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7	Role of Seasonal Transitions and Westerly Jets in East Asian Paleoclimate
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Abstract

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The summer rainfall climate of East Asia underwent large and abrupt changes during past climates, in response to precessional forcing, glacial-interglacial cycles as well as abrupt changes to the North Atlantic during the last glacial. However, current interpretations of said changes are typically formulated in terms of modulation of summer monsoon intensity, and do not account for the known complexity in the seasonal evolution of East Asian rainfall, which exhibits sharp transition from the Spring regime to the Meiyu, and then again from the Meiyu to the Summer regime. We explore the interpretation that East Asian rainfall climate undergoes a modulation of its seasonality during said paleoclimate changes. Following previous suggestions we focus on role of the westerly jet over Asia, namely that its latitude relative to Tibet is critical in determining the stepwise transitions in East Asian rainfall seasons. In support of this linkage, we show from observational data that the interannual co-variation of June (July-August) rainfall and upper tropospheric zonal winds show properties consistent with an altered timing of the transition to the Meiyu (Summer), and with more northward-shifted westerlies for earlier transitions. We similarly suggest that East Asian paleoclimate changes resulted from an altered timing in the northward evolution of the jet and hence the seasonal transitions, in particular the transition of the jet from south of the Plateau to the north that determines the seasonal transition from Spring rains to the Meiyu. In an extreme scenario – which we speculate the climate system tended towards during stadial (cold) phases of D/O stadials and periods of low Northern Hemisphere summer insolation – the jet does not jump north of the Plateau, essentially keeping East Asia in prolonged Spring conditions.

We argue that this hypothesis provides a viable explanation for a key paleoproxy signature of

D/O stadials over East Asia, namely the heavier mean δ^{18} O of precipitation as recorded in speleothem records. The southward jet position prevents the low-level monsoonal flow – which is isotopically light – from penetrating into the interior of East Asia; as such, precipitation there will be heavier, consistent with speleothem records. This hypothesis can also explain other key evidences of East Asian paleoclimate changes, in particular the occurrence of dusty conditions during North Atlantic stadials, and the southward migration of the Holocene optimal rainfall.

1. Introduction

East Asia experienced large and abrupt climate changes during the Pleistocene. The most remarkable recent evidence of these changes is from stable oxygen isotope ratios (δ^{18} O) of speleothem calcium carbonate across various East Asian caves [*Wang et al.*, 2001; *Wang et al.*, 2008] (**figure 1**). They show large fluctuations in the δ^{18} O on millennial and precessional timescales, with heavier isotopic composition during stadials, and similar fluctuations during periods of low Northern Hemisphere (NH) summer insolation due to precessional changes in the Earth's orbit. Other records corroborate the sense of large and abrupt change in East Asia; for example, paleoproxy dust records show East Asia to be dustier during cold stadials (and in particular Heinrich stadials) [*An et al.*, 2012; *Nagashima et al.*, 2011], and more generally during glacial periods [*An*, 2000].

The dominant interpretation of variability in the speleothem records is as a record of changes in East Asian summer monsoon intensity, with δ^{18} O relatively light when monsoons are more intense [Clemens et al., 2010; Wang et al., 2001; Wang et al., 2008]. This interpretation originated with the 'amount effect' [Dansgaard, 1964] wherein rainfall is observed to be isotopically lighter with stronger rainfall. However, while this relationship works well for convective rainfall where evaporation exceeds precipitation [Lee and Fung, 2008], recent studies from instrumental measurements over East Asia examining the variation of δ^{18} O find the amount effect influence to be relatively weak on the whole and hetereogeneous in space [Dayem et al., 2010; Johnson and Ingram, 2004; Lee et al., 2012]; moreover there is significant temperature dependence of δ^{18} O, especially at the northern extremities of the East Asian summer monsoon region. Recent interpretations instead invoke seasonality where lighter δ^{18} O indicates relatively more summer rainfall following from the fact that summer monsoon rainfall has lighter δ^{18} O

than the rest of the year [Wang et al. 2001]. Following this logic, Cheng et al. [2009b] interpret the speleothem record as a measure of the amount of summer monsoon precipitation, or as they refer to as 'summer monsoon intensity'.

Even if this were correct, the 'summer monsoon intensity' interpretation is at best incomplete as it neglects the complexity of the seasonal evolution in East Asian rainfall. The behavior of 'typical' monsoons - such as the West African or South Asian monsoon - are characterized by the onset and retreat of one (summer) rainy season. East Asia spring and summer rainfall, on the other hand, is characterized by several quasi-stationary stages and abrupt transitions in between (see section 2).

We seek a more concrete interpretation of the East Asian paleo rainfall changes that incorporates the complexity of the seasonal cycle. The role of seasonality and seasonal transitions has been previously invoked in several previous East Asian paleoclimate studies, though none of them comprehensively. *An et al.* [2000] argued for stepwise changes to the East Asian monsoon during the Holocene whereby the region experiencing its 'Holocene optimal' (maximum rainfall during the course of the Holocene) shift with the phase of precession: peak rainfall was attained during 10,000–7000 yr ago in north-central and northern east-central China; ca. 7000–5000 yr ago in the middle and lower reaches of the Yangtze River; and ca. 3000 yr ago in southern China. *Clemens et al.* [2010] argued for significant contributions of wintertime East Asian rainfall in order to explain the phasing of East Asian precipitation relative to its South Asian counterparts. Following the summer/non-summer rainfall ratio interpretation by *Wang et al.* [2001] and *Cheng et al.* [2009b], *Dayem et al.* [2010] also explored the ramifications of changes in the seasonality of precipitation on precipitation δ¹⁸O.

The quasi-stationary stages of East Asian rainfall and abrupt transitions indicates a *dynamic* seasonality driven by circulation changes, and not simply a continuous response to increasing insolation; moreover, it suggests that the dynamics underlying such changes could be usefully applied to the paleoclimate scenarios. The view we promote is centered on the role of the westerly jet impinging on Tibet; modern-day dynamical studies point to the seasonal north-south evolution of the jet as playing a key role in the abrupt transitions in the East Asian rainfall climate. It also turns out (as we will argue) that the meridional position of jet is sensitive to many paleoclimate influences, including orbital changes, the topographic effect of the Laurentide ice sheet, and slowdowns on the Atlantic Meridional Overturning circulation (AMOC). Thus, the westerly jet gives us a way to connect paleoclimate influences to specific changes in the East Asian rainfall climate.

The role of the westerly jet and jet transitions in East Asian paleoclimate is an emerging hypothesis. The westerlies features prominently in how the rise of Tibetan Plateau over the last several million years altered Asian climate; *Molnar et al.* [2010] provides a summary and perspective on these ideas, and also speculate on the role of the westerly jet in the more recent paleoclimate changes. However, the first and most comprehensive exposition (to the authors' knowledge) of the role of jet transitions during the last glacial period and Holocene was advanced by Kana Nagashima and colleagues [*Nagashima et al.*, 2007; *Nagashima et al.*, 2011], Nagashima and Tada, 2012], who hypothesized a delayed seasonal jet transition from south of Tibet to the North during D/O stadials. They proposed this hypothesis specifically to explain their dust flux record from an ocean sediment core in the Sea of Japan, and drew on contemporary understanding of westerly jet dynamics in formulating their hypothesis. While we follow similar motivations as with Nagashima and colleagues in formulating our hypothesis, we

expand the hypothesis by exploring the ramifications of the hypothesis in particular to the atmospheric circulation dynamics and oxygen isotopic changes in rainfall. We also present initial modeling evidence supporting this hypothesis, as well as presenting a modern-day analog that illustrates in detail the nature of a delayed jet transition on the climate of East Asia and surroundings.

We first summarize what is known regarding the East Asian seasonal transitions, and their relationship to the seasonal jet transition (section 2). We then advance a specific hypothesis (the 'Jet Transition' hypothesis) for East Asian paleoclimate changes, and discuss the predictions of the hypothesis (section 3). In section 4, we show observational evidence that the meridional position of the westerly jet is tied to modulation of the timing of seasonal transitions in today's East Asian monsoon variability. Following this, we explore our hypothesis with model simulations of two paleoclimate scenarios, North Atlantic cooling and orbital variations (precession), showing the viability of the hypothesis (section 5). We then explore how the hypothesis can be made consistent with speleothem δ^{18} O records (section 6), and also discuss how the hypothesis may be consistent with other key paleorecords, including dust (section 7). We end with discussion and conclusions (section 8).

2. Background

Ding and Chan [2005] noted in an influential review paper on East Asian monsoon that the "seasonal march of the East Asian summer monsoon displays a distinct stepwise northward and northeastward advance, with two abrupt northward jumps and three stationary periods". **Figure** 2 (modified from Ding and Chan 2005) summarizes the evolution: starting from persistent rainfall in Spring, the 'pre-Meiyu' phase starts in early May with the start of rainfall surges over the South China Sea; rainfall over Southern China also intensifies. Meiyu rainfall starts

sometime in the first half of June, when the preferred latitude of rainfall shifts rapidly northward to central China along the valley of the Yangze River. This second quasi-stationary stage persists for 20-30 days until another shift occurs during early-mid July, when rainfall jumps well north (~35°N), ending the Meiyu stage. This Summer rainfall then occurs over northern China, whereas central and southern China (~24°N to ~35°N) becomes relatively dry. (NOTE: our references to **Spring, Pre-Meiyu, Meiyu, and Summer,** first letter in capital, will specifically refer to the dynamical stages of rainfall). These complex stages contrast with other monsoon systems that tend to have a simpler evolution. For example, the West African and South Asian summer monsoon rainfall evolves fairly smoothly after the abrupt onset in early summer, until its termination in September (**figure 3**). It suggests that other factors, not typical of monsoons, influence East Asia.

One crucial feature that is not shared by other monsoons is that the East Asian monsoon penetrates the midlatitudes (~42°N), well into the latitudes of the upper-level westerlies. Moreover, the Tibetan Plateau has a pronounced effect on the configuration of the westerlies over East Asia. In the absence of the Plateau, the westerlies flow zonally, unimpeded by the topography. In the presence of the Tibetan Plateau however, the westerlies flow around to the south or north of the Plateau, depending on the latitude of the westerlies impinging upstream of the Plateau [*Schiemann et al.*, 2009]. In the Spring month of April and prior, the jet is located south of the Plateau (**figure 4**). In May the jet transitions northward over Tibet until June when the jet sits north of Tibet, hugging the northern boundary. In July, the jet extends further northwards away from the northern boundary of Tibet [*Lin and Lu 2008*].

