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## CHA<sub>2</sub>DS<sub>2</sub>-VASc score, warfarin use, and risk for thromboembolic events among HIV-infected persons with atrial fibrillation

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### Abstract

**Background**—The prevalence of atrial fibrillation in the human immunodeficiency virus (HIV)-infected population is growing, but the ability of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score to predict thromboembolic (TE) risk is unknown in this population.

**Setting**—Within the Veterans Affairs HIV Clinical Case Registry, 914 patients had an atrial fibrillation diagnosis between 1997–2011 and no prior TE events.

**Methods**—We compared TE incidence by CHADS<sub>2</sub>VASc scores, and stratified by warfarin use. Using Cox proportional hazards regression with adjustment for competing risks, we modeled associations of CHADS<sub>2</sub>VASc scores and warfarin use with TE risk.

**Results**—At baseline, the distribution of CHA<sub>2</sub>DS<sub>2</sub>-VASc scores was 0 (n=208), 1 (n=285), and 2+ (n= 421); 34 patients developed 38 TE events during a median of 3.8 years follow-up. Event rates by CHA<sub>2</sub>DS<sub>2</sub>-VASc scores of 0, 1, and 2+ were 5.4, 9.3, and 8.1 per 1000 person years, respectively; multivariate adjusted hazards ratios (HRs) were 1.70 (95% confidence interval [CI] 0.65, 4.45) for CHA<sub>2</sub>DS<sub>2</sub>-VASc score 1 ( $p=0.28$ ) and HR=1.34 (0.51, 3.48) for score 2+ versus 0 ( $p=0.55$ ). Baseline warfarin use was associated with increased TE risk, though not statistically significant (HR 2.06 [0.86, 4.93],  $p=0.11$ ) with similar results when modeled as time-updated use and duration of use.

**Conclusion**—In this national registry of HIV-infected veterans with atrial fibrillation, CHA<sub>2</sub>DS<sub>2</sub>-VASc scores were only weakly associated with TE risk. Furthermore, warfarin did not appear to be effective at preventing TE events. These results should raise concerns about the optimal strategy for TE prevention among HIV-infected persons with atrial fibrillation.

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**Conflicts of Interest:**

The remaining authors have no disclosures.

## Keywords

atrial fibrillation; thromboembolic risk; HIV

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## Introduction

Human immunodeficiency virus (HIV) is a disease that affects approximately 1.2 million people in the United States and 36.9 million people worldwide.<sup>1,2</sup> With the development of highly active antiretroviral therapy (ART), HIV-infected persons are living longer, shifting the health challenges from HIV-related to non-AIDS conditions. Several studies have shown that HIV infection is an independent risk factor for the development of cardiovascular (CV) disease, including arrhythmias.<sup>3-6</sup> In the general population, atrial fibrillation is the most common arrhythmia that affects health. This is of particular concern in the HIV population as studies have shown not only a greater burden of cardiac arrhythmias, but also a higher prevalence of cerebrovascular events among HIV patients.<sup>7-9</sup> In the setting of atrial fibrillation, anticoagulation is used clinically for the purpose of preventing thromboembolic (TE) events, but the decision to anticoagulate requires accurate assessment of the risks and benefits for individual patients.<sup>10</sup> The CHA<sub>2</sub>DS<sub>2</sub>-VASc score is a widely used risk calculator to guide anticoagulation therapy among individuals with atrial fibrillation. It was developed in an older population without HIV infection, who have distinct risk profiles compared with individuals with HIV.<sup>11</sup> Risk calculators for atherosclerotic CV disease, including the Framingham score, PROCAM score, and the 2013 ACC/AHA CV risk calculator, have previously been shown to underestimate risk in the HIV population.<sup>12-15</sup> To our knowledge, the association of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score with TE events in the HIV population has not been studied. Accordingly, the purpose of this study was to calculate the CHA<sub>2</sub>DS<sub>2</sub>-VASc score for HIV-infected individuals with atrial fibrillation using data in the Department of Veterans Affairs (VA) HIV Clinical Case Registry, a national clinical database. We compared observed TE event rates by CHA<sub>2</sub>DS<sub>2</sub>-VASc scores, and explored the potential effectiveness of warfarin therapy to prevent TE events.

## Methods

The Department of VA HIV Clinical Case Registry is a national database of HIV-infected veterans; VA is the nation's largest public integrated health care system and the largest single provider of health care to HIV-infected patients. This HIV registry contains demographic, clinical, laboratory, pharmacy, utilization, hospitalizations, inpatient diagnoses, and vital status information, which are included in the VA electronic medical record.<sup>16</sup>

We identified patients with a diagnosis of atrial fibrillation between January 1997 and December 2011 in the VA HIV Clinical Case Registry. Patients with a history of TE events prior to the diagnosis of atrial fibrillation were excluded in order to focus the analysis on patients at risk for an initial event due to arrhythmia. Patients entered the cohort on January 1, 1997 if they already had a diagnosis of atrial fibrillation or subsequently at their first known documentation of atrial fibrillation.

