### **UCSF**

### **UC San Francisco Previously Published Works**

#### **Title**

Evaluation of Implementation Strategies for Cognitive Behavioral Therapy (CBT), Acceptance and Commitment Therapy (ACT), and Mindfulness-Based Stress Reduction (MBSR): a Systematic Review.

#### **Permalink**

https://escholarship.org/uc/item/1fm4k739

#### **Journal**

Journal of General Internal Medicine, 38(12)

#### **Authors**

Goldsmith, Elizabeth Koffel, Erin Ackland, Princess et al.

#### **Publication Date**

2023-09-01

#### DOI

10.1007/s11606-023-08140-4

Peer reviewed

#### **REVIEWS**



## Evaluation of Implementation Strategies for Cognitive Behavioral Therapy (CBT), Acceptance and Commitment Therapy (ACT), and Mindfulness-Based Stress Reduction (MBSR): a Systematic Review

Elizabeth S. Goldsmith, MD, PhD<sup>1,2</sup>, Erin Koffel, PhD<sup>1</sup>, Princess E. Ackland, PhD, MSPH<sup>1,2</sup>, Jessica Hill, MA<sup>3</sup>, Adrienne Landsteiner, PhD, MPH<sup>1</sup>, Wendy Miller, MD<sup>1,2</sup>, Benjamin Stroebel, MPH<sup>4</sup>, Kristen Ullman, MPH<sup>1</sup>, Timothy J. Wilt, MD, MPH <sup>1,2,5</sup>, and Wei (Denise) Duan-Porter, MD, PhD<sup>1,2</sup>

<sup>1</sup>Center for Care Delivery and Outcomes Research, Minneapolis VA Health Care System, One Veterans Dr, Minneapolis, MN, USA; <sup>2</sup>University of Minnesota Medical School, Minneapolis, MN, USA; <sup>3</sup>Department of Clinical Psychology, Binghamton University, Binghamton, NY, USA; <sup>4</sup>Department of Dermatology, University of California – San Francisco School of Medicine, San Francisco, CA, USA; <sup>5</sup>School of Public Health, University of Minnesota, Minneapolis, MN, USA

#### **ABSTRACT**

**BACKGROUND:** Improving access to evidence-based psychotherapies (EBPs) is a Veterans Health Administration (VHA) priority. Cognitive behavioral therapy (CBT), acceptance and commitment therapy (ACT), and mindfulness-based stress reduction (MBSR) are effective for chronic pain and several mental health conditions. We synthesized evidence on implementation strategies to increase EBP access and use.

**METHODS:** We searched MEDLINE, Embase, PsycINFO, and CINAHL from inception until March 2021 for articles on EBP implementation within integrated health systems to treat chronic pain or chronic mental health conditions. Reviewers independently screened articles, extracted results, coded qualitative findings, and rated quality using modified criteria from Newcastle–Ottawa (quantitative results) or Critical Appraisal Skills Programme (qualitative results). We categorized implementation strategies using the Expert Recommendations for Implementing Change (ERIC) framework and classified outcomes using RE-AIM domains (Reach, Effectiveness, Adoption, Implementation, Maintenance).

**RESULTS:** Twelve articles (reporting results from 10 studies) evaluated CBT (k=11) and ACT (k=1) implementation strategies in large integrated healthcare systems. No studies evaluated MBSR implementation. Eight articles evaluated strategies within VHA. Six articles reported on national VHA EBP implementation programs; all involved training/education, facilitation, and audit/feedback. CBT and ACT implementation demonstrated moderate to large improvements in patient symptoms and quality of life. Trainings

Erin Koffel was affiliated to Minneapolis VA Health Care System at the time of the work.

**Prior Presentations**: A subset of results were presented in poster form at the Academy Health Annual Research Meeting, Washington, DC, in June 2022.

Received June 17, 2022 Accepted March 6, 2023 Published online April 3, 2023 increased mental health provider self-efficacy in delivering EBPs, improved provider EBP perceptions, and increased provider EBP use during programs, but had unclear impacts on Reach. It was unclear whether external facilitation added benefit. Provider EBP maintenance was modest; barriers included competing professional time demands and patient barriers.

**DISCUSSION:** Multi-faceted CBT and ACT implementation programs increased provider EBP Adoption but had unclear impacts on Reach. Future implementation efforts should further evaluate Reach, Adoption, and Maintenance; assess the added value of external facilitation; and consider strategies targeting patient barriers. Future work should use implementation frameworks to guide evaluations of barriers and facilitators, processes of change, and outcomes.

**REGISTRATION:** PROSPERO registration number CRD42021252038.

 $K\!E\!Y$  WORDS: access to care; evidence-based psychotherapies; implementation science; chronic pain; mental health

J Gen Intern Med 38(12):2782-91 DOI: 10.1007/s11606-023-08140-4

This is a U.S. Government work and not under copyright protection in the US: foreign copyright protection may apply 2023

#### INTRODUCTION

Cognitive behavioral therapy (CBT), acceptance and commitment therapy (ACT), and mindfulness-based stress reduction (MBSR) have demonstrated effectiveness for mental health conditions common among US military veterans, including depression and anxiety, <sup>1–7</sup> and have more recently shown effectiveness for improving chronic pain outcomes. <sup>8,9</sup> Nonetheless, these evidence-based psychotherapies (EBPs) remain underutilized and underaccessed in clinical settings. <sup>10–12</sup> The Veterans Health Administration (VHA) has rolled out several national initiatives to implement <sup>13–18</sup> EBPs for mental health conditions including depression and anxiety, as well as for chronic pain. <sup>13,14,18</sup> The goal of these

implementation initiatives is to increase uptake of EBPs—*i.e.*, both access to therapies and use of therapies—for conditions they effectively treat. The results of such efforts to improve the uptake of these EBPs in VHA and similar clinical settings have not been systematically reviewed using implementation science frameworks.<sup>19</sup>

