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### Title

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### Permalink

<https://escholarship.org/uc/item/19p5h9c8>

### Journal

Nature Genetics, 51(10)

### ISSN

1061-4036

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### Publication Date

2019-10-01

### DOI

10.1038/s41588-019-0500-1

Peer reviewed

1 **Gene Ontology Causal Activity Modeling (GO-CAM) moves beyond GO**  
2 **annotations to structured descriptions of biological functions and systems**

3

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22

23 **Abstract**

24 To increase the utility of Gene Ontology annotations for interpretation of genome-  
25 wide experimental data, we have developed GO-CAM, a structured framework for  
26 linking multiple GO annotations into an integrated model of a biological system. We  
27 expect that GO-CAM will enable new applications in pathway and network analysis  
28 as well as improving standard GO annotations for traditional GO-based applications.

29 **Introduction**

30 The Gene Ontology was created as a computational structure for conceptualizing  
31 and describing gene function (1). The broad aims were 1) to create an ontology of  
32 gene function, a comprehensive set of terms and relationships between them, and 2)

33 to support functional annotation of genes. At the time the GO was developed, the  
34 first whole genomes were being sequenced, and statements about gene function  
35 were conceived of as “annotations” on the “book” of the genome. The goal was to  
36 apply a consistent set of concepts describing gene function to a broad range of  
37 eukaryotic model organisms (later extended to prokaryotes and viruses). This  
38 application would enable the identification of evolutionarily shared genetic  
39 programs, with the ultimate goal being to shed light on the functions of human  
40 genes based on knowledge about genes in model organisms.

41 The development of the GO has always been tightly coupled to its use in describing  
42 the functions of genes across a wide variety of organisms. New biological concepts,  
43 and the revision of existing ones, were and still are driven primarily by requests  
44 from expert biocurators, who read published scientific articles reporting discoveries  
45 of the functions of gene products and “annotate” the gene with terms selected from  
46 the GO. Thus, the GO ontology enumerates the universe of possible functions  
47 performed by genes, while GO annotations specify the functions that have been  
48 experimentally observed or otherwise inferred for a particular gene. In the initial  
49 publication, Ashburner *et al.* (1) emphasized the independence of each of the  
50 aspects of the GO. This was an important advance, because it clarified the diverse  
51 uses of the word “function” in the biological literature. In the GO, molecular  
52 functions (the activities of gene products at the molecular level, such as catalysis of a  
53 reaction) are distinct from cellular components (the location, relative to cellular  
54 structures, where the gene product is active), and distinct from biological processes  
55 (the larger biological “aims” carried out by a series of molecular functions).

56 At its core, a GO annotation is an association between a single gene and a single GO  
57 term (Figure 1a), and a record of the supporting scientific evidence for the  
58 association. This association is a statement about some aspect of the function of  
59 that gene. However, because it refers to a single GO term, **each GO annotation is**  
60 **necessarily a partial functional description, and there is no representation of**  
61 **how different annotations for the same gene fit together into a more complete**  
62 **description.** As a result, a GO annotation often represents a minimal, discrete piece  
63 of biological knowledge that can be determined from one, or at most a few,  
64 experiments that appear in a typical scientific paper. The simplicity of the GO  
65 annotation structure was a key driver for its success. Over the past 20 years, the GO  
66 knowledgebase has become indisputably the largest repository of computational  
67 representations of gene functions (2). The ontology currently contains roughly  
68 45,000 terms, and the annotation database has over 750,000 experimental gene  
69 annotations, taken from 150,000 distinct scientific publications and contributed by  
70 biocurators from around the globe. During this period, we have made many  
71 advances in the Gene Ontology itself to facilitate computational analysis (3,4). In  
72 contrast, the representation of statements about gene function as separate  
73 “annotations” has remained essentially unchanged, until now.

74 In order to represent more complex statements about biological functions in a way  
75 that is scalable and structured, we introduce here a framework we call Gene

76 Ontology Causal Activity Modeling (GO-CAM). GO-CAM extends the existing  
77 annotation paradigm by introducing the concept of a **model**, which is a collection of  
78 connected GO annotations (plus contextual information from other ontologies)  
79 linked together according to a defined schema. Figure 1 illustrates how multiple GO  
80 annotations for the function of NEDD4 in UV-induced transcriptional arrest (5) are  
81 linked together in GO-CAM into a more complete, integrated model. If standard GO  
82 annotations are analogous to phrases of text, GO-CAM allows us to use these phrases  
83 to build sentences, paragraphs and whole documents.