The primary outcome was incidence of TE events, defined by hospital diagnostic coding for strokes, pulmonary embolisms, and peripheral embolisms.<sup>11</sup> In the primary analyses, we limited to ischemic TE events. In secondary analyses, we included hemorrhagic strokes in order to include potential adverse events from anticoagulation and to account for potential miscoding. TE events that occurred within one year after diagnosis of atrial fibrillation were excluded to ensure that all TE events occurred after the atrial fibrillation diagnosis and were not a result of delayed recording of TE events that may have triggered the initial diagnosis of atrial fibrillation.

The factors comprising the CHA<sub>2</sub>DS<sub>2</sub>-VASc score are heart failure, hypertension, diabetes, prior TE event, vascular disease, female sex, and age ≥ 65 years; 2 points are accorded for age ≥ 75 and prior TE event, and one point for each of the other factors.<sup>11</sup> Anticoagulation status was assessed by warfarin use. To account for compliance, renewals, and duration of use, we determined warfarin status when patients were enrolled, and throughout follow up. In separate models, warfarin was defined as a baseline (at time of enrollment) and time-updated exposure.

Demographic (age, gender, and race) and clinical characteristics were included in our analyses along with the CHA<sub>2</sub>DS<sub>2</sub>-VASc factors listed above. We defined comorbid conditions based on a combination of physician problem lists, procedures, ambulatory diagnoses, hospitalization discharge diagnoses, laboratory results, and medication prescriptions. We applied previously validated algorithms to define the following conditions: diabetes, hypertension, hyperlipidemia, hepatitis B or C virus co-infection, illicit drug use, and smoking.<sup>17-20</sup> A list of the ICD9 codes used to define events and co-morbidities was published previously (see Appendix Table 1 of Go et al NEJM 2004 and Choi et al AIDS 2011).<sup>17,18</sup> HIV specific characteristics included serologic measures of CD4 cell count expressed in cells/mm<sup>3</sup>, viral load expressed in RNA copies/ml, and ART use. Other clinical characteristics included were body mass index, kidney function by estimated glomerular filtration rate (eGFR), and proteinuria (defined as 30mg/dL or greater on urinalysis). We defined continuous use of warfarin as a sequence of repeated medication renewals without a gap of longer than 6 months.

We first compared demographic and clinical characteristics, stratified by baseline CHA<sub>2</sub>DS<sub>2</sub>-VAsC score categories (0, 1, or 2+) using the chi-square test for categorical variables and the Kruskal-Wallis tests for continuous variables. We compared incidence rates of TE events across CHA<sub>2</sub>DS<sub>2</sub>-VAsC score categories using the log-rank test.

We used Fine-Gray models (accounting for the competing risk of mortality) to examine associations of demographics, baseline clinical characteristics, and warfarin use with risk of TE events.<sup>21</sup> We modeled warfarin use in several ways: baseline status, time-updated, and current duration of use (in years).

We tested associations of warfarin use with TE events in both unadjusted and multivariable adjusted analyses. Multivariable adjusted models were constructed using Bayesian model averaging, retaining predictors of TE risk with posterior probabilities > 35%.<sup>22</sup> We used marginal structural models as an alternate approach to estimate the association of warfarin

use with TE events, to account for the fact that the decision to prescribe warfarin may be influenced by a patient's covariates.<sup>23</sup> Marginal structural models are a useful method to minimize drug channeling bias. We generated inverse probability of treatment weights for each patient by modeling warfarin exposure as a function of demographic and clinical characteristics. These weights were then applied to subsequent models evaluating the association of warfarin with TE events.

We evaluated predictors of warfarin use at baseline using Poisson relative risk regression with a robust variance estimator. To construct parsimonious models of warfarin use, we used Bayesian model averaging and retained predictors with posterior probabilities > 35%.

Bayesian model averaging was performed using the BMA package from the R statistical computing language (R Development Core Team, Vienna, Austria). All other analyses were conducted using the SAS system, version 9.4 (SAS Institute, Inc., Cary, NC).

## Results

Of the 914 HIV-infected veterans with atrial fibrillation who met the inclusion criteria for this study, 208 had a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 0, 285 had a score of 1, and 421 had a score of 2 or higher. The demographic and clinical characteristics of the patients at baseline, stratified by CHA<sub>2</sub>DS<sub>2</sub>-VASc score, are shown in Table 1. Patients with CHA<sub>2</sub>DS<sub>2</sub>-VASc scores of 2 or greater were notable for older age, higher prevalence of heart failure, diabetes, hypertension, and vascular disease. In addition, patients with scores of 2+ had lower eGFR. Patients with scores of 1 or 2+ had higher rates of smoking (Table 1). Patients with higher scores were also more likely to be on ART and to have a higher current CD4 T-cell count, and less likely to have an elevated HIV viral load as compared to patients with lower CHA<sub>2</sub>DS<sub>2</sub>-VASc scores.

As shown in Figure 1, patients with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of either 1 or 2+ were about twice as likely to be on warfarin at baseline compared to patients with low CHA<sub>2</sub>DS<sub>2</sub>-VASc scores. At baseline, there were 199 warfarin users and 715 non-users. Of the users, 32% were still on warfarin at the end of the study, while of the non-users, 11% initiated warfarin during the study and 8% were on warfarin at the end of the study. Characteristics that were independently associated with higher prevalence of baseline warfarin use included vascular disease, heart failure, higher body mass index, higher serum albumin, and ART use (Table 1, Supplemental Digital Content).

