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Selecting Between One-Dimensional and Two-Dimensional Hydrodynamic Models for Ecohydraulic Analysis

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- Selecting Between One- and Two-Dimensional Hydrodynamic Models for
- **Ecohydraulic Analysis**

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Abstract 13

14

15 Aquatic habitat assessment and river restoration design require geospatially explicit maps of 16 hydraulic conditions. Diverse mechanistic ecohydraulic models compute spatially explicit depth 17 and velocity results to evaluate habitat suitability spatially as a function of these abiotic conditions. This study compared depth and velocity results from two-dimensional (2D) and one-18 19 dimensional (1D) hydraulic models with algorithms that laterally discretize 1D velocity and 20 interpolate depth and velocity spatially based on the Laplacian heat mapping approach. These 21 'conveyance distributed' methods constitute 'best 1D modeling practice', and were compared to 22 2D results for the first time. The 1D and 2D models were applied to three morphologically 23 distinct reaches (leveed, meandering, and anastomosing) for three flows (base, bankfull, and flood flows) of the partially regulated, gravel/cobble lower Yuba River in north-central California. 24 The test metrics were the coefficient of determination (R²) and the median absolute residual 25 $(|\tilde{}|)$. These metrics quantified the incremental uncertainty 1D approximation incurs, results 26 which make explicit cost-benefit processes of model selection possible. Finally, velocity 27 residual maps were analyzed to identify regions and processes where residuals were high, 28 indicating divergence from the 1D assumptions. Paired data (1D-2D) fell between 0.94 $\ge R^2 \ge 1.00$ 29 $(R^2_{mean}=0.98 \text{ and } R^2_{median}=0.99)$ for depth and median absolute residuals were all $3.8 \le |\tilde{a}| \le 7.2\%$ 30 31 (i.e. 50% of residuals are approximately within ± 1.7 to 3.6%). Higher flows and lower gradient reaches had lower residuals and higher R². Velocity diverged more, particularly for base flow in 32 anastomosing reaches (0.42<R²<0.58). One-dimensional, conveyance distributed, assumptions 33 performed better for other channel types, where $0.69 < R^2 < 0.81$ (R^2_{mean} =0.75 and 34 R^{2}_{median} =0.77), with median absolute residuals between 9.6%> $|\sim|$ >22.4% (i.e. ~±4.6 to 35 ±11.2%), where $|\tilde{|}_{mean}$ =14.2% and $|\tilde{|}_{median}$ =13% (~±7.1 and 6.5%). The conveyance 36 distributed 1D velocity model performed best where the orthogonal flow assumptions obtained 37 38 and where side channels did not transition from backwater to conveying area between flows.

39

Keywords: hydraulic modeling, river modeling, ecohydraulics, ecohydraulic modeling, gravel-40

- 41 bed rivers Jucc
- 42

43 **1** Introduction

44

45 Many riverine habitat studies and projects require ecohydraulic modeling (Mouton et al., 2007; Wu and Mao, 2007; Hauer et al., 2011; Maddock et al., 2013). Prescribed flows and restoration 46 designs are often based on and evaluated with these models (Elkins et al., 2007; Papanicolaou 47 48 et al., 2011). Models can yield detailed spatial patterns at "near-census" resolution of ~ 1 m 49 over tens of km of river corridor length, which can provide advantages over purely empirical 50 assessments (Pasternack, 2011). Detailed modeling enables sophisticated spatial analyses, 51 which reveals biotic patterns and ecological functions that are space-dependent (Crowder and Diplas, 2000; Grantham, 2013; Pasternack et al., 2014), including emerging individual based 52 53 bioenergetic modeling (Weber et al., 2006; Railsback et al., 2012; Hafs et al., 2014). Models can 54 also analyze the ecohydraulics of potential river restoration designs, which data and empirical 55 analyses cannot, since designed hydraulic conditions cannot be measured prior to construction (Bockelmann et al., 2004; Pasternack et al., 2004; Jacobson and Galat, 2006; Pasternack and 56 57 Brown, 2013). River scientists, engineers, and restoration practitioners must understand the opportunities and limitations of different ecohydraulic modeling approaches (Brown and 58 59 Pasternack, 2009; Pasternack and Senter, 2011; Jowett and Duncan, 2012).

60

Ecohydraulic models are often applied to decrease operational uncertainty in river assessment
study or restoration design evaluation (Snowling and Kramer, 2001). Therefore, model
selection negotiates technical, practical, and social tradeoffs to determine appropriate simulation
complexity, optimizing the uncertainty reduction price point according to a cost-benefit analysis,
either explicitly or unconsciously. Assessing benefits include estimating incremental uncertainty
reductions offered by each modeling approach to select the approach commensurate with

reductions offered by each modeling approach to select the approject's risks and resources (Gibson, 2013).

68

Spatially distributed depth and velocity maps are the most common hydraulic modeling product 69 used as input for microhabitat modeling (Pasternack, 2011). Four approaches are available to 70 71 develop these products: (i) 1D statistical modeling using transects, (ii) 1D hydraulic modeling, 72 (iii) 2D hydraulic modeling, and (iv) 3D hydraulic modeling. However, the ecohydraulic literature 73 mostly frames model selection as a choice between 1D statistical models and 2D numerical 74 models (e.g., Sawyer et al., 2010), because most practitioners employ traditional transect data 75 collection with statistical extrapolation (Payne and Bremm, 2003; Payne et al., 2004) whereas 76 mechanistic ecohydraulic modelers have largely skipped over 1D hydraulic modeling to resolve 77 spatial dependencies (Leclerc et al., 1995; Elkins et al., 2007). This work tests the hypothesis 78 that 1D numerical models with lateral discretization algorithms represent a viable intermediate 79 level of complexity for ecohydraulic analysis.

