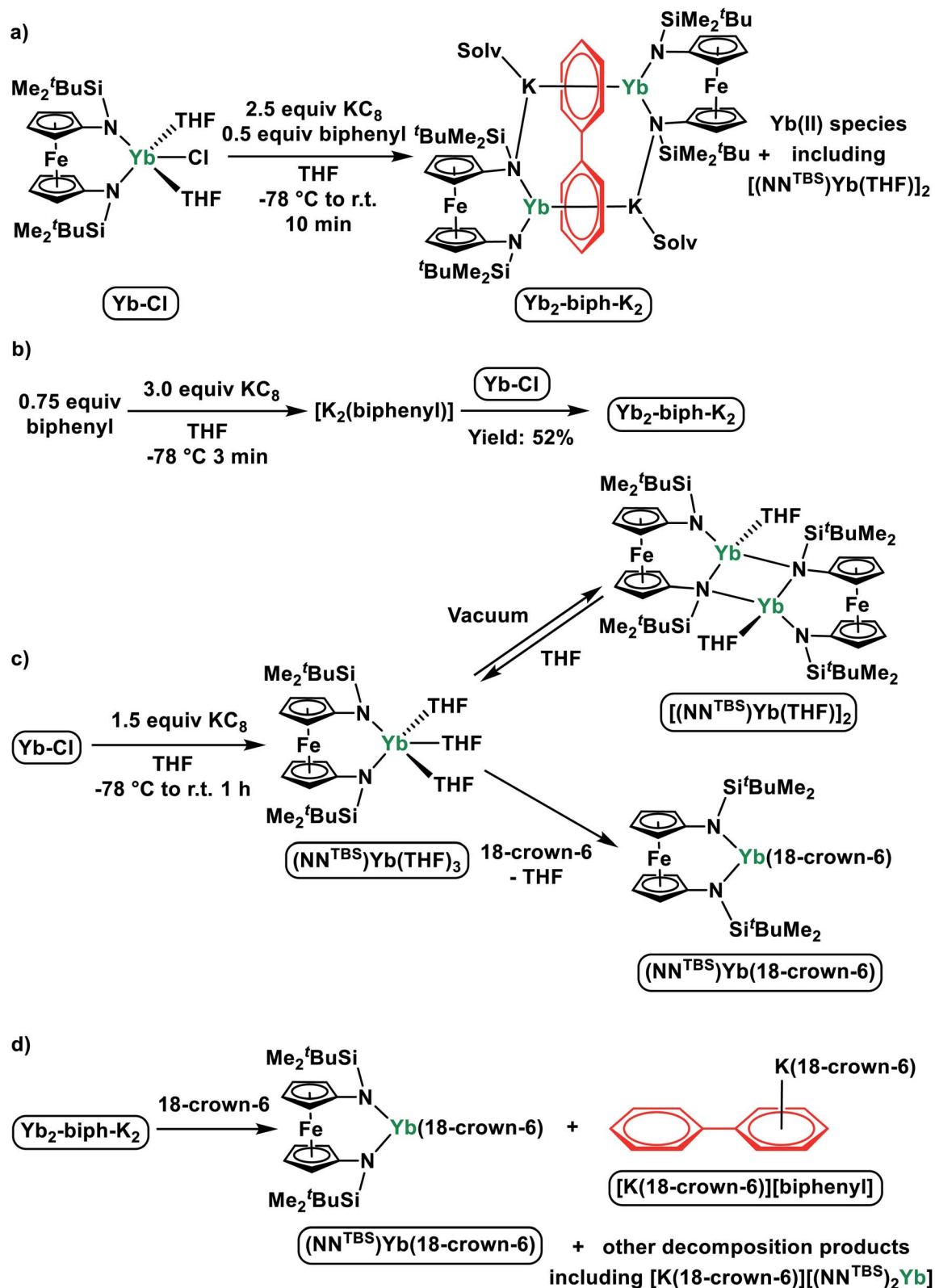
Fig. 1 Thermal-ellipsoid (50% probability) representations of  $\text{Sm}_2\text{-biph-K}_2$  (a) and  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$  (b). Hydrogen and solvent atoms, disordered counterparts, and the counter cations for  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$  were omitted for clarity. Selected distances [Å] and angles [°]: for  $\text{Sm}_2\text{-biph-K}_2$ : Sm1–N1 2.341(6), Sm1–N2 2.452(7), Sm1–C1 2.614(2), Sm1–C2 2.639(3), Sm1–C3 2.569(4), Sm1–C4 2.623(1), Sm1–C5 2.639(4), Sm1–C6 2.574(3), Sm1–C<sub>centroid</sub> 2.196(7), Sm1–Fe1 3.225(2), C1–C2 1.421(5), C2–C3 1.464(6), C3–C4 1.476(1), C4–C5 1.476(1), C5–C6 1.464(6), C6–C1 1.421(5), C4–C4A 1.412(7), C4A–C3A 1.442(2), C3A–C2A 1.389(7), C2A–C1A 1.389(1), C1A–C6A 1.389(1), C6A–C5A 1.389(7), C5A–C4A 1.442(2), K1–N2 2.817(7), K1–C<sub>centroid</sub> 2.813(6), Sm1–Sm2 4.336(3); N1–Sm1–N2 103.5(7), Sm1–C<sub>centroid</sub>–Sm2 164.3(9), torsion angle defined by (C2–C3) vs. (C5–C6) 6.3(9), dihedral angle between the phenyl rings 5.9(2). For  $\text{Sm}_2\text{-biph-[K(18-crown-6)]}_2$ : Sm1–N1 2.380(5), Sm1–N2 2.409(2), Sm1–C1 2.603(2), Sm1–C2 2.652(1), Sm1–C3 2.512(3), Sm1–C4 2.615(6), Sm1–C5 2.625(6), Sm1–C6 2.549(4), Sm1–C<sub>centroid</sub> 2.146(8), Sm1–Fe1 3.352(1), C1–C2 1.447(3), C2–C3 1.458(2), C3–C4 1.479(1), C4–C5 1.477(4), C5–C6 1.451(3), C6–C1 1.432(2), C4–C4A 1.439(8), C4A–C3A 1.407(9), C3A–C2A 1.392(1), C2A–C1A 1.374(3), C1A–C6A 1.379(9), C6A–C5A 1.384(2), C5A–C4A 1.428(4), Sm1–Sm2 4.300(8); N1–Sm1–N2 98.1(3), Sm1–C<sub>centroid</sub>–Sm2 178.8(5), torsion angles defined by (C2–C3) and (C5–C6) 10.7(9), dihedral angle between the two phenyl rings 9.0(7).

for representation) and then added **Yb-Cl** (Scheme 2b). Through this protocol, we were able to obtain **Yb<sub>2</sub>-biph-K<sub>2</sub>** reproducibly in high purity and a moderate yield of 52%.