During a median follow up of 3.8 years (interquartile range [IQR] 1.3–7.5 years), 34 patients developed a total of 38 TE events, which included 12 pulmonary embolisms, 3 peripheral embolisms, and 23 ischemic strokes. One ischemic stroke was also coded as a hemorrhagic stroke. The TE event rates by baseline CHA<sub>2</sub>DS<sub>2</sub>-VASc scores per 1000 person years (PY) were 5.4 (95% confidence interval [CI] 2.4, 12.0) for CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 0; 9.3 (95% CI 5.5, 15.7) for score of 1; and 8.1 for score of 2+ (95% CI 4.8, 13.8) (Figure 2). In adjusted models that accounted for the competing risk of death, higher CHA<sub>2</sub>DS<sub>2</sub>-VASc scores appeared to be associated with increased risk of TE events, although the associations did not reach statistical significance. CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 versus 0 had a hazard ratio

(HR) of 1.70 (95% CI 0.65, 4.45;  $p = 0.28$ ), while CHA<sub>2</sub>DS<sub>2</sub>-VAsC score of 2+ versus 0 had a HR of 1.34 (95% CI 0.51, 3.48;  $p = 0.55$ ). CHA<sub>2</sub>DS<sub>2</sub>-VAsC score of 1+ versus 0 had a HR of 1.50 (95% CI 0.62, 3.63;  $p = 0.37$ ).

We next examined the association of individual demographic and clinical factors with TE event risk. None of the individual risk factors that comprised the CHA<sub>2</sub>DS<sub>2</sub>-VAsC score showed a statistically significant association with TE event risk (Table 2), although a weak effect of hypertension or heart failure could not be ruled out. Results remained similar in sensitivity analyses excluding those taking warfarin at baseline and in analyses including hemorrhagic strokes as an outcome (Table 2 and 3, Supplemental Digital Content). Of note, the effect of gender could not be estimated as none of the women developed TE events. Non-white race was associated with higher risk for TE events in both unadjusted and adjusted models. Higher BMI was associated with higher risk for TE events in unadjusted models. Higher high density lipoprotein concentrations were associated with lower risk for TE events. There was little association of HIV-related factors with TE, although a protective effect of ART or HCV cannot be excluded. Sensitivity analyses excluding those taking warfarin at baseline and analyses including hemorrhagic strokes as an outcome showed similar results with the exception of black race no longer being statistically significantly associated with higher risk for TE events in those not taking warfarin at baseline (Table 2 and 3, Supplemental Digital Content).

Baseline warfarin users had a higher incidence of TE events than did non-users (11.1 [95% CI 6.0, 20.7] and 7.0 [95% CI 4.7, 10.4] per 1000 PY, respectively), although this difference was only marginally statistically significant in a stratified log-rank test that accounted for CHA<sub>2</sub>DS<sub>2</sub>-VAsC score ( $p = 0.20$ ). Unadjusted and adjusted Fine-Gray models were used to test for association between warfarin use and TE event risk. In adjusted models, marginal structural models were used to control for possible drug channeling bias. Baseline warfarin use was associated with a two-fold increased TE risk in the adjusted Fine-Gray model, though not statistically significant (HR 2.06 [95% CI 0.86, 4.93],  $p = 0.11$ ). In sensitivity analyses that included hemorrhagic strokes as an outcome, baseline warfarin use in both unadjusted and adjusted Fine-Gray models were associated with a two-fold increase in TE risk and reached statistical significance (HR 2.14 [95% CI 1.07, 4.26],  $p = 0.031$ ; HR 2.49 [95% CI 1.12, 5.52],  $p = 0.025$ , respectively) (Table 4, Supplemental Digital Content). In both unadjusted and adjusted Fine-Gray models, warfarin use when modeled as both a time-updated predictor and current duration of use was not significantly associated with higher TE risk, but did have HRs > 1.0, which does not support a protective effect. Results remained similar in sensitivity analyses including hemorrhagic strokes as an outcome (Table 4, Supplemental Digital Content).

## Discussion

As the HIV-infected population is growing older, the incidence of atrial fibrillation is expected to increase, and clinicians will be faced with the challenging decision of whether or not to anti-coagulate. In our study, the CHA<sub>2</sub>DS<sub>2</sub>-VAsC score, which is the calculator of choice in the general population, was only weakly associated with a risk of TE events among HIV-infected individuals with atrial fibrillation. Most important, there was no evidence for a

rising gradient of TE risk as CHA<sub>2</sub>DS<sub>2</sub>-VASc scores increased from 1 to 2+, or even as CHA<sub>2</sub>DS<sub>2</sub>-VASc scores increased from 0 to 1+. A CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 2 or higher is the clinical cutpoint for anticoagulation in the general population, yet HIV-infected individuals with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 2+ actually had slightly lower incidence of TE events than HIV-infected individuals with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 in our study. Also, none of the individual components of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score had a significant association with TE risk in this HIV population. These findings challenge not only the current practice of using a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 2 or higher as the cutoff for starting anticoagulation, but also the use of the CHA<sub>2</sub>DS<sub>2</sub>-VASc score as a TE risk calculator in the HIV-infected population. Finally, we found that warfarin use was not protective against TE risk, and appeared potentially to be associated with higher TE risk. This raises the possibility that warfarin may have a different effectiveness in the HIV-infected population with atrial fibrillation compared with the general population.

