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1 **More Realistic Intermediate Depth Dry Firn**
2 **Densification in the Energy Exascale Earth System**
3 **Model (E3SM)**

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7 **Key Points:**

- 8 • We intercompare three snow density parameterizations and their effects on firn
9 simulated in E3SM's land model (ELM).
10 • Incorporating a two-stage firn densification model into ELM improves densities
11 at depths of 20 to 60 m.
12 • Applied to Greenland and Antarctica, improving 20 to 60 m depth dry firn den-
13 sity decreases firn air content by more than 20%.

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Abstract

Earth system models account for seasonal snow cover, but many do not accommodate the deeper snowpack on ice sheets (aka firn) that slowly transforms to ice under accumulating snowfall. To accommodate and resolve firn depths of up to 60 m in the Energy Exascale Earth System Model’s land surface model (ELM), we add 11 layers to its snowpack and evaluate three dry snow compaction equations in multi-century simulations. After comparing results from ELM simulations (forced with atmospheric reanalysis) with empirical data, we find that implementing into ELM a two-stage firn densification model produces more accurate dry firn densities at intermediate depths of 20 to 60 m. Compared to modeling firn using the equations in the (12 layer) Community Land Model (version 5), switching to the two-stage firn densification model (with 16 layers) significantly decreases root-mean-square errors in upper 60 m dry firn densities by an average of 41 kg m^{-3} (31%). Simulations with three different firn density parameterizations show that the two-stage firn densification model should be used for applications that prioritize accurate upper 60 m firn air content (FAC) in regions where the mean annual surface temperature is greater than roughly -31°C . Because snow metamorphism, firn density, and FAC are major components in modeling ice sheet surface albedo, melt water retention, and climatic mass balance, these developments advance broader efforts to simulate the response of land ice to atmospheric forcing in Earth system models.

Plain Language Summary

Massive ice sheets cover Earth’s largest island (Greenland) and the Antarctic continent. A large fraction of their surfaces consists of multi-year snow, known as firn, which goes through the process of densification after falling from the atmosphere. Until now this fundamental process in glaciology has yet to be accounted for in the U.S. Department of Energy’s Earth System Model (E3SM). Here we enhance E3SM’s snowpack model to accommodate greater firn depths on ice sheets. Our results demonstrate a new capability in an Earth system model, i.e., calculating firn density as deep as 60 m below the surface. Our developments in E3SM combine both seasonal snow and firn processes to advance broader efforts towards simulating ice sheet evolution and sea level rise in Earth system models.

1 Introduction

Since the end of the twentieth century, global mean sea level continues to rise at an accelerating rate due to, in part, mass loss from the surface of the Greenland Ice Sheet (GrIS) (WCRP Global Sea Level Budget Group, 2018; M. R. van den Broeke et al., 2016). As the GrIS surface warms, regional climate models are being employed to study processes involved in its climatic mass balance, essentially the difference between mass accumulation (primarily from snowfall) and mass loss (primarily from melt and runoff) near the surface (Noël et al., 2018; Fettweis et al., 2017; van Angelen et al., 2014). Because of their superior horizontal resolution, regional climate models can resolve topographic features that determine the prevailing specific (local) surface mass balance processes. However, predicting future GrIS surface mass loss and its contribution to global mean sea level rise relies on Earth system models coupled to dynamic ice sheet components (Lenaerts et al., 2019; Muntjewerf et al., 2020). Because of the subgrid-scale topographic features and complex interactions between the atmosphere and ice sheet surface, ice sheet climatic mass balance is challenging to represent in Earth system models and depends on complex snowpack modules that include surface melt, water percolation, refreezing, and other densification mechanisms (Fyke et al., 2018; Vizcaino, 2014).

Although there are highly complex snowpack models capable of simulating alpine (and seasonal) snow conditions (Tuzet et al., 2017; Krinner et al., 2018), they generally do not include realistic metamorphism for the entire range of multi-year snow (aka *firn*)

64 densities (~ 200 to 830 kg m^{-3}) or are not computationally affordable in an Earth sys-
 65 tem model (e.g., Hagemuller et al., 2015). In a recent development, Stevens et al. (2020)
 66 apply their Community Firn Model to test 13 firn densification models, which produce
 67 plausible density-versus-depth relationships for the regions where they were calibrated.
 68 Even with consistent surface boundary conditions, the models produce a wide range of
 69 firn air content (FAC), which is mostly attributed incomplete representations of micro-
 70 physical processes (Lundin et al., 2017). Despite their inconsistencies, incorporating a
 71 firn densification model into an Earth system model adds a new capability for climatic
 72 mass balance studies, which require the capacity to accommodate a realistic FAC. Re-
 73 cent advances in the Community Earth System Model version 2 (CESM2) lead the ef-
 74 fort to study climatic mass balance processes in Earth system models (van Kampenhout
 75 et al., 2020; Sellevold & Vizcaíno, 2020; Lenaerts et al., 2020). While these advances rep-
 76 resent a new frontier in Earth system modeling, the study by Stevens et al. (2020) im-
 77 plies that the CESM2 firn density parameterization, which uses the mountain snowpack
 78 compaction model of Vionnet et al. (2012), results in a total FAC that is too high.

79 If there exists a total FAC bias in CESM2, then it poses the problem of how to de-
 80 velop the firn in an Earth system model without the potential to misdiagnose melt per-
 81 colation, refreezing and runoff, which depend on pore space in firn. Addressing this prob-
 82 lem is vital to accurately calculate future GrIS climatic mass balance, where rapid cli-
 83 mate change will continue expanding melt extent into formerly dry snow areas. More-
 84 over, because no particular firn densification model has been validated for global appli-
 85 cations, the principal question of which compaction equation to incorporate into an Earth
 86 system model remains difficult to address. Here we adapt the land snow metamorphism
 87 routine in the Energy Exascale Earth System Model (E3SM) (Golaz et al., 2019) to ac-
 88 commodate more realistic intermediate-depth (10 to 60 m) dry firn densities.

89 Our overarching objective is to expand and assess in E3SM’s Land Model (ELM)
 90 the FAC in dry-snow zones, where the complex effects of liquid water in firn (Steger et
 91 al., 2017) can be neglected. In section 2, we summarize two approaches commonly used
 92 to model snow metamorphism and firn densification, which are similar processes but have
 93 different vertical scales (~ 1 m versus ~ 100 m, respectively) of application. In section 3,
 94 we describe how aspects of the modeling approaches discussed in section 2 are combined
 95 in ELM and introduce a new, expanded layering scheme. In section 4, we compare dry
 96 firn densities in three ELM experiments to results from the established empirical model
 97 of Herron and Langway (1980) (henceforth HL80) and evaluate root mean square errors
 98 (RMSE) with respect to measurements from the Surface Mass Balance and Snow on Sea
 99 Ice Working Group (SUMup) dataset (Montgomery et al., 2018). In section 5, we dis-
 100 cuss the extent to which using 16 layers and incorporating a two-stage firn densification
 101 model into the snow metamorphism routine in ELM improves 20 to 60 m dry firn den-
 102 sities and FAC. After concluding in section 6, we provide an appendix showing how to
 103 re-calibrate the snow metamorphism and firn densification expressions that will be used
 104 for fine-tuning density profiles in future, experimental versions of ELM and E3SM.

105 2 Background

106 The interior surface of a continental-scale ice sheet (i.e., Greenland or Antarctica)
 107 consists of large regions where intermediately dense layers of dry snow are progressively
 108 buried by new snowfall. This dry, porous part of an ice sheet, with older snow that is
 109 more commonly known as firn, compacts under its overburden pressure during a slow
 110 metamorphic process that eventually forms new glacial ice. The duration of this entire
 111 transformation, from new snow to bubbly ice, ranges from fewer than 200 to more than
 112 2,000 years depending on environmental factors (e.g., initial snow density) and local cli-
 113 mate conditions (e.g., temperature and new snow accumulation rate) (Herron & Lang-
 114 way, 1980; Cuffey & Paterson, 2010).

115 Depending on their applications, numerical models that include metamorphic pro-
 116 cesses relevant to the transformation of snow to ice can be divided into two general cat-
 117 egories. These categories include seasonal snowpack models, which calculate compaction
 118 rates using the finite-element method (Podolskiy et al., 2013), and explicit firn densifi-
 119 cation models, which provide either an empirical density versus depth relationship or a
 120 dynamic formulation for densification rates given as a function of overburden pressure,
 121 local temperature, and density. Global snowpack models are more commonly used in Earth
 122 system models to simulate seasonal snow cover, which often do not include the essen-
 123 tial processes governing densification rates for higher densities that typify firn.

124 2.1 Seasonal Snowpack in Earth System Models

125 Snow compaction in Earth system and land surface models is routinely represented
 126 by one-dimensional parameterizations of the general form

$$127 \quad \dot{\epsilon} \equiv \frac{1}{\Delta z} \frac{\partial \Delta z}{\partial t} = -\frac{P}{\eta}, \quad (1)$$

128 which relates the vertical strain rate $\dot{\epsilon}$ [s^{-1}] (equivalent to the compaction rate of a layer
 129 with thickness Δz at time t) to the overburden (load) pressure P [Pa] via a dynamic vis-
 130 cosity function η [Pa s]. The load pressure function $P(z)$, calculated for each layer at a
 131 depth z with mass density ρ , is equal to the product of the acceleration due to gravity
 132 g (9.80665 m s^{-2}) and the depth-integrated (from the snow surface to z) areal (column)
 133 density $\sigma(z) = \int_0^z \rho(z) dz$ [kg m^{-2}].

134 As in the land component CLM4 of CESM (version 1) (Lawrence et al., 2011), the
 135 unmodified ELM (version 1) further represents snow strain rates as the sum of three terms
 136 representing: overburden pressure, as in eq. (1); *destructive metamorphism* (denoted by
 137 the subscript “dm”); and melt (Anderson, 1976). For each vertical layer, deformation
 138 due to overburden pressure is calculated by substituting into eq. (1) the dynamic vis-
 139 cosity equation

$$140 \quad \eta = \eta_0 \exp [c_1(T_f - T) + c_2\rho], \quad (2)$$

141 with mass density ρ [kg m^{-3}], temperature T [K], and constants $T_f = 273.15 \text{ K}$, $c_1 =$
 142 0.08 K^{-1} , $c_2 = 0.023 \text{ m}^3\text{kg}^{-1}$, and $\eta_0 = 8.8 \times 10^6 \text{ kg m}^{-1} \text{ s}^{-1}$ [Pa s]. Snow layer tem-
 143 peratures $T(z)$ are calculated using an energy balance scheme in conjunction with an im-
 144 plicit finite difference (Crank-Nicolson) method (Jordan, 1991), and the radiative trans-
 145 fer of energy is simulated using the Snow, Ice, and Aerosol Radiative (SNICAR) model
 146 that also includes the evolution of the snow effective grain size r_e (Flanner & Zender,
 147 2006; Flanner et al., 2007). Densification due to destructive metamorphism, which in-
 148 cludes the settling and accretion of snow grains as they age, is calculated for each ver-
 149 tical layer as a temperature (T) dependent, piecewise-defined function of density (ρ), ex-
 150 pressed as an additive engineering strain (strain rate) equivalent

$$151 \quad \dot{\epsilon}_{\text{dm}} = \begin{cases} -c_3 \exp[c_4(T - T_f)], & \text{if } \rho < \rho_{\text{dm}} \\ -c_3 \exp[c_4(T - T_f) - c_5(\rho - \rho_{\text{dm}})], & \text{if } \rho \geq \rho_{\text{dm}} \end{cases}, \quad (3)$$

152 with constants $c_3 = 2.78 \times 10^{-6} \text{ s}^{-1}$, $c_4 = 0.04 \text{ K}^{-1}$, $c_5 = 46 \times 10^{-3} \text{ m}^3\text{kg}^{-1}$, and a
 153 density threshold ρ_{dm} (100 kg m^{-3}) above which the strain rate tapers off.

