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Deciphering the role of structural variation in human evolution: a functional perspective

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Abstract

Advances in sequencing technologies have enabled the comparison of high-quality genomes of diverse primate species, revealing vast amounts of divergence due to structural variation. Given their large size, structural variants (SVs) can simultaneously alter the function and regulation of multiple genes. Studies estimate that collectively more than 3.5% of the genome is divergent in humans versus other great apes, impacting thousands of genes. Functional genomics and gene-editing tools in various model systems recently emerged as an exciting frontier — investigating the wide-ranging impacts of SVs on molecular, cellular, and systems-level phenotypes. This review examines existing research and identifies future directions to broaden our understanding of the functional roles of SVs on phenotypic innovations and diversity impacting uniquely human features, ranging from cognition to metabolic adaptations.

Introduction

In the millions of years since modern humans diverged from a common ancestor with chimpanzees, subtle changes in our genomes have resulted in unique adaptations impacting wide-ranging musculoskeletal, brain, and immune response traits, as well as changes in diet and metabolism [1]. Recent advances in genome sequencing technologies have documented the massive genome-wide impact of structural changes, collectively called genomic structural variants (SVs), which include small indels (< 50 bp), as well as larger (> 50 bp) genomic alterations in copy number (e.g. deletions and duplications), insertions (e.g. transposition of repeat elements), and inversions [2,3] (Figure 1). Although less frequent than single-nucleotide changes, SVs collectively account for ~6 times more nucleotide differences between any two humans (31 Mbp vs. 5 Mbp) and represent a significant driver of trait diversity across humans today, as described in recent reviews [4,5]. They can also contribute to trait divergence universal across a species, with possible driver

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Declaration of Competing Interest

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variants identified as human unique and fixed versus other primates [6]. Here, we summarize the literature highlighting the emerging functional roles that human-specific SVs play in adaptation and evolution.

Genomic technologies have accelerated the discovery of functional structural variants

Improvements in genome technologies, such as long-read sequencing (PacBio and Oxford Nanopore Technologies) and scaffolding tools (Hi-C and optical mapping), have allowed the production of high-quality genomes highlighting fixed and polymorphic SVs within and between primate species [6,7] (Figure 1). As a result, the field has relatively accurate estimates of divergence among primates: for example, 2971 deletions and 7649 insertions specific to the human lineage [6], along with 75 fixed human-specific inversions [8]. Nevertheless, there is still uncertainty in divergence across more complex regions, such as segmental duplications (defined as > 1 kbp regions with > 90% identity with another locus in a reference genome [9]) and satellite repeats comprising centromeres. Even though divergence estimates between species involving complex regions are underway, assembly errors and difficulties discerning orthologs/paralogs across high copy and rearranged duplicated regions remain as obstacles. For example, the newest human telomere-to-telomere (T2T) genome has resulted in the discovery of 1621 genes (~32 Mbp of segmental duplication regions) lacking synteny with the chimpanzee reference genome (panTro6 build) [10,11] comprising ~300 multigene families (unpublished). Therefore, we anticipate that the ongoing T2T assembly efforts will continue to provide refinement of divergence estimates among primates, including the segmental duplication and centromeric regions. This is an exciting prospect because, unlike single-nucleotide variants, a single SV event can impact large sections of the genome and immediately alter the function of existing genes, create new genes, alter regulatory sequences, and influence chromatin organization, leading to substantial changes in biological function (Figure 2).

Functional genomic datasets from diverse primate species' primary tissue, cell lines, and derived organoids have enabled the identification of SV-associated divergence of *cis*-regulatory elements [12], altered expression of genes [13,14], and novel transcribed genes [15,16]. For example, an assessment of open chromatin between human and rhesus macaque motor cortex cells suggests that nearly 80% of human-specific candidate *cis*-regulatory elements comprise transposable repeat elements [17]. Variability of transposable elements present in modern humans continues to impact *cis*-regulation, particularly in immune cells [18]. Further, comparisons of chromatin conformation (Hi-C) between human and nonhuman primate lymphoblastoid and induced pluripotent cell lines have shed light on the effects of SVs on genome organization [19–21], such as changes in enhancer–promoter interactions and topologically associating domains (TADs: i.e. self-interacting chromosomal regions). SVs rarely overlap TAD boundaries [22,23], likely due to selective constraints. Nevertheless, those that do can result in TAD disruptions associated with gene expression differences between humans and other hominoids. For example, human-specific inversions with evidence of TAD swapping and altered chromatin looping likely underlie differentially

expressed human and rhesus macaque fetal brain genes [8], with some polymorphic inversions associated with variability in human brain morphology [24].