A previous study of atrial fibrillation in 30,533 HIV-infected veterans demonstrated that lower CD4 counts and higher viral loads were associated with increased incidence of atrial fibrillation.<sup>5</sup> Thus, it is possible that the CHA<sub>2</sub>DS<sub>2</sub>-VASc score was not significantly associated with TE events in the HIV population because it does not include HIV-specific factors, such as CD4 counts and viral load suppression, which are related to atrial fibrillation.<sup>5</sup>

Multiple studies have shown ART use, CD4 count, and HIV viral load to be associated with cerebrovascular disease. Both the Data Collection on Adverse Events of Anti-HIV drugs study and the Strategies for Management of ART study showed that higher CD4 counts and lower viral loads were associated with lower risk.<sup>9,24</sup> In the former study, longer ART exposure was associated with increased risk for cerebrovascular events and in the latter, continuous ART use was associated with fewer events than intermittent ART use.<sup>7,24</sup> In our study, both higher CD4 count and ART use appeared to be associated with a decreased risk of TE events (Table 2).

Other factors may play a larger role in predicting TE events in the HIV population than in the general population. For example, we found higher levels of high density lipoprotein to be protective against TE events; poorly controlled HIV leads to decreased high density lipoprotein, while ART, especially non-nucleoside reverse transcriptase inhibitors, increases high density lipoprotein. Other lipid changes are also known to be a result of HIV infection itself and certain antiretrovirals. While dyslipidemia from ART and HIV infection may influence TE risk, triglycerides and low density lipoprotein were not significant predictors in our study.<sup>7,25–28</sup> Concurrent chronic co-infections are important factors to consider as well. While our study found little association between hepatitis B or C co-infection with TE risk, multiple studies in the general population have found hepatitis C infection to be associated with increased stroke risk.<sup>29,30</sup>

There are several potential explanations for why warfarin did not appear to be effective in TE prevention in our study. First, chronic inflammation persists among effectively treated HIV-infected individuals, which may contribute to an increased thrombotic state and which may not be modified by warfarin use.<sup>31–33</sup> This heightened inflammation and

thrombosis may underlie the high number of ischemic strokes and myocardial infarctions that have been reported in the HIV population.<sup>8,34</sup> In fact, the SMART study found that higher levels of d-dimer were associated with increased risk for cardiovascular disease and mortality in HIV infection.<sup>35</sup> There is accruing evidence that the pathophysiology underlying the increased rates of stroke seen in the HIV population involves the elevated levels of inflammation and endothelial damage that are observed with HIV infection.<sup>36</sup> Second, stroke in HIV is a heterogeneous condition, and not all strokes are from emboli among those with atrial fibrillation; other important etiologies include large artery atherosclerosis and vasculitis.<sup>37</sup> Warfarin may not be effective for preventing strokes from these etiologies, yet would increase risk for hemorrhagic strokes.<sup>38</sup> Third, warfarin use in the HIV population is more difficult and requires closer monitoring due to the drug-drug interactions between warfarin and numerous ART drugs. Protease inhibitors and nonnucleoside reverse transcriptase inhibitors, in particular, have been found to either inhibit or induce warfarin metabolism depending on the ART drug.<sup>39,40</sup> In addition, aside from the drug-drug interactions between warfarin and numerous ART drugs, patients on warfarin, and especially HIV-infected patients on warfarin, often do not have international normalized ratios (INRs) consistently in therapeutic ranges.<sup>41,42</sup> Warfarin would only be effective in decreasing TE risk if the INRs were monitored closely.

Another potential reason for why warfarin may not be effective in decreasing TE risk in HIV-infected individuals with atrial fibrillation is that the decision to anticoagulate high risk individuals is complex and also takes into account compliance, bleeding risks, and patient choice. As such, in our study, increased viral load and hepatitis C were associated with less use of warfarin, while higher CD4 counts and higher nadir CD4 counts were associated with more use of warfarin, suggesting that patients with less well-controlled HIV infections are less likely to get put on warfarin, and warfarin treatment appears to be provided to patients who are most likely to be compliant with this potentially dangerous medication.

The observed TE event rates in patients with a CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 or higher were lower than predicted rates for the general population based on CHA<sub>2</sub>DS<sub>2</sub>-VASc scores.<sup>10</sup> We believe this is because our study population differs in age substantially from the study population in the original CHA<sub>2</sub>DS<sub>2</sub>-VASc study. Namely, the mean age of our study population was 56 years versus 66 years in the original study, which is reflective of the younger age of the HIV population versus the population of atrial fibrillation patients in the general population.<sup>11</sup> It is also possible that TE events occurring outside the VA were not captured and documented in our database. However, our registry includes both inpatient and outpatient diagnoses and the observed TE event rate in our CHA<sub>2</sub>DS<sub>2</sub>-VASc score group of 0 was very similar to the event rates of stroke in the HIV population reported by other large-scale studies.<sup>7,8</sup> Therefore, our study strongly suggests that the CHA<sub>2</sub>DS<sub>2</sub>-VASc score is not well calibrated for the HIV-infected population.