81 At least 18 comparisons of the results of 1D and 2D numerical models have been published in 82 recent years to evaluate opportunities and limitations in environmental science and management applications (Ahmad and Simonovic, 1999; Waddle et al., 2000; Horitt and Bates, 83 84 2002; Werner, 2004; MacWilliams et al., 2006; Lee, 2006; Tayefi et al., 2007; Cook, 2008; 85 Bohorquez and Darby, 2008; Alho and Aaltonen, 2008; Chatterjee et al., 2008; Brown and Pasternack, 2009; Clifford et al., 2010; Pasternack and Senter, 2011; Prestininzi et al., 2011; 86 87 Leandro et al., 2011; Bladé et al, 2012; Jowett and Duncan, 2012). Though insightful, results 88 were mixed (Gibson, 2013). In several studies, particularly large flood models with naturally 89 orthogonal channel features at flood scales, 1D models performed well (Ahmad et al, 1999). 90 Horitt and Bates, 2002; Gibson, 2005; Lee, 2006; Tayefi et al, 2007; Alho and Aaltonen, 2008; 91 Jowett and Duncan, 2012). However, in highly complex non-orthogonal morphologies 1D results 92 diverged from the 2D or 3D models (Waddle et al. 2000; MacWilliams et al. 2006; Lee, 2006; 93 Brown and Pasternack, 2009). 1D models also under-performed in reaches with difficult-to-94 identify, non-orthogonal hydraulic controls that transects modeled poorly or missed all together 95 (Pasternack and Senter, 2011). Thus, a key factor in selecting model dimensionality appears to 96 be in the orthogonality and complexity of landforms present at scales and investigation needs to

- 97 address.
- 98

110

99 Even with this extensive literature, two substantial data gaps complicate incremental uncertainty 100 reduction comparisons between numerical 1D and 2D approaches:

- 101 1. Evaluating Laterally Discrete 1D Velocity Algorithms: Only five studies compared 1D 102 and 2D velocities. Four compared 2D results with cross section averaged 1D model velocities (MacWilliams et al, 2006; Brown and Pasternack, 2009; Pasternack and 103 Senter, 2011; Jowett and Duncan, 2012) and one study compared 2D results to lateral 104 105 velocity data collected at a few locations, interpolated in one dimension (Waddle et al., 106 2000). None of the studies compared 2D models to "conveyance distributed 1D" 107 velocity results, where cross section average velocities from 1D models are post 108 processed to compute laterally explicit velocities, which represent 'best practice' for 1D 109 velocity modeling.
- 111 2. Spatially Explicit Comparison of Depth and Velocity: Ecohydraulic applications require 112 spatially distributed hydraulic depth and velocity results. To evaluate a 1D model for 113 ecohydraulic analysis, 1D results must be translated into 2D depth and velocity grids and 114 compared in this spatial framework. No spatially explicit comparisons of depth or 115 velocity grids generated from 1D and 2D hydraulic model results were found. Additionally, most of the studies focused on comparing models for flood flows rather than 116 117 for in-channel flows critical to ecohydraulic analysis. Revealing how spatial patterns change systematically as a function of discharge is important, because nonuniform 118 119 channels exhibit flow-dependence of geomorphic processes (MacWilliams et al., 2006; 120 Brown and Pasternack, 2014), habitat quality and abundance (Leclerc et al., 1995; 121 Bovee et al., 1998), and interactions between the two (Pasternack et al., 2008; Hauer et 122 al., 2011)

123

- 124 This study addressed these two data gaps, comparing spatially explicit, conveyance distributed
- 125 1D hydraulic model results against comparable 2D output. Depth and velocity maps were
- 126 computed for three morphologically distinct reaches on the Yuba River, at three ecologically
- important flows with a 2D model and a conveyance distributed 1D. The model comparison
- addressed three specific research questions, each with hypotheses and test metrics (Table
- 129 1)Error! Reference source not found..

130 2 Study Area

131

- 132 The Yuba River is a tributary of the Feather River, the north-eastern tributary of the Sacramento
- 133 River, in northern California (Fig. 1). The river is topographically diverse and highly disturbed.
- 134 The Yuba river watershed is 3,480 km² and includes contributing area from 10 m elevation
- valley floodplains to 2,774 m Sierra Peaks (Moir and Pasternack, 2008). This geographic
- diversity creates a down gradient morphological succession that was useful for this study. The
- river transitions from a steeper bedrock canyon (Pasternack et al., 2010) to valley-confined with
- braiding at wide sections (White et al., 2010), to anastomosing (where flood flows spread into
- multiple, relatively persistent channels), to meandering with moderate gradient, and, finally, to a
- 140 confined, low gradient, leveed channel just upstream of its confluence with the Feather River in
- 141 Marysville, CA.

142

The river also has a long history of disturbance including an early era (1850-1940) of placer, 143 hydraulic and dredger gold mining, which left the system with a unique and recognizable aerial 144 145 view and a complex story of sediment load and non-stationarity, followed by a later overlapping 146 era (1910-present) of valley confinement by training berms, debris dams, and eventual, 147 construction of the Englebright dam and consequent flow regulation. However, despite a 148 complex history of landform and flow modifications, frequent overbank flooding and easily 149 mobile alluvium have enabled the lower Yuba River to rapidly adjusted its flow-form dynamics to yield diverse landforms at morphological unit to segment scales (Carley et al., 2012; Wyrick and 150 151 Pasternack, 2012, 2014). The river supports a diverse fish community (Kozlowski, 2004) and is 152 widely viewed as a lynchpin to maintaining and restoring the salmonid meta-populations in the northern Central Valley region (YARMT, 2013). 153

154 **3 Methods**

- 156 Three geomorphologically distinct reaches (channelized, meandering, and anastomosing) of the
- 157 lower Yuba River were modeled at three flows (20% of bankfull discharge, bankfull, and four
- times bankfull) with the one-dimensional hydraulic model HEC-RAS (USACE, 2010) and the
- two-dimensional hydraulic model SRH-2D (Lai, 2008; Pasternack, 2011). Modeling specialists

applied each model to assure 'skill control' and remove asymmetrical modeler ability as a
 confounding variable. Both modeling efforts began with the same raw bathymetry data and
 specified flows, applying best modeling practice for 1D and 2D approaches without reference to

- 163 the approach or results of the other. HEC-RAS and the GIS post-processor HEC-GeoRAS
- 164 (USACE, 2009) generated two dimensional depth and velocity grids for each of the nine
- 165 conditions (three reaches at three flows) from the 1D results. These grids were then compared
- to the results of an existing, validated two-dimensional SRH-2D model of the lower Yuba River
- 167 (Barker, 2011; Abu-Aly et al., 2013; Pasternack et al., 2014) to quantify the error introduced by
- the 1D assumptions. Errors were reported in a flow-reach morphology matrix. Although the 2D model was heavily tested for uncertainty using traditional and novel validation tests, validation
- 170 data were not collected with the intention of calibrating and validating a 1D model
- 171 independently; this study is an opportunistic scientific exploration involving model comparison to
- 172 better understand modeling practices and trade-offs.
- 173