154 In CLM5, the dynamic viscosity equation η was updated according to Vionnet et
 155 al. (2012), which is expressed as

$$156 \quad \eta = f_1 f_2 \eta_0 \frac{\rho}{c_\eta} \exp [a_\eta(T_f - T) + b_\eta \rho], \quad (4)$$

157 with constants $a_\eta = 0.1 \text{ K}^{-1}$, $b_\eta = 0.023 \text{ m}^3\text{kg}^{-1}$, and $\eta_0 = 7.47499 \times 10^7 \text{ kg m}^{-1} \text{ s}^{-1}$
 158 [Pa s]. We note here that there exists a discrepancy in the literature regarding the units
 159 of η_0 , resulting in the above value given as $\eta_0 = g\eta_0^*$, where $\eta_0^* = 7.62237 \times 10^6 \text{ kg s m}^{-2}$.

160 This updated viscosity equation in CLM5 contains the adjustable coefficient $(f_1 f_2)/c_\eta$,
 161 with $c_\eta = 450 \text{ kg m}^{-3}$, $f_2 = 4.0$, and a function f_1 that depends on (and is equal to unity
 162 in the absence of) liquid water content, whereas Vionnet et al. (2012) include a grain size
 163 dependence in the formulation of f_2 . The destructive metamorphism expression in CLM5,
 164 eq. (3) but with an increased density threshold tapering parameter ρ_{dm} (from 100 to 175
 165 kg m^{-3}), is added to eq. (1) with the updated dynamic viscosity from eq. (4). In the
 166 study by van Kampenhout et al. (2017), a wind speed dependence is introduced into the
 167 initial snow density function in CLM4, which improves snow densities at the surface of
 168 ice sheets. To further improve ice sheet surface (and near-surface) densities, van Kam-
 169 penhout et al. (2017) also add a new densification term to the compaction model that
 170 incorporates compaction due to drifting snow. Their results also eliminate a -7.6 m bias
 171 (too shallow) in the depth where the bulk density reaches 550 kg m^{-3} . This “character-
 172 istic depth,” defined as the depth at which density is equal to 550 kg m^{-3} , represents
 173 the transition from the first to the second stage of firn densification (Herron & Langway,
 174 1980). Despite their advances, however, van Kampenhout et al. (2017) also demonstrate
 175 that upper 1 m ice sheet densities and characteristic firn depths simulated with CLM5
 176 are only weakly spatially correlated ($R^2 = 0.15$) with observations. Furthermore, this
 177 particular CLM5 firn density parameterization possibly results in an erroneous stagna-
 178 tion of the intermediate-stage firn densification, marked (crudely) by densities in the range
 179 of 550 to 830 kg m^{-3} (Stevens et al., 2020). While plausible physical explanations sup-
 180 porting this concept of distinct stages of densification exist, the delineation of stages is
 181 applied in firn densification models to better accommodate transitions that are appar-
 182 ent at approximate critical densities.

183 2.2 Firn Densification Models

184 Empirical firn densification models have historically employed analytic functions
 185 that assume a steady-state density profile. They commonly define a critical density (usu-
 186 ally 550 kg m^{-3}) that separates two stages of densification. Herron and Langway (1980),
 187 for example, demonstrate how their model (HL80) can predict observed density-depth
 188 relationships for these first two stages given the mean annual temperature, annual ac-
 189 cumulation rate, and surface density.

190 When the climate is stationary, mean annual temperatures and accumulation rates
 191 are stable, eventually leading to firn well-approximated by the steady-state condition.
 192 Assuming a steady-state, consider a small parcel of firn with a vertical velocity w [m s^{-1}]
 193 relative to the surface, such that

$$194 \quad w(z) \approx \frac{A}{\rho(z)} \quad (5)$$

195 where A is the mean accumulation rate [$\text{kg m}^{-2} \text{ s}^{-1}$] (equivalent to mm SWE s^{-1}) and
 196 $\rho(z)$ is the bulk density [kg m^{-3}] of firn at a given depth z [m] (Bader, 1954). Neglect-
 197 ing wind shear, the one-dimensional (kinematic) densification rate can be expressed by
 198 the material derivative

$$199 \quad \frac{D}{Dt} \rho(z, t) = \frac{\partial \rho}{\partial z} w(z, t) + \frac{\partial \rho}{\partial t}, \quad (6)$$

200 with $\partial \rho / \partial t \approx 0$ in a steady-state. Substituting the right hand side of eq. (5) for $w(z, t)$
 201 in eq. (6) gives an estimate of the advective densification rate, which is closely related
 202 to the volumetric strain rate $\dot{\epsilon}$ via

$$203 \quad -\frac{1}{\rho} \frac{D}{Dt} \rho(z) \approx -\frac{A}{\rho(z)^2} \frac{d\rho}{dz} \approx \frac{dw}{dz} \equiv \dot{\epsilon}. \quad (7)$$

204 This steady-state approach is useful for deriving realistic density profiles and vertical strain
 205 rates in dry snow zones, but does not provide a dynamic representation of physical pro-
 206 cesses simulated in modern Earth system models.

207 A dynamic, numerical densification model integrates compaction rates for each snow
 208 element on a multi-layer, vertical grid. For example, Arthern et al. (2010) and Ligtenberg

et al. (2011) developed and tuned (respectively) a semi-empirical two-stage model based on measured firn thinning rates that can be coupled to the heat equation to calculate time dependent densification rates. Accordingly, the densification rate $\partial\rho/\partial t$ can be expressed in terms of temperature T , bulk density ρ , overburden pressure P , and an effective snow grain radius r_e , such that

$$\frac{1}{\rho} \frac{\partial\rho}{\partial t} = \frac{k_c \exp\left(\frac{-E_c}{RT}\right) \left[\frac{\rho_i}{\rho} - 1\right] P}{r_e^2}, \quad (8)$$

with activation energy E_c (60 kJ mol⁻¹), universal gas constant R (8.31 J K⁻¹ mol⁻¹), ice density ρ_i (917 kg m⁻³), and $k_c = 9.2 \times 10^{-9}$ kg⁻¹m³s for $\rho \leq 550$ kg m⁻³ or $k_c = 3.7 \times 10^{-9}$ kg⁻¹m³s for $\rho > 550$ kg m⁻³. Adjustment of the rate coefficient k_c for $\rho \leq 550$ kg m⁻³ is necessary to capture greater densification rates during the first stage of densification possibly explained by grain-boundary sliding (Alley, R. B., 1987). Because the model is calibrated to measurements confined to sites on or near the relatively warm Antarctic Peninsula, however, it should not be applied in Earth system models to represent the cold interior regions of Greenland and Antarctica without further evaluation. Such an evaluation is performed in this study.

3 Data and Methods

3.1 Snowpack Model Development and Experimental Densification Parameterizations

To test how well snow metamorphism implementations in Earth system models can accommodate realistic firn densities, two necessary changes were first applied in ELM(v1). Necessary changes include the increase in maximum allotted SWE (capped at 1 m in the standard ELM) and the increase in the maximum number of snow layers (originally 5), which were implemented into development versions of the code along with succeeding modifications as described below. The following sections delineate three experimental firn densification configurations (“vK17”, “A10”, and “vK17+”) with both the necessary changes and unique corresponding modifications fully implemented into ELM.

3.1.1 vK17: Updates to ELM from CLM5

Our modifications in E3SM began with the snow model in ELM(v1), which was inherited from the CLM(v4.5) used in CESM(v1). Guided by firn model improvements in CLM(v5) and used in CESM(v2) (van Kampenhout et al., 2017; Lawrence et al., 2019), we increased the maximum number of snow layers from 5 to 12. For the purpose of comparing new experimental results from ELM to those from the CLM5 firn density parameterization, we adopted the same 12 layer grid from van Kampenhout et al. (2017) and implemented into ELM the overburden compaction model from Vionnet et al. (2012), using eq. (1) with the dynamic viscosity function from eq. (4). We also set the destructive metamorphism (dm) density threshold $\rho_{dm} = 175$ kg m⁻³ in eq. (3). Equipped with this 12 layer vertical snowpack grid, the simulation of firn densification is accommodated in ELM by increasing the maximum allotted SWE from 1 m to an arbitrarily large value (10,000 m).

In ELM(v1), initial (i.e., fresh) snow density is solely a function of the surface temperature and is independent of the wind speed. This temperature-only dependence is a model deficiency that contributes to ice sheet surface densities that are too low. To improve ice sheet surface densities, two updates from CLM5 were implemented into ELM. These include a wind speed dependence in the fresh snow density parameterization and the addition of a densification term that incorporates compaction (up to 350 kg m⁻³) due to drifting snow (as in eqs. 2–4 and 9–13, respectively, from van Kampenhout et al. (2017)). This configuration is consistent with and fully described by van Kampenhout

Table 1. Minimum and maximum layer thicknesses (meters) used in the vK17, A10, and vK17+ firn densification experiments. The dynamic grid can, based on the total snowpack thickness, adjust every time-step the total number of layers N (maximum of 12 or 16) and the bottom two layers’ thicknesses. Maximum layer thicknesses depend on if the particular layer is, at a given time-step, the bottom layer (i.e., if $N = k$).

Layer (k)	vK17 ^a			A10 (and vK17+)		
	Δz_{\min}	$\Delta z_{\max}^{N=k}$	$\Delta z_{\max}^{N>k}$	Δz_{\min}	$\Delta z_{\max}^{N=k}$	$\Delta z_{\max}^{N>k}$
1 (top)	0.010	0.03	0.02	0.010	0.03	0.02
2	0.015	0.07	0.05	0.015	0.07	0.05
3	0.025	0.18	0.11	0.025	0.18	0.11
4	0.055	0.41	0.23	0.055	0.41	0.23
5	0.115	0.88	0.47	0.115	0.88	0.47
6	0.235	1.83	0.95	0.235	1.83	0.95
7	0.475	3.74	1.91	0.475	3.74	1.91
8	0.955	7.57	3.83	0.955	7.57	3.83
9	1.915	15.24	7.67	1.915	15.24	7.67
10	3.835	30.59	15.35	1.915	15.24	7.67
11	7.675	61.30	30.71	1.915	15.24	7.67
12	15.355	∞	n/a	1.915	15.24	7.67
13	n/a	n/a	n/a	1.915	15.24	7.67
14	n/a	n/a	n/a	1.915	15.24	7.67
15	n/a	n/a	n/a	1.915	15.24	7.67
16 (bottom)	n/a	n/a	n/a	1.915	∞	n/a

^aFrom van Kampenhout et al. (2017).

256 et al. (2017), which we refer to here as “vK17.” Results from this experiment show how
 257 the CLM5 firn model performs in ELM(v1).

