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1 **Suction-induced Hardening Effects on the Shear Modulus of Unsaturated Silt**

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27 **ABSTRACT**

28 The small strain shear modulus G_{max} is a key material parameter in modeling the behavior of
29 soils subjected to dynamic loading. Recent experimental results indicate seasonal weather
30 interaction with near-surface soils causes G_{max} to change by up to an order of magnitude in some
31 climates, with a hysteretic response upon drying and wetting. The increase in G_{max} during drying
32 and the stiffer response during subsequent wetting have been postulated to be due to plastic
33 hardening during drying. In order to further understand this behavior, a series of isotropic
34 compression tests were performed on compacted silt specimens at different values of matric
35 suction to evaluate changes in the preconsolidation stress with suction. The G_{max} values obtained
36 from a previous study on this silt matched well with a model using a hardening parameter
37 independently derived from the isotropic compression tests as well as the parameters of the soil-
38 water retention curve (SWRC). The model shows an increase in G_{max} during drying from an
39 initially saturated condition that is directly related to the increase in preconsolidation stress with
40 suction, and the trends in G_{max} follow transitions in the shape of the SWRC. The hardening
41 parameter from these tests was also suitable to model the greater values of G_{max} encountered
42 during rewetting of the soil. The role of the preconsolidation stress in the model confirms that
43 changes in G_{max} correspond to elasto-plastic hardening mechanisms during drying rather than
44 solely to changes in matric suction.

45 **KEYWORDS:** Unsaturated soils, small strain shear modulus, plastic hardening, resonant
46 column, isotropic compression, degree of saturation

47

48 INTRODUCTION

49 The dynamic shear modulus of soils, G , is a material property widely used in evaluating
50 wave propagation through soil layers and predicting ground deformations and the dynamic
51 response of earth structures under cyclic, dynamic, or earthquake loading. Experimental studies
52 on silts and clays illustrated that the following soil characteristics tend to have a significant effect
53 on dynamic shear modulus of soils: the mean effective stress p' , void ratio e , degree of saturation
54 S_r , stress history (i.e., the overconsolidation ratio, OCR), maximum principal stress difference,
55 soil grain characteristics (mineralogy), and strain amplitude (Hardin and Black 1969; Hardin and
56 Drnevich 1972; Hardin 1978). For dynamic loading-induced strains less than $10^{-4}\%$, the shear
57 modulus is constant (i.e., it does not depend on strain), is referred to as the maximum or small-
58 strain shear modulus G_{max} , and represents the elastic response of the soil (Hardin and Black
59 1969; Hardin and Drnevich 1972; Hardin 1978).

60 Improvements in experimental soil mechanics led to the development of different empirical
61 relationships between G_{max} and some relevant parameters of soils in their water saturated or dry
62 conditions (Hardin and Black 1968, 1969; Seed and Idriss 1970; Hardin and Drnevich 1972;
63 Hardin 1978; Vucetic and Dobry 1991; Stokoe *et al.* 1999). However, little attention was given
64 to the stiffness variations in unsaturated soils due to environmental interactions and the effect of
65 complex phenomena taking place during drying and wetting. Some of the relevant aspects
66 differentiating unsaturated soils from dry and saturated soils are the stress state (Khalili *et al.*
67 2004; Lu and Likos 2006; Nuth and Laloui 2008), suction-induced hardening behavior (Alonso
68 *et al.* 1990), and hydraulic hysteresis (Wheeler *et al.* 2003, Tamagnini 2004). In regions of
69 aeration above the water table within man-made or natural soil structures, the soil may be
70 subjected to variation in stiffness because of the seasonal interactions with the atmosphere,
71 leading to repeated cycles of infiltration and evaporation, referred to as hydraulic hysteresis. The

72 seasonal wetting and drying of unsaturated soils in these systems imply that the stiffness of the
73 soils may change during operation (McCartney and Khosravi 2012), leading to varying resilience
74 to strain development during dynamic loading (Birgisson et al. 2007). Due to these mechanisms,
75 a more complex model to capture trends in the small strain shear modulus of unsaturated soils
76 with these different phenomena may be needed than those used for dry or saturated soils.

77 Although it is well understood that unsaturated stress state variables, mean net stress, p_n , and
78 matric suction, ψ , play an important role in the mechanical behavior of soils in their unsaturated
79 state (Bishop 1959; Fredlund and Morgenstern 1977), approaches to consider the impact of
80 hydraulic hysteresis and degree of saturation in G_{max} have only recently been considered (Ng et
81 al. 2009; Khosravi and McCartney 2011; Khosravi and McCartney 2012; Heitor et al. 2014).
82 Khosravi and McCartney (2011) utilized a Stokoe-type resonant column test that was modified
83 using the axis translation technique (Hilf 1956) to evaluate the dependency of the small strain
84 shear modulus of a low plasticity soil to unsaturated stress state and hydraulic hysteresis. The
85 testing approach included a fixed-free resonant column test setup adopted with the axis
86 translation technique for suction control, and a flow pump for degree of saturation control.
87 Similar to the degree of saturation measurements, results of this study revealed a hysteresis
88 behavior in G_{max} values along the drying and wetting paths of the soil-water retention curve
89 (SWRC). Based on G_{max} values measured on the primary drying path, the compacted specimens
90 experienced a nonlinear increase in G_{max} with matric suction increase, followed by a decreasing
91 path during subsequent re-wetting. However, the original G_{max} value was not fully recovered
92 after the re-wetting with the values of G_{max} being consistently higher than those obtained during
93 drying. Results showing similar trends to those observed by Khosravi and McCartney (2011)

94 were also reported by Khosravi and McCartney (2009), Ng et al. (2009), Khosravi et al. (2010),
 95 and Heitor et al. (2014).

96 Khosravi and McCartney (2012) characterized the hysteresis behavior of G_{max} as a result of
 97 drying-induced hardening which was not fully recovered during wetting and developed a new
 98 hydro-mechanical model for the G_{max} of unsaturated soils. The new model incorporated elasto-
 99 plastic constitutive relationships which integrate the effects of mean effective stress and
 100 hardening due to either plastic changes in volume or changes in the degree of saturation.
 101 Specifically, the concept of double hardening for unsaturated soils (Wheeler *et al.* 2003;
 102 Tamagnini 2004) was adapted to consider the impact of hydraulic hysteresis on G_{max} of low
 103 plasticity soils as follows:

$$(1) \quad G_{max} = AP_a \left[\frac{p_{c'0}}{p_n} \exp\left(\frac{\Delta e^p}{\lambda - \kappa}\right) \right]^K \left[\frac{p_n}{p'} \exp(b[S_{e0} - S_e]) \right]^{K'} \left(\frac{p'}{P_a} \right)^n$$

104 where P_a is the atmospheric pressure, A and n are stress dependency parameters, $p_{c'}$ is the mean
 105 apparent preconsolidation stress (i.e., the mean yield stress), p' is the mean effective stress, p_n is
 106 the net stress, Δe^p is a plastic change in void ratio, λ and κ are the slopes of the virgin
 107 compression and the elastic rebound curves, respectively, K' and K are hardening constants, $p_{c'0}$
 108 is the initial the mean apparent preconsolidation stress, b is referred to as the double-hardening
 109 parameter which governs the rate of change in $p_{c'}$ caused by changes in the degree of saturation,
 110 S_{e0} is the initial effective saturation, and S_e is the effective saturation which is defined as:

$$(2) \quad S_e = \frac{S_r - S_{r,res}}{1 - S_{r,res}}$$

111 In Eq. 2, S_r and $S_{r,res}$ are the values of S_r at current and residual saturation conditions. The
 112 proposed model was then validated against experimental data obtained from the resonant column
 113 tests on low plasticity materials. Although the model provided a good fit to the measured G_{max} ,

114 the hypothesis behind this study (dependency of the G_{max} values to the suction-induced
115 hardening) was only investigated theoretically and the hardening parameters in Eq. 1 were
116 defined by fitting the model to the experimental G_{max} data using least-squares regression.

117 In the work presented herein, an experimental study has been performed to investigate the
118 evolution of the yield surface of unsaturated silt specimens following drying and wetting paths.
119 These results were then used to independently define key parameters that are needed to predict
120 the variation in G_{max} for unsaturated soils during hydraulic hysteresis using the model proposed
121 by Khosravi and McCartney (2012). In this regard, the evolution of the yield surface during
122 hydraulic hysteresis was investigated using a suction-controlled triaxial test device. High
123 confining pressure magnitudes up to 1700 kPa were applied using a high pressure hydraulic
124 pump. In addition, a fixed-free Stokoe-type resonant column (RC) test device with suction-
125 saturation control was also utilized to measure changes in G_{max} during hydraulic hysteresis.
126 Specifically, a flow pump originally used in permeameter tests to measure the hydraulic
127 conductivity of saturated and unsaturated soils was operated with suction-feedback control to
128 establish equilibrium conditions for G_{max} evaluation. Results of this testing program were then
129 used to obtain parameters that are required to describe the hardening mechanism due to suction
130 and evaluate hardening-induced changes of G_{max} along different paths of the SWRC.

131 **MATERIAL AND SPECIMEN PREPARATION**

132 *Tested material*

133 The tested material is a silt obtained from the Bonny dam whose hydraulic properties have
134 been widely investigated (Bicalho et al. 2007; Khosravi and McCartney 2011; Khosravi and
135 McCartney 2012; Khosravi et al. 2012; Alsherif and McCartney 2014). The soil was selected as
136 it retains water over a wide range of suction values, and may show different behaviors when

137 wetted and dried at different effective stress values. The amount of suction-induced volume
138 change in Bonny silt was observed to be relatively small (Khosravi and McCartney 2012). As a
139 result, the information gained through their use facilitated interpretation of the impact of
140 unsaturated stress states. Bonny silt is classified as ML in accordance with the Unified Soil
141 Classification System (USCS) (ASTM D2488-00) with some relevant index properties
142 summarized in Table 1. Based on the results of the flexible-wall permeameter and the vapor flow
143 techniques presented by Khosravi and McCartney (2012) and Alsherif and McCartney (2014), an
144 air entry suction value of 10 kPa and a residual saturation value of 0.05 can be assumed for
145 statically-compacted silt specimens with an initial void ratio of 0.69.

146 *Specimen preparation*

147 Laboratory tests to assess the effect of suction-induced hardening on the small strain shear
148 modulus of low plasticity soils were performed on a group of specimens which were completely
149 remolded and prepared in the laboratory. To prepare the tested specimens, the soil particles were
150 initially screened through a No. 40 sieve and then oven-dried at a temperature of 110°C. After a
151 period of 24 hours, dried soil was uniformly mixed with de-aired distilled water at an average
152 gravimetric moisture content of 14% and then placed in a sealed plastic bag for 24 hours. After
153 that, the soil was statically compacted in a cylindrical mold having a diameter of 35.1 mm and a
154 height of 70 mm to an initial void ratio, e , of 0.69. The static compaction was performed
155 following the undercompaction procedure described by Ladd (1978) in three lifts and the
156 interfaces between the successive layers were scarified for better interpenetration with the
157 subsequent layer. At the end of the compaction, the specimen was removed from the mold, its
158 weight and dimensions were measured, and then quickly placed in the triaxial cell in order to
159 minimize any water loss.