174 3.1 Reach Selection

175

176 Reaches were selected from a detailed river corridor digital elevation model (DEM) of the lower
177 Yuba, constructed using a combination of ground-based, boat-based, and remote sensing
178 methods (Carley et al., 2012; Pasternack et al., 2014). For the lowermost 28.3 km of the river
179 from which the three study reaches herein were selected, the overall mean subaqueous grid

anu

- point spacing within the 24.9 m³/s wetted area was one point every 1.3 m. (59.8 pts/100 m²)-
- point spacing within the 24.9 m/s welled area was one point every 1.3 m. (59.8 pts/100 m)-
- 181 though with patches having larger gaps where data collection was hazardous or otherwise
- difficult- while for the subaerial river terrain at that flow the overall mean grid point spacing was
 one point every 0.43 m. (554 pts/100 m²). This point density was sufficient to capture the roles
- one point every 0.43 m. (554 pts/100 m²). This point density was sufficier
 of sub-width topographic nonuniformity on hydraulic modeling.
- Based on the multi-scalar landform assessment study of Wyrick and Pasternack (2012), three morphologically distinct reaches were selected (

Table 2). Reach 1 is a low gradient, confined, urban, leveed channel. Upstream about 4 km, 187 188 Reach 2 is a moderate gradient meandering section with alternate point bars. Reach 3 is an 189 anastomosing reach with multiple parallel channels and additional side channels at higher flows. 190 Upstream reaches included more backwater zones, floodplain connectivity, flow splits, hydraulic 191 roughness, hydraulic separation zones, steeper slopes, and morphological diversity. While no 192 single metric explains the morphological gradient in a simple monotonic trend, the progression 193 of the combined metrics along Reach $1 \rightarrow$ Reach $2 \rightarrow$ Reach 3 fits a gestalt impression of 194 increasing "complexity." However, because of the unhelpful connotations and semantic range 195 of the term complexity, trends will be described in reference to 'gradient' (where Reach 1 is 196 down gradient and Reach 3 up gradient), with basic downstream (Reach 1) to upstream (Reach 197 3) terminology or single thread (Reach 1 and 2) versus multi-thread (Reach 3) when 198 appropriate.

- 199 3.2 The 2D Model
- 200

This study utilized output from an existing 2D model of the Yuba River developed by co-author
Pasternack in collaboration with the Yuba Accord River Management team. Barker (2011) and
Pasternack et al. (2014) described the full details of model development and testing. The 2D
model was heavily scrutinized through by scientists, and managers, and is now used for diverse

- applications in both arenas. SRH-2D (Lai, 2008) was used to model ~35 km of the lower Yuba
- 206 River, from the Englebright Dam to the confluence with the Feather River, except for a ~ 2-km
- 207 section with unmapped rapids in The Narrows bedrock canyon. The mixed structured-
- 208 unstructured computational mesh had a typical intermodal spacing of 0.91 to 1.5 m for base and
- bank full flow and a near uniform 3 m mesh for flood flows.
- 210

211 The 2D model was validated (Barker, 2011) using independent datasets for water surface 212 elevations, depths, and velocity magnitude and direction collected over a range of discharges 213 (\sim 14 to 170 m³/s). A few performance metrics are provided herein with the full analysis available in Barker (2011). Model mass conservation was within 1%. Mean, signed, water 214 215 surface elevation residual was -1.8 mm for 197 observations at 24.92 m³/s. For unsigned residuals, 27% were within 3.1 cm, 49% were within 7.62 cm, 70% within 15.25 cm, and 94% 216 217 within 30.5 cm. Depth observations from cross section surveys yielded a good coefficient of 218 determination (R²) of 0.66 (n=199). Barker (2011) measured velocity magnitude using two 219 different ways to make a robust validation analysis - one with a traditional cross-section 220 approach suitable for a small number of observations with high accuracy and one using a new 221 approach involving Lagrangian particle tracking. For the former approach, 40-s average velocity 222 magnitude was measured at the standard 0.6 depth vertical position for the same 199 points 223 where depth was observed along traditional cross-sections with either a Marsh-McBirney[®] Flo-224 Mate electromagnetic current meter sampling at 30 Hz or a Price AA mechanical impellor 225 current meter. However, for assessing 2D model performance for 33-km of channel over an 226 order of magnitude of flows, the traditional method should be balanced by a rapid observation 227 strategy that provides far more data. Therefore, the Lagrangian surface velocity vector tracing 228 method of Stockdale et al., (2007) was improved upon by switching from differential GPS to 229 real-time kinematic GPS and from unattended floats to a manned kayak wherein one may 230 carefully insure that the kayak adheres to the direction and magnitude of velocity. As the kayak 231 moves with the flow, positions are measured with $\sim 0.02-0.05$ m accuracy every 5 s and then 232 these positions are differenced over that time interval to yield surface velocity magnitude, which 233 is assigned to the midpoint between each adjacent pair of position observations. Surface 234 velocities are next converted to depth-averaged values using the proper regression equation for 235 the two established for the river. Although this adds some uncertainty for each point, one can 236 measure thousands to tens of thousands of velocities per day covering many kilometers of 237 channel, so the statistical robustness of the predicted versus observed regression relation is far 238 greater compared to that when only a few hundred points are used, yielding an overall superior validation assessment. Methodological details are explained in the ecohydraulics textbook by 239 Pasternack (2011). Using this method, the 2D model yielded an R² of 0.79 between predicted 240 241 and observed. Median unsigned velocity magnitude error was 16%, significantly smaller than 242 commonly reported (Wyrick and Pasternack, 2014; Brown and Pasternack, 2014).