Implementation science attempts to understand and resolve problems by translating evidence-based therapies into realworld practice so that the greatest number of patients can have easy and ready access to gold-standard treatments. 20-23 Strategic approaches to implementing EBPs must be responsive to existing health care contexts in order to successfully increase access to care. Implementation efforts should thus be tailored to disparate clinical settings, situated within differing patient and provider populations, and evaluated using varying and mixed-methods approaches. Synthesizing evidence on results of implementation strategies is both challenging and necessary to improve access to and use of evidence-based care. Conceptual frameworks from implementation science, <sup>23–26</sup> such as the Expert Recommendations for Implementing Change (ERIC) classification of implementation strategies and the RE-AIM (Reach, Effectiveness, Adoption, Implementation, Maintenance) classification of outcome domains (Table 1), delineate how interventions interact with health system components and patient factors to impact patient access to treatments. Applying these conceptual frameworks can clarify lessons from past EBP implementation approaches and inform future efforts to improve EBP access and use. For example, Adoption in RE-AIM refers to the proportion and representativeness of settings and staff willing to deliver an intervention, which is a prerequisite for patient access to that intervention. If initial Adoption is low in some settings, an implementation strategy such as a staff training and education program may increase Adoption and thereby facilitate patient access to care.

To identify research gaps and the next steps for improving EBP access and use, the VHA Pain/Opioid Consortium of

Research (CORE) engaged the VHA Evidence Synthesis Program (ESP) to conduct a systematic review of factors related to the implementation of psychotherapies with evidence of effectiveness for chronic pain and mental health conditions. <sup>27</sup> In this paper, we present results focusing on implementation strategies for CBT, ACT, and MBSR to treat chronic pain or mental health conditions. We summarize outcomes reported by studies of various implementation programs, organized by the type(s) of implementation strategies employed. We also discuss evidence gaps and provide recommendations for future research.

#### **METHODS**

#### **Scope and Key Questions**

In collaboration with our key stakeholder, the VA Pain/Opioids CORE, and our expert advisory panel, we developed the scope and key questions. Recognizing that there is very limited evidence on the implementation of EBPs specifically for the treatment of chronic pain, we expanded the scope to include implementation studies of EBPs to treat chronic mental health conditions such as depression and anxiety. In this paper, we focus on results for CBT, ACT, and MBSR, three of the most widely used EBPs with the strongest evidence for effectiveness in chronic pain as well as for multiple mental health conditions common among U.S. military veterans. <sup>8,9</sup> The full ESP report included other EBPs that have demonstrated effectiveness in the treatment of chronic mental health conditions and are recommended by various treatment guidelines. <sup>27–30</sup>

In this paper, we present results on CBT, ACT, and MBSR that addressed the following key questions:

1. For CBT, ACT, and MBSR used to treat adults with chronic pain, what is the effect of implementation strategies to increase the uptake of these treatments?

Table 1 RE-AIM Framework Domains and Definitions<sup>a</sup>

Reach	The absolute number, proportion, and representativeness of individuals who are willing to participate in a given initiative, intervention, or program, and reasons why or why not <i>How do I reach the targeted population with the intervention?</i>
Effectiveness	The impact of an intervention on important individual outcomes, including potential negative effects, and broader impact including quality of life and economic outcomes; and variability across subgroups (generalizability or heterogeneity of effects) <i>How do I know my intervention is effective?</i>
Adoption	The absolute number, proportion, and representativeness of settings and intervention agents (people who deliver the program) who are willing to initiate a program, and why <i>How do I develop organizational support to deliver my intervention?</i>
Implementation	At the setting level, implementation refers to the intervention agents' fidelity to the various elements of an intervention's key functions or components, including consistency of delivery as intended and the time and cost of the intervention. Importantly, it also includes adaptations made to interventions and implementation strategies  How do I ensure the intervention is delivered properly?
Maintenance	At the setting level, the extent to which a program or policy becomes institutionalized or part of the routine organizational practices and policies. At the individual level, maintenance has been defined as the long-term effects of a program on outcomes after a program is completed  How do I incorporate the intervention so that it is delivered over the long term?

<sup>&</sup>lt;sup>a</sup>Definitions from www.re-aim.org and Glasgow et al., 2019<sup>25</sup>, slightly edited for length

2. For CBT, ACT, and MBSR used in integrated delivery systems to treat adults with chronic mental health conditions, what is the effect of implementation strategies to increase the uptake of these treatments?

#### Search Strategy

We searched MEDLINE, Embase, PsycINFO, and CINAHL databases from inception through March 2021. Search terms included MeSH and free text for EBPs (eg, CBT, ACT, and MBSR), chronic pain, integrated delivery systems, and veterans (Appendix A). We sought relevant systematic reviews from the Agency for Healthcare Research and Quality (AHRQ) Evidence-based Practice Center (EPC) and VA Evidence Synthesis Program (ESP); we hand-searched relevant reviews for potentially eligible studies. Our expert advisory panel also provided referrals.

#### Screening and Selection

Duplicate results were removed and abstracts were screened using DistillerSR (Evidence Partners, Ottawa, Canada). Exclusion of abstracts required the agreement of 2 reviewers. Included abstracts underwent full-text review by 2 individuals, with eligibility decisions requiring consensus. Eligible studies addressed implementation barriers or facilitators, or outcomes of implementation strategies, for EBPs used in the outpatient treatment of adults with chronic pain or chronic mental health conditions. In addition to CBT, ACT, and MBSR, eligible EBPs (for key question 2) in the full report included other therapies effective for chronic mental health conditions common in the VA patient population (e.g., Prolonged Exposure Therapy for posttraumatic stress disorder). Eligible studies were conducted in the United States (US), United Kingdom (UK), Ireland, Canada, and Australia. We expanded the setting beyond the US to these other countries because of the presence of integrated health systems with qualities similar to VHA, and comparable economic, public health, and cultural contexts including the dominant English language. Finally, we limited eligible studies addressing key question 2 to those conducted within large integrated healthcare systems, as these settings may be more similar to VHA, compared with small community clinics. For full eligibility criteria, see Appendix B.