258 **3.1.2 A10: Enhanced Layering Scheme with a Two-Stage Firn Densi-** 259 **fication Model**

260 After adopting the changes introduced into CLM5 by van Kampenhout et al. (2017),
 261 we expanded and modified their 12 layer snowpack scheme to further improve the ver-
 262 tical resolution at firn depths of 10 to 60 m. Expanding to 16 layers, minimum and max-
 263 imum layer thicknesses were modified to resolve firn densities at a vertical resolution of
 264 7.67 m (Table 1). The upper-most nine layers vary in their minimum and maximum al-
 265 lotted thicknesses and conform to the upper nine layers used in the 12 layer grid described
 266 by van Kampenhout et al. (2017). If the snowpack becomes deep enough to fill the up-
 267 per 15 layers, a semi-infinite 16th (bottom-most) layer is created. This improved spa-
 268 tial resolution is necessary to better simulate relevant processes at intermediate depths
 269 and overburden pressures more typical of firn. It also maintains the variable spacing near
 270 the snowpack surface that is needed to resolve high temperature gradients and to accu-
 271 rately model solar radiative transfer.

272 Next, compaction due to the overburden pressure from snow loading was changed
 273 according to Arthern et al. (2010) by replacing eq. (1) with eq. (8). In this experiment
 274 (“A10”), the overburden pressure (or load) is represented by the *grain-load stress*, which
 275 is given by

$$276 \quad P = g \left(\frac{\rho_i}{\rho} \right) \sigma, \quad (9)$$

Table 2. ELM dry firn densification parameterization. The A10, vK17, and vK17+ experiments use a maximum of 12 or 16 snow layers (N) and include compaction due to destructive metamorphism, calculated from eq. (3) with various coefficients (c_3) and density thresholds (ρ_{dm}), plus overburden pressure compaction, calculated with either eq. (1) or eq. (8) as a function of layer temperature (T), density (ρ), and columnar mass density (σ) as shown. In vK17+, an additional term not shown in the table, from eq. (10), is included (for $r_e < 0.08$ mm) representing compaction (and gravitational settling) of dendritic snow. Numerical values of the constants provided (and their units) are provided in Section 2 or are noted below.

Label	N	c_3 (s^{-1})	ρ_{dm} (kg m^{-3})	Overburden compaction ^a (s^{-1})
A10 ^b	16	2.78×10^{-6}	175	$(-k_c/r_e^2) \exp\left(\frac{-E_c}{RT}\right) \left[\frac{\rho_i}{\rho} - 1\right] g \left(\frac{\rho_i}{\rho}\right) \sigma$
vK17 ^c	12	2.78×10^{-6}	175	$(-4\eta_0^*)^{-1} \exp[a_\eta(T - T_f) - b_\eta\rho] \left(\frac{c_\eta}{\rho}\right) \sigma$
vK17+ ^{cd}	16	0.83×10^{-6}	150	$(-4.9\eta_0^*)^{-1} \exp[a_\eta(T - T_f) - b_\eta\rho] \left(\frac{c_\eta}{\rho}\right) \sigma + c_6$

^a With $\sigma(z) = \int_0^z \rho(z) dz$ (kg m^{-2}).

^b See text following eq. (8) for numerical constants.

^c See text following eq. (4) for numerical constants ($T_f = 273.15$ K).

^d With $c_6 = -1.18 \times 10^{-10} \text{ s}^{-1}$.

where σ [kg m^{-2}] is the vertically integrated column density and g [m s^{-2}] is the acceleration due to gravity. The factor ρ_i/ρ (pure ice density ρ_i divided by snow bulk density ρ) is included because only the grains, not the pore space, support the load (Cuffey & Paterson, 2010). This experiment uses our new 16 layer grid and also includes the changes discussed in vK17 that result in improvements to ice sheet surface densities (fresh snow density and wind drift compaction improvements) and the density threshold ρ_{dm} set equal to 175 kg m^{-3} , as in vK17. The fundamental distinctions between the vK17 and A10, plus a similar follow-on configuration (vK17+) are summarized in Table 2.

3.1.3 vK17+: Follow-on experiment

Following the vK17 and A10 experiments, an additional firn density parameterization, “vK17+,” was tested in ELM to reduce biases found in vK17 (as shown below in Section 4). For this purpose, statistical modeling was used to estimate empirical compaction rates from HL80, which were then used to calibrate the vK17+ densification parameters c_3 , from eq. (3), and f_2 , from eq. (4) (see Appendix for more details). The resulting vK17+ configuration is similar to vK17 with five subtle but important distinctions (Table 2). These distinctions are: the number of layers, i.e., 12 in vK17 versus 16 in vK17+; the value of the destructive metamorphism coefficient c_3 , from eq. (3), modified from $2.777 \times 10^{-6} \text{ s}^{-1}$ in vK17 to $0.83 \times 10^{-6} \text{ s}^{-1}$ in vK17+; the value of the destructive metamorphism density threshold parameter ρ_{dm} , modified from 175 kg m^{-3} in vK17 to 150 kg m^{-3} in vK17+ (adhering to Anderson, 1976); the value of the overburden pressure compaction correction factor f_2 , modified from 4.0 in vK17 to 4.9 in vK17+; and an additional constant compaction term ($c_6 = -1.18 \times 10^{-10} \text{ s}^{-1}$) added to eq. (1) for vK17+. The vK17+ configuration also includes an additional fresh snow compaction term calibrated specifically for dendritic snow (Lehning et al., 2002), expressed as

$$\dot{\epsilon}_{\text{dendritic}} = \frac{-g\sigma}{0.007\rho^{4.75-T_c/40}} \quad (10)$$

as a function of vertically-integrated column density σ [kg m^{-2}], snow density ρ [kg m^{-3}], and snow temperature T_c in $^\circ\text{C}$. Because ELM does not specify snow grain shape or type, we added this term only for snow having a low-enough snow grain size (i.e., where its

layer-dependent optical sphere equivalent radius $r_e < 0.08$ mm) to be considered dendritic. This additional compaction term $\dot{\epsilon}_{\text{dendritic}}$ did not have a noticeable effect on the density profile deeper than 1 m.

3.2 ELM Simulations

To solve for firn densities representative of each experimental configuration, rather than an arbitrary initial condition, each experiment started with a lengthy (260 year) spin-up period that integrated compaction rates while accumulating snowfall into ELM’s snowpack module. After implementing into ELM the three experimental firn density configurations (i.e., vK17, A10, and vK17+) described above, the Common Infrastructure for Modeling the Earth (CIME) was used to setup, build, and run each configuration as a stand-alone land model. In this ELM “stand-alone” mode, atmospheric re-analyses (0.5° resolution) provide the surface boundary conditions that include 6-hourly varying precipitation, solar radiation, temperature, and wind speed from the Climate Research Unit and the National Center for Environmental Prediction (CRUNCEP) (Viovy, 2018). Historical climate simulations were initialized in the following manner using a coarse horizontal-resolution (500 km) ELM grid (“I-compset” with “ne11” grid). Limited computational resources prevented us from conducting these multi-century integrations at higher resolutions. However, the coarse grid-scale adequately represents and preserves the important mean annual temperature and accumulation rate characteristics ($\bar{T} < -19^\circ$ C; $A < 0.5$ m SWE yr⁻¹) for multiple interior grid-cells in the GrIS and AIS dry snow zones (Fig. 1). Beginning 1 January 1901, ELM’s initial snowpack depth was set to 50 mm (SWE) over its pre-defined glaciated regions (which include most of Greenland and Antarctica) and everywhere north of 44° N to avoid absorbing excess radiation at the onset when there is typically snow cover. We then simulate 260 years with repeating (from 1901 to 1921), quasi-steady atmospheric conditions to integrate accumulating snow and simulate the process of firn densification. Overall, this initialization procedure (and “cold start” condition) removes any prior assumption about the natural firn density profiles and allows regions with at least 0.1 m SWE yr⁻¹ of accumulation, after 260 years, to reach a total firn thickness of roughly 50 m.

Next, twentieth century simulations were initialized using conditions at the end of 260-year, repeating 1901–1921 climate simulations (i.e., as “restart runs”) resulting in a near pre-industrial climate forcing (Fig. 1). One-hundred additional years of firn densification were simulated using the CRUNCEP atmospheric forcing dataset starting from 1901 and ending in 2001. In total, this combined procedure gives 360 years of snow accumulation and densification ending on 1 January 2001, with results differing only with respect to the specifics of the snowpack model used by ELM (as described in section 3.1). These simulations enable direct comparisons of results from vK17, A10, and vK17+ with recent firn density measurements provided in the SUMup dataset (Montgomery et al., 2018) and form the crux of our dry firn model validation.

3.3 Model Evaluation

3.3.1 HL80 versus ELM: Steady-State Density Profiles

To evaluate firn densities simulated in ELM, steady-state density profiles were calculated from the empirical model of HL80 and compared to results from the vK17, A10, and vK17+ experiments. Guided by the climate conditions applied at the Greenland and Antarctic ice sheets’ surfaces (Fig. 1) and the studies of Fausto et al. (2018) and M. van den Broeke (2008), independent arrays of various mean annual temperatures (-34, -28, -22°C), accumulation rates (0.1, 0.2, 0.3, 0.4, 0.5 m SWE yr⁻¹) and surface densities (270, 280, . . . , 360 kg m⁻³), representative of ice sheets’ relatively warm dry-snow zones (Vandecrux et al., 2019), were plugged into the analytic formulation of HL80. This method gives a range of steady-state density profiles representative of Greenland areas with lower accu-

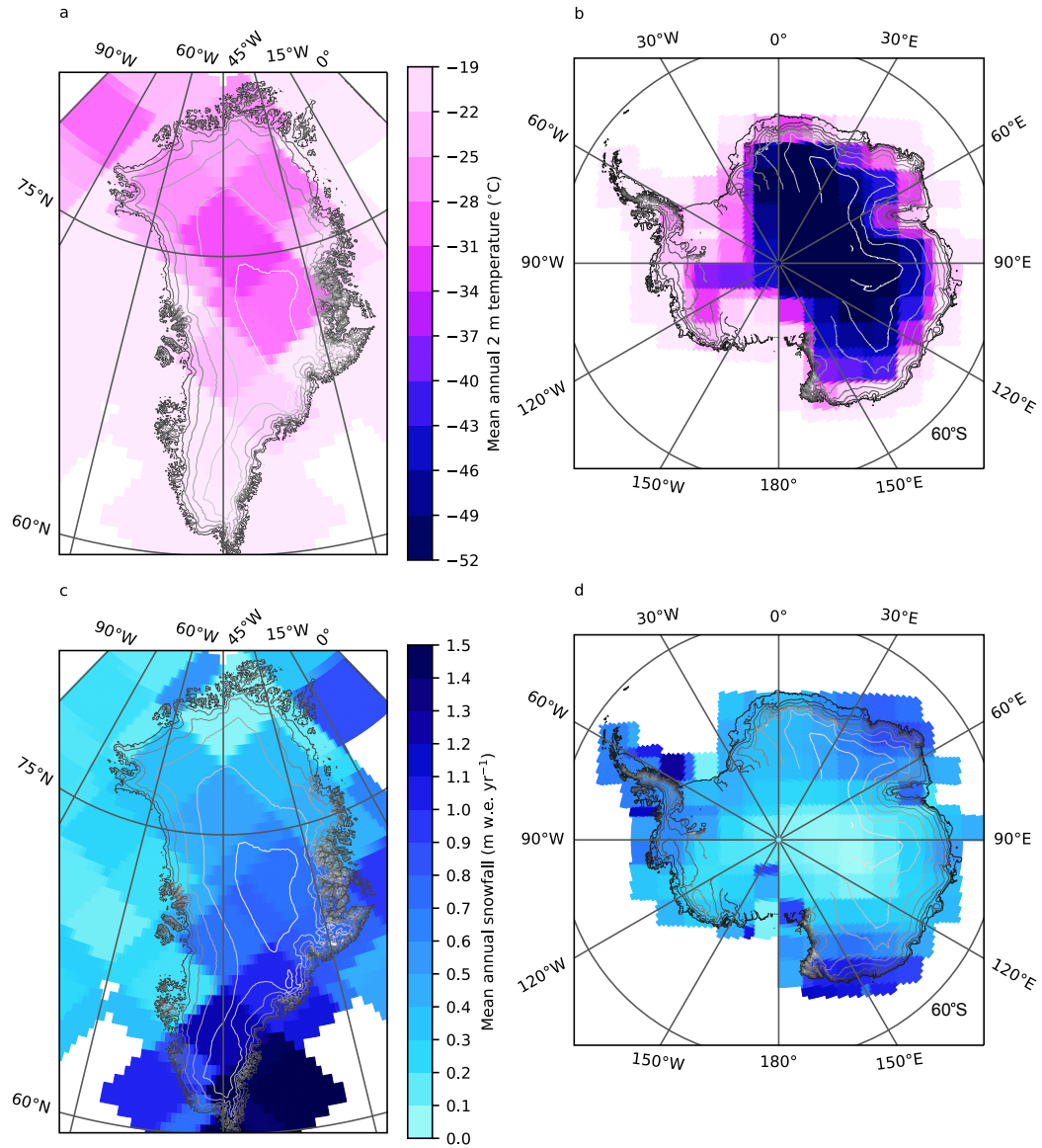


Figure 1. Greenland (a, c) and Antarctica (b, d) 1901–1921 climate forcing (from CRUNCEP) in ELM simulations. ELM nodes, centered within gridcells, are located at regular intervals of 500 km. Maps shown (at different scales) use the Lambert azimuthal equal-area projection. Elevation contours at 500 m intervals are generated using data from Howat et al. (2014) (for Greenland) and from Bamber et al. (2009) (for Antarctica).

