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VIBRATIONAL RELAXATION OF MATRIX ISOLATED CH<sub>3</sub>F AND HCl

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# Lawrence Berkeley Laboratory

UNIVERSITY OF CALIFORNIA

## Materials & Molecular Research Division

**MATER**

VIBRATIONAL RELAXATION OF MATRIX-  
ISOLATED  $\text{CH}_3\text{F}$  AND  $\text{HCl}$

Linda Young  
(Ph.D. thesis)

August 1981

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Linda Young  
Ph.D. Thesis  
August 1981

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VIBRATIONAL RELAXATION OF MATRIX ISOLATED  
CH<sub>3</sub>F AND HCl

Linda Young

Abstract

Kinetic and spectroscopic studies have been performed on CH<sub>3</sub>F and HCl as a function of host matrix and temperature. Temporally and spectrally resolved infrared fluorescence was used to monitor the populations of both the initially excited state and the lower lying levels which participate in the relaxation process.

For CH<sub>3</sub>F, relaxation from any of the levels near 3.5  $\mu$ , i.e. the CH stretching fundamentals or bend overtones, occurs via rapid (<5 ns) V + V transfer to 2v<sub>3</sub> with subsequent relaxation of the v<sub>3</sub> (CF stretch) manifold. Lifetimes of 2v<sub>3</sub> and v<sub>3</sub> were determined through overtone,  $\Delta v=2$ , and fundamental fluorescence. These lifetimes show a dramatic dependence on host lattice, an increase of two orders of magnitude in going from Xe to Ar matrices. Lifetimes depend only weakly on temperature. The relaxation of 2v<sub>3</sub> and v<sub>3</sub> is consistent with a model in which production of a highly rotationally excited guest via collisions with the repulsive wall of the host is the rate limiting step.

For HCl, lifetimes of v=1,2,3 have been determined. In all hosts, the relaxation is non-radiative. For a given vibrational state, v, the relaxation rate increases in the series k(Ar)<k(Kr)<k(Xe). The dependence of the relaxation

rate on  $v$  is superlinear in all matrices, the deviation from linearity increasing in the order  $\text{Ar} < \text{Kr} < \text{Xe}$ . The relaxation rates become more strongly temperature dependent with increasing vibrational excitation. The results are consistent with a mechanism in which complex formation introduces the anisotropy necessary to induce a near resonant  $V \rightarrow R$  transition in the rate limiting step.

Dedicated to my parents

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I have profitted greatly from studying under the guidance of Brad Moore. His attitude of conducting research aimed at solving a fundamental question, rather than being a "wandering apparatus in search of a problem", is one which I will carry away. In addition, I hope to inherit his unique ability to clearly and immediately grasp the relevant factors in any given problem.

Regarding experimental techniques, special credit goes to Jay Wiesenfeld, Andy Kung, Jim Chao and Michael Diegelmann for skillful instruction in matrix isolation techniques, lasers, microcomputers and electronics, respectively. Floyd Hovis, Hai-lung Dai, Alison Abbate and Chi-ke Cheng have loyally fought on my side against malfunctioning equipment. In addition, the help of the Strauss group and Heinz Frei in FTIR moving/alignment is gratefully acknowledged.

Despite claims to the contrary, thesis writing need not be, and in my case, was not, an agonizing chore. For this I must thank the entire Moore group, whose support I will recall long after memories of sleepless nights have faded. In particular, I am grateful for the help of Wes Natzle and Joan Frisoli, both of whom almost seemed willing to write the dissertation. I will also always remember Jackie Denney's much needed encouragement at seemingly bleak moments. Perhaps most important was the constant long range source of motivation and

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CHAPTER I  
INTRODUCTION

With the advent of tunable narrow band sources the prospect of mode selective excitation and subsequent reaction has become more than just an experimentalist's dream. Studies of the influence of vibrational excitation on reactions occurring on the ground potential surface are generally free from complications due to nearby electronic states. When carried out in a cryogenic environment, translational and rotational excitation can be made negligible with respect to vibrational excitation. Thus, the relative efficiencies of various types of vibrational excitation can be readily evaluated. However, attempts at infrared laser induced chemistry in matrices have met with only a modicum of success. Turner et.al. report isomerization of  $\text{Fe}(\text{CO})_4$ , induced by one vibrational quantum in a CO ligand, to be not only isotopically and stereoselective, but also site and orientationally selective (1-3). A recent study by Frei et.al. of  $\text{NO}\cdot\text{O}_3$  pairs in matrices shows excitation of NO causes reaction whereas that of the  $\nu_3$  mode of  $\text{O}_3$  does not, despite the fact that both excitation frequencies exceed the accepted gas phase activation energy (4). However, numerous other systems have been tested by Turner with a singular lack of success. A better understanding of the competition between reaction and relaxation is needed in order to be able to design reaction

systems with reasonable chances of success.

With this in mind, these studies are aimed at elucidating the fundamental processes involved in the dissipation of vibrational energy of small molecules isolated in inert gas matrices. Systematic studies of non-radiative relaxation in matrix isolated molecules have begun fairly recently, leading to qualitative correlations between guest/host properties and the relative propensities of the various decay channels (5,6). The vibrational energy of a matrix isolated molecule may be lost in a variety of ways, namely:

- 1) The molecular vibration may be directly coupled to the lattice, leading to the creation of many bulk phonons upon loss of a vibrational quantum.
- 2) The vibrational energy may decay into modes localized at the guest site, i.e. guest rotation or translation.
- 3) The vibrational quantum may be resonantly transferred by long range dipole-dipole coupling to another guest.
- 4) The vibrational energy may be non-resonantly transferred to a chemically different guest species.
- 5) Polyatomic molecules may lose vibrational energy by intramolecular V-V processes.
- 6) The molecular vibrations may decay radiatively.

All of the above processes have been observed (5).

For isolated diatomics the following trends are beginning to emerge. First, low moment of inertia hydrides tend to relax their vibrational energy by coupling initially to a localized rotational motion of the guest. Therefore, vibrationally excited molecules such as HCl (7), NH (8,9),

OH (10) and their deuterated analogs, predominantly decay by production of a highly rotationally excited species in the next lower  $v$  level. On the other hand, the relative importance of the rotational channel is reduced in heavy molecules with large moments of inertia and closely spaced rotational levels. Thus, the molecules  $S_2(P^3\Sigma^-)$  (6) and  $C_2^-(B^3\Sigma^-)$  (11) relax their vibrational energy via a multiphonon mechanism, involving either bulk or local phonons.

In polyatomics, the situation is more complex due to the added decay channels of intramolecular V-V transfer. Studies of the lowest lying vibrational levels of  $CH_3F$  and  $CD_3F$  (13,14) show that their behavior is similar to that of diatomics. That is, relaxation is thought to proceed through high rotational levels of these low moment of inertia guests. However intramolecular V-V processes are still poorly understood. Intermodal V-V transfer is much less efficient in  $ClCF$  (12) than CNN and NCO (13,14). The different behavior is attributed to the Fermi resonance between the bending overtones and stretching fundamentals of CNN and NCO. Due to the lack of data on polyatomics propensity rules can only be suggested on the basis of gas phase  $V + V$  transfer data.

Even after the deactivation pathway for a particular guest-host system is determined, the fundamental question remains of what forces are responsible for relaxation. Indeed, an isolated small molecule cannot decay non-radiatively, thus relaxation is a sensitive function of the guest-host interaction potential, although empirically

determined correlations neglect this (5) and concentrate on isolated guest and host properties. Similarly, the observed spectroscopic shift from gas phase is extremely sensitive to this potential. An interesting question is whether or not forces determined spectroscopically can be used to predict relaxation behavior. In other words, is the potential sampled spectroscopically that responsible for relaxation as is commonly assumed in theoretical treatments (15-18). A useful test of this is the variation of host for a particular guest molecule.

In this thesis, experiments determining the vibrational relaxation mechanisms for the ground electronic states of  $\text{CH}_3\text{F}$  and  $\text{HCl}$  isolated in inert gas matrices will be described. Chapter II is a brief description of experimental techniques; Chapter III kinetic and spectroscopic results for  $\text{CH}_3\text{F}$  in Ar, Kr and Xe; and Chapter IV, kinetics and spectroscopy of  $\text{HCl}$ ,  $v=1,2,3$  in Ar, Kr and Xe matrices.

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## CHAPTER II

### EXPERIMENTAL

#### A. Matrix preparation

Matrices were prepared by deposition of high pressure pulses (50-150 torr, 12 cm<sup>3</sup>, 4/min) of a premixed gaseous sample onto a target mounted in an Air Products Inc. Model CSA 202 closed cycle helium refrigerator. The spray-on orifice consists of 1/4 in. OD stainless steel tubing terminated 3/4 in. from the target. The uniformity of the matrices is strongly dependent on the temperature of the target during deposition. Too high a temperature results in poor isolation, whereas too low a temperature yields matrices which are highly scattering. For Ar, Kr and Xe hosts, the deposition temperatures used were 9, 20 and 25 K respectively. The target temperature could be raised from a minimum temperature of ca. 9 K to 300 K by increasing the effective heat load. This was accomplished by passing DC current through a nichrome wire wrapped around the copper block into which the target holder was screwed. The temperature was monitored by a KP vs. Au 0.07 at% Fe thermocouple sandwiched between the target and its holder. The thermocouple was referenced to an ice-water bath and the output read on a microvoltmeter. Temperature stability ranged from  $\pm 0.3$  K at the lowest temperature to  $\pm 0.1$  K at 40 K. An equilibration time of 20 minutes at the deposition temperature was found to be necessary to prevent "crown" formation due to a thermal gradient between the

center and edges of the substrate causing a preferential accumulation at the cooler substrate edges for the heavier gases.

The gases used were HCl (Matheson Electronic Grade, >99.99%), CH<sub>3</sub>F (Matheson >99.0%), Ar (Matheson Ultra-high Purity, >99.9995%), Kr (Airco, >99.995%), Xe (Airco, >99.995%). HCl and CH<sub>3</sub>F were purified by fractional distillation from 135 K (isopentane/n-pentane slush) to 77 K. Kr and Xe were subjected to two freeze-pump-thaw cycles before use. Pressures were measured using a mercury triple McLeod gauge and mercury manometer to better than two percent. Matrix concentrations were assumed to be identical to those of the gaseous mixture, as sticking coefficients for the components are estimated to be unity. A more detailed description of the gas handling system, cryostat and temperature controlling apparatus can be found in Ref. 1.

The purity, hence suitability for relaxation experiments, of each matrix was determined by infrared absorption spectroscopy using either a Nicolet 7199 FTIR or, in earlier experiments, a Beckman IR-12. The major contaminant is air, which is readily identified by strong H<sub>2</sub>O or H<sub>2</sub>O-HCl absorptions. Typically, the deposition was interrupted once to take a preliminary spectrum of the sample to determine whether or not continued deposition was worthwhile.



## B. Spectroscopy

High resolution spectroscopy of the fundamental and first overtone regions of HCl and CH<sub>3</sub>F/Ar,Kr,Xe is now routinely possible using a Nicclet 7199 FTIR. It is necessary to suspend the cryostat and its interconnecting hoses independently of the optics bench of the FTIR in order to prevent disturbance of the travel of the moving mirror by cryostat vibration. Such coupling leads to an erroneous and noisy spectrum. A detailed description of the principles and operating procedures can be found in Ref. 2.

Various detector, beamsplitter and source combinations can be used to optimize S/N in a given spectral region. For the 4000-400 cm<sup>-1</sup> region, the most commonly used combination was a HgCdTe detector, KBr beamsplitter and Globar source. In the 6000 - 3200 cm<sup>-1</sup> region an InAs detector, KBr beamsplitter and tungsten halogen source were used. This combination was found to be optimal for observing the 5600 cm<sup>-1</sup> region of the first overtone of HCl, both in terms of S/N and ease of interchangeability with mid-IR optics.

## C. Fluorescence

### 1. Excitation Sources

#### a) 1.4 - 4.0 $\mu$

For absorption frequencies between 1.4 and 4.0  $\mu$ , the angle-tuned Nd:YAG-pumped optical parametric oscillator was used as the excitation source. The 1.06  $\mu$  pump beam was produced by a Raytheon SS404 Nd:YAG oscillator-amplifier

system. This system is described in detail elsewhere (3). Design details of the optical parametric oscillator can be found in Ref. 4. The theory of optical parametric oscillation is described in a number of review articles (5,6).

There is a problem with optical damage occurring on the output face of the  $\text{LiNbO}_3$  crystal. Since the damage threshold of  $\text{LiNbO}_3$  is roughly  $200 \text{ MW/cm}^2$  ( $2\text{J/cm}^2/10\text{ns}$ ), the spatial mode quality of the pump beam is of crucial importance. Hot spots in the beam which do not exceed the damage threshold will nevertheless increase walk-off effects in the phase matching process, leading to decreased conversion efficiency. The  $1.06 \mu$  spatial mode can be quantitatively observed using a conventional closed circuit TV camera (Hitachi CCTV, HV-62U) equipped with a 10 mm extender. The camera is focussed on a dispersing screen, i.e. a ground glass slide, located approximately two inches away, upon which a small fraction of the pump beam is incident. The output of the camera is in horizontal raster scan format (525 lines/frame, 15.75 KHz sweep) such that the vertical profile is viewed on an oscilloscope as the envelope of the individual horizontal profiles. The horizontal profile is readily observed with an appropriate trigger delay and time scale. In practice this is only necessary after complete realignment of the Nd:YAG pump system. Routinely, the spatial mode of the  $1.06 \mu$  pump is observed either as a burn spot on photographic paper or in the visible after doubling in Type I KDP. Continuous,

damage-free operation is possible at pump energies up to 180 mJ/pulse when the 1.06  $\mu$  pump beam is of good quality and the crystal is free of surface and bulk defects. Typical operating parameters are shown in Table I and Fig. 1.

b) 1.2  $\mu$  sources

The initial source of 1.2  $\mu$  radiation consisted of a second LiNbO<sub>3</sub> crystal used to double the output idler beam from the OPO. The vertically polarized signal (1.9  $\mu$ ) and idler (2.4  $\mu$ ) beams were focussed by a 25 cm quartz lens placed 20 cm from the OPO output coupler into the second LiNbO<sub>3</sub> crystal, located 30 cm from the lens. Rotation of the z axis about a horizontal lab axis brings the second crystal in to the phase matching condition,  $n_e(2\omega, \theta_m) = n_o(\omega)$ . The 1.2  $\mu$  output can be monitored visually with the aid of an IR phosphor card. Directly after the doubling LiNbO<sub>3</sub> crystal a KDP crystal was placed to act as a filter for the unwanted radiation (2.4  $\mu$ , 1.9  $\mu$ ). Using KDP as a filter had the added advantage of doubling the desired 1.2  $\mu$  output 0.6  $\mu$ , thus facilitating further alignment. Typical operating parameters were OPO output (1.9+2.4  $\mu$ ) = 10-25 mJ/pulse, 1.2  $\mu$  output = 0.4-1.0 mJ/pulse, 1.2  $\mu$  linewidth (with etalon in OPO cavity) = 0.5  $\text{cm}^{-1}$ .

A more powerful source of 1.2  $\mu$  was ultimately used for most of the experiments. The output of a Quanta-Ray Model DCR Nd:YAG laser, HG-1 Harmonic Generator and PDL-1 pulsed dye laser was used to stimulate Raman shifted emission from a high pressure gas. The theory of stimulated

Raman scattering is well documented in Refs. 6,7 and 8. The high pressure gas cell was 1 m in length, fitted with 1/2 in thick x 1 in diameter suprasil windows, a 0-1500 psi pressure gauge and standard Hoke valves. Focussing of the pulsed dye laser output to the center of the Raman cell using a 50 cm quartz lens can produce usable output up to the fifth antistokes and down to the third stokes line. The focussing geometry and pressure of the scattering medium can be varied to optimize the output of the forward scattered wavelength of interest. In general, higher pressures are found to enhance the first stokes output in accordance with the equation for gain

$$g_S = \frac{4 \lambda_S^2 N (d\sigma/d\Omega) I_P}{n_S k \omega_P \Delta\omega_R} \quad (1)$$

$$P_S(l) = P_S(0) \exp(g_S l) \quad (2)$$

where N = population density of scatterer (e.g. H<sub>2</sub>)

$\Delta\omega_R$  = FWHM Raman linewidth

$d\sigma/d\Omega$  = spontaneous Raman cross section

$\lambda_S$  = stokes wavelength

l = length

$I_P$  = pump intensity.

At high intensities of the first stokes line, a four wave mixing process generates radiation at the second stokes and first anti-stokes frequencies, which act as sources for the generation of higher order stokes and anti-stokes lines. In practice, the desired output at the second stokes frequency showed a monotonic increase to an upper pressure of 425 psi. A further increase of pressure tended to decrease

the higher order anti-stokes output due to the increased dispersion at the higher frequencies. Again, spatial mode quality of the input beam was of crucial importance as the threshold defined as the gain required to achieve an output power at the Stokes field comparable to the pump input can be quite high. For SRS initiated from the initial spontaneous Raman noise level,  $P_S(0) \approx h\nu_S \approx 10^{-10}$  W, in the visible, the gain required to reach 1 MW is  $g_S l = \ln(P_S(l)/P_S(0)) = \ln(10^6/10^{-10}) = 36$ . For  $l = 100$  cm,  $g_S/l = 1.5$  cm/GW ( $H_2$  Q(1) @  $\lambda_p = 514.5$  nm) this implies  $I_p = 240$  MW/cm<sup>2</sup>. Focussable energy therefore is the key ingredient for efficient conversion. Typically, Rhodamine 640 is pumped with 200 mJ of 532 nm to give 50 mJ @ 600 nm with a linewidth of  $<1.0$  cm<sup>-1</sup>. This in turn yields 2-3 mJ of output at the second stokes line in  $H_2$  at 400 psi, measured after a Corning filter 7-56 (70% T @ 1.2  $\mu$ ). The frequency profile of the second stokes output was measured on a 3/4 m SPEX monochromator and is shown in Fig. 1.

## 2. Detectors

The theory and operation of photoconductive infrared detectors has been amply discussed elsewhere (9,10,11). Hg:Ge and Cu:Ge detectors were used for the HCl and CH<sub>3</sub>F experiments, respectively. As these two experiments probed vastly different time regimes and spectral regions, the post detector electronics and pre-detector spectral filters were matched accordingly.

The conditions under which the two detectors were operated are summarized in Table II. Post-detection electronics were modified to ensure that electronic distortion of the signal was minimized. The preamp circuit used to impedance match the detector, essentially a high output impedance current source, to the 50  $\Omega$  input impedance wideband amplifier is shown in Fig. 2. The high and low frequency responses of this circuit can be determined by varying the parameters  $R_L$ ,  $C_C$  and  $R_p$ . They are given by

$$\tau_{\text{high}} = (C_S + C_d) \times (R_L \parallel R_d \parallel R_p) \quad (3)$$

$$\tau_{\text{low}} = C_C (R_L \parallel R_d + R_p) \quad (4)$$

Note that  $R_L$  and  $R_p$  can be interchanged with no effect on the high frequency response of the system. Also note that with this interchange, the low frequency response can still be set arbitrarily by varying  $C_C$ .

The high frequency response of the detectors was determined by scattering 3.5  $\mu$  OPO radiation (FWHM 8 ns) onto the

detector element. The resulting waveforms were digitized and analyzed using a Tektronix 7912AD transient digitizer and LSI-11 microcomputer, as shown in Fig. 3. It was of interest to operate the Cu:Ge detector used in the CH<sub>3</sub>F studies at the highest speed possible. Though doped germanium detectors have been reported to have intrinsic recombination times of less than 2.2 ns (12), this particular element exhibited an intrinsic response of 15 ns. This was determined by fitting the tail of the deconvolved response to a single exponential decay. Deconvolution of the detector response from the input signal to yield the impulse response was done by standard Fourier transform techniques.

$$x(t) * h(t) = y(t) \quad (5)$$

$$X(\omega)H(\omega) = Y(\omega) \quad (6)$$

$$h(t) = \int_0^{\infty} \exp(i\omega t) H(\omega) d\omega \quad (7)$$

where  $x(t)$  = input signal  
 $h(t)$  = impulse response, calculated from Eqns.(6)  
 and (7)  
 $y(t)$  = output signal

The results for a 511  $\Omega$  load are shown in Fig. 4. The exponential tail does not change appreciably upon deconvolution although the pulse width is decreased by 15%. Digital high frequency filtering is responsible for the finite rise and oscillatory behavior of the deconvolved response. Decreasing the filtering leads to an extremely noisy deconvolved response which limits the usefulness of the technique.

Decreasing the load to  $50 \Omega$  had no effect, implying that the intrinsic recombination time of electron-hole pairs had been reached.

The low frequency time constants of the systems were measured by chopping a heat lamp at ca. 5 Hz and observing the decay from the initial sharp rise of a square wave. The results were 2 ms and 50 ms for the Cu:Ge and Hg:Ge detectors, respectively.

Undisorted transmission to the  $50 \Omega$  input impedance wideband amplifier (RMS noise =  $60 \mu\text{V}$ , 10cps-100 megacycles referred to input) is possible by matching the output impedance of the LH0033 (output noise =  $180 \mu\text{V rms}$ ,  $I_{\text{out}} \approx 6 \Omega$ ) to  $50 \Omega$  using the  $13 \Omega$  resistor in series after the output as shown in Fig. 2. This drops the effective signal by almost a factor of 2, hence should be used only under conditions of high S/N.

#### D. Experimental Set Up

##### 1. Vibrational relaxation

The overall experimental set up is shown in Fig. 5. The excitation sources, described in the previous section, were focussed to a diameter of roughly 8 mm at the matrix. f/1 focussing of the fluorescence onto the  $3 \times 10$  mm detector element gives a collection efficiency of roughly 1%. Samples were excited on either the front or rear surface. In general, the rear surface excitation geometry facilitated alignment, but had the undesirable effect of attenuating emission at optically thick fundamental frequencies. This



can be quite serious when both the excited and emitting states are strongly absorbing.

Signal averaging was necessary to enhance the single shot S/N. Data acquisition was performed on one of two systems: (1) Biomation 8100 transient digitizer + Northern 575 Signal Analyzer (10ns - 10s , channel, maximum sensitivity 100 mv/8 bits), (2) Tektronix 7912AD + LSI-11 micro-computer (9.8 ps - 19.5  $\mu$ s/channel, 100 mv/9 bits).

## 2. Fluorescence Excitation Spectroscopy

Fluorescence excitation spectra of the matrix isolated species were taken by monitoring the fluorescence intensity while scanning the excitation frequency. For low resolution (ca.  $0.5 \text{ cm}^{-1}$ ) experiments involving the Quanta Ray PDL-1, stepping the grating suffices to tune the dye, and hence the Raman-shifted, output. This is done under computer control as is averaging of the broadband fluorescence signal on the 7912AD. Interfacing details can be found in Ref. 13. The scanning program can be found in App. I. The general architecture of the program follows. First a reference trace is acquired and stored. After setting the grating at the starting wavelength, a suitable number of shots is averaged (ca. 64), the reference trace subtracted, the resultant waveform integrated and the integral stored in memory before stepping to the next wavelength. Upon completion of the scan the spectrum can be stored on floppy disc.

### E. Data Analysis

Fluorescence traces were analyzed by computer as the sum of exponentials by least squares fit of a theoretical function to the experimental data. Data acquired on the Biomation/Northern 575 or 575A systems were transferred to the floppy via magnetic tape, using the programs included in App. I. It should be noted that both the data output rate and format differ for the Northern 575 (9600 baud) and 575A (2400 baud) systems. The program used to transfer data from the program CTXFER.FOR.

The fitting method is quite general and can be used on any functional form. Details and a sample program are given in App. II.

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TABLE I. Typical operating parameters for Nd:YAG pumped OPO

1.06  $\mu$  characteristics

---

Energy	120-150 mJ/pulse
Linewidth	0.1 $\text{cm}^{-1}$
Pulse duration (FWHM)	$\sim 15$ ns
Diameter at input	$\sim 4$ mm
Energy density	120 MW/ $\text{cm}^2$
Divergence	1 mrad

## OPO output characteristics

Signal Energy	8 mJ
Idler Energy (w/o etalon)	1.5 mJ
Idler linewidth (FWHM)	
grating only	2.0 $\text{cm}^{-1}$
grating + etalon	0.2 $\text{cm}^{-1}$
Pulse duration (FWHM)	8 ns
Divergence	10 mrad

---

TABLE II. Typical operating parameters for IR detectors

	3x10 mm Cu:Ge	3x10 mm Hg:Ge
Bias	-45 V	-45 V
R <sub>L</sub>	28.9 k $\Omega$ (511 $\Omega$ )	12 k $\Omega$
SCNC	2.5 x 10 <sup>-12</sup> amp/ $\sqrt{\text{hz}}$ Q ~ 10 <sup>18</sup> sec <sup>-1</sup> cm <sup>-2</sup> (0-16 $\mu$ , BaF <sub>2</sub> )	7.3 x 10 <sup>-12</sup> amp/ $\sqrt{\text{hz}}$ Q ~ 1.2 x 10 <sup>16</sup> (3-5 $\mu$ filter)
$\tau_{\text{high}}$	150 ns (15ns)	75 ns
$\tau_{\text{low}}$	2 ms	50 ms
C <sub>c</sub>	0.02 $\mu\text{F}$	0.56 $\mu\text{F}$
B=1/2 $\pi\tau$	1.06 MHz (10.6MHz)	2.1 MHz
T <sub>op</sub>	4 K	4 K

$$\text{SCNC} = N_D / [R_L (\pi B/2)^{1/2}]$$

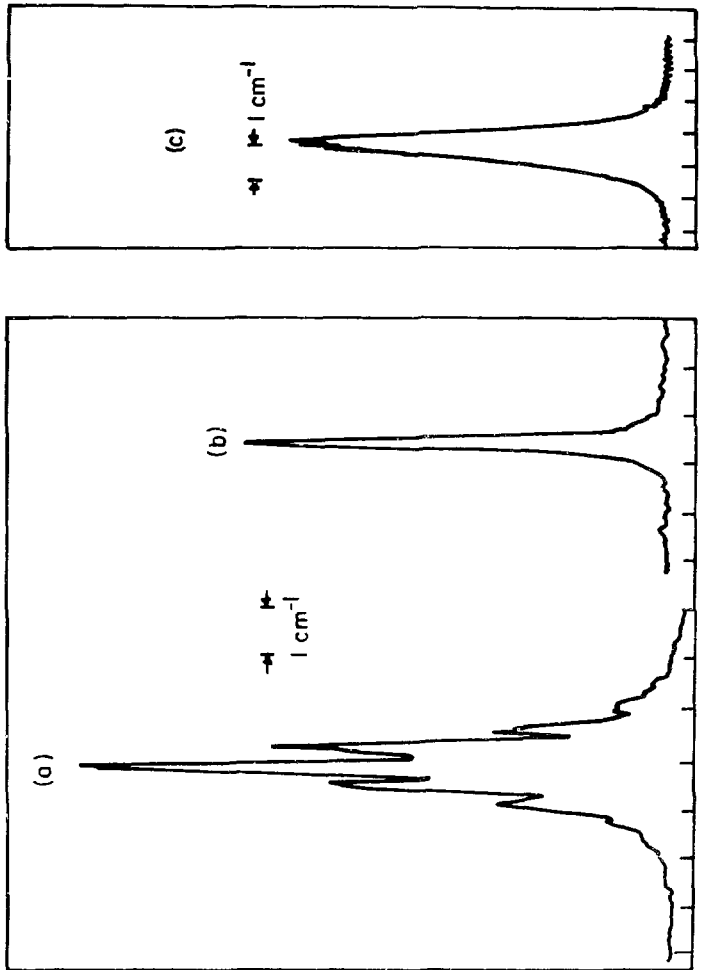
$N_D$  = detector noise

B = upper 3db frequency

Q = background flux in photons/sec cm<sup>2</sup>.

- Fig. 1: (a) Frequency profile of OPO with grating in cavity.  
(b) Frequency profile of OPO with grating and etalon (1 mm quartz, finesse = 7) in cavity.  
(c) Frequency profile of Raman shifted dye.

All determined on 3/4 m SPEX monochromator with  $0.1 \text{ cm}^{-1}$  resolution, PbSe or PbS detector.



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Fig. 2: Preamp circuit used in Cu:Ge detector.  
Capacitances in  $\mu\text{F}$  unless specified.  
Dotted lines indicate inherent or stray capacitances.