160 **EXPERIMENTAL SETUPS**

161 *Isotopic compression test setup*

162 In the current study, a conventional triaxial cell modified with the Axis Translation technique
163 (Hilf 1956) was used to outline the effects of suction on the behavior of soils at large strain. The
164 new setup was designed to accommodate the application of high confining pressure magnitudes
165 using a high pressure hydraulic pump which is capable of applying pressures up to 1700 kPa
166 (Figure 1). In this device, the bottom platen of the triaxial setup was modified to accommodate a
167 high air-entry (HAE) ceramic disc to control suction in the specimen. To prevent stress
168 concentrations beneath the disc, a traditional coarse porous stone was embedded in the bottom
169 platen underneath the disc.

170 Water flow from the specimen during the application of increments of mean net stress and
171 matric suction was measured using visual observation of water levels in graduated burettes
172 connected to the water drainage lines from the specimen and the chamber. Measurements from
173 these systems are used to obtain changes in the degree of saturation and the volume of the
174 specimen during loading. Possible sources of error with this method of water/specimen volume
175 measurements are expansion/contraction of the triaxial chamber, burettes and the pressure line
176 tubing, which can considerably affect the experimental measurements. To account for these
177 sources of error, the volume measurements during a given test were corrected using the results of
178 a series of careful calibration tests performed on an aluminum cylinder under the same laboratory
179 conditions and pressure ranges applied to the soils to measure changes in volume due to the
180 compliance of the cell and tubing under different pressure levels. The tests were performed under
181 a backpressure of 300 kPa, which was applied to the bottom of the specimen during testing to

182 minimize the possibility for diffused air to come out of solution. This simplifies the measurement
183 of water flow to and from the specimen during wetting or drying, respectively.

184 *Resonant column test device*

185 A fixed-free Stokoe-type resonant column test device with suction-saturation control was
186 used to monitor the shear waves transmitted through the specimens and measure the hardening-
187 induced changes in G_{max} during hydraulic hysteresis. In this regard, a swept sine signal with
188 constant amplitude was supplied to a non-contact electromagnetic drive plate resting atop the
189 specimen. The first-mode of resonance of the tested specimen was then interpreted from the
190 angular frequency response and was used in the equation of Richart et al. (1970) to calculate the
191 shear wave velocity of the soil specimen. G_{max} was then calculated from V_s as follows:

$$(3) \quad G_{max} = \rho V_s^2$$

192 where ρ is the mass density of the soil specimen.

193 In this test device, the axis translation technique (Hilf 1956) was implemented to control
194 suction in the specimen using a high air-entry (HAE) ceramic disc, with an air-entry suction
195 value of 100 kPa. The top surface of the HAE disc was lightly inscribed to promote coupling
196 with the soil and a high conductivity, texturized Teflon water distribution disc was embedded
197 within the bottom platen to ensure a uniform distribution of water to the overlying HAE ceramic
198 disc. The value of S_r of the specimen was controlled using a flow pump system connected to the
199 bottom platen of the resonant column device and a differential pressure transducer incorporated
200 into a suction-feedback control loop was implemented to reach different equilibrium values of ψ
201 and S_r in the soil specimen. In this test setup, change in height of the specimen during testing was
202 inferred from the measurements of a non-contact proximity sensor mounted on top of the drive
203 plate. The height measurements obtained from the proximity sensor readings were used to

204 estimate the change in void ratio by assuming that the soil deforms isotropically. This
205 assumption overestimates the void ratio measurements of compacted soil specimens, which will
206 behave in an anisotropic manner. However, the small changes in void ratio had a relatively minor
207 effect on the calculated value of S_r and G_{max} (Khosravi and McCartney 2011).

208 **EXPERIMENTAL PROCEDURES**

209 The testing program in this study consists of two different sets of testing: isotropic
210 compression tests on soil specimens under constant matric suction values, and resonant column
211 tests performed on soil specimens subjected to successive cycles of drying and wetting. The
212 specimens were compacted to a target void ratio of 0.69 and were tested following the stress
213 paths presented in Figure 2.

214 *Isotropic compression test procedure*

215 The experimental program to examine the effect of soil suction on hardening/softening
216 behavior of unsaturated soils comprises a series of isotropic, high-pressure, compression tests at
217 different suction levels through the drying and wetting paths of the SWRC. After the preparation
218 of the soil specimen within the triaxial setup, the specimen was placed under backpressure by
219 increasing the chamber and the pore pressures in stages to values of 335 and 300 kPa,
220 respectively. After equalization under these stresses, Skempton's B-value parameter was checked
221 to evaluate the saturation of the tested specimens, and was found to be 0.98 or higher for the tests
222 presented in this paper.

223 Once the saturation stage ended, the desired matric suction was introduced at the boundaries
224 of the specimen by lowering (increasing upon wetting) the backpressure applied to the bottom of
225 the specimen while keeping the air pressure constant at the top. Water outflow from the
226 specimen during the application of matric suction was measured through the graduated burettes,

227 and sufficient time was permitted to reach hydraulic equilibrium. In this study, “equilibrium”
228 was defined as being reached when there was no change in water outflow for at least 10 hours.
229 After reaching hydraulic equilibrium, the isotropic compression test was initiated by increasing
230 the cell pressure in stages to achieve mean net stresses as high as of 1400 kPa, while keeping the
231 pore pressures constant.

232 At the end of the test, the specimens were removed from the cell and their dimensions,
233 weight, and water content were measured. This process was then repeated for specimens of
234 different densities at different values of matric suction along the drying and wetting paths of the
235 SWRC to define the relationship between specific volume, matric suction, and the mean net
236 stress for the tested material.

237 *Resonant column test procedure*

238 The test procedure used with the modified resonant column test device consists of four stages
239 of saturation, compression, suction equilibrium, and dynamic testing on specimens of different
240 initial densities. During the saturation stage, the backpressure saturation technique (Lowe and
241 Johnson 1960) was used to dissolve the pore air in the pore water and increase the saturation of
242 the tested specimen. In this regard, the chamber and pore water pressures were simultaneously
243 increased in stages to values of 520 kPa and 450 kPa, respectively, maintaining the effective
244 stress equal to 70 kPa. After saturation, the specimens were isotropically compressed to the
245 desired effective stress by increasing the cell pressure while keeping pore water pressure
246 constant (Compression stage). After ensuring that the volume of the specimen is constant, the
247 value of G_{\max} corresponding to saturated conditions was measured by performing a resonant
248 column test on the specimen under drained conditions at the desired mean net stress.

249 After this point, the flow pump was used to withdraw water from the bottom of the specimen
250 (through the HAE disc) at a constant rate until a target suction value is obtained. The flow pump
251 was guided using a suction-feedback control loop to reach different equilibrium values of suction
252 and degree of saturation in the soil specimen. After reaching hydraulic equilibrium, a resonant
253 column test was performed to measure G_{max} of the soil specimen in an unsaturated state. This
254 process was repeated to measure multiple points on the SWRC and define the relationship
255 between G_{max} , ψ , and S_r . After defining the drying path of the SWRC, the wetting process was
256 initiated by reversing the direction of movement of the pump piston to supply water to the
257 specimen in controlled increments. More details about the resonant column test procedure can be
258 found in Khosravi (2011), Khosravi and McCartney (2011) and Khosravi and McCartney (2012).

259 **RESULTS**

260 *Isotropic compression test results*

261 Five isotropic compression tests (one under saturated condition, three along the drying path
262 of the SWRC at suctions of 10, 31 and 55, and one along the wetting path at a suction of 45
263 wetted from an initial matric suction of 71 kPa) were carried out on specimens molded with a
264 constant void ratio of 0.69 with the aim of identifying the LC surface of the compacted silt for
265 suctions along drying and wetting paths of the SWRC. For each test, the changes in void ratio of
266 the soil specimens were inferred from the measured water volume withdrawn from the chamber
267 minus the known machine deflection of the triaxial cell obtained from the results of the
268 calibration tests. The relationships between void ratio and mean net stress for the five tests are
269 shown in Figure 3(a). Similarly, the change in water volume withdrawn from the specimen was
270 used to measure changes in the degree of saturation, S_r . The degree of saturation versus mean net
271 stress is shown in Figure 3(b).

272 During an isotropic compression test on unsaturated soil, due to collapse of the voids in the
273 pore space, all of the specimens exhibited a decreasing volume as the mean net stress was
274 increased (Figure 3a). However, those soil specimens that were subjected to higher levels of
275 suction exhibited a smaller amount of compression under a given applied mean net stress and
276 their corresponding void ratio measurements were consistently higher than those in low suction
277 testing. This has the effect of shifting the compression curve to the right, indicating a hardening
278 response in compacted specimens as a result of suction increase. Also, as shown in Figure 3(b),
279 during isotropic compression, the soil specimens experienced an increase in their corresponding
280 degree of saturation with a mean net stress increase. The rate of increase was different, greater in
281 those with a lower initial degree of saturation.

282 For an isotropic stress state, the process of hardening experienced by the compacted soil is
283 associated with a loading collapse yield curve in the $p'-w$ space. The shape of the yield curve can
284 be obtained from the compression curves presented in Figure 3(a) and their corresponding yield
285 points (defined as the mean preconsolidation stress, p_c') along the drying and wetting cycles of
286 the SWRC. In this regard, the results presented in Figure 3(a) were reinterpreted in terms of the
287 mean effective stress, p' in Figure 4 and then the p_c' values of the curves were defined as the
288 intersection of the lines extended from straight-line portions of the elastic rebound and virgin
289 compression curves. The effective stress, p' , in this plot was defined using the concept of suction
290 stress proposed by Lu and Likos (2006) as follows:

$$(4) \quad p' = p_n + p_s$$

291 where p' is the mean effective stress, p_n is the net stress, and p_s is the mean suction stress.
292 Suction stress is a stress variable which describes the contribution of matric suction to the

293 effective stress and can be determined from a closed form equation proposed by Lu et al. (2010)
294 as follows:

$$(5) \quad p_s = \frac{S_r - S_{r,res}}{1 - S_{r,res}} \psi$$

295 where S_r and $S_{r,res}$ are the values of S_r at current and residual saturation conditions. Similar
296 methods were used by Wheeler et al. (2003) and Khosravi and McCartney (2012) to identify the
297 yield points in isotropic compression tests.