The Chinese monsoon literature has long noted that the rainfall transitions are timed to

the seasonal evolution in latitudinal position of the westerly jet impinging on the Tibetan Plateau. The first directed studies published in English are those by *Academia Sinica [1957, 1958a,b] and Yeh et al. [1959]*, and subsequent studies have since provided compelling evidence of this association (e.g. *Liang and Wang*, 1998; *Schiemann et al.*, 2009). When the jet is south of Tibet, East Asia experiences Spring rains. The transition of the jet to the north of the Plateau marks the start of the Pre-Meiyu, and the Meiyu front (and associated rainfall) across central China forms once the jet has fully transitioned to the North. A weakening of the jet and further northward displacement occurs in July, timed with the disappearance of the Meiyu front and the jump of rainfall to North China. In short, the distinct phases of seasonal rainfall - Spring, pre-Meiyu, Meiyu, and Summer – are tied to the meridional transitions (relative to the Plateau) during the northward evolution of the westerly jet.

Recent dynamical work suggests that changes in meridional jet position *cause* the seasonal transitions in the East Asian rainfall. During the spring when the jet is South of the Plateau, the resulting downstream circulation experiences dynamical large-scale uplift that leads to a relatively weak but persistent rainfall across southeastern China. The 'Spring Persistent Rains' are well known (e.g. *Wu et al.*, 2007), and quite distinct from the convective rainfall associated with the East Asian summer monsoon. The mechanisms of the large-scale uplift downstream of Tibet are still being investigated, but it has been simulated in AGCM simulations where the Tibetan highlands is gradually introduced [*Chen and Bordoni*, 2014; *Park et al.*, 2012; *Wu et al.*, 2007] and in more idealized simulations with a dry atmospheric model with an idealized imposed mountain [*Molnar et al.*, 2010; *Park et al.*, 2012]. Observational analysis of the moist static energy budget and AGCM experiments indicate that the interaction between the jet and the Tibetan plateau causes anomalous advection of dry enthalpy into the Meiyu region,

and that this advection plays an important role in maintaining the Meiyu front [Chen and Bordoni, 2014].

On the other hand, the Meiyu front arises from the circulation downstream of Tibet when the jet flows around its northern edge; the jet separates the warm moist air over South China from the dry cooler air to the north, and transient eddies carried by the jet along the front bring about frontal convection that is the hallmark of Meiyu rainfall [*Ding and Chan*, 2005; *Sampe and Xie*, 2010]. In addition, advection warm air by the westerlies from the eastern flank of the Tibetan Plateau induce upward motion over the Meiyu front latitudes, promoting convection over that region [*Sampe and Xie*, 2010]. Finally, when the jet maximum systematically shifts northwards away from the Tibetan Plateau and weakens, these effects and thus the Meiyu front disappears. As a result, monsoonal flows are able to transport moisture to the northern reaches of China (north of 35°N) where they undergo convection. The northward shift of the jet co-incide with the onset of the Western North Pacific summer monsoon [e.g. *Ueda et al. 2009*] and the resulting atmospheric dynamical adjustment from the diabatic heating is thought to contribute to the jet shift.

3. Seasonality and the Jet Transition Hypothesis

We seek to incorporate the complex rainfall seasonality of East Asia into the interpretation of East Asian paleoclimate. In order to do this, we focus on the quasi-stationary stages and transitions in the East Asian rainfall seasonality, and the role of the westerlies as the determinant of these stages. At a gross level, the position and strength of the westerlies are tied to changes in the meridional temperature gradient through thermal wind [e.g. *Holton*, 2004]; as such, many paleoclimate forcings are well suited to altering the westerlies. For example, both the Dansgaard-Oeschger (D/O) cycles and precessional changes readily alter the equator-to-pole

temperature gradient. D/O stadials are characterized by intense North Atlantic cooling that cools the entire northern hemisphere, weighted towards the higher latitudes; and the low NH summer insolation phase of precession cools the entire Northern Hemisphere during the summer, also weighted towards the higher latitudes (we will show simulated jet changes in the next section). Atmospheric teleconnections resulting from convection changes in the Tropics can also lead to changes in the westerlies impinging over Tibet; for example, an earlier or later onset of the Western North Pacific summer monsoon may result in changes in the northward shift of the westerlies in mid-July, similar to what is seen in the interannual variations of the 'Pacific-Japan' teleconnection pattern [Hsu and Lin 2007; Kosaka and Nakamura 2010].

We posit that the meridional variation of the westerly jet impinging on the Tibetan

Plateau is key to interpreting paleoclimate change. As such, we propose this hypothesis:

Jet Transition Hypothesis: changes to the seasonal meridional position of the westerlies relative to the Tibetan Plateau drive rainfall climate changes over East Asia on paleoclimate timescales.

A schematic of the East Asian seasonal cycle (figure 5) qualitatively illustrates this hypothesis and its predictions. Here, the y-axis is an idealized measure of the seasonality, which in our hypothesis is linked to the meridional position of the westerlies relative to the Plateau.

The various stages of East Asian rainfall seasonality – Spring, pre-Meiyu (jet transition), Meiyu, and Summer - are marked in the schematic. The 'normal' seasonal cycle (solid black line) undergoes the usual seasonal Spring-Meiyu-Summer rainfall transitions. In scenario A (red

dashed line) with the jet extending further North at its peak, the main effect is a longer Summer

scenario B (cyan dashed line), the South-to-North transition occurs later; the main feature is that

regime, associated with an earlier South-to-North transition and/or a shortened Meiyu. In

there is no transition to Summer; rather, it persists in the Meiyu. In an extreme scenario C

(dashed blue line), the jet does not transition to the North, and the East Asia rainfall regime stays largely in either Spring or Winter.

While the hypothesis is crude and qualitative as currently stated, it offers predictive tests that can be used to explore and subsequently refine the hypothesis. We do so in section 5 with some model simulations.

4. Modern-day interannual analog of seasonal transitions

Before we explore paleoclimate scenarios with models however, we use the modern-day variability of the East Asian monsoon to illustrate the climate and circulation changes associated with the timing of the seasonal transitions, taking advantage of observational and reanalyses datasets. By showing that modern analogs exist, it lends credibility to the Jet Transition hypothesis. We specifically examine the variability of the Meiyu onset, and the variability in the Meiyu-to-Summer transition.

4.1 Variability in the Meiyu onset

The Meiyu onset occurs during mid-June (see **figure 2**) [*Ding and Chan*, 2005] but there is year-to-year variability about that onset date. This variability and its association with the westerly jet is captured in a maximum covariance analysis (MCA; *Bretherton et al.*, 1992) of June mean upper tropospheric zonal winds averaged over 500-100mb (left field) and precipitation (right field) (**figure 6**). We used NCEP reanalysis [*Kalnay et al.*, 1996] for the zonal winds, and Global Precipitation Climatology Center (GPCC) gridded station rainfall [*Schneider et al.*, 2008]. The zonal wind domain (50-130°E, 20°N-50°N) used for the MCA is taken over the span of the Tibetan Plateau as well as upstream and downstream regions. The precipitation domain is taken over the East Asian summer monsoon region (105-123°E, 21-40°N). We apply the MCA over the full period of overlap between the two datasets (1958-

2010); prior to the MCA, both precipitation and zonal wind are normalized at each gridpoint. There are documented issues with discontinuities in the NCEP reanalysis, in particular with the incorporation of satellite information post-1979; in order to verify our result, we also did the same analysis over the period 1979-2010; the results were essentially the same. We also repeated the MCA using the newer but shorter NCEP2 reanalyses [*Kanamitsu et al.*, 2002] over 1979-2010; similar results were obtained (not shown).

The method essentially extracts the variation in the Meiyu onset as the first mode, associated with north-south displacement in the westerly jet strength; mode 1 explains around 56% of the squared covariance fraction. The spatial pattern of the first mode shows stronger upper-tropospheric zonal winds to the north and weaker to the south associated with stronger precipitation over northern China and weaker over Southern China (**figure 6a**). The corresponding zonal wind expansion coefficient timeseries (**figure 6b**) shows interannual variability but no noticeable trend for the time period of analysis; the precipitation expansion coefficient (**figure 6c**) likewise display pronounced interannual variations correlated to the zonal expansion coefficients, but also suggest multidecadal variation with low values prior to the late 1970's and after the late 1990's, and higher values between the two periods.

We seek confirmation that MCA mode 1 is associated with the timing of northward jet transition. We use an occurrence-based jet climatology data developed by *Schiemann et al.* [2009] to do composites of meridional median jet latitude from 90°E - 130°E for 'high' and 'low' years of the mode 1 U wind expansion coefficients (**figure 7a-c**). This data essentially counts the occurrence of local jet maxima in the 3-dimensional wind field using the 40-year ECMWF reanalyses (ERA-40; *Uppala et al.*, 2005) at 6-hourly intervals over 1958-2001 (see *Schiemann et al.* [2009] for details). The Jet occurrence data between June 11-20 of 'high' years

(**figure 7a**) – corresponding to a more northward mean zonal wind in the MCA – occupies a stretch of longitudes across East Asia between 35°N and 40°N (and with a slight northeast to southwest tilt). 'Low years' (**figure 7b**) shows a slightly more southward distribution of jet occurrences than those for 'high' years (**figure 7c**), consistent with a delayed northward transition for 'low' years.

We use this interannual analog to gain insight into the regional climate changes of a varying jet transition by regressing the U expansion coefficients against various monthly fields of interest. With an earlier onset to the Meiyu, low-level southerlies increase over East Asia (figure 8a), bringing moisture further inland and shifting convection northwards (figure 8a and 9a). Low-level moist static energy increases over the Meiyu front latitudes of 30-35°N (figure 8b). The regression pattern onto June precipitation over the larger Asian region demonstrates the influence of the westerlies on the precipitation pattern (figure 9a, shaded). The effect of an earlier northward transition is evident – less rainfall over China south of ~30°N, and more to the north of it; increased rainfall also occurs over the Korean peninsula and southern Japan. Intriguingly, there is also increased rainfall over northeastern India associated with this MCA pattern, suggesting that there may be some connection to rainfall there as well.