The  $^1\text{H}$  NMR spectrum of **Yb<sub>2</sub>-biph-K<sub>2</sub>** in  $\text{THF-}d_8$  at room temperature showed broad peaks indicating the structure of compound is fluxional in solution. Signals of  $\text{SiCH}_3$  and  $\text{SiC}(\text{CH}_3)_3$  of the  $\text{NN}^{\text{TBS}}$  ligands are in the typical diamagnetic region, consistent with the presence of  $4f^{14}$  Yb(II) ions. A broad peak at 5.41 ppm likely belongs to the *meta* proton of the biphenyl anion, based on a comparison with a previously reported rare earth metal stabilized biphenyl dianion.<sup>57</sup> Four peaks at 127.9, 102.2, 101.8, and 87.2 ppm in the  $^{13}\text{C}$  NMR spectrum are assigned to the reduced biphenyl ligand, indicating that the two phenyl rings are equivalent. These  $^{13}\text{C}$  chemical shifts are distinct from those of **Y<sub>2</sub>-biph-K<sub>2</sub>** (four peaks for the Y-bound phenyl ring at 86.8, 79.0, 73.1, and 58.6 ppm; four peaks for the K-bound phenyl ring at 138.5, 128.5, 114.8, and 103.5 ppm)<sup>46</sup> but are similar to those of the alkali metal biphenyl dianion (four peaks at 128.5, 104.6, 102.3 and 74.2 ppm).<sup>58</sup> Overall, the  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra support the assignment of two  $4f^{14}$  Yb(II) ions and a charge-delocalized biphenyl dianion.

Despite of the similar molecular formula, the molecular structure of **Yb<sub>2</sub>-biph-K<sub>2</sub>** is distinct from **Sm<sub>2</sub>-biph-K<sub>2</sub>** and other **M<sub>2</sub>-biph-K<sub>2</sub>** compounds (Fig. 2a). The two Yb and K ions coordinate to different phenyl rings. The Yb1–N2 distance of 2.312(2) Å is about 0.13 Å longer than the average Yb–N distance of 2.182(5) Å in **Yb-Cl**. This elongation is likely due to the change in ionic radii upon going from Yb(III) to Yb(II) ( $R(\text{Yb(II)}) - R(\text{Yb(III)}) = 0.15$  Å).<sup>53</sup> The two phenyl rings are equivalent, consistent with the symmetric solution structure determined by  $^1\text{H}$  and  $^{13}\text{C}$  NMR spectra. The alternating C–C distances (1.41, 1.38, and 1.47 Å) within the phenyl ring and the shortening of the  $C_{\text{ipso}}\text{--}C_{\text{ipso}}$  distance to 1.396(4) Å imply that the negative charges are equally delocalized over the two phenyl rings and the biphenyl ligand is best described as a dianion.<sup>57</sup> The average Yb–C distance of 2.80 Å and the Yb1–C<sub>centroid</sub> distance of 2.415(6) Å are much longer than those of 2.60 Å and 2.196(7) Å in **Sm<sub>2</sub>-biph-K<sub>2</sub>**, respectively, even after adjusting for the difference in ionic radii ( $R(\text{Sm(III)}) = 1.08$  Å,  $R(\text{Yb(II)}) = 1.14$  Å)<sup>53</sup>, implying a much weaker interaction between the Yb ions and the phenyl rings. In addition, the two phenyl rings are not twisted but essentially coplanar, differing from other **M<sub>2</sub>-biph-K<sub>2</sub>** but similar to the yttrium biphenyl dianion complex previously reported by Fryzuk *et al.*<sup>57</sup>

Since our initial attempt to synthesize a ytterbium biphenyl complex by the one-pot reduction procedure resulted in the concomitant formation of unknown divalent ytterbium side product(s) together with **Yb<sub>2</sub>-biph-K<sub>2</sub>**, we were interested in finding out their identity. The corresponding  $^1\text{H}$  NMR spectra showed multiple peaks other than those belonging to **Yb<sub>2</sub>-biph-K<sub>2</sub>** in the  $\text{SiCH}_3$  region of the  $\text{NN}^{\text{TBS}}$  ligand. This implies that there are either multiple Yb(II) side products or one Yb(II) species of low symmetry. The direct reduction of **Yb-Cl** by 1.5 equivalents of  $\text{KC}_8$  in THF yielded a single Yb(II) product with high symmetry as determined by  $^1\text{H}$  NMR spectroscopy (Scheme



**Scheme 2** (a) One-pot reduction of Yb-Cl and biphenyl by  $\text{KC}_8$ ; (b) optimized synthesis of  $\text{Yb}_2\text{-biph-K}_2$  by pre-mixing an excess of biphenyl and  $\text{KC}_8$ ; (c) direct reduction of Yb-Cl and transformations of Yb(II) products; (d) reaction of  $\text{Yb}_2\text{-biph-K}_2$  with an excess of 18-crown-6.

2c). However, upon removing volatiles and washing with hexanes, a poorly soluble brownish solid was obtained. The solid is insoluble in aliphatic solvents and barely soluble in

aromatic solvents. The corresponding  $^1\text{H}$  NMR spectrum in  $\text{C}_6\text{D}_6$  showed weak signals but matched those of the side product from the one-pot reduction.