Human-specific deletions, which fall almost exclusively in noncoding regions and are enriched near genes involved in neural function, have long been proposed as a regulatory driver of the evolution of unique human brain features [25]. Recent studies have used innovative functional genomic approaches to collectively test the impacts of ~16 000 human-specific deletions on enhancer activity and cell phenotypes [26,27] (Figure 3a,b). Using a massively parallel reporter assay (MPRA) to compare small (< 31 bp), cross-species conserved human-specific deletions (hCONDELs) with intact chimpanzee sequences, Xue and colleagues [27] found ~800 sequences altering regulatory activity, including some driving expression of notable gene candidates — *HDAC5*, *LOXL2*, and *PPP2CA* — influencing brain development. Initial studies defining hCONDELs focused on larger (> 50 bp) human-specific deletions [25], while more recent work has considered deletions of sequence not necessarily conserved across species (hDELs). Using CRISPR (clustered regularly interspaced short palindromic repeats) interference (CRISPRi, dCas9 fused to a repressor KRAB domain) to directly test enhancer silencing on pluripotent stem cell proliferation, Fair and colleagues [26] narrowed in on a dozen hDELs impacting human–chimpanzee gene expression divergence. Overall, SV regulatory changes have substantially contributed to human evolution and provide an exciting frontier for functional follow-up studies.

Models of functional structural variants in human evolution

Accumulating evidence shows that SVs impact wide-ranging systems. The SVs found to affect early developmental and neurological traits have tended to be older mutations often fixed in humans [28]. These events arguably are at the core of our speciation event, separating the human lineage from other great apes [29]. In contrast, SVs that shape immune and metabolic traits are more recent, likely reflecting the radiation of our ancestors across the globe [4,6,30,31]. The vast variation in the habitats of ancient and extant humans has likely contributed to the evolution and retained variation of hundreds of metabolic- and immune-system-related SVs [32]. These functional human-specific SVs are biomedically relevant for two broad reasons. Fixed human-specific SVs, often gene duplications and gene family expansions affecting neurodevelopment, led to genomic instability, predisposing these regions to rare diseases linked to *de novo* mutations [33]. In contrast, human-specific SVs that influence metabolic and immune system traits show remarkable variation among individuals and populations, likely shaped by spatially and temporally fluctuating adaptive forces [34]. As a result, these polymorphic SVs often predispose to immune-mediated diseases, such as psoriasis and Crohn’s disease, as well as complex metabolic diseases, such as obesity and diabetes [35]. Thus, elucidating the specific molecular mechanisms through which human-specific SVs affect function provides a crucial framework for investigating evolutionary reasons for human disease in addition to developing clinical tools.

Experimental efforts using model systems can link associations between SVs and molecular function (e.g. gene expression changes) to organismal-level biological processes. Increasingly, researchers have used ‘humanized’ model animals, such as mice, to unearth

the fascinating complexity of mechanisms through which SVs affect traits (Figure 3a). One recent interesting example assessed diverse transcripts across apes, discovering an intronic *Alu* insertion impacting splicing of *TBXT*, a gene previously implicated in tail formation. Transgenic studies in mice connected the variant with altered gene function, suggesting a potential role in tail loss among primates [36].

Brain evolution

In addition to hDELS, considerable work has explored the role that gene duplications contribute to unique *Homo* brain features. Collectively, human-specific gene duplications are enriched for neurological functions and reside at genomic hotspots susceptible to nonallelic homologous recombination associated with neurocognitive conditions [37,38]. New duplicated paralogs can increase gene dosage or antagonistically interact with ancestral paralogs, impacting conserved functions or resulting in novel functions with altered expression patterns [39]. Over the past 10 years, functional studies in cerebral organoids and diverse animal models, ranging from mice to monkeys, have highlighted the putative roles of human-specific genes in neocortex development, including functions in synaptogenesis and neuronal proliferation (detailed in previous reviews [5,40]). Two of the most well-studied examples, Rho-GTPase activating protein 11B (*ARHGAP11B*) [41] and Slit-Robo activating protein 2C (*SRGAP2C*) [37,42], result in marked improvements in memory and learning in adult ‘humanized’ transgenic mice likely as a result of increased neocortical sizes [43] and cortical connectivity and circuit function changes [44], respectively. While much work has focused on characterizing novel, human-specific paralogs with apparent immediate phenotypic effects, shared primate paralogs that accrue sporadic mutations in the hominin lineage can also contribute to evolutionarily new features.