4.2 Variability in the Meiyu-to-Summer transition

We repeat the same MCA analysis as in section 4.1, but using July-August averaged fields to capture the variation in the Meiyu-to-Summer transition. From previous studies, we expect there to be significant interannual variation in the westerlies, and associated with July-August rainfall changes over East Asia [e.g. *Lin and Lu 2008, Kosaka et al. 2011]*; in particular, *Kosaka et al. (2011)* also used MCA to extract a relationship between the Meiyu-Baiyu rainfall anomalies and thermal advection by the westerlies, and they invoked the mechanism by *Sampe and Xie (2010)*

to account for the linkage. Results are shown in **figure 10**. As with the June analysis, the dominant pattern (explaining around 56% of the squared covariance fraction) is a north-south dipole in the zonal wind field with increased westerlies to the north associated with a 'tripole' pattern in precipitation with positive values over northern China, negative values over the Meiyu front latitudes, and positive again over southern China (also see **figure 9b**). Composites of the jet count data (similar to what was done in section 4.1 with the June MCA mode 1) confirm that this pattern is associated with an earlier jet transition to the north (**figure 7d-f**). Moreover, associated low-level winds, vertical velocity, and 925mb moist static energy changes (**figure 8c,d**) suggest increased moisture transport penetrating north of the Meiyu front, resulting in convection over Northern China. The pattern is consistent with the interpretation that an earlier northward transition of the westerly jet in this season is linked to an earlier Meiyu-to-Summer transition.

The associated precipitation pattern over East Asia for this MCA mode 1 (**figure 9b**) bears close resemblance to a well-known 'tripole' pattern in East Asian rainfall anomalies [Chang et al., 2000; Hsu and Liu, 2003; Weng et al., 1999]; for example, Hsu and Lin [2007] showed that it arises as the first EOF of detrended June-August averaged rainfall over 1962-97. Interestingly, they show that this extracted precipitation pattern is tied to a meridional shift in the mean westerly jet axis across the whole of Asia (see figure 11 of Hsu and Lin 2007). To further compare our results to Hsu and Lin [2007], we regressed the zonal wind expansion coefficients for the Jul-Aug MCA mode 1 to July-Aug 100-500mb averaged zonal winds (**figure 9b** contours). The regression clearly indicates the elongated pattern of westerly changes stretches from the Near East across Asia and into the Western North Pacific, similar to what was found in Hsu and Lin [2007]. For completeness, we performed a similar regression of June mean upper

tropospheric westerlies onto the June MCA1 U expansion coefficients (figure 9a, contours). The results are similar to that found for the July-August case, showing again that an earlier (later) transition is linked to northward (southward) displacement in the westerly jet axis. The underlying dynamics of this 'precipitation tripole' remains to be elucidated, though Hsu and Lin [2007] show that the interannual variability of their precipitation tripole is forced by a number of factors, including the tropical Pacific, disturbances from the extratropics, as well as changes to heating over the eastern Tibetan Plateau. It led them to speculate on the intrinsic nature of this mode of behavior, that the "tripole pattern is a result of the amplification of an intrinsic dynamic mode that can be triggered by various factors despite their different origins". This interpretation, if true, would support to our hypothesis that the westerly jet is sensitive to north-south perturbations, and that it leads to significant precipitation variations across East Asia. Finally, we note in passing that the extracted July-August MCA mode 1 exhibits an intriguing trend over the period of analysis in both expansion coefficients, and suggesting a later Meiyu-to-Summer transition from the mid-to-late 20th century (**figure 10b,c**). In fact, the precipitation trends resembles the well-known 'North-Drought, South Flood' pattern observed over the latter half of the 20th century [Hu et al., 2003; Xu, 2001; Zhou et al., 2009], where summer precipitation underwent a wetting trend over central China but a drying trend in the north (note that *Hsu and Lin* [2007] detrended their precipitation data prior to analysis, but did acknowledge the existence of a significant trend in the tripole pattern). Yu et al. [2004] linked this "North-Drought, South Flood" pattern to a tropospheric cooling trend over East Asia that, according to them, led to a southward shift of the tropospheric westerlies. However, whether or not our extracted July-August MCA mode 1 pattern is indeed linked to the 'North-Drought, South Flood' pattern requires further study; in particular, this pattern will have to be reproduced

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with independent observational data (given the limitations in NCEP reanalysis regarding long-term trends), and dynamical reasons will have to be found for why and how this trend occurred.

5. Exploring the hypothesis with model simulations

We now explore our hypothesis using paleoclimate simulations of the higher-resolution 0.9°x1.25° (lat/lon) version of the Community Atmosphere Model version 5 (CAM5) [Neale et al., 2010]. The version we use is coupled to a 50m thermodynamic 'slab' ocean, so that thermodynamic ocean-atmosphere feedbacks – especially important for the tropics - are incorporated. This model has an excellent simulation of the East Asian seasonal rainfall climate (figure 11a), showing the separation into Spring, Meiyu, and Summer rains, and serves as an ideal platform to explore some of our ideas.

5.1 Precession

Years of modeling research, starting with the pioneering work by *Kutzbach* [1981] have shown that northern hemisphere monsoons respond strongly to precessional insolation forcing. The East Asian monsoon climate is no exception, and speleothem records show large and abrupt variations timed to precessional cycles. The conventional interpretation calls for more intense summer monsoons during times when northern hemisphere summer insolation is high [*Wang et al.*, 2008]; the northern hemisphere (and in particular the high latitudes) will be warmer than today, and the equator-to-pole temperature gradient should weaken at least in the summer months. Thus, the prediction would be for a more northerly-positioned jet and an early transition into the Meiyu and Summer rainfall regimes.

We use orbital conditions from 11,000 years ago corresponding to a time when northern summer insolation was close to its precessional peak. When these orbital conditions are applied to CAM5, keeping all other boundary conditions fixed to present-day, the model's rainfall

responds with an earlier transition to the Meiyu, and to Summer rainfall (**figure 11b and c**); the model simulation also stays in Summer rainfall conditions longer until the transition to Fall conditions. All of these are in broad accordance with the proposed hypothesis (scenario 'A' in figure 5).

The westerly jet over East Asia shows an apparent early transition to the North. Top-of-atmosphere (TOA) insolation increases (relative to today) over the Northern hemisphere Tropics and midlatitudes from mid-April through early September, peaking in June and July with a ~40Wm⁻² increase (**figure 12**). We expect a similar timing in the changes to the westerlies over Asia. **Figure 13a** shows the June-August zonal wind changes averaged over East Asia (100°E-125°E). They show reduced zonal westerlies in the southern part of the mean westerlies, which we interpret to mean an earlier northward transition of the jet. The anomalies appear from mid-April through mid September and peak in early July (not shown), roughly coincident with the timing of the insolation anomalies.

5.2 North Atlantic Cooling

In this second test case, we apply a 45W/m² SST cooling in the extratropical North Atlantic to an atmospheric general circulation model coupled to a slab ocean (similar to what was done in *Cvijanovic and Chiang* [2013], but with a newer model and higher resolution). North Atlantic cooling is associated with Dansgaard-Oeschger (D/O) stadials, which are in one-to-one correspondence with Chinese speleothem records (e.g. *Wang et al.*, 2001). However, our run is embedded in a present day basic state; as such, our simulation would be more analogous to the 8.2ka North Atlantic cold event during the Holocene when the basic state climate (continental ice sheet, sea level, and greenhouse gas distributions) was more similar to modern. This event's

impacts included dry conditions over East Asia according to Chinese speleothem records [*Cheng et al.*, 2009a; *Liu et al.*, 2013].

The results are not as clear-cut as for the precessional run, but do show a delay in the Spring-to-Meiyu transition, as well as the Meiyu-to-Summer transition (**figure 14**). The duration of Summer rains is also shorter. Moreover, the summer westerlies appear to be weakened over the mean westerly maximum, and strengthened to the south of it, suggesting a mean southward shift of the westerly jet axis (**figure 13b**). This interpretation is not clear-cut, as there is also an increase (albeit weaker) in the westerlies to the north of the jet; however, given that the anomalous westerlies are larger over the southern lobe and closer to jet maximum, we interpret this to mean that the South to North transition of the westerly jet across the Plateau was delayed, consistent with the behavior of rainfall.

The relative lack of response in the westerlies over East Asia to North Atlantic cooling, at least for the CAM5, points to a potential weakness in our hypothesis. However, when we applied a similar North Atlantic cooling to another model (the Community Atmosphere Model version 3 at T42 resolution), the southward displacement of the westerlies over East Asia was clearer and more pronounced (not shown). Thus, it is likely that the magnitude of the response is model-dependent, and possibility from different strengths in radiative feedbacks: *Liu et al.* (2014) found that the downstream cooling resulting from North Atlantic cold forcing was amplified by positive feedbacks, in particular water vapor and clouds. To advance this hypothesis, more needs to be done to understand the teleconnection between North Atlantic cooling and westerlies over East Asia.

6. Explaining Cave Speleothem δ^{18} O records

We now show how the hypothesis produces a viable explanation for changes to the East Asian speleothem δ^{18} O records, by considering the modern-day seasonal cycle in the δ^{18} O of precipitation and how it is linked to the seasonality of East Asian rainfall and jets. The ideas here closely parallel the summer/non-summer rainfall ratio ideas introduced by Wang et al. [2001] and Cheng et al. [2009], but using the observed monthly climatology of precipitation δ^{18} O to quantify the potential changes across East Asia. 6.1 Seasonal cycle of precipitation δ^{18} O Measured isotopes from various stations in East and South China show a gross seasonal cycle in the δ^{18} O of precipitation (hereafter δ^{18} O_p) with heavier isotopes in winter and lighter isotopes in summer. Figure 15, taken from Dayem et al. [2010], nicely summarizes these observations. In general, for stations south of the Meivu front, there is a general pattern with relatively heavy δ^{18} O during the winter, and lighter during the summer. However, there is also a variation to this seasonality, with southeastern stations (Liuzhou, Guilin, Hong Kong) shifting to lighter isotopes earlier in the season (May) than the northern and western stations (Nanjing, Zunyi, Guiyang, Kunming), which shift in June. This variation in seasonality is succinctly shown with a combined empirical orthogonal function (CEOF) analysis of the seasonal cycle in $\delta^{18}O_p$ over GNIP stations in China (south of 36°S and east of 100°E, and below 2000m elevation) (figure 16 – see caption for details). EOF1 (figure 16, left column) is the seasonal cycle associated with the summer/winter monsoon: more rain in summer and less in winter, and lighter $\delta^{18}O_p$ in the summer (south of 35°N). EOF2 (figure 16, right column), on the other hand, is associated with springtime changes: the principal component (PC) loadings are large and positive in April and May but small and negative in other months; and the spatial loading show differences in the properties

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between southeastern China (with large positive precipitation loadings and small but positive $\delta^{18}O_p$ loadings) compared to those over central China (small positive precipitation loadings and large positive $\delta^{18}O_p$ loadings). As will be elaborated in section 6.2, mode 2 reflects the difference in *timing* of convective monsoon onset, earlier over Southern China and later over Central China; and associated with it, changes in the $\delta^{18}O_p$.