#### **Quality Assessment and Data Abstraction**

Two reviewers independently assessed quality using modified versions of the Newcastle–Ottawa Scale<sup>31</sup> (for quantitative studies) or the Critical Skills Appraisal Programme (CASP)<sup>32</sup> Checklist for qualitative studies. For studies using mixed methods, we used both sets of criteria as applicable. We rated overall quality as high, moderate, or low; the

consensus was reached through discussion (see Appendix C for detailed quality criteria and ratings for eligible studies).

Eligible studies underwent independent data abstraction by 2 individuals for the following: participant characteristics and setting (e.g., country and VHA or community clinics); EBP; data sources and analytic methods (e.g., semi-structured interviews and framework analysis, or surveys and multivariate logistic regression); and outcomes. We extracted demographic data in categories consistent with the terminology used by the authors. We classified implementation strategies according to the Expert Recommendations for Implementing Change (ERIC) project. <sup>23,24</sup> Most studies assessed programs that combined various implementation strategies, and their study designs did not make it possible to determine which outcomes resulted from individual strategies. We grouped studies empirically based on specific combinations of ERIC strategies, both to describe the relative frequencies of different combinations reported in eligible studies and to facilitate outcome comparisons between similar implementation programs.

All quantitative results were abstracted by one reviewer and over-read by a second reviewer. Qualitative results were independently coded by at least 2 reviewers, with final codes reached by consensus. For implementation outcomes that support access to care, a priori codes were generated from the RE-AIM framework (Table 1): Reach (reaching the target population with the intervention), Effectiveness (knowing the intervention is effective in context), Adoption (developing staff and organizational support to deliver the intervention), Implementation (ensuring the intervention is delivered consistently and with fidelity), and Maintenance (supporting intervention delivery over the long term).<sup>26</sup> We used a bestfit framework synthesis approach to categorize outcomes within the framework. For example, we categorized provider attitudes and self-efficacy within Adoption; these provider factors are important for understanding why some providers will (or will not) use certain EBPs.

#### **Qualitative Synthesis**

Given the heterogeneity in populations, different EBPs implemented for various health conditions, and a range of study designs, we elected to conduct qualitative synthesis. We first created tables with detailed results (classified or coded as described above). We then grouped results for studies using similar ERIC strategies (or combinations thereof), reviewed these results within groups and across articles addressing the same EBP, and identified implementation outcomes within the RE-AIM framework. When describing the magnitude of standardized effect sizes (*e.g.*, Cohen's d or Hedge's g) reported by studies, we used conventional recommendations for interpretations: small is less than 0.4, medium is 0.4–0.7, and large is greater than 0.7.<sup>33</sup> We did not undertake a formal assessment of the overall certainty of evidence.

#### **RESULTS**

# Overview and Characteristics of Eligible Studies

We screened 7295 unique citations and reviewed the full text of 506 articles (Fig. 1). Among 67 eligible articles, 10 studies (reported by k = 12 articles) addressed implementation strategies for CBT (9 studies) and ACT (1 study) (Table 2). We did not identify any eligible study on implementation strategies for MBSR. Conditions addressed included chronic pain (1 study), <sup>14</sup> depression and/or anxiety (7 studies), 15,18,34-39 insomnia (1 study), 16,17, and PTSD (1 study). 40 Most eligible articles described studies conducted in the US (k=11), with the vast majority in VHA settings (k=10). Among VHA-based articles, half evaluated pretraining to post-training outcomes following national initiatives to implement CBT or ACT (k=5). <sup>14–18</sup> Eleven articles were high or moderate quality, and most used quantitative (k=10) or mixed methods (k=1), with the remaining article reporting only qualitative results. Evaluations examined data for providers (range 5-391) who completed training programs and patients (range 113–745) treated by providers

(Table 2 and see Appendix D for detailed characteristics of eligible studies).

Eligible studies fell into 4 distinct groups based on combinations or type(s) of implementation strategies used: (1) training/education, facilitation, and audit/feedback; (2) training/education and audit/feedback; (3) training/education; and (4) access to new funding (see Table 2 for studies within each group). We applied ERIC definitions to guide the classification of individual strategies: training/education included workshops and other provider educational resources; facilitation was interactive support provided by internal or external individuals (e.g., resources and support provided by centralized VHA training initiatives to individual sites); and audit/feedback entailed the collection and summary of clinical performance data (e.g., fidelity measures, recommendations during consultation) given to administrators or clinicians to modify behaviors and enhance fidelity. 23,24

We summarize evaluation outcomes reported by studies in each of these 4 groups, with further categorization using RE-AIM (Table 3; detailed results are found in Appendix D).

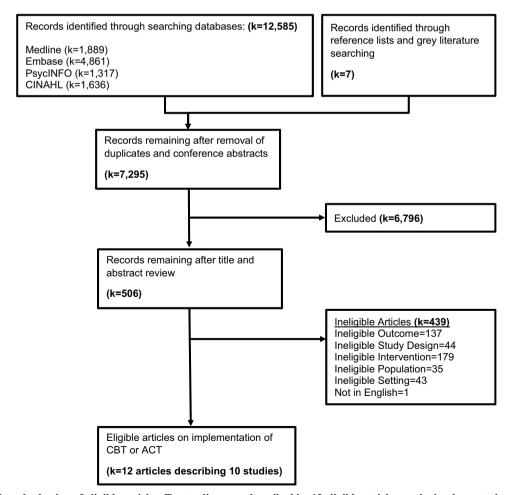


Figure 1 Search and selection of eligible articles. Ten studies were described by 12 eligible articles on the implementation of CBT or ACT (out of total of k = 67 eligible articles). ACT = Acceptance and Commitment Therapy; CBT = Cognitive Behavioral Therapy

Table 2 Characteristics of Studies Evaluating the Implementation of Cognitive Behavioral Therapy (CBT) and Acceptance and Commitment Therapy (ACT)