## 84 **The core structure of GO-CAM**

85 The GO-CAM formalism defines a schema that combines multiple simple GO  
86 annotations into an integrated, semantically precise and computable model of  
87 biological function. It formalizes the relationships between annotations by  
88 integrating different aspects of function, as shown in Figure 2. Each element of GO-  
89 CAM refers to terms from an ontology or other standard identifier (Table 1). As  
90 originally defined by Ashburner et al. (1) and further elaborated by Thomas (6), a  
91 **molecular activity** (GO *molecular function* annotation) of a gene product occurs in a  
92 **location** (GO *cellular component* annotation) and is part of a larger **biological**  
93 **program** (GO *biological process* annotation). In GO-CAM, relations to terms from  
94 other ontologies can provide additional specificity: for location, a cellular  
95 component can be part of a specified cell type, which in turn can be part of a  
96 specified anatomical structure; an activity can occur during a specified temporal  
97 period (biological phase).

98 In addition, a molecular activity can have a **causal effect** on another molecular  
99 activity. Previously, these were represented as annotations to GO terms from the  
100 *regulation of molecular function* branch of the ontology, but in GO-CAM we represent  
101 these instead as separate activities linked by a relation from the causal relation  
102 branch of the Relations Ontology (7). Note that causal relations can have a positive  
103 or negative direction of effect, and encompass many different terms such as *directly*  
104 *regulates*, or *causally upstream of*. By linking together chains of effects, GO-CAM  
105 models can specify causal pathways of arbitrary size and branching.

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## 109 GO-CAM records the evidence for each element of a model

110 GO-CAM preserves and extends the way in which GO annotations are currently  
111 supported by scientific evidence. As described above, each GO-CAM model is  
112 composed of “triples” that specify a subject, a relation and an object (e.g. in Figure  
113 1b, ubiquitin-protein transferase activity *enabled by* NEDD4), and each triple must  
114 be supported by evidence. As is currently done for all GO annotations, GO-CAM  
115 models use the Evidence and Conclusion Ontology (ECO) for specifying the type of  
116 evidence (13). An advance in GO-CAM over simple annotations is that a triple can be  
117 supported by more than one piece of evidence. Furthermore, like standard GO  
118 annotations, GO-CAM triples may not be completely consistent. We recognize that  
119 current knowledge of biological systems is incomplete, and in some cases  
120 contradictory models may have been proposed. In these cases, multiple alternative  
121 models (or different triples in the same GO-CAM model) will co-exist in the GO  
122 knowledgebase, and can be revised later in response to additional experimental  
123 evidence.

## 124 Modeling biological pathways in GO-CAM

125 As an example of the power of GO-CAM models to represent more complex  
126 processes such as signaling pathways, we consider a model of the canonical Wnt  
127 signaling pathway (Figure 3). The pathway was constructed by combining standard  
128 annotations (one gene to one GO term, e.g. *receptor ligand activity* enabled by  
129 WNT3). Causal relations between activities were then added manually using  
130 Noctua, the collaborative web curation platform we have developed to support GO-  
131 CAM modeling (<http://noctua.geneontology.org>).

132 Figure 3 shows the “curator view” of a portion of the GO-CAM model for the initial  
133 steps in the canonical Wnt signaling pathway using FZD1 and WNT3 as the receptor-  
134 ligand pair. The model comprises multiple molecular activities linked by causal  
135 relationships (*directly positively regulates*, *directly negatively regulates*, *positively*  
136 *regulates*, *negatively regulates*); direct relations indicate regulation via direct  
137 physical interactions. Each molecular activity is carried out by either a single gene  
138 product (e.g. WNT3) or a complex of gene products (e.g. the beta-catenin  
139 destruction complex). A distinct sub-process (regulation of proteasomal protein  
140 catabolic process, GO:0010498) represents the use of the relatively general  
141 “constitutive” proteasomal degradation process to negatively regulate beta-catenin  
142 activity.