243

The SRH-2D model was used to analyze three ecologically interesting flows in this study: base 244 (28 m³/s), bankfull, (142 m³/s), and floodplain filling (597 m³/s) flows – events with >99, 83, and 245 246 40% annual exceedance probabilities, respectively. Even though Abu-Aly et al. (2013) 247 developed and published on a meter-scale spatially distributed Manning's n scheme using 248 relative surface roughness (i.e., ratio of vegetation canopy height to water depth) obtained from 249 LiDAR data, for this study model runs that exclusively had a universal Manning's n-value (0.04) 250 were used to remove spatial roughness distribution as a confounding variable from the 251 comparison instead of the more complex vegetated models used for the final Yuba analysis. In 252 cases where complex vegetation patterns are present and important to the ecohydraulic 253 problems in question, this could be a deciding factor to use a 2D model and the scheme of Abu-254 Aly et al. (2013). anui

255

256 3.3 The 1D Model

257

258 HEC-RAS models were developed for the three selected reaches. A thalweg shape file, 259 computed during the 2D modeling, became the stream center line and HEC-GeoRAS was used to cut cross sections from the TIN used for the 2D model every one-to-two channel widths. 260 261 Each reach was initially modeled with a single computational reach in the 1D model (i.e. no flow 262 splits). The base n-value (0.04) from the 2D model was applied to the channel and over banks 263 in the 1D model. However, it is common practice (Brickler et al., 2014) to calibrate 1D n-values 264 to multiple flows, specifying flow dependent adjustments to the roughness parameters. 265 Therefore, water surface elevations were extracted from the 2D simulations at the intersection of every other cross section and the stream center line. The "flow roughness factors" in HEC-266 267 RAS were adjusted to calibrate the 1D water surface elevations to the 2D water surface elevations. Factors used and the resulting residuals are included in Error! Reference source 268 269 not found. and

270 Table 4.

271 An experienced 1D modeler might identify Reach 3 as a good candidate for a 'split flow' 1D 272 modeling approach. Split flow modeling is actually an intermediate level of complexity between 273 1D and 2D modeling with intermediate costs and parameterization demands. Although a full 274 presentation is beyond the scope of this article, Reach 3 was also modeled with a split flow 1D 275 approach (Fig.3). Split flow results were compared to the single reach results (Gibson, 2013).

- 276 3.4 Computing Spatially Explicit Velocity Maps From 1D Results
- 277

278 Traditionally ecohydraulics involved sampling-based statistical analysis of hydraulic conditions 279 to quantify the statistical relationships between discharge and weighted usable area (Payne et 280 al., 2004). However, ecohydraulics has shifted toward a spatially explicit characterization of 281 habitat in the last decade, with meter-scale prediction of both presence and absence of biotic

282 habitat utilization (e.g., Elkins et al., 2007). As a result, ecohydraulic literature addressing 283 scientific exploration of spatial physical-biotic linkages and meter-scale habitat predictions often 284 dismiss 1D models, because cross section averaged velocities cannot achieve these outcomes. 285 However, HEC-RAS includes analytical methods that compute lateral velocity distributions from 286 cross section averaged results and translates these into velocity maps, which are rarely 287 discussed in ecohydraulic literature and have never been evaluated relative to the results of 2D 288 or 3D models. These methods are widely available in public domain software and include, (i) 289 post processing 1D cross section averaged velocities analytically to compute lateral velocity 290 distributions at each cross section and (ii) spatial interpolation of these laterally discrete 291 velocities based on the Laplace equation to compute a smooth 2D velocity map that follows 292 logical flow paths.

293

3.4.1 Analytical Lateral Velocity Distributions

294

295 After computing cross section averaged velocities to determine water surface elevations, HEC-296 RAS uses conveyance principles to compute a lateral velocity distribution at each cross section 297 (Fig. 3). The algorithm uses Manning's equation to partition the 1D cross-section velocity into 298 up to 45 laterally discrete 'flow prisms' across the cross section. The non-linearity of Manning's 299 equation generates non-additive conveyance weighted velocities, so the algorithm initially 300 computes a weighted sum of the prism velocities that does not match the 1D cross section 301 velocity. Therefore, after the distributed velocities are computed, they are scaled to ensure the 302 weighted average velocity is the same as the overall velocity computed by the 1D analysis, conserving cross section conveyance (USACE, 2010). 303

- 304 3.4.2 Interpolating and Mapping Velocity
- 305

Once lateral velocity distributions are computed at each cross section, a second algorithm
 translates those results into a 2D velocity grid that can be compared to 2D model results.
 Interpolating a simple TIN from a velocity point shape file produces noisy velocity maps with
 spurious results, particularly in meandering channels. Therefore, HEC-GeoRAS includes
 algorithms that guide inter-cross section velocity interpolation.

HEC-RAS computes the centroid of each flow prism and assigns coordinates to the prism
velocity, generating an x, y, velocity geodatabase. Then HEC-GeoRAS uses the Laplace
equation to develop smooth, curvilinear, transitional streamlines between the stream centerline,
the river banks, and the flood boundary (excluding any ineffective flow areas) to guide velocity
interpolation between cross sections according to physically reasonable flow paths. The
laterally explicit, analytical velocity distribution and the Laplacian interpolation produce a 2D
velocity grid from the 1D velocities that can be compared to 2D results.

These methods for converting 1D results into a 2D velocity grid are approximate, *ad hoc*, and empirical. But they represent 1D velocity mapping 'best practices' and there is no detailed published attempt to rigorously evaluate their performance. Evaluating their performance on ecohydraulic scales is the primary objective of this work. The combined effects of the conveyance weighted subdivision of the 1D-cross section averaged velocity and the Laplacian
 mapping approach with be referred to as "conveyance distributed 1D" velocity results, for
 simplicity.

325 3.5 Evaluation Metrics

326

327 For each reach and flow, the 1D depth and velocity grids were superimposed on the 2D grids.

328 One-dimensional results at each cell were plotted against 2D result and the coefficient of 329 determination (R^2) was computed for each scenario. Additionally, residuals (ε) were computed 330 for each cell, where:

331

$$=\frac{1D_{result}-2D_{result}}{2D_{result}}$$

(1)

332 (Clifford et al., 2005) and the "median absolute residual" (|~|) was computed to summarize the 333 residuals of each scenario into a single parameter. Velocity residuals were also mapped for 334 each reach and flow to provide context for the summary statistics and generate spatial intuition. 335 Both metrics were used to evaluate results in order to escape analysis artifacts that emerge 336 from the limitations of either statistic, and support conclusions independent of the individual

337 liabilities of each metric.