Notch signaling—Segmental duplications are subject to elevated mutation rates and interlocus gene conversion [45]. As a consequence, evolutionary innovations through functional changes to existing, older members of multigene families are common. Partial duplications (*NOTCH2NL* paralogs) of the highly conserved Notch receptor 2 (*NOTCH2*) signaling gene represent interesting examples of this phenomenon. *NOTCH2* is essential in maintaining the progenitor pool of radial glia cells [46]. While *NOTCH2NL* exists as nonfunctional pseudogenes in chimpanzees and gorillas, a single shared paralog was likely ‘revived’ along the human lineage via interlocus gene conversion with the ancestral *NOTCH2*. This was followed by two additional duplications producing three protein-producing truncated paralogs on chromosome 1q21.1 [47]. Ectopic expression of *NOTCH2NL* activates the NOTCH signaling pathway, resulting in delayed neuronal differentiation and increased proliferation in mouse cortical spheroids, human cortical progenitors, and the developing mouse brain [48–50]. As a result, the unusually complex gain-of-function event and subsequent duplication of human-specific *NOTCH2Ls* may partially underlie neocortex expansion of the human brain.

Synaptic function—Gene duplications can diverge in expression over evolutionary time, gaining novel organismal-level functions in a species-specific manner. For example, the Leucine-Rich Repeat Containing 37 (*LRRRC37*) gene family has evolved into many paralogs shared within simian and hominid species [51]. Recent work has shown that hominid-

specific *LRRC37B* localizes uniquely to the axon initiation segment of human cortical pyramidal neurons (but not in chimpanzees), leading to reduced neuronal excitability through interactions with sodium ion channels when ectopically expressed in mice [52]. These results may explain the distinct electrophysiological properties observed at the axon initiation segment in humans versus rodents [53]. Unlike other paralogs in this gene family, both *LRRC37B* and its human-specific paralog, *LRRC37A3*, are nearly fixed in modern humans and exhibit high neuronal expression patterns in single-cell transcriptomic data. Collectively, these results strongly suggest that both genes may have adaptively evolved in the human lineage and affect brain function.

Metabolic adaptations

The human diet has changed dramatically over our species' evolution. Feast and famine cycles and migrations into new environments with varying resources define our past. Thus, there is a tremendous dietary range among extant and past human populations, from the fat-rich sustenance of Inuit to starch-dominated cuisines of agricultural societies. Recent studies have highlighted the mechanisms through which functional effects of SVs play a central role in human adaptations to diverse diets and oscillations in resource availability.

Amylase—One of the most well-known examples of potential dietary adaptation is the human-specific salivary amylase (*AMY1*) gene duplication. *AMY1* encodes for the amylase enzyme responsible for starch digestion. Chimpanzees have only one haploid copy of this gene, whereas extant humans have one to nine haploid copies based on recent assemblies [54,55], with higher copies associated with agricultural diets [56]. The amylase locus exemplifies the challenges in studying the exact mechanism through which SVs affect metabolic function. Previous studies have connected *AMY1* copy number diversity with obesity [57] and gastrointestinal microbiome composition [58], though some of these associations are disputed [59] and almost certainly context-dependent [60]. Further, the mutational landscape of the amylase locus is complex. Overlapping segmental duplications and multiple retrotransposons underlie recurrent nonallelic homologous recombination and microhomology-mediated break-induced replication events, generating inversions, deletions, and duplications in addition to gene copy number variations with unknown functional consequences. Complicating the direct use of the mouse as a model to investigate the human amylase locus, the salivary amylase in the mouse lineage has convergently evolved through lineage-specific duplications [61]. The exact functional role of salivary amylase gene copy number in recent human evolution remains one of the most interesting mysteries in the field.