355 mulation rates (northern regions) and Antarctica’s warmer interior regions. Because of
 356 ELM’s initial condition (50 mm SWE), which require simulations to build their own firn,
 357 density profiles in ELM are assumed quasi-steady (i.e., growing deeper but with other-
 358 wise small interannual variations) during the spin-up period while the climate forcing
 359 is repeatedly cycling over a 20-year (1901-1920) period. After 280 simulation years (each
 360 with 365 days), average ELM firn densities are calculated (from years 260–280) for dry
 361 snow only by first masking out all gridcells where maximum snowpack temperature (dur-
 362 ing the averaging period) exceeds 273.12 K. Data are then sorted by surface mean an-
 363 nual temperature, masked out where accumulation rates exceed 0.5 m SWE yr⁻¹, and
 364 finally compared with the range of empirical density profiles generated from HL80 for
 365 mean annual temperatures $\bar{T} = -34, -28, \text{ and } -22^\circ\text{C}$ as described above. Sorting ELM
 366 data in this manner enables direct comparisons with HL80 model solutions in a common
 367 domain of temperature-accumulation rate sub-spaces independent of geographic loca-
 368 tion. This procedure is hence carried out to identify firn model biases that are indepen-
 369 dent from geographic errors in ELM induced by potentially inaccurate climate forcing
 370 data.

371 **3.3.2 SUMup versus ELM: End of 20th Century Density Profiles**

372 The Greenland and Antarctic ice sheet (AIS) dry snow zones were selected for our
 373 primary study domain because their vast horizontal length scales allow them to be more
 374 easily represented by low resolution (500 km) ELM simulations. A suitable time period
 375 for evaluation starts in 1980, marking the start of a 30 year period during which numer-
 376 ous firn density measurements included in the comprehensive SUMup dataset (Montgomery
 377 et al., 2018) were conducted on both ice sheets. Geographically, ELM grid-cells contain
 378 nodes that specify their latitude and longitude coordinates and contain time-varying bulk
 379 densities given for each snowpack layer. These time-varying simulated densities were eval-
 380 uated by computing root-mean-square errors (RMSE) against density measurements from
 381 firn cores taken from 1980 to 2010 provided in the SUMup dataset. While there exist
 382 GrIS density measurements taken prior to 1980 and measurements from both ice sheets
 383 taken after 2010, we found that almost all measurements that extend at least 60 m in
 384 depth and are within ELM’s dry snow boundaries fall between the years 1980 and 2010.
 385 Due to the warming climate during the 20th century (Fig. 2), our ELM simulations are
 386 left with only a couple of gridcells over Greenland that remain completely dry for all three
 387 experiments throughout 1980–2000. As a result, the evaluation of GrIS densities versus
 388 SUMup measurements includes a temporal mismatch that cuts off ELM results after 1970.
 389 This caveat, while possibly biasing the evaluation (ELM firn too early and / or too cold),
 390 benefits the analysis by including more of ELM’s dry snow gridcells in the comparison,
 391 which, as before, are restricted to where maximum snowpack temperature (during the
 392 averaging period) does not exceed 273.12 K. Because the 20th century climate forcing
 393 over the AIS remains relatively constant (Fig. 2), however, this caveat does not apply
 394 to the evaluation of AIS densities versus SUMup measurements, which is temporally con-
 395 sistent. In total, eliminating ELM data where melt occurred leaves 5 ELM grid-cells from
 396 Greenland and 18 ELM grid-cells from Antarctica in the vK17 (7 and 25 ELM grid-cells,
 397 respectively, in A10, and 1 and 17 ELM grid-cells, respectively, in vK17+) experiment
 398 that contain locations of available SUMup measurements.

399 To evaluate RMSE, we applied a sorting algorithm that determines each SUMup
 400 density measurement’s representative simulated value in ELM. First, measurements con-
 401 ducted across the GrIS and AIS are grouped according to their nearest ELM node by
 402 computing the relevant location similarity (distance) matrix. After finding a nearest ELM
 403 density profile (in time and space), the midpoint depth of each measurement determines
 404 the ELM snowpack layer nearest in depth space, which contains the relevant simulated
 405 density. In this comparison, ELM density profiles are interpreted as step functions, so
 406 RMSE are calculated for every available measurement with respect to the discrete val-
 407 ues simulated using the vertical snowpack grid in ELM. With some measurements on the

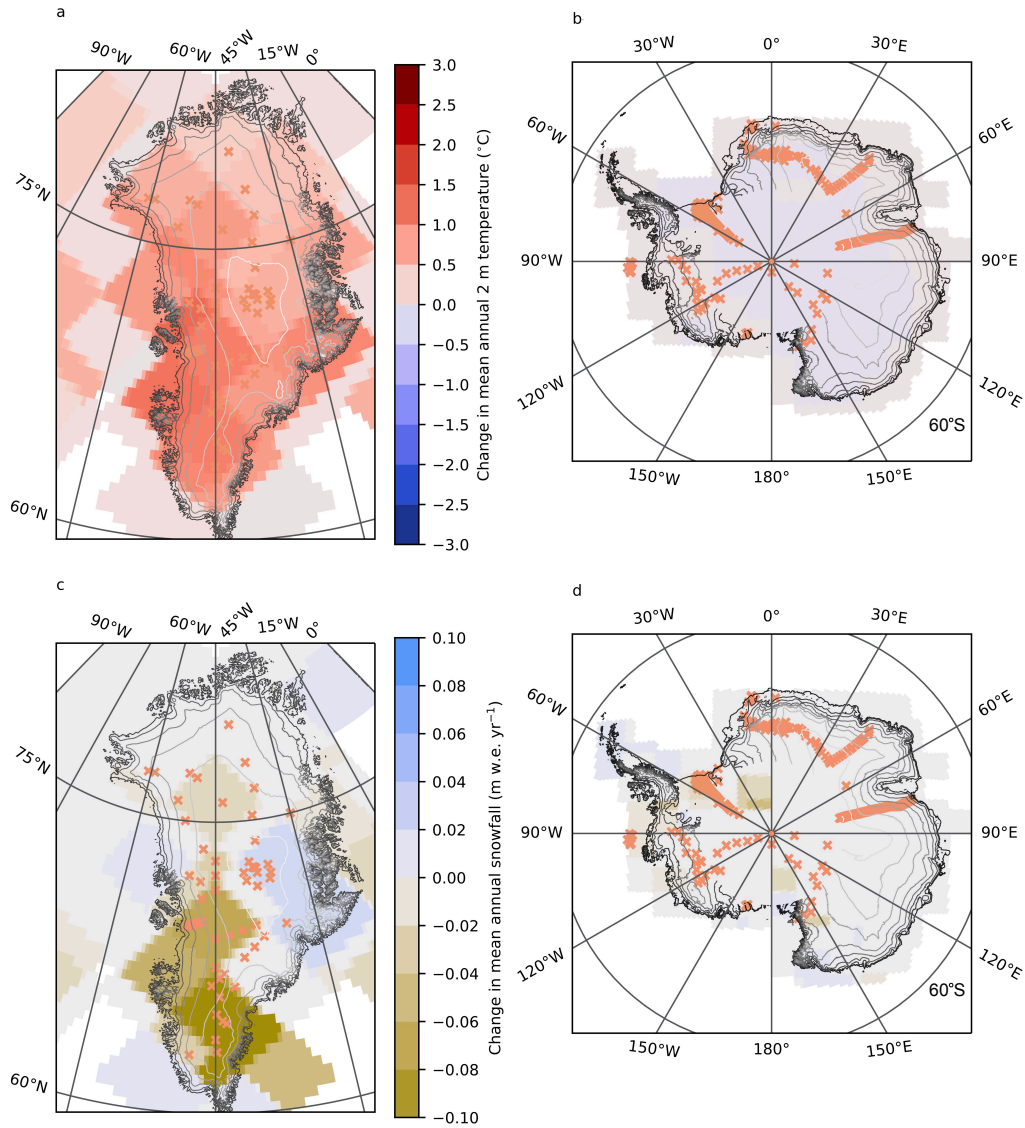


Figure 2. Greenland (a, c) and Antarctica (b, d) 1921–2000 climate change (from CRUNCEP) relative to 1901–1920 (Fig. 1) in ELM simulations. Also shown are locations of SUMup density measurements (Montgomery et al., 2018) used in this study, which range in time from 1980 to 2010. As in Fig. 1, maps shown use the Lambert azimuthal equal-area projection, have different scales, and include elevation contours at 500 m intervals that are generated using data from Howat et al. (2014) (for Greenland) and from Bamber et al. (2009) (for Antarctica).

408 AIS extending deeper than our simulated snowpacks, RMSE there are calculated only
 409 for depths down to 60 m. Some measurements from the GrIS are limited to the near sur-
 410 face of the snowpack, which also limits the depth of RMSE (i.e., measured densities are
 411 not extrapolated in depth for the sake of evaluation). This evaluation of ELM-simulated
 412 versus observed snowpack characteristics is presented for the three different dynamic firn
 413 density parameterizations discussed in detail in Section 3.1.

414 3.3.3 Firn air content (FAC)

415 To evaluate how A10, vK17, and vK17+ capture the depth-integrated (rather than
 416 depth-local) realism of the simulated versus observed firn, upper 10 m and upper 60 m
 417 firn air content (FAC₁₀ and FAC₆₀, respectively) in ELM experiments was calculated and
 418 compared with the empirical (linear regression) FAC₁₀ model from Vandecrux et al. (2019)
 419 (as defined in their eq. (1) and eq. (2)). The empirical model of Vandecrux et al. (2019)
 420 (V19) is valid for the GrIS dry snow zone over the time period 1953–2017 where the long
 421 term mean temperature is within -30° to -19°C. RMS differences (RMSD) between ELM
 422 results and V19 were calculated for ELM grid-cells with at least 10 m of firn (depth, not
 423 SWE) during the simulation time period of 1953–1970 and for mean annual tempera-
 424 tures of -30° to -19°C. As before, ELM results assessed here stop after 1970 to include
 425 more dry snow gridcells that otherwise reach snowpack temperatures greater than 273.12
 426 K, which disqualifies a gridcell entirely from the evaluation. We note here that there is
 427 no explicit geographical restriction for ELM results included in these calculations, so RMSD
 428 include values from outside of Greenland if they fall within the mean annual tempera-
 429 ture domain of -30° to -19°C and have snowpack temperatures that never exceed 273.12
 430 K.