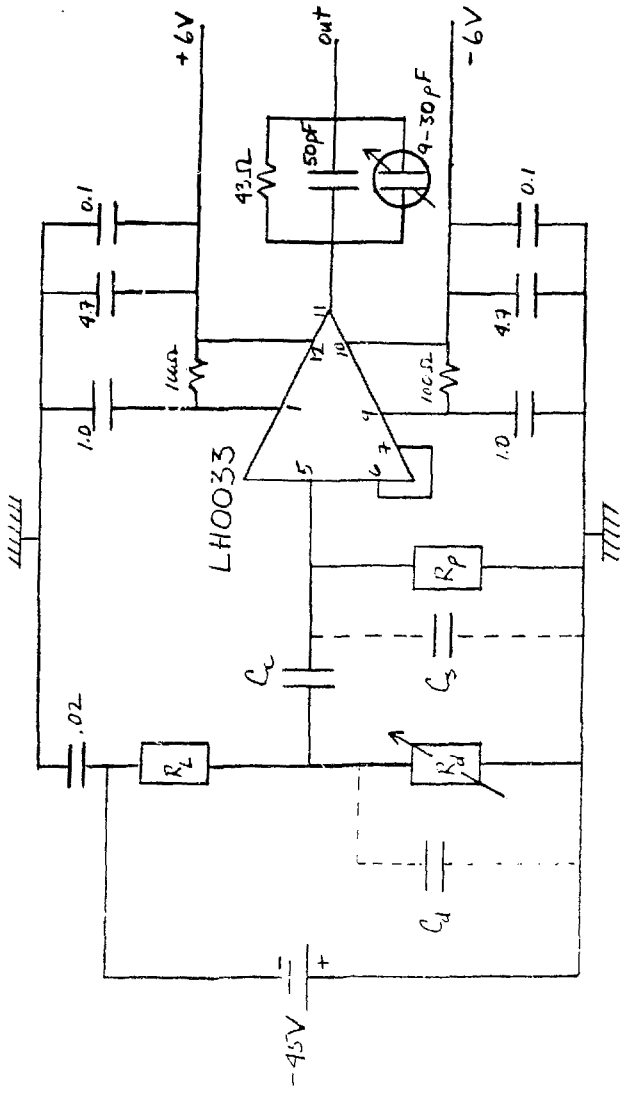
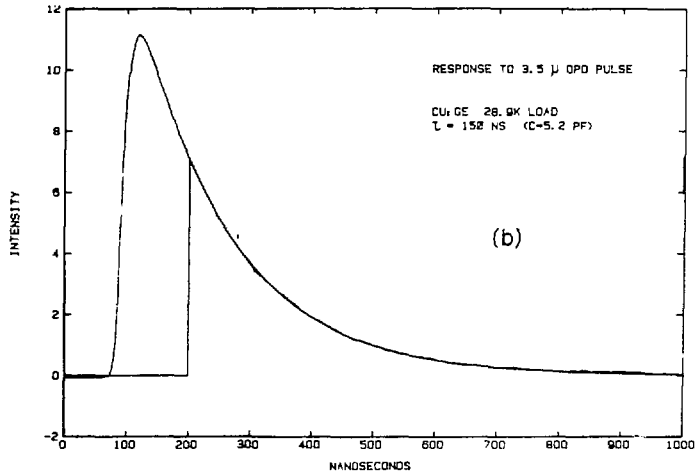
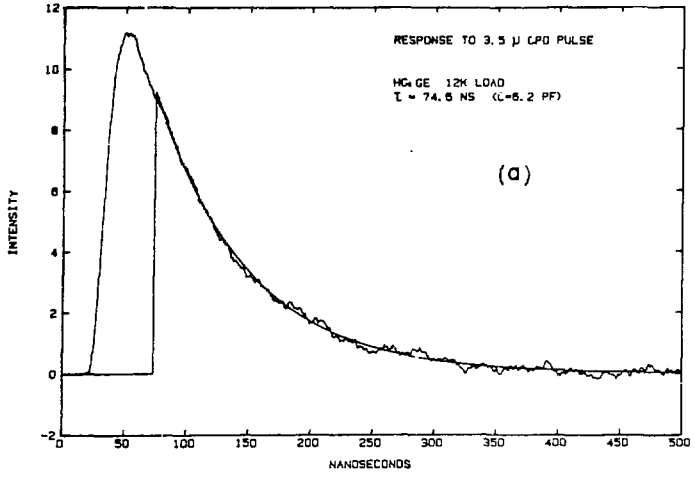
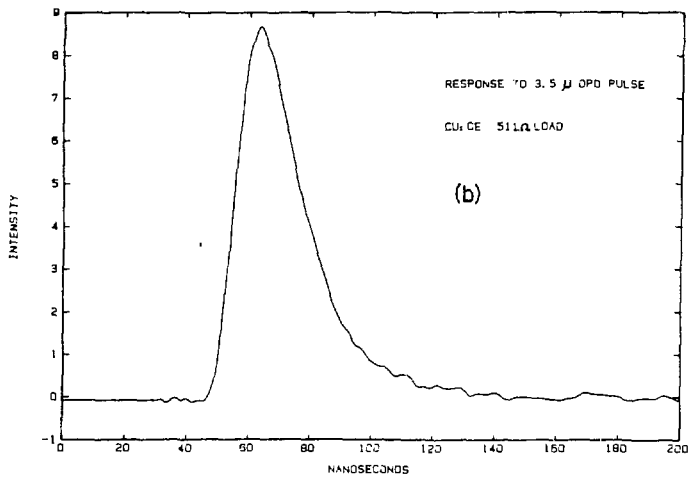
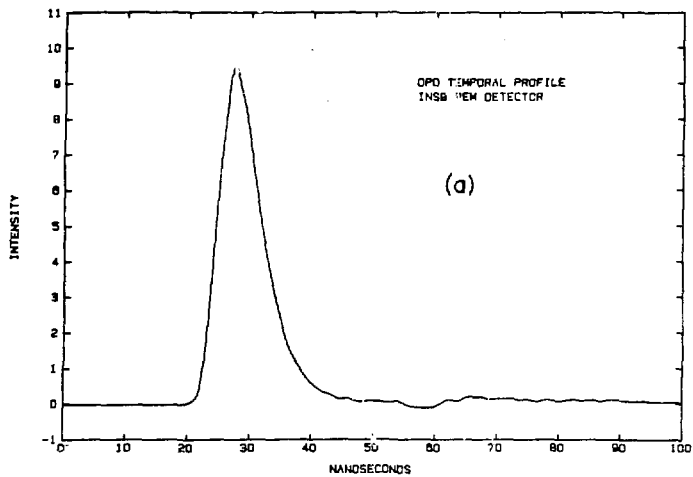


Fig. 3: (a) High frequency time constant of Hg:Ge detector.  
(b) High frequency time constant of Cu:Ge detector.



- Fig. 4: (a) Temporal profile of 3.5  $\mu$  OPO pulse =  $x(t)$ .  
(b) Response to 3.5  $\mu$  OPO pulse of Cu:Ge detector with 511  $\Omega$  load =  $y(t)$ .  
(c) Deconvolved response to 3.5  $\mu$  OPO pulse =  $h(t)$ .  
(d) Response to 3.5  $\mu$  OPO pulse, Cu:Ge detector, 50  $\Omega$  load,  $\tau = 15$  ns.



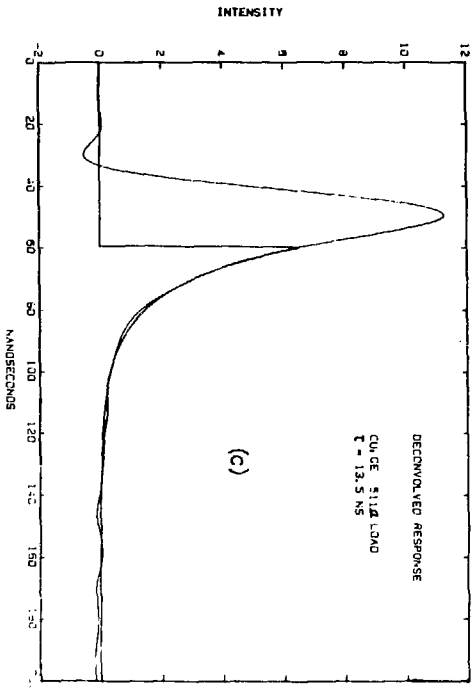
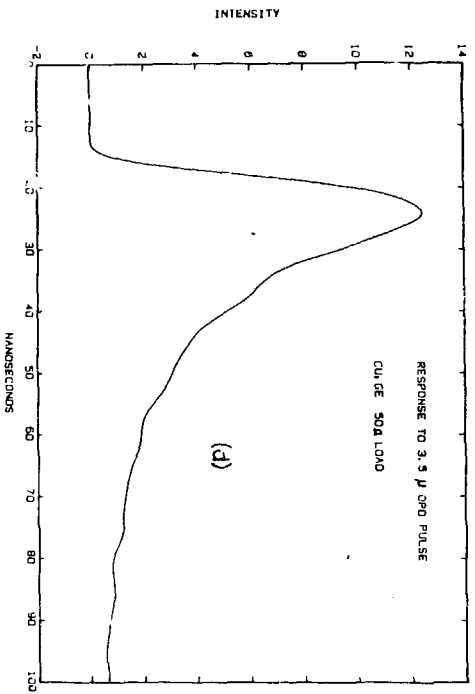
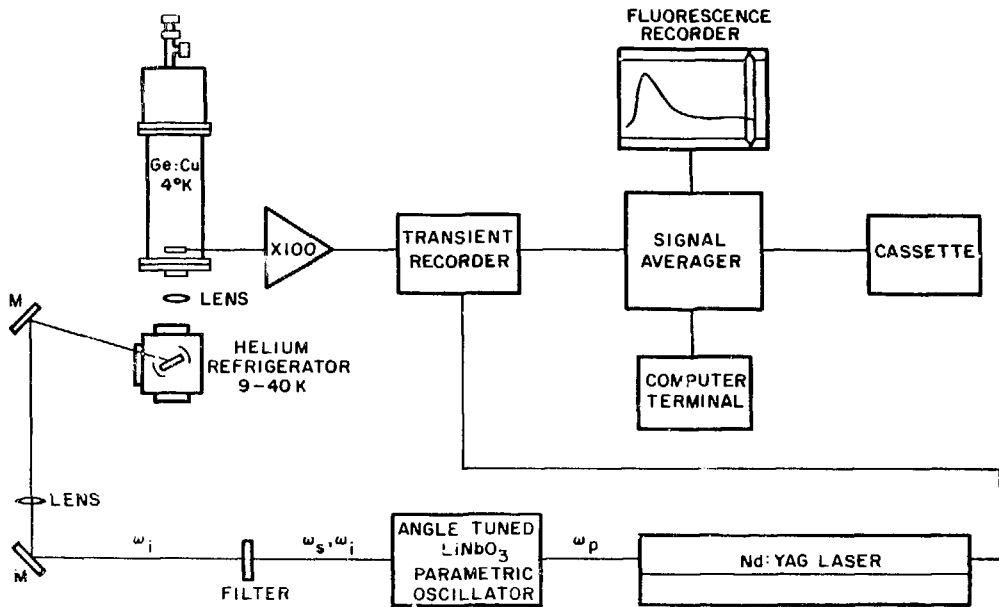


Fig. 5: General experimental schematic shown for front surface excitation. An interference filter (@ 77 K) is located inside the detector dewar to spectrally resolve the fluorescence.



XBL 817-10759



## CHAPTER III

Vibrational Relaxation of  $\text{CH}_3\text{F}$  in  
Ar, Kr, Xe MatricesI. INTRODUCTION

Recent studies are leading to a qualitative understanding of the factors governing the mechanism of vibrational relaxation of diatomics isolated in monatomic hosts. Isolated diatomic molecules may dissipate vibrational energy either directly to the host lattice, by simultaneous emission of many bulk phonons, or indirectly, via a local mode with subsequent relaxation to lattice modes. The dominant channel of non-radiative relaxation appears to be the one of lowest order, i.e. that requiring the smallest quantum number change. Hence, low moment of inertia hydrides, e.g.  $\text{HCl}$  (1),  $\text{NH}$  (2), appear to relax via localized rotational modes, whereas heavy diatomics  $\text{O}_2$  (3),  $\text{C}_2^-$  (4), probably relax via local phonon modes.

Relatively little is known about the mechanisms of vibrational relaxation of matrix isolated polyatomics, where the additional channels of intramolecular V-V transfer are present. Most studies to date have been conducted on electronically excited species by observation of vibrationally unrelaxed emission. Inefficient mode-to-mode vibrational energy transfer is observed in the  $\text{ClCF}$  radical/Ar system (5), in which the bend and high frequency stretch decay independently by a multiphonon process. On the other hand, extremely efficient intramolecular V-V processes

dominate the vibrational relaxation within the electronically excited states of matrix isolated CNN (6) and NCO (7), such that deactivation of the high frequency stretch proceeds via energy transfer to the bending mode. The drastically different behavior can be explained by allowing efficient energy transfer between levels known to be in Fermi resonance, as are the bending overtones and stretching fundamentals of CNN and NCO.

Even less is known about intramolecular V-V energy transfer in the ground electronic state. The only reported study is that of Abouaf-Marguin et.al. (8) where efficient intramolecular V-V transfer ( $<1.6 \mu\text{sec}$ ) from the  $\nu_3$  (CF stretch) to the  $\nu_6$  (deformation) was observed in  $^{12}\text{CD}_3\text{F}$  and  $^{13}\text{CD}_3\text{F}$ . This is attributed to the weak Coriolis coupling between the two states and the modest exothermicity of the process. The corresponding process in  $\text{CH}_3\text{F}$  is endothermic and therefore not observed.

Existing data are still too sparse to be able to generalize. Outstanding questions in polyatomic relaxation are 1) the relative importance of inter vs. intramolecular forces during the various stages in the relaxation process, 2) the role of symmetry in intramolecular vibrational relaxation, 3) the comparison to relaxation rates in other media, i.e. liquid and gas phase.

$\text{CH}_3^+$  is a particularly useful molecule to study with regard to these questions. The first question is addressed by systematic study of V-V and V-R,P rates as a function of

host lattice. Secondly, initial population of symmetric( $A_1$ ) and antisymmetric(E) CH stretches and deformation overtones ( $2\nu_2, 2\nu_5$ ) can be accomplished with a tunable infrared source in the  $3.5 \mu$  region. Many lower lying levels are infrared active, thus a mapping of the deactivation path is possible by the observation of vibrational fluorescence. Finally, the deactivation of the lowest lying fundamental,  $\nu_3$ , has been studied in liquid solution as well as in the matrix.

## II. EXPERIMENTAL

### A. Matrix preparation

Matrices were prepared by deposition of high pressure pulses (50-150 torr, 12 cm<sup>3</sup>, 4 per min) of a premixed gaseous sample onto a CsI target mounted in an Air Products Inc. Model CSA 202 closed cycle helium refrigerator. The spray-on orifice consisted of 1/4 in. OD stainless steel tubing terminated 3/4 in. from the target. The deposition temperatures for Ar, Kr, and Xe hosts were 9, 20 and 25 K respectively. The temperature of the target could be raised from a minimum temperature of ca. 9 K to 300 K by increasing the effective heat load through resistive heating of a nichrome wire wrapped around the copper block in which the target holder was screwed. The temperature was monitored by a KP vs. Au 0.07 at% Fe thermocouple sandwiched between the CsI target and its holder. Temperature stability ranged from  $\pm 0.3$  K at the lowest temperature to  $\pm 0.1$  K at 40 K.

The gases used were CH<sub>3</sub>F (Matheson, >99.0%), Ar (Matheson Ultra-high Purity >99.995%), Kr (Airco, >99.995%), and Xe (Airco, >99.995%). Kr and Xe were subjected to two freeze-pump-thaw cycles before use. CH<sub>3</sub>F was purified by fractional distillation from 138 K to 77 K. Pressures were measured using a mercury triple McLeod gauge and mercury manometer to better than 2%. Matrix concentrations were assumed to be identical to those of the gaseous mixture. Absorption spectra of each matrix were taken with a Nicolet 7199 FTIR in order to determine quantitatively the optical

densities for absorbing and emitting transitions.

## B. Fluorescence Experiments

The excitation source used in these experiments was a Nd:YAG pumped optical parametric oscillator. The typical pump pulse, produced by a Raytheon SS404 Nd:YAG oscillator amplifier system was 120-150 mJ at 1.06  $\mu$ , 15 ns (FWHM), 0.1  $\text{cm}^{-1}$  bandwidth, 1 mrad divergence. Typical output characteristics of the LiNbO<sub>3</sub> parametric oscillator near 3  $\mu$  were 1.5 mJ idler energy, 2.0  $\text{cm}^{-1}$  FWHM idler linewidth (w/o etalon), 0.2  $\text{cm}^{-1}$  FWHM (w/etalon), 8 ns (FWHM), 10 mrad divergence.

A photoconductive Cu:Ge detector was used to monitor infrared fluorescence in these experiments. Changing the external load resistor during the course of the experiment allowed the two complementary configurations: fast response, low sensitivity and slow response, high sensitivity, to be attained without time consuming realignment. The high frequency time constant of each configuration was measured as the response to 3.5  $\mu$  OPO radiation (FWHM = 8 ns). The values obtained were 15 ns and 150 ns for the fast and slow configurations, respectively. The low frequency cut off, measured by chopping a heat lamp and observing the deviation from a square wave, was 2 ms. Cooled interference filters (77 K) were located inside the detector dewar in order to eliminate the maximum amount of 300 K background radiation. Broadband fluorescence at 9.6  $\mu$  was isolated with a set of two filters having a combined average transmission of 70%,

center frequency at  $980\text{ cm}^{-1}$ , 10% points at  $1132\text{ cm}^{-1}$  and  $850\text{ cm}^{-1}$ . Overtone fluorescence at  $4.8\ \mu$  was isolated using a set of three filters with a combined average transmission of 59%, center frequency at  $2120\text{ cm}^{-1}$ , 10% points of  $2030\text{ cm}^{-1}$  and  $2220\text{ cm}^{-1}$  (48% transmitting at  $2064\text{ cm}^{-1}$   $\text{CH}_3\text{F}/\text{Ar}$  ( $2\nu_3 + 0$ )). Observation of  $6.8\ \mu$  fluorescence through a combination of filters and LiF flats yielded ca. 20% transmission at the  $(2\nu_{2,5} + \nu_{2,5})$  and  $(\nu_{2,5} + 0)$  transition frequencies.

A schematic of the experimental set up is shown in Fig. 1. The infrared beam was collimated with a single 2 m quartz lens to a spot size of ca. 1 cm at the sample. A 5 cm f/1 NaCl lens focussed the fluorescence onto the  $3 \times 10\text{ mm}$  detector element. Both front (Fig. 1) and rear surface excitation geometries were used in experiments. During rear surface excitation, the infrared beam is directed straight through the sample at the detector. The fluorescence signal was averaged at 10 Hz on a Tektronix 7912AD transient digitizer interfaced to a LSI-11/03 micro-computer. The resultant signals were analyzed by computer as either single or double exponential decays. Uncertainties in single exponential fits were  $\pm 5\%$  leading to an overall scatter in data of  $\pm 10\%$ . Distortion of the signal due to the finite bandwidth of the amplifiers is at most 5%.

### III. RESULTS AND ANALYSIS

#### A. Spectroscopy

The spectroscopy of  $\text{CH}_3\text{F}$  in Ar matrices (10) and of the  $\nu_3$  region of  $\text{CH}_3\text{F}$  in Kr (11) has been previously reported. Dimeric bands were identified by diffusion and concentration experiments, in agreement with previous studies (10). The centers of all observable monomeric bands as a function of host are shown in Table I. A representative spectrum is shown in Fig. 2. The linewidths of the various bands are dependent on the symmetry of the normal mode, as can be seen in the figure and Table II, where integrated absorbances and linewidths are shown for each of the bands. The problems in assigning absolute absorption intensities to matrix isolated species are twofold. First, the magnitude of the transition dipole moment of aggregates relative to monomer is generally unknown, thus absolute concentration measurements are not accurate for matrices in which polymer formation is substantial. Next, more dilute matrices suffer from problems in measuring thickness using the interference method, as surfaces parallel to an infrared wavelength are difficult to obtain for thick samples. Moreover, the density of the matrix is dependent upon the deposition temperature (17), leading to a possible systematic error in concentration measurements. The absolute intensity values shown in Table II are subject to these errors. Since the monomer and dimer contributions to overall band intensity overlap in some cases and not in others, matrices of high M/A are

required to obtain accurate relative intensities. The relative intensities for a dilute matrix,  $M/A=4940$ , normalized to the  $B_{Obs}(\nu_3)$  of Barrow and McKean, are therefore also shown. The relative intensities of the "monomer" absorption bands are subject only to errors in baseline determination and the presence of  $<2\%$  dimer. The data appear nominally consistent with that of the gas phase, but a more reliable set of gas phase cross sections is needed in order to determine whether or not transition dipole moments change between gas and matrix.

The contours of the parallel and perpendicular bands are roughly those expected for a cooled gas phase molecule. The parallel bands ( $\Delta J=0, \Delta K=\pm 1$ ) exhibit a strong Q-branch with weak shoulders to the red and blue. A rotational envelope for a perpendicular transition of  $CH_3F$  ( $\Delta K=\pm 1, \Delta J=0, \pm 1$ ) in the gas phase at 9 K is ca.  $5\text{cm}^{-1}$  FWHM, very similar to the observed  $5.2\text{cm}^{-1}$  of the  $\nu_4(E)$  vibration in Ar. Therefore it is suggested that the broad linewidth of the bands of E symmetry is due to unresolved rotational structure. The m-sub level degeneracy is lifted by the crystalline field of the lattice and the vibrational degeneracy by Coriolis coupling. These two factors, combined with line broadening by host-guest interaction serve to obscure the rotational structure predicted for a cooled gas phase molecule. Similar observations have been made in and interpretation applied to the ammonia chlorine complex (12).



## B. Intramolecular V-V Transfer

In Fig. 3, the energy level diagram of  $\text{CH}_3\text{F}$  is shown. The four accessible bands in the  $3.5 \mu$  region:  $\nu_4$ ,  $\nu_1$ ,  $2\nu_2$ ,  $2\nu_5$  are of varying symmetry, (Table I). However, excitation of any of these levels leads to identical relaxation behavior. Fluorescence is observed only from the  $\nu_3$  manifold, both as fundamental and first overtone emission. Using the 150 ns configuration, no emission was observed from the  $\nu_2$ ,  $\nu_5$  or  $\nu_6$  manifolds after averaging 640 shots. The same number of shots produced S/N of 30 for  $2\nu_3$  emission for which the Einstein A coefficient is 0.25 times that of the  $\nu_{2,5} + 0$  transition and 3.4 times that of  $\nu_6$ . This null result implies that either relaxation does not occur through these modes or that the product of relaxation time and fraction of energy relaxing through  $\nu_{2,5}$  is less than 2.5 ns, and through  $\nu_6$  less than 17 ns.

The rate of energy transfer to the  $\nu_3$  manifold is monitored by the risetime of the  $9.6 \mu$  fluorescence. This is in all cases, Ar, Kr, and Xe hosts at 9 K, similar to the time constant of the detection apparatus, 15 ns, as is shown in Fig. 4. Thus for all matrices  $\tau_{V-V} < 5$  ns.

## C. Deactivation of the $\nu_3$ manifold

The decay of emission from the  $\nu_3$  manifold was monitored with the slow high sensitivity detector configuration. Overtone fluorescence ( $2\nu_3 + 0$ ,  $4.8 \mu$ ) in all samples decayed as a single exponential, as shown in Fig. 5a. However, fundamental ( $9.6 \mu$ ) fluorescence decayed as a

double exponential, as seen in Fig. 5b. In the analysis, it is assumed that the two components of the decay are due to the transitions  $2\nu_3 + \nu_3$  and  $\nu_3 + 0$ . Thus, the rate of the fast component of the signal is fixed by the  $2\nu_3$  lifetime measured from the overtone fluorescence signal.

Excitation on the front surface of the matrix yielded rates identical to those obtained for excitation on the rear surface. The ratio of the amplitude of the fast to that of the slow component of the broadband decay,  $A_f/A_s$ , decreased upon switching from back to front surface excitation. This would be expected since optical attenuation of  $\nu_3 + 0$  emission during passage through the matrix would occur to a greater extent in the rear surface excitation geometry, where the matrix itself serves as a filter of  $1 + 0$  emission.

#### D. Host dependence of the deactivation rates

In Fig. 6 the dependence of the  $\nu_3$  and  $2\nu_3$  lifetimes on host lattice is shown. The rates, plotted as a function of the gas-matrix vibrational frequency shift, increase almost two orders of magnitude when going from Xe to Ar. This shows that the forces causing relaxation are correlated to those at the potential minimum.

#### E. Temperature dependence of relaxation rates

In Fig. 7 the temperature dependence of the  $2\nu_3$  deactivation rate is shown for the various matrices. The

absence of a dramatic dependence on temperature suggests that the relaxation does not proceed via a multiphonon mechanism (18). In Fig. 8 the temperature dependence of the  $\nu_3$  relaxation rate is shown. The trends observed for  $2\nu_3$  are also observed for  $\nu_3$ .

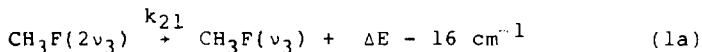
#### F. Concentration dependence of relaxation rates

In Fig. 9 the concentration dependence of the lifetimes of  $2\nu_3$  and  $\nu_3$  is shown for  $\text{CH}_3\text{F}$  in Ar. The  $\nu_3$  lifetime is seen to increase by a factor of 2 when M/A increases from 1000 to 9750, whereas the  $2\nu_3$  lifetime remains constant within experimental error.

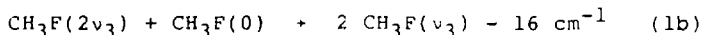
#### IV. DISCUSSION

The important features of  $\text{CH}_3\text{F}$  isolated molecule vibrational relaxation are as follows: 1) Relaxation from any of the levels,  $\nu_4$ ,  $\nu_1$ ,  $2\nu_2$  or  $2\nu_5$ , proceeds through rapid energy transfer to the  $\nu_3$  manifold. 2) There is a small increase of  $\nu_3$  relaxation rate as a function of temperature. 3) There is a dramatic effect of host lattice on the relaxation rates of  $\nu_3$  and  $2\nu_3$ . 4)  $\text{CD}_3\text{F}$  relaxes an order of magnitude more slowly than  $\text{CH}_3\text{F}$ .

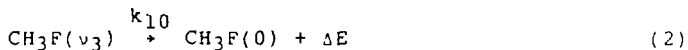
The observed fluorescence decays show that  $2\nu_3$  decays by



and/or



The relaxation is completed by



where  $\Delta E = \nu_3/c = 1040 \text{ cm}^{-1}$  in Ar.

##### A. Mechanism of isolated molecule relaxation

After initial population of one of the  $3.5 \mu$  modes, energy may be transferred to any of a number of lower lying levels, as seen in Fig. 3. Endothermic processes are extremely improbable relative to exothermic ones; rates of one phonon endothermic processes being proportional to

$n(\omega) = [\exp(-h\nu/kT)/(1-\exp(-h\nu/kT))]$  compared to  $1+n(\omega)$  for exothermic one phonon processes. A transition to  $3\nu_3$  is in all cases endothermic; the endothermicity ranges from ca.  $50 \text{ cm}^{-1}$  for  $\nu_4$  excitation to ca.  $200 \text{ cm}^{-1}$  for  $2\nu_3$  excitation. Thus the observed transfer into the  $\nu_3$  manifold must occur via the  $2\nu_3$  level. The fluorescence signal at  $4.8 \mu$  is due solely to the decay of the  $2\nu_3$  level and is described by

$$S_2 = gA_{20}N_0 \exp(-k_{21}t). \quad (3)$$

The broadband fluorescence observed at  $9.6 \mu$  is the sum of  $(2\nu_3 + \nu_3)$  and  $(\nu_3 + 0)$  emission. The broadband signal is then

$$S_b = gN_0 \left\{ [A_{21} - \delta\{A_{10}k_{21}/(k_{21}-k_{10})\}] \exp(-k_{21}t) + \delta\{A_{10}k_{21}/(k_{21}-k_{10})\} \exp(-k_{10}t) \right\} \quad (4)$$

where  $g$  = geometrical factor,

$\delta$  = optical density factor (varying from 0 to 1 as the sample goes from opaque to transparent)

$V$  = V-V equilibration parameter (varying from 1 to 2 as the percentage of molecules decaying by Process (1a) goes from 100% to 0%)

$A_{20}, A_{10}, A_{21}$  = Einstein A coefficients for  $2 \rightarrow 0$ ,  $1 \rightarrow 0$  and  $2 \rightarrow 1$  transitions, respectively.

The ratio  $k_{21} = 2k_{10}$  is assumed in the derivation of the product  $\delta\{A_{10}k_{21}/(k_{21}-k_{10})\}$  which can be evaluated from measured rate and amplitude ratios

$$\delta\xi = [2/(A_f/A_s-1)]x(1-k_{10}/k_{21}). \quad (5)$$

For the rear surface excitation geometry  $\delta$  can be evaluated for the particular mode excited using a simple one dimensional model in which non-uniform excitation and emission according to Beer's Law is taken into account

$$\delta = \frac{\int_0^1 N_0 \exp(-\gamma_{ex}(1-x)) x \exp(-\gamma_{em}x) dx}{\int_0^1 N_0 \exp(-\gamma_{ex}x) dx} \quad (6)$$

where  $\gamma_{ex}$ ,  $\gamma_{em}$  are defined by Beer's Law,  $I/I_0 = \exp(-\gamma l)$ . Observed values for a broadband signal resulting from rear surface excitation of the  $\nu_4$  mode of  $\text{CH}_3\text{F}$  in Ar are  $k_{10}=3.2 \times 10^{-5} \text{ s}^{-1}$ ,  $k_{21}=1.30 \times 10^{-6} \text{ s}^{-1}$  and  $A_f/A_s = 6.5 \pm 1.5$ . These numbers yield a value for  $\delta\xi=0.27 \pm 0.1$ . From the absorption spectrum, the value of  $\delta$  is determined from the emitting  $\nu_3$  band of this particular matrix to be 0.26. This implies that  $\xi=1 \pm 0.4$  and that (1a) is dominant, i.e. that endothermic intermolecular V-V processes are not very probable. The lack of a dramatic concentration dependence of  $\tau(2\nu_3)$  further supports a relaxation mechanism in which intermolecular V-V processes are unimportant in the deactivation of  $2\nu_3$ . In addition, if (1b) were dominant one would expect a similar temperature dependence for  $k_{21}$  in all hosts since the endothermicity of

the V-V process is essentially equal for Ar, Kr and Xe ( $\Delta E \sim 16 \text{ cm}^{-1}$ ). However, this is not observed, the variation of rate with temperature being much greater in Xe than in Ar. Furthermore, the predicted temperature dependence of an endothermic  $16 \text{ cm}^{-1}$  process,  $\Gamma \propto \pi(\omega)$ , is much greater than that observed for any host. The values reported in Table III are isolated molecule relaxation rates for  $\nu_3$  and  $2\nu_3$ .

The  $\nu_3$  lifetimes are in good agreement with those of Abouaf-Marguin and Gauthier-Roy (8) and with the less direct measurements of Axarian and Weitz (9). In the latter study strong pumping of  $\nu_3 \nu=0 \rightarrow 1$  led to population of higher levels within the  $\nu_3$  manifold via exothermic intermolecular V-V processes. Overtone emission near  $5 \mu$  was observed from molecules situated such that V-V transfer is faster than  $k_{10}$ . In such a V + V coupled system  $\text{CH}_3\text{F}(2\nu_3)$  should, and did, relax at a rate equal to  $2k_{10}$  since its concentration is proportional to the square of the  $\text{CH}_3\text{F}(\nu_3)$  concentration (9). Since  $2\nu_3$  is populated before  $\nu_3$  in the present study, the reported relaxation rates probe a vastly different population distribution within the  $\nu_3$  manifold, i.e. one in which  $\text{CH}_3\text{F}(2\nu_3)$  is isolated with respect to resonant V + V transfer to  $\text{CH}_3\text{F}(\nu_3)$ .

## B. Temperature dependence

A mechanism in which rotation is the dominant accepting mode, with the excess energy being absorbed by bulk modes, has been proposed to explain the deactivation of the  $\nu_3$  level(8). In this model, the vibrational energy is converted to the maximum amount of rotational energy consistent with exothermicity requirements. To minimize the quantum number change for  $\text{CH}_3\text{F}$ , rotation about the symmetry axis is assumed. For  $\text{CH}_3\text{F}$  this corresponds to a final rotational quantum number of  $J=14$ . Perturbations of this level by the matrix are expected to be small as an analysis of the  $\nu_3$  parallel band has shown that the barrier to rotation about the symmetry axis is quite small in all hosts (8,21). The temperature dependence of the relaxation rate can then be primarily attributed to the emission rate of one or two phonons at the mismatch frequency. The calculated temperature dependence of a process which requires the emission of one bulk phonon of frequency  $\omega$  is (1)

$$\Gamma \propto [\pi(\omega) + \epsilon]. \quad (7)$$

The calculated dependence of rate on temperature, normalized to 9 K, is shown in Fig. 7 for various phonon frequencies. The temperature variation in rates can be fit quite well with processes requiring the emission of one bulk phonon. The phonon frequency required to fit the temperature dependence systematically decreases in the series Ar, Kr, Xe as does the magnitude of the  $2\nu_3 + \nu_3$  transition frequency



The trend is also present in the  $\nu_3$  relaxation rates. It is not possible to correlate the absolute magnitude of the phonon frequency which best fits the observed temperature dependence with the energy mismatch between the final and initial states as the spectroscopy of high J states in matrices is unknown.