Growth hormone receptor—Another recent study described the evolutionary impact of the polymorphic deletion of the third exon in the growth hormone receptor gene (*GHR*) in the human lineage. The deletion (*GHRd3*) exhibits varied allele frequencies ranging from 5% to 25% among human populations and generates a shorter *GHR* isoform (Figure 2 iii and Figure 3b), which is associated with several human phenotypic traits, including altered birth weight, puberty onset, lifespan, and metabolic activity [62]. A population genetic analysis showed near fixation of this deletion in early human evolution, followed by a rapid, adaptive decline in the last 30 000 years. Evidence from recent mouse models reveals sex- and environment-dependent effects of *GHRd3*, leading to female-like transcriptomic, lipidomic,

and growth phenotypes in male mice under caloric restriction. Further, analysis of the downstream effects of *GHRd3* in the context of *BCL6* gene function in mice shows potential loss of immune response in males to certain bacterial infections. Last but not least, *GHRd3* may be protective against kwashiorkor (i.e. bilateral extremity swelling due to severe protein malnutrition). Combined, the population genetic analysis, trait associations, and functional insights from mouse experiments paint a complex evolutionary picture, where *GHRd3* has evolved in a trade-off between starvation resistance and defense against pathogens. As a result, this hDEL has oscillated in frequency during human evolution due to fluctuating selective pressures over time, likely in response to nutritional stress/malnutrition [63].

Immune response

Common functional human variation markedly overlaps with immune-related genomic regions. Some of these immune-related SVs are ancient and have remained polymorphic since human–Neanderthal and even human–chimpanzee split due to balancing selection to counter the pressures from fast-evolving pathogens [64]. Within this context, an emerging hypothesis is that rapidly evolving structural variation affecting immune-related regions, including *HLA*, *LCE3*, and immunoglobulin gene families, have been evolving under frequency-based and diversifying selection.

Mucins—Mucin genes are categorized based on their function (i.e. coding O-glycosylated proteins) rather than a common evolutionary ancestor. They all harbor exonic tandem repeats, which are enriched for codons corresponding to proline, tryptophan, and serine amino acids, which underlie the glycosylation potential of the mucin proteins. Through the attached O-glycans, mucins often interact with commensal and pathogenic microbes, in epithelial surfaces and bodily fluids, including saliva. As such, they are an integral part of the immune system. Long-read sequencing-based variation maps have revealed a surprising level of copy-number variation of the exonic mucin repeats. For example, more than ~5% of genic novel sequences in African pangenomes affect mucin genes [65]. Another study identified 15 instances of evolutionary convergence, where novel mucins recurrently evolve from proline-rich proteins by gaining densely O-glycosylated exonic repeat domains and remain copy-number variable among mammals, affecting glycosylation potential in different tissues [66]. In parallel, variation in mucin copy-number variation is strongly associated with inflammatory diseases [67,68] and microbiome composition [69,70]. Overall, human-specific mucin repeat variation and its impact on glycome in different tissues is an excellent area of research concerning the effect of rapidly evolving pathogens unique to our species.

Future directions

The unprecedented resolution of the genomic and functional impact of SVs in humans and nonhuman primates has allowed us to construct complex evolutionary models of human evolution (Figure 4). As T2T assemblies and pangenomes of diverse primates and humans become routine [11,71,72], improved discovery of variation at recalcitrant regions — including satellite repeats comprising centromeres and acrocentric regions — will allow us to explore the most quickly evolving parts of our genomes and connect them with human traits and diseases. Increasing the number of genomes across species will also delineate

variants that are fixed and divergent between primate species that might contribute to human universal features (e.g. cognitive abilities) from polymorphic within species that can impact diverse phenotypes responsive to varied environmental factors (e.g. metabolism and immunity). As we sequence more individuals, divergence estimates will decrease due to better estimates of fixed versus polymorphic versus incomplete lineage sorting, while diversity estimates will increase due to the identification of rare variants (Figure 1). Better connecting variants with molecular effects is becoming possible with long-read epigenetic and transcriptomic datasets, which can now accurately parse paralog expression differences of nearly identical genes [73,74]. In this review, we have highlighted how functional studies that model phenotypes have successfully used mammalian models, albeit on a relatively small scale. These studies often model whole gene deletions or duplications by overexpressing or knocking out genes. Even though these approaches can be extremely informative in certain cases, a majority of SVs likely affect function in more subtle ways, as exemplified in Figure 2. Therefore, an exciting future direction for understanding the subtler functional impacts of SVs, such as effects on splicing or enhancer activity, involves introducing precise SV breakpoints in model organisms through gene editing. Considering the large numbers of genes putatively impacted by SVs, modeling their functions at scale using organoids presents an exciting opportunity, especially considering expected improvements in mutational editing efficiencies and reduced variability across replicates. The use of higher-throughput nonmammalian organisms, such as zebrafish, also offers a compelling avenue to test interactions between gene duplicate paralogs (e.g. antagonism or neofunctionalization) and understand the functions of uncharacterized ancestral paralogs. The era of SV exploration is here, and the next major frontier is elucidating their functions at molecular, cellular, and organismal levels. We are excited to discover the hidden clues about human evolution that are surely waiting to be uncovered in the complex depths of our genome.