Our findings challenge how we currently should assess TE risk in atrial fibrillation patients with HIV, as well as whether warfarin is an effective or even safe treatment for TE prevention in this population. Clinicians must decide how to weigh our observational findings with a relatively small number of events versus the clinical trials of stroke prevention that did not include patients with HIV infection. However, our initial findings



suggest there is an urgent need for additional studies to confirm our results, to better understand the mechanism of TE events in HIV, and ultimately to develop a more accurate TE risk calculator for the HIV atrial fibrillation population. There are TE risk factors specific to the HIV population that our findings suggest should be part of a TE risk calculator that can be reliably used for the HIV atrial fibrillation population, and further studies will be needed to assess which risk factors should be incorporated and how much weight each of those risk factors should have.

This study had several important limitations. Overall, the number of TE events (including strokes) that were observed in our study was small, which limited precision and power. Also, since this was a cohort of veterans, the vast majority of patients were male. Another limitation was that this study looked only at warfarin use in terms of oral anticoagulation, and did not include novel oral anticoagulants (NOACs), which were not on VA formulary during the study period and were only on the United States market for about year during our study period.<sup>43</sup> However, a very small number of the patients who were grouped as warfarin non-users might have been on a NOAC that they received outside the VA system. Warfarin non-users may also have been on aspirin, which may have provided some protective effect against TE events, and aspirin use could not be included in the study because it is typically not obtained from VA pharmacy.<sup>44</sup> Also, the VA registry that we used did not have INR values, and so we were unable to assess for warfarin compliance. However, we did model warfarin use in multiple ways to account for these limitations and to our knowledge these are the only analyses to have addressed anticoagulation effectiveness on TE event prevention in this setting.