338 4 Results

339 4.1 How well does a 1D model replicate 2D depth results?

340

The 1D model predicted depths well by both test metrics. The 1D depth predictions were more reliable downstream and at higher flows (Table 5; Fig. 4). All reaches and flows returned $R^2 \ge 0.94$ ($R^2_{mean} = 0.98$ and $R^2_{median} = 0.99$) and median absolute residuals were all $|\tilde{}| \le 7.2\%$ (i.e. 50% of residuals are approximately within ±3.6% for the worst case). Additionally the flood flows returned $R^2 \ge 0.99$ and median absolute residuals $|\tilde{}| \le 4.0\%$ (i.e. 50% of residuals are approximately within ±2.0%) for all reaches.

4.2 How well does a conveyance distributed 1D model replicate 2D velocity results?

349 Velocity residuals were larger and R² smaller than depth results (

Table 6; Fig.5). Coefficients of determination for velocity results fell between $0.42 \le R^2 \le 0.81$, (R^2_{mean} =0.70 and R^2_{median} =0.73). Median absolute velocity residuals (|~|) were substantially larger and less sensitive to flow and reach type than depth results, including the range 9.6% \le $|~| \le 22.4\%$ (approximately ±4.6 to 11.2%) with means and medians of $|~|_{maan}$ =14.2% and $|~|_{median}$ =13%.

- 355 Velocity residual trends were not as easily interpreted as those for depth. R² increased
- 356 monotonically with depth for the meandering and anastomosing reaches (Reaches 2 and 3) but
- decreased with flow for the channelized reach (Reach 1). Alternately, the bankfull flow returned
- 358 the minimum median absolute residual for each reach, while the maximum residual was
- associated with the base flow for Reaches 1 and 3 and flood flow for Reach 2. Additionally, Reach 2 had the highest median absolute residual (for flood flow) and the lowest R^2 (for base
- 361 flow), both precluding monotonic trends by gradient. Flood flow residuals make more sense in
- 362 their spatial context, discussed below, but the unusually low R² associated with the Reach 2
- 363 base flow helps categorize these results in the absence of generalized trends.
- Two of the nine scenarios had R² substantially lower than the others: base flow for the meandering and anastomosing reaches (Reaches 2 and 3). Upon closer inspection, these conditions represent similar processes and can be grouped. The "meandering reach" does, in fact, meander for high flows. However, Reach 2 at base flow, the condition with the lowest R², includes three substantial flow splits affecting 30% of total reach length (versus 48% of the ansatomosing reach at base flow), making Reach 2 an anastomosing reach at base flow.
- 370 Therefore the data can be stratified by this condition. The model performed poorly for
- anastomosing base flows ($0.42 < R^2 < 0.58$) but performed better and more consistently, ($0.69 < R^2 < R^2 < 0.58$) but performed better and more consistently, ($0.69 < R^2 < R^2 < 0.58$) but performed better and more consistently.
- 372 $R^2 < 0.81$, $R^2_{mean} = 0.75$ and $R^2_{median} = 0.77$) for all other conditions.
- 373 4.3 What Hydraulic processes generate large velocity residuals?
- 374

Velocity residuals were larger and more spatially interesting than depth results. Therefore, the 375 376 residual maps for each flow in Reach 1, Reach 2, and Reach 3 are included in Figures 6, 7, and 8 respectively. The convention of Blue for negative (2D>1D) and red for positive (1D>2D) 377 378 residuals is used throughout. The largest velocity residuals were associated with backwater 379 zones (2D>1D) and in the separation zones downstream of outcrops, islands and bouleers 380 (1D>2D). The analysis also returned substantial residuals in side channels and flow separation 381 zones. The multi-channel complex in the downstream section of Reach 3, which transitions 382 between backwater at low flows to active flood conveyance at flood flows was also a region of 383 particularly high residuals. Finally, where the models predicted overbank flooding, the 1D model consistently over-predicted velocity in the channel and under predicted velocity in the floodplain 384 in all three reaches. 385

- 386 4.4 Split Flow Results
- 387

Split flow modeling results were mixed. The summary statistics (\mathbb{R}^2 and $|\tilde{\ }|$) are reported in Table 7. The split flow model improved depth \mathbb{R}^2 and residuals for all flows. \mathbb{R}^2 improvements were substantial for base flow (0.89 to 0.96) but $|\tilde{\ }|$ improvements were much more modest (0.2-1.1%). Stratifying residuals spatially revealed a more complex story.

In the classic, persistent split flow region of Reach 3 (the "Long Bar" in Fig.3), the split flow model dropped residuals substantially, approximately halving $|\tilde{\ }|$ for each flow. However, in the multi-reach complex at the downstream end of the model Fig.3), where channels transitioned from dry, to backwater zones, to conveying reaches in different models, split flow modeling didnot substantially improve depth results and, in some cases increased residuals.

The split flow model also increased velocity R^2 for all flows, sometimes substantially, particularly for the problematic base flow anastomosing conditions (Reaches 2 and 3 at the lowest flow), raising R^2 above the 0.7 threshold for all reaches. However, split flow effects on velocity

400 residuals were more modest and not universal. Split flow improved 1D residuals a little (2.1 to

401 2.2%) for the base flow bank full condition, but not for flood flow (where $|\tilde{}|$ decreased by 402 1.1%). These trends also obtained for the long bar region, where velocity residuals dropped

403 substantially for bank full flow, but modestly for base flow and increased for flood flow.

404 5 Discussion

405

406 5.1 Process Discussion

407

The highest depth residuals occurred in zones where the 1D assumptions broke down (Fig.9), like side channels, backwaters, separation zones, and around islands. The 1D model requires a single water surface elevation across the channel, while side channels and backwaters can maintain distinct water surface elevations, generating 1D depth residuals. The 1D model also overpredicted depth downstream and underpredicted depth upstream of obstructions like islands, where localized momentum effects cause stage depression and super elevation respectively, which the 1D model does not simulate.

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415 Some of these depth divergence features translated directly into velocity divergence.

416 Overpredicting flow in side channels led and under predicting flow in backwaters generating

417 velocity residuals that were larger than the corresponding depth residuals, consistent with

418 Pasternack et al.'s (2006) finding that errors amplify as they propagate through depth, velocity,

419 and shear computations respectively.