298 The variation of p_c' with matric suction together with the corresponding SWRC are presented
299 in Figure 5. As shown in Figure 5, for the range of suction less than the air entry value, only
300 small changes in p_c' are measured. However, for suctions greater than the air entry value, the
301 changes in ψ lead to significant changes in S_r and consequently changes in p_c' happen at a
302 greater rate. During wetting, a hysteretic behavior in p_c' is noted and the results indicate higher
303 values of p_c' compared to those obtained during drying.

304 *Resonant column test results*

305 The results from the resonant column tests at various values of mean net stress are shown in
306 Figure 6. The SWRC of the tested specimens in terms of the effective saturation is shown in
307 Figure 6(a), the soil specimen volume changes with the applied matric suction are shown in
308 Figure 6(b), and the values of G_{max} measured after reaching equilibrium during each stage of the
309 drying and wetting tests are shown in Figure 6(c). The effective saturation values in Figure 6(a)
310 were obtained by converting the values of S_r from the experimental measurements using Eq. 2
311 with a value of $S_{r,res}$ equal to 0.05.

312 The results presented in Figure 6 suggest that the mean net stress has great influence on water
313 retention ability of the tested specimens and their corresponding degree of saturation
314 measurements. Under high mean net stresses, the soil specimens may have a new pore size

315 distribution (Ng and Pang 2000; Khosravi and McCartney 2012) that has the effect of shifting
316 the SWRC to the right (i.e., higher air entry suction) from its location at low mean net stresses.
317 During wetting, a higher energy is required to displace air trapped in the larger pores of the soil
318 specimens under lower mean net stresses. As a result, the soil specimens will absorb less water
319 during wetting and their hydraulic hysteresis loop will be larger compared to those subjected to
320 higher values of mean net stress.

321 In contrast to the S_r measurements, only minor changes in void ratio were recorded along the
322 drying and wetting paths of the SWRC. The void ratio vs. matric suction data shown in
323 Figure 6(b) indicates that the specimens stayed on the elastic unloading-reloading curve
324 throughout the test. As a result, the effect of volume changes on the measured SWRC and G_{max}
325 relationships for the tested specimens were ignored.

326 A comparison of changes in G_{max} in Figure 6(c) indicates two distinct regimes in the
327 variation in G_{max} along the drying path of the SWRC. In the first regime, the value of G_{max}
328 initially experienced a slight increase in magnitude with increasing ψ at suction values below the
329 air entry value. This regime is typically associated with small variations in the degree of
330 saturation. In the second regime, the value of G_{max} followed a nonlinear trend at higher suctions,
331 where the changes in suction on the drying path led to significant changes in the degree of
332 saturation. During wetting, the soil specimen initially absorbed a small amount of water along a
333 scanning path. Accordingly, only a slight reduction in G_{max} with decreasing ψ was recorded.
334 Between the water-entry value and the air-expulsion value, the soil started to absorb more water
335 along the wetting path of the SWRC leading to a greater decrease in G_{max} with a decrease in ψ .

336 The effect of subsequent drying and wetting on the small strain shear modulus was also
337 examined by performing a series of resonant column tests on a specimen with an initial void ratio

338 of 0.69 along subsequent cycles of drying and wetting. In this regard, after achieving the full
339 saturation of the tested specimen using the backpressure technique, the soil specimen was
340 initially dried to a suction value of 31 kPa along the main drying path of the SWRC. After that,
341 the direction of the flow pump was reversed and the applied suction was decreased in stages to a
342 value of 20 kPa to produce the first scanning curve of the test. At each point of suction
343 equilibrium, a resonant column test was performed and the value of G_{max} of the soil specimens
344 was measured. The specimen was then re-dried and a similar procedure was followed at suction
345 values of 41 and 61 kPa for simulating three full cycles of drying and wetting. The stress state
346 conditions are presented in Figure 7 and the results are shown in Figure 8. The variations of G_{max}
347 measured after reaching equilibrium with matric suction is presented in Figure 8(a) and the
348 SWRCs of the tested specimens are shown in Figure 8(b). Results presented in this figure
349 revealed a slight hysteresis in the G_{max} measurements along the scanning curves of the SWRC,
350 with a larger loop along the second loop where more significant changes in S_r happened with
351 changing matric suction. However, in both cases, the value of G_{max} was recovered once the main
352 drying path was reached. Similar observations were reported by Heitor et al. (2014).

353 ANALYSIS

354 In early studies on G_{max} of saturated and dry soils (Hardin 1978), hardening effects were
355 considered through the overconsolidation ratio, OCR , defined as:

$$(6) \quad OCR = \frac{p_c'}{p'}$$

356 where p_c' is the mean apparent preconsolidation stress and p' is the mean effective stress. In an
357 unsaturated soil during hydraulic hysteresis, different distributions of water are expected in a soil
358 specimen depending on whether the soil is undergoing drying or wetting. As a consequence,
359 even though the suction may have the same magnitude at some point during drying or wetting,

360 different values of p_c' and p' may be possible for this suction value during hydraulic hysteresis
 361 (Wheeler et al. 2003; Tamagnini 2004; Khalili and Zargarbashi 2010). Accordingly, the OCR is
 362 not sufficient to represent hardening effects in unsaturated soils and the relationship for G_{max}
 363 during hydraulic hysteresis should be defined with the values of p_c' and p' considered separately.

364 Khosravi and McCartney (2012) described the hardening effects associated with changes in
 365 degree of saturation using an expression for p_c' as follows:

$$(7) \quad \frac{dp_c'}{p_c'} = -\frac{de^p}{(\lambda - \kappa)} - bdS_e$$

366 where de^p is a plastic change in void ratio, λ is the slope of the virgin compression curve, and κ
 367 is the slope of the elastic rebound curve, b is referred to as the double-hardening parameter, and
 368 S_e is the effective saturation which is defined using Eq. 2. Eq. 7 is very similar to that defined by
 369 Tamagnini (2004) except that S_e is incorporated instead of S_r . The first term on the right side of
 370 Eq. 7 describes the evolution of the yield surface (i.e., hardening) produced by plastic changes in
 371 volume during isotropic loading and the second term describes the evolution of the yield surface
 372 resulting from changes in S_e . For the compacted specimens of Bonny silt, the compression curves
 373 exhibited relatively little volume change for the range of stress variables (matric suction and
 374 mean net stress) used in the resonant column testing (Figure 6). Accordingly, the value of de^p in
 375 Eq. 7 can be assumed to be zero and the equation of p_c' can be re-written as follows:

$$(8) \quad \frac{dp_c'}{p_c'} = -bdS_e$$

376 The advantage of using Eq. 8 to predict the value of p_c' is that it only requires a single
 377 parameter b , and permits direct incorporation of S_e values from the SWRCs at different mean net
 378 stresses. Because it incorporates the value of S_e instead of suction, different values upon wetting
 379 and drying can be obtained. The hardening parameter, b , which controls the rate of change in p_c'

380 with changes in S_e can be determined by comparing the values of p_c' estimated using Eq. 8 with
381 those obtained from the isotropic compression tests (Figure 5). Based on the experimental
382 measurements, a value of b equal to 0.93 was found to best represent the change in
383 preconsolidation stress with matric suction.

384 In the current study, the value of b obtained from the isotropic compression tests was used in
385 Eq. 8 to predict the variation of p_c' for the range of suctions and net stresses used in resonant
386 column testing (Figure 2). The predicted trends in p_c' were then used to explain the hardening
387 behavior in the values of G_{max} measured in the resonant column tests. The values of mean
388 preconsolidation stress obtained from Eq. 8 as a function of matric suction are presented in
389 Figure 9(a). The values of G_{max} obtained from the resonant column tests are also presented in
390 Figure 9(b) for the comparison purposes.

391 Results of the mean preconsolidation stress presented in Figure 9(a) confirm that the
392 preconsolidation stress increases with suction during drying, then remains at a higher value
393 during wetting. Results also indicate higher values of p_c' at lower values of mean net stress. A
394 comparison of the trends in p_c' with the values of G_{max} measured in the resonant column tests
395 indicated that the G_{max} values of the compacted specimens followed an S-shaped curve during
396 drying and wetting complying with the S-shape of the p_c' curve. Along the drying path of the
397 SWRC, the soil specimen experienced a drying-induced hardening and consequently, the
398 measured p_c' followed an increasing path with suction increase. These changes in p_c' resulted in
399 an increase in the G_{max} measurements during drying. During wetting, the developed stiffness
400 along the drying path was not fully recovered and a hysteresis behavior was observed in G_{max}
401 measurements with values measured along the wetting path greater than those measured during
402 drying. Referring to the trends in p_c' and G_{max} measurements, it may be concluded that changes

403 of p_c' as a result of flooding or emptying of voids with water, are much more important than the
404 suction, ψ , in producing changes in the small strain shear modulus of compacted soil at an
405 unsaturated state.

406 The hardening behavior observed in the isotropic compression tests was also linked to the
407 hardening behavior in the values of G_{max} measured in the resonant column tests by using the
408 value of b inferred from the results of isotropic compression tests to predict the changes in G_{max}
409 during hydraulic hysteresis using the model of Khosravi and McCartney (2012) (Eq. 1). The
410 trends in G_{max} predicted using Eq. 1 with those measured in the resonant column test are
411 presented in Figure 10 in terms of the mean effective stress, p' . In this figure, the parameters
412 required to predict the evolution of G_{max} during hydraulic hysteresis were defined through
413 definition of the SWRC and compression curves, and through parameter fitting to this specific
414 soil. Specifically, the values of S_e were obtained from the experimental measurements (Figure 4),
415 and the value of K was defined using empirical guidance from Hardin (1978) for this type of soil
416 ($K = 0.05$). The values of A and n were defined by fitting a curve to the values of G_{max} measured
417 under saturated conditions (zero suction) and K' was obtained from the G_{max} measurements
418 during subsequent wetting at different mean net stresses using least squares minimization. The
419 effective stress, p' in this plot was defined using Eqs. 4 and 5 with a value of $S_{r,res}$ of 0.05. With
420 these parameters, the model predictions shown in Figure 10 were observed to be consistent with
421 the values of G_{max} measured in the resonant column tests. The model also showed the same trend
422 due to the hardening effect caused by drying and wetting of the soil.

423 CONCLUSION

424 A testing program was presented in this paper to represent the impact of suction induced
425 hardening on the dynamic shear modulus of unsaturated, compacted soils. Specifically, a series

426 of resonant column tests were performed on compacted soil specimens to measure the values of
427 small strain shear modulus under successive cycles of drying and wetting, and isotropic
428 compression tests were utilized to characterize the evolution of the yield surface with matric
429 suction changes and obtain the hardening parameters of the tested specimens.