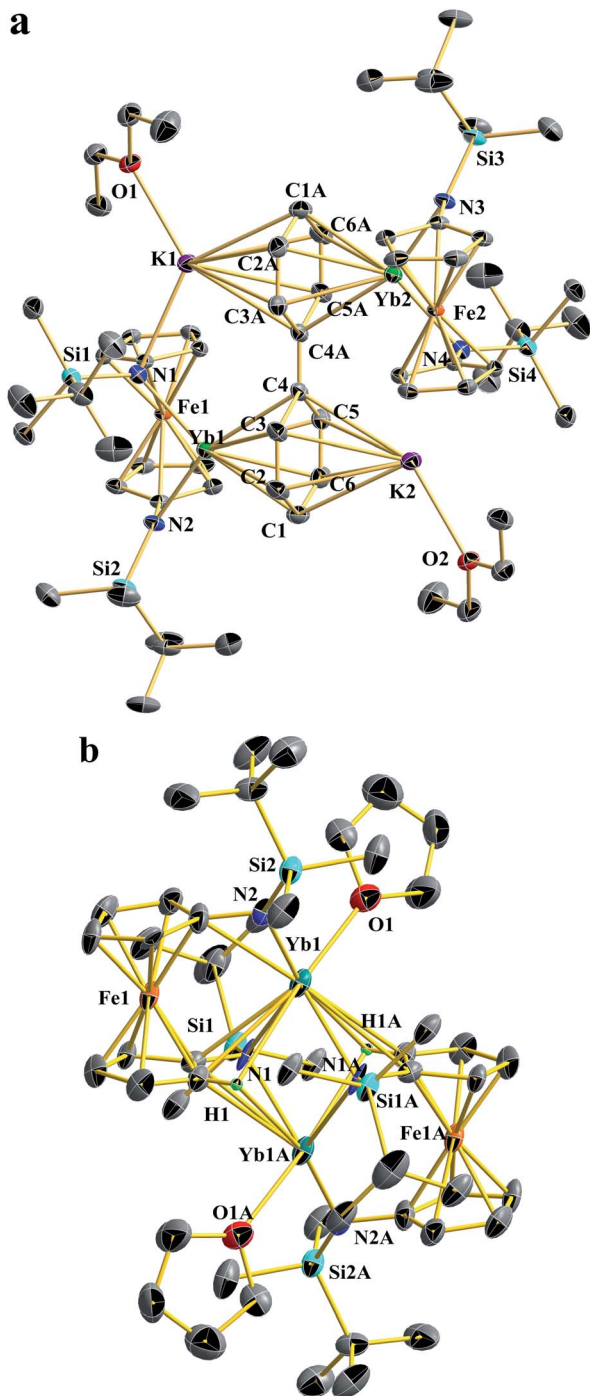


Fig. 2 Thermal-ellipsoid (50% probability) representations of  $\text{Yb}_2\text{-biph-K}_2$  (a) and  $[(\text{NN}^{\text{TBS}})\text{Yb}(\text{THF})]_2$  (b). Hydrogen (except H involved in agostic interaction), disordered counterparts, and solvent atoms were omitted for clarity. Selected distances [Å] and angles [°]: For  $\text{Yb}_2\text{-biph-K}_2$ : Yb1–N1 2.395 (9), Yb1–N2 2.312(2), Yb1–C1 2.773(3), Yb1–C2 2.775(9), Yb1–C3 2.797(5), Yb1–C4 2.860(3), Yb1–C5 2.812(5), Yb1–C6 2.791(7), Yb1–C<sub>centroid</sub> 2.415(6), Yb1–Fe1 3.097(7), C1–C2 1.412(1), C2–C3 1.378(8), C3–C4 1.471(9), C4–C5 1.469(8), C5–C6 1.376(7), C6–C1 1.413(7), C4–C4A 1.396(0), K1–N1 2.881(4), K1–C<sub>centroid</sub> 2.829(8); N1–Yb1–N2 104.2(1), torsion angle defined by (C2–C3) vs. (C5–C6) 0.0(8), dihedral angle between the phenyl rings 0.0(1). For  $[(\text{NN}^{\text{TBS}})\text{Yb}(\text{THF})]_2$ : Yb1–N2 2.360(5), Yb1–N1 2.558(7), Yb1–O1 2.443(1), Yb1–Fe1 3.251(4), Yb1–C1 3.019(6), Yb1–H1 2.747(8); N1–Yb1–N2 133.8(5), Yb1–N1–Yb1A 92.7(1).

X-ray crystallography determined the molecular structure of this  $\text{Yb}(\text{II})$  product to be a dimer,  $[(\text{NN}^{\text{TBS}})\text{Yb}(\text{THF})]_2$  (Fig. 2b). Each  $\text{Yb}(\text{II})$  ion is coordinated to one terminal amide, two bridging amides and a THF molecule. The Yb–N distance of 2.558(7) Å for the bridging amide is much longer than the terminal one of 2.360(5) Å, which is close to 2.312(2) Å in  $\text{Yb}_2\text{-biph-K}_2$ . An agostic interaction of the Yb ion and a C–H bond from the ferrocene backbone (Yb1–H1: 2.747(8) Å and Yb1–C1: 3.019(6) Å) may play a role in stabilizing this dimeric structure. This rigid structure may be responsible for its poor solubility and very low symmetry in solution. When dissolved in  $\text{THF-}d_8$ , the  $^1\text{H}$  NMR spectrum showed a highly symmetric pattern for the  $\text{NN}^{\text{TBS}}$  ligand. We anticipated that the dimeric structure could be intercepted by the coordination of additional THF molecules. Crystallization from THF/hexanes yielded single crystals determined by X-ray crystallography to be the monomeric  $(\text{NN}^{\text{TBS}})\text{Yb}(\text{THF})_3$  (Fig. S14†). The structural parameters are consistent with an  $\text{Yb}(\text{II})$  ion. However, attempts to obtain an analytically pure sample of  $(\text{NN}^{\text{TBS}})\text{Yb}(\text{THF})_3$  were not successful due to the loss of the coordinating THF molecules upon drying. This observation contrasts what we know about trivalent rare earth metal complexes supported by the  $\text{NN}^{\text{TBS}}$  ligand and indicates a weak interaction between  $\text{Yb}(\text{II})$  and THF. In an effort to obtain an  $\text{Yb}(\text{II})$  complex that is stable in both the solid and solution state, a chelating ligand, 18-crown-6, was employed. The chelation effect allowed a complete replacement of the coordinating THF molecules (Scheme 2c). The  $^1\text{H}$  NMR spectrum of the resulting product,  $(\text{NN}^{\text{TBS}})\text{Yb}(\text{18-crown-6})$ , showed a single peak at 3.43 ppm for 18-crown-6 protons, indicating a fluxional behavior in solution. In the solid state, we obtained two coordination isomers from different crystals of the same crystallization batch,  $(\text{NN}^{\text{TBS}})\text{Yb}(\kappa^3\text{-18-crown-6})$  (Fig. S16†) and  $(\text{NN}^{\text{TBS}})\text{Yb}(\kappa^4\text{-18-crown-6})$  (Fig. S17†), which differed by the number of coordinated O-donors from 18-crown-6.