143 As Figures 1b and 3 show graphically, a GO-CAM model has similarities to the  
144 “cartoons” published in many molecular biology papers showing how gene product  
145 activities causally relate to each other; the primary differences are that, in GO-CAM,  
146 1) the model explicitly represents dynamic **molecular activities** instead of using  
147 gene names to stand in for activities, and 2) all entities, activities, processes,  
148 locations, and relations are specified from **ontologies** rather than free text or

149 ambiguous symbols. The GO-CAM schema thus provides a defined, structured  
150 representation that makes it computable, i.e. usable in computational analyses, such  
151 as complex queries and searches including across causal paths, as well as  
152 enrichment analysis tools for analyzing genomics data sets. It utilizes the extensive  
153 structure of the Gene Ontology to simplify and abstract away the explicit  
154 biochemical details without losing that information; for example, the GO term  
155 *protein kinase activity* is already defined in terms of the reaction it catalyzes,  
156 including reactants (ATP and a protein substrate) and products (ADP and a  
157 phosphorylated protein).

## 158 **The GO-CAM model repository**

159 Currently there are over 2,300 GO-CAMs of varying complexity, containing over  
160 11,000 distinct triples, encompassing 16 species and over 1,600 gene products.  
161 These are currently available from the GO-CAM public site  
162 (<http://geneontology.org/go-cam>), where they can be browsed and visualized. GO-  
163 CAM models are created as part of the existing GO annotation curation process, by  
164 trained GO curators from multiple groups that are distributed internationally and  
165 meet regularly to ensure a consistent process. Moving forward, all GO annotations  
166 will be represented using GO-CAM. We are currently beginning the process of  
167 importing legacy standard annotations to the GO-CAM repository, with most  
168 existing standard GO annotations initially grouped into a single model per gene  
169 product. Ongoing curation will move toward models for the most specific GO  
170 biological process terms in the ontology (pathways and other coordinated  
171 processes). Formally, the GO-CAM models are expressed in RDF/OWL (14), a  
172 semantic web standard that makes them interoperable with a large set of  
173 computational tools. To enable use of GO-CAM in Cytoscape and other network  
174 analysis tools (15,16), we also provide the causal network in Simple Interaction  
175 Format (for more information on conversion and information loss, see  
176 <http://geneontology.org/go-cam/docs>).

## 177 **GO-CAMs are converted to standard GO annotations**

178 Because GO-CAM links together standard GO annotations, each model can be  
179 decomposed into its constituent standard GO annotations. The GO-CAM-derived  
180 annotations are integrated into the standard GO annotation releases, and so are  
181 already in widespread use. The conversion process inevitably loses some of the  
182 information in the full GO-CAM (see <http://geneontology.org/go-cam/docs> for more  
183 detail). Briefly, the conversion involves following chains of multiple relations in the  
184 GO-CAM model (e.g. making a GO *biological process* annotation requires following  
185 the *enabled by* relation to a molecular activity, then a *part of* relation to a GO  
186 *biological process* term, see Figure 2), as well as logical reasoning (e.g. the  
187 conversion uses “logical definitions” of GO terms to infer, for example, that if a  
188 molecular activity *directly regulates a protein kinase activity*, then that activity can  
189 be also be classified as a *protein kinase regulator activity*).

190 We have found that the GO-CAM curation process of specifying an explicit biological  
191 model is leading to improved quality and consistency of GO annotations. For  
192 *biological process* annotations, GO-CAM modeling aids curators in determining  
193 which gene functions are **parts** of a process, which ones **regulate** that process, and  
194 which are part of **upstream** processes that otherwise affect the process. For  
195 example, Wnt ligands are post-translationally processed and trafficked through the  
196 secretory system by enzymes such as acyltransferases and carrier proteins,  
197 respectively. In the past, curators had often annotated these upstream gene  
198 products to *Wnt signaling pathway*, or *regulation of Wnt signaling pathway*, to  
199 capture the idea that they are “in some way related” to Wnt signaling; with GO-CAM  
200 upstream causal activities can be represented without losing the distinction  
201 between gene products that execute a given biological program versus those that  
202 affect that program. Further, a GO-CAM model can be used as a reference, or  
203 template, for new curation of homologous or analogous biological systems. As a  
204 result, similar processes and pathways can be annotated much more consistently.

