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# Mobile Digital Fluorescence Microscopy for Diagnosis of Tuberculosis

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**Access to sputum smear microscopy in high-tuberculosis (TB)-burden regions is limited by a scarcity of microscopes and experienced technicians. We evaluated the accuracy of CellScope, a novel digital fluorescence microscope that may expand access to microscopy. The study utilized smear microscopy slides prepared from sputum specimens submitted by consecutive adults with  $\geq 2$  weeks of cough who were admitted to Mulago Hospital (Kampala, Uganda). Conventional light-emitting diode (LED) fluorescence microscopy (FM) and mycobacterial culture were performed by experienced technicians. Two U.S.-based postgraduate researchers without prior microscopy experience restained, imaged, and interpreted the slides using CellScope. We assessed whether sensitivity and specificity of CellScope-based LED FM was noninferior to conventional LED FM by using a preselected margin of inferiority of 15%. Of 525 patients included, 72% were HIV seropositive and 39% had culture-confirmed TB. The proportions of positive results were similar with CellScope and conventional LED FM (34% versus 32%, respectively;  $P = 0.32$ ), and agreement was substantial. CellScope accuracy was within the noninferiority margin for both sensitivity (63% versus 70%; difference,  $-7\%$ ; 95% confidence interval [CI],  $-13\%$  to  $-1\%$ ) and specificity (85% versus 92%; difference,  $-7\%$ ; 95% CI,  $-12\%$  to  $-3\%$ ). A subanalysis of 43 slides evaluated by each CellScope reader found substantial interreader reliability (custom-weighted kappa, 0.65) and variable intrareader reliability (custom-weighted kappa, 0.11 versus 0.48). CellScope offers promise for expanding microscopy services. Future studies should evaluate the device when operated by health workers in low-resource settings, the feasibility of image transmission and analysis by experienced microscopists, and the accuracy of automated image analysis algorithms.**

Tuberculosis (TB) continues to be responsible for more deaths than any other infectious disease besides HIV/AIDS (1). In Africa, where the burden of TB is greatest, approximately 40% of individuals who fall ill with the disease go undiagnosed (2). Sputum smear microscopy is capable of detecting the majority of infectious TB cases, and mathematical models suggest that expanding access to high-quality smear microscopy may improve individual outcomes (3) and reduce TB prevalence and incidence (4). Although recently developed molecular detection methods are becoming available in some diagnostic centers (5), the costs and infrastructure requirements of current tests are prohibitive for most peripheral clinics in high-burden countries (6). Therefore, efforts to improve the quality and expand the reach of microscopy continue to be a global priority (7).

In low-income countries, smear microscopy typically involves direct visualization of stained smears by experienced laboratory technicians using conventional light microscopes. In contrast, in high-income countries, microscopy in the related discipline of pathology increasingly involves the use of digital images viewed on high-resolution monitors, an approach that maintains or improves diagnostic accuracy (8, 9). Compact, long-lasting, light-emitting diodes (LEDs) (10), complementary metal oxide semiconductor (CMOS) image sensors with high sensitivity and large pixel counts (11), decreased size and increased speed of microprocessors, and more-efficient and compact batteries provide an opportunity for expanding access to TB diagnostic services through portable, low-cost digital microscopes.

Here, we report on the diagnostic accuracy of sputum smear microscopy for pulmonary TB with CellScope, a novel digital fluorescence microscope, in comparison to conventional LED fluo-

rescence microscopy (FM). We hypothesized that the capacity to enlarge and enhance objects of interest with CellScope-based digital LED FM would allow individuals without prior smear microscopy experience to read slides with diagnostic accuracy similar to that of experienced laboratory technicians using conventional LED FM.

## MATERIALS AND METHODS

**Device description.** CellScope is a digital fluorescence microscope (12). For this evaluation, we used a stand-alone, portable, battery-powered prototype designed at the University of California, Berkeley, and manufactured by The Pilot Group (Monrovia, CA) that incorporates LEDs and a light sensor typical of commercial mobile phone cameras within an enclosed plastic case (Fig. 1). The case included a slide-loading tray and knobs for manual adjustment of slide position and focus. We connected the platform via a USB 2.0 cable to a low-cost laptop computer (Intel Classmate PC laptop; EliteGroup, Taiwan) featuring a 1,024- by 600-pixel LCD display. Custom software on the laptop enabled live on-screen visualization of the sputum smears, including adjustment of exposure, gain, and other imaging features. Images were able to be saved as 8-bit tagged