430 The results presented in this study indicate that the hardening of soil caused by drying plays
431 the most important role in the dynamic response of unsaturated soils at small strains. Based on
432 the results of isotropic compression tests on the specimens with an initial void ratio of 0.69, the
433 mean preconsolidation stress of the statically-compacted Bonny silt specimens varied from 720
434 to 1080 kPa for matric suctions ranging from 0 to 55 kPa. However, its value only decreased to a
435 value of 1110 kPa upon re-wetting to a suction value of 45 kPa from an initial matric suction of
436 71 kPa. As a result of this hardening behavior, hysteresis behavior was observed in G_{max}
437 measurements during drying and wetting. Along the drying path of the SWRC, the measured
438 G_{max} followed an increasing path with suction increase. Upon rewetting, a stiffer response than
439 that along the drying path was observed and greater magnitudes of G_{max} were measured.

440 The model proposed by Khosravi and McCartney (2012) found to be suitable for the
441 characterization of the behavior of G_{max} under different values of mean net stress and hydraulic
442 hysteresis, using model parameters defined independently from the SWRC, compression curve,
443 and the relationship between G_{max} and effective stress for saturated soils. This observation
444 confirms the importance of understanding the role of suction-induced hardening in modeling the
445 impact of hydraulic hysteresis on the dynamic properties of unsaturated soils.

446 **ACKNOWLEDGEMENT**

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449 performing the isotropic compression tests.

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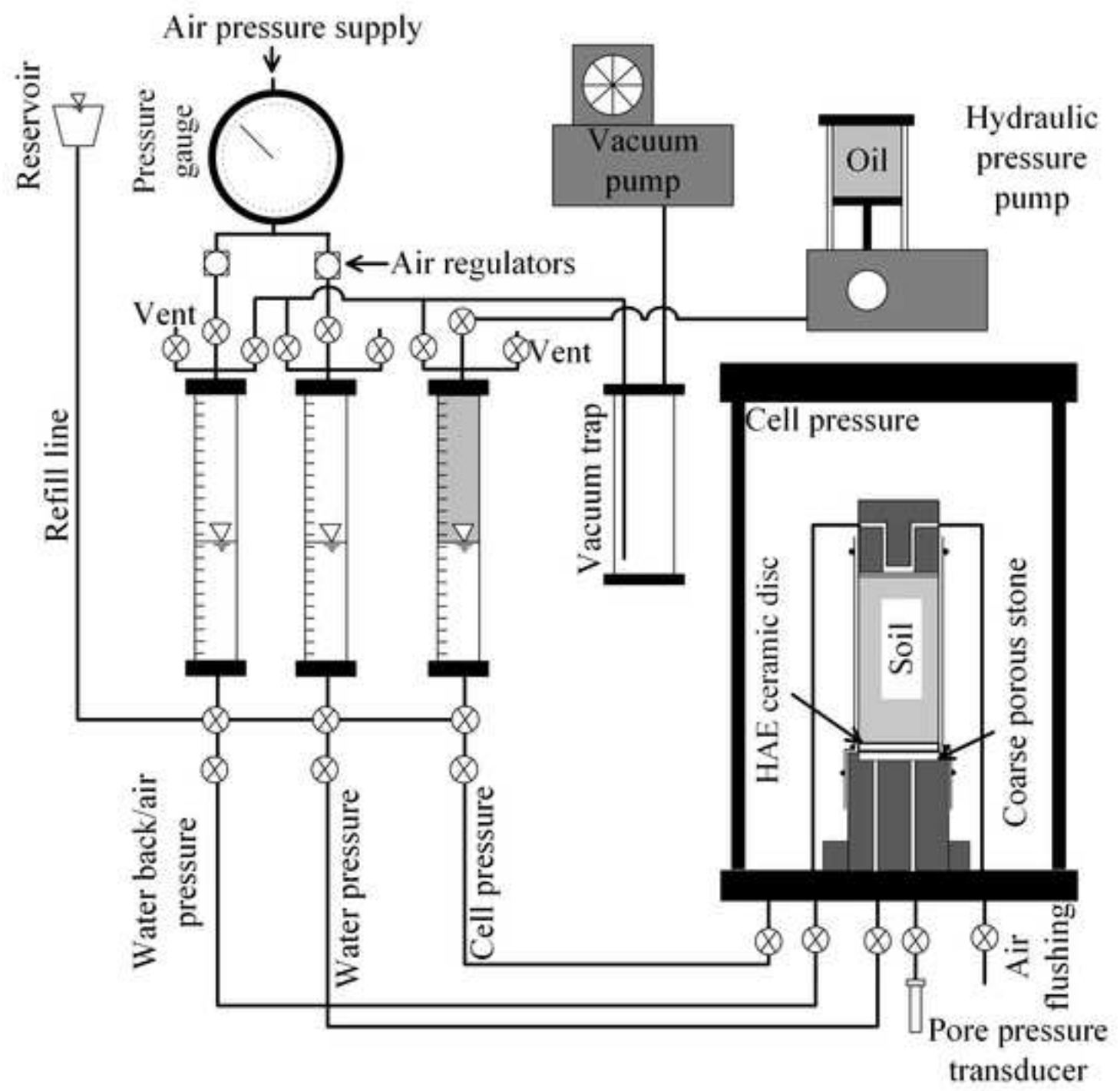
550 **Fig. 10:** Relationships between G_{max} and mean effective stress during hydraulic hysteresis
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552 from resonant column tests