In previously reported  $\text{M}_2\text{-biph-K}_2$  (ref. 46 and 47) and  $\text{Sm}_2\text{-biph-K}_2$ , it was found that upon the addition of 18-crown-6, the inverse-sandwich structure remained intact and the bonding interaction between rare earth ions and biphenyl strengthened in the ion pair complexes  $\text{M}_2\text{-biph-[K(18-crown-6)]}_2$ . However, the reaction of 18-crown-6 with  $\text{Yb}_2\text{-biph-K}_2$  led to the collapse of the whole structure (Scheme 2d). Upon the addition of excess 18-crown-6, the dark solution of  $\text{Yb}_2\text{-biph-K}_2$  became clouded. After crystallization, the dark crystals that precipitated out were determined by X-ray crystallography to be the previously reported  $[\text{K(18-crown-6)}][\text{biphenyl}]$ ,<sup>59</sup> while the orange supernatant was identified to be  $(\text{NN}^{\text{TBS}})\text{Yb}(\text{18-crown-6})$  by  $^1\text{H}$  NMR spectroscopy (Fig. S10†).  $[\text{K(18-crown-6)}][\text{biphenyl}]$  is likely to be the decomposition product of the initially generated bare biphenyl dianion, which was not stable under the reaction conditions. In addition, we also identified  $[\text{K(18-crown-6)}][(\text{NN}^{\text{TBS}})_2\text{Yb}]$  by  $^1\text{H}$  NMR spectroscopy. We rationalized that the decomposition of  $\text{Yb}_2\text{-biph-K}_2$  upon the addition of 18-crown-6 is due to the much weaker interaction between the  $\text{Yb}(\text{II})$  ions and the biphenyl dianion.

### Spectroscopic and magnetic characterization

To probe the electronic structures of samarium and ytterbium biphenyl complexes further, we collected the UV/Vis/NIR



spectra of **Sm<sub>2</sub>-biph-K<sub>2</sub>**, **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>**, and **Yb<sub>2</sub>-biph-K<sub>2</sub>** in THF. The spectra of **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** were almost identical, with an intense band around 403 nm ( $\epsilon > 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ ) and a broad intense band centered at 638 nm ( $\epsilon > 10^4 \text{ M}^{-1} \text{ cm}^{-1}$ , Fig. 3). The broad intense band in the visible region is responsible for the extremely dark color of their crystals and solutions; it may be attributed to ligand to metal charge transfer (LMCT) or an excitation of the ligand-based orbitals. However, the absorption spectrum of **Yb<sub>2</sub>-biph-K<sub>2</sub>** lacked this broad intense band in the visible region (Fig. S18†). The near-infrared (NIR) region of **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** has a higher intensity than that of **Yb<sub>2</sub>-biph-K<sub>2</sub>**, in agreement with the latter having a  $4f^{14}$  electronic configuration, and, thus, no f-f transitions. Moreover, there was no Yb(III) characteristic f-f transition observed around 980 nm (ref. 60) for **Yb<sub>2</sub>-biph-K<sub>2</sub>**, further supporting the presence of Yb(II) instead of Yb(III).

Magnetic susceptibility has been used to probe the ground state electronic configuration of divalent rare earth ions.<sup>61</sup> In particular, Sm(II) and Sm(III) have distinct magnetic susceptibilities, with the normal range for Sm(II) being 3.4–3.8  $\mu_B$ , while the normal range for Sm(III) is 1.3–1.9  $\mu_B$ .<sup>62–67</sup> The room temperature magnetic susceptibilities of **Sm-I**, **Sm<sub>2</sub>-biph-K<sub>2</sub>**, and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** were determined by the Evans method to be 1.53, 2.47, and 2.39  $\mu_B$ , respectively (per formula unit). These results are consistent with the assignment of Sm(III) having a  $4f^5$  electron configuration in **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>**.

Preliminary X-ray absorption near edge structure (XANES) spectroscopy studies were conducted on **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Yb<sub>2</sub>-biph-K<sub>2</sub>** (see ESI for details†). Although the collected data agreed with the findings discussed above, the quality of the data was not high enough to allow decisive conclusions about the oxidation state of samarium and ytterbium in these biphenyl complexes.

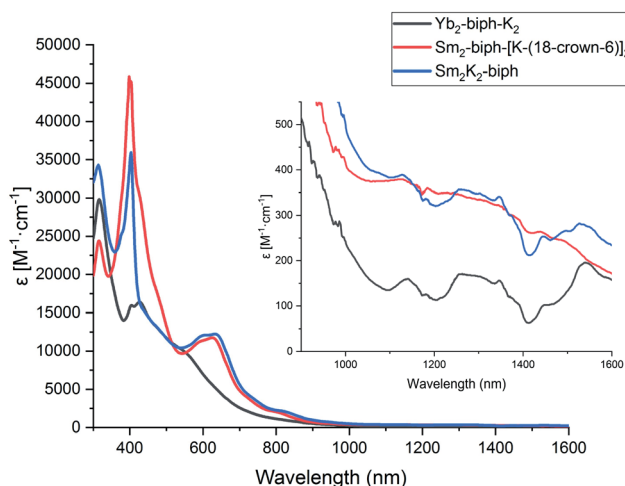


Fig. 3 UV/Vis/NIR spectra of **Yb<sub>2</sub>-biph-K<sub>2</sub>** (black), **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** (red), and **Sm<sub>2</sub>-K<sub>2</sub>-biph** (blue) in THF at room temperature. The NIR region from 1000–1600 nm is enlarged in the upper right corner.