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Data Availability

No data were used for the research described in the article.

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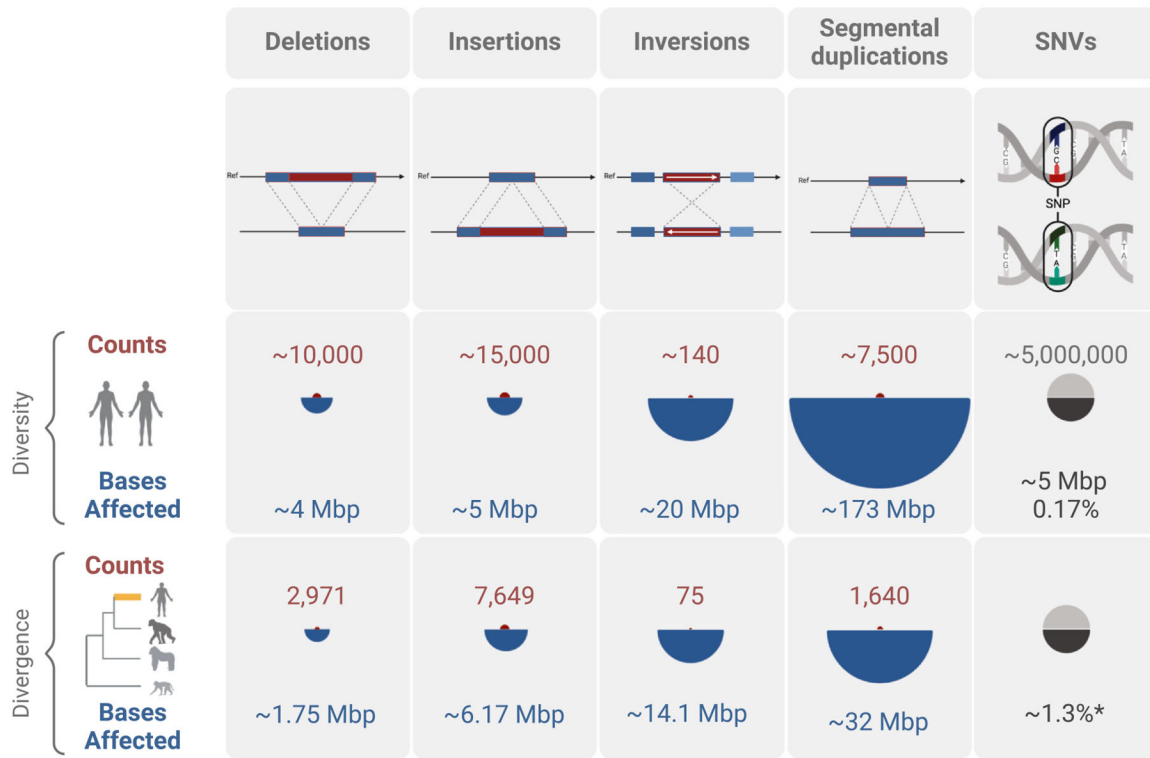