Author, year	Quality	Methods	Setting	EBP	Participants
Implementation st	rategies: training/ed	ucation, facilitation	on, and audit/feedba	ıck	
Cully, 2010 <sup>36</sup> Kauth, 2010 <sup>37</sup>	Moderate High	Quantitative Mixed-methods	US, VHA	CBT	28 providers in 20 clinics were trained in CBT for depression, 12 received external facilitation (10 clinics) and 11 did not (10 clinics)
Karlin, 2012 <sup>15</sup>	Moderate	Quantitative	US, VHA	CBT	221 providers in national training program for CBT for depression; 356 patients who received CBT from trainees during consultation phase
Karlin, 2013 <sup>16</sup> Manber, 2013 <sup>17</sup>	ModerateModerate	Quantitative	US, VHA	CBT	207 providers trained in national program for CBT for insomnia (193 completed program);182 patients who received CBT from trainees
Mignogna, 2014 <sup>35</sup>	Moderate	Quantitative	US, VHA	CBT	9 mental health providers (embedded in primary care at 2 sites) who received online training in CBT for anxiety and depression
Stewart, 2015 <sup>14</sup>	Moderate	Quantitative	US, VHA	CBT	71 providers trained in national program for CBT for chronic pain (60 completed training); 148 patients received CBT from trainees
Walser, 2013 <sup>18</sup>	High	Quantitative	US, VHA	ACT	391 providers trained in national program for ACT (334 completed training); 745 patients who received ACT from trainees
Implementation st	rategies: training/ed	ucation and audi	t/feedback		
Ruzek, 2014 <sup>40</sup>	Moderate	Quantitative	US, VHA	CBT	139 mental health providers randomized to CBT training as usual $(n=51)$ , internet training modules only $(n=46)$ , and internet training with telephone consultation $(n=42)$
Hepner, 2011 <sup>38</sup>	Moderate	Quantitative	US, community clinics	CBT	5 addiction counsellors in Los Angeles County trained in group CBT for depression; 113 patients who attended ≥ 1 CBT session and responded to survey
Implementation st	rategies: training/ed	ucation			
Curran, 2015 <sup>34</sup>	High	Qualitative	US, VHA	CBT	8 counselors (7 substance use disorder clinics) who completed online training in CBT for depression
	rategy: access to new				
Clark, 2009 <sup>39</sup>	Low	Quantitative	UK	CBT	Patients at primary care clinic, most referred for in-person CBT for depression or anxiety

EBP, evidence-based psychotherapy; UK, United Kingdom; US, United States; VHA, Veterans Health Administration

# Group 1: Training/Education, Facilitation, and Audit/Feedback

Six studies (k=8 articles) evaluated VHA training programs for CBT (5 studies, k=7)<sup>14–17,35–37</sup> and ACT (1 study, k=1), <sup>18</sup> using survey data from trainees and providers who completed training, and information about patients treated by providers who were trained by these programs. Four studies in this first group evaluated VHA national initiatives for CBT and ACT  $(k=5 \text{ articles})^{14-18}$  that used training/education, facilitation, and audit/feedback (Table 2). VHA provided facilitation through centralized resources and support, and all initiatives involved structured programs of in-person workshops (2-3 days) followed by 6 months of weekly consultation with experts. For consultation sessions, trainees were required to submit audio recordings of therapy sessions with patients, which were rated for fidelity. The vast majority of providers enrolled in national VHA training programs for CBT and ACT completed the mandated requirements (range 82–93%, 60–334 providers). 14–18

This first group also included VHA studies of implementation efforts that were not national in scale but still involved structured training, facilitation, and audit/feedback.  $^{35-37}$  One study included 28 mental health providers in regional implementations of CBT for depression (k=2 articles).  $^{36,37}$ 

Implementation efforts involved a 1.5-day CBT workshop and biweekly expert consultation group calls over 12 weeks post-workshop. In addition, 12 therapists at 10 sites were randomly assigned to receive external facilitation, consisting of monthly meetings for 6 months. <sup>36,37</sup> The second study involved 9 Primary Care Mental Health Integration (PCMHI) providers at 2 VHA sites (4 providers completed all training modules). <sup>35</sup> This study evaluated the implementation of brief CBT in primary care for depression and anxiety; online training was followed by feedback from expert clinicians. Project staff also engaged providers and clinic leadership to facilitate implementation. <sup>35</sup>

Overall, studies in this first group reported outcomes addressing Effectiveness, Adoption, Implementation, and Maintenance; none reported on Reach (Table 3 and Appendix D). For Effectiveness, most implementation programs led to moderate to large effects on patient symptoms and quality of life (Cohen's d 0.34–2.2; Table 3 and Appendix D). Only the VHA study of regional implementation of CBT examined the effects of external facilitation independently of training/education; this did not show added benefit in the use of CBT or improvement in CBT-specific knowledge and skills at 3 months post-workshop.<sup>37</sup>

Table 3 Outcomes for the Implementation of CBT and ACT: Results by Implementation Strategies and RE-AIM Categories