## Quantum chemical calculations

Theoretical calculations were carried out at the level of scalar relativistic density functional theory (DFT) to probe the electronic structures and bonding interactions of **(Sm<sub>2</sub>-biph)<sup>2-</sup>** (the structure is based on **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** with the potassium counter cations omitted for simplification) and **Yb<sub>2</sub>-biph-K<sub>2</sub>** as well as two hypothetical isomeric structures of **(Sm<sub>2</sub>-biph)<sub>iso</sub><sup>2-</sup>** and **[Yb<sub>2</sub>-biph-K<sub>2</sub>]<sub>iso</sub>** for comparison (in **(Sm<sub>2</sub>-biph)<sub>iso</sub><sup>2-</sup>**, the two Sm ions are bound to different phenyl rings; in **[Yb<sub>2</sub>-biph-K<sub>2</sub>]<sub>iso</sub>**, the two Yb ions are bound to the same phenyl ring while the two potassium ions are bound to the other phenyl ring, see also Fig. S19†). Herein, the alkyl substituents on the silicon atoms were replaced by hydrogen atoms for simplification. In order to determine the ground state of the **(Sm<sub>2</sub>-biph)<sup>2-</sup>** complex, two electronic configurations <sup>11</sup>A and <sup>13</sup>A with different multiplicities were performed. The calculated energy of **(Sm<sub>2</sub>-biph)<sup>2-</sup>** (<sup>13</sup>A) is 26.6 kcal mol<sup>-1</sup> higher than that of **(Sm<sub>2</sub>-biph)<sup>2-</sup>** (<sup>11</sup>A). Furthermore, there is also a larger discrepancy in the optimized structure parameters for **(Sm<sub>2</sub>-biph)<sup>2-</sup>** (<sup>13</sup>A) and those of the experimental compound (Table S3†). For example, the calculated average Sm–C distance of 2.68 Å and Sm–C<sub>centroid</sub> distance of 2.33 Å are significantly longer than the experimental ones of 2.59 Å and 2.15 Å. The calculated torsion angle of the Sm-bound phenyl ring is only 0.9°, while the experimental one is 10.7(9)°. In contrast, the optimized structure parameters for **(Sm<sub>2</sub>-biph)<sup>2-</sup>** (<sup>11</sup>A) are in good agreement with those of the experimental structure (Table S3†). The calculated average Sm–C distance of 2.56 Å and Sm–C<sub>centroid</sub> distance of 2.10 Å are comparable to the experimental ones of 2.59 Å and 2.15 Å, respectively. The torsion angle of the Sm-bound phenyl ring is also reproduced well (12.4° vs. 10.7(9)°). Moreover, the calculated average C–C distance of the Sm-bound phenyl ring is 1.47 Å, which is close to the experimental average value of 1.46 Å. For the unbound phenyl ring, the calculated average C–C distance is 1.40 Å, similar to the experimental one of 1.39 Å. Overall, according to the DFT results, the **(Sm<sub>2</sub>-biph)<sup>2-</sup>** complex has a <sup>11</sup>A ground state, in which the oxidation state of the samarium ions is 3+ with a  $4f^5$  electronic configuration.

As depicted in Fig. 4a, the highest occupied molecular orbital (HOMO, 40b) and HOMO–1 (41a) confirm the  $\delta$  bonding interaction between the samarium ions and the bound phenyl ring in **(Sm<sub>2</sub>-biph)<sup>2-</sup>**. Moreover, the contribution from the C 2p orbitals of the bound phenyl ring is around 60% and the contribution from the 5d orbitals of the samarium ions is over 20% on average. Furthermore, the natural population analysis (NPA) charges for the bound ring (–1.56) and the unbound ring (–0.25) are significantly different (Table S5†). Indeed, the  $\delta$  bonding molecular orbital (MO) is formed by the interaction between the 5d atomic orbitals of samarium and the  $\pi^*$  MOs of the Sm-bound phenyl ring. Based on the MO component percentage of the  $\delta$  bonding interaction and NPA results, the four  $\delta$  bonding electrons are mainly localized on the bound phenyl ring, resulting in a  $10\pi$ -electron tetraanionic system in **(Sm<sub>2</sub>-biph)<sup>2-</sup>**, similar to the previously reported **Y<sub>2</sub>-biph-K<sub>2</sub>**.<sup>46</sup> Meanwhile, the proposed isomer **(Sm<sub>2</sub>-biph)<sub>iso</sub><sup>2-</sup>** can be



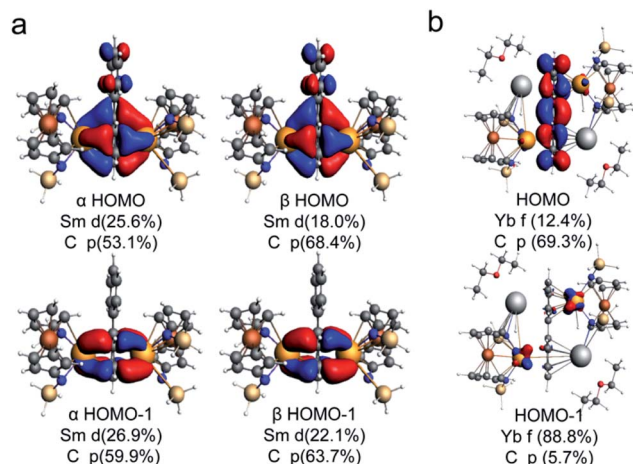


Fig. 4 Kohn-Sham representations of HOMO and HOMO-1 and main atomic orbital contributions for  $(\text{Sm}_2\text{-biph})^{2-}$  (a) and  $\text{Yb}_2\text{-biph-K}_2$  (b). The alkyl substituents on the silicon atoms were replaced by hydrogen atoms for simplification (iso = 0.03).

formulated as two  $8\pi$ -electron dianionic systems (Fig. S21†). Apart from that, the energy of the hypothetical structure  $(\text{Sm}_2\text{-biph})_{\text{iso}}^{2-}$  is calculated to be  $30.3 \text{ kcal mol}^{-1}$  higher than the experimental structure  $(\text{Sm}_2\text{-biph})^{2-}$  (Fig. S24†). For  $\text{Yb}_2\text{-biph-K}_2$ , the biphenyl ring contributes around 70% to the HOMO with a small amount (ca. 10%) of 4f ytterbium atomic orbitals, indicating that there is a weak interaction between the ytterbium ions and the biphenyl ring (Fig. 4b). Furthermore, HOMO-1 to HOMO-14 are mostly composed of the 4f electrons of ytterbium with almost no biphenyl contribution. A similar bonding pattern is found for the Kohn-Sham frontier MOs in the proposed isomer  $[\text{Yb}_2\text{-biph-K}_2]_{\text{iso}}$  (Fig. S22†).

The  $\delta$  bonding assignment in the simplified  $(\text{Sm}_2\text{-biph})^{2-}$ , represented as  $(\text{Sm}_2\text{-biph})_{\text{Cl}}^{2-}$  hereafter, in which the  $\text{NN}^{\text{TBS}}$  ligands are replaced by chlorine atoms, is also supported by adaptive natural density partitioning (AdNDP)<sup>68</sup> analysis as shown in Fig. S20.† The semi-localized results indicate that the bonding interaction between the samarium ions and the bound phenyl ring involve two  $8c-2e$   $\delta$  bonds, which resemble the Kohn-Sham frontier molecular orbitals. Fig. 4 illustrates the bonding interaction scheme of  $(\text{Sm}_2\text{-biph})_{\text{Cl}}^{2-}$  between the fragments of  $(\text{Sm}_2\text{Cl}_4)^{2+}$ , with two  $\text{Sm}(\text{III})$  ions each in a  $4f^5$  electron configuration, and  $(\text{biphenyl})^{4-}$ .