Figure 1. Genomic landscape of structural variation. The figure depicts two levels of comparison: the upper section (‘Diversity’) captures the average variability between a diploid human genome and a human reference genome. Here, the figure captures both nucleotide and SVs reported to be polymorphic within humans. In contrast, the lower section (‘Divergence’) highlights human-specific genomic variants/fixed SVs in the human lineage compared to other available nonhuman primate genomes. Thus, the genetic variants shown here represent the genetic differences between species or lineages that have accumulated independently since their split from a common ancestor. It is important to note that although divergence primarily refers to fixed changes, the inclusion of additional genomes for a particular species can influence the classification of variants as polymorphic or fixed. For instance, Ding et al. [8] detected 75 human-specific inversions, whereas previously, 130 were thought to be human-specific [7]. The inclusion of new genomes allows us to investigate whether observed SVs are fixed or polymorphic in a given lineage as a result of incomplete lineage sorting, systematically reducing divergent variants as additional individuals are added to analyses. By considering both fixed and polymorphic changes, we gain a comprehensive view of the genetic landscape within populations (diversity) and between species or lineages (divergence). The SVs indicated are deletions, insertions, inversions, and segmental duplications, while single-nucleotide variants (SNVs) are also included in the figure for comparative purposes. Overlaps exist between insertions and segmental duplications, but based on the methodological differences in their identification, we have chosen to include both SV types. SV counts are shown in red, and the affected bases in blue, whereas SNV counts and the bases affected are depicted in grayscale. Regarding the divergent segmental duplications, the count reflects the total number of genic segmental duplications

identified in the human T2T-CHM13 genome compared to the chimpanzee genome [10]. In contrast, the segmental duplications within humans, reflecting diversity, are based on the total number of segmental duplications reported by Jeong et al. [75]. The counts and bases affected correspond to the total segmental duplications detected, not just the genic ones. The SNVs reported as divergent represent the percentage of nucleotide divergence estimated between humans and chimpanzees*, while the divergent SVs reported correspond to the fixed, human-specific deletions, insertions [6], and inversions [8]. It is worth noting that, unlike SNVs, the extent to which different types of SVs affect primate genomes is yet to be fully resolved and numerous SVs exist beyond those listed here.

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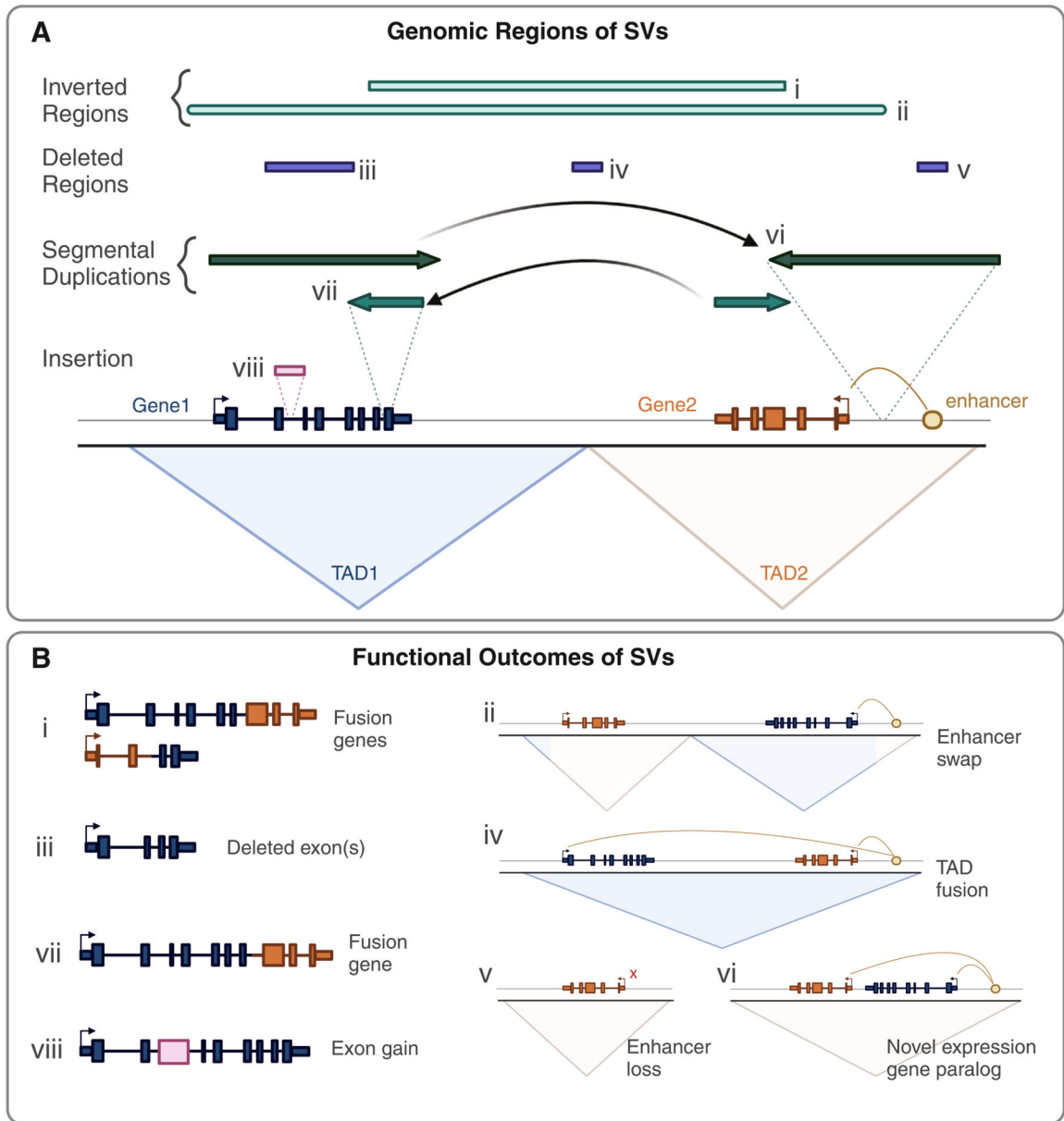