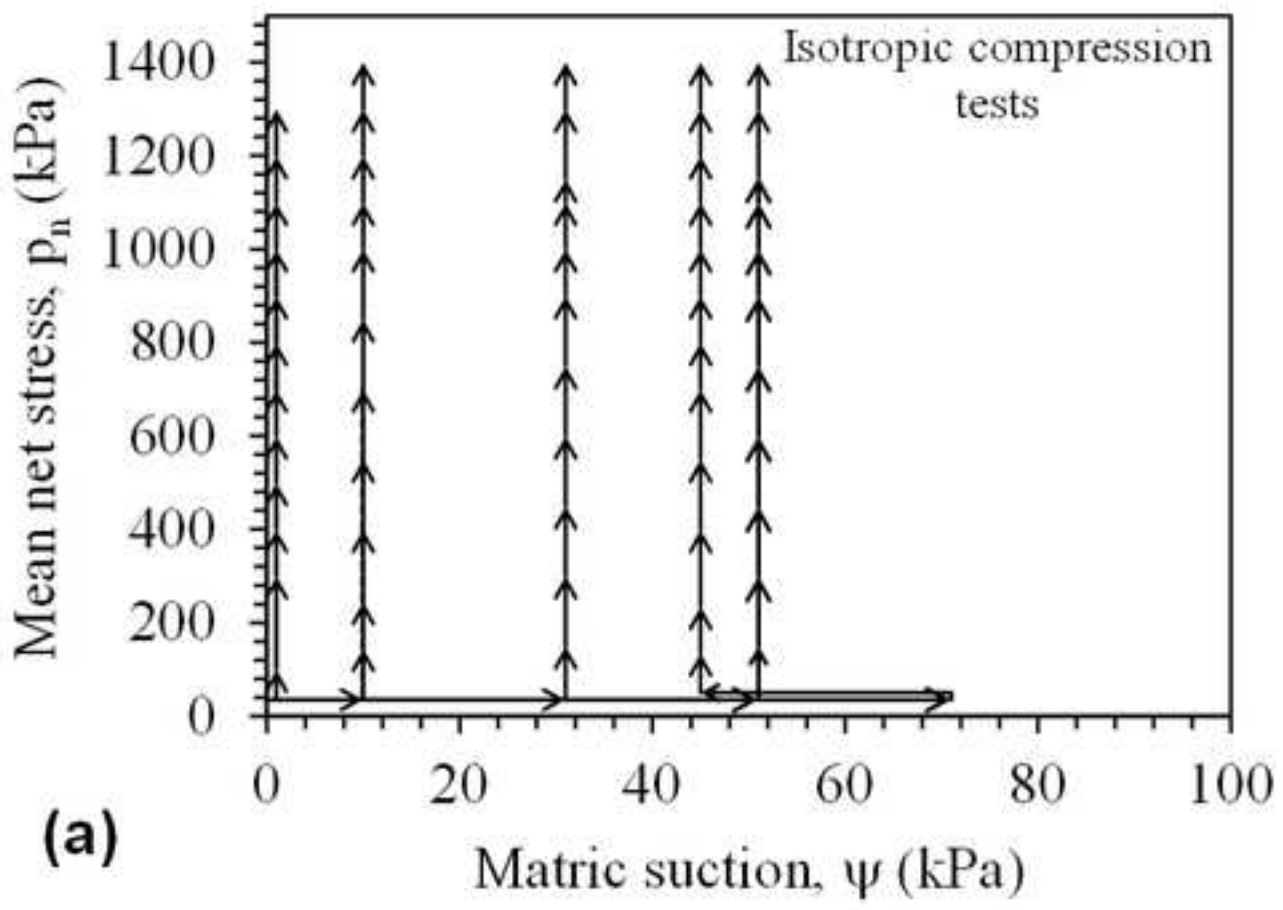
Table 1

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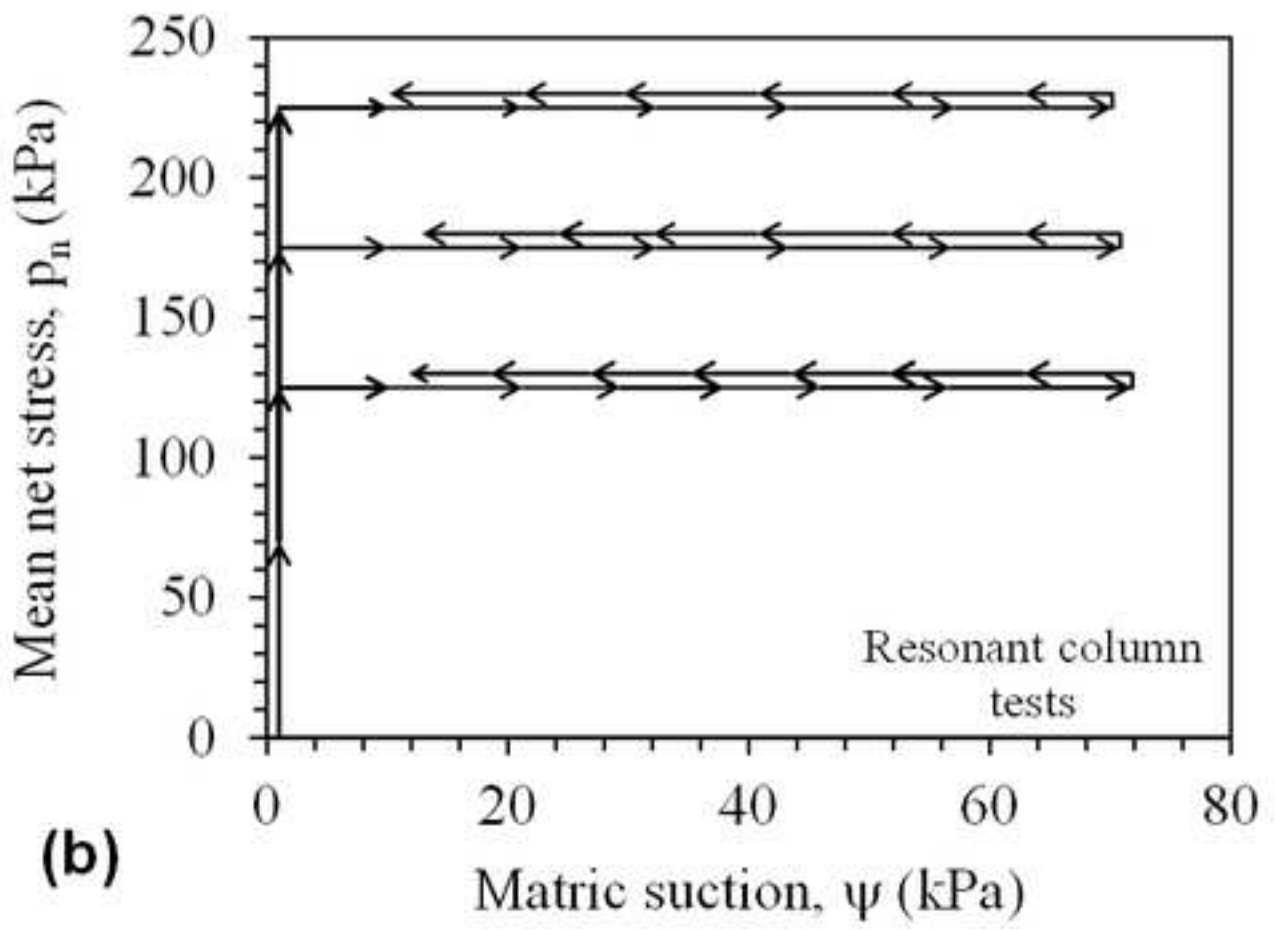
Parameter	Value
D_{10}	<0.0013 mm
D_{30}	0.022 mm
D_{50}	0.039 mm
Pass. No. 200 Sieve, d_{200}	83.9 %
Clay Frac. (<2 μm), d_c	14 %
USCS	ML
Specific Gravity, G_s	
Liquid Limit, LL	25
Plasticity Index, PI	4
Activity, A	29
Maximum Dry Unit Weight, γ_d	16.3 kN/m ³
Optimum Water Content, w_{opt}	13.6 %
Compression Index, C_c	0.04
Recompression Index, C_r	2.8 %
Drained Friction Angle, ϕ'	29°
Air Entry Suction, ψ	10 kPa
Residual Degree of Saturation, $S_{r,res}$	0.05

Figure 1
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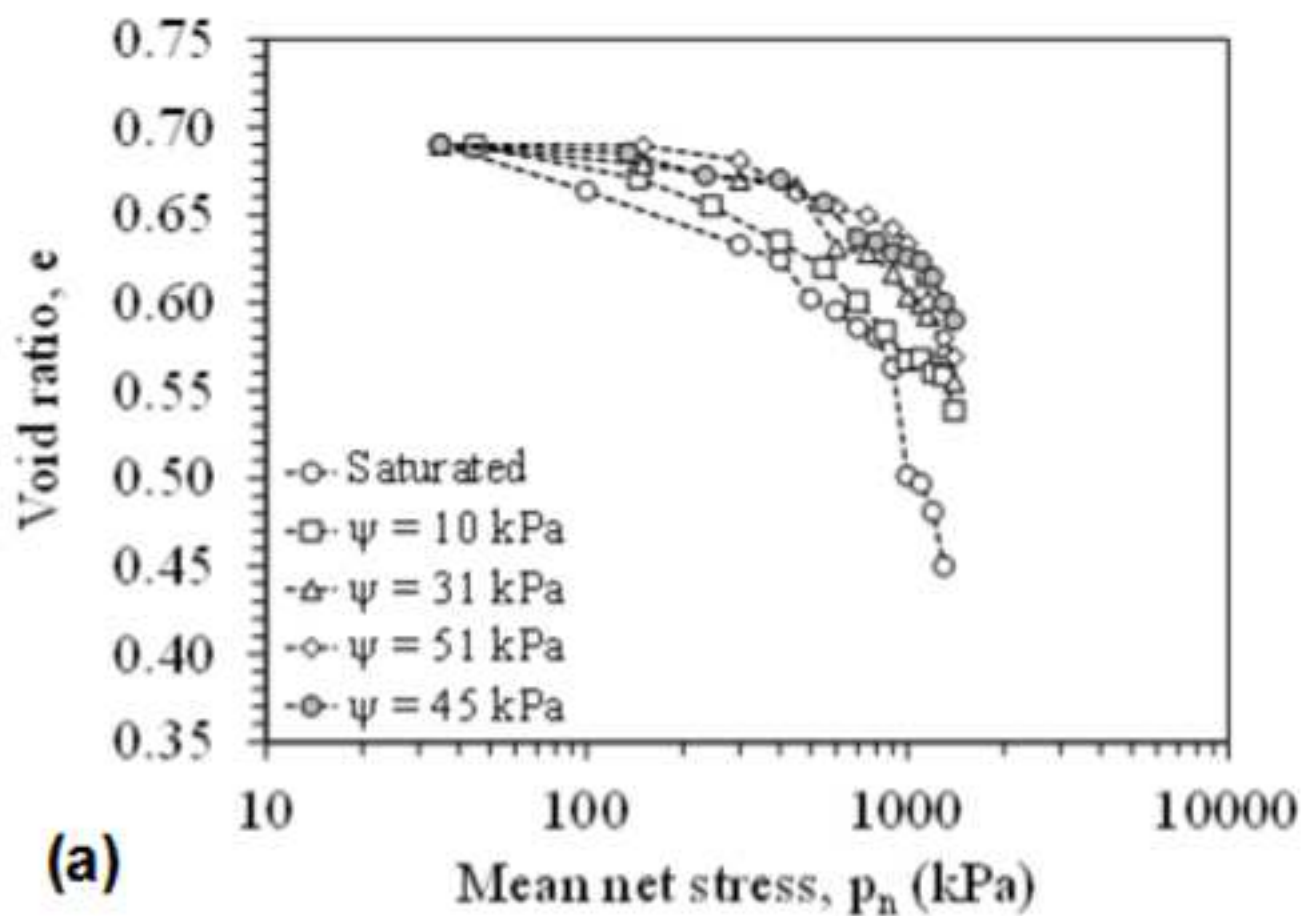




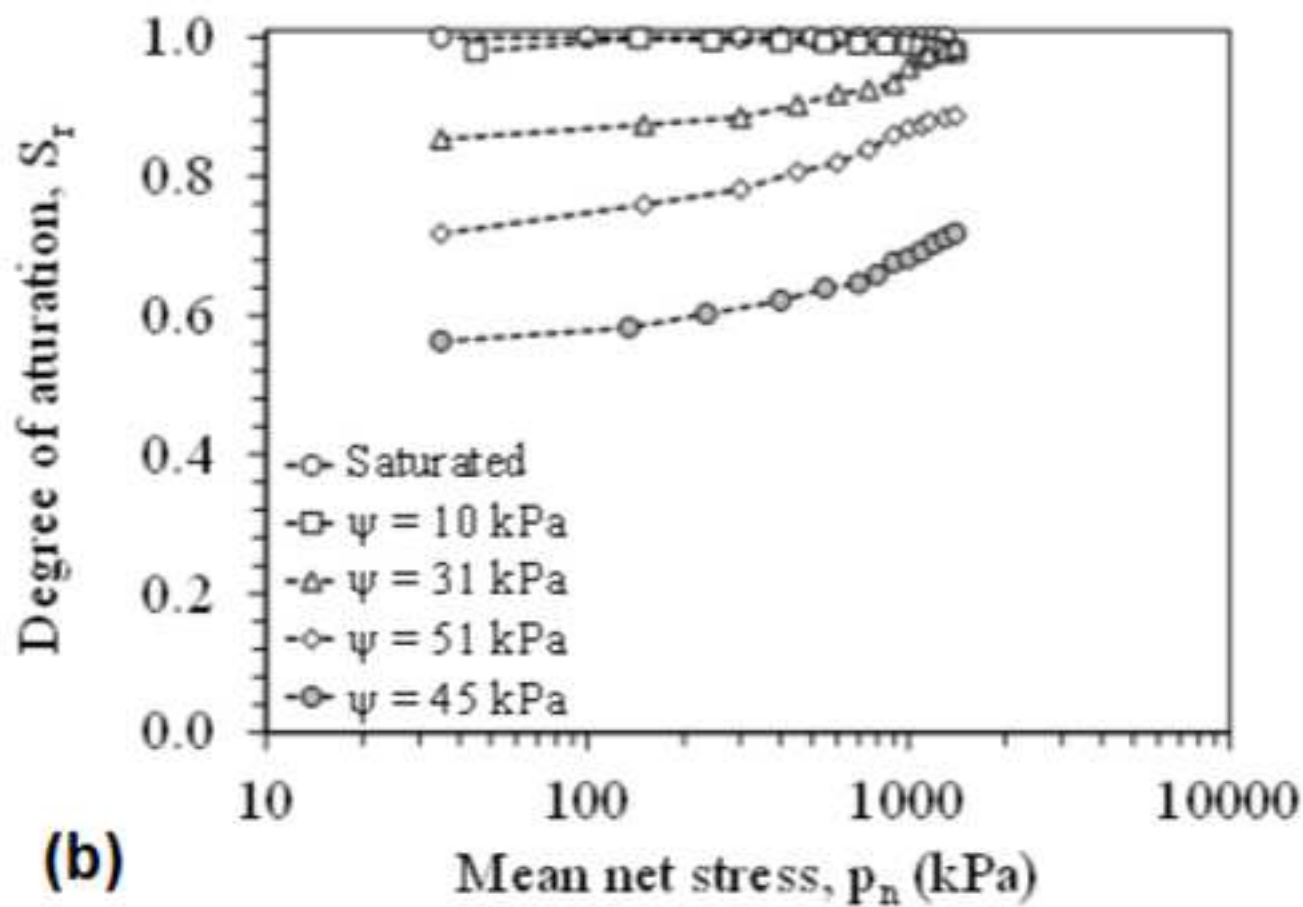
(a)



(b)



(a)



(b)