6.2 Interpretation of seasonal $\delta^{18}O_p$ We argue that this spring modulation in precipitation and $\delta^{18}O_p$ (as reflected by EOF mode 2)

We argue that this spring modulation in precipitation and $\delta^{18}O_p$ (as reflected by EOF mode 2) has a straightforward physical interpretation derived from the relationship between jet position and the various stages in East Asian rainfall. It reflects two physical properties: (i) moisture associated with low-level monsoonal flow from the south is isotopically light, and also associated with more rainfall; and (ii) the latitudinal position of the westerly jet limits the northward penetration of monsoonal low-level moisture transport. Moisture associated with low-level monsoonal inflow is isotopically light because precipitation upstream of East Asia – namely over the Indian ocean and South China Sea –preferentially removes the heavier isotopes from the water vapor being transported to land (the so-called 'rainout effect' [Dansgaard, 1964]).

The second property allows us to tie the spatial properties of EOF2 - for both precipitation and $\delta^{18}O_p$ - to the known jet and precipitation seasonality. During April and May, the jet position is either south or over the Plateau and low-level monsoonal inflow from the south penetrates only into southernmost China; thus $\delta^{18}O_p$ starts becoming lighter and rainfall increases only for the southernmost regions of China in Spring. On the other hand, central and northern China lacks the low-level monsoonal moisture from the south during this time, so the precipitation is small and $\delta^{18}O_p$ is heavy. *This pattern is essentially what is captured in EOF2*.

With the Meiyu onset in June, the jet shifts north and low-level monsoonal moisture is able to penetrate to the northern limit of the Meiyu front (~35°N); and finally with the onset of Summer rains and disappearance of the Meiyu front, the low-level moisture is able to penetrate north of 35°N.

Individual station precipitation and $\delta^{18}O_p$ seasonal cycles (**figure 15**) demonstrate the spatial difference in the timing of convective monsoonal onset and its influence on $\delta^{18}O_p$. For example, in the southeastern Chinese city of Guilin (25°N 110°E), the rainfall increases and $\delta^{18}O_p$ decreases sharply between April and May, indicating a May onset; whereas further north in Nanjing (32°N 118°E), the onset is shifted to June.

Moisture transport fields in NCEP reanalysis support the above interpretation. We regress the PCs of the EOFs onto 925mb climatological total moisture transport and moisture to show the lower tropospheric moisture transports and moisture content associated with each EOF (figure 17). The results indicate that while EOF1 is associated with lower tropospheric monsoonal moisture transport from the south penetrating well into Northern China (figure 17a), moisture transport associated with EOF2 is limited to South and Central China (figure 17b).

6.3 The Jet Transition hypothesis and speleothem $\delta^{18}O$

We now explore how a modified jet seasonal cycle may impact $\delta^{18}O_p$, given our interpretation above. Consider the extreme situation where the jet remains South of the Plateau virtually all year (scenario C in fig5), and rainfall stays 'stuck' in the Spring regime. What would this do to the $\delta^{18}O_p$ of annual mean rainfall?

Returning to the results of our combined EOF analysis, we artificially apply the prolonged Spring conditions by setting the PC 1 and 2 loadings for May through October - the months when the jet is either transitioning or to the north of the Plateau – to the average of the

April and May PC values (**figure 16a and d, dashed lines**). The monthly climatological precipitation and $\delta^{18}O_p$ at each station is then reconstructed from the modified PC1 and 2 loadings. **Figure 18** illustrates how precipitation and $\delta^{18}O_p$ is altered. For the example of Nanjing (the station closest to Hulu cave), climatological precipitation is slightly reduced in the summer (**figure 18a, dashed lines**), but the main effect is the heavier $\delta^{18}O_p$ for the summer months (**figure 18b, dashed lines**). Across the various stations over China, total precipitation does change but not in a systematic fashion – some stations (primarily over southern China) increase, but most others decrease typically by 20%. The more striking feature is that the precipitation-weighted annual mean $\delta^{18}O_p$ is heavier throughout, in particular for stations away from southeastern China. For Nanjing, the increase in the precipitation-weighted annual mean $\delta^{18}O_p$ is +2.45 per mil.

How do these values compare to the speleothem record? If we decompose the Wang et al. (2008) speleothem $\delta^{18}O$ record – which combines records from Hulu cave (32°30'N, 119°10'E) and Sanbao cave (31°41'N, 110°27'E) (**figure 18** plots their locations) - into its millennial, precessional, and glacial-interglacial components using Ensemble Empirical Mode Decomposition [*Wu and Huang*, 2004] (**figure 19**), the amplitude of variations are ~2 per mil, ~3-4 per mil, and ~1 per mil respectively. Thus, our hypothesis appears plausible for explaining millennial variations in the $\delta^{18}O_p$ record, as well as glacial-interglacial variations, though it is unable to explain the entirety of the precessional signal.

6.4 Comparison with other interpretations of speleothem $\delta^{18}O$

Associating δ^{18} O variations to precipitation intensity dominates current interpretations of speleothem oxygen isotope records. However, several recent studies [*Pausata et al.*, 2011; *Yuan et al.*, 2004; *Lee et al.*, 2012] have proposed an alternative hypothesis that the speleothem δ^{18} O

represent the strength of precipitation occurring upstream from East Asia, and not of rainfall over East Asia itself; if precipitation increases upstream of East Asia, the resulting moisture transported to the continent becomes isotopically lighter, and this becomes reflected in the East Asian precipitation $\delta^{18}O$. At face value, the upstream interpretation does not require climate and rainfall changes over East Asia, though it does not exclude them either.

A compelling part of the 'upstream' argument is that it predicts $\delta^{18}O$ variations to be spatially coherent across large regions. This is indeed observed in the spectrum of East Asian speleothem records: the Hulu, Sanbao and Dongge cave records show $\delta^{18}O$ variations that virtually track each other in shape and magnitude in the periods of overlap [Wang et al., 2008]. On the other hand, the 'intensity' interpretation struggles to explain this spatial coherence, as observations of precipitation $\delta^{18}O$ do not suggest a large spatial coherence: the correlation between precipitation amount and $\delta^{18}O_p$ at any given station is weak, nor is there a large-scale coherence in rainfall amount changes over East Asia [Dayem et al., 2010].

Our hypothesis also predicts a broad spatial coherence of the $\delta^{18}O$ signal across, but differently from the upstream hypothesis. In our case, the broad coherence is a direct consequence of the large-scale nature of the East Asian seasonal circulation and its changes. There are, however, predicted differences in *magnitude* of the $\delta^{18}O$ response: from **figure 18d**, smaller $\delta^{18}O_p$ responses are seen over the southeastern coastal China, but increases as one moves northwards and westwards; the largest changes are seen over southwestern China near the foothills of the Tibetan Plateau. A cursory visual comparison of the predicted changes to the cave locations in **figure 18d** suggest that $\delta^{18}O$ changes at Hulu and Sanbao caves would be of similar magnitude; whereas Dongge cave may undergo slightly smaller changes, and Xiaobailong cave would experience significantly larger changes than for the other caves. A

recent long speleothem δ^{18} O record from Xiaobailong indeed appears to show larger amplitude variations in particular for precessional cycles [*Cai et al.*, in preparation]. A more quantitative comparison, however, will have to wait for the advent of climate models that accurately represent both water isotopes and seasonality of rainfall over East Asia.

A recent study by $Liu\ et\ al.\ [2014]$ attempted to reconcile the intensity and upstream interpretations. They found – based on results of a coupled model simulation of the last 21,000 years and explicitly modeling water isotopes – that the upstream depletion has significant control of modeled $\delta^{18}O_p$ variations over China. However, they also found that isotopic variations in East Asian precipitation are associated with modeled precipitation changes over China, and closely correlated to the strength of the southerly East Asian monsoon inflow. They suggest from these results that speleothem $\delta^{18}O$ is indeed a robust indicator of East Asian monsoon changes (and in particular the southerly monsoonal inflow), even with significant control of $\delta^{18}O_p$ by upstream variations. They argue that this occurs because of the large-scale coherence of Northern Hemisphere monsoon variations in controlling both convection over East Asia and upstream of it.

Our hypothesis is not inconsistent with, and appears complementary to, the interpretation offered by $Liu\ et\ al.$ [2014]. A stronger southerly monsoonal flow may be indicative of an earlier onset of the Meiyu and/or Summer rainfall; we note that an earlier onset of either would be marked by stronger monsoon southerlies, as found in our interannual analogs (see **figure 8**). We note that the simulations in $Liu\ et\ al.$ [2014] were done in coarse resolution (T31, or roughly 3.75° resolution), likely too coarse to accurately simulate the Spring/Meiyu/Summer seasonal regimes or the transitions between them; we note, however, that in the simulations of $Liu\ et\ al.$ [2014], lighter modeled $\delta^{18}O_p$ over China is associated with increased rainfall over northern

China and reduced rainfall over central China, resembling an earlier Meiyu-to-Summer transition (cf figure 9b).

In practice, it is likely that all of the factors discussed – intensity, seasonality, and upstream effect – play a role in the observed East Asian speleothem δ^{18} O variations. Our hypothesis alone would struggle to explain the magnitude of the ~3-4 per mil precessional variations in the speleothem record (**figure 19**), but a combination of factors may be able to account for the changes. Interestingly, precessional changes in the earlier half (earlier than 110,000 ybp) of the *Wang et al.* [2008] δ^{18} O record show a relatively smooth variation during the extrema of precessional insolation phases, but also exhibit abrupt transitions from one extremum to the other (see figure 1); this suggests that different dynamics are at play for the two behaviors. Could the smoothly varying portion be related to upstream variations, whereas the abrupt portion tied to the westerly jet shift?