420 Velocity residuals upstream of islands diverged from depth residuals. Super elevation upstream 421 of islands did not translate into appreciable velocity residuals above background. However,

421 velocity residuals downstream of islands were much larger than depth residuals. Velocity

residuals around an island in Reach 2 were rescaled in Fig.10 to illustrate the region of

424 maximum divergence (>100%). However, the most egregious residuals mapped within the 2:1

- 425 expansion zone, which represents the 'rule of thumb' criteria for designating an 'ineffective flow
- 426 area' to model a non-conveying zone downstream of an obstacle in a 1D model (HEC, 1995;
- 427 USACE, 2010).

428 Finally, the 1D model tended to overpredict channel velocity and under predict floodplain

429 velocity, implying that the conveyance assumption used in lateral velocity distribution is not

430 complete, and includes simplifications that introduce bias. These trends obtain in the flood flow

431 results of each reach and are responsible for the particularly high residuals for the flood flow of

432 Reach 2, the condition with the most flood plain area. However, they are best illustrated in the

433 relatively simple single bar in from Reach 1 (Fig.11), where there are fewer confounding 434 influences. While the 1D model discretizes flow laterally, based on conveyance, it does not account for viscous losses or momentum transfer between these lateral flow prisms. Therefore, 435 436 the conveyance distributed, 1D approach tends to overpredict velocities for the deepest prisms 437 with the most inter-prism surface area for lateral momentum exchange. When individual prism 438 velocities are normalized to make the flow average velocity match the 1D cross section velocity, 439 overbank velocities are reduced to compensate for over predicted velocities in the channel. 440 making them under predict. This result is anecdotal and cannot necessarily be generalized. 441 But it highlights an artifact of the analytical lateral velocity distribution that will cause 1D results 442 to diverge from 2D velocities. This would have serious implications if this lateral distribution 443 scheme were used for ecohydraulic analysis of flood refugia, avulsion or toe scour. Even when 444 1D models like HEC-RAS compute edge effects like toe scour, they post process 1D shears 445 with ad hoc radial shear partitions, to compute a vertical shear distribution similar to the lateral 446 'conveyance distributed' velocity partition (Gibson et al. 2015).

447 The complex relationship of velocity residuals highlights an advantage of 2D models in multi-448 channel analysis: 'generality.' A 1D split flow model must be designed for a particular flow, in 449 this case, the bank full flow, which is why the split flow improvements were greatest for bank full 450 flow, for both model as a whole and the long bar in particular. The 1D flow split is determined a priori for a particular flow, which introduces error in higher and lower flows (Fig. 12). This cross 451 452 section lay out separates conveyance at river stations that are connected at higher flows and 453 artificially connects channels that are separated at lower flows, while a 2D modeling domain has 454 the property of generality, customizing the flow split around the island for each discharge. A 1D 455 model can mitigate these effects with a lateral structure to model flow exchange over the bar, 456 but adding a lateral structure adds complexity to the 1D model and did not appreciably improve 457 the basic velocity residual trend in this case.

458 5.2 Interpretive Implications of Uncertainty in 2D results

459

The above analysis treats the 2D model results as ground truth and evaluates the 1D model 460 461 based on its ability to reproduce them. However, model evaluation theory often recognizes the implications of ground truth data uncertainty in the process of model evaluation. 2D models of 462 463 gravel/cobble bed rivers have assumptions and limitations as well. Both roughness parameterization and turbulence closure are well known problems afflicting the type of model 464 used herein. Similarly, 2D model assumptions break down in meanders and steep rapids, both 465 466 of which occur in the modeled reaches, though the resulting loss in accuracy with decreasing 467 suitability of the assumptions is not well illustrated in the literature. Further, the 2D model 468 assumes a no slip boundary along the bed, but because the bed is highly porous this is not true. 469 In terms of limitations, airborne LiDAR mapping of subaerial terrain and single-beam 470 echosounder mapping of subaqueous terrain have high uncertainty relative to the precision of 471 model predictions and thus accurate topography remains the key limitation as well understood from past studies (Anderson and Bates, 1994; Marks and Bates, 2000; Pasternack et al., 2006). 472

- 473 Both the number of velocity validation observations and the goodness of fit in the 2d LYR model
- 474 were among the highest ever reported for a 2D velocity calibration (Wyrick and Pasternack,
- 2014), plus this study was among the rare few that actually evaluated velocity direction. For the
- base flow anastomosing reaches (Reaches 2 and 3 for the lowest flow) the performance of the
- 477 1D model, as indicated by the velocity R^2 , was underwhelming (0.42< R^2 <0.58), substantially
- 478 less than the implicit uncertainty of the 2D model. However, the 2D model's uncertainty

479 ($R^2=0.784$) is comparable to that observed between 1D and 2D results in the other seven reach-

480 flow pairs (R^2_{mean} =0.75 and R^2_{median} =0.77).

Several classical model evaluation metrics reduce the residual by the uncertainty of the
 observed data, including Relative Mean Absolute Error (RMAE) such that:

483
$$RMAE_{Depth} = \frac{|H_c - H_m| - \Delta H_m}{H_m} \text{ and } RMAE_{Vel} = \frac{|V_c - V_m| - \Delta V_m}{V_m}$$
(2)

484 where H_c is computed depth, H_m is measured depth, V_c is computed velocity, V_m is measured

velocity and DH_m and DV_m are the error in the measured depth and velocity respectively. In this case, where the 1D is the computed and 2D is 'measured' the analogy would be:

487
$$RMAE_{Depth} = \frac{|H_{1D} - H_{2D}| - \Delta H_{2D}}{H_{2D}} \text{ and } RMAE_{Vel} = \frac{|V_{1D} - V_{2D}| - \Delta V_{2D}}{V_{2D}}$$
(3)

488 RMAE assumes that the residuals between the 1D and 2D model and the 2D model and the velocity observations are uncorrelated, and, therefore, on average, counteracting. In this case, 489 490 considering the uncertainty in the 2D could improve the relative results of the 1D model in comparison. However, if these residuals (1D vs 2D and 2D vs measured) are correlated, then 491 they will be additive, decreasing the value of the 1D results. Because physical observations 492 493 spanned the entire lower Yuba, there were not enough in the considered reaches to incorporate 494 2D residuals explicitly in 1D-2D comparison. However, it is worth noting that outside of base flow for anastomosing reaches, the 1D to 2D comparisons generated R²s on the order of the 2D 495 496 to measurement comparisons. Overall, more systematic studies that evaluate the performance 497 of 1D and 2D models with incrementally greater degrees of violation of assumptions would 498 benefit the understanding and application of hydraulic models.