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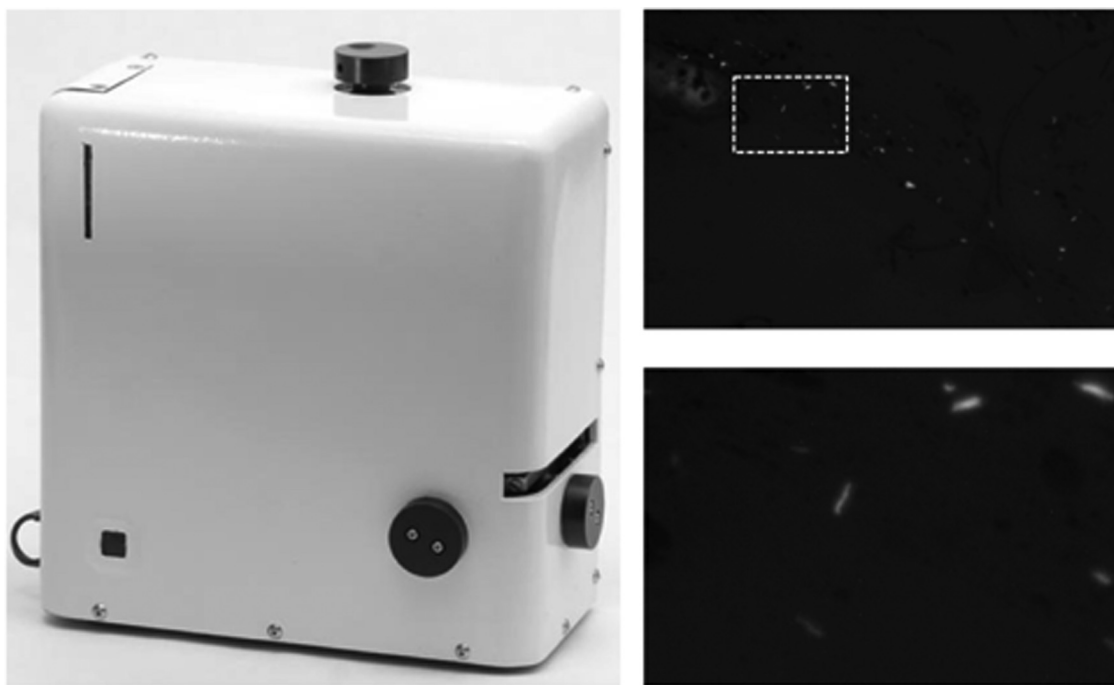
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**FIG 1** CellScope (left) is a small (20- by 20- by 10-cm), light (3-kg), portable, battery-powered digital fluorescence microscope. The system is built around a 0.4-numerical-aperture (NA)  $\times 20$  microscope objective providing 0.76- $\mu\text{m}$  resolution and a 0.64- by 0.49-mm sample-referenced field of view. Fluorescence excitation is provided by a 1-W, 460-nm-wavelength LED via a 0.65-NA condenser. Digital images captured by the device (top right) can be enlarged with proper interpolation for viewing at apparent magnifications of up to  $\times 3,500$  (bottom right).

image file format (TIFF) files for later analysis, and high-frequency image sampling enabled images to be enlarged with proper interpolation for viewing at apparent magnifications of up to  $\times 3,500$ .

**Sample selection.** This study included smear microscopy slides prepared from a morning sputum specimen submitted by 585 consecutive adults (age  $\geq 18$  years) with cough for  $\geq 2$  weeks' duration who were admitted to Mulago Hospital (Kampala, Uganda) between September 2007 and June 2008. Details of patient enrollment and evaluation for the parent study have been published previously (13, 14). The morning sputum specimen was initially analyzed at the Uganda National Tuberculosis Reference Laboratory (NTRL), which has participated in a biannual external quality assurance program for smear microscopy administered by the World Health Organization (WHO) since 2005. Experienced NTRL technicians prepared direct smears on glass slides, stained them using auramine O, interpreted results using LED FM (Lumin; LW Scientific, Lawrenceville, GA) in accordance with standard algorithms (13, 15, 16), and stored the slides in opaque boxes. The technicians then processed the remaining sputum sample by the *N*-acetyl-L-cysteine (NALC)-NaOH method for culture on solid Lowenstein-Jensen medium and/or Bactec 960 MGIT liquid medium (13, 16).

**Digital fluorescence microscopy.** Stored slide boxes were transported to a laboratory at the University of California, Berkeley, where all aspects of the present study were completed between May and July 2011. Two postgraduate researchers (A. Tapley and C. Reber) without prior microscopy experience and blinded to the original LED FM and culture results reexamined smears using identical CellScope devices. With assistance from CellScope engineers and microscopists in the Mycobacteriology Section at the San Francisco Department of Public Health Laboratory, each reader received 3 h of training on device operation and 6 h of training and practice on staining, slide reading, and acid-fast bacillus (AFB) identification.