### C. Host effect

The relaxation rates of both  $\nu_3$  and  $2\nu_3$  show a dramatic dependence on host lattice, the rate in Ar being a factor of 30 greater than those in Xe. The trend of increasing rate with decreasing host size is consistent with a model in which short range repulsive forces are responsible for inducing relaxation, similar to the gas phase description, where a binary collision model is used to explain the relaxation. For an extrapolation between phases to be meaningful, the relaxation probability per collision should be constant for identical collision partners. The relaxation probabilities of  $\text{CH}_3\text{F}(\nu_3)$  in liquid Ar at 77 K and that in the gas phase (300 K) can be compared using a cell model for the collision frequency in the liquid phase,

$$Z = (8kT/\pi m)^{1/2} \times [2^{1/6}/\rho^{1/3} - \sigma^{1/2}]$$

where  $m = \text{CH}_3\text{F}$  mass,  $\rho = \text{liquid number density}$ ,  $\sigma = \text{collision cross section}$ . The values obtained for the relaxation probability are  $P_{\text{CH}_3\text{F-Ar}}^{\text{liq}}(77\text{K}) = 2.6 \times 10^{-7}$  and  $P_{\text{CH}_3\text{F-Ar}}^{\text{gas}}(300\text{K}) =$

$5.16 \times 10^{-6}$ . When  $kT$  is less than the two-body well depth, attractive forces influence the relaxation. For  $\text{CH}_3\text{F}/\text{Ar}$ , the well depth estimated from transport data is ca. 200 K. Thus a comparison between liquid and solid data would be more meaningful than one between high temperature gas (300 K) and liquid (77 K).

In the solid phase, the additional problem of defining a "collision" is present. Roughly the collision frequency can be estimated by the zero point motion of the guest. The frequency of this mode is estimated to be  $80 \text{ cm}^{-1}$  by fitting a harmonic oscillator potential to the two-body Lennard Jones potential near the minimum. A collision frequency of  $4.8 \times 10^{12} \text{ s}^{-1}$  is obtained after multiplying by a factor of four to account for 2 turning points and 2 translational modes effective in relaxation. The relaxation probability is then calculated to be  $6 \times 10^{-8}$  at 9 K for  $\text{CH}_3\text{F}-\text{Ar}$ , about four times smaller than the liquid phase value obtained for an assumed collision frequency of  $3 \times 10^{12} \text{ s}^{-1}$ . That the relaxation probability has increased by roughly a factor of four in going from 9 K to 77 K is encouraging in that the rates in the matrix show no great dependence on temperature. Indeed, the temperature dependence due to the emission of a  $30 \text{ cm}^{-1}$  phonon results in an increase in relaxation probability of a factor of two between 9 and 77 K when a constant collision frequency is assumed.

## SUMMARY AND CONCLUSIONS

In this work, the mechanism of relaxation from the CH stretching fundamentals and bend overtones in matrix isolated  $\text{CH}_3\text{F}$  has been determined as a function of host and temperature. Despite differences in symmetry and intramolecular coupling, all levels near  $3.5 \mu$  depopulate via the same mechanism. On the experimental time scale of 5 ns, there is no measurable effect of host or temperature on the initial step of deactivation, rapid intramolecular  $V \rightarrow V$  transfer to the  $2\nu_3$ , CF stretch overtone.

However, the rates of subsequent steps of deactivation of the  $\nu_3$  manifold,  $\text{CH}_3\text{F}(2\nu_3) \rightarrow \text{CH}_3\text{F}(\nu_3)$  and  $\text{CH}_3\text{F}(\nu_3) \rightarrow \text{CH}_3\text{F}(0)$ , exhibit a dramatic variation with host and a weak dependence on temperature. In all cases, the deactivation rates measured are non-radiative, the radiative lifetime of  $\nu_3$ , 67 ms, being roughly 500 times longer than the longest lifetime measured.

The trend of decrease in relaxation rates with increase in host mass is qualitatively explained by a model in which hard collisions with the repulsive wall of a lattice atom are responsible for the relaxation.

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Table I. Absorption band centers of  $\text{CH}_3\text{F}$  in various matrices<sup>a,b</sup> at 9 K,

Assignment	gas <sup>c</sup>	Ar	Kr	Xe
$\nu_3(\text{A}_1)$	1048.2	1040.0	1035.4	1030.1
$\nu_6(\text{E})$	1195.5	1183	1180	1177
$\nu_2(\text{A}_1)$	1475.3			
$\nu_5(\text{E})$	1471.1	1463	1459	1455
$2\nu_3(\text{A}_1)$	2081 <sup>d</sup>	2064.5	2055.5	2044.0
$2\nu_5, \nu_2 + \nu_5(\text{E})$		$2914 \pm 1$	$2909 \pm 1$	$2900 \pm 1$
$2\nu_5(\text{A}_1)$	} 2861.6	} 2863.7	} 2855.9	} 2846.5
$2\nu_2(\text{A}_1)$				
$\nu_1(\text{A}_1)$				
$\nu_4(\text{E})$	2982.2	3017.8	3009.0	2996.5

a. ( $\text{A}_1$ ), (E) denote gas phase symmetries

b. Frequencies of transitions of  $\text{A}_1$  symmetry are accurate to  $\pm 0.1 \text{ cm}^{-1}$  of E symmetry to  $\pm 0.5 \text{ cm}^{-1}$

c. Ref. 19

d. Ref. 20

Table II. Integrated absorption coefficients of bands of CH<sub>3</sub>F in (cm<sup>2</sup>/mmol)

Band	$\Delta\nu_{1/2}(\text{cm}^{-1})$	$A_{\text{total}}^{\text{a}}$	$A_{\text{corr}}^{\text{b}}$	$A_{\text{norm}}$	$A_{\text{norm}}^{\text{mon c}}$	$A_{\text{gas}}$
$\nu(A_1)$	0.5	8660 ± 400	9010	11900	11900	11900, <sup>d</sup> 10400, <sup>e</sup> 9550 <sup>f</sup>
$\nu_6(E)$	~3.0	118 ± 10 <sup>g</sup>	122	161	125 <sup>g</sup>	177, <sup>d</sup> 145, <sup>e</sup> 276 <sup>f</sup>
$\nu_2(A_1)$ $\nu_5(E)$	~1.9 <sup>h</sup>	870 ± 100	904	1190	1540	1030, <sup>d</sup> 714, <sup>e</sup> 977 <sup>f</sup>
$2\nu_3(A_1)$	0.9	153 ± 14	159	210	164	
$(\nu_2+\nu_5)$ $2\nu_5(E)$	4.0	164 ± 20 <sup>g</sup>	170	224	174 <sup>g</sup>	
$2\nu_2$	0.7	787 ± 40	818	1080	1290	8320 <sup>d</sup> 6320 <sup>e</sup> 8490 <sup>f</sup>
$2\nu_5$ (A <sub>1</sub> )						
$\nu_1$						
$\nu_4(E)$	5.2	2570 ± 460	2670	3520	4220	

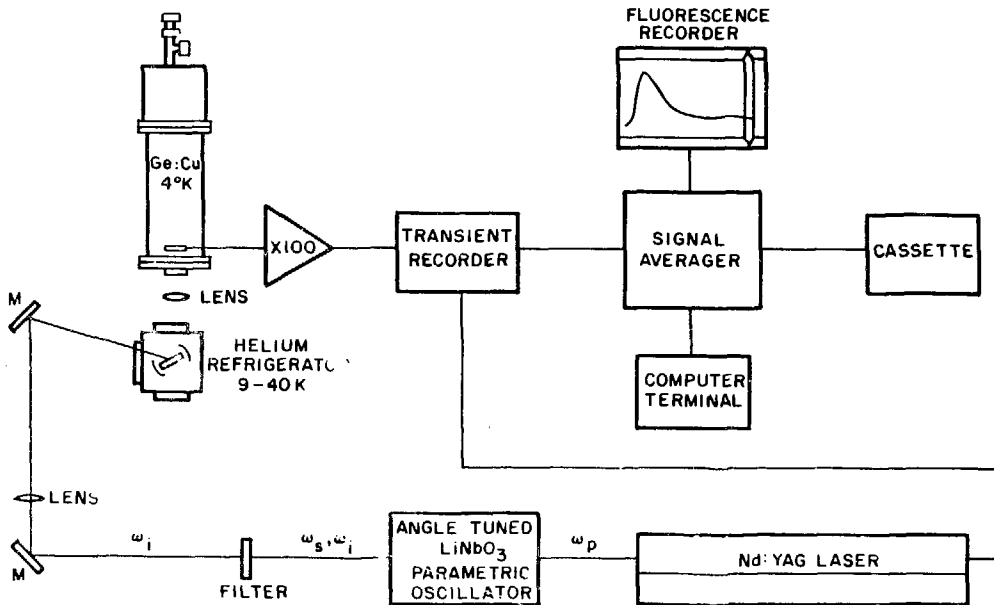
- a. Measured from CH<sub>3</sub>F/Ar = 1/516,  $l = 3.56 \times 10^{-3}$  cm,  $\rho(T_{\text{dep}} = 10 \text{ K}) = 2.4 \times 10^{22}$  cm<sup>3</sup>. Absorber concentration assumed same as gas phase mixture. Length calculated from interference fringes in FTIR spectrum, assuming  $n_{\text{Ar}} = 1.3$ .
- b. Concentration correction made assuming transition dipole moment of monomer and dimer are equal. Refractive index correction is made assuming  $n_{\text{Ar}} = 1.3$ ;  $B_{\text{obs}}(\text{s})/B_{\text{obs}}(\text{g}) = (1/n)[(n^2+2)/3]^2 (\nu_s/\nu_g)$ .
- c. Relative intensities observed for CH<sub>3</sub>F/Ar = 1/4940,  $A_{\text{d}}/A_{\text{m}} < 0.02$ , after annealing.
- d. Reference 16.
- e. Reference 15.
- f. Reference 14.
- g. Calculated from relative intensity to  $2\nu_3$  band observed in M/A = 1000 matrix.
- h.  $\Delta\nu$  could be due primarily to  $\nu_2(A_1)$  mode, since  $\nu_2$  and  $\nu_5$  are overlapped and the relative intensities unknown.

Table III. Isolated molecule decay rates of  $2\nu_3$  and  $\nu_3$  in various hosts at 9 K.

	Ar	Kr	Xe
$k(2\nu_3)\text{ms}^{-1}$			
This work	$1200 \pm 120$	$330 \pm 30$	$33 \pm 3$
$k(\nu_3)\text{ms}^{-1}$			
This work	$320 \pm 40$	$88 \pm 10$	$13 \pm 2$
Ref. 8	$330 \pm 30$	$87 \pm 8$	$17 \pm 2$



Fig. 1: General experimental schematic shown for front surface excitation. An interference filter (@ 77 K) is located inside the detector dewar to spectrally resolve the fluorescence.

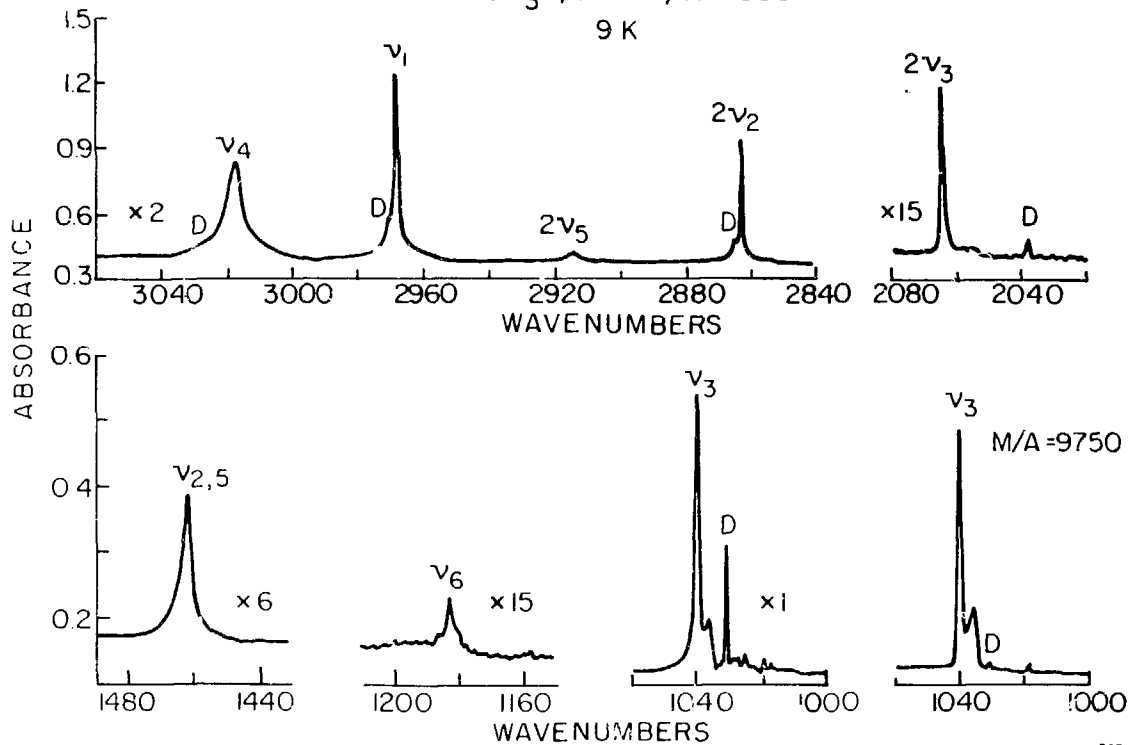


XBL 817-10759

Fig. 2: Absorption spectrum of  $\text{CH}_3\text{F}/\text{Ar} = 1/1000$ ,  
9.9 mmol, 9 K deposition;  $\text{CH}_3\text{F}/\text{Ar} = 1/9750$ .  
D=dimer, P=polymer.

CH<sub>3</sub>F/Ar M/A = 1000

9 K



XBL 817-10768

Fig. 3: Energy level diagram for matrix isolated  $\text{CH}_3\text{F}$ . Dashed levels are not observed spectroscopically. Solid arrows indicate laser excitation. Wiggly arrows denote levels detected in emission.

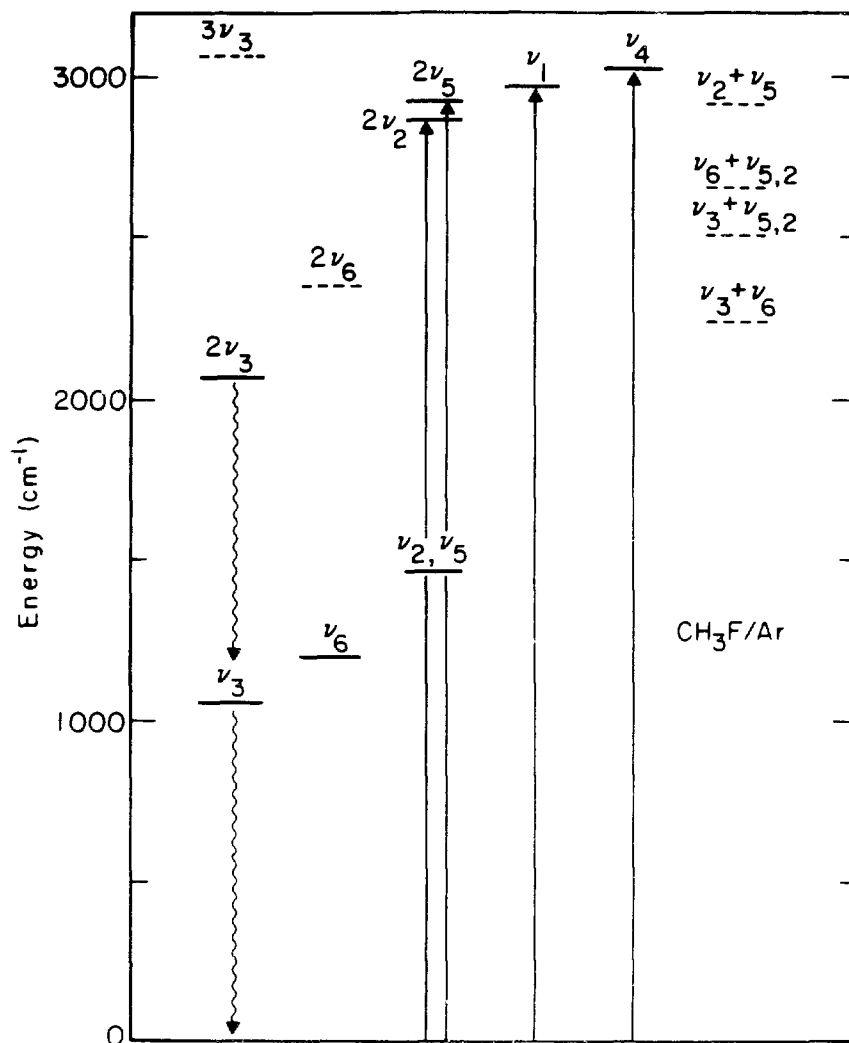
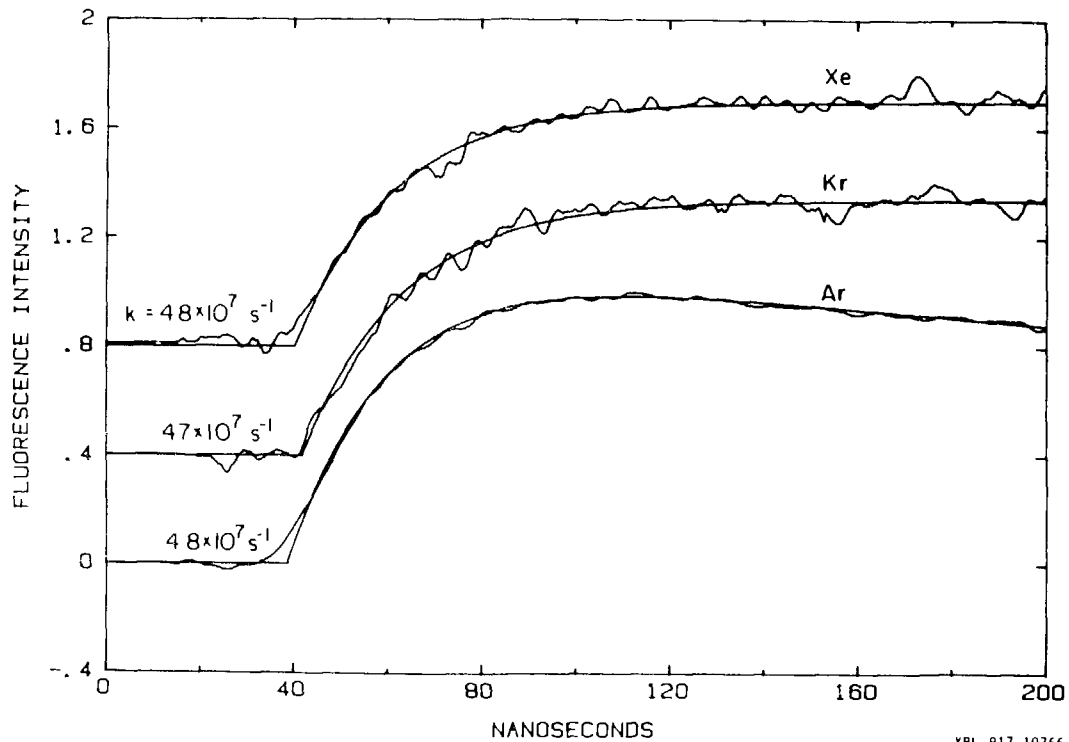


Fig. 4: Risetime of 9.6  $\mu$  fluorescence for excitation of  $\nu_4$  CH<sub>3</sub>F/Ar = 1/9750, 9 K; CH<sub>3</sub>F/Kr = 1/9810, 9 K; CH<sub>3</sub>F/Xe = 1/10800, 9 K.



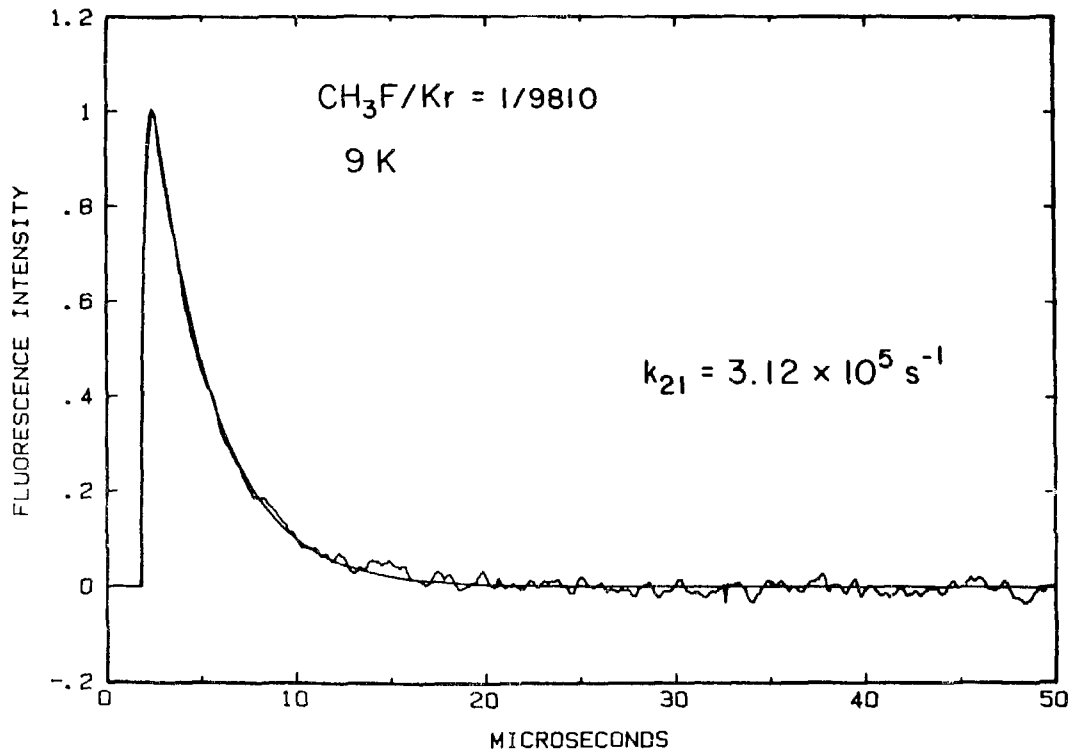
XBL 817-10766



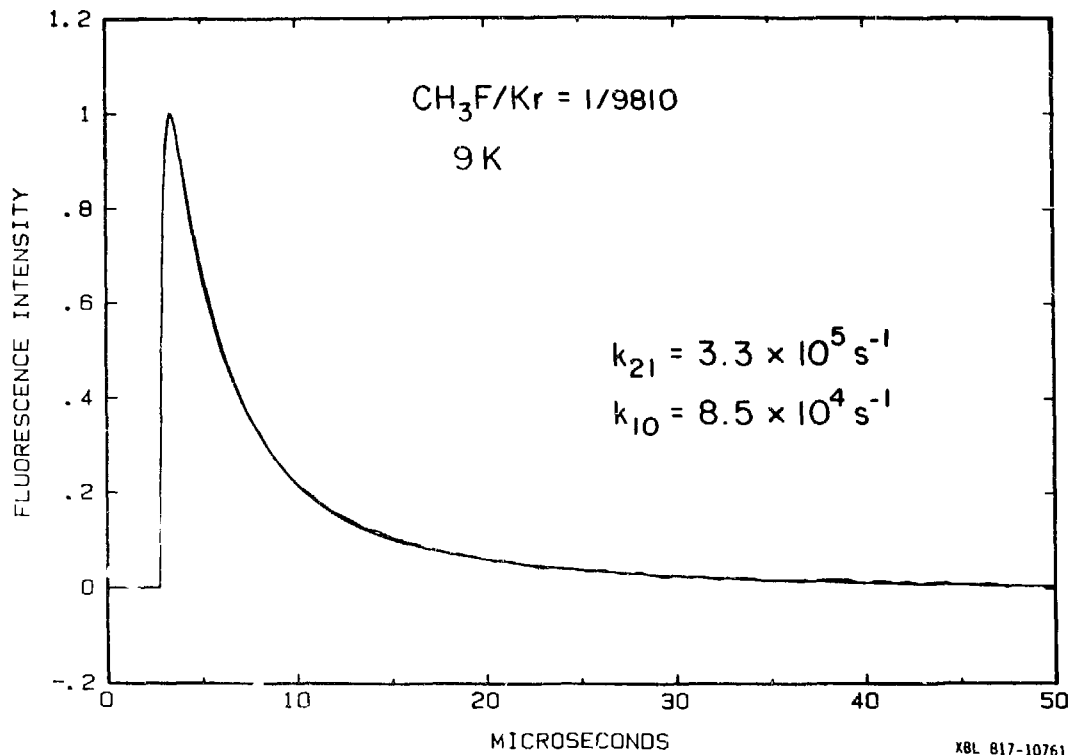
Fig. 5: Rear surface excitation of  $2\nu_{2,5}, \nu_1$   
 $\text{CH}_3\text{F}/\text{Kr} = 1/9810, 9 \text{ K.}$

(a)  $2\nu_3 \rightarrow 0$  fluorescence

(b) Broadband  $9.6 \mu$  fluorescence



XBL 817-10760



XBL 817-10761

Fig. 6: Variation of  $2\nu_3$  and  $\nu_3$  relaxation rates with gas to matrix shift. Abscissa is calculated from values given in Table I.

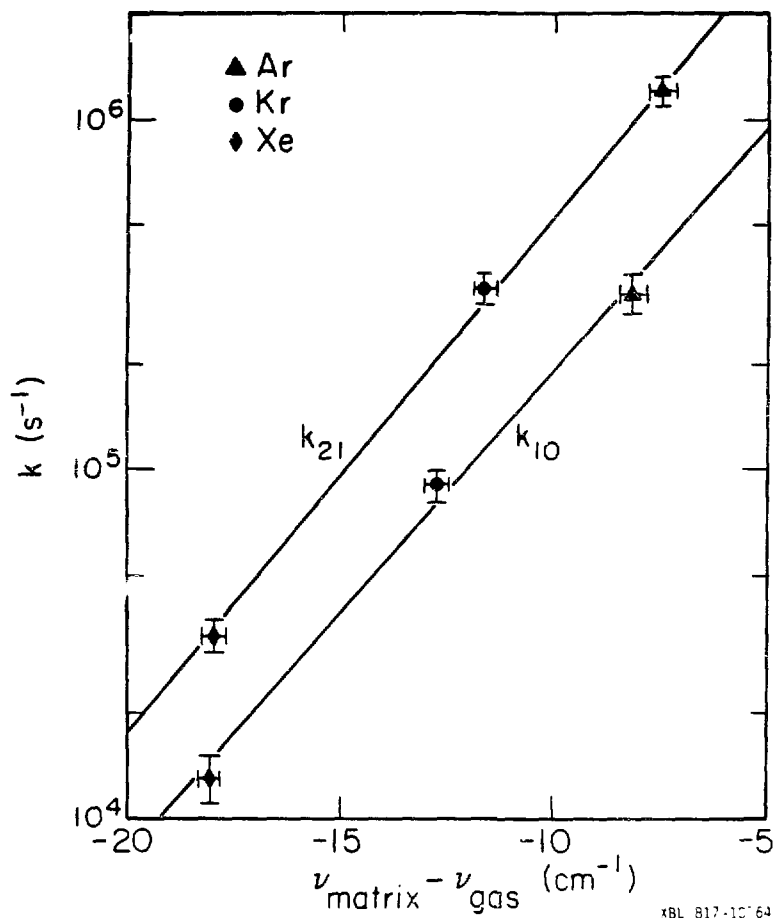


Fig. 7: Temperature dependence of  $2\nu_3$  relaxation rates. Solid lines are calculated temperature dependences for processes involving the emission of one phonon of frequency  $\nu$ . Values of  $\nu$  are shown on graph.

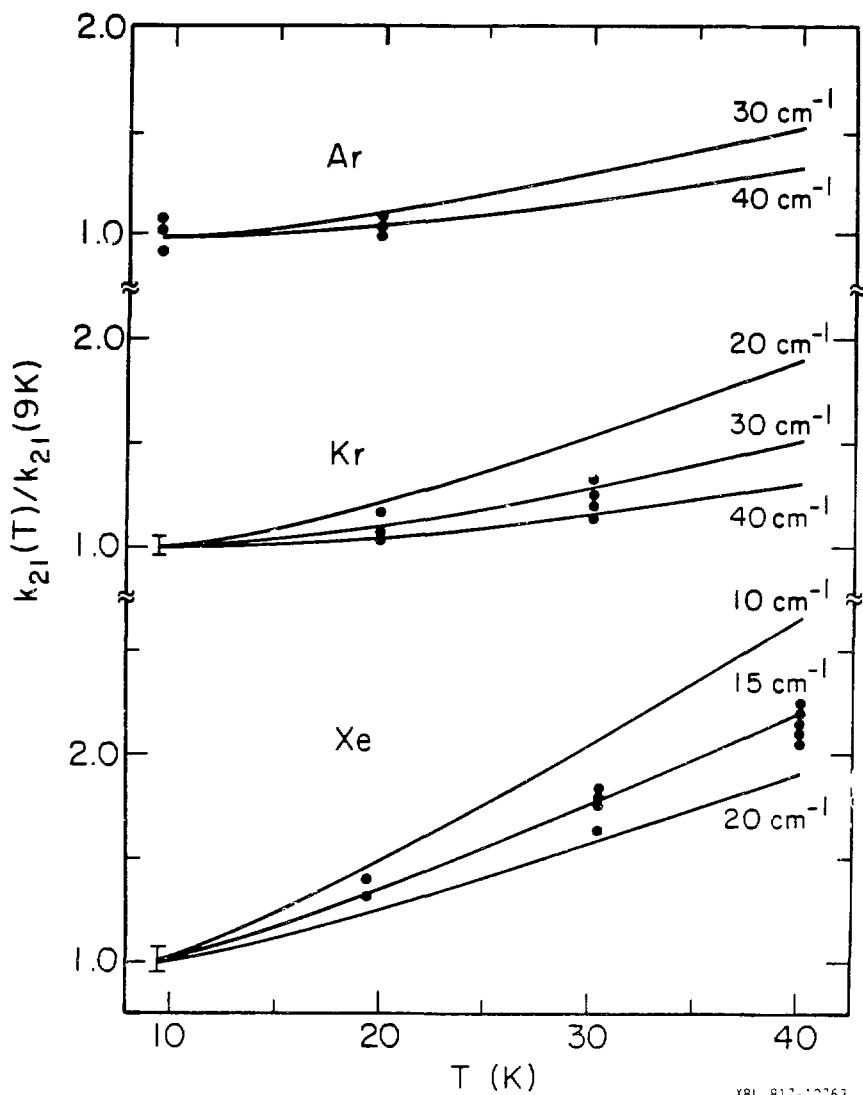


Fig. 8: Temperature dependences of  $\nu_3$  relaxation rates. Solid lines are calculated temperature dependences for processes involving the emission of one phonon of frequency  $\nu$ . Values of  $\nu$  are shown on graph.