431 4 Results

432 To disentangle model and observational differences resulting from atmospheric re-
 433 analysis (i.e., the temperature and precipitation forcing) from those caused by snowpack
 434 and firn densification model limitations, first we control for mean annual temperature
 435 (\bar{T}) and accumulation rate (A) and compare (quasi-) steady-state density profiles in ELM
 436 simulations in regions representative of dry-snow zones ($-37^\circ\text{C} < \bar{T} < -19^\circ\text{C}$ and $A <$
 437 $0.5 \text{ m SWE yr}^{-1}$) to the empirical model of HL80. Considering dry snow only (i.e., where
 438 snowpack temperatures never exceed 273.12 K), the 16 layer, two-stage density param-
 439 eterization in ELM (A10) results in densities that agree best with the empirical model
 440 of HL80 in the 20 to 60 m depth interval (Fig. 3). However, discrepancies versus HL80
 441 in the 0 to 10 m interval are larger in A10 ($\sim 70 \text{ kg m}^{-3}$) than in results from the 12 layer
 442 CLM5 (vK17) and the 16 layer vK17+ density parameterizations. With respect to HL80,
 443 the vK17 and vK17+ ELM configurations both demonstrate realistic near surface (up-
 444 per 10 m) densification except possibly where $\bar{T} > -25^\circ\text{C}$. In these relatively warm re-
 445 gions, simulated upper 10 m densities can be positively biased (too dense) within the 3
 446 to 6 m depth interval. Deeper than 20 m, the A10 experiment agrees better with HL80
 447 compared to the vK17 experiment, which asymptotically stagnates at 550 to 600 kg m^{-3}
 448 depending on the temperature. This stagnation in vK17 results in density discrepancies
 449 (up to 180 kg m^{-3} in Fig. 3f) compared to HL80 for depths greater than 25 m. These
 450 discrepancies indicate a negative bias (densities too low) in the vK17 experiment that
 451 exist for all mean annual surface temperatures. With 16 layers, the vK17+ experiment,
 452 compared to vK17, agrees slightly better with HL80 for $\bar{T} \approx -34^\circ\text{C}$, but it still does not
 453 result in intermediate depth (10 to 60 m) densities within the HL80 range. Despite the
 454 persistent discrepancies at intermediate depths, densification in the vK17+ does not stag-
 455 nate, a result possibly indicating more accurate compaction rates than in vK17 deeper
 456 than 20 m.

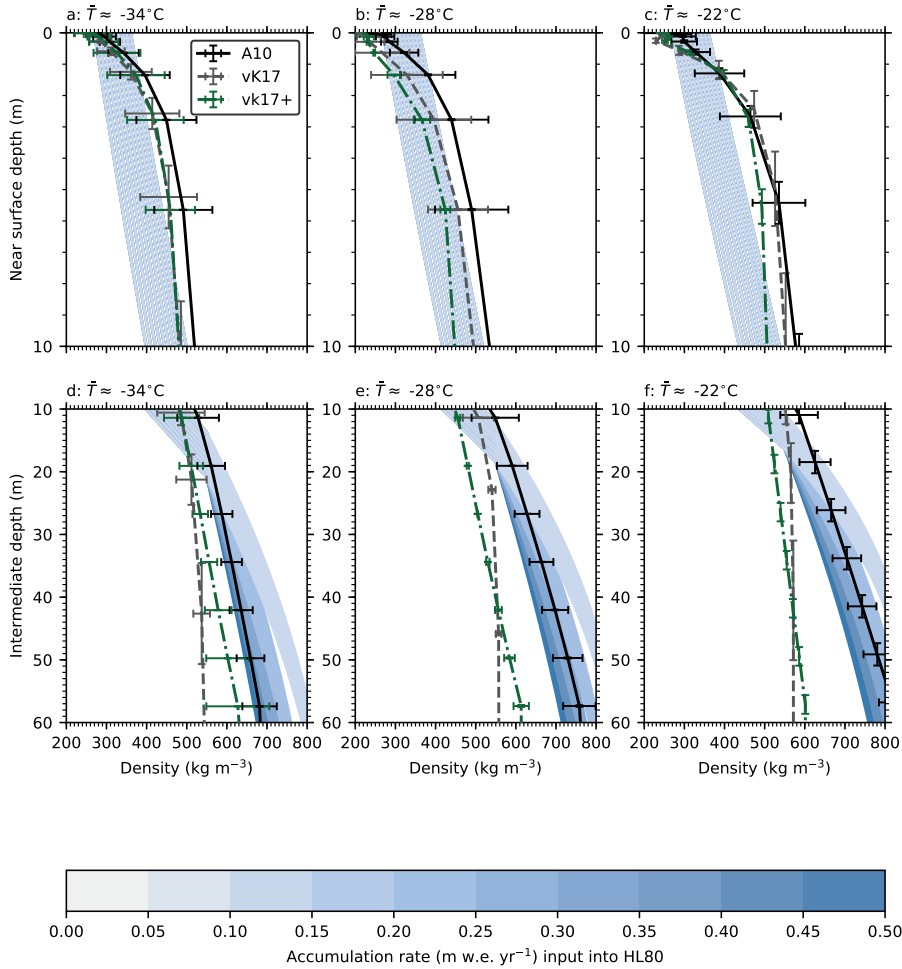


Figure 3. Variation of mean near surface (a, b, and c) and intermediate (d, e, and f) densities with depth in the vK17 (dashed, gray), A10 (solid, black), and vK17+ (dash-dotted, green) ELM experiments compared with those calculated from the model of Herron and Langway (1980) (HL80, light-blue shading) as a function of accumulation rate (colorbar). Note that the HL80 model is independent of accumulation rate above the critical depth (where $\rho < 550 \text{ kg m}^{-3}$) and that its range overlaps itself. Data are sorted and are graphed by mean annual temperature as indicated by sub-figure titles. ELM results shown represent grid-cells with dry snow only (maximum snowpack temperature $< 273.12 \text{ K}$) that are forced with mean annual temperatures within 3°C of -34 (a, d), -28 (b, e), or -22°C (c, f) and with accumulating snowfall not exceeding $0.5 \text{ m SWE yr}^{-1}$. Horizontal error bars show standard deviations indicating spatial variability across grid-cells of twenty year (1901–1920) mean densities represented by reference layers that can vary in depth. Vertical error bars show standard deviations indicating variability of depth across grid-cells for a given reference layer. Note the change in vertical scale from a, b, and c (0 to 10 m), to d, e, and f (10 to 60 m).

Table 3. Mean 1901–2000 Antarctic (1901–1970 Greenland) temperature (\bar{T}) and snowfall rate (A) conditions and resulting root-mean-squared-errors (RMSE) evaluated by grid-cell^a (for dry snow only^b) in ELM firn density experiments (vK17, vK17+, and A10) with respect to measurements conducted from 1980 to 2010 included in the SUMup dataset (Montgomery et al., 2018).

Nodal coordinates		Climate conditions		RMSE (kg m ⁻³)		
Lat. (°N)	Lon. (°E)	\bar{T} (°C)	A (m SWE yr ⁻¹)	vk17	vk17+	A10
78.2	-32.2	-28	0.37	149	142	83
76.0	-45.0	-30	0.48	123	n/a	46
74.3	-39.1	-31	0.62	128	n/a	67
72.6	-34.3	-28	0.74	107	n/a	85
71.3	-40.0	-28	0.79	59	n/a	62
-75.4	-7.0	-49	0.38	94	107	123
-75.4	7.0	-55	0.40	224	211	149
-77.6	-98.4	-30	0.38	118	n/a	34
-78.2	-122.2	-32	0.22	158	129	97
-78.2	147.8	-45	0.29	130	85	101
-79.2	-112.1	-32	0.21	146	113	80
-79.8	-100.3	-38	0.22	144	116	98
-81.1	135.0	-47	0.15	116	101	130
-82.5	122.7	-53	0.09	107	77	85
-83.4	-106.0	-39	0.19	167	133	121
-83.4	106.0	-56	0.06	111	36	84
-84.2	135.0	-53	0.12	106	139	158
-85.5	-65.9	-35	0.17	37	62	44
-85.5	-114.1	-39	0.17	112	82	79
-85.5	155.9	-50	0.15	137	81	119
-85.5	114.1	-55	0.08	117	81	109
-87.4	135.0	-56	0.11	96	111	163
-87.4	-135.0	-44	0.15	107	74	75

^a**Bold** indicates a grid-cell where RMSE evaluations extend 60 m in depth.

^b“n/a” indicates a grid-cell where snowpack temperature exceeds 273.12 K.

457 To quantify model accuracy, next we examine individual ELM grid-cells and eval-
458 uate RMSE in simulated density profiles against a comprehensive collection of in situ
459 firn density measurements (Montgomery et al., 2018) from Greenland and Antarctica.
460 On the GrIS, considering only ELM grid-cells where maximum snow temperature up
461 until 1970 is less than 273.12 K and where available measurements extend to at least 10
462 m below the surface, we find that RMSE in ELM (northern latitudes reported in Table
463 3) range from 46 kg m⁻³ (Fig. 4f; A10) to 149 kg m⁻³ (Fig 4c; vK17). Median RMSE
464 is smallest in A10 (67 kg m⁻³), followed by vK17 (123 kg m⁻³). Results from the vK17+
465 experiment are excluded from all but one grid-cell, which indicates that its modifications
466 are more likely to induce melt. Because results from the A10 experiment appear in more
467 grid-cells than both vK17 and vK17+, the A10 configuration is least likely to induce melt.
468 Considering the single GrIS grid-cell for which all three experiments do not experience
469 melt (Fig. 4c), our results indicate that the density profile comparison is similar to the
470 general findings of the steady-state, HL80 evaluation (Fig. 3), which contains the same
471 mean annual temperature (-28°C) and accumulation rate 0.37 m SWE yr⁻¹ conditions.