The readers restained slides in batches ( $\leq 20$  slides) (17) using the 2-min modified auramine O stain kit (Scientific Device Laboratory, Des Plaines, IL) (18) and imaged and interpreted slides within 24 h of staining

according to a standardized protocol. We randomly divided 535 consecutive slides equally between the readers. Each reader restained and analyzed their assigned slides using CellScope once and scored each slide as positive or negative based on the detection of AFB within one 2-cm-wide smear length. The remaining 50 slides, representing a sample size based on convenience, were restained and analyzed twice by each reader and scored using a modification of the International Union Against Tuberculosis and Lung Disease (IUATLD)/WHO semiquantitative grading system for LED FM (16). Modification of the scoring system primarily involved scaling appropriately for estimated differences between the area read by a typical  $\times 20$  fluorescence microscope and by CellScope.

For the purposes of blinding, a study coordinator not involved in slide reading implemented a relabeling system. We collected reading time for all slides. The CellScope digital images have 0.76- $\mu\text{m}$  nominal resolution (equivalent to a standard 0.4-numerical-aperture [NA]  $\times 20$  microscope objective), are digitally sampled above the Nyquist criterion, and are presented to the user at magnifications of  $\geq \times 500$ . The individual image field of view is 0.644 by 0.486 mm.

**Statistical analysis.** We performed data analysis using STATA 10.0 (StataCorp LP, College Station, TX). We evaluated whether the diagnostic accuracy of LED FM performed by inexperienced readers using CellScope was noninferior to that of LED FM performed by experienced technicians using a conventional LED fluorescence microscope. The sample size was determined by the number of patient slides available for the analysis. Assuming 60% sensitivity and 95% specificity of LED FM and 40% prevalence of culture-positive TB (19), we projected 80% power to determine if the sensitivity and specificity of CellScope were noninferior to those of conventional LED FM, given a prespecified noninferiority margin of 15% and a one-sided test of correlated proportions at a 5% significance level (power analysis and sample size; NCSST, Kaysville, UT). Although limited by the sample size, the margin of noninferiority was considered acceptable given that CellScope was performed by users without prior microscopy experience, mimicking the circumstances of clinics and communities lacking access to conventional LED FM and/or experienced technicians.

TABLE 1 Diagnostic accuracy of LED FM by CellScope versus by conventional technique<sup>a</sup>

Diagnostic measure	Value (%) with each LED FM technique		Difference (%) (95% CI)	P value
	Conventional	CellScope		
Sensitivity (no. correctly identified as positive/total no. of positive results)	70 (144/207)	63 (130/207)	-7 (-13 to -1)	0.029
Specificity (no. correctly identified as negative/total no. of negative results)	92 (294/318)	85 (271/318)	-7 (-12 to -3)	0.001

<sup>a</sup> *n* = 525. A total of 207 samples had positive culture results, and 318 samples had negative culture results.

We calculated the sensitivity and specificity of microscopy techniques in reference to mycobacterial culture results (i.e., two cultures per patient), compared them using McNemar's paired test of proportions, and reported sensitivity and specificity differences with exact binomial 95% confidence intervals (CI). Significance was defined as a *P* value of <0.05.

For the subset of slides read twice by each reader, we evaluated both interreader and intrareader reliability in the semiquantitative scores. Drawing on the IUATLD external quality assessment guidelines' definitions for slide-grading errors, we categorized pairs of results for each slide as no error, minor error, or major error based on the degree of discrepancy between the scores assigned (20). The subtotals for each category were then weighted 100%, 50%, and 0%, respectively, and the weighted values were used to generate a kappa statistic, the magnitude of which reflects the strength of agreement (21). The level of agreement was assessed on the basis of kappa values of ≤0.20 (poor), 0.21 to 0.40 (fair), 0.41 to 0.60 (moderate), 0.61 to 0.80 (substantial), and ≥0.81 (almost perfect) (22). We used bootstrapping with 1,000 replications to calculate bias-corrected 95% CIs for each kappa value.

**Ethics statement.** All patients provided written informed consent. Institutional review boards at Makerere University, Mulago Hospital, the Uganda National Council for Science and Technology, and the University of California, San Francisco, approved the human subject aspects of the study protocol. The institutional review board at the University of California, Berkeley, deemed that the CellScope phase of the study did not constitute human subject research because the slides had been deidentified.