In conclusion, this is the first study to our knowledge to look at the ability of the CHA<sub>2</sub>DS<sub>2</sub>-VAsC score to predict TE events in the HIV-infected population with atrial fibrillation. Our results show that the CHA<sub>2</sub>DS<sub>2</sub>-VAsC score was only weakly associated with risk of TE events among HIV-infected individuals with atrial fibrillation, and warfarin did not appear to be effective at preventing TE events in this population and was potentially harmful. Atrial fibrillation is not only prevalent among HIV patients, but this population is at especially high risk of TE events, and so having an accurate TE risk calculator for HIV patients is clinically imperative. Further investigation is needed to confirm our findings and should include additional prospective studies that include more females and also evaluate NOAC use. Ultimately, identification of factors that are more predictive of TE events among HIV-infected individuals can be used to develop an HIV-specific calculator to guide anticoagulation.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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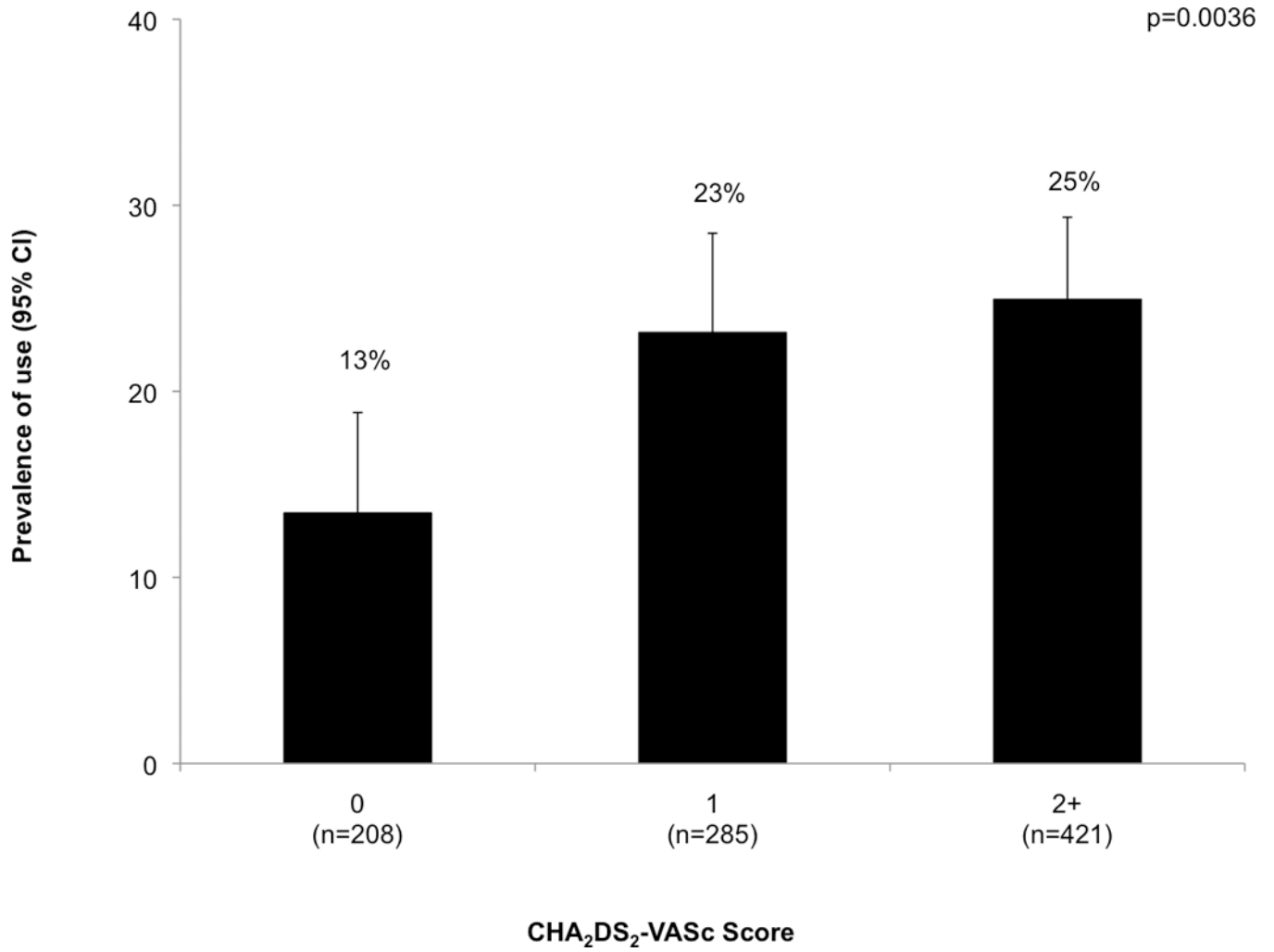
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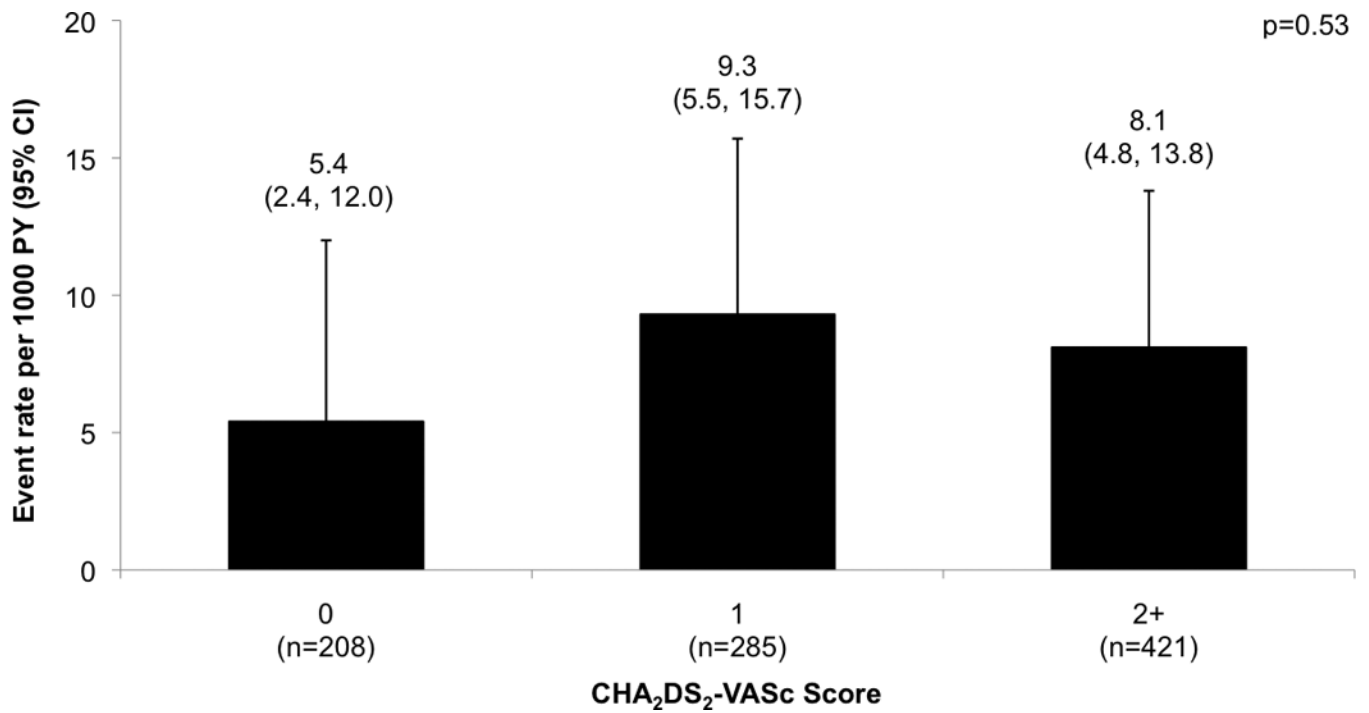
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**Figure 1. Prevalence of baseline warfarin use by CHA<sub>2</sub>DS<sub>2</sub>-VASc score**  
*p*-value denotes test for difference in rate of warfarin use by level of CHA<sub>2</sub>DS<sub>2</sub>-VASc score.  
CI = confidence interval.



**Figure 2. Thromboembolic event rates stratified by baseline CHA<sub>2</sub>DS<sub>2</sub>-VASc score**

Comparison of thromboembolic event rates across baseline CHA<sub>2</sub>DS<sub>2</sub>-VASc scores reported as event rate per 1000 person years and 95% confidence interval. Log-rank test for difference in event rates across CHA<sub>2</sub>DS<sub>2</sub>-VASc categories showed a *p*-value of 0.53.

Unadjusted HR (95% CI) of CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 1 versus 0 is 1.70 (0.65, 4.45), *p* = 0.28. Unadjusted HR (95% CI) of CHA<sub>2</sub>DS<sub>2</sub>-VASc score of 2+ versus 0 is HR 1.34 (0.51, 3.48), *p* = 0.54. CI = confidence interval; HR = hazard ratio; PY = person years.