Figure 2. Possible consequences of SVs impacting gene function and regulation. Numbered SVs (i–viii) in panel (a), which summarizes the genomic regions of SVs, indicate the genomic context with coding (Gene 1 and Gene 2) and regulatory (enhancer regulating Gene 2) sequences and topologically associating domains (TAD1 and TAD2). The lower panel (b) shows the putative functional outcomes of each numbered SV on gene function and regulation. Studies have demonstrated the functional impact of SVs on, for example, chromatin organization [8,19–21], splicing [36], gene duplications with novel expression patterns [39,41,52], and exonic deletions [63].

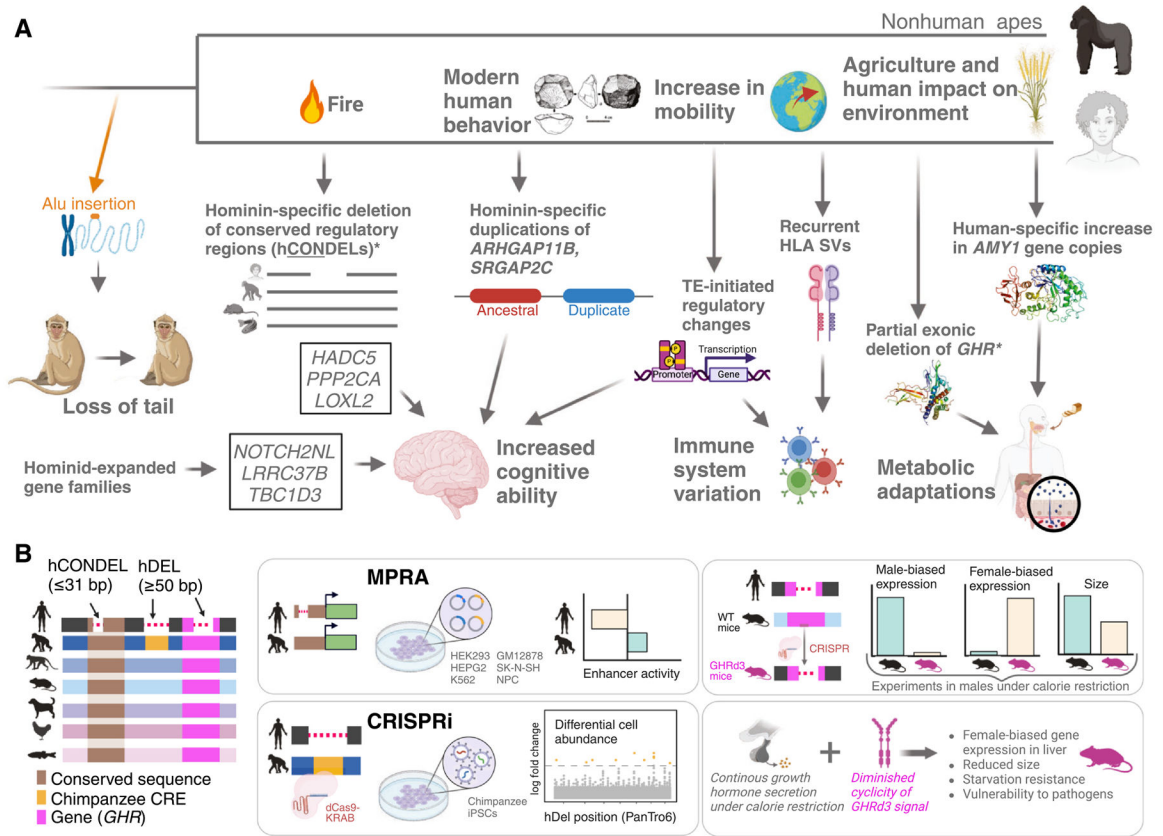


Figure 3. Recent examples of functional SVs and their roles in evolution. **(a)** We highlight the examples of human-specific SVs that affect crucial human traits and biological function [17,18,33,36,41–43,47,48,52,76–78]. Specific biological processes shaped by SVs differ across evolutionary time, likely because the nature of the adaptive-pressures changes during human evolution. Notably, more recent human-specific changes involve