Figure 4
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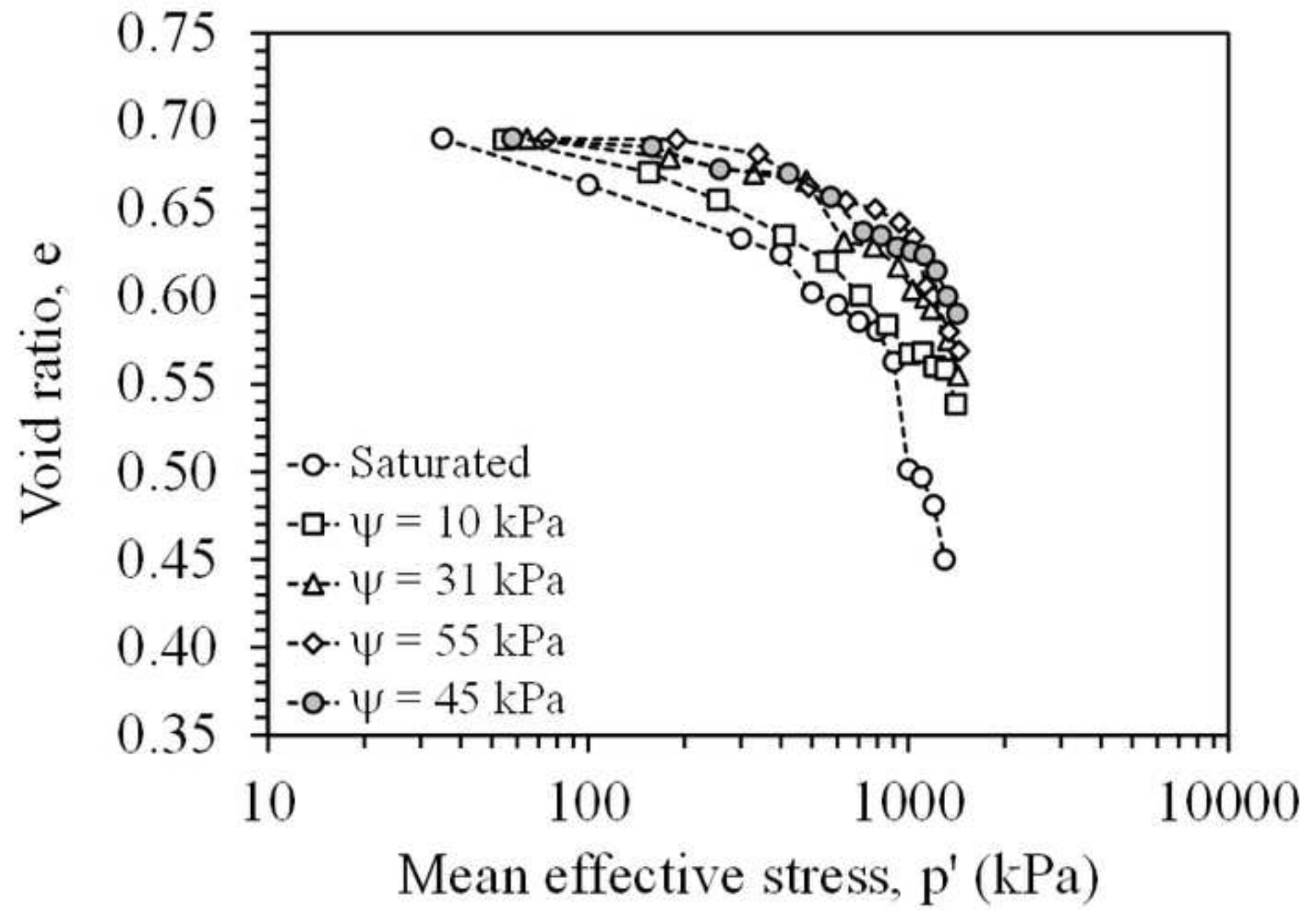


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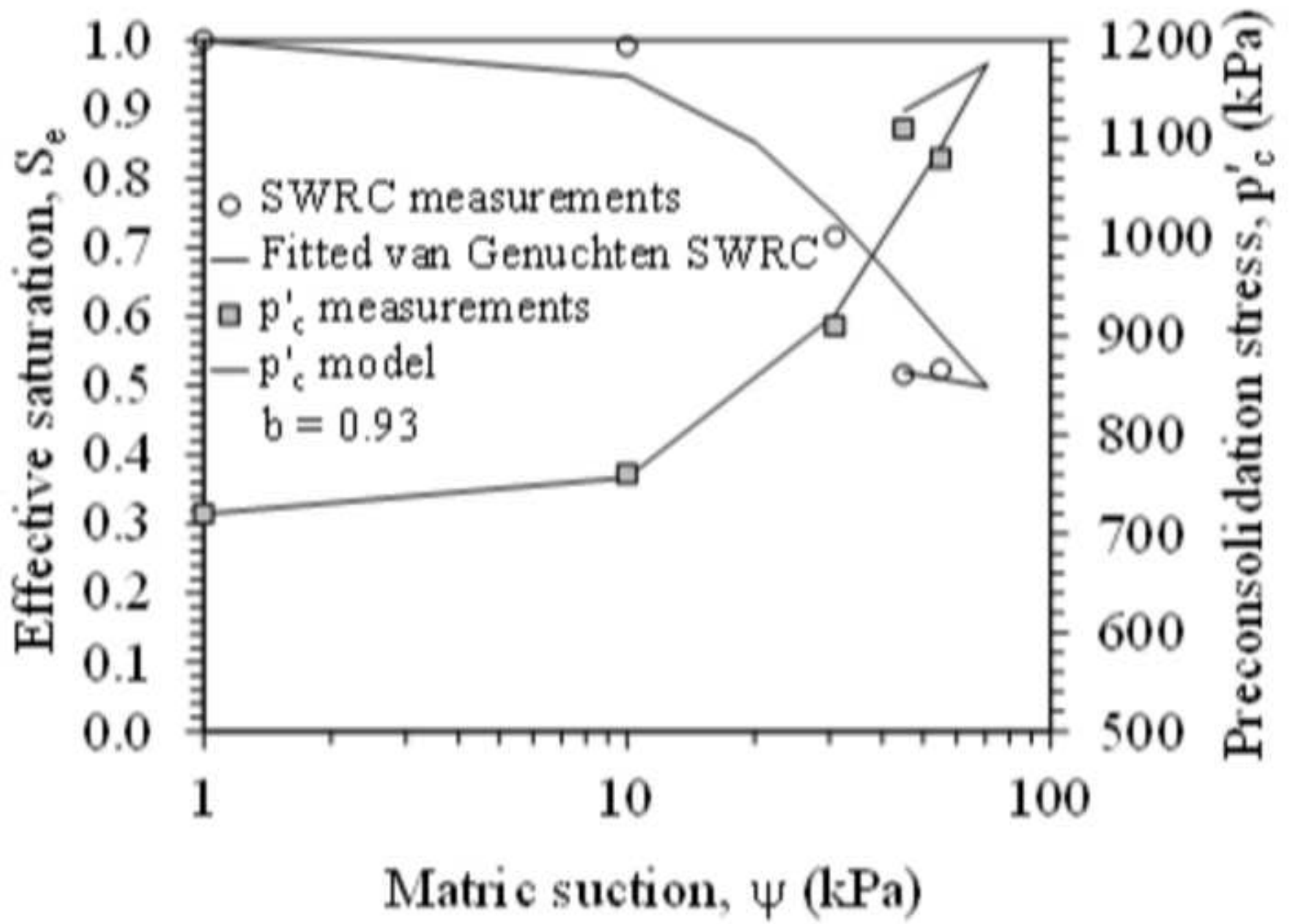


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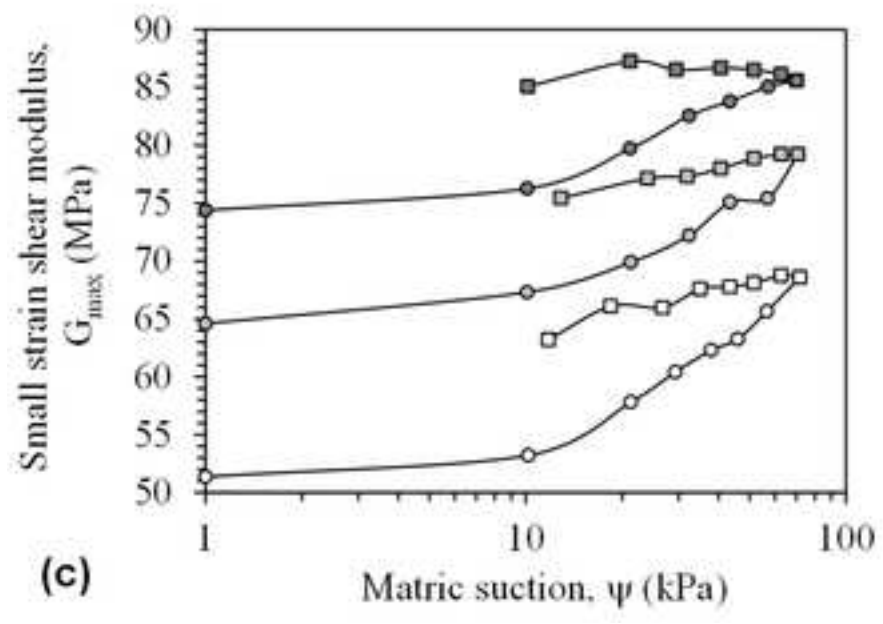
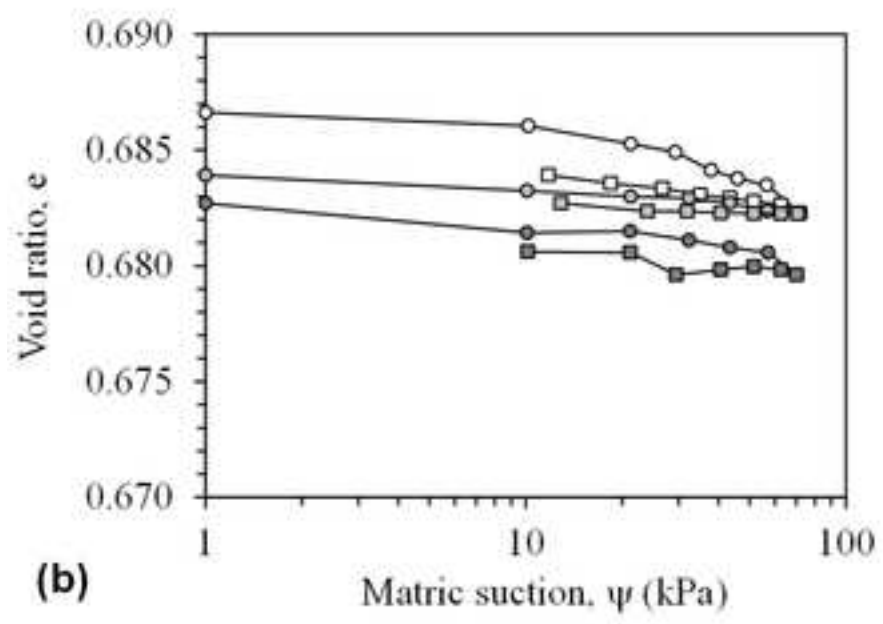
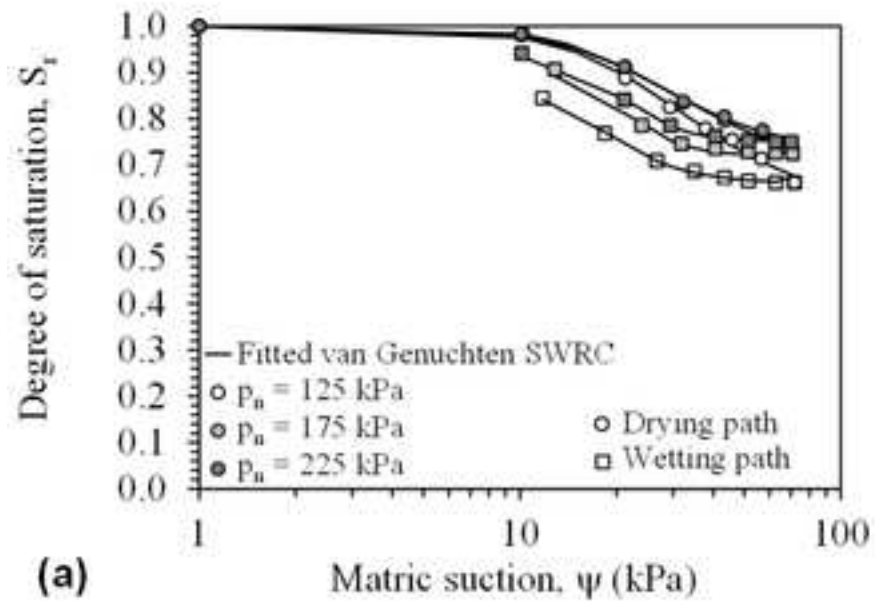