**Table 1**  
Demographic and baseline clinical characteristics stratified by CHA<sub>2</sub>DS<sub>2</sub>-VASc Score

Parameter	Overall N=914	CHA <sub>2</sub> DS <sub>2</sub> -VASc 0 N=208	CHA <sub>2</sub> DS <sub>2</sub> -VASc 1 N=285	CHA <sub>2</sub> DS <sub>2</sub> -VASc 2+ N=421	p-value
<b>CHA<sub>2</sub>DS<sub>2</sub>-VASc Factors</b>					
Age	56 (49, 63)	51 (44, 57)	54 (48, 61)	61 (53, 68)	<.0001
Female	16 (2%)	0	7 (2%)	9 (2%)	0.086
Diabetes	196 (21%)	0	21 (7%)	174 (41%)	<.0001
Hypertension	466 (51%)	0	154 (54%)	312 (74%)	<.0001
Vascular Disease	275 (30%)	0	40 (14%)	235 (56%)	<.0001
Heart Failure	217 (24%)	0	32 (11%)	185 (44%)	<.0001
<b>Other</b>					
Caucasian	479 (52%)	116 (56%)	128 (45%)	235 (56%)	0.050
Black	418 (46%)	89 (43%)	151 (53%)	178 (42%)	
Other Race	17 (2%)	3 (1%)	6 (2%)	8 (2%)	
Total Cholesterol (mg/dL)	166 (138, 194)	158 (136, 194)	174 (148, 203)	163 (135, 190)	0.0039
Low Density Lipoprotein (mg/dL)	94 (72, 117)	91 (76, 114)	96 (73, 127)	92 (70, 114)	0.071
High Density Lipoprotein (mg/dL)	37 (29, 46)	37 (28, 47)	37 (30, 46)	36 (29, 46)	0.42
Triglyceride (mg/dL)	141 (98, 217)	137 (94, 196)	138 (96, 220)	144 (101, 221)	0.47
Smoking	349 (38%)	62 (30%)	110 (39%)	177 (42%)	0.012
Illicit drug use	400 (44%)	81 (39%)	119 (42%)	200 (48%)	0.090
Alcoholism	314 (34%)	62 (30%)	92 (32%)	160 (38%)	0.085
Body Mass Index (kg/m <sup>2</sup> )	25 (22, 28)	24 (22, 28)	25 (22, 29)	25 (22, 28)	0.32
Serum Albumin (mg/dL)	3.7 (3.2, 4.1)	3.8 (3.1, 4.2)	3.7 (3.3, 4.1)	3.7 (3.2, 4.1)	0.61
Proteinuria	289 (32%)	60 (29%)	81 (28%)	148 (35%)	0.10
eGFR	85 (65, 102)	95 (78, 108)	90 (69, 105)	79 (58, 96)	<.0001
<b>HIV-Related Factors</b>					
Current CD4 Count	347 (179, 537)	320 (107, 497)	330 (165, 485)	358 (209, 585)	0.014
Nadir CD4	205 (83, 360)	216 (82, 378)	199 (80, 356)	202 (86, 360)	0.95
HIV Viral Load> 1000	385 (43%)	112 (55%)	126 (45%)	147 (35%)	<.0001
ART use (current)	622 (68%)	116 (56%)	187 (66%)	319 (76%)	<.0001

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Parameter	Overall N=914	CHA <sub>2</sub> DS <sub>2</sub> -VASc 0 N=208	CHA <sub>2</sub> DS <sub>2</sub> -VASc 1 N=285	CHA <sub>2</sub> DS <sub>2</sub> -VASc 2+ N=421	p-value
Hepatitis C	320 (35%)	70 (34%)	92 (32%)	158 (38%)	0.32
Hepatitis B	146 (16%)	26 (13%)	43 (15%)	77 (18%)	0.16

Continuous variables reported as median (interquartile range). Categorical variables reported as N (%). ART = antiretroviral therapy; eGFR = estimated glomerular filtration rate; HIV = human immunodeficiency virus; IQR = interquartile range.