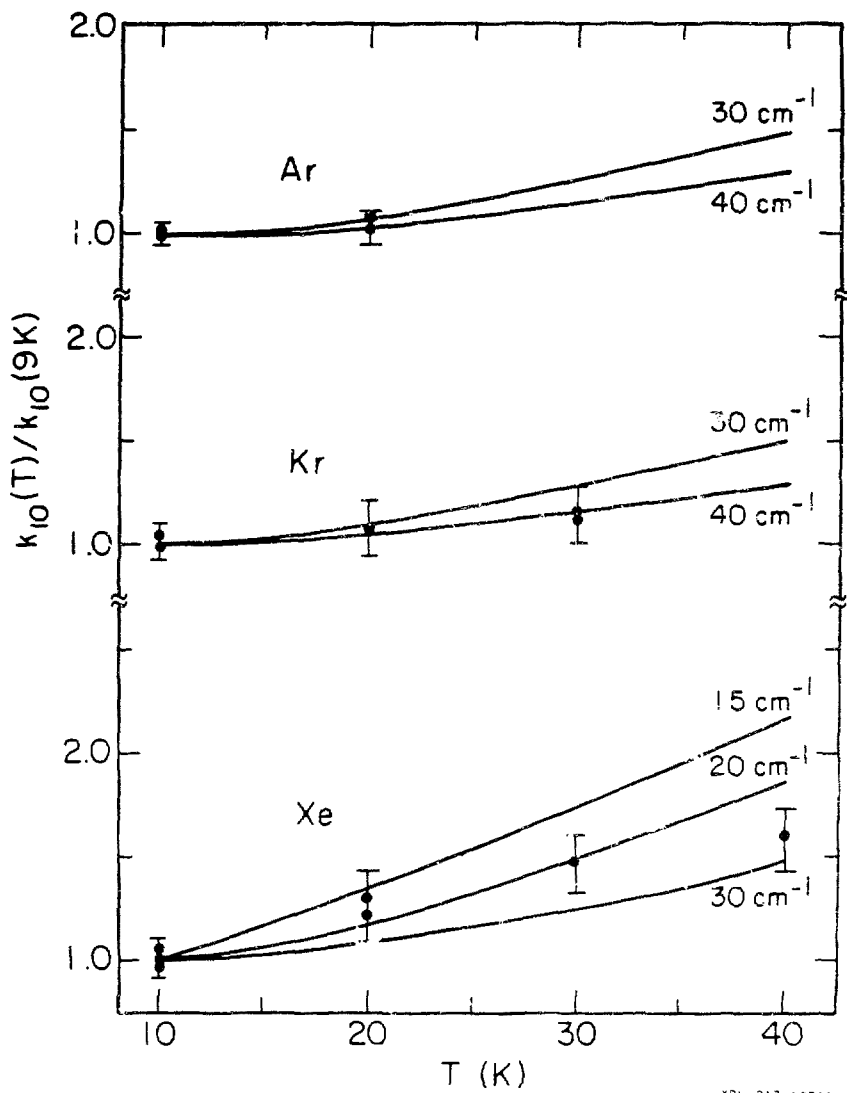
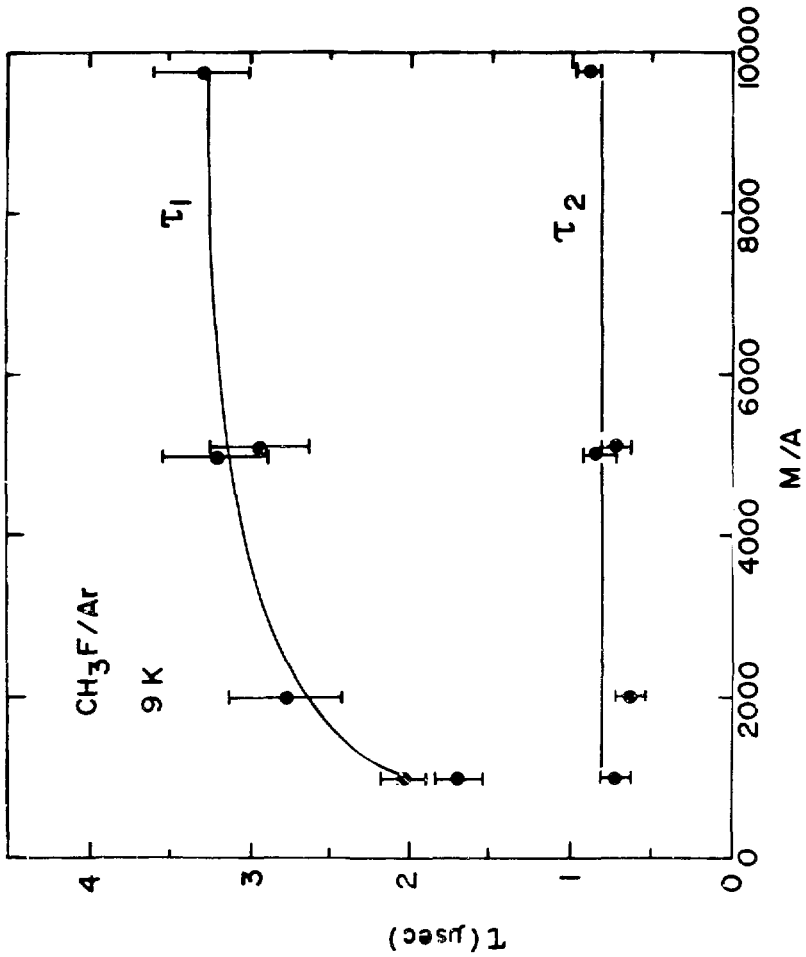


Fig. 9: Concentration dependence of  $\tau(\nu_3) = \tau_1$  and  $\tau(2\nu_3) = \tau_2$  for  $\text{CH}_3\text{F}$  in Ar at 9 K. Points are the average of between 4 and 23 decay curves with error bars given as 95% confidence limits.



## CHAPTER IV

Vibrational Relaxation of HCl  $v=1,2,3$  in  
Ar, Kr and Xe MatricesI. INTRODUCTION

Recent studies have shown that vibrationally excited diatomics isolated in monatomic lattices dissipate their energy in a variety of ways: 1) by radiative decay, 2) by direct coupling to delocalized bulk phonons ( $V + P$ ) or 3) by coupling to a mode localized at the lattice site of the guest, e.g. guest rotation or translation. It would be useful to be able to predict the relaxation rate and the dominant relaxation channel for a given guest-host system. A correlation between guest molecular parameters and observed rates gives the relative propensities of the two channels of non-radiative decay, Processes 2 and 3. That is, in cases studied so far, low moment of inertia hydrides relax via local rotation (1-3), whereas molecules with high vibrational frequencies and large moments of inertia tend to relax via local phonons (4). However, this correlation is of limited utility. The absolute rates of each process are not determined by such a correlation, thus even a prediction of the branching ratio of radiative to non-radiative decay is not possible.

It has been shown experimentally that the vibrational relaxation rate via localized modes, Process 3, of a specific species ranges over several orders of magnitude when the host is varied through the rare gas series (5,6).

Therefore, knowledge of the guest-host interaction potential is mandatory for any serious calculation of relaxation rates. In general, such potentials are well characterized only for stable molecular species in the ground electronic state. Previous studies of host dependence have either been conducted on radical species, NH (5), or on polyatomic molecules (6), for which intermolecular potentials are not as well characterized. With the present study of HCl isolated in Ar, Kr, Xe, we address a system in which the two-body guest-host interaction has been thoroughly studied in the gas phase through molecular beam scattering (7) and through van der Waals dimer spectroscopy (8-13). In addition, the vibrational spectroscopy of matrix-isolated HCl and DCl has been extensively studied (14,15) and the forces responsible for the spectral perturbations are well defined (15-17). Gas phase relaxation rates have also been determined for rare gas collision partners (18). For these reasons, the HCl system is ideal for quantitative tests of theories of vibrational relaxation via local mode participation.

These studies of the host, vibrational state and temperature dependence of vibrational relaxation rates in the matrix-isolated HCl/Ar,Kr,Xe systems are designed to determine the mechanism by which the vibrational excitation decays. There are two possible local accepting modes, guest translation and guest rotation. A systematic study of the dependence of the rate on host,  $v$  and

T should distinguish between the two limiting cases of  $V + T$  (19,20) and  $V + R$  (21-23).

A more fundamentally interesting question is whether or not the forces determined spectroscopically can be used to model relaxation processes, i.e. whether relaxation occurs when the guest and host are near equilibrium or far from equilibrium. If relaxation occurs far from equilibrium, the question arises as to whether or not interaction with a single host atom is sufficient to describe the relaxation process. Golden-Rule formulations (19-26) and binary collision models (27,28) treat the near equilibrium and far from equilibrium cases respectively.

In the present study, it is argued that  $V + T$  transfer is not the dominant relaxation pathway, that  $V + R$  is, and that the relaxation occurs far from equilibrium.

## II. EXPERIMENTAL

### A. Matrix preparation

The matrix preparation procedure has been described previously (2,29). The gases used were: HCl (Matheson Electronic Grade, >99.99%), Ar (Matheson Ultrahigh Purity, >99.9995%), Kr (Airco, >99.995%) and Xe (Airco, >99.995%). Kr and Xe were subjected to two freeze-pump-thaw cycles before use. HCl was distilled at least once between 138 K and 77 K.

Infrared absorption spectra of the fundamental and first overtone regions were recorded on a Nicolet 7199 FTIR. For observation of the 4000-400  $\text{cm}^{-1}$  region, the spectrometer was equipped with a HgCdTe detector, KBr beamsplitter and Globar source. For the 6000-3200  $\text{cm}^{-1}$  region an InAs detector, KBr beamsplitter and tungsten halogen source were used. The wavelength accuracy, determined by observing atmospheric water lines is  $\pm 0.06 \text{ cm}^{-1}$  at 0.24  $\text{cm}^{-1}$  resolution.

### B. Fluorescence Experiments

Direct excitation of the  $v=0 + 2$  and  $v=0 + 3$  transitions required two separate laser systems. For first overtone excitation at 1.8  $\mu$ , the appropriately filtered output of a Nd:YAG-pumped optical parametric oscillator was used (29). Typical output characteristics at 1.8  $\mu$  were: 8 mJ, 2.0  $\text{cm}^{-1}$  signal linewidth (w/o etalon), 0.2  $\text{cm}^{-1}$  (w/etalon), 8 ns pulse duration, 10 mrad divergence. F

second overtone excitation at  $1.2 \mu$ , the Raman shifted output of a Quanta-Ray Nd:YAG pumped dye laser was used. With Rh640, adequate output for  $\nu=0 \rightarrow 3$  excitation was obtained from the second Stokes line in  $H_2$  at 400 psi. Typical  $1.2 \mu$  output characteristics measured after a Corning filter 7-56 (70% T) were: 2-3 mJ,  $0.7 \text{ cm}^{-1}$  bandwidth FWHM, 7 ns pulse width. The  $1.2 \mu$  output was collimated with a single 1 m  $\text{CaF}_2$  lens to a spot size of ca.  $1 \text{ cm}^2$  at the matrix site. For both first and second overtone excitation, the rear surface excitation geometry, in which the IR beam is directed through the sample toward the infrared detector, was used.

Fluorescence was focussed onto the  $3 \times 10 \text{ cm}^2$  HgTe detector cooled to 4 K with a 5 cm f/1  $\text{CaF}_2$  lens. Colored interference filters (77 K) mounted inside the detector dewar in addition to externally placed filters were used to discriminate against 300 K background radiation and scattered light. Broadband emission was observed through a combination of two filters with the following characteristics:  $2600 \text{ cm}^{-1}$  center frequency,  $3125 \text{ cm}^{-1} - 2050 \text{ cm}^{-1}$  10% points, 70% average transmission. Due to the large anharmonicities and low temperatures, HCl  $\nu=3 \rightarrow 2$ ,  $\nu=2 \rightarrow 1$ , and  $\nu=1 \rightarrow 0$  fluorescence was easily resolved using a cooled circular variable filter ( $\Delta\nu = 33 \text{ cm}^{-1}$  FWHM, 50% peak transmission). The high and low frequency components of the detector and electronics were 75 ns and 10 ns, respectively.



Fluorescence traces were summed at 10 Hz on a Biomation 8100/Northern 575<sup>i</sup> signal averaging combination, and stored on magnetic tape for further processing by computer. Typically 256-4096 shots were averaged to give final decay curves with S/N greater than 25. Lifetimes for the  $v=1,2,3$  levels were obtained by analyzing the spectrally resolved signals as single or double exponential decays. Distortion of the signal due to the finite bandwidth of the amplifiers was at most 7%. Uncertainties in fitted rate constants were  $\pm 5\%$  for single exponential decays,  $\pm 10\%$  for fits to the sum of a rising and falling exponential.

A fluorescence excitation spectrum of the  $v=3$  region was obtained using a Tektronix 7912AD transient digitizer interfaced to an LSI 11/03 microcomputer to record the broadband fluorescence signal as a function of excitation frequency. The spectrum was obtained as follows: 1) A reference trace was acquired at a frequency which is not resonant with a molecular absorption. 2) The starting frequency was set to the starting frequency, after which the appropriate number of shots was averaged (typically 64). 3) The reference waveform was then subtracted from the one just acquired. 4) The resulting difference waveform was integrated and the value of the integral stored before stepping  $0.4 \text{ cm}^{-1}$  to the next wavelength. Thus the spectrum was a series of integrated broadband fluorescence traces as a function of excitation frequency. The result was not normalized for the  $\pm 10\%$  laser power fluctuations.

### III. SPECTROSCOPY

Previous experimental (2) and theoretical (16,17) investigations have shown that the vibration-rotation spectra of matrix isolated HCl in the fundamental and first overtone regions can be explained by a model in which the major perturbation is rotation-translation coupling (RTC). This perturbation arises from the fact that the guest is constrained to rotate about its center of interaction, which is displaced from its center of mass. Thus the rotation of the guest is coupled to the oscillation of its center of mass. The center of mass translational motion, i.e. a localized lattice phonon, is also directly coupled to the IR active guest vibration to give the  $Q_R(00)$  transition ( $\Delta v=1$ ,  $\Delta J=0$ ,  $\Delta n=1$ ). The observed transition frequencies are listed in Table I. Representative spectra of the fundamental, first overtone and second overtone regions are shown in Figs. 1, 2 and 3 respectively. As can be seen by the figures, there is no appreciable change in the spectral contours with increasing vibrational quantum number, indicating that no new broadening mechanisms become important at this level of excitation.

Using the values listed in Table I, the frequencies of the purely vibrational transitions, ( $\Delta v=1$ ,  $\Delta J=0$ ,  $\Delta n=0$ ) are calculated and listed in Table II. The anharmonicity,  $\omega_e x_e$ , calculated from the difference between the  $v=0 \rightarrow 1$  and  $v=1 \rightarrow 2$  transitions, increases with increasing host size and polarizability. However, the spectra of matrix isolated

HCl can not be described using a simple combination of a constant gas-matrix shift and the gas phase relationship

$$G(v) = \omega_e(v+1/2) - \omega_e x_e(v+1/2)^2 + \omega_e y_e(v+1/2)^3. \quad (1)$$

In contrast good fits up to  $v=8$  are obtained for the CO/Ar,Ne system using the relationship

$$\omega_{\text{calc}} = G(v+1) - G(v) - \Delta\omega_{\text{vib}} \quad (2)$$

where  $\Delta\omega_{\text{vib}}$  is the matrix vibrational shift calculated from the fundamental transition. The observed values and those obtained from equations (1) and (2) using gas phase values for  $\omega_e$ ,  $\omega_e x_e$  and  $\omega_e y_e$  are given in Table II. The difference between the calculated and observed frequencies is shown as a function of vibrational quantum number for each of the hosts in Fig. 4. The differences do not increase monotonically in all matrices. Qualitatively the effects can be understood as follows. The trend with increasing quantum number is for shifts to increase until the bond is lengthened enough for the cage to become tight. Repulsive forces then cause the shift to decrease with increasing  $v$ .

Temperature dependent spectra are shown in Fig. 5 and 6 for the first and second overtone regions respectively. Fundamental spectra are not shown, but appear qualitatively similar. Shifts of fundamental and first overtone transition frequencies with increasing temperature are similar to the resolution at which the spectra were taken,  $0.24 \text{ cm}^{-1}$

and  $0.5 \text{ cm}^{-1}$ , respectively. Temperature effects on the  $v=0 \rightarrow 3$  transition frequency, shown in Fig. 7, can be attributed to thermal expansion. In large hosts, Xe, Kr where the transition is red shifted relative to gas phase/matrix shift predictions, the transition frequency is blue shifted with increasing temperature, i.e. with increasing cage size and decreasing attractive interaction. In the smallest host, the  $v=0 \rightarrow 3$  absorption frequency is unshifted or slightly red-shifted with increasing temperature due to the accompanying decrease in the hitherto dominant repulsive forces. In short, increasing the temperature, hence cage size, reduces the overall medium effect, restoring the potential to its gas phase contour.

#### IV. RESULTS AND ANALYSIS

The guest molecule is initially excited to a single vibration-rotation level. Temporally and spectrally resolved fluorescence monitors the decay of the vibrational excitation. In the following analysis, rapid rotational equilibration is assumed. The validity of this assumption is discussed later. For samples of sufficiently low concentration ( $M/A > 2000$ ), where guest-guest interactions are negligible, the level populations decay exponentially. For single quantum sequential decay following excitation of  $N$  molecules to  $v=3$  the populations of  $v=3$ ,  $n_3(t)$ ,  $v=2$ ,  $n_2(t)$  and  $v=1$ ,  $n_1(t)$  evolve as

$$n_3(t) = N \exp(-k_{32}t) \quad (3)$$

$$n_2(t) = N [k_{32}/(k_{32}-k_{21})]x[\exp(-k_{21}t) - \exp(-k_{32}t)] \quad (4)$$

$$n_1(t) = N [\alpha \exp(-k_{10}t) - \beta \exp(-k_{21}t) + \gamma \exp(-k_{32}t)] \quad (5)$$

where  $\alpha = \frac{k_{32}k_{21}}{(k_{32}-k_{10})(k_{21}-k_{10})}$

$$\beta = \frac{k_{32}k_{21}}{(k_{32}-k_{21})(k_{21}-k_{10})}$$

$$\gamma = \frac{k_{32}k_{21}}{(k_{32}-k_{21})(k_{32}-k_{10})}$$

and  $k_{32}$ ,  $k_{21}$  and  $k_{10}$  are the rates of deactivation of  $v=3$ , 2 and 1 respectively. Upon excitation of the  $v=2$  level, the equations (3) and (4) apply after making the following

substitutions:  $n_1 + n_2$ ,  $n_2 + n_1$ ,  $k_{32} + k_{21}$  and  $k_{21} + k_{10}$ . Fig. 8 shows the emission spectrum for HCl/Xe at 9 K obtained by normalizing the integrated fluorescence for Einstein A coefficients and lifetimes for the  $v + v-1$  transitions shown. From the temporal and spectral behavior of the emission, one of two inferences can be made. Either relaxation of the  $v^{\text{th}}$  level does not occur via high rotational levels of  $v-1$ , or, such rotational levels decay very rapidly. If rotational relaxation were slow compared to vibrational relaxation, the following two observations would have been made. First, the population of low J levels of  $v-1$  would rise at a rate slower than the decay of  $v$ . Secondly, emission would have been observed from high J levels of the  $v-1$  state. Neither observation was made. Emission from low J levels of  $v=2$  rises at a rate identical, within the experimental error of  $\pm 10\%$ , to the decay of the initially populated  $v=3$  level, as shown in Fig. 9. There is no evidence for high J-level emission in the emission spectrum, Fig. 8.

#### A. Concentration Dependence

At higher guest concentrations, guest-guest interactions become important. The effect of increasing concentration is shown in Fig. 10 for the HCl/Kr system. Previously, the concentration dependence of the lifetimes of  $v=1$  and  $v=2$  had been studied extensively for the HCl/Ar system (2). As would be expected, the two systems exhibit similar behavior. Rates of resonant  $V + V$  transfer of  $v=1$

among monomers and non-resonant  $V + V$  transfer to rapidly relaxing dimers ( $\tau_d < 0.3 \mu s$ ) are enhanced with increasing guest concentration. The decrease in transition dipole moment for the  $v=0 \rightarrow 2$  and  $0 \rightarrow 3$  transitions, renders  $v=2$  and  $v=3$  excitation relatively immobile. This rationalizes the observed dependence of the  $v=1$  lifetime and independence of the  $v=2,3$  lifetimes on guest concentration. The mechanism is discussed in detail in Ref. 2. The following data concerns only samples in the isolated molecule limit.

The effect of impurities on the relaxation rates is measurable only for the  $v=1$  level. In a sample  $HCl/air/Xe=1/9.3/4800$ ,  $k_{10}$  increased less than 30% over that of an  $HCl/Xe=1/4729$  mixture. The  $v=3$  and  $v=2$  lifetimes showed no noticeable effect.

#### B. Dependence on excitation parameters

Lifetimes for isolated HCl appear insensitive to the intensity of the excitation pulse between  $5mJ/cm^2$  and  $0.5 \mu J/cm^2$ . The energy of the excitation pulse was varied over one order of magnitude through the use of neutral density filters. Energy density was varied by roughly a factor of thirty through the use of a  $CaF_2$  4 cm lens. The measured  $v=2$  lifetimes were constant to  $\pm 7\%$ .

Lifetimes for isolated HCl also do not vary systematically as the excitation frequency is scanned across the profile of a particular vibration-rotation transition Table III. Overall variation across the  $v=0 \rightarrow 3$   $^{35}R(0)$

transition was  $\pm 7\%$ . In addition, excitation of the P(1), R(0) or R(1) transitions yields the same lifetimes Table IV. These results imply that rotational equilibrium is attained on time scales shorter than vibrational relaxation or that  $k_{v,v-1}$  is not a function of J.

### C. Host dependence

The dramatic variation of the deactivation rates of  $v=1,2$  and 3 is with host lattice shown in Fig. 11 and Table V. The rates are seen to increase in the series Ar, Kr, Xe for each vibrational level. The deactivation rates increase with increasing spectral shift, hence with increasing host-guest attraction. This suggests that either the forces acting upon the guest at its equilibrium position induce relaxation or that these forces are correlated with those responsible for deactivation.

In addition, there is an increasing deviation from the harmonic approximation for the relaxation rate.  $k_{v,v-1} = v k_{10}$ , in the series Ar, Kr, Xe. This trend is reflected by the absorption spectra. That is, the guest host system which deviates most from a harmonic oscillator model in transition frequency predictions, namely HCl/Xe, so shows the most non-linear vibrational relaxation rates.



#### D. Temperature dependence

The effects of increasing temperature on the  $v=1$ ,  $v=2$  and  $v=3$  relaxation rates are shown in Figs. 12,13 and 14, respectively. For  $v=1$  and 2 there was no temperature at which rates began to decrease. Between 9 and 20 K the rates, in general, increase more rapidly with increasing vibrational excitation. The variation of the  $v=3$  relaxation rates with temperature is curious in that the rates do not appear to increase monotonically. In Kr and Xe hosts, the deactivation rates of the  $v=3$  level either show or hint at the existence of a temperature at which the rate begins to decrease with increasing T. In Ar, the  $v=3 \rightarrow 2$  emission does not decay as a single exponential at 9 K. Analysis of the observed signal at 9 K as a double exponential decay yields  $A_S/A_F=3.2 \pm 0.6$  where  $k_F = 1.2 \times 10^4 \text{ s}^{-1}$  and  $k_S = 2.4 \times 10^3 \text{ s}^{-1}$ . Upon warming to 20 K, the amplitude ratio is decreased to  $0 \pm 0.3$ . The observation can be rationalized by assuming vastly different relaxation rates for the relevant rotational levels,  $J=0$  and 1, in a system where rotational relaxation is slow compared to vibrational relaxation. More realistically, the fast and slow decays can be attributed to contributions of relaxation from HCl( $v=3$ ) trapped in different sites.

## V. DISCUSSION

The essential features of isolated molecule vibrational relaxation of HCl in rare gas matrices are: 1) In all hosts; Ar, Kr, Xe; the relaxation of  $v=1, 2$  and  $3$  occurs non-radiatively. 2) DCl,  $v=2$ , relaxes  $\sim 32$  times more slowly than HCl,  $v=2$ , in Ar (2). 3) For a given vibrational state,  $v$ , the relaxation rate  $k_{v,v-1}$  increases in the order  $k(\text{Ar}) < k(\text{Kr}) < k(\text{Xe})$ , as does the spectroscopic shift. 4) The dependence of the relaxation rate on  $v$  is superlinear in all matrices; the deviation from linearity increasing in the order  $\text{Ar} < \text{Kr} < \text{Xe}$ . 5) The relaxation rates become more strongly dependent on temperature with increasing vibrational excitation, as do the spectroscopic shifts.

Before proceeding with a comparison of the relevant relaxation mechanisms, a description of the actual physical situation is useful. This is presented in Section VA. This description is followed in VB. by a brief discussion of the relevant theories of relaxation, the simplifying assumptions made in each and the consequences of these assumptions. In Sections VC., VD., VE. and VF., the isotope, host,  $v$ , and  $T$  trends are discussed, respectively. Finally, in VG., a mechanism qualitatively consistent with the experimental results is presented.

## VA. Physical description

The three rare gases studied, Ar, Kr, Xe, are known to crystallize in an fcc structure (30). An oversimplified static picture of the situation is one in which the guest molecule, HCl, occupies a substitutional site in an undistorted fcc lattice, as is shown in Fig. 15. The guest-host interaction as well as guest-host distance vary with host. Useful host properties are listed in Table VI. In Fig. 16, the relative sizes of the lattice cage for each of the hosts is shown. For clarity only one nearest neighbor host atom is pictured.

In addition, the separation of the guest's center of mass and its center of interaction, defined as the point about which the average angular dependence of the intermolecular interaction is minimal, is depicted in Fig. 16. The cm to ci distance,  $a$ , is almost a molecular constant, being 0.098, 0.093, 0.095 and 0.090 Å for HCl in Ne, Ar, Kr, and Xe hosts, respectively. The consequence of the non-coincidence of the cm and ci is to couple guest rotation about its ci to cm guest translation. The magnitude of this rotation-translation coupling, RTC, is proportional to the ci to cm distance. This distance, and hence RTC, is decreased roughly 30%, to 0.07 Å (16), upon deuteration. In a static model the ci is the realistic occupant of the lattice point, as shown in Fig. 16.

In actuality, neither the guest or host lattice is stationary. The guest undergoes rotation and translation,

the latter at a frequency given by that of the local phonon. The local phonon frequencies for HCl in Ar, Kr, and Xe are, respectively, 73, 61 and 45  $\text{cm}^{-1}$  ( $2.2 \times 10^{12} \text{ s}^{-1}$ ,  $1.8 \times 10^{12} \text{ s}^{-1}$  and  $1.4 \times 10^{12} \text{ s}^{-1}$ ). Furthermore, the host atoms oscillate about their equilibrium positions at frequencies similar to those of local phonons. The Debye frequencies for Ar, Kr, and Xe are, respectively, 64, 50 and 44  $\text{cm}^{-1}$ .

It is also simplistic to assume that the lattice structure immediately surrounding the HCl molecule remains undistorted. The cage probably collapses somewhat to form an ellipsoidal cavity around the highly asymmetric guest (31).

Theoretical treatments tend to simplify the physical situation in order to obtain a tractable problem, as will be seen in the following section.

#### VB. Existing theories of relaxation

The essential features of relaxation processes for the HCl/rare gas system enumerated above are inconsistent with a process in which vibrational energy is transferred directly to bulk phonon modes. Theories for this process (32-37), in the limit of weak coupling to the lattice, predict that DCl should relax more rapidly than HCl since fewer bulk phonons, 34 vs. 44 in Ar, are required to match the vibrational energy gap for DCl than for HCl. The opposite trend is observed. In addition, the relaxation rates are pre-

dicted to increase in the order  $k(\text{Xe}) < k(\text{Kr}) < k(\text{Ar})$ , due to the corresponding increase in bulk phonon frequencies and resultant decrease in the order of the multiphonon process. The opposite trend is observed. Furthermore, the variation of rates with  $\nu$  is not predicted to be large, since the major cause of enhanced relaxation rates is a decrease in the order of the multiphonon process. The opposite trend is observed, especially in Xe where  $k_{32}/k_{21}/k_{10} = 260/33/1.0$ . Finally, the dependence of the rates on temperature is predicted to be steep for multiphonon decay. The observed relaxation rates depend only weakly on temperature. Although it is possible to fit an individual trend to the multiphonon model, by varying guest-host interaction parameters, it is impossible to reconcile all kinetic and spectroscopic observations, simultaneously (2). Therefore, it is concluded that vibrational excitation of HCl is not directly converted to excitation of bulk phonon modes.

The relaxation must therefore proceed through some localized motion of the guest, i.e. rotation or translation. Relaxation is an extremely improbable event. The local translational oscillation of the guest occurs at a frequency  $\nu_L = 3 \times 10^{12} \text{ s}^{-1}$ , whereas the relaxation rates,  $k_{\nu, \nu-1}$ , vary between  $10^3 - 10^6 \text{ s}^{-1}$ . The guest, therefore, samples a wide range of the cage potential before relaxation occurs. As a result, a major problem in theoretical treatments is the determination of an

instantaneous configuration at which relaxation occurs. It is logical to distinguish between those treatments in which relaxation occurs when the guest is positioned at the lattice point, (Golden Rule formulations) from those in which relaxation occurs when the guest is displaced from the lattice point (binary collision formulations). A summary, first of the Golden Rule, then of the binary collision formulations, follows.

### 1. Golden Rule formulations

In these treatments, the obvious choice for a coordinate system is one in which the origin is located at a lattice point. Depending on the individual description, either the molecular cm or ci is located at the lattice point. The lattice structure, and guest-host interaction potentials also vary with the individual treatments. The essential features of each treatment are listed in Table VII. The theories can be divided into three classes: 1) Primarily V + T transfer (19,20); 2) V + R (21-23); 3) V + R,T (24-26). The restrictions which result from the approximations made are discussed for each of the classes.

V + T theories, in which the predominant accepting mode is designed to be translational motion of the guest, have restrictions on the change in rotational quantum number,  $\Delta J = \pm 1, 2$ . These follow from two assumptions; first that the ci is located at the lattice point, and

second, that the potential has spherical symmetry about the lattice point. After truncating the expansion of the potential in  $q$ , the vibrational displacement coordinate, in either first or second order, the restrictions in  $\Delta J$  are obtained. The mismatch in energy between the initial and final  $|v\rangle|J\rangle$  states is compensated for in terms of an effective width of the final rotational state. These theories describe the limiting case when  $V \rightarrow T$  is the predominant path of decay.

$V \rightarrow R$  theories, in which the predominant accepting mode is designed to be rotational motion of the guest, also have restrictions on the change in rotational quantum number. These arise from two assumptions; first, that the molecular cm resides at the lattice point; and second, that the potential about the lattice point has  $n$ -fold symmetry. This leads to restrictions on the change in rotational quantum number,  $\Delta J = 0, \pm n, \pm 2n$  etc. The energy mismatch between final and initial states is artificially removed by converting the sum over final rotational states to an integral, thus creating a continuum of rotational states. These theories describe the limiting case when  $V \rightarrow R$  is the predominant path of decay.

The  $V \rightarrow R, T$  theory makes no restrictions on the change in rotational quantum number since static distortions are introduced in the lattice structure and a dumb-bell form for the potential is assumed. The energy mismatch between final and initial vibration-rotation levels is absorbed by

emission of a bulk or local phonon. As this theory has no built-in bias toward either the rotational or translational channel, it is the most realistic, as well as the most difficult computationally.