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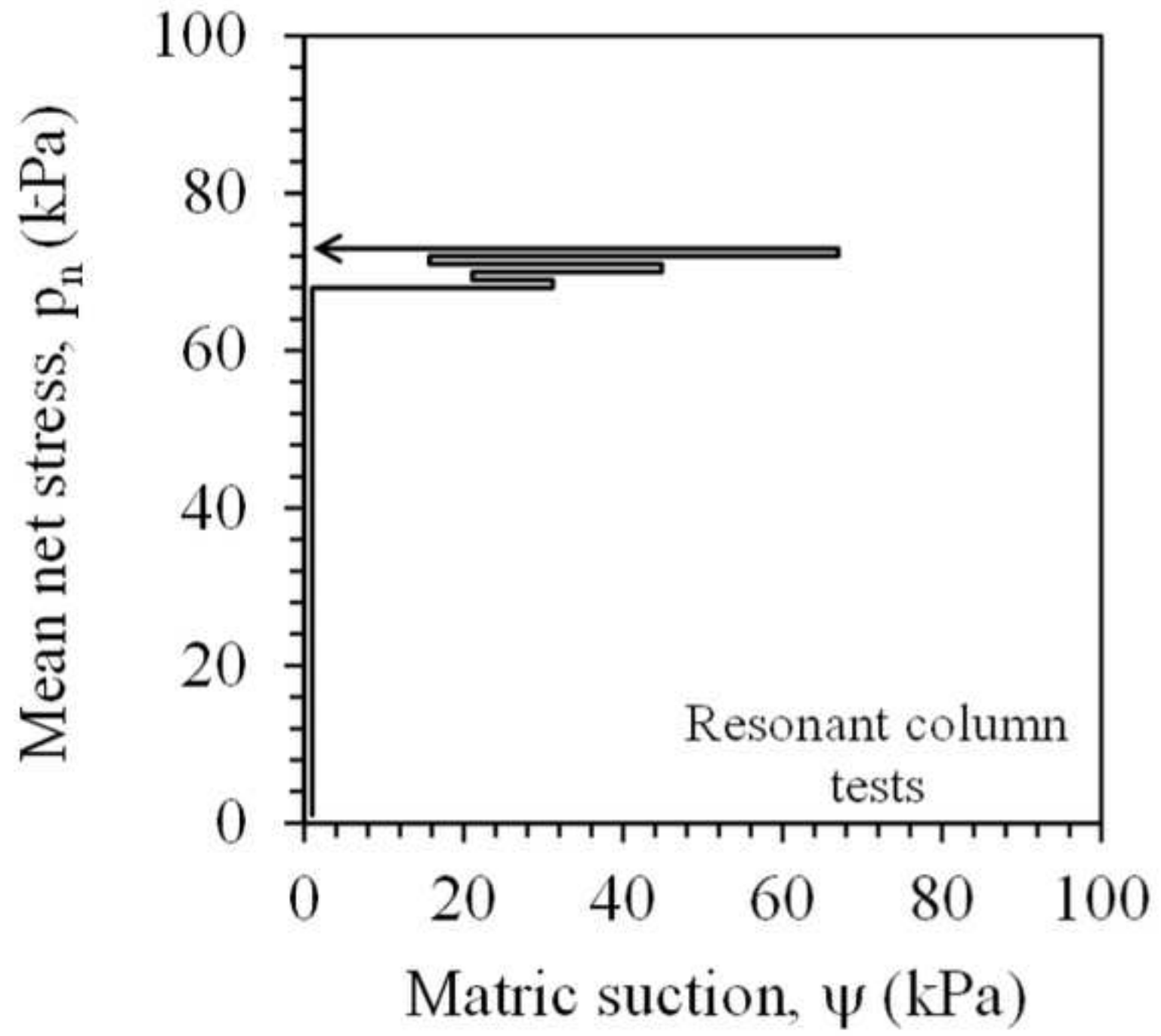


Figure 8

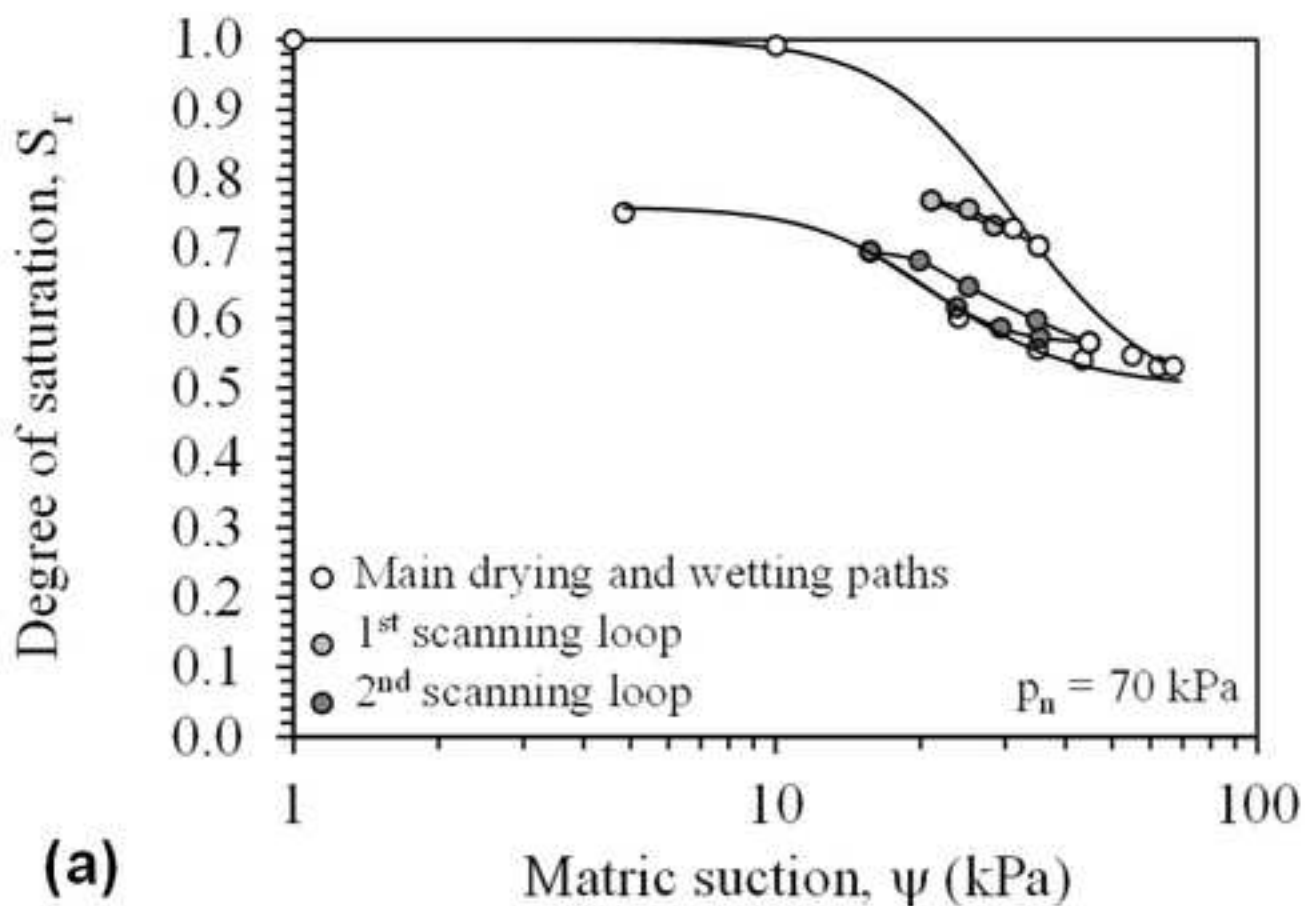
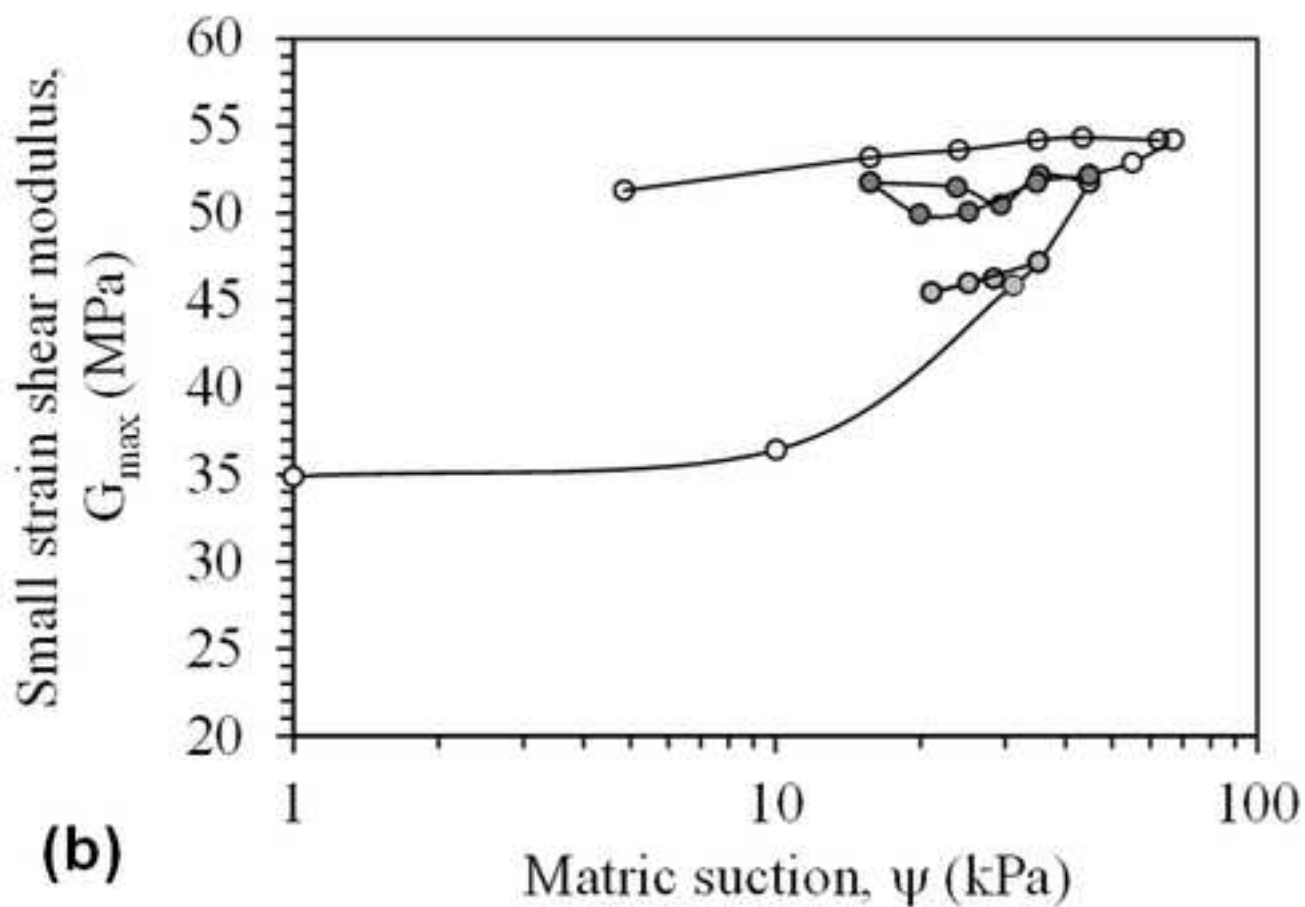
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Figure 9