## 205 Conclusion

206 GO-CAM provides a computational framework for representing integrated models of  
207 the activities of specific genes as well as the larger biological programs to which  
208 they contribute. This framework formalizes and extends GO annotations  
209 (statements about specific gene functions) analogously to how, starting 20 years  
210 ago, the Gene Ontology formalized an ontology of gene function descriptions. GO-  
211 CAM explicitly defines the relationships between: 1) different aspects (molecular  
212 function, biological process, cellular component) of the function of each gene, 2) the  
213 functions of different genes in a larger system, and 3) functions and critical context  
214 such as cell type and developmental stage. GO-CAM provides a framework for  
215 representing (and answering complex queries about) *qualitative, causal models* of  
216 how activities of gene products work together to execute a biological program, but  
217 does not represent biochemical details like stoichiometry or reaction kinetics.

218 By clarifying how a basic, building-block GO annotation relates to a description of  
219 overall gene function, GO-CAM leads to increased quality and consistency of GO  
220 annotations. As the rate-limiting step in creating GO annotations is reading the  
221 primary scientific literature, we do not expect any loss in curation productivity  
222 using GO-CAM. Instead, we expect that the ability to link together standard GO  
223 annotations into larger models will obviate the need for adding increasingly  
224 complex, combinatorial terms (e.g. *Wnt signaling involved in kidney development*,  
225 *Wnt signaling involved in heart development*, etc.) to the GO ontology itself, thus  
226 simplifying its maintenance and use. Because GO-CAMs are automatically converted  
227 (with some loss) into standard GO annotations as part of the GO release pipeline, the  
228 new formalism will continue to support the many current applications of GO  
229 annotations. The causal networks in GO-CAM models will also enable entirely new  
230 applications, such as network-based analysis of genomic data (17-22), and logical  
231 modeling of biological systems (23,24). In addition, the models may also prove  
232 useful for pathway visualization. For example, the activity-based representation of

233 GO-CAMs is compatible with the “activity flow” diagrams of the Systems Biology  
234 Graphical Notation (SBGN) standard (25). With GO-CAM, the massive  
235 knowledgebase of GO annotations collected over the past 20 years can be used as  
236 the basis not only for a “genomic biology” representation of gene function, but also  
237 for a more expansive “systems biology” representation and its emerging  
238 applications to the interpretation of large-scale experimental data.

## 239 **Acknowledgments**

240 This work was supported by NIH NHGRI grant U41 HG002273 to the Gene Ontology  
241 Consortium (PIs: J Blake, J Cherry, C Mungall, P Sternberg and P Thomas). The  
242 authors would like to thank P D’Eustachio for helpful discussions, T Mushayahama  
243 for work on the Noctua user interface, D Ebert for work on conversion of standard  
244 annotations to GO-CAM, and all the GO curators who have extensively tested the GO-  
245 CAM framework and provided valuable feedback on it, and on the Noctua tool: S  
246 Aleksander, G Antonazzo, H Attrill, T Berardini, L Breuza, A Bridge, A Britan, J Cho, K  
247 Christie, M Courtot, I Cusin, B Czub, H Dietze, P Jaiswal, R Dodson, H Drabkin, S  
248 Engel, P Fey, M Feuermann, M Fisher, P Garmiri, G Georghiou, D Gonzalez, C Grove, E  
249 Hatton-Ellis, M Harris, M-C Harrison, J Hayles, T Hayman, V Hinard, D Howe, X  
250 Huang, R Huntley, H Bye-A-Jee, R Kishore, O Lang, R Lee, A Lock, R Lovering, A  
251 MacDougall, M Martin, P Masson, J Mendel, M Munoz-Torres, R Nash, L Ni, A  
252 Nikjenad, C O’Donovan, B Palka, C Pich, K Pichler, S Poux, L Reiser, P Roncaglia, T  
253 Sawford, A Shypitsyna, D Sitnikov, E Speretta, N Tyagi, S Toro, M Tuli, K Warner, E  
254 Wong, V Wood, R Zaru.

## 255 **Author contributions**

256 P.D.T. conceived the framework and supervised its development. C.J.M. developed  
257 the OWL representation with contributions from D.O.S and J.P.B, and supervised  
258 software implementation. H.M. developed the framework specification and  
259 alignment with SBGN. D.O.S., D.P.H. K.v.A., and P.G. refined the Gene Ontology to  
260 ensure compatibility with the framework. D.P.H., K.v.A. and P.G. extended the  
261 framework to cover multiple types of biological systems, and tested the curation  
262 software. S.C. architected the Noctua curation software, and S.C., J.P.B. and B.G.  
263 implemented the back-end software and OWL representation. L.-P.A. implemented  
264 the public facing interface, and performed QC and developed queries for the GO-  
265 CAM repository. S.E.L. helped supervise software implementation. P.D.T. and C.J.M.  
266 wrote the paper, with input from all authors.