## 2. Binary collision treatments

There are two treatments which use this formalism, in which the rate constant is given as the product of a collision frequency and a relaxation probability per collision. The basic features of both models are detailed in Table VIII. One model (28) involved guest interaction with a single host atom, analogous to gas phase relaxation. The other model includes the potential created by a planar hexagonal array of host atoms. In both cases, the symmetry of the configuration at which relaxation occurs is low since the guest is assumed to be far from the lattice point. Therefore, no unrealistic selection rules result from these two treatments.

With this short synopsis of existing relaxation theories, we now proceed to discuss the results.

## VC. Isotope effects

The isotope effect has been measured previously in these labs for HCl/DCl  $v=2$  in Ar (2). The result,  $k_{21}(\text{HCl})/k_{21}(\text{DCl}) \approx 32$ , can be reproduced semi-quantitatively by all theories in which a local accepting mode is postulated when the reasonable assumption that guest-host interactions change negligibly upon deuteration is made.



V + T theories reproduce the observed isotope effect because the coupling of the vibrational displacement to the rotation and translation of the molecule is greater in HCl than in DCl. This is a direct consequence of the greater cm to ci distance in HCl than DCl. Physically, the cm to ci distance can be thought of as the length of a lever arm which induces rotation. Thus in the assumed spherically symmetric cavity, its magnitude is proportional to the V + R coupling, for  $J=0 + J=1$  transitions, as well as coupling between rotation and the phonon bath (20).

V + R theories also reproduce the observed isotope effect. The trends in these treatments can be understood in terms of the magnitude of the  $\Delta J$  changes and corresponding potential anisotropies, required in order to match the vibrational energy gap. The rotational states most nearly coincident with the  $v=2 + 1$  transition are  $J_f=15$  and 18 for HCl and DCl respectively. Thus, higher order terms in a Legendre polynomial expansion of the potential are required to induce the near resonant V + R transition for DCl than for HCl. With the assumed undistorted lattice structures, nearest neighbor host atoms are not arranged with enough asymmetry to produce a  $\Delta J=15$  or 18 transition. As a consequence, higher order  $\Delta J$  transitions are induced by contributions from shells outside that of the nearest neighbors. These contributions drop off rapidly as a result of the increasing distance of the relevant shells.

The V + R,T theory of Gerber and Berkowitz predicts that the dominant relaxation channel is vibration to rotation energy transfer. The isotope effect is therefore reproduced rather well, for the simple reason that higher order anisotropies are required to produce DCI relaxation than HCl relaxation.

Similarly, binary collision models find (27) or assume (28) V + R relaxation to be the dominant relaxation channel. Again, the greater rotational quantum number change for DCI leads to a decreased relaxation probability.

#### VD. Host effects

The essential feature of the dependence of the relaxation rates on host is that, for all  $v$ ,  $k(\text{Ar}) < k(\text{Kr}) < k(\text{Xe})$ . The essential spectroscopic feature is that  $\Delta v_{\text{Ar}} < \Delta v_{\text{Kr}} < \Delta v_{\text{Xe}}$ .

V + T theories have a difficult time reconciling these observations. The number of local phonons required to match the vibrational energy gap increases in the order  $n_f(\text{Ar}) < n_f(\text{Kr}) < n_f(\text{Xe})$  in the three models commonly used to describe local translational motion of a particle trapped in a spherically symmetric cage. The model potentials commonly used to describe the cage contour are, harmonic oscillator, spherical box and Lennard-Jones-Devonshire cell, as shown in Fig. 17. For the first two potentials, closed form expressions are known for the energy levels (19). However, this is not the case for the LJD cell, which is described

by (38)

$$V(r/d)-V(0) = 4z\epsilon \{1.01(\sigma/d)^{12}L(y) - 1.205(\sigma/d)^6M(y)\}$$

$$\begin{aligned} \text{where } L(y) &= (1+12y+25.2y^2+12y^3+y^4)(1-y)^{-10} - 1 \\ M(y) &= (1+y)(1-y)^{-4} - 1 \\ y &= r^2/d^2 \\ d &= \text{nearest neighbor distance} \\ r &= \text{displacement of the molecular ci from the} \\ &\quad \text{center of the cell} \\ z &= 12. \end{aligned}$$

The LJD model is the most realistic of the three, therefore the translational energy levels for this potential cage were calculated. Potential parameters were determined by the empirical combining laws  $\epsilon = (\epsilon_{\text{HCl}}\epsilon_{\text{M}})^{1/2}$  and  $\sigma = (\sigma_{\text{HCl}} + \sigma_{\text{M}})/2$ . The energy levels were then calculated by the WKB method using the QLEVEL program from the National Resource for Computation in Chemistry, Lawrence Berkeley Lab (39). The results are shown in Table IX and Fig. 18. The increasing order of the process  $n_f = 24, 32$  and  $43$  in Ar, Kr and Xe hosts, respectively, suggests that relaxation rates should decrease in the order Ar, Kr, Xe. In fact the opposite is observed. This implies that  $V + T$  transfer is not the dominant process in vibrational relaxation for matrix isolated HCl.

$V + R$  models require a greater anisotropy in larger hosts to reproduce observed relaxation rate trends. This requirement is unreasonable in the assumed undistorted lattice.

Since the  $V + R, T$  model predicts that rotation is the dominant accepting mode, a greater anisotropy, or equiva-

lently greater lattice distortion, is required in larger hosts to reproduce the observed trends. This is not observed in terms of a barrier to rotation at equilibrium, as, spectroscopically, rotational structure of HCl is more similar to gas phase rotational structure in larger hosts.

Binary collision V + R models require an increased relaxation probability with host mass in order to counteract the decrease in collision frequencies which occur with increase in host mass. For the model in which interaction with a single host atom is considered as in the gas phase, this requirement can not be fulfilled, since the magnitude of the relaxation probability is governed primarily by the steepness of the repulsive wall. Thus, binary collision theory considering only one host atom appears to fail in the description of vibrational relaxation of HCl in inert gas matrices. When the other host atoms are taken into account (27), a greater anisotropy of the potential in terms of a barrier to rotation is sufficient to produce the observed trends.

#### VE. Vibrational state effects

The essential feature of the dependence of the relaxation rates on vibrational excitation is that in all hosts that the superlinearity increases in the order Ar, Kr, Xe. The essential spectroscopic feature is that  $\Delta v_{\text{matrix}}$  increases with  $v$  in the order Ar, Kr, Xe.

All theories use the harmonic oscillator approximation for the matrix elements of  $q$ . Thus, in first order

perturbation, all theories predict a linear dependence of  $k_{v,v-1}$  on  $v$  if potential interactions between the host and guest in the  $v$  and  $v-1$  states and energy mismatches remain constant. It is useful to determine the effect of using the more realistic Morse oscillator wavefunctions to calculate the matrix element of  $q$ .

$$|\langle v|q|v-1\rangle|^2 = \frac{(2K_{BC}-2v+1)(2K_{BC}-2v-1)}{(2K_{BC}-v)(K_{BC}-v)^2} v \times \frac{(K_{BC})}{4\mu_{BC}\omega_{BC}}$$

where  $K_{BC} = \omega_{BC}/2(\omega x_e)_{BC}$  with  $\omega_{BC}$  being the frequency of the BC bond and  $(\omega x_e)_{BC}$  its anharmonicity (40). For a perturbation linear in the vibrational coordinate and treated by first order perturbation theory,  $k_{v,v-1}$  will scale as the square of the matrix element, as shown above. Fitting the most anharmonic oscillator, i.e. HCl in Xe with the values  $\omega = 2946 \text{ cm}^{-1}$ ,  $\omega x_e = 53.6 \text{ cm}^{-1}$ , the rates normalized to  $k_{10}$  are:  $k_{32}/k_{21}/k_{10} = 3.1/2.0/1.0$ . This is in marked disagreement with the observed 260/33/1.0 at 9 K. The superlinearity of the rates is therefore not simply a consequence of using the harmonic oscillator approximation, and must arise partially from the change in potential interaction upon vibrational excitation.

That guest-host interactions are a function of the vibrational state of the guest follows from the spectroscopic data, which show a change in  $\Delta v_{\text{matrix}}$  in  $v \rightarrow v-1$  transitions with  $v$ . Friedman and Kimel have modelled the vibrational frequency shift as the result of dispersive, inductive and repulsive forces acting upon a molecule with

its center of interaction fixed at a lattice point in a Lennard-Jones-Devonshire cell (16). By varying the two-body Lennard-Jones potential between the guest and a single host atom the cell potential, hence  $\Delta v_{\text{matrix}}$ , can be altered. The parameters to be varied are  $\rho$ , the fractional increase in well position and  $\delta$ , the fractional increase in well depth with  $v$ , as shown in Fig. 19. The observed matrix shifts can be reproduced with various combinations of  $\rho$  and  $\delta$ , as shown in Fig. 20 for Ar, Kr and Xe matrices. From Fig. 20 it is clear that the magnitudes of  $\rho$  and  $\delta$  required to fit the observed frequency shifts increase with increasing host mass. Thus, the guest-host interaction depends more strongly on  $v$  in the larger hosts.

#### VF. Temperature effects

The essential feature of the dependence of the relaxation rates is that rates, in general, depend more strongly on temperature with increasing vibrational excitation; but in all cases the dependence is weak. Similarly, the spectroscopic shift depends more strongly on temperature with increasing vibrational excitation. Theories derive the dependence of rate on temperature primarily from the modelling of the energy mismatch.

$V + T$  theories are not explicit in defining a dependence of relaxation rate on temperature. Assuming the potential interactions to remain constant with temperature, the dependence of relaxation rates on temperature is given by that of the final rotational state linewidth on

temperature. Spectroscopically, the linewidth of the  $^{35}\text{R}(0)$  transition increases monotonically with temperature, although the issue is confused by the fact that the spectroscopically measured width and that of  $|\psi_f\rangle$  are not equivalent (19).

V + R theories predict either no (21) or a slight dependence of the rate on temperature, being essentially independent for temperatures from 0 K to  $20 \hbar B/k_B$ , where B is the rotational constant for the molecule in the lattice (22,23).

The Gerber and Berkowitz V + R,T theory derives a temperature dependence of  $k_{v,v-1}$  primarily from the requirement of the emission of a bulk or local phonon at the mismatch frequency. The rate of a process requiring the emission of a single bulk phonon of frequency  $\omega$  is proportional to  $[\bar{n}(\omega)+1]$ , and thus slowly, but monotonically increases with temperature.

Binary collision models derive a variation in rate with temperature from the thermal average of local translational motion. This again yields a slowly, monotonically increasing relaxation rate with temperature.

All theories predict no or slight dependence of rate with increasing temperature, but none predicts a decrease in rate with temperature, as observed at higher vibrational excitation in Xe and Kr. In order to account for this observation, a change with T in the guest-host interaction potential is required. There is spectroscopic evidence for

such a change (Fig. 7), in the temperature dependent line positions. The effect of increasing temperature is to decrease the guest-host interaction strength by increasing the HCl-M distance. This effect may, at some point be large enough to counteract the typical increase in rate with temperature. After this point, a further increase in temperature might result in a decrease in rate.

#### VG. Proposed mechanism of relaxation

First a recapitulation of the points of agreement and disagreement with the various theories is presented. All relevant theories reproduce the isotope effect rather well. The trend of increasing relaxation rates with increasing host mass is not easily reconciled with  $V + T$  theories due to the fact that a higher order process is required in larger hosts. The vibrational state effect and temperature effect on the relaxation rates can only be explained by variation in the guest-host interaction potential as a function of  $v$  and  $T$ .

The variation in the guest-host interaction potential as a function of  $v$  and  $T$  is reflected in the spectroscopic measurements. As discussed previously, the variation of the spectroscopic shift can be fit by changing either, or both,  $\rho$  and  $\delta$ . The molecular polarizability increases with increasing vibrational excitation (16), thus the two-body HCl-M well depth will increase also. It is not too far fetched to assume that a van der Waals complex between the guest and a single host atom is formed as an



intermediate in the relaxation process, and that its formation becomes more probable with increasing polarizability of the guest. Indeed, such complexes are known to exist in the gas phase with well depths of 135, 169 and 204  $\text{cm}^{-1}$  for HCl complexation with Ar, Kr and Xe, respectively (10). The corresponding van der Waals minima occur at Cl-M distances of 4.006, 4.078 and 4.258 Å for Ar, Kr and Xe complexes. An important feature of these van der Waals complexes is that the anisotropy, as manifested by the average  $\langle MClH \rangle$  and related barrier to rotation, increases with increasing host size. Fig. 21 shows the inert gas to ci distances and nearest neighbor distances (Table VI) superimposed on a plot of the two-body Lennard-Jones potentials for each of the rare gases. As can be seen by well depth and cage size considerations, the attraction of the guest toward a single host atom increases with increasing host size.

At this point it is worthwhile to note that numerical calculations by Child (41) show the ArHCl complex to vibrationally predissociate via primarily the rotational channel. In addition, of those diatomics for which vibrational relaxation is thought to occur via rotation, only  $\text{NH}^*(A^3\pi)$  and HCl show the host effect  $k(\text{Ar}) < k(\text{Kr}) < k(\text{Xe})$ . In the ground electronic state  $\text{NH}(X^3\Sigma)$ , the reverse host effect is seen. One major difference between the two electronic states is their polarizabilities, and hence propensities for complex formation. From the fragmentary

evidence available, it appears that complex formation, with the attendant symmetry breaking properties, serves to enhance vibrational relaxation rates of matrix isolated species.

If a van der Waals complex is formed as an intermediate in the relaxation process, and its relaxation occurs via dissociation to form a highly rotationally excited HCl molecule, Fig. 22, then the effect of increasing temperature, i.e. cage expansion, must be to decrease the anisotropy of the potential experienced by the guest when it is in this configuration. Such a decrease in the angular dependence of the potential, will lead to reduced vibration-rotation coupling to high J states and thus to reduced rates. Of course, there are other factors influencing the observed temperature dependence, e.g. the probability of local or bulk phonon emission, all of which lead to a monotonic increase in rate with temperature. The observed rate variation with temperature may then be explained as the product of the two effects of temperature dependent guest-host interactions and phonon emission probabilities.

## VI. SUMMARY AND CONCLUSIONS

Through single photon excitation to a specific vibration-rotation level followed by temporally and spectrally resolved infrared fluorescence, non-radiative lifetimes for matrix isolated HCl  $v=1,2,3$  have been determined as a function of host and temperature. The major findings are as follows: 1) For a given vibrational level, the relaxation rate  $k_{v,v-1}$  increases in the order  $k(\text{Ar}) < k(\text{Kr}) < k(\text{Xe})$ . 2) The dependence of the relaxation rate on  $v$  is superlinear in all matrices, the superlinearity increasing in the order  $\text{Ar} < \text{Kr} < \text{Xe}$ . 3) The dependence of the rates on temperature is enhanced at higher vibrational excitation.

Combining the present results with those concerning the deuteride (2), a mechanism wherein  $V \rightarrow R$  is the dominant relaxation process is proposed. That  $V \rightarrow R$  occurs far from equilibrium is inferred from the combination of the host effect on the relaxation rates and spectra, which show HCl to be more freely rotating in larger hosts. Complex formation probably aids in the relaxation process by effectively displacing the guest from the lattice point. This increases the magnitude of the higher order terms in the Legendre polynomial expansion of the potential about the cm, hence coupling, required for large  $\Delta J$  transitions. The effect of increasing temperature on relaxation rates may then be understood as a combination of the decreasing guest-host interaction and increasing phonon emission

probabilities.

Spectroscopic shifts as a function of  $v$  and temperature must be fit by models in which guest-host interactions vary as a function of these two parameters. Matrix shifts for  $v \rightarrow v-1$  transitions increase monotonically with  $v$ , as might be expected since polarizabilities and thus guest-host interactions also increase with  $v$ , until the bond is lengthened such that repulsive forces dominate. The effect of temperature on the  $v=0 \rightarrow 3$  transition frequency may be thought of as due to cage expansion and the resultant decrease in guest-host interaction.

Finally, it is seen that changing potential interactions between guest and host as functions of  $v$  and  $T$  are required to model both kinetic and spectroscopic data. Reliable quantitative calculations of rates as a function of  $v$  and temperature can not be made in ignorance of such changes.

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TABLE I. Absorption frequencies of HCl in Ar, Kr, Xe  
at 9 K.<sup>a</sup>

Assignment	Ar	Kr	Xe
$v=0 + v=1$			
Q <sub>R</sub> (00)	2944	2916	2884
R(1)	2896	2885	2874
R(0) H <sup>35</sup> Cl	2887.9	2872.7	2858.0
H <sup>37</sup> Cl	2885.6	2879.6	2856.1
P(1) H <sup>35</sup> Cl	2853.6	2836.8	2819.6
H <sup>37</sup> Cl	2851.6	2834.8	2817.8
dimer	2818	2816	2816
$v=0 + v=2$			
R(1)			5600.7
R(0) H <sup>35</sup> Cl	5657.7	5621.6	5588.1
H <sup>37</sup> Cl	5650.4	5617.8	
P(1) H <sup>35</sup> Cl	5621.4	5587.2	5551.4
$v=0 + v=3$			
R(1)			8222.5
R(0) H <sup>35</sup> Cl	8320.2	8267.8	8212.3
H <sup>37</sup> Cl	8314.4	8261.9	8206.3
P(1) H <sup>35</sup> Cl	8287.7	8261.9	8206.3
H <sup>37</sup> Cl	8282.2	8227.0	8169.6

<sup>a</sup> Uncertainty in  $v=0 + v=1$  frequencies =  $\pm 0.12 \text{ cm}^{-1}$ ;  
in  $v=0 + v=2$  frequencies =  $\pm 0.25 \text{ cm}^{-1}$ ;  
in  $v=0 + v=3$  frequencies =  $\pm 0.7 \text{ cm}^{-1}$



TABLE II. Comparison of observed and calculated frequencies for the purely vibrational transitions  $v=0 \rightarrow v=1$ ,  $v=1 \rightarrow v=2$ ,  $v=2 \rightarrow v=3$  for  $H^{35}Cl$ .<sup>a,b</sup>

	Ar		Kr		Xe	
	calc	obs	calc	obs	calc	obs
$\omega_{0 \rightarrow 1}$	2871.3	2871.3	2855.1	2855.1	2838.8	2838.8
$\omega_{1 \rightarrow 2}$	2767.7	2767.4	2751.5	2749.6	2735.2	2731.6
$\omega_{2 \rightarrow 3}$	2664.4	2666.2	2648.2	2747.0	2631.9	2624.7

a  $\omega_{v \rightarrow v+1} = G(v+1) - G(v)$

$$G(v) = \omega_e(v+1/2) - \omega_e x_e(v+1/2)^2 + \omega_e y_e(v+1/2)^3 + v \Delta v_{\text{matrix}}$$

$$\begin{aligned} \omega_e &= 2989.74 \text{ cm}^{-1} \\ \omega_e x_e &= 52.05 \text{ cm}^{-1} \\ \omega_e y_e &= 0.056 \text{ cm}^{-1} \end{aligned}$$

b  $\Delta v_{\text{matrix}}$  determined from fundamental absorption frequencies

$$\begin{aligned} \Delta v &= -14.5 \text{ cm}^{-1} \\ \Delta v &= -30.8 \text{ cm}^{-1} \\ \Delta v &= -47.0 \text{ cm}^{-1} \end{aligned}$$

TABLE III. Dependence of  $v=3$  lifetime on excitation frequency.<sup>a</sup>

Excitation Wavelength ( $\text{cm}^{-1}$ )	$k_{32}$ ( $\text{s}^{-1}$ )
8215.9	$(4.9 \pm 0.4) \times 10^5$
8215.1	$4.5 \pm 0.3$
8214.3	$4.8 \pm 0.2$
8213.5	$4.7 \pm 0.2$
8212.7	$5.1 \pm 0.2$

a.  $\text{HCl}/\text{Xe} = 1/4720$  at 20 K, 72 mmol.

TABLE IV. Dependence of  $v=3$  lifetime on rotational state excited.<sup>a</sup>

Transition	Frequency( $\text{cm}^{-1}$ )	T(K)	$k(\text{s}^{-1})$
$^{35}\text{R}(0)$	8215.0	20	$4.3 \pm 0.2 \times 10^5$
$^{35}\text{P}(1)$	8176.7	20	$4.5 \pm 0.2$
"Q(0)"	8145	20	$6.1 \pm 0.6$
$^{35}\text{R}(0)$	8215.6	30	$4.2 \pm 0.2$
$^{35}\text{P}(1)$	8177.8	30	$4.3 \pm 0.2$

a.  $\text{HCl}/\text{Xe} = 1/4720$ , 72 mmol.

TABLE VA. Relaxation rates for isolated HCl/Ar ( $\text{ms}^{-1}$ ).

M/A	T		
	9	15	20
$v = 3 \rightarrow 2$			
4960	13/2.5 <sup>a</sup>	6.2	12
1980	12/2.4		12.0
1000	12/2.2		11
$v = 2 \rightarrow 1$			
4960	3.6	4.1	4.1
1980	4.1		4.3
Wiesenfeld <sup>b</sup> and Moore	3.8		5.7
$v = 1 \rightarrow 0$			
4960	0.76	0.83	0.87
1980	0.89		
Wiesenfeld <sup>b</sup> and Moore	0.81		1.1

<sup>a</sup> Decay was analyzed as a double exponential. Fast and slow rates are given.

<sup>b</sup> Ref. 2.

TABLE VB. Relaxation rates for isolated HCl/Kr ( $\text{ms}^{-1}$ ).

M/A	T				
	9	14	20	22	27
$v = 3 \rightarrow 2$					
5240		57			
5220		55		78	85
2200	32				
2320	27		69		
4520	33				
$v = 2 \rightarrow 1$					
930	$11 \pm 1.2$		16		
1140	$11 \pm 1.1$		16		
1/7.1/2500	$13 \pm 1.5$		15		
2900	$11 \pm 1.3$		12		
4900	$12 \pm 0.8$				
5220		10		13	16
10400	12				
$v = 1 \rightarrow 0$					
2320	1.2				
4900	$1.1 \pm 0.1$		1.2		
5220		1.3		1.4	
10400	$1.0 \pm 0.1$				

TABLE VC. Relaxation rates for isolated HCl/Xe ( $\text{ms}^{-1}$ ).

M/A	T						
	9	14	20	22	30	40	42
$v = 3 \rightarrow 2$							
3020	290						
3200		340		480	460		430
4720	270		440		430	400	
1/9.3/4810	260		460		470	420	
$v = 2 \rightarrow 1$							
2200	39						
3020	33						
3200		43		58	59		
4720	45		62		58		67
1/9.3/4810	33		60		60		62
$v = 1 \rightarrow 0$							
3020	1.2						
3200		1.2		1.8	2.4	3.0	
4720	0.94		1.0		1.8	3.0	
1/9.3/4810	1.0		2.1		2.6	3.0	

TABLE VI. Some physical properties of rare gas solids.<sup>a,b</sup>

	Ne	Ar	Kr	Xe
Crystal structure	fcc	fcc	fcc	fcc
Lattice constant (Å)	4.46	5.31	5.64	6.13
Nearest neighbor dist. (Å)	3.16	3.76	3.99	4.34
Number density ( $10^{22}/\text{cm}^3$ )	4.52	2.67	2.23	1.73
Mass density ( $\text{g}/\text{cm}^3$ )	1.51	1.77	3.09	3.78
Melting temp. (K)	24.6	83.3	115.8	161.4
Debye temp. (K)	75	92	72	64
Debye frequency ( $\text{cm}^{-1}$ )	52	64	50	44
$\epsilon/K$ (K) <sup>c</sup>	36.3	119	159	228
$\sigma$ (Å) <sup>c</sup>	3.16	3.87	4.04	4.46
Heat capacity (cal/mole/K)	10K	1.25	0.90	1.46
	20K	4.37	2.82	3.67
	30K		4.39	5.01
	70K		6.96	6.57
Thermal conductivity (mW/cm/K)	2K	30		
	3K	46		
	4.2K	42		4.8
	8K		60	
	10K	8	37	17
	20K	3	14	12
77K		3.1	3.6	
Refractive index, $\lambda =$	$.500\mu^d$		1.28	1.34
	$.546\mu^e$	1.23	1.26	1.28
	$.645\mu^d$			1.34
	$.694\mu^d$		1.27	
	$10.0\mu^f$		1.41	

## Footnotes to Table VI.

- <sup>a</sup> Data with unspecified temperature is for 4.2 K. Only heat capacity and thermal conductivity vary by more than a few percent between 0 and 20 K.
- <sup>b</sup> Sources for unreferenced data:
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- <sup>c</sup> Parameters for Lennard-Jones (6-12) potential.
- <sup>d</sup> J. Marcoux, Can. J. Phys., 48, 1949 (1970).
- <sup>e</sup> J. Kruger and W. Ambs, J. Opt. Soc. Am., 49, 1195 (1959).
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TABLE VII. Summary of Golden Rule formulations of vibrational relaxation in matrices.<sup>a</sup>

	FR <sup>b</sup>	DL <sup>c</sup>	DKL <sup>d</sup>	FR <sup>e</sup>	GB <sup>f</sup>
Primary accepting mode	T	T	R	R	R, T
Lattice structure	cell	undefined	square planar	simple cubic	distorted fcc, bcp
Occupant of lattice point	ci	ci	cm	cm	cm
Potential	spherical box	dumb-bell	$\sum_{i=1}^4 V_0 \exp(\alpha \cos^4 \phi)$	$\sum_{i=1}^6 V_0 \exp(\alpha \cos^4 \phi)$	dumb-bell
Number of interacting host atoms	not applicable	undefined	4	$\infty$	12
Angular dependence	$P_0(\phi)$	$P_0(\phi)$	$P_4(\phi)$	$P_4, P_6, \dots$	
Order of expansion in $(q)$	$O(q^2)$	$O(q)$	$O(q)$	$O(q)$	$O(q)$
Restrictions on $\Delta J$	$\pm 1, \pm 2$	$\pm 1$	$0, \pm 4, \pm 8, \dots$	$0, \pm 4, \pm 8, \dots$	none
Initial State	HO, RR	HO, RR	HO, planar HR	HO, planar RR	HO, RR
Final State	HO, RR LP	HO, RR	HO, planar RR	HO, planar RR	HO, RR LP, BP
$\Delta E$ Compensation	width of $ J_f\rangle$	width of $ J_f\rangle$	$\int$ rotational states	$\int$ rotational states	$\int$ LP, BP

## Footnotes to TABLE VII.

## a Abbreviations used:

ci = center of interaction of HCl  
 cm = center of mass of HCl  
 q = vibrational displacement =  $(r-r_{eq})_{HCl}$   
 HO = harmonic oscillator  
 RR = free rigid rotor  
 HR = hindered rotor  
 LP = local phonon  
 BP = bulk phonon

b Ref. 19

c Ref. 20

d Ref. 21

e Ref. 22,23

f Ref. 24, 25, 26

g spherical box potential =  $V(r) = 0, r < r_f; V(r) = \infty, r > r_f.$

h dumb-bell potential =  $A_1 \exp(-\alpha_1 |R_A - R_1|) + A_2 \exp(-\alpha_2 |R_B - R_1|).$

TABLE VIII. Summary of binary collision formulations.<sup>a</sup>

	Shin <sup>b</sup>	Wiesenfeld <sup>c</sup>
Predominant accepting mode	R	R
Lattice mode	planar hexagonal	not applicable
Potential	$\sum_{i=1}^6 A \exp[-(1-d)/R]$	$A \exp(-\alpha R)$
Restriction in $\Delta J$	none	none
Initial state	HO, HR	HO, RR
Final state	HO, RR	HO, RR
$\Delta E$ Compensation	local translation	local translation
Collision frequency	= local phonon frequency	= local phonon frequency

<sup>a</sup> Abbreviations same as Table VII.

<sup>b</sup> Ref. 27

<sup>c</sup> Ref. 28

TABLE IX. Translational energy levels for HCl in Ar, Kr, Xe Lennard-Jones Devonshire cells (in  $\text{cm}^{-1}$ ).<sup>a</sup>

n	Ar	Kr	Xe
0	46	33	21
1	140	100	64
2	236	169	109
3	335	239	155
4	430	311	209
5	539	385	252
6	644	461	303
7	751	537	355
8	861	616	408
9	972	695	462
10	1086	777	518
11	1201	859	575
12	1319	943	633
13	1438	1029	691
14	1559	1115	752
15	1682	1203	813
16	1807	1293	875
17	1933	1383	938
18	2061	1475	1002
19	2191	1568	1068
20	2323	1662	1133
21	2457	1758	1201
22	2592	1854	1269
23	2728	1952	1338
24	2867	2051	1408
25	3007	2151	1479
26	3185	2253	1559
27		2355	1624
28		2454	1697
29		2564	1771
30		2670	1847
31		2776	1923
32		2884	2000
33		2993	2078
34		3104	2157
35			2236
36			2317
37			2390
38			2480
39			2563
40			2646
41			2730
42			2816
43			2902
44			2988

<sup>a</sup>  $\epsilon_{\text{HCl}} = 250 \text{ cm}^{-1}$ ,  $\sigma_{\text{HCl}} = 3.31 \text{ \AA}$ .

Fig. 1. Absorption spectrum of fundamental region of HCl/Kr = 1/4520, mmol, 9 K. Resolution =  $0.5 \text{ cm}^{-1}$ . The weak "Q" branch may be due to complex formation.

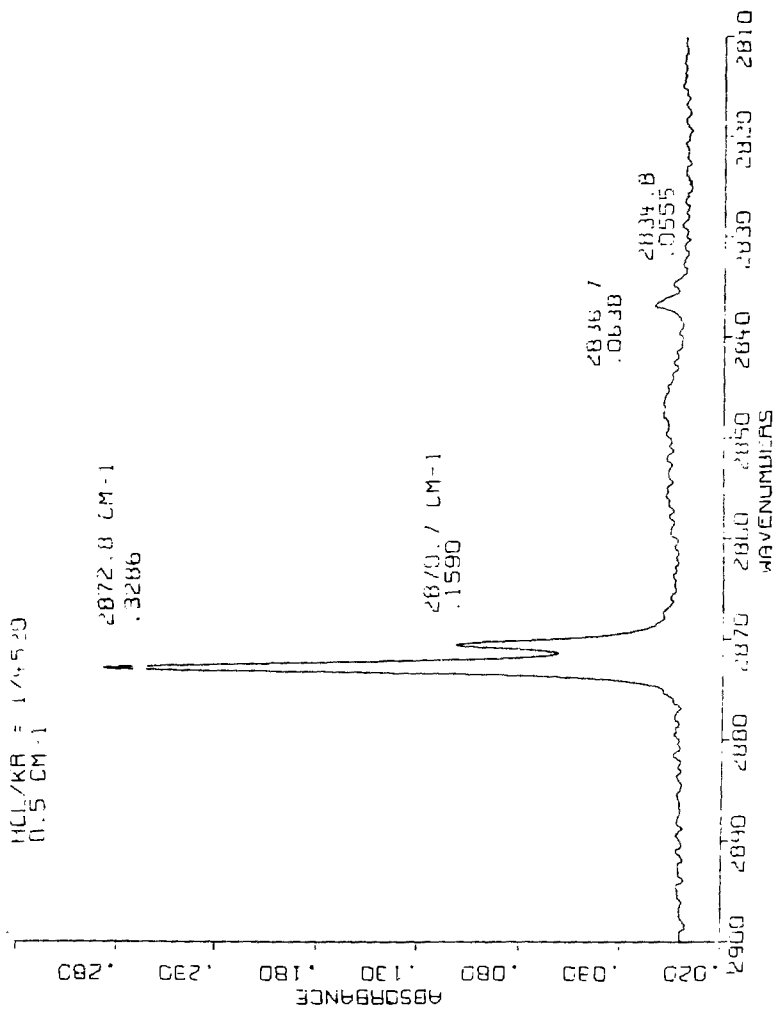


Fig. 2. Absorption spectrum of first overtone region of HCl/Kr = 1/290, 11.5 mmol, 9 K. Resolution =  $0.5 \text{ cm}^{-1}$ . The weak "Q" branch may be due to complex formation.