	Training/Education, Facilitation & Audit/Feedback (8 articles) <sup>14–18,35–37</sup>	Training/Education & Audit/ Feedback (2 articles) <sup>38,40</sup>	Training/Education (1 article) <sup>34</sup>	Access to New Funding (1 article) <sup>39</sup>
Reach		• Patients felt CBT groups and resources were helpful (83%) and strongly agreed information was useful in daily life (86%) <sup>38</sup>	• Due to patient complexity, CBT may not be enough to help patients <sup>34</sup>	<ul> <li>24% of the patients referred (249 of 1043) attended ≥ 2 CBT sessions<sup>39</sup></li> <li>More Black individuals among self-referrals (22%) for CBT vs those referred by GPs (16%)<sup>39</sup></li> </ul>
Effectiveness	<ul> <li>↓ pain catastrophizing (d=0.81), pain interference (d=0.57) with CBT-CP<sup>14</sup></li> <li>↓ insomnia symptoms (d=2.2) with CBT-I<sup>16</sup></li> <li>↓ depression symptoms with CBT-CP (d=0.53), <sup>14</sup> CBT for depression (d=0.80), <sup>15</sup> CBT-I (d=0.60), <sup>16</sup> and ACT for depression (d NR)<sup>18</sup></li> <li>↑ quality of life with CBT-CP (d=0.45), <sup>14</sup> CBT for depression (d=0.39-0.74), <sup>15</sup> CBT-I (d=0.34-0.87), <sup>16</sup> and ACT for depression (d=0.34-0.87), <sup>16</sup> and ACT for depression (d=0.40-0.61)</li> </ul>			• $\downarrow$ depression ( $d$ =1.06) and anxiety ( $d$ =1.26) symptoms for patients who attended $\geq$ 2 CBT sessions <sup>39</sup>
Adoption	<ul> <li>↑ provider self-efficacy for general and CBT-specific skills after training <sup>15,17,18</sup></li> <li>↑ provider positive attitudes toward CBT and ACT after training <sup>15,17,18</sup></li> <li>↑ CBT use, knowledge and ability after training; no evidence of added benefit from facilitation <sup>36,37</sup></li> </ul>	• ↑ knowledge and self- efficacy for CBT after training, with added benefit from consultation following training <sup>40</sup>		
Implementation	<ul> <li>↑ provider competency in CBT after training, per audit/ feedback fidelity assessment of audio-recorded patient sessions <sup>14,15,17,35</sup></li> <li>○ CBT-CP: higher competency with 2<sup>nd</sup> vs 1<sup>st</sup> pts (d=0.34)<sup>14</sup></li> <li>○ CBT for depression and insomnia: higher competency in later sessions vs. initial <sup>15</sup></li> <li>○ CBT for depression and anxiety: majority of recorded sessions post-training rated acceptable for adherence and skill <sup>35</sup></li> <li>◆ ↑ provider competency in ACT after training (68% score during middle and 96% during later phases of training, vs. 21% initially) <sup>18</sup></li> <li>• Cost of regional training for CBT for depression: \$2,458.80 over 7 months (25 h facilitator activities, 26.5 h therapist participation) <sup>37</sup></li> <li>• Barriers to provider use of CBT for depression: lack of control over schedule; provider rejection of CBT due to difficulty and inflexibility; therapist duties; and poor communication between therapists and leadership <sup>37</sup></li> </ul>	Mean provider adherence rate of 94% across 80 sessions; providers demonstrated competence (mean coded session score 4.1) after CBT training <sup>38</sup> ↑ provider skills ratings for CBT (for PTSD) after training, with added benefit of consultation <sup>40</sup>	Providers need to lead CBT group alone due to lack of co-facilitator, and would adapt CBT group to admit patients on an open basis 34	

Table 3 (continued)

	Training/Education, Facilitation & Audit/Feedback (8 articles) <sup>14–18,35–37</sup>	Training/Education & Audit/ Feedback (2 articles) <sup>38,40</sup>	Training/Education (1 article) <sup>34</sup>	Access to New Funding (1 article) <sup>39</sup>
Maintenance	<ul> <li>6 months post-training, providers reported treating mean 14 new pts since training, treating 66% of new patients with CBT-CP, and self-reported 72% adherence to protocol; providers were confident with CBT-CP protocol, thought CBT-CP was effective, and were likely to recommend it to Veterans<sup>14</sup></li> <li>6 months post-training, 74% of providers reported using CBT-I in preceding month for mean 3.4 patients; most common challenges: competing professional demands; patient factors (noshows, patient distance from clinic)<sup>17</sup></li> <li>3–12 months post-training, providers reported using CBT for depression for mean of 19 patients (range 0–140); given wide time range, sustained use unclear<sup>15</sup></li> <li>3–12 months post-training, providers were using ACT with approximately 39% of patients with depression in the preceding month; given wide time range, sustained use unclear<sup>18</sup></li> </ul>			

ACT, Acceptance and Commitment Therapy; CBT, Cognitive Behavioral Therapy; CBT-CP, Cognitive Behavioral Therapy for Chronic Pain; CBT-I, Cognitive Behavioral Therapy for Insomnia; d, Cohen's d; GP, general practitioner; PTSD, post-traumatic stress disorder

#### Group 2: Training/Education and Audit/ Feedback

In the second group, 2 studies evaluated the impact of combined training/education and audit/feedback (Table 2). One study randomized 139 VHA mental health providers to training approaches in CBT skills for PTSD: 3 internet-based training modules only (n = 46); internet modules combined with 6 weekly telephone consultations (n = 42); or no training (n = 51). The other study evaluated outcomes for implementing group CBT for depression in US non-VA community addiction programs and involved training community addiction counselors to deliver group CBT for depression; counselors received 2 days of didactic training and weekly group supervision over 2.5 years, including a review of audiotapes and feedback to improve adherence. 38

Studies in this group reported outcomes addressing Reach, Adoption, and Implementation; neither reported on Effectiveness or Maintenance (Table 3 and Appendix D).

#### **Group 3: Training/Education**

One study evaluated only training/education; this involved 8 VHA Substance Use Disorders (SUD) volunteer program counselors (at 7 VHA SUD programs) participating in online modules for CBT for depression.<sup>34</sup> This study reported qualitatively on Reach and Implementation (Table 3 and Appendix D).

#### **Group 4: Access to New Funding**

One study evaluated the impact of new financial resources on access to mental health treatments in primary care; this new funding was used differently across clinical sites and not associated with structured training programs or facilitation.<sup>39</sup> The study reported the experience of 2 primary care demonstration sites for the Improving Access to Psychological Therapies (IAPT) initiative of the UK National Health Service (NHS). We focus on the results for the Newham site, which delivered in-person CBT for depression or anxiety

to a majority of referred patients; the other site provided mostly self-guided resources.<sup>39</sup> This study reported on Reach and Effectiveness, indicating large reductions in depression and anxiety symptoms (Cohen's d 1.06–1.26; Table 3 and Appendix D).