**Table 2**  
Associations of demographic and baseline clinical characteristics with thromboembolic events

Parameter	Level	N (%)	Event rate (95%CI) (per 1000 PY)	Unadjusted		Adjusted	
				HR (95%CI)	p-value	HR (95%CI)	p-value
<b>CHA<sub>2</sub>DS<sub>2</sub>-VASc Factors</b>							
Age	per decade			1.03 (0.77, 1.37)	0.86		
Gender	Female	0	0	na			
	Male	34 (3.8%)	8.0 (5.7, 11.2)				
Diabetes	Yes	5 (2.6%)	7.2 (3.0, 17.2)	0.81 (0.31, 2.08)	0.66		
	No	29 (4.0%)	8.0 (5.5, 11.5)	reference			
Hypertension	Yes	21 (4.5%)	9.0 (5.8, 13.7)	1.42 (0.71, 2.83)	0.32		
	No	13 (2.9%)	6.5 (3.8, 11.2)	reference			
Vascular Disease	Yes	6 (2.2%)	5.5 (2.5, 12.2)	0.59 (0.25, 1.41)	0.24		
	No	28 (4.4%)	8.6 (6.0, 12.5)	reference			
Heart Failure	Yes	24 (3.4%)	12.3 (6.6, 22.9)	1.46 (0.70, 3.03)	0.32		
	No	10 (4.6%)	6.8 (4.6, 10.1)	reference			
<b>Other</b>							
Race	Black	21 (5.0%)	10.0 (6.5, 15.4)	1.67 (0.83, 3.34)	0.15	2.09 (1.02, 4.29)	0.044
	Other	0	0	na		na	
Total Cholesterol	White	13 (2.7%)	5.9 (3.4, 10.2)	reference		reference	
	Per 10 mg/dL			1.00 (0.93, 1.07)	0.90		
Low Density Lipoprotein	Per 10 mg/dL			1.03 (0.94, 1.12)	0.55		
	Per 10 mg/dL			0.76 (0.62, 0.93)	0.007	0.72 (0.57, 0.90)	0.004
Triglycerides	Per doubling			1.11 (0.84, 1.47)	0.46		
	Yes	11 (3.2%)	8.6 (4.8, 15.5)	1.04 (0.51, 2.13)	0.91		
Smoking	No	23 (4.1%)	7.5 (5.0, 11.3)	reference			
	Yes	13 (3.3%)	7.7 (4.5, 13.3)	0.89 (0.45, 1.77)	0.75		
Illicit drug use	No	21 (4.1%)	7.9 (5.2, 12.1)	reference			
	Yes	13 (4.1%)	10.0 (5.8, 17.2)	1.34 (0.68, 2.67)	0.40		
Alcoholism	No	21 (3.5%)	6.9 (4.5, 10.6)	reference			
	Per kg/m <sup>2</sup>			1.05 (1.00, 1.11)	0.03		

Parameter	Level	N (%)	Event rate (95%CI) (per 1000 PY)	Unadjusted		Adjusted	
				HR (95%CI)	p-value	HR (95%CI)	p-value
Serum Albumin	<20	2 (1.6%)	4.2 (1.1, 16.9)	reference			
	20–25	11 (3.2%)	6.4 (3.5, 11.6)	1.81 (0.40, 8.16)	0.44		
	25–30	15 (5.4%)	10.5 (6.4, 17.5)	3.86 (0.91, 16.48)	0.07		
	>30	6 (3.8%)	8.3 (3.7, 18.4)	2.82 (0.58, 13.70)	0.20		
	Per 0.5 mg/dL decrease			0.91 (0.73, 1.14)	0.41		
Proteinuria	<3.5	8 (2.5%)	6.8 (3.4, 13.6)	Reference			
	3.5–4.0	16 (4.9%)	9.3 (5.7, 15.1)	1.47 (0.64, 3.38)	0.36		
	>4.0	10 (3.7%)	7.0 (3.8, 13.0)	1.26 (0.51, 3.11)	0.62		
	Yes	8 (2.8%)	8.4 (4.2, 16.7)	0.83 (0.38, 1.82)	0.65		
eGFR	No	26 (4.2%)	7.7 (5.2, 11.3)	reference			
	per 10 ml/min decrease			0.95 (0.86, 1.06)	0.36		
<b>HIV-Related Factors</b>							
Current CD4	Per doubling			0.95 (0.83, 1.08)	0.40		
Nadir CD4	Per doubling			0.96 (0.80, 1.15)	0.67		
HIV Viral Load	Per 10-fold increase		1.15 (0.91, 1.45)	0.25			
ART use (current)	Yes	22 (3.2%)	6.9 (4.5, 10.5)	0.82 (0.41, 1.67)	0.59		
	No	12 (5.6%)	10.4 (5.9, 18.4)	reference			
Hepatitis C	Yes	7 (2.2%)	5.7 (2.7, 11.9)	0.61 (0.27, 1.41)	0.25		
	No	27 (4.6%)	8.7 (6.0, 12.7)	reference			
Hepatitis B	Yes	5 (3.4%)	8.3 (3.4, 19.9)	1.01 (0.39, 2.60)	0.98		
	No	29 (3.8%)	7.8 (5.4, 11.2)	reference			

ART = antiretroviral therapy; CI = confidence interval; eGFR = estimated glomerular filtration rate; HIV = human immunodeficiency virus; HR = hazard ratio; na = not applicable; PY = person years.

**Table 3**  
 Association of warfarin use with thromboembolic events, with and without adjustment for CHA<sub>2</sub>DS<sub>2</sub>-VASC score

Parameter	Level	Unadjusted HR (95%CI)	p-value	Multivariable Adjusted Marginal Structural Model HR (95%CI)	p-value
Baseline warfarin use	User vs. non-user	1.77 (0.85, 3.70)	0.13	2.06 (0.86, 4.93)	0.11
Time-updated warfarin use	Yes vs. no	1.05 (0.45, 2.41)	0.92	1.13 (0.45, 2.87)	0.80
Current warfarin duration <sup>a</sup>	Per year of exposure	2.00 (0.55, 7.24)	0.29	1.27 (0.27, 5.91)	0.76

Variables adjusted for include all factors that were found to be significantly associated with either warfarin use or thromboembolic risk, which were high density lipoprotein, race, heart failure, body mass index, and albumin.

<sup>a</sup>Defined as duration of use among current warfarin users. CI = confidence interval; HR = hazard ratio; vs. = versus.