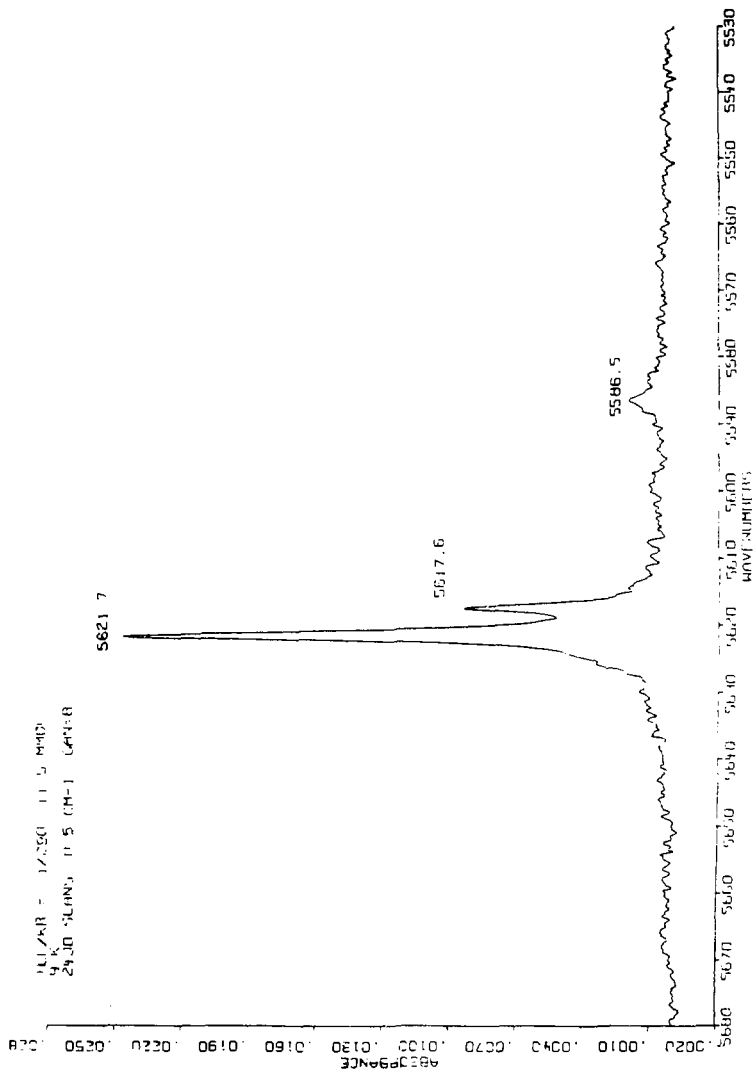
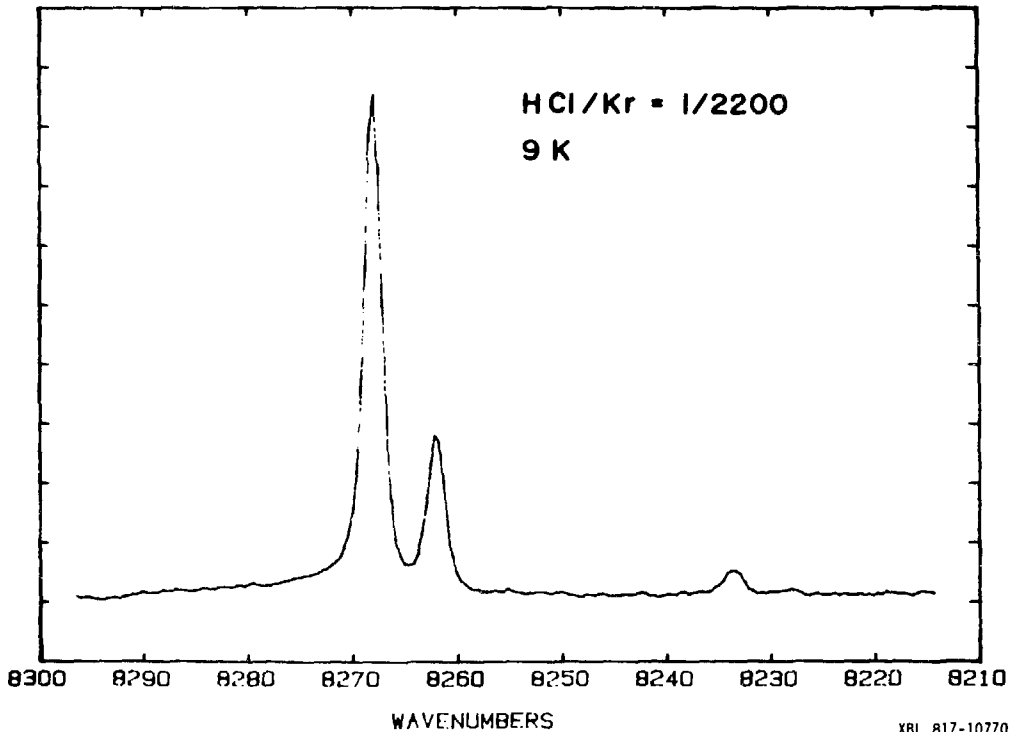




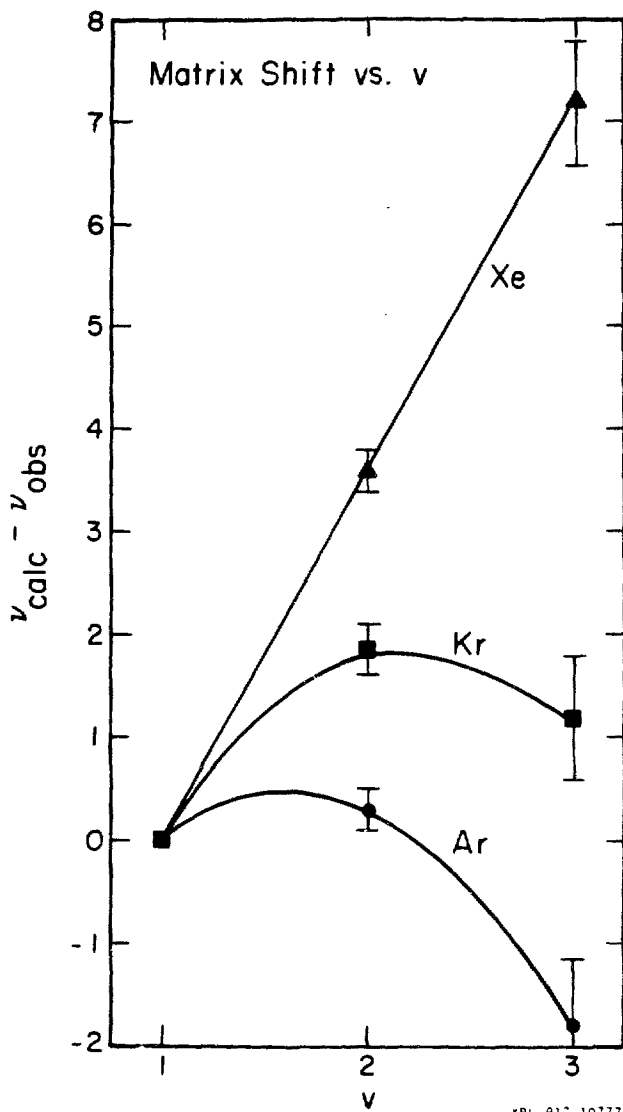
Fig. 3. Fluorescence excitation spectrum of second overtone region of HCl/Kr = 1/2200, 44 mmol, 9 K. Resolution = 1.0 cm<sup>-1</sup>.

EMISSION INTENSITY

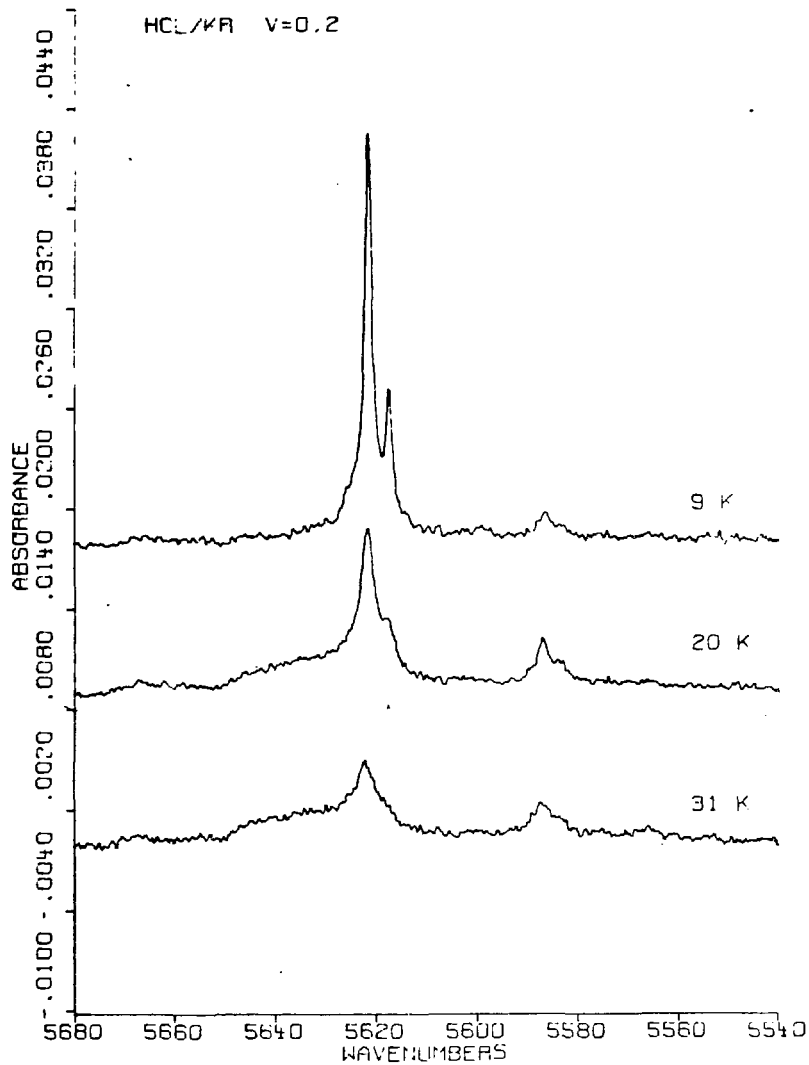


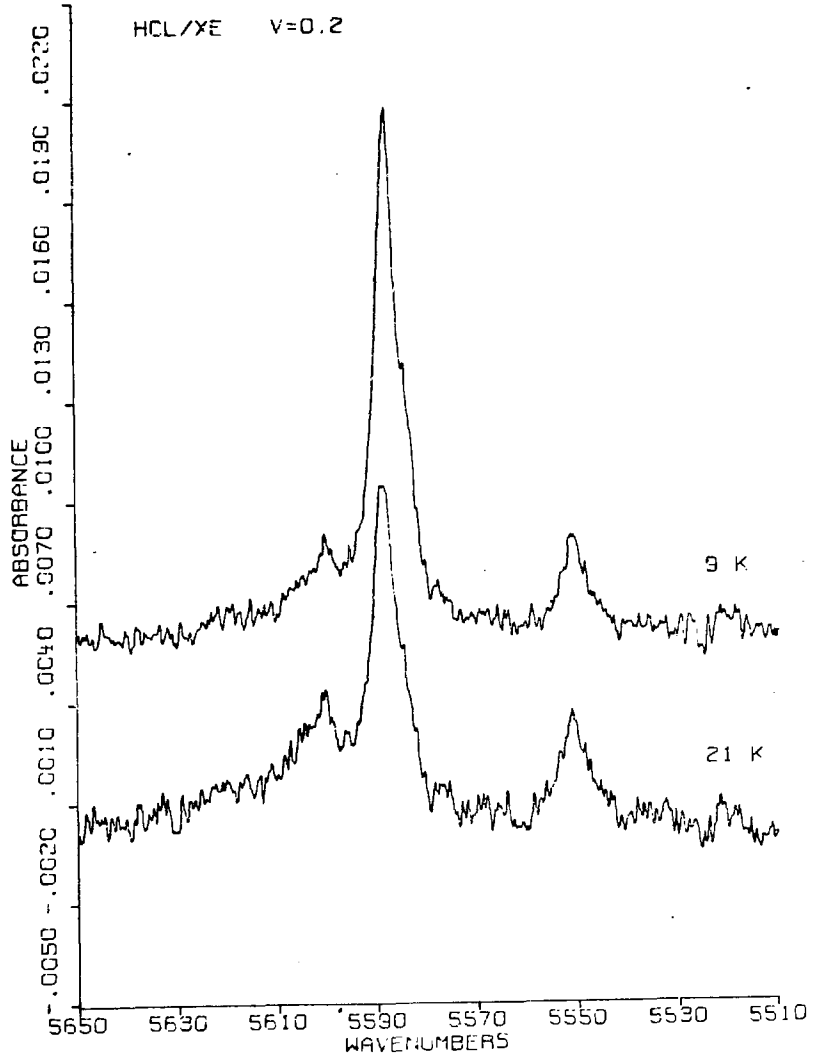
XBL 817-10770

Fig. 4. Matrix shift as a function of vibrational quantum number,  $v$ . Values of the ordinate are obtained from the observed and calculated transition frequencies given in Table II.



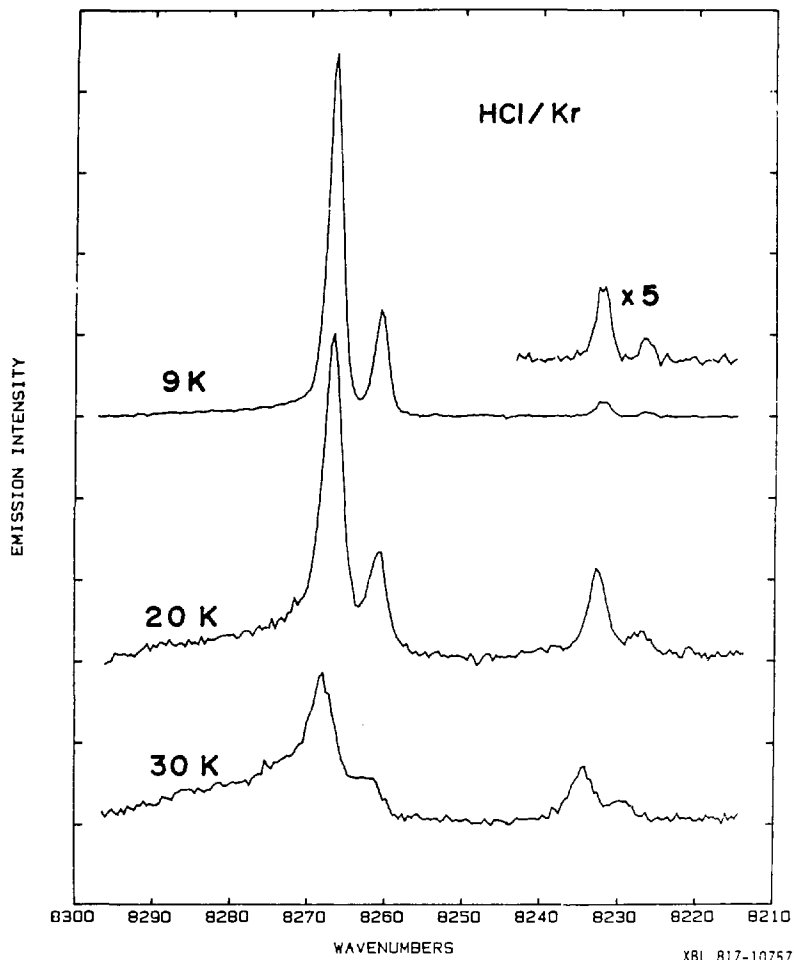
- Fig. 5. (a)  $v=0 \rightarrow 2$  absorption spectrum of HCl/Kr = 1/290, 11.5 mmol, at 9, 20 and 30 K.
- (b)  $v=0 \rightarrow 2$  absorption spectrum of HCl/Xe = 1/190, 9.0 mmol, at 9 and 20 K.





- Fig. 6. (a)  $v=0 \rightarrow 3$  fluorescence excitation spectrum of HCl/Kr = 1/2200 44 mmol at 9, 20 and 30 K.
- (b)  $v=0 \rightarrow 3$  fluorescence excitation spectrum of HCl/Xe = 1/4720, 72 mmol, at 9, 20 and 40 K. Wavelength scale reversed.





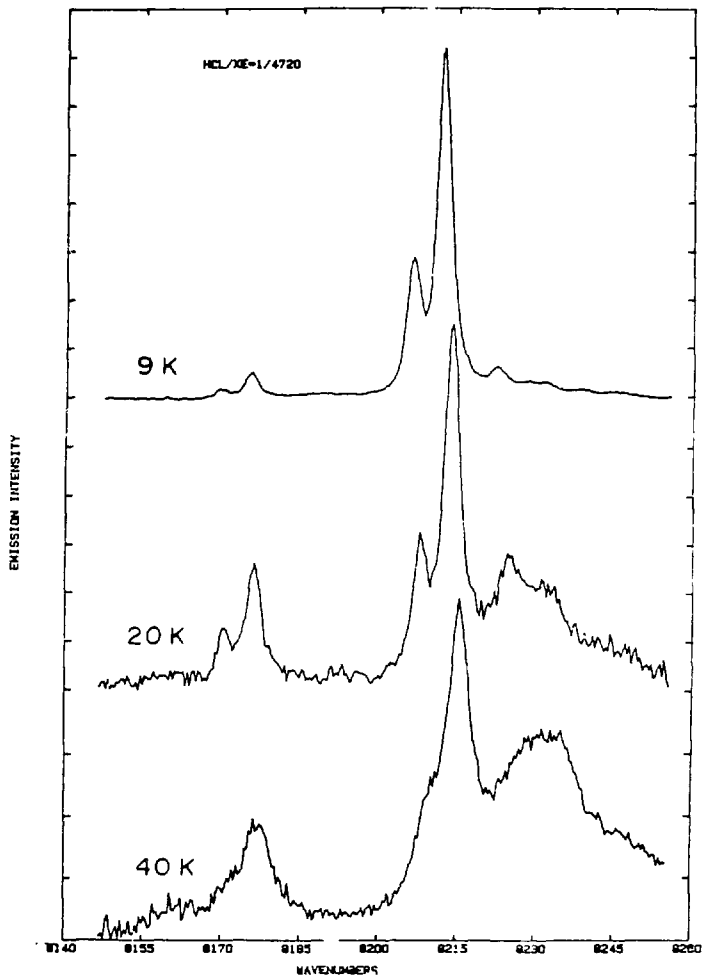
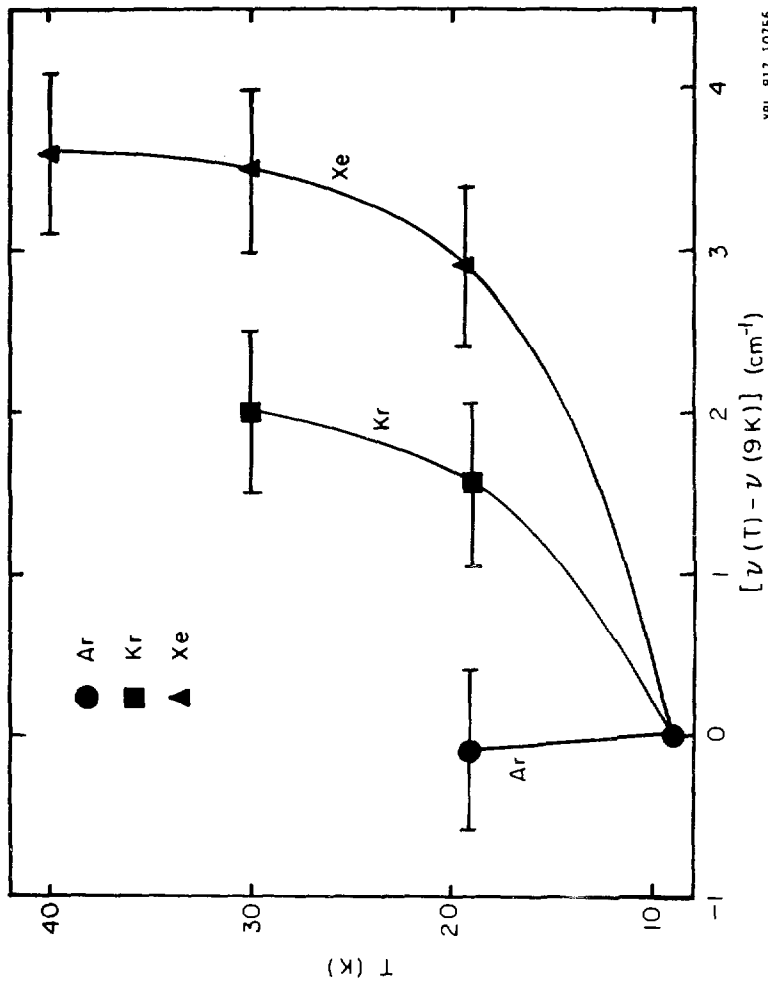
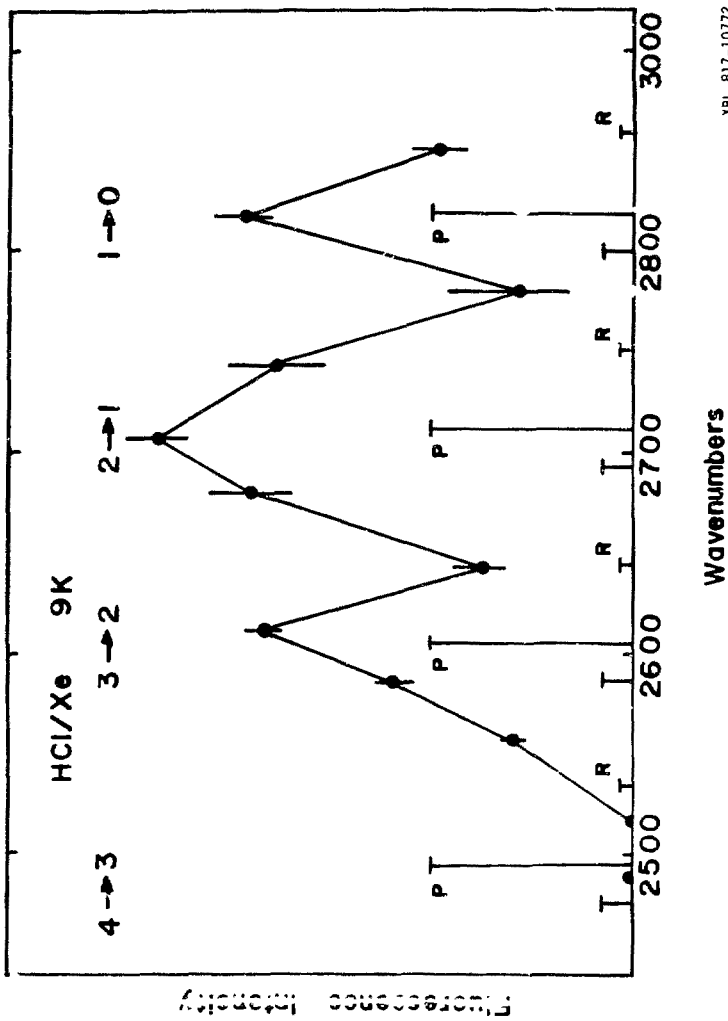


Fig. 7.  $v=0 \rightarrow 3$  transition frequency as a function of temperature. Determined by fluorescence excitation spectroscopy.



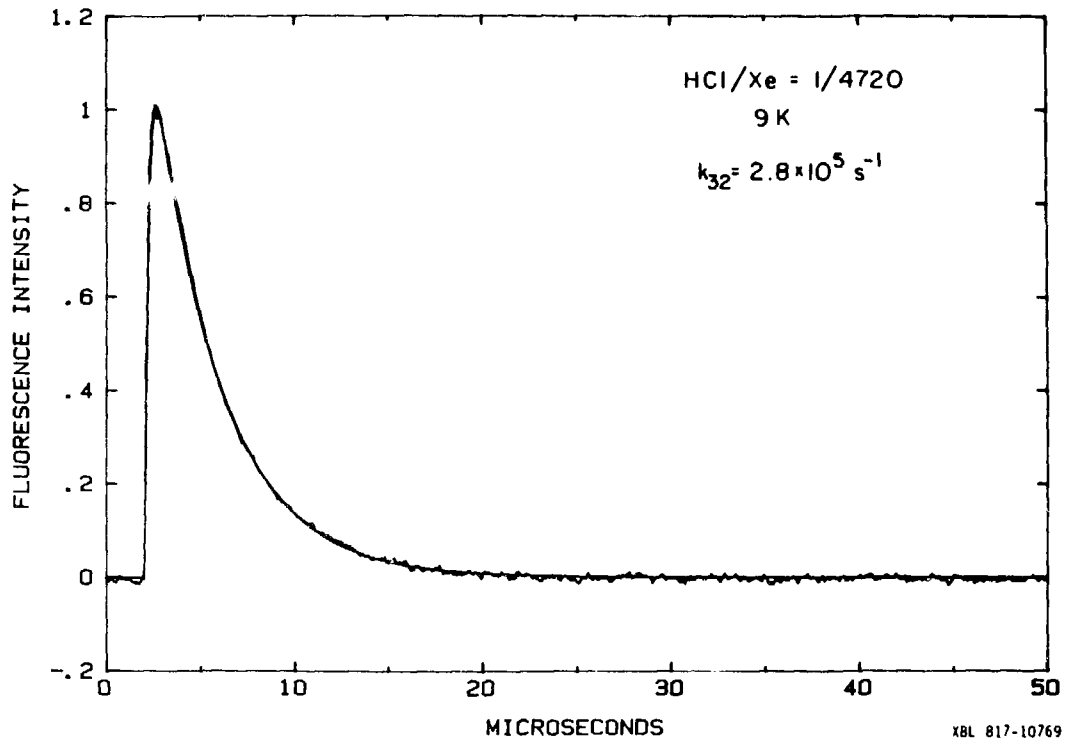
XBL 817-10756

Fig. 8. Emission spectrum for HCl/Xe = 1/4720 at 9 K. Points are integrated fluorescence traces normalized by Einstein A coefficients and decay times;  $I_{\text{corr}} = I_{\text{obs}}/A\tau$ . Histograms indicate emission from a Boltzmann distribution of rotational levels.



XBL 817-10772

Fig. 9. (a)  $v=3 \rightarrow 2$  fluorescence after  $v=0 \rightarrow 3$  excitation.  
(b)  $v=2 \rightarrow 1$  fluorescence after  $v=0 \rightarrow 3$  excitation.





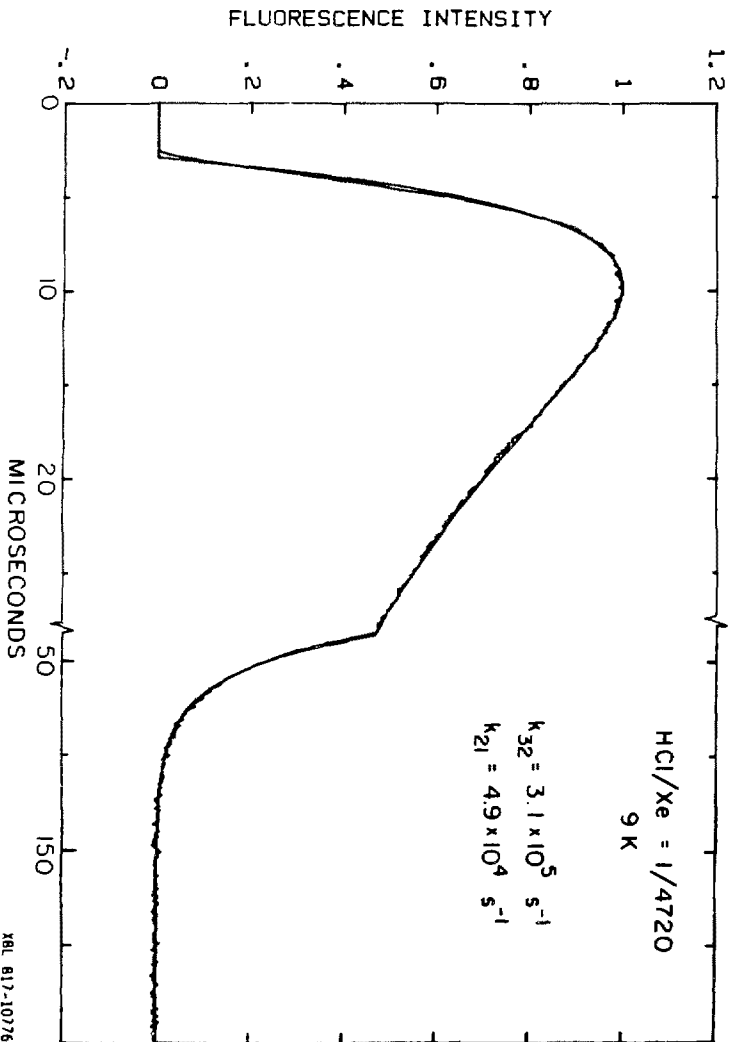


Fig. 10. Concentration dependence of  $v=1$  and  $v=2$  lifetimes for HCl/Kr system.