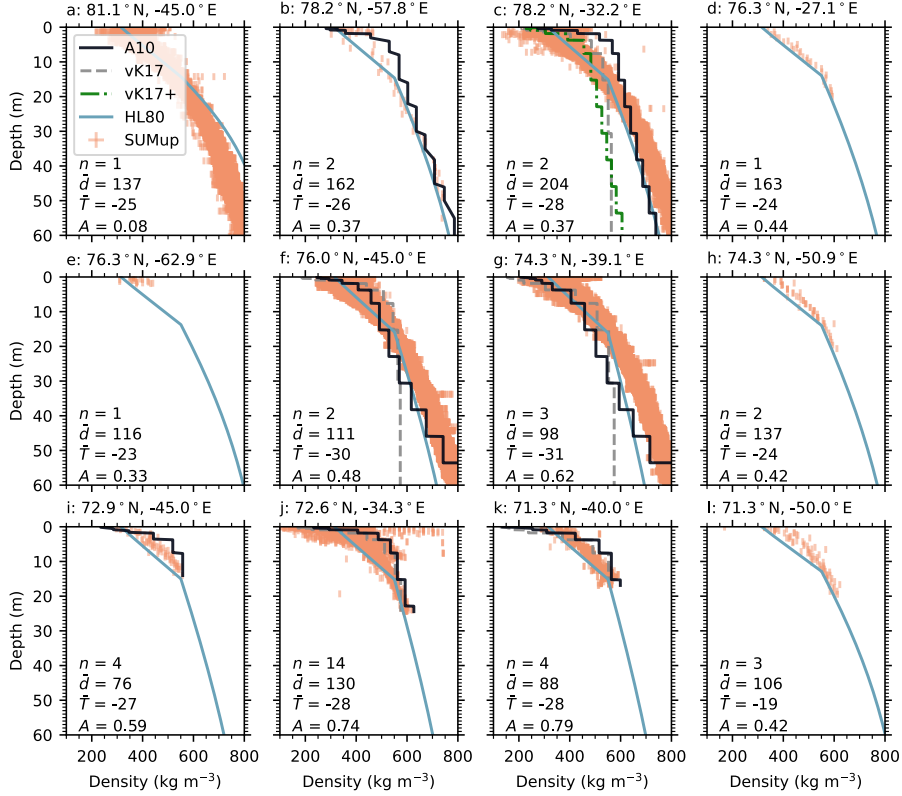


Figure 4. Variation of GrIS density with depth by ELM grid-cell, including only melt free grid-cells that contain locations of available SUMup density measurements (Montgomery et al., 2018). Firm densities simulated in the vK17 (dashed, gray), A10 (solid, black), and vK17+ (dash-dotted, green) experiments are graphed if (and only if) maximum snowpack temperature never exceeded 273.12 K. SUMup density measurements from 1980–2010 (scattered orange crosses) are sorted into groups representing n locations and are graphed by nearest ELM node (indicated by subplot lat-lon coordinates at an average distance \bar{d} [km] away from its accompanying group of measurements) for corresponding geographical comparisons and RMSE calculations. Mean 1901–1970 temperature \bar{T} ($^{\circ}\text{C}$) and snowfall rate A (m SWE yr^{-1}) are indicated for each ELM grid-cell and are plugged into the empirical model of HL80 (with $\rho_0 = 315 \text{ kg m}^{-3}$), which is plotted (solid, light blue) for reference.

472 On the AIS, we find that intermediate depth (10 to 60 m) densities simulated in
 473 all ELM experiments are too small (Fig. 5). Of all the grid-cells containing locations where
 474 first, measurements are available between 1980 and 2010, and second, the maximum 1901-
 475 2000 snowpack temperature in ELM is less than 273.12 K – adhering to our definition
 476 of ELM’s dry snow-zone – RMSE (southern latitudes reported in Table 3) range from
 477 34 kg m⁻³ (Fig. 5y; A10) to 224 kg m⁻³ (Fig. S1r; vK17). Median RMSE is smallest
 478 in vK17+ (85 kg m⁻³), followed by A10 (100 kg m⁻³), then vK17 (117 kg m⁻³). De-
 479 spite some evidence of too rapid densification near the GrIS surface in our A10 exper-
 480 iment, colder regions on the AIS result in density profiles that vary too weakly with depth.
 481 Negative biases (simulated densities too low) emerge for all three experimental config-
 482 urations as temperatures decrease. As mean annual temperatures drop below -30°C, den-
 483 sities in the A10 experiment, which are accurate at intermediate-depths (10 to 60 m) for
 484 warmer temperatures, diverge from measurements and approach results from the vK17
 485 and vK17+ experiments. In very cold regions ($\bar{T} < -40^\circ\text{C}$), densities in the vK17+ ex-
 486 periment vary more strongly with the long-term accumulation rate than in vK17 and in
 487 A10. This enhanced sensitivity is apparent for accumulation rates less than 0.2 m SWE
 488 yr⁻¹.

489 To assess FAC₁₀ in ELM experiments, we evaluate RMSD against the empirical
 490 model of V19, which accurately predicts FAC₁₀ to within 0.4 m across the GrIS dry-snow
 491 zone given a particular location’s long term mean surface temperature (\bar{T}) (Vandercru
 492 et al., 2019). These results suggest that the vK17 and vK17+ firn density parameter-
 493 izations more accurately simulate near-surface (upper 10 m) densification compared to
 494 the A10 (Fig. 6). For $-30^\circ\text{C} < \bar{T} < -25^\circ\text{C}$, FAC₁₀ distributions in vK17 and vK17+
 495 experiments are relatively close (RMSD of 0.7 m) to the mean observed value of 5.2 (\pm
 496 0.3) m for the GrIS dry snow zone. In A10, however, FAC₁₀ is biased low (not enough
 497 pore space), and there exists considerable variability resulting in the relatively large RMSD
 498 of 1 m (19%).

499 To examine how FAC deeper than 10 m varies across ELM experiments, we inte-
 500 grate FAC from 0 to 60 m and, as with FAC₁₀, evaluate results as a function of the long
 501 term (1953–2000) mean temperature and snowfall rate inherent from the surface bound-
 502 ary conditions. We find that the greater temperature sensitivity of the two-stage firn den-
 503 sification model (A10) results in small changes in upper 60 m FAC (FAC₆₀) for colder
 504 regions ($\bar{T} < -37^\circ\text{C}$) but for relatively warm regions a decrease in FAC₆₀ of at least
 505 20% (Fig. 5d, e, and f). Results are consistent across the vK17, vK17+, and A10 exper-
 506 iments for regions where mean temperatures are less than -37°C, where FAC₆₀ decreases
 507 with increasing temperature and ranges from about 37 to 20 m, which is equivalent to
 508 a depth-integrated porosity (DIP) of 62 to 33%. For relatively warm regions, however,
 509 as mean temperatures increase above -37°C, FAC₆₀ is increasingly less in the A10 than
 510 in the vK17 and vK17+ experiments. These differences are most apparent for temper-
 511 atures greater than -31°C, where FAC₆₀ in the vK17 and vK17+ experiments generally
 512 range between 21 m and 29 m (35 to 48% DIP) while FAC₆₀ in A10 ranges from about
 513 15 to 22 m (25 to 37% DIP).

514 5 Discussion

515 This paper describes a 16 layer, two-stage firn density parameterization (A10) in
 516 an Earth system model (ELM) that better accommodates dry firn densities deeper than
 517 20 m. We demonstrate that discrepancies between densities in the vK17 experiment and
 518 in empirical data, including those from the HL80 model and from the (1980–2010) SUMup
 519 measurements, increase with depth and are as large as 180 kg m⁻³ at 60 m. In ELM
 520 grid-cells where geographic RMSE evaluations extend as deep as 60 m (bold values in
 521 Table 3), a paired difference *t*-test indicates that the average RMSE decrease of 41 kg
 522 m⁻³ (31%) in A10 versus vK17 is significant (*p*-value < 0.01). Switching from the vK17
 523 to the A10 configuration also significantly decreases RMSE by an average of 22 kg m⁻³

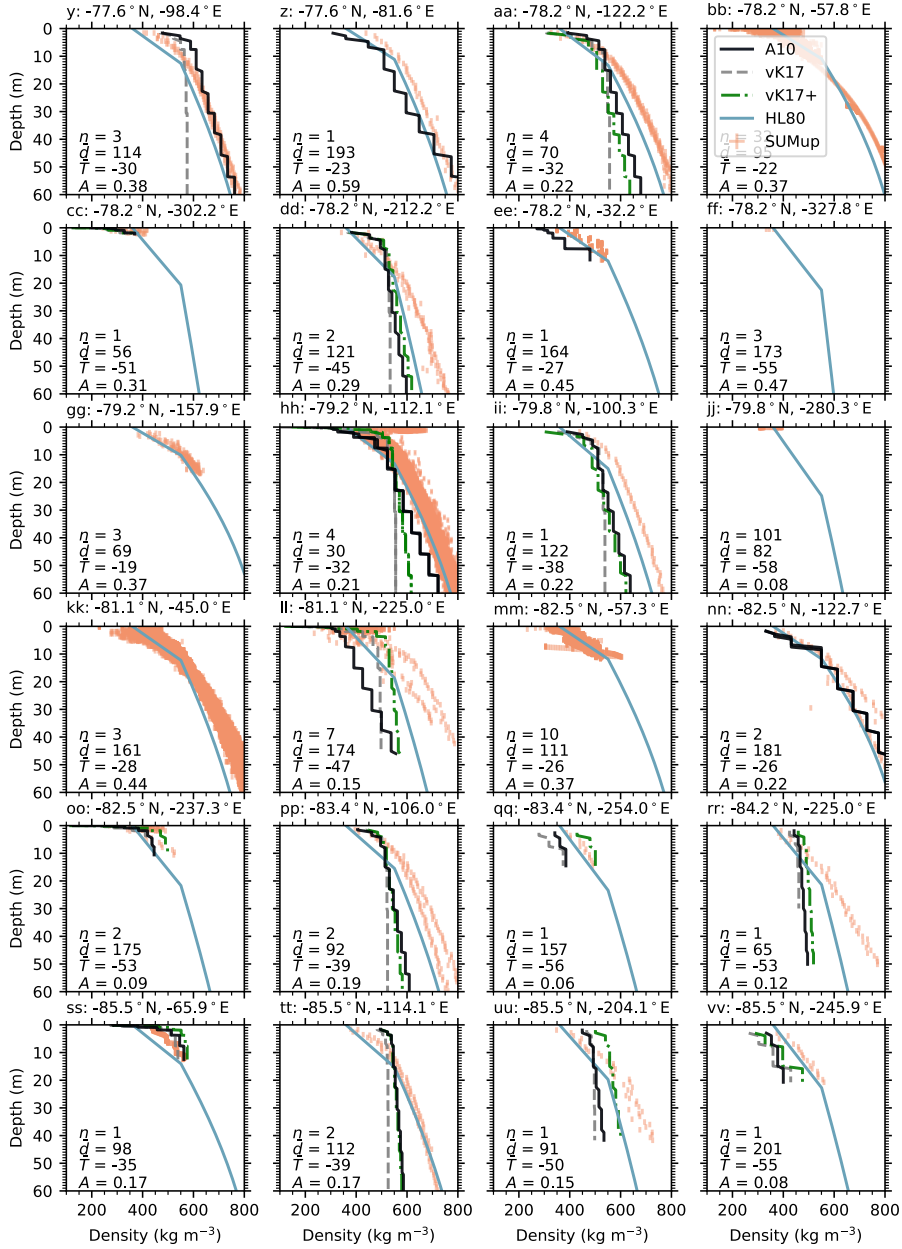


Figure 5. Variation of AIS density with depth by ELM grid-cell, including only melt free grid-cells that contain locations of available SUMup density measurements (Montgomery et al., 2018). Firn densities simulated in the vK17 (dashed, gray), A10 (solid, black), and vK17+ (dash-dotted, green) experiments are graphed if (and only if) maximum snowpack temperature never exceeded 273.12 K. SUMup density measurements from 1980–2010 (scattered orange crosses) are sorted into groups representing n locations and are graphed by nearest ELM node (indicated by subplot lat-lon coordinates at an average distance \bar{d} [km] away from its accompanying group of measurements) for corresponding geographical comparisons and RMSE calculations. Mean 1901–2000 temperature \bar{T} (°C) and snowfall rate A (m SWE yr⁻¹) are indicated for each ELM grid-cell and are plugged into the empirical model of HL80 (with $\rho_0 = 360 \text{ kg m}^{-3}$), which is plotted (solid, light blue) for reference. The full figure, which shows comprehensive results from 50 grid-cells labeled a, b, . . . , y, z, aa, bb, . . . , ww, xx, is provided as a supplement.