## 267 **Competing interests statement**

268 The authors declare no competing interests.



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333

## 334 **Figure legends**

335

336 **Figure 1. Standard GO annotations vs. GO-CAM model.** The same biological  
337 model (how NEDD4 represses RNA transcription in response to UV-induced DNA

338 damage as elucidated in (5)) is depicted in **a**) as a set of disconnected GO  
339 annotations, each covering a partial description of the overall function; and in **b**) as  
340 a GO-CAM model, linking together GO annotations into a structured model of  
341 NEDD4 functions, including the effect of NEDD4's activity on the activity of a  
342 macromolecular complex, RNA polymerase II. GO molecular functions are shown in  
343 white boxes, cellular components in green, and biological processes in light blue.  
344 Gene products or complexes are shown in brown if they execute an activity (e.g.  
345 NEDD4), and in dark blue if they are acted upon by an activity (e.g. RNA polymerase  
346 II in the left part of panel b). The causal relationship representing how the activity  
347 of NEDD4 *directly negatively regulates* the activity of RNA polymerase II, which is  
348 captured indirectly in the last annotation in panel a, is shown as a red arrow in  
349 panel b. Coloring conventions are retained in Figures 2 and 3 below.

350 **Figure 2. An overview of the structured representation defined by GO-CAM.**  
351 Arrows are relations from the Relations Ontology, and all boxes must refer to a class  
352 from an ontology (or other stable object identifier) as described in Table 1. The core  
353 of the model is a Molecular Activity, which is carried out (*enabled*) by a specific gene  
354 product or macromolecular complex (Active Entity, brown) and may act on a  
355 specific Target Entity (dark blue). An activity *occurs in* a specific Location (green), is  
356 *part of* a specific Biological Program (light blue), and may *occur during* a specific  
357 Biological Phase (gray). In addition, the activity may have *causal effects* on other  
358 activities (red arrow). Curved *part of* arrows indicate that smaller processes can be  
359 nested as modules inside larger processes (blue), or that an activity is known to  
360 occur in a specific cell type or anatomical structure (green, e.g. nucleus *part of*  
361 neuron). More detail on the GO-CAM specification can be found at  
362 <http://geneontology.org/go-cam/docs>.

363 **Figure 3. GO-CAM model of initial steps in the canonical Wnt signaling**  
364 **pathway.** This diagram is a screenshot of the interface of the web-based curation  
365 platform (Noctua) for GO-CAM models. In this view, each molecular activity is  
366 represented by a box, and some of the properties in Figure 2 (the active entity, the  
367 location and the target entity) are “folded” into that box. The beta-catenin  
368 destruction complex is not folded into its activity box, so that the constituent gene  
369 products (e.g. AXIN1) are visible. Noctua is available at  
370 <http://noctua.geneontology.org> (the URL for this model is  
371 <http://model.geneontology.org/596ef51500000088>).