7. Comparison to other East Asian paleoclimate records

In this section, we review other paleoevidence to support the interpretation that East Asian paleoclimate change can be viewed as a modulation of seasonality, driven by meridional displacement of the westerly jet seasonal cycle relative to present day. This distinguishes our hypothesis from the 'intensity' and 'upstream' interpretations mentioned previously. There are at least two features of the East Asian paleoclimate evidence that our hypothesis may explain that are not readily explainable by either the intensity or upstream interpretations, namely: (i) changes in dustiness and dust transport in East Asia, and (ii) the spatial variation in the timing of the Holocene precipitation peak. We elaborate each of these, below.

7.1 Dust records

It is clear from proxy dust records that the East Asian climate is dustier during cold North Atlantic events. The westerly jet hypothesis proposed by Nagashima and co-authors was motivated by their dust records derived off the Sea of Japan; *Nagashima et al.* [2011] showed that East Asia is dustier during cold D/O phases. Some of the earliest studies of East Asian dustiness are from Chinese Loess records, and the seminal *Porter and An* [1995] study showed the link between North Atlantic cold events and dustiness. Most recently, a study by *An et al.* [2012] of Qinghai lake sediments suggests that East Asia is dustier during Heinrich stadials (including the Younger Dryas). They also show a gradual trend towards a less dusty climate from the last glacial towards the present, suggesting a response to continental ice sheet changes and/or CO₂.

East Asian dust changes have traditionally been interpreted as a proxy for changes to the westerlies during the cold season. As argued by *Nagashima et al.* [2011], the key in linking paleodust to jets and seasonality is in noting that East Asian dust is mostly a springtime phenomenon. According to *Roe* [2009], dust outbreaks over Asia occur because of a particular set of circumstances that occur uniquely in springtime, namely frequent cyclogenesis events over the Mongolian Altai combined with strong cold air surges from Siberia. Combined, they produce conditions suitable for dust entrainment into the atmosphere. *Nagashima et al.* [2011] argued that the westerlies shifted southwards during cold D/O stages, which by the 'Jet Transition' hypothesis would imply a delayed South-to-North jet transition and a longer Spring (scenario B or C in **figure 5**).

More recently, *Nagashima et al.* [2013] have extended their hypothesis to the Holocene climate, arguing that dust provenance – Gobi vs Taklimakan Desert – reflects changes to the westerly jet path, with more dust from the latter relative to the former indicating an earlier

seasonal northward transition and longer summer. Applying this interpretation to the dust provenance data indicates that in precessional phases with lower northern hemisphere summer insolation, as well as during cold stadial events, the jet stays in a more southern position for a greater part of the year (*Nagashima et al.*, 2007; *Nagashima et al.*, 2011). This is broadly consistent with the jet hypothesis we present here. Neither the intensity nor upstream interpretations readily explains changes to East Asian dustiness, though it should also be said that neither is necessarily inconsistent.

7.2 Spatially-varying evolution of East Asian rainfall during the Holocene

Based on an analysis of a number of available proxy (non-speleothem) records across East Asia,

An et al. [2000] concluded that the 'Holocene optimum' climate occurred at different times

across different regions in China: generally, northwestern China rainfall peaked during the early

Holocene, central China during the mid-Holocene, and southeastern China during the late

Holocene (figure 20a).

This asynchronous peaking of the Holocene rainfall climate is not consistent if one treats the East Asian summer monsoon as a contiguous whole, as is implied when paleoproxies are interpreted as reflecting monsoon 'intensification'. However, this observation can be made consistent if one accounts for the various stages in East Asian rainfall seasonality. To illustrate, we refer back to the CAM5 climate simulations introduced in section 4.1, where the 11,000 ybp runs exhibited an earlier Meiyu onset and longer Summer rains as compared to the present-day control. The annual mean rainfall difference between these two simulations is shown in **figure 20b.** The striking feature of this difference is the reduction to the rainfall over central China, in contrast to the increase over northern China. This makes sense from a seasonality perspective,

since a longer Summer rainfall regime – supplying rainfall to northern China – also suggests a shorter pre-Meiyu and Meiyu rainfall season that provides rainfall to central and southern China.

As the precessional influence works its way from the early Holocene to the late Holocene and Northern Hemisphere summer insolation decreases, the hypothesis would predict a shorter Summer rainfall regime and longer pre-Meiyu and Meiyu rainfall, bringing more rainfall to central China. This would be qualitatively consistent with central China rainfall peaking in the mid-Holocene.

8. Discussion

8.1 Summary

We advance a hypothesis for a central role played by the dynamics of East Asian rainfall seasonality in East Asian paleoclimate changes, and in particular the changes to the seasonal meridional position of the westerlies relative to the Tibetan Plateau. Today's East Asian rainfall seasonality exhibits quasi-stationary stages and abrupt transitions driven by the atmospheric dynamics of the westerlies impinging on the Plateau, such that the meridional position of the westerlies relative to Tibet defines the rainfall stage (See **figure 21** for a schematic). Given that the meridional position of the westerlies is readily altered by paleoclimate forcings, the suggestion is that East Asian seasonality also varies on longer timescales. Several studies have previously proposed the role of seasonality (e.g. *Wang et al.*, 2001; *Cheng et al.* 2009b; *Clemens et al.*, 2010), and Kana Nagashima and co-authors were the first to explicitly advance the role of westerly jet changes as an explanation for their East Asian dust records (*Nagashima et al.*, 2011; *Nagashima et al.*, 2013).

The Jet Transition Hypothesis as proposed here is that changes to the seasonal meridional position of the westerlies relative to the Tibetan Plateau drive rainfall climate changes over East

Asia on paleoclimate timescales. Paleoclimate forcings – such as North Atlantic cooling, North American ice sheets, global warmings and coolings, and orbital changes – are known to readily change the position and strength of the westerlies.

Given that the spatial position of the Tibetan Plateau is fixed, the jet configuration during each seasonal transition also remains the same, and so do the associated rainfall regimes (Spring, pre-Meiyu, Meiyu or Summer). Thus, given how the westerlies change in past climate scenarios, we can make qualitative predictions about when and how long each of the rainfall phases - Spring, pre-Meiyu, Meiyu or Summer – occurred. Major changes arise if one or more phases do not occur (e.g. Spring, pre-Meiyu and Meiyu occur, but not Summer). We then used objective analysis modern-day observational data to show that interannual variations occur in the seasonal transitions associated with the onset of the Meiyu, and again from the Meiyu to Summer; they both involve the co-variation between the meridional position of the westerly jet and precipitation. They serve to demonstrate that changes to the seasonal transitions and associated westerly jet changes do, in fact, occur in reality.

To demonstrate the plausibility of the hypothesis and its predictions, we showed the results of an atmospheric general circulation model (CAM5) for 11,000 years ago during a time of much higher boreal summer insolation; in this scenario, the transition to Meiyu occurred earlier, and Summer rains persisted longer, in line with earlier northward transition of the westerly jet. A similar demonstration was made for a prototypical North Atlantic cold event, though the modeled changes were smaller and less obvious than those for the precession example.

A concrete attempt was made to connect the hypothesis with $\delta^{18}O$ variations seen in speleothem records, using the present-day seasonal cycle of $\delta^{18}O_p$ over East Asia as guide. The key observation we exploited was that $\delta^{18}O_p$ was light during the summer over East Asia, which

we attributed to the low-level inflow of monsoonal moisture; since the westerly jet position restricts the northward penetration of the monsoonal flow, variations in the former lead to spatial variations in the $\delta^{18}O_p$. A thought experiment that kept East Asian climate in Spring conditions (jet south of Plateau) during the summer months lead to a widespread increase in annual mean $\delta^{18}O_p$ throughout East Asia (by around 2.5 per mil for Nanjing, less over southeastern China). Given that the amplitude of D/O swings in the speleothem records was on the order of 2 per mil, and precessional swings on the order of 3-4 per mil, it suggested that such conditions (Spring throughout most of boreal summer) may have existed in the past climate.

The interpretation of a longer Spring during cold phases of North Atlantic D/O events is consistent with the interpretation for increased dustiness and changes in the source area of dust, as shown by *Nagashima et al.* [2011]. The observation of Holocene optimal rainfall shifting from north China during the early Holocene, to central China during the mid Holocene, and southeastern China during the late Holocene, also appears to be readily explainable by the hypothesis. Lake records also indicate cold and dry conditions associated with stadial events, which may be tied to dynamical changes in jet and a reduction in the northward penetration of the monsoonal flow [*Liu et al.*, 2002; *Yi and Saito*, 2004; *Herzschuh*, 2006]. However, these data are not necessarily inconsistent with other explanations of monsoonal change (i.e. arguments about intensity or upstream changes in the monsoonal circulation).

8.2 Lessons learned and the way forward

- We have demonstrated that seasonality is a viable hypothesis for interpreting East Asian speleothem records. The unique strengths of this hypothesis are that
 - We are relying on an analog that is already seen in the modern-day East Asian seasonal cycle as such, existence of the dynamics underlying this hypothesis is not in question.

There are also observed interannual variations in the timing of seasonal transitions linked to westerly jet location, which further supports the control of jet position over seasonality.

- It appears able to account for the spatial coherence of the speleothem $\delta^{18}O$ records over East Asia, a distinct advantage over 'intensity' interpretations that do not readily explain this coherence.
- It also appears to explain other paleoclimate indicators of climate changes, most notably East Asian dust records and the spatial progression of optimal rainfall conditions during the Holocene. As such, it holds an advantage over the 'intensity' and 'upstream' hypotheses that do not address these observations.
- The hypothesis is testable. By determining the impact of jet transition timing on present day climate, we obtain a detailed spatial signature of the expected climate impacts under modified seasonality, allowing for a detailed comparison to paleoproxy records at specific locations. Using observed patterns rather than modeling results is a distinct advantage, as relatively few climate models simulate the seasonal transitions of the East Asian monsoon with fidelity, and even if they do there are spatial biases to the simulated climate and changes.

We end off with a discussion of how this hypothesis can be moved forward. A detailed comparison with a complete set of paleoproxy records could be done, alongside the spatial predictions of climate changes that can be inferred from observational analogs; these would include various lake and pollen records, dust records, speleothems, and tree ring records. While we have some idea of how the changes should look for large paleoclimate events such as the Younger Dryas and early-mid Holocene, we do not yet have a good sense of how 'smaller'

climate changes such as those occurring over the last millennia should look like. Such a comparison could extend this hypothesis towards the more recent paleoclimate.