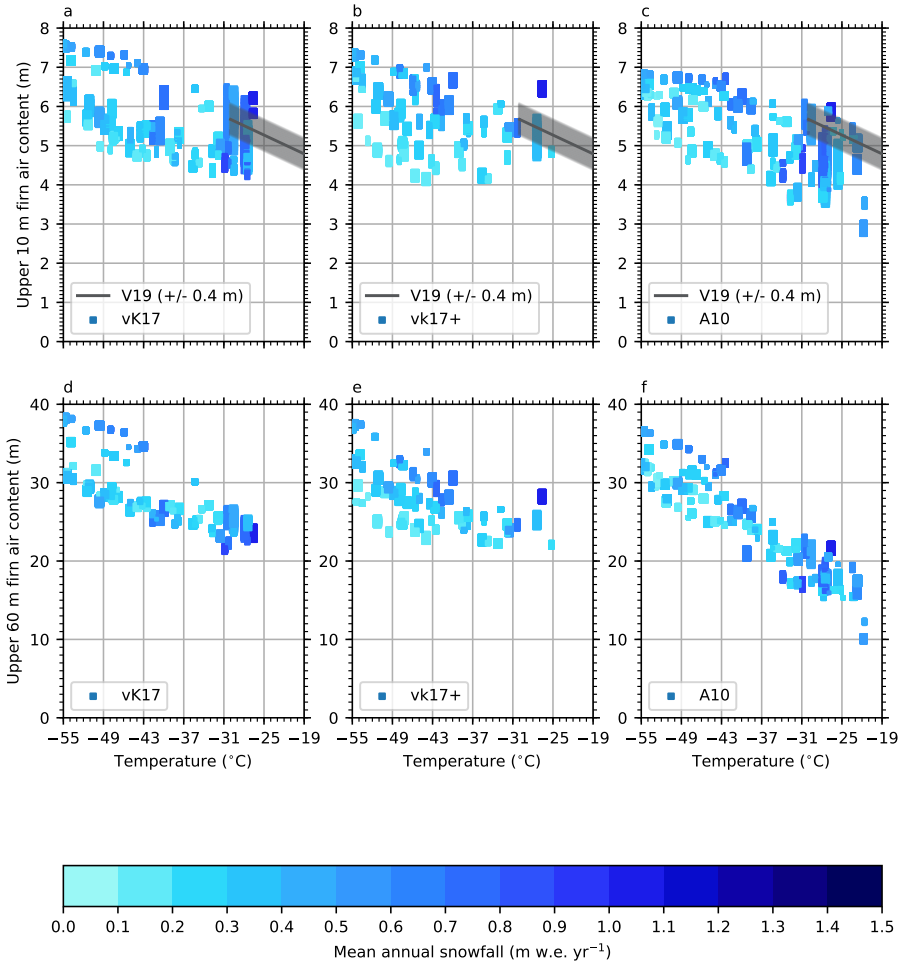


Figure 6. Depth-integrated firn air content in the upper 10 m (FAC₁₀; a, b, c) and in the upper 60 m (d, e, f) for the vK17 (a, d), vK17+ (b, e), and A10 (c, f) ELM experiments. FAC is plotted as a function of mean 1953–2000 2 m air temperature and is compared with the empirical FAC₁₀ model of Vandcrux et al. (2019) (V19) where valid. ELM values correspond to the range of interannual snapshots (from 1953 to 2000) from individual grid-cells where maximum snowpack temperatures are less than 273.12 K with corresponding mean annual snowfall rates represented by the colorbar. The uncertainty range of the empirical model (± 0.4 m) is indicated by gray bands above and below the best fit line from which ELM RMS deviation (RMSD) is calculated over the temperature range of -30° to -19°C . Accordingly, RMSD are 0.71 m for vK17 (a), 0.70 m for vK17+ (b), and 1.01 m for A10 (c).

524 (19%; p -value = 0.03) in all grid-cells regardless of the vertical extent of their evalua-
 525 tions. These results reject the null hypothesis that density errors (at depths of 0 to 60
 526 m) are the same in the vK17 and A10 experiments. Compared to vK17 and vK17+, which
 527 underestimate 10 to 60 m dry firn densities in all but just two or three ELM gridcells,
 528 our data show that the A10 configuration results in at least a 20% decrease in FAC_{60}
 529 for warm regions ($\bar{T} > -31^\circ\text{C}$) of the dry snow zone. Taken together, these findings
 530 suggest that the A10 density parameterization will produce better results than the vK17
 531 (or vK17+) configuration for studies that require accurate total FAC in warm dry snow
 532 zones (e.g., Greenland’s interior ice sheet and Antarctic ice shelves).

533 The decrease in FAC_{60} in the A10 versus vK17 (and vK17+) experiments vanishes
 534 for colder temperatures, where both the density profile and FAC results in A10 approach
 535 those of the vK17 and vK17+ experiments. Similar results across the vK17, vK17+, and
 536 A10 experiments for cold temperatures that diverge for warmer temperatures indicate
 537 a greater temperature sensitivity of the A10 density parameterization. We expect this
 538 divergent behavior for warm temperatures because the underlying compaction model,
 539 given in eq. (8), was originally calibrated using measurements of strain rates in the up-
 540 per 20 m, the upper 10 m of which is subject to seasonal fluctuations in temperature (Arthern
 541 et al., 2010). Therefore, the A10 parameterization captures temperature driven processes
 542 without accounting for the more steady, background compaction rates that dominate at
 543 greater depths and colder climates. On the other hand, because of its weaker temper-
 544 ature dependence and lack of snow grain size dependence, the vK17 configuration pro-
 545 duces the most consistent density profiles for various climate conditions and across mul-
 546 tiple ELM grid-cells. In addition to adding layers, an attempt at tuning the vK17 pa-
 547 rameterization in a vK17+ configuration lead to insignificant differences despite the im-
 548 proved vertical resolution. This finding rejects the hypothesis that the spatial correla-
 549 tion (geographically) of densities versus depths can be improved by calibrating the over-
 550 burdened pressure compaction coefficients, which partially modulate the temperature sen-
 551 sitivity. Furthermore, it is unlikely that increasing the vertical resolution (i.e., from 12
 552 to 16 layers) will significantly improve the vK17 density parameterization at interme-
 553 diate depths, a finding supported by results from Stevens et al. (2020), where the neg-
 554 ative bias (densities too low) exists despite having a higher resolution vertical grid. In
 555 theory, colder parts of the dry snow zones will be the last to experience melt under fu-
 556 ture warming scenarios, so too much FAC resulting from the vK17 and vK17+ density
 557 parameterizations is most problematic for climatic mass balance studies at the dry-snow-
 558 zone threshold (i.e., where the melt extent is expanding).

559 Our results confirm that the vK17 firn density parameterization does not adequately
 560 represent the second stage of densification, i.e., where densities exceed 550 kg m^{-3} . While
 561 CLM5 (Lawrence et al., 2019) includes substantial improvements that eliminate biases
 562 in ice sheet surface densities and the critical depths where densities reach 550 kg m^{-3} ,
 563 there is no guarantee of accuracy for densities in the second stage. Nevertheless, only
 564 after implementing the developments of van Kampenhout et al. (2017) are we able to
 565 pick up the study in ELM, where we first, better resolve the densification at interme-
 566 diate depths by using a 16 layer vertical grid appropriate for modeling both a shallow
 567 (~ 1 m) seasonal snowpack and deeper (~ 60 m) perennial firn, and second, test new firn
 568 density parameterizations that compensate FAC_{10} errors with more accurate densities
 569 at depths greater than 20 m. Because firn density profiles are dependent on the micro-
 570 physical properties of the snowpack structure (Montagnat et al., 2020), our findings do
 571 not imply that any particular configuration will strengthen the spatial correlation be-
 572 tween measured and simulated densities. They do, however, suggest that the improved
 573 density profile of A10 for depths greater than 20 m likely results in a more realistic to-
 574 tal FAC than in vK17, the default firn density parameterization in CLM5. This result
 575 is particularly desirable for regions where surface melt, percolation, and refreezing ex-
 576 tend deep below the surface.

577 Alternatively, a hybrid vK17 (first stage) / A10 (second stage) density parameter-
 578 ization could potentially provide a model that maintains the accurate critical depths of
 579 vK17 with more accurate FAC_{60} resulting from faster densification of A10 for higher den-
 580 sities. Before adopting this approach, however, it should be tested to further evaluate
 581 the possibility of the second stage in A10 compensating compaction rates that are too
 582 low at depth with compaction rates that are too high near the surface. Such an outcome
 583 would suggest that the A10 experiment only results in more realistic intermediate den-
 584 sities because of near-surface densification that is too fast. A similar compensating bias
 585 exists in the original, CLM4 snow density parameterization (from Anderson, 1976), which
 586 van Kampenhout et al. (2017) resolve by replacing the overburden compaction eq. (2)
 587 with eq. (4) (from Vionnet et al., 2012). We also speculate that modifying ELM’s orig-
 588 inal dynamic viscosity parameterization, given in eq. (2), can better accommodate com-
 589 paction rates more typical of firn by increasing the coefficient η_0 by a factor of about 50.
 590 This simple adjustment is possible because the dynamic viscosity η from eq. (2) versus
 591 eq. (4) have essentially the same functional form, though with slightly different coeffi-
 592 cients. Testing these iterative developments – described above and in A10+ configura-
 593 tions – in further ELM simulations and evaluating their impacts on ice sheet climatic
 594 mass balance is left for a follow-on study.

595 Despite having consistent surface temperature and snowfall conditions, there are
 596 39 and 78% more dry snow ELM gridcells in A10 than in the vK17 and vK17+ exper-
 597 iments, respectively. This unexpected result indicates that the top (2 cm) layer of the
 598 snowpack with the A10 density parameterization is more resilient to warm surface tem-
 599 peratures than with the vK17 and vK17+ experiments. Because of higher near-surface
 600 densities for warm grid cells in A10, increased conduction via a higher thermal conduc-
 601 tivity causes a more rapid downward transfer of energy, thus allowing top layer temper-
 602 atures to stay cooler than under the same conditions in the vK17 and vK17+ experiments.
 603 This conjecture explains why fewer data appear in Figs. 4, 5, and 6 for vK17 and vK17+,
 604 where there exist more gridcells where snowpack temperatures reach 0°C , than for A10.

605 Because we generalize the application of HL80 by using an analytical expression
 606 forced by a matrix of climate conditions, our steady-state analysis (Fig. 3) has the dis-
 607 advantage of not offering evaluations that are specific for a particular location. However,
 608 by forcing HL80 with a matrix spanning plausible climate conditions combined with an
 609 array of surface densities encompassing measurements, we generate a range of empiri-
 610 cal density profiles that are independent of biases in ELM’s atmospheric forcing data.
 611 By comparing ELM mean densities and standard deviations by snowpack layer with the
 612 range of densities from our HL80 implementation, we examine where biases are large and
 613 significant as a function of firn depth, mean annual temperature and snowfall rate. It
 614 is also important to consider that given the ELM climate conditions, the analytic form
 615 of HL80 fails to track through the center of SUMup density measurements within each
 616 particular grid-cell. This empirical mismatch, between measurements and HL80, indi-
 617 cates that our methodology is imperfect. The imperfections arise primarily from a coarse
 618 horizontal resolution that results in “bulk weather,” which can misrepresent the true cli-
 619 mate conditions for a relatively small region around a set of local measurements encom-
 620 passed by a larger ELM grid-cell. Furthermore, the precision of HL80 is limited by a re-
 621 latively large uncertainty in its accumulation rate parameters (Verjans et al., 2020). De-
 622 spite these limitations, when given the coarse resolution climate conditions in ELM and
 623 empirical surface densities, HL80 generally results in better agreement with measurements
 624 than that of the ELM experiments. Therefore, using HL80 as a standard to evaluate against
 625 for this study is sufficient while the state of firn in Earth system models remains one-
 626 dimensional and unphysical (i.e., highly parameterized). For example, although the A10
 627 parameterization improves intermediate densification compared to vK17, its implemen-
 628 tation into ELM does not represent a complete, process-based thermomechanical model
 629 that includes all drivers of snow compaction. With simple parameterizations of bulk den-
 630 sification due to gravitational settling and accretion of snow grains, sublimation, and other

631 relevant processes, modeling deficiencies near the surface arise, especially where densi-
 632 ties range from 300 to 500 kg m⁻³ and vary due to sub-grid scale snow microstructure
 633 properties not accounted for in one-dimensional firn models (Lundin et al., 2017).