the immune system [66–68,70] and metabolism [54–57,59] and remain variable in human populations. **(b)** Focusing on human-specific deletions (hDELs and hCONDELs), we highlight two studies that characterized noncoding elements and their functions on gene regulation, combining transcriptomic and epigenomic datasets, with functional genomic methods (MPRA [27] and CRISPRi [26]) in diverse human, chimpanzee, and mouse cell lines. A third study [63] tested the functional impacts of a 22-amino hDEL of the gene *GHR* resulting in metabolic phenotypes in a *GHRd3* mouse model. [Glossary: CRE: cis-regulatory element, dCas9-KRAB: inactive form of the Cas9 protein (dCas9) fused to the to a Krüppel-associated box (KRAB), GHR: growth hormone receptor, hCONDELs: human-specific deletions in conserved regions, hDELs: human-specific deletions, HLA: human leukocyte antigens - genes in major histocompatibility complexes (MHC), MPRA: massively parallel reporter assay, TE: transposable elements].

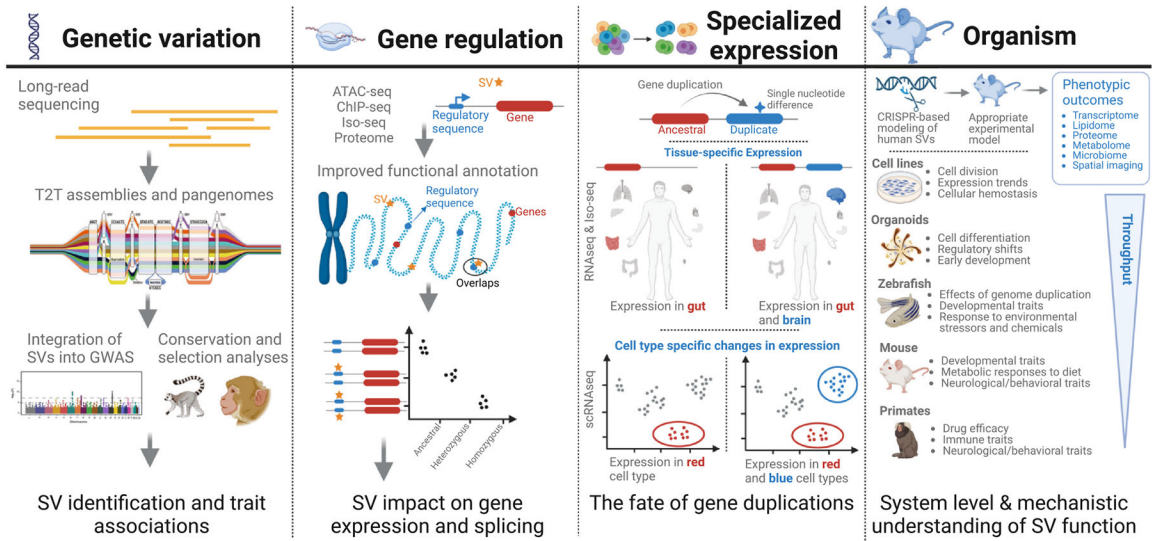


Figure 4.
The investigative frontiers of human-specific SVs and uncovering their functions.