## RESULTS

**Study population.** Of the 585 total patients in the study, 60 patients (10%) lacked culture results and were excluded from the analysis. Among the remaining 525 patients who provided sputum, 246 (47%) were women. The median age of the patient population was 32 years (interquartile range [IQR], 27 to 39 years). A total of 380 (72%) patients were HIV seropositive, with a median CD4<sup>+</sup> T-lymphocyte count of 55 cells/μl (IQR, 19 to 175 cells/μl). *Mycobacterium tuberculosis* was cultured from 207 (39%) patients.

**Diagnostic accuracy of CellScope and conventional LED FM.** Among the 525 slides, the proportions of positive results were similar with CellScope performed by inexperienced readers and conventional LED FM performed by experienced technicians (34% versus 32%, respectively; difference, -1.7%; 95% CI, -5.3% to -1.9%). There was substantial agreement between the two techniques (84%; unweighted kappa, 0.64; 95% CI, 0.57 to 0.71).

Using culture as a reference standard, the difference in sensitivity between CellScope LED FM performed by inexperienced readers and conventional LED FM performed by experienced technicians was within the prespecified 15% margin of noninferiority (63% versus 70%, respectively; difference, -7%; 95% CI, -13% to -1%) (Table 1). Similarly, the difference in specificity was also within the prespecified margin of noninferiority (85% versus 92%, respectively; difference, -7%; 95% CI, -12% to -3%). CellScope sensitivities were similar for both readers

(reader 1, 61%; reader 2, 66%; difference, -5%; 95% CI, -18% to +8%), whereas specificity was significantly higher for reader 1 than reader 2 (90% versus 80%, respectively; difference, 10%; 95% CI, 2% to 18%).

**Inter- and intrareader reliability of sputum microscopy with CellScope.** Slides from seven of the 60 patients excluded from the analysis for missing culture results were among the 50 semiquantitatively scored slides, leaving slides from 43 patients for analysis of inter- and intrareader reliability. In assessing CellScope interreader reliability, we found substantial agreement (custom-weighted kappa, 0.65; 95% CI, 0.31 to 0.85) between readers (Table 2). There were 7 minor grading errors (scanty versus a negative, 2+, or 3+ score assigned) but only one major grading error (negative versus a 1+, 2+, or 3+ score assigned).

We assessed intrareader reliability by comparing the two semiquantitative scores assigned by each reader. The agreement between the two readings was moderate for reader 1 (weighted kappa, 0.48; 95% CI, 0.17 to 0.69), with no major grading errors and 13 minor grading errors (Table 3). Of the 13 minor grading errors, 12 were discrepancies between scanty and negative scores. However, the agreement between the two readings was poor for reader 2 (weighted kappa, 0.11; 95% CI, -0.20 to 0.43), with 9 major and 6 minor grading errors (Table 4).

**Slide examination time with CellScope.** The median slide examination time for LED FM with CellScope was 4.2 min (IQR, 2.3 to 6.0 min). The median examination time was 1.3 min (IQR, 0.5 to 3.2 min) for positive slides versus 4.6 min (IQR, 3.8 to 6.4 min) for negative slides (*P* < 0.001).

## DISCUSSION

In this study, we found that users with limited prior microscopy experience were able to obtain diagnostic-quality images of stained sputum smears using CellScope, a novel portable digital fluorescence microscope. The sensitivity and specificity of CellScope were lower than those of conventional LED FM performed

TABLE 2 Interreader comparison of semiquantitative scores for LED FM with CellScope<sup>a</sup>

Reader 2 score	Reader 1 score					Total
	Negative	Scanty	1+	2+	3+	
Negative	29	5 <sup>b</sup>				34
Scanty	2 <sup>b</sup>					2
1+		1	2			3
2+	1 <sup>c</sup>			1		2
3+					2	2
Total	32	6	2	1	2	43

<sup>a</sup> *n* = 43.

<sup>b</sup> Minor error, scanty score versus a negative, 2+, or 3+ score.

<sup>c</sup> Major error, negative score versus a 1+, 2+, or 3+ score.

TABLE 3 Reader 1 intrareader comparison of semiquantitative scores for LED FM with CellScope<sup>a</sup>

2nd reading of reader 1	1st reading of reader 1					Total
	Negative	Scanty	1+	2+	3+	
Negative	25	5 <sup>b</sup>				30
Scanty	7 <sup>b</sup>	1		1 <sup>b</sup>		9
1+			1			1
2+			1			1
3+					2	2
Total	32	6	2	1	2	43

<sup>a</sup> *n* = 43.<sup>b</sup> Minor error, scanty score versus a negative, 2+, or 3+ score.

by experienced laboratory technicians but within our prespecified margin of inferiority. CellScope has strong potential to expand the reach of TB microscopy services in resource-limited settings.