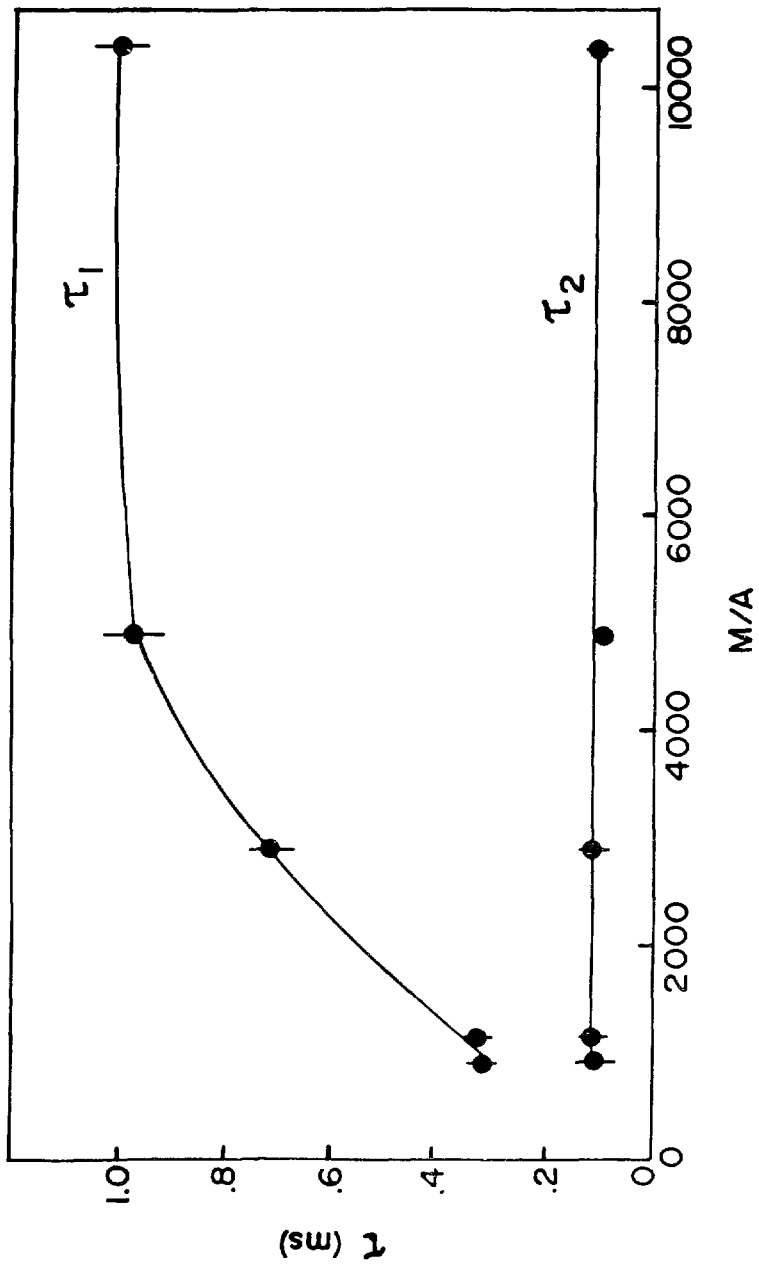


Fig. 11. Relaxation rates of  $v=1, 2$  and  $3$  at  $20$  K as a function of gas-matrix shift. Values of the abscissa are calculated from  $\nu_{\text{gas}}(v) - \nu_{\text{matrix}}(v)$ .

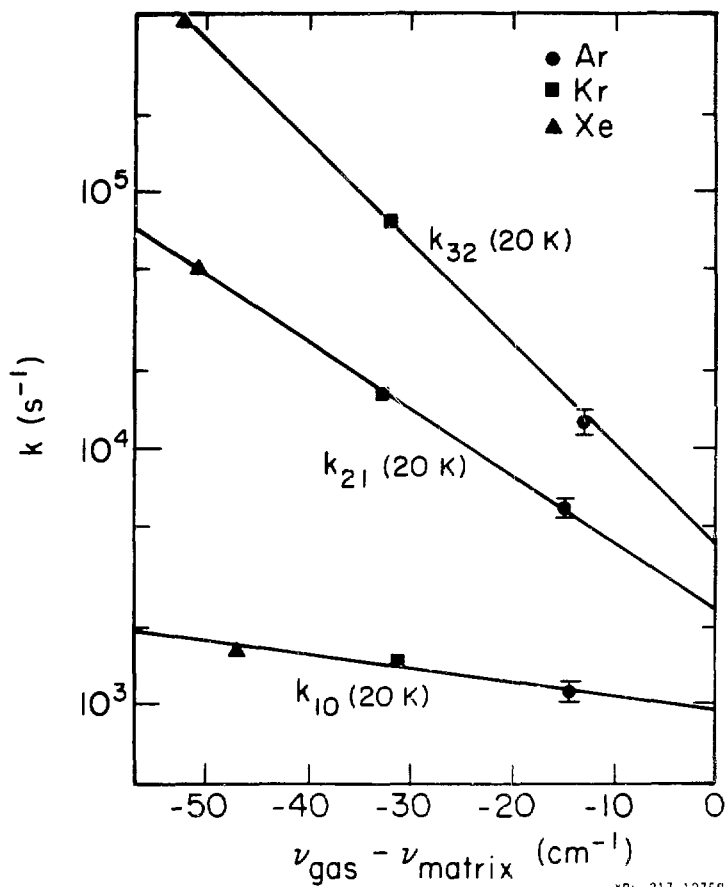


Fig. 12. Temperature dependence of  $v=1$  relaxation rate in Ar, Kr and Xe matrices.

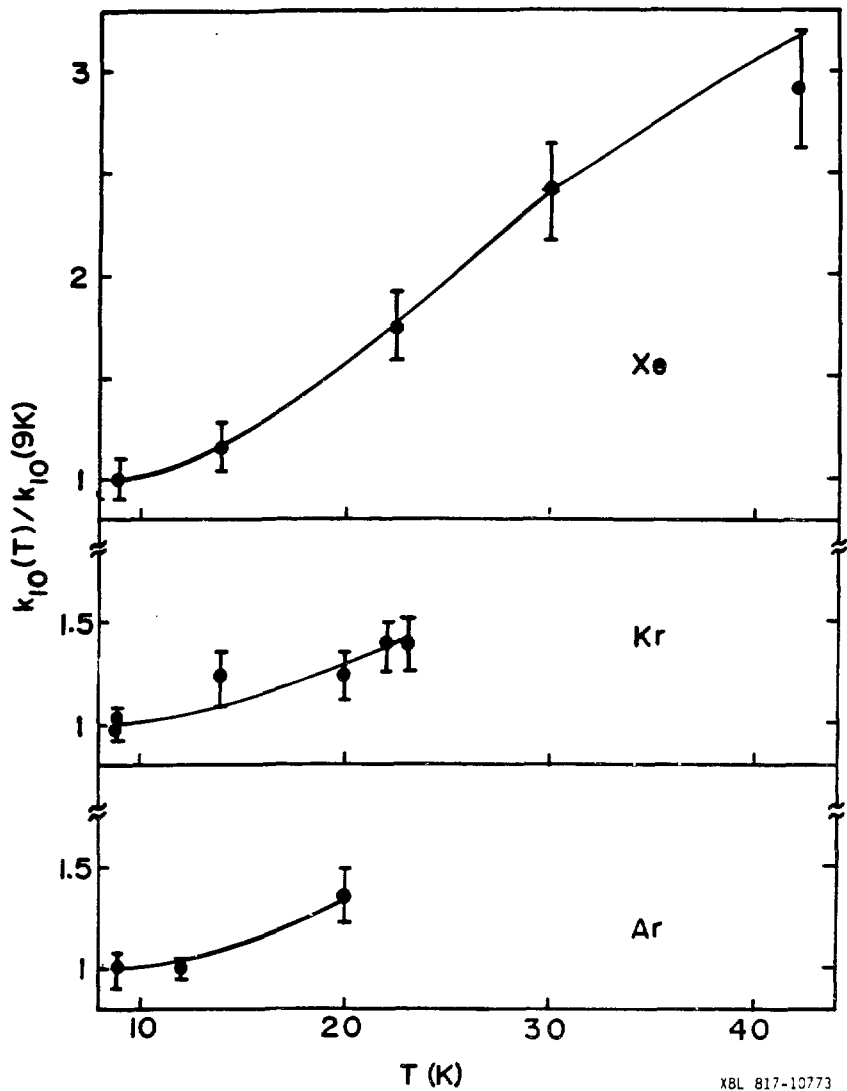


Fig. 13. Temperature dependence of  $v=2$  relaxation rate in Ar, Kr and Xe matrices.



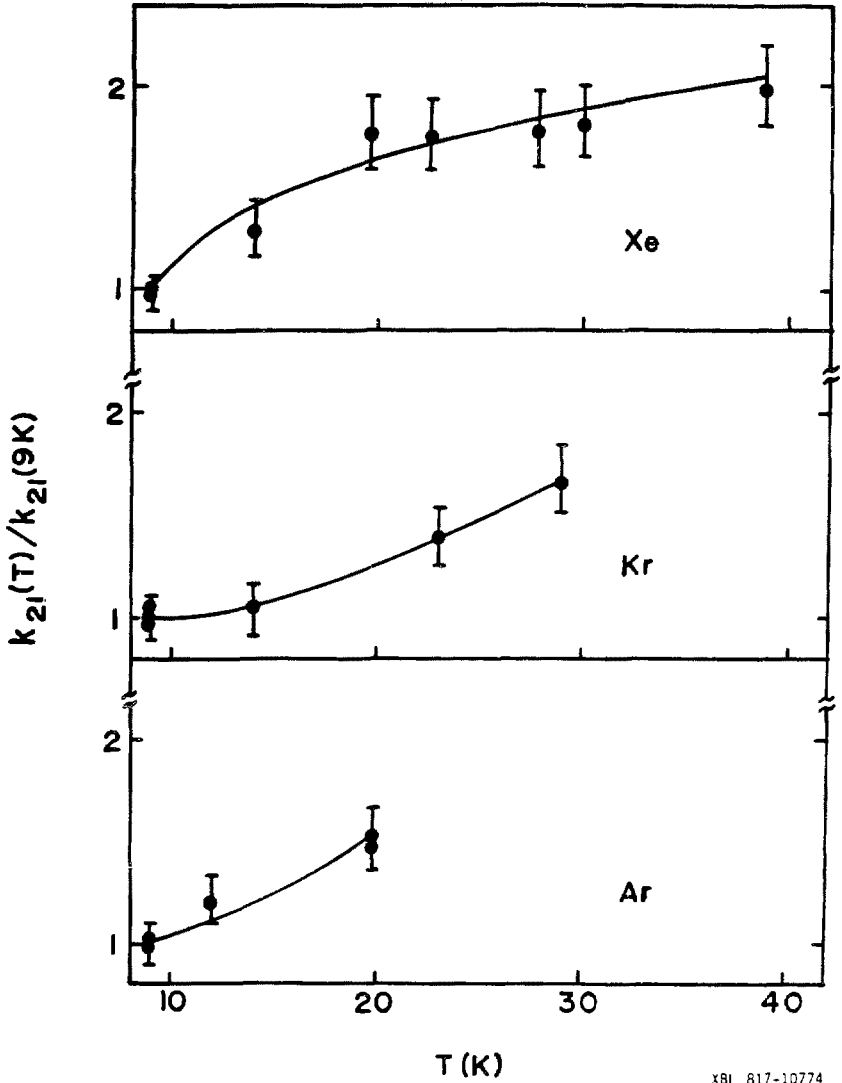


Fig. 14. Temperature dependence of  $v=3$  relaxation rate in Kr, and Xe matrices.

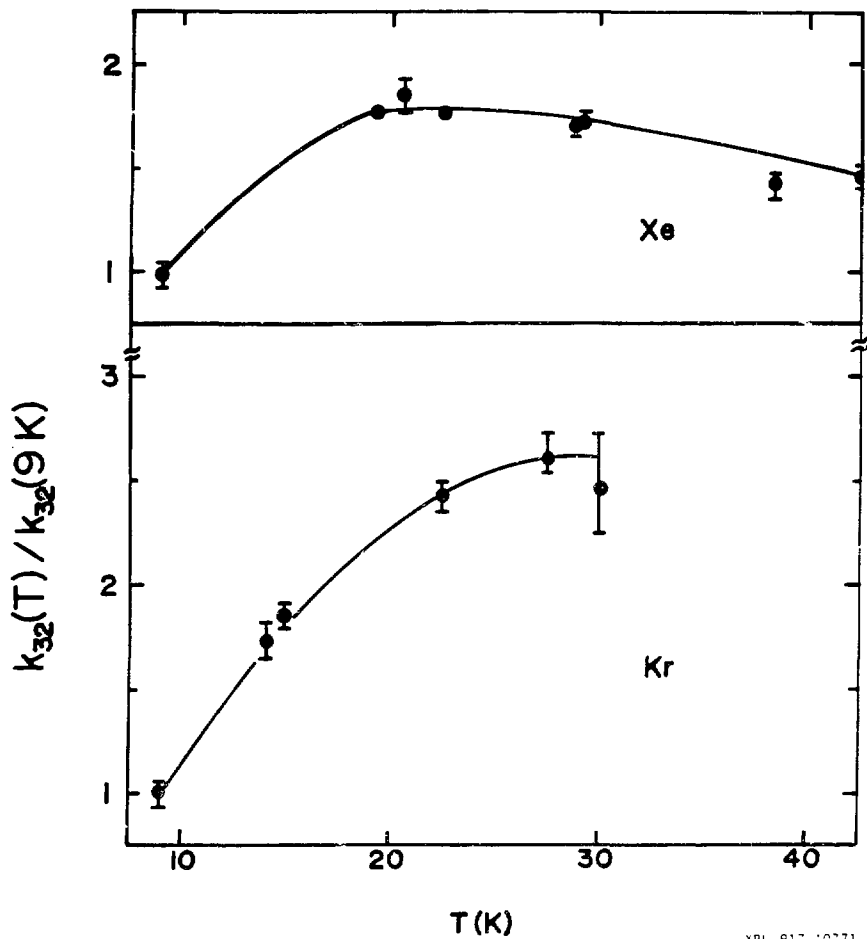


Fig. 15. Geometry of HCl trapped in a substitutional site of an fcc lattice. The ci of the HCl is located at the lattice point in the center plane. The four Ar atoms in the plane are symmetrically arranged about the ci. Nearest neighbor atoms in adjacent planes are arranged in a square array rotated  $45^\circ$  with respect to the center plane. The HCl molecular axis is perpendicular to the center plane.

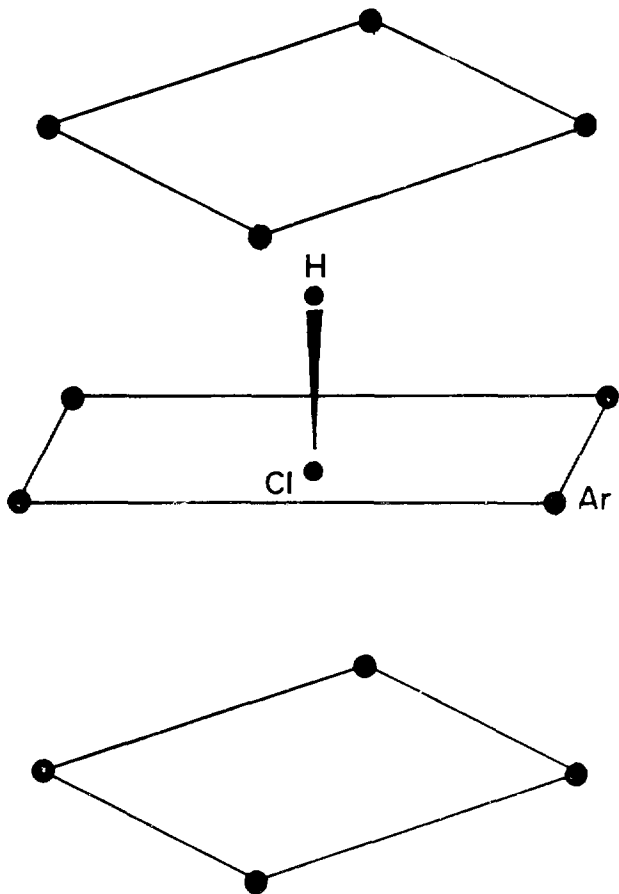


Fig. 16. The relative size of host cages is shown. Atomic radii of inert gas is used to determine cage size. The  $c_i$  of the HCl is located at the lattice point. The  $c_m$  is located on the molecular axis at the inside edge of the point representing the Cl atom.  $\psi$  is the angle between the molecular axis and the  $i^{\text{th}}$  host atom.

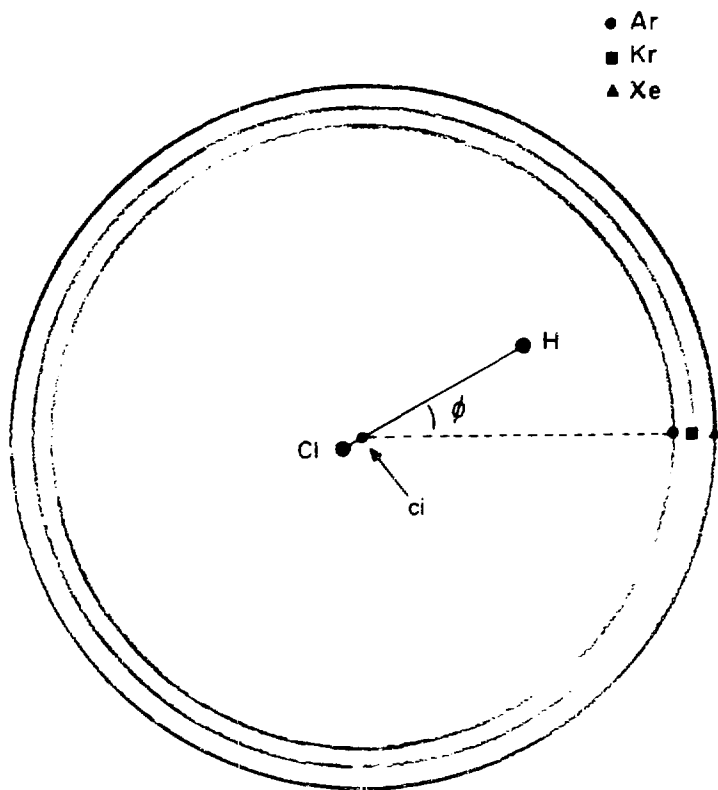


Fig. 17. Lennard-Jones-Devonshire, harmonic oscillator and spherical box potentials for Ar, Kr and Xe.



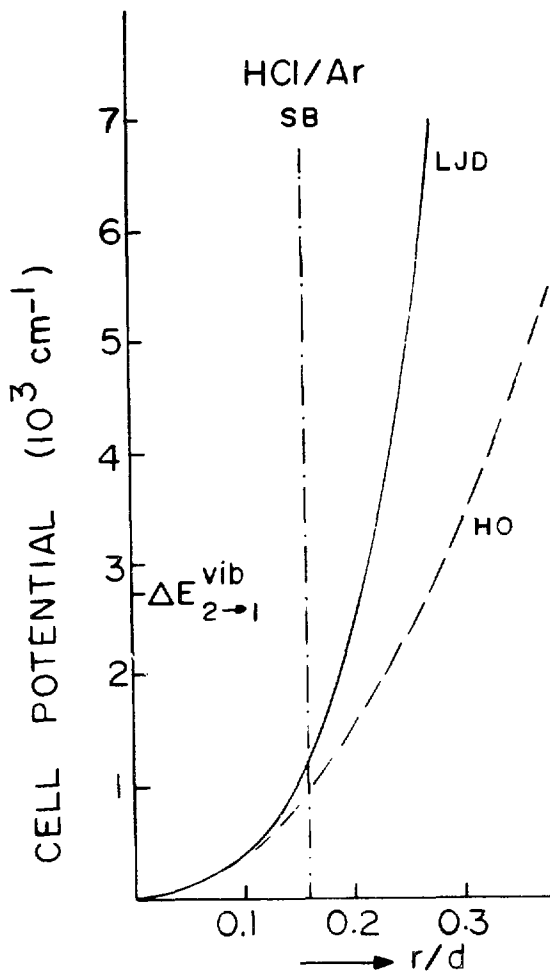


Fig. 18. Translational energy levels for HCl in Lennard-Jones-Devonshire cell of Ar, Kr and Xe. Values are listed in Table IX.

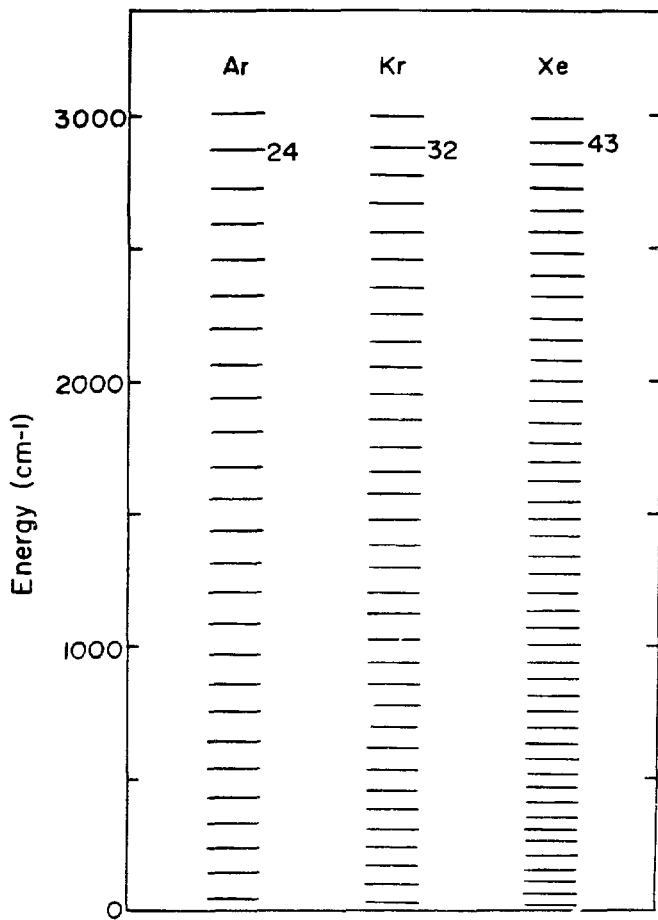


Fig. 19. Variation of two-body Lennard-Jones HCl-M interaction with vibrational excitation. The variables  $\delta$  and  $\rho$  are defined as  $\delta = (E-E_0)/E_0$  and  $\rho = (S-S_0)/S_0$ .

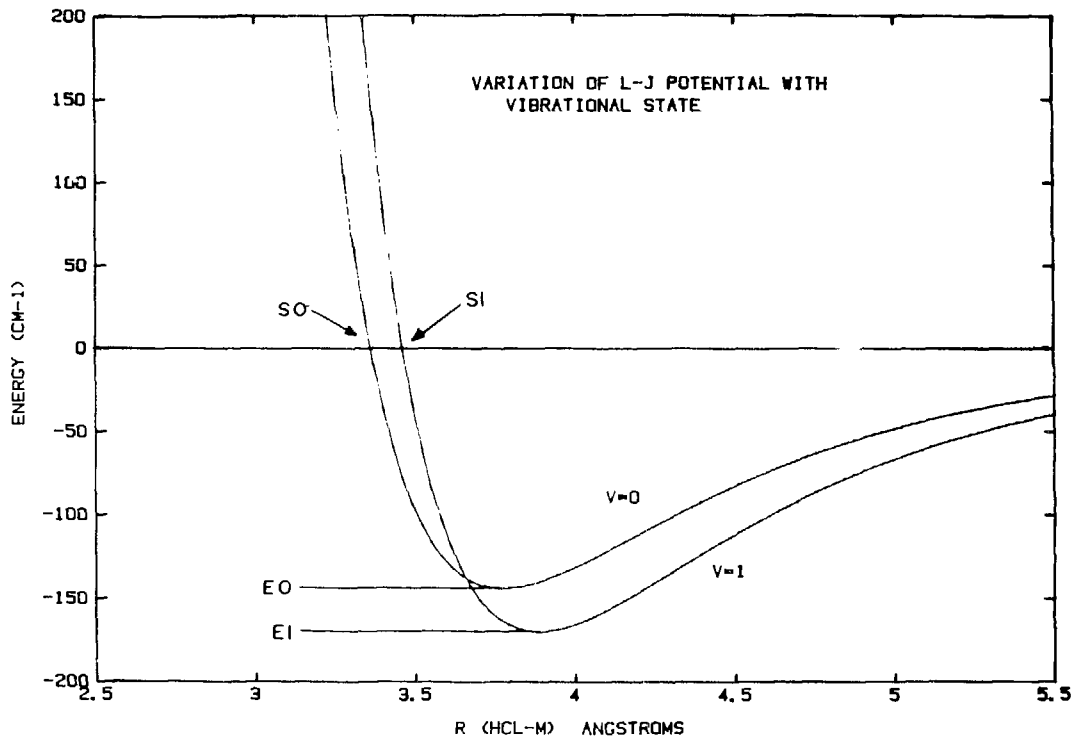
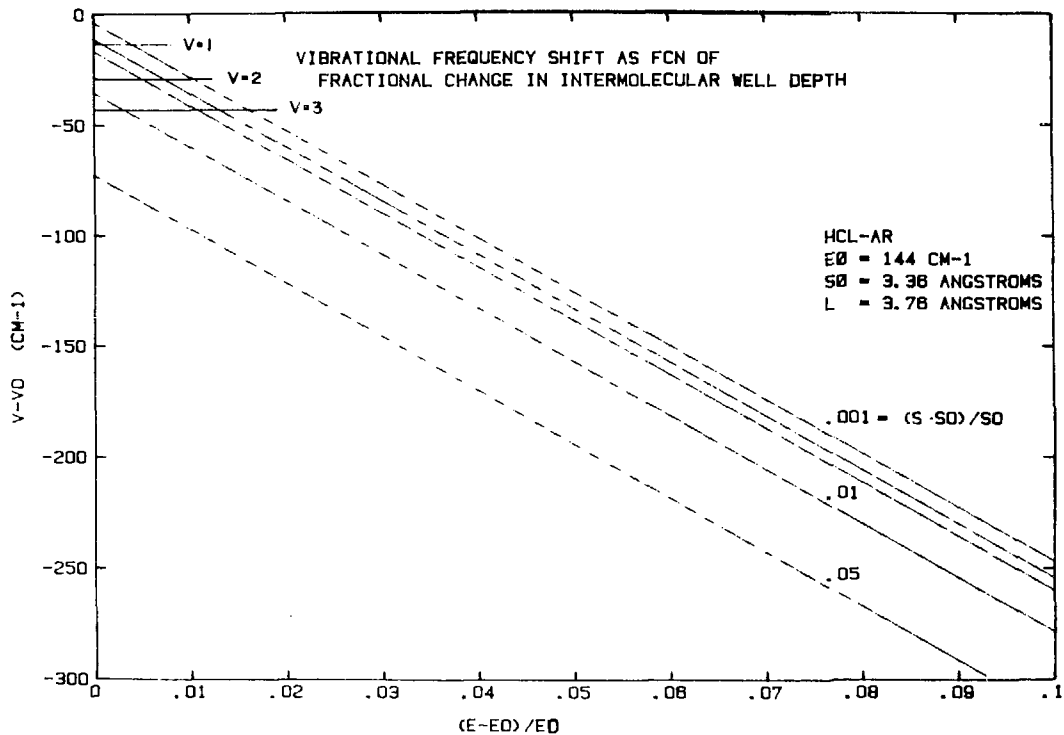
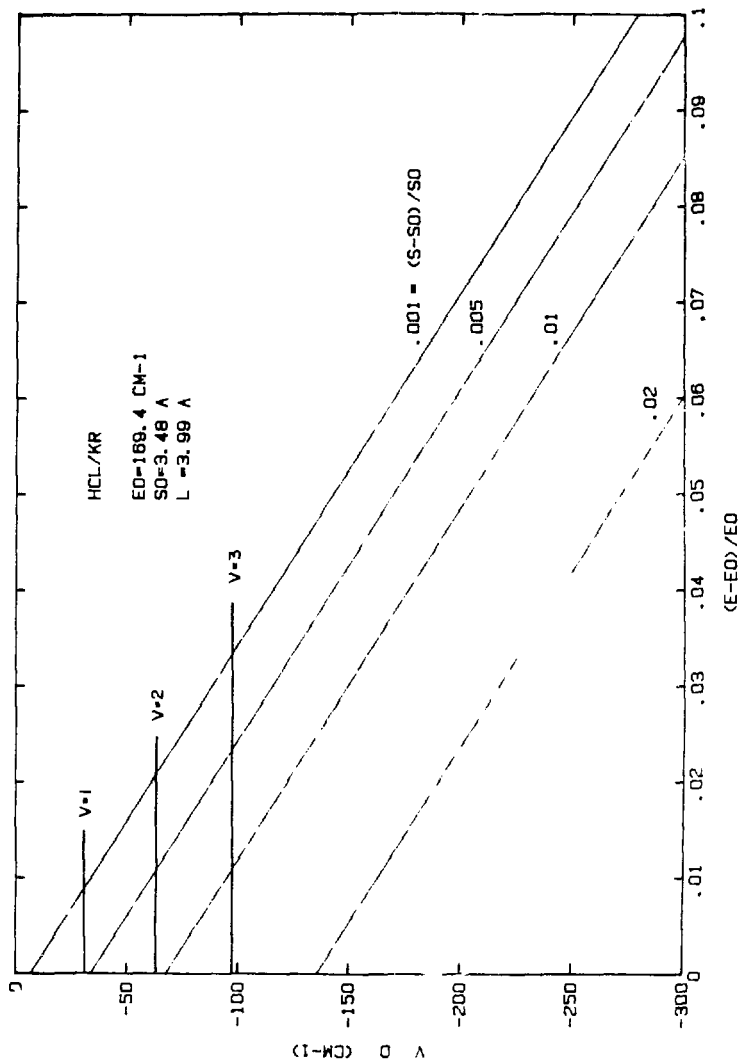


Fig. 20. Ordinate = observed  $0 \rightarrow v$  gas to matrix shift. Range of the parameters  $\delta = (E-E_0)/E_0$  and  $\rho = (S-S_0)/S_0$  which are sufficient to fit the spectroscopic shift observed for  $v=1, 2$  and  $3$ . Spectroscopic shift for a particular  $v$  state can be fit by any combination of  $\delta$  and  $\rho$  which intersect the appropriate line.







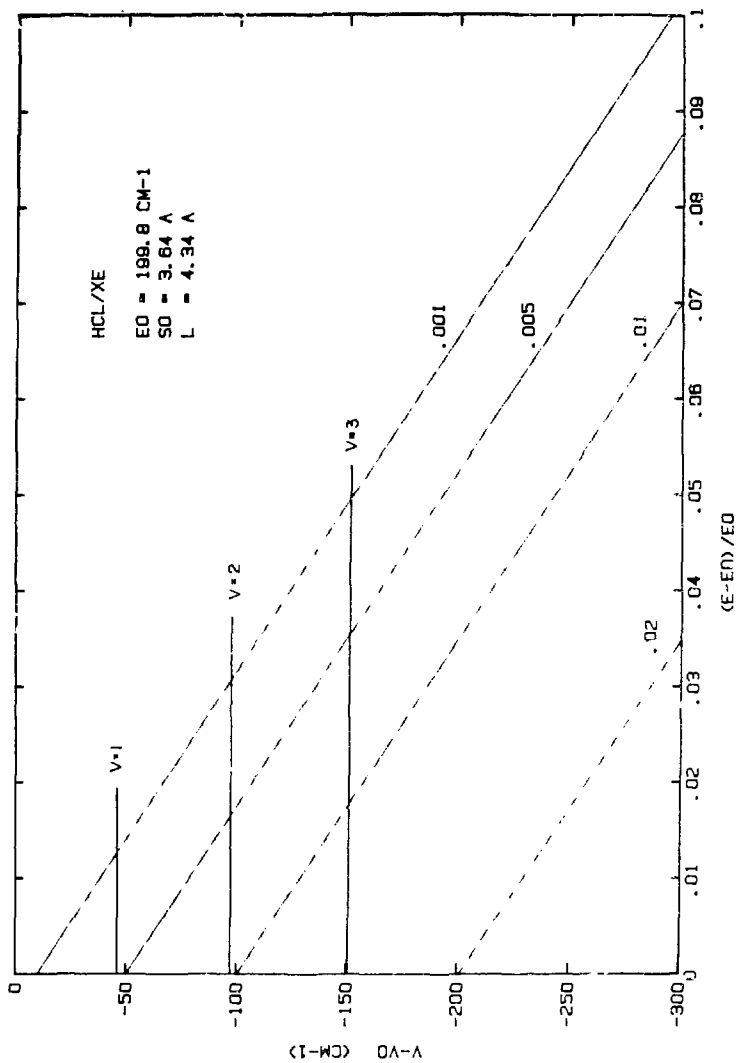


Fig. 21. Lennard-Jones two-body HCl-M potentials. Triangles indicate the distance between the molecular di and host atom in a van der Waals complex. Circles indicate the nearest neighbor distance.