No studies in any of the four groups reported on the proportions or representativeness of participating patients as compared to eligible patient population characteristics (elements of Reach), or on proportions or representativeness of participating staff and settings as compared to potential participating staff and settings (elements of Adoption).

#### DISCUSSION

In this systematic review, we identified 12 eligible studies evaluating implementation strategies to improve CBT and ACT access and use in large integrated healthcare systems. We found no studies evaluating the implementation of MBSR. Most studies were conducted in VHA and involved national implementation programs comprising training/education, facilitation, and audit/feedback. These VHA studies focused on Effectiveness, Adoption, Implementation, and Maintenance; they did not address Reach. Evaluated programs demonstrated moderate to large symptom reduction and improvements in quality of life for patients treated by trained providers. They also increased provider self-efficacy, improved provider perceptions of CBT and ACT, and improved competency, particularly after expert consultation, suggesting an additional benefit from audit/feedback strategies. These national VHA initiatives also provided centralized facilitation resources including salary support for clinicians, patient-facing EBP materials and tools, and coordination and organizational support for training and problem-solving. There was very limited evidence, however, on whether external facilitation enhanced Adoption beyond the effects of training and audit/feedback. Finally, sustained effects of VHA national initiatives (i.e., after the consultation phase) were modest, with continued barriers to ongoing access and use (e.g., competing professional time demands and patient barriers to attending appointments).

There is a clear need for additional implementation research focusing on MBSR, as well as evaluations of the implementation of CBT and ACT in non-VHA settings. In addition, while some results indicated that audit/feedback may be important for improving provider perceptions and skills, there was minimal evidence evaluating the impact of external facilitation and feedback. It may be especially crucial to understand the value of these additional strategies for healthcare systems that have fewer resources than VHA and thus may lack the capacity for audit/feedback and external facilitation on the same scale as VHA initiatives.

Despite promising findings on effectiveness and implementation outcomes, it remains unclear whether trainings

increased some key components of Reach and Adoption. Evaluations of VHA national programs did not address Reach (i.e., the proportion and representativeness of appropriate patients who initiated or completed CBT and ACT). Although these outcomes may be challenging to measure, even for large integrated systems such as VHA, it is nevertheless critical to assess how many (and which) patients engage in these treatments. The ultimate metric for evaluating implementation strategy success must be whether it has increased the Reach of effective treatments, leading to better outcomes for target patient populations. Evaluation of Reach across a variety of clinical settings should also occur in conjunction with further research into provider and system-level factors that contribute to differences in referral rates and treatment engagement. Additional work is needed to comprehensively assess Adoption, particularly the proportion or representativeness of clinical settings and staff that use EBPs. It will be important to determine whether such programs lead to improved access through widespread uptake by relevant staff in settings with relevant high needs.

While included studies noted logistical barriers to EBP practice for both patients and providers, strategies to address these were minimally evaluated. Previous work has advocated patient-facing resources tailored to patient needs and goals (e.g., educational materials, preparatory groups) or additional delivery formats (beyond in-person meetings) and options outside the workday (e.g., asynchronous and telehealth modalities). 41-45 While the COVID-19 pandemic has rapidly expanded telehealth and asynchronous options for mental health care, additional work is needed to establish effectiveness, implementation outcomes, and equitable Reach and Adoption for specific therapies and conditions. 41–48 Similarly, modified brief therapy protocols for providing CBT in primary care may increase patient access and use, but need additional evaluations to establish effects. 35,49-51 It will be important to distinguish the "core" of essential treatment characteristics from the "adaptable periphery" of elements that may be modified without threatening effectiveness.<sup>20,21</sup> Given the diversity of resources, needs, and priorities across healthcare settings, it will also be important to perform local needs assessments and match strategies or resources to identified barriers (e.g., strategies to enhance leadership engagement, train local champions, and facilitate communication across primary care and specialty clinics).

Finally, few studies utilized comprehensive, theoretical frameworks for examining processes of change in implementation trials and reporting outcomes. Future implementation work should be guided by theoretical models that clearly designate the key domains and conceptual relationships that link access barriers to strategies, thereby allowing systematic examination of processes of change and important outcomes.

Our study has limitations. For greater applicability to VHA settings, we required that implementation studies of CBT, ACT, or MBSR were conducted in large integrated healthcare systems. Our results thus do not address the implementation of these EBPs in smaller community clinics or settings. We also limited eligibility to English-language studies conducted in the US or in a small set of non-US countries with comparable economic, cultural, and public health contexts (Canada, UK, Ireland, and Australia). Although implementation evidence from excluded countries would likely have been less directly applicable to the VHA setting, it is possible that this may have provided some relevant information.

In conclusion, this systematic review found that multifaceted VHA implementation programs for CBT and ACT led to increased provider EBP use during the interventions, but had unclear impacts on access and use by target patient populations or by key providers in high-need settings, and variable maintenance of adoption by providers. Additional work is needed to evaluate implementation programs' Reach and Adoption, address maintenance of provider EBP use, and assess the added value of external facilitation (on top of education/training and audit/feedback). There is a clear need for implementation evaluation of MBSR for chronic pain and mental health conditions, and for additional research in non-VHA settings. Future studies should apply implementation frameworks to guide evaluations of barriers and facilitators, processes of change, and outcomes in key domains.

**Supplementary Information** The online version contains supplementary material available at https://doi.org/10.1007/s11606-023-08140-4.

**Corresponding Author:** Elizabeth S. Goldsmith, MD, PhD; Center for Care Delivery and Outcomes Research, Minneapolis VA Health Care System, One Veterans Dr, Minneapolis, MN, USA (e-mail: elizabeth.goldsmith2@va.gov).