499

501

500 5.3 Non-Statistical Implications for Model Selection

502 The discussion above provides data on the 'benefit' side of a cost-benefit approach to model 503 selection. It presumes that moving from a 1D to a 2D model represents a substantial and easily 504 quantifiable cost increase (usually in the form of bids or scope of work proposals), that can now 505 be compared to the benefit of incremental uncertainty reduction for a matrix of morphologies 506 and flows documented in Fig.Figure 4, Table 5, Fig.5, and

507 Table 6

508 However, there are at least two, non-statistical considerations that should frame these results 509 and their application to a cost-benefit approach to model selection:

510 1. The 1D model used tight cross section spacings in this study, on the order of 1 to 2 511 channel widths. These are not unusual if a complete digital elevation model, including 512 both channel and overbank bathymetry, exists (Kootenai Tribe, 2014; Shelley and 513 Gibson, 2015; USACE, 2009; Bales et al., 2007). The cost of cutting new cross sections on a digital landscape is minor, though because automated methods are still not 514 recommended, it does increase effort. However, acquiring a detailed, "near-census" 515 (i.e., meter-scale) bathymetry is often the primary cost of 2D modeling. Near-census 516 517 bathymetry can be used for many purposes beyond just the 2D model study, so more 518 river managers are collecting it. On the other hand, in the absence of near-census 519 bathymetry, 1D models can have problems if important hydraulic controls in the river are 520 not known a priori or are known but are not assigned cross-sections. This can happen if 521 the controls are underwater and difficult to see, especially for long reaches with poor 522 accessibility. The incremental cost of moving from a 1D to a 2D model can be small 523 compared to the cost of acquiring detailed bathymetry. This 1D-2D comparison 524 presumes that detailed bathymetry already exists and does not inform a common 525 decision between modeling the system with a 1D model based on existing, surveyed, 526 widely spaced cross sections and investing in the bathymetry to make a 2D model 527 possible. As the incremental cost of moving from a 1D to a 2D model decreases, a 528 thinner uncertainty reduction (benefit) justifies moving to the 2D model.

2. This study controlled for modeler skill by entrusting the 1D and 2D modeling to 530 531 specialists. However, 2D models handle more of the physics explicitly and, therefore, 532 require fewer modeling 'tricks' and subjective modeling decisions (e.g. bank stations, 533 flow split locations, and ineffective flow areas in a multi-reach complex). 1D modeling is 534 more sensitive to modeler decisions, making the simpler model, counter intuitively, more sensitive to modeler skill. Therefore, 1D model results are more variable than 2D 535 results. Vulnerability to user variability (Dawdy and Vanoni, 1986) adds to 1D 536 537 uncertainty in ways this study did not capture.

538 6 Conclusions

539

529

540 This study compared results from conveyance distributed 1D depth and velocity modeling,

- 541 including analytical lateral velocity computations and Leplacian mapping algorithms for inter-
- 542 cross section mapping, to 2D results for three flows in three morphologically distinct reaches.

543 The 1D goodness of fit was between $0.94 \ge R^2 \ge 1.00$ ($R^2_{mean} = 0.98$ and $R^2_{median} = 0.99$) for depth 544 and median absolute residuals were all $3.8 \le |\tilde{}| \le 7.2\%$ (i.e. 50% of residuals are approximately 545 within ±1.7 to ±3.6%). Higher flows and lower gradient reaches with fewer side channels and 546 backwaters had lower depth residuals.

- 547 The velocity goodness of fit fell between $0.42 < R^2 < 0.81$, but the anastomosing base flows were
- 548 much worse ($0.42 < R^2 < 0.58$) than the other seven conditions ($0.69 < R^2 < 0.81$, $R^2_{mean} = 0.75$ and 549 $R^2_{median} = 0.77$). Velocity residuals were substantially higher than depth residuals, spanning
- 549 R_{median}^2 =0.77). Velocity residuals were substantially higher than depth residuals, spanning 550 9.6%> $|\sim|$ >22.4% (e.g. 50% of the residuals fell approximately between ±4.6% for the best 1D
- model and ±11.2% for the worst) with means and medians of $|\sim|_{maan} = 14.2\%$ and $|\sim|_{median} = 13\%$
- 552 (50% of residuals falling within approximately ±7.1 and ±6.5% respectively). The highest
- 553 residuals were concentrated in backwaters, flow separation zones, island velocity shadows, side
- 554 channels, complex, and multi-reach reaches, particularly where some reaches transition
- 555 between backwater to conveyance as flow increases. Additionally, the 1D analytical lateral
- velocities algorithm consistently over predicted velocity in the channel and under predicted
- velocity in the flood plain. While split flow improved depth results substantially (~50%) in a
- classic bifurcation situation, it was less effective in a multi-channel complex and did not improve
 velocity results substantially or universally. Opportunities for additional research include
- 560 evaluating the sensitivity of conveyance weighted modeling results to the number of prisms
- 561 (initial investigation suggest rapid diminishing returns for more than three to five prisms) and
- translating the error incurred by selecting a simplified model into some measure of project risk.
- 563 Many comparisons of 1D and 2D models return conclusions that the latter are better than the
- former (Bohorquez and Darby, 2008; Tayefi et al., 2007; Brown and Pasternack, 2009;
- 565 Prestininzi et al., 2011), that model results are comparable (Alho and Aaltonen, 2008; Horitt and
- 566 Bates, 2002) or, sometimes, that a particular 1D model outperformed a particular (usually
- 567 gridded) 2D model for a particular situation (Jowlett and Duncan, 2012; Gibson, 2005). But this 568 is not the most useful model selection question. The pertinent management question is not, "is
- a 2D model better than 1D model?" A well constructed, high resolution, multi-dimensional
- 570 model with comparable features (e.g. algorithms to simulate hydraulic structures) should
- 571 outperform a 1D model constructed at a comparable scale with comparable expertise.
- Instead, the pertinent questions are "how much better is the 2D answer than the 1D answer?" and "does the risk reduction achieved by selecting 2D justify upgrading from the 1D option?" A cost benefit analysis between levels of modeling complexity requires quantifying benefits to compare to the costs. This work helped quantify those benefits, the incremental uncertainty reductions of moving from a 1D to 2D modeling framework, to allow explicit cost-benefit
- 577 approaches to model selection.
- 578

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- 774

Table 1: Research questions, hypotheses and test metrics.