372

## 373 Tables

374

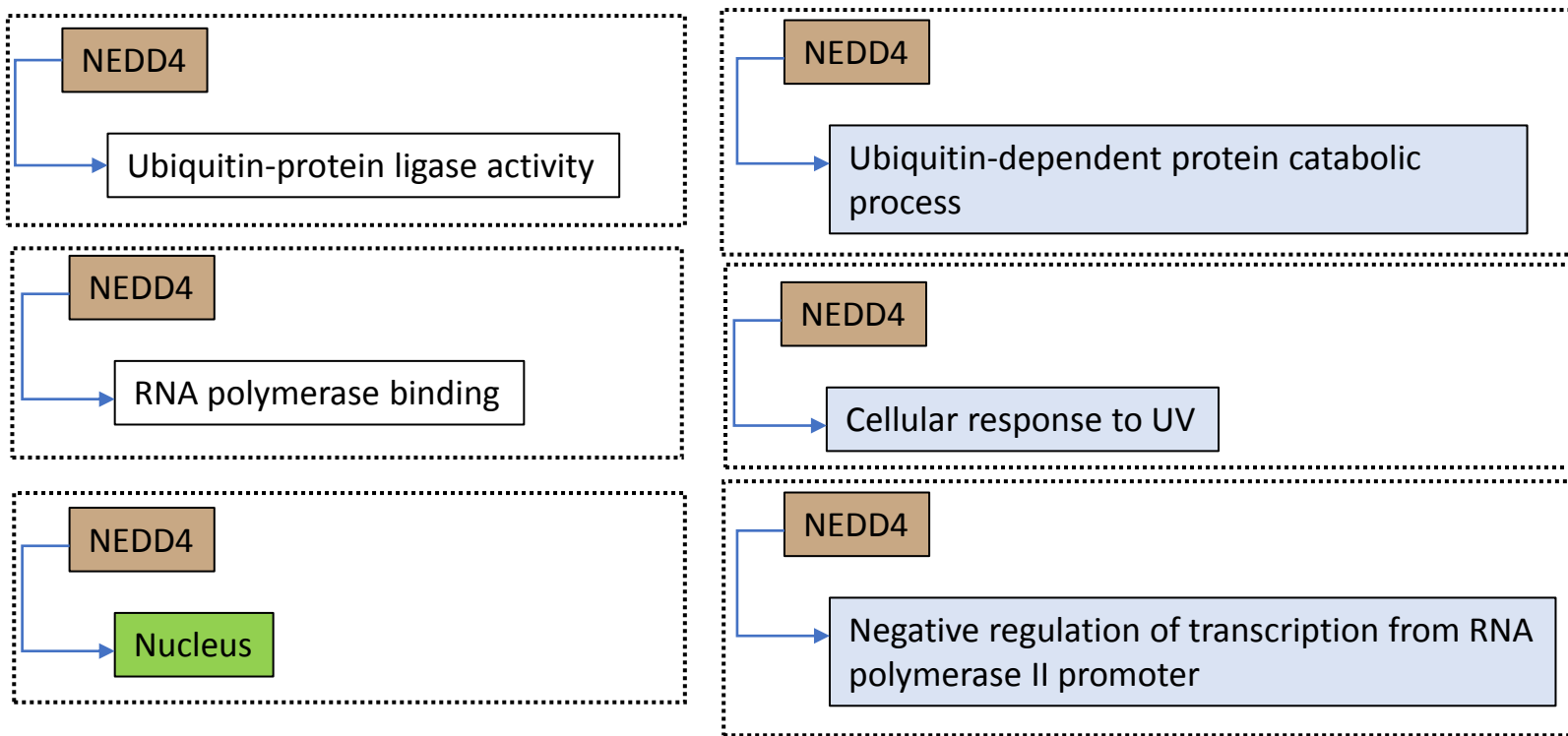
375 **Table 1. GO-CAM elements and ontologies used.** Note that the formalism follows  
376 GO annotation practice: gene products (or complexes comprising multiple gene  
377 products) have molecular activities (GO molecular function), are active in specific  
378 locations (GO cellular component) and act as part of larger biological programs (GO

379 biological process). Other elements of GO-CAM provide further structured  
 380 extensions of standard GO annotations.

GO-CAM element (Figure 2)	Ontology or identifier source(s)	Example
<b>Molecular activity</b>	GO molecular function	ubiquitin-protein transferase activity (GO:0004842)
<b>Biological process</b>	GO biological process	cellular response to UV (GO:0034644)
<b>Location</b>	GO cellular component	nucleus (GO:0005634)
	Cell Type Ontology (CL) (8)	retinal cell (CL: 0009004)
	anatomy ontologies, e.g. UBERON (9), <i>C. elegans</i> gross anatomy (10), EMAPA (11)	eye (UBERON: 0000970)
<b>Active entity</b>	Gene, protein, RNA or complex identifier from a standard source, e.g. HGNC for a human gene	NEDD4 (HGNC:7727)
<b>Target entity</b>	Same as active entity, or chemical from ChEBI (12)	MAP2K1 (HGNC:6840)
<b>Biological phase</b>	GO biological phase (GO:0044848)	mitotic G1 phase (GO:0000080)
	Developmental phase ontology, e.g. Mouse Developmental Stage	Theiler stage 02 (MmusDv:0000005)
<b>Relations (arrows in Figure 2)</b>	Relations Ontology	<i>occurs in</i> (BFO:0000066)

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**a****b**