**Author Contribution** ESG, EK, and WDP contributed to developing the study design, data extraction, analysis, interpretation, manuscript preparation, and editing. PA and TJW contributed to developing study design and interpretation. JH, AL, WM, BS, and KU contributed to data extraction and analysis. All authors read and approved the final manuscript.

N/A. All contributors met the criteria for authorship.

**Funding** Veterans Health Administration, Health Services Research and Development, Evidence Synthesis Program (#09–009).

#### Data Availability Not applicable.

The views expressed in this article are those of the authors and do not necessarily reflect the position or policy of the Department of Veterans Affairs or the United States Government.

#### **Declarations**

Ethical Approval Not applicable.

Consent for Publication Not applicable.

**Conflict of Interest** The authors declare that they do not have a conflict of interest.

#### **REFERENCES**

- Bai Z, Luo S, Zhang L, Wu S, Chi I. Acceptance and commitment therapy (ACT) to reduce depression: A systematic review and metaanalysis. J Affect Disord. 2020;260:728-737.
- Butler AC, Chapman JE, Forman EM, Beck AT. The empirical status of cognitive-behavioral therapy: a review of meta-analyses. Clin Psychol Rev. 2006;26(1):17-31.
- Coto-Lesmes R, Fernandez-Rodriguez C, Gonzalez-Fernandez S. Acceptance and commitment therapy in group format for anxiety and depression. A systematic review. J Affect Disord. 2020;263:107-120.
- Fjorback LO, Arendt M, Ornbol E, Fink P, Walach H. Mindfulnessbased stress reduction and mindfulness-based cognitive therapy: a systematic review of randomized controlled trials. Acta Psychiatr Scand. 2011;124(2):102-119.
- Lepping P, Whittington R, Sambhi RS, et al. Clinical relevance of findings in trials of CBT for depression. Eur Psychiatry. 2017;45:207-211.
- Li SYH, Bressington D. The effects of mindfulness-based stress reduction on depression, anxiety, and stress in older adults: A systematic review and meta-analysis. Int J Ment Health Nurs. 2019;28(3):635-656
- Lopez-Lopez JA, Davies SR, Caldwell DM, et al. The process and delivery of CBT for depression in adults: a systematic review and network meta-analysis. Psychol Med. 2019;49(12):1937-1947.
- Becker WC, DeBar LL, Heapy AA, et al. A research agenda for advancing non-pharmacological management of chronic musculoskeletal pain: findings from a VHA State-of-the-art Conference. J Gen Intern Med. 2018;33(Suppl 1):11-15.
- Kligler B, Bair MJ, Banerjea R, et al. Clinical policy recommendations from the VHA state-of-the-art conference on non-pharmacological approaches to chronic musculoskeletal pain. J Gen Intern Med. 2018;33(Suppl 1): 16–23.
- Valenstein-Mah H, Greer N, McKenzie L, et al. Effectiveness of training methods for delivery of evidence-based psychotherapies: a systematic review. Implement Sci. 2020;15(1):40.
- Becker WC, Dorflinger L, Edmond SN, Islam L, Heapy AA, Fraenkel L. Barriers and facilitators to use of non-pharmacological treatments in chronic pain. BMC Fam Pract. 2017;18(1):41.
- Shafran R, Clark DM, Fairburn CG, et al. Mind the gap: Improving the dissemination of CBT. Behav Res Ther. 2009;47(11):902-909.
- Karlin BE, Cross G. From the laboratory to the therapy room: National dissemination and implementation of evidence-based psychotherapies in the U.S. Department of Veterans Affairs Health Care System. Am Psychol. 2014;69(1):19-33.
- Stewart MO, Karlin BE, Murphy JL, et al. National dissemination of cognitive-behavioral therapy for chronic pain in veterans: therapist and patient-level outcomes. Clin J Pain. 2015;31(8):722-729.
- 15. Karlin BE, Brown GK, Trockel M, Cunning D, Zeiss AM, Taylor CB. National dissemination of cognitive behavioral therapy for depression in the Department of Veterans Affairs health care system: therapist and patient-level outcomes. J Consult Clin Psychol. 2012;80(5):707-718.
- Karlin BE, Trockel M, Taylor CB, Gimeno J, Manber R. National dissemination of cognitive behavioral therapy for insomnia in veterans: therapist- and patient-level outcomes. J Consult Clin Psychol. 2013;81(5):912-917.
- Manber R, Trockel M, Batdorf W, et al. Lessons learned from the national dissemination of cognitive behavioral therapy for insomnia in the veterans health administration. Sleep Med Clin. 2013;8(3):399-405.
- Walser RD, Karlin BE, Trockel M, Mazina B, Barr Taylor C. Training in and implementation of acceptance and commitment therapy for depression in the veterans health administration: therapist and patient outcomes. Behav Res Ther. 2013;51(9):555-563.
- Verhey IJ, Ryan GK, Scherer N, Magidson JF. Implementation outcomes of cognitive behavioural therapy delivered by non-specialists for common mental disorders and substance-use disorders in low- and middle-income countries: a systematic review. Int J Ment Health Syst. 2020;14:40.
- Greenhalgh T, Robert G, Macfarlane F, Bate P, Kyriakidou O. Diffusion of innovations in service organizations: systematic review and recommendations. Milbank Q. 2004;82(4):581-629.
- Damschroder LJ, Aron DC, Keith RE, Kirsh SR, Alexander JA, Lowery JC. Fostering implementation of health services research findings into practice: a consolidated framework for advancing implementation science. Implement Sci. 2009;4:50.