The 4f orbitals are known to be radially too contracted in lanthanides to participate in chemical bonding, and form a nonbonding f-band shown as a red shadow in Fig. 5. On the other hand, the 5d orbitals are more radially extended than the 4f orbitals. Thus, the two unoccupied  $\delta$ -type 5d orbitals (30a, 30b) in the  $[\text{Sm}_2\text{Cl}_4]^{2+}$  fragment and the two occupied  $\pi^*$  orbitals (15b, 18a) of the biphenyl ring form two  $\delta$  bonding MOs (41a, 40b). Furthermore, the stability of  $\text{Sm}(\text{III})$  with a  $4f^5$  electronic configuration is evident by the energy gap of 2.19 eV between the HOMO-1 and the 4f band. The stability of the whole compound is also revealed by the large HOMO-LUMO gap of 3.14 eV, indicating that the ground state is dominated by a single configuration and the description of structure and

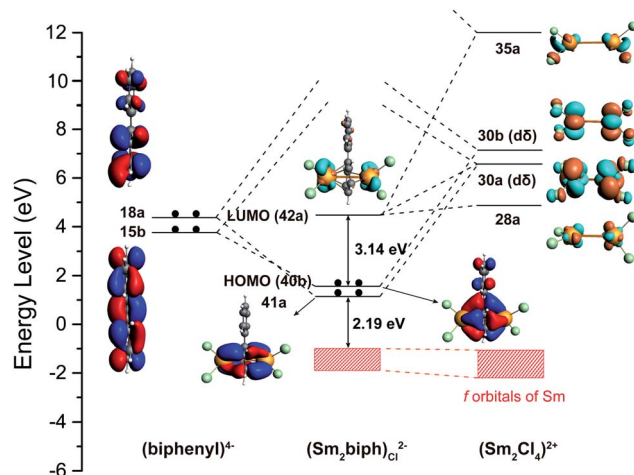


Fig. 5 Bonding scheme of  $(\text{Sm}_2\text{-biph})_{\text{Cl}}^{2-}$  illustrating the MO interactions between  $[\text{Sm}_2\text{Cl}_4]^{2+}$  and the biphenyl tetraanion (iso = 0.03).

bonding based on single-configurational DFT methods is sensible.

The nature of the above-mentioned pairwise orbital interactions can be further analyzed by the energy decomposition analysis with natural orbitals for chemical valence (EDA-NOCV).<sup>69,70</sup> Table S4† shows the numerical results for the interaction between fragments of  $[\text{Sm}_2\text{Cl}_4]^{2+}$  and  $(\text{biphenyl})^{4-}$  in  $(\text{Sm}_2\text{-biph})_{\text{Cl}}^{2-}$ . It shows that 60% of the attractive interaction  $\Delta E_{\text{int}}$  is from the electrostatic attraction  $\Delta E_{\text{elstat}}$ , 39% from the covalent orbital interaction  $\Delta E_{\text{orb}}$  and the rest 1% is from the dispersion interaction  $\Delta E_{\text{disp}}$ . The breakdown of the  $\Delta E_{\text{orb}}$  into individual orbital contributions reveals that there are two major terms  $\Delta E_{\text{orb}(\delta 1)}$  and  $\Delta E_{\text{orb}(\delta 2)}$  due to the  $\delta$ -type bonding with the energies of  $-278.75$  and  $-192.77 \text{ kcal mol}^{-1}$ , respectively. The total amount of  $-471.52 \text{ kcal mol}^{-1}$  for these two terms  $\Delta E_{\text{orb}(\delta 1)}$  and  $\Delta E_{\text{orb}(\delta 2)}$  is about the same as the total  $\Delta E_{\text{orb}}$  term for  $\text{Sm}(\text{C}_5\text{Me}_5)_2$  and  $\text{Sm}(\text{C}_4\text{Me}_4\text{P})_2$ , with values of  $-494.4$  and  $-484.8 \text{ kcal mol}^{-1}$ , respectively.<sup>71</sup> The other two terms  $\Delta E_{\text{orb}(\pi 1)}$  and  $\Delta E_{\text{orb}(\pi 2)}$  also show a charge flow from the bound phenyl ring to Sm centers, accounting for about 10% in the  $\Delta E_{\text{orb}}$ . The  $\delta$  bonding interaction in  $(\text{Sm}_2\text{-biph})^{2-}$  is further characterized using the principal interacting orbital (PIO) approach.<sup>72</sup> The calculated PIOs and principal interacting molecular orbitals (PIMOs) shown in Fig. S23† clearly reveal the  $\delta$ -type bonding interaction between samarium ions and the biphenyl fragment in  $(\text{Sm}_2\text{-biph})^{2-}$ , which further supports the above electronic structure assignment. The  $\delta$  bonding interaction in these inverse sandwich samarium biphenyl complexes is reminiscent of recently identified inverse sandwich lanthanide-boron binary clusters<sup>73,74</sup> and actinide metallacycles.<sup>75</sup>

## Discussion

$\text{Sm}_2\text{-biph-K}_2$  and  $\text{Yb}_2\text{-biph-K}_2$  extend the series of  $\text{M}_2\text{-biph-K}_2$  (M = Sc, Y, La, Lu, Gd, Dy, and Er) to traditional divalent lanthanide ions and provide a unique case study of the electronic structures of low valent rare earth ions. In the previously

reported  $(\text{Cp}^*_3\text{M})^-$  series, despite the fact that Nd(II) and Dy(II) adopted a non-traditional  $4f^9 5d^1$  electronic configuration, rare earth metals with less negative  $\text{M}^{3+/2+}$  reduction potentials, including Tm, Sm, and Yb, maintained a traditional  $4f^{n+1}$  electronic configuration for their divalent ions.<sup>39,40</sup> However, in the series of  $[[(\text{ArO})_3\text{mes}]\text{M}]^-$ , all traditional divalent rare earth ions kept a  $4f^{n+1}$  electron configuration.<sup>42,43</sup> In the **Sm<sub>2</sub>-biph-K<sub>2</sub>** series, not only was dysprosium found unambiguously in the 3+ oxidation state,<sup>47</sup> but also samarium was confirmed to be Sm(III) with a  $4f^5$  electronic configuration. The latter is remarkable since the (biphenyl)<sup>0/1-</sup> reduction potential of  $-2.45$  V vs. SHE<sup>56</sup> is *ca.* 0.90 V more negative than the  $\text{Sm}^{3+/2+}$  reduction potential of  $-1.55$  V vs. SHE.<sup>25</sup> Instead of a simultaneous reduction to the  $4f^6$  Sm(II) ions and a biphenyl dianion or even a neutral biphenyl, **Sm<sub>2</sub>-biph-K<sub>2</sub>** contained two Sm(III) ions and a biphenyl tetraanion with most negative charges localized in the Sm-bound phenyl ring.