We have argued that the hypothesis can plausibly explain the speleothem $\delta^{18}O$ variations including the spatial coherence; but a quantitative test of this hypothesis is clearly needed with a modeling study. However, such a model also needs to be able to correctly simulate the quasistationary stages of East Asian rainfall. Our experience suggests that relatively few models do this correctly, and that furthermore sufficient horizontal resolution is necessary to simulate its relatively fine-scale features. The CAM5 model at 1° resolution that we used for our paleo simulations is such a model, but as of writing, water isotopes for that model have yet to be implemented.

Targeted paleoproxy observations are important for moving the hypothesis forward. A potentially exciting development is the recent result by *Orland et al. (2014)* where they sampled Chinese stalagmites at sub-annual resolution to reveal seasonal variations in δ^{18} O and how that varied over the last glacial and Holocene. They infer that the proportion of summer monsoon rainfall was larger during the Holocene and Bølling-Allerød than during the Younger Dryas, in apparent support of the hypothesis. In general, their result points to the central role of seasonality in explaining East Asian paleomonsoon changes.

A stronger dynamical basis is needed to tie the character of the westerlies to the seasonality of East Asian monsoon rainfall. The meridional position of the westerly jet relative to the Tibetan Plateau appears to be a dominant control, but the structure of the westerlies is 3-dimensional so this criterion is likely too simplistic. Do other properties of the westerlies matter? Three other features come to mind: first, the strength of the westerlies – the transition from Meiyu to Summer rainfall is marked not just by northward shift but also by a weakening,

signaling a weakening of the Meiyu front. Second, the vertical structure of the westerlies, in particular distinguishing the barotropic to baroclinic components of the jet structure – the barotropic component is by nature more affected by physical presence of the Tibetan Plateau. Third, the width of the westerly belt: *Son et al.* [2009] found in an idealized general circulation model study that the effect of topography on downstream storm-track intensity differed significantly on whether the background flow was a weak double jet or a strong single jet.

Furthermore, other factors could affect the seasonal transitions of East Asian rainfall. For example, *Hsu and Lin* [2007] found that the interannual 'tripole pattern' of summer East Asian rainfall anomalies – which we associated with the variability in the Meiyu to Summer transition (section 3) – is closely associated with two wavelike patterns, namely the Pacific-Japan pattern and Silk Road pattern. Both patterns are associated with Rossby wave forcing impinging on the East Asian circulation, and involve a significant meridional flow component; thus, stationary wave patterns could likely factor in controlling the Meiyu to Summer transition. Finally, factors that are traditionally considered in the variability of the East Asian monsoon, namely the magnitude of land-ocean temperature contrasts, heating over the Tibetan Plateau, and the strength of the Western North Pacific subtropical high and changes to the Western North Pacific summer monsoon must be considered. The challenge is to incorporate the role of the westerlies within the 'traditional' interpretive framework to derive a more complete theory for the East Asian paleomonsoon.

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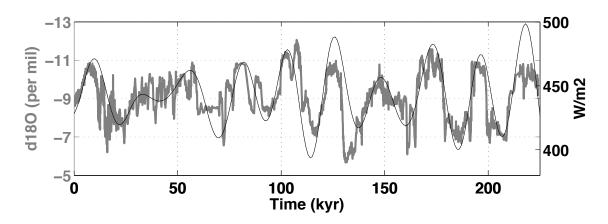


Figure 1. Thick gray line (left scale) is speleothem $\delta 180$ record from Wang et al. (2008), and thin black line is July 21 insolation (right scale). The speleothem $\delta 180$ record is interpreted as variation in the East Asian rainfall climate over the last 220,000 years. The record is stitched from several speleothems from the Hulu, Dongge and Sanbao cave sites in China. They clearly show changes on precessional timescales (as compared to the insolation line). They also fluctuate in sync with D/O events during the last glacial period. The Wang et al. [2008] speleothem data was obtained from the NOAA National Climatic Data Center (http://www.ncdc.noaa.gov). The insolation curve was computed from a MATLAB code by Huybers and Eisenman [2006], following the algorithm by Berger [1978].

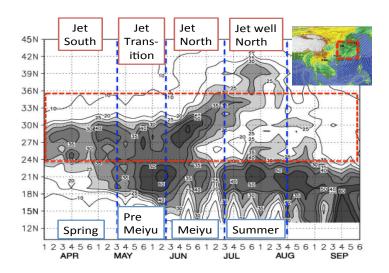


Figure 2. Latitude-time section of 5-day mean rainfall over eastern China (110-120E, see inset) from April to September averaged for 1961-1990. Units of rainfall are mm, and regions of heavy rainfall (>25mm) are shaded. Also marked (blue dashed lines) are the stages in the rainfall and relationship to jet position. The red dashed line corresponds to latitudes shown in the map inset. Figure originally from Ding and Chan [2005], figure 7; identification of the rainfall stages, and map inset, are added by the authors.

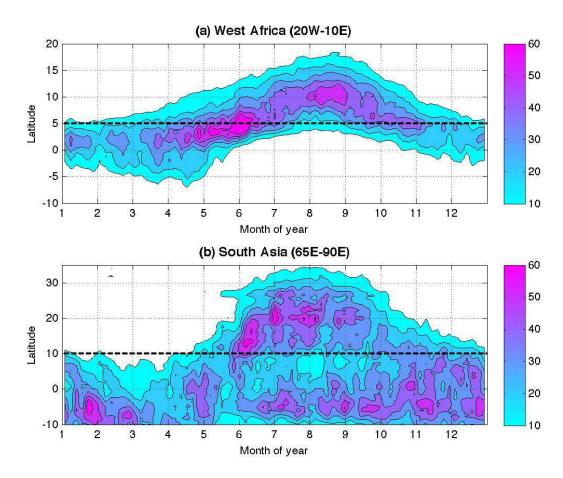


Figure 3. Latitude-Time plots of 5-day mean rainfall for two northern hemisphere monsoon systems. (a) West Africa (20 W-10 E); and (b) South Asia (65 E-90 E). Data is from the Tropical Rainfall Measuring Mission (TRMM) 3B42 v7 daily rainfall from 1 Jan 1998 to 31 Dec 2013 [Huffman et al., 2007]. Units are mm, and the x-axis is the month of the year. Dashed line indicates the approximate latitude location of the land-ocean boundary for the regions.

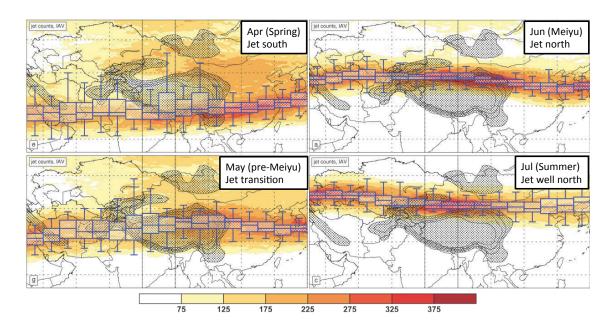


Figure 4. Seasonal transition of the westerly jet from south to north of the Plateau, and their association with the rainfall regimes over East Asia. Total jet occurrence counts (shaded) and interannual variability of jet latitude (boxes) for April to July as computed by Schiemann et al. [2009] from 6-hourly ERA-40. A 'jet occurrence' is defined at a location if wind speed is a local maximum somewhere in the vertical column, that it exceeds 30m/s, and is westerly. Associated with each jet position is the particular rainfall regime, indicated by the text. Original figure is taken from Schiemann et al. [2009], and modified by the authors; in particular, the identification of the rainfall stages is added by the authors.

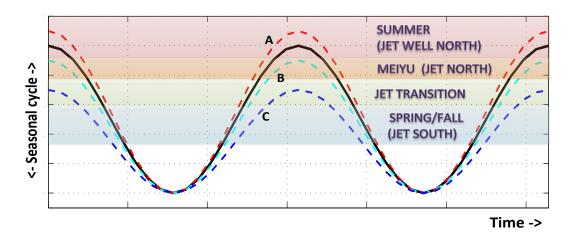


Figure 5. Schematic of an idealized East Asian seasonal cycle relative to rainfall/jet transitions. The 'normal' seasonal cycle is given in the solid black line. Idealized scenarios (dashed lines) illustrate how a delay in the south to north jet transition leads to changes in the East Asian rainfall climate. Scenario 'A' (red) is for an earlier jet transition, whereas 'B' (cyan) occurs later. In scenario 'C' (blue), the jet does not make the full transition to the North of the Plateau.

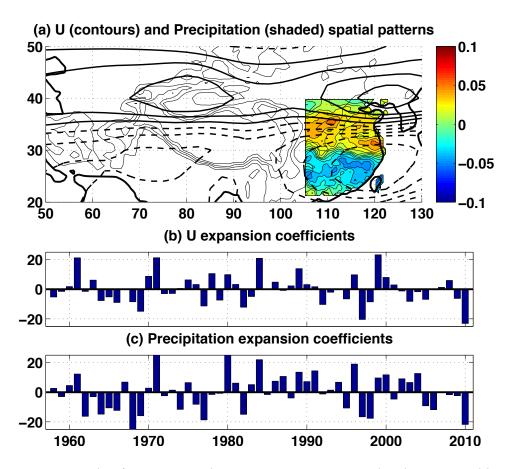


Figure 6. First mode of an MCA analysis 1958-2010 on normalized June monthly mean upper tropospheric zonal winds (left field) and June normalized East Asian rainfall (right field). See text for details. The first mode explains 56% of the combined variance. **(a)** Spatial pattern of the zonal wind (left) field (contours, dashed are negative values) and of precipitation (right) field (shaded); **(b)** zonal wind expansion coefficients; and **(c)** precipitation expansion coefficients. Mode 1 captures the coupled interannual variation in zonal wind over Asia and precipitation over East Asia, with a more northern jet associated with more rains in the north and less in the south. We interpret this pattern to be an earlier onset of the Meiyu with a more northward jet.