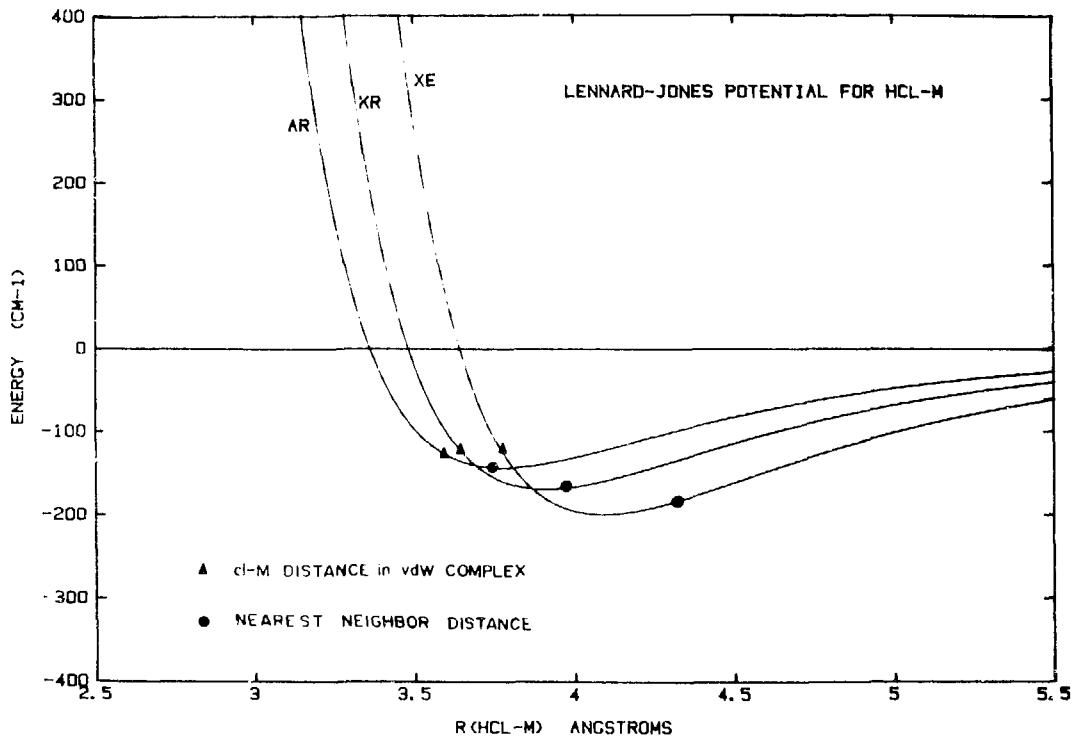
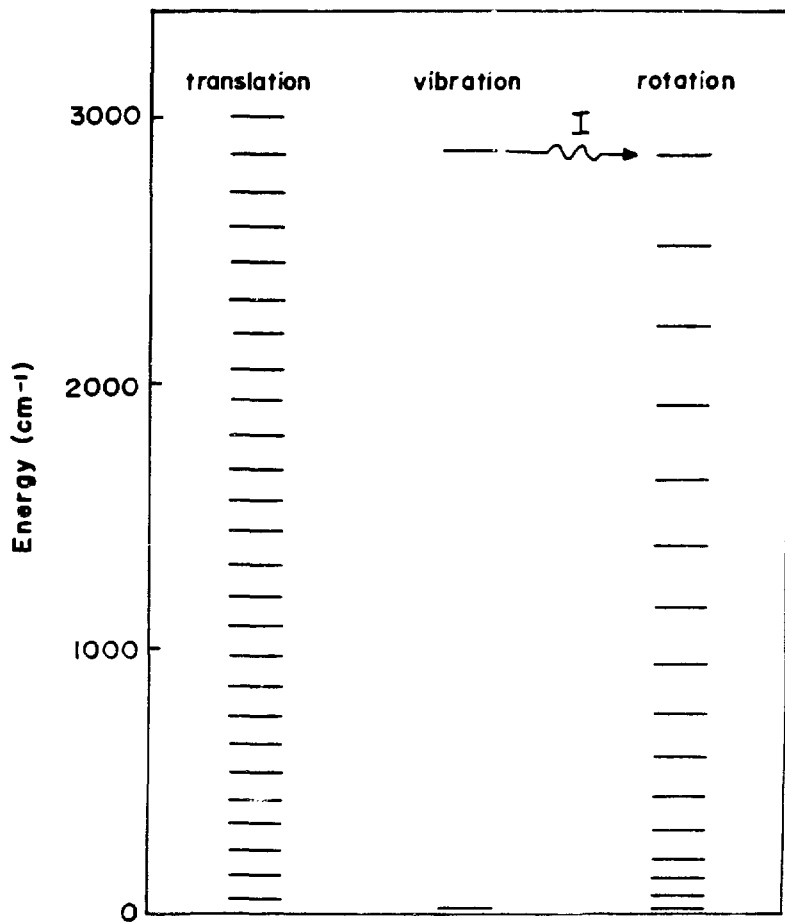


Fig. 22. General schematic of proposed mechanism. I= van der Waals complex of HCl-M. Vibrational, rotational, translational energy levels for HCl/Ar. Rotational energy levels are calculated using the gas phase B value,  $10.5 \text{ cm}^{-1}$ . Translational energy levels calculated for a particle in a spherically symmetric potential with walls described by a Lennard-Jones-Devonshire cell model.



## APPENDIX I. DATA COLLECTION PROGRAMS

```

TYPE DX1:GRAYSC.BAS
10 REM PROGRAM TO PERFORM EXCITATION SPECTRA ON Q-R DYE LASER
20 LOAD DX0:'DPI.SPS'
30 SIFT0 @0,-1
35 LA=0+32
40 TA=0+64
45 SA=0+96
50 PRINT 'STARTING WAVELENGTH':
55 INPUT SX
60 PRINT 'ENDING WAVELENGTH':
65 INPUT EX
66 PRINT 'GRATING ORDER':
67 INPUT G0
68 G=.012/G0
70 PRINT 'INPUT # OF STEPS/INCREMENT('IGI*NM/STEP), MAX=255'
71 PRINT 'INPUT NEGATIVE NUMBER TO SCAN TO SHORTER WAVELENGTH'
75 INPUT CX
78 DELETE FI
79 M=ITP(ARS((SX-EX)/CX/G))
80 PRINT M;'INCREMENTS IN THIS SPECTRUM'
81 DIM FI(M-1)
85 PRINT '# OF TRACES TO BE AVERAGED AT EACH INCREMENT/64 ?'
90 INPUT N
91 X=SX
95 IF CX<0 THEN 115
100 IR=ABS(CX)+256
105 GOTO 120
115 IR=ABS(CX)
120 C1=0
121 C2=0
125 IF IR 64 THEN 155
130 IR=IR-64
140 C1=C1+1
150 GOTO 125
155 IF IR 8 THEN 175
160 IR=IR-8
165 C2=C2+1
170 GOTO 155
175 R0=STR(C1)&STR(C2)&STR(IR)
180 PRINT 'SET FDL GRATING AT STARTING WAVELENGTH'
185 PRINT 'DIGITIZING CRT DEFECTS 2048 TIMES'
190 PUT 'DIG DEF,2048' INTO @0,LA,SA
200 PUT 'READ DEF' INTO @0,LA,SA
210 GOSUB 2000
220 DELETE DF
230 INTEGER I(SIZ(DF)-1)
240 DF=00
245 PUT 'MOD TV' INTO @0,LA,SA
250 PRINT 'SET UP 7912 TO ACQUIRE ZERO REF'
260 PRINT 'PRESS ANY KEY WHEN READY'
270 SIFCOM @0,LA,SA,'GTL'
275 DELETE WR
280 WAVEFORM WR IS R(S11),SF,HP%,UP%
285 WAIT
290 GOSUB 1000
295 WR=WA
300 GOSUB 1500
310 PRINT 'REFERENCE TRACE, OK?':
315 INPUT AN$
320 IF ASC(AN$)>89 THEN 250
330 PRINT 'SETUP 7912 TO ACQUIRE DATA'
340 PRINT 'PRESS ANY KEY WHEN READY'
350 GOTO 2700
500 K=K+1
510 X=X+CX
515 IF K=SIZ(FI) THEN 710
520 FUTLOC '167772',K%

```

```

520 PUTLOC '167772',R0
530 GOTO 330
600 PRINT 'SET PDL AT STARTING WAVELENGTH, PRESS KEY TO START'
601 DELETE W0
602 WAIT
603 PRINT M1 'INCREMENTS OF 'CX0G1'NM'
604 PRINT 'INTENSITY', 'INCRHT', 'WAVELENGTH'
605 K=0
606 XC=BX
610 GOSUB 1000
620 WA=WA-WR
625 LOAD DX1: 'INT.SPS'
630 INT WA,WA
635 RELEASE 'INT.SPS'
640 FI(K)=A(511)
650 PRINT FI(K),K,XC
660 XC=XC+CX0G
670 K=K+1
680 IF K>SIZ(FI -1 THEN 710
690 PUTLOC '167772',R0
700 GOTO 610
710 PRINT 'SCAN COMPLETE, PRESS KEY FOR EXC. SPECTRUM'
720 WAIT
730 PAGE
740 WAIT 1000
750 GRAPH FI
755 SHOVE 100,750
760 PRINT 'STORE EXC. SPECTRUM?'
770 INPUT AN0
780 IF ASC(AN0)<>89 THEN 850
790 PRINT 'PUT DATA DISKETTE IN DX1:, INPUT FILE NAME'
800 INPUT F0
810 OPEN #1 AS DX1:F0 FOR WRITE
820 WRITE #1,FI,SX,EX
830 CLOSE #1
840 PRINT 'EXC. SPECTRUM STORED AS DX1:':F0
850 END

1000 REM SUBROUTING TO AVERAGE N#64 TRACES
1005 DELETE WA
1010 WAVEFORM WA IS A(511),SP,HP0,VP0
1020 WA=0
1030 FOR I=1 TO N
1040 PUT 'DIG SA,64' INTO @0,LA,SA
1050 PUT 'READ SA' INTO @0,LA,SA
1060 GOSUB 2000
1070 WA=WA+@0
1080 NEXT I
1090 N0=N#64
1095 SIFCDM @0,LA,SA,'GTL'
1110 PUT 'MODE TV:HS1?' INTO @0,LA,SA
1115 GET A0 FROM @0,TA,SA
1120 SP=VAL(SEG(A0,5,LEN(A0)-1))/51.2
1125 PUT 'VS1?' INTO @0,LA,SA
1130 GET A0 FROM @0,TA,SA
1135 VA=VAL(SEG(A0,5,LEN(A0)-1))/64
1140 PUT 'HU1?' INTO @0,LA,SA
1145 GET A0 FROM @0,TA,SA
1150 HF0=SEG(A0,5,LEN(A0)-1)
1155 VP0='COUNTS'
1160 RETURN
1500 REM
1510 REM SUBROUTINE TO GRAPH WAVEFORM WA
1520 REM
1620 VIEWF0RT 200,800,100,700
1630 SETGR VIEWF0RT,NOPLOT
1640 PAGE
1650 WAIT 1000
1660 GRAPH WA

```

```

1445 DISPLAY I,WA
1470 SHOWE 10,750
1480 PRINT VAI' VOLTS/COUNT'
1700 SHOWE 400,750
1710 PRINT NOI' SWEEPS COMPLETED'
1715 SHOWE 400,730
1720 RETURN
2000 REM
2010 REM SUBROUTINE TO READ DATA ARRAY
2020 REM
2030 DELETE QQ
2040 IFDM  $\theta$ , 'UMP'
2050 GET X FROM  $\theta$ ,TA,SA
2060 IF CHR(X)<'Z' THEN STOP
2070 IFDM  $\theta$ , 'PAK', 'HBF'
2080 GET CW FROM  $\theta$ ,TA,SA
2090 CW=(CW-1)/2-1
2100 INTEGER QQ(CW)
2110 GET QQ FROM  $\theta$ ,TA,SA
2120 IFDM  $\theta$ , 'UMP'
2130 GET X FROM  $\theta$ ,TA,SA
2140 GET X FROM  $\theta$ ,TA,SA
2150 IF CHR(X)<'I' THEN STOP
2160 RETURN
2400 WA=WS-WR
2410 GOSUB 1500
2420 PRINT 'SIG-REF, PRESS ANY KEY TO DISPLAY INTEGRAL'
2430 WAIT
2435 LOAD DX1:'INT.SFS'
2440 INT WA,WA
2445 RELEASE 'IN'.SFS'
2450 GOSUB 1500
2460 PRINT 'INTEGRAL ='IA(511)
2470 PRINT 'PRESS ANY KEY FOR MENU'
2475 WAIT
2500 REM SUBROUTINE OPTION
2501 PAGE
2502 WAIT 1000
2510 PRINT 'OPTION'
2520 PRINT * 1 SUBTRACT/INT/DISPLAY
2530 PRINT * 2 STORE RAW'
2540 PRINT * 3 CONTINUE
2550 PRINT * 4 AUTOSCAN/STORE INT
2560 PRINT * 5 ABORT
2570 INPUT OP
2610 IF OP=1 THEN 2400
2620 IF OP=2 THEN 3000
2630 IF OP=3 THEN 500
2640 IF OP=4 THEN 600
2650 IF OP=5 THEN 760
2700 SIFDM  $\theta$ ,LA,SA,'GTL'
2710 WAVEFORM WS IS S(511),SP,HP%,UP%
2720 WAIT
2730 GOSUB 1000
2750 GOSUB 1500
2755 WS=WA
2760 PRINT 'SIGNAL TRACE, PRESS ANY KEY FOR MENU'
2765 WAIT
2770 GOTO 2500
3000 PAGE
3010 WAIT 1000
3015 PRINT 'PUT DATA DISKETTE INTO DISK DRIVE 1'
3020 PRINT 'INPUT NAME OF DATA FILE IN XXXXXX.XXX.FORMAT'
3030 INPUT B%
3040 OPEN #1 AS DX1:B% FOR WRITE
3050 WRITE #1,WS,HP%,NS,US
3060 CLOSE #1
3070 PRINT 'DATA FILE 'B%' HAS BEEN STORED ON A.'
3080 GOTO 2500

```



```

TYPE DX1:CTXF2.FOR
C THIS PROGRAM TRANSFERS DATA FROM 4923 CASSETTE TO
C DISKETTE. CASSETTE DATA IN FORMAT OF DIG R/D FROM
C NORTHERN 575 FOR 1024 CHANNELS.
LOGICAL*1 STRING(8000)
REAL*4 EXPDAT(1024)
INTEGER U,AV
13 CONTINUE
TYPE 5
5 FORMAT ('INPUT 1 FOR 575 DATA'//          2 FOR 575A DATA'//)
READ (5,* ) NS
TYPE 1
1 FORMAT ('INSERT CASSETTE INTO TRK 4923, REWIND TO DESIRED
1 FILE'//)
PAUSE 'PRESS RTN,ENTER DATA STREAM,PRESS ALTMODE'
CALL BUFRCV(STRING,NUMCHR)
WRITE(5,100)
100 FORMAT('FOLLOWING STREAM IS STORED IN STRING')
WRITE (5,105) STRING
105 FORMAT (1X,62A1,1X)
TYPE 2
2 FORMAT (1H1,' DECODING STRING')
GOTO (14,15),NS
14 DECODE (7938,110,STRING) EXPDAT
110 FORMAT (1X,128(5X,8(F6.0,1X),1X),1X)
GOTO 16
15 DECODE (7938,12 STRING) EXPDAT
125 FORMAT (2X,128(5X,8(F6.0,1X),1X),1X)
15 WRITE(5,115)EXPDAT
115 FORMAT (8(F8.0))
PAUSE 'PRESS RTN WHEN READY'
TYPE 3
3 FORMAT (1H ' INPUT 1 TO SAVE DATA'//          2 TO ABORT'//
1'          3 TO RESTART'//)
READ (5,* ) L
GOTO (11,12,13),L
11 DEFINE FILE 2 (1,2054,U,AV)
WRITE (5,120)
120 FORMAT ('INPUT NAME OF DATA FILE AS DX1:XXXXXX.XXX'//)
CALL ASSIGN (2,NAME,-1,'NEW')
WRITE (5,150)
150 FORMAT ('INPUT TBF,TBS,DELAY IN E7.2 FORMAT'//)
READ (5,160) TBF,TBS,DLY
160 FORMAT (3E7.2)
WRITE (2,1) (EXPDAT(K), K=1,1024),TBF,TBS,DLY
CALL CLOSE(2)
TYPE 4
4 FORMAT ('DATA HAS BEEN STORED'// INPUT 2 FOR ABORT'//
1'          3 TO RESTART'//)
READ (5,* ) L
GOTO (11,12,13),L
12 STOP
END

```

```

TYPE DX1:CTLOAD.MAC
.TITLE CASSETTE 4923 FAST ACQUISITION
;
;   BY JAMES LEE CHAO   12/19/79
;
;   HIGH SPEED ASCII TELETYPE LOADER FOR
;   1200 BAUD (+) APPLICATIONS.
;
;   ESCAPE (ALTMODE) SEQUENCES ARE USED AS DELIMITERS
;
;
.MCAL L .PRINT
.GLOBAL BUFRCV
RCSR = 177560
RBUF = 177562
XBUF = 177566
BUFRCV: MOV     #7,XBUF          ;RING BELL
        MTPS   #200           ;DISABLE INTERRUPTS
        INC    RS             ;ADDRESS BUFFER POINTER
        INC    RS
        MOV    (RS)+,RO       ;FETCH BUFFER POINTER
        MOV    RO,R1          ;STORE BUFFER POINTER
        CLR    RCSR          ;DISABLE TTY INTERRUPT
10:     TSTR   RCSR           ;DATA VALID?
        BFL   10             ;BRANCH 10 IF NOT
        MOVB  RBUF,(RO)      ;YES, STORE IN BUFF
        CMPB  #175,(RO)+     ;ESCAPE KEY?
        BNE   10             ;BRANCH 10 IF NOT
        MOV   #100,#RCSR     ;REENABLE INTERRUPT
;
        SUB   R1,RO          ;DETERMINE # CHARACTERS
        DEC  RO              ;SUBTRACT 1 FROM RO
        MOV  RO,#(RS)        ;SEND BACK NUMCHR
        MTPS #0              ;ENABLE INTERRUPTS
        BR   20
;
; INTEGRITY TEST
;
CMP    #8000,,RO            ;SEE IF OVERFLOW BUFFER REGION
BGE   20
.PRINT #MSG                 ;PRINT WARNING
20:   MOV    #7,XBUF         ;RING BELL
        RTS   PC
MSG:   .ASCIZ /WARNING, BUFFER OVERFLOW DETECTED/
        .END

```

## APPENDIX II. DATA ANALYSIS PROGRAM

```

TYPE DX1:FIT2DT.PAS
10 REM PROGRAM TO FIT THE FUNCTION
20 REM  $Y=A1*(EXP(-A2*X)-EXP(-A3*X))$ 
30 REM TO A DUAL TIME BASE WAVEFORM OF 512 PTS
40 M=3
50 BF=3
60 C1=.985
70 C2=.906
80 DIM A(M),AU(M),AD(M),D1(M),D2(M),C(M,M),F(M)
90 WAVEFORM Y IS WY(511),SY,HY*,UY*
100 WAVEFORM Z IS WZ(511),SZ,HZ*,UZ*
110 PAGE\WAIT 700
120 PRINT\PRINT\PRINT "PLEASE ENTER FILE NAME OF WAVEFORM TO BE FIT ";
130 INPUT A$
140 S1=1
150 OPEN #1 AS DX1:A$ FOR READ\READ #1,Z\CLOSE #1
160 PAGE\WAIT 700\GRAPH Z\SMOVE 200,750\PRINT A$
170 SMOVE 400,750
180 PRINT "T0, U0 ?"
190 GIN B0*,T0,U0
200 SMOVE 600,750
210 PRINT "T1, U1 ?"
220 GIN B1*,T1,U1
230 SMOVE 800,750
240 PRINT "T2, U2 ?"
250 GIN B2*,T2,U2
260 SMOVE 400,720
270 PRINT "T3, U3 ?"
280 GIN B3*,T3,U3
290 IF T1<T0 THEN T1=T0
300 I0=ITP(T0/SZ)\IF I0<0 THEN I0=0
310 I1=ITP(T1/SZ)\IF I1<0 THEN I1=0
320 I2=ITP(T2/SZ)\IF I2>511 THEN I2=511
330 I3=ITP(T3/SZ)\IF I3>511 THEN I3=511
340 SMOVE 600,720
350 PRINT "TBS/TBF = ";INPUT LY
360 SMOVE 600,690
370 PRINT "U0=";U0;INPUT U0
380 FOR I=0 TO 511\WZ(I)=WZ(I)-U0\NEXT I *
390 K=0
400 PAGE\WAIT 700
410 GOTO S1 OF 550,500,500
420 PRINT\PRINT
430 PRINT "DO YOU WANT TO TERMINATE THE FIT OF WAVEFORM NO. 'A$' ";
440 INPUT S$
450 IF S$="Y" THEN GOTO 2230
460 PRINT\PRINT
470 PRINT "DO YOU WANT TO SET A NEW FIT RANGE ";
480 INPUT S$
490 IF S$="Y" THEN GOTO 140
500 PRINT\PRINT
510 PRINT "DO YOU WANT TO RUN THE PROGRAM USING THE CURRENT PARAMETERS A(I) ";
520 INPUT S$
530 IF S$="Y" THEN GOTO 830
540 GOTO 590
550 IF ABS(U2)<ABS(U3) THEN 590
560 A2=LOG(U2/U3)/(T3-T2)/LY
570 PRINT "FIRST APPROXIMATION FOR THE DECAY PART OF THE CURVE : "
580 PRINT\PRINT "A1 =";U2*EXP(A2*(T2-T0)); " A2 =";A2
590 PRINT\PRINT
600 PRINT "PLEASE ENTER THE INITIAL VALUES FOR THE PARAMETERS A(I) "
610 PRINT
620 A1=0\SI=2
630 FOR I=1 TO M
640 PRINT "A(I) = ";
650 INPUT A(I)
660 A1=A1+A(I)*A(I)

```

```

660 A1=A1+A(I)*A(I)
670 AU(I)=A(I)/BF\AQ(I)=A(I)*BF
680 IF A(I)>0 THEN GOTO 700
690 AI=AD(I)\AQ(I)=AU(I)\AU(I)=AI
700 DQ(I)=A(I)
710 NEXT I
720 PRINT\PRINT
730 PRINT "ARE THESE PARAMETERS OK ?"
740 INPUT S#
750 IF S#="N" THEN GOTO 590
760 A1=SQR(A1)
770 B2=SQR(M)
780 SF=1/B
790 PRINT\PRINT
800 PRINT "DO YOU WANT A GRAPH WITH THESE PARAMETERS ?"
810 INPUT S#
820 IF S#="Y" THEN GOTO 2020
830 PRINT\PRINT
840 PRINT "PLEASE ENTER MAXIMUM NUMBER OF ITERATIONS:  KMAX = ?"
850 INPUT KM
860 IF KM=0 THEN GOTO 460
870 PRINT\PRINT
880 PRINT "PLEASE ENTER CONVERGENCE LIMIT:  E = ?"
890 INPUT E
900 GOTO S1 OF 910,910,920
910 K=0
920 PAGE\WAIT 700
930 K1=0
940 K=K+1\S1=3
950 K1=K1+1
960 IF K1<11 THEN GOTO 1000
970 K1=0
980 WAIT 10000
990 PAGE\WAIT 700
1000 PRINT " "
1010 PRINT "ITERATION NO.  ",K,
1020 GOSUB 2370
1030 FOR I=1 TO M
1040 F(I)=-F(I)
1050 NEXT I
1060 REM GAUSS' ALGORITHM
1070 REM REARRANGEMENT OF LINEAR EQUATION SYSTEM
1080 FOR I=1 TO M-1
1090 D=ABS(C(I,I))
1100 L=I
1110 FOR J=I+1 TO M
1120 IF ABS(C(J,I))<=D THEN 1150
1130 D=ABS(C(J,I))
1140 L=J
1150 NEXT J
1160 IF L=I THEN 1260
1170 FOR J=I TO M
1180 D=C(I,J)
1190 C(I,J)=C(L,J)
1200 C(L,J)=D
1210 NEXT J
1220 D=F(I)
1230 F(I)=F(L)
1240 F(L)=D
1250 REM SUCCESSIVE ELIMINATION OF EQUATIONS
1260 FOR J=I+1 TO M
1270 D=C(J,I)/C(I,I)
1280 FOR L=I+1 TO M
1290 C(J,L)=C(J,L)-D*C(I,L)
1300 NEXT L
1310 F(J)=F(J)-D*F(I)
1320 NEXT J

```

```

1330 NEXT I
1340 REM RESOLVE EQUATION SYSTEM
1350 FOR I=M TO 1 STEP -1
1360 D=0
1370 IF I=M THEN 1410
1380 FOR J=I+1 TO M
1390 D=D+C(I,J)*D1(J)
1400 NEXT J
1410 D1(I)=(F(I)-D)/C(I,I)
1420 NEXT I
1430 REM CONVERGENCE CRITERION
1440 B1=0
1450 FOR I=1 TO M
1460 B1=B1+D1(I)*D1(I)/(A(I)*A(I))
1470 NEXT I
1480 B1=SQR(B1)
1490 IF B1>E THEN 1550
1500 FOR I=1 TO M
1510 A(I)=A(I)+D1(I)
1520 NEXT I
1530 GOTO 1920
1540 REM ANGLE CRITERION
1550 CA=0
1560 FOR I=1 TO M
1570 CA=CA+D1(I)*D2(I)/(A(I)*A(I))
1580 NEXT I
1590 CA=CA/(B1*B2)
1600 IF CA>C1 THEN SF=2*SF
1610 IF CA<C2 THEN SF=SF/2
1620 IF SF>1 THEN SF=1
1630 IF SF<1/1024 THEN SF=1/1024
1640 FOR I=1 TO M
1650 D1(I)=D1(I)*SF
1660 NEXT I
1670 B1=SF*B1
1680 A1=0
1690 FOR I=1 TO M
1700 A(I)=A(I)+D1(I)
1710 REM BOUNDARY CRITERION
1720 IF A(I)>AO(I) THEN GOTO 1760
1730 A(I)=AO(I)
1740 SF=SF/2
1750 PRINT CHR(7);"BOUNCE !",
1760 IF A(I)>AU(I) THEN GOTO 1800
1770 A(I)=AU(I)
1780 SF=SF/2
1790 PRINT CHR(7);"BOUNCE !",
1800 A1=A1+A(I)*A(I)
1810 D2(I)=D1(I)
1820 NEXT I
1830 IF SF<1/1024 THEN SF=1/1024
1840 A1=SQR(A1)
1850 R2=B1
1860 REM OUTPUT OF CURRENT ITERATION
1870 PRINT "SF =";SF
1880 FOR I=1 TO M
1890 PRINT "A";I;" = ";A(I),
1900 NEXT I
1910 IF K<KM THEN 940
1920 PAGE\WAIT 700
1930 PRINT "AFTER";K;" ITERATIONS : "
1940 PRINT
1950 FOR I=1 TO M\PRINT "A";I;" =";A(I)\NEXT I
1960 PRINT\PRINT\PRINT "T 0 =";T0\PRINT "T 1 =";T1\PRINT "T 2 =";T2
1970 PRINT "T 3 =";T2+(I3-I2)*LY*SZ
1980 PRINT\PRINT\PRINT
1990 PRINT "DO YOU WANT TO GRAPH THE EXPERIMENTAL WAVEFORM AND THE FIT ?"

```

```

2000 INPUT S#
2010 IF S#="N" THEN 400
2020 PRINT\PRINT "MOMENT PLEASE ";
2030 FOR I=0 TO I0-1\WY(I)=0\NEXT I
2040 FOR I=I0 TO S1\GOSUB 2280\NEXT I
2050 SY=SZ\HY#=HZ\ Y#=UZ#
2060 B#="A#1" F#
2070 PAGE\WAIT 700\GRAPH Z\DISPLAY Y
2080 SMOVE 0,0
2090 FOR I=1 TO M
2100 PRINT "      A*#I;# =*;A(I);
2110 NEXT I
2120 SMOVE 450,/80\PRINT B#;
2130 WAIT
2140 GOTO S1 OF 2200,2200,2150
2150 PAGE\WAIT 700
2160 GRAPH Z-Y
2170 SMOVE 130,780
2180 PRINT "RESIDUAL DIFFERENCE: WAVEFORM '#A#;' MINUS FIT#"
2190 WAIT
2200 PAGE\WAIT 700
2210 GOTO S1 OF 500,500,430
2220 RETURN
2230 PR NT\PRINT
2240 PRINT "DO YOU WANT TO FIT ANOTHER WAVEFORM ";
2250 INPUT S#
2260 IF S#="Y" THEN GOTO 120
2270 END
2280 REM FUNCTION TO BE FIT
2290 IF I<I2 THEN 2320
2300 X=T2-T0+LY*SZ*(I-I2)
2310 GOTO 2330
2320 X=SZ*(I-I0)
2330 ONERR NOWARN
2340 WY(I)=A(1)*(EXP(-A(2)*X)-EXP(-A(3)*X))
2350 ONERR
2360 RETURN
2370 REM GRADIENT AND FUNCTIONAL MATRIX
2380 ONERR NOWARN
2390 F=0
2400 C=0
2410 FOR I=I1 TO I3
2420 IF I>I2 THEN 2450
2430 X=(I-I0)*SZ
2440 GOTO 2460
2450 X=T2-T0+LY*SZ*(I-I2)
2460 G=EXP(-A(2)*X)
2470 H=EXP(-A(3)*X)
2480 GH=G-H
2490 P=A(1)*GH
2500 Q=P-WZ(I)
2510 PQ=P+Q
2520 R=A(1)*X
2530 SG=X*G
2540 SH=X*H
2550 F(1)=F(1)+Q*GH
2560 F(2)=F(2)-Q*R*G
2570 F(3)=F(3)+Q*R*H
2580 C(1,1)=C(1,1)+GH*GH
2590 C(1,2)=C(1,2)-PQ*SG
2600 C(1,3)=C(1,3)+PQ*SH
2610 C(2,2)=C(2,2)+(A(1)*G+Q)*R*SG
2620 C(2,3)=C(2,3)-R*R*G*H
2630 C(3,3)=C(3,3)+(A(1)*H-Q)*R*SH
2640 NEXT I
2650 FOR I=2 TO M\FOR J=1 TO I-1\C(I,J)=C(J,I)\NEXT J\NEXT I
2660 ONERR
2670 RETURN

```