Question	Hypothesis	Metric and Test Applied to Evaluate			
Question 1: How well does a 1D model replicate 2D depth results?	1D depth residuals will be relatively small but will increase upstream (e.g. channelize residuals < meandering residuals < anastomosing residuals).	 Computed depth residuals between each cell of the 1D and 2D depth grids and the evaluate residual distributions, summarizing them with a 'median absolute residual depth' statistic. Plot 1D depths against 2D depths (scatter plot) and evaluate the performance of the 1D model based on the R² of the relationship. 			
Question 2: How well does a conveyance distributed 1D model replicate 2D velocity results?	1D velocity residuals will be much larger than the depth residuals and will also increase upstream.	 Compare 1D and 2D velocity results with the same metrics and analyses as the depth residuals (from Question 1): 1. Median absolute velocity residual. 2. Scatter plot, slope, R². 			
Question 3: What Hydraulic processes generate large 1D residuals?	1D results will diverge from the 2D results in regions where lateral velocities are significant (e.g. flow separation, flow shadows, backwaters).	Map residuals to identify regions of high residual, and associate the hydraulic process connected to these regions.			

Table 2: Morphological metrics and classifications of the three reaches.

	Reach 1	Reach 2	Reach 3
Entrenchment Ratio	2.2	1.7	0.8
(2XQ _{max})*			
Entrenchment Ratio	4.6	1.7	1.0
(2XD _{max})*			
Width/Depth Ratio	23	73	41
Sinuosity	1.18	1.07	1.19
Slope	0.00058	0.0017	0.0022
Gradation (d _{mean})	9-107 mm	47-116 mm	61-179 mm
Rosgen Classification	C3c	C3/4	D3

*computed for a water surface profile twice the max flow **computed for a water surface profile twice the max depth

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Table 3: Flow-roughness factors used to calibrate 1D Reaches.

Flow	Reach 1	Reach 2	Reach 3
Base Flow (28 cms)	1.1	1	1.2
Bank Full (142 cms)	0.99	0.95	1.1
Flood Flow (497 cms)	1.03	1	1.06
ble 4: Average calibration residuals	and standard deviation	of residuals (cm) after Reach 2	r application of flow rough
ble 4: Average calibration residuals	and standard deviation Reach 1 Average/SD	of residuals (cm) after Reach 2 Average/SD	r application of flow rough Reach 3 Average/SD
ble 4: Average calibration residuals Flow Base Flow (28 cms)	and standard deviation Reach 1 Average/SD -0.2/6.0	of residuals (cm) after Reach 2 Average/SD -2.7/8.1	r application of flow rough Reach 3 Average/SD -0.7/10.8
ble 4: Average calibration residuals Flow Base Flow (28 cms) Bank Full (142 cms)	and standard deviation Reach 1 Average/SD -0.2/6.0 -0.5/4.4	of residuals (cm) after Reach 2 Average/SD -2.7/8.1 -0.3/4.0	r application of flow rough Reach 3 Average/SD -0.7/10.8 -1.5/7.8

Table 5: Median relative depth residuals for the three reaches and three flows modeled.

.0	Reach 1	Reach 2	Reach 3
	Confined	Meander	Anastomosing
Base Flow	3.9%	6.4%	7.2%
Bank Full	2.0%	3.6%	5.4%
Flood Flow	0.5%	2.6%	4.0%

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Table 6: Median relative velocity residuals for the three reaches and three flows modeled. -112 Reach 1 Reach 2 Reach 3 Anastomosing Confined Meander Base Flow 18.1% 12.9% 13.0% Bank Full 12.7% 14.6% 9.6% Flood Flow 22.4% 16.2% 10.2%

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Table 7: Coefficient of Determination and Median Absolute Residuals for Reach 3, for all three flows, with the single reach
 and split flow modeling approaches.

	Depth		Velocity	
	Reach 3	Reach 3	Reach 3	Reach 3
	Single Reach	Split Flow	Single Reach	Split Flow
	$\mathbb{R}^2/ $	$\mathbb{R}^2/ $	$\mathbb{R}^2 / $	$\mathbb{R}^2 / $
Base Flow	0.89/7.2%	0.96/6.9%	0.52/18.1%	0.70/16.6%
Bank Full	0.96/5.4%	0.97/4.3%	0.69/14.6%	0.77/12.5%
Flood Flow	0.98/4.0%	0.98 /3.8%	0.81/16.2%	0.84/17.3%

- 804 Figure 1: Map of the lower Yuba River with the three modeling reaches.
- 805 Figure 2: HEC-RAS geometries for split flow and single reach models for Reach 3.
- 806 Figure 3: Cross section velocity plot from HEC-RAS, where laterally distributed velocities are computed from the section
- 807 average velocity with conveyance principles. HEC-RAS computed a composite cross section weighted velocity of 2.0 m/s for
- 808 this cross section and a composite channel velocity of 2.4 m/s.
- 809 Figure 4: Scatter plot depth results and coefficient of determination for paired 1D and 2D depth results from each grid cell.
- 810 Figure 5: Scatter plot velocity results and coefficient of determination for paired 1D and 2D velocity results from each grid 811 cellFigure 6: Velocity residuals for the three flows in Reach 1.
- 812 Figure 7: Velocity residuals for the three flows in Reach 2.
- 813 Figure 8: Velocity residuals for the three flows in Reach 3.

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- 814 Figure 9: Depth and velocity residuals for the flood flow in Reach 2 with high residual zones annotated.
- 815 Figure 10: Zone of maximum velocity residual with 2:1 expansion rule of thumb for ineffective flow areas.
- 816 Figure 11: 1D velocity map, 2D velocity map and velocity residual map of the classical bar geometry in Reach 1.
- 817 Figure 12: Schematic of errors introduced at flows higher and lower than the design flow for 1D, split flow, modeling.
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