- Damschroder LJ, Hagedorn HJ. A guiding framework and approach for implementation research in substance use disorders treatment. Psychol Addict Behav. 2011;25(2):194-205.
- Powell BJ, McMillen JC, Proctor EK, et al. A compilation of strategies for implementing clinical innovations in health and mental health. Med Care Res Rev. 2012;69(2):123-157.
- Powell BJ, Waltz TJ, Chinman MJ, et al. A refined compilation of implementation strategies: results from the expert recommendations for implementing change (ERIC) project. Implement Sci. 2015;10:21.
- Glasgow RE, Harden SM, Gaglio B, et al. RE-AIM planning and evaluation framework: adapting to new science and practice with a 20-year review. Front Public Health. 2019;7:64.
- RE-AIM Workgroup. https://www.re-aim.org/. Accessed August 24, 2021.
- 27. Goldsmith E, Koffel E, Ackland P, Hill J, Landsteiner A, Miller W, Stroebel B, Ullman K, Wilt T, Duan-Porter W. Implementation of psychotherapies and mindfulness-based stress reduction for chronic pain and chronic mental health conditions. Washington, DC: Evidence Synthesis Program, Health Services Research and Development Service, Office of Research and Development, Department of Veterans Affairs. VA ESP Project #09–009; 2021. https://www.hsrd.research.va.gov/publications/esp/reports.cfm.
- Department of veterans affairs. VA/DoD clinical practice guideline for the management of posttraumatic stress disorder and acute stress disorder. https://www.healthquality.va.gov/guidelines/MH/ptsd/ VADoDPTSDCPGFinal012418.pdf. Published 2017. Accessed August 24, 2021.
- Department of veterans affairs. VA/DoD clinical practice guideline for the management of major depressive disorder. https://www.healt hquality.va.gov/guidelines/MH/mdd/VADoDMDDCPGFINAL82916. pdf. Published 2016. Accessed 2021. August 24.
- Use of opioids in the management of chronic pain work group. VA/DoD clinical practice guideline for opioid therapy for chronic pain. Washington. D.C. 2017.
- Wells GA, Shea B, O'Connell D, et al. The newcastle-ottawa scale (NOS) for assessing the quality of nonrandomised studies in metaanalyses. 2000.
- Singh J. Critical appraisal skills programme. Journal of pharmacology and Pharmacotherapeutics. 2013;4(1):76.
- Higgins JPT TJ, Chandler J, Cumpston M, Li T, Page MJ, Welch VA (editors). Cochrane handbook for systematic reviews of interventions version 6.3 (updated February 2022). Cochrane; 2022. Available from https://training.cochrane.org/handbook.
- Curran GM, Woo SM, Hepner KA, et al. Training substance use disorder counselors in cognitive behavioral therapy for depression: Development and initial exploration of an online training program. J Subst Abuse Treat. 2015:58:33-42.
- Mignogna J, Hundt NE, Kauth MR, et al. Implementing brief cognitive behavioral therapy in primary care: A pilot study. Transl Behav Med. 2014;4(2):175-183.
- Cully JA, Teten AL, Benge JF, Sorocco KH, Kauth MR. Multidisciplinary cognitive-behavioral therapy training for the veterans

- affairs primary care setting. Prim Care Companion J Clin Psychiatry. 2010:12(3).
- Kauth MR, Sullivan G, Blevins D, et al. Employing external facilitation to implement cognitive behavioral therapy in VA clinics: a pilot study. Implement Sci. 2010:5:75.
- Hepner KA, Hunter SB, Paddock SM, Zhou AJ, Watkins KE. Training addiction counselors to implement CBT for depression. Adm Policy Ment Health. 2011;38(4):313-323.
- Clark DM, Layard R, Smithies R, Richards DA, Suckling R, Wright
   B. Improving access to psychological therapy: Initial evaluation of two
   UK demonstration sites. Behav Res Ther. 2009;47(11):910-920.
- Ruzek JI, Rosen RC, Garvert DW, et al. Online self-administered training of PTSD treatment providers in cognitive-behavioral intervention skills: results of a randomized controlled trial. J Trauma Stress. 2014;27(6):703-711.
- 41. **Anthes E.** Mental health: There's an app for that. Nature. 2016;532(7597):20-23.
- 42. **Bee PE, Bower P, Lovell K, et al.** Psychotherapy mediated by remote communication technologies: a meta-analytic review. BMC Psychiatry. 2008;8(1):60.
- Guo C, Ashrafian H, Ghafur S, Fontana G, Gardner C, Prime M. Challenges for the evaluation of digital health solutions-A call for innovative evidence generation approaches. NPJ Digit Med. 2020;3(1):110.
- Heapy AA, Higgins DM, Goulet JL, et al. Interactive Voice responsebased self-management for chronic back pain: The COPES noninferiority randomized trial. JAMA Intern Med. 2017;177(6):765-773.
- Higgins DM, LaChappelle KM, Serowik KL, Driscoll MA, Lee A, Heapy AA. Predictors of participation in a nonpharmacological intervention for chronic back pain. Pain Med. 2018;19(suppl\_1):S76-S83.
- Appleton R, Williams J, Vera San Juan N, et al. Implementation, Adoption, and Perceptions of telemental health during the COVID-19 pandemic: systematic review. J Med Internet Res. 2021;23(12):e31746.
- Zangani C, Ostinelli EG, Smith KA, et al. Impact of the COVID-19 pandemic on the global delivery of mental health services and telemental health: Systematic Review. JMIR Ment Health. 2022.
- 48. **Tobin ET, Hadwiger A, DiChiara A, Entz A, Miller-Matero LR.** Demographic predictors of telehealth use for integrated psychological services in primary care during the COVID-19 pandemic. J Racial Ethn Health Disparities. 2022.
- Cigrang JA, Rauch SA, Avila LL, et al. Treatment of active-duty military with PTSD in primary care: Early findings. Psychol Serv. 2011:8(2):104.
- Cigrang JA, Rauch SA, Mintz J, et al. Treatment of active duty military with PTSD in primary care: A follow-up report. J Anxiety Disord. 2015;36:110-114.
- 51. Bramoweth AD, Lederer LG, Youk AO, Germain A, Chinman MJ. Brief behavioral treatment for insomnia vs. Cognitive behavioral therapy for insomnia: Results of a Randomized Noninferiority Clinical Trial Among Veterans. Behav Ther. 2020;51(4):535-547.

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.