To the best of our knowledge, **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** are the first structurally characterized and spectroscopically confirmed Sm(III) complexes with anionic arene ligands that are stable in the presence of coordinating solvents like THF.<sup>76</sup> The syntheses of  $(\text{C}_{10}\text{H}_8)\text{Sm}(\text{THF})_3$ ,<sup>77</sup>  $[(\text{CpV}(\text{C}_{10}\text{H}_8)\text{SmCp}(\text{THF})_n)]$  and  $[\text{CpV}(\text{C}_{10}\text{H}_8)]_2\text{Sm}(\text{THF})$  (DME),<sup>78</sup> and  $(\text{CpSm})_2(\text{C}_{10}\text{H}_8)$  (THF)<sub>4</sub> (ref. 79) were reported but their structures were not determined by X-ray crystallography. Magnetic and spectroscopic data suggested the formation of Sm(II) and a naphthalene dianion in these compounds.<sup>76</sup> The only other structurally characterized Sm(III) complexes with anionic arene ligands are  $(\text{Cp}^*_2\text{Sm})_2(\text{C}_{14}\text{H}_{10})$ ,  $(\text{Cp}^*_2\text{Sm})_2(\text{C}_{16}\text{H}_{10})$ , and  $(\text{Cp}^*_2\text{Sm})_2(\text{C}_{18}\text{H}_{12})$ , which were synthesized by the reduction of the corresponding arene by  $\text{Cp}^*_2\text{Sm}$ .<sup>80</sup> The structures of these compounds were characterized by X-ray crystallography and showed an unusual  $\eta^3$ -allylic type of bonding between Sm ions and the arene ligand.<sup>80</sup> The structural and spectroscopic data supported the formulation of two Sm(III) ions and dianionic arene ligands. However, upon the addition of THF to their toluene solutions, the regeneration of neutral arenes and  $\text{Cp}^*_2\text{Sm}(\text{THF})_2$  immediately occurred, implying that these Sm(III) arene complexes are intrinsically unstable and readily decompose to Sm(II) and neutral arenes.<sup>80</sup> Two structurally relevant samarium inverse-sandwich toluene complexes  $(\text{Sm}_2\text{L}_3)_2(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)$  and  $(\text{KSmL}_3)_2(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)$  ( $\text{L} = \text{OSi}(\text{O}^t\text{Bu})_3$ ) were recently reported by Mazzanti *et al.*<sup>81</sup> The former tetranuclear complex was formulated with four Sm(II) ions and a toluene dianion bridging between two Sm(II) ions, while the latter was assigned to two Sm(II) ions bridged by a neutral toluene ligand. It is also worth noting that in the series of formal zero-valent rare earth metal bis(arene) complexes reported by Cloke *et al.*,  $\text{Sm}(1,3,5\text{-}^t\text{Bu}_3\text{C}_6\text{H}_3)_2$  is the least stable one among all  $\text{M}(1,3,5\text{-}^t\text{Bu}_3\text{C}_6\text{H}_3)_2$  (except for Ce, Eu, Tm, and Yb, which did not form isolable products).<sup>82,83</sup> The difference in the thermal stability of rare earth metal bis(arene) complexes is rationalized by the difference in the promotion energy from  $f^n s^2$  to  $f^{n-1} d^1 s^2$  for different rare earth metals since the bonding interaction is mainly the back-donation from the d and s orbitals of the rare earth metals to the  $\pi^*$  orbitals of the arenes.<sup>82-85</sup> These literature precedents suggest that samarium

should have a low tendency to form a strong bonding interaction with arenes due to the relative stability of the  $4f^6$  electronic configuration of the Sm(II) ion.

The above observations lead to the question why in the series of **Sm<sub>2</sub>-biph-K<sub>2</sub>**, most rare earth metals, including Sm, are in the trivalent state and utilize primarily the  $d_{xy}$  and  $d_{x^2-y^2}$  orbitals to form a strong  $\delta$  bonding interaction with the  $\pi^*$  orbitals of the bridging arene ligand. We propose two main reasons. First, the resulting arene tetraanion is a  $10\pi$ -electron aromatic system, giving an extra aromatic stability to these inverse-sandwich compounds. In the series of  $(\text{Cp}^*_2\text{Sm})_2(\text{arene})$ , neither are the Sm ions bound to the  $\pi$  surface of the arene nor do the arenes fulfill the  $(4n + 2)$  Hückel rule.<sup>80</sup> In the case of  $(\text{KSmL}_3)_2(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)$ , a formulation of two Sm(III) ions would result in a dianionic toluene ligand, which is anti-aromatic.<sup>81</sup> Similarly, in the series of  $[[(\text{ArO})_3\text{mes}]\text{M}]^-$ , a single electron reduction could take place at either the metal center or the arene, but the latter would result in a mesitylene radical anion.<sup>43</sup> However, this aromatic stabilization could not explain why in other inverse-sandwich samarium arene complexes, such as  $(\text{Sm}_2\text{L}_3)_2(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)$ , the toluene is a dianion and all Sm ions were divalent.<sup>81</sup> It is also worth noting that a relevant trinuclear cerium toluene complex,  $[\text{K}(2.2.2\text{-crypt})]_2[(\text{KL}_3\text{Ce})(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)_2\text{Ce}]$ , supported by the same siloxide ligand reported by Mazzanti *et al.* was formulated as three Ce(II) ions and two toluene dianions,<sup>86</sup> despite the much more negative  $\text{Ce}^{3+/2+}$  reduction potential of  $-3.2$  V vs. SHE, compared to  $\text{Sm}^{3+/2+}$  reduction potential of  $-1.55$  V vs. SHE.<sup>28</sup> Therefore, other than aromaticity, we considered that the ferrocene diamide ligand  $\text{NN}^{\text{TBS}}$  may also play a key role in stabilizing the unique electronic structure of **Sm<sub>2</sub>-biph-K<sub>2</sub>**. The  $\delta$  bonding interaction in the inverse-sandwich complex **Sm<sub>2</sub>-biph-K<sub>2</sub>** could be viewed by analogy to the sandwich compounds  $\text{M}(1,3,5\text{-}^t\text{Bu}_3\text{C}_6\text{H}_3)_2$ . In the latter, the strength of the M-arene bond is correlated to the promotion energy from  $4f^n 6s^2$  to  $4f^{n-1} 5d^1 6s^2$ : the lower the promotion energy, the stronger the M-arene bond.<sup>82</sup> While the energy of the 4f orbitals is barely changed by the ligand field, the 5d orbitals interact strongly with the ligand orbitals and their energy could be readily tuned by the ligand field. Compared to O-donors in  $(\text{Sm}_2\text{L}_3)_2(\mu\text{-}\eta^6\text{:}\eta^6\text{-C}_7\text{H}_8)$ , N-donors in **Sm<sub>2</sub>-biph-K<sub>2</sub>** provide a stronger ligand field, which is also responsible for lowering the energy level of the 5d orbitals. Moreover, the flexibility of the  $\text{NN}^{\text{TBS}}$  ligand may play a role here, since it allows a much smaller bite angle (N-Sm-N) of  $103.5(7)^\circ$  and  $98.1(3)^\circ$  in **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>**, respectively, which are very close to the bite angle (Cl-Sm-Cl) of  $101.9^\circ$  in optimized  $(\text{Sm}_2\text{Cl}_4)^{4+}$  structure, but much smaller than that of  $133.6(1)^\circ$  in **Sm-I**. Combined, the strong ligand field of N-donors and the flexibility in coordination geometry of the  $\text{NN}^{\text{TBS}}$  ligand may explain why **Sm<sub>2</sub>-biph-K<sub>2</sub>** has a unique electronic structure among low valent samarium arene complexes.