Although a number of designs for novel digital microscopy devices have been recently reported (12, 23–25), to date, these studies have been primarily proof-of-concept in nature. By using a large sample size, well-accepted measures of diagnostic accuracy, and rigorous statistical methods, our study lays the groundwork for further development of technologies like CellScope that use digital FM for the diagnosis of TB. Our results suggest that CellScope may be used to obtain high-quality diagnostic images of microscopy slides in settings in which microscopy is not currently offered. Its small size (20 by 20 by 10 cm), light weight (3 kg), and durable plastic case make the device eminently portable. In addition, its 5-hour battery can be recharged as easily as a mobile phone, whether from an electrical outlet, a solar cell, or a vehicle battery.

As with more-conventional methods (26–28), we found that the diagnostic accuracy of LED FM with CellScope is operator dependent and is unlikely to be adequate without sufficient microscopy training and experience. However, CellScope's use of digital imaging may be leveraged to overcome the limited supply of trained microscopists in resource-limited settings. Digital images produced by CellScope can be transmitted via the mobile network to distant experts for evaluation, an approach that we are currently using in an ongoing study and that has been successfully demonstrated by others in several settings (29–31). Indeed, mobile phone coverage is extensive in most low-income countries in which TB is endemic (32–34), and CellScope images can also be easily stored for later transmission when a mobile network is unavailable. In addition, significant progress has been made in the development of reliable computer algorithms for the detection of AFB in digital images of sputum smears (11, 35), including one algorithm based on CellScope images that performed as well as human readers (36). Automated image analysis may facilitate on-the-spot diagnosis, improve sensitivity by enabling analysis of a larger number of fields than typically evaluated by a human microscopist, and improve specificity through rigid and reliable criteria for AFB identification. With its use of an external laptop or smart phone for image visualization, CellScope is well positioned to integrate computationally intensive image analysis into its current platform.

Our study has a number of potential limitations. First, to simulate use of CellScope by inexperienced personnel, we chose U.S.-based postgraduate researchers with no prior sputum microscopy

TABLE 4 Reader 2 intrareader comparison of semiquantitative scores for LED FM with CellScope<sup>a</sup>

2nd reading of reader 2	1st reading of reader 2					Total
	Negative	Scanty	1+	2+	3+	
Negative	24	1 <sup>b</sup>	2 <sup>c</sup>	1 <sup>c</sup>	2 <sup>c</sup>	30
Scanty	6 <sup>b</sup>					6
1+	1 <sup>c</sup>	1	1			3
2+	1 <sup>c</sup>					1
3+	2 <sup>c</sup>			1		3
Total	34	2	3	2	2	43

<sup>a</sup> *n* = 43.<sup>b</sup> Minor error, scanty score versus a negative, 2+, or 3+ score.<sup>c</sup> Major error, negative score versus a 1+, 2+, or 3+ score.

experience to restrain, image, and interpret slides using CellScope. One reader had substantially lower specificity and poor intrareader reliability, suggesting that digital imaging on its own does not overcome the need for trained and experienced human readers. In addition, further studies are needed to assess the comfort and proficiency of users from low-resource settings with obtaining digital smear images using CellScope. Second, the consequences of extended slide storage and restraining of slides prior to the readings with CellScope are unclear. Both CellScope readers reported that image interpretation was impeded frequently by excessive background fluorescence or fluorescent nonbacillary particulate matter, a finding also observed by other researchers analyzing restrained sputum smear slides (37). Finally, the mean slide examination time by CellScope readers was over 4 min, compared to the 1- to 3-min range found in recent studies involving conventional LED FM (26, 27). It is possible that reading times were influenced by reader inexperience and the use of restrained slides. Future studies will assess reading times when images are transmitted to expert microscopists and with the use of automated image analysis algorithms.

In summary, our prospective, blinded study found that inexperienced readers using CellScope, a novel, portable digital fluorescence microscope, can achieve levels of sensitivity and specificity within 15% of those achieved by experienced technicians using conventional LED FM. Although this level of accuracy is insufficient for diagnostic use in the field, the CellScope platform can be leveraged to circumvent many operator-related factors that limit the reach of conventional approaches to FM. In particular, future studies should address the feasibility and accuracy of image transmission over wireless networks to expert microscopists and/or integration of automated image analysis algorithms. Portable and low-cost digital fluorescence microscopes, such as CellScope, represent an important opportunity for achieving broader access to high-quality TB diagnostic testing.

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Two authors (N.S. and D.A.F.) are on a pending patent related to the CellScope technology.

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