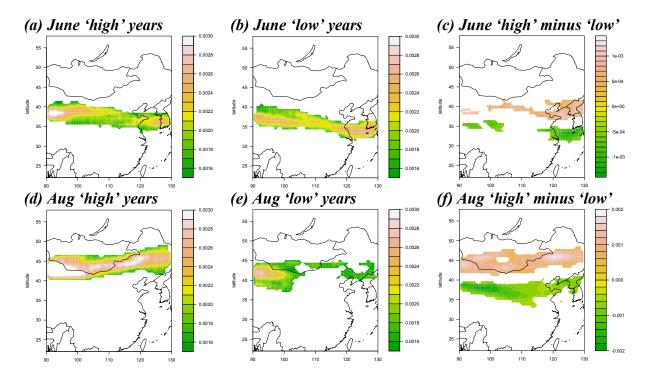


Figure 7. Composite of jet occurrences contrasting 'high' and 'low' years in the U expansion coefficients of figure 6. We use the jet occurrence data as computed by Schiemann et al. [2009], and add up all the jet counts from June 11-20 for specified years between 1958 and 2001. The plots shown are normalized kernel-density estimates for the jet counts composited in this way. 'High' ('low') years are defined to be years when the U expansion coefficient is larger (smaller) than +0.1 (-0.1). (a) Composite for 'high' years; (b) composite for 'low' years; and (c) 'high' minus 'low'. (d) through (f) are the same as for (a) through (c), but for August 1-10 and using the U-expansion coefficients of the July-August MCA1 in Figure 10. For the 'high' and 'low' plots, we only show values exceeding $1.5x10^{-3}$, and for the 'high minus low' we only show values whose magnitude exceed $0.5x10^{-3}$.

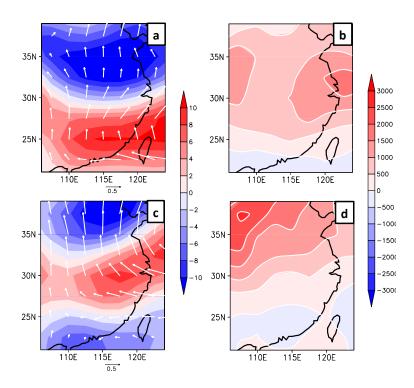


Figure 8. Regression of the normalized MCA mode 1 U expansion coefficients on **(a)** NCEP June 925mb winds (reference vector is 0.5 m/s) and 500mb pressure vertical velocity (shaded; units are x10-3Pa/s, contour interval is 2x10-3Pa/s), with negative values implying anomalous ascent; **(b)** NCEP June moist static energy at 925mb (units are J/kg, contour interval is 500 J/kgs). **(c)** and **(d)**: same as (a) and (b), but for Jul-Aug fields.

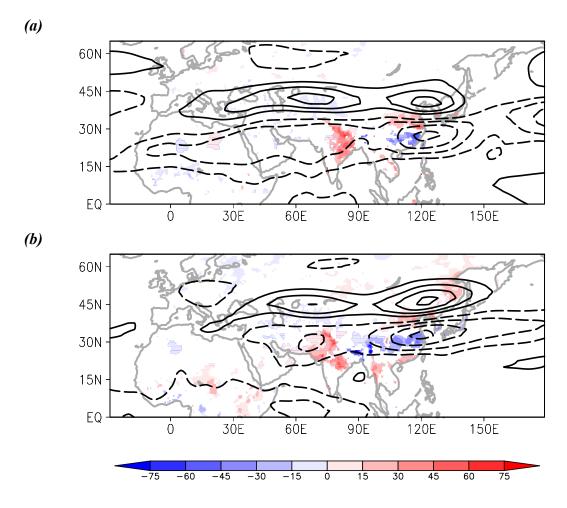


Figure 9. (a) Regression of the June MCA mode 1 normalized U expansion coefficients 1958-2010 on June precipitation (shaded; units are mm/month per standard deviation of index) and June 100-500mb averaged zonal wind (contour interval 1m/s per unit standard deviation, dashed lines are negative; zero contour not shown) over Asia. For precipitation, values are only plotted if the corresponding correlation magnitude exceeds 0.229 (90% significance level). (b) Same as (a), but for the Jul-Aug MCA mode 1 U expansion coefficients on Jul-Aug precipitation and July-Aug 100-500mb averaged zonal wind. In both (a) and (b), the analysis reveals a northward shift in the westerly jet axis across Central and East Asia associated with an earlier transition of the rainfall regime. For the June case (a), the effects of an earlier northward jet transition is evident in the spatial pattern of the precipitation over China — less rainfall over to the south of ~30 °N, and more to the north. For the July-Aug case (b), a tripole pattern is seen over China with more rainfall over northern and southern China, and less over central China. Intriguingly, in both the June and July-Aug cases, there is also a significant increase in rainfall over the northern India.

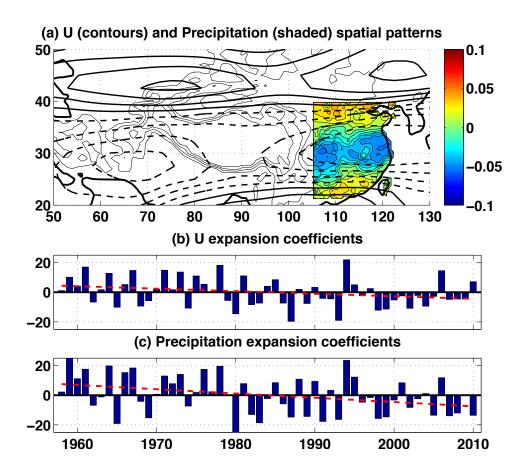


Figure 10. First mode of an MCA analysis 1958-2010 on normalized Jul-Aug monthly mean upper tropospheric zonal winds (left field) and Jul-Aug normalized East Asian rainfall (right field). See text for details. The first mode explains 56% of the combined variance. (a) Spatial pattern of the zonal wind (contours; dashed lines are negative) and precipitation (shaded); (b) zonal wind expansion coefficients; and (c) precipitation expansion coefficients. Mode 1 captures the coupled interannual variation in zonal wind over Asia with precipitation over East Asia, with a more northern jet associated with a 'tripole' pattern with less rain over central China, and more rain over northern and southern China. We interpret this pattern to be an earlier transition from Meiyu to Summer with a more northward jet. The dashed red lines in (b) and (c) shows the linear least-square trend to the corresponding timeseries.

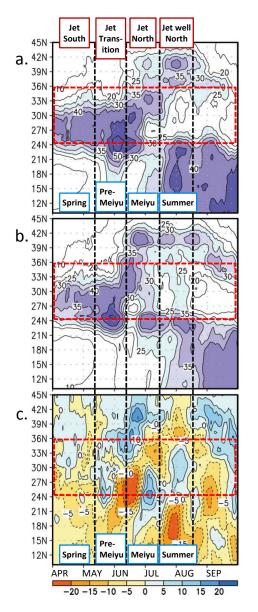


Figure 11. CAM5-simulated latitude-time section of 5-day mean rainfall over eastern China (110 E-120 E, see inset) from April to September. This is to be compared to the observational equivalent in figure 2. (a) Preindustrial simulation. (b) 11,000ybp simulation. (c) Difference (b minus a). They clearly show the earlier onset of the Meiyu, and an extended summer rainfall, in the 11,000ybp simulation compared to the preindustrial. Units of precipitation are mm. For both simulations, the model was integrated for 40 years, and the last 20 years were used to form the climatology.

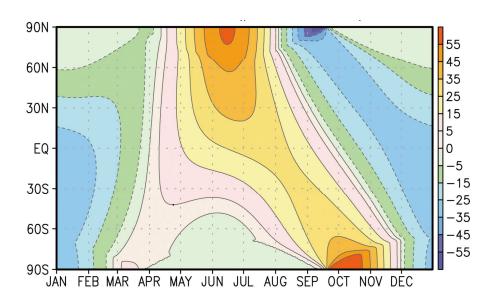


Figure 12. Top-of-Atmosphere (TOA) changes to insolation between the today (as used by the control simulation) and 11,000ybp. Units are W/m2. Between April and September, Northern Hemipshere insolation 11,000 years ago is larger than present, and up to 55W/m2 difference at the northern polar regions.

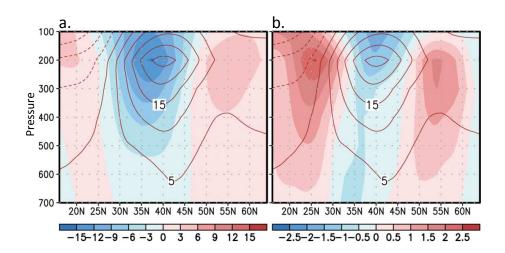


Figure 13. Change in the June-August zonal wind over East Asia as a result of the climate perturbation. Shaded values (units in m/s) are the change to the simulated zonal wind averaged over June-August and 100 °E-125 °E; contours (interval 5m/s) are the climatological winds. **(a)** 11,000ybp run; results indicate a northward shift in mean jet latitude, primarily manifested as a weakening of the jet at the southern edge. The weakening starts around mid-April, is strongest during July, and terminates around mid-September (not shown). **(b)** North Atlantic cooling run; results indicate a southward shift in the mean jet latitude, manifested by weakening of the winds at the mean jet latitude, and strengthening to the south of it. Note that the color scale for (a) is different from that of (b); the westerly changes are substantially larger for the 11,000ybp simulation than for the North Atlantic cooling run.

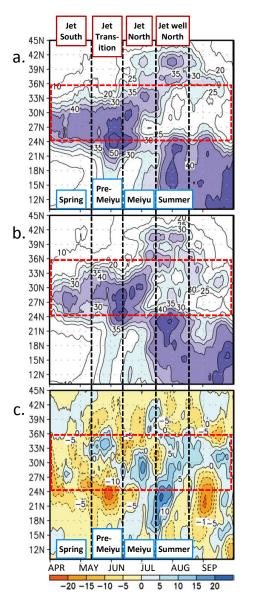


Figure 14. Same as figure 11, but for the North Atlantic cooling run. (a) Preindustrial simulation. (b) North Atlantic cooling simulation. (c) Difference (b minus a). The change is not as clear as for the 11,000ybp run, but do show a delay in the Meiyu onset (as indicated by the 'dipole' in precipitation anomalies between the 24 N-33 N latitude band, from June to July; and a delay in the onset of Summer rainfall (north of 33 N). Units of precipitation are in mm.