Unlike **Sm<sub>2</sub>-biph-K<sub>2</sub>**, **Yb<sub>2</sub>-biph-K<sub>2</sub>** contains two Yb(II) ions and a biphenyl dianion with the negative charges equally delocalized over the two phenyl rings. The Yb(II) ions and the biphenyl dianion were only weakly bound through a primarily ionic interaction, which could be readily disrupted by the addition of 18-crown-6. The divalent  $4f^{14}$  electron configuration

of ytterbium in **Yb<sub>2</sub>-biph-K<sub>2</sub>** was supported by structural parameters, reactivity studies, absorption spectra, and diamagnetic nature of the compound. It is worth noting that ytterbium was reported to have a multiconfigurational character when complexed with redox active ligands, such as 2,2'-bipyridine.<sup>87–90</sup> However, we did not observe any evidence for such a multiconfigurational character in **Yb<sub>2</sub>-biph-K<sub>2</sub>**. This is likely due to the already highly reducing nature of the biphenyl dianion.

The distinct electronic structures of samarium and ytterbium biphenyl complexes can be rationalized by the difference in their  $M^{3+/2+}$  reduction potentials,<sup>25</sup> as well as their  $f^n$  to  $f^{n-1}d^1$  promotion energies.<sup>82</sup> The preference to stay in the completely filled  $4f^{14}$  electronic configuration led to a switch in the electronic structure of **Yb<sub>2</sub>-biph-K<sub>2</sub>** compared to other **M<sub>2</sub>-biph-K<sub>2</sub>** with more negative  $M^{3+/2+}$  reduction potentials (Chart 1).

## Conclusions

We successfully synthesized the inverse-sandwich samarium and ytterbium biphenyl complexes **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Yb<sub>2</sub>-biph-K<sub>2</sub>** and characterized them by X-ray crystallography, elemental analysis, NMR and UV/Vis/NIR spectroscopy, and room temperature magnetic susceptibility measured by the Evans method. The structural and spectroscopic data are consistent with the assignment of  $4f^5$  Sm(III) ions and a biphenyl tetraanion in **Sm<sub>2</sub>-biph-K<sub>2</sub>** and  $4f^{14}$  Yb(II) ions and a biphenyl dianion in **Yb<sub>2</sub>-biph-K<sub>2</sub>**. The reaction of **Sm<sub>2</sub>-biph-K<sub>2</sub>** or **Yb<sub>2</sub>-biph-K<sub>2</sub>** with 18-crown-6 proceeded with distinct results: while the Sm-arene interaction remained intact, the Yb-arene interaction was readily disrupted by the coordination of 18-crown-6. DFT calculations were carried out on model complexes for **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Yb<sub>2</sub>-biph-K<sub>2</sub>** to elucidate the electronic structures and bonding interactions. **Sm<sub>2</sub>-biph-K<sub>2</sub>** was confirmed to bear two Sm(III) ions and a charge-localized biphenyl tetraanion. The bonding interaction between the Sm(III) ions and the biphenyl tetraanion involves the  $\delta$ -type 5d orbitals of Sm and the  $\pi^*$  orbitals of the bound phenyl ring and features two  $\delta$  bonds with a covalent character accounting for a 39% attractive interaction by computational analysis. On the contrary, **Yb<sub>2</sub>-biph-K<sub>2</sub>** was found to contain two Yb(II) ions and a charge-delocalized biphenyl dianion, which are only weakly bound through a primarily ionic interaction. **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Yb<sub>2</sub>-biph-K<sub>2</sub>** extended the series of **M<sub>2</sub>-biph-K<sub>2</sub>**. The electronic structure of rare earth metals in this series was compared with the previously reported  $(Cp'_3M)^-$  and  $[(^{Ad,Me}ArO)_3mes)M]^-$  series as well as the solid state rare earth metal diiodides. It was found that the switch point for rare earth ions to adopt a divalent  $4f^n$  electronic configuration changed from Nd in **MI<sub>2</sub>** and  $[(^{Ad,Me}ArO)_3mes)M]^-$  to Tm in  $(Cp'_3M)^-$  and further to Yb in **M<sub>2</sub>-biph-K<sub>2</sub>**, showing that the appropriate choice of ligands could compensate the positive shifts in  $M^{3+/2+}$  reduction potentials and the increase in the promotion energy for the  $f^{n+1}$  to  $f^n d^1$  transition. The stability of **Sm<sub>2</sub>-biph-K<sub>2</sub>** and **Sm<sub>2</sub>-biph-[K(18-crown-6)]<sub>2</sub>** is remarkable since they are the only structurally characterized and stable Sm(III) complexes with a highly reducing anionic arene ligand. In comparison with other

samarium arene complexes, the unique electronic structure of **Sm<sub>2</sub>-biph-K<sub>2</sub>** is attributed to the features of the ferrocene diamide NN<sup>TBS</sup> ligand. Overall, this study extends the series of the inverse-sandwich complexes **M<sub>2</sub>-biph-K<sub>2</sub>** to traditional divalent rare earth metals Sm and Yb, and sheds light on the relationship between the electronic structures of rare earth ions and their coordination environment, as well as the bonding interaction between rare earth ions and arene ligands in low valent metal chemistry. Future studies will focus on detailed XANES spectroscopic and magnetometry studies to gain further insight into the electronic structure of these highly reducing systems.

## Conflicts of interest

There are no conflicts to declare.

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