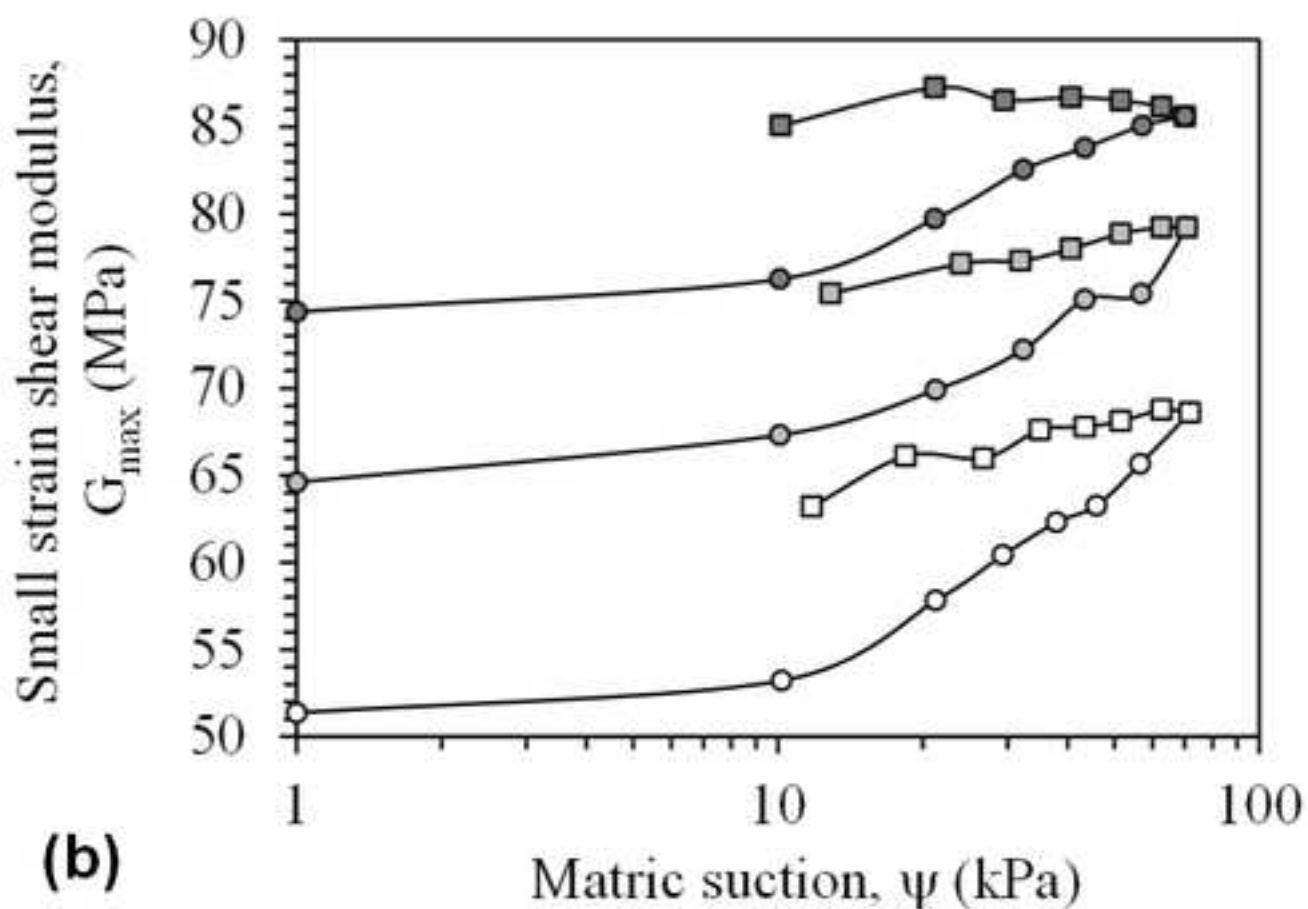
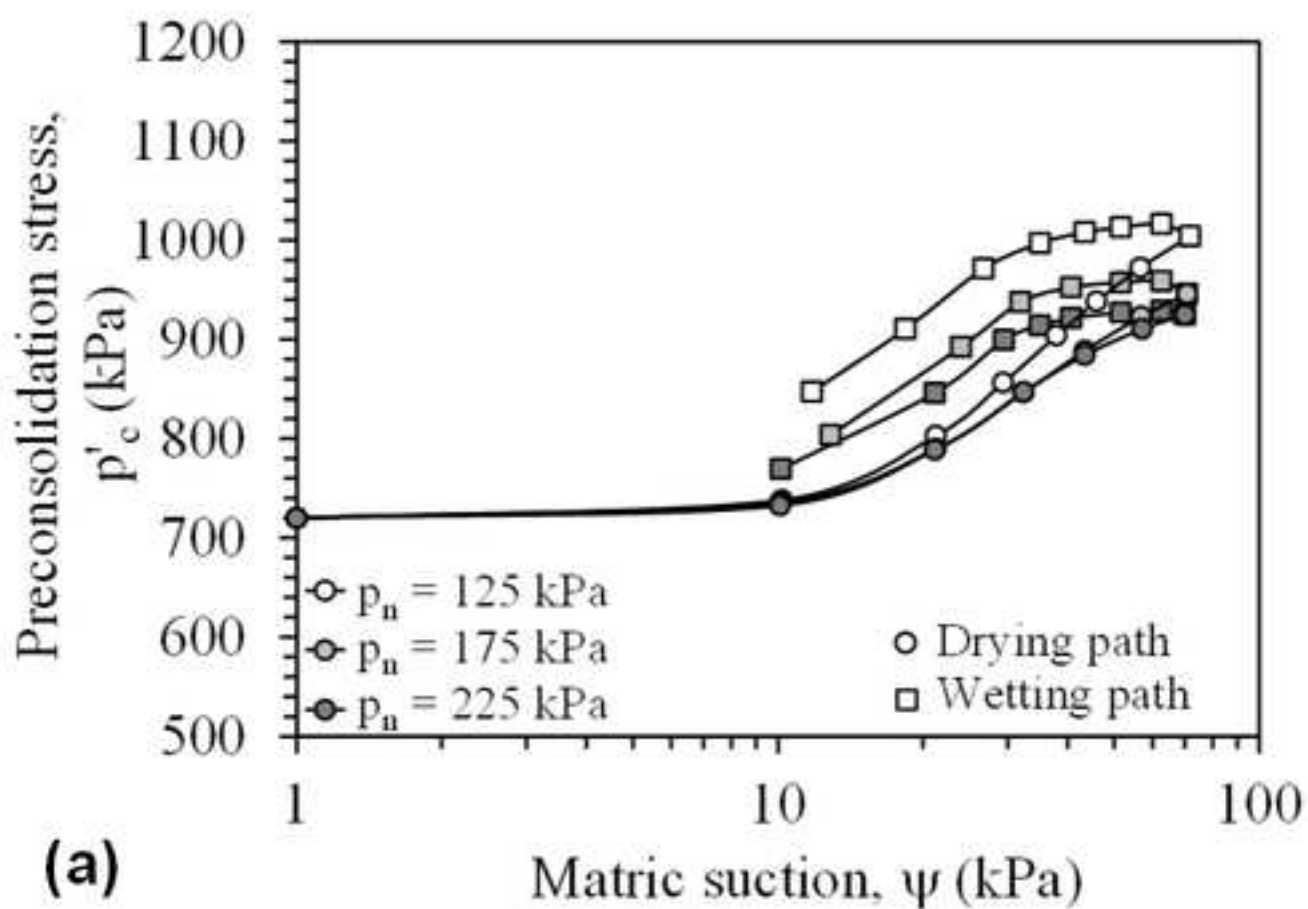
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Figure 10
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