634 Further complicating the challenge is that in the Community Firn Model frame-
 635 work (Stevens et al., 2020), most of the participating (individual) firn densification mod-
 636 els use a mean mass accumulation rate as a proxy for overburden stress, while those that
 637 call for overburden stress explicitly are given expressions of accumulation rates and age
 638 instead of the depth integrated overburden stress itself. The depth integrated overbur-
 639 den load (i.e., the columnar mass density σ), a state variable, can be calculated directly
 640 and is preferred in an Earth system model, for which accumulation rates (and other mass
 641 and energy exchanges at the surface) vary on sub-daily timescales. As far as we know,
 642 this is the first study that tests the stress-based formulation of the semi-empirical firn
 643 densification model of Arthern et al. (2010). Previous studies use the “semi-empirical”
 644 model in idealized experiments (Kuipers Munneke et al., 2015; Ligtenberg et al., 2011),
 645 but we are aware of none that calculate the overburden pressure or grain load stress as
 646 an input to the model. Furthermore, this study is the first that couples the model of Arthern
 647 et al. (2010) with an Earth system model’s snow metamorphism routine. Like in CLM,
 648 ELM uses the snow grain size evolution routine of Flanner and Zender (2006), which is
 649 closely linked to the SNICAR model via its snow grain size parameter r_e . Including the
 650 dynamic snow grain size parameter in the snow compaction equation, new metamorphic
 651 feedbacks are possible. The disadvantage of this new link is that it adds complexity to
 652 the model. So while it has the potential to improve the spatial correlation of results with
 653 observations, the added complexity also brings difficulty in disentangling confounding
 654 factors to support plausible explanations for simulated phenomena. It remains to be de-
 655 termined whether this trade-off will be worthwhile. To address this question, future stud-
 656 ies will vary initial r_e to further examine its impact on the process of firn densification
 657 and, more broadly, the complexities of ice sheet surface to atmospheric coupling.

658 Incorporating snow grain size dependence into snow and firn compaction equations
 659 in conjunction with variable initial snow grain size could improve the spatial correlation
 660 between observed and modeled total FAC. Perhaps an initial modification would be to
 661 add a temperature dependence to initial snow grain size (as carried out by van Kamp-
 662 enhout et al., 2020). Another option is to add a moisture-content dependence, which is
 663 motivated by the fact that ice crystal shape habit in the atmosphere is closely related
 664 to the specific humidity (Libbrecht, 2005). By varying the initial snow grain radius as
 665 a function of humidity, where grain size increases with decreasing humidity, the compaction
 666 model could be indirectly linked to the accumulation rate. This link, which in ELM cur-
 667 rently relies only on the depth-integrated snow overburden pressure, would help stabi-
 668 lize systematic compaction rate biases that seem to vary with the local accumulation rate.
 669 While most of our model grid cells have relatively high accumulation rates, the few that
 670 are below 0.2 m SWE yr⁻¹ demonstrate densities that vary too quickly in depth. In high
 671 accumulation areas, the downward advection relative to the surface that a parcel of snow
 672 experiences is fast. Burial of near surface snow by new, relatively low density snow causes
 673 the near surface layers to increase in depth rapidly, without having time for integrated
 674 compaction rates to allow the model density profile to track that seen in measurements.
 675 In low accumulation areas, a parcel of snow near the surface stays near the surface for
 676 longer, which allows enough time for the integrated compaction rates to cause model den-
 677 sities to surpass measurements. This indirect accumulation rate sensitivity is most ap-
 678 parent in the vK17+ experiment. By further indirectly linking a firn densification model
 679 to the local accumulation rate via a specific humidity dependence of the initial snow grain
 680 size, an Earth system model could possibly mitigate systematic density errors while also
 681 correcting albedo biases inherent from oversimplifying ice crystal morphology.

682 Ultimately, this study will enable better predictions of sea level rise as a direct re-
 683 sult of GrIS surface melt and mass loss. Fortunately, Earth system models, while offer-

ing the advantage of globally consistent physics needed for global mean sea level rise projections, can accommodate simulating firn densification in relatively warm dry snow zones by expanding their snowpack modules' layering schemes and implementing two-stage firn densification models (e.g., the A10 parameterization presented in this study). On the other hand, accurately simulating ice sheet climatic mass balance still requires a higher horizontal resolution (Noël et al., 2018), and modeling densification in the presence of liquid water is difficult where parameterizations remain untested and ill-constrained (Verjans et al., 2019). Consequently, accurate partitioning of the climatic mass balance terms remains a challenge in Earth system modeling. Although regional climate models enable a higher spatial resolution, limitations in our understanding of supra-glacial hydrology still remain a source of uncertainty in determining Greenland's future contribution to sea level rise (Fettweis et al., 2020). To address these uncertainties, future developments will seek to improve the capability of simulating supra-glacial hydrology in E3SM, including better quantifying surface melt, percolation, refreezing, and the build-up of perennial aquifers (Miège et al., 2016; Forster et al., 2014; Koenig et al., 2014; Munneke et al., 2014). For now, the new ELM firn capability presented here allows future studies to initialize the GrIS snowpack and firn conditions in the fully coupled E3SM with the specific goal of improving its simulated climatic mass balance. Applied globally, this capability also better prepares E3SM for studying perennial snow cover and related albedo feedbacks affecting Earth's climate.

6 Conclusions

As part of a larger effort to introduce dynamic ice sheets in E3SM, this study incorporates into ELM approximations applied in firn densification models and demonstrates the new Earth system modeling capability of accommodating a total firn thickness of up to 60 m. We compared simulations from three competing implementations of these process-based models in ELM (i.e., vK17 vK17+, and A10) against available observations from cold, dry firn cores in both hemispheres. Overall, our findings highlight the firn density parameterizations' differences associated with their ability to reproduce the steady-state density profiles calculated from the empirical model of HL80 and measurements from the Greenland and Antarctic ice sheet dry snow zones. Despite the successful representation of densification above the critical depth, the formulation employed by CLM5 (vK17) yields unrealistic densification below the critical depth, where the processes involved warrant a new approach. To improve densities below the critical depth, we replaced the vK17 overburden compaction equation with a two-stage, semi-empirical formulation (A10). Considering only the ELM grid-cells that encompass SUMup density measurements extending at least 60 m in depth, switching from the vK17 to the A10 density parameterization resulted in an average RMSE decrease of 41 kg m^{-3} (31%), which emphasized significant improvement for intermediate (20 to 60 m) depths. Finally, relative to the vK17 and vK17+ experiments, the A10 density parameterization decreased FAC_{60} by at least 20% in warmer ($\bar{T} > -31^\circ\text{C}$) dry snow zones, leading to the conclusion that its two-stage overburden compaction formulation should be used in studies that require an accurate total FAC.

Appendix A Statistical model and optimization of vK17(+)

To simulate a statistical model of the dry snow zones across Antarctica and Greenland, NumPy's random number routines are used to generate n (10^4) pseudo-random surface bulk densities ($\bar{\rho}_0$), mean annual temperatures (\bar{T}), and accumulation rates (A) representative of ice sheets. Considering that $\bar{\rho}_0$ approximates the mean of a large number of surface density samples independent and identically distributed over a relatively large region ($> 1 \text{ km}^2$), the probability distribution function (PDF) $f(\bar{\rho}_0)$ is assumed to

733 be normal (Gaussian), expressed as

$$734 \quad f(\bar{\rho}_0) = \frac{1}{s\sqrt{2\pi}} \exp \left\{ -\frac{1}{2s^2} (\bar{\rho}_0 - \mu)^2 \right\}, \quad (\text{A1})$$

735 with mean $\mu = 340 \text{ kg m}^{-3}$ and standard deviation $s = 20 \text{ kg m}^{-3}$. Similarly, random
 736 mean-annual temperatures $\bar{T} < -25^\circ \text{ C}$ were drawn from the left tail of a Gaussian dis-
 737 tribution (with an estimated global mean $\mu = 14.9^\circ \text{ C}$ and standard deviation = 16° C)
 738 selected to give a distribution of temperatures crudely representative of Earth's cold land
 739 surface. Deeper than 10 m, snowpack temperatures $T(z)$ are assumed equal to the an-
 740 nual mean \bar{T} , but upper 10 m temperatures are randomized as follows. First, a random
 741 surface temperature T_0 [K] is drawn using the PDF from eq. (A1), but with $\mu = \bar{T}$ and
 742 $s = 8 \text{ K}$. Second, $T(z)$ [K] is calculated from

$$743 \quad T(z) = \bar{T} - \frac{T_0(z - z_{10})^3}{\nu}, \quad (\text{A2})$$

744 with \bar{T} and T_0 in (units of) K, $z_{10} = 10 \text{ m}$, and $\nu = 1000 \text{ m}^3$. Mean accumulation rates
 745 (A) are drawn at random from a lognormal distribution selected to give values represen-
 746 tative of relatively warm ($\bar{T} > -51^\circ \text{ C}$) or cold ($\bar{T} \leq -51^\circ \text{ C}$) dry snow zones, with 0.07
 747 $< A < 0.4$ or $A < 0.07 \text{ m SWE yr}^{-1}$, respectively (Herron & Langway, 1980). Valid
 748 mean annual temperature and accumulation rate pairs are then combined with indepen-
 749 dent surface densities and are inserted into the empirical model of HL80. In this man-
 750 ner, n plausible density versus depth relationships are generated, from which we approx-
 751 imate empirical steady-state strain rates (with a vertical resolution of 10 cm) using eq.
 752 (7). Finally, the sum of squared residuals between empirical strain rates and those pre-
 753 dicted by the vK17 parameterization, given the same density (and overburden pressure)
 754 profiles but with $T(z)$ from eq. (A2), are minimized using a least squares regression al-
 755 gorithm. This regression algorithm, which includes an additional constant (c_6) from the
 756 design matrix, is used to optimize the coefficients c_3 , from eq. (3), and f_2 , from eq. (4).

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 762 available from Edwards et al. (2020). ELM simulation data, associated python analy-
 763 sis scripts, and the offline statistical firn model referenced in this manuscript are archived
 764 and publicly accessible from Schneider (2020). The CLM5 source code is maintained by
 765 the CTSM Development Team and is available at [https://doi.org/10.5281/zenodo](https://doi.org/10.5281/zenodo.3779821)
 766 [.3779821](https://doi.org/10.5281/zenodo.3779821). The extensive SUMup density measurement dataset (Montgomery et al., 2018)
 767 is available at [https://arcticdata.io/catalog/view/urn%3Auuid%3A00d2e142-228e](https://arcticdata.io/catalog/view/urn%3Auuid%3A00d2e142-228e-4722-8f12-d054606e3869)
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