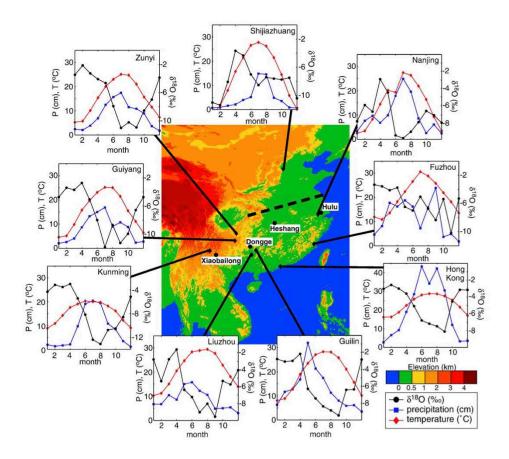


Figure 15. Elevation map of China and surrounding areas with locations of GNIP stations. Dongge, Hulu, Heshang, and Xiaobailong cave locations are marked with black dots. Insets show seasonal cycles of temperature (red lines, units of $^{\circ}$ C, left axis), precipitation (blue lines, units of cm/month, left axis), and $\delta 180p$ values (black lines, units of $^{\circ}$, right axis). Dashed line indicates approximate northern limit of Meiyu front. Figure from Dayem et al. [2010].

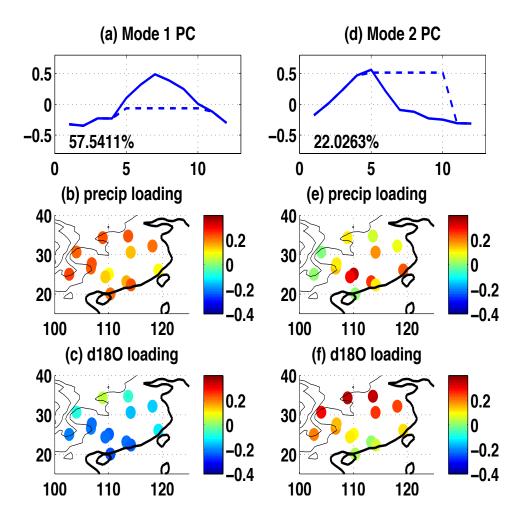


Figure 16. First two modes of a Combined Empirical Orthogonal Function (CEOF) analysis on the monthly mean climatology for precipitation and $\delta 180p$ for each GNIP station. (a) PC1 (solid line); (b) EOF 1 loading for precipitation; and (c) EOF 1 loading for $\delta 180p$. (d-f): same as (a-c), but for mode 2. The dashed line shown in (a) and (d) are idealized modifications to the PC to simulate prolonged 'Spring' conditions during the summer months (see text for details). The GNIP stations chosen are in the 'East Asian Monsoon' domain of 100-130 E and south of 36 S; only stations with elevations < 2km are used. Each of the anomaly (i.e. annual mean removed) precipitation and $\delta 180p$ fields is normalized locally; then a combined data matrix is formed and the EOF computed. Only modes 1 and 2 are significant, and they are also well separated (according to North's Rule of Thumb).

Mode 1 (left column) shows a seasonal cycle with high precipitation in the summer for all stations; for $\delta 180p$, it is lighter in summer, heavier in winter. Mode 2 (right column) maximizes in April-May, and with large positive loadings for precipitation over southeastern China (but positive or near-zero loadings everywhere in general); for $\delta 180p$, loadings are also all positive but are smallest over southeastern China.

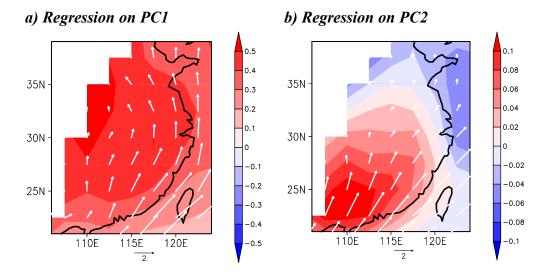


Figure 17. Lower tropospheric moisture transport and specific humidity associated with the EOFs in figure 16. Shown are the regressions of the normalized PC on monthly climatological 925mb uq and vq (reference vector is 2(m/s)(g/kg)) per unit standard deviation of the PC) and specific humidity (color scale units are g/kg per unit standard deviation of the PC). (a) Regression on PC1; and (b) on PC2. They show that while EOF1 is associated with low-level moisture transport from the south penetrating well into central China, moisture transport associated with EOF2 is limited to southern China. Moisture transport and specific humidity fields are from NCEP reanalysis, and the climatology is computed over 1979-2012.

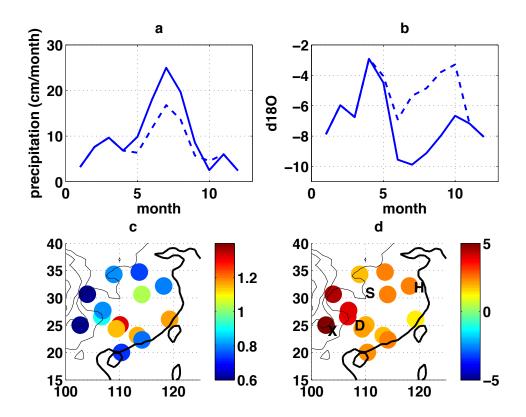


Figure 18. Changes to the station GNIP climatological precipitation and $\delta 180p$ associated with prolonged 'Spring' condition imposed over the summer months of May-October. This was done by changing PC1 and 2 loading for May through October to the average for April and May (see dashed lines of figure 16a and figure 16d, respectively); and then reconstructing the station precipitation and $\delta 180p$. (a) Nanjing climatological precipitation (solid line) and modified (dashed line). (b) Nanjing climatological $\delta 180p$ (solid) and modified (dashed). (c) Ratio of modified annual rainfall to actual rainfall. (d) Change in the annual mean (precipitation-weighted) $\delta 180p$, reported as the difference between the modified and actual. The results show that while the actual precipitation amount is variable spatially (they increase over southern China excluding coastal stations but decrease elsewhere), the $\delta 180p$ is uniformly heavier over all stations, in particular closer to the Meiyu front latitudes. The letters indicate approximate locations of four key cave speleothem sites: Hulu (H), Sanbao (S), Dongge (D), and Xiaobailong X).

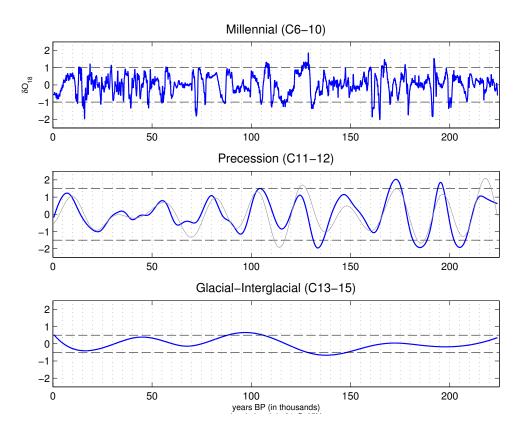


Figure 19. EEMD analysis of the Wang et al. [2008] East Asian speleothem $\delta 180$ (original data shown in figure 1). The EEMD analysis extracts 15 modes, and modes have been combined to identify with specific timescales of behavior (shown in successive panels). Top panel: Millennial timescales (modes 6-10). Middle panel: Precessional (modes 11-12); for comparison, the light grey line shows the variation of July 21 insolation at 65 °N. Bottom panel: glacial-interglacial (modes 13-15). Dashed lines indicate typical peak-to-peak amplitudes of variation for each timescale (± 1 per mil for millennial, ± 1.5 per mil for precessional, and ± 0.5 per mil for glacial-interglacial).

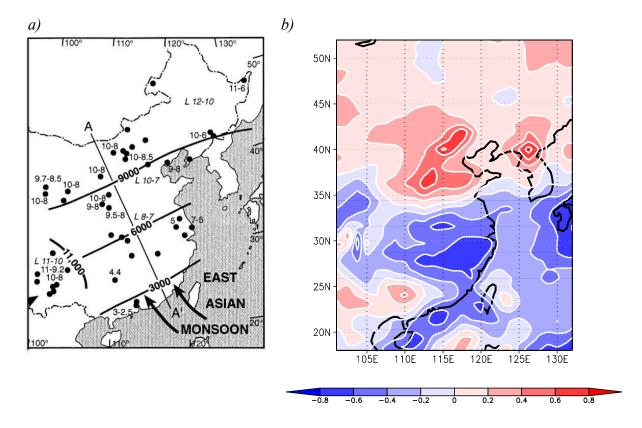


Figure 20. (a) Figure from An et al. [2000], figure 13: their caption reads "Map of China showing position of East Asian Monsoon maximum through time based on paleoclimatic proxy data. Maximum precipitation/effective precipitation occurred ca. 12,000-10,000 yr ago in northeastern China, ca. 10,000-7000 yr ago in north-central and northern east-central China, ca. 8000-5000 yr ago in the middle and lower reaches of the Yangtze River, and ca. 3000 yr ago in southern China. The monsoon maximum dating to ca. 11,000 yr ago in southwestern China is related to the northeastward penetration of the Indian summer monsoon." (b) Annual mean rainfall difference between the 11,000ybp simulation and present-day control, as described in section 5.1. Units are in mm/day, and contour interval is 0.2mm/d. The simulation shows increased rainfall over northern China and reduced rainfall over central and southern China in this precessional extreme case.

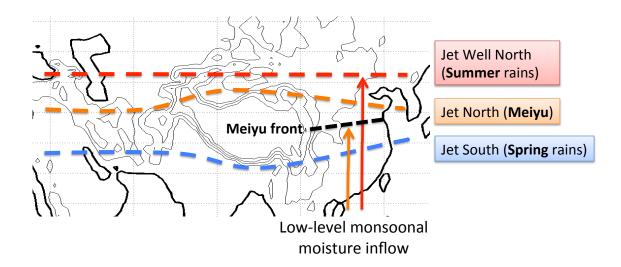


Figure 21. Schematic of the main seasonal stages of East Asian rainfall and relationship to the westerly jet position (colored dashed lines). The thin arrows indicate the extent of northward penetration of low-level monsoonal moisture during the Meiyu (orange arrow) and Summer (red arrow). The black dashed line indicates the approximate position of the Meiyu front. The Jet Transition Hypothesis posits that the timing of these seasonal transitions altered in the past, since the position of the westerlies relative to the Tibetan Plateau changed then.